Memory Allocation Costs
in Large C and C++ Programs

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Abstract

Dynamic storage allocation is an important part of a large class of computer programs written in C and C++. High-performance algorithms for dynamic storage allocation have been, and will continue to be, of considerable interest. This paper presents detailed measurements of the cost of dynamic storage allocation in 11 diverse C and C++ programs using five very different dynamic storage allocation implementations, including a conservative garbage collection algorithm. Four of the allocator implementations measured are publicly-available on the Internet. A number of the programs used in these measurements are also available on the Internet to facilitate further research in dynamic storage allocation. Finally, the data presented in this paper is an abbreviated version of more extensive statistics that are also publically-available on the Internet.

1 Introduction

Dynamic storage allocation (DSA) is an important part of many C and C++ programs, including language interpreters, simulators, CAD tools, and interactive applications. Many different algorithms for dynamic storage allocation have been designed, implemented, and compared. In the past, implementation comparisons have most often been based on synthetic allocation behavior patterns, where object lifetime, size, and interarrival time are taken from probability distributions (e.g., Reference 5, 10, and 11). Recently, Zorn and Grunwald have shown that the use of synthetic behavior patterns may not lead to an accurate estimation of the performance of a particular algorithm [16].

The existence of instruction-level profiling tools [1, 6] has made it possible to count the number of instructions required by various allocation algorithms in large, allocation-intensive programs directly. In this paper, we present a large number of detailed measurements of the performance of five very different dynamic storage allocation implementations in 11 large, allocation-intensive C and C++ programs. The results of this paper extend and complement the C-program measurements of Zorn [15]. The purpose of this paper is to make additional detailed measurements available to a broad audience. For greater details about the implementations measured and the measurement methods used, the reader is referred to other papers.

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One of the DSA implementations measured is a publicly-available conservative garbage collector for C and C++ (BW 2.6+MS,IP) [2]. Our measurements show that this collector is competitive in both CPU time and memory usage with existing commercial-quality malloc/free allocators. Furthermore, this allocator can be used to replace the operating system calls to malloc/free without any modifications to the program source code and is compatible with both C and C++ programs. We conclude that conservative garbage collection is a competitive alternative to malloc/free implementations and programmers should keep this technology in mind when building allocation-intensive programs.

2 Programs

The programs we measured were drawn from a wide-variety of application areas and were written in C and C++. Table 1 summarizes the programs the we measured. Table 2 illustrates the allocation behavior of the programs measured. In these and all subsequent tables, the programs are ordered by approximate size in lines of source code.

Many of the programs measured are publicly available, while others are proprietary. To allow other researchers to reproduce our results, we have made these specific versions of a number of our test programs available on the Internet. The programs PERL, GHOST, MAKE, ESPRESSO, PTC, GAWK, and CFRAC are available via anonymous FTP from the machine ftp.cs.colorado.edu in the directory pub/cs/misc/malloc-benchmarks. A README file in that directory describes how these benchmarks were used to gather the statistics presented. Furthermore, each benchmark includes a number of test inputs, including the ones used in this paper. These programs have been used in a variety of dynamic storage allocation studies (e.g., References 8, 9, and 15).

3 Allocators

The allocators we measured are summarized in Table 3. Other recent papers comparing the performance of various aspects of dynamic storage allocation also describe these algorithms, and we refer the interested reader to these papers. Specifically, G++, GNU', and QF all described in more detail by Grunwald *et al* [9]. The Berkeley Unix 4.2 BSD allocator, of which ULTRIX is a derivative, is also described in that paper. The BW 2.6+MS,IP allocator is described in detail by Boehm and Weiser [2] and summarized by Zorn [15]. Black-listing, an enhancement present in Version 2.6 of the collector, is also described by Boehm [3].

4 Results

The results presented were gathered using a variety of measurement tools on a DECstation 5000/240 with 112 megabytes of memory. Instruction counts were all gathered by instrumenting the programs with Larus' QPT tool [1, 12], which presents per-procedure instuction counts with an output format similar to that of gprof [7]. Program execution time was measured using the Unix C-shell built-in time command. The measurement of each program's live data was gathered using a modified version of malloc/free, and allocator maximum heap sizes were measured using a modified version of the Unix sbrk system call.

