

# DEPARTMENT OF REGULATORY AGENCIES FY 2014-15 BUDGET REQUEST

## Schedule 9 – Cash Funds Reports

	Page
Division of Registrations Cash Fund #189.....	334
Fixed Utilities Fund #184.....	337
Motor Carrier Cash Fund #185.....	339
Nuclear Materials Transportation Fund #186 .....	341
Highway Crossing Protection Fund #187.....	343
Hazardous Materials Safety Fund #188.....	345
Prescription Drug Monitoring Fund #18S.....	347
Viatical Settlements Cash Fund #18W.....	349
Disabled Telephone Users Cash Fund #196.....	351
Mortgage Company and Loan Originator Cash Fund #19U.....	353
Division of Real Estate Cash Fund #212.....	355
Division of Securities Cash Fund #213.....	357
High Cost Administration Fund #227.....	359
Conservation Easement Appraisal Fund #22G.....	361
Conservation Easement Holder Fund #22H.....	363
HOA Information and Resource Cash Fund #22H .....	365
Consumer Education and Outreach Fund #22J .....	367
Division of Banking Cash Fund #244.....	369
Low Income Telephone Assistance Fund #251.....	371
Division of Financial Services Cash Fund #272.....	373
Division of Insurance Cash Fund #282.....	375
Appendix .....	380

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 189 - Division of Professions and Occupations Cash Fund  
 24-34-104, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$4,631,946</b>	<b>\$3,489,488</b>	<b>\$2,469,759</b>	<b>\$2,807,970</b>
Changes in Cash Assets	\$726,881	(\$115,097)	\$536,913	\$0
Changes in Non-Cash Assets	\$0	\$7,365	(\$7,365)	\$0
Changes in Long-Term Assets	\$0	\$191,338	(\$191,338)	\$0
Changes in Total Liabilities	(\$1,869,339)	(\$1,103,334)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>(\$1,142,458)</b>	<b>(\$1,019,729)</b>	<b>\$338,210</b>	<b>\$0</b>
<b>Assets Total</b>	<b>\$17,924,385</b>	<b>\$18,007,990</b>	<b>\$18,346,201</b>	<b>\$18,346,201</b>
Cash (B)	\$17,924,385	\$17,809,288	\$18,346,201	\$18,346,201
Other Assets(Detail as necessary)	\$0	\$7,365	\$0	\$0
Receivables	\$0	\$191,338	\$0	\$0
<b>Liabilities Total</b>	<b>\$14,434,896</b>	<b>\$15,538,231</b>	<b>\$15,538,231</b>	<b>\$15,538,231</b>
Cash Liabilities (C )	\$14,434,896	\$15,538,231	\$15,538,231	\$15,538,231
Long Term Liabilities	\$0	\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$3,489,488</b>	<b>\$2,469,759</b>	<b>\$2,807,970</b>	<b>\$2,807,970</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$3,489,488</b>	<b>\$2,271,057</b>	<b>\$2,807,970</b>	<b>\$2,807,970</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>(\$1,142,458)</b>	<b>(\$1,019,729)</b>	<b>\$338,210</b>	<b>\$0</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$21,929,212	\$21,959,019	\$23,257,041	\$22,957,041
Fees and Assessments	\$21,007,550	\$21,103,789	\$22,401,810	\$22,101,810
Fines and Interest	\$1,749	\$140	\$140	\$140
Internal	\$301,801	\$203,362	\$203,362	\$203,362
Other	\$618,112	\$651,728	\$651,728	\$651,728
Expenses Total	\$23,071,670	\$22,978,748	\$22,720,128	\$22,720,128
Cash Expenditures	\$21,553,150	\$21,557,661	\$22,720,128	\$22,720,128
Internal Transfers	\$1,518,520	\$1,421,087	\$0	\$0
<b>Net Cash Flow</b>	<b>(\$1,142,458)</b>	<b>(\$1,019,729)</b>	<b>\$536,913</b>	<b>\$236,913</b>

<p style="text-align: center;"><b>Cash Fund Reserve Balance</b></p>	<p>This Cash Fund is presently in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S., which applies to legally created cash funds. However, each separate board within the fund charges its own fees and records expenditures against its own revenues. For transparency purposes the Department is providing information as to the status of any individual sub-accounts that would be non-compliant if they were separately created legal cash funds. While this information is not a compliance plan because the cash fund is in compliance, it is the same information presented for reference, including amount of FY 12-13 reserves exceeding 16.5% of expenditures, and narrative on circumstances and actions taken.</p>	
<p style="text-align: center;">Board</p>	<p style="text-align: center;">Uncommitted Reserves Exceeding 16.5%</p>	<p style="text-align: center;">Comment</p>
<p style="text-align: center;">Dentists</p>	<p style="text-align: center;">\$171,824</p>	<p>Fees were previously increased due to increased expenditures, but were lowered at the earliest opportunity for purposes of fund balance compliance (in FY 12). Subsequently, expenditures dropped more than expected, and the combination of these factors means that the fund balance is now too high and fee action will be taken to achieve compliance in FY 13-14.</p>
<p style="text-align: center;">Mental Health</p>	<p style="text-align: center;">\$707,976</p>	<p>Fee reductions have occurred for the Mental Health boards and will continue to occur until compliance is achieved. Compliance was forecast by FY 13-14, however the existence of a multi-year license cycle across multiple professions with varying individual balances makes the need for further adjustment in successive cycles likely in order to resolve the balance without creating deficit status for professions under the Mental Health board.</p>
<p style="text-align: center;">Outfitters</p>	<p style="text-align: center;">\$39,645</p>	<p>An unexpected decrease in expenditures caused a higher than expected fund balance. Fees will be adjusted as early as possible and will achieve compliance with the 16.5% maximum by FY 13-14. As of July 2013, the fund balance has dropped to \$50,373.</p>
<p style="text-align: center;">Pharmacy</p>	<p style="text-align: center;">\$48,374</p>	<p>This board as stated in last year's compliance plan was targeted for compliance in FY 12-13. However, expenditures added by new legislation (HB 12-1311) did not occur in the amount that was expected, delaying compliance as projected. Fees will therefore be reduced at the next available opportunity and compliance is expected to occur in FY 14-15 based on renewal cycles.</p>

<p>Speech Language Pathologists</p>	<p>\$111,859</p>	<p>This newly noncompliant board had a larger than expected volume of revenue, as well as an unexpected decrease in expenditures. Fees will be reduced at earliest opportunity and compliance is expected in FY 14-15.</p>
-------------------------------------	------------------	--

<p><b>Cash Fund Narrative Information</b></p>	
<p>Purpose/Background of Fund</p>	<p>The fund exists to support the costs of the Division to protect the public through effective licensure and enforcement for more than 31 professions and occupations.</p>
<p>Fee Sources</p>	<p>The fund is supported by numerous professional licensing fees including renewal fees, as well as original license fees, application fees, reciprocity fees, reinstatement fees, examination or examination processing fees, etc. Additionally, there are inspection and permit fees assessed in the Electrical, Plumbing, and Passenger Tramway boards.</p>
<p>Non-Fee Sources</p>	<p>Earned interest, as well as miscellaneous revenue such as sales of publications and maps. Additionally, Nurse Aides is funded from Departments of Public Health and Environment and Health Care Policy and Financing, which are required to obtain and forward federal funding.</p>
<p>Long Bill Groups</p>	<p>Division of Professions and Occupations</p>

