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COLORADO JUDICIAL BRANCH

1997 BUDGET REQUEST



Anthony F. Vollack, Chief Justice

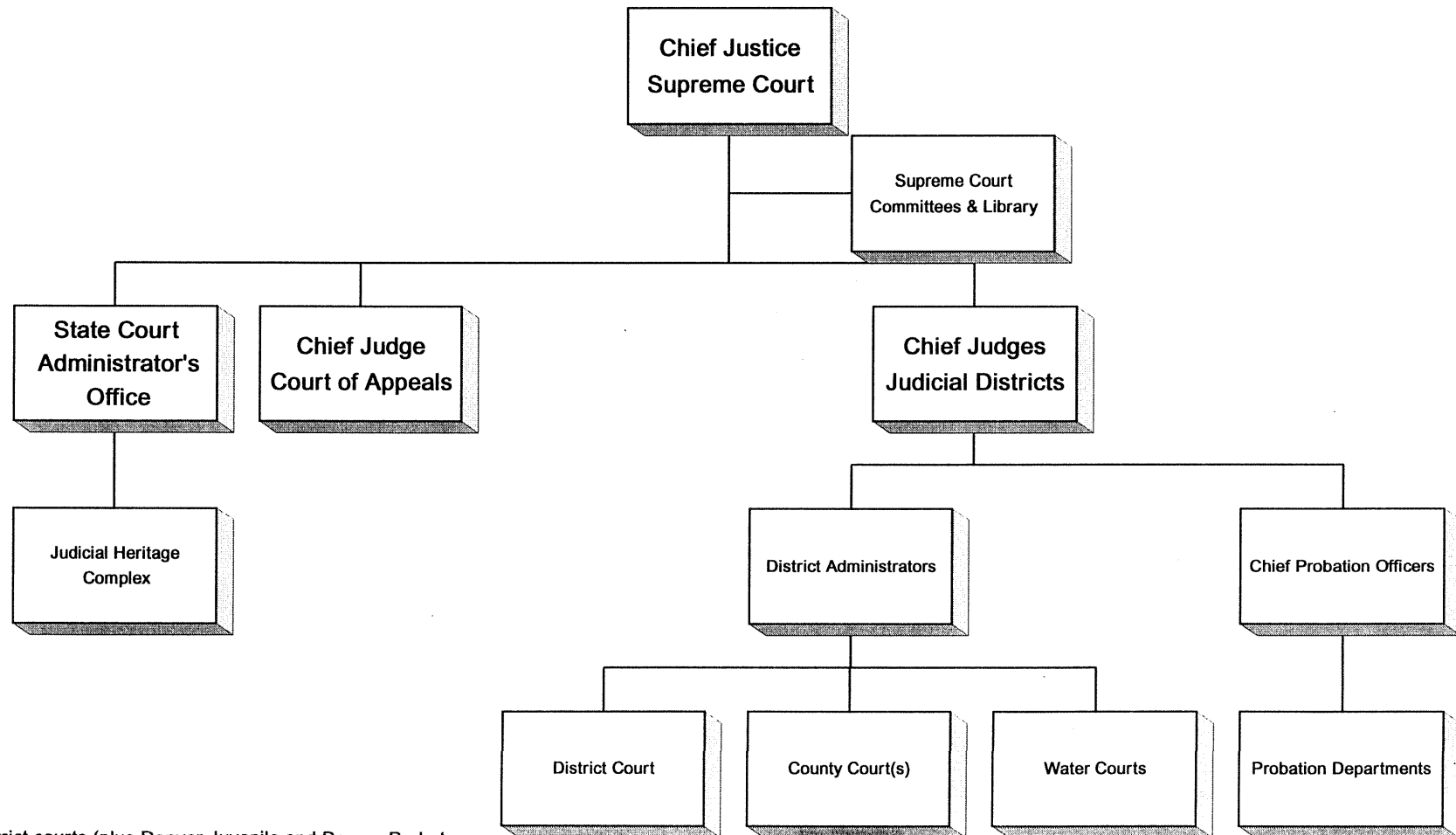
JUDICIAL BRANCH BUDGET FISCAL YEAR 1997

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SUMMARY

Colorado Judicial Branch Organizational Chart



Note:

There are 22 district courts (plus Denver Juvenile and Denver Probate Courts), 63 county courts, seven water courts, and 23 probation departments. In addition to the State funded courts and probation departments, there are municipal courts throughout the State and the Denver County Court. These are created and maintained by local governments, but are subject to Supreme Court rules and procedures.

BRANCH: JUDICIAL
PROGRAM: SUMMARY

SUMMARY NARRATIVE

A. Role.

The Judicial Branch is responsible for the administration of the State's appellate courts, trial courts, and probation departments. The Branch budget includes requests for the courts, probation departments, State Court Administrator's Office, Judicial/Heritage Complex, and special programs.

B. Branch Description.

1. Appellate Courts. The Supreme Court and Court of Appeals comprise the appellate courts. The Supreme Court, consisting of seven justices serving ten-year terms, is Colorado's highest court, the court of last resort. Pursuant to Article VI, Section 5(2) of the Colorado Constitution, the Chief Justice of the Supreme Court is the executive head of the judicial system. This Court has both appellate and original jurisdiction.

The Court of Appeals is comprised of sixteen judges serving eight-year terms. The Court sits in three-member divisions to decide its cases. The Chief Judge, appointed by the Chief Justice of the Supreme Court, assigns judges to the divisions and rotates their assignments. Established under Section 1 of Article VI of the Colorado Constitution and Section 13-4-101, et seq., C.R.S., the Colorado Court of Appeals has initial jurisdiction, with exceptions, over appeals from the Colorado District Courts, the Denver Probate Court, the Denver Juvenile Court, and 26 state agencies. Reviews of the Court of Appeals' decisions are directed to the Colorado Supreme Court.

2. State Court Administrator's Office (SCAO). The State Court Administrator's Office is the central administrative agency for the courts and probation departments. The SCAO consists of five divisions: Court Services, Integrated Information Services, Financial Services, Human Resources, and Planning and Analysis. The SCAO provides financial, automation, and personnel services for courts and probation departments throughout the State.
3. Special Programs. The Special Purpose section of the budget includes activities conducted throughout the Branch. There are three major categories of Special Purpose programs: Benefits (including HLD, STD, Salary Survey, and Anniversary - all known as POTS), Administrative Purposes, and Other Administrative Programs. Many of the programs are required by Constitution or statute.

4. Judicial/Heritage Complex. The Branch is responsible for supervising and maintaining the Judicial/Heritage Complex. This Complex consists of two major buildings on one city block, a parking lot adjacent to the Centennial Building, and the steam and electric lines from the state power plant.
5. Trial Courts. The trial courts consist of county courts, district courts, and water courts. Each of Colorado's 63 counties has a county court with one or more judges. These courts handle traffic cases and minor criminal matters as well as civil actions involving no more than \$10,000. In addition, there are district courts in each of the 22 judicial districts plus a juvenile and a probate court in Denver. District courts have jurisdiction over divorces, civil claims, juvenile matters, estates, mental health, and criminal cases. Finally, there are seven water courts, one in each of the major river basins. These courts have jurisdiction over water rights and uses.
6. Probation Departments. Colorado has a probation department in each of its 22 judicial districts. Probation departments are responsible for supervising adult and juvenile offenders and for providing pre-sentence information to the courts. Supervision is conducted in accordance with conditions imposed by the courts. A breach of any imposed condition may result in revocation/modification of probation or institutionalization of the offender.

C. Trends and Projections.

The Judicial Branch is under increasing pressure as the number of court and probation cases grow.

1. Between 1985 and 1995, the annual caseload (new cases filed) in county and district courts has increased from 430,234 cases to 564,079 cases, a 31 percent increase. During the same time period, court staff increased only 8 percent, from 1,213.5 to 1,310.7.
2. In 1985, there was one FTE for every 355 cases. In 1995, the ratio was one FTE for every 430 cases, a 21 percent workload increase.
3. In five years, misdemeanor cases have increased 63 percent, from 39,803 to 65,026. The increase is primarily the result of an increase in domestic violence cases.
4. Over a ten-year period, the adult probation caseload has increased 72 percent and the juvenile caseload has grown by 87 percent. During the same time period, staff increased by only 43 percent.

5. In response to the need to reduce prison beds, the Adult Intensive Supervision Program (ISP) has been expanded three times (1987, 1988, and 1995). The most recent expansion is projected to result in a 280-bed reduction in prison bed need.
6. The demand for access to judicial data by law enforcement and other agencies will continue to increase. In the future, the justice system will be managed, in part, through immediate (on the scene) access to data.

BRANCH: JUDICIAL
PROGRAM: SUMMARY

SCHEDULE 2

PROGRAM	ACTUAL FY 1994		ACTUAL FY 1995		ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
SUPREME COURT								
General Fund	1,913,536	38.0	1,975,532	38.0	2,060,697	38.0	2,161,989	40.0
Cash Funds	2,661,104	41.2	2,865,301	43.7	2,914,812	43.7	3,248,178	44.7
Cash Funds Exempt			68,477		500,366		403,230	
Total Supreme Court	4,574,640	79.2	4,909,310	81.7	5,475,875	81.7	5,813,397	84.7
COURT OF APPEALS								
General Fund	3,970,524	79.0	4,141,578	79.0	4,380,941	79.0	4,488,800	80.0
Cash Funds	1,425		1,425		1,425		1,425	
Total Court of Appeals	3,971,949	79.0	4,143,003	79.0	4,382,366	79.0	4,490,225	80.0
ADMINISTRATION								
General Fund	2,520,621	37.7	2,552,586	37.7	2,726,896	37.7	3,589,413	38.7
Total Administration	2,520,621	37.7	2,552,586	37.7	2,726,896	37.7	3,589,413	38.7
SPECIAL PURPOSE *								
General Fund	6,100,395	2.3	6,383,574	2.3	6,633,640	2.5	8,973,247	6.5
Cash Funds	549,311	4.3	472,637	4.3	599,647	4.3	698,203	4.3
Cash Funds Exempt	151,278		15,400		150,496		289,368	
Total Special Purpose	6,800,984	6.6	6,871,611	6.6	7,383,783	6.8	9,960,818	10.8
JUDICIAL / HERITAGE								
General Fund	383,706	3.0	406,678	3.0	483,218	3.0	495,154	3.0
Cash Funds	2,342		150		4,110		4,110	
Total Judicial / Heritage	386,048	3.0	406,828	3.0	487,328	3.0	499,264	3.0
INFORMATION SERVICES								
General Fund	5,809,028	19.0	6,313,945	21.0	6,360,387	21.0	5,690,469	21.0
Cash Funds			14,129		15,000		15,000	
Total Information Services	5,809,028	19.0	6,328,074	21.0	6,375,387	21.0	5,705,469	21.0
TRIAL COURTS								
General Fund	67,922,269	1,297.1	71,840,169	1,310.3	74,809,629	1,344.5	78,763,696	1,371.5
Cash Funds	11,567,014	21.3	13,760,213	21.3	13,490,472	21.3	16,252,597	21.3
Cash Funds Exempt					35,272	0.5	35,272	0.5
Federal Funds					81,158		162,316	
Total Trial Courts	79,489,283	1,318.4	85,600,382	1,331.6	88,416,531	1,366.3	95,213,881	1,393.3

BRANCH: JUDICIAL
PROGRAM: SUMMARY

SCHEDULE 2

PROGRAM	ACTUAL FY 1994		ACTUAL FY 1995		ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
PROBATION								
General Fund	21,829,859	510.6	24,405,444	525.3	27,772,210	560.7	28,959,361	588.5
Cash Funds	474,881	4.0	834,559	12.0	1,337,107	8.0	1,578,763	8.0
Cash Funds Exempt	2,642,106		2,735,792		2,988,254		3,941,168	19.5
Total Probation	24,946,846	514.6	27,975,795	537.3	32,097,571	568.7	34,479,292	616.0
JUDICIAL BRANCH								
GRAND TOTAL								
General Fund	110,449,938	1,986.7	118,019,506	2,016.6	125,227,618	2,086.4	133,122,129	2,149.2
Cash Funds	15,256,077	70.8	17,948,414	81.3	18,362,573	77.3	21,798,276	78.3
Cash Funds Exempt	2,793,384	0.0	2,819,669	0.0	3,674,388	0.5	4,669,038	20.0
Federal Funds	0	0.0	0	0.0	81,158	0.0	162,316	0.0
Total Judicial Branch	128,499,399	2,057.5	138,787,589	2,097.9	147,345,737	2,164.2	159,751,759	2,247.5

* Salary Survey and Anniversary expenditure totals are not included within the Special Purpose "Actual" and "Estimate" Year columns since these expenditures are also within each program's total expenditures.

SCHEDULE 2E -DECISION ITEM PRIORITIES

PRIORITY NUMBER	DECISION ITEM	AMOUNT	FUNDING SOURCE	LONG BILL	PAGE NUMBER
1.	Trial Court Staff	\$1,370,821	General	Trial Courts	124
2.	Probation Staff	\$877,690	General	Probation	165
3.	Title IV/Juvenile ISP	\$267,868	Federal	Probation	170
4.	Appellate Staff	\$105,379	General	Supreme Court Court of Appeals	11 25
5.	Human Resource Analyst	\$51,745	General	Administration	37
6	Training	\$119,210	General	Special Purpose	58
7.	Judicial Performance	\$77,628	General	Special Purpose	62
8.	Option 8	\$184,298 \$306,648 \$52,653	General General Cash	Trial Courts Probation Probation	129 172
9.	ODR Pilots/Director	\$172,958	General	Special Purpose	65
10.	Cost Recoveries	\$107,800	General	Trial Courts	133
11.	Courthouse Furnishings	\$1,306,349	General	Administration	40
12.	Foster Care Grant	\$40,578 \$162,316	General Federal	Trial Courts	135
13.	Appellate Court Security	\$90,000	General	Judicial/Heritage	87
14.	Sex Offender Assessment	\$315,000	Cash	Probation	176
15.	IIS Funding	\$(645,786)	General	Integrated Information Svc.	98
	Total	\$4,963,155			

SUPREME COURT

BRANCH: JUDICIAL
PROGRAM: SUPREME COURT

I. PROGRAM IDENTIFICATION

- | | | |
|----|---------------------------------------|---------------------|
| A. | <u>Title.</u> | Supreme Court |
| B. | <u>Division.</u> | Appellate |
| C. | <u>Branch.</u> | Judicial |
| D. | <u>Program Administrator.</u> | Chief Justice |
| E. | <u>Organization Chart.</u> | See Summary Section |
| F. | <u>Description and Authorization.</u> | |

The Supreme Court, comprised of seven justices serving ten-year terms, is Colorado's highest court, the court of last resort. Pursuant to Article VI, Section 5(2) of the Colorado Constitution, the Chief Justice of the Supreme Court is the executive head of the judicial system.

The Court has both appellate and original jurisdiction. It has discretionary *certiorari* review of certain district or Court of Appeals' decisions. The Court has direct appellate jurisdiction in the following areas: cases in which the constitutionality of a statute, a municipal charter provision, or an ordinance is in question; writs of *habeas corpus*; adjudication of water rights; summary proceedings initiated under the Election Code; prosecutorial appeals concerning search and seizure issues in criminal proceedings; decisions involving the Public Utilities Commission; and cases involving the imposition of a death sentence.

The Supreme Court also oversees the following four committees which were established under the authority of the Constitution and the Colorado Rules of Civil Procedure.

1. Grievance Committee - established to investigate complaints against lawyers. This committee makes recommendations to the Supreme Court concerning appropriate discipline for attorneys found guilty of ethical or legal wrongdoing.
2. Continuing Legal Education Committee - established to oversee continuing legal education requirements for attorneys.
3. Law Examiner Board - established to administer the bar examination.

4. Supreme Court Law Library - established pursuant to statute to provide the public and legal community with a legal research facility.

The committees and library are included in the budget for informational purposes as they are continuously appropriated by a permanent statute or constitutional provision.

II. PROGRAM GOALS AND OBJECTIVES

There were 1,358 cases filed with the Supreme Court in Fiscal Year 1995. Despite the large number of filings, the Court was able to keep the number of pending cases below 500. This achievement was the result of the Court disposing of 1,316 cases.

- A. Goal. To continue the high rate of terminations while maintaining the high quality of the Court's opinions.
- B. Objective. The Court would like to decrease the number of pending cases at the close of the fiscal year by terminating more cases than the number filed.
- C. Performance Measures.

WORKLOAD	FY 1992	FY 1993	FY 1994	FY 1995	FY 1996
Pending July 1	443	470	460	447	489
New Filings	1,313	1,251	1,277	1,358	1,370*
Caseload	1,756	1,721	1,737	1,805	1,859*
Terminations	1,286	1,261	1,290	1,316	1,370*

* Projections

- D. Other Programs. The Court has continued its education efforts toward improving the public's understanding of the roles and responsibilities of the judiciary by hearing oral arguments in Adams City and Lamar during Fiscal Year 1995.

The Court adopted a new set of procedural rules for domestic relations cases which include general principles of disclosure and case management. These rules should encourage settlement and promote fairness.

III. SUPPORT FOR BUDGET REQUEST

- A. Personal Services. The total request for personal services is \$2,119,833. Incorporated into this request are continuation funding for existing positions, Judge Salary Bill (HB 95-1356) increases, and a decision item for two new FTE - one for the Clerk's Office and one for the Supreme Court Library.
- B. Operating Expense. The total request for operating expense is \$79,334. This amount includes the inflation factors allowed in the budget instructions, anticipated expenditures which will be cash funded by recovery moneys, and a decision item request for additional operating and capital funds associated with the proposed positions.
- C. Committees and Library. The total request for the committees and the library is \$3,614,230. This is an increase of \$236,230 over the Fiscal Year 1996 Long Bill. This data is included in the budget request for informational purposes only.

IV. CONTINUATION BUDGET ASSUMPTIONS / CALCULATIONS

SUPREME COURT

ITEM	AMOUNT
FY 1996 Long Bill Appropriation	\$5,417,514
Annualized Salary Survey	20,265
Annualized Anniversary	8,700
Judge Salary Bill (HB 95 - 1356)	56,691
Operating Inflation	14
Committees and Library Increase	236,230
Decision Item Number 4	73,983
FY 1997 Total Request	\$5,813,397

SCHEDULE 2D - DECISION ITEM

Branch Priority 4

<u>DECISION ITEM TITLE</u>	Appellate Staff (3 FTE)
<u>PROGRAM ASSIGNMENT</u>	Appellate Courts
<u>STATUTORY AUTHORITY</u>	Article VI Sections 1 and 2, Colorado Constitution Section 13-4-111, C.R.S.

DESCRIPTION

Need:

This decision item requests funding for the following:

Supreme Court: The Supreme Court needs an FTE in the Clerk's Office and an FTE in the library. The Clerk's Office has been understaffed for a number of years. Currently, the Supreme Court has only three case processing staff in the Clerk's Office. No additional support staff has been added to this office in over a decade. During this period of time, filings and terminations have increased dramatically. The Supreme Court has experienced a 40.4 percent increase in the number of annual filings over the ten-year period from 1985 (967 filings) through 1995 (1,358), and a 30.1 percent increase in the number of annual terminations (1,011 to 1,316) during the same period. Also, during this time period, several additional responsibilities have been added to the office. The Clerk's Office now manages the state's twenty-three judicial nominating commissions to ensure compliance with constitutional requirements and to act as a liaison between the Court, the Governor's Office, the Attorney General, and the commissions. Additionally, the Clerk of Court now pre-screens all petitions for certiorari and identifies those that appear to present issues that can be evaluated without further research.

The weighted caseload model indicates that appellate staff can handle anywhere from 185 to 235 cases per year, depending upon the mix of cases. Assuming that staff can process the higher figure of 235 cases per year, there should be 5.7 case processing clerks. Under the most conservative scenario, the Supreme Court Clerk's Office is understaffed by 2.7 FTE, which equates to a ninety percent shortfall in case processing staff. This request is for one FTE only.

Additionally, there is a need for a librarian in the Supreme Court Library. The Library needs additional staff in order to automate its holdings. The Library is one of the few libraries in the state that does not have an automated card catalogue. Therefore, it is unable to join "Access Colorado Library and Information Network" (ACLIN), the on-line library system partially funded by the state. There are over 160 libraries on ACLIN. As

the primary state law library, the catalog should be electronically available. On-line access to any library's holdings is standard practice. Without on-line access, the Library's extensive paper collection is virtually unavailable to the State's trial courts and public users.

Additional staff would be able to evaluate hardware/software needs and convert current records. An on-line catalog could be in place by June 1997 if additional staff is authorized. Once the catalog is up and running, the staff would be used to provide technical assistance to the thousands of library users who now have no technical on-line research assistance.

Court of Appeals: The Court of Appeals needs an additional Court Clerk III. Over the last ten years, the Court's workload has increased by forty percent, while staff for case processing has increased from eight in 1985 to nine in 1995. Weighted caseload standards for clerical staff demonstrate a need for 11.46 FTE, a shortfall of 2.46 FTE. This decision item requests only one FTE.

Assumptions:

- ✓ The primary legal research facility in the state should be automated.
- ✓ The appellate court clerks' offices will continue to experience a high volume of requests and filings.

Goals:

- ✓ To ensure access and availability of legal research materials to the public and legal community.
- ✓ To ensure that cases are processed and requests resolved in a timely manner.

Objectives:

- ✓ The purpose of this decision item is to obtain the funding necessary to automate the Supreme Court Library and to adequately staff the appellate offices.

Performance Measures:

- ✓ See charts in narratives for filing and termination measures.
- ✓ If funding were granted for automating the library, the Supreme Court Librarian could measure access to and use of the library.

Management Innovations:

The Supreme Court Library has operated on a very lean budget. The Librarian has explored every opportunity to raise revenue and fund an FTE from the cash fund. It is unlikely that docket revenue will increase, and the public already complains about \$.25 xerox charges.

Both the Supreme Court and Court of Appeals have undertaken various measures to deal with the heavy caseload. Staff are cross-trained; automation tracking systems have been developed; and many processes are now computerized. However, the sheer number of new case filings and terminations, along with the increase in access by the legal community, necessitates this request.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
General Fund	Supreme Court, Personal Services	Salaries	\$55,212	\$55,212
	(2 FTE)	PERA	\$6,404	\$6,404
		Medicare	\$801	\$801
		Subtotal	\$62,417	\$62,417
	Supreme Court, Operating/Capital		\$11,566	\$2,000
General Fund	Court of Appeals, Personal Services	Salaries	\$22,656	\$22,656
	(1 FTE)	PERA	\$2,628	\$2,628
		Medicare	\$329	\$329
		Subtotal	\$25,613	\$25,613
	Court of Appeals, Operating/Capital		\$5,783	\$1,000
		Total Request	\$105,379	\$91,030

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Supreme Court**	\$2,013,199	38.0	\$2,097,875	38.0	\$2,125,184	38.0
Court of Appeals	\$4,143,003	79.0	\$4,382,366	79.0	\$4,458,829	79.0

* Excludes decision items.

** Excludes Committees & Library.

BUDGET ASSUMPTIONS

The above request is based on the following costs. The court clerk salaries will be \$22,656; the librarian's salary will be \$32,556. Operating includes variable operating and travel at a cost for each position of \$1,000. Capital includes workstations, chairs, and computers at a cost of \$4,783 per person.

BRANCH: JUDICIAL
PROGRAM: SUPREME COURT

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
PERSONAL SERVICES								
Position Detail:								
Supreme Court Justice	590,500	7.0	590,500	7.0	618,500	7.0	639,500	7.0
Executive Legal Secretary	203,457	7.0	248,436	7.0	248,436	7.0	248,436	7.0
Law Clerk	450,666	14.0	457,346	14.0	491,736	14.0	491,736	14.0
Clerk of Court	69,528	1.0	72,000	1.0	74,500	1.0	74,500	1.0
Supreme Court Librarian	57,680	1.0	31,307	1.0	50,446	1.0	51,888	1.0
Law Librarian I	35,416	1.0	37,692	1.0	38,724	1.0	38,724	1.0
Law Librarian II	40,994	1.0	43,632	1.0	44,820	1.0	44,820	1.0
Law Library Assistant	17,356	1.0	19,560	1.0	20,544	1.0	20,544	1.0
Court Clerk III	66,509	3.0	72,988	3.0	77,137	3.0	78,912	3.0
Staff Assistant II / Administrative Assistant	44,834	1.0	49,908	1.0	52,398	1.0	53,028	1.0
Associate Staff Attorney	48,317	1.0	50,712	1.0	55,680	1.0	55,680	1.0
Retirees (CRS 13-2-115, 6A)	28,000		25,083		22,000		22,750	
Continuation Salary Subtotal	1,653,257	38.0	1,699,164	38.0	1,794,921	38.0	1,820,518	38.0
PERA	206,345		212,270		229,240		232,923	
Medicare	12,573		13,750		16,263		16,558	
Current Positions Subtotal	1,872,175	38.0	1,925,184	38.0	2,040,424	38.0	2,069,999	38.0
General Fund	1,872,175	38.0	1,925,184	38.0	2,040,424	38.0	2,069,999	38.0
New Position Request:								
NEW POSITION REQUEST								
Decision Item Priority # 4								
Supreme Court Clerk							22,656	1.0
PERA							2,628	
Medicare							329	
Court Clerk Subtotal							25,613	1.0

BRANCH: JUDICIAL
PROGRAM: SUPREME COURT

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Supreme Court Library Clerk							32,556	1.0
PERA							3,776	
Medicare							472	
Library Clerk Subtotal							36,804	1.0
Decision Item Subtotal							62,417	2.0
Other:								
Contractual Services	351							
Retirement / Termination Payouts	3,078		15,120		8,500		8,500	
Unemployment Insurance	3,189		5,476		4,500		4,500	
HLD Expense - Appropriation Shortfall	5,341		3					
STD Expense - Appropriation Shortfall	180		306					
Allocated POTS (non-add):								
Salary Survey	7,776		37,771		20,265		n/a	
Anniversary	5,501		5,501		5,686		n/a	
Differences:								
Vacancy Savings	0		0		(23,303)		(25,583)	
Total Personal Services (GF)	1,884,314	38.0	1,946,089	38.0	2,030,121	38.0	2,119,833	40.0

BRANCH: JUDICIAL
PROGRAM: SUPREME COURT

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS								
PERSONAL SERVICES								
Calc. of Pers. Svc. Appropriation:								
Previous Year Long Bill Appropriation - Adjusted	n/a		n/a		1,925,572	38.0	1,971,760	38.0
Judge Salary Bill (HB 95-1356) FY 1996 portion	n/a		n/a				32,410	
Judge Salary Bill (HB 95-1356) FY 1997 portion	n/a		n/a				24,281	
Annualized Salary Survey	n/a		n/a		37,771		20,265	
Annualized Anniversary	n/a		n/a		8,417		8,700	
Decision Item Priority # 4	n/a		n/a				62,417	2.0
Current Year Long Bill Appropriation	1,871,037	38.0	1,915,386	38.0	1,971,760	38.0	2,119,833	40.0
Judge Salary Bill (HB 95-1356) FY 1996 portion					32,410			
Transfer			(6,200)					
Reversion			(6,369)					
Appropriation Subtotal	1,871,037	38.0	1,902,817	38.0	2,004,170	38.0	2,119,833	40.0
General Fund	1,871,037	38.0	1,902,817	38.0	2,004,170	38.0	2,119,833	40.0
Allocated POTS:								
Salary Survey	7,776		37,771		20,265		n/a	
Anniversary	5,501		5,501		5,686		n/a	
POTS Subtotal	13,277		43,272		25,951			
General Fund	13,277		43,272		25,951			
Total Reconciliation of Personal Services	1,884,314	38.0	1,946,089	38.0	2,030,121	38.0	2,119,833	40.0

BRANCH: JUDICIAL
PROGRAM: SUPREME COURT

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OPERATING EXPENSE								
2220 Building Maintenance & Repair			152		155		155	
2230 Equipment Maintenance & Repair	13,542		16,250		16,250		16,250	
2251 Motor Pool Vehicle Rental			94		100		100	
2253 Other Rentals			397		397		397	
2511 Common Carrier - In State	2,501		569		600		600	
2512 Mileage, Parking, Subsistence	1,620		2,468		2,500		2,500	
2531 Common Carrier - Out of State	1,395		722		700		700	
2532 Subsistence - Out of State	2,537		2,874		2,875		2,875	
2610 Advertising / Notices	165		74		100		100	
2630 Phone	568		441		441		441	
2680 Printing	4,910		6,499		6,500		6,500	
2810 Freight	341							
3110 Other Supplies	909		566		566		566	
3113 Judicial Robes			42		42		42	
3114 Custodial Supplies	99		99		99		99	
3116 Software	94							
3117 Educational Supplies			21		21		21	
3118 Food	142		373		550		564	
3120 Books / Subscriptions	12		50		50		50	
3121 Other Office Supplies	3,424		15,342		15,350		15,350	
3123 Postage	10,695		12,107		12,708		12,708	
3124 Copier Charges, Supplies & Recovery			(1,192)					
3126 Repair & Maintenance Supplies	30		469		450		450	
3128 Noncapitalized Equipment	3,608		6,464		5,000		5,000	
4140 Dues & Memberships	100							
4170 Miscellaneous Fees			63		100		100	
4220 Registration Fees	1,100		1,200		1,200		1,200	
6220 Capitalized Furniture & Equipment	1,380		966		1,000		1,000	
Operating Subtotal	49,172		67,110		67,754		67,768	

BRANCH: JUDICIAL
PROGRAM: SUPREME COURT

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OPERATING & CAPITAL REQUEST FOR NEW POSITIONS Decision Item Priority # 4								
Operating Expense							2,000	
Capital Outlay							9,566	
Decision Item Subtotal							11,566	
Total Operating Expense	49,172		67,110		67,754		79,334	
General Fund	29,222		29,443		30,576		42,156	
Cash Funds	19,950		37,667		37,178		37,178	
RECONCILIATION OF FUNDS OPERATING								
Long Bill Appropriation	49,393		49,393		67,754			
FY 1995 Supplemental (SB95-182)			18,050					
Transfer			6,200					
Reversion	(221)		(6,533)					
Total Reconciliation of Operating	49,172		67,110		67,754			
COMMITTEES & LIBRARY *								
Grievance Committee (CF)	1,569,742	27.9	1,747,634	30.5	1,747,634	30.5	2,050,000	30.5
Grievance Committee (CFE)			49,533		345,366		273,230	
Continuing Legal Education (CF)	177,463	3.8	220,000	4.0	220,000	4.0	236,000	4.0
Continuing Legal Education (CFE)			2,174		25,000		14,000	
Law Examiner Board (CF)	518,134	8.5	500,000	8.2	500,000	8.2	550,000	8.2
Law Examiner Board (CFE)			11,374		130,000		66,000	
Law Library (CF)	375,815	1.0	360,000	1.0	410,000	1.0	375,000	2.0
Law Library (CFE)			5,396				50,000	
Total Committees & Library	2,641,154	41.2	2,896,111	43.7	3,378,000	43.7	3,614,230	44.7

BRANCH: JUDICIAL
PROGRAM: SUPREME COURT

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Total Supreme Court	4,574,640	79.2	4,909,310	81.7	5,475,875	81.7	5,813,397	84.7
General Fund	1,913,536	38.0	1,975,532	38.0	2,060,697	38.0	2,161,989	40.0
Cash Funds	2,661,104	41.2	2,865,301	43.7	2,914,812	43.7	3,248,178	44.7
Cash Funds Exempt			68,477		500,366		403,230	

* These moneys are included for informational purposes as they are continuously appropriated by a permanent statute or constitutional provision.

COURT OF APPEALS

BRANCH: JUDICIAL
PROGRAM: COURT OF APPEALS

I. PROGRAM IDENTIFICATION

- A. Title. Court of Appeals
- B. Division. Appellate
- C. Branch. Judicial
- D. Program Administrator. Chief Judge
- E. Organization Chart. See Summary Section
- F. Description and Authorization.

The Court of Appeals is comprised of sixteen judges serving eight-year terms. The Court sits in three-member divisions to decide its cases. The Chief Judge, appointed by the Chief Justice of the Supreme Court, assigns judges to the divisions and rotates their assignments. Established under Section 1 of Article VI of the Colorado Constitution and Section 13-4-101, et seq., C.R.S., the Colorado Court of Appeals has initial jurisdiction, with exceptions, over appeals from the Colorado District Courts, the Denver Probate Court, the Denver Juvenile Court, and the 26 agencies listed below. Reviews of the Court of Appeals' decisions are directed to the Colorado Supreme Court.

1. Industrial Claim Appeals Office (from both Workers' Comp. and Unemployment. Comp.)
2. Banking Board of Colorado
3. State Board of Engineers and Land Surveyors
4. Colorado Podiatry Board
5. State Board of Chiropractic Examiners
6. State Board of Medical Examiners
7. State Board of Dental Examiners
8. State Board of Nursing
9. State Board of Optometric Examiners
10. Director of the Division of Registrations (Physical Therapists)
11. State Board of Pharmacy
12. State Board of Education
13. Colorado Real Estate Commission
14. Colorado Civil Rights Commission
15. Passenger Tramway Safety Board
16. State Personnel Board
17. State Electrical Board
18. State Board of Architects
19. State Grievance Board
20. State Board of Psychologist Examiners
21. State Board of Social Work Examiners
22. State Board of Marriage and Family Therapist Examiners
23. State Board of Licensed Professional Counselor Examiners
24. Coal Mine Board of Examiners
25. Examining Board of Plumber
26. Board of Assessment Appeals

II. PROGRAM GOALS AND OBJECTIVES

A. Goal. To provide prompt and thorough appellate review.

B. Objectives.

1. To resolve the large volume of new cases filed with the Court of Appeals.
2. To increase public involvement in the appellate process.
3. To reduce the amount of time between initiation and termination of cases filed in the Court of Appeals.
4. To expand the use of the appellate bulletin-board for delivery of information to the public, attorneys, and courts.

C. Performance Measures.

In Fiscal Year 1995, 2,179 cases were filed with the Court of Appeals. During this period the Court disposed of 2,156 cases; 1,492 were disposed by written opinion. Of all cases closed, 406 had published opinions, 1,086 had unpublished opinions, and 664 were dismissed before submission to judges.

The Court increased the number of written opinions issued in Fiscal Year 1995 from the previous year, and it continues to reduce the time from the filing of the notice of appeal to the issuance of the case mandate. Of the cases closed last year, seventy percent were affirmed on appeal, 23 percent were reversed, and seven percent were affirmed in part and reversed in part.

In order to continue to promote public understanding of the appellate process, the Court of Appeals held oral arguments at two high-schools this year.

D. Actual Year Comparisons.

FY	CASES PENDING ON JULY 1	NEW CASES	TOTAL CASELOAD	TERMINATED CASES	CASES PENDING ON JUNE 30
1990	2,225	2,270	4,495	2,144	2,351
1991	2,351	2,147	4,498	2,192	2,306
1992	2,306	2,201	4,507	2,335	2,172
1993	2,172	2,109	4,281	2,269	2,012
1994	2,012	2,287	4,299	2,193	2,106
1995	2,106	2,179	4,285	2,156	2,129

III. SUPPORT FOR BUDGET REQUEST

- A. Personal Services. The total personal services request for Fiscal Year 1997 is \$4,411,175. This amount is comprised of continuation funding for existing positions, Judge Salary Bill (HB 95-1356) increases, and a decision item for a new court clerk.
- B. Operating Expense. The total operating request for Fiscal Year 1997 is \$79,050. This amount includes the inflation factors allowed in the budget instructions, anticipated expenditures which will be cash funded by recovery moneys, and a decision item request for operating and capital funds associated with the proposed position.

IV. CONTINUATION BUDGET ASSUMPTIONS / CALCULATIONS

COURT OF APPEALS

ITEM	AMOUNT
FY 1996 Long Bill Appropriation	\$4,226,876
Annualized Salary Survey	41,953
Annualized Anniversary	60,369
Judge Salary Bill (HB 95 - 1356)	129,628
Operating Inflation	3
Decision Item Number 4	31,396
FY 1997 Total Request	\$4,490,225

SCHEDULE 2D - DECISION ITEM

Branch Priority 4

<u>DECISION ITEM TITLE</u>	Appellate Staff (3 FTE)
<u>PROGRAM ASSIGNMENT</u>	Appellate Courts
<u>STATUTORY AUTHORITY</u>	Article VI Sections 1 and 2, Colorado Constitution Section 13-4-111, C.R.S.

DESCRIPTION

Need:

This decision item requests funding for the following:

Supreme Court: The Supreme Court needs an FTE in the Clerk's Office and an FTE in the library. The Clerk's Office has been understaffed for a number of years. Currently, the Supreme Court has only three case processing staff in the Clerk's Office. No additional support staff has been added to this office in over a decade. During this period of time, filings and terminations have increased dramatically. The Supreme Court has experienced a 40.4 percent increase in the number of annual filings over the ten-year period from 1985 (967 filings) through 1995 (1,358), and a 30.1 percent increase in the number of annual terminations (1,011 to 1,316) during the same period. Also, during this time period, several additional responsibilities have been added to the office. The Clerk's Office now manages the state's twenty-three judicial nominating commissions to ensure compliance with constitutional requirements and to act as a liaison between the Court, the Governor's Office, the Attorney General, and the commissions. Additionally, the Clerk of Court now pre-screens all petitions for certiorari and identifies those that appear to present issues that can be evaluated without further research.

The weighted caseload model indicates that appellate staff can handle anywhere from 185 to 235 cases per year, depending upon the mix of cases. Assuming that staff can process the higher figure of 235 cases per year, there should be 5.7 case processing clerks. Under the most conservative scenario, the Supreme Court Clerk's Office is understaffed by 2.7 FTE, which equates to a ninety percent shortfall in case processing staff. This request is for one FTE only.

Additionally, there is a need for a librarian in the Supreme Court Library. The Library needs additional staff in order to automate its holdings. The Library is one of the few libraries in the state that does not have an automated card catalogue. Therefore, it is unable to join "Access Colorado Library and Information Network" (ACLIN), the on-line library system partially funded by the state. There are over 160 libraries on ACLIN. As

the primary state law library, the catalog should be electronically available. On-line access to any library's holdings is standard practice. Without on-line access, the Library's extensive paper collection is virtually unavailable to the State's trial courts and public users.

Additional staff would be able to evaluate hardware/software needs and convert current records. An on-line catalog could be in place by June 1997 if additional staff is authorized. Once the catalog is up and running, the staff would be used to provide technical assistance to the thousands of library users who now have no technical on-line research assistance.

Court of Appeals: The Court of Appeals needs an additional Court Clerk III. Over the last ten years, the Court's workload has increased by forty percent, while staff for case processing has increased from eight in 1985 to nine in 1995. Weighted caseload standards for clerical staff demonstrate a need for 11.46 FTE, a shortfall of 2.46 FTE. This decision item requests only one FTE.

Assumptions:

- ✓ The primary legal research facility in the state should be automated.
- ✓ The appellate court clerks' offices will continue to experience a high volume of requests and filings.

Goals:

- ✓ To ensure access and availability of legal research materials to the public and legal community.
- ✓ To ensure that cases are processed and requests resolved in a timely manner.

Objectives:

- ✓ The purpose of this decision item is to obtain the funding necessary to automate the Supreme Court Library and to adequately staff the appellate offices.

Performance Measures:

- ✓ See charts in narratives for filing and termination measures.
- ✓ If funding were granted for automating the library, the Supreme Court Librarian could measure access to and use of the library.

