Cash Fund		Schedule 9.A es Fund #184 C.R.S. C	Citation: 40-2-114 C.F.	?.S	
		and Expenditure Trend			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	245,402	676,249	773,888	373,141	373,141
Exempt Revenue					
Non-Exempt Revenue	11,564,427	12,101,902	12,214,079	12,809,650	13,065,343
Total Expenditures	11,133,580	12,004,263	12,614,826	12,809,650	13,065,343
Ending Balance	676,249	773,888	373,141	373,141	373,141
Ending Balance – Cash Assets					
Reserves Increase/Decrease	775,544	97,639	(400,747)	0	0
		Fee Levels	<u> </u>	<u>.</u>	
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Fixed Utilities Assessment					
L	Cash Fu	und Reserve Balance			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	238,995	773,888	373,141	373,141	373,141
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	1,837,041	1,980,703	2,081,446	2,113,592	2,155,782
Excess Uncommitted Fee Reserve Balance	(1,598,046)	(1,206,815)	(1,708,305)	(1,740,451)	(1,782,640)
Deadline for Complying with the Target/Alternative Reserv	e Balance:			June 30, 2	2009

	Cash Fun	d Narrative Information	n					
Purpose/Background of Fund	The fund exists to support the administrative expenses of the Public Utilities Commission (PUC) for the supervision and regulation of the public utilities and for financing the Office of Consumer Counsel (OCC). Three percent of the revenue collected pursuant to fixed utilities law is transferred to the General Fund. The remaining 97 percent is available for use by PUC and OCC (§40-2-114, C.R.S.)							
Fee Sources	The fund receives revenues from an annual assessment against regulated utilities, performed by Department of Revenue based on a computation pursuant to statute (§40-2-112, C.R.S.).							
Non-Fee Sources	Federal grant for pipeline safety is deposited into the fund.							
Long Bill Groups Supported by Fund	Public Utilities Commission							
Statutory or Other Restriction on Use of Fund	The fund may only be used	for purposes stated in 40-2	-114 regarding PUC's regu	ulation of fixed utilities.				
Revenue Drivers	Assessments are made ann the appropriation to the OCC cover the 3 percent transfer	C, and OCC pots. Assessm		•				
Expenditure Drivers	Expenditures are driven by p this fund, expenditures inclu various central appropriation	de personal services, opera	ating costs, expert testimo	ny and hearings expenses	, legal services, and			
Assessment of Potential for Compliance	This fund is presently in com	pliance.						
Action ☐ Already in Compliance ☐ Statute Chan ☐ Planned One-time Expenditure(s) ¹ ☐ P	anned Ongoing Expenditure(s) ¹ ☐ Waiver ²	Daniel Barres					
	Cash Fund Expenditure		<u> </u>					
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12			
Public Utilities Commission								
Personal Services	6,942,883	7,553,427	8,109,281	7,647,030	7,799,971			
Operating Expenses	315,212	359,899	398,352	389,297	397,083			
	23,500 9,850 25,000 25,000 25,0							
Expert Testimony	2,222							
Indirect Cost Assessment	876,738	,	,	,	, -			
Indirect Cost Assessment Centrally Appropriated Lines/OCC transfer/Other	2,966,299	3,117,339	3,136,363	3,802,494	3,878,544			
Indirect Cost Assessment	· ·	,	,	,	964,746 3,878,544 13,065,343 13,065,343			

Cash Fund S		Schedule 9.A er Fund #185 C.R.S. Cit	tation: 40-2-110.5 C.F	R S	
Guoin i una c		and Expenditure Trend	,		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	(5,369)	484,770	850,126	533,258	249,581
Exempt Revenue					
Non-Exempt Revenue	2,538,016	1,992,461	1,400,000	1,400,000	1,400,000
Total Expenditures	2,047,877	1,627,105	1,716,868	1,683,677	1,717,351
Ending Balance	484,770	850,126	533,258	249,581	(67,770)
Ending Balance – Cash Assets					
Reserves Increase/Decrease	479,401	365,356	(316,868)	(283,677)	(317,351)
•		Fee Levels	<u> </u>	•	
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Annual identification fee (both interstate and intrastate)	\$5	\$50	\$50	\$50	\$6
L.	Cash Fu	und Reserve Balance			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	484,770	850,126	533,258	249,581	(67,770)
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	337,900	268,472	283,283	277,807	283,363
Excess Uncommitted Fee Reserve Balance	146,870	581,654	249,975	(28,226)	(351,133)
Deadline for Complying with the Target/Alternative Reserv	ve Balance:			June 30, 2	2009

	Cash Fun	d Narrative Information	ı						
Purpose/Background of Fund	The fund exists to support th	The fund exists to support the PUC's administration of laws governing the regulation of motor carriers in Colorado.							
Fee Sources	Fees sources include permit identification fees were char fee, and this required a fee i	nged from a statutory maxin	num of \$5 in response to r	evenue shortfalls from the	federally authorized				
Non-Fee Sources	Earned interest.	- 		- 11 1 11 - 1 1 - 1 - 1 - 1 - 1	DITTO A SISTEMA SINCE				
Long Bill Groups Supported by Fund	Public Utilities Commission								
Statutory or Other Restriction on Use of Fund	The fund may only be used for PUC's regulation of motor carriers.								
Revenue Drivers	Revenue is the result of the from the Unified Carrier Reg		ho pay for permits and the	annual registration fee, a	s well as revenues				
Expenditure Drivers	Expenditures are driven by p this fund, expenditures inclu various central appropriation	de personal services, opera	ating costs, expert testimo	ny and hearings expenses	s, legal services, and				
Assessment of Potential for Compliance	This fund is presently in com	npliance. Most revenue is r	not from a state fee.						
Action ☐ Already in Compliance ☐ Statute Cl ☐ Planned One-time Expenditure(s)¹ ☐	nange ¹ Planned Fee Reduction Planned Ongoing Expenditure(
	Cash Fund Expenditure	,	nange Reguests						
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12				
Public Utilities Commission		<u> </u>		 					
	1,446,368	1,172,515	1,254,785	1,183,259	1,206,924				
Personal Services									
Personal Services Operating Expenses	55,701	50,203	61,639	60,238	61,442				
	55,701 200,523	50,203 199,558	61,639 195,848	60,238 195,848					
Operating Expenses		,	,	,	61,442 199,765				
Operating Expenses Indirect Cost Assessment	200,523	199,558	195,848	195,848	61,442				

		Schedule 9.A			
		nsportation Fund #186		0-511, C.R.S.	
	Cash Fund Revenue a	and Expenditure Trend	Information		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	40,000	40,000	40,000	40,000	40,000
Exempt Revenue					
Non-Exempt Revenue	92,925	122,500	124,950	127,449	129,99
Total Expenditures	92,925	122,500	124,950	127,449	129,99
Ending Balance	40,000	40,000	40,000	40,000	40,00
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	(0)	(0)	(0)	(0
•		Fee Levels			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
1. Annual Permit Fee, per §42-20-502	\$500	\$500	\$500	\$500	\$5
2. Single Trip Permit Fee, per §42-20-502	\$200	\$200	\$200	\$200	\$:
71 0	Cash Fu	ınd Reserve Balance		·	· ·
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	40,000	40,000	40,000	40,000	40,00
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	15,333	20,213	20,617	21,029	21,45
Excess Uncommitted Fee Reserve Balance	24,667	19,787	19,383	18,971	18,55
Deadline for Complying with the Target/Alternative Reserv	ra Dalamas			June 30, 2	222

