

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2019-20 Budget Request
Fund 1840 - Fixed Utilities Fund
40-2-114, C.R.S. (2018)

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Year Beginning Fund Balance (A)	\$596,156	\$1,093,529	\$2,232,363	\$1,762,930
Changes in Cash Assets	\$1,429,801	\$834,919	(\$469,433)	(\$690,323)
Changes in Non-Cash Assets	\$259,418	\$155,424	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$205,353)	\$148,491	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$1,483,866	\$1,138,834	(\$469,433)	(\$690,323)
Assets Total	\$1,988,849	\$2,979,192	\$2,509,759	\$1,819,435
Cash (B)	\$1,729,431	\$2,564,350	\$2,094,917	\$1,404,594
Other Assets	\$259,418	\$414,842	\$414,842	\$414,842
Liabilities Total	\$895,319	\$746,828	\$746,828	\$746,828
Cash Liabilities (C)	\$895,319	\$746,828	\$746,828	\$746,828
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$1,093,529	\$2,232,363	\$1,762,930	\$1,072,607
Net Cash Assets - (B-C)	\$834,111	\$1,817,521	\$1,348,089	\$657,765
Change from Prior Year Fund Balance (D-A)	\$497,373	\$1,138,834	(\$469,433)	(\$690,323)
Cash Flow Summary				
Revenue Total	\$11,944,965	\$13,169,137	\$12,089,656	\$12,089,656
Fees and Assessments	\$11,520,153	\$12,295,138	\$11,589,656	\$11,589,656
Other	\$424,812	\$873,999	\$500,000	\$500,000

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Expenses Total	\$11,447,592	\$12,030,303	\$12,559,089	\$12,779,979
Cash Expenditures	\$10,980,030	\$11,469,060	\$11,997,846	\$12,218,736
Pass Through Federal	\$467,562	\$561,243	\$561,243	\$561,243
Net Cash Flow	\$497,373	\$1,138,834	\$561,243	(\$690,323)

Fund Expenditures Line Item Detail	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Executive Director's Office				
Workers' Compensation	\$9,592	\$10,755	\$15,691	\$15,691
Legal Services	\$2,195,876	\$2,398,707	\$2,336,675	\$2,336,675
Payment to Risk Management and Property Funds	\$19,230	\$16,170	\$14,226	\$14,226
Vehicle Lease Payments	\$5,400	\$8,622	\$12,153	\$12,153
Information Technology Asset Maintenance	\$43,579	\$36,761	\$36,761	\$36,761
Hardware/Software Maintenance	\$37,717	\$37,150	\$37,150	\$37,150
Leased Space	\$101,617	\$331,606	\$374,920	\$382,419
Payments to OIT	\$317,718	\$382,017	\$406,275	\$414,401
CORE Operations (formerly COFRS Modernization)	\$18,999	\$21,313	\$29,947	\$30,546
Public Utilities Commission				
Personal Services	\$6,434,136	\$6,100,503	\$6,581,648	\$6,746,189
Operating Expenses	\$299,734	\$284,151	\$284,151	\$284,151
Expert Testimony	\$4,988	\$22,560	\$25,000	\$25,000
Indirect Cost Assessment	\$464,314	\$508,691	\$521,882	\$537,306
<i>Pipeline Safety Non-Appropriated</i>	\$467,562	\$561,243	\$561,243	\$561,243
<i>State Safety Oversight Non-Appropriated</i>		\$291,368	\$291,368	\$291,368
Office of Consumer Counsel				
Personal Services	\$919,423	\$904,054	\$922,135	\$945,189
Operating Expenses	\$55,633	\$54,960	\$55,787	\$55,787
Indirect Cost Assessment	\$52,076	\$59,672	\$52,076	\$53,725
Grand Total	\$11,447,592	\$12,030,303	\$12,559,089	\$12,779,979

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.
----------------------------------	--

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)		\$2,056,997	\$1,624,441	\$988,347
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)		\$1,985,000	\$2,072,250	\$2,108,697
Excess Uncommitted Fee Reserve Balance		\$71,997	(\$447,809)	(\$1,120,350)
Compliance Plan (narrative)	This Cash Fund is presently out of compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. The Department significantly reduced its assessment for FY 19, and expects compliance by June 2019, with a projected fund balance of 14.0% of expenditures.			

Actual	Actual	Appropriated	Requested
FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20

Cash Fund Narrative Information

Purpose/Background of Fund

The fund exists to defray the full amount determined by the general assembly for the administrative expenses of the commission for the supervision and regulation of the public utilities paying such fees and for the financing of the office of consumer counsel.

Fee Sources

The fund is supported by annual assessments against fixed utilities, which pay in proportion to their gross intrastate operating revenue. Assessments are collected by the Department of Revenue. Three percent of moneys remitted by the Department of Revenue are credited to the General Fund after deducting statutorily required amounts for State Safety Oversight and Highway Crossing funding. In response to an under-collection of revenue related to the statutory cap during FY 14-15, HB 15-1372 increased the statutory cap on collections as a percentage of gross intrastate operating revenue to 2.5 percent. Telecommunications utilities no longer contribute to the Fixed Utility Fund and now contribute to the Telecommunications Fixed Utility Fund.

Non-Fee Sources

Miscellaneous.

Long Bill Groups Supported by Fund

Public Utilities Commission and the Office of Consumer Counsel.

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 1850 - Motor Carrier Cash Fund
 40-2-110.5, C.R.S. (2018)

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Year Beginning Fund Balance (A)	\$38,720	\$346,567	\$48,200	\$21,219
Changes in Cash Assets	\$542,857	(\$285,767)	(\$26,981)	(\$16,611)
Changes in Non-Cash Assets	\$0	\$3,152	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$237,833)	(\$15,753)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$305,024	(\$298,367)	(\$26,981)	(\$16,611)
Assets Total	\$657,608	\$374,993	\$348,012	\$331,401
Cash (B)	\$657,608	\$371,841	\$344,860	\$328,249
Other Assets	\$0	\$3,152	\$3,152	\$3,152
Liabilities Total	\$311,041	\$326,794	\$326,794	\$326,794
Cash Liabilities (C)	\$311,041	\$326,794	\$326,794	\$326,794
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$346,567	\$48,200	\$21,219	\$4,608
Net Cash Assets - (B-C)	\$346,567	\$45,047	\$18,067	\$1,455
Change from Prior Year Fund Balance (D-A)	\$307,847	(\$298,367)	(\$26,981)	(\$16,611)

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Cash Flow Summary				
Revenue Total	\$2,516,725	\$2,460,714	\$2,505,953	\$2,524,424
Fees and Assessments	\$2,442,139	\$2,342,143	\$2,440,953	\$2,459,424
Other	\$74,587	\$118,571	\$65,000	\$65,000
Expenses Total	\$2,208,879	\$2,208,879	\$2,532,934	\$2,541,035
Cash Expenditures	\$2,208,879	\$2,208,879	\$2,532,934	\$2,541,035
Net Cash Flow	\$307,847	\$251,835	(\$26,981)	(\$16,611)

Fund Expenditures Line Item Detail				
	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Executive Director's Office				
Workers' Compensation	\$1,953	\$2,829	\$4,127	\$4,127
Legal Services	\$104,571	\$330,673	\$322,122	\$322,122
Payment to Risk Management and Property Funds	\$3,916	\$4,253	\$3,312	\$3,312
Vehicle Lease Payments	\$12,509	\$10,263	\$7,055	\$7,055
Information Technology Asset Maintenance	\$9,754	\$7,163	\$7,163	\$7,163
Hardware/Software Maintenance	\$9,369	\$11,282	\$11,282	\$11,282
Leased Space	\$26,053	\$101,735	\$115,119	\$117,422
Payments to OIT	\$82,041	\$118,025	\$118,214	\$120,578
CORE Operations <i>(formerly COFRS Modernization)</i>	\$4,906	\$6,588	\$8,653	\$8,826
Public Utilities Commission				
Personal Services	\$1,586,301	\$1,704,221	\$1,617,238	\$1,617,238
Operating Expenses	\$88,638	\$90,580	\$90,580	\$90,580
Expert Testimony	\$78,257	\$93,028	\$0	\$0
CBI Background Checks Pass-Through	\$67,269	\$101,634	\$65,000	\$65,000
Indirect Cost Assessment	\$133,342	\$176,808	\$163,068	\$166,329
Grand Total	\$2,208,879	\$2,759,081	\$2,532,934	\$2,541,035