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 184 - Fixed Utilities Fund  
 40-2-114, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$1,822,860</b>	<b>\$2,354,711</b>	<b>\$2,353,772</b>	<b>\$1,323,137</b>
Changes in Cash Assets	\$333,544	\$32,870	(\$1,030,635)	(\$1,030,635)
Changes in Non-Cash Assets	\$19,281	(\$2,840)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$179,027	(\$30,970)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>\$531,852</b>	<b>(\$939)</b>	<b>(\$1,030,635)</b>	<b>(\$1,030,635)</b>
<b>Assets Total</b>	<b>\$3,025,816</b>	<b>\$3,055,847</b>	<b>\$2,025,212</b>	<b>\$994,577</b>
Cash (B)	\$2,690,799	\$2,723,669	\$1,693,034	\$662,399
Other Assets	\$335,018	\$332,178	\$332,178	\$332,178
<b>Liabilities Total</b>	<b>\$671,105</b>	<b>\$702,075</b>	<b>\$702,075</b>	<b>\$702,075</b>
Cash Liabilities (C)	\$671,105	\$702,075	\$702,075	\$702,075
Long Term Liabilities	\$0	\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$2,354,711</b>	<b>\$2,353,772</b>	<b>\$1,323,137</b>	<b>\$292,502</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$2,019,694</b>	<b>\$2,021,594</b>	<b>\$990,959</b>	<b>(\$39,676)</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>\$531,852</b>	<b>(\$939)</b>	<b>(\$1,030,635)</b>	<b>(\$1,030,635)</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$12,155,476	\$11,773,566	\$11,099,270	\$11,099,270
Fees and Assessments	\$11,664,299	\$11,213,069	\$10,525,742	\$10,525,742
Fines and Interest	\$0	\$0	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	\$491,177	\$560,497	\$573,528	\$573,528
Expenses Total	\$11,623,624	\$11,774,505	\$12,129,905	\$12,129,905
Cash Expenditures	\$11,623,624	\$11,774,505	\$12,129,905	\$12,129,905
Net Cash Flow	\$531,852	(\$939)	(\$1,030,635)	(\$1,030,635)

Cash Fund Reserve Balance	Actual	Actual	Estimated	Requested
	FY 2011-12	FY 2012-13	FY 2013-14	FY 2014-15
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$2,354,711	\$2,353,772	\$1,638,909	\$292,502
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$1,917,898	\$1,942,793	\$2,001,434	\$2,001,434
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$436,813</b>	<b>\$410,979</b>	<b>(\$362,525)</b>	<b>(\$1,708,932)</b>

<b>Compliance Plan (narrative)</b>	Expenditures were lower than expected for this fund, so the reserve target became smaller than anticipated. In response the annual assessment was further and more significantly reduced in June 2013. Compliance was expected for June 2013 and is now expected in FY 2014.
------------------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to provide funding to support a permitting and inspection program for the regulation of the transport of nuclear materials within or though the state of Colorado. The purpose is to protect citizens and the environment. (§40-20-401, C.R.S.)
Fee Sources	The fund is supported by annual assessments against fixed utilities, which pay in proportion to their gross intrastate operating revenue. Assessments are collected by the Department of Revenue.
Non-Fee Sources	Miscellaneous.
Long Bill Groups Supported by Fund	Public Utilities Commission and the Office of Consumer Counsel.

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 185 - Motor Carrier Cash Fund  
 40-2-110.5, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$1,977,209</b>	<b>\$350,793</b>	<b>\$247,102</b>	<b>\$247,102</b>
Changes in Cash Assets	(\$1,650,846)	(\$91,092)	\$0	\$0
Changes in Non-Cash Assets	\$5,486	(\$4,461)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$18,943	(\$8,138)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>(\$1,626,416)</b>	<b>(\$103,691)</b>	<b>\$0</b>	<b>\$0</b>
<b>Assets Total</b>	<b>\$516,074</b>	<b>\$420,521</b>	<b>\$420,521</b>	<b>\$420,521</b>
Cash (B)	\$509,579	\$418,487	\$418,487	\$418,487
Other Assets	\$6,495	\$2,034	\$2,034	\$2,034
<b>Liabilities Total</b>	<b>\$165,282</b>	<b>\$173,419</b>	<b>\$173,419</b>	<b>\$173,419</b>
Cash Liabilities (C)	\$165,282	\$173,419	\$173,419	\$173,419
Long Term Liabilities	\$0	\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$350,793</b>	<b>\$247,102</b>	<b>\$247,102</b>	<b>\$247,102</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$344,298</b>	<b>\$245,068</b>	<b>\$245,068</b>	<b>\$245,068</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>(\$1,626,416)</b>	<b>(\$103,691)</b>	<b>\$0</b>	<b>\$0</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$323,046	\$1,938,866	\$2,042,557	\$2,042,557
Fees and Assessments	\$323,046	\$1,938,866	\$2,042,557	\$2,042,557
Fines and Interest	\$0	\$0	\$0	\$0
Expenses Total	\$1,949,462	\$2,042,557	\$2,042,557	\$2,042,557
Cash Expenditures	\$1,949,462	\$2,042,557	\$2,042,557	\$2,042,557
	\$0	\$0	\$0	\$0
<b>Net Cash Flow</b>	<b>(\$1,626,416)</b>	<b>(\$103,691)</b>	<b>\$0</b>	<b>\$0</b>

Cash Fund Reserve Balance	This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2011-12 and FY 2012-13
---------------------------	--

<b>Cash Fund Narrative Information</b>	
Purpose/Background of Fund	The fund exists to support the PUC's administration of laws governing the regulation of motor carriers in Colorado.
Fee Sources	Fees sources include permit fees and annual state identification fees from regulated motor carriers. Because the PUC has reduced the fee to \$5, State-controlled fees generate less than \$50k in annual revenue, and over 90% of the revenue for this fund is generated by a fee that is not set by PUC or the State of Colorado.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Public Utilities Commission



Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 186 - Nuclear Materials Transportation Fund  
 40-20-511, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Changes in Cash Assets	(\$2,400)	\$2,400	\$0	\$0
Changes in Non-Cash Assets	\$2,400	(\$2,400)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$0	\$0	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Assets Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Cash (B)	(\$2,400)	\$0	\$0	\$0
Other Assets	\$2,400	\$0	\$0	\$0
<b>Liabilities Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Cash Liabilities (C)	\$0	\$0	\$0	\$0
Long Term Liabilities	\$0	\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$108,900	\$86,300	\$86,300	\$86,300
Fees and Assessments	\$108,900	\$86,300	\$86,300	\$86,300
Fines and Interest	\$0	\$0	\$0	\$0
Internal	\$0			
Other	\$0			
Expenses Total	\$108,900	\$86,300	\$86,300	\$86,300
Cash Expenditures	\$108,900	\$86,300	\$86,300	\$86,300
	\$0	\$0	\$0	\$0
Net Cash Flow	\$0	\$0	\$0	\$0

<p><b>Cash Fund Reserve Balance</b></p>	<p>This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2011-12 and FY 2012-13</p>
---	---

<p><b>Cash Fund Narrative Information</b></p>	
<p>Purpose/Background of Fund</p>	<p>The fund exists to provide funding to support a permitting and inspection program for the regulation of the transport of nuclear materials within or though the state of Colorado. The purpose is to protect citizens and the environment. (§40-20-401, C.R.S.)</p>
<p>Fee Sources</p>	<p>The fund is supported by nuclear materials permit fees (annual and single trip).</p>
<p>Non-Fee Sources</p>	<p>Civil penalties.</p>
<p>Long Bill Groups Supported by Fund</p>	<p>Department of Public Safety (CDPS): Special Programs, Nuclear Materials Transportation. Department of Revenue (DOR): Motor Vehicle Business Group, Administration, Personal Services and Motor Carrier Services Division, Personal Services and Operating Expenses. The PUC has no line items supported by this fund.</p>

