

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 201-12 Budget Request  
Fund #12P - Alternative Fuels Rebate Fund  
Section 39-33-106, C.R.S. (2010)

Available Liquid Cash Fund Balance	Actual FY 2008-09	Actual FY 2009-10	Estimated FY 2010-11	Requested FY 2011-12	Projected FY 2012-13
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$1,666,451</b>	<b>\$1,842,503</b>	<b>\$1,968,755</b>	<b>\$479,781</b>	<b>\$539,885</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$505,084	\$478,890	\$368,501	\$370,104	\$382,126
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$505,084</b>	<b>\$478,890</b>	<b>\$368,501</b>	<b>\$370,104</b>	<b>\$382,126</b>
Actual / appropriated / projected cash expenditures	\$329,032	\$352,638	\$357,475	\$310,000	\$310,000
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$1,500,000	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$329,032</b>	<b>\$352,638</b>	<b>\$1,857,475</b>	<b>\$310,000</b>	<b>\$310,000</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$1,842,503</b>	<b>\$1,968,755</b>	<b>\$479,781</b>	<b>\$539,885</b>	<b>\$612,011</b>
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$1,842,503</b>	<b>\$1,968,755</b>	<b>\$479,781</b>	<b>\$539,885</b>	<b>\$612,011</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes transfer to the General Fund on June 30, 2011, pursuant to the provisions of HB 10-1388.

Fee Levels (if applicable)	Actual FY 2008-09	Actual FY 2009-10	Estimated FY 2010-11	Request FY 2011-12	Projected FY 2012-13
Not Available	N/A	N/A	N/A	N/A	N/A
<b>Cash Fund Reserve Balance<sup>1</sup></b>	<b>Actual FY 2008-09</b>	<b>Actual FY 2009-10</b>	<b>Estimated FY 2010-11</b>	<b>Request FY 2011-12</b>	<b>Projected FY 2012-13</b>

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Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	N/A	N/A	N/A	N/A	N/A
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	N/A	N/A	N/A	N/A	N/A
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>
Assessment of Potential for Compliance (check all that apply)	___ Already in Compliance ___ Statute Change <sup>2</sup> ___ Planned Fee Reduction <sup>2</sup>				
	___ Planned One-time Expenditure(s) <sup>1</sup> ___ Planned Ongoing Expenditure(s) <sup>2</sup> ___ Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2010)
2. If plan is needed to meet compliance deadline, attach Form 9.B.
3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	To pay expenditures associated with qualified rebate claims, pursuant to Article 33 of Title 39, C.R.S. (2010).
Fee Sources	N/A
Non-Fee Sources	Sales or Use Tax revenues generated by the sales of alternative fuel vehicles and facilities equipment. Gifts, grants, or donations received by the Executive Director, including those from other governmental agencies. Any transfers pursuant to 39-33-105 (1)(c), C.R.S. (2010).
Long Bill Groups Supported by Fund	Special Purpose, Alternative Fuels Rebate
Non-appropriated Fund Obligations	Not Applicable since this fund does not have any non-appropriated funding obligations.
Statutory or Other Restriction on Use of Fund	Section 39-33-105, C.R.S. (2010)
Revenue Drivers	State sales and use taxes generated by the sale of eligible vehicles or equipment.
Expenditure Drivers	Rebate applications from qualified entities, as defined in section 39-33-101, C.R.S. (2010).
Explanation of any Long-term Liability Funding Requirements	Not Applicable since this fund does not have any long-term liability funding requirements.

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Taxation Business Group</b>					
Alternative Fuels Rebate	\$329,032	\$352,638	\$357,475	\$310,000	\$310,000
<b>TOTAL</b>	<b>\$329,032</b>	<b>\$352,638</b>	<b>\$357,475</b>	<b>\$310,000</b>	<b>\$310,000</b>

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Available Liquid Cash Fund Balance	Actual FY 2008-09	Actual FY 2009-10	Estimated FY 2010-11	Requested FY 2011-12	Projected FY 2012-13
<b>Cash in Beginning Fund Balance<sup>1</sup></b>		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,899,310</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$0	\$0	\$10,317,583	\$10,317,583	\$10,317,583
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$0</b>	<b>\$0</b>	<b>\$10,317,583</b>	<b>\$10,317,583</b>	<b>\$10,317,583</b>
Actual / appropriated / projected cash expenditures	\$0	\$0	\$10,317,583	\$8,418,273	\$9,557,279
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$0</b>	<b>\$0</b>	<b>\$10,317,583</b>	<b>\$8,418,273</b>	<b>\$9,557,279</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,899,310</b>	<b>\$2,659,614</b>
Decision Item #1 - "Sample A"	N/A	N/A	N/A	\$0	\$0
Decision Item #2 - "Sample B"	N/A	N/A	N/A	\$0	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,899,310</b>	<b>\$2,659,614</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual FY 2008-09	Actual FY 2009-10	Estimated FY 2010-11	Request FY 2011-12	Projected FY 2012-13
Center Business (1-300) Type 1			\$7,500	\$7,500	\$7,500
Center Business (301-500) Type 2			\$12,500	\$12,500	\$12,500
Center Business (501 +) Type 3			\$18,000	\$18,000	\$18,000
Marijuana Infused Products			\$1,250	\$1,250	\$1,250

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Optional Grows			\$1,250	\$1,250	\$1,250
Occupational Key (owners, officers)			\$250	\$250	\$250
Support License (workers)			\$75	\$75	\$75
Registrations			\$30	\$30	\$30

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$1,899,310	\$2,659,614
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$0	\$0	\$1,702,401	\$1,389,015	\$1,576,951
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$1,702,401)</b>	<b>\$510,295</b>	<b>\$1,082,663</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2009)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	This fund was created for the purpose of regulating and controlling the cultivation, manufacture, distribution and sale, of medical marijuana
Fee Sources	Licensing Fees
Non-Fee Sources	Not Applicable
Long Bill Groups Supported by Fund	Executive Director's Office, Central Department Operations, Office of Information Technology, Enforcement Business Group Administration, Medical Marijuana Enforcement Division
Non-appropriated Fund Obligations	Not Applicable
Statutory or Other Restriction on Use of Fund	Section 12-43.3-201 and 12-43-501

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Revenue Drivers	Projected expenditure for the next fiscal year drive the level of licensing fees. These are set annually by the Department
Expenditure Drivers	Normal inflationary increases for common policy appropriations and salary survey.
Explanation of any Long-term Liability Funding Requirements	Not Applicable

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Executive Director's Office</b>					
Health, Life, Dental	\$0	\$0	\$781,000	\$411,056	\$822,112
Short-term Disability	\$0	\$0	\$0	\$4,624	\$9,248
S.B. 04-257 Amortization Equalization Disbursement	\$0	\$0	\$120,315	\$73,154	\$146,308
S.B. 06-235 Supplemental Amoritization Equalization Disbursement	\$0	\$0	\$87,729	\$58,784	\$117,568
Salary Survey and Senior Executive Service	\$0	\$0	\$0	\$0	\$0
Performance-based Pay Awards	\$0	\$0	\$0	\$0	\$0
Shift Differential	\$0	\$0	\$0	\$0	\$0
Workers' Compensation	\$0	\$0	\$0	\$28,682	\$57,364
Capital Outlay	\$0	\$0	\$0	\$0	\$0
Variable Vehicle Payments	\$0	\$0	\$171,440	\$116,446	\$171,440
Legal Services	\$0	\$0	\$271,368	\$276,154	\$282,229
Administrative Law Judge Services	\$0	\$0	\$0	\$0	\$0
Purchase of Services from Computer Center	\$0	\$0	\$0	\$0	\$0
Multiuse Network Payments	\$0	\$0	\$0	\$10,003	\$20,006
Payments to Risk Management and Property Funds	\$0	\$0	\$0	\$4,296	\$8,592
Vehicles Lease Payments	\$0	\$0	\$196,900	\$196,900	\$201,232
Lease Space	\$0	\$0	\$680,217	\$564,947	\$577,376
Capitol Complex Leased Space	\$0	\$0	\$0	\$0	\$0
Communication Services Payments	\$0	\$0	\$0	\$0	\$0
Utilities	\$0	\$0	\$0	\$0	\$0
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,308,969</b>	<b>\$1,745,046</b>	<b>\$2,413,475</b>
<b>(2) Central Department Operations Divison</b>					
Personal Services	\$0	\$0	\$6,991	\$2,871	\$2,871
Operating Expenses	\$0	\$0	\$1,124	\$95	\$95
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$8,115</b>	<b>\$2,966</b>	<b>\$2,966</b>

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<b>(3) Information Technology Division</b>					
Personal Services	\$0	\$0	\$157,132	\$45,868	\$45,868
Operating Expenses	\$0	\$0	\$148,713	\$75,559	\$75,559
Programming Costs for Session Legislation	\$0	\$0	\$28,991	\$75,559	\$75,559
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$334,836</b>	<b>\$196,986</b>	<b>\$196,986</b>
<b>(7) Enforcement Business Group</b>					
(A) Administration, Personal Services	\$0	\$0	\$81,400	\$83,265	\$83,265
(A) Administration, Operating Expenses	\$0	\$0	\$1,900	\$1,900	\$1,900
(H) Medical Marijuana Division	\$0	\$0	\$7,578,280	\$6,053,305	\$6,053,305
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$7,661,580</b>	<b>\$6,138,470</b>	<b>\$6,138,470</b>
<b>Division and Department Indirect Costs</b>					
Enforcement Business Group Administration	\$0	\$0	\$0	\$200,416	\$400,832
Executive Director's Office	\$0	\$0	\$0	\$159,196	\$318,392
Central Department Operations	\$0	\$0	\$0	\$38,067	\$76,134
Information Technology Division	\$0	\$0	\$0	\$0	\$0
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$397,679</b>	<b>\$795,358</b>
<b>OIT Costs</b>					
Purchase of Services from Computer Center	N/A	N/A	\$0	\$0	\$0
Multiuse Network Payments	N/A	N/A	\$0	\$0	\$0
Management and Administration of OIT	N/A	N/A	\$0	\$0	\$0
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Other Costs</b>					
Postage	\$0	\$0	\$4,083	\$10,024	\$10,024
Capital Construction	\$0	\$0	\$0	\$0	\$0
OIT Common Policy Base Adjustment	\$0	\$0	\$0	\$0	\$0
NP - Statewide PERA Adjustment	\$0	\$0	\$0	(\$72,898)	\$0
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,083</b>	<b>(\$62,874)</b>	<b>\$10,024</b>
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$10,317,583</b>	<b>\$8,418,273</b>	<b>\$9,557,279</b>

Schedule 9B: Cash Funds Reports  
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Section 12-43-501, C.R.S. (2010)

Schedule 9.B Compliance Plan	
Action	
Plan Description	The Department has statutory fee setting authority and will adjust fees to be compliant with the target fee reserve by FY 12-13.
Assumptions and Calculations	The Department anticipates being fully staffed in FY 12-13, and expects further legislation that will likely change the revenue and expenditure information that is currently projected.

Schedule 9A: Cash Funds Reports  
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Fund #16V-Racing Cash Fund  
Section 12-60-205 (1), C.R.S. (2010)

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$416,318</b>	<b>\$199,498</b>	<b>\$272,086</b>	<b>(\$196,463)</b>	<b>(\$601,354)</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$1,283,306	\$1,272,142	\$1,272,142	\$1,272,142	\$1,272,142
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	(\$40,768)	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$1,242,538</b>	<b>\$1,272,142</b>	<b>\$1,272,142</b>	<b>\$1,272,142</b>	<b>\$1,272,142</b>
Actual / appropriated / projected cash expenditures	\$1,384,194	\$1,196,867	\$1,740,690	\$1,677,033	\$1,701,308
Actual / anticipated cash used to pay short-term liabilities	\$75,164	\$2,687	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$1,459,358</b>	<b>\$1,199,554</b>	<b>\$1,740,690</b>	<b>\$1,677,033</b>	<b>\$1,701,308</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$199,498</b>	<b>\$272,086</b>	<b>(\$196,463)</b>	<b>(\$601,354)</b>	<b>(\$1,030,521)</b>
Decision Item #1 - "Sample A"	N/A	N/A	N/A	\$0	\$0
Decision Item #2 - "Sample B"	N/A	N/A	N/A	\$0	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$199,498</b>	<b>\$272,086</b>	<b>(\$196,463)</b>	<b>(\$601,354)</b>	<b>(\$1,030,521)</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Key - New	\$225	\$225	\$225	\$225	\$225
Key - Renewal	\$75	\$75	\$75	\$75	\$75
Support - New	\$75	\$75	\$75	\$75	\$75
Support - Renewal	\$25	\$25	\$25	\$25	\$25



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Registration/1yr	\$15	\$15	\$15	\$15	\$15
Reciprocal	\$15	\$15	\$15	\$15	\$15
Minor Business - New	\$135	\$135	\$135	\$135	\$135
Minor Business - Renewal	\$65	\$65	\$65	\$65	\$65
Major Business - New	\$75	\$75	\$75	\$75	\$75
Major Business - Renewal	\$40	\$40	\$40	\$40	\$40
Duplicate	\$10	\$10	\$10	\$10	\$10
Temporary	\$15	\$15	\$15	\$15	\$15
Multi-jurisdictional - Owner	\$85	\$85	\$85	\$85	\$85
Greyhound fees					
Horse fees					
Track Fees	\$137,484/mo.	\$110,000/mo.	\$110,000/mo.	\$110,000/mo.	\$110,000/mo.

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	\$100,000/mo.	FY 2011-12	FY 2012-13
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$199,498	\$272,086	(\$196,463)	(\$601,354)	(\$1,030,521)
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$228,392	\$197,483	\$287,214	\$276,710	\$280,716
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>(\$28,894)</b>	<b>\$74,603</b>	<b>(\$483,677)</b>	<b>(\$878,065)</b>	<b>(\$1,311,237)</b>
Assessment of Potential for Compliance (check all that apply)	X Already in Compliance      ___ Statute Change <sup>2</sup> ___ Planned Fee Reduction <sup>2</sup> ___ Planned One-time Expenditure(s) <sup>1</sup> ___ Planned Ongoing Expenditure(s) <sup>2</sup> ___ Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2009)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	To pay the direct and indirect expenses of the Division of Racing Events.
Fee Sources	Licensing Fees
Non-Fee Sources	Not Applicable since this fund doesn't receive revenue from non-fee sources

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Long Bill Groups Supported by Fund	Executive Director's Office, Central Department Operations, Information Technology Division, Enforcement Administration, Division of Racing Events
Non-appropriated Fund Obligations	Not Applicable since this fund doesn't have any non-appropriated funding obligations.
Statutory or Other Restriction on Use of Fund	Section 12-60-205, C.R.S. (2010)
Revenue Drivers	Projected expenditures for the next fiscal year drive the level of licensing fees. These are set annually by the Racing Commission.
Expenditure Drivers	Normal inflationary increases for appropriations based on DPA common policies and salary survey.
Explanation of any Long-term Liability Funding Requirements	Not Applicable since this fund doesn't have any long-term liability funding requirements.