In all of the tables presented, we indicate both the absolute performance of each allocator and also the relative performance of each allocator compared to the ULTRIX allocator. The ULTRIX allocator was chosen as the baseline for comparison because it is a commercially-implemented allocator distributed with a widely-used operating system.

The measurements we present concern the CPU overhead (in terms of total execution time and time spent in allocation routines) and memory usage of the various combinations of allocators and programs. Tables 4 and 5 show how many instructions, on average, each program/allocator required to perform the malloc and free operations, respectively. These two tables should be used only for comparing the explicit malloc/free implementations, as substantial allocation overhead in the BW 2.6+MS,IP sometimes occurs

Program	Lang.	Description
SIS	C	SIS, Release 1.1, is a tool for synthesis of synchronous and asynchronous circuits. It includes a number of capabilities such as state minimization and optimization. The input used in the run was (seq/mcnc91/fsm_blif). was the full simplification of an exclusive-lazy-and circuit. !!
GEODESY	C++	Geodesy, version 1.2, is a programming language debugger. The input to this program is a C++ compiler front end. The operations performed include setting breakpoints, examining the stack, and continuing.
ILD	C++	Ild, version 1.2, is an incremental loader. The input to the program involved incrementally loading 16 saved versions of a set of 26 object files.
PERL	C	Perl 4.10, is a publicly-available report extraction and printing language commonly used on UNIX systems. The input scripts sorted the contents of a file and formated the words in a dictionary into filled paragraphs.
XFIG	C	Xfig, version 2.1.1, is an interactive drawing program. The test case used included the creation of a large number of circles and splines that were duplicated, resized, and reshaped.
GHOST	C	GhostScript, version 2.1, is a publicly available interpreter for the PostScript page-description language. The input files were a variety of small and large files, including a 126-page user manual. This execution of GhostScript did not run as an interactive application as it is often used, but instead was executed with the NODISPLAY option that simply forces the interpretation of the Postscript(without displaying the results).
MAKE	C	GNU make, version 3.62 is a version of the common 'make' utility used on UNIX. The input set was the makefile of another large application.
ESPRESSO	C	Espresso, version 2.3, is a logic optimization program. The input file is an example provided with the release code.
PTC	C	PTC, version 2.3, is a Pascal to C translator. The input file was a 19,500 line Pascal program (mf2psv.p) that is part of the TeX release.
GAWK	C	GNU Awk, version 2.11, is a publicly available interpreter for the AWK report and extraction language. The input script formated words in a dictionary.
CFRAC	C	A program to factor large integers using the continued fraction method. The inputs are products of two large primes.

Table 1: General information about the test programs.

Program	Lines of Source	Instr. Exec. ($\times 10^6$)	Total Objects ($\times 10^3$)	Total Bytes ($\times 10^3$)	Average Size (bytes)	Max. Objects ($\times 10^3$)	Max. Bytes ($\times 10^3$)	Allocation Rate (Kbytes/sec)
Sis	172000	64794.1	63395	15797173	249.2	48.5	1932.2	4120.8
Geodesy	82500	2648.1	2517	42152	16.7	113.8	3880.6	324.3
Ild	36000	381.3	33	24829	752.4	2.1	1278.7	220.3
Perl	34500	1091.0	1604	34089	21.3	2.3	116.4	714.2
Xfig	30500	52.4	25	1852	72.7	19.8	1129.3	372.1
Ghost	29500	1196.5	924	89782	97.2	26.5	2129.0	1861.7
Make	21000	53.7	23	539	23.0	10.4	208.1	282.5
Espresso	15500	2400.0	1675	107062	63.9	4.4	280.1	1497.1
Ptc	9500	353.9	103	2386	23.2	102.7	2385.8	202.4
Gawk	8500	957.3	1704	67559	39.6	1.6	41.0	2050.1
Cfrac	6000	202.5	522	8001	15.3	1.5	21.4	1145.9