	Cash Fun	d Narrative Information	1						
Purpose/Background of Fund	The fund exists to provide funding to support a permitting and inspection program for the regulation of the transport of nuclear materials within or though the state of Colorado. The purpose is to protect citizens and the environment. (§40-20-401, C.R.S.)								
Fee Sources	The fund is supported by nuclear materials permit fees (annual and single trip).								
Non-Fee Sources	Civil penalties.								
Long Bill Groups Supported by Fund	Department of Public Safety Motor Vehicle Business Gro Operating Expenses. The F	up, Administration, Persona	al Services and Motor Car						
Statutory or Other Restriction on Use of Fund	The fund is used to cover the of each fiscal year, any more be subject to appropriation be disbursement to local government and incidents."	neys remaining in the Haza by the General Assembly to	rdous Materials Safety Fu the executive director of t	nd "shall not revert to the the shall not revert to the shall not revert to the shall not rever to the shall not revert to the sha	general fund, but shall Safety for				
Revenue Drivers	The number of shipments m	ade.							
Expenditure Drivers	Expenditures are driven by	orogram costs and correspo	ond to legislative appropria	ations and the number of s	hipments made.				
Assessment of Potential for Compliance	This fund is presently in com	npliance.							
Action Already in Compliance Statute Char	nge ¹ ☐ Planned Fee Reduction	on ¹							
☐ Planned One-time Expenditure(s) ¹ ☐ F	Planned Ongoing Expenditure(s) ¹ ☐ Waiver ²							
	Cash Fund Expenditure	Line Item Detail and Ch	nange Requests						
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12				
Department of Revenue		<u>'</u>		<u>'</u>					
MVBG Administration	0	0	0	0	0				
Motor Carrier Services, Personal Svcs/Operating	20,571	19,070	19,451	19,840	20,237				
Department of Public Safety									
Nuclear Materials Transportation	72,354	103,430	105,499	107,609	109,761				
		0	0	0	0				
Division Subtotal	92,925	122,500	124,950	127,449	129,998				
TOTAL	92.925	122.500	124.950	127.449	129,998				

		Schedule 9.A			
Cash Fund Status for		Protection Fund #187 C.		116, C.R.S.	
	Cash Fund Revenue a	and Expenditure Trend			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	200,916	149,710	149,710	164,213	180,12
Exempt Revenue					
Non-Exempt Revenue	8,559	13,319	14,504	15,909	17,45
Total Expenditures	59,765	0	0	0	
Ending Balance	149,710	163,028	164,213	180,122	197,57
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(51,206)	13,319	14,504	15,909	17,45
•		Fee Levels	•		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
No fees exist for this fund.					
	Cash Fu	und Reserve Balance			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	0	0	0	0	
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	9,861	0	0	0	
Excess Uncommitted Fee Reserve Balance	(9,861)	0	0	0	
Deadline for Complying with the Target/Alternative Reserv	ve Balance:			June 30, 2	2009

	Cash Fu	nd Narrative Informat	ion		
Purpose/Background of Fund	The fund exists to support	a program that provides f	nancial resources for the	building of railroad crossin	g protection devices.
Fee Sources	None.				
Non-Fee Sources	Subject to appropriation, the No transfer is requested for		from the General Fund of	\$240,000. The Fund retain	ins its earned interest.
Long Bill Groups Supported by Fund	PUC: Highway Crossing F	Protection			
Statutory or Other Restriction on Use of Fund	The fund may only be used	d to cover costs of the Hig	hway Crossing Protection	program.	
Revenue Drivers	General Fund transfers an no General Fund transfers		er, revenue will consist of	only earned interest for FY	′ 06-07, and FY 07-08, as
Expenditure Drivers	Expenditures depend on w up to several years before the same year. This is refl continuously appropriated PUC.	the expenditures are madected in the fund balance	le. The funds are committed above; FY 07-08 expended	ted each year, but not nec ditures are encumbered. It	essarily expended during nterest earnings became
Assessment of Potential for Compliance	This fund is presently in co	mpliance.			
Action ☐ Already in Compliance ☐ Statute Ch	ange ¹ ☐ Planned Fee Reduc	ction ¹			
☐ Planned One-time Expenditure(s) ¹ ☐	Planned Ongoing Expenditure	e(s) ¹ ☐ Waiver ²			
	Cash Fund Expenditure	E Line Item Detail and	Change Requests		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Public Utilities Commission				1	1
Highway Crossing Protection	59,765	0	0	0	0
Division Subtotal	59,765	0	0	0	0
TOTAL	59,765	0	0	0	0

Schedule 9.A Cash Fund Status for: Division of Registrations Cash Fund #189 C.R.S. Citation: 24-34-104, C.R.S. **Cash Fund Revenue and Expenditure Trend Information** Actual Actual **Estimate** Request Projected FY 2007-08 FY 2008-09 FY 2009-10 FY 2010-11 FY 2011-12 Beginning Balance 2.547.967 1.476.466 503.357 86.855 982.059 **Exempt Revenue** 2,428,796 2,773,200 2,773,200 2,773,200 2,828,664 Non-Exempt Revenue 18.313.061 19.951.637 21.626.705 23.000.000 23.460.000 **Total Expenditures** 21,813,358 23,697,947 24,816,407 24,877,996 25,375,556 **Ending Balance** 982.059 1,476,466 503.357 86.855 1,895,167 Ending Balance - Cash Assets Reserves Increase/Decrease (362,779)(973,110)(1,389,612)895,204 913,108 Fee Levels Actual Actual Estimate Request Projected FY 2007-08 FY 2008-09 FY 2009-10 FY 2010-11 FY 2011-12 . There are numerous professional licensing fees for the 30 agencies in this fund. Please see the attached summary of major fees by board. **Cash Fund Reserve Balance** Actual Actual Estimate Request Projected FY 2007-08 FY 2008-09 FY 2009-10 FY 2010-11 FY 2011-12 Uncommitted Fee Reserve Balance (total reserve 1,476,466 503,357 86,855 982,059 1,895,167 balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees) Target/Alternative Fee Reserve Balance (amount set 3,599,204 3,910,161 4,094,707 4,104,869 4,186,967 in statute or 16.5% of total expenses) Excess Uncommitted Fee Reserve Balance (2,122,738)(3.406.805)(4,007,853)(3,122,811)(2,291,800)Deadline for Complying with the Target/Alternative Reserve Balance: June 30, 2009

