

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 1840 - Fixed Utilities Fund
40-2-114, C.R.S. (2019)

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Year Beginning Fund Balance (A)	\$1,093,529	\$2,232,363	\$1,980,929	\$897,426
Changes in Cash Assets	\$834,919	\$2,548,340	(\$1,083,504)	(\$698,622)
Changes in Non-Cash Assets	\$155,424	(\$25,939)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$148,491	(\$2,773,835)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$1,138,834	(\$251,434)	(\$1,083,504)	(\$698,622)
Assets Total	\$2,979,192	\$5,501,592	\$4,418,089	\$3,719,467
Cash (B)	\$2,564,350	\$5,112,690	\$4,029,186	\$3,330,564
Other Assets	\$414,842	\$388,902	\$388,902	\$388,902
Liabilities Total	\$746,828	\$3,520,663	\$3,520,663	\$3,520,663
Cash Liabilities (C)	\$746,828	\$3,520,663	\$3,520,663	\$3,520,663
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$2,232,363	\$1,980,929	\$897,426	\$198,804
Net Cash Assets - (B-C)	\$1,817,521	\$1,592,027	\$508,523	(\$190,099)
Change from Prior Year Fund Balance (D-A)	\$1,138,834	(\$251,434)	(\$1,083,504)	(\$698,622)
Cash Flow Summary				
Revenue Total	\$13,169,137	\$12,590,009	\$13,266,903	\$13,983,128
Fees and Assessments	\$12,295,138	\$11,649,336	\$12,326,230	\$13,042,455
Other	\$873,999	\$940,673	\$940,673	\$940,673

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Expenses Total	\$12,030,303	\$12,841,443	\$14,350,407	\$14,681,750
Cash Expenditures	\$11,469,060	\$12,244,292	\$13,753,257	\$14,084,600
Pass Through Federal	\$561,243	\$597,151	\$597,151	\$597,151
Net Cash Flow	\$1,138,834	(\$251,434)	\$597,151	(\$698,622)

Fund Expenditures Line Item Detail	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Executive Director's Office				
Workers' Compensation	\$10,755	\$16,437	\$18,290	\$17,335
Legal Services	\$2,398,707	\$2,667,268	\$2,689,933	\$2,757,181
Payment to Risk Management and Property Funds	\$16,170	\$14,911	\$14,733	\$13,086
Vehicle Lease Payments	\$8,622	\$11,672	\$12,466	\$11,155
Information Technology Asset Maintenance	\$36,761	\$20,182	\$20,182	\$20,182
Hardware/Software Maintenance	\$37,150	\$21,645	\$21,645	\$21,645
Leased Space	\$331,606	\$387,491	\$407,085	\$424,285
Payments to OIT	\$382,017	\$420,267	\$422,709	\$428,258
CORE Operations (formerly COFRS Modernization)	\$21,313	\$30,763	\$31,543	\$40,949
Public Utilities Commission				
Personal Services	\$6,100,503	\$6,474,745	\$7,837,498	\$8,033,435
Operating Expenses	\$284,151	\$225,526	\$279,449	\$279,449
Expert Testimony	\$22,560	\$0	\$25,000	\$25,000
Indirect Cost Assessment	\$508,691	\$514,621	\$593,544	\$611,086
<i>Pipeline Safety Non-Appropriated</i>	\$561,243	\$597,151	\$597,151	\$597,151
<i>State Safety Oversight Non-Appropriated</i>	\$291,368	\$428,439	\$428,439	\$428,439
Office of Consumer Counsel				
Personal Services	\$904,054	\$917,685	\$829,482	\$850,219
Operating Expenses	\$54,960	\$30,165	\$55,787	\$55,787
Indirect Cost Assessment	\$59,672	\$62,475	\$65,472	\$67,109
Grand Total	\$12,030,303	\$12,841,443	\$14,350,407	\$14,681,750

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.
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Actual	Actual	Appropriated	Requested
FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to defray the full amount determined by the general assembly for the administrative expenses of the commission for the supervision and regulation of the public utilities paying such fees and for the financing of the office of consumer counsel.
Fee Sources	The fund is supported by annual assessments against fixed utilities, which pay in proportion to their gross intrastate operating revenue. Assessments are collected by the Department of Revenue. Three percent of moneys remitted by the Department of Revenue are credited to the General Fund after deducting statutorily required amounts for State Safety Oversight and Highway Crossing funding. In response to an under-collection of revenue related to the statutory cap during FY 14-15, HB 15-1372 increased the statutory cap on collections as a percentage of gross intrastate operating revenue to 2.5 percent. Telecommunications utilities no longer contribute to the Fixed Utility Fund and now contribute to the Telecommunications Fixed Utility Fund.
Non-Fee Sources	Miscellaneous.
Long Bill Groups Supported by Fund	Public Utilities Commission and the Office of Consumer Counsel.

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 1850 - Motor Carrier Cash Fund
40-2-110.5, C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$346,567	\$48,200	\$302,364	\$356,843
Changes in Cash Assets	(\$285,767)	\$274,138	\$54,479	(\$27,556)
Changes in Non-Cash Assets	\$3,152	(\$464)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$15,753)	(\$19,509)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$298,367)	\$254,164	\$54,479	(\$27,556)
Assets Total	\$374,993	\$648,667	\$703,146	\$675,591
Cash (B)	\$371,841	\$645,979	\$700,458	\$672,902
Other Assets	\$3,152	\$2,689	\$2,689	\$2,689
Liabilities Total	\$326,794	\$346,303	\$346,303	\$346,303
Cash Liabilities (C)	\$326,794	\$346,303	\$346,303	\$346,303
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$48,200	\$302,364	\$356,843	\$329,287
Net Cash Assets - (B-C)	\$45,047	\$299,676	\$354,155	\$326,599
Change from Prior Year Fund Balance (D-A)	(\$298,367)	\$254,164	\$54,479	(\$27,556)

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Flow Summary				
Revenue Total	\$2,460,714	\$2,661,317	\$2,505,953	\$2,524,424
Fees and Assessments	\$2,342,143	\$2,570,028	\$2,467,796	\$2,486,267
Other	\$118,571	\$91,288	\$38,157	\$38,157
Expenses Total	\$2,759,081	\$2,759,081	\$2,451,474	\$2,551,980
Cash Expenditures	\$2,759,081	\$2,759,081	\$2,451,474	\$2,551,980
Net Cash Flow	\$251,835	(\$97,764)	\$54,479	(\$27,556)

Fund Expenditures Line Item Detail	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Executive Director's Office				
Workers' Compensation	\$2,829	\$3,648	\$4,059	\$3,847
Legal Services	\$330,673	\$169,143	\$219,886	\$226,483
Payment to Risk Management and Property Funds	\$4,253	\$3,309	\$3,270	\$3,312
Vehicle Lease Payments	\$10,263	\$7,055	\$7,536	\$7,055
Information Technology Asset Maintenance	\$7,163	\$5,676	\$5,676	\$5,676
Hardware/Software Maintenance	\$11,282	\$6,964	\$6,964	\$11,282
Leased Space	\$101,735	\$107,432	\$112,865	\$115,119
Payments to OIT	\$118,025	\$118,214	\$118,901	\$118,214
CORE Operations <i>(formerly COFRS Modernization)</i>	\$6,588	\$8,653	\$8,872	\$8,653
Public Utilities Commission				
Personal Services	\$1,704,221	\$1,612,435	\$1,693,057	\$1,777,710
Operating Expenses	\$90,580	\$62,672	\$62,672	\$62,672
Expert Testimony	\$93,028	\$98,369	\$0	\$0
CBI Background Checks Pass-Through	\$101,634	\$38,157	\$38,157	\$38,157
Indirect Cost Assessment	\$176,808	\$165,424	\$169,560	\$173,799
Grand Total	\$2,759,081	\$2,407,152	\$2,451,474	\$2,551,980

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.			
Cash Fund Narrative Information				
Purpose/Background of Fund	The fund exists to support the PUC's administration of laws governing the regulation of motor carriers in Colorado.			
Fee Sources	Fees sources include permit fees and annual state identification fees from regulated motor carriers. Vehicle stamp fees generate approximately 15% of fund revenue, while 85% of the fund's revenue for this fund is not controlled by PUC or the State of Colorado.			
Non-Fee Sources	Earned interest.			
Long Bill Groups Supported by Fund	Public Utilities Commission			