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Executive Director's Office</b>					
Health, Life, Dental	\$66,108	\$50,723	\$76,135	\$58,726	\$60,018
Short-term Disability	\$822	\$811	\$1,559	\$1,197	\$1,223
S.B. 04-257 Amortization Equalization Disbursement	\$10,855	\$11,491	\$24,136	\$18,929	\$18,967
S.B. 06-235 Supplemental Amortization Equalization Disbursement	\$4,910	\$7,216	\$17,599	\$15,210	\$15,286
Salary Survey and Senior Executive Service	\$43,042	\$0	\$0	\$0	\$0
Performance-based Pay Awards	\$17,958	\$0	\$0	\$0	\$0
Shift Differential	\$1,475	\$0	\$0	\$0	\$0
Workers' Compensation	\$9,788	\$6,923	\$4,508	\$7,065	\$7,220
Capital Outlay	\$634	\$4,647	\$0	\$0	\$0
Variable Vehicle Payments	\$7,768	\$4,187	\$7,768	\$4,187	\$4,279
Legal Services	\$21,908	\$21,051	\$21,051	\$21,051	\$21,514
Administrative Law Judge Services	\$0	\$0	\$0	\$0	\$0
Purchase of Services from Computer Center	\$0	\$0	\$0	\$0	\$0
Multiuse Network Payments	\$45,933	\$45,910	\$39,135	\$19,918	\$20,356
Payments to Risk Management and Property Funds	\$4,692	\$3,046	\$896	\$2,911	\$2,975
Vehicles Lease Payments	\$13,165	\$13,840	\$18,957	\$18,957	\$19,374
Leased Space	\$0	\$0	\$0	\$0	\$0
Capitol Complex Leased Space	\$30,222	\$29,140	\$28,166	\$20,030	\$20,471

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #16V-Racing Cash Fund  
Section 12-60-205 (1), C.R.S. (2010)

Communication Services Payments	\$7,881	\$7,984	\$8,209	\$8,429	\$8,615
Utilities	\$0	\$0	\$0	\$0	\$0
<b>Division Subtotal</b>	<b>\$287,160</b>	<b>\$206,968</b>	<b>\$248,119</b>	<b>\$196,610</b>	<b>\$200,299</b>
<b>Division of Racing Events</b>					
Personal Services	\$774,349	\$665,811	\$1,129,370	\$1,141,242	\$1,141,242
Operating Expenses	\$54,383	\$55,875	\$91,385	\$91,385	\$91,385
Laboratory Services	\$27,875	\$25,841	\$104,992	\$104,992	\$104,992
Commission Meeting Costs	\$1,200	\$750	\$1,200	\$1,200	\$1,200
Racetrack Applications	\$18,274	\$21,912	\$25,000	\$25,000	\$25,000
<b>Division Subtotal</b>	<b>\$876,080</b>	<b>\$770,189</b>	<b>\$1,351,947</b>	<b>\$1,363,819</b>	<b>\$1,363,819</b>
<b>Division and Department Indirect Costs</b>					
Enforcement Business Group Administration	\$84,023	\$84,117	\$54,998	\$49,366	\$49,262
Executive Director's Office	\$57,659	\$64,483	\$40,544	\$39,029	\$39,888
Central Department Operations	\$14,144	\$15,872	\$10,190	\$10,370	\$10,598
Information Technology Division	\$64,154	\$54,557	\$954	\$954	\$975
<b>Subtotal</b>	<b>\$219,980</b>	<b>\$219,029</b>	<b>\$106,686</b>	<b>\$99,719</b>	<b>\$100,723</b>
<b>OIT Costs</b>					
Purchase of Services from Computer Center	N/A	N/A	\$29,092	\$28,381	\$33,249
Multiuse Network Payments	N/A	N/A	\$1,916	\$1,916	\$1,958
Management and Administration of OIT	N/A	N/A	\$2,252	\$2,218	\$2,492
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$33,260</b>	<b>\$32,515</b>	<b>\$37,699</b>
<b>Other Costs</b>					
Postage	\$552	\$678	\$678	\$678	\$678
Fire Suppression System-Capital Construction	\$423	\$0	\$0	\$0	\$0
OIT Common Policy Base Adjustments	\$0	\$0	\$0	\$4,372	\$0
NP - Statewide PERA Adjustment	\$0	\$0	\$0	(\$18,771)	\$0
NP - Pro-Rated Benefits	\$0	\$0	\$0	(\$2,466)	(\$2,466)
NP - Annual Fleet Vehicle Replacement	\$0	\$0	\$0	\$557	\$557
<b>Subtotal</b>	<b>\$974</b>	<b>\$678</b>	<b>\$678</b>	<b>(\$15,630)</b>	<b>(\$1,231)</b>
<b>TOTAL</b>	<b>\$1,384,194</b>	<b>\$1,196,864</b>	<b>\$1,740,690</b>	<b>\$1,677,033</b>	<b>\$1,701,308</b>

Schedule 9B: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #16V-Racing Cash Fund  
Section 12-60-205 (1), C.R.S. (2010)

Schedule 9.B Compliance Plan	
Action	The Division's FY 2009-10 expenditures were lower than originally projected at fee setting. The Division experienced multiple vacancies and received approval for a negative 6.8 FTE supplemental in the amount of \$323,823 given that the Dog Racing Industry did not operate in Calendar Year 2009.
Plan Description	It is anticipated that 2010-11, 2011-12, and 2012-13 expenditure levels will remain less than originally projected at fee setting.
Assumptions and Calculations	Actual cash flow and expenditure projections for FY 2011-12 and FY 2012-13 indicate that the fund balance will stabilize and comply with Section 24-75-402, C.R.S. (2009) without the need to adjust current fee levels.

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #192-Auto Dealers License Fund  
Section 12-6-123 (1), C.R.S. (2010)

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$567,033</b>	<b>\$345,825</b>	<b>\$1,223,961</b>	<b>\$1,011,369</b>	<b>\$305,530</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$2,280,761	\$3,473,045	\$2,526,503	\$2,526,503	\$2,526,503
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	(\$2,965)	(\$15,290)	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$2,277,796</b>	<b>\$3,457,755</b>	<b>\$2,526,503</b>	<b>\$2,526,503</b>	<b>\$2,526,503</b>
Actual / appropriated / projected cash expenditures	\$2,461,579	\$2,618,707	\$2,739,095	\$2,732,341	\$2,801,458
Actual / anticipated cash used to pay short-term liabilities	\$37,322	(\$39,098)	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$104	\$11	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$2,499,005</b>	<b>\$2,579,619</b>	<b>\$2,739,095</b>	<b>\$2,732,341</b>	<b>\$2,801,458</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$345,825</b>	<b>\$1,223,961</b>	<b>\$1,011,369</b>	<b>\$805,530</b>	<b>\$30,576</b>
OSPB Budget Balancing Transfer to the General Fund for FY 2011-12	N/A	N/A	N/A	\$500,000	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$500,000</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$345,825</b>	<b>\$1,223,961</b>	<b>\$1,011,369</b>	<b>\$305,530</b>	<b>\$30,576</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Fee Name	\$335	\$635	\$415	\$415	\$415
Buyer's Agent - Original	\$275	\$525	\$340	\$340	\$340
Buyer's Agent - Renewal	\$335	\$635	\$415	\$415	\$415
Wholesale Auctions - Original	\$275	\$525	\$340	\$340	\$340
Wholesale Auctions - Renewal	\$335	\$635	\$415	\$415	\$415

# Schedule 9A: Cash Funds Reports

## Department of Revenue

### FY 2011-12 Budget Request

Fund #192-Auto Dealers License Fund

Section 12-6-123 (1), C.R.S. (2010)

Wholesale Auctions - Powersports Original	\$275	\$525	\$340	\$340	\$340
Wholesale Auctions - Powersports Renewal	\$335	\$635	\$415	\$415	\$415
New Dealers - Original	\$275	\$525	\$340	\$340	\$340
New Dealers - Renewal	\$335	\$635	\$415	\$415	\$415
New Dealers - Powersports Original	\$275	\$525	\$340	\$340	\$340
New Dealers - Powersports Renewal	\$335	\$635	\$415	\$415	\$415
Used Dealers - Original	\$275	\$525	\$340	\$340	\$340
Used Dealers - Renewal	\$335	\$635	\$415	\$415	\$415
Used Dealers - Powersports Original	\$275	\$525	\$340	\$340	\$340
Used Dealers - Powersports Renewal	\$335	\$635	\$415	\$415	\$415
Wholesalers - Original	\$275	\$525	\$340	\$340	\$340
Wholesalers - Renewal	\$335	\$635	\$415	\$415	\$415
Wholesalers - Powersports Original	\$275	\$525	\$340	\$340	\$340
Wholesalers - Powersports Renewal	\$140	\$265	\$170	\$170	\$170
Additional Locations	\$140	\$265	\$170	\$170	\$170
Additional Locations - Powersports	\$80	\$150	\$100	\$100	\$100
Address Change	\$80	\$150	\$100	\$100	\$100
Address Change - Powersports	\$80	\$150	\$100	\$100	\$100
Class Change	\$80	\$150	\$100	\$100	\$100
Class Change - Powersports	\$80	\$150	\$100	\$100	\$100
Name Change	\$80	\$150	\$100	\$100	\$100
Name Change - Powersports	\$80	\$150	\$100	\$100	\$100
Ownership Change	\$80	\$150	\$100	\$100	\$100
Ownership Change - Powersports	\$60	\$115	\$250	\$250	\$250
Off-Premise	\$60	\$115	\$250	\$250	\$250
Off-Premise - Powersports	\$145	\$275	\$180	\$180	\$180
Temporary Out of State	\$80	\$150	\$100	\$100	\$100
Salesperson - Original	\$60	\$115	\$75	\$75	\$75
Salesperson - Renewal	\$60	\$115	\$75	\$75	\$75
Salesperson - Reissue	\$60	\$115	\$75	\$75	\$75
Salesperson - Multiple	\$335	\$635	\$415	\$415	\$415
Manufacturers - Original	\$275	\$525	\$340	\$340	\$340
Manufacturers - Renewal	\$335	\$635	\$415	\$415	\$415
Manufacturers - Powersports Original	\$275	\$525	\$340	\$340	\$340
Manufacturers - Powersports Renewal	\$335	\$635	\$415	\$415	\$415
Manufacturers Distributor - Original	\$275	\$525	\$340	\$340	\$340

## Schedule 9A: Cash Funds Reports

### Department of Revenue

#### FY 2011-12 Budget Request

Fund #192-Auto Dealers License Fund

Section 12-6-123 (1), C.R.S. (2010)

Manufacturers Distributor - Renewal	\$335	\$635	\$415	\$415	\$415
Manufacturers Distributor - Powersports Original	\$275	\$525	\$340	\$340	\$340
Manufacturers Distributor - Powersports Renewal	\$80	\$150	\$100	\$100	\$100
Manufacturers' Representative - Original	\$60	\$115	\$75	\$75	\$75
Manufacturers' Representative - Renewal	\$80	\$150	\$100	\$100	\$100
Manufacturers' Representative - Powersports Originals	\$60	\$115	\$75	\$75	\$75
Manufacturers' Representative - Powersports Renewals					

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$345,825	\$1,223,961	\$1,011,369	\$305,530	\$30,576
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$406,160	\$432,087	\$451,951	\$450,836	\$462,241
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>(\$60,336)</b>	<b>\$791,874</b>	<b>\$559,418</b>	<b>(\$145,306)</b>	<b>(\$431,665)</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2009)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	To pay direct and indirect expenses of the Motor Vehicle Dealer Licensing Board and the Auto Industry Division, which serves as the field staff for the Board.
Fee Sources	Licensing Fees
Non-Fee Sources	Not Applicable
Long Bill Groups Supported by Fund	Executive Director's Office, Central Department Operations, Information Technology Division, Enforcement Business Group Administration, Motor Vehicle Dealer Licensing Board
Non-appropriated Fund Obligations	Background Check Fees (included in 'Fund Expenditures Line Item Detail' under Operating Expenses).