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 187 - Highway Crossing Protection Fund  
 40-29-116, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$170,085</b>	<b>\$172,400</b>	<b>\$504</b>	<b>\$2,139</b>
Changes in Cash Assets	\$2,315	(\$171,897)	\$1,635	\$1,635
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$0	\$0	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>\$2,315</b>	<b>(\$171,897)</b>	<b>\$1,635</b>	<b>\$1,635</b>
<b>Assets Total</b>	<b>\$172,400</b>	<b>\$504</b>	<b>\$2,139</b>	<b>\$3,774</b>
Cash (B)	\$172,400	\$504	\$2,139	\$3,774
Other Assets	\$0	\$0	\$0	\$0
<b>Liabilities Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Cash Liabilities (C)	\$0	\$0	\$0	\$0
Long Term Liabilities	\$0	\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$172,400</b>	<b>\$504</b>	<b>\$2,139</b>	<b>\$3,774</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$172,400</b>	<b>\$504</b>	<b>\$2,139</b>	<b>\$3,774</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>\$2,315</b>	<b>(\$171,897)</b>	<b>\$1,635</b>	<b>\$1,635</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$2,315	\$1,635	\$1,635	\$1,635
Fees and Assessments	\$0	\$0	\$0	\$0
Fines and Interest	\$2,315	\$1,635	\$1,635	\$1,635
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Expenses Total	\$0	\$0	\$0	\$0
Cash Expenditures	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Net Cash Flow	\$2,315	\$1,635	\$1,635	\$1,635

<p>Cash Fund Reserve Balance</p>	<p>This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2011-12 and FY 2012-13</p>
----------------------------------	---

<p>Cash Fund Narrative Information</p>	
<p>Purpose/Background of Fund</p>	<p>The fund exists to support a program that provides financial resources for the building of railroad crossing protection devices.</p>
<p>Fee Sources</p>	<p>None.</p>
<p>Non-Fee Sources</p>	<p>Subject to appropriation, there is an annual transfer from the General Fund of \$240,000. The Fund retains its earned interest. No transfer is requested for FY 2014-15.</p>
<p>Long Bill Groups Supported by Fund</p>	<p>PUC: Highway Crossing Protection</p>

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 188 - Hazardous Materials Safety Fund  
 40-20-107(3), C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$600</b>	<b>\$1,768,481</b>	<b>\$1,979,175</b>	<b>\$1,979,175</b>
Changes in Cash Assets	\$1,767,321	\$211,234	\$0	\$0
Changes in Non-Cash Assets	\$561	\$59	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$0	(\$599)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>\$1,767,881</b>	<b>\$210,694</b>	<b>\$0</b>	<b>\$0</b>
<b>Assets Total</b>	<b>\$1,768,481</b>	<b>\$1,979,775</b>	<b>\$1,979,775</b>	<b>\$1,979,775</b>
Cash (B)	\$1,767,696	\$1,978,930	\$1,978,930	\$1,978,930
Other Assets	\$786	\$845	\$845	\$845
<b>Liabilities Total</b>	<b>\$0</b>	<b>\$599</b>	<b>\$599</b>	<b>\$599</b>
Cash Liabilities (C)	\$0	\$599	\$599	\$599
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$1,768,481</b>	<b>\$1,979,175</b>	<b>\$1,979,175</b>	<b>\$1,979,175</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$1,767,696</b>	<b>\$1,978,330</b>	<b>\$1,978,330</b>	<b>\$1,978,330</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>\$1,767,881</b>	<b>\$210,694</b>	<b>\$0</b>	<b>\$0</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$2,149,919	\$497,630	\$497,355	\$497,355
Fees and Assessments	\$2,119,979	\$497,355	\$497,355	\$497,355
Fines and Interest	\$29,940	\$275	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Expenses Total	\$382,038	\$286,936	\$497,355	\$497,355
Transfers	\$382,038	\$286,936	\$497,355	\$497,355
Other	\$0	\$0	\$0	\$0
Net Cash Flow	\$1,767,881	\$210,694	\$0	\$0

Cash Fund Reserve Balance	This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2011-12 and FY 2012-13
---------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to provide funding to the Department of Public Safety (DPS) for the Colorado State Patrol (CSP) for hazardous material response and routing across the State. Funding is also provided to DPS for distribution to local governments (responders) for training and equipment purchases. Finally, funds are appropriated to the Department of Revenue for hazardous materials permitting. No funds are spent by DORA.
Fee Sources	The fund is supported by Hazardous materials permit fees (annual and single trip).
Non-Fee Sources	Penalties, gifts, donations, federal funds per §42-20-107, C.R.S.
Long Bill Groups Supported by Fund	Department of Public Safety, Executive Director's Office, Distribution to Local Governments-- Department of Public Safety, Colorado State Patrol, Hazardous Materials Routing-- Department of Revenue, Hazardous Materials Permitting Program.

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 18S - Prescription Drug Monitoring Cash Fund  
 12-22-706, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$172,742</b>	<b>\$50,038</b>	<b>\$164,239</b>	<b>\$16,812</b>
Changes in Cash Assets	(\$139,854)	\$143,248	(\$147,427)	\$81,151
Changes in Non-Cash Assets	\$17,150	(\$16,601)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$0	(\$12,446)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>(\$122,703)</b>	<b>\$114,201</b>	<b>(\$147,427)</b>	<b>\$81,151</b>
<b>Assets Total</b>	<b>\$50,038</b>	<b>\$176,685</b>	<b>\$29,258</b>	<b>\$110,409</b>
Cash (B)	\$32,303	\$175,551	\$28,124	\$109,275
Other Assets	\$17,735	\$1,134	\$1,134	\$1,134
<b>Liabilities Total</b>	<b>\$0</b>	<b>\$12,446</b>	<b>\$12,446</b>	<b>\$12,446</b>
Cash Liabilities (C)	\$0	\$12,446	\$12,446	\$12,446
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$50,038</b>	<b>\$164,239</b>	<b>\$16,812</b>	<b>\$97,963</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$32,303</b>	<b>\$163,105</b>	<b>\$15,678</b>	<b>\$96,829</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>(\$122,703)</b>	<b>\$114,201</b>	<b>(\$147,427)</b>	<b>\$81,151</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$231,691	\$565,822	\$278,965	\$507,543
Fees and Assessments	\$144,488	\$440,739	\$153,882	\$382,460
Fines and Interest	\$0	\$0	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	\$87,203	\$125,083	\$125,083	\$125,083
Expenses Total	\$374,577	\$426,392	\$426,392	\$426,392
Cash Expenditures	\$374,577	\$426,392	\$426,392	\$426,392
Other	\$0	\$0	\$0	\$0
<b>Net Cash Flow</b>	<b>(\$142,886)</b>	<b>\$139,430</b>	<b>(\$147,427)</b>	<b>\$81,151</b>

Cash Fund Reserve Balance	Actual	Actual	Estimated	Requested
	FY 2011-12	FY 2012-13	FY 2013-14	FY 2014-15
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$50,038	\$164,239	(\$111,083)	\$97,963
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$61,805	\$70,355	\$70,355	\$20,639
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>(\$11,767)</b>	<b>\$93,884</b>	<b>(\$181,438)</b>	<b>\$77,325</b>

<b>Compliance Plan (narrative)</b>	This newly non-compliant board had a large volume of revenue due to an influx of licensee population in FY 12-13. The number of fee payers will decline substantially in FY 13-14 and compliance is expected in FY 13-14.
------------------------------------	---

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to support the costs of the Division in implementing the Prescription Drug Monitoring Program.
Fee Sources	The only fee source is a newly authorized assessment against licensees having prescriptive authority, including optometrists, podiatrists, nurses, physicians, dentists, and veterinarians.
Non-Fee Sources	Federal grants, gifts grants and donations, and earned interest.
Long Bill Groups Supported by Fund	Division of Registrations



Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 18W - Viatical Settlements Cash Fund  
 10-7-619, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$50,163</b>	<b>\$57,313</b>	<b>\$64,990</b>	<b>\$72,667</b>
Changes in Cash Assets	\$7,150	\$8,034	\$7,677	\$7,677
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$0	(\$357)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>\$7,150</b>	<b>\$7,677</b>	<b>\$7,677</b>	<b>\$7,677</b>
<b>Assets Total</b>	<b>\$57,313</b>	<b>\$65,347</b>	<b>\$73,024</b>	<b>\$80,701</b>
Cash (B)	\$57,313	\$65,347	\$73,024	\$80,701
Other Assets	\$0	\$0	\$0	\$0
<b>Liabilities Total</b>	<b>\$0</b>	<b>\$357</b>	<b>\$357</b>	<b>\$357</b>
Cash Liabilities (C)	\$0	\$357	\$357	\$357
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$57,313</b>	<b>\$64,990</b>	<b>\$72,667</b>	<b>\$80,344</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$57,313</b>	<b>\$64,990</b>	<b>\$72,667</b>	<b>\$80,344</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>\$7,150</b>	<b>\$7,677</b>	<b>\$7,677</b>	<b>\$7,677</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$9,890	\$8,360	\$8,360	\$8,360
Fees and Assessments	\$9,890	\$8,360	\$8,360	\$8,360
Fines and Interest	\$0	\$0	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Expenses Total	\$2,740	\$683	\$683	\$683
Cash Expenditures	\$2,740	\$683	\$683	\$683
Other	\$0	\$0	\$0	\$0
Net Cash Flow	\$7,150	\$7,677	\$7,677	\$7,677

Cash Fund Reserve Balance	This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2011-12 and FY 2012-13
---------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	The purpose of the fund is to support the costs of regulating the activities of viatical settlement producers and providers involving agreements to assign, transfer, sell or bequest the death benefit or ownership of a life insurance policy in exchange for compensation less than the expected death benefit.
Fee Sources	The fund is supported by fees assessed against viatical settlement providers and life insurance producers.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Insurance Personal Services.

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 196 - Disabled Telephone Users' Fund  
 40-17-104, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$1,593,924</b>	<b>\$2,891,167</b>	<b>\$4,318,798</b>	<b>\$2,026,073</b>
Changes in Cash Assets	\$1,641,338	\$1,586,889	(\$2,292,725)	(\$1,292,725)
Changes in Non-Cash Assets	(\$27,970)	(\$10,000)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$316,124)	(\$149,258)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>\$1,297,244</b>	<b>\$1,427,631</b>	<b>(\$2,292,725)</b>	<b>(\$1,292,725)</b>
<b>Assets Total</b>	<b>\$3,207,292</b>	<b>\$4,784,181</b>	<b>\$2,491,456</b>	<b>\$1,198,731</b>
Cash (B)	\$2,978,077	\$4,564,966	\$2,272,241	\$979,516
Other Assets	\$229,215	\$219,215	\$219,215	\$219,215
<b>Liabilities Total</b>	<b>\$316,124</b>	<b>\$465,383</b>	<b>\$465,383</b>	<b>\$465,383</b>
Cash Liabilities (C)	\$316,124	\$465,383	\$465,383	\$465,383
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$2,891,167</b>	<b>\$4,318,798</b>	<b>\$2,026,073</b>	<b>\$733,348</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$2,661,952</b>	<b>\$4,099,583</b>	<b>\$1,806,858</b>	<b>\$514,133</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>\$1,297,244</b>	<b>\$1,427,631</b>	<b>(\$2,292,725)</b>	<b>(\$1,292,725)</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$4,918,272	\$4,728,302	\$1,007,946	\$2,007,946
Fees and Assessments	\$4,878,326	\$4,691,029	\$1,000,000	\$2,000,000
Fines and Interest	\$25,746	\$37,273	\$7,946	\$7,946
Internal	\$0	\$0	\$0	\$0
Other	\$14,200	\$0	\$0	\$0
Expenses Total	\$3,269,952	\$3,300,671	\$3,300,671	\$3,300,671
Cash Expenditures	\$2,019,934	\$1,907,625	\$1,907,625	\$1,907,625
Transfers to Other Agencies	\$1,250,017	\$1,393,046	\$1,393,046	\$1,393,046
Net Cash Flow	\$1,648,320	\$1,427,631	(\$2,292,725)	(\$1,292,725)

Cash Fund Reserve Balance	This Cash Fund is exempt from excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S.
---------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	The purpose of the Fund is to support the costs of a telephone relay service for disabled telephone users (including deaf, hard of hearing, and speech-impaired individuals, among others). Beginning with S.B. 99-165, there has been an annual transfer (increased to \$200,000 during FY 06-07) to the Reading Services for the Blind Cash Fund. Each calendar year there is also an annual transfer (increased to from \$25,000 to \$644,724 during FY 06-07) to the Deaf and Hard of Hearing Cash Fund pursuant to §40-7-104(4)(a), C.R.S.
Fee Sources	The relay program is funded by a uniform, per month per access line surcharge to all business and residential telephone customers. The surcharge does not apply to wireless customers. While the increased subsidies to other agencies had required an increase from \$0.12 per line per month to \$0.20 per line per month, a substantial decrease in the fee has occurred in order to reduce fund balance.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	The PUC's appropriation includes a line item called "Disabled Telephone Users' Fund," which funds the annual contract for the provision of telephone services for the hearing impaired. The Fund also pays the salary and operational costs for the 1.0 FTE administering the program in the PUC. Additional PUC line items include "Transfer to the Reading Services for the Blind Cash Fund" pursuant to S.B. 99-165 and "Transfer to the Colorado Commission for the Deaf and Hard of Hearing".

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 19U - Mortgage Company and Loan Originator Cash Fund  
 12-61-908(2), C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$1,071,944</b>	<b>\$1,046,347</b>	<b>\$539,900</b>	<b>\$166,950</b>
Changes in Cash Assets	(\$461,490)	(\$444,799)	(\$372,950)	\$31,666
Changes in Non-Cash Assets	(\$5,417)	(\$745)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$441,311	(\$60,903)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>(\$25,597)</b>	<b>(\$506,447)</b>	<b>(\$372,950)</b>	<b>\$31,666</b>
<b>Assets Total</b>	<b>\$1,264,858</b>	<b>\$819,314</b>	<b>\$446,364</b>	<b>\$478,030</b>
Cash (B)	\$1,261,361	\$816,562	\$443,612	\$475,278
Other Assets	\$3,497	\$2,752	\$2,752	\$2,752
<b>Liabilities Total</b>	<b>\$218,511</b>	<b>\$279,415</b>	<b>\$279,415</b>	<b>\$279,415</b>
Cash Liabilities (C)	\$218,511	\$279,415	\$279,415	\$279,415
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$1,046,347</b>	<b>\$539,900</b>	<b>\$166,950</b>	<b>\$198,615</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$1,042,850</b>	<b>\$537,148</b>	<b>\$164,198</b>	<b>\$195,863</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>(\$25,597)</b>	<b>(\$506,447)</b>	<b>(\$372,950)</b>	<b>\$31,666</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$1,161,159	\$701,265	\$834,762	\$1,239,378
Fees and Assessments	\$1,099,733	\$661,887	\$795,384	\$1,200,000
Fines and Interest	\$61,426	\$39,378	\$39,378	\$39,378
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Expenses Total	\$1,186,756	\$1,207,712	\$1,207,712	\$1,207,712
Cash Expenditures	\$1,186,756	\$1,207,712	\$1,207,712	\$1,207,712
Other	\$0	\$0	\$0	\$0
Net Cash Flow	(\$25,597)	(\$506,447)	(\$372,950)	\$31,666

Cash Fund Reserve Balance	Actual	Actual	Estimated	Requested
	FY 2011-12	FY 2012-13	FY 2013-14	FY 2014-15
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$1,046,347	\$539,900	\$166,950	\$31,666
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$195,815	\$199,272	\$199,272	\$199,272
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$850,532</b>	<b>\$340,627</b>	<b>(\$32,323)</b>	<b>(\$167,607)</b>

<b>Compliance Plan (narrative)</b>	This fund was targeted for compliance for FY 12-13 (targeted to occur in FY 13-14), but a sharp increase in the volume of licensees as well as legislation that increased the population (HB 10-1141) increased revenue beyond what was expected. Fees were significantly modified at the earliest opportunity, although licensee volume still trended high in FY 11-12 contrary to expectations. Fees were further adjusted, and compliance is still expected by FY 13-14.
------------------------------------	---

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to support the costs of the Division in implementing the licensure program for Mortgage Brokers.
Fee Sources	Application fees for mortgage brokers, and from their annual renewal fees.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	The fund may only be used to cover costs of the Division of Real Estate and the Department of Law related to mortgage brokers.