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 1860 - Nuclear Materials Transportation Fund
42-20-511, C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$85,518	\$87,126	\$159,124	\$0
Changes in Cash Assets	\$115,726	\$162,265	(\$159,124)	\$0
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$28,600)	(\$3,141)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$87,126	\$159,124	(\$159,124)	\$0
Assets Total	\$115,726	\$162,265	\$3,141	\$3,141
Cash (B)	\$115,726	\$162,265	\$3,141	\$3,141
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$28,600	\$3,141	\$3,141	\$3,141
Cash Liabilities (C)	\$28,600	\$3,141	\$3,141	\$3,141
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$87,126	\$159,124	\$0	\$0
Net Cash Assets - (B-C)	\$87,126	\$159,124	\$0	\$0
Change from Prior Year Fund Balance (D-A)	\$1,607	\$71,998	(\$159,124)	\$0

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Flow Summary				
Revenue Total	\$16,500	\$62,500	\$62,500	\$62,500
Fees and Assessments	\$16,500	\$62,500	\$62,500	\$62,500
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0		
Expenses Total	\$14,893	\$62,500	\$221,624	\$62,500
Cash Expenditures	\$14,893	\$62,500	\$221,624	\$62,500
Net Cash Flow	\$1,607	\$0	(\$159,124)	\$0

Fund Expenditures Line Item Detail	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Grand Total	\$0	\$0	\$0	\$0

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.
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Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to provide funding to support a permitting and inspection program for the regulation of the transport of nuclear materials within or through the state of Colorado. The purpose is to protect citizens and the environment. (§40-20-401, C.R.S.)
Fee Sources	The fund is supported by nuclear materials permit fees (annual and single trip).
Non-Fee Sources	Civil penalties.
Long Bill Groups Supported by Fund	Department of Public Safety (CDPS): Special Programs, Nuclear Materials Transportation. The PUC has no line items supported by this fund.

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 1870 - Highway Crossing Protection Fund
43-4-201, C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$242,815	\$487,901	\$748,405	\$1,251,976
Changes in Cash Assets	\$245,086	\$260,504	\$503,571	\$503,571
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$0	\$0	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$245,086	\$260,504	\$503,571	\$503,571
Assets Total	\$487,901	\$748,405	\$1,251,976	\$1,755,546
Cash (B)	\$487,901	\$748,405	\$1,251,976	\$1,755,546
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$0	\$0	\$0	\$0
Cash Liabilities (C)	\$0	\$0	\$0	\$0
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$487,901	\$748,405	\$1,251,976	\$1,755,546
Net Cash Assets - (B-C)	\$487,901	\$748,405	\$1,251,976	\$1,755,546
Change from Prior Year Fund Balance (D-A)	\$245,086	\$260,504	\$503,571	\$503,571
Cash Flow Summary				
Revenue Total	\$245,123	\$251,134	\$503,571	\$503,571
Fees and Assessments	\$0	\$0	\$244,800	\$244,800
Fines and Interest	\$6,168	\$13,971	\$13,971	\$13,971
Internal	\$0	\$0	\$0	\$0
Transfers (FY 16-17 from HUTF, FY 17-18 from FUF)	\$244,800	\$237,163	\$244,800	\$244,800

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Expenses Total	\$37	\$0	\$0	\$0
Cash Expenditures	\$37	\$0	\$0	\$0
Net Cash Flow	\$245,086	\$251,134	\$503,571	\$503,571

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.
Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to support a program that provides matching financial resources as incentives for railroads and local governments to construct railroad crossing protection devices and safety improvements. Fund encumbrances and expenditures occur across multiple fiscal years for multi-year construction projects.
Fee Sources	None.
Non-Fee Sources	Subject to SB 16-087, there is an annual transfer from fixed utilities assessment revenue of \$240,000. The Fund retains its earned interest.
Long Bill Groups Supported by Fund	PUC: Highway Crossing Protection

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 1880 - Hazardous Materials Safety Fund
42-20-107, C.R.S. (2019)

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Year Beginning Fund Balance (A)	\$309,304	\$175,193	\$263,799	\$0
Changes in Cash Assets	(\$124,148)	\$146,684	(\$263,799)	\$0
Changes in Non-Cash Assets	(\$856)	(\$770)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$9,107)	(\$57,308)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$134,110)	\$88,605	(\$263,799)	\$0
Assets Total	\$184,425	\$330,338	\$66,540	\$66,540
Cash (B)	\$183,654	\$330,338	\$66,540	\$66,540
Other Assets	\$770	\$0	\$0	\$0
Liabilities Total	\$9,232	\$66,540	\$66,540	\$66,540
Cash Liabilities (C)	\$9,232	\$66,540	\$66,540	\$66,540
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$175,193	\$263,799	\$0	\$0
Net Cash Assets - (B-C)	\$174,423	\$263,799	\$0	\$0
Change from Prior Year Fund Balance (D-A)	(\$134,110)	\$88,605	(\$263,799)	\$0
Cash Flow Summary				
Revenue Total	\$202,083	\$194,687	(\$3,874)	(\$3,874)
Fees and Assessments	\$203,407	\$196,915	\$0	\$0
Fines and Interest	\$2,550	\$1,775	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	(\$3,874)	(\$4,003)	(\$3,874)	(\$3,874)
Expenses Total	\$251,202	\$194,383	\$259,925	(\$3,874)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Appropriations Transfers	\$251,202	\$194,383	\$259,925	(\$3,874)
Statutory Transfer			\$0	\$0
Net Cash Flow	(\$49,118)	\$305	(\$263,799)	\$0

Fund Expenditures Line Item Detail	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Grand Total	\$0	\$0	\$0	\$0

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.
Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to provide funding to the Department of Public Safety (DPS) for the Colorado State Patrol (CSP) for hazardous material response and routing across the State. Funding is also provided to DPS for distribution to local governments (responders) for training and equipment purchases. No funds are spent by DORA.
Fee Sources	The fund is supported by Hazardous materials permit fees (annual and single trip).
Non-Fee Sources	Penalties, gifts, donations, federal funds per §42-20-107, C.R.S.
Long Bill Groups Supported by Fund	Department of Public Safety, Executive Director's Office, Distribution to Local Governments--Department of Public Safety, Colorado State Patrol, Hazardous Materials Routing.

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2019-20 Budget Request
Fund 1890 - Division of Professions and Occupations Cash Fund
24-34-104, C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$2,020,108	\$5,083,718	\$2,576,395	\$2,017,532
Changes in Cash Assets	\$3,313,869	(\$2,872,479)	(\$558,862)	(\$1,373,578)
Changes in Non-Cash Assets	(\$36,757)	\$34,895	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$213,501)	\$330,261	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$3,063,610	(\$2,507,323)	(\$558,862)	(\$1,373,578)
Assets Total	\$23,390,989	\$20,553,405	\$19,994,543	\$18,620,965
Cash (B)	\$23,174,372	\$20,301,893	\$19,743,031	\$18,369,452
Other Assets(Detail as necessary)	\$216,617	\$251,512	\$251,512	\$251,512
Liabilities Total	\$18,307,271	\$17,977,010	\$17,977,010	\$17,977,010
Cash Liabilities (C)	\$18,307,271	\$17,977,010	\$17,977,010	\$17,977,010
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$5,083,718	\$2,576,395	\$2,017,532	\$643,954
Net Cash Assets - (B-C)	\$4,867,101	\$2,324,883	\$1,766,020	\$392,442
Change from Prior Year Fund Balance (D-A)	\$3,063,610	(\$2,507,323)	(\$558,862)	(\$1,373,578)
Cash Flow Summary				
Revenue Total	\$27,047,815	\$26,673,789	\$29,256,099	\$29,256,099
Fees and Assessments	\$26,199,640	\$25,823,100	\$28,405,410	\$28,405,410
Fines and Interest	\$0	\$0	\$0	\$0
Internal/Legal Defense Fund Collection	\$243,381	\$257,238	\$257,238	\$257,238