Management Innovations:

The Supreme Court Library has operated on a very lean budget. The Librarian has explored every opportunity to raise revenue and fund an FTE from the cash fund. It is unlikely that docket revenue will increase, and the public already complains about \$.25 xerox charges.

Both the Supreme Court and Court of Appeals have undertaken various measures to deal with the heavy caseload. Staff are cross-trained; automation tracking systems have been developed; and many processes are now computerized. However, the sheer number of new case filings and terminations, along with the increase in access by the legal community, necessitates this request.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
General Fund	Supreme Court, Personal Services	Salaries	\$55,212	\$55,212
	(2 FTE)	PERA	\$6,404	\$6,404
		Medicare	\$801	\$801
		Subtotal	\$62,417	\$62,417
	Supreme Court, Operating/Capital		\$11,566	\$2,000
General Fund	Court of Appeals, Personal Services	Salaries	\$22,656	\$22,656
	(1 FTE)	PERA	\$2,628	\$2,628
		Medicare	\$329	\$329
		Subtotal	\$25,613	\$25,613
	Court of Appeals, Operating/Capital		\$5,783	\$1,000
		Total Request	\$105,379	\$91,030

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Supreme Court**	\$2,013,199	38.0	\$2,097,875	38.0	\$2,125,184	38.0
Court of Appeals	\$4,143,003	79.0	\$4,382,366	79.0	\$4,458,829	79.0

* Excludes decision items.

** Excludes Committees & Library.

BUDGET ASSUMPTIONS

The above request is based on the following costs. The court clerk salaries will be \$22,656; the librarian's salary will be \$32,556. Operating includes variable operating and travel at a cost for each position of \$1,000. Capital includes workstations, chairs, and computers at a cost of \$4,783 per person.

BRANCH: JUDICIAL
PROGRAM: COURT OF APPEALS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
PERSONAL SERVICES								
Position Detail:								
Court of Appeals Judge	1,254,309	16.0	1,274,500	16.0	1,338,500	16.0	1,386,500	16.0
Law Clerk	481,231	16.0	520,296	16.0	547,008	16.0	547,008	16.0
Clerk of Court	63,900	1.0	67,680	1.0	67,680	1.0	67,680	1.0
Associate Staff Attorney	693,520	15.0	660,952	15.0	777,428	15.0	785,068	15.0
Chief Staff Attorney	60,792	1.0	64,054	1.0	66,655	1.0	66,655	1.0
Court Clerk II	42,152	2.0	50,322	2.0	52,086	2.0	52,086	2.0
Editor of Opinions	60,792	1.0	66,216	1.0	67,871	1.0	67,871	1.0
Court Clerk III	125,388	5.0	161,501	5.0	172,183	5.0	172,183	5.0
Unit Supervisor	31,008	1.0	33,456	1.0	35,124	1.0	35,124	1.0
Court Clerk IV	29,532	1.0	33,456	1.0	33,456	1.0	33,456	1.0
Executive Legal Secretary I	414,992	15.0	468,977	15.0	475,553	15.0	475,553	15.0
Executive Legal Secretary II	29,328	1.0	29,268	1.0	30,726	1.0	31,860	1.0
Secretary III	47,984	2.0	43,547	2.0	45,944	2.0	47,448	2.0
Secretary IV	26,784	1.0	29,532	1.0	31,008	1.0	31,008	1.0
Staff Assistant II	39,576	1.0	40,655	1.0	41,672	1.0	41,672	1.0
Continuation Salary Subtotal	3,401,288	79.0	3,544,412	79.0	3,782,894	79.0	3,841,172	79.0
PERA	420,014		444,209		484,325		492,717	
Medicare	25,961		29,082		32,396		35,190	
Current Positions Subtotal	3,847,263	79.0	4,017,703	79.0	4,299,615	79.0	4,369,079	79.0
General Fund	3,847,263	79.0	4,017,703	79.0	4,299,615	79.0	4,369,079	79.0
New Position Request:								
NEW POSITION REQUEST								
Decision Item Priority # 4								
Court of Appeals Clerk							22,656	1.0
PERA							2,628	
Medicare							329	
Decision Item Subtotal							25,613	1.0

BRANCH: JUDICIAL
PROGRAM: COURT OF APPEALS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Other:								
Retirement / Termination Payouts	22,551		21,499		22,500		22,500	
Unemployment Insurance	7,734		6,336		7,500		7,500	
HLD Expense - Appropriation Shortfall	11,811		4					
STD Expense - Appropriation Shortfall	429		661					
Allocated POTS (non-add):								
Salary Survey	1,662		59,674		41,953		n/a	
Anniversary	36,431		36,431		39,457		n/a	
Differences:								
Vacancy Savings	0		0		(20,513)		(13,517)	
Total Personal Services (GF)	3,889,788	79.0	4,046,203	79.0	4,309,102	79.0	4,411,175	80.0
RECONCILIATION OF FUNDS PERSONAL SERVICES								
Calc. of Pers. Svc. Appropriation:								
Previous Year Long Bill Appropriation - Adjusted	n/a		n/a		4,012,481	79.0	4,153,612	79.0
Judge Salary Bill (HB 95-1356) FY 1996 Portion	n/a		n/a				74,080	
Judge Salary Bill (HB 95-1356) FY 1997 Portion	n/a		n/a				55,548	
Annualized Salary Survey	n/a		n/a		85,392		41,953	
Annualized Anniversary	n/a		n/a		55,739		60,369	
Decision Item Priority # 4	n/a		n/a				25,613	1.0
Current Year Long Bill Appropriation	3,862,695	79.0	3,990,496	79.0	4,153,612	79.0	4,411,175	80.0
Judge Salary Bill (HB 95-1356) FY 1996 Portion					74,080			
Transfer	(11,000)		(26,600)					
Reversion			(13,798)					
Appropriation Subtotal	3,851,695	79.0	3,950,098	79.0	4,227,692	79.0	4,411,175	80.0
General Fund	3,851,695	79.0	3,950,098	79.0	4,227,692	79.0	4,411,175	80.0

BRANCH: JUDICIAL
PROGRAM: COURT OF APPEALS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Allocated POTS:								
Salary Survey	1,662		59,674		41,953		n/a	
Anniversary	36,431		36,431		39,457		n/a	
POTS Subtotal	38,093		96,105		81,410			
General Fund	38,093		96,105		81,410			
Total Reconciliation of Personal Services	3,889,788	79.0	4,046,203	79.0	4,309,102	79.0	4,411,175	80.0
OPERATING EXPENSE								
2230 Equipment Maintenance & Repair	17,298		24,470		19,500		19,500	
2253 Rentals	50		571		575		575	
2511 Common Carrier - In State			339		350		350	
2512 Mileage, Subsistence - In State	1,508		356		375		375	
2531 Common Carrier - Out of State	854		1,199		1,200		1,200	
2532 Mileage, Subsistence - Out of State	4,292		1,805		1,800		1,800	
2610 Advertising / Notices			69		69		69	
2630 Phone			90		90		90	
2640 GGCC Billings	40							
2680 Printing			139		150		150	
2810 Freight	58		274		300		300	
3112 Automotive Supplies	263							
3113 Judicial Robes	270				50		50	
3115 Data Processing Supplies	7,463		5,443		3,000		3,000	
3117 Educational Supplies	10		100		100		100	
3118 Food			64		100		103	
3121 Other Office Supplies	22,448		26,615		18,500		18,500	
3122 Photographic Supplies	1,066							
3123 Postage	19,500		22,666		24,500		24,500	
3124 Copier Charges & Supplies			(4,072)		1,180		1,180	
3128 Noncapitalized Equipment	6,260							
4110 Cash Shortages	97		150					
4140 Dues & Memberships	275		125		125		125	

BRANCH: JUDICIAL
PROGRAM: COURT OF APPEALS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
4170 Miscellaneous Fees			800		800		800	
4220 Registration Fees	409		566		500		500	
6210 ADP Equipment			15,031					
Operating Subtotal	82,161		96,800		73,264		73,267	
OPERATING & CAPITAL REQUEST FOR NEW POSITION Decision Item Priority # 4								
Operating Expense							1,000	
Capital Outlay							4,783	
Decision Item Subtotal							5,783	
Total Operating Expense	82,161		96,800		73,264		79,050	
General Fund	80,736		95,375		71,839		77,625	
Cash Funds	1,425		1,425		1,425		1,425	
RECONCILIATION OF FUNDS OPERATING								
Long Bill Appropriation	71,197		71,197		73,264			
Transfer	11,000		26,600					
Reversion	(36)		(997)					
Total Reconciliation of Operating	82,161		96,800		73,264			
Total Court of Appeals	3,971,949	79.0	4,143,003	79.0	4,382,366	79.0	4,490,225	80.0
General Fund	3,970,524	79.0	4,141,578	79.0	4,380,941	79.0	4,488,800	80.0
Cash Funds	1,425		1,425		1,425		1,425	

BRANCH: JUDICIAL
PROGRAM: ADMINISTRATION
OFFICE OF THE STATE COURT ADMINISTRATOR

I. PROGRAM IDENTIFICATION

- A. Title. Judicial Administration
- B. Division. Office of the State Court Administrator
- C. Branch. Judicial
- D. Program Administrator. State Court Administrator
- E. Organization Chart. See Summary Section
- F. Description and Authorization.

The Office of the State Court Administrator (SCAO) was established in the late 1960's pursuant to Article VI, Section 5(3) of the Colorado Constitution. Specific responsibilities and duties of the SCAO are included in Section 13-3-105, et seq., C.R.S. Other duties are assigned by the Chief Justice of the Colorado Supreme Court. The management activities of the SCAO are conducted according to the policies, guidelines, and directives promulgated by the Chief Justice and the Supreme Court. The SCAO is organized into five major divisions, each of which is responsible for specialized programs. The five divisions are:

1. Court Services
2. Financial Services
3. Human Resources
4. Planning and Analysis
5. Integrated Information Services

II. PROGRAM GOALS AND OBJECTIVES

- A. Goal. To provide administrative support and leadership to courts and probation departments throughout the state.
- B. Objectives.
 1. To continue implementation of statewide court and probation department improvements identified in the "Planning for Change" initiatives.

2. To provide systems, procedures, and training critical to improving the efficiency of the courts and probation departments.
3. To increase citizen access to the courts through the expansion of automated information systems.
4. To maintain and enhance the Judicial Branch's personnel system to ensure that qualified employees are recruited, hired, and retained.
5. To ensure compliance with various legal requirements (e.g., ADA).
6. To ensure that financial activity is properly recorded and reported.
7. To ensure that resources are allocated on an equitable basis.

C. Performance Measures.

AUDITS AND REVIEWS	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 ESTIMATE
Financial Audits	16	12	14	12
Court Operations Reviews	n/a	1	5	6
Probation Reviews	2	4	8	10

HUMAN RESOURCE WORKLOAD	FY 1995 ACTUAL
Technical Assistance Requests	1,571
Personnel Investigations, Grievances, Charges, Appeals, and Mediations	76
Personnel Reclassification Requests	73
Trainings	27

D. Actual Comparisons.

AUDITS AND REVIEWS	FY 1994 GOAL	FY 1994 ACTUAL	FY 1995 GOAL	FY 1995 ACTUAL
Financial Audits	12	16	12	12
Court Operations Reviews	n/a	n/a	1	1
Probation Reviews	2	2	12	4 ¹

¹ During Fiscal Year 1995, the probation reviews were redesigned to be more qualitative and objective; thus, a fewer number of reviews were performed when compared to the original goal.

E. Subprogram. A subprogram within the SCAO is courthouse furnishings. Section 13-3-108, C.R.S. requires Colorado counties to provide and maintain court facilities. Section 13-3-104, C.R.S. directs the courts to provide funds for the *"operations, salaries, and other expenses of all courts of record."*

1. Goal. To provide furnishings for Colorado's courts and probation departments.
2. Objectives for FY 1997. To provide courthouse furnishings for facilities in Larimer, Teller, El Paso, Adams, and Arapahoe counties.
3. Objectives for FY 1996. To furnish new and remodeled facilities in Montrose and Pueblo counties.
4. Objectives for FY 1995. Accomplished by:
 - (a) Furnishing new and remodeled facilities in Arapahoe, Denver, Gilpin, Yuma, Pueblo, and Archuleta counties.
 - (b) Completing the book storage facility in the Supreme Court Library.
 - (c) Retrofitting elevators, restrooms, telephones, and doors at the Judicial/Heritage Complex to comply with the ADA act.

III. SUPPORT FOR BUDGET REQUEST

- A. Personal Services. The total personal services request of \$2,057,404 is comprised of continuation funding for the existing FTE and a decision item for a human resource analyst.
- B. Operating Expense. The total operating expense request is \$225,660. The increase of \$5,960 over the Fiscal Year 1996 appropriation is for those items with approved inflation factors and a decision item associated with the proposed position.

The operating budget for the SCAO supports extensive administrative and informational functions for the entire Judicial Branch. It also funds district budget and staff visits, facilities planning, studies of court operations, and program compliance and planning.

- C. Courthouse Furnishings. The total furnishings request of \$1,306,349 is requested in a decision item. It represents funding associated with courthouse remodelings in Larimer, Teller, and El Paso counties and new facilities in Adams and Arapahoe counties.

IV. CONTINUATION BUDGET ASSUMPTIONS / CALCULATIONS

ADMINISTRATION

ITEM	AMOUNT
FY 1996 Long Bill Appropriation	\$2,671,848
Less FY 1996 Courthouse Furnishings	(501,981)
Annualized Salary Survey	43,299
Annualized Anniversary	17,976
Operating Inflation	177
Decision Item Number 5	51,745
Decision Item Number 11	1,306,349
FY 1997 Total Request	\$3,589,413

SCHEDULE 2D - DECISION ITEM

Branch Priority 5

<u>DECISION ITEM TITLE</u>	Human Resource Analyst (1 FTE)
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Administration
<u>STATUTORY AUTHORITY</u>	N/A

DESCRIPTION OF DECISION ITEM

Need:

This decision item requests funding for a human resource (HR) analyst. Currently, the Judicial Branch is funded for 2.6 FTE in the human resources area. Considering that there are over 2,000 employees in the Judicial Branch, this is a ratio of 770 employees per HR staff. This ratio is probably one of the highest in state government. For example, a survey of five departments with over 9,300 employees shows an average personnel staff to FTE ratio of one staff to every 187 employees. The disparity is increased by the fact that, unlike most state agencies, the Judicial Branch has its own personnel system and is required to establish its own personnel policies and procedures.

The need for human resources professional staff is clear. In Fiscal Year 1995, demands on Human Resources were substantial - with over 1,500 requests for assistance on personnel issues; 76 grievances, EEOC charges and lawsuits; and 73 reclassification review requests. In addition, HR conducted 27 trainings on critical personnel laws, regulations and rules. HR is also responsible for all job announcements in the system.

HR is not able to keep up with routine requests and to satisfy the needs of managers and staff throughout the system for training and development of policies/procedures. Critical areas such as ADA, FLSA, FMLA, performance evaluations, and disciplinary actions are not adequately addressed. Currently, there is a backlog of projects such as the required ADA self assessment, test development for the appointment/hiring process, and preparation of essential elements for job descriptions pursuant to ADA mandates. For example, tests that have been developed and validated for Executive Branch support staff positions have never been developed for trial court and probation positions. This means that the Judicial Branch runs a greater risk of challenges to hiring practices.

Assumptions:

- ✓ Personnel requests, grievances, lawsuits, etc. will continue at the same pace as in Fiscal Year 1996.
- ✓ An additional HR analyst will be able to handle all ADA requirements, develop tests for all positions within the system, and handle an additional 33 trainings.

Goals:

- ✓ To eliminate personnel caseload backlog.
- ✓ To ensure that personnel grievances and lawsuits are minimized.

Objectives:

The purpose of this decision item is to add the staff necessary to effectively manage personnel issues for 2,000 employees. It is anticipated that additional training will allow for an increased ability of supervisors to handle problems without their escalation to grievances, appeals, EEOC complaints, and/or lawsuits. This decision item should reduce the level of liability from such actions. In addition, the ability of HR to respond quickly to personnel issues will improve morale.

Performance Measures:

The following will be tracked:

- ✓ Number of grievances and lawsuits handled by Human Resources;
- ✓ Number of trainings conducted; and
- ✓ Number of personnel actions such as desk audits, reorganizations, and reclassifications.

Management Innovations:

The HR Division has attempted to handle daily business and projects with its limited staff. High priority has been given to those projects and activities that will result in streamlining processes or eliminating further problems (i.e. grievances, appeals, EEOC complaints, and legal actions). In the past year, the Human Resources Division has developed a Sexual Harassment Policy, Model Hiring Process, ADA Model Grievance Procedure, Employee Code of Conduct, and an Employee Handbook. A comprehensive review of the Colorado Judicial Branch Personnel Rules was completed for the first time since 1991, and at the request of the Colorado Supreme Court, it will be updated annually.

The Division has also created programs to improve employee motivation and morale, e.g. Cumulative Trauma Project (in coordination with the Division of Risk Management). This project appears to be correlated to a lower number of worker compensation claims, reduced lost time, and faster return to work. Additionally, a professional development program has been established to provide training to managers and staff in critical productivity and management areas.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
General Fund	Administration,	HR Analyst Salary	\$40,656	\$40,656
	Personal Services	PERA	\$4,716	\$4,716
		Medicare	\$590	\$590
		Subtotal	\$45,962	\$45,962
		Capital Outlay	\$4,783	\$0
		Operating	\$1,000	\$1,000
		Total Request	\$51,745	\$46,962

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Administration	\$2,552,586	37.7	\$2,726,896	37.7	\$2,231,319	37.7

*Excludes decision items.

SCHEDULE 2D - DECISION ITEM

Branch Priority 11

<u>DECISION ITEM TITLE</u>	Courthouse Furnishings
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Administration
<u>STATUTORY AUTHORITY</u>	Sections 13-3-104 and 13-3-108, C.R.S.

DESCRIPTION OF DECISION ITEM

This decision item requests funding for courthouse remodelings in the 4th, 8th, 17th and 18th judicial districts. By law, the State is required to provide furnishings for courthouse facilities, while counties are required to provide the facility. Many of Colorado's 77 court and probation department facilities are very old, have limited space, and are in need of modernization. This request is \$804,368 higher than last year's appropriation of \$501,981, due primarily to the construction of a new justice center in Adams County. The projects are as follows:

1. Larimer County anticipates that the funding of a new courthouse will be on the ballot in November 1996 with the design, construction and move expected to take three years. As an interim measure to a serious lack of space, Larimer County will remodel a large hallway area on the second floor of the existing courthouse and reconfigure public areas and support staff space. The reconfiguration will require modular public counter systems. These systems will be able to be moved to a new courthouse if and when one is built. The total cost of the remodel is \$42,600.
2. Teller County is remodeling the 1904 courthouse facility to accommodate the dramatic increase in court business due to the gambling caseload. As part of the remodel, Teller County is requesting \$26,104 for systems furniture. This type of furniture should provide more efficient work space. The County expects to have the space complete within Fiscal Year 1996.
3. Because of space constraints and access problems for senior citizens, El Paso County plans to relocate its probate court out of the courthouse and into a professional building. The county expects to purchase a new building in which probate will be housed. This should occur during Fiscal Year 1996. The county will retrofit the building to accommodate a courtroom and space for a magistrate and three staff. The cost of furnishings is \$20,925.

4. El Paso County is renovating the courthouse basement to remove asbestos and to increase workspace and storage space. This request includes \$61,950 for nine workstations, eight work carols, one reception desk, four conference tables and six work desks. Additionally, 400 mechanical storage units costing approximately \$500 each are requested (\$200,000). The State has historically paid for storage units because they fall into the movable furnishings category. This request was submitted last year and denied. However, without a General Fund appropriation, El Paso cannot complete the project. There are approximately 250,000 files which are now stored in either 25 year-old low density steel shelving or cardboard boxes. Accessing the files is extremely difficult, if not impossible. The Judicial Branch requests reconsideration of this item. El Paso County needs the remodel to be able to handle increasing demands for public access to court records.
5. Adams County is constructing a new justice center. The cost to the county is \$25 million. The justice center is expected to be completed by February 1998. The total cost of furnishing the new building is \$1.75 million. This decision item requests approximately half of the funding in Fiscal Year 1997, with the other half to be requested in Fiscal Year 1998. A detailed itemization of the cost is available for review.
6. Arapahoe County plans a November ballot initiative for funding to complete its Justice Center. If approved by voters, funding will be allocated to construct three new courtrooms and judicial offices. The cost to furnish will be \$80,291.

Management Innovations:

All courthouse furnishing projects are planned with input from the county, the local court staff, the statewide facilities manager, and design firms. Broad input allows for obtaining the best facilities at the most reasonable price.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
General Fund	Administration,	Larimer County	\$42,600	\$0
	Courthouse Furnishings	Teller County	\$26,104	\$0
		El Paso Probate Court	\$20,925	\$0
		El Paso Basement Remodel	\$261,950	\$0
		Adams County Justice Center	\$874,479	\$874,479
		Arapahoe Justice Center	\$80,291	\$0
		Total Request	\$1,306,349	\$874,479

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Administration	\$2,552,586	37.7	\$2,726,896	37.7	\$2,231,319	37.7

* Excludes decision items.

BUDGET ASSUMPTIONS

- ✓ Projects will be completed by or during Fiscal Year 1997.
- ✓ Furnishing costs estimated at this time will not increase.

BRANCH: JUDICIAL

PROGRAM: OFFICE OF THE STATE COURT ADMINISTRATOR

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
PERSONAL SERVICES								
Position Detail:								
State Court Administrator	79,000	1.0	79,500	1.0	83,500	1.0	83,500	1.0
Assistant to the SCA	43,632	1.0	43,632	1.0	44,820	1.0	44,820	1.0
Public Education Coordinator	49,828	1.0	53,028	1.0	54,480	1.0	54,480	1.0
Staff Development Administrator	61,562	1.0	60,660	1.0	63,942	1.0	64,452	1.0
Legal / Legislative Liason	73,000	1.0	77,635	1.0	63,020	1.0	63,020	1.0
Director of Court Services	69,956	1.0	74,616	1.0	74,616	1.0	74,616	1.0
Internal Auditor	130,241	3.0	141,300	3.0	150,720	3.0	150,720	3.0
Audit Supervisor	55,144	1.0	58,464	1.0	61,392	1.0	61,392	1.0
Management Analyst	64,573	1.5	37,862	1.5	59,029	1.5	59,029	1.5
Court Service Specialist	49,828	1.0	53,028	1.0	54,480	1.0	54,480	1.0
Director of Planning & Analysis	69,956	1.0	74,616	1.0	74,616	1.0	74,616	1.0
Planning & Research Analyst	124,445	2.8	135,479	2.8	151,653	2.8	154,980	2.8
ISP Administrator	60,792	1.0	66,105	1.0	71,064	1.0	71,064	1.0
Probation Program Review Analyst	48,108	1.0	49,308	1.0	51,888	1.0	51,888	1.0
Director of Human Resources	59,509	1.0	74,616	1.0	74,616	1.0	74,616	1.0
Staff Assistant I	0	0.0	29,532	1.0	31,482	1.0	31,860	1.0
Personnel Analyst	68,823	1.6	47,726	1.6	51,476	1.6	51,888	1.6
Director of Financial Services	71,619	1.0	74,616	1.0	74,616	1.0	74,616	1.0
Chief Accountant	53,724	1.0	57,204	1.0	60,060	1.0	60,060	1.0
Budget Analyst	50,508	1.0	40,848	1.0	61,392	1.0	61,392	1.0
Accountant II	0	0.0	36,278	0.8	41,556	0.8	41,556	0.8
Purchasing Specialist	35,164	0.8						
Accounts Control Clerk I	19,163	1.0	24,206	1.0	30,348	1.0	30,348	1.0
Accounts Control Clerk II	32,984	2.0	69,631	2.0	73,752	2.0	73,752	2.0
Benefits Administrator	34,638	1.0	19,136	1.0	33,134	1.0	35,124	1.0
Personnel Clerk	30,637	1.0	31,860	1.0	31,860	1.0	31,860	1.0
Account Clerk II	26,899	1.0	28,908	1.0	30,348	1.0	30,348	1.0
Secretary I	20,009	1.0	18,624	1.0	19,092	1.0	19,092	1.0

BRANCH: JUDICIAL
PROGRAM: OFFICE OF THE STATE COURT ADMINISTRATOR

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Secretary III	105,767	5.0	103,959	4.0	108,100	4.0	109,368	4.0
PBX Operator	20,720	1.0	27,158	1.0	22,044	1.0	22,044	1.0
Continuation Salary Subtotal	1,610,229	37.7	1,689,535	37.7	1,803,096	37.7	1,810,981	37.7
PERA	179,693		189,516		209,159		210,074	
Medicare	8,273		8,713		9,200		9,392	
Current Positions Subtotal	1,798,195	37.7	1,887,764	37.7	2,021,455	37.7	2,030,447	37.7
General Fund	1,798,195	37.7	1,887,764	37.7	2,021,455	37.7	2,030,447	37.7
New Position Request:								
NEW POSITION REQUEST								
Decision Item Priority # 5								
Human Resource Analyst							40,656	1.0
PERA							4,716	
Medicare							590	
Decision Item Subtotal							45,962	1.0
Other:								
Unemployment Insurance	2,709		14,066		10,000		10,000	
Retirement / Termination Payouts	19,991							
HLD Expense - Appropriation Shortfall								
STD Expense - Appropriation Shortfall			542					
Allocated POTS (non-add):								
Salary Survey	29,545		16,296		43,299		n/a	
Anniversary	20,925		20,925		11,749		n/a	
Differences:								
Vacancy Savings	0		0		(26,240)		(29,005)	
Total Personal Services (GF)	1,820,895	37.7	1,902,372	37.7	2,005,215	37.7	2,057,404	38.7

BRANCH: JUDICIAL
PROGRAM: OFFICE OF THE STATE COURT ADMINISTRATOR

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS								
PERSONAL SERVICES								
Calc. of Pers. Svc. Appropriation:								
Previous Year Long Bill Appropriation	n/a		n/a		1,890,441	37.7	1,950,167	37.7
Annualized Salary Survey	n/a		n/a		27,711		43,299	
Annualized Anniversary	n/a		n/a		32,015		17,976	
Decision Item Priority # 5	n/a		n/a				45,962	1.0
Current Year Long Bill Appropriation	1,770,425	37.7	1,890,441	37.7	1,950,167	37.7	2,057,404	38.7
Transfer			(19,000)					
Reversion			(6,290)					
Appropriation Subtotal	1,770,425	37.7	1,865,151	37.7	1,950,167	37.7	2,057,404	38.7
General Fund	1,770,425	37.7	1,865,151	37.7	1,950,167	37.7	2,057,404	38.7
Allocated POTS:								
Salary Survey	29,545		16,296		43,299		n/a	
Anniversary	20,925		20,925		11,749		n/a	
POTS Subtotal	50,470		37,221		55,048			
General Fund	50,470		37,221		55,048			
Total Reconciliation of Personal Services	1,820,895	37.7	1,902,372	37.7	2,005,215	37.7	2,057,404	38.7
OPERATING EXPENSE								
2220 Building Maintenance & Repair	2,587		2,842		2,820		2,820	
2230 Equipment Maintenance & Repair	6,192		4,965		5,200		5,200	
2231 ADP Equipment Maint. & Repair	18							
2251 Motor Pool Vehicle Rental	2,779		2,464		3,550		3,550	
2253 Other Rentals	5,054		7,121		7,225		7,225	
2255 Office & Room Rentals	1,087		925		925		925	
2511 Common Carrier - In State	3,660		2,831		2,831		2,831	

BRANCH: JUDICIAL
PROGRAM: OFFICE OF THE STATE COURT ADMINISTRATOR

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2512 Mileage, Subsistence - In State	7,503		8,186		11,200		11,200	
2531 Common Carrier - Out of State	931		2,102		2,100		2,100	
2532 Mileage, Subsistence - Out of State	1,091		493		500		500	
2610 Advertising / Notices	905		41		260		260	
2630 Phone	41,570		63,218		70,245		70,245	
2680 Printing	21,732		4,900		33,140		33,140	
2810 Freight	168		61		245		245	
2830 Storage & Moving	1,653		310		100		100	
3110 Other Supplies	958		1,429		1,675		1,675	
3115 Data Processing Supplies	2,110		2,386		3,150		3,150	
3116 Software	1,120		2,225		1,600		1,600	
3117 Educational Supplies	581		122		175		175	
3118 Food	5,747		5,826		6,995		7,170	
3120 Books / Subscriptions	3,813		1,602		1,990		1,990	
3121 Other Office Supplies	21,281		10,220		11,720		11,720	
3122 Photographic Supplies	46		83		50		50	
3123 Postage	57,108		7,432		15,550		15,550	
3124 Copier Charges & Supplies			13,641		18,159		18,159	
3126 Repair & Maintenance Supplies	13		132		100		100	
3128 Noncapitalized Equipment	13,165		8,030		5,250		5,250	
3940 Electricity	469		120		120		122	
4140 Dues & Memberships	3,375		1,528		1,620		1,620	
4170 Miscellaneous Fees			111		3,990		3,990	
4220 Registration Fees	4,366		3,894		4,995		4,995	
6210 Capitalized ADP Equipment	22,543		5,011		2,220		2,220	
6220 Capitalized Furniture & Equipment	1,270		24,769					
Capital Outlay	292,413							
Operating Subtotal	527,308		189,020		219,700		219,877	

BRANCH: JUDICIAL
PROGRAM: OFFICE OF THE STATE COURT ADMINISTRATOR

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OPERATING & CAPITAL REQUEST FOR NEW POSITION Decision Item Priority # 5								
Operating Expense							1,000	
Capital Outlay							4,783	
Decision Item Subtotal							5,783	
Total Operating Expense (GF)	527,308		189,020		219,700		225,660	
RECONCILIATION OF FUNDS OPERATING								
Long Bill Appropriation	214,380		214,380		219,700			
Transfer	65,791		(14,000)					
Special	247,986							
Reversion	(849)		(11,360)					
Total Reconciliation of Operating	527,308		189,020		219,700			
COURTHOUSE FURNISHINGS								
Courthouse Furnishings	171,708		417,664		501,981			
COURTHOUSE FURNISHINGS REQUEST Decision Item Priority # 11								
Courthouse Furnishings							1,306,349	
Total Courthouse Furnishings (GF)	171,708		417,664		501,981		1,306,349	

BRANCH: JUDICIAL
PROGRAM: OFFICE OF THE STATE COURT ADMINISTRATOR

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS COURTHOUSE FURNISHINGS								
Long Bill Appropriation	245,699		269,775		501,981			
FY 1994 Rollforward	96,487							
FY 1995 Rollforward	(148,032)		148,032					
Reversion	(22,446)		(143)					
Total Reconciliation of Courthouse Furn.	171,708		417,664		501,981			
ADA MODIFICATIONS								
Total ADA Modifications (GF)	710		43,530					
RECONCILIATION OF FUNDS ADA MODIFICATIONS								
Long Bill Appropriation	44,240		82,000					
Restricted Expenditure (CFE)			(82,000)					
FY 1995 Rollforward	(43,530)		43,530					
Total Reconciliation of ADA Modifications	710		43,530					
Total Administration	2,520,621	37.7	2,552,586	37.7	2,726,896	37.7	3,589,413	38.7
General Fund	2,520,621	37.7	2,552,586	37.7	2,726,896	37.7	3,589,413	38.7

BRANCH: JUDICIAL
PROGRAM: SPECIAL PURPOSE

I. PROGRAM IDENTIFICATION

- A. Title. Special Purpose
- B. Division. Judicial Administration
- C. Branch. Judicial
- D. Program Administrator. State Court Administrator
- E. Organization Chart. See Summary Section
- F. Description and Authorization.

The Special Purpose section of the budget includes activities conducted throughout the Colorado Judicial Branch. There are three major categories of Special Purpose programs: Benefits (including HLD, STD, Salary Survey, and Anniversary - all known as POTS), Administrative Purposes; and Other Administrative Programs. Many of these programs are required by Constitution or statute.

II. PROGRAM GOALS AND OBJECTIVES

- A. Goal. To implement constitutional, statutory, and program goals governing Special Purpose activities.
- B. Objectives and Performance Measures. Listed in the narratives below.

III. SUPPORT FOR BUDGET REQUEST

- A. Benefits (POTS).
 - 1. Health, Life, & Dental (HLD). The total Fiscal Year 1997 request for HLD is \$4,680,590. This represents a \$434,335 increase over the Fiscal Year 1996 appropriation of \$4,246,255. This increase is primarily due to a significant increase in the number of employees participating in the state health plans. Another significant contributing factor is that the Judicial Branch has been

underfunded for HLD for the past several years; the corresponding data is illustrated in the Schedule 3. The Fiscal Year 1996 estimate and the Fiscal Year 1997 request figures have been calculated by utilizing actual data from the latest month available during this budget preparation time-period.

2. Short-Term Disability (STD). The Fiscal Year 1997 request for STD is \$154,373. This represents a \$28,786 increase over the Fiscal Year 1996 appropriation of \$125,587. The Judicial Branch has also been underfunded for STD for the past several years; corresponding data is illustrated in the Schedule 3.

The Fiscal Year 1996 estimate and the Fiscal Year 1997 request figures have been calculated by utilizing actual data from the latest month available during this budget preparation time-period. However, since Fiscal Year 1997 Salary Survey data is not yet available, the \$154,373 Schedule 3 request does not include corresponding STD on the upcoming Salary Survey. Upon determination of the Judicial Salary Survey request, Judicial's total STD request will increase accordingly. Since STD costs are nondiscretionary expenditures, the Judicial Branch requests that the total Fiscal Year 1997 STD need be fully funded.

3. Salary Survey. Upon receipt of the Executive Branch's Salary Survey report, the Judicial Branch will prepare and submit its Salary Survey request for Fiscal Year 1997.
4. Anniversary. The Fiscal Year 1997 Anniversary request in the Schedule 3 is \$995,156. Per the budget instructions, this amount is calculated at 100 percent of need. Since the Fiscal Year 1997 Salary Survey data is not yet available, this request does not include corresponding anniversary on the potential salary survey allocation. Upon determination of the Judicial Salary Survey request, the Judicial Branch's total anniversary request will increase accordingly.

- B. Administrative Purposes. The Fiscal Year 1997 Administrative Purposes request is \$436,713. It is comprised of various programs which are individually listed and described as follows:

1. Training (\$183,955). This year's request includes a decision item to increase the training funds from the \$71,745 allocation in Fiscal Year 1996. The goal of continuing judicial education is to maintain and improve the professional competency of all staff in the Judicial Branch. Training is considered critical to efficient and effective Branch operations. Statutes and technology are changing rapidly, and demands on the courts have increased dramatically over the past ten years. Judges and staff must keep apprised of changes in order to accurately perform their duties.

The requested budget of \$183,955 will support the following training programs:

- (a) Judicial Officer. This program includes new judges' initial and advanced orientation; attendance at the National Judicial College; and training for juvenile judges, senior judges, magistrates, commissioners, and administrative personnel. Specialized programs and regional programs, such as evidence issues, are also included.
- (b) Probation Staff. This program supports the Probation Institute. The Institute offers a five-day program which has courses and programs relating to specialized job tasks and functions (i.e.: latest information on juvenile offenders, cognitive techniques, etc.). With increasing caseloads and new specialized offender programs, it is critical that probation officers receive an ongoing, quality education.

Efforts continue to be made to include the Department of Corrections, the Division of Criminal Justice, and the Department of Health in planning, organizing, and participating in training efforts. This coordinated approach assists in quality programming and resource sharing.

- (c) Trial Court Staff. This program supports the training of court personnel on new statutes (particularly fees and fines), systems technology, case processing techniques, consumer relations, and enhancing work efficiency. While the Judicial Branch has placed increasing emphasis on training, staff surveys indicate that more training is a top priority. Judges and staff alike consider training opportunities essential for a minimum level of job competence. The Judicial Branch has now established a comprehensive training program which provides a vehicle for the planning and organization of trial court training.

2. Commission on Judicial Discipline (\$91,854). The Fiscal Year 1997 request represents continued funding from the Fiscal Year 1996 allocation. The Commission on Judicial Discipline was created pursuant to Article VI, Section 23(3), of the Colorado Constitution. The Commission consists of (a) four citizen members and two attorney members appointed by the Governor and confirmed by the Senate, and (b) two district court judges and two county court judges appointed by the Colorado Supreme Court. All members are appointed to four-year terms. Commission members are not paid for their services but are reimbursed for expenses pursuant to Section 13-3-103, C.R.S.

The Commission receives and investigates complaints filed against all Colorado state justices and judges. It has the authority to investigate complaints and take disciplinary action against judges for committing acts of misconduct in office; willful or persistent failure to perform duties; intemperance; conduct prejudicial to the administration of justice that brings the judicial office into disrepute; a disability interfering with performance of duties; and violations of the canons of the Colorado Code of Judicial Conduct. The Commission also has the authority

to dismiss a complaint if it is unfounded, frivolous, or solely an appellate matter. In addition, it may make a recommendation to the Colorado Supreme Court for the public reprimand, censure, suspension, removal, or retirement of a judge when a complaint warrants such an action.

The Commission received 290 new complaints during calendar year 1994, and it carried over 2 complaints from 1993. The Commission processed to completion a total of 288 complaints during 1994. In addition, the Commission responded to 534 requests for information about its procedures and jurisdiction.

The Commission's objectives for Fiscal Year 1997 include:

- (a) Providing a forum for the expeditious and fair investigation and disposition of all complaints of judicial misconduct filed against justices and judges.
 - (b) Conducting public outreach through its public information and education program, including holding forums and seminars across the state.
 - (c) Automating the processing of all complaints filed with the Commission and developing a database file of past years' complaints.
3. Judicial Nominating Commissions (\$17,305). The Fiscal Year 1997 request for the Nominating Commissions includes a decision item to increase the funding available for training. In Fiscal Year 1995, the allocation only covered travel. Pursuant to the Colorado Constitution (Article VI, Section 24) there are 23 judicial nominating commissions, one for each of the 22 judicial districts throughout the state and one for both the Supreme Court and the Court of Appeals. The Constitution mandates that the commissions provide a list of nominees to the Governor within thirty days of notice of the resignation, retirement, or other cause of vacancy in a judicial office. Files and information must be maintained on all 23 nominating commissions and their 167 members. In addition, a training program for new nominating commission members (approximately sixty new members every two years) must be planned and conducted.
4. Jury Instruction Revision Committees (\$18,170 General Fund; \$25,000 Cash Funds). The Criminal Jury Instruction Committee is made up of twelve members. It has been in existence since 1972. The Committee is responsible for revising criminal jury instructions when amendments are made to the Colorado Criminal Code or when case law affects the instructions.

The Committee on Civil Jury Instruction Revision consists of 24 members who volunteer their time and work to ensure that the civil jury instructions remain current with changes in statutory law, case law, and rules of procedure. The budget request, which represents continued funding, is to cover expenses for

copying material to be reviewed by committee members, reimburse expenses of the members of the committees, and for contract services for research and drafting.

5. Committees on Civil and Criminal Rules (\$2,423). The Supreme Court established the civil and criminal rules committees sixteen years ago; each is composed of up to 35 private-law practitioners. Both committees are responsible for reviewing proposed rules of procedure and making recommendations to the Supreme Court for possible modification of the rules.

The rules of civil and criminal procedure provide the parameters within which the trial courts operate. Consequently, it is essential that the rules be updated as changes in case law and the statutes occur. The budget request represents continued funding.

