

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 1291 - Conservation Easements
12-15-107, C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|------------------|------------------|--------------------|--------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$0 | \$533,611 | \$558,333 | \$291,055 |
| Changes in Cash Assets | \$591,102 | (\$10,663) | (\$267,278) | (\$139,124) |
| Changes in Non-Cash Assets | \$0 | \$645 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$57,491) | \$34,740 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$533,611 | \$24,722 | (\$267,278) | (\$139,124) |
| Assets Total | \$591,102 | \$581,085 | \$313,806 | \$174,682 |
| Cash (B) | \$591,102 | \$580,440 | \$313,161 | \$174,037 |
| Other Assets | \$0 | \$645 | \$645 | \$645 |
| Liabilities Total | \$57,491 | \$22,751 | \$22,751 | \$22,751 |
| Cash Liabilities (C) | \$57,491 | \$22,751 | \$22,751 | \$22,751 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$533,611 | \$558,333 | \$291,055 | \$151,931 |
| Net Cash Assets - (B-C) | \$533,611 | \$557,688 | \$290,410 | \$151,286 |
| Change from Prior Year Fund Balance (D-A) | \$533,611 | \$24,722 | (\$267,278) | (\$139,124) |
| Cash Flow Summary | | | | |
| Revenue Total | \$859,455 | \$256,375 | \$282,995 | \$425,680 |
| Fees and Assessments | \$556,459 | \$256,375 | \$282,995 | \$425,680 |
| Operating Transfer | \$302,996 | \$0 | | |
| Internal | | | | |
| Other | \$0 | \$0 | \$0 | \$0 |

| | Actual | Actual | Appropriated | Requested |
|----------------------|------------|------------|--------------|-------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Expenses Total | \$325,843 | \$231,653 | \$550,273 | \$564,804 |
| Cash Expenditures | \$325,843 | \$231,653 | \$550,273 | \$564,804 |
| Pass Through Federal | \$0 | \$0 | \$0 | \$0 |
| Net Cash Flow | \$533,612 | \$24,722 | (\$267,278) | (\$139,124) |

| Fund Expenditures Line Item Detail | Actual | Actual | Appropriated | Requested |
|------------------------------------------------|------------------|------------------|------------------|------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Executive Director's Office | | | | |
| Workers' Compensation | \$0 | \$1,403 | \$1,310 | \$1,256 |
| Legal Services | \$0 | \$966 | \$1,000 | \$966 |
| Payment to Risk Management and Property Funds | \$0 | \$1,129 | \$962 | \$1,419 |
| Vehicle Lease Payments | \$0 | \$0 | \$0 | \$0 |
| Information Technology Asset Maintenance | \$2,243 | \$1,171 | \$1,177 | \$1,177 |
| Hardware/Software Maintenance | \$0 | \$258 | \$333 | \$333 |
| Leased Space | \$0 | \$19,517 | \$20,465 | \$25,883 |
| Payments to OIT | \$0 | \$23,800 | \$23,327 | \$25,492 |
| CORE Operations (formerly COFRS Modernization) | \$0 | \$1,635 | \$2,281 | \$2,155 |
| PERA Direct Distribution (repealed) | \$0 | \$6,756 | \$0 | \$6,647 |
| Statewide Training | \$0 | \$0 | \$0 | \$59 |
| Conservation Easement | | | | |
| Indirect Cost Assessment | \$0 | \$35,074 | \$34,009 | \$34,009 |
| Conservation Easement Program Costs | \$323,600 | \$139,943 | \$465,410 | \$465,410 |
| Grand Total | \$325,843 | \$231,653 | \$550,273 | \$564,804 |

| Cash Fund Reserve Balance | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees) | \$533,612 | \$558,333 | \$291,055 | \$151,931 |
| Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses) | \$53,764 | \$38,223 | \$90,795 | \$93,193 |

| | Actual | Actual | Appropriated | Requested |
|-----------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|------------------|-----------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Excess Uncommitted Fee Reserve Balance | \$71,997 | \$520,110 | \$200,260 | \$58,738 |
| Compliance Plan (narrative) | With respect to the Conservation Easement fund, the Department intends to reduce fees at the earliest feasible date, and additionally it is expected that expenditures will increase in step with future hiring. However, the excess balance results from an initial infusion of one-time revenue in 2019 that exceeded 250% of program costs, and as a result it will take several years to achieve compliance even with aggressive fee reductions. As such the Department forecasts compliance as of June 2023. | | | |
| Cash Fund Narrative Information | | | | |
| Purpose/Background of Fund | The fund exists to support the costs of the Division in carrying out its mission to balance economic needs with natural resources such as land and water preservation. | | | |
| Fee Sources | The fund is supported by annual assessments for each applicant, as prescribed by the division, to cover the costs of the division and the commission in administering the certification program for entities that hold conservation easements for which tax credits are claimed pursuant to section 39-22-522. | | | |
| Non-Fee Sources | Miscellaneous. | | | |
| Long Bill Groups Supported by Fund | Conservation Easements | | | |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 1840 - Fixed Utilities Fund
40-2-114, C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|--------------------|--------------------|----------------------|--------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$2,232,363 | \$1,980,929 | \$2,029,350 | \$400,477 |
| Changes in Cash Assets | \$2,548,340 | (\$377,900) | (\$1,628,873) | \$91,364 |
| Changes in Non-Cash Assets | (\$25,939) | \$244,459 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$2,773,835) | \$181,862 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | (\$251,434) | \$48,421 | (\$1,628,873) | \$91,364 |
| Assets Total | \$5,501,592 | \$5,368,151 | \$3,739,278 | \$3,830,641 |
| Cash (B) | \$5,112,690 | \$4,734,790 | \$3,105,917 | \$3,197,280 |
| Other Assets | \$388,902 | \$633,361 | \$633,361 | \$633,361 |
| Liabilities Total | \$3,520,663 | \$3,338,801 | \$3,338,801 | \$3,338,801 |
| Cash Liabilities (C) | \$3,520,663 | \$3,338,801 | \$3,338,801 | \$3,338,801 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$1,980,929 | \$2,029,350 | \$400,477 | \$491,841 |
| Net Cash Assets - (B-C) | \$1,592,027 | \$1,395,989 | (\$232,884) | (\$141,520) |
| Change from Prior Year Fund Balance (D-A) | (\$251,434) | \$48,421 | (\$1,628,873) | \$91,364 |
| Cash Flow Summary | | | | |
| Revenue Total | \$12,590,009 | \$13,917,308 | \$14,309,474 | \$16,486,088 |
| Fees and Assessments | \$11,649,336 | \$12,737,944 | \$13,101,035 | \$15,277,649* |
| Other | \$940,673 | \$1,179,364 | \$1,208,439 | \$1,208,439 |

| | Actual | Actual | Appropriated | Requested |
|----------------------|--------------|--------------|---------------|--------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Expenses Total | \$12,841,443 | \$13,868,886 | \$15,938,347 | \$16,394,724 |
| Cash Expenditures | \$12,244,292 | \$12,689,523 | \$14,729,908 | \$15,186,286 |
| Pass Through Federal | \$597,151 | \$1,179,364 | \$1,208,439 | \$1,208,439 |
| Net Cash Flow | (\$251,434) | \$48,421 | (\$1,628,873) | \$91,364 |

* Revenue projection presumes sufficient fees in concert with legislative action to resolve statutory cap on revenue.

| Fund Expenditures Line Item Detail | Actual | Actual | Appropriated | Requested |
|-----------------------------------------------------|---------------------|---------------------|---------------------|---------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Executive Director's Office | | | | |
| Workers' Compensation | \$16,437 | \$26,979 | \$26,497 | \$25,400 |
| Legal Services | \$2,667,268 | \$3,165,689 | \$3,160,000 | \$3,053,032 |
| Payment to Risk Management and Property Funds | \$14,911 | \$21,723 | \$19,467 | \$28,706 |
| Vehicle Lease Payments | \$11,672 | \$19,683 | \$24,000 | \$28,477 |
| Information Technology Asset Maintenance | \$20,182 | \$64,324 | \$64,619 | \$64,619 |
| Hardware/Software Maintenance | \$21,645 | \$4,639 | \$5,968 | \$5,968 |
| Leased Space | \$387,491 | \$451,270 | \$468,870 | \$593,003 |
| Payments to OIT | \$420,267 | \$457,723 | \$471,880 | \$515,676 |
| CORE Operations (formerly COFRS Modernization) | \$30,763 | \$34,304 | \$46,145 | \$43,596 |
| PERA Direct Distribution (repealed for FY2021 only) | \$0 | \$129,931 | \$0 | \$127,835 |
| Statewide Training | \$0 | \$0 | \$0 | \$1,219 |
| Public Utilities Commission | | | | |
| Personal Services | \$6,474,745 | \$6,365,323 | \$8,153,468.33 | \$8,398,072 |
| Operating Expenses | \$225,526 | \$347,782 | \$508,029 | \$501,964 |
| Expert Testimony | \$0 | \$0 | \$25,000 | \$25,000 |
| Indirect Cost Assessment | \$514,621 | \$571,168 | \$624,882 | \$613,407 |
| 911 Grant Program Non-Appropriated | \$0 | \$210,187 | \$210,187 | \$210,187 |
| Pipeline Safety Non-Appropriated | \$597,151 | \$565,088 | \$582,041 | \$582,041 |
| State Safety Oversight Non-Appropriated | \$428,439 | \$404,088 | \$416,210 | \$416,210 |
| Office of Consumer Counsel | | | | |
| Personal Services | \$917,685 | \$937,660 | \$1,012,620 | \$1,042,998 |
| Operating Expenses | \$30,165 | \$25,852 | \$55,814 | \$55,814 |
| Indirect Cost Assessment | \$62,475 | \$65,473 | \$62,649 | \$61,498 |
| Grand Total | \$12,841,443 | \$13,868,886 | \$15,938,347 | \$16,394,724 |

| | Actual | Actual | Appropriated | Requested |
|----------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. | | | |
| Cash Fund Narrative Information | | | | |
| Purpose/Background of Fund | The fund exists to defray the full amount determined by the general assembly for the administrative expenses of the commission for the supervision and regulation of the public utilities paying such fees and for the financing of the office of consumer counsel. | | | |
| Fee Sources | The fund is supported by annual assessments against fixed utilities, which pay in proportion to their gross intrastate operating revenue. Assessments are collected by the Department of Revenue. Three percent of moneys remitted by the Department of Revenue are credited to the General Fund after deducting statutorily required amounts for State Safety Oversight and Highway Crossing funding. In response to an under-collection of revenue related to the statutory cap during FY 14-15, HB 15-1372 increased the statutory cap on collections as a percentage of gross intrastate operating revenue to 2.5 percent. Telecommunications utilities no longer contribute to the Fixed Utility Fund and now contribute to the Telecommunications Fixed Utility Fund. | | | |
| Non-Fee Sources | Miscellaneous. | | | |
| Long Bill Groups Supported by Fund | Public Utilities Commission and the Office of Consumer Counsel. | | | |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 1850 - Motor Carrier Cash Fund
40-2-110.5, C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|------------------|------------------|-------------------|-------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$48,200 | \$302,364 | \$335,854 | \$278,358 |
| Changes in Cash Assets | \$274,138 | \$12,618 | (\$57,496) | (\$81,629) |
| Changes in Non-Cash Assets | (\$464) | \$7,723 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$19,509) | \$13,149 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$254,164 | \$33,490 | (\$57,496) | (\$81,629) |
| Assets Total | \$648,667 | \$669,009 | \$611,512 | \$529,883 |
| Cash (B) | \$645,979 | \$658,597 | \$601,101 | \$519,471 |
| Other Assets | \$2,689 | \$10,412 | \$10,412 | \$10,412 |
| Liabilities Total | \$346,303 | \$333,154 | \$333,154 | \$333,154 |
| Cash Liabilities (C) | \$346,303 | \$333,154 | \$333,154 | \$333,154 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$302,364 | \$335,854 | \$278,358 | \$196,729 |
| Net Cash Assets - (B-C) | \$299,676 | \$325,443 | \$267,946 | \$186,317 |
| Change from Prior Year Fund Balance (D-A) | \$254,164 | \$33,490 | (\$57,496) | (\$81,629) |

| | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|--------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Cash Flow Summary | | | | |
| Revenue Total | \$2,661,317 | \$2,462,333 | \$2,645,861 | \$2,678,573 |
| Fees and Assessments | \$2,570,028 | \$2,394,840 | \$2,541,484 | \$2,574,196 |
| Other | \$91,288 | \$67,493 | \$104,377 | \$104,377 |
| Expenses Total | \$2,407,152 | \$2,428,843 | \$2,703,357 | \$2,760,202 |
| Cash Expenditures | \$2,407,152 | \$2,428,843 | \$2,703,357 | \$2,760,202 |
| Net Cash Flow | \$254,164 | \$33,490 | (\$57,496) | (\$81,629) |

| Fund Expenditures Line Item Detail | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|-------------------------------------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Executive Director's Office | | | | |
| Workers' Compensation | \$3,648 | \$7,733 | \$7,607 | \$7,292 |
| Legal Services | \$169,143 | \$82,527 | \$82,000 | \$79,224 |
| Payment to Risk Management and Property Funds | \$3,309 | \$6,226 | \$5,589 | \$8,241 |
| Vehicle Lease Payments | \$7,055 | \$7,055 | \$8,500 | \$10,086 |
| Information Technology Asset Maintenance | \$5,676 | \$14,297 | \$14,362 | \$14,362 |
| Hardware/Software Maintenance | \$6,964 | \$1,320 | \$1,698 | \$1,698 |
| Leased Space | \$107,432 | \$118,273 | \$125,359 | \$158,548 |
| Payments to OIT | \$118,214 | \$131,198 | \$135,480 | \$148,054 |
| CORE Operations <i>(formerly COFRS Modernization)</i> | \$8,653 | \$9,832 | \$13,249 | \$12,517 |
| PERA Direct Distribution <i>(repealed)</i> | \$0 | \$37,242 | \$0 | \$36,641 |
| Statewide Training | \$0 | \$0 | \$0 | \$341 |
| Public Utilities Commission | | | | |
| Personal Services | \$1,612,435 | \$1,644,760 | \$1,818,824 | \$1,796,139 |
| Operating Expenses | \$62,672 | \$92,919 | \$97,010 | \$97,010 |
| <i>Medicaid Transport non-appropriated</i> | \$98,369 | \$89,110 | \$91,783 | \$91,783 |
| CBI Background Checks Pass-Through | \$38,157 | \$5,293 | \$104,377 | \$104,377 |
| Indirect Cost Assessment | \$165,424 | \$181,057 | \$197,519 | \$193,889 |
| Grand Total | \$2,407,152 | \$2,428,843 | \$2,703,357 | \$2,760,202 |

| | Actual | Actual | Appropriated | Requested |
|----------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. | | | |
| Cash Fund Narrative Information | | | | |
| Purpose/Background of Fund | The fund exists to support the PUC's administration of laws governing the regulation of motor carriers in Colorado. | | | |
| Fee Sources | Fees sources include permit fees and annual state identification fees from regulated motor carriers. Vehicle stamp fees generate approximately 15% of fund revenue, while 85% of the fund's revenue for this fund is not controlled by PUC or the State of Colorado. | | | |
| Non-Fee Sources | Earned interest. | | | |
| Long Bill Groups Supported by Fund | Public Utilities Commission | | | |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 1860 - Nuclear Materials Transportation Fund
42-20-511, C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|------------------|----------------|----------------|----------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$87,126 | \$0 | \$0 | \$0 |
| Changes in Cash Assets | \$162,265 | \$1,200 | \$0 | \$0 |
| Changes in Non-Cash Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$3,141) | (\$1,200) | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$159,124 | \$0 | \$0 | \$0 |
| Assets Total | \$16,000 | \$1,200 | \$1,200 | \$1,200 |
| Cash (B) | \$16,000 | \$1,200 | \$1,200 | \$1,200 |
| Other Assets | \$0 | \$0 | \$0 | \$0 |
| Liabilities Total | \$16,000 | \$1,200 | \$1,200 | \$1,200 |
| Cash Liabilities (C) | \$16,000 | \$1,200 | \$1,200 | \$1,200 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$0 | \$0 | \$0 | \$0 |
| Net Cash Assets - (B-C) | \$0 | \$0 | \$0 | \$0 |
| Change from Prior Year Fund Balance (D-A) | \$71,998 | \$0 | \$0 | \$0 |

| | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|--------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Cash Flow Summary | | | | |
| Revenue Total | \$62,500 | \$51,100 | \$51,100 | \$51,100 |
| Fees and Assessments | \$62,500 | \$51,100 | \$51,100 | \$51,100 |
| Internal | \$0 | \$0 | \$0 | \$0 |
| Other | \$0 | \$0 | | |
| Expenses Total | \$62,500 | \$51,100 | \$51,100 | \$51,100 |
| Cash Expenditures | \$62,500 | \$51,100 | \$51,100 | \$51,100 |
| | | | | |
| Net Cash Flow | \$0 | \$0 | \$0 | \$0 |

| Fund Expenditures Line Item Detail | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|------------------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Grand Total | \$0 | \$0 | \$0 | \$0 |

| | |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------|

| Cash Fund Narrative Information | |
|------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Purpose/Background of Fund | The fund exists to provide funding to support a permitting and inspection program for the regulation of the transport of nuclear materials within or through the state of Colorado. The purpose is to protect citizens and the environment. (§40-20-401, C.R.S.) |
| Fee Sources | The fund is supported by nuclear materials permit fees (annual and single trip). |
| Non-Fee Sources | Civil penalties. |
| Long Bill Groups Supported by Fund | Department of Public Safety (CDPS): Special Programs, Nuclear Materials Transportation. The PUC has no line items supported by this fund. |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 1870 - Highway Crossing Protection Fund
43-4-201, C.R.S. (2019)

| | Actual | Actual | Appropriated | Requested |
|---------------------------------------------------|------------------|--------------------|--------------------|-----------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$487,901 | \$748,405 | \$1,018,855 | \$40,903 |
| Changes in Cash Assets | \$260,504 | \$270,450 | (\$977,952) | \$29,224 |
| Changes in Non-Cash Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | \$0 | \$0 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$260,504 | \$270,450 | (\$977,952) | \$29,224 |
| Assets Total | \$748,405 | \$1,018,855 | \$40,903 | \$70,127 |
| Cash (B) | \$748,405 | \$1,018,855 | \$40,903 | \$70,127 |
| Other Assets | \$0 | \$0 | \$0 | \$0 |
| Liabilities Total | \$0 | \$0 | \$0 | \$0 |
| Cash Liabilities (C) | \$0 | \$0 | \$0 | \$0 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$748,405 | \$1,018,855 | \$40,903 | \$70,127 |
| Net Cash Assets - (B-C) | \$748,405 | \$1,018,855 | \$40,903 | \$70,127 |
| Change from Prior Year Fund Balance (D-A) | \$260,504 | \$270,450 | (\$977,952) | \$29,224 |
| Cash Flow Summary | | | | |
| Revenue Total | \$251,134 | \$270,536 | \$274,024 | \$274,024 |
| Fees and Assessments | \$0 | \$0 | \$0 | \$0 |
| Fines and Interest | \$13,971 | \$15,846 | \$19,334 | \$19,334 |
| Internal | \$0 | \$0 | \$0 | \$0 |
| Transfers (FY 16-17 from HUTF, FY 17-18 from FUF) | \$237,163 | \$254,690 | \$254,690 | \$254,690 |

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------|------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Expenses Total | \$0 | \$86 | \$1,251,976 | \$244,800 |
| Cash Expenditures (Highway Crossing) | \$0 | \$86 | \$244,800 | \$244,800 |
| Funds Transfer | \$0 | \$0 | \$1,007,176 | \$0 |
| | | | | |
| Net Cash Flow | \$251,134 | \$270,450 | (\$977,952) | \$29,224 |

| | |
|----------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. |
| Cash Fund Narrative Information | |
| Purpose/Background of Fund | The fund exists to support a program that provides matching financial resources as incentives for railroads and local governments to construct railroad crossing protection devices and safety improvements. Fund encumbrances and expenditures occur across multiple fiscal years for multi-year construction projects. |
| Fee Sources | None. |
| Non-Fee Sources | Subject to SB 16-087, there is an annual transfer from fixed utilities assessment revenue of \$240,000. The Fund retains its earned interest. |
| Long Bill Groups Supported by Fund | PUC: Highway Crossing Protection |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 1880 - Hazardous Materials Safety Fund
42-20-107, C.R.S. (2020)

| | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|--------------------------------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Year Beginning Fund Balance (A) | \$175,193 | \$1,075 | \$1,644 | \$1,644 |
| Changes in Cash Assets | \$146,684 | (\$20) | (\$0) | \$0 |
| Changes in Non-Cash Assets | (\$770) | \$569 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$57,308) | \$20 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$88,605 | \$569 | (\$0) | \$0 |
| Assets Total | \$1,095 | \$1,644 | \$1,644 | \$1,644 |
| Cash (B) | \$45 | \$25 | \$25 | \$25 |
| Other Assets | \$1,050 | \$1,619 | \$1,619 | \$1,619 |
| Liabilities Total | \$20 | \$0 | \$0 | \$0 |
| Cash Liabilities (C) | \$20 | \$0 | \$0 | \$0 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$1,075 | \$1,644 | \$1,644 | \$1,644 |
| Net Cash Assets - (B-C) | \$25 | \$25 | \$25 | \$25 |
| Change from Prior Year Fund Balance (D-A) | \$88,605 | \$569 | (\$0) | \$0 |
| Cash Flow Summary | | | | |
| Revenue Total | \$194,687 | \$193,244 | \$192,839 | \$192,839 |
| Fees and Assessments | \$196,915 | \$196,125 | \$196,125 | \$196,125 |
| Fines and Interest | \$1,775 | \$650 | \$650 | \$650 |
| Internal | \$0 | \$0 | \$0 | \$0 |
| Other | (\$4,003) | (\$3,531) | (\$3,936) | (\$3,936) |
| Expenses Total | \$194,383 | \$192,675 | \$192,839 | \$192,839 |

| | Actual | Actual | Appropriated | Requested |
|--------------------------|------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Appropriations Transfers | \$194,383 | \$192,675 | \$192,839 | \$192,839 |
| Statutory Transfer | | | \$0 | \$0 |
| Net Cash Flow | \$305 | \$569 | \$0 | \$0 |

| Fund Expenditures Line Item Detail | Actual | Actual | Appropriated | Requested |
|------------------------------------|------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Grand Total | \$0 | \$0 | \$0 | \$0 |

| | |
|----------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. |
| Cash Fund Narrative Information | |
| Purpose/Background of Fund | The fund exists to provide funding to the Department of Public Safety (DPS) for the Colorado State Patrol (CSP) for hazardous material response and routing across the State. Funding is also provided to DPS for distribution to local governments (responders) for training and equipment purchases. No funds are spent by DORA. |
| Fee Sources | The fund is supported by Hazardous materials permit fees (annual and single trip). |
| Non-Fee Sources | Penalties, gifts, donations, federal funds per §42-20-107, C.R.S. |
| Long Bill Groups Supported by Fund | Department of Public Safety, Executive Director's Office, Distribution to Local Governments--Department of Public Safety, Colorado State Patrol, Hazardous Materials Routing. |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 1890 - Division of Professions and Occupations Cash Fund
12-20-105(3), C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|----------------------|---------------------|---------------------|---------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$5,083,718 | \$2,576,395 | \$1,637,153 | \$2,513,650 |
| Changes in Cash Assets | (\$2,872,479) | (\$564,384) | \$876,497 | \$965,663 |
| Changes in Non-Cash Assets | \$34,895 | \$3,242 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | \$330,261 | (\$378,100) | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | (\$2,507,323) | (\$939,242) | \$876,497 | \$965,663 |
| Assets Total | \$20,553,405 | \$19,992,263 | \$20,868,760 | \$21,834,423 |
| Cash (B) | \$20,301,893 | \$19,737,509 | \$20,614,006 | \$21,579,668 |
| Other Assets(Detail as necessary) | \$251,512 | \$254,754 | \$254,754 | \$254,754 |
| Liabilities Total | \$17,977,010 | \$18,355,110 | \$18,355,110 | \$18,355,110 |
| Cash Liabilities (C) | \$17,977,010 | \$18,355,110 | \$18,355,110 | \$18,355,110 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$2,576,395 | \$1,637,153 | \$2,513,650 | \$3,479,313 |
| Net Cash Assets - (B-C) | \$2,324,883 | \$1,382,399 | \$2,258,896 | \$3,224,559 |
| Change from Prior Year Fund Balance (D-A) | (\$2,507,323) | (\$939,242) | \$876,497 | \$965,663 |
| Cash Flow Summary | | | | |
| Revenue Total | \$26,673,789 | \$28,585,873 | \$30,848,270 | \$32,423,396 |
| Fees and Assessments | \$25,823,100 | \$27,507,050 | \$29,741,560 | \$31,199,999 |
| Fines and Interest | \$0 | \$0 | \$0 | \$0 |
| Internal/Legal Defense Fund Collection | \$257,238 | \$373,924 | \$257,238 | \$373,924 |