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Other	\$604,794	\$593,451	\$593,451	\$593,451
Expenses Total	\$26,977,049	\$29,181,112	\$29,814,961	\$30,629,677
Cash Expenditures	\$25,482,198	\$27,394,340	\$28,028,189	\$28,842,905
Internal Transfers	\$1,494,851	\$1,786,772	\$1,786,772	\$1,786,772
Net Cash Flow	\$70,766	(\$2,507,323)	(\$558,862)	(\$1,373,578)

Fund Expenditures Line Item Detail				
	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Executive Director's Office				
Workers' Compensation	\$59,631	\$87,520	\$97,384	\$92,300
Legal Services	\$4,052,783	\$4,015,358	\$4,049,478	\$4,150,715
Administrative Law Judge Services	\$176,225	\$292,372	\$264,316	\$364,825
Payment to Risk Management and Property Funds	\$89,655	\$79,384	\$78,438	\$69,669
Vehicle Lease Payments	\$138,871	\$146,577	\$156,552	\$140,085
Information Technology Asset Maintenance	\$36,216	\$136,185	\$136,185	\$136,185
Hardware/Software Maintenance	\$133,546	\$73,556	\$73,556	\$73,556
Leased Space	\$859,215	\$950,079	\$998,120	\$1,040,292
Payments to OIT	\$1,331,318	\$1,375,327	\$1,383,317	\$1,401,478
CORE Operations <i>(formerly COFRS Modernization)</i>	\$67,104	\$94,819	\$97,224	\$126,214
Division of Professions and Occupations				
Personal Services	\$16,291,423	\$17,831,862	\$18,277,658	\$18,734,600
Operating Expenses	\$1,239,408	\$1,435,354	\$1,471,238	\$1,508,019
Office Of Expedited Settlement Program Costs	\$585,211	\$564,220	\$578,326	\$592,784
Hearings Pursuant To Complaint	\$214,368	\$247,357	\$307,075	\$307,075
Payments to Department of Health Care Policy and Financing	\$14,652	\$14,652	\$14,652	\$14,652
Indirect Cost Assessment	\$1,687,423	\$1,786,772	\$1,831,441	\$1,877,227
<i>State Licensing Grant (non-appropriated)</i>		\$49,717		
Grand Total	\$26,977,049	\$29,181,112	\$29,814,961	\$30,629,677

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.			
Cash Fund Narrative Information				
Purpose/Background of Fund	The fund exists to support the costs of the Division to protect the public through effective licensure and enforcement for more than 31 professions and occupations.			
Fee Sources	The fund is supported by numerous professional licensing fees including renewal fees, as well as original license fees, application fees, reciprocity fees, reinstatement fees.			
Non-Fee Sources	Earned interest, as well as miscellaneous revenue such as sales of publications and maps. Additionally, Nurse Aides is funded from Departments of Public Health and Environment and Health Care Policy and Financing, which are required to obtain and forward federal funding.			
Long Bill Groups Supported by Fund	Division of Professions and Occupations			

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 18S0 - Prescription Drug Monitoring Cash Fund
12-42.5-405 C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$351,111	\$311,182	\$789,421	\$535,042
Changes in Cash Assets	(\$26,182)	\$434,250	(\$254,379)	(\$254,938)
Changes in Non-Cash Assets	\$0	\$122,995	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$13,748)	(\$79,006)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$39,930)	\$478,239	(\$254,379)	(\$254,938)
Assets Total	\$353,168	\$910,413	\$656,034	\$401,096
Cash (B)	\$353,168	\$787,418	\$533,039	\$278,101
Other Assets	\$0	\$122,995	\$122,995	\$122,995
Liabilities Total	\$41,986	\$120,992	\$120,992	\$120,992
Cash Liabilities (C)	\$41,986	\$120,992	\$120,992	\$120,992
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$311,182	\$789,421	\$535,042	\$280,104
Net Cash Assets - (B-C)	\$311,182	\$666,426	\$412,047	\$157,109
Change from Prior Year Fund Balance (D-A)	(\$39,930)	\$478,239	(\$254,379)	(\$254,938)
Cash Flow Summary				
Revenue Total	\$769,718	\$1,016,084	\$292,987	\$292,987
Fees and Assessments	\$239,585	\$732,124	\$0	\$0
Fines and Interest	\$0	\$0	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	\$530,133	\$283,960	\$292,987	\$292,987
Expenses Total	\$809,648	\$537,845	\$547,366	\$547,925

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Cash Expenditures	\$279,515	\$253,885	\$254,379	\$254,938
Pass Through Federal	\$530,133	\$283,960	\$292,987	\$292,987
Net Cash Flow	(\$39,930)	\$478,239	(\$254,379)	(\$254,938)

Fund Expenditures Line Item Detail	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Executive Director's Office				
Workers' Compensation	\$514	\$0	\$0	\$0
Legal Services	\$5,103	\$5,577	\$5,624	\$5,764
Payment to Risk Management and Property Funds	\$773	\$0	\$0	\$0
Information Technology Asset Maintenance	\$222,267	\$200,000	\$200,000	\$200,000
Hardware/Software Maintenance	\$670	\$0	\$0	\$0
Leased Space	\$5,108	\$5,318	\$5,586	\$5,822
Payments to OIT	\$10,352	\$0	\$0	\$0
CORE Operations <i>(formerly COFRS Modernization)</i>	\$528	\$0	\$0	\$0
Division of Professions and Occupations				
Personal Services	\$11,708	\$7,117	\$7,294	\$7,477
Operating Expenses	\$6,700	\$35,874	\$35,874	\$35,874
Indirect Cost Assessment	\$15,792	\$0	\$0	\$0
<i>Federal Grant Non-Appropriated</i>	\$530,133	\$283,960	\$292,987	\$292,987
Grand Total	\$809,648	\$537,845	\$547,366	\$547,925

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Cash Fund Reserve Balance	This Cash Fund is in out of compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.			
	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)		\$568,805	\$314,426	\$59,488
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)		\$88,744	\$90,315	\$90,408
Excess Uncommitted Fee Reserve Balance		\$480,061	\$224,111	(\$30,919)
Compliance Plan (narrative)	Fees will be reduced in order to achieve compliance as soon as possible in order to rectify the fund balance. Specifically, fee reductions will be made effective FY 19-20 in order to achieve compliance as soon as is practicable; the next fee setting opportunity is 2020, and so fund balance compliance will be achieved June 2021.			
Cash Fund Narrative Information				
Purpose/Background of Fund	The fund exists to support the costs of the Division in implementing the Prescription Drug Monitoring Program.			
Fee Sources	The only fee source is authorized assessments against licensees having prescriptive authority. This fee is presently set at \$12 annually but will be reduced or eliminated to rectify fund balance.			
Non-Fee Sources	Federal grants, gifts, grants and donations and earned interest.			
Long Bill Groups Supported by Fund	Division of Professions and Occupations.			

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 18W - Viatical Settlements Cash Fund
10-7-619, C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$96,688	\$104,497	\$112,706	\$111,204
Changes in Cash Assets	\$7,805	\$8,226	(\$1,502)	(\$1,502)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$3	(\$17)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$7,808	\$8,209	(\$1,502)	(\$1,502)
Assets Total	\$104,623	\$112,849	\$111,347	\$109,846
Cash (B)	\$104,623	\$112,849	\$111,347	\$109,846
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$126	\$143	\$143	\$143
Cash Liabilities (C)	\$126	\$143	\$143	\$143
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$104,497	\$112,706	\$111,204	\$109,703
Net Cash Assets - (B-C)	\$104,497	\$112,706	\$111,204	\$109,703
Change from Prior Year Fund Balance (D-A)	\$7,808	\$8,209	(\$1,502)	(\$1,502)

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Flow Summary				
Revenue Total	\$8,540	\$9,904	\$9,904	\$9,904
Fees and Assessments	\$8,540	\$9,904	\$9,904	\$9,904
Expenses Total	\$1,502	\$1,695	\$11,406	\$11,406
Cash Expenditures	\$1,502	\$1,695	\$11,406	\$11,406
Net Cash Flow	\$7,038	\$8,209	(\$1,502)	(\$1,502)

Fund Expenditures Line Item Detail	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Division of Insurance				
Personal Services	\$1,502	\$1,502	\$11,406	\$11,406
Grand Total	\$1,502	\$1,502	\$11,406	\$11,406

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.
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Cash Fund Narrative Information	
Purpose/Background of Fund	The purpose of the fund is to support the costs of regulating the activities of viatical settlement producers and providers involving agreements to assign, transfer, sell or bequest the death benefit or ownership of a life insurance policy in exchange for compensation less than the expected death benefit.
Fee Sources	The fund is supported by fees assessed against viatical settlement providers and life insurance producers.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Insurance Personal Services.