6. National Center for State Courts (NCSC) (\$81,548). The NCSC is the nation's leading authority on improving the operations of the state courts. The Center has provided technical assistance to the Judicial Branch in several areas including data automation, child support program operation, and personnel administration. The requested continued funding is based on a formula and schedule similar to that currently used by the National Conference of State Legislators.
7. Appellate Reports ADP (\$16,458). This program is for the maintenance and operational support of the Text Searcher database. The database consists of the Colorado Appellate Opinions, Attorney General Opinions, and the Colorado Revised Statutes. This program also supplies printouts and tapes of opinions to the *Brief Times Reporter* and the *Colorado Lawyer*. The total activity involves a cooperative effort by the Legislative Drafting Office, the Judicial Branch, and the Attorney General. The budget request is for continued funding.

C. Other Administrative Programs.

1. Workers' Compensation (\$1,751,985 General Fund; \$5,718 Cash Funds; \$21,186 Cash Funds Exempt). The Fiscal Year 1997 request is based on data provided by the Office of State Planning and Budgeting.
2. Legal Services (\$122,874). This request represents a continued level of funding.
3. Risk Management Funds (\$354,129 General Fund; \$829 Cash Funds; \$4,200 Cash Funds Exempt). This line item provides funds to pay for insurance-related claims against the Judicial Branch. This request is based upon data provided by the Office of State Planning and Budgeting.

4. Leased Space (\$170,000). Due to the expansion of the Court of Appeals in 1987, the SCAO was relocated. This request will fund a pre-existing lease contract for office space of the SCAO at 1301 Pennsylvania, Denver, Colorado; it represents a continued level of funding.
5. Lease Purchases (\$94,561). The Fiscal Year 1997 lease purchase request is for continued funding for commitments related primarily to telephone systems.
6. Judicial Conference (\$92,642). This request represents a continued level of funding. The majority of expenditures for the conference are for mileage, meals, lodging, and educational materials for judges. Staff support for the conference is provided by the Office of the State Court Administrator (SCAO) and is not included in the budget. Presenters are not paid honorariums.

Section 13-3-102(2), C.R.S. authorizes the Chief Justice of the Colorado Supreme Court to convene all judges of the courts of record of the state at least once annually to discuss recommendations by the SCAO as to the conditions of the docket, the business of the courts of record, and "such other business that will benefit the judiciary and the expedition of the business of the several courts." Judges must attend unless excused by the Chief Justice. Judges are kept apprised of legislative changes, case law developments, and new techniques to improve efficiency and effectiveness in the performance of their responsibilities to the citizens of Colorado.

7. Retired Judges (\$56,160). The Retired Judge Program is authorized in Article VI, Section 5(3) of the Colorado Constitution and Sections 13-3-110(4), 13-4-104.5 and 24-51-1105, C.R.S. Retired judges provide support to the judicial districts for (1) criminal and juvenile cases in danger of being dismissed under the speedy trial rules, (2) cases in which the Chief Justice has appointed a senior judge to serve on a penalty panel or to cover the docket of a sitting judge during such service, (3) cases that must be rescheduled to the detriment of the litigants as a result of illness, unexpected absence, or disqualification of a judge when the calendar is already set and other local judges cannot be assigned to hear the cases, and (4) cases that would be delayed if judges participate in judicial education and training programs.

During Fiscal Year 1995, the Legislature expanded the program by increasing the allowed participation level from sixty to ninety days per year at the discretion of the Chief Justice. Accordingly, Senate Bill 95-035 provided \$12,825 as a supplement to the Fiscal Year 1996 Long Bill appropriation of \$43,335. The Fiscal Year 1997 request of \$56,160 is, therefore, a request for a continued level of funding that is currently provided by the Long Bill and Senate Bill 95-035.

8. Appellate Reports Publication (\$68,040). The funds requested herein pay for costs associated with the publication of published volumes of the Supreme Court's and Court of Appeals' opinions pursuant to Section 13-2-125, C.R.S. In 1982, West Publishing Company was designated as the official reporter of these decisions. The Fiscal Year 1997 request is a continued level of funding.
9. Dispute Resolution (\$188,473 General Fund; \$370,000 Cash Funds). The Fiscal Year 1997 budget request includes a decision item which will expand the ADR program. Refer to Decision Item # 9 for detailed explanation. The Office of Dispute Resolution (ODR) offers mediation to individuals who prefer to resolve disputes outside of an adversarial courtroom setting. ODR also monitors all other alternative dispute resolution (ADR) programs in the courts, and it provides technical assistance to judges and judicial administrators in establishing all types of ADR programs. Over forty dispute resolution programs are now available in seventeen of the 22 judicial districts. Expansion of services in Adams, Jefferson, and Arapahoe Counties and contracts with additional mediators in La Plata County are planned for the near future.

During Fiscal Year 1995, ODR significantly expanded the role of alternative dispute resolution in the Judicial Branch. This has led to rapid program growth - about thirteen percent per year. Of the 3,238 cases filed with ODR, 2,125 mediations, both domestic and civil, were completed and 1,488 reached agreement. ODR has recently established a Multi-Door Office at Arapahoe County to screen civil cases and assist parties in establishing ADR plans. It has also contracted with additional private mediators to handle court-ordered cases in Eagle, Summit, Clear Creek, and Lake Counties.

ACTUAL COMPARISONS

ITEM	FY 1994 EST. YR. OBJECTIVE	FY 1994 ACTUAL PERFORMANCE	FY 1995 EST. YR. OBJECTIVE	FY 1995 ACTUAL PERFORMANCE
Mediations	1,832	1, 869	2,000	2,125

10. Alimony and Support System (\$80,000 General Fund; \$147,578 Cash Funds; \$38,000 Cash Funds Exempt). This budget request represents continued funding, but the split between fund source is requested differently from the Fiscal Year 1996 appropriation. These costs are associated with the operation of the alimony and support system. Approximately 30,000 alimony and support payments are processed through bank lockbox systems each month for the larger courts. This comprises an estimated eighty percent of alimony and support payments processed by the court system statewide. The remaining lower-volume courts

receive the payments, manually record them in the court registry, and forward the payment (either the check actually received or a new court check) on to the recipient. The Judicial Branch continues to study ways in which to improve the efficiency of the alimony and support system and enhance service to the public. The Branch has identified this area as one with great potential for statewide standardization and privatization.

11. Commission on Judicial Performance (\$92,811 General Fund; \$11,100 Cash Funds). The Fiscal Year 1997 budget request includes Decision Item # 7. The Commission on Judicial Performance was established in 1988. Each judicial district has a commission responsible for evaluation of its judges. The number of judges evaluated for retention in any given election year ranges from 75 to 116. Questionnaires for judges and justices are sent out every two years. The large volume of surveys and dissemination of public information requires staff assistance, which is requested in the decision item.
12. Child Support Enforcement (\$23,700). This continued level of funding request includes partial funding for a position to coordinate the courts' role in the child support program with the roles performed by the state and county child support enforcement offices. The balance of the funding is provided by the Federal government. The Judicial Branch and the Department of Human Services (DHS) cooperate in a joint effort to increase the collection of court-ordered child support payments. Collections in the state child support program have more than doubled since this joint effort began.

Seven regional training programs were held around the state in Fiscal Year 1995 focusing on the implementation of the model Uniform Interstate Family Support Act (UIFSA) in Colorado. This new law replaces the thirty-year-old Uniform Reciprocal Enforcement of Support Act and significantly alters the processing of interstate child support cases, which currently make up about one-third of the total child support caseload. Over 500 judges, magistrates, court clerks, and child support workers were trained on the details of UIFSA, which promises to greatly streamline the handling of interstate cases and should lead to an increase in the amount of child support collected in these cases.

Tasks for Fiscal Year 1996 include an assessment of the most cost-effective method for the processing of the child support payments still being handled by the courts. This investigation will determine if these payments should continue to flow through the courts or if they should be processed by the Family Support Registry, which currently handles payments for cases in which a county child support unit is involved. Fiscal Year 1996 will also see the reformation of the Colorado Child Support Commission which must submit a report to the Governor and the General Assembly by January 1996. The Child Support Coordinator serves as a representative of the Judicial Branch on this Commission.

IV. CONTINUATION BUDGET ASSUMPTIONS / CALCULATIONS

SPECIAL PURPOSE

ITEM	AMOUNT
FY 1996 Long Bill Appropriation	\$9,392,574
FY 1996 Salary Survey	(915,935)
FY 1996 Courthouse Security	(40,000)
Increase in HLD	434,335
Increase in STD	28,786
Decrease in Anniversary	(59,850)
Increase in Workers' Compensation	669,692
Increase in Risk Management	68,595
Retired Judge Bill (SB 95-035)	12,825
Decision Item Priority Number 6	119,210
Decision Item Priority Number 12	172,958
Decision Item Priority Number 7	77,628
FY 1997 Total Request	\$9,960,818

SCHEDULE 2D - DECISION ITEM

Branch Priority 6

<u>DECISION ITEM TITLE</u>	Increase in Training Funds
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Special Purpose, Administrative Purposes
<u>STATUTORY AUTHORITY</u>	N/A

DESCRIPTION OF DECISION ITEM

Background:

The Judicial Branch provides training for judges, probation staff, trial court staff, and nominating commission members. The training appropriation for Fiscal Year 1996 is \$71,745. The appropriation covers training for the entire system and amounts to about \$35 per person.

Need:

This decision item requests \$119,210 in additional training funds. The funds would be used to provide a minimum level of course work to trial court staff, probation staff, and judges as described below.

Trial Court Staff: Of the \$119,210, \$41,210 is requested to provide at least fifteen hours of training for all trial court staff (about 1,100). Training in such areas as customer service, safety, statutory changes, case processing, fee collection, and human resource management is critical. Staff in the courts are facing an ever difficult environment, with rapidly changing laws, increasing pressure from growing caseloads, and a rise in security issues. The caseload is also changing, with a growing number of pro se litigants - those litigants who manage their case without an attorney. Over sixty percent of the domestic caseload is now pro se, resulting in customers who need more technical assistance from staff.

Probation Staff: An additional \$22,000 is requested for probation staff training. Probation staff face similar problems as trial court staff, with growing and changing caseload. The increase is needed to meet the standard of forty hours of required training in Level 1 Probation Safety for all probation staff; sixteen hours of required safety training for support staff; and forty hours of Level 2 Probation Safety training for officers

who conduct field visits. With the growing number of incidents of violence, the Judicial Branch has limited field contacts to officers fully trained in Probation's eighty hour program. Field contacts are an essential element of probation supervision.

Judicial Officer: Finally, \$49,000 is requested to enhance training for judges. Key areas that require in-depth training are domestic violence cases, juvenile cases, and pro se litigants. There are an increasing number of pro se litigants in Colorado and nationwide. In divorce cases, it is estimated that sixty percent of the cases have no attorney on either side. Pro se litigants represent themselves. Lacking legal skills, they need specialized help from judges and their staff. An additional area of much needed training is docket management. Expediting cases is a top priority of the Judicial Branch. In order to move cases quickly, judges need training in techniques and procedures. Finally, there is a real need to provide training on statutory changes. During the last legislative session, there were 53 bills enacted which had a substantive impact on judges' duties and responsibilities. The justice field is changing rapidly, and training is critical.

Nominating Commissions: There is a real need to provide adequate training for nominating commissions. This decision item requests \$7,000 in additional funding for this purpose. The 167 individuals serving on nominating commissions need to understand the functions and responsibilities of judges, as well as fully understand nominating criteria. One-third of the members are replaced each year. The existing budget of about \$10,000 was only adequate to cover travel last year.

Assumptions:

- ✓ All Judicial Branch staff will receive a minimum of fifteen hours training.
- ✓ Probation will meet the required hours specified above.

Goals:

- ✓ To provide citizens quality service in the trial courts.
- ✓ To ensure that trial court staff are adequately trained to handle the increasing caseload.
- ✓ To ensure that probation officers are adequately trained to carry out their duties.

Objectives:

- ✓ The purpose of this decision item is to obtain the funding necessary to implement comprehensive statewide training programs for all judicial staff. This is critical in the current environment.

Performance Measures:

System-wide training standards are new to the Judicial Branch. The Judicial Branch will develop performance measures and feedback mechanisms to determine if required hours are fulfilled and to evaluate the programs.

Management Innovations:

Because of increasing pressure on court and probation staff, the Judicial Branch has stepped up its training programs. To the extent possible, the programs are developed and conducted by Judicial Branch staff. This results in substantial savings. Additionally, where possible, the Judicial Branch has worked with other criminal justice agencies. For example, the Department of Corrections, the Division of Criminal Justice, and the Department of Health, as well as other agencies, have joined the Judicial Branch in creating the Interagency Training Alliance. This group successfully held the 1995 Interagency Training Institute that provided 7,200 hours of training to over 600 participants.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
General Fund	Special Purpose,	Trial Court Training	\$41,210	\$41,210
	Admin. Purposes	Probation Training	\$22,000	\$22,000
		Judges Training	\$49,000	\$49,000
		Nominating Commission Training	\$7,000	\$7,000
		Total Request	\$119,210	\$119,210

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Special Purpose	\$10,057,618	6.6	\$9,354,724	6.8	\$9,591,022	6.8

* Excludes decision items.

BUDGET ASSUMPTIONS

The Judicial Branch has about 2,000 staff that need ongoing training. At an average cost of \$95 per person, the Judicial Branch will be able to provide a minimum of fifteen hours for trial court staff and forty hours for probation staff.

SCHEDULE 2D - DECISION ITEM

Priority 7

<u>DECISION ITEM TITLE</u>	Increase Funding for Commissions on Judicial Performance
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Special Purpose
<u>STATUTORY AUTHORITY</u>	Section 13-5.5-101 et seq., C.R.S.

DESCRIPTION OF DECISION ITEM

Background:

Judicial performance commissions were established in 1988, pursuant to Section 13-5.5-101, C.R.S. The law provides for a judicial performance commission in each of the 22 judicial districts to provide "persons voting on the retention of justices and judges with fair, responsible, and constructive information about judicial performance and to provide justices and judges with useful information concerning their own performances." There are 230 citizen commission members statewide. The commissions are responsible for conducting comprehensive surveys on a judge's performance; developing a narrative regarding the performance; and disseminating the information through TV, radio, newspapers and public service announcements. Since the commencement of the program seven years ago, 269 judges and justices have been evaluated.

Judicial performance commissions have suffered from inadequate funding. Funding for evaluations and preparation/dissemination of information has amounted to less than \$750 per district. The Fiscal Year 1995 and 1996 appropriation for all commissions in the state is \$15,183 in General Funds and \$11,100 in Cash Funds. The cash funding is intended to come from private donations. However, donations have not materialized. Only \$2,000 per year has been donated over the past four years. Beginning in May 1995, donations to support activities of the commissions were aggressively solicited by the State Commission from over 230 organizations and individuals. No donations were forthcoming from this effort. A complete report on the subject has been provided to the Legislature pursuant to Footnote #80 of the Fiscal Year 1996 Long Bill.¹

¹ Footnote #80 states: "In accordance with Section 13-5.5-108, C.R.S., it is the intent of the General Assembly that General Fund support for this line item cease after the completion of FY 1995-96. The Department is requested to develop a plan for obtaining adequate funding from grants and donations. A report on this plan should be provided to the Joint Budget Committee by October 15, 1995."

The lack of personal services and operating dollars limits the ability of the commissions to perform in accordance with their statutory mandates. For example, staff is authorized in Section 13-5.5-103(j), but has never been funded.

Need:

This decision item requests funding for half-time professional staff, half-time support staff, and operating dollars to support the program. Without such support, it is not possible to continue the program and fulfill the statutory mandate. The Judicial Branch has strongly supported performance commissions because of the important role they play in evaluating judges and providing information to the public. It is clear that the public is interested in feedback on judicial performance, and the 22 commissions can be one of the most important vehicles for providing that feedback.

Goal:

- ✓ To ensure that the public has access to information on a judge's performance.

Objectives:

- ✓ To continue the judicial performance program in 22 judicial districts.
- ✓ To provide each of the 22 commissions with adequate professional and clerical support.
- ✓ To provide each of the 22 commissions with sufficient operating dollars for dissemination of information.

Performance Measures:

In 1994, the commissions evaluated 87 judges and disseminated information on these evaluations to Colorado's voters. The commissions recommended retention of 84 judges and non-retention of three judges. Two judges were voted off the bench.

In 1996, 107 judges are scheduled for retention votes and will be evaluated by commissions. The success of the commissions' efforts are directly related to the ability to reach the voters prior to election.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
General Fund	Special Purpose	Staff Salaries	\$37,057	\$37,057
		PERA	\$4,299	\$4,299
		Medicare	\$537	\$537
		Subtotal	\$41,893	\$41,893
		Operating	\$35,735	\$6,817
		Contract Services	\$0	\$26,000
		Total Request	\$77,628	\$74,710

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Special Purpose	\$10,057,618	6.6	\$9,354,724	6.8	\$9,591,022	6.8

* Excludes decision items.

BUDGET ASSUMPTIONS

Salaries of \$37,057 include a .5 FTE program coordinator at \$25,000 and .5 FTE secretarial support at \$12,057. Operating includes mailing and printing profiles on judges (\$30,735) and training/travel for 230 commission members (\$5,000). Contract services includes independent consultant fees for collecting and analyzing survey data on judges' performance (\$26,000). Contract services are needed only during an election year. The decision item assumes continued general funding of \$15,183.

SCHEDULE 2D - DECISION ITEM

Branch Priority 9

<u>DECISION ITEM TITLE</u>	Funding for Expansion of Alternative Dispute Resolution
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Special Purpose, Office of Dispute Resolution
<u>STATUTORY AUTHORITY</u>	Section 13-22-301, C.R.S.

DESCRIPTION

Background:

What is ADR/The Colorado Dispute Resolution Act. Alternative Dispute Resolution (ADR) is a term generally used to describe mediation, arbitration and other alternatives to litigation. The use of ADR in the Colorado courts was originally authorized in 1984 by the Colorado Dispute Resolution Act which permitted judges to order certain cases to mediation. This statute was amended in 1992, to allow judges to order to a wide range of ADR processes (e.g., mediation, arbitration, summary jury trials, mini-trials, and multi-door courthouses).

Legislative Funding of ADR. The development of ADR in Colorado's courts was originally funded by an annual appropriation from the Colorado Legislature ranging from \$23,000 to \$95,600. From July 1990 through June 1995, the Judicial Branch received no General Fund dollars earmarked for ADR. The Fiscal Year 1996 budget includes an allocation of \$15,515 for ADR.

Cash Funding Through ODR Mediation Service. The development of court-related ADR has been accomplished almost exclusively from the cash funds generated by a mediation service run by the Judicial Branch's Office of Dispute Resolution (ODR). ODR offers a low-cost mediation service (through contracts with private mediators) for all types of disputes (domestic, civil, probate, juvenile, etc.). This service is now available in sixteen of the 22 judicial districts. ODR has an impressive, results-oriented record.

- ✓ Since its inception in 1984, ODR has handled over 10,000 cases.
- ✓ In the last three years, ODR has completely or partially resolved seventy percent of the disputes mediated.
- ✓ During Fiscal Year 1995, ODR was able to help parties completely or partially resolve over 1,450 disputes. Almost 1,350 of these involved divorcing couples.

- ✓ The number of cases ordered to mediation continues to grow annually. In the last three years, the number of cases mediated by ODR has increased 85 percent.

The cash funds generated from the ODR mediation service have been sufficient to pay the costs of the mediation service but have not created significant revenues to fund ADR development.

The Trend Toward Privatization. Parties who are court-ordered to mediation may select either a “court” mediator (ODR has contracts with 25 private mediators) or a private mediator. The availability of court mediators was originally important to the use of ADR: many judges were unwilling to require parties to mediate without assurance that high quality, low cost services were available. However, in recent years, private ADR services have expanded and are handling an increasing number of court-ordered cases. It is estimated that in at least half the cases currently referred to ADR, parties select private providers (statistics on the total number of cases referred each year to ADR will be available beginning in Fiscal Year 1997). This trend toward privatization is expected to continue: the number of cases ordered to ADR will most likely continue to increase, but fewer cases will probably be handled by ODR. For example, a new ADR program at the Arapahoe County Justice Center anticipates that private ADR providers will handle all arbitration and case evaluation of district court civil cases, even though the parties will continue to have the choice between court mediators and private mediators. As a result, the ODR cash funds will not be a significant source of funding for future ADR development in the courts.

The Development of ADR. Under the Colorado Dispute Resolution Act (13-22-301), judges have discretion to order cases to a wide range of ADR processes. This discretion has permitted the development of ADR programs to be tailored to meet the local needs of the courts, and there are now more than forty ADR programs in Colorado’s courts (see attached inventory). However, most of these ADR programs have been developed without funding: ADR program development has depended primarily on enthusiastic and energetic judges and on the availability of assistance from the Office of Dispute Resolution (which consists of whatever limited time the ODR Director has available). Nonetheless, the Judicial Branch has an impressive record. During Fiscal Year 1995, the Judicial Branch (in addition to running the ODR mediation service):

- ✓ Established new domestic mediation programs in three judicial districts.
- ✓ Hired and trained thirteen new contract mediators to handle court-ordered domestic and civil mediations in five judicial districts.
- ✓ Created new Dependency and Neglect Mediation Pilot Projects in two judicial districts.
- ✓ Created Colorado’s first Multi-Door Courthouse which will result in the referral of domestic, juvenile, probate and district court civil cases to a range of ADR processes and will include a “case screening” process to assist parties to create ADR plans for individual cases.

- ✓ Created a new Civil ADR Continuance program in one judicial district.
- ✓ Started a limited evaluation to assess the impact on the court of the use of mediation in domestic and dependency and neglect cases.

Need:

Knowledge of the Impact of ADR. The court-related ADR programs described above have been developed based on the following assumptions:

- ✓ ADR can result in final resolution of disputes.
- ✓ Some cases are more appropriate for ADR than for litigation; e.g., in cases which involve on-going business or personal relationships, the use of mediation, which permits parties to resolve relationship issues, is more appropriate than a court hearing where the only issues are the legal rights of the parties.
- ✓ The integration of ADR into the court system assists the court in dealing with overflowing dockets. It also has a positive impact on the efficient use of judicial resources by reducing the number of court hearings, the number of continuances, and the duration of cases, thus permitting judges to spend time on cases which need judicial attention.
- ✓ The use of ADR is often faster and less expensive for litigants and for other parties involved (e.g., for social services, in dependency and neglect cases).
- ✓ Parties who use ADR in appropriate cases are more satisfied with the ADR process than they are with litigation.

The experience of the Judicial Branch in the last ten years, with over 10,000 cases, has demonstrated that the first assumption is correct: In some cases, ADR can resolve disputes.

Testing the Assumptions About ADR. The remaining four assumptions have not been tested, except in very limited ways. The anecdotal evidence certainly suggests that ADR can have a beneficial impact on the efficient use of court resources, can save time and money for litigants, can result in greater user satisfaction, and is, therefore, more appropriate than litigation in some cases. However, these four assumptions need significant assessment and testing if they are to become the cornerstone for the development of a comprehensive state-wide plan for the use of ADR. The following questions need to be addressed in a systematic way:

- ✓ In what **types of cases** is ADR appropriate? ODR's experience during the last ten years indicates that mediation may be beneficial in a broad range of cases (including civil, domestic, juvenile, probate and criminal) with certain limitations. The extent of these limitations needs to be examined (e.g., limits on mediation in domestic violence cases and protection of constitutional rights in criminal cases).

- ✓ What **type of ADR** is appropriate? Although ODR has extensive experience with mediation, little information is available on the use of other types of ADR.
- ✓ For each type of case, **how should cases be referred** to ADR? Should ADR be required in all cases of a certain type or should cases be referred only on a case-by-case basis or should ADR be voluntary (i.e., not court-ordered)?
- ✓ For each type of case, **when should cases be referred** to ADR? Should ADR be required early in the court process or after the case is ready for trial?
- ✓ Are different types of ADR programs required in **rural and urban areas** of the state?
- ✓ Are there **other local factors** that influence planning decisions about ADR? These might include the district's caseload, the attitude of local judges and the members of the bar.
- ✓ In light of the increasing number of **pro se and indigent** litigants (current estimates suggest that over sixty percent of domestic cases involve at least one *pro se* party), what services must the court make available to assure access to the judicial system, and what is the impact of a mandatory ADR program where ADR services are paid for by the users?

Current Evaluation. During the last several months, the Judicial Branch has undertaken several very limited assessments to begin to measure the impact of ADR. Two mediation programs in Arapahoe County (domestic and dependency & neglect) are being reviewed to assess whether the assumptions about savings to the court and to parties and about user satisfaction are correct. In addition, a new program to screen civil cases will be implemented in Arapahoe County starting October 16, 1995, and an evaluation will be conducted of this program to determine how the courts are using the screening program and the impact of ADR in the civil cases in which it is used. However, these evaluations are not yet complete and are based only on a small number of cases. In addition, they are designed to assess only three programs and will provide only partial answers to the questions posed above.

Three Model Courthouses and Complete Evaluations. Funding is requested for the establishment of three model courthouses and a two-year comprehensive evaluation of the impact of ADR in those models. The purpose of this request is to provide the Judicial Branch with the information about ADR which will furnish the cornerstone for a comprehensive state-wide plan for the use of ADR in the courts. It is expected that each model courthouse (2 urban and 1 rural) will include a full menu of ADR programs (mediation, arbitration, case evaluation, etc.) in a wide range of cases (domestic, civil, juvenile, etc.). The ADR programs will be designed to expand knowledge about the optimal timing and method of referral to ADR. The evaluation will measure the five assumptions detailed above.

Domestic Court Case Manager. Funding is also requested for a domestic case manager in one judicial district to assist pro se and indigent litigants by providing information, streamlining judicial procedures, making appropriate ADR referrals and expediting referrals to other services, particularly for cases involving high-risk children. This position is similarly expected to be a pilot program which will be evaluated on the assumptions detailed above.

Funding Requested. Funding is requested only for program design, implementation and evaluation and for ADR services for indigents. All other ADR services will continue to be paid for by the parties. It is expected that each courthouse will include at least four distinct ADR programs: domestic mediation, ADR in district court civil cases, juvenile mediation (including dependency & neglect mediation) and ADR in probate cases. While some of these programs may already be in existence, others may take time to plan and implement and will require significant staff assistance.

Goal:

- ✓ To continue expansion of ADR.

Objectives:

- ✓ To establish three pilot projects to implement a range of approaches to different types of ADR in different types of cases.
- ✓ To test the use of a Domestic Court Case Manager to improve access of indigent and pro se litigants to the courts.
- ✓ To measure the impact of the use of different types of ADR on the use of court resources, the time and cost of dispute resolution for litigants, and user satisfaction.

Performance Measure:

- ✓ Report on results of pilot projects and evaluations of pilots.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	DECISION ITEM	FY 1997	FY 1998
General Fund	Special Purpose Mediation	Director	\$43,484	\$46,340
		3 ADR Coordinators (1/4 time)	\$29,675	\$31,167
		Evaluation (1/4 time)	\$9,894	\$10,389
		Domestic Case Manager	\$33,456	\$35,124
		PERA	\$13,515	\$14,270
		Medicare	\$1,689	\$1,784
		Capital Outlay	\$13,245	\$0
		Operating	\$3,000	\$3,000
		Indigent Mediation (100 cases @ 250)	\$25,000	\$25,000
		Total Request	\$172,958	\$167,074

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Special Purpose	\$10,057,618	6.6	\$9,354,724	6.8	\$9,591,022	6.8

* Excludes decision items.

BRANCH: JUDICIAL
PROGRAM: SPECIAL PURPOSE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
HEALTH, LIFE, & DENTAL								
Supreme Court	64,279		65,633		68,376		68,376	
Court of Appeals	135,431		142,555		145,034		145,034	
Judicial Administration	69,748		67,678		68,702		68,702	
Discipline Commission	5,287		3,099		3,099		3,099	
Child Support	1,406		1,191		1,778		1,778	
Dispute Resolution (CF)	5,653		3,896		7,003		7,003	
IIS - Administration	32,187		36,724		37,266		37,266	
IIS - Hardware / Software Maintenance	907							
IIS - Court Automation Contract Services	10,913		15,232		22,841		22,841	
IIS - Court Automation Personal Services	5,113		6,934		7,089		7,089	
IIS - Court Automation Maintenance	2,176							
IIS - Prob. Automation Contract Services	1,756		1,676		209		209	
IIS - Prob. Automation Maintenance	2,743		17					
Trial Court - Personal Services (GF)	2,395,158		2,585,351		2,615,503		2,710,023	
Trial Court - Personal Services (CFE)							2,363	
Trial Court - Collections Investigators (CF)	48,572		62,027		69,220		69,220	
Trial Court - Court-Appointed Counsel	1,702		1,778		1,778		1,778	
Trial Court - Jury Costs	39,332		40,388		41,977		41,977	
Probation - Personal Services	924,567		1,019,015		1,013,577		1,088,472	
Probation - Offender Services (CF)	7,705		7,407					
Probation - Drug Initiative	1,194		1,637					
Probation - Drug Offender Assessment (CF)			6,674		12,904		12,904	
Probation - Collections Investigators (CF)	8,407		7,731		9,509		9,509	
Probation - Educational / Vocational Svc.	38							
Probation - Female Offender			3,421		5,381		5,381	
Probation - ADDS (CFE)	132,171		134,273		132,903		132,903	
Judicial / Heritage Complex	5,172		6,285		6,655		6,655	
Trial Court 26.2 New Case Proc. Staff in FY 1996					63,801			
Trial Court 6.0 New Bailiffs & Clerks in FY 1996					14,178			
Trial Court .5 New Magistrate in FY 1996 (CFE)					2,363			
Trial Court .3 Clerk - Child Abuse Bill (HB 95-1109)					2,363			
Trial Court 6.0 New Judge Bill (SB 95-66)					14,178			

BRANCH: JUDICIAL
PROGRAM: SPECIAL PURPOSE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Probation 8.5 New FTE in FY 1996					21,267			
Prob. 25.2 FTE - Prison Bill (HB 95-1352) in FY 1996					53,628			
Prob. 16.8 FTE - Prison Bill (HB 95-1352) in FY 1997							37,153	
Trial Court Decision Item Priority # 1							101,609	
Probation Decision Item Priority # 2							56,712	
Probation Decision Item Priority # 3 (CFE)							16,541	
Supreme Court Decision Item Priority # 4							4,726	
Court of Appeals Decision Item Priority # 4							2,363	
Administration Decision Item Priority # 5							2,363	
Judicial Performance Decision Item Priority # 7							4,726	
ODR Decision Item Priority # 12							11,815	
Total Health, Life, & Dental Expense	3,901,617		4,220,622		4,442,582		4,680,590	
General Fund	3,699,109		3,998,614		4,208,680		4,430,147	
Cash Funds	70,337		87,735		98,636		98,636	
Cash Funds Exempt	132,171		134,273		135,266		151,807	
Approp. Shortfall - Expense Absorbed								
Within Other Schedule 3 Budgets	(126,283)		(314,699)		(142,699)			
Net Health, Life, & Dental Expense	3,775,334		3,905,923		4,299,883		4,680,590	
General Fund	3,578,855		3,840,224		4,093,434		4,430,147	
Cash Funds	65,705		65,699		76,480		98,636	
Cash Funds Exempt	130,774		0		129,969		151,807	
RECONCILIATION OF FUNDS								
HEALTH, LIFE, & DENTAL								
Long Bill Appropriation	3,578,495		4,168,787		4,246,255			
State Contribution Rate (HB 93-1127)	208,589							
Prison Bill (HB 95-1352) FY 1996 Allocation					53,628			
Restricted Funds			(1)					
Reversion	(11,750)		(262,863)					
Total Reconciliation of Health, Life, & Dental	3,775,334		3,905,923		4,299,883			

BRANCH: JUDICIAL
PROGRAM: SPECIAL PURPOSE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
SHORT-TERM DISABILITY								
Supreme Court	2,125		2,251		2,200		2,492	
Court of Appeals	4,673		4,905		5,220		5,203	
Judicial Administration	2,908		3,450		3,900		3,825	
Discipline Commission	175		158		160		158	
Child Support	83		73		112		116	
Dispute Resolution (CF)	125		105		162		175	
IIS - Administration	1,421		1,587		1,800		1,637	
IIS - Hardware / Software Maintenance	26							
IIS - Court Automation Contract Services	498		691		825		861	
IIS - Court Automation Personal Services	136		329		279		463	
IIS - Court Automation Maintenance	51							
IIS - Prob. Automation Contract Services	69		75		21		104	
IIS - Prob. Automation Maintenance	78		2					
Trial Court - Personal Services	64,023		70,498		73,004		75,794	
Trial Court - Collections Investigators (CF)	1,169		1,423		1,618		1,618	
Trial Court - Court-Appointed Counsel	30		67		72		89	
Trial Court - Jury Costs	475		395		685		750	
Probation - Personal Services	37,839		42,050		43,509		49,174	
Probation - Offender Services (CF)	142		160					
Probation - Drug Initiative	70		65					
Probation - Drug Offender Assessment (CF)			310		583		641	
Probation - Collections Investigators (CF)	188		200		303		303	
Probation - Educational / Vocational Services	7							
Probation - Female Offender			221		310		443	
Probation - ADDS (CFE)	4,189		4,137		4,207		4,584	
Judicial / Heritage Complex	238		246		252		268	
Trial Court 26.2 New Case Proc. Staff in FY 1996					930			
Trial Court 6.0 New Bailiffs & Clerks in FY 1996					225			
Trial Court .5 New Magistrate in FY 1996 (CFE)					27			
Trial Court .3 Clerk - Child Abuse Bill (HB 95-1109)					11			
Trial Court 6.0 New Judge Bill (SB 95-66)					150			
Probation 8.5 New FTE in FY 1996					491			

BRANCH: JUDICIAL
PROGRAM: SPECIAL PURPOSE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Prob. 25.2 FTE - Prison Bill (HB 95-1352) in FY 1996					1,065			
Prob. 16.8 FTE - Prison Bill (HB 95-1352) in FY 1997							1,133	
Trial Court Decision Item Priority # 1							2,084	
Probation Decision Item Priority # 2							1,388	
Probation Decision Item Priority # 3 (CFE)							498	
Supreme Court Decision Item Priority # 4							116	
Court of Appeals Decision Item Priority # 4							48	
Administration Decision Item Priority # 5							85	
Judicial Performance Decision Item Priority # 7							78	
ODR Decision Item Priority # 12							245	
Total Short-Term Disability Expense	120,738		133,398		142,121		154,373	
General Fund	114,925		127,063		135,221		146,554	
Cash Funds	1,624		2,198		2,666		2,737	
Cash Funds Exempt	4,189		4,137		4,234		5,082	
Approp. Shortfall - Expense Absorbed Within Other Schedule 3 Budgets	(6,154)		(22,886)		(16,263)			
Net Short-Term Disability Expense	114,584		110,512		125,858		154,373	
General Fund	109,618		109,618		119,192		146,554	
Cash Funds	1,281		894		2,666		2,737	
Cash Funds Exempt	3,685		0		4,000		5,082	
RECONCILIATION OF FUNDS SHORT-TERM DISABILITY								
Long Bill Appropriation	114,584		114,584		125,587			
Prison Bill (HB 95-1352) FY 1996 Allocation					1,065			
Reversion			(4,072)		(794)			
Total Reconciliation of Short-Term Disability	114,584		110,512		125,858			

BRANCH: JUDICIAL
PROGRAM: SPECIAL PURPOSE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
SALARY SURVEY								
Supreme Court	7,776		37,771		20,265			
Court of Appeals	1,662		59,674		41,953			
Judicial Administration	29,545		16,296		43,299			
Dispute Resolution (CF)								
IIS - Administration	4,341		3,383		23,913			
IIS - Court Automation Contract Services			2,157					
IIS - Court Automation Personal Services			2,157					
Trial Court - Personal Services	279,570		1,711,457		293,979			
Trial Court - Collection Investigators (CF)			36,787					
Trial Court - Court-Appointed Counsel	265		482					
Probation - Personal Services	80,747		492,053		491,047			
Probation - Collection Investigators (CF)			6,492					
Probation - ADDS (CFE)								
Judicial / Heritage Complex	310		1,520		1,479			
Transfer to Public Defender	58,617							
Salary Survey Subtotal	462,833		2,370,229		915,935			
General Fund	462,833		2,326,950		915,935			
Cash Funds	0		43,279		0			
Cash Funds Exempt	0		0		0			
ANNIVERSARY								
Supreme Court	5,501		5,501		5,686		6,305	
Court of Appeals	36,431		36,431		39,457		26,127	
Judicial Administration	20,925		20,925		11,749		11,626	
Child Support							2,293	
Dispute Resolution (CF)					5,494		6,211	
IIS - Administration	10,150		4,464		11,000		10,175	
IIS - Court Automation Contract Services			2,843				19,784	
IIS - Court Automation Personal Services			2,843				3,957	
Trial Court - Personal Services	486,565		486,565		563,211		517,542	
Trial Court - Collection Investigators (CF)			16,832		22,511		16,703	
Trial Court - Court-Appointed Counsel	285		285		1,781		2,035	

BRANCH: JUDICIAL
PROGRAM: SPECIAL PURPOSE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Probation - Personal Services	235,656		235,656		328,919		282,444	
Probation - Drug Offender Assessment (CF)							8,128	
Probation - Collection Investigators (CF)			2,978		1,893		5,563	
Probation - Female Offender					17,558		4,946	
Probation - ADDS (CFE)					42,095		69,093	
Judicial / Heritage Complex	455		455		3,652		2,224	
Anniversary Subtotal	795,968		815,778		1,055,006		995,156	
General Fund	795,968		795,968		983,013		889,458	
Cash Funds	0		19,810		29,898		36,605	
Cash Funds Exempt	0		0		42,095		69,093	
Total Salary Survey & Anniversary	1,258,801		3,186,007		1,970,941		995,156	
General Fund	1,258,801		3,122,918		1,898,948		889,458	
Cash Funds	0		63,089		29,898		36,605	
Cash Funds Exempt	0		0		42,095		69,093	
RECONCILIATION OF FUNDS								
SALARY SURVEY & ANNIVERSARY								
Long Bill Appropriation	859,651		3,313,517		1,970,941			
Salary Survey Allocation	487,574							
Reversion	(88,424)		(127,510)					
Total Reconciliation of Salary Survey & Annlv.	1,258,801		3,186,007		1,970,941			
Total POTS (HLD, STD, Salary Survey, Annlv.)	5,148,719		7,202,442		6,396,682		5,830,119	
General Fund	4,947,274		7,072,760		6,111,574		5,466,159	
Cash Funds	66,986		129,682		109,044		137,978	
Cash Funds Exempt	134,459		0		176,064		225,982	
WORKERS' COMPENSATION								
Workers' Compensation (GF)	915,452		1,064,131		1,092,338		1,751,985	
Workers' Compensation (CF)			3,613		3,709		5,718	
Workers' Compensation (CFE)	15,512		12,809		13,150		21,186	
Total Workers' Compensation	930,964		1,080,553		1,109,197		1,778,889	