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #192-Auto Dealers License Fund  
Section 12-6-123 (1), C.R.S. (2010)

Statutory or Other Restriction on Use of Fund	Sections 12-6-121.5 and 12-6-123, C.R.S. (2009)
Revenue Drivers	Projected expenditure for the next fiscal year drive the level of licensing fees. These are set annually by the Motor Vehicle Dealer Licensing Board.
Expenditure Drivers	Normal inflationary increases for common policy appropriations and salary survey.
Explanation of any Long-term Liability Funding Requirements	Not Applicable

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Executive Director's Office</b>					
Health, Life, Dental	\$129,072	\$153,761	\$145,961	\$179,608	\$183,559
Short-term Disability	\$1,871	\$2,301	\$2,372	\$2,639	\$2,697
S.B. 04-257 Amortization Equalization Disbursement	\$22,130	\$29,288	\$36,724	\$41,743	\$41,826
S.B. 06-235 Supplemental Amoritization Equalization Disbursement	\$10,144	\$18,328	\$26,778	\$33,544	\$33,712
Salary Survey and Senior Executive Service	\$49,095	\$0	\$0	\$0	\$0
Performance-based Pay Awards	\$21,861	\$0	\$0	\$0	\$0
Shift Differential	\$0	\$0	\$0	\$0	\$0
Workers' Compensation	\$13,909	\$10,555	\$10,866	\$17,028	\$17,403
Capital Outlay	\$0	\$9,937	\$0	\$0	\$0
Variable Vehicle Payments	\$25,464	\$18,381	\$25,464	\$18,381	\$18,785
Legal Services	\$117,593	\$108,703	\$120,604	\$108,703	\$111,094
Administrative Law Judge Services	\$7,238	\$8,808	\$14,756	\$4,114	\$4,205
Purchase of Services from Computer Center	\$0	\$0	\$0	\$0	\$0
Multiuse Network Payments	\$801	\$801	\$18,364	\$5,939	\$6,070
Payments to Risk Management and Property Funds	\$5,269	\$3,400	\$1,146	\$4,035	\$4,124
Vehicles Lease Payments	\$17,462	\$16,162	\$18,992	\$18,992	\$19,410
Leased Space	\$15,407	\$14,960	\$16,769	\$17,034	\$17,409
Capitol Complex Leased Space	\$35,543	\$34,377	\$33,351	\$39,788	\$40,663
Communication Services Payments	\$3,559	\$3,606	\$3,707	\$4,351	\$4,446
Utilities	\$0	\$0	\$0	\$0	\$0
<b>Division Subtotal</b>	<b>\$476,418</b>	<b>\$433,367</b>	<b>\$475,854</b>	<b>\$495,899</b>	<b>\$505,404</b>
<b>Motor Vehicle Dealer Licensing Board</b>					



# Schedule 9A: Cash Funds Reports

## Department of Revenue

### FY 2011-12 Budget Request

Fund #192-Auto Dealers License Fund

Section 12-6-123 (1), C.R.S. (2010)

Personal Services	\$1,553,445	\$1,677,806	\$1,789,582	\$1,827,680	\$1,827,680
Operating Expenses (includes background check fees)	\$77,689	\$150,617	\$119,023	\$119,023	\$119,023
<b>Division Subtotal</b>	<b>\$1,631,133</b>	<b>\$1,828,423</b>	<b>\$1,908,605</b>	<b>\$1,946,703</b>	<b>\$1,946,703</b>
<b>Division and Department Indirect Costs</b>					
Enforcement Business Group Administration	\$128,080	\$128,232	\$132,573	\$118,983	\$121,601
Executive Director's Office	\$88,124	\$98,990	\$98,068	\$94,071	\$96,141
Central Department Operations	\$21,617	\$24,367	\$24,648	\$24,993	\$25,543
Information Technology Division	\$98,050	\$83,752	\$2,307	\$2,307	\$2,358
Programming Costs for 2009 Session Legislation	\$0	\$4,945	TBD	TBD	TBD
Division of Motor Vehicles	\$3,982	\$4,088	\$4,049	\$239	\$244
<b>Subtotal</b>	<b>\$339,853</b>	<b>\$344,374</b>	<b>\$261,645</b>	<b>\$240,593</b>	<b>\$245,886</b>
<b>OIT Costs</b>					
Purchase of Services from Computer Center	N/A	N/A	\$70,367	\$68,646	\$80,419
Multiuse Network Payments	N/A	N/A	\$4,634	\$4,634	\$4,736
Management and Administration of OIT	N/A	N/A	\$5,447	\$5,364	\$6,027
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$80,448</b>	<b>\$78,644</b>	<b>\$91,182</b>
<b>Other Costs</b>					
Postage	\$13,526	\$12,543	\$12,543	\$12,543	\$12,543
Capital Construction-Fire Supression System	\$649	\$0	\$0	\$0	\$0
OIT Common Policy Base Adjustment	\$0	\$0	\$0	\$0	\$0
NP - Statewide PERA Adjustment	\$0	\$0	\$0	(\$41,781)	\$0
NP - Annual Fleet Vehicle Replacement	\$0	\$0	\$0	(\$260)	-\$260
<b>Subtotal</b>	<b>\$14,174</b>	<b>\$12,543</b>	<b>\$12,543</b>	<b>(\$29,498)</b>	<b>\$12,283</b>
<b>TOTAL</b>	<b>\$2,461,579</b>	<b>\$2,618,707</b>	<b>\$2,739,095</b>	<b>\$2,732,341</b>	<b>\$2,801,458</b>

## Schedule 9A: Cash Funds Reports

### Department of Revenue

#### FY 2011-12 Budget Request

Fund #236-Liquor Enforcement Division and State Licensing Authority Cash Fund

Section 24-35-401, C.R.S. (2010)

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$785,727</b>	<b>\$828,966</b>	<b>\$938,991</b>	<b>\$703,750</b>	<b>\$304,529</b>
Actual / anticipated accounts receivable collections	\$1,098	(\$6,553)	\$0	\$0	\$0
Actual / anticipated fees collections	\$2,159,768	\$2,231,887	\$2,081,887	\$2,081,887	\$2,081,887
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$2,160,866</b>	<b>\$2,225,334</b>	<b>\$2,081,887</b>	<b>\$2,081,887</b>	<b>\$2,081,887</b>
Actual / appropriated / projected cash expenditures	\$2,021,940	\$2,116,186	\$2,317,128	\$2,331,108	\$2,377,879
Actual / anticipated cash used to pay short-term liabilities	\$95,288	(\$238)	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$399	(\$639)	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$2,117,627</b>	<b>\$2,115,309</b>	<b>\$2,317,128</b>	<b>\$2,331,108</b>	<b>\$2,377,879</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$828,966</b>	<b>\$938,991</b>	<b>\$703,750</b>	<b>\$454,529</b>	<b>\$8,537</b>
OSPB Budget Balancing Transfer to the General Fund for FY 2011-12	N/A	N/A	N/A	\$150,000	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$150,000</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$828,966</b>	<b>\$938,991</b>	<b>\$703,750</b>	<b>\$304,529</b>	<b>\$8,537</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Portion of Liquor Fees deposited into Cash Fund	\$50	\$50	\$50	\$50	\$50
Liquor Application Fees - New License	\$1,025	\$1,025	\$900	\$900	\$900
Liquor Application Fees - Transfer License	\$1,025	\$1,025	\$900	\$900	\$900
Concurrent Review	\$1,125	\$1,125	\$900	\$900	\$900
Portion of 3.2 Beer License Fees deposited into Cash Func	\$50	\$50	\$50	\$50	\$50

## Schedule 9A: Cash Funds Reports

### Department of Revenue

#### FY 2011-12 Budget Request

Fund #236-Liquor Enforcement Division and State Licensing Authority Cash Fund

Section 24-35-401, C.R.S. (2010)

Branch Warehouse Permit	\$100	\$100	\$100	\$100	\$100
New Product Registration	\$5	\$5	\$5	\$5	\$5
Change of Trade Name	\$50	\$50	\$50	\$50	\$50
Modification of Premises	\$150	\$150	\$150	\$150	\$150
Transfer of Location	\$150	\$150	\$150	\$150	\$150
Sole Source Registration	\$100	\$100	\$100	\$100	\$100
Optional Premises Permit	\$100	\$100	\$100	\$100	\$100
Warehouse Storage Permit	\$100	\$100	\$100	\$100	\$100
Bed and Breakfast Permit	\$25	\$25	\$25	\$25	\$25
Art Gallery Permit	\$25	\$25	\$25	\$25	\$25
Duplicate License Fee	\$50	\$50	\$50	\$50	\$50
Wine Shipment Permit	\$50	\$50	\$50	\$50	\$50
Corporate Structure Change	\$100/principal	\$100/principal	\$100/principal	\$100/principal	\$100/principal
Limited Liability Change	\$100/principal	\$100/principal	\$100/principal	\$100/principal	\$100/principal
Wine Festival Permit	\$25	\$25	\$25	\$25	\$25
Resort Complex Permit	\$75	\$75	\$75	\$75	\$75
Master Files	\$250+/principal	\$250+/principal	\$250+/principal	\$250+/principal	\$250+/principal

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$828,966	\$938,991	\$703,750	\$304,529	\$8,537
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$333,620	\$349,171	\$382,326	\$384,633	\$392,350
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$495,346</b>	<b>\$589,820</b>	<b>\$321,424</b>	<b>(\$80,104)</b>	<b>(\$383,813)</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2009)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	To pay the direct and indirect expenses of the Liquor Enforcement Division, which regulates the sale and distribution of alcoholic beverages in Colorado.

## Schedule 9A: Cash Funds Reports

### Department of Revenue

#### FY 2011-12 Budget Request

Fund #236-Liquor Enforcement Division and State Licensing Authority Cash Fund

Section 24-35-401, C.R.S. (2010)

Fee Sources	Licensing, application, and permit fees
Non-Fee Sources	Not Applicable since this fund doesn't receive non-fee sources of revenue
Long Bill Groups Supported by Fund	Executive Director's Office, Central Department Operations, Information Technology Division, Enforcement Business Group Administration, Liquor Enforcement Division
Non-appropriated Fund Obligations	Not Applicable since this fund doesn't support any non-appropriated fund obligations.
Statutory or Other Restriction on Use of Fund	Sections 12-47-502 and 24-35-401, C.R.S. (2009)
Revenue Drivers	Projected expenditures for the next fiscal year drive the level of licensing fees, some of which are set in the Colorado Revised Statutes and others which are set by the Department.
Expenditure Drivers	Normal inflationary increases for common policy appropriations and salary survey.
Explanation of any Long-term Liability Funding Requirements	Not Applicable since this fund doesn't have any long-term liability funding requirements.

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Executive Director's Office</b>					
Health, Life, Dental	\$106,011	\$107,353	\$109,191	\$118,323	\$120,926
Short-term Disability	\$1,658	\$1,856	\$1,931	\$2,267	\$2,317
S.B. 04-257 Amortization Equalization Disbursement	\$19,839	\$23,777	\$29,902	\$35,856	\$35,928
S.B. 06-235 Supplemental Amortization Equalization Disbursement	\$9,314	\$14,863	\$21,804	\$28,813	\$28,957
Salary Survey and Senior Executive Service	\$39,747	\$0	\$0	\$0	\$0
Performance-based Pay Awards	\$19,678	\$0	\$0	\$0	\$0
Shift Differential	\$0	\$0	\$0	\$0	\$0
Workers' Compensation	\$10,053	\$8,671	\$8,091	\$12,681	\$12,960
Capital Outlay	\$15,610	\$32,267	\$0	\$0	\$0
Variable Vehicle Payments	\$26,772	\$24,467	\$26,772	\$24,467	\$25,005
Legal Services	\$44,501	\$64,218	\$49,083	\$64,218	\$65,631
Administrative Law Judge Services	\$0	\$0	\$0	\$0	\$0

# Schedule 9A: Cash Funds Reports

## Department of Revenue

### FY 2011-12 Budget Request

Fund #236-Liquor Enforcement Division and State Licensing Authority Cash Fund

Section 24-35-401, C.R.S. (2010)

Purchase of Services from Computer Center	\$0	\$0	\$0	\$0	\$0
Multiuse Network Payments	\$2,671	\$2,670	\$19,139	\$4,423	\$4,520
Payments to Risk Management and Property Funds	\$4,424	\$2,987	\$1,032	\$3,346	\$3,420
Vehicles Lease Payments	\$21,098	\$19,658	\$21,555	\$21,555	\$22,029
Leased Space	\$10,705	\$10,227	\$15,943	\$16,833	\$17,204
Capitol Complex Leased Space	\$36,444	\$35,260	\$34,220	\$24,647	\$25,189
Communication Services Payments	\$7,373	\$6,953	\$7,148	\$7,342	\$7,503
Utilities	\$0	\$0	\$0	\$0	\$0
<b>Division Subtotal</b>	<b>\$375,898</b>	<b>\$355,227</b>	<b>\$345,811</b>	<b>\$364,771</b>	<b>\$371,589</b>
<b>Liquor Enforcement Division</b>					
Personal Services	\$1,358,291	\$1,464,979	\$1,662,312	\$1,687,853	\$1,687,853
Operating Expenses	\$50,954	\$60,105	\$56,326	\$56,326	\$56,326
<b>Division Subtotal</b>	<b>\$1,409,245</b>	<b>\$1,525,084</b>	<b>\$1,718,638</b>	<b>\$1,744,179</b>	<b>\$1,744,179</b>
<b>Division and Department Indirect Costs</b>					
Enforcement Business Group Administration	\$86,297	\$86,392	\$89,284	\$88,554	\$89,284
Executive Director's Office	\$59,218	\$66,226	\$72,772	\$70,053	\$72,772
Central Department Operations	\$14,526	\$16,301	\$18,290	\$18,612	\$18,290
Information Technology Division	\$65,888	\$56,031	\$1,712	\$1,712	\$1,712
<b>Subtotal</b>	<b>\$225,929</b>	<b>\$224,950</b>	<b>\$182,058</b>	<b>\$178,931</b>	<b>\$182,058</b>
<b>OIT Costs</b>					
Purchase of Services from Computer Center	N/A	N/A	\$52,216	\$50,939	\$59,676
Multiuse Network Payments	N/A	N/A	\$3,438	\$3,438	\$3,514
Management and Administration of OIT	N/A	N/A	\$4,042	\$3,981	\$4,473
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$59,696</b>	<b>\$58,358</b>	<b>\$67,663</b>
<b>Other Costs</b>					
Postage	\$10,435	\$10,925	\$10,925	\$10,925	\$10,925
Capital Construction-Fire Suppression System	\$434	\$0	\$0	\$0	\$0
OIT Common Policy Based Adjustments	\$0	\$0	\$0	\$7,848	\$0
NP - Statewide PERA Adjustment	\$0	\$0	\$0	(\$35,369)	\$0
NP - Annual Fleet Vehicle Replacement	\$0	\$0	\$0	\$1,465	\$1,465
<b>Subtotal</b>	<b>\$10,869</b>	<b>\$10,925</b>	<b>\$10,925</b>	<b>(\$15,131)</b>	<b>\$12,390</b>
<b>TOTAL</b>	<b>\$2,021,940</b>	<b>\$2,116,186</b>	<b>\$2,317,128</b>	<b>\$2,331,108</b>	<b>\$2,377,879</b>

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #401-Limited Gaming Fund  
Section 12-47.1-701 (1), C.R.S. (2010)

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Cash in Beginning Fund Balance <sup>1</sup></b>	<b>\$92,772,394</b>	<b>\$78,600,516</b>	<b>\$81,641,561</b>	<b>\$80,836,901</b>	<b>\$80,074,826</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$96,997,669	\$109,823,600	\$126,297,140	\$126,297,140	\$126,297,140
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$405,198	(\$896,668)	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$97,402,867</b>	<b>\$108,926,932</b>	<b>\$126,297,140</b>	<b>\$126,297,140</b>	<b>\$126,297,140</b>
Actual / appropriated / projected cash expenditures	\$96,532,811	\$109,114,941	\$127,101,800	\$127,059,215	\$127,246,188
Actual / anticipated cash used to pay short-term liabilities	\$15,051,794	(\$3,228,858)	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	(\$9,860)	(\$196)	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$111,574,745</b>	<b>\$105,885,887</b>	<b>\$127,101,800</b>	<b>\$127,059,215</b>	<b>\$127,246,188</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$78,600,516</b>	<b>\$81,641,561</b>	<b>\$80,836,901</b>	<b>\$80,074,826</b>	<b>\$79,125,778</b>
Decision Item #1 - "Sample A"	N/A	N/A	N/A	\$0	\$0
Decision Item #2 - "Sample B"	N/A	N/A	N/A	\$0	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$78,600,516</b>	<b>\$81,641,561</b>	<b>\$80,836,901</b>	<b>\$80,074,826</b>	<b>\$79,125,778</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
New Support License/Application	\$100/2yrs.	\$100/2yrs.	\$100/2yrs.	\$100/2yrs.	\$100/2yrs.
Renewal Support License /Application	\$60/2 yrs.	\$60/2 yrs.	\$60/2 yrs.	\$60/2 yrs.	\$60/2 yrs.
New Key License/Application	\$250/2 yrs.	\$250/2 yrs.	\$250/2 yrs.	\$250/2 yrs.	\$250/2 yrs.
Renewal Key License/Application	\$200/2 yrs.	\$200/2 yrs.	\$200/2 yrs.	\$200/2 yrs.	\$200/2 yrs.
Retailer Type I License/Application	\$2,250/yr.	\$4,500/2 yrs.	\$4,500/2 yrs.	\$4,500/2 yrs.	\$4,500/2 yrs.
Retailer Type II License/Application	\$3,250/yr.	\$6,500/2 yrs.	\$6,500/2 yrs.	\$6,500/2 yrs.	\$6,500/2 yrs.