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 1960 - Disabled Telephone Users' Fund
40-17-104, C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$55,597	\$293,597	\$297,070	\$430,574
Changes in Cash Assets	\$191,200	\$20,347	\$133,505	\$307,995
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$46,800	(\$16,874)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$238,000	\$3,473	\$133,505	\$307,995
Assets Total	\$444,234	\$464,582	\$598,086	\$906,081
Cash (B)	\$444,234	\$464,582	\$598,086	\$906,081
Other Assets			\$0	\$0
Liabilities Total	\$150,638	\$167,512	\$167,512	\$167,512
Cash Liabilities (C)	\$150,638	\$167,512	\$167,512	\$167,512
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$293,597	\$297,070	\$430,574	\$738,569
Net Cash Assets - (B-C)	\$293,597	\$297,070	\$430,574	\$738,569
Change from Prior Year Fund Balance (D-A)	\$238,000	\$3,473	\$133,505	\$307,995
Cash Flow Summary				
Revenue Total	\$3,536,368	\$3,622,494	\$3,622,494	\$3,803,234
Fees and Assessments	\$3,529,583	\$3,614,784	\$3,614,784	\$3,795,523
Fines and Interest	\$6,785	\$7,710	\$7,710	\$7,710

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Expenses Total	\$3,298,368	\$3,626,573	\$3,488,990	\$3,495,239
Cash Expenditures	\$1,219,601	\$1,132,921	\$995,337	\$1,001,586
Transfers to Other Agencies	\$2,078,768	\$2,493,652	\$2,493,652	\$2,493,652
Net Cash Flow	\$238,000	(\$4,079)	\$133,505	\$307,995

Fund Expenditures Line Item Detail				
	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Executive Director's Office				
Workers' Compensation	\$328	\$266	\$296	\$281
Payment to Risk Management and Property Funds	\$493	\$241	\$238	\$212
Information Technology Asset Maintenance	\$661	\$547	\$547	\$547
Hardware/Software Maintenance	\$1,306	\$219	\$219	\$219
Leased Space	\$11,782	\$7,830	\$8,226	\$8,573
Payments to OIT	\$13,667	\$8,616	\$8,666	\$8,780
CORE Operations <i>(formerly COFRS Modernization)</i>	\$768	\$631	\$647	\$840
Public Utilities Commission				
Personal Services	\$204,994	\$217,479	\$222,915	\$228,488
Operating Expenses	\$3,567	\$2,489	\$2,551	\$2,615
Disabled Telephone Users Fund Payments	\$961,562	\$882,555	\$738,682	\$738,682
Transfer To Reading Services For The Blind Cash Fund	\$710,000	\$510,000	\$510,000	\$510,000
Commission For The Deaf And Hard Of Hearing Fund	\$1,368,768	\$1,983,652	\$1,983,652	\$1,983,652
Indirect Cost Assessment	\$20,474	\$12,049	\$12,350	\$12,350
Grand Total	\$3,298,368	\$3,626,573	\$3,488,990	\$3,495,239

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.			
Cash Fund Narrative Information				
Purpose/Background of Fund	The purpose of the Fund is to support the costs of a telephone relay service for disabled telephone users (including deaf, hard of hearing, and speech-impaired individuals, among others). Beginning with S.B. 99-165, there has been an annual transfer to the Reading Services for the Blind Cash Fund. Each calendar year there is also an annual transfer to the Deaf and Hard of Hearing Cash Fund pursuant to §40-7-104(4)(a), C.R.S. These transfers have been increasing in recent years such that this activity now accounts for approximately 70% of the Fund's expenditures.			
Fee Sources	The relay program is funded by a uniform, per month per access line surcharge to all business and residential telephone customers. The surcharge does not apply to wireless customers. While the increased subsidies to other agencies had required an increase from \$0.12 per line per month to \$0.20 per line per month, a substantial decrease in the fee (presently \$0.04 per line per month) occurred in order to reduce fund balance. HB 16-1414 added wireless subscribers to the base of assessment payers. FY 18-19 actual revenue will affect whether further fee adjustment is necessary.			
Non-Fee Sources	Earned interest.			
Long Bill Groups Supported by Fund	The PUC's appropriation includes a line item called "Disabled Telephone Users' Fund," which funds the annual contract for the provision of telephone services for the hearing impaired. The Fund also pays administrative costs for administering the program in the PUC.			

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 2006 - Telecommunications Fixed Utility Fund
40-2-114(b), C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$433,583	\$98,920	\$179,663	\$654,444
Changes in Cash Assets	(\$349,656)	\$79,449	\$474,781	\$446,512
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$14,993	\$1,295	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$334,663)	\$80,743	\$474,781	\$446,512
Assets Total	\$180,393	\$259,842	\$734,623	\$1,181,135
Cash (B)	\$180,393	\$259,842	\$734,623	\$1,181,135
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$81,474	\$80,179	\$80,179	\$80,179
Cash Liabilities (C)	\$81,474	\$80,179	\$80,179	\$80,179
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$98,920	\$179,663	\$654,444	\$1,100,956
Net Cash Assets - (B-C)	\$98,920	\$179,663	\$654,444	\$1,100,956
Change from Prior Year Fund Balance (D-A)	(\$334,663)	\$80,743	\$474,781	\$446,512

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Flow Summary				
Revenue Total	\$1,260,620	\$1,146,416	\$1,565,483	\$1,565,483
Fees and Assessments	\$1,260,620	\$1,146,416	\$1,565,483	\$1,565,483
Fines and Interest	\$0	\$0		
Expenses Total	\$1,595,283	\$1,065,672	\$1,090,702	\$1,118,971
Cash Expenditures	\$1,595,283	\$1,065,672	\$1,090,702	\$1,118,971
Pass Through Federal	\$0	\$0	\$0	\$0
Transfers to Other Agencies	\$0	\$0	\$0	\$0
Net Cash Flow	(\$334,663)	\$80,743	\$474,781	\$446,512

Fund Expenditures Line Item Detail	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Executive Director's Office				
Workers' Compensation	\$1,461	\$1,850	\$2,059	\$1,951
Legal Services	\$272,923	\$111,678	\$112,627	\$115,443
Payment to Risk Management and Property Funds	\$2,197	\$1,678	\$1,658	\$1,473
Vehicle Lease Payments	\$0	\$0	\$0	\$0
Information Technology Asset Maintenance	\$2,947	\$2,908	\$2,908	\$2,908
Hardware/Software Maintenance	\$5,829	\$1,649	\$1,649	\$1,649
Leased Space	\$52,559	\$54,501	\$57,257	\$59,676
Payments to OIT	\$60,975	\$59,969	\$60,317	\$61,109
CORE Operations (formerly COFRS Modernization)	\$3,408	\$4,390	\$4,501	\$5,844
Public Utilities Commission				
Personal Services	\$1,076,622	\$726,853	\$745,024	\$763,650
Operating Expenses	\$25,020	\$17,476	\$17,913	\$18,360
Expert Testimony	\$0	\$0	\$0	\$0
Indirect Cost Assessment	\$91,343	\$82,721	\$84,789	\$86,909
Grand Total	\$1,595,283	\$1,065,672	\$1,090,702	\$1,118,971

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.
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Actual	Actual	Appropriated	Requested
FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to defray the full amount determined by the general assembly for the administrative expenses of the commission for the supervision and regulation of telecommunications public utilities paying such fees.
Fee Sources	The fund is supported by annual assessments against telecommunications fixed utilities, which pay in proportion to their gross intrastate operating revenue. Assessments are collected by the Department of Revenue. HB 15-1372 created the Telecommunications Fixed Utility Fund, and telecommunications utilities no longer contribute to the Fixed Utility Fund.
Non-Fee Sources	Miscellaneous.
Long Bill Groups Supported by Fund	Public Utilities Commission.