BRANCH: JUDICIAL
PROGRAM: SPECIAL PURPOSE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS WORKERS' COMPENSATION								
Long Bill Appropriation	930,964		1,080,553		1,109,197			
Total Reconciliation of Workers' Compensation	930,964		1,080,553		1,109,197			
LEGAL SERVICES								
Total Legal Services (GF)	90,313		123,682		122,874		122,874	
RECONCILIATION OF FUNDS LEGAL SERVICES								
Long Bill Appropriation	105,917		123,682		122,874			
Transfer	(10,591)							
Reversion	(5,013)							
Total Reconciliation of Legal Services	90,313		123,682		122,874			
RISK MANAGEMENT								
Risk Management (GF)	113,858		219,838		286,494		354,129	
Risk Management (CF)			531		692		829	
Risk Management (CFE)	1,307		2,591		3,377		4,200	
Total Risk Management	115,165		222,960		290,563		359,158	
RECONCILIATION OF FUNDS RISK MANAGEMENT								
Long Bill Appropriation	98,183		222,960		290,563			
Supplemental Appropriation	17,219							
Reversion	(237)							
Total Reconciliation of Risk Management	115,165		222,960		290,563			
LEASED SPACE								
Total Leased Space (GF)	179,804		170,000		170,000		170,000	

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PROGRAM: SPECIAL PURPOSE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS LEASED SPACE								
Long Bill Appropriation	170,000		170,000		170,000			
Supplemental Appropriation	10,094							
Reversion	(290)							
Total Reconciliation of Leased Space	179,804		170,000		170,000			
LEASE PURCHASES								
Total Lease Purchases (GF)	94,560		91,777		94,561		94,561	
RECONCILIATION OF FUNDS LEASE PURCHASES								
Long Bill Appropriation	94,561		94,561		94,561			
Transfer			(2,784)					
Reversion	(1)							
Total Reconciliation of Lease Purchases	94,560		91,777		94,561			
ADMINISTRATIVE PURPOSES								
Training	55,519		68,007		71,745		71,745	
Discipline Commission	91,187	1.8	85,851	1.8	91,854	1.8	91,854	1.8
Disc. Comm. HLD Expense - Approp. Shortfall	2		3					
Disc. Comm. STD Expense - Approp. Shortfall	175		14					
Nominating Commissions	9,014		10,738		10,305		10,305	
Judicial Conference	108,384							
Jury Instruction Revision Committees (GF)	14,073		18,915		18,170		18,170	
Jury Instruction Revision Committees (CF)			23,494		25,000		25,000	
Civil & Criminal Rules Committees	3,639		3,022		2,423		2,423	
National Center for State Courts	81,548		81,548		81,548		81,548	
Appellate Reports ADP	11,402	0.5	16,254	0.5	16,458	0.5	16,458	0.5

BRANCH: JUDICIAL
PROGRAM: SPECIAL PURPOSE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
ADDITIONAL TRAINING FUNDS REQUEST								
Decision Item Priority # 6								
Increase in Training							112,210	
Increase in Nominating Commissions							7,000	
Decision Item Subtotal							119,210	
Total Administrative Purposes	374,943	2.3	307,846	2.3	317,503	2.3	436,713	2.3
General Fund	374,943	2.3	284,352	2.3	292,503	2.3	411,713	2.3
Cash Funds			23,494		25,000		25,000	
RECONCILIATION OF FUNDS								
ADMINISTRATIVE PURPOSES								
Long Bill Appropriation	395,297	2.3	286,655	2.3	317,503	2.3		
FY 1995 Supplemental (SB 95-182)			25,000					
Transfer								
Restricted Funds	(10,000)							
Reversion	(10,354)		(3,809)					
Total Reconciliation of Administrative Purposes	374,943	2.3	307,846	2.3	317,503	2.3		
JUDICIAL CONFERENCE								
Total Judicial Conference (GF)			72,642		92,642		92,642	
RECONCILIATION OF FUNDS								
JUDICIAL CONFERENCE								
Long Bill Appropriation			72,642		92,642			
Total Reconciliation of Judicial Conference			72,642		92,642			
RETIRED JUDGES								
Total Retired Judges (GF)	43,585		43,328		56,160		56,160	

BRANCH: JUDICIAL
PROGRAM: SPECIAL PURPOSE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS RETIRED JUDGES								
Long Bill Appropriation	43,335		43,335		43,335			
Retired Judge Bill (SB 95-035)					12,825			
Transfer	500							
Reversion	(250)		(7)					
Total Reconciliation of Retired Judges	43,585		43,328		56,160			
APPELLATE REPORTS PUBLICATION								
Total Appellate Reports Publication (GF)	60,690		62,573		68,040		68,040	
RECONCILIATION OF FUNDS APPELLATE REPORTS PUBLICATION								
Long Bill Appropriation	51,834		68,040		68,040			
Transfer	8,856							
Reversion			(5,467)					
Total Reconciliation of Appellate Reports Publ.	60,690		62,573		68,040			
DISPUTE RESOLUTION								
Dispute Resolution	273,010	4.3	305,938	4.3	384,366	4.5	385,515	4.5
HLD Expense - Appropriation Shortfall (CF)	0		3,896		1,149			
STD Expense - Appropriation Shortfall (CF)	125		105					
ADR EXPANSION REQUEST Decision Item Priority # 9								
Increase in Dispute Resolution Funding (GF)							172,958	3.0
Total Dispute Resolution	273,135	4.3	309,939	4.3	385,515	4.5	558,473	7.5
General Fund					15,515	0.2	188,473	3.2
Cash Funds	273,135	4.3	309,939	4.3	370,000	4.3	370,000	4.3

BRANCH: JUDICIAL
PROGRAM: SPECIAL PURPOSE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS DISPUTE RESOLUTION								
Long Bill Appropriation	343,666	4.3	320,437	4.3	385,515	4.5		
FY 1995 Supplemental (SB 95-182)			49,563					
Transfer								
Reversion	(70,531)		(60,061)					
Total Reconciliation of Dispute Resolution	273,135	4.3	309,939	4.3	385,515	4.5		
ALIMONY & SUPPORT								
Alimony / Support System (GF)	506,769		268,767		91,004		80,000	
Alimony / Support System (CF)	208,191		67,467		110,000		147,578	
Alimony / Support System (CFE)							38,000	
Total Alimony & Support	714,960		336,234		201,004		265,578	
RECONCILIATION OF FUNDS ALIMONY & SUPPORT								
Long Bill Appropriation	466,941		690,304		265,578			
Supplemental Appropriation	376,285							
Restricted Funds	(44,809)		(189,859)		(15,807)			
Reversion	(83,457)		(164,211)		(48,767)			
Total Reconciliation of Alimony & Support	714,960		336,234		201,004			
JUDICIAL PERFORMANCE								
Commission on Judicial Performance	16,181		16,183		26,283		26,283	
JUDICIAL PERFORMANCE REQUEST Decision Item Priority # 7								
Increase in Judicial Performance Funding (GF)							77,628	1.0
Total Judicial Performance	16,181		16,183		26,283		103,911	1.0
General Fund	15,182		15,183		15,183		92,811	1.0
Cash Funds	999		1,000		11,100		11,100	

BRANCH: JUDICIAL
PROGRAM: SPECIAL PURPOSE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS JUDICIAL PERFORMANCE								
Long Bill Appropriation	26,283		26,283		26,283			
Transfer								
Reversion	(10,102)		(10,100)					
Total Reconciliation of Judicial Performance	16,181		16,183		26,283			
CHILD SUPPORT ENFORCEMENT								
Child Support Enforcement	15,277		17,447		23,700		23,700	
HLD Expense - Appropriation Shortfall	1,406		3					
STD Expense - Appropriation Shortfall	83		9					
Total Child Support Enforcement (GF)	16,766		17,459		23,700		23,700	
RECONCILIATION OF FUNDS CHILD SUPPORT ENFORCEMENT								
Long Bill Appropriation	16,766		17,604		23,700			
Reversion			(145)					
Total Reconciliation of Child Support Enforc.	16,766		17,459		23,700			
COURTHOUSE SECURITY								
Total Courthouse Security (CFE)					0			
RECONCILIATION OF FUNDS COURTHOUSE SECURITY								
Long Bill Appropriation					40,000			
Restricted Funds					(40,000)			
Total Reconciliation of Courthouse Security					0			
Total Special Purpose	8,059,785	6.6	10,057,618	6.6	9,354,724	6.8	9,960,818	10.8
General Fund	7,359,196	2.3	9,506,492	2.3	8,532,588	2.5	8,973,247	6.5
Cash Funds	549,311	4.3	535,726	4.3	629,545	4.3	698,203	4.3
Cash Funds Exempt	151,278		15,400		192,591		289,368	

BRANCH: JUDICIAL
PROGRAM: SPECIAL PURPOSE

LEASE PURCHASES

SCHEDULE 11

YEAR	ITEM	TERM OF LEASE	FY 1996 ANNUAL COST	FY 1997 ANNUAL COST	FY 1998 ANNUAL COST	FY 1999 ANNUAL COST	FY 2000 ANNUAL COST	TOTAL COST	DATE LEASE EXPIRES
FY 1996	Banc One - Phone System	5 Years	18,009					90,045	FY 1996
FY 1996	Banc One - Phone System	5 Years	23,015					115,075	FY 1996
FY 1996	Raycomm - Phone System	3 Years	8,342					25,026	FY 1996
FY 1996	Interwest - Phone System	3 Years	9,296					27,888	FY 1996
FY 1996-1998	Rolm Siemens - Phone System	5 Years	35,899	38,630	38,630			179,339	FY 1998
FY 1997 Request	Phone Systems	4 Years		55,931	55,931	55,931	55,931	223,724	FY 2000
Total Cost			94,561	94,561	94,561	55,931	55,931	661,097	

BRANCH: JUDICIAL
PROGRAM: JUDICIAL / HERITAGE

I. PROGRAM IDENTIFICATION

- A. Title. Judicial/Heritage Physical Plant Complex
- B. Division. Office of the State Court Administrator
- C. Branch. Judicial
- D. Program Administrator. Facilities Planning Manager
- E. Organization Chart. See Summary Section
- F. Description and Authorization.

Pursuant to Section 24-30-102(1)(h), C.R.S., the Executive Director of the Department of Administration (currently The General Support Services Department) has the duty to supervise the maintenance and other related services of all buildings and grounds in the Capitol Complex. This duty has been delegated to the Office of the State Court Administrator for the Judicial/Heritage Complex.

The Judicial/Heritage Complex consists of two major buildings on one city block, a parking lot adjacent to the Centennial Building, and the steam and electric distribution lines originating from the state power plant. The Judicial Building is a six-story building, including a basement, consisting of 87,490 square feet. This building houses the Supreme Court, Court of Appeals, and the Supreme Court Law Library. The Colorado History Museum is a four-story structure, including a basement, consisting of 136,412 square feet. This building houses the Colorado State Historical Society, the Historic Preservation Unit, the State Archaeologists, and the Commission on Higher Education.

The Judicial/Heritage Complex and the Historical Society operate on a normal 8 a.m. to 5 p.m. work schedule. The museum has public hours of 9 a.m. to 5 p.m. weekdays, 10 a.m. to 5 p.m. weekends and holidays, plus numerous evening activities.

Judicial/Heritage utilities are paid by the Department of General Support Services at a nineteen percent share of the total square feet of all Capitol Complex buildings.

II. PROGRAM GOALS AND OBJECTIVES

- A. Goal. The goal of this program is to provide safe and efficient facilities that serve the operational needs of the Supreme Court, Court of Appeals, Supreme Court Law Library, Colorado History Museum, and the Colorado Commission on Higher Education.
- B. Objectives.
 - 1. To ensure that all building systems are operational.
 - 2. To provide adequate security, housekeeping, and snow removal.
 - 3. To complete routine repair requests within 24 hours.
- C. Performance Measures. Performance is measured by the staff's ability to provide routine and preventive maintenance for the Complex. Daily operations of HVAC equipment, monitoring of all systems equipment, and completion of all preventative maintenance help to reduce equipment failure and downtime. Services also include moving, painting, electrical repair, computer cable installations, lighting, snow removal, and landscaping. The Complex continues to operate very efficiently at a cost of approximately \$1.80 per square foot, excluding utilities. The State Capitol Complex operates at a standard of \$8.25 per square foot.

III. SUPPORT FOR BUDGET REQUEST

- A. Personal Services. The total personal services request for Fiscal Year 1997 is \$368,873. This amount represents continued funding for three FTE and for contract services. It also includes a decision item for additional contract services for a new security guard. Private contractors currently provide some security and housekeeping services for the Complex.
- B. Operating Expense. The total operating request for Fiscal Year 1997 is \$59,281, which is the same as the Fiscal Year 1996 appropriation.
- C. Parking Lot Maintenance. The total parking lot maintenance request for Fiscal Year 1997 is \$4,110, the same amount as the Fiscal Year 1996 appropriation.
- D. Capital Outlay. The Fiscal Year 1997 request for capital outlay is \$67,000, which is a decision item for security equipment.

IV. CONTINUATION BUDGET ASSUMPTIONS / CALCULATIONS

JUDICIAL / HERITAGE COMPLEX

ITEM	AMOUNT
FY 1996 Long Bill Appropriation	\$482,197
Less FY 1996 Capital Outlay	(80,000)
Annualized Salary Survey	1,479
Annualized Anniversary	5,588
Decision Item Number 13	90,000
FY 1997 Total Request	\$499,264

SCHEDULE 2D - DECISION ITEM

Branch Priority 13

<u>DECISION ITEM TITLE</u>	Security for the Judicial/Heritage Complex
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Judicial/Heritage
<u>STATUTORY AUTHORITY</u>	N/A

DESCRIPTION OF DECISION ITEM

Need:

The Judicial/Heritage complex at Two East 14th Avenue houses the Supreme Court of Colorado, the Court of Appeals, the Supreme Court Law Library, and the Colorado History Museum. Twenty-three justices and judges and over 100 support staff work in the Judicial Building, and another 100 staff work in the museum building. The courts, the library, and the museum serve thousands of Colorado citizens each week.

Because of the increased threat of violence directed at public institutions and most especially in the courts, the Branch has evaluated the security of these buildings. The evaluation indicates an immediate need for some basic security measures. Presently, an unarmed security guard is located in the Judicial building during daylight hours only. An unarmed security guard is located at the museum building 24 hours each day to monitor the museum security command center. There are no metal screening systems or x-ray equipment to monitor visitors to the buildings, nor are there any significant barriers against access to the various courtrooms and offices in the buildings.

This decision item requests authority to establish a minimum level of security in the complex. The Branch proposes a combination of capital outlay and additional contract services totalling \$90,000 to provide a variety of security measures that are described in the 'objectives' section.

Assumptions:

- ✓ The threat of violence in the courts will continue to pose significant risk to the individuals working in and visiting the Judicial/Heritage complex.

Goals:

- ✓ To ensure that appropriate security equipment is installed and that adequate security measures are taken, consistent with generally accepted security standards for court and similar public facilities.

Objectives:

The purpose of this decision item is to obtain funding for the following:

- ✓ The installation of a metal detector and x-ray equipment at the southwest entry to the Judicial Building. This doorway would be the only point of public entry to this building. The northwest doors would serve as the public exit from the building.
- ✓ The securing of the stairwell doors at all levels of the building.
- ✓ The installation of card readers at each floor for the staff elevators, to ensure that the elevators only stop at a floor when called by authorized staff.
- ✓ The upgrade of the current black and white camera system to a color camera system, which will enhance identification of building visitors.
- ✓ The purchase of building employee identification cards.
- ✓ The installation of a duress alarm at the desk of each judge and their staff, to request assistance in the event of an emergency.
- ✓ Security system personnel to operate metal detector and x-ray equipment during normal working hours.

Performance Measures:

Access cards can be programmed to record the number and identity of entrants to each secured area, and the controlled public entrance can be used to record the number of visitors to the facility. Security breaches or incidents can also be recorded.

Management Innovations:

The Judicial Branch intends to use the most current and least intrusive security measures available, consistent with the level of security deemed necessary. The most cost effective systems will be employed.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
General Fund	Judicial/Heritage	Contract Services	\$23,000	\$23,000
		Capital Outlay	\$67,000	\$0
		Total Request	\$90,000	\$23,000

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Judicial/Heritage	\$406,828	3.0	\$487,328	3.0	\$409,264	3.0

*Excludes decision items.

BUDGET ASSUMPTIONS

The following are the current cost estimates for the security equipment:

1. Metal detectors and X-ray equipment	\$21,195
2. Stairwell doors	6,000
3. Card reader for 5th floor elevator only	2,245
4. Security system upgrade	27,000
5. Camera upgrades	8,000
6. Identification badges	560
7. Duress alarms	2,000
	<u>\$67,000</u>

One contract security guard could be hired at a cost of \$23,000.

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
PERSONAL SERVICES								
Position Detail:								
Facilities Planning Manager	51,888	1.0	53,656	1.0	55,842	1.0	57,204	1.0
Building Mechanic II	58,266	2.0	63,860	2.0	67,196	2.0	68,580	2.0
Continuation Salary Subtotal	110,154	3.0	117,516	3.0	123,038	3.0	125,784	3.0
PERA	12,642		13,514		14,273		14,591	
Medicare	1,580		1,689		1,784		1,824	
Current Positions Subtotal	124,376	3.0	132,719	3.0	139,095	3.0	142,199	3.0
General Fund	124,376	3.0	132,719	3.0	139,095	3.0	142,199	3.0
Other:								
Contractual Services	184,669		202,012		204,842		204,842	
Retirement Payouts	15,090							
HLD Expense - Appropriation Shortfall	480		3					
STD Expense - Appropriation Shortfall	20		21					
Contractual Services Request:								
CONTRACTUAL SERVICES REQUEST								
Decision Item Priority # 13								
Contract Services for Security Guard							23,000	
Allocated POTS (non-add):								
Salary Survey	310		1,520		1,479		n/a	
Anniversary	455		455		3,652		n/a	
Differences:								
Vacancy Savings	0		0		0		(1,168)	
Total Personal Services (GF)	324,635	3.0	334,755	3.0	343,937	3.0	368,873	3.0

BRANCH: JUDICIAL
PROGRAM: JUDICIAL / HERITAGE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS								
PERSONAL SERVICES								
Calc. of Pers. Svc. Appropriation:								
Previous Year Long Bill Appropriation	n/a		n/a		336,590	3.0	338,806	3.0
Annualized Salary Survey	n/a		n/a		1,520		1,479	
Annualized Anniversary	n/a		n/a		696		5,588	
Decision Item Priority # 13	n/a		n/a				23,000	
Current Year Long Bill Appropriation	330,752	3.0	336,590	3.0	338,806	3.0	368,873	3.0
Reversion	(6,882)		(3,810)					
Appropriation Subtotal	323,870	3.0	332,780	3.0	338,806	3.0	368,873	3.0
General Fund	323,870	3.0	332,780	3.0	338,806	3.0	368,873	3.0
Allocated POTS:								
Salary Survey	310		1,520		1,479		n/a	
Anniversary	455		455		3,652		n/a	
POTS Subtotal	765		1,975		5,131			
General Fund	765		1,975		5,131			
Total Reconciliation of Personal Services	324,635	3.0	334,755	3.0	343,937	3.0	368,873	3.0
OPERATING EXPENSE								
2160 Custodial Services	70							
2170 Waste Disposal	200		630		630		630	
2220 Building Maintenance & Repair	9,864		12,109		12,000		12,000	
2230 Equipment Maintenance & Repair	13,893		19,818		16,600		16,600	
2251 Motor Pool Vehicle Rental	234		120		120		120	
2253 Other Rentals	66							
2610 Advertising / Notices			559		559		559	
2660 Insurance	615		127		127		127	

BRANCH: JUDICIAL
PROGRAM: JUDICIAL / HERITAGE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2680 Printing	137		101		101		101	
2810 Freight			568		600		600	
2830 Storage & Moving	60		120		120		120	
3114 Custodial Supplies	3,647		4,823		5,000		5,000	
3115 Data Processing Supplies			450		450		450	
3120 Books / Subscriptions	612		779		779		779	
3121 Other Office Supplies	112							
3123 Postage	20		49		49		49	
3126 Repair & Maintenance Supplies	16,369		19,591		20,000		20,000	
3128 Noncapitalized Equipment	4,825		2,146		2,146		2,146	
4170 Miscellaneous Fees			7,414					
4220 Registration Fees	145							
6220 Capital Furniture & Equipment	8,202		2,519					
Total Operating Expense (GF)	59,071		71,923		59,281		59,281	
RECONCILIATION OF FUNDS OPERATING								
Long Bill Appropriation	59,281		59,281		59,281			
FY 1995 Supplemental (SB 95-182)			13,040					
Reversion	(210)		(398)					
Total Reconciliation of Operating	59,071		71,923		59,281			
PARKING LOT MAINTENANCE								
Total Parking Lot Maintenance (CF)	2,342		150		4,110		4,110	
RECONCILIATION OF FUNDS PARKING LOT MAINTENANCE								
Long Bill Appropriation	4,110		4,110		4,110			
Reversion	(1,768)		(3,960)					
Total Reconciliation of Parking Lot Maint.	2,342		150		4,110			

BRANCH: JUDICIAL
PROGRAM: JUDICIAL / HERITAGE

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
CAPITAL OUTLAY								
Capital Outlay					80,000			
REQUEST FOR CAPITAL OUTLAY								
Decision Item Priority # 13								
Capital Outlay for Security Equipment							67,000	
Total Capital Outlay (GF)					80,000		67,000	
RECONCILIATION OF FUNDS								
CAPITAL OUTLAY								
Long Bill Appropriation					80,000			
Total Reconciliation of Capital Outlay					80,000			
Total Judicial / Heritage	386,048	3.0	406,828	3.0	487,328	3.0	499,264	3.0
General Fund	383,706	3.0	406,678	3.0	483,218	3.0	495,154	3.0
Cash Funds	2,342		150		4,110		4,110	

**INFORMATION
SERVICES**

BRANCH: JUDICIAL
PROGRAM: INTEGRATED INFORMATION SERVICES

I. PROGRAM IDENTIFICATION

- A. Title. Integrated Information Services
- B. Division. Office of the State Court Administrator
- C. Branch. Judicial
- D. Program Administrator. State Court Administrator
- E. Organization Chart. See Summary Section
- F. Description and Authorization.

The Integrated Information Services Division (IIS) is responsible for maintaining the computer information systems in the State's appellate courts, trial courts, and probation departments. This includes the Supreme Court, the Court of Appeals, 22 district courts, Denver Juvenile and Probate Courts, 63 county courts, 23 probation departments, and the State Court Administrator's Office. IIS also provides service to all State and local agencies involved in the justice system which need access to court and probation data (e.g., local law enforcement agencies, district attorneys, social services, the Division of Motor Vehicles, CBI, the Department of Corrections, etc.).

- 1. Base. The IIS budget has included the personal services and operating dollars needed to maintain automated systems in about eighty locations throughout the State. By Fiscal Year 1997, all court and probation locations will be fully equipped and functioning on case tracking, financial, and other software applications.
- 2. Court Automation. This section of the IIS budget has included funds for the CJIMS system - personal services, contract services, lease purchase, and maintenance funds.
- 3. Probation Automation. This part of the budget has included funds to develop the Judicial CAS system - contract services, lease purchase, and maintenance funds.

The IIS Budget is reviewed by the Information Management Commission annually.

II. PROGRAM GOALS AND OBJECTIVES

- A. Goal. The goal of IIS is to maintain and enhance the systems needed to collect and analyze court and probation case tracking and financial data as well as other information systems within the Judicial Branch. These data and systems are used by the courts, probation departments, and other State agencies and departments.
- B. Objectives.
1. Maintain the Judicial Branch's ICON system.
 2. Maintain other existing information systems within the Judicial Branch (e.g., attorney registration, jury, court-appointed counsel).
 3. Maintain the information systems infrastructure, to include support for users and equipment.
 4. Develop new modules of ICON, to integrate the jury, alimony and support, and the appellate court systems in ICON.
 5. Pursue implementation of the Criminal Justice Information System (CJIS), if authorized and funded by the Legislature.
 6. Develop and evaluate programs for providing reasonable access to Judicial data by the public and other agencies.
- C. Performance Measures.

The IIS Division began collecting statistics on performance last year. They are as follows:

1. Responding to Requests from within the Judicial Branch at the Help Desk:
IIS services well over 5,000 requests for help annually. These inquiries are primarily from court and probation users, and include such topics as: telecommunication problems; broken hardware; use of off-the-shelf software; CJIMS and the probation software. The Branch is currently acquiring new help desk software that will allow for better management of the help desk, provide more accurate data on use of the help desk, and measure the effectiveness of IIS staff in responding to these calls. These requests for help are often satisfied by programming staff, technical services staff, and training staff. They are usually resolved within 48 hours of receipt.

2. Training Court and Probation Personnel: A considerable amount of IIS time is spent training court and probation staff on existing case management and financial software, as well as on the AS/400 and other aspects of computer processing. During the past year, a staff of six trainers has been training full time and the Branch expects that commitment to continue. The implementation of Judicial-ICON by June 30, 1996, will allow training staff to focus on the other automation training needs for the State that have been neglected because of staffing and the need to install Judicial-ICON.
3. Satisfying Requests for Information by State/Local Government Clients: The nature of court business requires that the Branch collect a wide variety of information that is valuable not only to the courts and probation departments, but that is also of interest to other criminal justice related agencies, Human Services, the Division of Motor Vehicles, district attorneys, the Legislature, the Department of Corrections, local centers for community corrections, and the National Center for State Courts. Thousands of pieces of information are provided to these groups regarding: warrants, temporary restraining orders, scheduled events, financial histories, information on domestic violence cases, and the number of marriage dissolutions, etc. Programmers, trainers, and technical support staff all respond to these requests for help.
4. Installation of New Hardware: During the current fiscal year, technical services staff have installed new hardware and telecommunications in ten judicial districts. In addition, they have repaired broken equipment, and installed replacement hardware in response to hundreds of requests for help. This pattern of repairing equipment, installing replacement equipment should continue during Fiscal Year 1997. Technical service staff will also perform some preventative maintenance in a variety of sites that will reduce down time and enhance performance.

III. SUPPORT FOR BUDGET REQUEST

The total IIS request for Fiscal Year 1997, as described in the following decision item, is \$5,705,469. This represents a decrease of \$645,786 over the request without the decision item. The decision item discusses the funding needs and program rationale for the total request and also explains IIS operations under a maintenance mode.

The request will provide funding for maintaining automated systems in Colorado's appellate courts, trial courts, and probation departments. Personal and contract services are needed to maintain and enhance current systems, install

replacement equipment and maintain hardware, provide technical assistance and training to over 2,000 FTE, and to monitor/maintain old systems on GGCC. The operations/maintenance budget includes funds needed for routine maintenance of AS/400s and other hardware; travel to districts throughout the State; lease-purchase commitments related to computer installations; replacement hardware; software upgrades; data storage at GGCC and on the archiving system; maintenance and upgrading of telecommunication systems; licensing fees; and operations costs.

IV. CONTINUATION OF BUDGET ASSUMPTIONS / CALCULATIONS

INTEGRATED INFORMATION SERVICES

ITEM	AMOUNT
FY 1996 Long Bill Appropriation	\$6,340,474
Annualized Salary Survey	23,913
Annualized Anniversary	16,830
Computer Integrated Courtroom	(30,000)
Operating Inflation	38
Decision Item Number 15	(645,786)
FY 1997 Total Request	\$5,705,469

SCHEDULE 2D - DECISION ITEM

Priority 15

<u>DECISION ITEM TITLE</u>	Integrated Information Service Funding
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Integrated Information Services
<u>STATUTORY AUTHORITY</u>	N/A

DESCRIPTION OF DECISION ITEM

Need:

The purpose of this decision item is to recognize the completion of the Judicial Branch's major automation projects (CJIMS and CAS, replaced by Judicial-ICON) and to adjust the budget accordingly. The Integrated Information Services Division (IIS) will formally transition from a systems installation mode to a maintenance mode commencing July 1, 1996.

Until this year, the IIS Division has dedicated all of its efforts toward installing hardware and software systems in trial courts and probation departments throughout Colorado. The Fiscal Year 1996 appropriation supporting the installation was made in two parts: Trial Court Automation and Probation Automation. The appropriation for these projects totaled \$3,723,966. Over \$2 million of this was for lease obligations, some of which will continue until 1998.

The Judicial Branch proposes a new budget wherein the appropriation is collapsed within the "base" amounts. This budget is shown in a Pro Forma Financial Statement at the end of the decision item. In the statement, the 1996 column shows the IIS appropriation per the Long Bill. The 1997 column shows the Schedule 3 request without this decision item (continuation funding) as compared to the request with this decision item. The decision item proposes the following:

1. Fund all of IIS in the "base," i.e. collapse the trial court and probation automation line items into the base line items.
2. Reduce the lease lines to reflect the end of the projects.
3. Fund personal services and operating in accordance with the needs of the new base as set forth here.
4. Increase the maintenance line item, recognizing the needs of maintaining equipment and software in a large, dispersed system.
5. Split out telecommunication costs from GGCC costs.

The following discussion of line items presents the “new IIS” funding plan. The narrative tracks to the pro forma statement, second column of 1997.

Personnel (\$1,680,035): The Judicial Branch needs \$1,480,035, at a minimum, to maintain its existing staff of 21 FTE (\$1,209,879) and a portion of its contract staff (\$270,156). Additionally, the branch requests \$200,000 in contract services for new modules. These are discussed below:

The 21 FTE and the \$270,156 in contract services includes technical support personnel, programmers, and trainers and is needed to perform at least seven major functions:

1. Maintaining Judicial-ICON--especially in its first year after installation, and programming for minor enhancements.
2. Maintaining existing systems in the Judicial Branch other than Judicial-ICON. These include: jury selection; alimony and support; attorney registration; court appointed counsel; guardian ad litem; appellate court system; sick and annual leave; property management; and existing links with other criminal justice agencies.
3. Providing other infrastructure maintenance, including, but not limited to: staffing and managing a help desk; coordinating decentralized technical support throughout the State; maintaining E-Mail, bulletin boards, hardware and software inventories, public access to the database(s); maintaining telecommunications; providing general operations support--including system tuning, security issues, maintaining a disaster recovery plan; upgrading hardware and software where necessary; installing replacement hardware; and responding to ad hoc inquiries from a variety of sources within and outside of State government.
4. Providing over 2,000 FTE within the Branch with on-going training in the areas of : Judicial-ICON; AS/400 maintenance; software applications like Windows and Word; archiving cases; connecting with other agencies; and providing training for other agencies and departments on accessing Judicial-ICON.
5. Designing, programming, and training to implement integrated justice projects other than those related to House Bill 95-1101, e.g., connectivity with local police departments for the electronic transfer of traffic tickets, electronic links with DMV, electronic links with Human Services, and participation in the development of other integrated justice projects much like House Bill 95-1101. Many of these efforts are required in order to maintain access to data that existed between these agencies and the previous CCS system (prior to CJIMS).
6. Programming and other implementation tasks associated with providing access to court and probation data to the public and organizations outside of the government, as required by the Freedom of Information Act, including, but not limited to: attorneys, background information organizations, bail bondsmen, private investigators, and the media.
7. Evaluating existing systems to ensure they are satisfying their basic objectives and user needs.

In addition to the above core functions, approximately \$200,000 in contract services moneys (for a total contract services of \$470,156) will allow for the design, development, and installation of five new modules to be part of the basic Judicial-ICON system:

1. Integrating the existing appellate court system with Judicial-ICON.
2. Migrating the current jury system from GGCC to the AS/400 system.
3. Moving the existing alimony and support system which currently resides at GGCC to the local AS/400s.
4. Designing, programming, and implementing a new module which will help support the Branch's efforts in the Alternative Dispute Resolution area.
5. Designing, programming, and implementing a new module of Judicial-ICON which will allow the State Court Administrator's Office to better manage court-appointed counsel. These new modules of Judicial-ICON will further reduce redundant data collection, provide decision-makers with improved information, and further decentralize existing data processing.

Completing all of the above tasks with existing FTE and contract funds will represent a major accomplishment. When compared to similarly situated State agencies, the Judicial Branch's IIS Division is significantly understaffed. The ratio of IIS FTE staff to the number of devices (i.e., terminals, personal computers, and printers) and the ratio of IIS FTE staff to the total number of "users" of information system within the Branch, compared to the ratio for other agencies and departments in state government demonstrates that IIS has been understaffed for years. In addition, IIS staff has not been increased significantly since installation of the hardware and software began in the late eighties. Today, the IIS staff is supporting a statewide system with over 2,000 internal users and hundreds of additional users from other agencies and departments. Although the Branch is not requesting additional personal services funds for IIS, the collapsing of the prior year's base funds with funds from the trial court automation project and the probation automation project is critical for IIS to continue supporting the Branch and other agencies and departments both at the State and local level.

Operating (\$166,818): The operating request is a continuation request using the inflation factor contained in the budget instructions.

GGCC (\$721,649): During the past six years, the GGCC line has remained relatively constant at approximately \$1 million. This line has covered expenses related to all data processing and telecommunication costs of the existing systems which operate at GGCC. This year, the Judicial Branch requests that the original request of \$971,649 be reduced by approximately \$250,000 to \$721,649. The \$250,000 would be designated in a separate "telecommunications" line. The \$250,000 will allow the Branch to take advantage of outsourcing its telecommunication needs, if appropriate. These estimates are based on existing telecommunication costs, and estimates provided to the Branch by system-integrator and telecommunication consultants.

Telecommunications (\$250,000): This is a new line-item that does not require additional funds. The \$250,000 in this line item has been deducted from the original GGCC request, and is based on current expenses and estimates provided by consultants.

Maintenance (\$1,763,000): The Judicial Branch now has hardware (nearly 4,000 devices) and software (Judicial-ICON, several other existing systems, and off-the-shelf software packages) in every trial court and probation department in the State (about eighty locations), plus the Supreme Court, the Court of Appeals, and the State Court Administrator's Office. These systems need to be maintained, upgraded, and replaced on an annual basis according to a routine schedule. This decision item requests funding for routine maintenance and hardware replacement.

Routine Annual Maintenance (\$1,075,000): Routine annual maintenance includes such items as: annual service agreements with the vendors for the AS/400s, tape drives, DASD-Memory, etc.; repairs on existing hardware (e.g., a broken floppy or hard drive); recabling old sites to prevent down time; supplies (e.g., cable, tools, baluns, RJ connectors, etc.); memory upgrades in old machines; software upgrades; maintaining training LABS; allocations for replacing small quantities of hardware (e.g., PCs, printers, emulation cards, remote controllers, star panels, etc.) that break beyond repair and are out of warranty (e.g., caused by electrical hits); and travel expenses incurred by trainers and technical support staff.

Hardware Replacement Schedule (\$688,000): The hardware purchasing cycle requires that a replacement schedule be prepared which outlines how aging hardware will be replaced as it no longer performs satisfactorily. The Branch is requesting \$688,000 during Fiscal Year 1997 to replace: (1) half of the 261 Wang/XT/286 personal computers--the other half will be replaced during Fiscal Year 1998; (2) four of the old Memorex tape drives that are broken more often than they are working, creating significant problems regarding down time and an inability to get reliable backups regardless of service agreements; and (3) to upgrade three of the existing F Model AS/400s to the new 300 series which allow more of an "open systems" model of data processing.

Lease Purchase (\$1,123,967): Lease obligations for the installation will end in 1998. The projected need for the next two years is: Fiscal Year 1997: \$1,123,967; and Fiscal Year 1998: \$581,383.

Assumptions:

Lease commitments from prior years need to be met.

Purchasing extended warranties is a viable approach to supporting large hardware items.

The AS/400 will continue to serve as the basic platform for Judicial-ICON during the next five years.

Old equipment will need to be repaired and replaced.

Technical services staff will need to support over 2,000 FTE and contract staff statewide.

IIS will continue playing a major role in providing information to other agencies and departments.

IIS will continue to provide court and probation information to the general public.

Ad hoc data requests from within State government and from outside sources will continue to grow and need attention from IIS staff.

Accurate, reliable, valid and timely information is important to enhance public safety, and provide decision makers with a tool to improve general management techniques.

Goals:

To design, implement and support an infrastructure which will provide accurate, reliable, valid, and timely information to: the trial courts, probation departments, appellate courts, the Legislature, other agencies and departments, the general public, the legal community, and other entities.

Objectives:

The purpose of this decision item is to recognize the transition from a purely design, development, and installation mode to a support, enhancement, and maintenance mode in the life cycle of an automation project.

Performance Measures:

Performance measures for the new system will include:

Number of requests from state and local agencies.

Time to respond to external requests.

Number of help desk calls.

Time to resolve help desk calls.

Number of equipment repairs.

Time to complete equipment repairs.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	FY 1997	FY 1998
General Fund	IIS, Personal Services	\$1,680,035	\$1,680,035
	Operating Expenses	\$166,818	\$166,818
	Purchase of Services, GGCC	\$721,649	\$721,649
	Telecommunications	\$250,000	\$250,000
	Hardware/Software Maintenance	\$1,763,000	\$1,763,000
	Lease Purchase Payments	\$1,123,967	\$581,383
	Total Request	\$5,705,469	\$5,162,885

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Information Services	\$6,328,074	21.0	\$6,375,387	21.0	\$5,705,469	21.0

*Includes decision item.

BUDGET ASSUMPTIONS

The following costs were used in projections:

Annual routine service agreements - approximately \$150,000.

Hardware repair bills for personal computers (mostly floppy and hard drive replacement, printers, CD drives, keyboards, monitors, emulation terminals, modems, pointing devices (e.g., mouse), etc. - approximately \$100,000/year.

New personal computer and accessories (including such items as software, printer support, emulation card, etc.) - approximately \$2,350.

System printers - approximately \$2,100 (including an emulation card).

AS/400 upgrade to the model 300 series capable of supporting an "open system architecture"
-approximately \$90,000.

Tape drives for the AS/400 - approximately \$27,500.

Power panels - approximately \$1,750/each.

Emulation cards - approximately \$200/each.

Modems - approximately \$175/each.

Remote controllers - approximately \$3,000/each.

Emulation terminal - approximately \$650.