| | Actual | Actual | Appropriated | Requested |
|-----------------------|----------------------|---------------------|---------------------|---------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Other | \$593,451 | \$704,900 | \$849,473 | \$849,473 |
| Expenses Total | \$29,181,112 | \$29,525,115 | \$29,971,773 | \$31,457,733 |
| Cash Expenditures | \$27,394,340 | \$27,653,483 | \$28,100,141 | \$29,586,101 |
| Internal Transfers | \$1,786,772 | \$1,871,632 | \$1,871,632 | \$1,871,632 |
| | | | | |
| Net Cash Flow | (\$2,507,323) | (\$939,242) | \$876,497 | \$965,663 |

| Fund Expenditures Line Item Detail | | | | |
|------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|
| | Actual | Actual | Appropriated | Requested |
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Executive Director's Office | | | | |
| Workers' Compensation | \$87,520 | \$74,450 | \$69,530 | \$66,651 |
| Legal Services | \$4,015,358 | \$4,270,979 | \$4,260,000 | \$4,115,797 |
| Administrative Law Judge Services | \$292,372 | \$235,267 | \$325,000 | \$278,627 |
| Payment to Risk Management and Property Funds | \$79,384 | \$59,943 | \$51,080 | \$75,322 |
| Vehicle Lease Payments | \$146,577 | \$170,032 | \$206,000 | \$244,431 |
| Information Technology Asset Maintenance | \$136,185 | \$80,160 | \$80,527 | \$80,527 |
| Hardware/Software Maintenance | \$73,556 | \$37,607 | \$48,378 | \$48,378 |
| Leased Space | \$950,079 | \$982,660 | \$1,024,907 | \$1,296,250 |
| Payments to OIT | \$1,375,327 | \$1,263,187 | \$1,238,187 | \$1,353,106 |
| CORE Operations <i>(formerly COFRS Modernization)</i> | \$94,819 | \$94,666 | \$121,082 | \$114,395 |
| PERA Direct Distribution <i>(repealed)</i> | \$0 | \$358,571 | \$0 | \$352,786 |
| Statewide Training | \$0 | \$0 | \$0 | \$3,113 |
| Division of Professions and Occupations | | | | |
| Personal Services | \$17,831,862 | \$18,191,817 | \$18,288,333 | \$19,202,749 |
| Operating Expenses | \$1,435,354 | \$1,057,892 | \$1,566,227 | \$1,566,227 |
| Office Of Expedited Settlement Program Costs | \$564,220 | \$429,306 | \$459,600 | \$459,600 |
| Hearings Pursuant To Complaint | \$247,357 | \$226,271 | \$307,075 | \$307,075 |
| Payments to Department of Health Care Policy and Financing | \$14,652 | \$14,652 | \$14,652 | \$14,652 |
| Indirect Cost Assessment | \$1,786,772 | \$1,871,632 | \$1,805,173 | \$1,772,025 |
| <i>State Licensing Grant (non-appropriated)</i> | \$49,717 | \$106,022 | \$106,022 | \$106,022 |
| | | | | |
| Grand Total | \$29,181,112 | \$29,525,115 | \$29,971,773 | \$31,457,733 |

| | Actual | Actual | Appropriated | Requested |
|----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. | | | |
| Cash Fund Narrative Information | | | | |
| Purpose/Background of Fund | The fund exists to support the costs of the Division to protect the public through effective licensure and enforcement for more than 31 professions and occupations. | | | |
| Fee Sources | The fund is supported by numerous professional licensing fees including renewal fees, as well as original license fees, application fees, reciprocity fees, reinstatement fees, examination or examination processing fees, etc. Additionally, there are inspection and permit fees assessed in the Electrical, Plumbing, and Passenger Tramway boards. Additionally, there is a "legal defense fund" surcharge set in statute at \$1 annually per renewal license. | | | |
| Non-Fee Sources | Earned interest, as well as miscellaneous revenue such as sales of publications and maps. Additionally, Nurse Aides is funded from Departments of Public Health and Environment and Health Care Policy and Financing, which are required to obtain and forward federal funding. | | | |
| Long Bill Groups Supported by Fund | Division of Professions and Occupations | | | |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 18S0 - Prescription Drug Monitoring Cash Fund
12-42.5-405 C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|------------------|--------------------|--------------------|--------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$311,182 | \$789,421 | \$630,899 | \$329,281 |
| Changes in Cash Assets | \$434,250 | (\$126,545) | (\$301,618) | (\$326,840) |
| Changes in Non-Cash Assets | \$122,995 | (\$92,123) | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$79,006) | \$60,146 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$478,239 | (\$158,522) | (\$301,618) | (\$326,840) |
| Assets Total | \$910,413 | \$691,745 | \$390,127 | \$63,287 |
| Cash (B) | \$787,418 | \$660,872 | \$359,255 | \$32,415 |
| Other Assets | \$122,995 | \$30,872 | \$30,872 | \$30,872 |
| Liabilities Total | \$120,992 | \$60,846 | \$60,846 | \$60,846 |
| Cash Liabilities (C) | \$120,992 | \$60,846 | \$60,846 | \$60,846 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$789,421 | \$630,899 | \$329,281 | \$2,441 |
| Net Cash Assets - (B-C) | \$666,426 | \$600,027 | \$298,409 | (\$28,431) |
| Change from Prior Year Fund Balance (D-A) | \$478,239 | (\$158,522) | (\$301,618) | (\$326,840) |
| Cash Flow Summary | | | | |
| Revenue Total | \$1,016,084 | \$606,470 | \$771,224 | \$492,756 |
| Fees and Assessments | \$732,124 | \$288,866 | \$449,628 | \$165,624 |
| Fines and Interest | \$0 | \$0 | \$0 | \$0 |
| Internal | \$0 | \$0 | \$0 | \$0 |
| Other | \$283,960 | \$317,604 | \$321,596 | \$327,132 |
| Expenses Total | \$537,845 | \$764,992 | \$1,072,842 | \$819,596 |

| | Actual | Actual | Appropriated | Requested |
|----------------------|------------|-------------|--------------|-------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Expenditures | \$253,885 | \$447,388 | \$483,725 | \$492,464 |
| Pass Through Federal | \$283,960 | \$317,604 | \$321,596 | \$327,132 |
| Funds Transfer | | | \$267,521 | |
| | | | | |
| Net Cash Flow | \$478,239 | (\$158,522) | (\$301,618) | (\$326,840) |

| Fund Expenditures Line Item Detail | | | | |
|-------------------------------------------------------|------------|------------|--------------|------------|
| | Actual | Actual | Appropriated | Requested |
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Executive Director's Office | | | | |
| Workers' Compensation | \$0 | \$780 | \$1,310 | \$1,256 |
| Legal Services | \$5,577 | \$62,597 | \$63,000 | \$60,867 |
| Payment to Risk Management and Property Funds | \$0 | \$628 | \$963 | \$1,420 |
| Information Technology Asset Maintenance | \$200,000 | \$200,293 | \$201,211 | \$201,211 |
| Hardware/Software Maintenance | \$0 | \$0 | \$0 | \$0 |
| Leased Space | \$5,318 | \$6,435 | \$12,311 | \$15,570 |
| Payments to OIT | \$0 | \$13,230 | \$23,333 | \$25,499 |
| CORE Operations <i>(formerly COFRS Modernization)</i> | \$0 | \$991 | \$2,282 | \$2,156 |
| PERA Direct Distribution <i>(repealed)</i> | \$0 | \$3,755 | \$0 | \$3,694 |
| Statewide Training | \$0 | \$0 | \$0 | \$59 |
| Division of Professions and Occupations | | \$0 | | |
| Personal Services | \$7,117 | \$98,121 | \$101,065 | \$104,097 |
| Operating Expenses | \$35,874 | \$40,957 | \$44,233 | \$44,233 |
| Indirect Cost Assessment | \$0 | \$19,602 | \$34,017 | \$32,402 |
| <i>Federal Grant Non-Appropriated</i> | \$283,960 | \$317,604 | \$321,596 | \$327,132 |
| <i>Funds Transfer Non-Appropriated</i> | \$0 | \$0 | \$267,521 | \$0 |
| Grand Total | \$537,845 | \$764,992 | \$1,072,842 | \$819,596 |

| | Actual | Actual | Appropriated | Requested |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|-------------------|--------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Fund Reserve Balance | This Cash Fund is out of compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. | | | |
| | Actual | Actual | Appropriated | Requested |
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees) | \$568,805 | \$300,502 | \$108,665 | (\$218,175) |
| Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses) | \$88,744 | \$126,224 | \$177,019 | \$135,233 |
| Excess Uncommitted Fee Reserve Balance | \$480,061 | \$174,278 | (\$68,353) | (\$353,408) |
| Compliance Plan (narrative) | Due to oscillating renewal schedules for prescriptive authority licensees, this fund routinely encounters high renewal volume and low renewal volume in alternating years. The Department implemented fee reductions effective FY 2019-20 in order to achieve compliance as swiftly as possible while avoiding deficit status in out-years. Thus far, the Department has reduced excess balance by 74% (from \$480k to \$126k) between June 2019 and June 2020, and a \$267k statutory fund balance transfer on July 1, 2020, has further greatly reduced fund balance. However, the fund must return to non-compliance during FY 20-21 in order to avert a fund balance deficit in FY 21-22, based on the timing of license renewals, so a revised implementation date of June 2022 is listed here. | | | |
| Cash Fund Narrative Information | | | | |
| Purpose/Background of Fund | The fund exists to support the costs of the Division in implementing the Prescription Drug Monitoring Program. | | | |
| Fee Sources | The only fee source is authorized assessments against licensees having prescriptive authority. This fee is presently set at \$12 annually but will be reduced or eliminated to rectify fund balance. | | | |
| Non-Fee Sources | Federal grants, gifts, grants and donations and earned interest. | | | |

| | Actual | Actual | Appropriated | Requested |
|------------------------------------|------------------------------------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Long Bill Groups Supported by Fund | Division of Professions and Occupations. | | | |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 18W - Viatical Settlements Cash Fund
10-7-619, C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|------------------|------------------|------------------|------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$104,497 | \$112,706 | \$122,363 | \$132,010 |
| Changes in Cash Assets | \$8,226 | \$9,662 | \$9,647 | \$9,593 |
| Changes in Non-Cash Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$17) | (\$4) | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$8,209 | \$9,657 | \$9,647 | \$9,593 |
| Assets Total | \$112,849 | \$122,511 | \$132,158 | \$141,751 |
| Cash (B) | \$112,849 | \$122,511 | \$132,158 | \$141,751 |
| Other Assets | \$0 | \$0 | \$0 | \$0 |
| Liabilities Total | \$143 | \$148 | \$148 | \$148 |
| Cash Liabilities (C) | \$143 | \$148 | \$148 | \$148 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$112,706 | \$122,363 | \$132,010 | \$141,603 |
| Net Cash Assets - (B-C) | \$112,706 | \$122,363 | \$132,010 | \$141,603 |
| Change from Prior Year Fund Balance (D-A) | \$8,209 | \$9,657 | \$9,647 | \$9,593 |

| | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|--------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Cash Flow Summary | | | | |
| Revenue Total | \$9,904 | \$11,430 | \$11,430 | \$11,430 |
| Fees and Assessments | \$9,904 | \$11,430 | \$11,430 | \$11,430 |
| Expenses Total | \$1,695 | \$1,773 | \$1,783 | \$1,837 |
| Cash Expenditures | \$1,695 | \$1,773 | \$1,783 | \$1,837 |
| Net Cash Flow | \$8,209 | \$9,657 | \$9,647 | \$9,593 |

| Fund Expenditures Line Item Detail | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|------------------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Division of Insurance | | | | |
| Personal Services | \$1,695 | \$1,773 | \$1,783 | \$1,837 |
| Grand Total | \$1,695 | \$1,773 | \$1,783 | \$1,837 |

| | |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------|

| Cash Fund Narrative Information | |
|------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Purpose/Background of Fund | The purpose of the fund is to support the costs of regulating the activities of viatical settlement producers and providers involving agreements to assign, transfer, sell or bequest the death benefit or ownership of a life insurance policy in exchange for compensation less than the expected death benefit. |
| Fee Sources | The fund is supported by fees assessed against viatical settlement providers and life insurance producers. |
| Non-Fee Sources | Earned interest. |
| Long Bill Groups Supported by Fund | Division of Insurance Personal Services. |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 1960 - Disabled Telephone Users' Fund
40-17-104, C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|------------------|--------------------|-------------------|------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$293,597 | \$297,070 | \$117,169 | \$235,775 |
| Changes in Cash Assets | \$20,347 | (\$461,618) | \$118,606 | \$105,579 |
| Changes in Non-Cash Assets | \$0 | \$300,309 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$16,874) | (\$18,593) | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$3,473 | (\$179,901) | \$118,606 | \$105,579 |
| Assets Total | \$464,582 | \$303,274 | \$421,880 | \$527,459 |
| Cash (B) | \$464,582 | \$2,964 | \$121,571 | \$227,150 |
| Other Assets | | \$300,309 | \$300,309 | \$300,309 |
| Liabilities Total | \$167,512 | \$186,105 | \$186,105 | \$186,105 |
| Cash Liabilities (C) | \$167,512 | \$186,105 | \$186,105 | \$186,105 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$297,070 | \$117,169 | \$235,775 | \$341,355 |
| Net Cash Assets - (B-C) | \$297,070 | (\$183,140) | (\$64,534) | \$41,045 |
| Change from Prior Year Fund Balance (D-A) | \$3,473 | (\$179,901) | \$118,606 | \$105,579 |
| Cash Flow Summary | | | | |
| Revenue Total | \$3,622,494 | \$3,908,645 | \$3,907,699 | \$3,907,699 |
| Fees and Assessments | \$3,614,784 | \$3,902,437 | \$3,902,437 | \$3,902,437 |
| Fines and Interest | \$7,710 | \$6,208 | \$5,262 | \$5,262 |

| | Actual | Actual | Appropriated | Requested |
|-----------------------------|-------------|-------------|--------------|-------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Expenses Total | \$3,626,573 | \$4,088,546 | \$3,789,093 | \$3,802,120 |
| Cash Expenditures | \$1,132,921 | \$1,379,187 | \$1,286,504 | \$1,299,531 |
| Transfers to Other Agencies | \$2,493,652 | \$2,709,359 | \$2,502,589 | \$2,502,589 |
| Net Cash Flow | (\$4,079) | (\$179,901) | \$118,606 | \$105,579 |

| Fund Expenditures Line Item Detail | | | | |
|-------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|
| | Actual | Actual | Appropriated | Requested |
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Executive Director's Office | | | | |
| Workers' Compensation | \$266 | \$717 | \$585 | \$561 |
| Payment to Risk Management and Property Funds | \$241 | \$578 | \$430 | \$634 |
| Information Technology Asset Maintenance | \$547 | \$567 | \$570 | \$570 |
| Hardware/Software Maintenance | \$219 | \$122 | \$158 | \$158 |
| Leased Space | \$7,830 | \$10,973 | \$9,643 | \$12,196 |
| Payments to OIT | \$8,616 | \$12,173 | \$10,422 | \$11,389 |
| CORE Operations <i>(formerly COFRS Modernization)</i> | \$631 | \$912 | \$1,019 | \$963 |
| PERA Direct Distribution <i>(repealed)</i> | \$0 | \$3,455 | \$0 | \$3,399 |
| Statewide Training | \$0 | \$0 | \$0 | \$26 |
| Public Utilities Commission | | | | |
| Personal Services | \$217,479 | \$204,650 | \$208,174 | \$214,419 |
| Operating Expenses | \$2,489 | \$2,741 | \$2,523 | \$2,523 |
| Disabled Telephone Users Fund Payments | \$882,555 | \$875,499 | \$837,350 | \$837,350 |
| Transfer To Reading Services For The Blind Cash Fund | \$510,000 | \$510,000 | \$510,000 | \$510,000 |
| Transfer To Talking Book Library | \$0 | \$250,000 | \$200,000 | \$200,000 |
| Commission For The Deaf And Hard Of Hearing Fund | \$1,983,652 | \$2,199,359 | \$1,992,589 | \$1,992,589 |
| Indirect Cost Assessment | \$12,049 | \$16,800 | \$15,630 | \$15,343 |
| Grand Total | \$3,626,573 | \$4,088,546 | \$3,789,093 | \$3,802,120 |

| | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|----------------------------|-------------------------|
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. | | | |
| Cash Fund Narrative Information | | | | |
| Purpose/Background of Fund | The purpose of the Fund is to support the costs of a telephone relay service for disabled telephone users (including deaf, hard of hearing, and speech-impaired individuals, among others). Beginning with S.B. 99-165, there has been an annual transfer to the Reading Services for the Blind Cash Fund. Each calendar year there is also an annual transfer to the Deaf and Hard of Hearing Cash Fund pursuant to §40-7-104(4)(a), C.R.S. These transfers have been increasing in recent years such that this activity now accounts for approximately 70% of the Fund's expenditures. | | | |
| Fee Sources | The relay program is funded by a uniform, per month per access line surcharge to all business and residential telephone customers. The surcharge does not apply to wireless customers. While the increased subsidies to other agencies had required an increase from \$0.12 per line per month to \$0.20 per line per month, a substantial decrease in the fee (presently \$0.04 per line per month) occurred in order to reduce fund balance. HB 16-1414 added wireless subscribers to the base of assessment payers. FY 18-19 actual revenue will affect whether further fee adjustment is necessary. | | | |
| Non-Fee Sources | Earned interest. | | | |
| Long Bill Groups Supported by Fund | The PUC's appropriation includes a line item called "Disabled Telephone Users' Fund," which funds the annual contract for the provision of telephone services for the hearing impaired. The Fund also pays administrative costs for administering the program in the PUC. | | | |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 2006 - Telecommunications Fixed Utility Fund
40-2-114(b), C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|------------------|------------------|-------------------|--------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$98,920 | \$179,663 | \$589,272 | \$576,025 |
| Changes in Cash Assets | \$79,449 | \$400,269 | (\$13,248) | (\$445,450) |
| Changes in Non-Cash Assets | \$0 | \$5,721 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | \$1,295 | \$3,620 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$80,743 | \$409,609 | (\$13,248) | (\$445,450) |
| Assets Total | \$259,842 | \$665,832 | \$652,584 | \$207,134 |
| Cash (B) | \$259,842 | \$660,111 | \$646,863 | \$201,413 |
| Other Assets | \$0 | \$5,721 | \$5,721 | \$5,721 |
| Liabilities Total | \$80,179 | \$76,559 | \$76,559 | \$76,559 |
| Cash Liabilities (C) | \$80,179 | \$76,559 | \$76,559 | \$76,559 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$179,663 | \$589,272 | \$576,025 | \$130,574 |
| Net Cash Assets - (B-C) | \$179,663 | \$583,552 | \$570,304 | \$124,854 |
| Change from Prior Year Fund Balance (D-A) | \$80,743 | \$409,609 | (\$13,248) | (\$445,450) |

| | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|-----------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Cash Flow Summary | | | | |
| Revenue Total | \$1,146,416 | \$1,100,823 | \$1,100,823 | \$770,576 |
| Fees and Assessments | \$1,146,416 | \$1,100,823 | \$1,100,823 | \$770,576 |
| Fines and Interest | \$0 | \$0 | | |
| Expenses Total | \$1,065,672 | \$691,214 | \$1,114,071 | \$1,216,027 |
| Cash Expenditures | \$1,065,672 | \$691,214 | \$1,114,071 | \$1,216,027 |
| Pass Through Federal | \$0 | \$0 | \$0 | \$0 |
| Transfers to Other Agencies | \$0 | \$0 | \$0 | \$0 |
| | | | | |
| Net Cash Flow | \$80,743 | \$409,609 | (\$13,248) | (\$445,450) |

| Fund Expenditures Line Item Detail | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|-------------------------------------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Executive Director's Office | | | | |
| Workers' Compensation | \$1,850 | \$1,834 | \$2,268 | \$2,174 |
| Legal Services | \$111,678 | \$14,164 | \$14,000 | \$13,526 |
| Payment to Risk Management and Property Funds | \$1,678 | \$1,476 | \$1,666 | \$2,457 |
| Vehicle Lease Payments | \$0 | \$0 | \$0 | \$0 |
| Information Technology Asset Maintenance | \$2,908 | \$7,922 | \$7,958 | \$7,958 |
| Hardware/Software Maintenance | \$1,649 | \$313 | \$402.54 | \$403 |
| Leased Space | \$54,501 | \$28,043 | \$37,367 | \$47,260 |
| Payments to OIT | \$59,969 | \$31,109 | \$40,383 | \$44,131 |
| CORE Operations <i>(formerly COFRS Modernization)</i> | \$4,390 | \$2,331 | \$3,949 | \$3,731 |
| PERA Direct Distribution <i>(repealed)</i> | \$0 | \$8,831 | \$0 | \$8,689 |
| Statewide Training | \$0 | \$0 | \$0 | \$102 |
| Public Utilities Commission | | | | |
| Personal Services | \$726,853 | \$531,405 | \$920,517 | \$948,132 |
| Operating Expenses | \$17,476 | \$24,355 | \$26,685 | \$79,671 |
| Expert Testimony | \$0 | \$0 | \$0 | \$0 |
| Indirect Cost Assessment | \$82,721 | \$39,431 | \$58,876 | \$57,794 |
| Grand Total | \$1,065,672 | \$691,214 | \$1,114,071 | \$1,216,027 |

| | Actual | Actual | Appropriated | Requested |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|------------------|-------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Fund Reserve Balance | This Cash Fund is out of compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. | | | |
| | Actual | Actual | Appropriated | Requested |
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees) | \$179,663 | \$589,272 | \$570,304 | \$130,574 |
| Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses) | \$175,836 | \$114,050 | \$183,822 | \$183,822 |
| Excess Uncommitted Fee Reserve Balance | \$3,827 | \$475,222 | \$386,482 | (\$53,247) |
| Compliance Plan (narrative) | With respect to the Telecommunications Fixed Utility Fund, the Department expects increased expenditures to significantly reduce fund balance during FY 20-21. While it is possible this may achieve compliance as soon as the current fiscal year, the Department also intends to reduce fee assessments to the fund at the earliest opportunity (June 2021) such that compliance will occur no later than June 2022. | | | |
| Cash Fund Narrative Information | | | | |
| Purpose/Background of Fund | The fund exists to defray the full amount determined by the general assembly for the administrative expenses of the commission for the supervision and regulation of telecommunications public utilities paying such fees. | | | |
| Fee Sources | The fund is supported by annual assessments against telecommunications fixed utilities, which pay in proportion to their gross intrastate operating revenue. Assessments are collected by the Department of Revenue. HB 15-1372 created the Telecommunications Fixed Utility Fund, and telecommunications utilities no longer contribute to the Fixed Utility Fund. | | | |