Actual	Actual	Appropriated	Requested
FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.
Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to support the PUC's administration of laws governing the regulation of motor carriers in Colorado.
Fee Sources	Fees sources include permit fees and annual state identification fees from regulated motor carriers. Vehicle stamp fees generate approximately 15% of fund revenue, while 85% of the fund's revenue for this fund is not controlled by PUC or the State of Colorado.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Public Utilities Commission

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 1860 - Nuclear Materials Transportation Fund
 42-20-511, C.R.S. (2018)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Year Beginning Fund Balance (A)	\$3,500	\$85,518	\$87,126	\$0
Changes in Cash Assets	\$87,918	\$115,726	(\$87,126)	\$0
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$2,400)	(\$28,600)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$85,518	\$87,126	(\$87,126)	\$0
Assets Total	\$87,918	\$115,726	\$28,600	\$28,600
Cash (B)	\$87,918	\$115,726	\$28,600	\$28,600
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$2,400	\$28,600	\$28,600	\$28,600
Cash Liabilities (C)	\$2,400	\$28,600	\$28,600	\$28,600
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$85,518	\$87,126	\$0	\$0
Net Cash Assets - (B-C)	\$85,518	\$87,126	\$0	\$0
Change from Prior Year Fund Balance (D-A)	\$82,018	\$1,607	(\$87,126)	\$0

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Cash Flow Summary				
Revenue Total	\$10,200	\$16,500	\$16,500	\$16,500
Fees and Assessments	\$10,200	\$16,500	\$16,500	\$16,500
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0		
Expenses Total	\$10,200	\$14,893	\$103,626	\$16,500
Cash Expenditures	\$10,200	\$14,893	\$103,626	\$16,500
Net Cash Flow	\$0	\$1,607	(\$87,126)	\$0

Fund Expenditures Line Item Detail	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Grand Total	\$0	\$0	\$0	\$0

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.
Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to provide funding to support a permitting and inspection program for the regulation of the transport of nuclear materials within or through the state of Colorado. The purpose is to protect citizens and the environment. (§40-20-401, C.R.S.)
Fee Sources	The fund is supported by nuclear materials permit fees (annual and single trip).
Non-Fee Sources	Civil penalties.
Long Bill Groups Supported by Fund	Department of Public Safety (CDPS): Special Programs, Nuclear Materials Transportation. The PUC has no line items supported by this fund.

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 1870 - Highway Crossing Protection Fund
 43-4-201, C.R.S. (2018)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Year Beginning Fund Balance (A)	\$467	\$242,815	\$487,901	\$242,778
Changes in Cash Assets	\$242,340	\$245,086	(\$245,123)	\$0
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$0	\$0	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$242,340	\$245,086	(\$245,123)	\$0
Assets Total	\$242,815	\$487,901	\$242,778	\$242,778
Cash (B)	\$242,815	\$487,901	\$242,778	\$242,778
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$0	\$0	\$0	\$0
Cash Liabilities (C)	\$0	\$0	\$0	\$0
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$242,815	\$487,901	\$242,778	\$242,778
Net Cash Assets - (B-C)	\$242,815	\$487,901	\$242,778	\$242,778
Change from Prior Year Fund Balance (D-A)	\$242,348	\$245,086	(\$245,123)	\$0
Cash Flow Summary				
Revenue Total	\$242,457	\$245,123	\$485,123	\$485,123
Fees and Assessments	\$0	\$0	\$244,800	\$244,800
Fines and Interest	\$2,457	\$323	\$323	\$323
Internal	\$0	\$0	\$0	\$0
Transfers (FY 16-17 from HUTF, FY 17-18 from FUF)	\$240,000	\$244,800	\$240,000	\$240,000

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Expenses Total	\$3	\$37	\$730,247	\$485,123
Cash Expenditures	\$3	\$37	\$730,247	\$485,123
Net Cash Flow	\$242,454	\$245,086	(\$245,123)	\$0

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.
Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to support a program that provides matching financial resources as incentives for railroads and local governments to construct railroad crossing protection devices and safety improvements. Fund encumbrances and expenditures occur across multiple fiscal years for multi-year construction projects.
Fee Sources	None.
Non-Fee Sources	Subject to SB 16-087, there is an annual transfer from fixed utilities assessment revenue of \$240,000. The Fund retains its earned interest.
Long Bill Groups Supported by Fund	PUC: Highway Crossing Protection

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2019-20 Budget Request
Fund 1880 - Hazardous Materials Safety Fund
42-20-107, C.R.S. (2018)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Year Beginning Fund Balance (A)	\$49,889	\$309,304	\$175,193	\$0
Changes in Cash Assets	\$159,466	(\$124,148)	(\$175,193)	\$0
Changes in Non-Cash Assets	\$1,626	(\$856)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$125)	(\$9,107)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$160,967	(\$134,110)	(\$175,193)	\$0
Assets Total	\$309,429	\$184,425	\$9,232	\$9,232
Cash (B)	\$307,802	\$183,654	\$8,461	\$8,461
Other Assets	\$1,626	\$770	\$770	\$770
Liabilities Total	\$125	\$9,232	\$9,232	\$9,232
Cash Liabilities (C)	\$125	\$9,232	\$9,232	\$9,232
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$309,304	\$175,193	\$0	\$0
Net Cash Assets - (B-C)	\$307,677	\$174,423	(\$770)	(\$770)
Change from Prior Year Fund Balance (D-A)	\$259,415	(\$134,110)	(\$175,193)	\$0
Cash Flow Summary				
Revenue Total	\$225,053	\$202,083	(\$1,435)	(\$1,435)
Fees and Assessments	\$224,288	\$203,407	\$0	\$0
Fines and Interest	\$2,200	\$2,550	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	(\$1,435)	(\$3,874)	(\$1,435)	(\$1,435)
Expenses Total	\$273,901	\$251,202	\$173,758	(\$1,435)
Appropriations Transfers	\$273,901	\$251,202	\$173,758	(\$1,435)
Statutory Transfer			\$0	\$0

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Net Cash Flow	(\$48,848)	(\$49,118)	(\$175,193)	\$0

Fund Expenditures Line Item Detail	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Grand Total	\$0	\$0	\$0	\$0

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.
Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to provide funding to the Department of Public Safety (DPS) for the Colorado State Patrol (CSP) for hazardous material response and routing across the State. Funding is also provided to DPS for distribution to local governments (responders) for training and equipment purchases. No funds are spent by DORA.
Fee Sources	The fund is supported by Hazardous materials permit fees (annual and single trip).
Non-Fee Sources	Penalties, gifts, donations, federal funds per §42-20-107, C.R.S.
Long Bill Groups Supported by Fund	Department of Public Safety, Executive Director's Office, Distribution to Local Governments--Department of Public Safety, Colorado State Patrol, Hazardous Materials Routing.

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 1890 - Division of Professions and Occupations Cash Fund
 24-34-104, C.R.S. (2018)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Year Beginning Fund Balance (A)	(\$471,306)	\$2,020,108	\$5,083,718	\$4,501,442
Changes in Cash Assets	\$676,200	\$3,313,869	(\$582,276)	(\$1,771,078)
Changes in Non-Cash Assets	\$253,375	(\$36,757)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$1,613,332)	(\$213,501)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$683,757)	\$3,063,610	(\$582,276)	(\$1,771,078)
Assets Total	\$20,113,878	\$23,390,989	\$22,808,713	\$21,037,635
Cash (B)	\$19,860,503	\$23,174,372	\$22,592,095	\$20,821,018
Other Assets(Detail as necessary)	\$253,375	\$216,617	\$216,617	\$216,617
Liabilities Total	\$18,093,770	\$18,307,271	\$18,307,271	\$18,307,271
Cash Liabilities (C)	\$18,093,770	\$18,307,271	\$18,307,271	\$18,307,271
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$2,020,108	\$5,083,718	\$4,501,442	\$2,730,364
Net Cash Assets - (B-C)	\$1,766,733	\$4,867,101	\$4,284,825	\$2,513,747
Change from Prior Year Fund Balance (D-A)	\$2,491,414	\$3,063,610	(\$582,276)	(\$1,771,078)
Cash Flow Summary				
Revenue Total	\$22,000,316	\$27,047,815	\$27,924,668	\$27,200,000
Fees and Assessments	\$21,069,294	\$26,199,640	\$27,076,493	\$26,351,825
Fines and Interest	\$0	\$0	\$0	\$0
Internal/Legal Defense Fund Collection	\$329,800	\$243,381	\$243,381	\$243,381