	Cash Fund	d Narrative Informatior	า					
Purpose/Background of Fund	The fund exists to support the costs of the Division to protect the public through effective licensure and enforcement for more than 30 professions and occupations.							
Fee Sources	The fund is supported by numerous professional licensing fees including renewal fees, as well as original license fees, application fees, reciprocity fees, reinstatement fees, examination or examination processing fees, etc. Additionally, there are inspection are permit fees assessed in the Electrical, Plumbing, and Passenger Tramway boards.							
Non-Fee Sources	Earned interest, as well as miscellaneous revenue such as sales of publications and maps. Additionally, Nurse Aides is funded from Departments of Public Health and Environment and Health Care Policy and Financing, which are required to obtain and forward federal funding.							
Long Bill Groups Supported by Fund	Division of Registrations.							
Statutory or Other Restriction on Use of Fund	The fund may only be used t	to cover costs of the Division	on of Registrations.					
Revenue Drivers	Fees set annually so that revrevenue will depend on the r		. 0		o-year cycle. Actual			
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, expert testimony and hearings expenses, and legal services. Expenditures can vary based on staffing and the need for hearings and legal services.							
Assessment of Potential for Compliance	This fund is presently in com		nai detail by board is repor	ted in the attached oched	iule 3.D to provide			
Action	important to note that the Un boards that are in compliance	ncommitted Reserves reporter. This serves to over-state		cess balances by board b	ut does not factor in			
	important to note that the Unboards that are in compliance ange¹ □ Planned Fee Reduction	ncommitted Reserves reported. This serves to over-state	rt accumulates the total ex	cess balances by board b	ut does not factor in			
Action	important to note that the Unboards that are in compliance ange¹ □ Planned Fee Reduction	ncommitted Reserves reported. This serves to over-state on 1 waiver2	rt accumulates the total ex te the actual balance of the	cess balances by board b	ut does not factor in			
Action	important to note that the Unboards that are in compliance ange Planned Fee Reduction I Planned Ongoing Expenditure(s	ncommitted Reserves reported. This serves to over-state on 1 waiver2	rt accumulates the total ex te the actual balance of the	cess balances by board b	ut does not factor in			
Action	important to note that the Unboards that are in compliance ange ¹ Planned Fee Reduction Planned Ongoing Expenditure (some particular of the compliance) Cash Fund Expenditure In Actual	committed Reserves reporte. This serves to over-state on 1 s) 1 Waiver 2 Line Item Detail and Cl	rt accumulates the total ex te the actual balance of the mange Requests Estimate	cess balances by board be cash fund for information	ut does not factor in nal purposes. Projected			
Action	important to note that the Unboards that are in compliance ange ¹ Planned Fee Reduction Planned Ongoing Expenditure (some particular of the compliance) Cash Fund Expenditure In Actual	committed Reserves reporte. This serves to over-state on 1 s) 1 Waiver 2 Line Item Detail and Cl	rt accumulates the total ex te the actual balance of the mange Requests Estimate	cess balances by board be cash fund for information	ut does not factor in nal purposes. Projected			
Action	important to note that the Unboards that are in compliance ange Planned Fee Reduction I Planned Ongoing Expenditure (see Cash Fund Expenditure In Actual FY 2007-08	committed Reserves reporter. This serves to over-state on 1 S) 1 Waiver 2 Line Item Detail and Cl Actual FY 2008-09	nange Requests Estimate FY 2009-10 12,994,458 1,441,026	Request FY 2010-11 11,945,957 1,359,778	Projected FY 2011-12 12,184,876 1,386,974			
Action	important to note that the Unboards that are in compliance ange¹ □ Planned Fee Reduction I Planned Ongoing Expenditure(s) Cash Fund Expenditure I Actual FY 2007-08 11,776,908 1,313,306	committed Reserves reporter. This serves to over-state on 1 S) 1 Waiver 2 Line Item Detail and Cl Actual FY 2008-09 12,698,341 1,433,554	nange Requests Estimate FY 2009-10 12,994,458 1,441,026 371,853	Request FY 2010-11 11,945,957 1,359,778 366,625	Projected FY 2011-12 12,184,876 1,386,974 373,958			
Action	important to note that the Unboards that are in compliance ange¹ □ Planned Fee Reduction I Planned Ongoing Expenditure(s) Cash Fund Expenditure I Actual FY 2007-08 11,776,908 1,313,306 215,123	committed Reserves reporter. This serves to over-state on 1 s) 1 Waiver 2 Line Item Detail and Cl Actual FY 2008-09 12,698,341 1,433,554 269,704	nange Requests Estimate FY 2009-10 12,994,458 1,441,026 371,853 307,075	Request FY 2010-11 11,945,957 1,359,778 366,625 307,075	Projected FY 2011-12 12,184,876 1,386,974 373,958 313,217			
Action	important to note that the Unboards that are in compliance ange¹ □ Planned Fee Reduction I Planned Ongoing Expenditure(s) Cash Fund Expenditure I Actual FY 2007-08 11,776,908 1,313,306 215,123 3,756,951	committed Reserves reporter. This serves to over-state on 1 s) 1 Waiver 2 Line Item Detail and Classification Actual FY 2008-09 12,698,341 1,433,554 269,704 4,095,901	nange Requests Estimate FY 2009-10 12,994,458 1,441,026 371,853 307,075 4,384,837	Request FY 2010-11 11,945,957 1,359,778 366,625 307,075 4,363,658	Projected FY 2011-12 12,184,876 1,386,974 373,958 313,217 4,450,931			
Action	important to note that the Unboards that are in compliance ange¹ □ Planned Fee Reduction Planned Ongoing Expenditure(state Actual FY 2007-08 11,776,908	committed Reserves reporter. This serves to over-state on 1 s) 1 Waiver 2 Line Item Detail and Cl Actual FY 2008-09 12,698,341 1,433,554 269,704 4,095,901 14,652	nange Requests Estimate FY 2009-10 12,994,458 1,441,026 371,853 307,075	Request FY 2010-11 11,945,957 1,359,778 366,625 307,075	Projected FY 2011-12 12,184,876 1,386,974 373,958 313,217			
Action Already in Compliance Statute Challe Planned One-time Expenditure(s) ¹ Division of Registrations Personal Services Operating Expenses Office of Expedited Settlement Program Costs Hearings Indirect Cost Assessment Payments to Dept of HCPF Legal Defense Account Transfers	important to note that the Unboards that are in compliance ange¹ □ Planned Fee Reduction Planned Ongoing Expenditure(state Actual FY 2007-08 11,776,908	committed Reserves reporter. This serves to over-state on 1 s) 1 Waiver 2 Line Item Detail and Cl Actual FY 2008-09 12,698,341 1,433,554 269,704 4,095,901 14,652 202,317	nange Requests Estimate FY 2009-10 12,994,458 1,441,026 371,853 307,075 4,384,837 14,652	Request FY 2010-11 11,945,957 1,359,778 366,625 307,075 4,363,658 14,652	Projected FY 2011-12 12,184,876 1,386,974 373,958 313,217 4,450,931 14,945			
Action	important to note that the Unboards that are in compliance ange¹ □ Planned Fee Reduction Planned Ongoing Expenditure(state Actual FY 2007-08 11,776,908	committed Reserves reporter. This serves to over-state on 1 s) 1 Waiver 2 Line Item Detail and Cl Actual FY 2008-09 12,698,341 1,433,554 269,704 4,095,901 14,652	nange Requests Estimate FY 2009-10 12,994,458 1,441,026 371,853 307,075 4,384,837	Request FY 2010-11 11,945,957 1,359,778 366,625 307,075 4,363,658	Projected FY 2011-12 12,184,876 1,386,974 373,958 313,217 4,450,931			
Action	important to note that the Unboards that are in compliance ange¹ □ Planned Fee Reduction Planned Ongoing Expenditure(state Actual FY 2007-08 11,776,908	committed Reserves reporter. This serves to over-state on 1 s) 1 Waiver 2 Line Item Detail and Cl Actual FY 2008-09 12,698,341 1,433,554 269,704 4,095,901 14,652 202,317	nange Requests Estimate FY 2009-10 12,994,458 1,441,026 371,853 307,075 4,384,837 14,652	Request FY 2010-11 11,945,957 1,359,778 366,625 307,075 4,363,658 14,652	Projected FY 2011-12 12,184,876 1,386,974 373,958 313,217 4,450,931 14,945			

			Schedule 9.B				
		Compliance Plan	for: Division of Regis	strations Cash Fund #18	39		
C.R.S. Citation	24-34-104 and 12-38	,	th t				
Action Plan Description		est possible date for affected ng descriptions apply:	boards.				
Boxing Board: This board's fund			are lowered in EV 00 to a	ahiaya samalianaa hy EV	11 based on renewal seb	adulas.	
Boxing Board: This board's fund	balance has been targeted for t	ompliance in 2011. Fees we	ere lowered in F1 09 to a	chieve compliance by F1	i i based on renewal scri	ledules.	
Chiropractic Board: This board's	s fund halance has been targete	d for compliance in June 20	10				
expenditures have greatly increase				ed.			
mportanti oo navo grouny morodoo	za, mien ie desieung in zimignig	and rand balance in comple	and decirer and expecte	,			
Nursing Home Administrator Bo	ard: This board's balance has I	peen in deficit for several ye	ars and was newly positiv	ve in FY 09;			
nowever, expenditures (particularly						_	
Therefore, fees are being lowered	in FY 10 with compliance expe	cted during FY 2011.				-	
Optometric Board: This board's f				in FY 09; however expend	litures have trended lowe	er than anticipated in the pr	revious FY 08-09,
which increased the fund greater the	nan anticipated. Fees will be adj	usted again at the next rene	ewal cycle in FY 11.				
Date of the second of the seco			4. 5	F)/ 00 1 F)/ 40 1		. (. 	
Outfitters Board: This board's fur		r compliance during FY 201	Fees were lowered in	FY 09 and FY 10; however	er revenue estimates hav	e trended higher than proj	ected in FY 08-09.
Fees will be adjusted again at the r	next renewal cycle in FY 11.						
Passenger Tramway Safety Boar	rd: This hoard's fund halance h	as been targeted for compli:	ance in June 2010				
Expenditures, notably personal se				rdingly.			
Experiences, netably personal se	THE SAPERATOR HAVE BEEN TO	Traing mgner and exhaustin	ig are rana balance acce	. ag.y.			
Pharmacy Board: This board's fu	und balance has been targeted for	or compliance during 2011.	Fees will be reduced at t	he earliest opportunity (No	ovember 2009).		
Massage Therapists Board: This	s board's fund balance has been	targeted for compliance du	ring FY 11. Fees were lo	wered in FY 09. Fees will	be adjusted again at the	next renewal cycle in FY 1	1.
	Fund Balance at	Reven	ue				
	Beginning of	Projected Earned	Projected			Projected 16.5% Target	Difference
	Fiscal Year	Interest	Assessments	Expenditures	Fiscal Year	Reserve	Over/(Under)
FY 2009-10	503,357	0	24,399,905	24,816,407	86,855	, ,	(4,007,853)
FY 2010-11	86,855		25,773,200	24,877,996	982,059	, ,	(3,122,811)
FY 2011-12	982,059	0	26,288,664	25,375,556	1,895,167	4,186,967	(2,291,800)