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2019-20 Budget Request
Fund 2120 - Division of Real Estate Cash Fund
12-61-111.5(2)(b), C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$791,599	\$1,293,742	\$265,953	\$302,262
Changes in Cash Assets	\$1,010,375	\$1,358,290	\$36,309	\$586,631
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$508,232)	(\$2,386,080)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$502,144	(\$1,027,790)	\$36,309	\$586,631
Assets Total	\$4,980,746	\$6,339,036	\$6,375,345	\$6,961,976
Cash (B)	\$4,980,746	\$6,339,036	\$6,375,345	\$6,961,976
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$3,687,004	\$6,073,084	\$6,073,084	\$6,073,084
Cash Liabilities (C)	\$3,687,004	\$6,073,084	\$6,073,084	\$6,073,084
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$1,293,742	\$265,953	\$302,262	\$888,893
Net Cash Assets - (B-C)	\$1,293,742	\$265,953	\$302,262	\$888,893
Change from Prior Year Fund Balance (D-A)	\$502,144	(\$1,027,790)	\$36,309	\$586,631
Cash Flow Summary				
Revenue Total	\$7,648,893	\$6,450,894	\$7,467,915	\$8,191,706
Fees and Assessments	\$6,703,615	\$6,031,596	\$7,237,915	\$7,961,706
Fines and Interest	\$307,177	\$418,677	\$230,000	\$230,000
Internal	\$0	\$0	\$0	\$0
Other	\$638,100	\$621	\$0	\$0

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Expenses Total	\$5,260,813	\$7,478,684	\$7,431,606	\$7,605,076
Cash Expenditures	\$5,260,813	\$7,175,688	\$7,431,606	\$7,605,076
Transfer to Fund 1291		\$302,996		
Net Cash Flow	\$2,388,080	(\$1,027,790)	\$36,309	\$586,631

Fund Expenditures Line Item Detail				
	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Executive Director's Office				
Workers' Compensation	\$11,467	\$16,068	\$17,879	\$16,946
Legal Services	\$849,348	\$947,150	\$955,198	\$979,078
Administrative Law Judge Services	\$20,652	\$49,901	\$45,113	\$62,268
Payment to Risk Management and Property Funds	\$17,242	\$14,556	\$14,383	\$12,775
Information Technology Asset Maintenance	\$36,784	\$15,740	\$16,811	\$15,043
Hardware/Software Maintenance	\$38,590	\$24,558	\$24,558	\$24,558
Leased Space	\$182,822	\$219,515	\$219,515	\$219,515
Payments to OIT	\$320,807	\$330,786	\$347,512	\$362,195
CORE Operations (formerly COFRS Modernization)	\$17,904	\$24,136	\$24,276	\$24,595
Division of Real Estate			\$0	\$0
Personal Services	\$4,804,606	\$4,750,968	\$4,869,742	\$4,991,485
Operating Expenses	\$166,807	\$127,297	\$198,627	\$198,627
Hearings Pursuant to Complaint	\$0	0	\$0	\$0
Commission Meeting Costs	\$20,604	\$28,268	\$36,332	\$36,332
Mortgage Broker Consumer Protection	\$208,164	\$188,239	\$204,284	\$204,284
Indirect Cost Assessment	\$450,951	\$438,506	\$457,376	\$457,376
Transfer to Fund 1291 (non-appropriated)		\$302,996		
Grand Total	\$7,146,749	\$7,478,684	\$7,431,606	\$7,605,076

Note: For informational purposes prior to fees being set, future year revenue figures reflect amounts required to support spending projected in this schedule, which is subject to change.

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.			
Cash Fund Narrative Information				
Purpose/Background of Fund	The fund exists to support the costs of the Division in carrying out its mission to: protect the from incompetent and dishonest real estate practitioners and mortgage loan originators; and, implement the Home Owner Associations information and resource program.			
Fee Sources	Fee revenue is from professional license fees in the real estate and mortgage professions, and registration fees from Home Owner Associations.			
Non-Fee Sources	Earned interest.			
Long Bill Groups Supported by Fund	Division of Real Estate			

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2019-20 Budget Request
Fund 2130 - Division of Securities Cash Fund
11-51-707, C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$138,923	\$23,115	\$1,524,593	\$994,663
Changes in Cash Assets	\$140,121	\$2,234,862	(\$529,930)	(\$533,404)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$255,929)	(\$733,384)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$115,808)	\$1,501,478	(\$529,930)	(\$533,404)
Assets Total	\$2,384,588	\$4,619,450	\$4,089,520	\$3,556,116
Cash (B)	\$2,384,588	\$4,619,450	\$4,089,520	\$3,556,116
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$2,361,473	\$3,094,857	\$3,094,857	\$3,094,857
Cash Liabilities (C)	\$2,361,473	\$3,094,857	\$3,094,857	\$3,094,857
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$23,115	\$1,524,593	\$994,663	\$461,259
Net Cash Assets - (B-C)	\$23,115	\$1,524,593	\$994,663	\$461,259
Change from Prior Year Fund Balance (D-A)	(\$115,808)	\$1,501,478	(\$529,930)	(\$533,404)

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Flow Summary				
Revenue Total	\$5,273,948	\$6,403,231	\$4,720,176	\$4,814,535
Fees and Assessments	\$5,273,291	\$6,400,981	\$4,717,926	\$4,812,285
Other	\$658	\$2,250	\$2,250	\$2,250
Expenses Total	\$5,389,756	\$4,901,806	\$5,250,106	\$5,347,939
Cash Expenditures	\$4,385,656	\$3,949,925	\$4,074,677	\$4,172,510
Transfers to Other State Agencies	\$1,004,100	\$951,881	\$1,175,429	\$1,175,429
Net Cash Flow	(\$115,808)	\$1,501,425	(\$529,930)	(\$533,404)

Fund Expenditures Line Item Detail	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Executive Director's Office				
Workers' Compensation	\$6,683	\$9,718	\$10,813	\$10,249
Legal Services	\$953,279	\$639,376	\$644,809	\$660,929
Administrative Law Judge Services	\$25,836	\$11,417	\$10,321	\$14,246
Payment to Risk Management and Property Funds	\$10,051	\$8,814	\$8,709	\$7,735
Vehicle Lease Payments	\$120	\$72	\$77	\$69
Information Technology Asset Maintenance	\$26,902	\$7,448	\$7,448	\$7,448
Hardware/Software Maintenance	\$17,879	\$10,521	\$10,521	\$10,521
Leased Space	\$82,866	\$99,616	\$104,653	\$109,075
Payments to OIT	\$145,545	\$155,283	\$156,185	\$158,236
CORE Operations (formerly COFRS Modernization)	\$8,066	\$11,368	\$11,656	\$15,132
Division of Securities				
Personal Services	\$2,824,404	\$2,707,755	\$2,775,449	\$2,844,835
Operating Expenses	\$65,810	\$63,847	\$99,064	\$99,064
Hearings Pursuant to Complaint	\$12,960	\$9,778	\$9,778	\$9,778
Board Meeting Costs	\$665	\$715	\$715	\$715
Securities Fraud Prosecution	\$1,004,100	\$951,881	\$1,175,429	\$1,175,429
Indirect Cost Assessment	\$204,590	\$214,198	\$224,479	\$224,479
Grand Total	\$5,389,756	\$4,901,806	\$5,250,106	\$5,347,939

Note: For informational purposes prior to fees being set, future year revenue figures reflect amounts required to support spending projected in this schedule, which is subject to change.

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Cash Fund Reserve Balance	This Cash Fund is out of compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.			
	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)		\$1,522,740	\$994,663	\$461,259
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)		\$808,798	\$866,267	\$882,410
Excess Uncommitted Fee Reserve Balance		\$713,942	\$128,396	(\$421,151)
Compliance Plan (narrative)	Fees will be reduced effective 2020 in order to achieve compliance as soon as possible. Fund balance compliance is expected to be achieved by June 2020.			
Cash Fund Narrative Information				
Purpose/Background of Fund	The fund exists to support the costs of the Division in carrying out its mission to protect investors and maintain public confidence in the securities markets while avoiding unreasonable burdens on participants in the capital markets.			
Fee Sources	The majority of revenue is from initial licensing fees and renewal fees on broker/dealers, representatives and investment advisor licensees. Other minor, miscellaneous fees include registration and exemption from filing, interpretative opinion fees, and other miscellaneous fees. The Department sets fees annually to approximate expenditures.			
Non-Fee Sources	Earned interest.			