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Other	\$601,222	\$604,794	\$604,794	\$604,794
Expenses Total	\$25,175,487	\$26,977,049	\$28,506,945	\$28,971,078
Cash Expenditures	\$23,602,831	\$25,482,198	\$27,012,094	\$27,476,227
Internal Transfers	\$1,572,656	\$1,494,851	\$1,494,851	\$1,494,851
Net Cash Flow	(\$3,175,171)	\$70,766	(\$582,276)	(\$1,771,078)

Fund Expenditures Line Item Detail				
	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Executive Director's Office				
Workers' Compensation	\$47,838	\$59,631	\$87,480	\$87,480
Legal Services	\$3,844,583	\$4,052,783	\$3,947,976	\$3,947,976
Administrative Law Judge Services	\$140,315	\$176,225	\$305,680	\$305,680
Payment to Risk Management and Property Funds	\$95,937	\$89,655	\$79,344	\$79,344
Vehicle Lease Payments	\$174,346	\$138,871	\$152,923	\$152,923
Information Technology Asset Maintenance	\$76,292	\$36,216	\$36,216	\$36,216
Hardware/Software Maintenance	\$106,794	\$133,546	\$133,546	\$133,546
Leased Space	\$234,835	\$859,215	\$990,485	\$1,010,295
Payments to OIT	\$1,033,031	\$1,331,318	\$1,375,333	\$1,402,839
CORE Operations <i>(formerly COFRS Modernization)</i>	\$54,994	\$67,104	\$94,289	\$96,174
Division of Professions and Occupations				
Personal Services	\$15,480,470	\$16,291,423	\$17,354,095	\$17,701,177
Operating Expenses	\$1,058,957	\$1,239,408	\$1,239,408	\$1,239,408
Office Of Expedited Settlement Program Costs	\$466,597	\$585,211	\$601,594	\$616,634
Hearings Pursuant To Complaint	\$246,561	\$214,368	\$307,075	\$307,075
Payments to Department of Health Care Policy and Financing		\$14,652	\$14,652	\$14,652
Indirect Cost Assessment	\$1,494,851	\$1,687,423	\$1,786,848	\$1,839,657
Grand Total	\$24,556,401	\$26,977,049	\$28,506,945	\$28,971,078

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Cash Fund Reserve Balance	This Cash Fund was not in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.			
	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)		\$4,916,460	\$4,353,341	\$2,730,364
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)		\$4,451,213	\$4,703,646	\$4,780,228
Excess Uncommitted Fee Reserve Balance		\$465,247	(\$350,305)	(\$2,049,864)
Compliance Plan (narrative)	This Cash Fund is presently out of compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. This fund was in deficit during FY 17, and fees were increased in order to immediately rectify the fund balance. Thereafter, fund balance increased, and significant fee reductions have already been made in response, resulting in an expected revenue reductions during FY 19. The timing of fee payer volume will result in potential compliance by June 2019, with certain compliance occurring by June 2020, with a projected fund balance of 9.4% of expenditures (revised from 13.8% using updated information effective October 2018).			
Cash Fund Narrative Information				
Purpose/Background of Fund	The fund exists to support the costs of the Division to protect the public through effective licensure and enforcement for more than 31 professions and occupations.			
Fee Sources	The fund is supported by numerous professional licensing fees including renewal fees, as well as original license fees, application fees, reciprocity fees, reinstatement fees, examination or examination processing fees, etc. Additionally, there are inspection and permit fees assessed in the Electrical, Plumbing, and Passenger Tramway boards. Additionally, there is a "legal defense fund" surcharge set in statute at \$1 annually per renewal license.			
Non-Fee Sources	Earned interest, as well as miscellaneous revenue such as sales of publications and maps. Additionally, Nurse Aides is funded from Departments of Public Health and Environment and Health Care Policy and Financing, which are required to obtain and forward federal funding.			
Long Bill Groups Supported by Fund	Division of Professions and Occupations			

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 18S0 - Prescription Drug Monitoring Cash Fund
 12-42.5-405 C.R.S. (2018)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Year Beginning Fund Balance (A)	\$32,497	\$351,111	\$311,182	\$257,173
Changes in Cash Assets	\$120,337	(\$26,182)	(\$54,009)	(\$189,546)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$20,503)	(\$13,748)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$99,834	(\$39,930)	(\$54,009)	(\$189,546)
Assets Total	\$379,350	\$353,168	\$299,159	\$109,613
Cash (B)	\$379,350	\$353,168	\$299,159	\$109,613
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$28,238	\$41,986	\$41,986	\$41,986
Cash Liabilities (C)	\$28,238	\$41,986	\$41,986	\$41,986
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$351,111	\$311,182	\$257,173	\$67,627
Net Cash Assets - (B-C)	\$351,111	\$311,182	\$257,173	\$67,627
Change from Prior Year Fund Balance (D-A)	\$318,614	(\$39,930)	(\$54,009)	(\$189,546)
Cash Flow Summary				
Revenue Total	\$833,157	\$769,718	\$484,605	\$349,183
Fees and Assessments	\$637,145	\$239,585	\$191,618	\$56,196
Fines and Interest	\$0	\$0	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	\$196,012	\$530,133	\$292,987	\$292,987

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Expenses Total	\$514,542	\$809,648	\$538,614	\$538,729
Cash Expenditures	\$318,651	\$279,515	\$245,627	\$245,742
Pass Through Federal	\$195,891	\$530,133	\$292,987	\$292,987
Net Cash Flow	\$318,615	(\$39,930)	(\$54,009)	(\$189,546)

Fund Expenditures Line Item Detail				
	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Executive Director's Office				
Workers' Compensation	\$682	\$514	\$0	\$0
Legal Services	\$3,329	\$5,103	\$4,971	\$4,971
Payment to Risk Management and Property Funds	\$1,367	\$773	\$0	\$0
Information Technology Asset Maintenance	\$176,014	\$222,267	\$222,267	\$222,267
Hardware/Software Maintenance	\$1,497	\$670	\$670	\$670
Leased Space	\$2,141	\$5,108	\$5,775	\$5,891
Payments to OIT	\$13,106	\$10,352	\$0	\$0
CORE Operations <i>(formerly COFRS Modernization)</i>	\$784	\$528	\$0	\$0
Division of Professions and Occupations				
Personal Services	\$96,346	\$11,708	\$5,244	\$5,244
Operating Expenses	\$2,085	\$6,700	\$6,700	\$6,700
Indirect Cost Assessment	\$21,301	\$15,792	\$0	\$0
<i>Federal Grant Non-Appropriated</i>	\$195,891	\$530,133	\$292,987	\$292,987
Grand Total	\$514,542	\$809,648	\$538,614	\$538,729

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Cash Fund Reserve Balance	This Cash Fund was in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.			
Cash Fund Narrative Information				
Purpose/Background of Fund	The fund exists to support the costs of the Division in implementing the Prescription Drug Monitoring Program.			
Fee Sources	The only fee source is authorized assessments against licensees having prescriptive authority. This fee is presently set at \$12 annually.			
Non-Fee Sources	Federal grants, gifts, grants and donations and earned interest.			
Long Bill Groups Supported by Fund	Division of Professions and Occupations.			

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2019-20 Budget Request
Fund 18W - Viatical Settlements Cash Fund
10-7-619, C.R.S. (2018)

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Year Beginning Fund Balance (A)	\$89,681	\$96,688	\$104,497	\$102,995
Changes in Cash Assets	\$14,034	\$7,805	(\$1,502)	(\$1,502)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$130)	\$3	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$13,904	\$7,808	(\$1,502)	(\$1,502)
Assets Total	\$96,818	\$104,623	\$103,121	\$101,620
Cash (B)	\$96,818	\$104,623	\$103,121	\$101,620
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$130	\$126	\$126	\$126
Cash Liabilities (C)	\$130	\$126	\$126	\$126
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$96,688	\$104,497	\$102,995	\$101,493
Net Cash Assets - (B-C)	\$96,688	\$104,497	\$102,995	\$101,493
Change from Prior Year Fund Balance (D-A)	\$7,007	\$7,808	(\$1,502)	(\$1,502)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Cash Flow Summary				
Revenue Total	\$8,540	\$8,540	\$8,540	\$8,540
Fees and Assessments	\$8,540	\$8,540	\$8,540	\$8,540
Expenses Total	\$1,533	\$1,502	\$10,042	\$10,042
Cash Expenditures	\$1,533	\$1,502	\$10,042	\$10,042
Net Cash Flow	\$7,007	\$7,038	(\$1,502)	(\$1,502)

Fund Expenditures Line Item Detail	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Division of Insurance				
Personal Services	\$1,533	\$1,502	\$10,042	\$10,042
Grand Total	\$1,533	\$1,502	\$10,042	\$10,042

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.
Cash Fund Narrative Information	
Purpose/Background of Fund	The purpose of the fund is to support the costs of regulating the activities of viatical settlement producers and providers involving agreements to assign, transfer, sell or bequest the death benefit or ownership of a life insurance policy in exchange for compensation less than the expected death benefit.
Fee Sources	The fund is supported by fees assessed against viatical settlement providers and life insurance producers.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Insurance Personal Services.