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 212 - Division of Real Estate Cash Fund  
 12-61-111.5(2)(b), C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$693,013</b>	<b>\$609,588</b>	<b>\$329,434</b>	<b>\$404,298</b>
Changes in Cash Assets	(\$1,135,803)	(\$198,045)	\$74,864	(\$328,144)
Changes in Non-Cash Assets	\$5,965	(\$3,306)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$1,046,413	(\$78,802)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>(\$83,426)</b>	<b>(\$280,153)</b>	<b>\$74,864</b>	<b>(\$328,144)</b>
<b>Assets Total</b>	<b>\$2,890,300</b>	<b>\$2,688,949</b>	<b>\$2,763,813</b>	<b>\$2,435,669</b>
Cash (B)	\$2,871,945	\$2,673,899	\$2,748,763	\$2,420,619
Other Assets	\$18,355	\$15,049	\$15,049	\$15,049
<b>Liabilities Total</b>	<b>\$2,280,712</b>	<b>\$2,359,514</b>	<b>\$2,359,514</b>	<b>\$2,359,514</b>
Cash Liabilities (C)	\$2,280,712	\$2,359,514	\$2,359,514	\$2,359,514
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$609,588</b>	<b>\$329,434</b>	<b>\$404,298</b>	<b>\$76,154</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$591,233</b>	<b>\$314,385</b>	<b>\$389,249</b>	<b>\$61,105</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>(\$83,426)</b>	<b>(\$280,153)</b>	<b>\$74,864</b>	<b>(\$328,144)</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$3,573,708	\$3,442,761	\$3,797,778	\$3,394,770
Fees and Assessments	\$3,351,713	\$3,198,477	\$3,553,494	\$3,150,486
Fines and Interest	\$200,337	\$243,077	\$243,077	\$243,077
Internal	\$0	\$0	\$0	\$0
Other	\$21,657	\$1,207	\$1,207	\$1,207
Expenses Total	\$3,657,133	\$3,722,914	\$3,722,914	\$3,722,914
Cash Expenditures	\$3,403,045	\$3,493,797	\$3,493,797	\$3,493,797
Transfers to Other Agencies	\$254,088	\$229,117	\$229,117	\$229,117
Net Cash Flow	(\$83,426)	(\$280,153)	\$74,864	(\$328,144)

Cash Fund Reserve Balance	This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2011-12 and FY 2012-13
---------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to support the costs of the Division in carrying out its mission to protect the from incompetent and dishonest real estate practitioners.
Fee Sources	The majority of revenue is from application fees for real estate brokers and appraisers, and from their annual renewal fees for licensure.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Real Estate



Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 213 - Division of Securities Cash Fund  
 11-51-707, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$477,176</b>	<b>\$192,127</b>	<b>\$401,903</b>	<b>\$242,812</b>
Changes in Cash Assets	\$57,300	\$508,823	(\$159,091)	(\$159,091)
Changes in Non-Cash Assets	\$2,545	\$2,453	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$344,895)	(\$301,499)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>(\$285,050)</b>	<b>\$209,777</b>	<b>(\$159,091)</b>	<b>(\$159,091)</b>
<b>Assets Total</b>	<b>\$1,682,112</b>	<b>\$2,193,388</b>	<b>\$2,034,297</b>	<b>\$1,875,206</b>
Cash (B)	\$1,679,567	\$2,188,389	\$2,029,298	\$1,870,207
Other Assets	\$2,545	\$4,999	\$4,999	\$4,999
<b>Liabilities Total</b>	<b>\$1,489,985</b>	<b>\$1,791,485</b>	<b>\$1,791,485</b>	<b>\$1,791,485</b>
Cash Liabilities (C)	\$1,489,985	\$1,791,485	\$1,791,485	\$1,791,485
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$192,127</b>	<b>\$401,903</b>	<b>\$242,812</b>	<b>\$83,721</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$189,582</b>	<b>\$396,905</b>	<b>\$237,814</b>	<b>\$78,723</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>(\$285,050)</b>	<b>\$209,777</b>	<b>(\$159,091)</b>	<b>(\$159,091)</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$3,413,620	\$4,270,752	\$3,901,744	\$3,901,744
Fees and Assessments	\$3,413,620	\$4,270,682	\$3,901,674	\$3,901,674
Fines and Interest	\$0	\$0	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$70	\$70	\$70
Expenses Total	\$3,698,669	\$4,060,835	\$4,060,835	\$4,060,835
Cash Expenditures	\$2,813,004	\$3,185,381	\$3,185,381	\$3,185,381
Transfers to Other Agencies	\$885,665	\$875,454	\$875,454	\$875,454
<b>Net Cash Flow</b>	<b>(\$285,050)</b>	<b>\$209,917</b>	<b>(\$159,091)</b>	<b>(\$159,091)</b>

Cash Fund Reserve Balance	This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2011-12 and FY 2012-13
---------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to support the costs of the Division in carrying out its mission to protect investors and maintain public confidence in the securities markets while avoiding unreasonable burdens on participants in the capital markets.
Fee Sources	The majority of revenue is from initial licensing fees and renewal fees on broker/dealers, representatives and investment advisor licensees. Other minor, miscellaneous fees include registration and exemption from filing, interpretative opinion fees, and other miscellaneous fees. The Department sets fees annually to approximate expenditures.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Securities

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 227 - High Cost Administration Fund  
 40-15-208(1), C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$35,413</b>	<b>\$21,458</b>	<b>\$10,355</b>	<b>(\$748)</b>
Changes in Cash Assets	(\$88,009)	(\$13,211)	(\$11,103)	(\$11,103)
Changes in Non-Cash Assets	\$0	\$0	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$74,054	\$2,108	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>(\$13,955)</b>	<b>(\$11,103)</b>	<b>(\$11,103)</b>	<b>(\$11,103)</b>
<b>Assets Total</b>	<b>\$30,276</b>	<b>\$17,065</b>	<b>\$5,962</b>	<b>(\$5,141)</b>
Cash (B)	\$30,276	\$17,065	\$5,962	(\$5,141)
Other Assets	\$0	\$0	\$0	\$0
<b>Liabilities Total</b>	<b>\$8,818</b>	<b>\$6,710</b>	<b>\$6,710</b>	<b>\$6,710</b>
Cash Liabilities (C)	\$8,818	\$6,710	\$6,710	\$6,710
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$21,458</b>	<b>\$10,355</b>	<b>(\$748)</b>	<b>(\$11,851)</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$21,458</b>	<b>\$10,355</b>	<b>(\$748)</b>	<b>(\$11,851)</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>(\$13,955)</b>	<b>(\$11,103)</b>	<b>(\$11,103)</b>	<b>(\$11,103)</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$76,669	\$83,599	\$83,599	\$83,599
Fees and Assessments	\$75,809	\$83,038	\$83,038	\$83,038
Fines and Interest	\$860	\$538	\$538	\$538
Internal	\$0	\$23	\$23	\$23
Other	\$0	\$0	\$0	\$0
Expenses Total	\$91,484	\$94,702	\$94,702	\$94,702
Cash Expenditures	\$91,484	\$94,702	\$94,702	\$94,702
Transfers to Other Agencies	\$0	\$0	\$0	\$0
<b>Net Cash Flow</b>	<b>(\$14,815)</b>	<b>(\$11,103)</b>	<b>(\$11,103)</b>	<b>(\$11,103)</b>