| | Actual | Actual | Appropriated | Requested |
|------------------------------------|------------------------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Non-Fee Sources | Miscellaneous. | | | |
| Long Bill Groups Supported by Fund | Public Utilities Commission. | | | |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 2120 - Division of Real Estate Cash Fund
12-61-111.5(2)(b), C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|----------------------|---------------------|--------------------|---------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$1,293,742 | \$265,953 | \$1,569,939 | \$921,617 |
| Changes in Cash Assets | \$1,358,290 | \$3,783,044 | (\$648,322) | \$526,891 |
| Changes in Non-Cash Assets | \$0 | \$99,847 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$2,386,080) | (\$2,578,905) | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | (\$1,027,790) | \$1,303,986 | (\$648,322) | \$526,891 |
| Assets Total | \$6,339,036 | \$10,221,928 | \$9,573,606 | \$10,100,497 |
| Cash (B) | \$6,339,036 | \$10,122,081 | \$9,473,759 | \$10,000,650 |
| Other Assets | \$0 | \$99,847 | \$99,847 | \$99,847 |
| Liabilities Total | \$6,073,084 | \$8,651,989 | \$8,651,989 | \$8,651,989 |
| Cash Liabilities (C) | \$6,073,084 | \$8,651,989 | \$8,651,989 | \$8,651,989 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$265,953 | \$1,569,939 | \$921,617 | \$1,448,508 |
| Net Cash Assets - (B-C) | \$265,953 | \$1,470,092 | \$821,770 | \$1,348,661 |
| Change from Prior Year Fund Balance (D-A) | (\$1,027,790) | \$1,303,986 | (\$648,322) | \$526,891 |
| Cash Flow Summary | | | | |
| Revenue Total | \$6,450,894 | \$8,353,826 | \$8,709,651 | \$8,077,261 |
| Fees and Assessments | \$6,031,596 | \$7,875,265 | \$8,244,142 | \$7,613,374 |
| Fines and Interest | \$418,677 | \$464,386 | \$451,333 | \$449,711 |
| Internal | \$0 | \$0 | \$0 | \$0 |
| Other | \$621 | \$14,176 | \$14,176 | \$14,176 |

| | Actual | Actual | Appropriated | Requested |
|-------------------|---------------|-------------|--------------|-------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Expenses Total | \$7,478,684 | \$7,049,840 | \$9,357,973 | \$7,550,370 |
| Cash Expenditures | \$7,175,688 | \$7,049,840 | \$7,257,973 | \$7,550,370 |
| Funds Transfer | \$302,996 | \$0 | \$2,100,000 | \$0 |
| Net Cash Flow | (\$1,027,790) | \$1,303,986 | (\$648,322) | \$526,891 |

| Fund Expenditures Line Item Detail | | | | |
|------------------------------------------------|--------------------|--------------------|--------------------|--------------------|
| | Actual | Actual | Appropriated | Requested |
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Executive Director's Office | | | | |
| Workers' Compensation | \$16,068 | \$18,051 | \$16,856 | \$16,158 |
| Legal Services | \$947,150 | \$838,825 | \$840,000 | \$811,566 |
| Administrative Law Judge Services | \$49,901 | \$69,473 | \$96,000 | \$82,302 |
| Payment to Risk Management and Property Funds | \$14,556 | \$14,534 | \$12,383 | \$18,260 |
| Information Technology Asset Maintenance | \$15,740 | \$28,682 | \$28,813 | \$28,813 |
| Hardware/Software Maintenance | \$24,558 | \$29,609 | \$38,090 | \$38,090 |
| Leased Space | \$219,515 | \$224,697 | \$235,609 | \$297,986 |
| Payments to OIT | \$330,786 | \$306,265 | \$300,185 | \$328,046 |
| CORE Operations (formerly COFRS Modernization) | \$24,136 | \$22,952 | \$29,355 | \$27,734 |
| PERA Direct Distribution (repealed) | \$0 | \$86,937 | \$0 | \$85,535 |
| Statewide Training | \$0 | \$0 | \$0 | \$755 |
| Division of Real Estate | | | | |
| Personal Services | \$4,750,968 | \$4,628,160 | \$4,768,308 | \$4,911,358 |
| Operating Expenses | \$127,297 | \$108,266 | \$198,627 | \$198,627 |
| Hearings Pursuant to Complaint | \$0 | 0 | \$4,000 | \$4,000 |
| Commission Meeting Costs | \$28,268 | \$15,033 | \$36,332 | \$36,332 |
| Mortgage Broker Consumer Protection | \$188,239 | \$200,979 | \$215,767 | \$235,198 |
| Indirect Cost Assessment | \$438,506 | \$457,376 | \$437,647 | \$429,611 |
| Fund Transfer (non-appropriated) | \$302,996 | \$0 | \$2,100,000 | \$0 |
| Grand Total | \$7,478,684 | \$7,049,840 | \$9,357,973 | \$7,550,370 |

Note: For informational purposes prior to fees being set, future year revenue figures reflect amounts required to support spending projected in this schedule, which is subject to change.

| | Actual | Actual | Appropriated | Requested |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|--------------------|------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Fund Reserve Balance | This Cash Fund is out of compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. | | | |
| | Actual | Actual | Appropriated | Requested |
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees) | \$265,953 | \$1,482,667 | \$921,617 | \$1,448,508 |
| Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses) | \$1,233,983 | \$1,163,224 | \$1,544,066 | \$1,245,811 |
| Excess Uncommitted Fee Reserve Balance | (\$968,030) | \$319,443 | (\$622,449) | \$202,697 |
| Compliance Plan (narrative) | With respect to the Division of Real Estate Cash Fund, the Department has already acted to aggressively reduce fees at the earliest possible date, reducing license fees by more than 50%. Given the timing of this fund's license cycle, in which only 1/3 of licenses pay fees each year, it is likely to take multiple fiscal years for compliance to be achieved without also causing a deficit. As such, while it is possible that compliance will be achieved earlier, the Department presently forecasts compliance no later than June 2023. | | | |
| Cash Fund Narrative Information | | | | |
| Purpose/Background of Fund | The fund exists to support the costs of the Division in carrying out its mission to: protect the from incompetent and dishonest real estate practitioners and mortgage loan originators; and, implement the Home Owner Associations information and resource program. | | | |
| Fee Sources | Fee revenue is from professional license fees in the real estate and mortgage professions, and registration fees from Home Owner Associations. | | | |
| Non-Fee Sources | Earned interest. | | | |

| | Actual | Actual | Appropriated | Requested |
|------------------------------------|-------------------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Long Bill Groups Supported by Fund | Division of Real Estate | | | |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 2130 - Division of Securities Cash Fund
11-51-707, C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|--------------------|--------------------|--------------------|--------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$23,115 | \$1,524,540 | \$954,505 | \$514,996 |
| Changes in Cash Assets | \$2,234,862 | (\$2,716,776) | (\$439,509) | (\$165,759) |
| Changes in Non-Cash Assets | \$0 | \$44,810 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$733,437) | \$2,101,931 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$1,501,425 | (\$570,035) | (\$439,509) | (\$165,759) |
| Assets Total | \$4,619,450 | \$1,947,484 | \$1,507,974 | \$1,342,215 |
| Cash (B) | \$4,619,450 | \$1,902,674 | \$1,463,164 | \$1,297,405 |
| Other Assets | \$0 | \$44,810 | \$44,810 | \$44,810 |
| Liabilities Total | \$3,094,910 | \$992,979 | \$992,979 | \$992,979 |
| Cash Liabilities (C) | \$3,094,910 | \$992,979 | \$992,979 | \$992,979 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$1,524,540 | \$954,505 | \$514,996 | \$349,236 |
| Net Cash Assets - (B-C) | \$1,524,540 | \$909,695 | \$470,186 | \$304,426 |
| Change from Prior Year Fund Balance (D-A) | \$1,501,425 | (\$570,035) | (\$439,509) | (\$165,759) |

| | Actual | Actual | Appropriated | Requested |
|-----------------------------------|-------------|-------------|--------------|-------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Flow Summary | | | | |
| Revenue Total | \$6,403,231 | \$4,632,742 | \$5,128,657 | \$5,564,831 |
| Fees and Assessments | \$6,400,981 | \$4,632,517 | \$5,128,432 | \$5,564,606 |
| Other | \$2,250 | \$225 | \$225 | \$225 |
| Expenses Total | \$4,901,806 | \$5,202,776 | \$5,568,166 | \$5,730,590 |
| Cash Expenditures | \$3,949,925 | \$4,074,309 | \$4,380,753 | \$4,538,420 |
| Transfers to Other State Agencies | \$951,881 | \$1,128,468 | \$1,187,413 | \$1,192,170 |
| Net Cash Flow | \$1,501,425 | (\$570,035) | (\$439,509) | (\$165,759) |

| Fund Expenditures Line Item Detail | | | | |
|-------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|
| | Actual | Actual | Appropriated | Requested |
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Executive Director's Office | | | | |
| Workers' Compensation | \$9,718 | \$8,862 | \$8,270 | \$7,928 |
| Legal Services | \$639,376 | \$551,855 | \$550,000 | \$531,382 |
| Administrative Law Judge Services | \$11,417 | \$6,088 | \$9,000 | \$7,716 |
| Payment to Risk Management and Property Funds | \$8,814 | \$7,134 | \$6,076 | \$8,960 |
| Vehicle Lease Payments | \$72 | \$72 | \$119 | \$141 |
| Information Technology Asset Maintenance | \$7,448 | \$13,376 | \$13,438 | \$13,438 |
| Hardware/Software Maintenance | \$10,521 | \$4,133 | \$5,316 | \$5,316 |
| Leased Space | \$99,616 | \$101,912 | \$106,742 | \$135,002 |
| Payments to OIT | \$155,283 | \$150,313 | \$147,324 | \$160,997 |
| CORE Operations <i>(formerly COFRS Modernization)</i> | \$11,368 | \$11,262 | \$14,406 | \$13,610 |
| PERA Direct Distribution <i>(repealed)</i> | \$0 | \$42,668 | \$0 | \$41,980 |
| Statewide Training | \$0 | \$0 | \$0 | \$370 |
| Division of Securities | | | | |
| Personal Services | \$2,707,755 | \$2,875,719 | \$3,182,109 | \$3,277,572 |
| Operating Expenses | \$63,847 | \$59,478 | \$99,064 | \$99,064 |
| Hearings Pursuant to Complaint | \$9,778 | \$16,353 | \$19,594 | \$19,594 |
| Board Meeting Costs | \$715 | \$604 | \$4,500 | \$4,500 |
| Securities Fraud Prosecution | \$951,881 | \$1,128,468 | \$1,187,413 | \$1,192,170 |
| Indirect Cost Assessment | \$214,198 | \$224,479 | \$214,796 | \$210,851 |
| Grand Total | \$4,901,806 | \$5,202,776 | \$5,568,166 | \$5,730,590 |

Note: For informational purposes prior to fees being set, future year revenue figures reflect amounts required to support spending projected in this schedule, which is subject to change.