		E	stimated FY			Estimated
	FY 07-08	FY 08-09	09-10	FY 07-08	FY 08-09	FY 09-10
Original License Fees						
Acupuncturist	\$100	\$100	\$115 Occupational Therapists (two-year)	60	60	60
Addiction Counselor	165	165	191 Optometrist	\$113	\$113	\$113
Apprentice Electrician	10	10	10 Other Pharmacy Outlet	100	100	100
Architect	75	75	100 Outfitters	325	325	325
Audiologists	50	50	50 Pharmacist	269	225	225
Barber Shop	50	50	50 Physical Therapy	50	50	50
Boxer/Kickboxer	30	30	30 Physician	400	400	400
Boxing Officials	100	100	100 Physician Assistant	160	160	160
Boxing Promoter	250	250	250 Plumbing Contractor	100	100	210
Boxing Seconds	30	30	30 Podiatrist	250	200	200
Chiropractors	150	150	150 Practical Nurse	83	65	65
Clinical Social Worker	160	160	160 Prescription Drug Manufacturer	450	450	450
Dentist	405	325	325 Prescription Drug Outlet In-state	160	450	450
Drug Wholesaler In-state	300	300	300 Prescription Drug Outlet Out-of-state	450	450	450
Drug Wholesaler Out-of-state	300	300	300 Professional Counselors	160	160	160
Electrical Contractor	30	30	30 Professional Engineer	50	50	100
Hearing Aid Provider	50	50	50 Professional Land Surveyor	50	50	100
Hygienist	150	150	150 Psychiatric Technician	65	65	65
Journeyman Electrician	20	20	20 Psychologists	160	160	160
Journeyman Plumber	40	40	120 Registered Nurse	83	65	65
Landscape Architect (one-year)	255	425	425 Residential Electrician	20	20	20
Marriage & Family Therapists	160	160	160 Residential Plumber	40	40	100
Massage Therapist (two-year)	0	90	90 Respiratory Therapists	60	85	85
Master Electrician	20	20	20 Social Worker	160	160	160
Master Plumber	40	40	140 Unlicensed Therapist	160	160	160
Midwives	200	200	200 Veterinarian	100	100	170
Nursing Home Administrators	200	200	200			

Renewal Fees

iteliewal i ees			A1	0.40	0.40	0.40
	***	2=0	Nurse Aide (two-year)	\$40	\$40	\$19
Accountant (two-year)	\$98	\$79	\$79 Nursing Home Administrator (one-year)	670	100	100
Accounting Firm (three-year)	450	285	285 Occupational Therapists (two-year)	0	120	120
Acupuncturist (two-year)	100	100	115 Optometrist (two-year)	212	196	196
Addiction Counselor (two-year)	165	165	191 Other Pharmacy Outlet (two-year)	100	100	100
Architect (two-year)	37	79	79 Outfitter (one-year)	349	199	129
Audiologists (two-year)	148	280	280 PDO In-state (two-year)	450	450	450
Barber (two year)	38	38	30 PDO Out-of-State (two-year)	450	450	450
Barber Shops (two-year)	70	70	62 Pharmacist (two-year)	256	256	178
Boxing Official (one-year)	100	50	50 Physical Therapy (two-year)	42	68	60
Boxing Promoter (one-year)	250	200	200 Physician (two-year)	248	268	268
Chiropractor (two year)	178	178	243 Physician Asst (two-year)	48	48	48
Clinical Social Worker (two-year)	89	89	107 Plumbing Contractor (two-year)	70	70	210
Cosmetologist (two-year)	38	38	30 Podiatrist (one-year)	119	119	179
Dental Hygienist (two-year)	84	84	284 Practical Nurse (two-year)	86	96	88
Dentist (two-year)	268	268	468 Professional Counselor (two-year)	117	117	127
Drug Wholesaler (one-year)	225	225	225 Professional Engineer (two-year)	48	48	65
Electrical Contractor (two-year)	20	50	50 Professional Land Surveyor (two-year)	48	48	65
Esthetician (two year)	38	38	30 Psychiatric Tech (two-year)	28	28	20
Hairstylist (two-year)	38	38	30 Psychologist (two-year)	191	191	221
Hearing Aid Provider (two-year)	248	380	380 Registered Nurse (two-year)	119	126	118
Journeyman Electrician(two-year)	20	40	40 Residential Electrician (two-year)	20	30	30
Journeyman Plumber (two-year)	40	40	40 Residential Plumber (two-year)	40	40	100
Landscape Architect (one-year)	0	220	350 Respiratory Therapist (two-year)	32	48	48
M&F Therapist (two-year)	182	182	302 Social Worker (two-year)	89	89	107
Massage Therapist (two-year)	0	96	96 Unlicensed Therapist (two-year)	117	117	137
Manicurist (two-year)	38	38	30 Veterinarian (two-year)	81	143	143
Master Electrician (two-year)	20	50	50			
Master Plumber (two-year)	40	40	140			
Midwife (one-year)	899	899	479			

Passenger Tramway Construction Fees

Conveyors	\$655	\$655	\$655
Detachable	3,150	3,150	3,150
Fixed Grip	1,775	1,775	1,775
Funicular	2,775	2,775	2,775
Inclined Elevator	1,025	1,025	1,025
Reversible	2,775	2,775	2,775
Surface Lift	1,025	1,025	1,025
Tow	655	655	655
Modifications	150	150	150
Passenger Tramway Renewal Fees (one-year)			
Conveyors	\$425	\$425	\$545
Conveyors Detachable	\$425 1,220	\$425 1,415	\$545 1,535
•	•	·	
Detachable	1,220	1,415	1,535
Detachable Fixed Grip	1,220 860	1,415 965	1,535 1,085
Detachable Fixed Grip Funicular	1,220 860 855	1,415 965 960	1,535 1,085 1,080
Detachable Fixed Grip Funicular Inclined Elevator	1,220 860 855 560	1,415 965 960 595	1,535 1,085 1,080 715

DIVISION OF REGISTRATION Board Meeting Costs - FY 09		S														
Based on Sub-Object Codes																
,																
BOARD MEETINGS		Do	rsonal Servic	200						Operating						Board Mtg Grand Total
BOARD WEETINGS		FE	isonal Servic	Total			Employee	Bd Mmbr	Bd Mmbr	Operating				Official		Granu Total
				Personal	Vehicle		In-state	In-state	Out-State		Cellular		_	Functions	Total	
		Per Diem 1330-06	CE Audits 1920-06	Services	Lease 2254-06	Room Rent 2255-06	Travel 251x-06	Travel 252x-06	Travel 254x-06	Advertising 2610-06	Phone 2631-06	Printing 2680-06	Postage 3123-06	Mtgs 4180-06	Operating	
		1000 00	.020 00		220.00	2200 00	2017/00	2027 00	2011/00	20.000	200.00	2000 00	0.20 00	1100 00		
Director				0				111	1,379		4,463	0		94	6,047	6,047
Boxing		271		271							366			106	472	743
Acupuncturists				0							106				106	106
Accountancy		272		272				1,054	4,307		622	1,201	149	75	7,408	7,680
Barber/Cosm		14		14				1,757			0	315		691	2,762	2,776
Chiropractic		989		989				9,042			8	3,029	2,872	40	14,991	15,980
Dental		462		462				21,278	1,030		137	493	338	11,874	35,151	35,612
Electrical		892		892				6,850			163	2,849	4,006	2,124	15,992	16,884
Architects/Engineers/LS		10,691		10,691		1,656		12,864			960	7,060	10,528	1,050	34,117	44,808
Mental Health Boards		2,170		2,170				5,096			14,597	5,817	825	183	26,518	28,687
Medical		6,000		6,000				12,853			885	23,789	2,082	3,814	43,423	49,423
Respiratory Therapy				0							348				348	348
Nursing		2,272		2,272				3,461			575	314	312	664	5,327	7,599
Nurse Aides(see Nursing)				0											0	(
Nursing Home Adm		285		285		82		1,380			107	245	120	609	2,542	2,827
Optometric		327		327				3,287			609	72	2,819	318	7,104	7,431
Outfitters		0		0		1,163		6,922			1,410		285	850	10,630	10,630
Passenger Tramway		105		105				2,795			61		(176)	302	2,982	3,087
Pharmacy		1,055		1,055				2,410			54	544	122	128	3,258	4,313
Physical Therapy		1,150		1,150				419			1,108		521	283	2,330	3,480
Plumbers		970		970				2,301			43	292	270	52	2,958	3,928
Podiatry		1,413		1,413				674			252	443	256	0	1,625	3,037
Midwives				0							233				233	233
Addiction Clrs				0				24			40	2		175	241	241
Audiologists/HAP				0							77,416				77,416	77,416
Veterinary Medicine		8,907		8,907				24,683	419		872	2,521	65	25	28,584	37,491
Landscape Architects		10		10				477			13	30	118	547	1,184	1,194
Occupational Therapists				0							0				0	, (
Massage Therapists				0							1,124				1,124	1,124
Uniform Athlete Agents				0							19				19	19
3																
Totals:	Ī	38,245	0	38,245	0	2,901	0	119,261	7,135	0	105,434	48,986	25,393	23,455	332,565	370,809