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 1960 - Disabled Telephone Users' Fund
 40-17-104, C.R.S. (2018)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Year Beginning Fund Balance (A)	\$785,885	\$55,597	\$293,597	\$126,825
Changes in Cash Assets	(\$2,662,359)	\$191,200	(\$166,772)	\$4,522
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$773	\$46,800	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$2,661,586)	\$238,000	(\$166,772)	\$4,522
Assets Total	\$253,034	\$444,234	\$277,463	\$281,985
Cash (B)	\$253,034	\$444,234	\$277,463	\$281,985
Other Assets			\$0	\$0
Liabilities Total	\$197,437	\$150,638	\$150,638	\$150,638
Cash Liabilities (C)	\$197,437	\$150,638	\$150,638	\$150,638
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$55,597	\$293,597	\$126,825	\$131,347
Net Cash Assets - (B-C)	\$55,597	\$293,597	\$126,825	\$131,347
Change from Prior Year Fund Balance (D-A)	(\$730,288)	\$238,000	(\$166,772)	\$4,522
Cash Flow Summary				
Revenue Total	\$2,148,297	\$3,536,368	\$3,536,368	\$3,712,847
Fees and Assessments	\$2,143,636	\$3,529,583	\$3,529,583	\$3,706,062
Fines and Interest	\$4,661	\$6,785	\$6,785	\$6,785

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Expenses Total	\$2,878,585	\$3,298,368	\$3,703,140	\$3,708,325
Cash Expenditures	\$1,258,717	\$1,219,601	\$1,200,551	\$1,205,736
Transfers to Other Agencies	\$1,619,868	\$2,078,768	\$2,502,589	\$2,502,589
Net Cash Flow	(\$730,288)	\$238,000	(\$166,772)	\$4,522

Fund Expenditures Line Item Detail				
	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Executive Director's Office				
Workers' Compensation	\$279	\$328	\$264	\$264
Payment to Risk Management and Property Funds	\$559	\$493	\$240	\$240
Information Technology Asset Maintenance	\$526	\$661	\$661	\$661
Hardware/Software Maintenance	\$1,338	\$1,306	\$1,306	\$1,306
Leased Space	\$3,721	\$11,782	\$7,708	\$7,862
Payments to OIT	\$11,720	\$13,667	\$8,616	\$8,788
CORE Operations (formerly COFRS Modernization)	\$701	\$768	\$631	\$644
Public Utilities Commission				
Personal Services	\$218,573	\$204,994	\$193,865	\$198,712
Operating Expenses	\$6,667	\$3,567	\$13,649	\$13,649
Disabled Telephone Users Fund Payments	\$995,583	\$961,562	\$961,562	\$961,562
Transfer To Reading Services For The Blind Cash Fund	\$360,000	\$710,000	\$510,000	\$510,000
Commission For The Deaf And Hard Of Hearing Fund	\$1,259,868	\$1,368,768	\$1,992,589	\$1,992,589
Indirect Cost Assessment	\$19,049	\$20,474	\$12,049	\$12,049
Grand Total	\$2,878,585	\$3,298,368	\$3,703,140	\$3,708,325

Actual	Actual	Appropriated	Requested
FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20

Cash Fund Reserve Balance

This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.

Cash Fund Narrative Information

Purpose/Background of Fund

The purpose of the Fund is to support the costs of a telephone relay service for disabled telephone users (including deaf, hard of hearing, and speech-impaired individuals, among others). Beginning with S.B. 99-165, there has been an annual transfer to the Reading Services for the Blind Cash Fund. Each calendar year there is also an annual transfer to the Deaf and Hard of Hearing Cash Fund pursuant to §40-7-104(4)(a), C.R.S. These transfers have been increasing in recent years such that this activity now accounts for approximately 70% of the Fund's expenditures.

Fee Sources

The relay program is funded by a uniform, per month per access line surcharge to all business and residential telephone customers. The surcharge does not apply to wireless customers. While the increased subsidies to other agencies had required an increase from \$0.12 per line per month to \$0.20 per line per month, a substantial decrease in the fee (presently \$0.04 per line per month) occurred in order to reduce fund balance. HB 16-1414 added wireless subscribers to the base of assessment payers. FY 18-19 actual revenue will affect whether further fee adjustment is necessary.

Non-Fee Sources

Earned interest.

Long Bill Groups Supported by Fund

The PUC's appropriation includes a line item called "Disabled Telephone Users' Fund," which funds the annual contract for the provision of telephone services for the hearing impaired. The Fund also pays administrative costs for administering the program in the PUC.

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 2006 - Telecommunications Fixed Utility Fund
 40-2-114(b), C.R.S. (2018)

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Year Beginning Fund Balance (A)	\$100,875	\$433,583	\$98,920	\$192,909
Changes in Cash Assets	\$519,896	(\$349,656)	\$93,989	\$158,562
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$96,467)	\$14,993	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$423,429	(\$334,663)	\$93,989	\$158,562
Assets Total	\$530,049	\$180,393	\$274,383	\$432,945
Cash (B)	\$530,049	\$180,393	\$274,383	\$432,945
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$96,467	\$81,474	\$81,474	\$81,474
Cash Liabilities (C)	\$96,467	\$81,474	\$81,474	\$81,474
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$433,583	\$98,920	\$192,909	\$351,472
Net Cash Assets - (B-C)	\$433,583	\$98,920	\$192,909	\$351,472
Change from Prior Year Fund Balance (D-A)	\$332,708	(\$334,663)	\$93,989	\$158,562

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Cash Flow Summary				
Revenue Total	\$1,572,423	\$1,260,620	\$1,565,483	\$1,565,483
Fees and Assessments	\$1,572,423	\$1,260,620	\$1,565,483	\$1,565,483
Fines and Interest	\$0	\$0		
Expenses Total	\$1,239,715	\$1,595,283	\$1,471,493	\$1,406,921
Cash Expenditures	\$1,239,715	\$1,595,283	\$1,471,493	\$1,406,921
Pass Through Federal	\$0	\$0	\$0	\$0
Transfers to Other Agencies	\$0	\$0	\$0	\$0
Net Cash Flow	\$332,708	(\$334,663)	\$93,989	\$158,562

Fund Expenditures Line Item Detail	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Executive Director's Office				
Workers' Compensation	\$1,221	\$1,461	\$1,848	\$1,848
Legal Services	\$116,341	\$272,923	\$265,865	\$265,865
Payment to Risk Management and Property Funds	\$2,448	\$2,197	\$1,680	\$1,680
Vehicle Lease Payments	\$0	\$0	\$0	\$0
Information Technology Asset Maintenance	\$2,303	\$2,947	\$2,947	\$2,947
Hardware/Software Maintenance	\$5,856	\$5,829	\$5,829	\$5,829
Leased Space	\$16,280	\$52,559	\$54,443	\$55,532
Payments to OIT	\$51,275	\$60,975	\$59,969	\$61,168
CORE Operations (formerly COFRS Modernization)	\$3,066	\$3,408	\$4,390	\$4,478
Public Utilities Commission				
Personal Services	\$917,016	\$1,076,622	\$975,763	\$1,000,157
Operating Expenses	\$40,570	\$25,020	\$7,416	\$7,416
Expert Testimony	\$0	\$0	\$0	\$0
Indirect Cost Assessment	\$83,339	\$91,343	\$91,343	\$0
Grand Total	\$1,239,715	\$1,595,283	\$1,471,493	\$1,406,921

Cash Fund Reserve Balance	This Cash Fund was in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.
---------------------------	---

Actual	Actual	Appropriated	Requested
FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to defray the full amount determined by the general assembly for the administrative expenses of the commission for the supervision and regulation of telecommunications public utilities paying such fees.
Fee Sources	The fund is supported by annual assessments against telecommunications fixed utilities, which pay in proportion to their gross intrastate operating revenue. Assessments are collected by the Department of Revenue. HB 15-1372 created the Telecommunications Fixed Utility Fund, and telecommunications utilities no longer contribute to the Fixed Utility Fund.
Non-Fee Sources	Miscellaneous.
Long Bill Groups Supported by Fund	Public Utilities Commission.