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Operator Type I License/Application	\$1,500/yr.	\$3,000/2 yrs.	\$3,000/2 yrs.	\$3,000/2 yrs.	\$3,000/2 yrs.
Operator Type II License/Application	\$2,000/yr.	\$6,000/2 yrs.	\$6,000/2 yrs.	\$6,000/2 yrs.	\$6,000/2 yrs.
Manufacturer/Distributor Type I License/Application	\$1,500/yr.	\$3,000/2 yrs.	\$3,000/2 yrs.	\$3,000/2 yrs.	\$3,000/2 yrs.
Manufacturer/Distributor Type II License/Application	\$2,000/yr.	\$6,000/2 yrs.	\$6,000/2 yrs.	\$6,000/2 yrs.	\$6,000/2 yrs.
Key Background Deposit	\$1,000/Initial	\$1,000/Initial	\$1,000/Initial	\$1,000/Initial	\$1,000/Initial
Business Background Type I Deposit	\$5,000/initial	\$5,000/initial	\$5,000/initial	\$5,000/initial	\$5,000/initial
Business Background Type II Deposit	\$10,000/initial	\$10,000/initial	\$10,000/initial	\$10,000/initial	\$10,000/initial

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	N/A	N/A	N/A	N/A	N/A
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	N/A	N/A	N/A	N/A	N/A
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2009)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	The Limited Gaming Fund is established in both Article XVIII, Section 9 (5)(a) of the Colorado Constitution and Section 12-47.1-701, C.R.S. (2009). The Colorado Limited Gaming Control Commission has approval of any direct and indirect costs paid out of this fund, which includes all operating expenses of both the Commission and the Limited Gaming Division. Article XVIII, Section 9 (5)(b)(II) of the Colorado Constitution defines the year-end balance of the Limited Gaming Fund and states that all remaining revenues shall be distributed as required by law.
Fee Sources	Licensing and application fees, background investigation deposit fees, and miscellaneous fees.
Non-Fee Sources	Gaming Adjusted Gross Proceeds (AGP) Tax, fines and interest.

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #401-Limited Gaming Fund  
Section 12-47.1-701 (1), C.R.S. (2010)

Long Bill Groups Supported by Fund	<b>Department of Higher Education:</b> State Historical Society <b>Department of Public Safety:</b> Executive Director's Office, Colorado State Patrol, Colorado Bureau of Investigation, Division of Fire Safety <b>Department of Local Affairs:</b> Executive Director's Office, Local Government <b>Department of Revenue:</b> Executive Director's Office, Central Department Operations, Information Technology Division, Enforcement Business Group Administration <b>Department of Transportation:</b> Gaming Impacts
Non-appropriated Fund Obligations	Transfers according to Section 12-47.1-701, C.R.S. (2009)
Statutory or Other Restriction on Use of Fund	According to the provisions of Section 12-47.1-701, C.R.S. (2009)
Revenue Drivers	The Limited Gaming Control Commission is responsible for setting the Gaming Adjusted Gross Proceeds (AGP) Tax every year. The health of the State's economy also directly impacts the amount of consumer spending on gaming activities.
Expenditure Drivers	These include revenue distributions to recipients at year-end, as well as normal inflationary increases for common policy appropriations and salary survey.
Explanation of any Long-term Liability Funding Requirements	Not applicable since this fund doesn't have any long-term liability funding requirements.

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Executive Director's Office</b>					
Health, Life, Dental	\$367,981	\$406,492	\$413,966	\$496,490	\$507,413
Short-term Disability	\$6,622	\$7,109	\$7,700	\$9,870	\$10,087
S.B. 04-257 Amortization Equalization Disbursement	\$81,506	\$91,730	\$119,231	\$156,132	\$156,444
S.B. 06-235 Supplemental Amoritization Equalization Disbursement	\$38,206	\$57,331	\$86,939	\$125,464	\$126,091
Salary Survey and Senior Executive Service	\$165,793	\$0	\$0	\$0	\$0
Performance-based Pay Awards	\$72,873	\$0	\$0	\$0	\$0
Shift Differential	\$0	\$0	\$0	\$0	\$0
Workers' Compensation	\$39,050	\$28,445	\$35,448	\$55,553	\$56,775
Capital Outlay	\$22,578	\$0	\$0	\$0	\$0
Variable Vehicle Payments	\$23,930	\$62,155	\$83,039	\$62,155	\$63,522
Legal Services	\$138,050	\$132,878	\$109,257	\$132,878	\$133,144
Administrative Law Judge Services	\$0	\$0	\$0	\$0	\$0
Purchase of Services from Computer Center	\$0	\$0	\$0	\$0	\$0
Multiuse Network Payments	\$23,501	\$23,501	\$57,881	\$47,881	\$48,934
Payments to Risk Management and Property Funds	\$17,071	\$11,050	\$4,242	\$13,855	\$14,160
Vehicles Lease Payments	\$53,776	\$81,897	\$81,897	\$81,897	\$83,699
Leased Space	\$90,807	\$85,620	\$96,684	\$228,453	\$233,479



Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #401-Limited Gaming Fund  
Section 12-47.1-701 (1), C.R.S. (2010)

Capitol Complex Leased Space	\$67,267	\$64,858	\$62,689	\$0	\$0
Communication Services Payments	\$18,813	\$19,057	\$19,594	\$25,560	\$26,122
Utilities	\$16,849	\$19,318	\$25,465	\$25,465	\$25,465
<b>Division Subtotal</b>	<b>\$1,244,673</b>	<b>\$1,091,441</b>	<b>\$1,204,032</b>	<b>\$1,461,653</b>	<b>\$1,485,336</b>
<b>Limited Gaming Division</b>					
Personal Services	\$5,705,604	\$6,084,986	\$6,821,546	\$6,953,639	\$6,953,639
Operating Expenses	\$527,947	\$362,426	\$887,228	\$613,084	\$613,084
Licensure Activities	\$130,520	\$123,096	\$181,497	\$181,497	\$181,497
Investigations	\$28,712	\$64,961	\$263,964	\$263,964	\$263,964
Payments to Other State Agencies	\$3,027,091	\$3,200,581	\$3,853,589	\$3,853,589	\$3,853,589
Distribution to Gaming Cities and Counties	\$85,281,086	\$96,742,402	\$113,166,266	\$113,166,266	\$113,166,266
Indirect Cost Assessment	\$566,008	\$610,868	\$705,049	\$685,049	\$707,156
<b>Division Subtotal</b>	<b>\$95,266,968</b>	<b>\$107,189,321</b>	<b>\$125,879,139</b>	<b>\$125,717,088</b>	<b>\$125,739,195</b>
<b>Division and Department Indirect Costs</b>					
Enforcement Business Group Administration-Pots	\$0	\$0	\$13,123	\$12,105	\$12,371
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$13,123</b>	<b>\$12,105</b>	<b>\$12,371</b>
Postage	\$5,279	\$0	\$5,506	\$5,506	\$5,506
Capital Construction-Cripple Creek Building Purchase	\$8,000	\$834,179	\$0	\$0	\$0
Capital Construction-Central City Building	\$7,891	\$0	\$0	\$0	\$0
OIT Common Policy Base Adjustment	\$0	\$0	\$0	\$6,884	\$0
NP - Statewide PERA Adjustment	\$0	\$0	\$0	(\$147,801)	\$0
NP - Annual Fleet Vehicle Replacement	\$0	\$0	\$0	\$3,780	\$3,780
<b>Subtotal</b>	<b>\$21,170</b>	<b>\$834,179</b>	<b>\$5,506</b>	<b>(\$131,631)</b>	<b>\$9,286</b>
<b>TOTAL</b>	<b>\$96,532,811</b>	<b>\$109,114,941</b>	<b>\$127,101,800</b>	<b>\$127,059,215</b>	<b>\$127,246,188</b>

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #404 - Colorado State Titling and Registration Account  
Section 42-1-211 (2), C.R.S. (2010)

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$6,116,183</b>	<b>\$4,206,617</b>	<b>\$3,606,265</b>	<b>\$2,948,174</b>	<b>\$2,492,020</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$8,343,392	\$8,073,871	\$8,105,512	\$8,046,944	\$7,989,105
Actual / anticipated cash transferred in	\$0	\$0	\$2,122,231	\$2,119,252	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	(\$15,457)	(\$43,849)	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$8,327,935</b>	<b>\$8,030,022</b>	<b>\$10,227,743</b>	<b>\$10,166,196</b>	<b>\$7,989,105</b>
Actual / appropriated / projected cash expenditures	\$9,681,192	\$8,758,366	\$10,885,834	\$10,489,288	\$10,718,383
Actual / anticipated cash used to pay short-term liabilities	\$488,238	(\$117,066)	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$68,070	(\$10,925)	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$10,237,500</b>	<b>\$8,630,375</b>	<b>\$10,885,834</b>	<b>\$10,489,288</b>	<b>\$10,718,383</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$4,206,617</b>	<b>\$3,606,265</b>	<b>\$2,948,174</b>	<b>\$2,625,082</b>	<b>(\$237,258)</b>
Decision Item #5 - National Motor Vehicle Title Information System	N/A	N/A	N/A	\$95,000	\$0
Decision Item #6 - County Office Improvements	N/A	N/A	N/A	\$38,062	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$133,062</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$4,206,617</b>	<b>\$3,606,265</b>	<b>\$2,948,174</b>	<b>\$2,492,020</b>	<b>(\$237,258)</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Title Application Fee	\$7.20	\$7.20	\$7.20	\$7.20	\$7.20
CSTARS Portion of Title Application Fee	\$3.20	\$3.20	\$3.20	\$3.20	\$3.20

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #404 - Colorado State Titling and Registration Account  
Section 42-1-211 (2), C.R.S. (2010)

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$4,084,505	\$3,430,565	\$2,948,174	\$2,492,020	(\$237,258)
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$1,597,397	\$1,445,130	\$1,796,163	\$1,730,733	\$1,768,533
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$2,487,108</b>	<b>\$1,985,435</b>	<b>\$1,152,011</b>	<b>\$761,287</b>	<b>(\$2,005,791)</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input checked="" type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2010)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	The Colorado State Titling and Registration Account is authorized by statute to aid the authorized agents of the Department in processing motor vehicle registration and title documents, as well as to establish, operate, and maintain a telecommunications network. This is a special purpose account of the Highway User Tax Fund (HUTF), as established in section 42-1-211 (2), C.R.S. (2010).
Fee Sources	Vehicle Title Application Fees and Specific Ownership Taxes
Non-Fee Sources	Not Applicable since this fund does not receive revenue from non-fee sources.
Long Bill Groups Supported by Fund	Executive Director's Office; Central Department Operations; Information Technology Division - Systems Support and CSTARS; and Division of Motor Vehicles - Administration, Driver and Vehicle Services, and Titles
Non-appropriated Fund Obligations	For FY 2010-11 and FY 2011-12, this fund will receive the balance of unexpended and unencumbered funds from the Motorist Insurance Identification Account, pursuant to the requirements of section 42-3-304 (18)(d)(I)(A), C.R.S. (2010)
Statutory or Other Restriction on Use of Fund	These are defined in Sections 42-1-210, 42-1-301, and 42-1-302, C.R.S. (2010)

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #404 - Colorado State Titling and Registration Account  
Section 42-1-211 (2), C.R.S. (2010)

Revenue Drivers	Number of vehicles in Colorado requiring registration and titling.
Expenditure Drivers	Expanding population fosters need for new branch offices and expansion of the office network, potential replacement of existing system, and more title documents imaged.
Explanation of any Long-term Liability Funding Requirements	Investment in long-term capital needs, including updating or replacing the current system, may require alternate revenue sources (new fee, fund transfer, etc).