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		Schedule 9.A			
	•	e User's Fund #196 C.		104, C.R.S.	
	Cash Fund Revenue a	and Expenditure Trend	d Information		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	668,219	8,971	(178,076)	367,952	367,952
Exempt Revenue					
Non-Exempt Revenue	2,154,796	2,613,880	4,129,930	3,586,321	3,653,04
Total Expenditures	2,814,044	2,800,927	3,583,903	3,586,321	3,653,04
Ending Balance	8,971	(178,076)	367,952	367,952	367,95
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(659,248)	(187,047)	358,981	0	(
	, , ,	Fee Levels			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Per-line monthly surcharge	\$0.07	\$0.07	\$0.12	\$0.12	\$0.12
, ,				·	<u> </u>
<u> </u>	Cash Fu	und Reserve Balance	•		
	Actual	Actual	Estimate	Request	Projected
	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	658,661	(178,076)	367,952	367,952	367,952
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	464,317	462,153	591,344	591,743	602,75
Excess Uncommitted Fee Reserve Balance	194,344	(640,229)	(223,392)	(223,791)	(234,801
Deadline for Complying with the Target/Alternative Reserv	re Balance:			N/A	

		Schedule 9.A					
Cash Fund Statu	s for: Disabled Telephor			04, C.R.S.			
	Cash Fur	nd Narrative Information	n				
Purpose/Background of Fund	The purpose of the Fund is to support the costs of a telephone relay service for disabled telephone users (including deaf, hard of hearing, and speech-impaired individuals, among others). Beginning with S.B. 99-165, there has been an annual transfer (increased to \$200,000 during FY 06-07) to the Reading Services for the Blind Cash Fund. Each calendar year there is also an annual transfer (increased to from \$25,000 to \$644,724 during FY 06-07) to the Deaf and Hard of Hearing Cash Fund pursuant to \$40-7-104(4)(a), C.R.S.						
Fee Sources	The relay program is funded by a uniform, per month per access line surcharge to all business and residential telephone customers. The surcharge does not apply to wireless customers.						
Non-Fee Sources	Earned interest.						
Long Bill Groups Supported by Fund	The PUC's appropriation inc provision of telephone servi administering the program i Fund" pursuant to S.B. 99-1	ices for the hearing impaired in the PUC. Additional PUC	d. The Fund also pays the line items include "Transf	salary and operational co er to the Reading Services	sts for the 1.0 FTE s for the Blind Cash		
Statutory or Other Restriction on Use of Fund	The fund may be used by PUC to administer and fund the relay contract, and by DHS for reading services for the blind and the deaf and hard of hearing.						
Revenue Drivers	Fees are determined by Pul	blic Utilities Commission to	be adequate to cover prog	ram costs. The fund retai	ns its earned interest.		
Expenditure Drivers	Expenditures are driven by costs. Additional costs are t				vices and Operating		
Assessment of Potential for Compliance	Beginning in FY 06-07, S.B	. 06-218 exempts this fund	from S.B. 98-194.				
Action ☐ Already in Compliance ☐ Statute Change ☐ Planned One-time Expenditure(s) ¹ ☐ Planned One-time Expenditure(s) ☐ P							
	Cash Fund Expenditure	Line Item Detail and Cl	hange Reguests				
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12		
Public Utilities Commission							
Disabled Telephone Users Fund Payments	1,844,739	1,736,679	2,439,591	2,439,591	2,488,383		
Personal Services, Operating, Various pots	104,539	119,521	120,902	123,320	125,786		
Transfer to Reading Services for the Blind	200,000	250,000	250,000	250,000	250,000		
Transfer to the Commission on Deaf and Hard of Hearing	641,318	643,139	661,343	661,343	674,570		
Transfer to the Commission for the Visually Impaired	23,448	51,589	112,067	112,067	114,308		
Division Subtotal	2,814,044	2,800,927	3,583,903	3,586,321	3,653,047		

	Cook Fried Borrows	and Evene welltown Trans	l lufarmation	.5(2)(b), C.R.S.	
		and Expenditure Trend			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	592,747	(823,765)	(823,765)	368,440	368,440
Exempt Revenue					
Non-Exempt Revenue	2,662,032	3,919,601	5,599,429	4,292,332	4,378,179
Total Expenditures	4,078,544	4,142,881	4,407,225	4,292,332	4,378,179
Ending Balance	(823,765)	(1,047,045)	368,440	368,440	368,440
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(1,416,512)	(223,281)	1,192,204	0	(
·	<u>.</u>	Fee Levels	<u>.</u>	<u> </u>	
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Broker Fees (Original/Renewal)	250/150	500/300	500/300	500/300	500/30
Appraiser Fees (Original/Renewal)	125/165-210	250/330-420	250/330-420	250/330-420	250/330-4
Subdivision Fees (Original/Renewal)	1000/151	4000/302	4000/302	4000/302	4000/3
·	Cash Fu	and Reserve Balance	•		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	557,879	(1,047,045)	368,440	368,440	368,440
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	672,960	683,575	727,192	708,235	722,399
Excess Uncommitted Fee Reserve Balance	(115,081)	(1,730,621)	(358,753)	(339,795)	(353,960