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 2120 - Division of Real Estate Cash Fund
 12-61-111.5(2)(b), C.R.S. (2018)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Year Beginning Fund Balance (A)	\$386,338	\$791,599	\$1,293,742	\$522,231
Changes in Cash Assets	\$787,865	\$1,010,375	(\$771,512)	(\$30,260)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$84,875	(\$508,232)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$872,740	\$502,144	(\$771,512)	(\$30,260)
Assets Total	\$3,970,371	\$4,980,746	\$4,209,235	\$4,178,974
Cash (B)	\$3,970,371	\$4,980,746	\$4,209,235	\$4,178,974
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$3,178,772	\$3,687,004	\$3,687,004	\$3,687,004
Cash Liabilities (C)	\$3,178,772	\$3,687,004	\$3,687,004	\$3,687,004
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$791,599	\$1,293,742	\$522,231	\$491,971
Net Cash Assets - (B-C)	\$791,599	\$1,293,742	\$522,231	\$491,971
Change from Prior Year Fund Balance (D-A)	\$405,261	\$502,144	(\$771,512)	(\$30,260)
Cash Flow Summary				
Revenue Total	\$5,712,378	\$7,648,893	\$6,438,288	\$7,334,466
Fees and Assessments	\$5,424,699	\$6,703,615	\$6,208,288	\$7,104,466
Fines and Interest	\$263,648	\$307,177	\$230,000	\$230,000
Internal	\$0	\$0	\$0	\$0
Other	\$24,031	\$638,100	\$0	\$0

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Expenses Total	\$5,260,813	\$5,260,813	\$7,209,800	\$7,364,727
Cash Expenditures	\$5,260,813	\$5,260,813	\$7,209,800	\$7,364,727
Net Cash Flow	\$451,565	\$2,388,080	(\$771,512)	(\$30,260)

Fund Expenditures Line Item Detail				
	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Executive Director's Office				
Workers' Compensation	\$8,181	\$11,467	\$16,671	\$16,671
Legal Services	\$697,272	\$849,348	\$827,383	\$827,383
Administrative Law Judge Services	\$39,010	\$20,652	\$18,170	\$18,170
Payment to Risk Management and Property Funds	\$16,405	\$17,242	\$15,121	\$15,121
Information Technology Asset Maintenance	\$21,484	\$36,784	\$36,784	\$36,784
Hardware/Software Maintenance	\$26,301	\$38,590	\$38,590	\$38,590
Leased Space	\$44,785	\$182,822	\$219,263	\$223,648
Payments to OIT	\$199,689	\$320,807	\$342,272	\$349,117
CORE Operations (formerly COFRS Modernization)	\$11,940	\$17,904	\$25,054	\$25,555
Division of Real Estate				
Personal Services	\$3,747,288	\$4,804,606	\$4,773,175	\$4,916,370
Operating Expenses	\$125,760	\$166,807	\$199,374	\$199,374
Hearings Pursuant to Complaint	\$0	0	\$0	\$0
Commission Meeting Costs	\$11,359	\$20,604	\$35,918	\$35,918
Mortgage Broker Consumer Protection	\$0	\$208,164	\$223,164	\$223,164
Indirect Cost Assessment	\$357,643	\$450,951	\$438,860	\$438,860
Grand Total	\$5,307,117	\$7,146,749	\$7,209,800	\$7,364,727

Note: For informational purposes prior to fees being set, future year revenue figures reflect the minimum required to support spending projected in this schedule, which is subject to change.

Actual	Actual	Appropriated	Requested
FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20

Cash Fund Reserve Balance

This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.

Note: Amounts for FY 17-18 and beyond include balances for Funds 19U0, 22H0, 28F0, and 25Q0, which were transferred to the Division of Real Estate Cash Fund.

Cash Fund Narrative Information

Purpose/Background of Fund

The fund exists to support the costs of the Division in carrying out its mission to: protect the from incompetent and dishonest real estate practitioners and mortgage loan originators; and, implement the Home Owner Associations information and resource program.

Fee Sources

Fee revenue is from professional license fees in the real estate and mortgage professions, and registration fees from Home Owner Associations.

Non-Fee Sources

Earned interest.

Long Bill Groups Supported by Fund

Division of Real Estate

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 2130 - Division of Securities Cash Fund
 11-51-707, C.R.S. (2016)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Year Beginning Fund Balance (A)	\$10,143	\$138,923	\$23,115	\$819,636
Changes in Cash Assets	\$255,451	\$140,121	\$796,521	(\$50,086)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$584,499)	(\$255,929)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$329,048)	(\$115,808)	\$796,521	(\$50,086)
Assets Total	\$2,244,467	\$2,384,588	\$3,181,109	\$3,131,023
Cash (B)	\$2,244,467	\$2,384,588	\$3,181,109	\$3,131,023
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$2,105,544	\$2,361,473	\$2,361,473	\$2,361,473
Cash Liabilities (C)	\$2,105,544	\$2,361,473	\$2,361,473	\$2,361,473
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$138,923	\$23,115	\$819,636	\$769,550
Net Cash Assets - (B-C)	\$138,923	\$23,115	\$819,636	\$769,550
Change from Prior Year Fund Balance (D-A)	\$128,780	(\$115,808)	\$796,521	(\$50,086)

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Cash Flow Summary				
Revenue Total	\$4,835,858	\$5,273,948	\$6,356,997	\$5,721,363
Fees and Assessments	\$4,834,079	\$5,273,291	\$6,356,339	\$5,720,705
Other	\$1,780	\$658	\$658	\$658
Expenses Total	\$4,707,078	\$5,389,756	\$5,560,476	\$5,771,448
Cash Expenditures	\$3,769,255	\$4,385,656	\$4,478,525	\$4,489,497
Transfers to Other State Agencies	\$937,823	\$1,004,100	\$1,081,951	\$1,281,951
Net Cash Flow	\$128,781	(\$115,808)	\$796,521	(\$50,086)

Fund Expenditures Line Item Detail	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Executive Director's Office				
Workers' Compensation	\$5,349	\$6,683	\$9,720	\$9,720
Legal Services	\$865,152	\$953,279	\$928,627	\$928,627
Administrative Law Judge Services	\$5,253	\$25,836	\$25,836	\$31,533
Payment to Risk Management and Property Funds	\$10,713	\$10,051	\$8,820	\$8,820
Vehicle Lease Payments	\$120	\$120	\$72	\$53
Information Technology Asset Maintenance	\$14,742	\$26,902	\$26,902	\$26,902
Hardware/Software Maintenance	\$12,546	\$17,879	\$17,879	\$17,879
Leased Space	\$24,995	\$82,866	\$98,069	\$100,031
Payments to OIT	\$109,854	\$145,545	\$155,283	\$158,389
CORE Operations (formerly COFRS Modernization)	\$6,571	\$8,066	\$11,368	\$11,595
Division of Securities				
Personal Services	\$2,455,790	\$2,824,404	\$2,909,137	\$2,909,137
Operating Expenses	\$62,587	\$65,810	\$58,999	\$58,999
Hearings Pursuant to Complaint	\$16,442	\$12,960	\$12,960	\$12,960
Board Meeting Costs	\$596	\$665	\$665	\$665
Securities Fraud Prosecution	\$937,823	\$1,004,100	\$1,081,951	\$1,281,951
Indirect Cost Assessment	\$178,546	\$204,590	\$214,188	\$214,188
Grand Total	\$4,707,078	\$5,389,756	\$5,560,476	\$5,771,448

Note: For informational purposes prior to fees being set, future year revenue figures reflect the minimum required to support spending projected in this schedule, which is subject to change.