PRO FORMA FINANCIAL STATEMENT - PROPOSED APPROPRIATION FOR IIS

Fiscal Year 1996

ITEMS	Appropriation/ Estimate	
	Total Funds	FTE
IIS BASE		
Personal and Contract Services	987,623	16.0
Operating Expenses	166,780	
GGCC Services	971,649	
Telecommunications		
Hardware/Software Maintenance	495,369	
Computer Integrated Courtroom	30,000	
Lease Purchase Payments		
TOTAL BASE	2,651,421	16.0
COURT AUTOMATION		
Personal Services	216,426	5.0
Contract Services	392,626	
Lease Purchase Payments	1,309,429	
Installation/Maintenance Expenses	520,798	
TOTAL COURT AUTOMATION	2,439,279	5.0
PROBATION AUTOMATION		
Contract Service	49,326	
Lease Purchase Payments	862,531	
Installation/Maintenance Expenses	372,830	
TOTAL PROB. AUTOMATION	1,284,687	0.0
TOTAL IIS	6,375,387	21.0

Fiscal Year 1997

Request w/o Decision Item		Request with Decision Item		Difference	
Total Funds	FTE	Total Funds	FTE	\$	FTE
993,453	16.0	1,680,035	21.0	686,582	5.0
166,818		166,818			
971,649		721,649		(250,000)	
		250,000		250,000	
495,369		1,763,000		1,267,631	
		1,123,967		1,123,967	
2,627,289	16.0	5,705,469	21.0	3,078,180	5.0
216,426	5.0				
392,626					
1,309,429					
520,798					
2,439,279	5.0			(2,439,279)	(5.0)
49,326					
862,531					
372,830					
1,284,687	0.0			(1,284,687)	0.0
6,351,255	21.0	5,705,469	21.0	(645,786)	0.0

Fiscal Year 1998[illegible]

RECONCILIATION

Request w/o Dec Item to Request with Dec Item

Request w/o Dec Item	6,351,255	21.0
Personal and Contract Services	28,204	
Lease Purchase Payments	(1,047,993)	
Hardware/Software Maintenance	374,003	
Request with Dec Item	5,705,469	21.0

BRANCH: JUDICIAL
PROGRAM: INTEGRATED INFORMATION SERVICES

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
PERSONAL SERVICES								
Position Detail:								
Director of IIS	67,202	1.0	74,616	1.0	74,616	1.0	74,616	1.0
Systems Analyst II	92,504	2.0	69,528	1.0	69,528	1.0	69,528	1.0
Programming Supervisor	99,068	2.0	93,931	1.0	54,480	1.0	54,480	1.0
Technical Services Supervisor			45,126	1.0	47,694	1.0	48,576	1.0
Programmer I			29,672	1.0	42,075	1.0	43,632	1.0
Programmer II	154,145	5.0	142,548	3.0	145,812	3.0	145,812	3.0
Customer Coordinator	30,338	1.0						
Manager of Customer Relations			50,108	1.0	50,508	1.0	50,508	1.0
Technical Support Programmer	44,794	1.0	49,416	1.0	45,816	1.0	45,816	1.0
ADP Trainer	39,906	1.0	42,684	1.0	43,632	1.0	43,632	1.0
Help Desk	28,782	1.0	32,556	1.0	33,916	1.0	34,188	1.0
Computer Technician I			34,052	1.0	36,730	1.0	36,876	1.0
Computer Technician II			25,784	1.0	37,542	1.0	37,692	1.0
Computer Technician III			36,876	1.0	39,576	1.0	41,556	1.0
New Technology Planner	69,528	1.0						
Administrative Assistant	6,882	1.0						
Accountant II			41,556	1.0	43,632	1.0	43,632	1.0
Continuation Salary Subtotal	633,149	16.0	768,453	16.0	765,557	16.0	770,544	16.0
PERA	77,449		87,976		88,805		89,383	
Medicare	6,119		7,618		11,101		11,173	
Current Positions Subtotal	716,717	16.0	864,047	16.0	865,463	16.0	871,100	16.0
General Fund	716,717	16.0	864,047	16.0	865,463	16.0	871,100	16.0
Other:								
Contractual Services	164,063				97,658		97,734	
Retirement / Termination Payouts			31,527		25,000		20,000	
Unemployment Insurance			7,743		8,000		8,500	
HLD Expense - Appropriation Shortfall	758		802					
STD Expense - Appropriation Shortfall	27		502					

BRANCH: JUDICIAL
PROGRAM: INTEGRATED INFORMATION SERVICES

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Allocated POTS (non-add):								
Salary Survey	4,341		3,383		23,913			
Anniversary	10,150		4,464		11,000			
Differences:								
Vacancy Savings	0		0		(8,498)		(3,881)	
Total Personal Services (GF)	881,565	16.0	904,621	16.0	987,623	16.0	993,453	16.0
RECONCILIATION OF FUNDS PERSONAL SERVICES								
Calc. of Pers. Svc. Appropriation:								
Previous Year Long Bill Appropriation	n/a		n/a		919,859	16.0	952,710	16.0
Annualized Salary Survey	n/a		n/a		17,321		23,913	
Annualized Anniversary	n/a		n/a		15,530		16,830	
Current Year Long Bill Appropriation	869,599	16.0	919,859	16.0	952,710	16.0	993,453	16.0
Transfer			(20,500)					
Reversion	(2,525)		(2,585)					
Appropriation Subtotal	867,074	16.0	896,774	16.0	952,710	16.0	993,453	16.0
General Fund	867,074	16.0	896,774	16.0	952,710	16.0	993,453	16.0
Allocated POTS:								
Salary Survey	4,341		3,383		23,913		n/a	
Anniversary	10,150		4,464		11,000		n/a	
POTS Subtotal	14,491		7,847		34,913			
General Fund	14,491		7,847		34,913			
Total Reconciliation of Personal Services	881,565	16.0	904,621	16.0	987,623	16.0	993,453	16.0

BRANCH: JUDICIAL
PROGRAM: INTEGRATED INFORMATION SERVICES

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OPERATING EXPENSE								
2220 Building Maintenance & Repair			391		400		400	
2230 Equipment Maintenance & Repair	736		427		450		450	
2231 ADP Equipment Maintenance & Repair	443		8,755		8,755		8,755	
2251 Motor Pool Vehicle Rental			500		1,000		1,000	
2253 Other Rentals	1,657		339		350		350	
2255 Office & Room Rentals	16,472		16,984		16,984		16,984	
2311 Construction Contractor Services	9,785							
2511 Common Carrier - In State	1,226		1,240		1,240		1,240	
2512 Mileage, Subsistance - In State	7,098		1,274		2,000		2,000	
2531 Common Carrier - Out of State			1,692		1,700		1,700	
2532 Mileage, Subsistance - Out of State			1,032		1,050		1,050	
2610 Advertising / Notices	627		588		600		600	
2630 Phone	9,896		4,983		5,000		5,000	
2641 Other ADP Billings	221		147		147		147	
2680 Printing	10,622		14,470		14,450		14,450	
2810 Freight	184		39		55		55	
2830 Storage & Moving	2,420							
3110 Other Supplies	592		898		900		900	
3115 Data Processing Supplies	36,723		82		100		100	
3116 Software	23,615		2,319		2,320		2,320	
3117 Educational Supplies			252		252		252	
3118 Food	607		1,181		1,512		1,550	
3120 Books / Subscriptions	1,716		511		511		511	
3121 Other Office Supplies	8,094		11,287		11,287		11,287	
3123 Postage	20,087		8,217		9,050		9,050	
3124 Copier Charges & Supplies	46		57		66		66	
3126 Repair & Maintenance Supplies	554							
3128 Noncapitalized Equipment	6,897		2,046		2,500		2,500	
4140 Dues / Memberships			964		964		964	
4220 Registration Fees	2,210		6,776		6,776		6,776	
6210 ADP Capital Equipment	18,926		64,361		64,361		64,361	
6480 Other Equipment			12,000		12,000		12,000	

BRANCH: JUDICIAL
PROGRAM: INTEGRATED INFORMATION SERVICES

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Total Operating Expense	181,454		163,812		166,780		166,818	
General Fund	181,454		149,683		151,780		151,818	
Cash Funds			14,129		15,000		15,000	
RECONCILIATION OF FUNDS OPERATING								
Long Bill Appropriation	149,684		149,684		166,780			
FY 1995 Supplemental (SB 95-182)			15,000					
Restriction			(871)					
Transfer	36,669							
Reversion	(4,899)		(1)					
Total Reconciliation of Operating	181,454		163,812		166,780			
GGCC SERVICES								
2640 GGCC Billings	899,627		860,971		800,000		720,000	
Other GGCC Services	35,353		110,372		171,649		251,649	
Total GGCC Services (GF)	934,980		971,343		971,649		971,649	
RECONCILIATION OF FUNDS GGCC SERVICES								
Long Bill Appropriation	971,649		971,649		971,649			
Transfer	(36,669)							
Reversion			(306)					
Total Reconciliation of GGCC Services	934,980		971,343		971,649			
HARDWARE / SOFTWARE MAINTENANCE								
Contract Services	22,435							
2231 ADP Equip. Maintenance & Supplies	95,114		104,496		120,000		120,000	
3116 Purchase of Software	71,449		17,611		15,369		15,369	
3128 Noncapitalized Equipment	42,530		58,958		150,000		170,000	

BRANCH: JUDICIAL
PROGRAM: INTEGRATED INFORMATION SERVICES

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
6210 ADP Capitalized Equipment	87,327		307,360		140,000		120,000	
Other Maintenance Expense	68,893		59,122		70,000		70,000	
Total Hardware / Software Maintenance (GF)	387,748		547,547		495,369		495,369	
RECONCILIATION OF FUNDS HARDWARE / SOFTWARE MAINTENANCE								
Long Bill Appropriation	389,011		495,369		495,369			
Transfer			55,160					
Reversion	(1,263)		(2,982)					
Total Reconciliation of H / S Maintenance	387,748		547,547		495,369			
COMPUTER INTEGRATED COURTROOM								
Total Computer Integration Expense (GF)					30,000			
RECONCILIATION OF FUNDS COMPUTER INTEGRATED COURTROOM								
Long Bill Appropriation					30,000			
Total Reconciliation of Comp. Integration					30,000			
Total IIS Admin. (PS, OP, GGCC, HSM, CIC)	2,385,747	16.0	2,587,323	16.0	2,651,421	16.0	2,627,289	16.0
General Fund	2,385,747	16.0	2,573,194	16.0	2,636,421	16.0	2,612,289	16.0
Cash Funds	0		14,129		15,000		15,000	
COURT AUTOMATION PERSONAL SERVICES								
Position Detail:								
Continuation Salary	100,127	3.0	173,620	5.0	214,988	5.0	216,992	5.0
PERA	13,216		18,668		24,939		25,171	
Medicare	1,671		1,948		3,117		3,146	
Current Positions Subtotal	115,014	3.0	194,236	5.0	243,044	5.0	245,309	5.0
General Fund	115,014	3.0	194,236	5.0	243,044	5.0	245,309	5.0

BRANCH: JUDICIAL
PROGRAM: INTEGRATED INFORMATION SERVICES

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Other:								
HLD Expense - Appropriation Shortfall	1		4					
STD Expense - Appropriation Shortfall	1		39					
Differences:								
Vacancy Savings	0		0		(26,618)		(28,883)	
Total Court Automation Pers. Svc. (GF)	115,016	3.0	194,279	5.0	216,426	5.0	216,426	5.0
RECONCILIATION OF FUNDS								
COURT AUTOMATION PERSONAL SVC.								
Calc. of Pers. Svc. Appropriation:								
Previous Year Long Bill Appropriation	n/a		n/a		n/a		216,426	5.0
Annualized Salary Survey	n/a		n/a		n/a		0	
Annualized Anniversary	- n/a		n/a		n/a		0	
Current Year Long Bill Appropriation	115,016	3.0	202,479	5.0	216,426	5.0	216,426	5.0
Transfer			(12,200)					
Reversion			(1,000)					
Appropriation Subtotal	115,016	3.0	189,279	5.0	216,426	5.0	216,426	5.0
General Fund	115,016	3.0	189,279	5.0	216,426	5.0	216,426	5.0
Allocated POTS:								
Salary Survey			2,157		0		n/a	
Anniversary			2,843		0		n/a	
POTS Subtotal			5,000		0			
General Fund			5,000		0			
Total Reconciliation of Personal Services	115,016	3.0	194,279	5.0	216,426	5.0	216,426	5.0

BRANCH: JUDICIAL
PROGRAM: INTEGRATED INFORMATION SERVICES

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
COURT AUTOMATION CONTRACT SERVICES								
Court Automation Contract Services	378,949		396,800		392,626		392,626	
HLD Expense - Appropriation Shortfall			2					
STD Expense - Appropriation Shortfall	1		45					
Total Court Automation Contract Svc. (GF)	378,950		396,847		392,626		392,626	
RECONCILIATION OF FUNDS COURT AUTOMATION CONTRACT SVC.								
Long Bill Appropriation	378,950		406,573		392,626			
Salary Survey Allocation			2,157					
Anniversary Allocation			2,843					
Transfer			(13,690)					
Reversion			(1,036)					
Total Reconciliation of Contract Services	378,950		396,847		392,626			
COURT AUTOMATION LEASE PURCHASE PAYMENTS								
Total Court Automation Lease Purch. (GF)	1,237,021		1,335,412		1,309,429		1,309,429	
RECONCILIATION OF FUNDS COURT AUTOMATION LEASE PURCHASE								
Long Bill Appropriation	1,237,021		1,309,429		1,309,429			
Transfer			25,983					
Total Reconciliation of Lease Purchase	1,237,021		1,335,412		1,309,429			
COURT AUTOMATION INSTALLATION & MAINTENANCE								
Court Automation Installation & Maintenance	445,461		520,793		520,798		520,798	
HLD Expense - Appropriation Shortfall	2,176							
STD Expense - Appropriation Shortfall	51							
Total Court Auto. Install. & Maint. (GF)	447,688		520,793		520,798		520,798	

BRANCH: JUDICIAL
PROGRAM: INTEGRATED INFORMATION SERVICES

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS								
COURT AUTOMATION INSTALL. & MAINT.								
Long Bill Appropriation	448,150		520,798		520,798			
Reversion	(462)		(5)					
Total Reconciliation of Install. & Maint.	447,688		520,793		520,798			
Total Court Automation Program (GF)	2,178,675	3.0	2,447,331	5.0	2,439,279	5.0	2,439,279	5.0
PROBATION AUTOMATION								
CONTRACT SERVICES								
Probation Automation Contract Services	31,615		40,532		49,326		49,326	
HLD Expense - Appropriation Shortfall	2		2					
STD Expense - Appropriation Shortfall	(7)		1					
Total Prob. Auto. Contract Services (GF)	31,610		40,535		49,326		49,326	
RECONCILIATION OF FUNDS								
PROBATION AUTO. CONTRACT SVC.								
Long Bill Appropriation	31,610		49,326		49,326			
Transfer			(8,770)					
Reversion			(21)					
Total Reconciliation of Contract Services	31,610		40,535		49,326			
PROBATION AUTOMATION								
LEASE PURCHASE PAYMENTS								
Total Probation Auto. Lease Purch. (GF)	829,343		875,315		862,531		862,531	
RECONCILIATION OF FUNDS								
PROBATION AUTO. LEASE PURCHASE								
Long Bill Appropriation	779,928		862,531		862,531			
Transfer	49,415		12,784					
Total Reconciliation of Lease Purchase	829,343		875,315		862,531			

BRANCH: JUDICIAL
PROGRAM: INTEGRATED INFORMATION SERVICES

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
PROBATION AUTOMATION INSTALLATION & MAINTENANCE								
Probation Automation Installation & Maintenance	317,500		372,564		372,830		372,830	
HLD Expense - Appropriation Shortfall	2,743							
STD Expense - Appropriation Shortfall	78		2					
Total Prob. Auto. Install. & Maint. (GF)	320,321		372,566		372,830		372,830	
RECONCILIATION OF FUNDS PROBATION AUTO. INSTALL. & MAINT.								
Long Bill Appropriation	324,000		372,830		372,830			
Reversion	(3,679)		(264)					
Total Reconciliation of Install. & Maint.	320,321		372,566		372,830			
Total Probation Automation Program (GF)	1,181,274	0.0	1,288,416	0.0	1,284,687	0.0	1,284,687	0.0
APPELLATE COURT SYSTEM								
ADP Equip. Lease Purchase Payments	54,340		5,004					
Other Lease Purchase Payments	8,992							
Total Appellate Court System (GF)	63,332		5,004					
RECONCILIATION OF FUNDS APPELLATE COURT SYSTEM								
Long Bill Appropriation	112,747		40,987					
Transfer	(49,415)		(35,983)					
Total Reconciliation of Appellate Court	63,332		5,004					
IIS DECISION ITEM Decision Item Priority # 15								
Reduction in Funding							(645,786)	
Total Integrated Information Services	5,809,028	19.0	6,328,074	21.0	6,375,387	21.0	5,705,469	21.0
General Fund	5,809,028	19.0	6,313,945	21.0	6,360,387	21.0	5,690,469	21.0
Cash Funds			14,129		15,000		15,000	

BRANCH: JUDICIAL
PROGRAM: INTEGRATED INFORMATION SERVICES

LEASE PURCHASES

SCHEDULE 11

YEAR	LEASE ITEM DESCRIPTION	PURCHASE ORDER NUMBER		TERM OF LEASE	FY 1996 ANNUAL COST	TOTAL LEASE COST	DATE LEASE EXPIRES
Lease Prior to FY 1991	Computer Hardware and Software	209618	90	5 years	185,800	3,453,287	FY 1996
	Subtotal				185,800	3,453,287	
Lease Started in FY 1991	Computer Hardware and Software	209048	91	5 years	1,188	71,290	FY 1996
	Subtotal				1,188	71,290	
Lease Started in FY 1992	Computer Hardware and Software	209200	92	5 years	20,556	205,559	FY 1997
		209201	92	5 years	7,799	77,985	FY 1997
	Subtotal				28,355	283,544	
Lease Started in FY 1993	Computer Hardware and Software	209075	93	3 years	2,592	20,736	FY 1997
		209077	93	3 years	3,168	57,020	FY 1996
		209084	93	3 years	4,119	59,308	FY 1996
		209097	93	3 years	3,217	46,329	FY 1996
		209103	93	3 years	21,714	260,568	FY 1996
		209123	93	3 years	20,912	250,938	FY 1996
		209124	93	3 years	37,762	453,150	FY 1996
	Subtotal				93,483	1,148,049	
Lease Started in FY 1994	Computer Hardware and Software	209000	94	3 years	717,453	2,151,818	FY 1997
		209066	94	3 years	56,749	155,353	FY 1996
		209070	94	3 years	44,789	134,367	FY 1996
		209073	94	3 years	97,261	291,782	FY 1996
		209108	94	3 years	37,183	111,548	FY 1996
		209109	94	3 years	134,753	404,258	FY 1996
		209110	94	3 years	93,993	281,979	FY 1996
		209651	94	3 years	116,791	350,374	FY 1997
		209680	94	3 years	25,088	75,265	FY 1998
		Tatonka	94	3 years	29,037	170,247	FY 1997
		MicroWorld	94	3 years	46,428	175,104	FY 1996
		Idea	94	3 years	9,924	29,773	FY 1997
		Rolm	94	5 years	2,731	179,339	FY 1998
	Subtotal				1,412,180	4,511,207	
Lease Started in FY 1995	Computer Hardware and Software	Colo Sps	95	3 years	166,859	500,577	FY 1998
		Arapahoe	95	4 years	100,000	285,111	FY 1998
		Weld	95	4 years	27,060	86,596	FY 1998
		209217/183	95	3 years	36,490	92,980	FY 1998
		209218	95	4 years	16,672	43,345	FY 1998
	Subtotal				347,082	965,265	
Total Leases					2,068,088	10,432,642	

TRIAL COURT

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

I. PROGRAM IDENTIFICATION

- A. Title. Trial Courts
- B. Division. Trial Courts
- C. Branch. Judicial
- D. Program Administrator. Chief Judges in Each Judicial District
- E. Organization Chart. See Summary Section
- F. Description and Authorization.

Established pursuant to Article VI of the Colorado Constitution, Colorado's trial courts consist of county courts, district courts, and water courts. Each of the 63 counties in the state has a county court with one or more county judges. These courts handle traffic cases and minor criminal matters as well as civil actions involving no more than \$10,000. In addition, there are district courts in each of the 22 judicial districts plus a juvenile and a probate court in Denver. District courts have jurisdiction over divorces, civil claims, juvenile matters, estates, mental health, and criminal cases. Finally, there are seven water courts, one in each of the major river basins. These courts have jurisdiction over water rights and uses. Staffing for county, district and water courts totals 1,344 FTE. In 1995, county and district courts had a combined caseload 564,079 cases.

II. PROGRAM GOALS AND OBJECTIVES

- A. To resolve 95 percent of the projected Fiscal Year 1997 case filings.
- B. To serve the public through prompt case resolution.
- C. To continue to implement "Planning For Change" initiatives for improving court operations.

III. PERFORMANCE MEASURES AND ACTUAL YEAR COMPARISONS

A. Performance Measures.

1. District Court Cases Terminated. The district court goal is to resolve 133,861 district court cases during Fiscal Year 1997. This rate is equal to 95 percent of projected new filings. It represents a 9,009 case increase over the Fiscal Year 1996 goal.
2. County Court Cases Terminated. The county court goal is to resolve 425,191 county court cases during Fiscal Year 1997. This represents 95 percent of the projected Fiscal Year 1997 case filings and a 29,937 case increase over the Fiscal Year 1996 goal.

- B. Actual Year Comparisons. The following table details the projected and actual level of terminations as compared to filings for the district and county courts.

CASE TERMINATIONS

ITEM		FY 1994 ESTIMATE	FY 1994 ACTUAL	FY 1995 ESTIMATE	FY 1995 ACTUAL
District Court	District Court Filings	134,942	128,259	133,980	134,160
	District Court Terminations	128,516	110,093	127,281	138,855*
	Level of Terminations	95%	86%	95%	103%
County Court	County Court Filings	418,699	418,222	428,310	429,919
	County Court Terminations	397,765	395,254	406,895	425,976*
	Level of Terminations	95%	95%	95%	99%

*Figures reflect a one-time accounting adjustment for the CJIMS conversion.

The objective for trial courts is to terminate cases at a rate equal to 95 percent of new filings.

IV. SUPPORT FOR BUDGET REQUEST

- A. Personal Services. The total Fiscal Year 1997 request for personal services is \$59,333,185. This amount is comprised of continuation funding for existing FTE, continuation funding for bills passed in Fiscal Year 1995, a decision item for an additional 43 FTE, a decision item regarding Option 8, and a decision item on cash funded cost recoveries.

The decision item request for additional FTE is made after considerable study by a broad-based group of representatives of the trial courts and State Court Administrator's Office. The request is based on staffing needs related to the ever-growing caseload and increasing demands on the courts. The overall caseload has increased significantly, and the types of cases and the number of citizens using the courts is very different than in the past. Since 1984, the annual caseload in county and district courts has increased from 395,232 cases to 564,079 cases, a 42 percent increase. In 1984, there was one FTE for every 331 cases. In 1995, the ratio was one FTE for every 430 cases - a 30 percent workload increase. In five years, misdemeanor cases have increased 63 percent, from 39,803 to 65,026.

The staffing pattern in the courts, including the request-year decision item, is as follows:

STAFFING - TRIAL COURTS

POSITION	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
Judges*	208.0	211.0	195.0
Magistrates	41.5	42.0	42.0
Court Staff	1,023.1	1,053.3	1,096.3
Administrative Personnel	36.7	37.7	37.7
Court - Appointed Counsel	1.0	1.0	1.0
Collections Investigators	21.3	21.3	21.3
Total	1,331.6	1,366.3	1,393.3

* The Fiscal Year 1997 FTE amount for judges **does not** represent a reduction in the appropriation, but simply a modification in the way judge FTE is represented.

It was determined through discussions with the Joint Budget Committee analyst that existing judge FTE would be represented in a new fashion in the Fiscal Year 1997 request. In the past, part-time county court judges were traditionally shown as a full FTE. This, in effect, overstated the number of FTE in existence. The methodology for the Fiscal Year 1997 request is based upon 115.0 FTE full-time district court judges, 46.0 FTE full-time county court judges, and 34.0 FTE part-time county court judges. While the total number of judges is set by statute, the *percentage judgeship* of a part-time

county court judge can vary from one year to the next depending upon the court's case volume (Section 13-30-103, C.R.S.). The use of 34.0 FTE to represent part-time county court judges provides for some movement (increases in percentage) in judgeships in the next few years, as well as more closely depicts actual staffing.

The decision item requesting modification to Option 8 is to eliminate the vacancy savings rate on judicial officers. The Judicial Branch does not believe that this rate is justified, and unless adjusted, the trial court personal services base will be permanently reduced. The decision item regarding cost recoveries requests the authority to spend cost recovery moneys from the personal services line item.

- B. Operating Expense. The total Fiscal Year 1997 operating request is \$3,923,759. This amount is comprised of continuation funding, approved inflation factors, and a decision item for operating dollars associated with the proposed FTE positions. The continuation portion of the request includes \$21,750 to provide for continued operating needs of the three new judges and staff from SB 95-66.
- C. Capital Outlay. The Fiscal Year 1997 request for capital outlay is \$205,669. This amount is part of the decision item for the proposed FTE positions.
- D. Microfilm Services. This program funds microfilming costs throughout the State. The Fiscal Year 1997 request of \$86,400 represents continued funding from the Fiscal Year 1996 appropriation.
- E. Mandated Costs. Mandated costs are costs associated with (1) court-appointed counsel for indigent persons and children, (2) costs incurred in prosecuting criminal cases and the defense of indigent defendants, (3) jury costs, and (4) the Office of Court-Appointed Counsel. The total Fiscal Year 1997 request for mandated costs is \$16,476,892. This amount represents an increase of \$1,577,707 over the Fiscal Year 1996 appropriation. The breakout of the mandated cost request is as follows:
 - 1. Court Appointed Counsel (\$9,576,000). This funding level is based on a projected twelve percent annual growth over the Fiscal Year 1996 appropriation. The growth in actual expenditures from Fiscal Year 1993 to Fiscal Year 1995 equaled twelve percent. Yet, the Fiscal Year 1996 appropriation was one percent less than the Fiscal Year 1995 actual expenditures.

Court-appointed attorneys are appointed as (1) counsel for parents in dependency and neglect matters, as required by Section 19-1-106, C.R.S.; (2) counsel for indigent adults in mental health involuntary commitment

proceedings, as required by Section 27-10-106, C.R.S.; or as (3) “other” appointments for respondents in civil contempt proceedings and conflict of interest appointments that are denied payment by the Office of the State Public Defender. Court-Appointed Counsel also includes Guardians ad Litem (GALs); attorneys appointed to represent the interests of juveniles in dependency and neglect cases; proceedings involving termination of parental rights; adoptions; mental health matters; and dissolution of marriage. GALs are also appointed to represent the interest of adults who are the subject of mental health proceedings.

2. **Court Costs (\$5,148,591).** This funding request is based on a projected eleven percent annual growth over the Fiscal Year 1996 appropriation. Court costs are those costs associated with the prosecution and defense of indigent criminal defendants. They include transcript costs, expert witness or other witnesses, mental health exams, investigations, sheriffs’ fees, subpoenas by mail, and other miscellaneous lesser costs. All of these costs are required by statute.

The past ten years, the Judicial Branch has reimbursed district attorneys \$1.25 for each mailed subpoena. This was agreed upon in accordance with the State’s requirement to pay prosecution costs. This year the district attorneys have presented current cost information which will result in an increase of \$.85. This contributes to the overall increase in court costs. Further, the Fiscal Year 1996 appropriation was three percent less than the actual expenditures incurred in Fiscal Year 1995.

Guidelines have been adopted by the Supreme Court establishing maximum expert witness, interpreter, and mental health exam fees.

3. **Jury Costs (\$1,711,726).** This funding level is based on a projected three percent annual growth over the Fiscal Year 1996 appropriation. Jury costs are those costs associated with summoning and paying jurors. The use of computerized failure-to-appear notices, call-in systems, and one-day/one-trial programs have increased jury yields and reduced the need to summon large numbers of jurors.
4. **Office of Court-Appointed Counsel (\$40,575).** This Office manages court- appointed counsel contracts for mental health, Guardian ad Litem, and dependency and neglect cases. The Office is responsible for auditing contracts, analyzing contract costs, verifying contract program savings, and identifying expanded contracting needs.

- F. Collections Investigators. Collections investigators (CI's) are located in each judicial district as required by Section 18-1-105(1)(a)(III)(C), C.R.S. Since Fiscal Year 1989, the CI program has been cash-funded through the Fines Collection Cash Fund. This fund is composed of collected felony and misdemeanor fines. The Fiscal Year 1997 request of \$826,815 represents continuation funding of the CI program's 21.3 FTE and five part-time contract clerical positions. This request is higher than the Fiscal Year 1996 appropriation due to annualization of anniversary per the budget instructions.

The collections investigation process consists of (1) a formal interview with each defendant who is requesting a stay of execution (delay in payment) on fines, fees, costs, and restitution ordered by the court; (2) determination of eligibility for a stay of execution; and (3) close monitoring of cases in which a stay of execution has been granted. Utilization of this process has proven to be effective in expediting payment of moneys assessed by the courts. Revenues collected by the CI's go to the General Fund; Highway User's Trust Fund; Wildlife Fund; Victim Assistance and Victim Compensation Funds; Restitution for crime victims; Municipalities' and Counties' shares of fees and fines collected; and Alcohol Evaluation and Law Enforcement Assistance Funds. Performance measures of the CI program are as follows:

COLLECTIONS INVESTIGATORS' PROGRAM

TIME FRAME OF COLLECTIONS	FY 1994 OBJECTIVE	FY 1994 ACTUAL	FY 1995 OBJECTIVE	FY 1995 ACTUAL
Up-front (within 48 hours of sentencing)	50%	51%	50%	51%
Within 90 Days	75%	78%	75%	79%

- G. Involuntary Commitments. The request of \$8,344 represents Federal funds appropriated in the Department of Health for the appointment of guardians ad litem in involuntary drug commitment cases.
- H. Sex Offender Surcharge. House Bill 92-1021 established a sex offender surcharge to cover costs of assessment of sex offenders. The bill also authorized a five percent administration fee to cover costs borne by the Judicial Branch. The proposed Fiscal Year 1997 budget request of \$7,818 represents five percent of projected Fiscal Year 1997 revenues of \$156,367.

- I. Victim Compensation. Victim Compensation funds are collected and distributed by the courts pursuant to the provisions of Title 24, Article 4.1, C.R.S., "Crime Victim Compensation and Victim and Witness Rights." Section 24-4.1-117(1) states, "The crime victim compensation fund is hereby established in the office of the court administrator of each judicial district for the benefit of eligible applicants under this part 1." The funds are awarded by local victim compensation boards and provide payment to crime victims for losses such as medical expenses, burial expenses, residential property damage, and others as outlined in Section 24-4.1-109. The Fiscal Year 1997 request of \$5,626,185 represents projected awards from this fund, which are based on the assumption that the actual growth of 8.3 percent during Fiscal Year 1995 will continue in Fiscal Year 1996 and Fiscal Year 1997. A supplemental request of \$200,000 is anticipated in Fiscal Year 1996.
- J. Victim Assistance. Victim Assistance funds are collected and distributed by the courts pursuant to the provisions of Title 24, Article 4.2, C.R.S. "Assistance to Victims of and Witnesses to Crimes and Aid to Law Enforcement Act." Section 24.4.2-103(1) states, "The victims and witnesses assistance and law enforcement fund is hereby established in the office of the court administrator of each judicial district..." The funds are awarded by local victims and witnesses assistance and law enforcement boards and provide funding for such things as the purchase and coordination of victims and witnesses assistance services. The rules for allocation of all moneys in the funds are outlined in Section 24-4.2-105. The Fiscal Year 1997 request of \$8,515,920 represents projected expenditures, which are based on the assumption that the actual growth of 9.6 percent during Fiscal Year 1995 will continue in Fiscal Year 1996 and Fiscal Year 1997. A supplemental request of \$1,271,000 is anticipated in Fiscal Year 1996.
- K. Family Preservation - Matching Funds. In a decision item, the Judicial Branch is requesting a state match for Federal moneys for programs to improve court foster care and adoption compliance. The decision item request totals \$40,578 General Fund dollars and \$162,316 Federal Funds dollars. These programs will be implemented and evaluated during Fiscal Year 1997, based upon the recommendations made in the assessment conducted during the first year of the grant. Projects to be conducted will include, for example, (1) the development of a video for respondent parents to describe the dependency and neglect court process and (2) pilot court facilitator programs to streamline the court process. During the second and subsequent years of the project, states are required to provide a 25 percent match of the federal funds. Since Colorado is scheduled to receive \$162,316 of federal funds in Fiscal Year 1997, the Judicial Branch requests the 25 percent match totaling \$40,578.

V. CONTINUATION OF BUDGET ASSUMPTIONS / CALCULATIONS

TRIAL COURTS

ITEM	AMOUNT
FY 1996 Long Bill Appropriation	\$86,233,559
Annualized Salary Survey	293,979
Annualized Anniversary	898,880
County Judge Bill (SB 95-66) - Personal Svc.	332,195
Child Abuse Bill (HB 95-1109)	20,446
Judge Salary Bill (HB 95-1356)	1,536,690
Small Claims Bill (HB 95-1293)	20,870
Operating Inflation	520
County Judge Bill (SB 95-66) - Operating	21,750
FY 1996 Capital Outlay	(126,750)
Mandated Cost Increase	1,574,982
Sex Offender Surcharge Increase	289
Victim Compensation Increase	626,185
Victim Assistance Increase	2,015,920
FY 1996 Family Preservation	(101,447)
Decision Item Number 1	1,370,821
Decision Item Number 8	184,298
Decision Item Number 9	202,894
Decision Item Number 10	107,800
FY 1997 Total Request	\$95,213,881

SCHEDULE 2D - DECISION ITEM

Priority 1

<u>DECISION ITEM TITLE</u>	Increase In Trial Court Staff (43 FTE)
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Trial Courts
<u>STATUTORY AUTHORITY</u>	Section 13-5-101 et seq., 13-6-101 et seq., C.R.S.

DESCRIPTION OF DECISION ITEM

Background:

This decision item is a continuation of the Fiscal Year 1996 trial court staff request. Last year the Judicial Branch identified the need for 107.83 courtroom support staff and 26.2 case processing staff. The decision item requested that new staff be phased in over a three-year period - approximately 45 FTE per year. The Legislature funded the 26.2 case processing staff, but delayed consideration of the courtroom support staff. The Joint Budget Committee asked the State Auditor to conduct a review of the weighted caseload method. The report should be completed this fall.

This year's continuation request is extremely conservative. The request is for 85.4 FTE to be phased in over a two year period - 43 in Fiscal Year 1997 and 42.4 in Fiscal Year 1998. The request is lower than the current indicated need of 123.2 for reasons discussed below.

Need:

Why is more staff needed?

Not only were nearly 19,000 additional cases filed in the courts in Fiscal Year 1995, bringing the total to 564,079, but the types of cases and the number of citizens using the courts are very different than in the past:

- ✓ District court filings increased five percent over last year, rising to 134,160 cases. The growth has occurred in criminal and juvenile matters, which take more time to process than other case types. Criminal felony filings rose fourteen percent to 28,172 cases. 34,689 juvenile cases were filed, representing a sixteen percent increase over last year. This large increase in juvenile cases results from the actions of social workers added to the Department of Human Services because of a recent law suit.

- ✓ County court case filings increased three percent last year. There are now 429,919 cases filed annually. As with district court, the majority of the increase occurred in those case types with the most demanding support needs:
 - Misdemeanor cases increased twelve percent to 65,026. The increase is consistent with the five year increase of 63 percent. It reflects the growth in domestic violence cases.
 - 5,767 additional civil cases were filed in county court, raising total filings in this category to 113,817 (a five percent increase).
- ✓ The number of litigants representing themselves, *pro se*, continues to increase. In as many as sixty percent of the domestic relations cases filed in both the urban and rural courts, it is not uncommon for one or both of the parties to be *pro se*. The amount of judge and staff time needed for a case increases when the parties are not represented by attorneys.

How is staff need determined?

Courts throughout the nation use various caseload models to determine an appropriate level of staffing. The models generally aggregate the number and types of cases, assign processing times, and determine the level of staff needed to move the cases through the courts. In Colorado, the Judicial Branch has had a “weighted caseload model” in place since 1989. In 1994, a forty member weighted caseload committee evaluated the caseload model. Over 23 case processing areas were studied to assess their impact on staffing levels. These areas included the effect of civil and criminal rules of procedure changes, statutory changes, impact of cases filed by prison inmates, impact of felony 1 cases, and the effect of improvements in automated case processing. Time studies were conducted in sample courts and file audits were performed on over 13,520 cases. Results of the study form the basis of this decision item.

The trial courts have three staff categories - judicial officers, case processing support, and direct courtroom support. Judicial officers include judges and magistrates. Case processing staff are those individuals who serve primarily in the court clerk’s office. They perform general case processing duties such as accepting filings, collecting fees and fines, assisting the public, processing documents received by mail, accounting, and data entry. The need for staff in this category is driven by the application of workload standards to the number and types of cases filed in the courts. For example, based on time and motion studies, the model assumes that one clerk assigned to domestic relations cases can handle either 370 regular cases or 308 *pro se* cases in a year.

Direct courtroom support includes those individuals who handle duties actually performed in or generated by courtroom events - court reporters, law clerks and bailiffs. These positions are necessary for a courtroom to function and, therefore, are not directly tied into the time

and motion case processing calculations. The weighted caseload committee recommended that each district judge should have two courtroom staff irrespective of the urban or rural designation and that all county judges, including part-time judges, should have one support staff.

There have been some long standing inequities in direct support. Caseloads in the rural courts have risen significantly since 1970, when the judiciary became a unified state system. Division staffing in the rural areas has not changed since that time. When the state assumed the obligation for staffing courts throughout the state, rural district judges typically had one staff person - a court reporter; urban district judges typically had three staff - a court reporter, a law clerk, and a division clerk.

Why has the Judicial Branch requested less than the indicated need?

As noted above, the indicated need is 123.2 FTE, comprised of 15.3 FTE related to caseload growth and 107.83 FTE for direct courtroom support. The need level has been reduced by two factors: the impact of automation and an alternative approach to determining the need for direct courtroom staff.

Automation Impact - 21.5 FTE: The total of 123.2 FTE is reduced by 21.5 FTE as determined in the study conducted for the Judicial Branch's report on the "Impact of Automation." The report indicates that new automated systems have resulted in the Branch's ability to do more with less.

Direct Courtroom Staff - 16.3 FTE: Based on input received from the Legislature, the Judicial Branch explored an alternate method of determining the need for direct courtroom staff. Instead of the historical method of determining direct staff using a ratio, the Judicial Branch re-examined the need using the weighted caseload method. The indicated direct courtroom need using the caseload method, rather than the ratio method, is 91.6 FTE, rather than 107.83, a difference of 16.3 FTE.

Even when the court staffing requirements are adjusted to take into account the automation impact and the weighted caseload calculation for direct courtroom staff, the results still indicate a substantial need - 85.4 FTE (123.2-21.5-16.3).

How does the Judicial Branch propose that the need be funded?

As noted above, under even the most conservative approach, the Judicial Branch needs 85.4 FTE. Because of statewide budget constraints, the Judicial Branch requests the FTE over a two-year period. The Fiscal Year 1997 request is for 43 FTE; the Fiscal Year 1998 request will reflect the balance of 42.4 FTE.

Assumptions:

- ✓ Caseload will continue to rise.
- ✓ Demands on the courts will continue.

Goals:

- ✓ To ensure that the public has access to a trial court system which has adequate staff to handle the 564,079 cases filed each year.

Objectives:

- ✓ The purpose of this decision item is to obtain the staff necessary to support trial courts throughout the state.

Performance Measures:

- ✓ See narrative for number of cases terminated annually as compared to the number of cases filed.
- ✓ Average days to resolve cases. (Currently under development with new automated system.)

Management Innovations:

Over the past twelve months, the Judicial Branch has undertaken a major study of each of the various processes that go on within a courthouse. The goal is to develop model processes for all court functions/case types. For example, Judicial Branch auditors, court staff and management studied various approaches to handling the 60,000 traffic cases that come into El Paso County Court each year. Based on the study, a model was developed which is now being tested extensively in that county.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	DECISION ITEM	FY 1997	FY 1998
General Fund	Trial Courts, Personal Services	Court Clerks & Law Clerks	\$992,616	\$2,049,805
		PERA	\$115,143	\$237,777
		Medicare	\$14,393	\$29,722
		Subtotal	\$1,122,152	\$2,317,304
	Trial Courts,	Operating	\$43,000	\$85,400
	Operating,	Capital Outlay	\$205,669	\$202,799
	Capital Outlay	Subtotal	\$248,669	\$288,199
		Total Request	\$1,370,821	\$2,605,503

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Trial Courts	\$85,600,382	1,331.6	\$88,416,531	1,366.3	\$93,347,779	1,366.3

* Excludes decision items.