		Cash Fun	d Narrative Information	า				
Purpose	/Background of Fund	The fund exists to support the costs of the Division in carrying out its mission to protect the from incompetent and dishonest real estate practitioners.						
Fee Sou	rces	The majority of revenue is fr licensure.	om application fees for rea	l estate brokers and appra	isers, and from their annua	al renewal fees for		
Non-Fee	Sources	Earned interest.						
Long Bill	Groups Supported by Fund	Division of Real Estate						
Statutory	or Other Restriction on Use of Fund	The fund may only be used	to cover costs of the Division	on of Real Estate				
Revenue	e Drivers	Fees set annually so that re-	venue generated approxim	ates program costs. Actua	al revenue will depend on t	the number of payers		
Expendit	ture Drivers	Expenditures are driven by personal services, associate hearings and legal services.	ed operating expenses, and					
Assessm	nent of Potential for Compliance	This fund is presently in com	npliance.					
Action	☐ Already in Compliance ☐ Statute C	hange ¹ ☐ Planned Fee Reduction	on'					
Action	☐ Already in Compliance ☐ Statute C☐ Planned One-time Expenditure(s) ¹		s) ¹ ☐ Waiver ²	nange Requests				
Action	i i	☐ Planned Ongoing Expenditure(s) ¹ ☐ Waiver ²	nange Requests Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12		
Division	□ Planned One-time Expenditure(s)¹ of Real Estate	□ Planned Ongoing Expenditure(s Cash Fund Expenditure Actual FY 2007-08	S) ¹ Waiver ² Line Item Detail and CI Actual FY 2008-09	Estimate	-	FY 2011-12		
Division	☐ Planned One-time Expenditure(s) ¹	☐ Planned Ongoing Expenditure(s Cash Fund Expenditure Actual	s) ¹ Waiver ² Line Item Detail and CI Actual	Estimate	-	-		
Division Persor	□ Planned One-time Expenditure(s)¹ of Real Estate	□ Planned Ongoing Expenditure(s Cash Fund Expenditure Actual FY 2007-08	S) ¹ Waiver ² Line Item Detail and CI Actual FY 2008-09	Estimate FY 2009-10	FY 2010-11	FY 2011-12		
Division Persor Operate Hearin	Planned One-time Expenditure(s) ¹ of Real Estate nal Services ting Expenses ags	□ Planned Ongoing Expenditure(s Cash Fund Expenditure Actual FY 2007-08 2,639,712	Line Item Detail and CI Actual FY 2008-09	Estimate FY 2009-10	FY 2010-11 2,706,229	FY 2011-12 2,760,353		
Division Persor Operar Hearin Comm	□ Planned One-time Expenditure(s)¹ of Real Estate nal Services ting Expenses ting Expenses tings ting Expenses tings	☐ Planned Ongoing Expenditure(s Cash Fund Expenditure Actual FY 2007-08 2,639,712 197,280	S) ¹ Waiver ² Line Item Detail and CI Actual FY 2008-09 2,571,117 186,071	Estimate FY 2009-10 2,953,702 175,218	2,706,229 175,218	FY 2011-12 2,760,355 178,72		
Division Persor Operar Hearin Comm	Planned One-time Expenditure(s) ¹ of Real Estate nal Services ting Expenses ags	Cash Fund Expenditure Actual FY 2007-08 2,639,712 197,280 3,997	S) ¹ Waiver ² Line Item Detail and CI Actual FY 2008-09 2,571,117 186,071 133	Estimate FY 2009-10 2,953,702 175,218 4,000	2,706,229 175,218 4,000 30,303 0	2,760,35 178,72 4,08		
Division Persor Opera Hearin Comm CBI/FE	□ Planned One-time Expenditure(s)¹ of Real Estate nal Services ting Expenses ting Expenses tings ting Expenses tings	Cash Fund Expenditure (see Property 2007-08 2,639,712 197,280 3,997 9,264	S) ¹ Waiver ² Line Item Detail and CI Actual FY 2008-09 2,571,117 186,071 133	Estimate FY 2009-10 2,953,702 175,218 4,000 30,303	2,706,229 175,218 4,000 30,303	2,760,35 178,72 4,08 30,90		
Division Persor Operat Hearin Comm CBI/FE Indirec	□ Planned One-time Expenditure(s)¹ I of Real Estate hal Services ting Expenses logs lission Meetings BI Record Checks	Cash Fund Expenditure (see Property 2007-08 Cash Fund Expenditure (see Property 2007-08 2,639,712 197,280 3,997 9,264 0	Line Item Detail and CI Actual FY 2008-09 2,571,117 186,071 133 21,955	Estimate FY 2009-10 2,953,702 175,218 4,000 30,303 0	2,706,229 175,218 4,000 30,303 0	2,760,35 178,72 4,08 30,90		
Division Persor Operat Hearin Comm CBI/FE Indirect	Planned One-time Expenditure(s) of Real Estate nal Services ting Expenses to Cost Assessment	☐ Planned Ongoing Expenditure(see Cash Fund Expenditure) Actual FY 2007-08	Line Item Detail and CI Actual FY 2008-09 2,571,117 186,071 133 21,955 469,075	Estimate FY 2009-10 2,953,702 175,218 4,000 30,303 0 467,788	2,706,229 175,218 4,000 30,303 0 461,679	2,760,35 178,72 4,08 30,90		
Division Persor Operat Hearin Comm CBI/FE Indirec Centra	Planned One-time Expenditure(s) of Real Estate nal Services ting Expenses ting Expenses tings lission Meetings BI Record Checks ct Cost Assessment ally Appropriated Lines	☐ Planned Ongoing Expenditure(see Cash Fund Expenditure	Line Item Detail and CI Actual FY 2008-09 2,571,117 186,071 133 21,955 469,075	Estimate FY 2009-10 2,953,702 175,218 4,000 30,303 0 467,788	2,706,229 175,218 4,000 30,303 0 461,679	2,760,35 178,72 4,08 30,90		

		Schedule 9.A	D.O. 011 11 11 51	707.0.0.0	
Cash Fund Status		ies Cash Fund #213 Cand Expenditure Tren		-707, C.R.S.	
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	724,323	545,533	287,450	299,216	477,303
Exempt Revenue					
Non-Exempt Revenue	2,840,605	3,010,216	3,559,208	3,809,321	3,693,272
Total Expenditures	3,019,395	3,268,298	3,547,442	3,631,234	3,693,27
Ending Balance	545,533	287,450	299,216	477,303	477,30
Ending Balance – Cash Assets					
Reserves Increase/Decrease	260,021	(258,083)	11,766	178,087	
	<u> </u>	Fee Levels			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Broker/Dealer and Firm Fees	75	65	70	70	65
Representative fees	13	14	13	13	1.
	Cash F	und Reserve Balance	"		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	724,324	545,534	299,216	477,303	477,300
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	498,200	539,269	585,328	599,154	609,390
Excess Uncommitted Fee Reserve Balance	226,124	6,265	(286,112)	(121,851)	(132,087
Deadline for Complying with the Target/Alternative Reservant	ve Balance:			June 30,	2010

	Cash Fund	d Narrative Informatior	1						
Purpose/Background of Fund	The fund exists to support th in the securities markets while				ain public confidence				
Fee Sources	The majority of revenue is from licensees. Other minor, mison miscellaneous fees. The De	cellaneous fees include reg	istration and exemption fr	om filing, interpretative opi					
Non-Fee Sources	Earned interest.	Earned interest.							
Long Bill Groups Supported by Fund	Division of Securities								
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Securities.								
Revenue Drivers	Fees set annually so that rev	renue generated approxima	ates program costs. Actua	al revenue will depend on t	the number of payers				
Expenditure Drivers	Expenditures are driven by p personal services, associate hearings and legal services.								
Assessment of Potential for Compliance	This fund is presently out of	compliance.							
Action ☐ Already in Compliance ☐ Statute C									
☐ Already in Compliance ☐ Statute C☐ Planned One-time Expenditure(s) ¹		s) ¹ □ Waiver ²	nange Requests						
27 meady in compliance 2 statute of	☐ Planned Ongoing Expenditure(s	s) ¹ □ Waiver ²	nange Requests Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12				
27 meady in compliance 2 ctatate c	□ Planned Ongoing Expenditure(s Cash Fund Expenditure L Actual FY 2007-08	Line Item Detail and Ch Actual FY 2008-09	Estimate	-	•				
☐ Planned One-time Expenditure(s)¹ ☐ Division of Securities Personal Services	□ Planned Ongoing Expenditure(s Cash Fund Expenditure L Actual	Es) ¹ □ Waiver ² Line Item Detail and Chactual FY 2008-09 1,854,133	Estimate FY 2009-10	FY 2010-11 1,996,926	-				
☐ Planned One-time Expenditure(s)¹ Division of Securities	□ Planned Ongoing Expenditure(s Cash Fund Expenditure L Actual FY 2007-08	Line Item Detail and Ch Actual FY 2008-09	Estimate FY 2009-10 2,062,189 61,063	1,996,926 56,785	FY 2011-12 2,036,865				
□ Planned One-time Expenditure(s)¹ □ Planned One-t	□ Planned Ongoing Expenditure(s Cash Fund Expenditure L Actual FY 2007-08	Es) ¹ □ Waiver ² Line Item Detail and Chactual FY 2008-09 1,854,133	Estimate FY 2009-10	FY 2010-11 1,996,926	FY 2011-12 2,036,865 47,816				
Division of Securities Personal Services Operating Expenses Hearings Board Meeting Costs	□ Planned Ongoing Expenditure(s Cash Fund Expenditure L Actual FY 2007-08 1,748,162 56,460	Es) ¹ ☐ Waiver ² Line Item Detail and Chactual FY 2008-09 1,854,133 47,769	Estimate FY 2009-10 2,062,189 61,063	1,996,926 56,785	2,036,865 47,816 19,594				
□ Planned One-time Expenditure(s)¹ □ Planned One-t	□ Planned Ongoing Expenditure(s Cash Fund Expenditure L Actual FY 2007-08 1,748,162 56,460 19,438	E) ¹ Waiver ² Line Item Detail and Characteristics Actual FY 2008-09 1,854,133 47,769 19,134	Estimate FY 2009-10 2,062,189 61,063 19,594	1,996,926 56,785 19,594	2,036,865 47,816 19,594 4,500				
Division of Securities Personal Services Operating Expenses Hearings Board Meeting Costs	Cash Fund Expenditure(s Cash Fund Expenditure I Actual FY 2007-08 1,748,162 56,460 19,438 2,448	E) ¹ Waiver ² Line Item Detail and Chactual FY 2008-09 1,854,133 47,769 19,134 1,416	Estimate FY 2009-10 2,062,189 61,063 19,594 4,500	1,996,926 56,785 19,594 4,500	2,036,865 47,816 19,594 4,500 511,049				
Division of Securities Personal Services Operating Expenses Hearings Board Meeting Costs Securities Fraud Prosecution	☐ Planned Ongoing Expenditure(s Cash Fund Expenditure I Actual FY 2007-08 1,748,162 56,460 19,438 2,448 441,794	E) ¹ Waiver ² Line Item Detail and Chactual FY 2008-09 1,854,133 47,769 19,134 1,416 454,785	Estimate FY 2009-10 2,062,189 61,063 19,594 4,500 501,028	1,996,926 56,785 19,594 4,500 501,028	FY 2011-12				
Division of Securities Personal Services Operating Expenses Hearings Board Meeting Costs Securities Fraud Prosecution Indirect Cost Assessment Centrally Appropriated Lines Department of Law	☐ Planned Ongoing Expenditure(s Cash Fund Expenditure I Actual FY 2007-08 1,748,162 56,460 19,438 2,448 441,794 235,467 515,625	E) ¹ Waiver ² Line Item Detail and Chactual FY 2008-09 1,854,133 47,769 19,134 1,416 454,785 244,417	Estimate FY 2009-10 2,062,189 61,063 19,594 4,500 501,028 253,953	1,996,926 56,785 19,594 4,500 501,028 253,953	2,036,865 47,816 19,594 4,500 511,049 259,032				
Division of Securities Personal Services Operating Expenses Hearings Board Meeting Costs Securities Fraud Prosecution Indirect Cost Assessment Centrally Appropriated Lines	☐ Planned Ongoing Expenditure(s Cash Fund Expenditure I Actual FY 2007-08 1,748,162 56,460 19,438 2,448 441,794 235,467	E) ¹ Waiver ² Line Item Detail and Chactual FY 2008-09 1,854,133 47,769 19,134 1,416 454,785 244,417	Estimate FY 2009-10 2,062,189 61,063 19,594 4,500 501,028 253,953	1,996,926 56,785 19,594 4,500 501,028 253,953	2,036,865 47,816 19,594 4,500 511,049 259,032				
Division of Securities Personal Services Operating Expenses Hearings Board Meeting Costs Securities Fraud Prosecution Indirect Cost Assessment Centrally Appropriated Lines Department of Law	☐ Planned Ongoing Expenditure(s Cash Fund Expenditure I Actual FY 2007-08 1,748,162 56,460 19,438 2,448 441,794 235,467 515,625	E) ¹ □ Waiver ² Line Item Detail and Chactual FY 2008-09 1,854,133 47,769 19,134 1,416 454,785 244,417 748,193	Estimate FY 2009-10 2,062,189 61,063 19,594 4,500 501,028 253,953 645,115	1,996,926 56,785 19,594 4,500 501,028 253,953 798,448	2,036,865 47,816 19,594 4,500 511,049 259,032 814,417				