Cash Fund Reserve Balance	This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2011-12 and FY 2012-13
---------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	The Colorado High Cost Support Mechanism (CHCSM) enables all Colorado citizens to receive affordable basic telephone service by using a statewide surcharge to subsidize service in areas that are costly to serve. The Fund was created using transferred funds and is supported by annual assessments against utilities. The fund exists to support administrative costs associated with the CHCSM.
Fee Sources	Assessments against utility companies.
Non-Fee Sources	Earned Interest
Long Bill Groups Supported by Fund	Public Utilities Commission

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 22G - Conservation Easement Appraisals Cash Fund  
 12-61-719, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$87,890</b>	<b>\$42,337</b>	<b>\$14,498</b>	<b>\$12,163</b>
Changes in Cash Assets	(\$48,948)	(\$25,106)	(\$2,335)	\$7,665
Changes in Non-Cash Assets	\$99	\$321	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$3,296	(\$3,053)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>(\$45,553)</b>	<b>(\$27,838)</b>	<b>(\$2,335)</b>	<b>\$7,665</b>
<b>Assets Total</b>	<b>\$45,077</b>	<b>\$20,292</b>	<b>\$17,957</b>	<b>\$25,622</b>
Cash (B)	\$44,978	\$19,872	\$17,537	\$25,202
Other Assets	\$99	\$420	\$420	\$420
<b>Liabilities Total</b>	<b>\$2,741</b>	<b>\$5,793</b>	<b>\$5,793</b>	<b>\$5,793</b>
Cash Liabilities (C)	\$2,741	\$5,793	\$5,793	\$5,793
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$42,337</b>	<b>\$14,498</b>	<b>\$12,163</b>	<b>\$19,828</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$42,237</b>	<b>\$14,078</b>	<b>\$11,743</b>	<b>\$19,408</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>(\$45,553)</b>	<b>(\$27,838)</b>	<b>(\$2,335)</b>	<b>\$7,665</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$28,895	\$38,247	\$63,750	\$73,750
Fees and Assessments	\$28,895	\$38,247	\$63,750	\$73,750
Fines and Interest	\$0	\$0	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Expenses Total	\$74,448	\$66,085	\$66,085	\$66,085
Cash Expenditures	\$74,448	\$66,085	\$66,085	\$66,085
Other	\$0	\$0	\$0	\$0
<b>Net Cash Flow</b>	<b>(\$45,553)</b>	<b>(\$27,838)</b>	<b>(\$2,335)</b>	<b>\$7,665</b>

Cash Fund Reserve Balance	This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2011-12 and FY 2012-13
---------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	Fees generated from this program cover the costs of the Division in implementing the requirements of this program. This program backs the appraisal of conservation easement property.
Fee Sources	The revenue for this program is received from appraisals for easement property.
Non-Fee Sources	None.
Long Bill Groups Supported by Fund	Division of Real Estate.

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 22H - Conservation Easement Holders Certification Cash Fund  
 12-61-720, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$1,802</b>	<b>\$65,659</b>	<b>\$51,600</b>	<b>\$40,713</b>
Changes in Cash Assets	\$12,611	(\$1,500)	(\$10,887)	(\$10,887)
Changes in Non-Cash Assets	\$99	(\$99)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$51,147	(\$12,459)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>\$63,857</b>	<b>(\$14,059)</b>	<b>(\$10,887)</b>	<b>(\$10,887)</b>
<b>Assets Total</b>	<b>\$83,404</b>	<b>\$81,805</b>	<b>\$70,917</b>	<b>\$60,030</b>
Cash (B)	\$83,305	\$81,805	\$70,917	\$60,030
Other Assets	\$99	\$0	\$0	\$0
<b>Liabilities Total</b>	<b>\$17,745</b>	<b>\$30,204</b>	<b>\$30,204</b>	<b>\$30,204</b>
Cash Liabilities (C)	\$17,745	\$30,204	\$30,204	\$30,204
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$65,659</b>	<b>\$51,600</b>	<b>\$40,713</b>	<b>\$29,825</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$65,560</b>	<b>\$51,600</b>	<b>\$40,713</b>	<b>\$29,825</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>\$63,857</b>	<b>(\$14,059)</b>	<b>(\$10,887)</b>	<b>(\$10,887)</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$112,689	\$53,913	\$57,084	\$57,084
Fees and Assessments	\$112,689	\$53,913	\$57,084	\$57,084
Fines and Interest	\$0	\$0	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Expenses Total	\$48,832	\$67,971	\$67,971	\$67,971
Cash Expenditures	\$48,832	\$67,971	\$67,971	\$67,971
Transfers to Other Agencies	\$0	\$0	\$0	\$0
<b>Net Cash Flow</b>	<b>\$63,857</b>	<b>(\$14,059)</b>	<b>(\$10,887)</b>	<b>(\$10,887)</b>

Cash Fund Reserve Balance	Actual	Actual	Estimated	Requested
	FY 2011-12	FY 2012-13	FY 2013-14	FY 2014-15
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$65,659	\$51,600	\$13,911	\$29,825
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$8,057	\$11,215	\$11,215	\$11,215
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$57,602</b>	<b>\$40,385</b>	<b>\$2,695</b>	<b>\$18,610</b>

<b>Compliance Plan (narrative)</b>	Lower than expected expenditures and greater licensee volume in FY 12 greatly increased fund balance during FY 12. In response fees were significantly lowered, reducing revenue by over 50% and resulting in a fund balance that is less than \$50,000 as of July 2013. Further fee actions will be taken to ensure continued compliance for FY 13-14.
------------------------------------	---

Cash Fund Narrative Information	
Purpose/Background of Fund	Fees generated from this program cover the costs of the Division in implementing the requirements of this program. This program backs the appraisal of conservation easment property.
Fee Sources	The revenue for this program is received from licensed holders of participating members of this program.
Non-Fee Sources	None.
Long Bill Groups Supported by Fund	Division of Real Estate.



Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 25Q - HOA Information and Resource Cash Fund  
 12-61-406.5, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$3,629</b>	<b>\$17,085</b>	<b>\$75,675</b>	<b>\$39,715</b>
Changes in Cash Assets	\$143,242	(\$6,793)	(\$35,960)	(\$1,809)
Changes in Non-Cash Assets	\$457	\$2,996	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$130,244)	\$62,387	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>\$13,456</b>	<b>\$58,590</b>	<b>(\$35,960)</b>	<b>(\$1,809)</b>
<b>Assets Total</b>	<b>\$149,791</b>	<b>\$145,994</b>	<b>\$110,034</b>	<b>\$108,225</b>
Cash (B)	\$149,294	\$142,501	\$106,541	\$104,732
Other Assets	\$497	\$3,493	\$3,493	\$3,493
<b>Liabilities Total</b>	<b>\$132,706</b>	<b>\$70,319</b>	<b>\$70,319</b>	<b>\$70,319</b>
Cash Liabilities (C)	\$132,706	\$70,319	\$70,319	\$70,319
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$17,085</b>	<b>\$75,675</b>	<b>\$39,715</b>	<b>\$37,906</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$16,588</b>	<b>\$72,182</b>	<b>\$36,222</b>	<b>\$34,413</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>\$13,456</b>	<b>\$58,590</b>	<b>(\$35,960)</b>	<b>(\$1,809)</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$130,975	\$187,539	\$92,990	\$127,141
Fees and Assessments	\$130,975	\$187,539	\$92,990	\$127,141
Fines and Interest	\$0	\$0	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Expenses Total	\$117,519	\$128,950	\$128,950	\$128,950
Cash Expenditures	\$117,519	\$128,950	\$128,950	\$128,950
Transfers	\$0	\$0	\$0	\$0
<b>Net Cash Flow</b>	<b>\$13,456</b>	<b>\$58,590</b>	<b>(\$35,960)</b>	<b>(\$1,809)</b>

Cash Fund Reserve Balance	Actual	Actual	Estimated	Requested
	FY 2011-12	FY 2012-13	FY 2013-14	FY 2014-15
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$17,085	\$75,675	\$40,887	\$37,906
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$19,391	\$21,277	\$21,277	\$21,277
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>(\$2,306)</b>	<b>\$54,398</b>	<b>\$19,610</b>	<b>\$16,629</b>

<b>Compliance Plan (narrative)</b>	This newly non-compliant board had an increase in fees in FY 11-12 to ensure a potential deficit in fund balance would not occur. Fees were lowered at earliest possible opportunity. Compliance is expected in FY 13-14.