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Executive Director's Office</b>					
Health, Life, Dental	\$323,356	\$345,367	\$165,832	\$199,850	\$204,247
Short-term Disability	\$3,832	\$4,557	\$2,044	\$2,379	\$2,431
S.B. 04-257 Amortization Equalization Disbursement	\$46,782	\$57,694	\$31,647	\$37,635	\$37,710
S.B. 06-235 Supplemental Amoritization Equalization Disbursement	\$22,009	\$36,068	\$23,076	\$30,242	\$30,393
Salary Survey and Senior Executive Service	\$125,925	\$0	\$0	\$0	\$0
Performance-based Pay Awards	\$48,614	\$0	\$0	\$0	\$0
Shift Differential	\$3,783	\$3,045	\$0	\$0	\$0
Workers' Compensation	\$33,339	\$24,703	\$25,430	\$20,832	\$21,290
Capital Outlay	\$7,949	\$4,001	\$0	\$0	\$0
Variable Vehicle Payments	\$16,979	\$25,708	\$16,979	\$25,708	\$26,274
Legal Services	\$9,251	\$2,566	\$2,645	\$2,566	\$2,622
Administrative Law Judge Services	\$0	\$0	\$0	\$0	\$0
Purchase of Services from Computer Center	\$0	\$0	\$0	\$0	\$0
Multiuse Network Payments	\$1,527,277	\$1,526,500	\$1,590,302	\$1,210,012	\$1,236,632
Payments to Risk Management and Property Funds	\$13,976	\$9,062	\$3,115	\$5,520	\$5,641
Vehicle Lease Payments	\$6,448	\$11,433	\$16,957	\$16,957	\$17,330
Leased Space	\$0	\$0	\$0	\$0	\$0
Capitol Complex Leased Space	\$117,274	\$113,075	\$109,294	\$108,709	\$111,101
Communication Services Payments	\$0	\$0	\$0	\$0	\$0
Utilities	\$0	\$0	\$0	\$0	\$0
<b>Division Subtotal</b>	<b>\$2,306,794</b>	<b>\$2,163,779</b>	<b>\$1,987,321</b>	<b>\$1,660,410</b>	<b>\$1,695,672</b>
<b>Information Technology Division</b>					
Legislative Programming	\$18,496	\$108,512	\$159,942	\$159,942	\$159,942
CSTARS Personal Services	\$2,008,149	\$2,023,143	\$449,429	\$449,429	\$449,429

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #404 - Colorado State Titling and Registration Account  
Section 42-1-211 (2), C.R.S. (2010)

CSTARS Operating Expenses	\$2,377,403	\$1,457,163	\$2,617,535	\$2,617,535	\$2,617,535
County Office Asset Maintenance	\$410,569	\$0	\$568,230	\$568,230	\$568,230
County Office Improvements	\$100,750	\$25,232	\$40,000	\$40,000	\$40,000
<b>Division Subtotal</b>	<b>\$4,915,367</b>	<b>\$3,614,050</b>	<b>\$3,835,136</b>	<b>\$3,835,136</b>	<b>\$3,835,136</b>
<b>Division of Motor Vehicles</b>					
Driver and Vehicle Services Personal Services	\$338,647	\$327,333	\$327,600	\$327,600	\$327,600
Titles Personal Services	\$1,481,298	\$1,503,546	\$1,639,881	\$1,672,848	\$1,672,848
Titles Operating Expenses	\$187,113	\$181,607	\$281,824	\$210,574	\$305,574
<b>Division Subtotal</b>	<b>\$2,007,058</b>	<b>\$2,012,486</b>	<b>\$2,249,305</b>	<b>\$2,211,022</b>	<b>\$2,306,022</b>
<b>Division and Department Indirect Costs</b>					
Executive Director's Office	\$260,953	\$252,703	\$250,541	\$229,173	\$234,215
Central Department Operations	\$64,014	\$62,205	\$62,970	\$60,889	\$62,229
Information Technology Division	\$467,029	\$390,274	\$7,480	\$7,480	\$7,480
Division of Motor Vehicles	\$72,008	\$68,073	\$67,891	\$73,157	\$74,766
<b>Subtotal</b>	<b>\$864,004</b>	<b>\$773,255</b>	<b>\$388,882</b>	<b>\$370,699</b>	<b>\$378,690</b>
<b>OIT Costs</b>					
Purchase of Services from Computer Center	N/A	N/A	\$1,956,575	\$1,956,575	\$2,027,590
Multiuse Network Payments	N/A	N/A	\$123,605	\$123,605	\$126,324
Management and Administration of OIT	N/A	N/A	\$150,215	\$150,215	\$155,005
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,230,395</b>	<b>\$2,230,395</b>	<b>\$2,308,919</b>
<b>Other Costs</b>					
Postage	\$169,895	\$194,795	\$194,795	\$194,795	\$194,795
Capital Construction	(\$581,927)	\$0	\$0	\$0	\$0
OIT Common Policy Adjustment	\$0	\$0	\$0	\$28,821	\$0
NP - Statewide PERA Adjustment	\$0	\$0	\$0	(\$41,139)	\$0
NP - Annual Fleet Vehicle Replacement	\$0	\$0	\$0	(\$851)	(\$851)
<b>Subtotal</b>	<b>(\$412,032)</b>	<b>\$194,795</b>	<b>\$194,795</b>	<b>\$181,626</b>	<b>\$193,944</b>
<b>TOTAL</b>	<b>\$9,681,191</b>	<b>\$8,758,366</b>	<b>\$10,885,834</b>	<b>\$10,489,288</b>	<b>\$10,718,383</b>

Schedule 9B: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #404 - Colorado State Titling and Registration Account  
Section 42-1-211 (2), C.R.S. (2010)

Schedule 9.B Compliance Plan	
Action	Planned Ongoing Expenditures
Plan Description	The Department has implemented stringent cost-savings measures to ensure longer-term viability of the CSTARS Account. As a result, current uncommitted reserves exceed the 16.5% target; however, as illustrated by out-year projections, the fund is at risk of insolvency beginning in FY 2012-13. The Department will continue to pursue long-term solutions while conserving funds in the Account.
Assumptions and Calculations	Not Applicable

# Schedule 9A: Cash Funds Reports

## Department of Revenue

### FY 2011-12 Budget Request

Fund #405- "Driver's License Administration Revocation Account"

Section 42-2-132 (4)(b), C.R.S. (2010)

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$5,288,338	\$5,203,556	\$5,203,556	\$5,229,573	\$5,255,721
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$5,288,338</b>	<b>\$5,203,556</b>	<b>\$5,203,556</b>	<b>\$5,229,573</b>	<b>\$5,255,721</b>
Actual / appropriated / projected cash expenditures	\$4,139,906	\$4,958,136	\$5,080,694	\$5,177,361	\$5,237,334
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$1,148,432	\$245,420	\$122,862	\$52,213	\$18,387
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$5,288,338</b>	<b>\$5,203,556</b>	<b>\$5,203,556</b>	<b>\$5,229,573</b>	<b>\$5,255,721</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Portion of Driver's License Reinstatement per Section 42-2-132 (4)(a)(I)	\$60	\$60	\$60	\$60	\$60
Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$0	\$0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$683,084	\$818,092	\$838,315	\$854,265	\$864,160

## Schedule 9A: Cash Funds Reports

### Department of Revenue

#### FY 2011-12 Budget Request

Fund #405- "Driver's License Administration Revocation Account"

Section 42-2-132 (4)(b), C.R.S. (2010)

<b>Excess Uncommitted Fee Reserve Balance</b>	<b>(\$683,084)</b>	<b>(\$818,092)</b>	<b>(\$838,315)</b>	<b>(\$854,265)</b>	<b>(\$864,160)</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2009)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

### Cash Fund Narrative Information

Purpose/Background of Fund	The Driver's License Administrative Revocation Account is used to collect restoration - often referred to as reinstatement - fees of revoked driver's licenses. These moneys pay for the direct and indirect costs of driver's license restraint administration. This account is created within the Highway Users Tax Fund per Section 42-2-132 (4)(b), C.R.S. (2010).
Fee Sources	Reinstatement fees set forth in Section 42-2-132 (4)(a)(I), C.R.S. (2009)
Non-Fee Sources	Not Applicable since this fund doesn't receive revenue from non-fee sources.
Long Bill Groups Supported by Fund	Executive Director's Office; Central Department Operations; Information Technology Division; Division of Motor Vehicles - Administration; Division of Motor Vehicles - Driver and Vehicle Services; Enforcement Business Group - Administration; and Enforcement Business Group - Hearings Division
Non-appropriated Fund Obligations	Excess revenues revert to the Highway Users Tax Fund per Section 42-2-132 (4)(b), C.R.S. (2010).
Statutory or Other Restriction on Use of Fund	According to the provisions of Section 42-2-132 (4)(b), C.R.S. (2010)
Revenue Drivers	Number of annual driver's license reinstatements.
Expenditure Drivers	Fund expenditures are impacted by direct and indirect costs, as well as DPA common policy adjustments. A FY 2008-09 supplemental was approved to permanently utilize moneys in this account to offset General Fund expenses in Driver Control, which is consistent with pertinent statutes. This supplemental was made permanent through a budget amendment in FY 2009-10.
Explanation of any Long-term Liability Funding Requirements	Not Applicable since this fund doesn't have any long-term liability funding requirements.

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Executive Director's Office</b>					
Health, Life, Dental	\$119,413	\$253,522	\$129,370	\$142,086	\$145,212
Short-term Disability	\$2,226	\$2,562	\$2,560	\$2,864	\$2,927



# Schedule 9A: Cash Funds Reports

## Department of Revenue

### FY 2011-12 Budget Request

Fund #405- "Driver's License Administration Revocation Account"

Section 42-2-132 (4)(b), C.R.S. (2010)

S.B. 04-257 Amortization Equalization Disbursement	\$27,031	\$65,325	\$39,637	\$45,312	\$45,403
S.B. 06-235 Supplemental Amortization Equalization Disbursement	\$12,653	\$40,830	\$28,902	\$36,412	\$36,594
Salary Survey and Senior Executive Service	\$74,339	\$0	\$0	\$0	\$0
Performance-based Pay Awards	\$26,797	\$0	\$0	\$0	\$0
Workers' Compensation	\$15,025	\$11,348	\$11,097	\$17,391	\$17,774
Legal Services	\$78	\$0	\$0	\$0	\$0
Capital Outlay	\$13,452	\$8,179	\$4,840	\$0	\$0
Variable Vehicle Payments	\$7,206	\$5,690	\$7,206	\$5,690	\$5,815
Multiuse Network Payments	\$1,869	\$1,868	\$20,394	\$6,065	\$6,198
Payments to Risk Management and Property Funds	\$5,676	\$3,649	\$1,189	\$3,738	\$3,820
Vehicles Lease Payments	\$2,653	\$6,225	\$6,828	\$6,828	\$6,978
Leased Space	\$32,293	\$30,199	\$40,128	\$34,994	\$35,763
Capitol Complex Leased Space	\$36,419	\$35,214	\$34,150	\$42,700	\$43,640
<b>Subtotal</b>	<b>\$377,130</b>	<b>\$464,611</b>	<b>\$326,301</b>	<b>\$344,080</b>	<b>\$350,124</b>
<b>(5) Division of Motor Vehicles</b>					
(B) Driver and Vehicle Services, Personal Services	\$1,371,438	\$2,033,952	\$2,098,048	\$2,197,483	\$2,197,483
(B) Driver and Vehicle Services, Operating Expenses	\$54,986	\$214,946	\$148,270	\$148,270	\$148,270
<b>Subtotal</b>	<b>\$1,426,424</b>	<b>\$2,248,898</b>	<b>\$2,246,318</b>	<b>\$2,345,753</b>	<b>\$2,345,753</b>
<b>(7) Enforcement Business Group</b>					
(F) Hearings Division, Personal Services	\$1,828,927	\$1,789,274	\$2,008,018	\$2,054,147	\$2,054,147
(F) Hearings Division, Operating Expenses	\$69,235	\$59,611	\$98,938	\$98,938	\$98,938
<b>Subtotal</b>	<b>\$1,898,162</b>	<b>\$1,848,885</b>	<b>\$2,106,956</b>	<b>\$2,153,085</b>	<b>\$2,153,085</b>
<b>Division and Department Indirect Costs</b>					
(1) Executive Director's Office	\$124,549	\$122,343	\$118,860	\$113,753	\$116,256
(2) Central Department Operations Division	\$30,552	\$30,116	\$29,873	\$30,223	\$30,888
(3) Information Technology Division; (A) Systems Support	\$138,578	\$103,510	\$2,797	\$2,797	\$2,859
(5) Division of Motor Vehicles; (A) Administration	\$11,008	\$9,663	\$8,819	\$9,567	\$9,777
(7) Enforcement Business Group; (A) Administration	\$121,907	\$120,979	\$136,321	\$121,515	\$124,188
<b>Subtotal</b>	<b>\$426,594</b>	<b>\$386,611</b>	<b>\$296,670</b>	<b>\$277,855</b>	<b>\$283,968</b>
<b>OIT Costs</b>					
Purchase of Services from Computer Center	N/A	N/A	\$83,200	\$83,200	\$85,030
Multiuse Network Payments	N/A	N/A	\$5,616	\$5,616	\$5,740
Management and Administration of OIT	N/A	N/A	\$6,502	\$6,502	\$6,645
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$95,318</b>	<b>\$95,318</b>	<b>\$97,415</b>
<b>Other Costs</b>					
Postage	\$11,595	\$9,131	\$9,131	\$9,131	\$9,131

# Schedule 9A: Cash Funds Reports

## Department of Revenue

### FY 2011-12 Budget Request

Fund #405- "Driver's License Administration Revocation Account"

Section 42-2-132 (4)(b), C.R.S. (2010)

Capital Construction	\$0	\$0	\$0	\$0	\$0
OIT Common Policy Base Adjustment	\$0	\$0	\$0	\$0	\$0
NP - Statewide PERA Adjustment	\$0	\$0	\$0	(\$45,719)	\$0
NP - Pro-Rated Benefits	\$0	\$0	\$0	(\$2,466)	(\$2,466)
NP - Annual Fleet Vehicle Replacement	\$0	\$0	\$0	\$324	\$324
<b>Subtotal</b>	\$11,595	\$9,131	\$9,131	(\$38,730)	\$6,989
<b>TOTAL</b>	<b>\$4,139,905</b>	<b>\$4,958,136</b>	<b>\$5,080,694</b>	<b>\$5,177,361</b>	<b>\$5,237,334</b>

Schedule 9B: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #405- "Driver's License Administration Revocation Account"  
Section 42-2-132 (4)(b), C.R.S. (2010)

Schedule 9.B Compliance Plan	
Action	None Required
Plan Description	This account is exempt from Section 24-75-402 (3), C.R.S. (2010) according to Section 24-75-402 (5)(h), C.R.S. (2010).
Assumptions and Calculations	Not Applicable

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #405- "Motorist Insurance Identification Account"  
Section 42-3-304 (18)(d)(I), C.R.S. (2010)