		Compliance F	Schedule 9.E		3				
		- Joniphance I	IOI. DIVISION OF OC	CaOO Cacii i ana mz i					
C.R.S. Citation	11-51-129, C.R.S.								
Action	Reduce fees at earlies	st possible date							
Plan Description		Following fee increases of 20% (broker/dealers, investment firms) and 30% (investment representatives) between							
	than expected for FY(2007 for initial registra less than expected ex deficit as soon as Jun renewal fees for broke balance target of 7.4%	26 and FY07 which eliminations and an additional 36 spenditures, actual results be 2009, fees were increased and will be achieved by 36 and 37 and 38 and 38 and 38 and 38 and 39 an	ated the ability to achieve to achieve the sat January 2008 for rereserver that 80% of the except and representatives were said the same and representatives were slightly lowering fees of \$	fund balance compliance a newals. These reductions ass was eliminated by June be needed in FY 10 to act are raised due to lowered re 1 for 152,242 representation	at June 2007, after which fe were forecasted to achieve 2 2008, with the remaining hieve proper fund balance evenue amounts of \$206,7 yes, and slightly increasing	ses were reduced an addi e fund balance complianc portion eliminated by Sep with expenditures trendin 10 in revenue during FY of 1 fees of \$5 for 4,350 Brok			
Assumptions and Calculations	These fees are annua		proximately 152,242 repre rocess requires that not all ce within statutory limits.						
	Fund Balance at	Rev	enue						
	Beginning of Fiscal Year	Projected Earned Interest	Projected Assessments	Projected Expenditures		Projected 16.5% Targe Reserve			
FY 2009-10	287,450	0				585,32			
FY 2010-11	299,216	0	3,885,507	3,885,507					
FY 2010-11	299,216	0	3,630,392	3,618,391	311,217	597,03			
2202703						olorado Department o			

Schedule 9.B								
			Compliance F	Plan for: Division of Se	curities Cash Fund #213	3		

20.0	plicants was higher
se ap	33% at Novembe
by J	ine 2008. Due to
embe	r of 2008. To avoid a
9-10.	ard. Specifically This reflects a func
r/Dea	alers and Advisor Fire
ill be	evenue projections. implemented in
20	p.ooo.
Diffe	rence Over/(Under)
	(286,112)
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Page	9705

Cook Fund Status	for: High Cost Administ	Schedule 9.A	Citation: 40 15 209	0(1) C B S	
Casii Fuilu Status i		and Expenditure Trend		(1), C.R.S.	
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	(40,655)	7,244	15,022,032	22,032	22,032
Exempt Revenue					
Non-Exempt Revenue	167,667	15,116,058	116,086	121,947	120,725
Total Expenditures	119,768	101,270	15,116,086	121,947	120,725
Ending Balance	7,244	15,022,032	22,032	22,032	22,032
Ending Balance – Cash Assets					
Reserves Increase/Decrease	47,899	15,014,788	14,788	0	0
·		Fee Levels	<u> </u>		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Provider Assessment	167,667	116,058	116,086	121,947	120,72
L	Cash Fu	und Reserve Balance			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	7,244	15,022,032	22,032	22,032	22,032
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	19,762	16,710	2,494,154	20,121	19,920
Excess Uncommitted Fee Reserve Balance	(12,518)	15,005,322	(2,472,123)	1,910	2,112
Deadline for Complying with the Target/Alternative Reserv	ve Balance:			June 30, 2	2009

	Cash Fun	d Narrative Information	n						
Purpose/Background of Fund	The Colorado High Cost Support Mechanism (CHCSM) enables all Colorado citizens to receive affordable basic telephone service by using a statewide surcharge to subsidize service in areas that are costly to serve. The Fund was created using transferred funds and is supported by annual assessments against utilities. The fund exists to support administrative costs associated with the CHCSM.								
Fee Sources	Assessments against utility companies.								
Non-Fee Sources	Earned Interest								
Long Bill Groups Supported by Fund	Public Utilities Commission								
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the CHCSM. Initially revenues consisted only of a fund balance transfer and earned interest; however, assessments are now necessary.								
	Revenue is dependent on the from the High Cost Assessm FY 09-10 by SB 09-279. The for FY 09-10.	ne amount of the fee, which nent Mechanism into the ful	is calculated to cover cos nd during FY 08-09 for the	ts. However, SB 09-272 purposes of transfer to th	transferred \$15 millior e General Fund durin				
Expenditure Drivers	Expenditures are driven by this fund, expenditures inclu 09-272 transferred \$15 millitransfer to the General Fund million in projected expendit	ide personal services and o on from the High Cost Asse d during FY 09-10 by SB 09	perating costs. Expendituessment Mechanism into the	res can vary based on sta ne fund during FY 08-09 fo	offing. However, SB or the purposes of				
Assessment of Potential for Compliance	This fund is presently in con	npliance.							
Action ☐ Already in Compliance ☐ Statute C☐ Planned One-time Expenditure(s) ¹									
	Cash Fund Expenditure	I ine Item Detail and Ch	hange Requests						
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12				
Public Utilities Commission									
Personal Services	83,307	83,993	96,041	97,962	99,921				
Operating Expenses	19,546	193	2,500	2,500	2,550				
Indirect Cost Assessment	11,768	11,739	11,543	11,774	12,009				
Centrally Appropriated Lines	5,147	5,345	6,002	6,122	6,244				
Division Subtotal	119,768	101,270	116,086	118,358	120,725				
Transfer to General Fund Per HB 09-272	0	0	15,000,000	0	0				
Transfer Subtotal	0	0	15,000,000	0	0				
TOTAL	119.768	101.270	15.116.086	118.358	120.725				