BUDGET ASSUMPTIONS

The Fiscal Year 1997 personal services budget includes a request for 15 court clerk II's (Grade 66) and 28 law clerks (Grade 76). Operating is calculated at \$1,000 per person, based on variable operating only. Capital outlay includes computers, workstations, and chairs at a total per person of \$4,783.

SCHEDULE 2D - DECISION ITEM

Branch Priority 8

<u>DECISION ITEM TITLE</u>	Option 8 Modifications
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Trial Court & Probation
<u>STATUTORY AUTHORITY</u>	Section 13-5-101 et seq., 13-6-101 et seq., C.R.S. Section 16-11-208 et seq., C.R.S.

DESCRIPTION OF DECISION ITEM

Need:

This decision item requests four modifications to Option 8 personal services funding. They are as follows.

Trial Courts/Judges' Vacancy Savings: The base year for Option 8 is Fiscal Year 1995. For trial courts, the Fiscal Year 1995 appropriation included a one percent vacancy savings rate on judges salaries. The Judicial Branch does not believe that this rate is justified, and unless adjusted, it will permanently reduce the base. As a legal and practical matter, vacancies in judicial officer positions are very limited. State statutes (Title 13, Article V) establish the number of judges for each judicial district. The State Constitution (Article VI, Section 20) establishes the procedure for timely appointment of a judge in the event of death, retirement, resignation or removal. As a matter of practice, most judges announce their retirement or resignation well in advance of the actual date, allowing for timely appointments. Given current backlogs, timely appointment is critical. Elimination of the vacancy savings rate on judicial officers would increase the trial court personal services base by \$152,278.

Trial Courts/Court Clerk Reclassifications: As part of the Branch's efforts to manage resources effectively, the courts hire court clerks at Level I. In the past, these clerk positions were reclassified to Level II after about two years to reflect the increased duties and responsibilities of the more experienced clerk. The Branch requests \$32,020 to fund reclassifications for ten court clerks to move from Level I to Level II.

Probation/Range Changes: Option 8 does not provide funding for the probation officer range changes allowed by the personnel rules. The rules allow movement from Level I to Level II after one year; and from Level II to Level III after two years and successful completion of an examination. This decision item requests \$300,746 for 32.8 PO I to II range changes and 23.4 PO II to III range changes.

Probation Specialized Programs' Vacancy Savings: Option 8 does not provide full funding for the Female Offender Program and the Drug Offender Assessment Program. Staff for these programs were originally funded at Level I. However, the entry level probation officer is not sufficiently skilled or experienced to work with the high-risk populations for whom these specialized programs are designed. Therefore, the Branch has "back-filled" these positions. In other words, entry level officers are hired, yet the more experienced officers are assigned the specialized caseload. Continuation funding for these programs provides only for the entry level officer. However, as discussed above, officers move from one level to the next as their range changes are approved. Though no range changes are anticipated for the officers paid from these funds in Fiscal Year 1997, range changes approved in prior years have increased the personal services costs for these programs. To fully fund these programs, this decision item requests \$58,555, which includes \$5,902 General Fund for the Female Offender Program and \$52,653 Cash Funds for the Drug Offender Assessment Program.

(Note: Other specialized programs, such as the Intensive Supervision (ISP), Juvenile ISP, and Specialized Drug Offender programs, are incorporated in the general personal services funding.)

Assumptions:

- ✓ Vacancies in judge positions must be filled.
- ✓ Justified reclassifications should be funded.
- ✓ Probation range changes are part of the Personnel System. The Judicial Branch has no alternative for those currently in the system but to fund them.
- ✓ Specialized programs designed to provide services to high-risk populations should be fully funded.
- ✓ The courts and probation departments operate in 22 districts and 63 counties. The smaller courts and probation departments cannot absorb the vacancy savings.

Goals:

- ✓ To ensure sufficient budget to operate the courts and probation departments.

Objectives:

- ✓ The purpose of this decision item is to ensure that the personal services base for trial courts and probation is adequately funded.

Management Innovations:

The Judicial Branch is committed to reducing the costs of personal services and working within the methodology prescribed under Option 8. The current classification system is now being examined to determine if a less costly way of funding the probation series exists, e.g. extending the years and adding additional levels to the series. In the case of trial court clerk reclassifications, the policy has been to bring staff in at the lowest level. This has been beneficial.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
General Fund	Trial Courts,	Judge Vacancies	\$152,278	\$152,278
	Personal Services	Reclassifications	\$32,020	\$32,020
		Total Trial Courts	\$184,298	\$184,298
General Fund	Probation, Personal Services	Probation Officer Range Changes	\$300,746	\$300,746
General Fund	Probation, Female Offender	Probation Officer Vacancies	\$5,902	\$5,902
Cash Funds	Probation, Drug Offender Assessment	Probation Officer Vacancies	\$52,653	\$52,653
		Total Probation	\$359,301	\$359,301
		Total Request	\$543,599	\$543,599

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Trial Court	\$85,600,382	1,331.6	\$88,416,531	1,366.3	\$93,347,779	1,366.3
Probation	\$27,975,795	537.3	\$32,097,571	568.7	\$32,717,988	568.7

*Excludes decision items.

BUDGET ASSUMPTIONS

The calculation of judges vacancy savings was made by determining the total appropriation for judges in 1995 including PERA (\$15,227,748) times one percent. The calculation of reclassifications was made by multiplying ten court clerks times the average difference between Levels I and II (\$3,202). The calculation of probation range changes was made by multiplying 32.8 probation officer I's times the average difference between Levels I and II (\$4,520) and by multiplying 23.4 probation officer II's times the average difference between Levels II and III. The calculation of the probation specialized programs' vacancy savings was based on projected actual expenditures for the 14 FTE currently funded by the Female Offender and Drug Offender Assessment programs.

SCHEDULE 2D - DECISION ITEM

Branch Priority 10

<u>DECISION ITEM TITLE</u>	Authority to Spend Cost Recoveries
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Trial Courts
<u>STATUTORY AUTHORITY</u>	Sections 42-2-118(3)(c); 24-72-205; and 24-72-306, C.R.S.

DESCRIPTION OF DECISION ITEM

Need:

This decision item requests a ten percent increase in trial courts' cost recovery authority. Cost recoveries come from a variety of sources. For example, the Branch receives numerous requests for xeroxing, faxing, mailing via certified copy, and producing reports. With over half a million cases annually, the number of requests is substantial. Cost recoveries allow the courts to facilitate the public's, legal community's, and media's use of court records. Additionally, the statutes require the public to pay fees for certain services such as legal research (Sections 24-72-205 and 24-72-306, C.R.S.) and outstanding judgements/warrants (Section 42-2-118(3)(c)), C.R.S).

An increase in cost recoveries is anticipated for two reasons:

- ✓ The volume of people accessing the courts and needing services is increasing. Last year filings increased by 17,550 cases - from 546,529 to 564,079. There is no data indicating how many court visits this increase will generate, but a very conservative estimate would be two visits for county court cases (23,000 visits) and four for district court cases (plaintiff, defendants, and attorneys) (12,000 visits). Each visit will generate more services and more cost recoveries. In one court (El Paso), the security guards monitor the number of people accessing the courts. Over the five-year period from 1989 to 1994, the number of people accessing the courts for hearings, information, etc. in this county jumped 44 percent.
- ✓ The Judicial Branch is stepping up its services to the public. In two areas, important changes are underway. First, the Judicial Branch has developed a new directive on research fees. Courts are encouraged to provide assistance to individuals interested in accessing court records. Access to records includes not just the half a million cases filed last year, but all those filed in prior years. Second, there are more and more pro se litigants. These litigants represent themselves and need information. The courts are developing informational packets for these litigants. The packets are sold at a nominal cost and have been very well received.

The request proposes to add the increase to the personal services line item, so that the courts can use the recoveries for contract services.

Assumptions:

- ✓ The number of people using the courts will continue to grow.

Goals:

- ✓ To ensure adequate service to the public.

Objectives:

- ✓ The purpose of this decision item is to obtain spending authority for cost recoveries.

Management Innovations:

As described above, the Judicial Branch is exploring all alternatives to improve service to the public. Initiatives include stepping up assistance to pro se litigants and increasing access to court records.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
General Fund	Trial Courts, Personal Services	Cost Recoveries	\$107,800	\$0

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Trial Courts	\$85,600,382	1,331.6	\$88,416,531	1,366.3	\$93,347,779	1,366.3

* Excludes decision items.

BUDGET ASSUMPTIONS

Fiscal Year 1997 cost recoveries will increase ten percent over the 1995 actual recoveries of \$1,072,767.

SCHEDULE 2D - DECISION ITEM

Branch Priority 12

<u>DECISION ITEM TITLE</u>	State Match for Federal Foster Care Grant (\$40,578)
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Trial Courts
<u>STATUTORY AUTHORITY</u>	Part 1 - Omnibus Budget Reconciliation Act 1993

DESCRIPTION OF DECISION ITEM

Background:

The Federal Omnibus Budget Reconciliation Act, also known as the Family Preservation and Support Act, was enacted in 1993. This bill made grants available to the states for the purpose of improving the handling of abuse, neglect, foster care, and adoption cases. Under these grants, each participating state court system is to (a) conduct an assessment of how state courts are handling abuse, neglect, foster care, and adoption litigation; (b) develop a plan to improve the administration of justice in foster care and adoption cases; and (c) implement the plan. Last year, the Legislature approved a general fund match of \$20,289 for a six-month period. This year's request is for a full year General Fund match of \$40,578.

Need:

The assessment phase of the grant will be completed in December 1995. Using the information gathered from that assessment, recommendations for improvement will be made. Preliminary results indicate that target areas will most likely be: (1) legal representation, especially with respect to guardians ad litem; (2) court related factors such as continuances, unprepared attorneys, and crowded court dockets that create delays in permanency for children; (3) coordination of the need for court-ordered services and the delivery of those services, specifically those services that are currently causing permanency delays; (4) the adversarial nature of courts; and (5) the appeal process.

The ability to make critical improvements is dependent upon continued funding. Not only are revisions in current practices anticipated to result in expedited permanency for children, but many of these revisions will result in substantial savings to welfare agencies. For example, with improved court case management, caseworkers, guardians ad litem, county attorneys, and respondent parents counsel will spend less time waiting in court, therefore, increasing the productivity levels of such personnel. A decrease in the

number of continuances will result in an increase in the pace at which the cases are resolved, thus decreasing the expenditure of funds used for foster care. Improvements in the rate at which appeals are conducted will also achieve the same results. Modifications to the adversarial nature of the court will allow many of these cases to either resolve prior to the actual filing of dependency and neglect petitions or to come to a much more timely resolution, with a much improved compliance rate.

Goals:

- ✓ To improve the level of services to children in the court system.
- ✓ To expedite judicial decisions in child abuse and neglect litigation.

Objectives:

- ✓ The purpose of this decision item is to bring more resources to the troubled area of children's legal issues, thereby making substantial changes in the system as it exists today.

Performance Measures:

- ✓ This decision item will have outcome measures related to improvements in court processing of children's cases which will be determined through the grant project.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
Federal Funds	Trial Courts, Family Grant	Staff & Operating	\$162,316	\$162,316
General Fund	Trial Courts, Family Grant	Staff & Operating	\$40,578	\$40,578

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Trial Courts	\$85,600,382	1,331.6	\$88,416,531	1,366.3	\$93,347,779	1,366.3

* Excludes decision items.

BUDGET ASSUMPTIONS

This decision item will fund a grant administrator and program evaluation staff (\$104,644). There will be dependency and neglect pilot projects at a cost of \$94,000. Operating will cover travel and general expenses (\$4,250).

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
PERSONAL SERVICES								
Position Detail:								
District Judge	8,590,107	114.6	8,625,000	115.0	9,085,920	115.0	9,430,920	115.0
County Judge	4,985,241	93.0	4,964,292	93.0	5,591,600	96.0	5,819,750	80.0
Judge Position Subtotal	13,575,348	207.6	13,589,292	208.0	14,677,520	211.0	15,250,670	195.0
District Administrator I	156,118	4.0	334,080	6.0	334,080	6.0	334,080	6.0
District Administrator II	400,686	8.0	365,832	6.0	365,400	6.0	365,400	6.0
District Administrator III	344,957	6.0	402,840	6.0	402,840	6.0	402,840	6.0
District Administrator IV	245,306	3.0	213,732	3.0	223,848	3.0	223,848	3.0
Staff Assistant I	172,303	5.0	176,746	5.7	222,030	6.7	190,022	5.7
Staff Assistant II	224,791	10.0	221,256	6.0	225,103	6.0	267,405	7.0
Administrative Assistant	122,784	2.0	122,784	2.0	122,784	2.0	122,784	2.0
Account Clerk I	83,091	4.0	90,619	4.2	68,710	3.2	47,802	2.2
Account Clerk II	521,764	21.0	467,976	17.0	522,227	18.0	495,074	17.0
Account Clerk III	351,703	12.0	385,420	12.7	419,825	12.7	423,173	12.7
Account Clerk IV	186,910	6.0	175,620	5.0	183,742	5.0	259,963	7.0
Clerk of Court I	453,756	24.0	430,320	16.3	451,966	16.3	426,574	15.3
Clerk of Court II	794,860	24.0	686,400	22.0	685,540	21.0	686,064	21.0
Clerk of Court III	661,855	20.0	583,200	18.0	608,558	18.0	643,847	19.0
Clerk of Court IV	27,200	1.0	77,448	2.0	78,740	2.0	79,368	2.0
Clerk of Court V	157,685	4.0	141,192	3.0	142,332	3.0	142,992	3.0
Clerk of Court VI	432,972	9.0	444,744	9.0	464,976	9.0	467,496	9.0
Denver District Court Administrator	69,956	1.0	72,096	1.0	74,616	1.0	74,616	1.0
Director of Juvenile Court Services	69,956	1.0	72,096	1.0	74,616	1.0	74,616	1.0
Court Psychologist I	41,744	1.0	44,820	1.0	46,608	1.0	46,608	1.0
Court Psychologist II	50,512	1.0	54,480	1.0	55,680	1.0	55,680	1.0
Law Clerk	634,368	28.0	1,328,040	52.7	1,414,290	52.7	1,434,740	52.7
Legal Research Attorney	129,479	3.8	175,620	5.0	186,408	5.0	186,408	5.0
Magistrate	2,413,370	39.8	2,355,540	41.5	2,448,936	42.0	2,448,936	42.0
Water Referee	147,712	2.4	61,392	1.0	61,392	1.0	61,392	1.0

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Law Librarian I	54,070	3.0	59,064	2.0	63,576	2.0	63,576	2.0
Law Library Assistant			4,531	0.2	4,766	0.2	4,813	0.2
Jury Commissioner I	372,844	11.0	394,812	11.0	417,830	11.0	419,436	11.0
Court Clerk I	698,675	41.5	909,840	44.6	1,031,495	56.8	860,204	46.8
Court Clerk II	3,969,345	190.0	4,229,875	186.7	4,682,055	189.7	4,931,885	199.7
Court Clerk III	3,683,541	143.1	4,422,634	154.4	4,590,739	160.4	4,611,031	160.4
Court Clerk IV	919,007	31.0	1,244,209	37.9	1,214,603	37.9	1,215,359	37.9
Unit Supervisor I	258,044	8.0	210,744	6.0	204,336	6.0	204,336	6.0
Unit Supervisor II	207,507	7.0	251,244	7.0	258,360	7.0	258,360	7.0
Unit Supervisor III	309,384	8.0	325,248	8.0	290,060	7.0	292,764	7.0
Bailiff	450,626	28.0	267,814	15.1	322,974	18.1	330,625	18.1
Assistant Division Clerk	1,843,102	82.0	1,724,122	76.1	1,781,474	77.1	1,754,457	75.1
Division Clerk	4,391,927	171.9	4,836,670	175.7	5,392,452	179.7	5,475,532	181.7
Division Specialist	24,759	1.0						
Court Reporter I	435,582	14.0	384,499	12.4	380,756	12.4	384,904	12.4
Court Reporter II	3,899,571	100.0	4,159,438	105.1	4,354,501	105.1	4,378,573	105.1
Secretary I	41,640	2.0	10,500	0.5	9,528	0.5	10,272	0.5
Secretary II	62,243	3.0	55,110	2.5	34,083	1.5	34,170	1.5
Secretary III	86,280	3.0	84,384	3.0	104,988	4.0	104,988	4.0
PBX Operator			42,000	2.0	41,496	2.0	41,496	2.0
Computer Tech I			37,692	1.0	77,280	2.0	77,280	2.0
Computer Tech II			42,684	1.0	94,152	2.0	47,076	1.0
Computer Tech III			44,818	1.0	93,216	2.0	139,823	3.0
Non-Judge Position Subtotal	30,603,985	1,088.5	33,226,225	1,101.3	35,329,967	1,133.0	35,602,688	1,133.0
Continuation Salary Subtotal	44,179,333	1,296.1	46,815,517	1,309.3	50,007,487	1,344.0	50,853,358	1,328.0
PERA	5,472,873		5,804,267		6,299,904		6,417,512	
Medicare	287,112		332,853		450,067		457,680	
Current Positions Subtotal	49,939,318	1,296.1	52,952,637	1,309.3	56,757,458	1,344.0	57,728,550	1,328.0
General Fund	49,939,318	1,296.1	52,952,637	1,309.3	56,730,530	1,343.5	57,701,622	1,327.5
Cash Fund Exempt					26,928	0.5	26,928	0.5

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
New Position Request:								
NEW POSITIONS REQUEST								
Decision Item Priority # 1								
Court Clerk II							293,400	15.0
Law Clerk							699,216	28.0
PERA							115,143	
Medicare							14,393	
Decision Item Subtotal (GF)							1,122,152	43.0
Other:								
Contractual Services (GF)	139,222		371,949		319,162		319,162	
Unemployment Insurance	37,608		33,513		33,513		33,513	
Retirement / Termination Payouts	391,987		256,186		256,186		256,186	
HLD Expense	55,440		80,335		73,269		73,269	
STD Expense	3,748		10,436		15,344		15,344	
COST RECOVERY REQUEST								
Decision Item Priority # 10								
Cost Recoveries (CF)							107,800	
Allocated POTS (non-add):								
Salary Survey	279,570		1,711,457		293,979		n/a	
Anniversary	486,565		486,565		563,211		n/a	
Differences:								
Vacancy Savings	0		0		(497,634)		(507,089)	
OPTION 8 MODIFICATION REQUEST								
Decision Item Priority # 8								
Vacancy Savings Modification							184,298	
Vacancy Savings Subtotal	0		0		(497,634)		(322,791)	

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Total Personal Services	50,567,323	1,296.1	53,705,056	1,309.3	56,957,298	1,344.0	59,333,185	1,371.0
General Fund	50,567,323	1,296.1	53,705,056	1,309.3	56,930,370	1,343.5	59,198,457	1,370.5
Cash Funds							107,800	
Cash Funds Exempt					26,928	0.5	26,928	0.5
RECONCILIATION OF FUNDS								
PERSONAL SERVICES								
Calc. of Pers. Svc. Appropriation:								
Previous Year Long Bill Appropriation					51,950,584	1,310.7	54,853,042	1,337.7
Annualized Salary Survey					1,567,700		293,979	
Annualized Anniversary					744,444		861,713	
Net Change in FTE					590,314	27.0		
County Judge FTE Calculation Adjustment							0	(16.0)
County Judge Bill (SB 95-66)							332,195	6.0
Child Abuse Bill (HB 95-1109)							20,446	0.3
Judge Salary Bill (HB 95-1356) FY 96 Portion							873,555	
Judge Salary Bill (HB 95-1356) FY 97 Portion							663,135	
Small Claims Bill (HB 95-1293)							20,870	
Decision Item Priority # 1							1,122,152	43.0
Decision Item Priority # 8							184,298	
Decision Item Priority # 10							107,800	
Current Year Long Bill Appropriation	49,926,971	1,296.1	51,950,584	1,310.7	54,853,042	1,337.7	59,333,185	1,371.0
County Judge Bill (SB 95-66)					332,195	6.0		
Child Abuse Bill (HB 95-1109)					20,446	0.3		
Judge Salary Bill (HB 95-1356) FY 96 Portion					873,555			
Small Claims Bill (HB 95-1293)					20,870			
District Judge/Staff Bill (HB 93S-1014)	112,007							
Juvenile Bill (HB 93S-1001)	487,098							
Reversion	(252,906)		(27,355)					

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Transfer	(325,853)		(378,468)					
Supplemental Appropriation	(146,129)		(37,727)	(1.4)				
Appropriation Subtotal	49,801,188	1,296.1	51,507,034	1,309.3	56,100,108	1,344.0	59,333,185	1,371.0
General Fund	49,801,188	1,296.1	51,507,034	1,309.3	56,059,200	1,343.5	59,198,457	1,370.5
Cash Funds							107,800	
Cash Funds Exempt					26,928	0.5	26,928	0.5
Allocated POTS:								
Salary Survey	279,570		1,711,457		293,979		n/a	
Anniversary	486,565		486,565		563,211		n/a	
POTS Subtotal	766,135		2,198,022		857,190			
General Fund	766,135		2,198,022		857,190			
Total Reconciliation of Personal Services	50,567,323	1,296.1	53,705,056	1,309.3	56,957,298	1,344.0	59,333,185	1,371.0
OPERATING EXPENSE								
2220 Building Maintenance & Repair	14,696		8,925		8,577		8,577	
2230 Equipment Maintenance & Repair	165,476		206,199		199,500		198,157	
2231 ADP Equipment Maintenance & Repair	1,139		3,812		3,800		3,663	
2240 Vehicle Maintenance & Repair	605							
2251 Motor Pool Vehicle Rental	543		2,763		2,655		3,500	
2253 Other Rentals	290,047		340,415		327,139		327,139	
2255 Office & Room Rentals	1,819		913		877		877	
2311 Construction Contractor Services	983							
2511 Common Carrier - In State	12,163		11,226		10,788		12,250	
2512 Mileage, Subsistence - In State	184,860		209,500		201,330		205,000	
2531 Common Carrier - Out of State	4,742		5,881		5,652		5,652	
2532 Mileage, Subsistence - Out of State	5,748		8,240		7,919		7,919	
2610 Advertising / Notices	3,861		2,959		2,844		2,844	
2630 Phone	647,617		639,861		614,906		614,906	

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2641 Other ADP Billings	1,196		796		765		765	
2660 Insurance	2,893		3,221		3,095		3,095	
2680 Printing	47,437		85,721		82,378		82,378	
2810 Freight	23,099		27,268		26,205		26,000	
2820 Process Service			245		235		235	
2830 Storage & Moving	2,152		694		667		667	
3110 Other Supplies	50,915		57,866		55,609		55,000	
3112 Automotive Supplies	128							
3113 Judicial Robes & Cleaning	7,129		9,859		9,474		9,474	
3114 Custodial Supplies			606		582		582	
3115 Data Processing Supplies	25,825		75,288		72,352		71,500	
3116 Software	19,938		10,100		9,706		9,600	
3117 Educational Supplies	684		1,381		1,327		1,327	
3118 Food	6,545		21,658		20,813		21,333	
3120 Books / Subscriptions	760,361		726,519		698,185		686,100	
3121 Other Office Supplies	653,507		771,312		736,934		727,045	
3122 Photographic Supplies	1,041		1,675		1,610		1,582	
3123 Postage	234,323		282,874		271,842		274,200	
3124 Copies Charges & Supplies	(135,590)		70,655		67,899		67,899	
3126 Repair & Maintenance Supplies	16,880		6,028		5,793		5,793	
3128 Noncapitalized Equipment	171,643		231,665		216,631		211,000	
4110 Cash Shortages	7,639		2,404		2,831		2,270	
4140 Dues / Memberships	2,189		1,873		1,800		1,800	
4170 Fees	(668,611)		(48,067)					
4220 Registration Fees	15,506		38,538		37,035		38,500	
6210 ADP Capital Equipment	74,920		68,475		63,658		61,566	
6220 Capitalized Furniture & Equipment	149,443		144,333		139,500		130,564	
Operating Subtotal	2,805,491		4,033,681		3,912,913		3,880,759	

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OPERATING REQUEST FOR NEW POSITIONS Decision Item Priority # 1								
Operating Expense (GF)							43,000	
Total Operating Expense	2,805,491		4,033,681		3,912,913		3,923,759	
General Fund	2,805,491		2,960,914		2,744,854		2,755,700	
Cash Funds			1,072,767		1,168,059		1,168,059	
RECONCILIATION OF FUNDS OPERATING								
Prior Year Long Bill Approp. Plus Inflation	n/a		n/a		n/a		3,859,009	
Decision Item Priority # 1	n/a		n/a		n/a		43,000	
County Judge Bill (SB 95-66) FY 1997 Portion	n/a		n/a		n/a		21,750	
Current Year Long Bill Appropriation	2,530,581		2,639,092		3,858,489		3,923,759	
County Judge Bill (SB 95-66) FY 1996 Portion					54,424			
Supplemental Appropriation			1,168,059					
Special Session	51,664							
Transfer	284,496		326,000					
Restricted Funds			(95,292)					
Reversion	(61,250)		(4,178)					
Total Reconciliation of Operating	2,805,491		4,033,681		3,912,913		3,923,759	

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
CAPITAL OUTLAY								
Capital Outlay					126,750			
CAPITAL OUTLAY REQUEST FOR NEW POSITIONS Decision Item Priority # 1								
Capital Outlay							205,669	
Total Capital Outlay (GF)					126,750		205,669	
RECONCILIATION OF FUNDS CAPITAL OUTLAY								
Long Bill Appropriation					126,750			
Total Reconciliation of Capital Outlay					126,750			
MICROFILM SERVICES								
Total Microfilm Services (GF)	85,598		86,400		86,400		86,400	
RECONCILIATION OF FUNDS MICROFILM SERVICES								
Long Bill Appropriation	86,400		86,400		86,400			
Reversion	(802)							
Total Reconciliation of Microfilm Services	85,598		86,400		86,400			
GUARDIAN AD LITEM								
Total Guardian Ad Litem (GF)	4,172,142		4,612,571					
RECONCILIATION OF FUNDS GUARDIAN AD LITEM								
Long Bill Appropriation	4,556,448		4,190,421					

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Supplemental Appropriation	(322,731)		232,049					
Transfer	(59,000)		190,106					
Reversion	(2,575)		(5)					
Total Reconciliation of GAL	4,172,142		4,612,571					
DEPENDENCY & NEGLECT								
Total Dependency & Neglect (GF)	2,952,523		2,966,432					
RECONCILIATION OF FUNDS DEPENDENCY & NEGLECT								
Long Bill Appropriation	2,613,182		2,951,645					
Supplemental Appropriation	138,600		178,029					
Transfer	202,000		(163,242)					
Reversion	(1,259)							
Total Reconciliation of Dependency & Ng.	2,952,523		2,966,432					
MENTAL HEALTH								
Total Mental Health (GF)	488,910		541,501					
RECONCILIATION OF FUNDS MENTAL HEALTH								
Long Bill Appropriation	402,784		569,814					
Supplemental Appropriation	18,700		(51,569)					
Transfer	72,000		23,256					
Reversion	(4,574)							
Total Reconciliation of Mental Health	488,910		541,501					
OTHER APPOINTMENTS								
Total Other Appointments (GF)	341,635		501,806					

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS								
OTHER APPOINTMENTS								
Long Bill Appropriation	518,515		300,000					
Supplemental Appropriation	(175,000)		62,133					
Transfer			139,673					
Reversion	(1,880)							
Total Reconciliation of Other Appoint.	341,635		501,806					
JURY COSTS								
Petit Jury Costs:								
Jurors	975,797		1,002,822					
Personal Services	132,287		139,430					
HLD Expense	12,637		15,839					
STD Expense	57		92					
Operating	340,073		377,626					
Travel	22,596		18,463					
Petit Jury Costs Subtotal	1,483,447		1,554,272					
Grand Jury Costs:								
Jurors	58,550		42,532					
Personal Services	24,445		31,019					
HLD Expense	1,025		763					
STD Expense	8		6					
Operating	746		1,391					
Travel	1,533		3,654					
Grand Jury Costs Subtotal	86,307		79,365					
Total Jury Costs (GF)	1,569,754		1,633,637					

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS								
JURY COSTS								
Long Bill Appropriation	1,407,665		1,751,287					
Supplemental Appropriation	227,164		(87,348)					
Transfer	(49,775)		(30,000)					
Reversion	(15,300)		(302)					
Total Reconciliation of Jury Costs	1,569,754		1,633,637					
COURT COSTS								
Expert Witness	678,368		626,571					
Standard Witness	594,485		488,972					
Transcripts & Court Reporters	925,316		958,874					
Professional Services	979,567		938,050					
Sheriff's Fees	310,827		321,230					
Exam & Treatment	719,143		831,861					
Subpoena by Mail	212,039		218,582					
Postage	200,943		179,910					
Miscellaneous	260,178		209,985					
HLD Expense	25,670		23,786					
STD Expense	410		297					
Total Court Costs (GF)	4,906,946		4,798,118					
RECONCILIATION OF FUNDS								
COURT COSTS								
Long Bill Appropriation	4,129,446		5,123,800					
Supplemental Appropriation	910,725		(357,094)					
Transfer	(133,225)		37,175					
Reversion			(5,763)					
Total Reconciliation of Court Costs	4,906,946		4,798,118					

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OFFICE OF COURT- APPOINTED COUNSEL (OCAC)								
Personal Services	31,947	1.0	33,580	1.0				
HLD Expense			148					
STD Expense			6					
Total OCAC (GF)	31,947	1.0	33,734	1.0				
RECONCILIATION OF FUNDS OFFICE OF CRT. - APP. COUNSEL								
Long Bill Appropriation	31,397	1.0	32,967	1.0				
Salary Survey Allocation	265		482					
Anniversary Allocation	285		285					
Total Reconciliation of OCAC	31,947	1.0	33,734	1.0				
MANDATED COSTS								
Guardian Ad Litem					4,600,000		5,176,000	
Dependency & Neglect					3,000,000		3,100,000	
Mental Health					550,000		650,000	
Other Appointments					400,000		650,000	
Jury Costs					1,649,000		1,711,726	
Court Costs					4,621,454		5,148,591	
Office of Court-Appointed Counsel					37,850	1.0	40,575	1.0
HLD Expense					41,977			
STD Expense					685			
Total Mandated Costs (GF)					14,900,966	1.0	16,476,892	1.0

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS MANDATED COSTS								
Prior Year Long Bill Appropriation					n/a		14,899,185	1.0
Annualized Salary Survey					n/a			
Annualized Anniversary					n/a		2,725	
Anticipated Increase in Mandated Costs					n/a		1,574,982	
Current Year Long Bill Appropriation					14,899,185	1.0	16,476,892	1.0
Salary Survey Allocation							n/a	
Anniversary Allocation					1,781		n/a	
Total Reconciliation of Mandated Costs					14,900,966	1.0	16,476,892	1.0
COLLECTIONS INVESTIGATORS								
Personal Services	652,511	21.3	763,285	21.3	792,621	21.3	805,005	21.3
Operating	19,122		34,389		15,269		21,810	
HLD Expense	(280)		3,415		6,994			
STD Expense	61		529					
Total Collections Investigators (CF)	671,414	21.3	801,618	21.3	814,884	21.3	826,815	21.3
RECONCILIATION OF FUNDS COLLECTIONS INVESTIGATORS								
Prior Year Long Bill Appropriation	n/a		n/a		n/a		792,373	21.3
Annualized Salary Survey	n/a		n/a		n/a			
Annualized Anniversary	n/a		n/a		n/a		34,442	
Current Year Long Bill Appropriation	680,598	21.3	810,897	21.3	792,373	21.3	826,815	21.3
Salary Survey Allocation			36,787				n/a	
Anniversary Allocation			16,832		22,511		n/a	
Reversion	(9,184)		(62,898)					
Total Reconciliation of Collections Invst.	671,414	21.3	801,618	21.3	814,884	21.3	826,815	21.3

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
INVOLUNTARY COMMITMENTS								
Total Involuntary Commitments (CFE)	0		0		8,344		8,344	
RECONCILIATION OF FUNDS INVOLUNTARY COMMITMENTS								
Long Bill Appropriation	8,344		8,344		8,344			
Restricted Funds	(8,344)		(8,344)					
Total Reconciliation of Involuntary Comm.	0		0		8,344			
SEX OFFENDER SURCHARGE								
Total Sex Offender Surcharge (CF)	0		0		7,529		7,818	
RECONCILIATION OF FUNDS SEX OFFENDER SURCHARGE								
Long Bill Appropriation	47,202		7,529		7,529			
Reversion	(47,202)		(7,529)					
Total Reconciliation of Sex Off. Surcharge	0		0		7,529			
VICTIM COMPENSATION								
Total Victim Compensation (CF)	4,428,489		4,796,170		5,000,000		5,626,185	
RECONCILIATION OF FUNDS VICTIM COMPENSATION								
Long Bill Appropriation	5,000,000		5,000,000		5,000,000			
Non-Appropriated Item Increase	308,008							
Reversions	(879,519)		(203,830)					
Total Reconciliation of Victim Comp.	4,428,489		4,796,170		5,000,000			
VICTIM ASSISTANCE								
Total Victim Assistance (CF)	6,467,111		7,089,658		6,500,000		8,515,920	

BRANCH: JUDICIAL
PROGRAM: TRIAL COURTS

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS VICTIM ASSISTANCE								
Long Bill Appropriation	5,100,000		5,500,000		6,500,000			
Supplemental Appropriation	400,000		1,000,000					
Non-Appropriated Item Increase	1,439,285		589,658					
Reversion	(472,174)							
Total Reconciliation of Victim Assistance	6,467,111		7,089,658		6,500,000			
FAMILY PRESERVATION								
Family Preservation					101,447			
INCREASE FAMILY PRESERV. REQUEST Decision Item Priority # 12								
Family Preservation (GF)							40,578	
Family Preservation (FF)							162,316	
Total Family Preservation					101,447		202,894	
General Fund					20,289		40,578	
Federal Funds					81,158		162,316	
RECONCILIATION OF FUNDS FAMILY PRESERVATION								
Long Bill Appropriation					101,447			
Total Reconciliation of Family Preserv.					101,447			
Total Trial Courts	79,489,283	1,318.4	85,600,382	1,331.6	88,416,531	1,366.3	95,213,881	1,393.3
General Fund	67,922,269	1,297.1	71,840,169	1,310.3	74,809,629	1,344.5	78,763,696	1,371.5
Cash Funds	11,567,014	21.3	13,760,213	21.3	13,490,472	21.3	16,252,597	21.3
Cash Funds Exempt	0	0.0	0	0.0	35,272	0.5	35,272	0.5
Federal Funds	0	0.0	0	0.0	81,158	0.0	162,316	0.0

PROBATION

BRANCH: JUDICIAL
PROGRAM: PROBATION

I. PROGRAM IDENTIFICATION

- A. Title. Probation
- B. Division. Judicial Administration
- C. Branch. Judicial
- D. Program Administrator. Chief Judge and Chief Probation Officer in each Judicial District
- E. Organization Chart. See Summary Section
- F. Description and Authorization.

Colorado has a probation department in each of its 22 judicial districts. Probation departments are responsible for supervising adult and juvenile offenders and providing pre-sentence information to the courts. Supervision is conducted in accordance with conditions imposed by the courts. A breach of any imposed condition may result in revocation/modification of probation or institutionalization of the offender.

Probation activities are carried out pursuant to Sections 16-7-401, 16-7-403, 16-11-102, 16-11-202, 16-11-209, 16-11-210, 19-3-117, 19-5-101 and 19-5-102, C.R.S.

II. PROGRAM GOALS AND OBJECTIVES

- A. Goals.
 - 1. To protect the public by reducing the incidence of repeat offenses.
 - 2. To provide comprehensive pre-sentence information that improves sentencing decisions.
 - 3. To provide expanded availability of intermediate-range sentencing options to the court, while reducing expenditures on more costly forms of incarceration.
 - 4. To expand the availability of specialized programs for probationers, particularly in the areas of drug abuse, female offenders, domestic violence, and sex offenses.

5. To increase probation services available to high-risk juvenile offenders and, thereby, reduce rates of commitment to the Office of Youth Services and residential placements.

B. Fiscal Year 1997 Objectives.

1. To expand supervision of high-risk county court cases while ensuring compliance with the established standards for high-risk offender supervision.
2. To expand investigation resources in order to provide the county courts with pre-sentence information, particularly for misdemeanor assaults and domestic violence cases.
3. To expand assessment practices for the purposes of identification and referral to specialized supervision programs and treatment facilities.
4. To expand monitoring programs for low-risk offender populations by placing 40-45 percent of the adult offender population on administrative caseloads.

C. Subprograms.

1. Intensive Supervision Probation Program (ISP).

- (a) Goal. To protect the community in a cost-effective manner by providing supervision, surveillance, and appropriate services to offenders who, without this program, may be incarcerated.

(b) Fiscal Year 1997 Objectives.

- (1) To provide intensive supervision for approximately 1,500 felony offenders in Fiscal Year 1997.
- (2) To provide a seven percent prison bed reduction by the close of Fiscal Year 1997 (281 beds based on 4,006 projected commitments).
- (3) To maintain the successful rate of completion at approximately 55 percent.
- (4) To reduce revocations due to non-compliance by ten percent.
- (5) To reduce revocations for new felony offenses by ten percent.

2. Juvenile Intensive Supervision Program (JISP).

- (a) Goal. To provide an additional sentencing option for adjudicated delinquent youth who present a high risk of future placement within correctional or residential facilities. The program balances community protection with individual youth service needs through a continuum of services emphasizing assessment, accountability, and competency development.
- (b) Fiscal Year 1997 Objectives.
 - (1) To provide the JISP sentencing/supervision options in sixteen judicial districts.
 - (2) To provide supervision and treatment services to 500 youth who would have otherwise been placed out of the home.
 - (3) To provide transitional supervision for approximately 350 youth completing the juvenile "bootcamp."
 - (4) To provide an alternate means of sanctioning probation violations. JISP will reduce the admission rate and/or length of stay for youth held in detention facilities for violating conditions of probation.

3. Specialized Drug Offender Program.

- (a) Goal. To provide an intensive form of probation supervision for drug-abusing offenders whose probability of failure on probation is significant.
- (b) Fiscal Year 1997 Objectives.
 - (1) To provide intensive supervision/treatment intervention for approximately 450 high-risk substance abusers.
 - (2) To reduce the rate of revocation for non-compliance and drug use by ten percent.
 - (3) To provide cognitive skills programs in all districts.

4. Specialized Female Offender Program.

- (a) Goal. To provide intensive services in five urban districts for high-risk, substance-abusing, female offenders, emphasizing assessment and case management strategies.

(b) Fiscal Year 1997 Objectives.

- (1) To provide case management services for 210-300 female offenders who would otherwise be sentenced to residential facilities or imprisonment.
- (2) To assess female offenders in conjunction with House Bill 91-1173 assessment guidelines for substance abuse.
- (3) To reduce the rate of commitment to prison by ten percent based upon performance measures established during Fiscal Year 1995 (Footnote report # 81, 11/1/94).

5. Electronic Monitoring.

- (a) Goal. To provide a form of increased surveillance, sentencing, and revocation options to the courts and specialized supervision programs.

(b) Fiscal Year 1997 Objectives.