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$2,472,815	\$2,437,610	\$2,458,197	\$2,458,197	\$2,458,197
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$2,472,815</b>	<b>\$2,437,610</b>	<b>\$2,458,197</b>	<b>\$2,458,197</b>	<b>\$2,458,197</b>
Actual / appropriated / projected cash expenditures	\$347,498	\$267,835	\$348,456	\$350,576	\$352,903
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$2,125,317	\$2,169,775	\$2,109,741	\$2,107,621	\$2,105,294
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$2,472,815</b>	<b>\$2,437,610</b>	<b>\$2,458,197</b>	<b>\$2,458,197</b>	<b>\$2,458,197</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Motorist Insurance Identification Fee	\$0.50	\$0.50	\$0.50	\$0.50	\$0.50

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$0	\$0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$57,337	\$44,193	\$57,495	\$57,845	\$58,229

## Schedule 9A: Cash Funds Reports

### Department of Revenue

#### FY 2011-12 Budget Request

Fund #405- "Motorist Insurance Identification Account"

Section 42-3-304 (18)(d)(I), C.R.S. (2010)

<b>Excess Uncommitted Fee Reserve Balance</b>	<b>(\$57,337)</b>	<b>(\$44,193)</b>	<b>(\$57,495)</b>	<b>(\$57,845)</b>	<b>(\$58,229)</b>
Assessment of Potential for Compliance (check all that apply)	___ Already in Compliance		___ Statute Change <sup>2</sup>		___ Planned Fee Reduction <sup>2</sup>
	___ Planned One-time Expenditure(s) <sup>1</sup>		___ Planned Ongoing Expenditure(s) <sup>2</sup>		___ Waiver <sup>3</sup>

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2009)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

### Cash Fund Narrative Information

Purpose/Background of Fund	To administer the Motorist Insurance Identification Database Program as created in Section 42-7-604, C.R.S. (2009). The Motorist Insurance Identification Account is designated as a special account per Section 42-3-304 (18)(d)(I), C.R.S. (2010).
Fee Sources	The sole source of revenue for this account is the Motorist Insurance Identification Fee as stipulated in Section 42-3-304 (18)(d)(I), C.R.S. (2010).
Non-Fee Sources	Not Applicable since this fund doesn't receive revenue from non-fee sources.
Long Bill Groups Supported by Fund	Central Appropriations in the Executive Director's Office, Motorist Insurance Identification Database Program, Department and Division Indirect Costs
Non-appropriated Fund Obligations	Excess revenues in this fund at the end of the fiscal year revert to the Highway Users Trust Fund according to Section 42-3-304 (18)(d)(I), C.R.S. (2010), except in fiscal years 2010-11 and 2011-12 when excess revenues will revert to the Colorado State Titling and Registration Account, pursuant to the provisions outlined in HB 10-1341.
Statutory or Other Restriction on Use of Fund	According to Section 42-3-304 (18)(d)(I), C.R.S. (2010)
Revenue Drivers	Revenue is derived from the Motorist Insurance Identification Fee collected during registration of any motor vehicle in the State. Thus, the volume of motor vehicle registrations determines the level of revenue earned.
Expenditure Drivers	Expenses pertaining to cost centers identified under 'Long Bill Groups Supported by Fund'.
Explanation of any Long-term Liability Funding Requirements	Not Applicable since this fund doesn't have any long-term liability funding requirements.

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>(1) Executive Director's Office</b>					
Health, Life, Dental	\$4,243	\$8,192	\$7,969	\$8,523	\$8,711
Short-term Disability	\$50	\$53	\$60	\$55	\$56

Schedule 9A: Cash Funds Reports  
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Fund #405- "Motorist Insurance Identification Account"  
Section 42-3-304 (18)(d)(I), C.R.S. (2010)

S.B. 04-257 Amortization Equalization Disbursement	\$620	\$698	\$930	\$877	\$879
S.B. 06-235 Supplemental Amortization Equalization Disbursement	\$291	\$433	\$678	\$705	\$709
Salary Survey and Senior Executive Service	\$1,406	\$0	\$0	\$0	\$0
Performance-based Pay Awards	\$599	\$0	\$0	\$0	\$0
Multiuse Network Payments	\$0	\$0	\$0	\$211	\$216
Workers' Compensation	\$505	\$375	\$385	\$604	\$617
Payments to Risk Management and Property Funds	\$142	\$83	\$26	\$128	\$131
Capital Complex Leased Space	\$0	\$0	\$0	\$1,712	\$1,750
<b>Subtotal</b>	<b>\$7,856</b>	<b>\$9,834</b>	<b>\$10,048</b>	<b>\$12,815</b>	<b>\$13,067</b>
<b>(5) Division of Motor Vehicles</b>					
(E) Motorist Insurance Identification Database Program, Personal Services	\$285,771	\$267,335	\$329,048	\$329,048	\$330,017
(E) Motorist Insurance Identification Database Program, Operating Expenses	\$433	\$500	\$500	\$500	\$500
<b>Subtotal</b>	<b>\$286,204</b>	<b>\$267,835</b>	<b>\$329,548</b>	<b>\$329,548</b>	<b>\$330,517</b>
<b>Division and Department Indirect Costs</b>					
(1) Executive Director's Office	\$10,015	\$3,485	\$3,465	\$3,336	\$3,409
(2) Central Department Operations		\$857	\$871	\$886	\$905
(3) Information Technology Division		\$2,947	\$82	\$82	\$82
(5) Division of Motor Vehicles	\$1,642	\$1,565	\$1,664	\$1,664	\$1,701
<b>Subtotal</b>	<b>\$11,657</b>	<b>\$8,854</b>	<b>\$6,082</b>	<b>\$5,968</b>	<b>\$6,097</b>
<b>OIT Costs</b>					
Purchase of Services from Computer Center	N/A	N/A	\$2,425	\$2,780	\$2,841
Multiuse Network Payments	N/A	N/A	\$164	\$164	\$168
Management and Administration of OIT	N/A	N/A	\$189	\$208	\$213
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,778</b>	<b>\$3,152</b>	<b>\$3,221</b>
<b>Other Costs</b>					
Postage	\$0	\$0	\$0	\$0	\$0
Capital Construction	\$0	\$0	\$0	\$0	\$0
NP - Statewide PERA Adjustment	\$0	\$0	\$0	(\$907)	\$0
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$907)</b>	<b>\$0</b>
<b>TOTAL</b>	<b>\$305,717</b>	<b>\$286,523</b>	<b>\$348,456</b>	<b>\$350,576</b>	<b>\$352,903</b>

Schedule 9A: Cash Funds Reports  
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FY 2011-12 Budget Request  
Fund #414- "Identification Security Fund"  
Section 42-1-220, C. R. S. (2010)

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$510,068</b>	<b>\$309,179</b>	<b>\$345,026</b>	<b>\$249,082</b>	<b>\$41,893</b>
Actual / anticipated fees collections	\$512,033	\$536,501	\$676,004	\$844,297	\$850,285
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	(\$3,440)	(\$1,525)	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$508,593</b>	<b>\$534,975</b>	<b>\$676,004</b>	<b>\$844,297</b>	<b>\$850,285</b>
Actual / appropriated / projected cash expenditures	\$709,482	\$499,128	\$771,948	\$731,495	\$731,495
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$709,482</b>	<b>\$499,128</b>	<b>\$771,948</b>	<b>\$731,495</b>	<b>\$731,495</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$309,179</b>	<b>\$345,026</b>	<b>\$249,082</b>	<b>\$361,884</b>	<b>\$160,682</b>
Decision Item #2 - Driver's License Document Line Increase	N/A	N/A	\$143,003	\$319,991	\$326,288
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>\$143,003</b>	<b>\$319,991</b>	<b>\$326,288</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$309,179</b>	<b>\$345,026</b>	<b>\$249,082</b>	<b>\$41,893</b>	<b>(\$165,606)</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Identification Security Fee created in Section 42-1-220 (1), C.R.S.	\$0.60	\$0.60	\$0.60	\$0.60	\$0.60

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$309,179	\$345,026	\$249,082	\$41,893	(\$165,606)
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$117,065	\$82,356	\$127,371	\$120,697	\$120,697
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$192,114</b>	<b>\$262,670</b>	<b>\$121,711</b>	<b>(\$78,804)</b>	<b>(\$286,302)</b>

Schedule 9A: Cash Funds Reports  
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FY 2011-12 Budget Request  
Fund #414- "Identification Security Fund"  
Section 42-1-220, C. R. S. (2010)

Assessment of Potential for Compliance (check all that apply)	___ Already in Compliance	___ Statute Change <sup>2</sup>	___ Planned Fee Reduction <sup>2</sup>
	___ Planned One-time Expenditure(s) <sup>1</sup>	___ Planned Ongoing Expenditure(s) <sup>2</sup>	___

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2009)
2. If plan is needed to meet compliance deadline, attach Form 9.B.
3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	Section 42-1-220 (1), C.R.S. (2010), creates a fee and a special purpose account in the Highway Users Tax Fund (HUTF) to pay for enhanced security features pertaining to the issuance of driver's licenses and ID cards.
Fee Sources	Fee created in Section 42-1-220 (1), C.R.S. (2010).
Non-Fee Sources	Not Applicable since this fund doesn't receive revenue from non-fee sources.
Long Bill Groups Supported by Fund	Central Department Operations Division - Operating Expenses; and Division of Motor Vehicles - Drivers License Documents
Non-appropriated Fund Obligations	Not Applicable since this fund doesn't have any non-appropriated fund obligations.
Statutory or Other Restriction on Use of Fund	None at present.
Revenue Drivers	The volume of documents issued, which fluctuates each year.
Expenditure Drivers	The volume of documents issued, which fluctuates each year.
Explanation of any Long-term Liability Funding Requirements	Not Applicable since this fund doesn't have any long-term liability funding requirements.

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>(2) Central Department Operations</b>					
Operating Expenses	\$86,908	\$86,922	\$86,945	\$86,945	\$86,945
<b>Subtotal</b>	<b>\$86,908</b>	<b>\$86,922</b>	<b>\$86,945</b>	<b>\$86,945</b>	<b>\$86,945</b>
<b>(5) Division of Motor Vehicles</b>					
(B) Driver and Vehicle Services, Personal Services	\$3,107	\$0	\$0	\$0	\$0
(B) Driver and Vehicle Services, Operating Expenses	\$125	\$0	\$0	\$0	\$0
(B) Driver and Vehicle Services, Drivers License Documents	\$619,342	\$412,206	\$771,948	\$644,550	\$644,550
<b>Subtotal</b>	<b>\$622,574</b>	<b>\$412,206</b>	<b>\$771,948</b>	<b>\$644,550</b>	<b>\$644,550</b>
<b>Other Costs</b>					
Decision Item #2 - Driver's License Document Line Increase	\$0	\$0	\$143,003	\$319,991	\$326,288
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$143,003</b>	<b>\$319,991</b>	<b>\$326,288</b>
<b>TOTAL</b>	<b>\$709,482</b>	<b>\$499,128</b>	<b>\$1,001,896</b>	<b>\$1,051,486</b>	<b>\$1,057,783</b>



Schedule 9B: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #414- "Identification Security Fund"  
Section 42-1-220, C. R. S. (2010)

Schedule 9.B Compliance Plan	
Action	None Required
Plan Description	This account is exempt from Section 24-75-402 (3), C.R.S. (2010) according to Section 24-75-402 (5)(h), C.R.S. (2010).
Assumptions and Calculations	Not Applicable

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #435- "License Plate Fund"  
Section 42-3-301 (1)(b), C.R.S. (2010)

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$5,979,714	\$4,185,259	\$6,816,383	\$6,987,807	\$7,148,526
Actual / anticipated cash transferred in	\$1,033,336	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	(\$44,329)	\$277,945	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$6,968,722</b>	<b>\$4,463,204</b>	<b>\$6,816,383</b>	<b>\$6,987,807</b>	<b>\$7,148,526</b>
Actual / appropriated / projected cash expenditures	\$5,979,714	\$4,185,259	\$5,120,306	\$4,987,792	\$4,987,792
Actual / anticipated cash used to pay short-term liabilities	\$989,007	(\$175,669)	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$453,614	\$1,696,077	\$2,000,015	\$2,160,734
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$6,968,721</b>	<b>\$4,463,204</b>	<b>\$6,816,383</b>	<b>\$6,987,807</b>	<b>\$7,148,526</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Decision Item #1 - "Sample A"	N/A	N/A	N/A	\$0	\$0
Decision Item #2 - "Sample B"	N/A	N/A	N/A	\$0	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
1. Sequential Embossed Plates	\$1.63	\$1.89	\$1.89	\$1.89	\$1.89
2. Digital Manufactured Plates	\$2.54	\$2.82	\$2.82	\$2.82	\$2.82
3. Year Tabs, Stuffed & Mail Ready	\$0.17	\$0.17	\$0.17	\$0.17	\$0.17
4. Year Tabs, Loose	\$0.11	\$0.11	\$0.11	\$0.11	\$0.11

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #435- "License Plate Fund"  
Section 42-3-301 (1)(b), C.R.S. (2010)

5. Month Tabs, Loose	\$0.11	\$0.11	\$0.11	\$0.11	\$0.11
6. SMM Ownership & SMM Rental Tabs	\$0.25	\$0.25	\$0.25	\$0.25	\$0.25
7. Collector Specific Ownership Tax Tabs	\$0.25	\$0.25	\$0.25	\$0.25	\$0.25
8. Moped Tabs	\$0.25	\$0.25	\$0.25	\$0.25	\$0.25
9. Emergency Vehicle Decals	\$0.25	\$0.25	\$0.25	\$0.25	\$0.25
10. Horseless Carriage Tabs	\$0.25	\$0.25	\$0.25	\$0.25	\$0.25
11. Temporary Permits	\$0.22	\$0.22	\$0.22	\$0.22	\$0.22
12. Temporary Permit Stubs	\$0.22	\$0.22	\$0.22	\$0.22	\$0.22

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$0	\$0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$986,653	\$690,568	\$844,850	\$822,986	\$822,986
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>(\$986,652)</b>	<b>(\$690,568)</b>	<b>(\$844,850)</b>	<b>(\$822,986)</b>	<b>(\$822,986)</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2009)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	To provide funding to offset the Department's License Plate Program as provided in Section 42-3-301, C.R.S. (2010).
Fee Sources	License plates and materials fees associated with the registration of vehicles.
Non-Fee Sources	Not Applicable since this fund doesn't receive revenue from non-fee sources
Long Bill Groups Supported by Fund	License Plate Ordering line item appropriation under the Department of Revenue's Driver and Vehicle Services within the Division of Motor Vehicles.
Non-appropriated Fund Obligations	Per Section 42-3-301, C.R.S. (2010), any unexpended and unencumbered moneys in the License Plate Fund revert to the Highway Users Tax Fund at the end of every fiscal year.
Statutory or Other Restriction on Use of Fund	Restricted to direct cost of plates, products and materials. This includes DOC manufacturing and distribution costs.
Revenue Drivers	Volume of vehicle registrations statewide.