Cash Fund Narrative Information	
Purpose/Background of Fund	Fees generated from this program cover the costs of the Division in implementing the requirements of this program. This program provides information and resources regarding Home Owners Associations.
Fee Sources	The revenue for this program is received from registrants (HOA's) of this program.
Non-Fee Sources	None.
Long Bill Groups Supported by Fund	Division of Real Estate.

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 22J - Consumer Outreach and Education Cash Fund  
 24-34-108, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$54,511</b>	<b>\$61,307</b>	<b>\$10,855</b>	<b>\$11,720</b>
Changes in Cash Assets	(\$20,265)	(\$32,536)	\$865	\$865
Changes in Non-Cash Assets	(\$1,009)	(\$827)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	\$28,071	(\$17,089)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>\$6,796</b>	<b>(\$50,453)</b>	<b>\$865</b>	<b>\$865</b>
<b>Assets Total</b>	<b>\$80,274</b>	<b>\$46,910</b>	<b>\$47,776</b>	<b>\$48,641</b>
Cash (B)	\$79,446	\$46,910	\$47,776	\$48,641
Other Assets	\$828	\$0	\$0	\$0
<b>Liabilities Total</b>	<b>\$18,967</b>	<b>\$36,056</b>	<b>\$36,056</b>	<b>\$36,056</b>
Cash Liabilities (C)	\$18,967	\$36,056	\$36,056	\$36,056
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$61,307</b>	<b>\$10,855</b>	<b>\$11,720</b>	<b>\$12,585</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$60,480</b>	<b>\$10,855</b>	<b>\$11,720</b>	<b>\$12,585</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>\$6,796</b>	<b>(\$50,453)</b>	<b>\$865</b>	<b>\$865</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$205,377	\$143,041	\$200,865	\$200,865
Fees and Assessments	\$205,128	\$142,176	\$200,000	\$200,000
Fines and Interest	\$249	\$865	\$865	\$865
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Expenses Total	\$198,581	\$193,494	\$200,000	\$200,000
Cash Expenditures	\$198,581	\$193,494	\$200,000	\$200,000
Other	\$0	\$0	\$0	\$0
Net Cash Flow	\$6,796	(\$50,453)	\$865	\$865

Cash Fund Reserve Balance	This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2010-11 and FY 2011-12
---------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	This program was established for the purposes of informing consumers of their rights regarding regulated professions and occupations, decreasing regulatory violations, and ensuring public awareness of consumer protection information available from the Department.
Fee Sources	The revenue for this program is received from a surcharge on fines collected by the Department.
Non-Fee Sources	None.
Long Bill Groups Supported by Fund	Executive Director's Office

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 244 - Division of Banking Cash Fund  
 11-102-403, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$484,421</b>	<b>\$471,673</b>	<b>\$540,883</b>	<b>\$610,093</b>
Changes in Cash Assets	(\$14,694)	\$379,307	\$69,210	\$69,210
Changes in Non-Cash Assets	\$33,361	(\$242,336)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$31,415)	(\$67,761)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>(\$12,748)</b>	<b>\$69,210</b>	<b>\$69,210</b>	<b>\$69,210</b>
<b>Assets Total</b>	<b>\$838,541</b>	<b>\$975,512</b>	<b>\$1,044,722</b>	<b>\$1,113,931</b>
Cash (B)	\$592,689	\$971,996	\$1,041,206	\$1,110,416
Other Assets	\$245,851	\$3,515	\$3,515	\$3,515
<b>Liabilities Total</b>	<b>\$366,868</b>	<b>\$434,628</b>	<b>\$434,628</b>	<b>\$434,628</b>
Cash Liabilities (C)	\$366,868	\$434,628	\$434,628	\$434,628
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$471,673</b>	<b>\$540,883</b>	<b>\$610,093</b>	<b>\$679,303</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$225,822</b>	<b>\$537,368</b>	<b>\$606,578</b>	<b>\$675,788</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>(\$12,748)</b>	<b>\$69,210</b>	<b>\$69,210</b>	<b>\$69,210</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$4,402,055	\$4,962,087	\$4,962,087	\$4,962,087
Fees and Assessments	\$4,370,797	\$4,951,164	\$4,951,164	\$4,951,164
Fines and Interest	\$31,258	\$10,923	\$10,923	\$10,923
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Expenses Total	\$4,414,803	\$4,892,877	\$4,892,877	\$4,892,877
Cash Expenditures	\$4,414,803	\$4,892,877	\$4,892,877	\$4,892,877
Other	\$0	\$0	\$0	\$0
<b>Net Cash Flow</b>	<b>(\$12,748)</b>	<b>\$69,210</b>	<b>\$69,210</b>	<b>\$69,210</b>

Cash Fund Reserve Balance	This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2011-12 and FY 2012-13
---------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to support the costs of the Division of Banking and its mission, which is to serve and protect the public interest by promoting a safe and sound financial institutions industry through continuous quality regulation and supervision.
Fee Sources	The fund is supported by assessments to financial institutions and various fees as authorized by statute, including charter applications, money transmitter licenses, change fees, and miscellaneous minor fees. These numerous fees include percentage-based assessments as well as individual fees ranging from \$100 - \$12,000. Fees support appropriations made by the General Assembly for the Division.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Banking.

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 251 - Low Income Telephone Assistance Fund  
 40-3.4-108, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$525,318</b>	<b>\$9,755</b>	<b>\$97,544</b>	<b>\$185,333</b>
Changes in Cash Assets	\$202,904	(\$127,729)	\$87,789	\$87,789
Changes in Non-Cash Assets	\$94	(\$94)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$718,560)	\$215,612	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>(\$515,563)</b>	<b>\$87,789</b>	<b>\$87,789</b>	<b>\$87,789</b>
<b>Assets Total</b>	<b>\$735,303</b>	<b>\$607,481</b>	<b>\$695,270</b>	<b>\$783,059</b>
Cash (B)	\$735,209	\$607,481	\$695,270	\$783,059
Other Assets	\$94	\$0	\$0	\$0
<b>Liabilities Total</b>	<b>\$725,548</b>	<b>\$509,937</b>	<b>\$509,937</b>	<b>\$509,937</b>
Cash Liabilities (C)	\$725,548	\$509,937	\$509,937	\$509,937
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$9,755</b>	<b>\$97,544</b>	<b>\$185,333</b>	<b>\$273,123</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$9,661</b>	<b>\$97,544</b>	<b>\$185,333</b>	<b>\$273,123</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>(\$515,563)</b>	<b>\$87,789</b>	<b>\$87,789</b>	<b>\$87,789</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$292,100	\$726,345	\$726,345	\$726,345
Fees and Assessments	\$292,100	\$726,345	\$726,345	\$726,345
Fines and Interest	\$0	\$0	\$0	\$0
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Expenses Total	\$807,663	\$638,555	\$638,555	\$638,555
Cash Expenditures	\$725,548	\$541,674	\$541,674	\$541,674
Transfers to Other Agencies	\$82,115	\$96,882	\$96,882	\$96,882
<b>Net Cash Flow</b>	<b>(\$515,563)</b>	<b>\$87,789</b>	<b>\$87,789</b>	<b>\$87,789</b>