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Long Bill Groups Supported by Fund	Division of Securities			

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 2270 - High Cost Administration Fund
40-15-208(3)(a), C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$135,449	\$15,013	\$51,326	\$92,978
Changes in Cash Assets	(\$114,961)	\$47,553	\$41,652	(\$50,318)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$5,475)	(\$11,240)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$120,436)	\$36,313	\$41,652	(\$50,318)
Assets Total	\$37,358	\$84,911	\$126,564	\$76,246
Cash (B)	\$37,358	\$84,911	\$126,564	\$76,246
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$22,345	\$33,585	\$33,585	\$33,585
Cash Liabilities (C)	\$22,345	\$33,585	\$33,585	\$33,585
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$15,013	\$51,326	\$92,978	\$42,661
Net Cash Assets - (B-C)	\$15,013	\$51,326	\$92,978	\$42,661
Change from Prior Year Fund Balance (D-A)	(\$120,436)	\$36,313	\$41,652	(\$50,318)

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Flow Summary				
Revenue Total	\$118,368	\$441,790	\$458,176	\$376,289
Fees and Assessments	\$118,368	\$440,000	\$458,176	\$376,289
Fines and Interest	\$0	\$1,790	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Expenses Total	\$238,804	\$406,328	\$416,524	\$426,607
Cash Expenditures	\$238,804	\$406,328	\$416,524	\$426,607
Net Cash Flow	(\$120,436)	\$35,462	\$41,652	(\$50,318)

Fund Expenditures Line Item Detail	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Executive Director's Office				
Workers' Compensation	\$269	\$510	\$567	\$538
Legal Services	\$0	\$0	\$0	\$0
Payment to Risk Management and Property Funds	\$404	\$463	\$457	\$406
Information Technology Asset Maintenance	\$542	\$655	\$700	\$626
Hardware/Software Maintenance	\$1,072	\$180	\$180	\$180
Leased Space	\$9,666	\$15,035	\$15,035	\$15,035
Payments to OIT	\$11,214	\$16,543	\$17,380	\$18,114
CORE Operations (formerly COFRS Modernization)	\$626	\$1,211	\$1,218	\$1,234
Public Utilities Commission				
Personal Services	\$196,133	\$347,301	\$355,983	\$364,883
Operating Expenses	\$2,079	\$1,513	\$1,513	\$1,513
Indirect Cost Assessment	\$16,799	\$22,917	\$23,490	\$24,077
Grand Total	\$238,804	\$406,328	\$416,524	\$426,607

Note: For informational purposes prior to fees being set, future year revenue figures reflect the minimum required to support spending projected in this schedule, which is subject to change.

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.			
Cash Fund Narrative Information				
Purpose/Background of Fund	The Colorado High Cost Support Mechanism (CHCSM) enables all Colorado citizens to receive affordable basic telephone service by using a statewide surcharge to subsidize service in areas that are costly to serve. The Fund was created using transferred funds and is supported by annual assessments against utilities. The fund exists to support administrative costs associated with the CHCSM.			
Fee Sources	Assessments against utility companies.			
Non-Fee Sources	Earned Interest			
Long Bill Groups Supported by Fund	Public Utilities Commission			

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 22J0 - Consumer Outreach and Education Cash Fund
24-34-108, C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$40,271	\$47,437	\$18,921	\$18,921
Changes in Cash Assets	\$34,702	(\$112,623)	\$0	\$0
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$27,535)	\$84,106	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$7,166	(\$28,517)	\$0	\$0
Assets Total	\$213,087	\$100,464	\$100,464	\$100,464
Cash (B)	\$213,087	\$100,464	\$100,464	\$100,464
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$165,649	\$81,543	\$81,543	\$81,543
Cash Liabilities (C)	\$165,649	\$81,543	\$81,543	\$81,543
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$47,437	\$18,921	\$18,921	\$18,921
Net Cash Assets - (B-C)	\$47,437	\$18,921	\$18,921	\$18,921
Change from Prior Year Fund Balance (D-A)	\$7,166	(\$28,517)	\$0	\$0

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Flow Summary				
Revenue Total	\$206,730	\$206,730	\$200,000	\$200,000
Fees and Assessments	\$206,657	\$206,657	\$200,000	\$200,000
Fines and Interest	\$2,588	\$2,588	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	(\$2,515)	(\$2,515)	\$0	\$0
Expenses Total	\$199,564	\$199,564	\$200,000	\$200,000
Cash Expenditures	\$199,564	\$199,564	\$200,000	\$200,000
Net Cash Flow	\$7,166	\$7,166	\$0	\$0

Fund Expenditures Line Item Detail	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Executive Director's Office				
Consumer Outreach and Education Program	\$199,564	\$199,564	\$200,000	\$200,000
Grand Total	\$199,564	\$199,564	\$200,000	\$200,000

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.
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Cash Fund Narrative Information	
Purpose/Background of Fund	This program was established for the purposes of informing consumers of their rights regarding regulated professions and occupations, decreasing regulatory violations, and ensuring public awareness of consumer protection information available from the Department.
Fee Sources	The revenue for this program is received from a surcharge on fines collected by the Department, presently set at 15%.
Non-Fee Sources	None.
Long Bill Groups Supported by Fund	Executive Director's Office

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 2440 - Division of Banking Cash Fund
11-102-403, C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$687,689	\$540,156	\$813,530	\$741,590
Changes in Cash Assets	(\$117,270)	\$302,179	(\$71,940)	(\$187,830)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$30,263)	(\$28,805)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$147,533)	\$273,374	(\$71,940)	(\$187,830)
Assets Total	\$901,156	\$1,203,336	\$1,131,396	\$943,566
Cash (B)	\$901,156	\$1,203,336	\$1,131,396	\$943,566
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$361,000	\$389,806	\$389,806	\$389,806
Cash Liabilities (C)	\$361,000	\$389,806	\$389,806	\$389,806
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$540,156	\$813,530	\$741,590	\$553,760
Net Cash Assets - (B-C)	\$540,156	\$813,530	\$741,590	\$553,760
Change from Prior Year Fund Balance (D-A)	(\$147,533)	\$273,374	(\$71,940)	(\$187,830)

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Flow Summary				
Revenue Total	\$5,034,163	\$5,550,002	\$5,377,419	\$5,377,419
Fees and Assessments	\$5,013,922	\$5,510,149	\$5,337,566	\$5,337,566
Fines and Interest	\$25,971	\$39,823	\$39,823	\$39,823
Internal	\$0	\$0	\$0	\$0
Other	(\$5,730)	\$30	\$30	\$30
Expenses Total	\$5,181,761	\$5,289,013	\$5,449,359	\$5,565,248
Cash Expenditures	\$5,181,761	\$5,289,013	\$5,449,359	\$5,565,248
Net Cash Flow	(\$147,598)	\$260,989	(\$71,940)	(\$187,830)

Fund Expenditures Line Item Detail	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Executive Director's Office				
Workers' Compensation	\$7,121	\$10,354	\$11,521	\$10,919
Legal Services	\$56,330	\$54,793	\$55,259	\$56,640
Payment to Risk Management and Property Funds	\$10,708	\$9,391	\$9,279	\$8,242
Information Technology Asset Maintenance	\$27,113	\$43,886	\$43,886	\$43,886
Hardware/Software Maintenance	\$28,139	\$4,136	\$4,136	\$4,136
Leased Space	\$138,237	\$165,984	\$174,377	\$181,744
Payments to OIT	\$242,575	\$258,806	\$260,310	\$263,727
CORE Operations <i>(formerly COFRS Modernization)</i>	\$13,536	\$18,944	\$19,424	\$25,216
Division of Banking				
Personal Services	\$3,848,408	\$3,885,662	\$3,982,804	\$4,082,374
Operating Expenses	\$453,534	\$466,133	\$490,733	\$490,733
Board Meeting Costs	\$15,077	\$13,928	\$23,500	\$23,500
Indirect Cost Assessment	\$340,984	\$356,997	\$374,131	\$374,131
Grand Total	\$5,181,761	\$5,289,013	\$5,449,359	\$5,565,248

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.
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Actual	Actual	Appropriated	Requested
FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to support the costs of the Division of Banking and its mission, which is to serve and protect the public interest by promoting a safe and sound financial institutions industry through continuous quality regulation and supervision.
Fee Sources	The fund is supported by assessments to financial institutions and various fees as authorized by statute, including charter applications, money transmitter licenses, change fees, and miscellaneous minor fees. These numerous fees include percentage-based assessments as well as individual fees ranging from \$100 - \$12,000. Fees support appropriations made by the General Assembly for the Division.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Banking.