Actual	Actual	Appropriated	Requested
FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.
Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to support the costs of the Division in carrying out its mission to protect investors and maintain public confidence in the securities markets while avoiding unreasonable burdens on participants in the capital markets.
Fee Sources	The majority of revenue is from initial licensing fees and renewal fees on broker/dealers, representatives and investment advisor licensees. Other minor, miscellaneous fees include registration and exemption from filing, interpretative opinion fees, and other miscellaneous fees. The Department sets fees annually to approximate expenditures.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Securities

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 2270 - High Cost Administration Fund
 40-15-208(3)(a), C.R.S. (2016)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Year Beginning Fund Balance (A)	\$134,149	\$135,449	\$15,013	\$49,279
Changes in Cash Assets	\$103,785	(\$114,961)	\$34,267	(\$14,242)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$9,603)	(\$5,475)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$94,182	(\$120,436)	\$34,267	(\$14,242)
Assets Total	\$152,319	\$37,358	\$71,624	\$57,383
Cash (B)	\$152,319	\$37,358	\$71,624	\$57,383
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$16,870	\$22,345	\$22,345	\$22,345
Cash Liabilities (C)	\$16,870	\$22,345	\$22,345	\$22,345
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$135,449	\$15,013	\$49,279	\$35,038
Net Cash Assets - (B-C)	\$135,449	\$15,013	\$49,279	\$35,038
Change from Prior Year Fund Balance (D-A)	\$1,300	(\$120,436)	\$34,267	(\$14,242)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Cash Flow Summary				
Revenue Total	\$229,758	\$118,368	\$376,932	\$336,136
Fees and Assessments	\$229,758	\$118,368	\$376,932	\$336,136
Fines and Interest	\$0	\$0	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Expenses Total	\$228,459	\$238,804	\$342,666	\$350,378
Cash Expenditures	\$228,459	\$238,804	\$342,666	\$350,378
Net Cash Flow	\$1,300	(\$120,436)	\$34,267	(\$14,242)

Fund Expenditures Line Item Detail	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Executive Director's Office				
Workers' Compensation	\$209	\$269	\$516	\$516
Legal Services	\$31,551	\$0	\$0	\$0
Payment to Risk Management and Property Funds	\$419	\$404	\$468	\$468
Information Technology Asset Maintenance	\$395	\$542	\$542	\$542
Hardware/Software Maintenance	\$1,004	\$1,072	\$1,072	\$1,072
Leased Space	\$2,791	\$9,666	\$15,675	\$15,988
Payments to OIT	\$8,790	\$11,214	\$16,543	\$16,874
CORE Operations (formerly COFRS Modernization)	\$526	\$626	\$1,211	\$1,235
Public Utilities Commission				
Personal Services	\$164,596	\$196,133	\$281,747	\$288,791
Operating Expenses	\$3,891	\$2,079	\$2,079	\$2,079
Indirect Cost Assessment	\$14,287	\$16,799	\$22,812	\$22,812
Grand Total	\$228,459	\$238,804	\$342,666	\$350,378

Note: For informational purposes prior to fees being set, future year revenue figures reflect the minimum required to support spending projected in this schedule, which is subject to change.

Actual	Actual	Appropriated	Requested
FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20

Cash Fund Reserve Balance

This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.

Cash Fund Narrative Information

Purpose/Background of Fund

The Colorado High Cost Support Mechanism (CHCSM) enables all Colorado citizens to receive affordable basic telephone service by using a statewide surcharge to subsidize service in areas that are costly to serve. The Fund was created using transferred funds and is supported by annual assessments against utilities. The fund exists to support administrative costs associated with the CHCSM.

Fee Sources

Assessments against utility companies.

Non-Fee Sources

Earned Interest

Long Bill Groups Supported by Fund

Public Utilities Commission

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 22J0 - Consumer Outreach and Education Cash Fund
 24-34-108, C.R.S. (2018)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Year Beginning Fund Balance (A)	\$26,890	\$40,271	\$47,437	\$47,437
Changes in Cash Assets	\$124,016	\$34,702	\$0	\$0
Changes in Non-Cash Assets	(\$0)	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$96,962)	(\$27,535)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$27,054	\$7,166	\$0	\$0
Assets Total	\$178,385	\$213,087	\$213,087	\$213,087
Cash (B)	\$178,385	\$213,087	\$213,087	\$213,087
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$138,114	\$165,649	\$165,649	\$165,649
Cash Liabilities (C)	\$138,114	\$165,649	\$165,649	\$165,649
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$40,271	\$47,437	\$47,437	\$47,437
Net Cash Assets - (B-C)	\$40,271	\$47,437	\$47,437	\$47,437
Change from Prior Year Fund Balance (D-A)	\$13,381	\$7,166	\$0	\$0

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Cash Flow Summary				
Revenue Total	\$180,446	\$206,730	\$200,000	\$200,000
Fees and Assessments	\$180,687	\$206,657	\$200,000	\$200,000
Fines and Interest	\$790	\$2,588	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	(\$1,032)	(\$2,515)	\$0	\$0
Expenses Total	\$167,738	\$199,564	\$200,000	\$200,000
Cash Expenditures	\$167,738	\$199,564	\$200,000	\$200,000
Net Cash Flow	\$12,708	\$7,166	\$0	\$0

Fund Expenditures Line Item Detail	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Executive Director's Office				
Consumer Outreach and Education Program	\$167,738	\$199,564	\$200,000	\$200,000
Grand Total	\$167,738	\$199,564	\$200,000	\$200,000

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.
----------------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	This program was established for the purposes of informing consumers of their rights regarding regulated professions and occupations, decreasing regulatory violations, and ensuring public awareness of consumer protection information available from the Department.
Fee Sources	The revenue for this program is received from a surcharge on fines collected by the Department, presently set at 15%.
Non-Fee Sources	None.
Long Bill Groups Supported by Fund	Executive Director's Office

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 2440 - Division of Banking Cash Fund
 11-102-403, C.R.S. (2018)

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Year Beginning Fund Balance (A)	\$353,369	\$687,689	\$540,156	\$573,872
Changes in Cash Assets	(\$64,266)	(\$117,270)	\$33,716	(\$98,612)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$28,847	(\$30,263)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$35,419)	(\$147,533)	\$33,716	(\$98,612)
Assets Total	\$1,018,427	\$901,156	\$934,872	\$836,260
Cash (B)	\$1,018,427	\$901,156	\$934,872	\$836,260
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$330,737	\$361,000	\$361,000	\$361,000
Cash Liabilities (C)	\$330,737	\$361,000	\$361,000	\$361,000
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$687,689	\$540,156	\$573,872	\$475,260
Net Cash Assets - (B-C)	\$687,689	\$540,156	\$573,872	\$475,260
Change from Prior Year Fund Balance (D-A)	\$334,320	(\$147,533)	\$33,716	(\$98,612)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Cash Flow Summary				
Revenue Total	\$5,180,508	\$5,034,163	\$5,357,806	\$5,357,806
Fees and Assessments	\$5,138,485	\$5,013,922	\$5,337,566	\$5,337,566
Fines and Interest	\$44,534	\$25,971	\$25,971	\$25,971
Internal	\$0	\$0	\$0	\$0
Other	(\$2,511)	(\$5,730)	(\$5,730)	(\$5,730)
Expenses Total	\$4,846,188	\$5,181,761	\$5,324,091	\$5,456,418
Cash Expenditures	\$4,846,188	\$5,181,761	\$5,324,091	\$5,456,418
Net Cash Flow	\$334,320	(\$147,598)	\$33,716	(\$98,612)

Fund Expenditures Line Item Detail	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Executive Director's Office				
Workers' Compensation	\$5,693	\$7,121	\$10,356	\$10,356
Legal Services	\$38,814	\$56,330	\$54,874	\$54,874
Payment to Risk Management and Property Funds	\$11,412	\$10,708	\$9,396	\$9,396
Information Technology Asset Maintenance	\$13,471	\$27,113	\$27,113	\$27,113
Hardware/Software Maintenance	\$20,909	\$28,139	\$28,139	\$28,139
Leased Space	\$43,744	\$138,237	\$173,043	\$176,504
Payments to OIT	\$183,089	\$242,575	\$258,806	\$263,982
CORE Operations (formerly COFRS Modernization)	\$10,948	\$13,536	\$18,944	\$19,323
Division of Banking				
Personal Services	\$3,727,732	\$3,848,408	\$3,947,470	\$4,046,157
Operating Expenses	\$475,535	\$453,534	\$490,703	\$490,703
Board Meeting Costs	\$17,264	\$15,077	\$23,500	\$23,500
Indirect Cost Assessment	\$297,577	\$340,984	\$281,747	\$306,372
Grand Total	\$4,846,188	\$5,181,761	\$5,324,091	\$5,456,418

Actual	Actual	Appropriated	Requested
FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.
----------------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to support the costs of the Division of Banking and its mission, which is to serve and protect the public interest by promoting a safe and sound financial institutions industry through continuous quality regulation and supervision.
Fee Sources	The fund is supported by assessments to financial institutions and various fees as authorized by statute, including charter applications, money transmitter licenses, change fees, and miscellaneous minor fees. These numerous fees include percentage-based assessments as well as individual fees ranging from \$100 - \$12,000. Fees support appropriations made by the General Assembly for the Division.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Banking.