| | Actual | Actual | Appropriated | Requested |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------------|--------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Fund Reserve Balance | This Cash Fund is out of compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. | | | |
| | Actual | Actual | Appropriated | Requested |
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees) | \$1,522,740 | \$933,469 | \$514,996 | \$349,236 |
| Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses) | \$808,798 | \$858,458 | \$918,747 | \$945,547 |
| Excess Uncommitted Fee Reserve Balance | \$713,942 | \$75,011 | (\$403,752) | (\$596,311) |
| Compliance Plan (narrative) | The Department implemented aggressive fee reductions for this fund at the earliest opportunity, reducing fees by approximately 75% and taking revenue significantly below program costs. Excess fund balance was nearly eliminated by June 2020 having been reduced by 90% (from \$714k to \$75k) since June 2019. A revised implementation date reflects that compliance will occur in Fall 2020. | | | |
| Cash Fund Narrative Information | | | | |
| Purpose/Background of Fund | The fund exists to support the costs of the Division in carrying out its mission to protect investors and maintain public confidence in the securities markets while avoiding unreasonable burdens on participants in the capital markets. | | | |

| | Actual | Actual | Appropriated | Requested |
|------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Fee Sources | The majority of revenue is from initial licensing fees and renewal fees on broker/dealers, representatives and investment advisor licensees. Other minor, miscellaneous fees include registration and exemption from filing, interpretative opinion fees, and other miscellaneous fees. The Department sets fees annually to approximate expenditures. | | | |
| Non-Fee Sources | Earned interest. | | | |
| Long Bill Groups Supported by Fund | Division of Securities | | | |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 2270 - High Cost Administration Fund
40-15-208(3)(a), C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|-----------------|------------------|------------------|------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$15,013 | \$51,326 | \$101,095 | \$154,271 |
| Changes in Cash Assets | \$46,702 | \$28,148 | \$53,176 | \$42,133 |
| Changes in Non-Cash Assets | \$0 | \$309 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$11,240) | \$21,708 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$35,462 | \$50,165 | \$53,176 | \$42,133 |
| Assets Total | \$84,515 | \$112,973 | \$166,148 | \$208,281 |
| Cash (B) | \$84,515 | \$112,663 | \$165,839 | \$207,972 |
| Other Assets | \$0 | \$309 | \$309 | \$309 |
| Liabilities Total | \$33,585 | \$11,877 | \$11,877 | \$11,877 |
| Cash Liabilities (C) | \$33,585 | \$11,877 | \$11,877 | \$11,877 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$51,326 | \$101,095 | \$154,271 | \$196,404 |
| Net Cash Assets - (B-C) | \$50,930 | \$100,786 | \$153,962 | \$196,094 |
| Change from Prior Year Fund Balance (D-A) | \$35,462 | \$49,769 | \$53,176 | \$42,133 |

| | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|--------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Cash Flow Summary | | | | |
| Revenue Total | \$441,790 | \$252,508 | \$253,300 | \$253,283 |
| Fees and Assessments | \$440,000 | \$250,127 | \$250,919 | \$250,902 |
| Fines and Interest | \$1,790 | \$2,381 | \$2,381 | \$2,381 |
| Internal | \$0 | \$0 | \$0 | \$0 |
| Other | \$0 | \$0 | \$0 | \$0 |
| Expenses Total | \$406,328 | \$202,739 | \$200,124 | \$211,150 |
| Cash Expenditures | \$406,328 | \$202,739 | \$200,124 | \$211,150 |
| | | | | |
| Net Cash Flow | \$35,462 | \$49,769 | \$53,176 | \$42,133 |

| Fund Expenditures Line Item Detail | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|-------------------------------------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Executive Director's Office | | | | |
| Workers' Compensation | \$510 | \$717 | \$439 | \$421 |
| Legal Services | \$0 | \$0 | \$0 | \$0 |
| Payment to Risk Management and Property Funds | \$463 | \$578 | \$322 | \$475 |
| Information Technology Asset Maintenance | \$655 | \$567 | \$570 | \$570 |
| Hardware/Software Maintenance | \$180 | \$122 | \$158 | \$158 |
| Leased Space | \$15,035 | \$10,972 | \$7,232 | \$9,147 |
| Payments to OIT | \$16,543 | \$12,173 | \$7,816 | \$8,541 |
| CORE Operations <i>(formerly COFRS Modernization)</i> | \$1,211 | \$912 | \$764 | \$722 |
| PERA Direct Distribution <i>(repealed)</i> | \$0 | \$3,455 | \$0 | \$3,399 |
| Statewide Training | \$0 | \$0 | \$0 | \$20 |
| Public Utilities Commission | | | | |
| Personal Services | \$347,301 | \$150,198 | \$169,469 | \$174,553 |
| Operating Expenses | \$1,513 | \$1,244 | \$1,960 | \$1,960 |
| Indirect Cost Assessment | \$22,917 | \$21,800 | \$11,395 | \$11,186 |
| Grand Total | \$406,328 | \$202,739 | \$200,124 | \$211,150 |

Note: For informational purposes prior to fees being set, future year revenue figures reflect the minimum required to support spending projected in this schedule, which is subject to change.

| | Actual | Actual | Appropriated | Requested |
|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. | | | |
| Cash Fund Narrative Information | | | | |
| Purpose/Background of Fund | The Colorado High Cost Support Mechanism (CHCSM) enables all Colorado citizens to receive affordable basic telephone service by using a statewide surcharge to subsidize service in areas that are costly to serve. The Fund was created using transferred funds and is supported by annual assessments against utilities. The fund exists to support administrative costs associated with the CHCSM. | | | |
| Fee Sources | Assessments against utility companies. | | | |
| Non-Fee Sources | Earned Interest | | | |
| Long Bill Groups Supported by Fund | Public Utilities Commission | | | |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 22J0 - Consumer Outreach and Education Cash Fund
24-34-108, C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|-------------------|------------------|------------------|------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$47,437 | \$18,921 | \$37,210 | \$29,983 |
| Changes in Cash Assets | (\$112,623) | \$98,973 | (\$7,227) | (\$7,584) |
| Changes in Non-Cash Assets | \$0 | \$2,443 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | \$84,106 | (\$83,125) | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | (\$28,517) | \$18,290 | (\$7,227) | (\$7,584) |
| Assets Total | \$100,464 | \$201,879 | \$194,651 | \$187,067 |
| Cash (B) | \$100,464 | \$199,436 | \$192,209 | \$184,624 |
| Other Assets | \$0 | \$2,443 | \$2,443 | \$2,443 |
| Liabilities Total | \$81,543 | \$164,669 | \$164,669 | \$164,669 |
| Cash Liabilities (C) | \$81,543 | \$164,669 | \$164,669 | \$164,669 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$18,921 | \$37,210 | \$29,983 | \$22,398 |
| Net Cash Assets - (B-C) | \$18,921 | \$34,768 | \$27,540 | \$19,956 |
| Change from Prior Year Fund Balance (D-A) | (\$28,517) | \$18,290 | (\$7,227) | (\$7,584) |

| | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|--------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Cash Flow Summary | | | | |
| Revenue Total | \$142,954 | \$251,024 | \$192,773 | \$192,416 |
| Fees and Assessments | \$137,257 | \$248,288 | \$188,032 | \$188,032 |
| Fines and Interest | \$2,642 | \$2,736 | \$4,741 | \$4,384 |
| Internal | \$0 | \$0 | \$0 | \$0 |
| Other | \$3,055 | \$0 | \$0 | \$0 |
| Expenses Total | \$171,471 | \$232,734 | \$200,000 | \$200,000 |
| Cash Expenditures | \$171,471 | \$232,734 | \$200,000 | \$200,000 |
| Net Cash Flow | (\$28,517) | \$18,290 | (\$7,227) | (\$7,584) |

| Fund Expenditures Line Item Detail | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|-----------------------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Executive Director's Office | | | | |
| Consumer Outreach and Education Program | \$171,471 | \$183,004 | \$200,000 | \$200,000 |
| Statutory Transfer | | \$49,730 | | |
| Grand Total | \$171,471 | \$232,734 | \$200,000 | \$200,000 |

| | |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------|

| Cash Fund Narrative Information | |
|------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Purpose/Background of Fund | This program was established for the purposes of informing consumers of their rights regarding regulated professions and occupations, decreasing regulatory violations, and ensuring public awareness of consumer protection information available from the Department. |
| Fee Sources | The revenue for this program is received from a surcharge on fines collected by the Department, presently set at 15%. |
| Non-Fee Sources | None. |
| Long Bill Groups Supported by Fund | Executive Director's Office |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 2440 - Division of Banking Cash Fund
11-102-403, C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|--------------------|--------------------|------------------|------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$540,156 | \$813,530 | \$356,307 | \$348,132 |
| Changes in Cash Assets | \$302,179 | (\$688,331) | (\$8,175) | \$170,330 |
| Changes in Non-Cash Assets | \$0 | \$215,519 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$28,805) | \$15,589 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$273,374 | (\$457,223) | (\$8,175) | \$170,330 |
| Assets Total | \$1,203,336 | \$730,524 | \$722,349 | \$892,679 |
| Cash (B) | \$1,203,336 | \$515,005 | \$506,830 | \$677,160 |
| Other Assets | \$0 | \$215,519 | \$215,519 | \$215,519 |
| Liabilities Total | \$389,806 | \$374,216 | \$374,216 | \$374,216 |
| Cash Liabilities (C) | \$389,806 | \$374,216 | \$374,216 | \$374,216 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$813,530 | \$356,307 | \$348,132 | \$518,462 |
| Net Cash Assets - (B-C) | \$813,530 | \$140,789 | \$132,613 | \$302,944 |
| Change from Prior Year Fund Balance (D-A) | \$273,374 | (\$457,223) | (\$8,175) | \$170,330 |

| | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|--------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Cash Flow Summary | | | | |
| Revenue Total | \$5,550,002 | \$5,142,556 | \$6,047,757 | \$6,117,098 |
| Fees and Assessments | \$5,510,149 | \$5,111,474 | \$6,012,440 | \$6,081,781 |
| Fines and Interest | \$39,823 | \$30,105 | \$34,340 | \$34,340 |
| Internal | \$0 | \$0 | \$0 | \$0 |
| Other | \$30 | \$977 | \$977 | \$977 |
| Expenses Total | \$5,289,013 | \$5,599,779 | \$6,055,932 | \$5,946,768 |
| Cash Expenditures | \$5,289,013 | \$5,599,779 | \$5,685,137 | \$5,946,768 |
| Funds Transfer | \$0 | \$0 | \$370,795 | \$0 |
| Net Cash Flow | \$260,989 | (\$457,223) | (\$8,175) | \$170,330 |

| Fund Expenditures Line Item Detail | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|-------------------------------------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Executive Director's Office | | | | |
| Workers' Compensation | \$10,354 | \$14,765 | \$13,788 | \$13,217 |
| Legal Services | \$54,793 | \$74,826 | \$75,000 | \$72,461 |
| Payment to Risk Management and Property Funds | \$9,391 | \$11,889 | \$10,129 | \$14,936 |
| Information Technology Asset Maintenance | \$43,886 | \$18,954 | \$19,041 | \$19,041 |
| Hardware/Software Maintenance | \$4,136 | \$3,197 | \$4,113 | \$4,113 |
| Leased Space | \$165,984 | \$169,900 | \$178,150 | \$225,315 |
| Payments to OIT | \$258,806 | \$250,525 | \$245,550 | \$268,340 |
| CORE Operations <i>(formerly COFRS Modernization)</i> | \$18,944 | \$18,775 | \$24,012 | \$22,686 |
| PERA Direct Distribution <i>(repealed)</i> | \$0 | \$71,114 | \$0 | \$69,967 |
| Statewide Training | \$0 | \$0 | \$0 | \$617 |
| Division of Banking | | | | |
| Personal Services | \$3,885,662 | \$4,215,483 | \$4,243,128 | \$4,370,422 |
| Operating Expenses | \$466,133 | \$359,873 | \$490,733 | \$490,733 |
| Board Meeting Costs | \$13,928 | \$16,346 | \$23,500 | \$23,500 |
| Indirect Cost Assessment | \$356,997 | \$374,131 | \$357,993 | \$351,419 |
| Funds Transfer | \$0 | \$0 | \$370,795 | \$0 |
| Grand Total | \$5,289,013 | \$5,599,779 | \$6,055,932 | \$5,946,768 |

| | Actual | Actual | Appropriated | Requested |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. | | | |