- (1) To maintain electronic monitoring on approximately 10-15 percent of the offenders who are sentenced to specialized programs.
- (2) To improve the overall outcome of high-risk offender programs by providing an additional sanction in lieu of revocation and incarceration.

6. Collections Program.

- (a) Goal. To maximize the rate of collection of fees and fines from probationers.

(b) Fiscal Year 1997 Objectives.

- (1) To maintain a higher level of collections of fees and fines than achieved in the past (20-30 percent over base year).
- (2) To monitor cases where the only unfulfilled condition of probation remaining is payment of court-ordered fines, fees, or restitution.

7. Alcohol and Drug Driving Safety (ADDS) Program.

- (a) Goals. To reduce the abuse of alcohol and other drugs and to reduce the prevalence of driving under the influence of alcohol and drugs.

(b) Fiscal Year 1997 Objectives.

- (1) To contribute to a decrease in alcohol-related, fatal automobile accidents by evaluating all persons convicted of alcohol and drug driving offenses.
- (2) To make appropriate education and treatment referrals.
- (3) To achieve overall cost reductions in the ADDS Program by identifying and implementing efficiencies in the evaluation, monitoring, and supervision functions.

D. Performance Measures. The *Standards for Probation in Colorado* (Revised 1995) and subprogram guidelines establish both quantitative and qualitative measures of program performance. Each local department's performance is measured through the Probation Program Review. This review measures district performance against established standards and overall program compliance rates. The following indices are general, programmatic measures of success established by the General Assembly. The Judicial Branch has also outlined specific performance measures for each subprogram that is evaluated annually.

1. Probation and Specialized Programs.

SUCCESSFUL TERMINATION

PROGRAM	FY 1995 NEW CASES	FY 1995 TERM	FY 1995 REVO. ¹	FY 1995 % SUC.	FY 1996 PROJ. % SUC.	FY 1997 PROJ. % SUC.
Regular Probation:						
Adult	14,700	13,588	4,253	68.7	68.7	75
Juvenile	7,140	6,044	997	83.5	83.5	85
ISP:						
Adult	445	418	192	54.1	54.1	55
Juvenile	290	247	101	59.1	59.1	60
Special Drug Program	661	378	169	55.3	55.3	55
Female Offender Program	281	245	110	55.1	55.1	55

¹ This includes pending revocations for absconding.

REVOCATIONS DUE TO NEW CRIMES

PROGRAM	FY 1994 REVOC.	FY 1994 NEW CRIME REVOC.	FY 1994 % NEW CRIME REVOC.	FY 1995 REVOC.	FY 1995 NEW CRIME REVOC.	FY 1995 % NEW CRIME REVOC.
Regular Probation:						
Adult	4,062	682	16.8	4,253	608	14.3
Juvenile	842	188	22.3	997	188	18.9
ISP:						
Adult	185	32	7.7	192	33	17.1
Juvenile	118	13	11.2	101	13	12.9
Specialized Drug Program	83	11	4.6	169	23	13.6
Female Offender Program	53	12	9.3	110	25	22.7

2. Collections Program.

COLLECTIONS PROGRAM

MEASURE	FY 1994 EST. YEAR - OBJECTIVE	FY 1994 ACTUAL PERFORMANCE	FY 1995 EST. YEAR OBJECTIVE	FY 1995 ACTUAL PERFORMANCE
Collections* - Resitution, Victim Comp., Victim Asst., Felony Fines, Probation Fees	\$5,399,462	\$5,621,545	\$5,621,545	\$6,105,104

* Besides the five benchmark categories above, Collection Investigators also collect other miscellaneous fees, such as drug and sex offenders surcharges and court costs.

3. Alcohol and Drug Driving Safety (ADDS) Program.

ADDS ACTUAL YEAR COMPARISONS

ADDS	FY 1994 EST. YR. OBJECTIVE	FY 1994 ACTUAL PERFORMANCE	FY 1995 EST. YR. OBJECTIVE	FY 1995 ACTUAL PERFORMANCE
Evaluations Conducted	26,292	26,236	26,676	25,530
Offenders Assigned to Enhanced Supervision June 30	1,488	1,418	N/A*	N/A*

* 1,418 offenders were assigned to Enhanced Supervision at the time of project termination on May 31, 1994.

- E. Comparative Cost of Intensive Supervision vs. Incarceration. Pursuant to Section 16-11-213(6), C.R.S., the Judicial Branch must report to the Joint Budget Committee, at the time of annual budget request, the number of offenders diverted from the Department of Corrections and the amount of money saved because of such diversions.

ANNUALIZED ISP COST SAVINGS¹

OFFENDER STATUS	# OF CLIENTS	ISP/PROBATION COST	COMM. CORR. COST	DOC COST (Operating Only)
ISP Supervision ⁴	418	\$1,170,400 ¹	\$0	\$0
"True Diversion" Cases (Assumes 25% Net-Widening) ²	313	0	0	(6,040,900) ³
Successful Terminations (Based on 54% Successful Terminations)	169	0	0	0
Revocations (Based on 46% Unsuccessful Terminations)	144	0	0	0
Department of Corrections	61	0	0	88,642 ⁴
Probation/ISP (resentenced)	55	154,000 ¹	0	0
Community Corrections	28	0	21,805 ⁴	0
Total Cost by Department		\$1,324,400	\$21,805	(\$5,952,258)
ISP/Probation Costs				\$1,324,400
Comm. Corr. Costs				\$21,805
Total Savings				(\$4,606,053)

1 The cost per client for ISP supervision equalled \$2,800.

2 "Net-widening" refers to cases place on ISP that otherwise would have been place on regular probation.

3 This assumes \$19,300 per year for DOC operating costs.

4 The daily cost of community corrections is \$31.15. Figure assumes that upon ISP revocation at 340 days, the offender is sentenced to community corrections for the remaining 25 days. The daily cost of DOC is \$52.88 for 25 days incarceration post revocation.

III. SUPPORT FOR BUDGET REQUEST

- A. Personal Services. The total Fiscal Year 1997 personal services request is \$26,998,286. This amount is comprised of continuation funding for existing FTE, funding for FTE to complete the third phase of expansion of the Adult ISP Program per HB 95-1352 (Prison Bill), and three decision items.

The passage of HB 95-1352 directed the Judicial Branch to expand the Adult ISP Program in three phases during Fiscal Years 1996 and 1997. The budget request includes continuation funding for 25.2 FTE added in the first two phases and 16.8 additional FTE to complete the third phase of expansion.

Decision Item # 2 requests 48 new probation officers - 24 each over a two-year period. While the probation staff has grown over the past few years, the growth has not kept pace with the increasing caseload. Over a ten-year period, the adult probation caseload has grown by 72 percent and the juvenile caseload has grown by 87 percent. During the same time period, staff increased by only 43 percent. At the present time, adult officers supervise 160-180 offenders and juvenile officers supervise over 100 offenders. By year end, total probation caseloads are expected to exceed 40,000, a 5.3 percent increase over Fiscal Year 1995.

Decision Item # 3 requests a transfer of \$535,736 and 13 FTE from the General Fund to the DHS Family Issues Cash Fund, and then it requests spending authority for \$803,604 in Cash Funds Exempt from the DHS Family Issues Cash Fund. This decision item will enable the Probation Department to participate in the Title IV-A Emergency Assistance program. Participation in this program would result in additional resources for juvenile probation with no additional expenditure of General Fund dollars.

The Branch also requests, in Decision Item # 8, that Option 8 be modified to allow for probation range changes. Range changes are part of the Judicial Branch Personnel System. The personnel rules allow movement from Probation Officer Level I to Level II after one year experience and movement from Level II to Level III after two years experience and successful completion of an examination. The Branch has no alternative but to fund the range changes for those already in the system. The Branch is looking at alternatives.

- B. Female Offender Program. The Branch requests funding of \$233,616. This includes continuation funding of \$227,714. Also, Decision Item # 8 requests an additional \$5,902 to fully fund the program. The request funds the 6.0 FTE probation officers necessary to provide services specifically geared toward the specialized needs of female offenders (including pregnancy, physical and sexual abuse, child custody, etc.).

- C. Operating Expense. The Fiscal Year 1997 operating request is \$1,074,631. This amount is comprised of continuation funding, approved inflation increases, a reduction for Fiscal Year 1996 capital outlay that was included in the operating appropriation, Prison Bill (HB 95-1352) operating dollars, and a decision item.

The passage of HB 95-1352 directed the Judicial Branch to expand the Adult ISP Program in three phases during Fiscal Years 1996 and 1997. Thus, the budget request includes (1) continuation funding (\$25,200) for the operating expenses of the 25.2 FTE added in the first two phases and (2) \$138,667 for operating and capital outlay for 16.8 FTE which are requested for the third phase of the expansion.

The decision item requests \$24,000 in operating dollars for the proposed positions.

- D. Capital Outlay. The total request for capital outlay is \$106,800. This amount is requested in Decision Item # 2 for the proposed positions.
- E. Intensive Supervision Operating Expense. The request of \$123,742 represents continuation funding.
- F. Offender Services. The Judicial Branch requests total spending authority of \$917,000 (\$640,000 Cash Funds and \$277,000 Cash Funds Exempt). The increase of \$215,000 over Fiscal Year 1996 is needed for sex offender evaluations and treatment pursuant to standardized evaluation protocols established by the State Sex Offender Treatment Board. The estimated cost for the sex offender evaluations is \$315,000; however, insufficient funds are available in the Sex Offender Surcharge Fund. Decision Item # 14 requests a Cash Fund appropriation of \$100,000 to the Sex Offender Surcharge Fund, with the remaining funds (\$215,000) to be appropriated to the Offender Services Fund.

The Cash Fund Exempt funding request of \$150,000 is to accommodate a transfer of Federal funds from the Department of Public Safety to the Judicial Branch upon approval of a grant application. The Department of Public Safety will issue the RFP in May 1996, upon prioritizing the project areas. The Judicial Branch intends to seek funding for sex offender supervision, domestic violence enhancement programs, and juvenile high-risk supervision and treatment.

The following table shows the proposed allocation of the Offender Services Fund.

OFFENDER SERVICES FUND ALLOCATION PROPOSAL

ITEM	AMOUNT
Adult ISP	\$100,000
Specialized Drug Program	100,000
Juvenile ISP	150,000
Sex Offender Evaluations	215,000
Female Offender Services	150,000
General Program / Grant match	52,000
Subtotal	767,000
Federal Grant / Cash Spending	150,000
Total	\$917,000

- G. Electronic Monitoring / Drug Testing. The Judicial Branch request totals \$667,170. This includes continuation of the Fiscal Year 1996 Long Bill appropriation plus continuation funding of \$150,000 from the Prison Bill (HB 95-1352) to expand Adult ISP. Drug testing is used throughout probation to evaluate treatment recommendations and to monitor compliance. Pre-sentence drug testing under House Bill 91-1173 will increase evaluations by approximately 12,000 tests annually. The costs of drug tests are paid by the offender; however, only a sixty percent recovery is expected.

Electronic monitoring is used both as a sentencing option, pursuant to Section 16-11-204(1) and (2)(k.5), C.R.S., and as an intermediate sanction to violations within the adult and juvenile ISP programs and the Specialized Drug Program.

- H. Juvenile Residential & Aftercare Services. The Judicial Branch requests continuation funding of \$558,720 for residential and aftercare services, pursuant to House Bill 93S-1005, which created the Regimented Juvenile Training Program.
- I. Alcohol / Drug Driving Safety (ADDS) Program. The Branch requests spending authority of \$2,860,564 Cash Funds Exempt. This amount represents continued funding from the Fiscal Year 1996 appropriation plus annualized anniversary per the budget instructions. The Judicial Branch administers the ADDS program under a contract with the Alcohol and Drug Abuse Division (ADAD) of the State Department of Human Services. ADAD is authorized to contract for services "with any agency within the judicial system." The program is cash funded by the alcohol and drug evaluation fee authorized in Section 42-4-1301(10)(d) 17 C.R.S. Services

are provided through the state probation departments, except for the Second Judicial District where ADAD contracts with the City and County of Denver for services from Denver County Court probation services. Primary functions are:

(1) investigating and evaluating persons convicted of alcohol and drug driving offenses; (2) preparing reports and sentencing recommendations to the courts; (3) referring offenders to alcohol or drug education / treatment programs licensed by ADAD; and (4) monitoring and supervising offenders placed on probation.

The ADDS program also participates in evaluations of persons convicted of misdemeanors and petty offenses under the Controlled Substances Act.

- J. Collections Investigators. The Fiscal Year 1997 request for collections investigators totals \$383,168. This amount represents continued spending authority for four FTE and seven contracted collections investigators (CIs). The CIs manage and coordinate the collection of fees from probationers thus allowing probation officers to focus on issues more directly related to community safety.

As of July 1995, Adams, Arapahoe, Boulder, Denver, El Paso, and Jefferson counties each had one CI. Collections from offenders have increased in these counties by about 20-25 percent. About 70 percent of the total dollars collected from probationers are returned to victims and victims' programs through restitution and the victim compensation and victim assistance funds.

- K. Drug Offender Assessment. The Drug Offender Assessment Fund provides cash funding for the implementation of a system-wide substance abuse assessment of all felony offenders. The Branch requests a total of \$455,595. This request includes continued funding of \$402,942 for 8.0 FTE probation officers, operating, and travel. Also, Decision Item # 8 requests an additional \$52,653 to fully fund the program.

- L. Sex Offender Surcharge. In Decision Item # 14, the Judicial Branch requests spending authority of \$100,000 Cash Funds. Pursuant to Section 16-11.7-103, offenders convicted of a sex offense shall be evaluated at pre-sentence in accordance with standards approved by the state Sex Offender Treatment Board. The decision item outlines the cost to provide the prescribed evaluation. The Sex Offender Surcharge Fund does not have sufficient resources to implement the necessary evaluations; therefore, partial funding is also requested from the Offender Services Fund.

IV. CONTINUATION OF BUDGET ASSUMPTIONS / CALCULATIONS

PROBATION

ITEM	AMOUNT
FY 1996 Long Bill Appropriation	\$30,043,101
Annualized Salary Survey	491,047
Annualized Anniversary	597,411
Prison Bill (HB 95 - 1352) Pers. Svc.	1,267,918
FY 1996 Capital Outlay	(54,000)
Prison Bill (HB 95 - 1352) Operating	163,867
Operating Inflation	89
Prison Bill (HB 95 - 1352) EHM	150,000
Decision Item Number 2	877,690
Decision Item Number 3	267,868
Decision Item Number 8	359,301
Decision Item Number 14	315,000
FY 1997 Total Request	\$34,479,292

SCHEDULE 2D - DECISION ITEM

Branch Priority 2

<u>DECISION ITEM TITLE</u>	Increase Adult and Juvenile Probation Officers (24 FTE)
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Probation
<u>STATUTORY AUTHORITY</u>	16-11-208 et seq., C.R.S.

DESCRIPTION OF DECISION ITEM

Background:

In last year's budget, the Judicial Branch requested a total of 48 probation staff. The Legislature funded 13.5 of the regular probation staff and reduced the staff allocated in the Special Session by 5.0 FTE; additionally, the Prison Bill added 25.2 intensive supervision staff.

While the probation staff has grown over the past few years, the growth has not kept pace with the increasing caseload. This decision item requests consideration of adding 48 regular probation staff in two phases: 24 FTE in Fiscal Year 1997 and 24 FTE in Fiscal Year 1998. The need is described below.

Need:

The probation caseload model indicates a need for an additional 48 FTE to handle rising supervision and investigation caseloads. The probation caseload has risen dramatically, as the following table demonstrates. Over a ten-year period, the adult probation caseload has grown by 72 percent; the juvenile caseload has grown by 87 percent. During the same time period, staff increased by only 43 percent. For 1996, total probation caseloads are expected to exceed 40,000, a 5.3 percent increase over Fiscal Year 1995.

PROBATION CASELOAD ON SUPERVISION

FISCAL YEAR	ADULTS	JUVENILES	INTENSIVE SUPERVISION	TOTAL CASELOAD	TOTAL FTE
1985	16,638	4,942	N/A	21,580	371.0
1986	16,243	5,073	N/A	21,316	374.0
1987	14,456	5,602	N/A	20,058	386.0
1988	14,532	5,313	167	20,012	407.5
1989	17,728	5,760	360	23,848	430.5
1990	20,645	6,342	368	27,355	430.5
1991	22,015	6,873	479	29,367	465.0
1992	23,755	7,646	540	31,941	483.7
1993	25,077	9,042	522	34,641	479.0
1994	27,785	9,037	506	37,328	510.6
1995	28,592	9,232	558	38,382	521.0
1996 Est.	29,435	9,719	1,275	40,429	529.5*

*Excludes Adult ISP expansion FTE of 25.2 FTE.

How is need determined?

The need for probation FTE is based on a probation staffing model which:

- ✓ Identifies a distribution of offenders into levels of risk;
- ✓ Assigns an average number of hours of supervision;
- ✓ Assigns the number of hours per month available per FTE (144); and
- ✓ Calculates the FTE needed.

The model assumes that a probation officer should spend:

- ✓ 3.6 hours per month on high risk or "maximum" cases;
- ✓ 1.0 hour per month on "medium" risk cases;
- ✓ .68 hours per month on "minimum" cases; and
- ✓ .24 hours per month on "administrative" cases.

The model was revised in 1995 to reflect added standards and legislative mandates such as increased drug testing, community services and increased training. Hours for the previous model were 3.4 for high risk, .95 for medium, while minimum and administrative hours remain unchanged. A comparable model is applied to juvenile cases; however, the values are consistently higher due to the need to maintain family and school involvement. (See Part IIA of the narrative.)

The model is based on certain percentages of the probation population falling into various risk categories. For example, about 7.5 percent of the adult offenders in the suburban courts fall into the maximum category. (See Statewide Supervision Classification Distribution, Part IIA.) Applying the factors in the model to Colorado's caseload of 28,592 adult offenders shows that 2,144 adults should be supervised at the standard of 3.6 hours per month, or a need for 53.6 officers to supervise this caseload. Each supervision level for adults and juveniles is computed to arrive at optimal staffing.

Based on the model, the Judicial Branch shows a need for 48 additional probation officers. It should be noted that Adult and Juvenile ISP staff are not considered in the staffing model, as separate models have been developed to allocate ISP staff.

The model is based upon the original National Institute of Corrections model case classification system widely used throughout the country. Similar workload/budgeting models are in operation. The Colorado probation model assumes several "benchmarks" or performance objectives upon which the local departments are staffed. An example is the assumption that 43 percent of all probation cases will be managed under administrative caseloads and that all departments will be staffed to provide adult supervision for 1.8 years. These performance objectives serve to provide a "norm" throughout the state, while also staffing to meet the established objectives.

What are the consequences of understaffing? How will the additional staff help?

The result of serious staffing shortfalls is a significant decrease in the staff's ability to protect the public through appropriate levels of investigation, supervision and services. For example, since 1990, county court misdemeanor filings have risen from 39,803 to nearly 65,000 in 1995, reflecting a 64 percent increase. The growth is primarily in domestic violence cases. This has seriously impacted Probation's ability to conduct investigations. In 1990, probation officers were able to conduct one investigation for every 39 misdemeanor filings. In 1994, this ratio grew to one investigation for every 73 filings. With an urgent need to address domestic violence, investigation resources were redirected to increase the availability of investigators to the county courts. Even with staff redistribution, the Fiscal Year 1995 ratio of investigations to filings is still below the 1990 ratio. This decision item proposes to assign eight FTE to investigations in domestic violence screening and assessment in the county courts. (Six FTE are currently assigned to supervision programs in nine judicial districts). This increase would provide an additional 2,400 full county court investigations, greatly improving the sentencing and supervision of misdemeanor/domestic violence offenders.

In addition to the eight FTE in adult investigation, 27 FTE are needed to provide adult supervision, and thirteen FTE are needed to provide juvenile supervision. These officers are required to meet the minimum standards for supervision.

Lack of adequate supervision means that some offenders will not be properly supervised. The ultimate risk in the probation area is public safety.

Assumptions:

See “How is need determined” in narrative. It is important to note that the request is conservative. The request assumes that 43 percent of the current caseload will be placed on “administrative supervision,” where there is no direct officer contact unless there is a report of a probation violation. The request also uses only 3.6 hours per month officer contact for high-risk offenders, which may need to increase as the offender caseload increases in severity. Additionally, the model provides 144 actual hours available to provide supervision and investigation services, whereas in previous years, a more costly model of 126 hours per FTE was used. The national norm remains 126 hours.

Goals:

- ✓ To provide the level of probation services that will ensure public safety.

Objectives:

- ✓ The objective of this decision item is to increase the number of investigations and the supervision capability of probation officers.

Performance Measures:

- ✓ See charts in narrative.

Management Innovations:

The Probation Department is recognized for its work in developing assessment and supervision protocols. The Department is using the models, along with trainings on standards and procedures, to ensure a consistent level of quality in investigations and supervision.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
General Fund	Probation, Personal Services	Probation Officers Salary	\$660,672	\$1,389,024
		PERA	\$76,638	\$161,127
		Medicare	\$9,580	\$20,141
		Subtotal	\$746,890	\$1,570,292
		Operating	\$24,000	\$48,000
		Capital Outlay	\$106,800	\$106,800
		Total Request	\$877,690	\$1,725,092

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Probation	\$27,975,795	537.3	\$32,097,571	568.7	\$32,717,988	568.7

* Excludes decision items.

BUDGET ASSUMPTIONS

The budget assumptions include the following. Probation Officers will be funded at the Probation Officer I (PO I) level (Grade 80) the first year. In their second year, probation officers will move to PO II in accordance with the Personnel Rules (Grade 84). Operating costs of \$1,000 per officer include travel moneys for home visits as well as variable operating costs. Capital outlay includes computers, workstations, and chairs at an average cost of \$4,450 per probation officer.

SCHEDULE 2D - DECISION ITEM

Branch Priority 3

<u>DECISION ITEM TITLE</u>	Juvenile Intensive Supervision - Title IV-A Emergency Assistance (Federal funds of \$267,868)
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Probation
<u>STATUTORY AUTHORITY</u>	Section 26-5.3-106 and Section 19-1-116, C.R.S.

DESCRIPTION OF DECISION ITEM

Need:

This decision item requests federal spending authority for the Probation Department to participate in the Title IV-A Emergency Assistance program. Participation in this program would result in additional resources (both programmatic and personnel) for juvenile probation services. There would be no additional expenditure of General Fund dollars.

In Colorado, youth who are in the Judicial Branch's Juvenile Intensive Supervision Program (JISP) are, by definition, "at risk of out-of-home placement." These youth would, therefore, automatically qualify for Title IV-A Emergency Assistance funding. JISP provides a diversionary sentencing sanction for the court to use with juveniles who would otherwise be placed in a secure setting, such as in detention or in an institution.

Currently, JISP services are available to a maximum of 255 youth statewide. The General Fund supports the entire program. Of these 255 youth, 206, or 81 percent, would be eligible for Title IV-A funding. In addition, there are 1,164 youth under maximum supervision in the regular probation program. It is estimated that 943, or 81 percent, of these youth are also at risk of out-of-home placement and could, therefore, also qualify for Title IV-A funding.

Under this proposed decision item, expenditures for juvenile intensive supervision would be used to match federal dollars. Federal matching funds (Federal Financial Participation - FFP) would enhance General Fund dollars.

Goals:

- ✓ To improve services to high risk youth and reduce the number of youth being placed in out-of-home placements.

Objectives:

- ✓ The purpose of this decision item is to improve probation services by making use of available federal funding. It is anticipated that at least 206 juveniles could be served under this program in Fiscal Year 1997.

Performance Measures:

- ✓ If spending authority is granted, the Probation Department will track the reduction in commitments to Office of Youth Services facilities.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
General Fund	Probation, Personal Services	Juvenile Services (13 FTE)	(\$535,736)	(\$535,736)
Cash Funds	DHS, Family Issues Cash Fund	Title IV-A EA Match	\$535,736	\$535,736
Federal Funds	DHS, Family Issues Cash Fund	Title IV-A EA Funds	\$267,868	\$267,868
Cash Funds Exempt	Probation, Personal Services	Juvenile Services (13 FTE)	\$803,604	\$803,604

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Probation	\$27,975,795	537.3	\$32,097,571	568.7	\$32,717,988	568.7

* Excludes decision items.

BUDGET ASSUMPTIONS

Thirteen probation officers are currently providing Title IV-A Emergency Assistance eligible services to 206 youth at an average cost to the General Fund of \$41,210 each.

Under the Title IV-A Emergency Assistance program, the federal share of state expenditures is fifty percent.

SCHEDULE 2D - DECISION ITEM

Branch Priority 8

<u>DECISION ITEM TITLE</u>	Option 8 Modifications
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Trial Court & Probation
<u>STATUTORY AUTHORITY</u>	Section 13-5-101 et seq., 13-6-101 et seq., C.R.S. Section 16-11-208 et seq., C.R.S.

DESCRIPTION OF DECISION ITEM

Need:

This decision item requests four modifications to Option 8 personal services funding. They are as follows.

Trial Courts/Judges' Vacancy Savings: The base year for Option 8 is Fiscal Year 1995. For trial courts, the Fiscal Year 1995 appropriation included a one percent vacancy savings rate on judges salaries. The Judicial Branch does not believe that this rate is justified, and unless adjusted, it will permanently reduce the base. As a legal and practical matter, vacancies in judicial officer positions are very limited. State statutes (Title 13, Article V) establish the number of judges for each judicial district. The State Constitution (Article VI, Section 20) establishes the procedure for timely appointment of a judge in the event of death, retirement, resignation or removal. As a matter of practice, most judges announce their retirement or resignation well in advance of the actual date, allowing for timely appointments. Given current backlogs, timely appointment is critical. Elimination of the vacancy savings rate on judicial officers would increase the trial court personal services base by \$152,278.

Trial Courts/Court Clerk Reclassifications: As part of the Branch's efforts to manage resources effectively, the courts hire court clerks at Level I. In the past, these clerk positions were reclassified to Level II after about two years to reflect the increased duties and responsibilities of the more experienced clerk. The Branch requests \$32,020 to fund reclassifications for ten court clerks to move from Level I to Level II.

Probation/Range Changes: Option 8 does not provide funding for the probation officer range changes allowed by the personnel rules. The rules allow movement from Level I to Level II after one year; and from Level II to Level III after two years and successful completion of an examination. This decision item requests \$300,746 for 32.8 PO I to II range changes and 23.4 PO II to III range changes.

Probation Specialized Programs' Vacancy Savings: Option 8 does not provide full funding for the Female Offender Program and the Drug Offender Assessment Program. Staff for these programs were originally funded at Level I. However, the entry level probation officer is not sufficiently skilled or experienced to work with the high-risk populations for whom these specialized programs are designed. Therefore, the Branch has "back-filled" these positions. In other words, entry level officers are hired, yet the more experienced officers are assigned the specialized caseload. Continuation funding for these programs provides only for the entry level officer. However, as discussed above, officers move from one level to the next as their range changes are approved. Though no range changes are anticipated for the officers paid from these funds in Fiscal Year 1997, range changes approved in prior years have increased the personal services costs for these programs. To fully fund these programs, this decision item requests \$58,555, which includes \$5,902 General Fund for the Female Offender Program and \$52,653 Cash Funds for the Drug Offender Assessment Program.

(Note: Other specialized programs, such as the Intensive Supervision (ISP), Juvenile ISP, and Specialized Drug Offender programs, are incorporated in the general personal services funding.)

Assumptions:

- ✓ Vacancies in judge positions must be filled.
- ✓ Justified reclassifications should be funded.
- ✓ Probation range changes are part of the Personnel System. The Judicial Branch has no alternative for those currently in the system but to fund them.
- ✓ Specialized programs designed to provide services to high-risk populations should be fully funded.
- ✓ The courts and probation departments operate in 22 districts and 63 counties. The smaller courts and probation departments cannot absorb the vacancy savings.

Goals:

- ✓ To ensure sufficient budget to operate the courts and probation departments.

Objectives:

- ✓ The purpose of this decision item is to ensure that the personal services base for trial courts and probation is adequately funded.

Management Innovations:

The Judicial Branch is committed to reducing the costs of personal services and working within the methodology prescribed under Option 8. The current classification system is now being examined to determine if a less costly way of funding the probation series exists, e.g. extending the years and adding additional levels to the series. In the case of trial court clerk reclassifications, the policy has been to bring staff in at the lowest level. This has been beneficial.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
General Fund	Trial Courts,	Judge Vacancies	\$152,278	\$152,278
	Personal Services	Reclassifications	\$32,020	\$32,020
		Total Trial Courts	\$184,298	\$184,298
General Fund	Probation, Personal Services	Probation Officer Range Changes	\$300,746	\$300,746
General Fund	Probation, Female Offender	Probation Officer Vacancies	\$5,902	\$5,902
Cash Funds	Probation, Drug Offender Assessment	Probation Officer Vacancies	\$52,653	\$52,653
		Total Probation	\$359,301	\$359,301
		Total Request	\$543,599	\$543,599

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Trial Court	\$85,600,382	1,331.6	\$88,416,531	1,366.3	\$93,347,779	1,366.3
Probation	\$27,975,795	537.3	\$32,097,571	568.7	\$32,717,988	568.7

*Excludes decision items.

BUDGET ASSUMPTIONS

The calculation of judges vacancy savings was made by determining the total appropriation for judges in 1995 including PERA (\$15,227,748) times one percent. The calculation of reclassifications was made by multiplying ten court clerks times the average difference between Levels I and II (\$3,202). The calculation of probation range changes was made by multiplying 32.8 probation officer I's times the average difference between Levels I and II (\$4,520) and by multiplying 23.4 probation officer II's times the average difference between Levels II and III. The calculation of the probation specialized programs' vacancy savings was based on projected actual expenditures for the 14 FTE currently funded by the Female Offender and Drug Offender Assessment programs.

SCHEDULE 2D - DECISION ITEM

Branch Priority 14

<u>DECISION ITEM TITLE</u>	Assessment and Supervision of Sex Offenders
<u>PROGRAM ASSIGNMENT</u>	Judicial Branch - Probation
<u>STATUTORY AUTHORITY</u>	Sections 16-11.7-103, 16-11.7-104, 16-11-214; and 16-21-103, C.R.S.

DESCRIPTION OF DECISION ITEM

Background:

Pursuant to Section 16-11.7-104, C.R.S., the Probation Department is required to identify and evaluate sex offenders as part of the pre-sentence investigation process. Presently, there are no statewide guidelines regarding the structure and content of evaluation. The State Sex Offender Treatment Board, created pursuant to Section 16-11.7-103, C.R.S., will present guidelines for adoption at public hearings beginning in September 1995. The Board is required under Section 16-11.7-103, C.R.S., to complete the guidelines by January 1, 1996. The guidelines are expected to include a "psycho-sexual assessment" tool - a polygraph examination, a plethysmograph examination (physiological exam), one or more psychological tests, and an extensive clinical interview.

Section 16-11.7-104, C.R.S., requires that the defendant pay for the cost of the evaluation to the extent that he or she can pay. The evaluations are expected to average between \$680 and \$1,000, depending on the client and the extent of psychopathology.

Section 16-11.7-103 authorizes the Sex Offender Treatment Board to develop a plan for the allocation of the Sex Offender Surcharge Fund to various departments (Judicial, Corrections, Public Safety and Human Services). The plan will be submitted by the Department of Public Safety, Division of Criminal Justice.

The Offender Services Fund is governed by Section 16-11-214. It provides for adjunct probation services such as treatment, contract services and program development. Evaluation and treatment of sex offenders is an adjunct service and is critical to reducing revictimization. Sex offenders present an extreme risk in the community if not properly evaluated, treated and monitored.

As a high priority supervision issue for probation, the combined resources of the Sex Offender Surcharge Fund and the Offender Services Fund can provide for appropriate evaluation as prescribed by the Board.

Need:

The Probation Department needs the authority to spend \$315,000 in Cash Funds to implement standard sex offender evaluations on a statewide basis. Without the funding, the guidelines which will be established cannot be implemented. The request addresses funding only for those offenders who are unable to pay.

Assumptions:

- ✓ Sex offenders present a very high risk to the community.
- ✓ Proper evaluation and services can reduce the risk to the community.

Goals:

- ✓ The goal is to improve pre-sentence evaluation and identification of risk factors, treatment needs, monitoring needs, and appropriate sentencing.

Objectives:

The purpose of this decision item is to obtain authorization to spend moneys from the Sex Offender and Offender Services funds for implementation of a critical statewide evaluation program. The Sex Offender Fund is earmarked for this specific purpose. The Offender Services Fund was established for the purpose of providing adjunct services to offenders placed on probation. Sex offender treatment and monitoring has historically been a priority of the Offender Services Fund due to the priority needs of sex offenders in the community.

Performance Measures:

- ✓ Number of sex offenders assessed at the pre-sentence investigation level.

Management Innovations:

Although several states have established aggressive sex offender policies, a uniform assessment and treatment practice will place Colorado in the forefront of criminal justice systems. Similar to the impact of House Bill 1173 (Standardized Substance Abuse Assessment), standardized sex offender assessment provides for uniform practice throughout the state, as well as the data to determine policy direction and funding priorities. Ultimately, improved assessment should improve both the targeting of treatment and the outcome of the intervention. Hopefully, these major improvements will reduce patterns of re-offending.

BUDGET REQUEST

FUNDING SOURCE	LONG BILL LINE ITEM	ITEM	FY 1997	FY 1998
Cash	Probation, Sex Offender Fund	Sex Offender Testing	\$100,000	\$106,500
Cash	Probation, Offender Services Fund	Sex Offender Testing	\$215,000	\$229,500
		Total Request	\$315,000	\$336,000

PROGRAM BASE

PROGRAM	FY 1995 ACTUAL	FTE	FY 1996 ESTIMATE	FTE	FY 1997 REQUEST*	FTE*
Probation	\$27,975,795	537.3	\$32,097,571	568.7	\$32,717,988	568.7

* Excludes decision items.

BUDGET ASSUMPTIONS

- ✓ Historically, four to five percent of felony filings have been sexual offenses. Sixty-five percent of all sex offenses have been granted probation. This means that about 750 offenders in Fiscal Year 1997 and 800 offenders in Fiscal Year 1998 will be the target population of this decision item.
- ✓ The average evaluation will cost \$840.
- ✓ There will be a fifty percent rate of indigence.
- ✓ The resulting cost of \$315,000 equals 750 offenders * \$840 cost * .5 indigency rate.
- ✓ The cost will rise to \$336,000 in Fiscal Year 1998 based on filing growth.