Table 2: Performance information about the memory allocation behavior for each of the test programs. Instr. Exec. shows the total instructions executed by the program using the Ultrix allocator. Total Bytes and Total Objects refer to the total bytes and objects allocated by each program. Average Size shows the average size of the objects allocated. Maximum Bytes and Maximum Objects show the maximum number of bytes and objects, respectively, that were allocated by each program at any one time.

in the realloc routine, which is not presented. Also note the BW 2.6+ms,ip allocator requires only two instructions per free because we have intentionally caused frees for this allocator to have no effect. In fact, the Beohm-Weiser collector does support explicit programmer frees, but we disabled them to observe the performance of the collection algorithm.

Table 6 shows the average number of instructions per object allocated that each program/allocator spent doing storage allocation. This table shows the total instructions in malloc, free, realloc, and any related routines, divided by the total number of objects allocated. This table should be used to compare the per-object overhead of all the allocators, including BW 2.6+ms,ip.

Each program spends a certain number of instructions outside storage allocation routines doing program-specific work. This number, the "Application Instructions," remains constant across all the allocators used. Let us call the instructions spend doing storage allocation the "Allocation Instructions." Table 7 shows what fraction the Allocation Instructions are of the Application instructions. This percentage provides a good measure for comparing the relative CPU overhead of the different allocators. As is clear from the table, the Ultrix and G++ algorithms have the best performance while the BW 2.6+ms,ip collector has the worst performance overall.

Table 8 shows the absolute and relative execution times of the different program/allocator combinations. This data was collected from a single run of each program/allocator and thus some variation in execution time, that has not been measured, would be expected. However, our experience with recollecting these results indicates that the variation observed between different runs with the same input is not a significant fraction of the total execution time.

Table 9 shows the maximum size of the heap for each program/allocator, as measured by calls to the Unix operating system sbrk system call. To measure this value, an instrumented version of sbrk that maintained a high-water mark was used. As is clear from the table, Gnu', G++, and Qf are all quite space efficient, while Ultrix and especially BW 2.6+ms,ip require more space.

Finally, Table 10 shows maximum amount of fragmentation that occurred in each program/allocator combination. In this case, fragmentation was measured as the ratio between the maximum heap size (as shown in Table 9) and the maximum bytes that were alive in each program at any time (shown in Table 2).

ULTRIX	ULTRIX is a variant of the malloc implementation, written by Chris Kingsley, supplied with the Berkeley 4.2 Unix release. It is not publicly-available, but comes with the DEC Ultrix operating system.
BW 2.6+MS,IP	This is version 2.6 of the Boehm-Demers-Weiser conservative garbage collector. Boehm *et al* decribed a number of related versions of this collector [2, 4, 3]. For the measurements collected, the definitions of MERGE_SIZES (Ms) and ALL_INTERIOR_POINTERS (IP) were enabled. The most recent version of the collector is version 3.2. Contact Person: Hans Boehm (`Hans_Boehm.PARC@xerox.com`) FTP Site: `anonymous@arisia.xerox.com:/pub/russell/gc.tar.Z`
GNU$'$	GNU$'$ is variant hybrid first-fit/segregated algorithm written by Mike Haertel (version dated 930716). It is an ancestor/sibling of the malloc used in GNU libc, but is smaller and faster than the GNU version. Contact person: Mike Haertel (`mike@cs.uoregon.edu`) FTP Site: `anonymous@ftp.cs.uoregon.edu:pub/mike/malloc.tar.z`
G++	G++ is an enhancement of the first-fit roving pointer algorithm using bins of different sizes. It is distributed with the GNU C++ library, libg++ (through version 2.4.5) and also available separately. Contact Person : Doug Lea (`dl@oswego.edu`) FTP Site: `anonymous@g.oswego.edu:/pub/misc/malloc.c`
QF	QF is an implementation of Weinstock and Wulf's fast segregated-storage algorithm based on an array of freelists[14, 13]. Like the GNU$'$ algorithm, QF is a hybrid algorithm that allocates small and large objects in different ways. Large objects are handled by a general algorithm (in this case, G++). Contact Person: Dirk Grunwald (`grunwald@cs.colorado.edu`) FTP Site: `anonymous@ftp.cs.colorado.edu:pub/cs/misc/qf.c`

Table 3: General information about the allocators. All the allocators except BW 2.6+MS,IP are described in more detail in [9].