| Cash Fund Narrative Information | |
|------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Purpose/Background of Fund | The fund exists to support the costs of the Division of Banking and its mission, which is to serve and protect the public interest by promoting a safe and sound financial institutions industry through continuous quality regulation and supervision. |
| Fee Sources | The fund is supported by assessments to financial institutions and various fees as authorized by statute, including charter applications, money transmitter licenses, change fees, and miscellaneous minor fees. These numerous fees include percentage-based assessments as well as individual fees ranging from \$100 - \$12,000. Fees support appropriations made by the General Assembly for the Division. |
| Non-Fee Sources | Earned interest. |
| Long Bill Groups Supported by Fund | Division of Banking. |
| | |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 2720 - Division of Financial Services Cash Fund
11-40-106, C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|------------------|------------------|--------------------|--------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$179,761 | \$207,675 | \$302,799 | \$188,174 |
| Changes in Cash Assets | \$302,564 | \$85,846 | (\$114,625) | (\$111,867) |
| Changes in Non-Cash Assets | \$0 | (\$262,150) | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$274,649) | \$272,927 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$27,914 | \$96,623 | (\$114,625) | (\$111,867) |
| Assets Total | \$584,826 | \$408,522 | \$293,897 | \$182,030 |
| Cash (B) | \$320,028 | \$405,874 | \$291,250 | \$179,383 |
| Other Assets | \$264,798 | \$2,648 | \$2,648 | \$2,648 |
| Liabilities Total | \$378,650 | \$105,723 | \$105,723 | \$105,723 |
| Cash Liabilities (C) | \$378,650 | \$105,723 | \$105,723 | \$105,723 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$207,675 | \$302,799 | \$188,174 | \$76,308 |
| Net Cash Assets - (B-C) | \$207,675 | \$300,152 | \$185,527 | \$73,660 |
| Change from Prior Year Fund Balance (D-A) | \$27,914 | \$95,125 | (\$114,625) | (\$111,867) |

| | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|--------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Cash Flow Summary | | | | |
| Revenue Total | \$1,727,621 | \$1,941,167 | \$1,767,113 | \$1,864,959 |
| Fees and Assessments | \$1,713,412 | \$1,925,922 | \$1,751,040 | \$1,848,886 |
| Fines and Interest | \$14,291 | \$15,245 | \$16,073 | \$16,073 |
| Internal | \$0 | \$0 | \$0 | \$0 |
| Other | (\$82) | \$0 | \$0 | \$0 |
| Expenses Total | \$1,704,635 | \$1,846,042 | \$1,881,738 | \$1,976,826 |
| Cash Expenditures | \$1,704,635 | \$1,846,042 | \$1,881,738 | \$1,976,826 |
| | | | | |
| Net Cash Flow | \$22,986 | \$95,125 | (\$114,625) | (\$111,867) |

| Fund Expenditures Line Item Detail | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|-------------------------------------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Executive Director's Office | | | | |
| Workers' Compensation | \$4,353 | \$5,759 | \$5,377 | \$5,154 |
| Legal Services | \$9,115 | \$6,704 | \$7,000 | \$6,763 |
| Payment to Risk Management and Property Funds | \$3,948 | \$4,637 | \$3,950 | \$5,825 |
| Information Technology Asset Maintenance | \$14,882 | \$5,810 | \$5,836 | \$5,836 |
| Hardware/Software Maintenance | \$1,613 | \$1,061 | \$1,365 | \$1,365 |
| Leased Space | \$64,740 | \$66,269 | \$69,488 | \$87,885 |
| Payments to OIT | \$100,935 | \$97,704 | \$95,764 | \$104,652 |
| CORE Operations <i>(formerly COFRS Modernization)</i> | \$7,388 | \$7,322 | \$9,365 | \$8,848 |
| PERA Direct Distribution <i>(repealed)</i> | \$0 | \$27,735 | \$0 | \$27,288 |
| Statewide Training | \$0 | \$0 | \$0 | \$241 |
| Division of Financial Services | | | | |
| Personal Services | \$1,226,496 | \$1,367,487 | \$1,398,014 | \$1,439,955 |
| Operating Expenses | \$131,936 | \$109,643 | \$145,961 | \$145,961 |
| Indirect Cost Assessment | \$139,229 | \$145,911 | \$139,617 | \$137,053 |
| Grand Total | \$1,704,635 | \$1,846,042 | \$1,881,738 | \$1,976,826 |

| | Actual | Actual | Appropriated | Requested |
|----------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. | | | |
| Cash Fund Narrative Information | | | | |
| Purpose/Background of Fund | The fund exists to support the costs of the Division of Financial Services and its mission, which is to serve and protect the public interest by promoting a safe and sound financial institutions industry through continuous quality regulation and supervision. | | | |
| Fee Sources | The fund is supported by assessments to financial institutions based on assets. The present assessment is calculated to bring in \$1.7 million on an annualized basis. Fees are adjusted biennially, and existing rates are presumed to be adjusted to cover expenditures. | | | |
| Non-Fee Sources | Earned interest. | | | |
| Long Bill Groups Supported by Fund | Division of Financial Services | | | |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 27T0 - Moving Outreach Fund
40-10.1-509, C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|----------------|----------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$1,009 | \$117 | \$4 | \$4 |
| Changes in Cash Assets | (\$3,592) | (\$2,314) | \$0 | \$0 |
| Changes in Non-Cash Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | \$2,700 | \$2,200 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | (\$892) | (\$114) | \$0 | \$0 |
| Assets Total | \$2,317 | \$4 | \$4 | \$4 |
| Cash (B) | \$2,317 | \$4 | \$4 | \$4 |
| Other Assets | \$0 | \$0 | \$0 | \$0 |
| Liabilities Total | \$2,200 | \$0 | \$0 | \$0 |
| Cash Liabilities (C) | \$2,200 | \$0 | \$0 | \$0 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$117 | \$4 | \$4 | \$4 |
| Net Cash Assets - (B-C) | \$117 | \$4 | \$4 | \$4 |
| Change from Prior Year Fund Balance (D-A) | (\$892) | (\$114) | \$0 | \$0 |

| Actual | Actual | Appropriated | Requested |
|------------|------------|--------------|------------|
| FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |

Cash Flow Summary

| | | | | |
|----------------------|---------|---------|---------|---------|
| Revenue Total | \$1,375 | (\$8) | \$5,000 | \$5,000 |
| Fees and Assessments | \$1,335 | \$0 | \$5,000 | \$5,000 |
| Fines and Interest | \$40 | (\$8) | \$0 | \$0 |
| Internal | \$0 | \$0 | \$0 | \$0 |
| Other | \$0 | \$0 | \$0 | \$0 |
| Expenses Total | \$2,290 | \$106 | \$5,000 | \$5,000 |
| Cash Expenditures | \$2,290 | \$106 | \$5,000 | \$5,000 |
| Net Cash Flow | (\$915) | (\$114) | \$0 | \$0 |

Fund Expenditures Line Item Detail

| | Actual | Actual | Appropriated | Requested |
|-----------------------------------------|------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Executive Director's Office | | | | |
| Consumer Outreach and Education Program | \$2,290 | \$106 | \$5,000 | \$5,000 |
| Grand Total | \$2,290 | \$106 | \$5,000 | \$5,000 |

| | |
|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. |
|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|

Cash Fund Narrative Information

| | |
|------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|
| Purpose/Background of Fund | This fund was established for the purposes of educating consumers of their rights and the responsibilities of movers under Section 40-10.1-509, C.R.S. |
| Fee Sources | The revenue for this program is one-half the penalties collected from movers under part 5 of Title 40, Article 10.1 C.R.S. |
| Non-Fee Sources | None. |
| Long Bill Groups Supported by Fund | The funding was included within the auspices of the Consumer Outreach and Education line item in the Executive Director's Office. |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 29C0 - Transportation Network Company Fund
40-10.1-607, C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|--------------------|-------------------|-------------------|------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$453,281 | \$106,933 | \$74,292 | \$41,923 |
| Changes in Cash Assets | (\$341,986) | (\$37,847) | (\$32,369) | (\$2,011) |
| Changes in Non-Cash Assets | \$0 | \$464 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$4,361) | \$4,741 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | (\$346,348) | (\$32,642) | (\$32,369) | (\$2,011) |
| Assets Total | \$134,168 | \$96,785 | \$64,416 | \$62,405 |
| Cash (B) | \$134,168 | \$96,321 | \$63,952 | \$61,941 |
| Other Assets | \$0 | \$464 | \$464 | \$464 |
| Liabilities Total | \$27,234 | \$22,493 | \$22,493 | \$22,493 |
| Cash Liabilities (C) | \$27,234 | \$22,493 | \$22,493 | \$22,493 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$106,933 | \$74,292 | \$41,923 | \$39,912 |
| Net Cash Assets - (B-C) | \$106,933 | \$73,827 | \$41,459 | \$39,448 |
| Change from Prior Year Fund Balance (D-A) | (\$346,348) | (\$32,642) | (\$32,369) | (\$2,011) |

| | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|--------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Cash Flow Summary | | | | |
| Revenue Total | \$222,500 | \$333,750 | \$333,750 | \$383,750 |
| Fees and Assessments | \$222,500 | \$333,750 | \$333,750 | \$383,750 |
| Fines and Interest | \$0 | \$0 | \$0 | \$0 |
| Expenses Total | \$568,848 | \$366,392 | \$366,119 | \$385,761 |
| Cash Expenditures | \$568,848 | \$366,392 | \$366,119 | \$385,761 |
| Net Cash Flow | (\$346,348) | (\$32,642) | (\$32,369) | (\$2,011) |

| Fund Expenditures Line Item Detail | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|-------------------------------------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Executive Director's Office | | | | |
| Workers' Compensation | \$383 | \$1,076 | \$969 | \$929 |
| Legal Services | \$0 | \$0 | \$0 | \$0 |
| Payment to Risk Management and Property Funds | \$347 | \$867 | \$712 | \$1,050 |
| Vehicle Lease Payments | \$0 | \$0 | \$0 | \$0 |
| Information Technology Asset Maintenance | \$539 | \$851 | \$855 | \$855 |
| Hardware/Software Maintenance | \$164 | \$184 | \$236 | \$236 |
| Leased Space | \$11,276 | \$16,461 | \$16,273 | \$20,581 |
| Payments to OIT | \$12,407 | \$18,259 | \$17,261 | \$18,863 |
| CORE Operations <i>(formerly COFRS Modernization)</i> | \$908 | \$1,368 | \$1,688 | \$1,595 |
| PERA Direct Distribution <i>(repealed)</i> | \$0 | \$5,183 | \$0 | \$5,099 |
| Statewide Training | \$0 | \$0 | \$0 | \$43 |
| Public Utilities Commission | | | | |
| Personal Services | \$521,567 | \$297,135 | \$294,887 | \$303,733 |
| Operating Expenses | \$4,142 | \$1,309 | \$8,073 | \$8,073 |
| Expert Testimony | \$0 | \$0 | \$0 | \$0 |
| Indirect Cost Assessment | \$17,115 | \$23,699 | \$25,165 | \$24,702 |
| Grand Total | \$568,848 | \$366,392 | \$366,119 | \$385,761 |

| | Actual | Actual | Appropriated | Requested |
|----------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. | | | |
| Cash Fund Narrative Information | | | | |
| Purpose/Background of Fund | The fund exists to defray the full amount determined by the general assembly for the administrative expenses of the commission for the supervision and regulation of transportation network companies. | | | |
| Fee Sources | The fund is supported by a statutory assessment of \$111,250 charged to the state's three transportation network companies. | | | |
| Non-Fee Sources | Miscellaneous. | | | |
| Long Bill Groups Supported by Fund | Public Utilities Commission. | | | |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 2820 - Division of Insurance Cash Fund
10-1-103, C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|--------------------------------------------------|--------------------|----------------------|--------------------|--------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Year Beginning Fund Balance (A) | \$2,034,275 | \$2,324,955 | \$611,460 | \$0 |
| Changes in Cash Assets | \$431,216 | (\$1,689,545) | (\$611,459) | \$0 |
| Changes in Non-Cash Assets | \$0 | \$387,295 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | (\$140,535) | (\$411,246) | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$290,681 | (\$1,713,496) | (\$611,459) | \$0 |
| Assets Total | \$6,854,851 | \$5,552,602 | \$4,941,143 | \$4,941,143 |
| Cash (B) | \$6,854,851 | \$5,165,306 | \$4,553,848 | \$4,553,848 |
| Other Assets | \$0 | \$387,295 | \$387,295 | \$387,295 |
| Liabilities Total | \$4,529,897 | \$4,941,143 | \$4,941,143 | \$4,941,143 |
| Cash Liabilities (C) | \$4,529,897 | \$4,941,143 | \$4,941,143 | \$4,941,143 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$2,324,955 | \$611,460 | \$0 | \$0 |
| Net Cash Assets - (B-C) | \$2,324,954 | \$224,163 | (\$387,295) | (\$387,295) |
| Change from Prior Year Fund Balance (D-A) | \$290,681 | (\$1,713,496) | (\$611,460) | \$0 |
| Cash Flow Summary | | | | |
| Revenue Total | \$12,020,632 | \$12,078,573 | \$15,240,369 | \$16,017,651 |
| Fees and Assessments | \$10,663,519 | \$10,685,349 | \$11,005,910 | \$11,336,087 |
| Fines and Interest | \$0 | \$0 | | |
| Internal (Federal Pass-Through) | \$1,085,213 | \$1,156,323 | \$1,191,012 | \$1,191,012 |
| Other (Insurance Premium Tax) | \$271,900 | \$236,901 | \$3,043,446 | \$3,490,552 |
| Expenses Total | \$11,730,151 | \$13,792,068 | \$15,851,827 | \$16,017,651 |