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 2720 - Division of Financial Services Cash Fund
 11-40-106, C.R.S. (2018)

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Year Beginning Fund Balance (A)	\$149,259	\$309,356	\$179,761	\$102,388
Changes in Cash Assets	\$260,602	(\$140,654)	(\$77,372)	\$69,970
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$7,693)	\$11,058	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$252,909	(\$129,596)	(\$77,372)	\$69,970
Assets Total	\$424,415	\$283,761	\$206,389	\$276,359
Cash (B)	\$424,415	\$283,761	\$206,389	\$276,359
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$115,059	\$104,001	\$104,001	\$104,001
Cash Liabilities (C)	\$115,059	\$104,001	\$104,001	\$104,001
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$309,356	\$179,761	\$102,388	\$172,359
Net Cash Assets - (B-C)	\$309,356	\$179,761	\$102,388	\$172,359
Change from Prior Year Fund Balance (D-A)	\$160,097	(\$129,596)	(\$77,372)	\$69,970

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Cash Flow Summary				
Revenue Total	\$1,741,082	\$1,560,651	\$1,718,844	\$1,855,702
Fees and Assessments	\$1,732,639	\$1,552,534	\$1,710,727	\$1,847,585
Fines and Interest	\$7,043	\$11,334	\$11,334	\$11,334
Internal	\$0	\$0	\$0	\$0
Other	\$1,400	(\$3,216)	(\$3,216)	(\$3,216)
Expenses Total	\$1,570,865	\$1,690,247	\$1,796,216	\$1,785,732
Cash Expenditures	\$1,570,865	\$1,690,247	\$1,796,216	\$1,785,732
Net Cash Flow	\$170,216	(\$129,596)	(\$77,372)	\$69,970

Fund Expenditures Line Item Detail	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Executive Director's Office				
Workers' Compensation	\$2,393	\$2,994	\$4,356	\$4,356
Legal Services	\$6,387	\$5,529	\$5,386	\$5,386
Payment to Risk Management and Property Funds	\$4,798	\$4,502	\$3,948	\$3,948
Information Technology Asset Maintenance	\$3,207	\$8,034	\$8,034	\$8,034
Hardware/Software Maintenance	\$8,155	\$10,452	\$10,452	\$10,452
Leased Space	\$14,583	\$53,917	\$67,494	\$68,843
Payments to OIT	\$71,405	\$94,605	\$100,935	\$102,954
CORE Operations (formerly COFRS Modernization)	\$4,270	\$5,280	\$7,388	\$7,536
Division of Financial Services				
Personal Services	\$1,207,736	\$1,231,004	\$1,259,307	\$1,290,790
Operating Expenses	\$131,877	\$140,947	\$145,921	\$145,921
Indirect Cost Assessment	\$116,055	\$132,984	\$139,236	\$139,236
Grand Total	\$1,570,865	\$1,690,247	\$1,752,456	\$1,787,455

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Cash Fund Reserve Balance	This Cash Fund was not in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.			
Compliance Plan (narrative)	This Cash Fund is presently out of compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. Expenditures were less than anticipated during FY 16-17, and as a result fee action will be taken immediately in order to return the fund to compliance by June 2018, with a projected fund balance of 7.8 percent.			
Cash Fund Narrative Information				
Purpose/Background of Fund	The fund exists to support the costs of the Division of Financial Services and its mission, which is to serve and protect the public interest by promoting a safe and sound financial institutions industry through continuous quality regulation and supervision.			
Fee Sources	The fund is supported by assessments to financial institutions based on assets. The present assessment is calculated to bring in \$1.7 million on an annualized basis. Fees are adjusted biennially, and existing rates are presumed to be adjusted to cover expenditures.			
Non-Fee Sources	Earned interest.			
Long Bill Groups Supported by Fund	Division of Financial Services			

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 27T0 - Moving Outreach Fund
 40-10.1-509, C.R.S. (2018)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Year Beginning Fund Balance (A)	\$3,954	\$4,234	\$1,009	\$1,032
Changes in Cash Assets	(\$2,940)	\$1,676	\$23	\$23
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$0	(\$4,900)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	(\$2,940)	(\$3,224)	\$23	\$23
Assets Total	\$4,234	\$5,909	\$5,932	\$5,954
Cash (B)	\$4,234	\$5,909	\$5,932	\$5,954
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$0	\$4,900	\$4,900	\$4,900
Cash Liabilities (C)	\$0	\$4,900	\$4,900	\$4,900
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$4,234	\$1,009	\$1,032	\$1,054
Net Cash Assets - (B-C)	\$4,234	\$1,009	\$1,032	\$1,054
Change from Prior Year Fund Balance (D-A)	\$280	(\$3,224)	\$23	\$23

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Cash Flow Summary				
Revenue Total	\$332	\$1,723	\$5,023	\$5,023
Fees and Assessments	\$344	\$1,650	\$5,000	\$5,000
Fines and Interest	\$48	\$83	\$83	\$83
Internal	\$0	\$0	\$0	\$0
Other	(\$60)	(\$10)	(\$60)	(\$60)
Expenses Total	\$52	\$4,947	\$5,000	\$5,000
Cash Expenditures	\$52	\$4,947	\$5,000	\$5,000
Net Cash Flow	\$280	(\$3,224)	\$23	\$23

Fund Expenditures Line Item Detail	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Executive Director's Office				
Consumer Outreach and Education Program	\$5,073	\$4,947	\$4,947	\$4,947
Grand Total	\$5,073	\$4,947	\$4,947	\$4,947

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.
----------------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	This fund was established for the purposes of educating consumers of their rights and the responsibilities of movers under Section 40-10.1-509, C.R.S.
Fee Sources	The revenue for this program is one-half the penalties collected from movers under part 5 of Title 40, Article 10.1 C.R.S.
Non-Fee Sources	None.
Long Bill Groups Supported by Fund	The funding was included within the auspices of the Consumer Outreach and Education line item in the Executive Director's Office.

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 2820 - Division of Insurance Cash Fund
 10-1-103, C.R.S. (2018)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Year Beginning Fund Balance (A)	\$448,558	\$1,434,189	\$2,034,275	\$1,083,017
Changes in Cash Assets	\$1,674,610	\$748,320	(\$951,256)	(\$229,779)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$427,234)	(\$148,235)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$1,247,376	\$600,085	(\$951,256)	(\$229,779)
Assets Total	\$5,675,316	\$6,423,636	\$5,472,380	\$5,242,600
Cash (B)	\$5,675,316	\$6,423,636	\$5,472,380	\$5,242,600
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$4,241,127	\$4,389,362	\$4,389,362	\$4,389,362
Cash Liabilities (C)	\$4,241,127	\$4,389,362	\$4,389,362	\$4,389,362
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$1,434,189	\$2,034,275	\$1,083,017	\$853,238
Net Cash Assets - (B-C)	\$1,434,188	\$2,034,274	\$1,083,017	\$853,238
Change from Prior Year Fund Balance (D-A)	\$985,631	\$600,085	(\$951,257)	(\$229,779)
Cash Flow Summary				
Revenue Total	\$11,461,381	\$12,094,554	\$10,694,644	\$11,658,520
Fees and Assessments	\$10,146,135	\$10,709,739	\$9,638,765	\$10,602,641
Fines and Interest	\$0	\$0		
Internal (Federal Pass-Through)	\$1,055,879	\$1,195,997	\$1,055,879	\$1,055,879
Other (Insurance Premium Tax)	\$259,367	\$188,818	\$0	\$0

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Expenses Total	\$10,475,749	\$11,494,469	\$11,645,900	\$11,888,299
Cash Expenditures	\$9,337,099	\$10,213,181	\$10,620,942	\$10,844,548
Pass Through Federal	\$1,053,359	\$1,195,997	\$939,667	\$958,460
Transfers to Other Agencies	\$85,291	\$85,291	\$85,291	\$85,291
Net Cash Flow	\$985,632	\$600,085	(\$951,256)	(\$229,779)