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 2720 - Division of Financial Services Cash Fund
11-40-106, C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$309,356	\$179,761	\$207,675	\$130,302
Changes in Cash Assets	(\$140,654)	\$302,564	(\$77,372)	\$69,483
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$11,058	(\$274,649)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$129,596)	\$27,914	(\$77,372)	\$69,483
Assets Total	\$283,761	\$586,325	\$508,953	\$578,435
Cash (B)	\$283,761	\$586,325	\$508,953	\$578,435
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$104,001	\$378,650	\$378,650	\$378,650
Cash Liabilities (C)	\$104,001	\$378,650	\$378,650	\$378,650
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$179,761	\$207,675	\$130,302	\$199,785
Net Cash Assets - (B-C)	\$179,761	\$207,675	\$130,302	\$199,785
Change from Prior Year Fund Balance (D-A)	(\$129,596)	\$27,914	(\$77,372)	\$69,483

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Flow Summary				
Revenue Total	\$1,560,651	\$1,727,621	\$1,718,844	\$1,855,215
Fees and Assessments	\$1,552,534	\$1,713,412	\$1,704,635	\$1,841,006
Fines and Interest	\$11,334	\$14,291	\$14,291	\$14,291
Internal	\$0	\$0	\$0	\$0
Other	(\$3,216)	(\$82)	(\$82)	(\$82)
Expenses Total	\$1,690,247	\$1,704,635	\$1,796,216	\$1,785,732
Cash Expenditures	\$1,690,247	\$1,704,635	\$1,796,216	\$1,785,732
Net Cash Flow	(\$129,596)	\$22,986	(\$77,372)	\$69,483

Fund Expenditures Line Item Detail	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Executive Director's Office				
Workers' Compensation	\$2,994	\$4,353	\$4,844	\$4,591
Legal Services	\$5,529	\$9,115	\$9,192	\$9,422
Payment to Risk Management and Property Funds	\$4,502	\$3,948	\$3,901	\$3,465
Information Technology Asset Maintenance	\$8,034	\$14,882	\$14,882	\$14,882
Hardware/Software Maintenance	\$10,452	\$1,613	\$1,613	\$1,613
Leased Space	\$53,917	\$64,740	\$68,014	\$70,887
Payments to OIT	\$94,605	\$100,935	\$101,521	\$102,854
CORE Operations (formerly COFRS Modernization)	\$5,280	\$7,388	\$7,575	\$9,834
Division of Financial Services				
Personal Services	\$1,231,004	\$1,226,496	\$1,257,158	\$1,288,587
Operating Expenses	\$140,947	\$131,936	\$145,921	\$145,921
Indirect Cost Assessment	\$132,984	\$139,229	\$145,911	\$149,559
Grand Total	\$1,690,247	\$1,704,635	\$1,760,533	\$1,801,615

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.			
Compliance Plan (narrative)				
Cash Fund Narrative Information				
Purpose/Background of Fund	The fund exists to support the costs of the Division of Financial Services and its mission, which is to serve and protect the public interest by promoting a safe and sound financial institutions industry through continuous quality regulation and supervision.			
Fee Sources	The fund is supported by assessments to financial institutions based on assets. The present assessment is calculated to bring in \$1.7 million on an annualized basis. Fees are adjusted biennially, and existing rates are presumed to be adjusted to cover expenditures.			
Non-Fee Sources	Earned interest.			
Long Bill Groups Supported by Fund	Division of Financial Services			

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 27T0 - Moving Outreach Fund
40-10.1-509, C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$4,234	\$1,009	\$117	\$147
Changes in Cash Assets	\$1,676	(\$3,592)	\$30	\$30
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$4,900)	\$2,700	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$3,224)	(\$892)	\$30	\$30
Assets Total	\$5,909	\$2,317	\$2,347	\$2,377
Cash (B)	\$5,909	\$2,317	\$2,347	\$2,377
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$4,900	\$2,200	\$2,200	\$2,200
Cash Liabilities (C)	\$4,900	\$2,200	\$2,200	\$2,200
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$1,009	\$117	\$147	\$177
Net Cash Assets - (B-C)	\$1,009	\$117	\$147	\$177
Change from Prior Year Fund Balance (D-A)	(\$3,224)	(\$892)	\$30	\$30

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Flow Summary				
Revenue Total	\$1,723	\$1,375	\$5,030	\$5,030
Fees and Assessments	\$1,650	\$1,335	\$5,000	\$5,000
Fines and Interest	\$83	\$40	\$40	\$40
Internal	\$0	\$0	\$0	\$0
Other	(\$10)	\$0	(\$10)	(\$10)
Expenses Total	\$4,947	\$2,290	\$5,000	\$5,000
Cash Expenditures	\$4,947	\$2,290	\$5,000	\$5,000
Net Cash Flow	(\$3,224)	(\$915)	\$30	\$30

Fund Expenditures Line Item Detail	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Executive Director's Office				
Consumer Outreach and Education Program	\$4,947	\$2,290	\$2,290	\$2,290
Grand Total	\$4,947	\$2,290	\$2,290	\$2,290

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.
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Cash Fund Narrative Information	
Purpose/Background of Fund	This fund was established for the purposes of educating consumers of their rights and the responsibilities of movers under Section 40-10.1-509, C.R.S.
Fee Sources	The revenue for this program is one-half the penalties collected from movers under part 5 of Title 40, Article 10.1 C.R.S.
Non-Fee Sources	None.
Long Bill Groups Supported by Fund	The funding was included within the auspices of the Consumer Outreach and Education line item in the Executive

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 2820 - Division of Insurance Cash Fund
10-1-103, C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$1,434,189	\$2,034,275	\$2,324,955	\$1,632,133
Changes in Cash Assets	\$748,320	\$431,216	(\$692,822)	(\$272,473)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$148,235)	(\$140,535)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$600,085	\$290,681	(\$692,822)	(\$272,473)
Assets Total	\$6,423,636	\$6,854,851	\$6,162,030	\$5,889,557
Cash (B)	\$6,423,636	\$6,854,851	\$6,162,030	\$5,889,557
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$4,389,362	\$4,529,897	\$4,529,897	\$4,529,897
Cash Liabilities (C)	\$4,389,362	\$4,529,897	\$4,529,897	\$4,529,897
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$2,034,275	\$2,324,955	\$1,632,133	\$1,359,660
Net Cash Assets - (B-C)	\$2,034,274	\$2,324,954	\$1,632,133	\$1,359,660
Change from Prior Year Fund Balance (D-A)	\$600,085	\$290,681	(\$692,823)	(\$272,473)
Cash Flow Summary				
Revenue Total	\$12,094,554	\$12,020,632	\$11,326,340	\$12,040,284
Fees and Assessments	\$10,709,739	\$10,663,519	\$9,858,443	\$10,572,388
Fines and Interest	\$0	\$0		
Internal (Federal Pass-Through)	\$1,195,997	\$1,085,213	\$1,195,997	\$1,195,997
Other (Insurance Premium Tax)	\$188,818	\$271,900	\$271,900	\$271,900
Expenses Total	\$11,494,469	\$11,730,151	\$12,019,161	\$12,312,758

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Cash Expenditures	\$10,213,181	\$10,559,647	\$10,848,657	\$11,120,549
Pass Through Federal	\$1,195,997	\$1,085,213	\$1,085,213	\$1,106,917
Transfers to Other Agencies	\$85,291	\$85,291	\$85,291	\$85,291
Net Cash Flow	\$600,085	\$290,481	(\$692,822)	(\$272,473)