Schedule 9A: Cash Funds Reports  
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Fund #435- "License Plate Fund"  
Section 42-3-301 (1)(b), C.R.S. (2010)

Expenditure Drivers	County license plate demand and volume of vehicle registrants statewide.
Explanation of any Long-term Liability Funding Requirements	Not Applicable since this fund doesn't have any long-term liability funding requirements.

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>(5) Division of Motor Vehicles</b>					
(B) Driver and Vehicle Services, License Plate Ordering	\$5,979,714	\$4,185,259	\$6,550,560	\$6,482,176	\$6,482,176
<b>Division Subtotal</b>	<b>\$5,979,714</b>	<b>\$4,185,259</b>	<b>\$6,550,560</b>	<b>\$6,482,176</b>	<b>\$6,482,176</b>
<b>TOTAL</b>	<b>\$5,979,714</b>	<b>\$4,185,259</b>	<b>\$6,550,560</b>	<b>\$6,482,176</b>	<b>\$6,482,176</b>

Schedule 9B: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #435- "License Plate Fund"  
Section 42-3-301 (1)(b), C.R.S. (2010)

Schedule 9.B Compliance Plan	
Action	None Required
Plan Description	This account is exempt from Section 24-75-402 (3), C.R.S. (2010) according to Section 24-75-402 (5)(h), C.R.S. (2010).
Assumptions and Calculations	Not Applicable

Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #437- "Licensing Services Cash Fund"  
Section 42-2-114.5, C.R.S. (2010)

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Cash in Beginning Fund Balance <sup>1</sup></b>	<b>\$905,573</b>	<b>\$1,389,079</b>	<b>\$2,064,747</b>	<b>\$0</b>	<b>\$0</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$6,190,298	\$17,106,570	\$21,075,493	\$25,848,871	\$10,741,038
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$406,311	\$1,275,289	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$6,596,608</b>	<b>\$18,381,859</b>	<b>\$21,075,493</b>	<b>\$25,848,871</b>	<b>\$10,741,038</b>
Actual / appropriated / projected cash expenditures	\$6,113,102	\$17,921,393	\$23,675,704	\$25,521,622	\$6,955,874
Actual / anticipated cash used to pay short-term liabilities	\$0	(\$215,203)	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$6,113,102</b>	<b>\$17,706,190</b>	<b>\$23,675,704</b>	<b>\$25,521,622</b>	<b>\$6,955,874</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$1,389,079</b>	<b>\$2,064,747</b>	<b>(\$535,464)</b>	<b>\$327,249</b>	<b>\$3,785,164</b>
Decision Item #3 - Driver's License Document Line Increase	N/A	N/A	\$613,710	\$1,373,272	\$1,400,298
Decision Item #4 - Funding Driver and Vehicle Services	N/A	N/A	(\$1,149,174)	(\$11,149,622)	\$0
NP - Statewide PERA Adjustment	N/A	N/A	\$0	(\$391,462)	\$0
NP - Pro-Rated Benefits	N/A	N/A	\$0	(\$2,079)	(\$2,079)
NP - Annual Fleet Vehicle Replacement	N/A	N/A	\$0	(\$4,169)	(\$4,169)
NP - OSPB Budget Balancing: Cash Fund Sweep to General Fund	N/A	N/A	\$0	\$9,800,000	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>(\$535,464)</b>	<b>(\$374,060)</b>	<b>\$1,394,050</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$1,389,079</b>	<b>\$2,064,747</b>	<b>\$0</b>	<b>\$701,309</b>	<b>\$2,391,114</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13

Schedule 9A: Cash Funds Reports  
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Fund #437- "Licensing Services Cash Fund"  
Section 42-2-114.5, C.R.S. (2010)

Special License Plate Fee	\$50.00	\$50.00	\$50.00	\$50.00	\$50.00
Driver's License	\$5.40	\$20.40	\$20.40	\$20.40	\$5.40
County Issued Driver's License	\$3.40	\$12.40	\$12.40	\$12.40	\$3.40
Commercial Driver's License	\$9.40	\$34.40	\$34.40	\$34.40	\$9.40
County Issued Commercial Driver's License	\$7.40	\$26.40	\$26.40	\$26.40	\$7.40
Identification Card	\$9.90	\$9.90	\$9.90	\$9.90	\$9.90
Instruction Permits	\$3.40	\$13.40	\$13.40	\$13.40	\$3.40
First Duplicate Minor License or Instruction Permit	\$1.90	\$6.90	\$6.90	\$6.90	\$1.90
Second Duplicate Minor License or Instruction Permit	\$3.40	\$13.40	\$13.40	\$13.40	\$3.40

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$1,389,079	\$2,064,747	\$0	\$701,309	\$2,391,114
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$1,008,662	\$2,957,030	\$3,906,491	\$4,211,068	\$1,147,719
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$380,417</b>	<b>(\$892,282)</b>	<b>(\$3,906,491)</b>	<b>(\$3,509,759)</b>	<b>\$1,243,395</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2009)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	Created in statute for the administration of personal services and operating expenses incurred in the operation of driver's license offices.
Fee Sources	Special License Plate Fee, Driver's Licenses, Commercial Driver's Licenses, Identification Cards, Instruction Permits, First Duplicate Minor License or Instruction Permit and Second Duplicate Minor License or Instruction Permit
Non-Fee Sources	Not Applicable
Long Bill Groups Supported by Fund	Executive Director's Office; Central Department Operations; Information Technology Division; Division of Motor Vehicles - Administration and Driver and Vehicle Services
Non-appropriated Fund Obligations	Not Applicable
Statutory or Other Restriction on Use of Fund	These are defined by Section 42-2-114.5, C.R.S. (2010)

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Revenue Drivers	Revenue is driven by the volume of customers obtaining special license plates, new/renewal/replacement driver's licenses, instruction permits, commercial driver's licenses/renewals/permits, and ID cards
Expenditure Drivers	Expenditures will be driven by implementation costs of opening new driver's license offices, ongoing operation of existing and new offices, personal services expenses and the production of photo identification cards. Workload is impacted by issuance volume, and other non-issuance customer service provisions.
Explanation of any Long-term Liability Funding Requirements	Not Applicable

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>(1) Executive Director's Office</b>					
Health, Life, Dental	\$243,254	\$147,668	\$1,961,014	\$2,143,654	\$586,914
Short-term Disability	\$2,740	\$19,486	\$22,599	\$25,979	\$7,113
S.B. 04-257 Amortization Equalization Disbursement	\$33,718	\$39,646	\$369,050	\$410,962	\$110,316
S.B. 06-235 Supplemental Amortization Equalization Disbursement	\$15,805	\$136,192	\$268,146	\$330,236	\$88,912
Salary Survey and Senior Executive Service	\$74,517	\$0	\$0	\$0	\$0
Performance-based Pay Awards	\$30,689	\$0	\$0	\$0	\$0
Shift Differential	\$4,631	\$0	\$32,936	\$34,623	\$9,479
Workers' Compensation	\$26,772	\$143,210	\$150,383	\$235,134	\$64,378
Variable Vehicle Payments	\$0	\$23,604	\$20,403	\$39,611	\$10,845
Legal Services	\$0	\$0	\$134,514	\$140,272	\$38,405
Multiuse Network Payments	\$0	\$542,285	\$750,880	\$768,077	\$210,293
Payments to Risk Management and Property Funds	\$7,501	\$31,795	\$13,661	\$47,003	\$12,869
Vehicles Lease Payments	\$0	\$29,390	\$44,728	\$44,728	\$12,246
Leased Space	\$297,534	\$1,164,920	\$1,458,549	\$1,400,288	\$383,387
Capitol Complex Leased Space	\$0	\$196,047	\$215,724	\$346,927	\$94,986
Utilities	\$0	\$75,370	\$118,238	\$118,238	\$32,373
<b>Subtotal</b>	<b>\$737,161</b>	<b>\$2,549,613</b>	<b>\$5,560,825</b>	<b>\$6,085,732</b>	<b>\$1,662,515</b>
<b>(5) Division of Motor Vehicles</b>					
(B) Driver and Vehicle Services, Personal Services	\$4,705,584	\$8,910,548	\$13,693,586	\$13,805,998	\$3,759,887
(B) Driver and Vehicle Services, Operating Expenses	\$188,668	\$545,765	\$1,485,961	\$1,490,066	\$405,800
(B) Driver and Vehicle Services, Drivers License Documents	\$0	\$1,719,986	\$1,792,770	\$1,792,770	\$488,238
<b>Subtotal</b>	<b>\$4,894,252</b>	<b>\$11,176,299</b>	<b>\$16,972,317</b>	<b>\$17,088,834</b>	<b>\$4,653,926</b>
<b>Division and Department Indirect Costs</b>					



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(1) Executive Director's Office	\$165,186	\$184,735	\$183,656	\$1,205,244	\$328,233
(2) Central Department Operations	\$40,521	\$45,474	\$46,161	\$320,222	\$87,208
(3) Information Technology Division	\$183,794	\$156,297	\$4,321	\$4,321	\$1,177
(5) Division of Motor Vehicles	\$92,188	\$87,996	\$192,148	\$650,182	\$177,069
<b>Subtotal</b>	<b>\$481,689</b>	<b>\$474,502</b>	<b>\$426,286</b>	<b>\$2,179,969</b>	<b>\$593,687</b>
<b>OIT Costs</b>					
Purchase of Services from Computer Center	N/A	N/A	\$128,560	\$147,367	\$40,348
Multiuse Network Payments	N/A	N/A	\$8,678	\$8,678	\$2,376
Management and Administration of OIT	N/A	N/A	\$10,044	\$11,042	\$3,023
Legislative Programming for 2010 Session	N/A	N/A	\$23,538	\$0	\$0
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$170,820</b>	<b>\$167,087</b>	<b>\$45,747</b>
<b>Other Costs</b>					
Capital Construction	\$0	\$1,131,086	\$726,914	\$0	\$0
Expenditure Management	\$0	\$0	(\$181,458)	\$0	\$0
SB 09-279 Cash Fund Sweep to General Fund	\$0	\$2,589,894	\$0	\$0	\$0
Decision Item #3 - Driver's License Document Line Increase	\$0	\$0	\$613,710	\$1,373,272	\$1,400,298
Decision Item #4 - Funding Driver and Vehicle Services	\$0	\$0	(\$1,149,174)	(\$11,149,622)	\$0
NP - Statewide PERA Adjustment	\$0	\$0	\$0	(\$391,462)	\$0
NP - Pro-Rated Benefits	\$0	\$0	\$0	(\$2,079)	(\$2,079)
NP - Annual Fleet Vehicle Replacement	\$0	\$0	\$0	\$3,780	\$3,780
NP - OSPB Budget Balancing: Cash Fund Sweep to General Fund	\$0	\$0	\$0	\$9,800,000	\$0
<b>Subtotal</b>	<b>\$0</b>	<b>\$3,720,980</b>	<b>\$9,992</b>	<b>(\$366,111)</b>	<b>\$1,401,999</b>
<b>TOTAL</b>	<b>\$6,113,102</b>	<b>\$17,921,394</b>	<b>\$23,140,240</b>	<b>\$25,155,511</b>	<b>\$8,357,873</b>

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42-2-132 (4)(b)(II)(A), C.R.S. (2010)

Available Liquid Cash Fund Balance	Actual FY 2008-09	Actual FY 2009-10	Estimated FY 2010-11	Requested FY 2011-12	Projected FY 2012-13
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$0</b>	<b>\$588,303</b>	<b>\$1,977,158</b>	<b>\$2,962,727</b>	<b>\$3,766,265</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$2,515,329	\$3,179,104	\$3,179,104	\$3,179,104	\$3,179,104
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	(\$8,607)	(\$1,215)	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$2,506,722</b>	<b>\$3,177,889</b>	<b>\$3,179,104</b>	<b>\$3,179,104</b>	<b>\$3,179,104</b>
Actual / appropriated / projected cash expenditures	\$2,440,654	\$1,298,000	\$2,193,535	\$2,194,720	\$2,225,634
Actual / anticipated cash used to pay short-term liabilities	(\$522,235)	\$491,033	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$1,918,419</b>	<b>\$1,789,034</b>	<b>\$2,193,535</b>	<b>\$2,194,720</b>	<b>\$2,225,634</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$588,303</b>	<b>\$1,977,158</b>	<b>\$2,962,727</b>	<b>\$3,947,111</b>	<b>\$4,719,736</b>
Decision Item #1 - "Sample A"	N/A	N/A	N/A	\$0	\$0
Decision Item #2 - Driver's License Document Line Increase	N/A	N/A	N/A	\$180,846	\$197,396
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$180,846</b>	<b>\$197,396</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$588,303</b>	<b>\$1,977,158</b>	<b>\$2,962,727</b>	<b>\$3,766,265</b>	<b>\$4,522,340</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual FY 2008-09	Actual FY 2009-10	Estimated FY 2010-11	Request FY 2011-12	Projected FY 2012-13
Portion of Driver's License Reinstatement fee per Section 42-2-132 (4)(a)(I)(b)(II)(A), C.R.S.	\$35.00	\$35.00	\$35.00	\$35.00	\$35.00

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Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$588,303	\$1,977,158	\$2,962,727	\$3,766,265	\$4,522,340
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$402,708	\$214,170	\$361,933	\$362,129	\$367,230
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$185,595</b>	<b>\$1,762,988</b>	<b>\$2,600,794</b>	<b>\$3,404,136</b>	<b>\$4,155,110</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2009)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	HB 08-1194 increases the fee for driver's license reinstatement from \$60 to \$95. The increase of \$35 is credited to the First Time Drunk Driving Offenders Account. The bill is expected to increase the number of mandatory revocations, but expands the ability for some drivers to use an ignition interlock device. This equipment prevents a person who has been drinking from starting or operating a motor vehicle.
Fee Sources	An increase in the Driver's License Reinstatement Fee of \$35 is credited to the fund per Section 42-2-132 (4)(b)(II)(A), C.R.S. (2010). It is a subaccount of the Highway Users Tax Fund.
Non-Fee Sources	Not Applicable since this fund doesn't receive revenue from non-fee sources.
Long Bill Groups Supported by Fund	<b>Department of Revenue:</b> Personal Services and Operating Expenses line items in Driver and Vehicle Services; and <b>Department of Transportation:</b> Construction Maintenance and Operations
Non-appropriated Fund Obligations	Not Applicable since this fund doesn't have any non-appropriated fund obligations.
Statutory or Other Restriction on Use of Fund	Revenue earned from the \$35 fee per Section 42-2-132 (4)(b)(II)(B), C.R.S. (2010)
Revenue Drivers	Revenue from this fee correlates directly to the volume of driver's license reinstatements.
Expenditure Drivers	Expenditures are driven by changes to personal services and operating costs, and the number of offenders that qualify for assistance with partial payment of interlock devices.
Explanation of any Long-term Liability Funding Requirements	Not Applicable since this fund doesn't have any long-term liability funding requirements.