Program	Ultrix (instr/malloc)		BW 2.6+ms,ip (instr/malloc)		Gnu' (instr/malloc)		G++ (instr/malloc)		Qf (instr/malloc)	
	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat
Sis	60	1.00	489	8.15	101	1.68	84	1.40	192	3.20
Geodesy	46	1.00	179	3.89	81	1.76	53	1.15	29	0.63
Ild	57	1.00	3544	62.18	92	1.61	99	1.74	76	1.33
Perl	46	1.00	207	4.50	82	1.78	44	0.96	37	0.80
Xfig	59	1.00	543	9.20	105	1.78	62	1.05	89	1.51
Ghost	56	1.00	614	10.96	101	1.80	71	1.27	74	1.32
Make	48	1.00	296	6.17	91	1.90	74	1.54	43	0.90
Espresso	50	1.00	208	4.16	90	1.80	77	1.54	40	0.80
Ptc	55	1.00	456	8.29	101	1.84	66	1.20	47	0.85
Gawk	49	1.00	83	1.69	84	1.71	54	1.10	39	0.80
Cfrac	47	1.00	106	2.26	86	1.83	30	0.64	33	0.70
Average	52	1.00	611	11.04	92	1.77	65	1.24	64	1.17

Table 4: Absolute and Relative Instructions per Call to Malloc. Relat is relative to Ultrix = 1.

Program	Ultrix (instr/free)		BW 2.6+ms,ip (instr/free)		Gnu' (instr/free)		G++ (instr/free)		Qf (instr/free)	
	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat
Sis	18	1.00	2	0.11	71	3.94	8	0.44	53	2.94
Geodesy	18	1.00	2	0.11	66	3.67	8	0.44	25	1.39
Ild	18	1.00	2	0.11	74	4.11	8	0.44	42	2.33
Perl	18	1.00	2	0.11	82	4.56	8	0.44	31	1.72
Xfig	18	1.00	2	0.11	71	3.94	8	0.44	39	2.17
Ghost	18	1.00	2	0.11	88	4.89	8	0.44	56	3.11
Make	18	1.00	2	0.11	83	4.61	8	0.44	28	1.56
Espresso	18	1.00	2	0.11	84	4.67	8	0.44	32	1.78
Ptc	18	1.00	2	0.11	113	6.28	8	0.44	90	5.00
Gawk	18	1.00	2	0.11	81	4.50	8	0.44	32	1.78
Cfrac	18	1.00	2	0.11	83	4.61	8	0.44	26	1.44
Average	18	1.00	2	0.11	81	4.53	8	0.44	41	2.29

Table 5: Absolute and Relative Instructions per Call to Free. Relat is relative to Ultrix = 1.

Program	Ultrix (instr/object)		BW 2.6+ms,ip (instr/object)		Gnu' (instr/object)		G++ (instr/object)		Qf (instr/object)	
	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat
Sis	78	1.00	655	8.40	172	2.21	92	1.18	246	3.15
Geodesy	63	1.00	194	3.08	144	2.29	61	0.97	53	0.84
Ild	74	1.00	3806	51.43	161	2.18	107	1.45	115	1.55
Perl	64	1.00	229	3.58	163	2.55	52	0.81	68	1.06
Xfig	63	1.00	671	10.65	119	1.89	64	1.02	97	1.54
Ghost	73	1.00	670	9.18	187	2.56	79	1.08	129	1.77
Make	58	1.00	319	5.50	137	2.36	78	1.34	59	1.02
Espresso	68	1.00	318	4.68	174	2.56	85	1.25	72	1.06
Ptc	55	1.00	485	8.82	101	1.84	66	1.20	47	0.85
Gawk	67	1.00	349	5.21	165	2.46	62	0.93	71	1.06
Cfrac	65	1.00	110	1.69	169	2.60	38	0.58	59	0.91
Average	66	1.00	710	10.20	154	2.32	71	1.07	92	1.35

Table 6: Absolute and Relative Instructions per Object Allocated. Relat is relative to Ultrix = 1.