Fund Expenditures Line Item Detail				
	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Executive Director's Office				
Workers' Compensation	\$14,272	\$20,749	\$23,088	\$21,882
Legal Services	\$853,346	\$1,034,794	\$1,043,587	\$1,069,677
Administrative Law Judge Services	\$9,063	\$19,829	\$17,927	\$24,743
Payment to Risk Management and Property Funds	\$21,459	\$18,820	\$18,816	\$18,816
Information Technology Asset Maintenance	\$49,591	\$25,821	\$25,821	\$25,821
Hardware/Software Maintenance	\$179,372	\$178,755	\$178,755	\$178,755
Leased Space	\$389,003	\$479,156	\$503,384	\$524,653
Payments to OIT	\$504,559	\$538,319	\$541,446	\$548,555
CORE Operations <i>(formerly COFRS Modernization)</i>	\$28,152	\$39,405	\$40,404	\$52,452
Division of Insurance				
Personal Services	\$7,176,565	\$7,188,380	\$7,368,090	\$7,552,292
Operating Expenses	\$276,918	\$273,063	\$309,146	\$309,146
Out-of-State Travel Expenses	\$1,633	\$0	\$0	\$0
Transfer To CAPCO Administration	\$85,291	\$85,291	\$85,291	\$85,291
Indirect Cost Assessment	\$709,247	\$742,555	\$778,193	\$793,757
<i>Senior Health Counseling Program</i>	\$1,195,997	\$1,085,213	\$1,085,213	\$1,106,917
Grand Total	\$11,494,469	\$11,730,151	\$12,019,161	\$12,312,758
Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.			

Actual	Actual	Appropriated	Requested
FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21

Cash Fund Narrative Information	
Purpose/Background of Fund	This fund exists to support the costs of the Division of Insurance and its mission of consumer protection in the insurance industry.
Fee Sources	The fund is supported by business and professional license and renewal fees, as well as via a diversion of premium tax revenue that would otherwise be credited to the General Fund.
Non-Fee Sources	Premium tax revenue, in applicable years.
Long Bill Groups Supported by Fund	Division of Insurance.

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 29C0 - Transportation Network Company Fund
40-10.1-607, C.R.S. (2019)

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Year Beginning Fund Balance (A)	\$221,132	\$453,281	\$106,933	\$79,330
Changes in Cash Assets	\$247,802	(\$341,986)	(\$27,603)	(\$36,747)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$15,653)	(\$4,361)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$232,149	(\$346,348)	(\$27,603)	(\$36,747)
Assets Total	\$476,154	\$134,168	\$106,565	\$69,818
Cash (B)	\$476,154	\$134,168	\$106,565	\$69,818
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$22,873	\$27,234	\$27,234	\$27,234
Cash Liabilities (C)	\$22,873	\$27,234	\$27,234	\$27,234
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$453,281	\$106,933	\$79,330	\$42,583
Net Cash Assets - (B-C)	\$453,281	\$106,933	\$79,330	\$42,583
Change from Prior Year Fund Balance (D-A)	\$232,149	(\$346,348)	(\$27,603)	(\$36,747)

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Flow Summary				
Revenue Total	\$446,722	\$222,500	\$333,750	\$333,750
Fees and Assessments	\$446,722	\$222,500	\$333,750	\$333,750
Fines and Interest	\$0	\$0	\$0	\$0
Expenses Total	\$214,573	\$568,848	\$361,353	\$370,497
Cash Expenditures	\$214,573	\$568,848	\$361,353	\$370,497
Net Cash Flow	\$232,149	(\$346,348)	(\$27,603)	(\$36,747)

Fund Expenditures Line Item Detail	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Executive Director's Office				
Workers' Compensation	\$245	\$383	\$426	\$404
Legal Services	\$0	\$0	\$0	\$0
Payment to Risk Management and Property Funds	\$368	\$347	\$343	\$305
Vehicle Lease Payments	\$0	\$0	\$0	\$0
Information Technology Asset Maintenance	\$495	\$539	\$539	\$539
Hardware/Software Maintenance	\$978	\$164	\$164	\$164
Leased Space	\$8,820	\$11,276	\$11,846	\$12,346
Payments to OIT	\$10,233	\$12,407	\$12,479	\$12,643
CORE Operations <i>(formerly COFRS Modernization)</i>	\$576	\$908	\$931	\$1,209
Public Utilities Commission				
Personal Services	\$169,885	\$521,567	\$312,940	\$320,764
Operating Expenses	\$7,645	\$4,142	\$4,142	\$4,142
Expert Testimony	\$0	\$0	\$0	\$0
Indirect Cost Assessment	\$15,329	\$17,115	\$17,543	\$17,981
Grand Total	\$214,573	\$568,848	\$361,353	\$370,497

	Actual	Actual	Appropriated	Requested
	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.			
Cash Fund Narrative Information				
Purpose/Background of Fund	The fund exists to defray the full amount determined by the general assembly for the administrative expenses of the commission for the supervision and regulation of transportation network companies.			
Fee Sources	The fund is supported by a statutory assessment of \$111,250 charged to the state's three transportation network companies.			
Non-Fee Sources	Miscellaneous.			
Long Bill Groups Supported by Fund	Public Utilities Commission.			

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2020-21 Budget Request
Fund 29D0 - Broadband Fund
40-15-509.5(4), C.R.S. (2019)

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Year Beginning Fund Balance (A)	\$2,351,849	\$550,279	\$665,234	\$333,156
Changes in Cash Assets	(\$1,204,883)	(\$785,692)	(\$332,078)	(\$219,158)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$596,687)	\$900,648	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$1,801,570)	\$114,955	(\$332,078)	(\$219,158)
Assets Total	\$1,471,578	\$685,886	\$353,808	\$134,651
Cash (B)	\$1,471,578	\$685,886	\$353,808	\$134,651
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$921,300	\$20,652	\$20,652	\$20,652
Cash Liabilities (C)	\$921,300	\$20,652	\$20,652	\$20,652
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$550,279	\$665,234	\$333,156	\$113,998
Net Cash Assets - (B-C)	\$550,279	\$665,234	\$333,156	\$113,998
Change from Prior Year Fund Balance (D-A)	(\$1,801,570)	\$114,955	(\$332,078)	(\$219,158)

	Actual FY 2017-18	Actual FY 2018-19	Appropriated FY 2019-20	Requested FY 2020-21
Cash Flow Summary				
Revenue Total	\$86,102	\$455,420	\$4,688	\$2,348
Fees and Assessments	\$0	\$0	\$0	\$0
Fines and Interest	\$33,098	\$21,575	\$4,688	\$2,348
Other	\$53,005	\$433,845		
Expenses Total	\$1,887,672	\$361,612	\$336,766	\$221,506
Cash Expenditures	\$1,887,672	\$361,612	\$336,766	\$221,506
Net Cash Flow	(\$1,801,570)	\$93,808	(\$332,078)	(\$219,158)

Fund Expenditures Line Item Detail	Actual FY 2017-18	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Executive Director's Office				
Broadband Deployment Board (Non-Appropriated Line Item) and Central Appropriations	\$144,678	\$191,915	\$202,504	\$202,504
<i>Non-Appropriated Grant Expenditures</i>	\$1,691,336	\$103,692	\$103,887	\$0
Workers' Compensation	\$145	\$211	\$216	\$216
Legal Services	\$36,526	\$47,833	\$11,738	\$0
Payment to Risk Management and Property Funds	\$219	\$192	\$192	\$192
Information Technology Asset Maintenance				
Hardware/Software Maintenance				
Leased Space	\$8,366	\$10,825	\$11,285	\$11,511
Payments to OIT	\$6,064	\$6,470	\$6,470	\$6,599
CORE Operations (formerly COFRS Modernization)	\$338	\$474	\$474	\$483
Grand Total	\$1,887,672	\$361,612	\$336,766	\$221,506

Note: This Schedule reflects only transfers and spending authority that have occurred to date, and does not presume future decisions on funding.

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2018-19.
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Actual	Actual	Appropriated	Requested
FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to support the administrative and grant-making duties of the Broadband Deployment Board.
Fee Sources	The fund is supported by any transfers made by the Public Utilities Commission from the High Cost Support Mechanism, and any amounts appropriated by the General Assembly.
Non-Fee Sources	Miscellaneous.
Long Bill Groups Supported by Fund	Public Utilities Commission.