| | Actual | Actual | Appropriated | Requested |
|-----------------------------|--------------|---------------|--------------|--------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Expenditures | \$10,559,647 | \$12,550,455 | \$13,351,424 | \$14,741,348 |
| Pass Through Federal | \$1,085,213 | \$1,156,323 | \$1,191,012 | \$1,191,012 |
| Transfers to Other Agencies | \$85,291 | \$85,291 | \$85,291 | \$85,291 |
| Funds Transfer | \$0 | \$0 | \$1,224,100 | \$0 |
| Net Cash Flow | \$290,481 | (\$1,713,496) | (\$611,459) | \$0 |

| Fund Expenditures Line Item Detail | | | | |
|----------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|
| | Actual | Actual | Appropriated | Requested |
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Executive Director's Office | | | | |
| Workers' Compensation | \$20,749 | \$32,928 | \$31,023 | \$29,739 |
| Legal Services | \$1,034,794 | \$1,279,855 | \$1,275,000 | \$1,231,841 |
| Administrative Law Judge Services | \$19,829 | \$26,847 | \$36,082 | \$30,934 |
| Payment to Risk Management and Property Funds | \$18,820 | \$26,512 | \$22,791 | \$33,607 |
| Information Technology Asset Maintenance | \$25,821 | \$41,478 | \$41,668 | \$41,668 |
| Hardware/Software Maintenance | \$178,755 | \$175,156 | \$225,323 | \$225,323 |
| Leased Space | \$479,156 | \$491,154 | \$493,177 | \$623,745 |
| Payments to OIT | \$538,319 | \$558,668 | \$552,487 | \$603,764 |
| CORE Operations <i>(formerly COFRS Modernization)</i> | \$39,405 | \$41,867 | \$54,028 | \$51,044 |
| PERA Direct Distribution <i>(repealed)</i> | \$0 | \$158,585 | \$0 | \$156,027 |
| Statewide Training | \$0 | \$0 | \$0 | \$1,425 |
| Division of Insurance | | | | |
| Personal Services | \$7,188,380 | \$8,624,757 | \$8,448,010 | \$9,546,251 |
| Operating Expenses | \$273,063 | \$314,455 | \$299,455 | \$308,439 |
| Out-of-State Travel Expenses | \$0 | \$0 | \$50,000 | \$50,000 |
| Transfer To CAPCO Administration | \$85,291 | \$85,291 | \$85,291 | \$85,291 |
| Indirect Cost Assessment | \$742,555 | \$778,193 | \$807,258 | \$792,419 |
| Colorado Reinsurance Program | \$0 | \$0 | \$1,015,122 | \$1,015,122 |
| <i>Senior Health Counseling Program non-appropriated</i> | \$1,085,213 | \$1,156,323 | \$1,191,012 | \$1,191,012 |
| Statutory Fund Transfer | \$0 | \$0 | \$1,224,100 | \$0 |
| Grand Total | \$11,730,151 | \$13,792,068 | \$15,851,827 | \$16,017,651 |

| | Actual | Actual | Appropriated | Requested |
|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------|------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. | | | |

| Cash Fund Narrative Information | |
|----------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Purpose/Background of Fund | This fund exists to support the costs of the Division of Insurance and its mission of consumer protection in the insurance industry. |
| Fee Sources | The fund is supported by business and professional license and renewal fees, as well as via a diversion of premium tax revenue that would otherwise be credited to the General Fund. |
| Non-Fee Sources | Premium tax revenue, in applicable years. |
| Long Bill Groups Supported by Fund | Division of Insurance. |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 29D0 - Broadband Fund
40-15-509.5(4), C.R.S. (2020)

| | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|--------------------------------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Year Beginning Fund Balance (A) | \$550,279 | \$665,234 | \$438,532 | \$152,173 |
| Changes in Cash Assets | (\$785,692) | (\$231,721) | (\$286,359) | (\$96,189) |
| Changes in Non-Cash Assets | \$0 | \$339 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | \$900,648 | \$4,680 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$114,955 | (\$226,701) | (\$286,359) | (\$96,189) |
| Assets Total | \$685,886 | \$454,504 | \$168,145 | \$71,956 |
| Cash (B) | \$685,886 | \$454,165 | \$167,806 | \$71,616 |
| Other Assets | \$0 | \$339 | \$339 | \$339 |
| Liabilities Total | \$20,652 | \$15,972 | \$15,972 | \$15,972 |
| Cash Liabilities (C) | \$20,652 | \$15,972 | \$15,972 | \$15,972 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| Ending Fund Balance (D) | \$665,234 | \$438,532 | \$152,173 | \$55,984 |
| Net Cash Assets - (B-C) | \$665,234 | \$438,193 | \$151,834 | \$55,644 |
| Change from Prior Year Fund Balance (D-A) | \$114,955 | (\$226,701) | (\$286,359) | (\$96,189) |

| | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|--------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Cash Flow Summary | | | | |
| Revenue Total | \$455,420 | \$9,267 | \$2,014 | \$200,699 |
| Fees and Assessments | \$0 | \$0 | \$0 | \$0 |
| Fines and Interest | \$21,575 | \$9,267 | \$2,014 | \$699 |
| Other | \$433,845 | \$0 | | \$200,000 |
| Expenses Total | \$361,612 | \$235,968 | \$288,373 | \$296,888 |
| Cash Expenditures | \$361,612 | \$235,968 | \$288,373 | \$296,888 |
| Net Cash Flow | \$93,808 | (\$226,701) | (\$286,359) | (\$96,189) |

| Fund Expenditures Line Item Detail | Actual FY 2018-19 | Actual FY 2019-20 | Appropriated FY 2020-21 | Requested FY 2021-22 |
|------------------------------------------------------------------------------------|----------------------|----------------------|----------------------------|-------------------------|
| Executive Director's Office | | | | |
| Broadband Deployment Board (Non-Appropriated Line Item) and Central Appropriations | \$191,915 | (\$0) | \$0 | \$0 |
| Broadband Administration | \$0 | \$151,041 | \$202,504 | \$202,504 |
| <i>Non-Appropriated Grant Expenditures</i> | \$103,692 | \$0 | \$0 | \$0 |
| Workers' Compensation | \$211 | \$738 | \$689 | \$660 |
| Legal Services | \$47,833 | \$47,057 | \$50,731 | \$49,014 |
| Payment to Risk Management and Property Funds | \$192 | \$594 | \$506 | \$746 |
| Information Technology Asset Maintenance | | | | |
| Hardware/Software Maintenance | | | | |
| Leased Space | \$10,825 | \$19,517 | \$20,465 | \$25,883 |
| Payments to OIT | \$6,470 | \$12,526 | \$12,277 | \$13,416 |
| CORE Operations (formerly COFRS Modernization) | \$474 | \$939 | \$1,201 | \$1,135 |
| PERA Direct Distribution (repealed) | | \$3,556 | \$0 | \$3,499 |
| Statewide Training | | \$0 | \$0 | \$31 |
| Grand Total | \$361,612 | \$235,968 | \$288,373 | \$296,888 |

Note: This Schedule reflects only transfers and spending authority that have occurred to date, and does not presume future decisions on funding.

| | |
|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. |
|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|

| Actual | Actual | Appropriated | Requested |
|------------|------------|--------------|------------|
| FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |

| Cash Fund Narrative Information | |
|------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Purpose/Background of Fund | The fund exists to support the administrative and grant-making duties of the Broadband Deployment Board. |
| Fee Sources | The fund is supported by any transfers made by the Public Utilities Commission from the High Cost Support Mechanism, and any amounts appropriated by the General Assembly. |
| Non-Fee Sources | Miscellaneous. |
| Long Bill Groups Supported by Fund | Public Utilities Commission. |

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2021-22 Budget Request
Fund 5240 - Reinsurance Cash Fund
10-16-1107(1)(a), C.R.S. (2020)

| | Actual | Actual | Appropriated | Requested |
|---------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| <i>Year Beginning Fund Balance (A)</i> | <i>\$0</i> | <i>\$0</i> | <i>\$0</i> | <i>\$0</i> |
| Changes in Cash Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Non-Cash Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Long-Term Assets | \$0 | \$0 | \$0 | \$0 |
| Changes in Total Liabilities | \$0 | \$0 | \$0 | \$0 |
| TOTAL CHANGES TO FUND BALANCE | \$0 | \$0 | \$0 | \$0 |
| <i>Assets Total</i> | <i>\$0</i> | <i>\$0</i> | <i>\$0</i> | <i>\$0</i> |
| Cash (B) | \$0 | \$0 | \$0 | \$0 |
| Other Assets | \$0 | \$0 | \$0 | \$0 |
| <i>Liabilities Total</i> | <i>\$0</i> | <i>\$0</i> | <i>\$0</i> | <i>\$0</i> |
| Cash Liabilities (C) | \$0 | \$0 | \$0 | \$0 |
| Long Term Liabilities | \$0 | \$0 | \$0 | \$0 |
| <i>Ending Fund Balance (D)</i> | <i>\$0</i> | <i>\$0</i> | <i>\$0</i> | <i>\$0</i> |
| <i>Net Cash Assets - (B-C)</i> | <i>\$0</i> | <i>\$0</i> | <i>\$0</i> | <i>\$0</i> |
| <i>Change from Prior Year Fund Balance (D-A)</i> | <i>\$0</i> | <i>\$0</i> | <i>\$0</i> | <i>\$0</i> |

| | Actual | Actual | Appropriated | Requested |
|------------------------------|------------|------------|---------------|---------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Cash Flow Summary | | | <u>Up to</u> | <u>Up to</u> |
| Revenue Total | \$0 | \$8,000 | \$169,439,687 | \$259,439,687 |
| Fees and Assessments | \$0 | \$0 | \$0 | \$0 |
| Transfers | \$0 | \$0 | \$0 | \$90,000,000 |
| Gifts, Grants, and Donations | \$0 | \$0 | \$0 | \$0 |
| Federal Pass-Through Funding | \$0 | \$8,000 | \$169,439,687 | \$169,439,687 |
| Expenses Total | \$0 | \$8,000 | \$169,439,687 | \$259,439,687 |
| Cash Expenditures | \$0 | \$0 | \$0 | \$90,000,000 |
| Federal Pass-Through Funding | \$0 | \$8,000 | \$169,439,687 | \$169,439,687 |
| Net Cash Flow | \$0 | \$0 | \$0 | \$0 |

| Fund Expenditures Line Item Detail | Actual | Actual | Appropriated | Requested |
|------------------------------------------------|------------|------------|---------------|---------------|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 |
| Executive Director's Office | | | <u>Up to</u> | <u>Up to</u> |
| Non-Appropriated Grant Expenditures | \$0 | \$8,000 | \$169,439,687 | \$169,439,687 |
| Non-Appropriated Cash Reinsurance Expenditures | \$0 | \$0 | \$0 | \$90,000,000 |
| Grand Total | \$0 | \$8,000 | \$169,439,687 | \$259,439,687 |

Note: This schedule reflects actual grant activity (\$8k in spending) to date, actual federal awards (to date one \$169.5 million award), and for future years, "up to" maximum amounts contemplated in statute for this new program. Updated actuals and estimates will appear in future schedules, with respect to both administrative expenses as well as program payments to carriers.

| | |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| Cash Fund Reserve Balance | This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2019-20. |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------|