Program	Ultrix (% exec. time)		BW 2.6+ms,ip (% exec. time)		Gnu' (% exec. time)		G++ (% exec. time)		Qf (% exec. time)	
	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat
Sis	8.2	1.00	69.3	8.45	18.2	2.22	9.8	1.20	26.0	3.17
Geodesy	6.4	1.00	19.6	3.06	14.5	2.27	6.1	0.95	5.3	0.83
Ild	0.6	1.00	37.1	61.83	1.6	2.67	0.9	1.50	0.9	1.50
Perl	9.4	1.00	33.7	3.59	26.1	2.78	7.5	0.80	10.8	1.15
Xfig	3.2	1.00	34.5	10.78	6.6	2.06	3.5	1.09	4.9	1.53
Ghost	6.0	1.00	54.8	9.13	15.3	2.55	6.5	1.08	10.5	1.75
Make	2.6	1.00	14.3	5.50	6.2	2.38	3.5	1.35	2.6	1.00
Espresso	5.0	1.00	23.2	4.64	12.8	2.56	6.2	1.24	5.3	1.06
Ptc	1.6	1.00	14.3	8.94	3.0	1.88	1.9	1.19	1.4	0.87
Gawk	13.6	1.00	65.5	4.82	33.6	2.47	11.9	0.88	14.5	1.07
Cfrac	20.1	1.00	34.1	1.70	52.3	2.60	11.7	0.58	18.3	0.91
Average	6.97	1.00	36.40	11.13	17.29	2.40	6.32	1.08	9.14	1.35

Table 7: Percent Storage Allocation Instr/Application Instr. Relat is relative to Ultrix = 1.

Program	Ultrix (seconds)		BW 2.6+ms,ip (seconds)		Gnu' (seconds)		G++ (seconds)		Qf (seconds)	
	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat
Sis	3833.5	1.00	5867.3	1.53	3932.0	1.03	3692.1	0.96	4581.2	1.20
Geodesy	516.1	1.00	520.8	1.01	518.2	1.00	504.3	0.98	494.1	0.96
Ild	74.2	1.00	75.6	1.02	76.1	1.03	75.3	1.01	75.9	1.02
Perl	47.7	1.00	60.3	1.26	50.1	1.05	49.8	1.04	44.3	0.93
Xfig	7.0	1.00	6.7	0.96	7.1	1.02	7.3	1.05	7.3	1.04
Ghost	48.2	1.00	69.8	1.45	57.0	1.18	48.2	1.00	50.2	1.04
Make	1.9	1.00	2.1	1.11	2.0	1.03	1.8	0.92	2.0	1.07
Espresso	71.5	1.00	82.1	1.15	74.0	1.03	72.6	1.02	72.5	1.01
Ptc	11.8	1.00	13.3	1.13	12.3	1.04	13.2	1.12	11.9	1.01
Gawk	33.0	1.00	51.9	1.57	32.1	0.98	31.2	0.95	27.6	0.84
Cfrac	7.3	1.00	8.3	1.13	8.7	1.19	6.6	0.90	7.0	0.95
Average	423	1.00	614	1.21	434	1.05	409	1.00	489	1.01

Table 8: Total Program Execution Time. Relat is relative to Ultrix = 1.