Cash Fund Reserve Balance	This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2011-12 and FY 2012-13
---------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	The purpose of the Fund is for the PUC to have an account in which to deposit and then allocate funds to phone companies for subsidizing phone bills for low-income telephone customers as authorized by Section 40-3.4-104, C.R.S. Part of the annual payments from the Fund are paid to the Department of Human Services to administer the Low-Income Telephone Assistance Program (there is a Long Bill line item in DHS as well as in the PUC).
Fee Sources	The Fund receives revenues from telephone companies based on the uniform monthly charge in customers' bills. Payments from and allocations to individual carriers are calculated on a net basis, so that transactions involving the fund reflect the difference between the amount collected by a carrier and disbursements owed to the carrier per the program.
Non-Fee Sources	Earned interest and trust settlements.
Long Bill Groups Supported by Fund	Public Utilities Commission; Department of Human Services



Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 272 - Division of Financial Services Cash Fund  
 11-40-106, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$407,774</b>	<b>\$394,735</b>	<b>\$52,778</b>	<b>(\$289,179)</b>
Changes in Cash Assets	\$195,381	(\$242,313)	(\$341,957)	(\$341,957)
Changes in Non-Cash Assets	(\$5,245)	(\$1,504)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$203,176)	(\$98,140)	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>(\$13,039)</b>	<b>(\$341,957)</b>	<b>(\$341,957)</b>	<b>(\$341,957)</b>
<b>Assets Total</b>	<b>\$852,011</b>	<b>\$608,194</b>	<b>\$266,237</b>	<b>(\$75,720)</b>
Cash (B)	\$850,507	\$608,194	\$266,237	(\$75,720)
Other Assets	\$1,504	\$0	\$0	\$0
<b>Liabilities Total</b>	<b>\$457,276</b>	<b>\$555,416</b>	<b>\$555,416</b>	<b>\$555,416</b>
Cash Liabilities (C)	\$457,276	\$555,416	\$555,416	\$555,416
Long Term Liabilities	\$0	\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$394,735</b>	<b>\$52,778</b>	<b>(\$289,179)</b>	<b>(\$631,137)</b>
<b>Net Cash Assets - (B-C)</b>	<b>\$393,231</b>	<b>\$52,778</b>	<b>(\$289,179)</b>	<b>(\$631,137)</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>(\$13,039)</b>	<b>(\$341,957)</b>	<b>(\$341,957)</b>	<b>(\$341,957)</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$1,410,508	\$1,098,819	\$1,098,819	\$1,098,819
Fees and Assessments	\$1,399,141	\$1,092,975	\$1,092,975	\$1,092,975
Fines and Interest	\$11,367	\$5,844	\$5,844	\$5,844
Internal	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0
Expenses Total	\$1,423,547	\$1,440,776	\$1,440,776	\$1,440,776
Cash Expenditures	\$1,423,547	\$1,440,776	\$1,440,776	\$1,440,776
Other	\$0	\$0	\$0	\$0
<b>Net Cash Flow</b>	<b>(\$13,039)</b>	<b>(\$341,957)</b>	<b>(\$341,957)</b>	<b>(\$341,957)</b>

<p>Cash Fund Reserve Balance</p>	<p>This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2012-13.</p>
----------------------------------	---

<p>Cash Fund Narrative Information</p>	
<p>Purpose/Background of Fund</p>	<p>The fund exists to support the costs of the Division of Financial Services and its mission, which is to serve and protect the public interest by promoting a safe and sound financial institutions industry through continuous quality regulation and supervision.</p>
<p>Fee Sources</p>	<p>The fund is supported by assessments to financial institutions based on assets. The fees are presently such that credit unions will pay 10.4 cents per \$1,000, and savings and loans will pay 5.0 cents per \$1,000. Fees are adjusted biennially, and existing rates are presumed to be adjusted to cover expenditures.</p>
<p>Non-Fee Sources</p>	<p>Earned interest.</p>
<p>Long Bill Groups Supported by Fund</p>	<p>Division of Financial Services</p>

Schedule 9: Cash Funds Reports  
 Department of Regulatory Agencies  
 FY 2014-15 Budget Request  
 Fund 282 - Division of Insurance Cash Fund  
 10-1-103, C.R.S. (2013)

	Actual FY 2011-12	Actual FY 2012-13	Appropriated FY 2013-14	Requested FY 2014-15
<b>Year Beginning Fund Balance (A)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Changes in Cash Assets	\$450,861	(\$1)	\$0	\$0
Changes in Non-Cash Assets	\$27,492	(\$246,837)	\$0	\$0
Changes in Long-Term Assets	\$0	\$0	\$0	\$0
Changes in Total Liabilities	(\$478,353)	\$246,838	\$0	\$0
<b>TOTAL CHANGES TO FUND BALANCE</b>	<b>\$0</b>	<b>(\$1)</b>	<b>\$0</b>	<b>\$0</b>
<b>Assets Total</b>	<b>\$3,799,187</b>	<b>\$3,552,349</b>	<b>\$3,552,349</b>	<b>\$3,552,349</b>
Cash (B)	\$3,522,816	\$3,522,815	\$3,522,815	\$3,522,815
Other Assets	\$276,372	\$29,534	\$29,534	\$29,534
<b>Liabilities Total</b>	<b>\$3,799,187</b>	<b>\$3,552,350</b>	<b>\$3,552,350</b>	<b>\$3,552,350</b>
Cash Liabilities (C)	\$3,799,187	\$3,552,350	\$3,552,350	\$3,552,350
Long Term Liabilities		\$0	\$0	\$0
<b>Ending Fund Balance (D)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Net Cash Assets - (B-C)</b>	<b>(\$276,372)</b>	<b>(\$29,535)</b>	<b>(\$29,535)</b>	<b>(\$29,535)</b>
<b>Change from Prior Year Fund Balance (D-A)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Cash Flow Summary</b>				
Revenue Total	\$9,624,077	\$10,124,556	\$10,124,556	\$10,124,556
Fees and Assessments	\$7,983,876	\$8,174,804	\$8,174,804	\$8,174,804
Fines and Interest	\$0	\$0	\$0	\$0
Internal (Federal Pass-Through)	\$927,328	\$1,044,908	\$1,044,908	\$1,044,908
Other (Insurance Premium Tax)	\$712,873	\$904,843	\$904,843	\$904,843
Expenses Total	\$9,624,077	\$10,124,556	\$10,124,556	\$10,124,556
Cash Expenditures	\$8,616,468	\$8,999,367	\$8,999,367	\$8,999,367
Federal Pass-Through	\$927,328	\$1,044,908	\$1,044,908	\$1,044,908
Transfers to Other Agencies	\$80,281	\$80,281	\$80,281	\$80,281
Net Cash Flow	\$0	\$0	\$0	\$0

Cash Fund Reserve Balance	This Cash Fund was found to be in compliance with the excess uncommitted reserve requirements contained in Section 24-75-402, C.R.S. for FY 2011-12 and FY 2012-13
---------------------------	--

Cash Fund Narrative Information	
Purpose/Background of Fund	The fund exists to support the costs of the Division of Insurance and its mission of consumer protection in the insurance industry.
Fee Sources	The fund is supported by business and professional license and renewal fees (types include life/health, property/casualty, viaticals, surplus lines, and bail bonds).
Non-Fee Sources	Earned interest and premium tax revenue is deposited into the fund to supplement fee revenue to fully cover the expenditures each year.
Long Bill Groups Supported by Fund	Division of Insurance; Pursuant to HB 01-1097, this fund also supports the Certified Capital Companies program in the Office of Economic Development of the Governor's Office.