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42-2-132 (4)(b)(II)(A), C.R.S. (2010)

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>(1) Executive Director's Office</b>					
Health, Life, Dental	\$0	\$0	\$13,956	\$14,571	\$14,892
Short-term Disability	\$0	\$0	\$241	\$274	\$280
S.B. 04-257 Amortization Equalization Disbursement	\$0	\$0	\$3,736	\$4,339	\$4,348
S.B. 06-235 Supplemental Amortization Equalization Disbursement	\$0	\$0	\$2,724	\$3,487	\$3,504
Workers' Compensation	\$0	\$0	\$0	\$3,261	\$3,333
Multiuse Network Payments	\$0	\$0	N/A	\$1,137	N/A
Payments to Risk Management and Property Funds	\$0	\$0	\$0	\$488	\$499
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$20,657</b>	<b>\$27,557</b>	<b>\$26,855</b>
<b>(5) Division of Motor Vehicles</b>					
(B) Driver and Vehicle Services, Personal Services	\$422,729	\$280,951	\$0	\$0	\$0
(B) Driver and Vehicle Services, Operating Expenses	\$17,925	\$17,049	\$0	\$0	\$0
(F) Ignition Inerlock Program, Personal Services	\$0	\$0	\$210,846	\$215,158	\$219,891
(F) Ignition Inerlock Program, Operating Expenses	\$0	\$0	\$934,842	\$934,842	\$955,409
<b>Subtotal</b>	<b>\$440,654</b>	<b>\$298,000</b>	<b>\$1,145,688</b>	<b>\$1,150,000</b>	<b>\$1,175,300</b>
<b>Division and Department Indirect Costs</b>					
(1) Executive Director's Office	\$0	\$0	\$18,713	\$18,014	\$18,410
(2) Central Department Operations	\$0	\$0	\$4,702	\$4,786	\$4,891
(3) Information Technology Division	\$0	\$0	\$440	\$440	\$450
(5) Division of Motor Vehicles	\$0	\$0	\$8,986	\$8,986	\$9,184
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$32,841</b>	<b>\$32,226</b>	<b>\$32,935</b>
<b>OIT Costs</b>					
Purchase of Services from Computer Center	N/A	N/A	\$13,099	\$15,015	\$15,345
Multiuse Network Payments	N/A	N/A	\$884	\$884	\$903
Management and Administration of OIT	N/A	N/A	\$1,023	\$1,125	\$1,150
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$15,006</b>	<b>\$17,024</b>	<b>\$17,399</b>
<b>Other Costs</b>					
Transfer to the Department of Transportation	\$2,000,000	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000
NP - Statewide PERA Adjustment	\$0	\$0	\$0	(\$4,530)	\$0
<b>Subtotal</b>	<b>\$2,000,000</b>	<b>\$1,000,000</b>	<b>\$1,000,000</b>	<b>\$995,470</b>	<b>\$1,000,000</b>
<b>TOTAL</b>	<b>\$2,440,654</b>	<b>\$1,298,000</b>	<b>\$2,193,535</b>	<b>\$2,194,720</b>	<b>\$2,225,634</b>

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42-2-132 (4)(b)(II)(A), C.R.S. (2010)

Schedule 9.B Compliance Plan	
Action	None Required
Plan Description	This account is exempt from Section 24-75-402 (3), C.R.S. (2010) according to Section 24-75-402 (5)(h), C.R.S. (2010).
Assumptions and Calculations	Not Applicable

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Section 24-35-210 (1), C.R.S. (2010)

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$1,798,156</b>	<b>\$3,859,701</b>	<b>\$5,030,221</b>	<b>\$5,030,221</b>	<b>\$5,030,221</b>
Actual / anticipated accounts receivable collections	(\$1,795,268)	\$868,030	\$0	\$0	\$0
Actual / anticipated sales collections	\$493,364,094	\$501,197,409	\$572,100,000	\$572,100,000	\$572,100,000
Actual / anticipated cash transferred in	\$1,695,136	\$1,494,727	\$1,595,000	\$1,595,000	\$1,595,000
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	(\$2,444,143)	(\$4,433,457)	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$490,819,819</b>	<b>\$499,126,709</b>	<b>\$573,695,000</b>	<b>\$573,695,000</b>	<b>\$573,695,000</b>
Actual / appropriated / projected cash expenditures	\$383,214,463	\$401,059,409	\$438,195,000	\$438,195,000	\$438,195,000
Actual / anticipated cash used to pay short-term liabilities	(\$14,060,044)	(\$16,044,787)	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$119,603,855	\$112,941,567	\$135,500,000	\$135,500,000	\$135,500,000
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$488,758,274</b>	<b>\$497,956,189</b>	<b>\$573,695,000</b>	<b>\$573,695,000</b>	<b>\$573,695,000</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$3,859,701</b>	<b>\$5,030,221</b>	<b>\$5,030,221</b>	<b>\$5,030,221</b>	<b>\$5,030,221</b>
Decision Item #1 - "Sample A"	N/A	N/A	N/A	\$0	\$0
Decision Item #2 - "Sample B"	N/A	N/A	N/A	\$0	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$3,859,701</b>	<b>\$5,030,221</b>	<b>\$5,030,221</b>	<b>\$5,030,221</b>	<b>\$5,030,221</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
N/A					
<b>Cash Fund Reserve Balance<sup>1</sup></b>	<b>Actual</b>	<b>Actual</b>	<b>Estimated</b>	<b>Request</b>	<b>Projected</b>
	<b>FY 2008-09</b>	<b>FY 2009-10</b>	<b>FY 2010-11</b>	<b>FY 2011-12</b>	<b>FY 2012-13</b>

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Fund #503-State Lottery Fund  
Section 24-35-210 (1), C.R.S. (2010)

Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	N/A Lottery fund outlined in 24-75-402(5), C.R.S. (2009)				
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$0	\$0	\$0	\$0	\$0
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Assessment of Potential for Compliance (check all that apply)	___ Already in Compliance ___ Statute Change <sup>2</sup> ___ Planned Fee Reduction <sup>2</sup>				
	___ Planned One-time Expenditure(s) <sup>1</sup> ___ Planned Ongoing Expenditure(s) <sup>2</sup> ___ Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2009)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	The Lottery Fund is established to hold revenues earned through the sale of various lottery tickets. In addition to lottery prizes, Section 24-35-210 (1), C.R.S. (2008) authorizes the State Lottery Division to pay for lottery operating expenses from this fund. Since the Colorado Lottery is designated as an enterprise under Article X, Section 20 of the Colorado Constitution, it is exempt from the requirements of Section 24-75-402, C.R.S.
Fee Sources	Not Applicable - no fees
Non-Fee Sources	Sale of lottery tickets
Long Bill Groups Supported by Fund	Department of Education: Public School Capital Construction Assistance Fund per Section 22-43.7-104(2)(b)(III) C.R.S. Department of Natural Resources: Great Outdoors Colorado, Conservation Trust Fund, State Parks per Section 3 (1) of article XXVII of the state constitution
Non-appropriated Fund Obligations	There are no instructions for what this is.

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FY 2011-12 Budget Request  
Fund #503-State Lottery Fund  
Section 24-35-210 (1), C.R.S. (2010)

Statutory or Other Restriction on Use of Fund	Section 3 (1) of article XXVII of the state constitution requires all proceeds net of prizes and expenses of the state lottery division be distributed to the constitutionally defined recipients after a sufficient amount of money has been reserved to ensure the operation of the lottery for the ensuing period. Section 24-35-210, C.R.S. (2008) requires that net proceeds from the sale of lottery tickets are distributed to various recipients after payment of the expenses of the Division, any applicable prizes, and ensuring that operations for the next fiscal year are covered. The moneys reserved by the State Lottery shall be held in cash and investments. No claim for the payment of any division expense or for any lottery prize can be made unless it is against the Lottery Fund or against moneys collected from the sale of lottery tickets. No other moneys from any other source shall be used to pay the expenses or prize obligations of the State Lottery.
Revenue Drivers	The size of prize jackpots, advertising, and market saturation.
Expenditure Drivers	Higher ticket sales mean both larger payouts and more proceeds for beneficiaries. This often requires a supplemental budget request.
Explanation of any Long-term Liability Funding Requirements	Not Applicable

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13
<b>Executive Director's Office</b>					
Health, Life, Dental	\$630,328	\$656,615	\$665,501	\$671,016	\$685,778
Short-term Disability	\$9,108	\$10,207	\$11,283	\$11,918	\$12,180
S.B. 04-257 Amortization Equalization Disbursement	\$110,147	\$131,004	\$169,100	\$188,526	\$188,903
S.B. 06-235 Supplemental Amortization Equalization Disbursement	\$51,504	\$82,093	\$121,266	\$151,494	\$152,251
Salary Survey and Senior Executive Service	\$0	\$0	\$0	\$0	\$0
Performance-based Pay Awards	\$0	\$0	\$0	\$0	\$0
Shift Differential	\$0	\$0	\$9,749	\$8,249	\$8,431
Workers' Compensation	\$63,645	\$47,158	\$48,548	\$76,084	\$77,758
Capital Outlay	\$32,546	\$0	\$0	\$0	\$0
Variable Vehicle Payments	\$124,200	\$192,785	\$129,168	\$192,785	\$197,026
Legal Services	\$19,502	\$13,640	\$32,473	\$13,640	\$13,940
Administrative Law Judge Services	\$0	\$0	\$0	\$0	\$0
Purchase of Services from Computer Center	\$3,128	\$3,052	\$2,133	\$2,193	\$2,241
Multiuse Network Payments	\$374,676	\$374,676	\$230,994	\$210,811	\$215,449
Payments to Risk Management and Property Funds	\$30,453	\$22,390	\$5,871	\$33,805	\$34,549
Vehicles Lease Payments	\$127,303	\$116,063	\$139,539	\$139,539	\$142,609



Schedule 9A: Cash Funds Reports  
Department of Revenue  
FY 2011-12 Budget Request  
Fund #503-State Lottery Fund  
Section 24-35-210 (1), C.R.S. (2010)

Leased Space	\$671,781	\$692,427	\$617,105	\$662,845	\$677,428
Capitol Complex Leased Space	\$6,902	\$6,996	\$7,201	\$7,183	\$7,341
Communication Services Payments	\$2,034	\$2,060	\$2,118	\$2,175	\$2,223
Utilities	\$0	\$0	\$0	\$0	\$0
<b>Division Subtotal</b>	<b>\$2,257,257</b>	<b>\$2,351,165</b>	<b>\$2,192,049</b>	<b>\$2,372,264</b>	<b>\$2,418,108</b>
<b>State Lottery Division</b>					
Personal Services	\$8,174,474	\$8,099,385	\$8,931,192	\$9,097,620	\$9,097,620
Operating Expenses	\$1,181,797	\$1,000,098	\$1,203,156	\$1,203,156	\$1,203,156
Payments to Other State Agencies	\$141,733	\$130,188	\$239,410	\$239,410	\$239,410
Travel	\$109,458	\$105,120	\$113,498	\$113,498	\$113,498
Marketing and Communications	\$11,664,439	\$14,599,002	\$14,700,000	\$14,700,000	\$14,700,000
Multi-State Lottery Fees	\$101,002	\$103,370	\$177,433	\$177,433	\$177,433
Vendor Fees	\$8,659,163	\$9,623,664	\$12,376,154	\$12,376,154	\$12,376,154
Prizes	\$301,506,498	\$313,919,655	\$400,264,560	\$400,264,560	\$400,264,560
Powerball Prize Variance	\$7,289,207	\$7,575,512	\$12,960,000	\$12,960,000	\$12,960,000
Retailer Compensation	\$36,480,909	\$38,146,774	\$49,290,600	\$49,290,600	\$49,290,600
Ticket Costs	\$2,763,036	\$3,262,844	\$6,284,000	\$6,284,000	\$6,284,000
Research	\$250,000	\$250,000	\$250,000	\$250,000	\$250,000
Indirect Cost Assessment	\$556,672	\$528,898	\$537,749	\$550,140	\$542,209
<b>Division Subtotal</b>	<b>\$378,878,388</b>	<b>\$397,344,510</b>	<b>\$507,327,752</b>	<b>\$507,506,571</b>	<b>\$507,498,640</b>
<b>Other Costs</b>					
Postage	\$4,869	\$4,711	\$4,711	\$4,711	\$4,711
Capital Construction-Lottery System	\$2,073,949	\$1,345,103	\$0	\$0	\$0
Roll Forwards from previous year	\$0	\$13,920	\$0	\$0	\$0
Non-appropriated (TF1)	\$0		\$0	\$0	\$0
NP - Annual Fleet Vehicle Replacement	\$0		\$0	\$10,517	\$10,517
<b>Subtotal</b>	<b>\$2,078,818</b>	<b>\$1,363,734</b>	<b>\$4,711</b>	<b>\$15,228</b>	<b>\$15,228</b>
<b>TOTAL</b>	<b>\$383,214,463</b>	<b>\$401,059,409</b>	<b>\$509,524,512</b>	<b>\$509,894,063</b>	<b>\$509,931,976</b>