Program	Ultrix (Kbytes)		BW 2.6+ms,ip (Kbytes)		Gnu' (Kbytes)		G++ (Kbytes)		Qf (Kbytes)	
	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat
Sis	3387	1.00	7532	2.22	2363	0.70	2776	0.82	8608	2.54
Geodesy	6487	1.00	7348	1.13	4595	0.71	4928	0.76	4864	0.75
Ild	1800	1.00	3572	1.98	1392	0.77	1520	0.84	1456	0.81
Perl	226	1.00	616	2.73	162	0.72	144	0.64	144	0.64
Xfig	1784	1.00	2436	1.37	1582	0.89	1552	0.87	1576	0.88
Ghost	3541	1.00	5268	1.49	2837	0.80	2632	0.74	2408	0.68
Make	390	1.00	584	1.50	306	0.78	328	0.84	336	0.86
Espresso	792	1.00	1188	1.50	340	0.43	320	0.40	408	0.52
Ptc	3438	1.00	3448	1.00	3414	0.99	3360	0.98	3144	0.91
Gawk	79	1.00	352	4.43	83	1.05	64	0.81	64	0.81
Cfrac	64	1.00	504	7.87	64	1.00	48	0.75	64	1.00
Average	1999	1.00	2986	2.48	1558	0.80	1607	0.77	2097	0.95

Table 9: Maximum Heap Size. Relat is relative to Ultrix = 1.

Program	Ultrix Frag.		BW 2.6+ms,ip Frag.		Gnu' Frag.		G++ Frag.		Qf Frag.	
	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat	Absol	Relat
Sis	1.79	1.00	3.99	2.22	1.25	0.70	1.47	0.82	4.56	2.54
Geodesy	1.71	1.00	1.94	1.13	1.21	0.71	1.30	0.76	1.28	0.75
Ild	1.44	1.00	2.86	1.98	1.11	0.77	1.22	0.84	1.17	0.81
Perl	1.99	1.00	5.42	2.73	1.42	0.72	1.27	0.64	1.27	0.64
Xfig	1.62	1.00	2.21	1.37	1.43	0.89	1.41	0.87	1.43	0.88
Ghost	1.70	1.00	2.53	1.49	1.36	0.80	1.27	0.74	1.16	0.68
Make	1.92	1.00	2.87	1.50	1.51	0.78	1.61	0.84	1.65	0.86
Espresso	2.90	1.00	4.34	1.50	1.24	0.43	1.17	0.40	1.49	0.52
Ptc	1.48	1.00	1.48	1.00	1.47	0.99	1.44	0.98	1.35	0.91
Gawk	1.98	1.00	8.80	4.43	2.08	1.05	1.60	0.81	1.60	0.81
Cfrac	3.06	1.00	24.09	7.87	3.06	1.00	2.29	0.75	3.06	1.00
Average	1.96	1.00	5.50	2.48	1.56	0.80	1.46	0.77	1.82	0.95

Table 10: Heap Expansion due to Fragmentation. Relat is relative to Ultrix = 1.

5 Summary

This paper presents detailed measurements of the cost of dynamic storage allocation (DSA) in 11 diverse C and C++ programs using five very different dynamic storage allocation implementations, including a conservative garbage collection algorithm. The measurements include the CPU overhead of storage allocation and the memory usage of the different allocators. Four of the five DSA implementations measured are publicly-available on the Internet and we provide Internet sites and the contact persons who are responsible for those implementations. Likewise, seven of the eleven programs measured are also available on the Internet, and we provide their location as well. It is our hope that when other researchers implement new algorithms, they will use the programs, allocators, and techniques used in this paper to provide comparable measurements of the new algorithm. We see these programs and allocators not as the final word in allocator benchmarking, but as a first small step along the way.

The data presented in the paper are a subset of data available in a textual form on the Internet. The data are available via anonymous FTP from the machine `ftp.cs.colorado.edu` in the file `pub/cs/misc/malloc-benchmarks/SIGPLAN-MEASUREMENTS.txt`. Further results will be added to this file as they become available. Please feel free to use this data but we would appreciate your sending one of us e-mail (zorn@cs.colorado.edu) indicating that you intend to use the data and how you intend to use it.

6 Acknowledgements

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