BRANCH: JUDICIAL
PROGRAM: PROBATION

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
PERSONAL SERVICES								
Position Detail:								
Chief Probation Officer I	98,152	2.0	103,536	2.0	103,536	2.0	103,536	2.0
Chief Probation Officer II	372,727	7.0	347,442	6.0	347,442	6.0	347,442	6.0
Chief Probation Officer III	148,724	2.0	186,911	2.9	186,911	2.9	186,911	2.9
Chief Probation Officer IV	522,684	9.0	635,769	9.0	635,769	9.0	635,769	9.0
Probation Supervisor I	1,993,948	40.0	2,179,200	40.0	2,222,447	41.0	2,321,034	42.9
Probation Supervisor II	109,868	2.0	120,120	2.0	120,120	2.0	120,120	2.0
Probation Officer I	756,652	30.3	700,445	24.2	1,319,607	45.2	502,617	10.0
Probation Officer II	1,066,218	36.8	1,773,592	53.0	1,674,483	44.9	2,446,651	74.2
Probation Officer III	10,700,369	276.0	11,100,797	269.2	12,653,399	286.5	13,549,240	303.9
Job Developer	5,108	1.0	22,656	1.0	41,556	1.0	42,382	1.0
PBX Operator			19,056	1.0	19,630	1.0	19,435	1.0
Secretary I	317,012	19.7	357,437	19.7	330,221	16.7	367,779	20.1
Secretary II	661,128	33.8	766,500	36.5	844,163	38.7	815,104	38.7
Secretary III	1,063,807	41.6	1,082,074	40.4	1,156,057	43.4	1,185,529	43.4
Staff Assistant I			420,386	11.4	420,386	11.4	420,386	11.4
Staff Assistant II	285,271	9.4	32,556	1.0	50,305	2.0	66,406	2.0
Computer Technician					18,091	1.0	35,822	1.0
Continuation Salary Subtotal	18,101,668	510.6	19,848,477	519.3	22,144,123	554.7	23,166,163	571.5
PERA	2,072,863		2,301,614		2,568,718		2,687,275	
Medicare	90,371		116,944		199,297		208,495	
Current Positions Subtotal	20,264,902	510.6	22,267,035	519.3	24,912,138	554.7	26,061,933	571.5
General Fund	20,264,902	510.6	22,267,035	519.3	24,912,138	554.7	26,061,933	571.5

BRANCH: JUDICIAL
PROGRAM: PROBATION

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
New Position Request:								
NEW POSITION REQUEST								
Decision Item Priority # 2								
Probation Officer I							660,672	24.0
PERA							76,638	
Medicare							9,580	
Decision Item Subtotal							746,890	24.0
General Fund							746,890	24.0
TITLE IV-A REQUEST								
FOR TRANSFER OF FUNDS								
Decision Item Priority # 3								
Transfer of GF Funding to DHS							(535,736)	(13.0)
Transfer of CFE Funding from DHS							803,604	19.5
Decision Item Subtotal							267,868	6.5
Other:								
Contractual Services	62,260		24,882		36,000		37,800	
Unemployment Insurance	7,523		4,040		4,040		4,040	
Retirement / Termination Payouts	59,346		160,758		125,000		125,000	
HLD Expense	(470)		31,635					
STD Expense	(31)		4,180					
Allocated POTS (non-add):								
Salary Survey	80,747		492,053		491,047		n/a	
Anniversary	235,656		235,656		328,919		n/a	

BRANCH: JUDICIAL
PROGRAM: PROBATION

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Differences:								
Vacancy Savings	0		0		(178,842)		(545,991)	
OPTION 8 MODIFICATION REQUEST								
Decision Item Priority # 8								
Vacancy Savings Modification							300,746	
Vacancy Savings Subtotal	0		0		(178,842)		(245,245)	
Total Personal Services	20,393,530	510.6	22,492,530	519.3	24,898,336	554.7	26,998,286	602.0
General Fund	20,393,530	510.6	22,492,530	519.3	24,898,336	554.7	26,194,682	582.5
Cash Funds Exempt							803,604	19.5
RECONCILIATION OF FUNDS								
PERSONAL SERVICES								
Calc. of Pers. Svc. Appropriation:								
Previous Year Long Bill Appropriation					22,212,561	521.0	23,420,571	529.5
Annualized Salary Survey					589,053		491,047	
Annualized Anniversary					360,554		503,246	
Net Change in FTE					258,403	8.5		
Prison Bill (HB 95-1352) FY 1996 portion							657,799	25.2
Prison Bill (HB 95-1352) FY 1997 portion							610,119	16.8
Decision Item Priority # 2							746,890	24.0
Decision Item Priority # 3							267,868	6.5
Decision Item Priority # 8							300,746	
Current Year Long Bill Appropriation	19,854,310	510.6	22,212,561	521.0	23,420,571	529.5	26,998,286	602.0
FY 1995 Supplemental (SB 95-182)			(50,123)	(1.7)				
HB 93S-1005	71,525							
HB 93S-1001	323,819							

BRANCH: JUDICIAL
PROGRAM: PROBATION

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Prison Bill (HB 95-1352) FY 1996 portion					657,799	25.2		
Transfer	(109,014)		(211,500)					
Reversion	(63,513)		(186,117)					
Appropriation Subtotal	20,077,127	510.6	21,764,821	519.3	24,078,370	554.7	26,998,286	602.0
General Fund	20,077,127	510.6	21,764,821	519.3	24,078,370	554.7	26,194,682	582.5
Cash Funds Exempt							803,604	19.5
Allocated POTS:								
Salary Survey	80,747		492,053		491,047		n/a	
Anniversary	235,656		235,656		328,919		n/a	
POTS Subtotal	316,403		727,709		819,966			
General Fund	316,403		727,709		819,966			
Total Reconciliation of Personal Svc.	20,393,530	510.6	22,492,530	519.3	24,898,336	554.7	26,998,286	602.0
FEMALE OFFENDER PROGRAM								
Continuation Salary			114,217	6.0	202,783	6.0	206,649	6.0
PERA			13,821		23,523		23,971	
Medicare			1,728		2,940		2,996	
Current Positions Subtotal			129,766	6.0	229,246	6.0	233,616	6.0
General Fund			129,766	6.0	229,246	6.0	233,616	6.0
Other:								
HLD Expense			3,421					
STD Expense			221					
Differences:								
Vacancy Savings			0		(10,838)		(5,902)	

BRANCH: JUDICIAL
PROGRAM: PROBATION

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OPTION 8 MODIFICATION REQUEST								
Decision Item Priority # 8								
Vacancy Savings Modification							5,902	
Vacancy Savings Subtotal	0		0		(10,838)		0	
Total Female Offender Program (GF)			133,408	6.0	218,408	6.0	233,616	6.0
RECONCILIATION OF FUNDS								
FEMALE OFFENDER PROGRAM								
Calc. of Pers. Svc. Appropriation:								
Previous Year Long Bill Appropriation			n/a		n/a		200,850	6.0
Annualized Salary Survey			n/a		n/a			
Annualized Anniversary			n/a		n/a		26,864	
Decision Item Priority # 8							5,902	
Current Year Long Bill Appropriation			133,408	6.0	200,850	6.0	233,616	6.0
Salary Survey Allocation							n/a	
Anniversary Allocation					17,558		n/a	
Total Reconciliation of FOP			133,408	6.0	218,408	6.0	233,616	6.0
OPERATING EXPENSE								
2220 Building Maintenance & Repair	105		325		427		325	
2230 Equipment Maintenance & Repair	33,847		48,830		64,085		48,830	
2231 ADP Equipment Maint. & Repair	484		1,148		1,507		1,148	
2251 Motor Pool Vehicle Rental			1,131		1,484		1,131	
2253 Other Rentals	102,896		104,067		136,579		104,067	
2255 Office & Room Rentals	1,336		958		1,257		958	
2312 Construction Consultation Svc.	1,293							
2511 Common Carrier - In State	6,046		5,779		7,584		5,779	

BRANCH: JUDICIAL
PROGRAM: PROBATION

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2512 Mileage, Subsistance - In State	154,445		181,821		219,323		239,723	
2531 Common Carrier - Out of State	666		565		742		565	
2532 Mileage, Subsistance - Out of State	497		2,115		2,776		2,115	
2610 Advertising / Notices	2,665		1,881		2,469		1,881	
2630 Phone	223,916		248,962		326,741		326,741	
2641 Other ADP Billings	135		90		118		90	
2660 Insurance	650		204		268		204	
2680 Printing	29,179		22,056		28,946		22,056	
2810 Freight	379		498		654		498	
2830 Storage & Moving	268		1,810		2,375		1,810	
3110 Other Supplies	23,432		29,008		33,556		29,008	
3115 Data Processing Supplies	13,863		12,078		18,953		12,078	
3116 Software	939		1,244		6,244		1,244	
3117 Educational Supplies	729		1,092		1,433		1,092	
3118 Food	3,277		2,713		3,561		3,650	
3120 Books / Subscriptions	5,761		10,843		14,230		10,843	
3121 Other Office Supplies	40,427		57,448		61,242		57,448	
3122 Photographic Supplies	317		402		528		402	
3123 Postage	65,150		73,144		95,995		73,144	
3124 Copier Charges & Supplies	18,840		24,665		32,371		24,665	
3126 Repair & Maintenance Supplies	827		787		842		787	
3128 Noncapitalized Equipment	48,202		46,253		60,703		15,200	
4140 Dues / Memberships	150		589		773		589	
4170 Fees	14,721		14,267		18,724		14,267	
4190 Client Care Expenses	10,009		8,436		11,071		8,436	
4220 Registration Fees	9,939		11,205		14,706		11,205	
4240 Employee Moving Expenses	40							
6210 ADP Capital Equipment	10,557		3,528		58,528		16,200	
6220 Capitalized Furniture & Equip.			47,102		75,039		12,452	
Operating Expense Subtotal	825,987		967,044		1,305,834		1,050,631	

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OPERATING REQUEST FOR NEW POSITIONS Decision Item Priority # 2								
Operating Expense							24,000	
Total Operating Expense (GF)	825,987		967,044		1,305,834		1,074,631	
RECONCILIATION OF FUNDS OPERATING								
Previous Year Long Bill Plus Inflation	n/a		n/a		n/a		940,764	
FY 1996 Capital Outlay for New FTE	n/a		n/a		n/a		(54,000)	
Prison Bill (HB 95-1352) FY 1996 portion	n/a		n/a		n/a		25,200	
Prison Bill (HB 95-1352) FY 1997 portion	n/a		n/a		n/a		138,667	
Decision Item Priority # 2	n/a		n/a		n/a		24,000	
Current Year Long Bill Appropriation	747,482		916,511		940,675		1,074,631	
Transfer	53,814		100,000					
Special Session HB 93S-1001	32,941							
Prison Bill (HB 95-1352) FY 1996 portion					365,159			
Reversion	(8,250)		(49,467)					
Total Reconciliation of Operating	825,987		967,044		1,305,834		1,074,631	
CAPITAL OUTLAY REQUEST FOR NEW POSITIONS Decision Item Priority # 2								
Total Capital Outlay (GF)							106,800	
INTENSIVE SUPERVISION PROGRAM OPERATING EXPENSE								
Total ISP Operating Expense (GF)	118,437		136,654		123,742		123,742	

BRANCH: JUDICIAL
PROGRAM: PROBATION

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS ISP OPERATING								
Long Bill Appropriation	141,016		141,016		123,742			
Reversion	(22,579)		(4,362)					
Total Reconciliation of ISP Operating	118,437		136,654		123,742			
SPECIAL DRUG INITIATIVE								
Special Drug Initiative Program	61,213		44,144					
HLD Expense	1,194		1,637					
STD Expense	70		65					
Total Special Drug Initiative	62,477		45,846					
General Fund	9,917		1,702					
Cash Funds			10,061					
Cash Funds Exempt	52,560		34,083					
RECONCILIATION OF FUNDS SPECIAL DRUG INITIATIVE								
Long Bill Appropriation	140,350		140,350					
Restricted Funds	(39,074)		(44,123)					
Reversion	(38,799)		(50,381)					
Total Reconciliation of SDI	62,477		45,846					
OFFENDER SERVICES								
Offender Services Program	403,716		408,717		702,000		702,000	
HLD Expense	7,705		7,407					
STD Expense	142		160					
Offender Services Subtotal	411,563		416,284		702,000		702,000	

BRANCH: JUDICIAL
PROGRAM: PROBATION

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
SPENDING AUTHORIZATION REQUEST FOR SEX OFFENDERS Decision Item Priority # 14								
Offender Services (CF)							215,000	
Total Offender Services	411,563		416,284		702,000		917,000	
Cash Funds	362,000		371,178		552,000		640,000	
Cash Funds Exempt	49,563		45,106		150,000		277,000	
RECONCILIATION OF FUNDS OFFENDER SERVICES								
Long Bill Appropriation	447,500		447,500		702,000			
FY 1995 Supplemental (SB 95-182)			101,993					
Reversion	(35,937)		(133,209)					
Total Reconciliation of Offender Svc.	411,563		416,284		702,000			
ELECTRONIC MONITORING & DRUG TESTING								
Total Monitoring & Drug Testing (GF)	459,912		481,104		667,170		667,170	
RECONCILIATION OF FUNDS MONITORING & DRUG TESTING								
Previous Year Long Bill Appropriation	n/a		n/a		n/a		517,170	
Prison Bill (HB 95-1352) FY 1996 portion	n/a		n/a		n/a		150,000	
Current Year Long Bill Appropriation	456,080		517,170		517,170		667,170	
Prison Bill (HB 95-1352) FY 1996 Portion					150,000			
Special Session (HB 93S-1001)	24,300							
Reversion	(20,468)		(36,066)					
Total Reconciliation of EMDT	459,912		481,104		667,170		667,170	

BRANCH: JUDICIAL
PROGRAM: PROBATION

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
JUVENILE RESIDENTIAL AND AFTERCARE SERVICES								
Juvenile Residential and Aftercare Svc.	22,031		193,002		558,720		558,720	
HLD Expense	38							
STD Expense	7							
Total Juvenile Services (GF)	22,076		193,002		558,720		558,720	
RECONCILIATION OF FUNDS JUVENILE SERVICES								
Long Bill Appropriation			714,000		558,720			
FY 1995 Supplemental (SB 95-182)			(216,000)					
Special Session (HB 93S-1005)	357,000							
Reversion	(334,924)		(304,998)					
Total Reconciliation of Juvenile Svc.	22,076		193,002		558,720			
ADDS								
ADDS Program	2,481,706		2,498,943		2,835,113		2,860,564	
HLD Expense	(1,396)		134,273		2,934			
STD Expense	504		4,137		207			
Total ADDS (CFE)	2,480,814		2,637,353		2,838,254		2,860,564	
RECONCILIATION OF FUNDS ADDS								
Previous Year Long Bill Appropriation	n/a		n/a		n/a		2,796,159	
Annualized Salary Survey	n/a		n/a		n/a			
Annualized Anniversary	n/a		n/a		n/a		64,405	
Current Year Long Bill Appropriation	2,567,948		2,826,937		2,796,159		2,860,564	
Salary Survey Allocation							n/a	
Anniversary Allocation					42,095		n/a	

BRANCH: JUDICIAL
PROGRAM: PROBATION

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Reversion	(87,134)		(189,584)					
Total Reconciliation of ADDS	2,480,814		2,637,353		2,838,254		2,860,564	
COLLECTIONS INVESTIGATORS								
Collection Program	112,866	4.0	153,514	4.0	381,056	0.0	383,168	0.0
HLD Expense			644		1,109			
STD Expense	15		200					
Total Collections Investigators	112,881	4.0	154,358	4.0	382,165	0.0	383,168	0.0
Cash Funds	112,881	4.0	135,108	4.0	382,165	0.0	383,168	0.0
Cash Funds Exempt			19,250					
RECONCILIATION OF FUNDS COLLECTIONS INVESTIGATORS								
Previous Year Long Bill Appropriation	n/a		n/a		n/a		380,272	0.0
Annualized Salary Survey	n/a		n/a		n/a			
Annualized Anniversary	n/a		n/a		n/a		2,896	
Current Year Long Bill Appropriation	116,712	4.0	138,290	4.0	380,272	0.0	383,168	0.0
FY 1995 Supplemental (SB 95-182)			19,250					
Salary Survey Allocation			6,492				n/a	
Anniversary Allocation			2,978		1,893		n/a	
Reversion	(3,831)		(12,652)					
Total Reconciliation of CI	112,881	4.0	154,358	4.0	382,165	0.0	383,168	0.0

BRANCH: JUDICIAL
PROGRAM: PROBATION

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
DRUG OFFENDER ASSESSMENT								
Continuation Salary	59,169	0.0	169,769	8.0	290,592	8.0	297,872	8.0
PERA			20,185		33,709		34,553	
Medicare			2,423		4,214		4,319	
Current Positions Subtotal	59,169	0.0	192,377	8.0	328,515	8.0	336,744	8.0
Cash Funds			192,377	8.0	328,515	8.0	336,744	8.0
Cash Funds Exempt	59,169	0.0						
Other:								
Contractual Services			12,431		12,431		12,431	
Retirement / Termination Payouts			1,960		1,960		1,960	
HLD Expense			6,674		12,904			
STD Expense			310					
Operating			104,460		104,460		104,460	
Differences:								
Vacancy Savings	0		0		(57,328)		(52,653)	
OPTION 8 MODIFICATION REQUEST								
Decision Item Priority # 8								
Vacancy Savings Modification							52,653	
Vacancy Savings Subtotal	0		0		(57,328)		0	
Total Drug Offender Assessment	59,169	0.0	318,212	8.0	402,942	8.0	455,595	8.0
Cash Funds			318,212	8.0	402,942	8.0	455,595	8.0
Cash Funds Exempt	59,169	0.0						

BRANCH: JUDICIAL
PROGRAM: PROBATION

SCHEDULE 3

ITEMS	ACTUAL FY 1994		ACTUAL FY 1995		APPROP./ESTIMATE FY 1996		REQUEST FY 1997	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
RECONCILIATION OF FUNDS								
DRUG OFFENDER ASSESSMENT								
Calc. of Pers. Svc. Appropriation:								
Previous Year Long Bill Appropriation	n/a		n/a		n/a		402,942	8.0
Annualized Salary Survey	n/a		n/a		n/a			
Annualized Anniversary	n/a		n/a		n/a			
Decision Item Priority # 8	n/a		n/a				52,653	
Current Year Long Bill Appropriation	410,000	0.0	318,212	8.0	402,942	8.0	455,595	8.0
Salary Survey Allocation							n/a	
Anniversary Allocation							n/a	
Restricted Funds	(303,332)							
Reversion	(47,499)							
Total Reconciliation of DOA	59,169	0.0	318,212	8.0	402,942	8.0	455,595	8.0
SEX OFFENDER SURCHARGE								
SPENDING AUTHORIZATION REQUEST								
Decision Item Priority # 14								
Total Sex Offender Surcharge (CF)							100,000	
Total Probation	24,946,846	514.6	27,975,795	537.3	32,097,571	568.7	34,479,292	616.0
General Fund	21,829,859	510.6	24,405,444	525.3	27,772,210	560.7	28,959,361	588.5
Cash Funds	474,881	4.0	834,559	12.0	1,337,107	8.0	1,578,763	8.0
Cash Funds Exempt	2,642,106	0.0	2,735,792	0.0	2,988,254	0.0	3,941,168	19.5

**SCHEDULE 9 NARRATIVE
PROGRAM REVENUE DETAIL**

Fund Number 100

Fund Title General

Assumptions/Methodology

Estimate Year Revenues: Collections Investigators (Felony/Misdemeanor Fines);
ADDs Program; Parking Lot Rent; Bar Assoc. Donations;
Copy Machine Receipts; Jury Instruction Fees; IIS Fees;
OJW/Forms/Postage Receipts

Estimate Year Expenditures: Per Schedule 3.

Request Year Revenues: Same as above.

Request Year Expenditures: A new item is Trial Court Personal Services Cost Recoveries.
This item is discussed in Decision Item Number 10.

Distribution of Funds

ITEM	ESTIMATE YEAR	REQUEST YEAR
Total Est. Expenditures	\$5,522,715	\$5,879,175
Amount by Long Bill Group:		
ADDs	2,988,750	3,207,694
Collections Invest.	1,272,093	1,312,909
Judicial Perf. (Bar Don.)	11,100	0
Supreme Ct. Operating	37,178	37,178
Ct. of Appeals Operating	1,425	1,425
Judicial Heritage (Parking Lot)	4,110	4,110
Admin. Purposes	25,000	25,000
IIS Operating	15,000	15,000
TC Operating (OJW, etc.)	1,168,059	1,168,059
TC Pers. Serv. (OJW, etc.)		107,800
Reserve Increase/(Decrease)	Zero Reserve	Zero Reserve

**SCHEDULE 9 NARRATIVE
PROGRAM REVENUE DETAIL**

Fund Number 101

Fund Title Offender Services

Assumptions/Methodology

Estimate Year Revenues: Twenty percent of probation supervision fees collected goes to the Offender Services fund. The exempt portion (\$150,000) is from grants.

Estimate Year Expenditures: Per Schedule 3.

Request Year Revenues: Same as above. In addition, revenues are expected to increase as a result of the increase in probation supervision fees for felonies from \$20 per month to \$30 per month to take effect in Fiscal Year 1997 pursuant to HB 95-1356.

Request Year Expenditures: \$215,000 increase over Estimate Year is from Decision Item Number 14.

Distribution of Funds

ITEM	ESTIMATE YEAR	REQUEST YEAR
Total Est. Expenditures	\$702,000	\$917,000
Amount by Long Bill Group:		
Offender Services	702,000	917,000
Reserve Increase/(Decrease)	\$0	\$0

**SCHEDULE 9 NARRATIVE
PROGRAM REVENUE DETAIL**

Fund Number 255

Fund Title Drug Offender Surcharge

Assumptions/Methodology

Estimate Year Revenues: Based on estimated collections of Drug Offender Surcharge Fees.

Estimate Year Expenditures: Per Schedule 3.

Request Year Revenues: Same as above.

Request Year Expenditures: Per Schedule 3.

Distribution of Funds

ITEM	ESTIMATE YEAR	REQUEST YEAR
Total Est. Expenditures	\$402,942	\$477,268
Amount by Long Bill Group:		
Drug Offender Assessment	402,942	477,268
Reserve Increase/(Decrease)	\$526,658	\$563,884

**SCHEDULE 9 NARRATIVE
PROGRAM REVENUE DETAIL**

Fund Number 264

Fund Title Support Registry

Assumptions/Methodology

Estimate Year Revenues: Based on estimated collections of Support Registry fees.

Estimate Year Expenditures: Per Schedule 3.

Request Year Revenues: Same as above. Collections expected to increase due to new fees implemented pursuant to SB 94-224.

Request Year Expenditures: Per Schedule 3.

Distribution of Funds

ITEM	ESTIMATE YEAR	REQUEST YEAR
Total Est. Expenditures	\$120,209	\$185,578
Amount by Long Bill Group:		
Alimony and Support	120,209	185,578
Reserve Increase/(Decrease)	\$0	(\$19,289)

**SCHEDULE 9 NARRATIVE
PROGRAM REVENUE DETAIL**

Fund Number 283

Fund Title Sex Offender Surcharge

Assumptions/Methodology

Estimate Year Revenues: Based on estimated collections of Sex Offender Surcharge fees.

Estimate Year Expenditures: Per Schedule 3.

Request Year Revenues: Same as above.

Request Year Expenditures: \$100,000 of the increase over Estimate Year is from Decision Item Number 14. \$289 of the increase is due to projected revenue increases. (The Judicial Branch receives a five percent administrative portion pursuant to section 18-21-103(2)(a), C.R.S.)

Distribution of Funds

ITEM	ESTIMATE YEAR	REQUEST YEAR
Total Est. Expenditures	\$7,529	\$107,818
Amount by Long Bill Group:		
Sex Offender Surcharge	7,529	7,818
Sex Offender Assess.	0	100,000
Reserve Increase/(Decrease)	\$122,777	\$48,549

**SCHEDULE 9 NARRATIVE
PROGRAM REVENUE DETAIL**

Fund Number 286

Fund Title Office of Dispute Resolution

Assumptions/Methodology

Estimate Year Revenues: Based on estimated collections of fees for mediation services.

Estimate Year Expenditures: Per Schedule 3.

Request Year Revenues: Based on estimated collections of fees for mediation services.

Request Year Expenditures: Per Schedule 3.

Distribution of Funds

ITEM	ESTIMATE YEAR	REQUEST YEAR
Total Est. Expenditures	\$384,791	\$385,016
Amount by Long Bill Group:		
Office of Dispute Resol.	384,791	385,016
Reserve Increase/(Decrease)	\$16,409	\$87,984

**SCHEDULE 9 NARRATIVE
PROGRAM REVENUE DETAIL**

Fund Number 700

Fund Title Supreme Court Library

Assumptions/Methodology

Estimate Year Revenues: Based on estimated collections of new attorney registration fees, Supreme Court's and Court of Appeals' docket fees, copy work, certifications, Westlaw sale of time, and OCLC.

Estimate Year Expenditures: Per Schedule 3.

Request Year Revenues: Same as above.

Request Year Expenditures: Per Schedule 3.

Distribution of Funds

ITEM	ESTIMATE YEAR	REQUEST YEAR
Total Est. Expenditures	\$410,000	\$425,000
Amount by Long Bill Group:		
Law Library	410,000	425,000
Reserve Increase/(Decrease)	(\$45,000)	(\$60,000)

**SCHEDULE 9 NARRATIVE
PROGRAM REVENUE DETAIL**

Fund Number 713

Fund Title Victim Compensation Fund

Assumptions/Methodology

Estimate Year Revenues: Based on estimated collections of Victim Compensation fees.

Estimate Year Expenditures: Per Schedule 3.

Request Year Revenues: Same as above.

Request Year Expenditures: Per Schedule 3.

Distribution of Funds

ITEM	ESTIMATE YEAR	REQUEST YEAR
Total Est. Expenditures	\$5,000,000	\$5,626,185
Amount by Long Bill Group:		
Victim Compensation	5,000,000	5,626,185
Reserve Increase/(Decrease)	\$2,268,462	\$2,732,547

**SCHEDULE 9 NARRATIVE
PROGRAM REVENUE DETAIL**

Fund Number 714

Fund Title Victim Assistance Fund

Assumptions/Methodology

Estimate Year Revenues: Based on estimated collections of Victim Assistance fees.

Estimate Year Expenditures: Per Schedule 3.

Request Year Revenues: Same as above.

Request Year Expenditures: Per Schedule 3.

Distribution of Funds

ITEM	ESTIMATE YEAR	REQUEST YEAR
Total Est. Expenditures	\$6,500,000	\$8,515,920
Amount by Long Bill Group:		
Victim Assistance	6,500,000	8,515,920
Reserve Increase/(Decrease)	\$2,896,959	\$2,290,583

**SCHEDULE 9 NARRATIVE
PROGRAM REVENUE DETAIL**

Fund Number 716

Fund Title Supreme Court Grievance Committee

Assumptions/Methodology

Estimate Year Revenues: Based on estimated collections of annual attorney registration fees, miscellaneous income, court costs, and interest earned.

Estimate Year Expenditures: Per Schedule 3.

Request Year Revenues: Same as above.

Request Year Expenditures: Per Schedule 3.

Distribution of Funds

ITEM	ESTIMATE YEAR	REQUEST YEAR
Total Est. Expenditures	\$2,093,000	\$2,323,230
Amount by Long Bill Group:		
Grievance Committee	2,093,000	2,323,230
Reserve Increase/(Decrease)	(\$16,260)	(\$121,885)

**SCHEDULE 9 NARRATIVE
PROGRAM REVENUE DETAIL**

Fund Number 717

Fund Title Continuing Legal Education

Assumptions/Methodology

Estimate Year Revenues: Based on estimated collections of annual attorney registration fees; miscellaneous income; makeup, reinstatement, affidavit and late fees; and interest earned.

Estimate Year Expenditures: Per Schedule 3.

Request Year Revenues: Same as above.

Request Year Expenditures: Per Schedule 3.

Distribution of Funds

ITEM	ESTIMATE YEAR	REQUEST YEAR
Total Est. Expenditures	\$245,000	\$250,000
Amount by Long Bill Group:		
Continuing Legal Educ.	245,000	250,000
Reserve Increase/(Decrease)	\$1,123	\$8,429

**SCHEDULE 9 NARRATIVE
PROGRAM REVENUE DETAIL**

Fund Number 718

Fund Title Law Examiner Board

Assumptions/Methodology

Estimate Year Revenues: Based on estimated collections of law examination application fees, miscellaneous income and interest earned.

Estimate Year Expenditures: Per Schedule 3.

Request Year Revenues: Same as above.

Request Year Expenditures: Per Schedule 3.

Distribution of Funds

ITEM	ESTIMATE YEAR	REQUEST YEAR
Total Est. Expenditures	\$630,000	\$616,000
Amount by Long Bill Group:		
Law Examiner Board	630,000	616,000
Reserve Increase/(Decrease)	(\$66,516)	(\$41,247)

PROGRAM REVENUE SUMMARY

ITEM	FUND NO.	FUND TITLE	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	PERCENT CHANGE	FY 1997 REQUEST	PERCENT CHANGE
Beginning Balance	100	General Fund	0	0	0		0	
Total Revenue			3,823,998	4,965,900	5,522,715	11%	5,879,175	6%
Exempt Revenue			2,619,065	2,673,004	2,999,850	12%	3,207,694	7%
Non-exempt Revenue			1,204,933	2,292,896	2,522,865	10%	2,671,481	6%
Total Expenditures			3,485,399	4,831,605	5,522,715	14%	5,879,175	6%
Reserve Inc/(Dec)			0	0	0		0	
Beginning Balance	101	Offender Svc.	383,934	379,964	370,787		370,787	
Total Revenue			407,593	407,107	702,000	72%	790,000	13%
Exempt Revenue				45,107	150,000	233%	150,000	0%
Non-exempt Revenue			407,593	362,000	552,000	52%	640,000	16%
Total Expenditures			411,563	416,284	702,000	69%	917,000	31%
Reserve Inc/(Dec)			(3,970)	(9,177)	0		(127,000)	
Beginning Balance	255	Drug Off. Surch.	495,688	1,180,268	1,671,124		2,197,782	
Total Revenue			743,748	917,530	929,600	1%	1,041,152	12%
Exempt Revenue								
Non-exempt Revenue			743,748	917,530	929,600	1%	1,041,152	12%
Total Expenditures			59,168	426,674	402,942	-6%	477,268	18%
Reserve Inc/(Dec)			684,580	490,856	526,658		563,884	
Beginning Balance	264	Support Reg.	25,704	25,704	38,378		38,378	
Total Revenue			208,191	80,141	120,209	50%	166,289	38%
Exempt Revenue								
Non-exempt Revenue			208,191	80,141	120,209	50%	166,289	38%
Total Expenditures			208,191	67,467	120,209	78%	185,578	54%
Reserve Inc/(Dec)			0	12,674	0		(19,289)	

BRANCH: JUDICIAL
PROGRAM: APPENDIX

PROGRAM REVENUE SUMMARY

SCHEDULE 9

ITEM	FUND NO.	FUND TITLE	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	PERCENT CHANGE	FY 1997 REQUEST	PERCENT CHANGE
Beginning Balance	283	Sex Off. Surch.	20,408	102,744	211,330		334,107	
Total Revenue			82,336	108,586	130,306	20%	156,367	20%
Exempt Revenue								
Non-exempt Revenue			82,336	108,586	130,306	20%	156,367	20%
Total Expenditures			0	0	7,529		107,818	1332%
Reserve Inc/(Dec)			82,336	108,586	122,777		48,549	

Beginning Balance	286	ODR	4,244	19,739	41,048		57,457	
Total Revenue			294,281	331,248	401,200	21%	473,000	18%
Exempt Revenue								
Non-exempt Revenue			294,281	331,248	401,200	21%	473,000	18%
Total Expenditures			278,786	309,939	384,791	24%	385,016	0%
Reserve Inc/(Dec)			15,495	21,309	16,409		87,984	

Beginning Balance	700	Supreme Ct. Lib.	94,409	97,672	105,191		60,191	
Total Revenue			379,078	372,915	365,000	-2%	365,000	0%
Exempt Revenue								
Non-exempt Revenue			379,078	372,915	365,000	-2%	365,000	0%
Total Expenditures			375,815	365,396	410,000	12%	425,000	4%
Reserve Inc/(Dec)			3,263	7,519	(45,000)		(60,000)	

Beginning Balance	713	Victim Comp.	2,635,227	3,514,745	5,038,976		7,307,438	
Total Revenue			5,308,007	6,320,401	7,268,462	15%	8,358,732	15%
Exempt Revenue								
Non-exempt Revenue			5,308,007	6,320,401	7,268,462	15%	8,358,732	15%
Total Expenditures			4,428,489	4,796,170	5,000,000	4%	5,626,185	13%
Reserve Inc/(Dec)			879,518	1,524,231	2,268,462		2,732,547	

PROGRAM REVENUE SUMMARY

ITEM	FUND NO.	FUND TITLE	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	PERCENT CHANGE	FY 1997 REQUEST	PERCENT CHANGE
Beginning Balance	714	Victim Assist.	2,807,067	3,279,241	4,360,849		7,257,808	
Total Revenue			6,939,285	8,171,267	9,396,959	15%	10,806,503	15%
Exempt Revenue								
Non-exempt Revenue			6,939,285	8,171,267	9,396,959	15%	10,806,503	15%
Total Expenditures			6,467,111	7,089,659	6,500,000	-8%	8,515,920	31%
Reserve Inc/(Dec)			472,174	1,081,608	2,896,959		2,290,583	
Beginning Balance	716	Suprm. Ct. Cte.	1,284,806	1,497,044	1,661,549		1,645,289	
Total Revenue			1,781,981	1,961,672	2,076,740	6%	2,201,345	6%
Exempt Revenue								
Non-exempt Revenue			1,781,981	1,961,672	2,076,740	6%	2,201,345	6%
Total Expenditures			1,569,743	1,797,167	2,093,000	16%	2,323,230	11%
Reserve Inc/(Dec)			212,238	164,505	(16,260)		(121,885)	
Beginning Balance	717	CLE Fund	239,283	284,235	294,262		295,385	
Total Revenue			222,416	232,201	246,123	6%	258,429	5%
Exempt Revenue								
Non-exempt Revenue			222,416	232,201	246,123	6%	258,429	5%
Total Expenditures			177,464	222,174	245,000	10%	250,000	2%
Reserve Inc/(Dec)			44,952	10,028	1,123		8,429	
Beginning Balance	718	LX Board Fund	751,121	772,671	812,973		746,457	
Total Revenue			539,684	551,676	563,484	2%	574,753	2%
Exempt Revenue								
Non-exempt Revenue			539,684	551,676	563,484	2%	574,753	2%
Total Expenditures			518,134	511,374	630,000	23%	616,000	-2%
Reserve Inc/(Dec)			21,550	40,303	(66,516)		(41,247)	

BRANCH: JUDICIAL
PROGRAM: APPENDIX

PROGRAM REVENUE SUMMARY

SCHEDULE 9

ITEM	FUND NO.	FUND TITLE	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	PERCENT CHANGE	FY 1997 REQUEST	PERCENT CHANGE
BRANCH TOTAL								
Total Revenue			20,730,598	24,420,644	27,722,798	14%	31,070,745	12%
Exempt Revenue			2,619,065	2,718,111	3,149,850	16%	3,357,694	7%
Non-exempt Revenue			18,111,533	21,702,533	24,572,948	13%	27,713,051	13%
Total Expenditures			17,979,863	20,833,909	22,018,186	6%	25,708,190	17%
Reserve Inc/(Dec)			2,750,735	3,586,735	5,704,612		5,362,555	

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Mandate / Program: Drug Control and System Improvement
State Pass-Through Agency: Division of Criminal Justice
Description of Mandate / Program: Metro Female Offender Program IV
Federal Statute: 42 U.S.C. 370, et. seq, as amended
State Statute: Section 16-11-202, C.R.S.

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Non-Appropriated JA001

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$18,084	\$31,447	\$0
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds		\$18,084	\$31,447	
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: Probation 19820
State Match Rate: 25%

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$6,028	\$10,482	\$0
General Fund				
Cash Funds		\$6,028	\$10,482	
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Mandate / Program: Drug Control and System Improvement
 State Pass-Through Agency: Division of Criminal Justice
 Description of Mandate / Program: Specialized Sexual Abuse Program
 Federal Statute: 42 U.S.C. 370, et. seq, as amended
 State Statute: Section 16-11-202, C.R.S.

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Non-Appropriated JA001

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$30,115	\$20,885	\$0
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds		\$30,115	\$20,885	
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: Probation 19820
 State Match Rate: 25%

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$10,038	\$6,962	\$0
General Fund				
Cash Funds		\$10,038	\$6,962	
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Grantor Agency: State Justice Institute
Description of Mandate / Program: Automated Telephone Docketing
Federal Statute: State Justice Institute Act of 1984, 4a U.S.C. 10701 et. seq.
State Statute: Section 13-3-102 (1), C.R.S.

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Non-Appropriated JA001

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$405	\$945	\$11,984	\$0
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds	\$405	\$945	\$11,984	
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: Trial Courts 19460 and 19490
State Match Rate: 26%

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$76	\$4,590	\$0	\$0
General Fund	\$76	\$4,590		
Cash Funds				
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Mandate / Program: Drug Control and System Improvement
State Pass-Through Agency: Division of Criminal Justice
Description of Mandate / Program: Denver Drug Court II
Federal Statute: 42 U.S.C. 370, et. seq, as amended
State Statute: Section 16-11-202, C.R.S.

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Non-Appropriated JA001

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$112,107	\$47,827	\$0
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds		\$112,107	\$47,827	
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: Probation 19760
State Match Rate: 25%

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$53,311	\$0	\$0
General Fund		\$53,311		
Cash Funds				
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Grantor Agency:	State Justice Institute
Description of Mandate / Program:	Encouraging Family Peace
Federal Statute:	State Justice Institute Act of 1984, 4a U.S.C. 10701 et. seq.
State Statute:	Section 13-3-102 (1), C.R.S.

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Non-Appropriated JA001

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$30,029	\$8,675	\$0
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds		\$34,029	\$8,675	
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: Trial Courts 19460
State Match Rate: 16%

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$8,550	\$0	\$0
General Fund		\$8,550		
Cash Funds				
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Grantor Agency:	State Justice Institute
Description of Mandate / Program:	Family Law University
Federal Statute:	State Justice Institute Act of 1984, 4a U.S.C. 10701 et. seq.
State Statute:	Section 13-3-102 (1), C.R.S.

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Non-Appropriated JA001

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$9,595	\$404	\$0	\$0
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds	\$9,595	\$404	\$0	
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: Trial Courts 19460
State Match Rate: 20%

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$2,625	\$0	\$0	\$0
General Fund	\$2,625			
Cash Funds				
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Mandate / Program: Drug Control and System Improvement
 State Pass-Through Agency: Division of Criminal Justice
 Description of Mandate / Program: Standardized Substance Abuse
 Federal Statute: 42 U.S.C. 370, et. seq, as amended
 State Statute: Section 16-11-202, C.R.S.

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Non-Appropriated JA001

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$149,987	\$141,028	\$12,317	\$0
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds	\$149,987	\$141,028	\$12,317	
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: Probation 19840
 State Match Rate: 25%

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$50,008	\$52,992	\$0	\$0
General Fund				
Cash Funds	\$50,008	\$52,992		
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Mandate / Program: U.S. Dept. of Health & Human Services
 Federal Grantor Agency: Administration for Children & Families
 Description of Mandate / Program: Court Improvement Program
 Federal Statute: Titles IV-B and IV-E, Social Security Act, Subchapter C
 State Statute: Section 13-3-102 (1), C.R.S.

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Non-Appropriated JA001

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$31,673	\$101,447	\$202,894
General Fund			\$20,289	\$40,578
Cash Funds				
Cash Funds Exempt				
Federal Funds		\$31,673	\$81,158	\$162,316
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: Trial Courts 19757
 State Match Rate: 25% for FY 96-98 only

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$0	\$20,289	\$40,578
General Fund			\$20,289	\$40,578
Cash Funds				
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Mandate / Program: Drug Control & System Improvement
 State Pass-Through Agency: Division of Criminal Justice
 Description of Mandate / Program: Juvenile TASC - 17th and 18th Districts
 Federal Statute: 42 U.S.C. 370, et. seq, as amended
 State Statute: Section 16-11-202, C.R.S.

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Probation 19820

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$45,107	\$56,886	\$0
General Fund				
Cash Funds		\$45,107	\$56,886	
Cash Funds Exempt				
Federal Funds				
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: Probation 19820
 State Match Rate: 25%

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$15,036	\$18,961	\$0
General Fund				
Cash Funds		\$15,036	\$18,961	
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Mandate / Program: Drug Control & System Improvement
 State Pass-Through Agency: Division of Criminal Justice
 Description of Mandate / Program: Juvenile TASC
 Federal Statute: 42 U.S.C. 370, et. seq, as amended
 State Statute: Section 16-11-202, C.R.S.

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Probation 19820

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$34,083	\$16,907	\$0
General Fund				
Cash Funds		\$34,083	\$16,907	
Cash Funds Exempt				
Federal Funds				
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: Probation 19820
 State Match Rate: 25%

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$11,763	\$5,237	\$0
General Fund				
Cash Funds		\$11,763	\$5,237	
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Grantor Agency:	Department of Justice
Description of Mandate / Program:	Drug Court Improvement and Enhancement Initiative
Federal Statute:	Title V, Violent Crime Control Act of 1994
State Statute:	Article IV, Colorado Constitution

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Non-Appropriated JA001

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$0	\$205,409	\$194,591
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds			\$205,409	\$194,591
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: N/A
State Match Rate: 0%

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$0	\$0	\$0
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Mandate / Program: Drug Control and System Improvement Program
State Pass-Through Agency: Division of Criminal Justice
Description of Mandate / Program: Drug Court Improvement and Enhancement Initiative
Federal Statute: 42 U.S.C. 370, et. seq, as amended
State Statute: Section 16-11-202, C.R.S.

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Non-Appropriated JA001

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$0	\$21,240	\$7,078
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds			\$21,240	\$7,078
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: N/A
State Match Rate: 0%

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$0	\$0	\$0
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Mandate / Program: Drug Control and System Improvement Program
 State Pass-Through Agency: Division of Criminal Justice
 Description of Mandate / Program: Specialized Sexual Abuse Offender Program
 Federal Statute: 42 U.S.C. 370, et. seq, as amended
 State Statute: Section 16-11-202, C.R.S.

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Non-Appropriated JA001

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$0	\$38,250	\$12,750
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds			\$38,250	\$12,750
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: N/A
 State Match Rate: 0%

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$0	\$0	\$0
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

SCHEDULE 10
FEDERAL MANDATES / FEDERAL FUNDS SUMMARY

Federal Mandate / Program:	Substance Abuse Treatment
State Pass-Through Agency:	Department of Human Resources
Description of Mandate / Program:	Juvenile Justice Treatment Network
Federal Statute:	Public Health Service Act, Section 501 (D) and 511
State Statute:	Section 16-11-202, C.R.S.

DIRECT ORDER / ANNUAL COST OF COMPLIANCE

Title of Long Bill Group & Line Item: Non-Appropriated JA001

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$0	\$622,610	\$207,537
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds			\$622,610	\$207,537
FTE				

COST OF PARTICIPATION IN FEDERAL PROGRAMS

Title of Long Bill Group & Line Item: N/A
State Match Rate: 0%

CATEGORY	FY 1994 ACTUAL	FY 1995 ACTUAL	FY 1996 ESTIMATE	FY 1997 REQUEST
TOTAL	\$0	\$0	\$0	\$0
General Fund				
Cash Funds				
Cash Funds Exempt				
Federal Funds				
FTE				
FF Indirect Cost Recovery				

BRANCH: JUDICIAL
PROGRAM: APPENDIX

COFRS LOAN REPAYMENT

PROGRAM	FY 1993 ACTUAL	FY 1994 ACTUAL	FY 1995 ACTUAL
Court Costs		\$25,000	
Trial Court Personal Services	\$40,097	\$36,966	
Drug Testing	\$100,000		
Court of Appeals Personal Services		\$72,281	
Alimony & Support			\$166,450

Note - paid in full