Fund Expenditures Line Item Detail	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Executive Director's Office				
Workers' Compensation	\$11,407	\$14,272	\$20,748	\$20,748
Legal Services	\$904,976	\$853,346	\$831,279	\$831,279
Administrative Law Judge Services	\$7,297	\$9,063	\$10,943	\$13,356
Payment to Risk Management and Property Funds	\$22,867	\$21,459	\$18,816	\$18,816
Information Technology Asset Maintenance	\$27,786	\$49,591	\$49,591	\$49,591
Hardware/Software Maintenance	\$176,249	\$179,372	\$179,372	\$179,372
Leased Space	\$100,422	\$389,003	\$499,534	\$509,524
Payments to OIT	\$380,825	\$504,559	\$538,319	\$549,085
CORE Operations (formerly COFRS Modernization)	\$22,772	\$28,152	\$39,404	\$40,192
Division of Insurance				
Personal Services	\$6,787,610	\$7,176,565	\$7,391,862	\$7,576,658
Operating Expenses	\$266,228	\$276,918	\$296,894	\$296,894
Out-of-State Travel Expenses	\$9,702	\$1,633	\$1,633	\$1,633
Transfer To CAPCO Administration	\$85,291	\$85,291	\$85,291	\$85,291
Indirect Cost Assessment	\$618,959	\$709,247	\$742,548	\$757,399
Senior Health Counseling Program	\$1,053,359	\$1,195,997	\$939,667	\$958,460
Grand Total	\$10,475,749	\$11,494,469	\$11,645,900	\$11,888,299

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.
----------------------------------	--

Actual	Actual	Appropriated	Requested
FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20

Cash Fund Narrative Information	
Purpose/Background of Fund	This fund exists to support the costs of the Division of Insurance and its mission of consumer protection in the insurance industry.
Fee Sources	The fund is supported by business and professional license and renewal fees, as well as via a diversion of premium tax revenue that would otherwise be credited to the General Fund.
Non-Fee Sources	Premium tax revenue, in applicable years.
Long Bill Groups Supported by Fund	Division of Insurance.

Schedule 9: Cash Funds Reports
 Department of Regulatory Agencies
 FY 2019-20 Budget Request
 Fund 29C0 - Transportation Network Company Fund
 40-10.1-607, C.R.S. (2018)

	Actual FY 2016-17	Actual FY 2017-18	Appropriated FY 2018-19	Requested FY 2019-20
Year Beginning Fund Balance (A)	\$475	\$221,132	\$453,281	\$192,602
Changes in Cash Assets	\$134,819	\$247,802	(\$260,679)	(\$152,701)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$84,646	(\$15,653)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$219,465	\$232,149	(\$260,679)	(\$152,701)
Assets Total	\$228,353	\$476,154	\$215,475	\$62,774
Cash (B)	\$228,353	\$476,154	\$215,475	\$62,774
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$7,220	\$22,873	\$22,873	\$22,873
Cash Liabilities (C)	\$7,220	\$22,873	\$22,873	\$22,873
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$221,132	\$453,281	\$192,602	\$39,901
Net Cash Assets - (B-C)	\$221,132	\$453,281	\$192,602	\$39,901
Change from Prior Year Fund Balance (D-A)	\$220,657	\$232,149	(\$260,679)	(\$152,701)

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Cash Flow Summary				
Revenue Total	\$333,750	\$446,722	\$333,750	\$333,750
Fees and Assessments	\$333,750	\$446,722	\$333,750	\$333,750
Fines and Interest	\$0	\$0	\$0	\$0
Expenses Total	\$113,093	\$214,573	\$594,429	\$486,451
Cash Expenditures	\$113,093	\$214,573	\$594,429	\$486,451
Net Cash Flow	\$220,657	\$232,149	(\$260,679)	(\$152,701)

Fund Expenditures Line Item Detail	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Executive Director's Office				
Workers' Compensation	\$140	\$245	\$384	\$384
Legal Services	\$0	\$0	\$0	\$0
Payment to Risk Management and Property Funds	\$281	\$368	\$348	\$348
Vehicle Lease Payments	\$0	\$0	\$0	\$0
Information Technology Asset Maintenance	\$263	\$495	\$495	\$495
Hardware/Software Maintenance	\$669	\$978	\$978	\$978
Leased Space	\$1,861	\$8,820	\$11,755	\$11,990
Payments to OIT	\$5,860	\$10,233	\$12,407	\$12,655
CORE Operations (formerly COFRS Modernization)	\$350	\$576	\$908	\$926
Public Utilities Commission				
Personal Services	\$91,652	\$169,885	\$542,397	\$433,918
Operating Expenses	\$2,493	\$7,645	\$7,645	\$7,645
Expert Testimony	\$0	\$0	\$0	\$0
Indirect Cost Assessment	\$9,524	\$15,329	\$17,112	\$17,112
Grand Total	\$113,093	\$214,573	\$594,429	\$486,451

Actual	Actual	Appropriated	Requested
FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.
Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to defray the full amount determined by the general assembly for the administrative expenses of the commission for the supervision and regulation of transportation network companies.
Fee Sources	The fund is supported by a statutory assessment of \$111,250 charged to the state's three transportation network companies.
Non-Fee Sources	Miscellaneous.
Long Bill Groups Supported by Fund	Public Utilities Commission.

Schedule 9: Cash Funds Reports
Department of Regulatory Agencies
FY 2019-20 Budget Request
Fund 29D0 - Broadband Fund
40-15-509.5(4), C.R.S. (2018)

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Year Beginning Fund Balance (A)	\$2,840,723	\$2,351,849	\$550,279	\$220,705
Changes in Cash Assets	\$2,475,928	(\$1,204,883)	(\$329,574)	(\$218,621)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$324,613)	(\$596,687)	\$0	\$0
TOTAL CHANGES TO FUND BALANCE	\$2,151,315	(\$1,801,570)	(\$329,574)	(\$218,621)
Assets Total	\$2,676,462	\$1,471,578	\$1,142,005	\$923,384
Cash (B)	\$2,676,462	\$1,471,578	\$1,142,005	\$923,384
Other Assets	\$0	\$0	\$0	\$0
Liabilities Total	\$324,613	\$921,300	\$921,300	\$921,300
Cash Liabilities (C)	\$324,613	\$921,300	\$921,300	\$921,300
Long Term Liabilities	\$0	\$0	\$0	\$0
Ending Fund Balance (D)	\$2,351,849	\$550,279	\$220,705	\$2,084
Net Cash Assets - (B-C)	\$2,351,849	\$550,279	\$220,705	\$2,084
Change from Prior Year Fund Balance (D-A)	(\$488,874)	(\$1,801,570)	(\$329,574)	(\$218,621)

	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Cash Flow Summary				
Revenue Total	\$12,456	\$86,102	\$7,192	\$2,885
Fees and Assessments	\$0	\$0	\$0	\$0
Fines and Interest	\$31,530	\$33,098	\$7,192	\$2,885
Other	(\$19,074)	\$53,005		
Expenses Total	\$501,330	\$1,887,672	\$336,766	\$221,506
Cash Expenditures	\$501,330	\$1,887,672	\$336,766	\$221,506
Net Cash Flow	(\$488,875)	(\$1,801,570)	(\$329,574)	(\$218,621)

Fund Expenditures Line Item Detail	Actual	Actual	Appropriated	Requested
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20
Executive Director's Office				
Broadband Deployment Board (Non-Appropriated Line Item) and Central Appropriations	\$103,649	\$144,678	\$202,504	\$202,504
<i>Non-Appropriated Grant Expenditures</i>	\$397,681	\$1,691,336	\$103,887	\$0
Workers' Compensation		\$145	\$216	\$216
Legal Services		\$36,526	\$11,738	\$0
Payment to Risk Management and Property Funds		\$219	\$192	\$192
Information Technology Asset Maintenance				
Hardware/Software Maintenance				
Leased Space		\$8,366	\$11,285	\$11,511
Payments to OIT		\$6,064	\$6,470	\$6,599
CORE Operations (formerly COFRS Modernization)		\$338	\$474	\$483
Grand Total	\$501,330	\$1,887,672	\$336,766	\$221,506

Note: This Schedule reflects only transfers and spending authority that have occurred to date, and does not presume future decisions on funding.

Cash Fund Reserve Balance	This Cash Fund is in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., for FY 2017-18.

Actual	Actual	Appropriated	Requested
FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20

Cash Fund Narrative Information

Purpose/Background of Fund	The fund exists to support the administrative and grant-making duties of the Broadband Deployment Board.
Fee Sources	The fund is supported by any transfers made by the Public Utilities Commission from the High Cost Support Mechanism, and any amounts appropriated by the General Assembly.
Non-Fee Sources	Miscellaneous.
Long Bill Groups Supported by Fund	Public Utilities Commission.



This page is intentionally blank.