		Schedule 9.A			
Cash Fund Status	for: Division of Banking	g Cash Fund #244 C.R.	S. Citation: 11-102-40	03, C.R.S.	
	Cash Fund Revenue a	and Expenditure Trend	Information		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	470,831	468,552	621,411	539,863	539,863
Exempt Revenue					
Non-Exempt Revenue	4,034,988	4,332,127	4,745,648	4,835,030	4,931,73
Total Expenditures	4,037,267	4,179,268	4,827,196	4,835,030	4,931,73
Ending Balance	468,552	621,411	539,863	539,863	539,86
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(2,279)	152,859	(81,548)	0	-
<u> </u>		Fee Levels			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Various fees (see below).					
	Cash Fu	und Reserve Balance			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	468,552	621,411	539,863	539,863	539,863
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	666,149	689,579	796,487	797,780	813,736
Excess Uncommitted Fee Reserve Balance	(197,597)	(68,168)	(256,624)	(257,917)	(273,873
Deadline for Complying with the Target/Alternative Reserv	ve Balance:			June 30, 2	2009

	Cash Fun	d Narrative Information	n					
Purpose/Background of Fund	The fund exists to support the promoting a safe and sound		•					
	promoting a sale and sound	i ililariciai ilistitutions iliuust	ily tillough continuous qua	ility regulation and supervis	SIOI1.			
Fee Sources	The fund is supported by assessments to financial institutions and various fees as authorized by statute, including charter applications, money transmitter licenses, change fees, and miscellaneous minor fees. These numerous fees include percentage based assessments as well as individual fees ranging from \$100 - \$12,000. Fees support appropriations made by the General Assembly for the Division.							
Non-Fee Sources	Earned interest.	•						
Long Bill Groups Supported by Fund	Division of Banking.							
Statutory or Other Restriction on Use of Fund	The fund may only be used	to cover costs of the Division	on of Banking.					
Revenue Drivers	Assessments by institution a Out-year estimates reflect as			ık assets, in order to appro	ximate program costs			
Expenditure Drivers		Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for						
Assessment of Potential for Compliance	This fund is presently in con	npliance.						
Action	Lhange ¹ ☐ Planned Fee Reduction	on ¹						
☐ Planned One-time Expenditure(s) ¹	☐ Planned Ongoing Expenditure(s)¹□ Waiver²						
	Cash Fund Expenditure	Line Item Detail and CI	hange Requests					
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12			
Division of Banking			-					
Personal Services	3,033,326	3,134,380	3,566,559	3,356,645	3,423,778			
Operating Expenses	284,470	279,611	418,989	387,621	395,373			
Board Meetings	23,500	22,488	23,500	23,500	23,970			
Indirect Cost Assessment	453,079	470,557	513,677	507,177	517,321			
Centrally Appropriated Lines	242,892	272,232	304,471	560,087	571,289			
	4 007 007	4.470.000	4 007 400	4,835,030	1 221 =21			
Division Subtotal	4,037,267	4,179,268	4,827,196	4,035,030	4,931,731			

		Schedule 9.A			
Cash Fund Status for:	•			·3.4-108, C.R.S.	
	Cash Fund Revenue	and Expenditure Tren	d Information		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	221,412	165,377	100,713	100,713	100,71
Exempt Revenue					
Non-Exempt Revenue	2,139,301	2,030,531	1,000,000	500,000	500,00
Total Expenditures	2,195,336	2,095,194	1,000,000	500,000	500,00
Ending Balance	165,377	100,713	100,713	100,713	100,71
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(56,035)	(64,664)	(64,664)	0	
		Fee Levels	,		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Per-line monthly surcharge	\$0.00	\$0.00	\$0.07	\$0.07	\$0.0
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	221,411	100,713	100,713	100,713	100,71
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	250,000	250,000	250,000	250,000	250,00
Excess Uncommitted Fee Reserve Balance	(28,589)	(149,287)	(149,287)	(149,287)	(149,287
Deadline for Complying with the Target/Alternative Reser				N/A	

		Schedule 9.A			
Cash Fund Status	s for: Low Income Telephor	ne Assistance Fund #251	C.R.S. Citation: 40-3	3.4-108, C.R.S.	
	Cash Fu	nd Narrative Information	n		
Purpose/Background of Fund	subsidizing phone bills for payments from the Fund a	s for the PUC to have an acc low-income telephone custo re paid to the Department of Bill line item in DHS as well a	mers as authorized by Sec Human Services to admin	ction 40-3.4-104, C.R.S. P	art of the annual
Fee Sources	and allocations to individua between the amount collect required as a trust settlement	es from telephone companie al carriers are calculated on a ted by a carrier and disburse ent is funding required paym s expected to return to histor	a net basis, so that transac ements owed to the carrier ents; however, when the tr	ctions involving the fund re per the program. No fees rust settlement is exhauste	flect the difference are presently
Non-Fee Sources	Earned interest and trust s	ettlements.			
Long Bill Groups Supported by Fund	Public Utilities Commission	r; Department of Human Ser	vices		
Statutory or Other Restriction on Use of Fund	The fund may only be used	for Low Income Telephone	Assistance administration	in PUC and DHS.	
Revenue Drivers	Fees are determined by Pu	ublic Utilities Commission to	be adequate to cover prog	ram costs. The fund retai	ns its earned interest.
Expenditure Drivers	Expenditures are driven by amount of money collected	r program administrative cos I by phone companies.	ts as well as the amount o	f moneys to be redistribute	ed compared to the
Assessment of Potential for Compliance	The fund is in compliance.				
Action Already in Compliance Statute Ch	nange ¹ □ Planned Fee Reduc	tion ¹			
☐ Planned One-time Expenditure(s) ¹ [Planned Ongoing Expenditure	e(s) ¹ ☐ Waiver ²			
	Cash Fund Expenditure	Line Item Detail and Cl	hange Requests		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Public Utilities Commission					
Low Income Telephone Assistance	2,135,674	2,030,531	2,143,752	2,143,752	2,143,752
Department of Human Services					
Low Income Telephone Assistance Program	59,662	64,664	60,855	62,072	63,314
Division Subtotal	2,195,336	2,095,194	2,204,607	2,205,824	2,207,066
TOTAL	2,195,336	2,095,194	2,204,607	2,205,824	2,207,066

		Schedule 9.A			
Cash Fund Status for:	Division of Financial Se	ervices Cash Fund #272	C.R.S. Citation: 11-	40-106, C.R.S.	
	Cash Fund Revenue a	and Expenditure Trend	I Information		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	59,142	79,673	79,673	82,162	82,16
Exempt Revenue					
Non-Exempt Revenue	1,260,391	1,401,874	1,675,861	1,659,468	1,692,65
Total Expenditures	1,239,860	1,425,197	1,673,372	1,659,468	1,692,65
Ending Balance	79,673	56,350	82,162	82,162	82,16
Ending Balance – Cash Assets					
Reserves Increase/Decrease	24,247	(23,323)	2,489	0	
		Fee Levels			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
1. Rate in cents per \$1,000 of assets		7.23 Credit Unions	8.5 Credit Unions	8.5 Credit Unions	8.5 Credit Uni
2. Rate in cents per \$1,000 of assets		8.23 Sav. and Loans	8.5 Sav. and Loans	8.5 Sav. and Loans	8.5 Sav. and Lo
	Cash Fu	und Reserve Balance	l .		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	54,315	54,315	82,162	82,162	82,16
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	204,577	235,158	276,106	273,812	279,28
Excess Uncommitted Fee Reserve Balance	(150,262)	(180,843)	(193,944)	(191,650)	(197,12
	ve Balance:			June 30, 2	2009

	Cash Fun	d Narrative Information	n					
Purpose/Background of Fund	The fund exists to support the costs of the Division of Financial Services and its mission, which is to serve and protect the public interest by promoting a safe and sound financial institutions industry through continuous quality regulation and supervision.							
Fee Sources	The fund is supported by assessments to financial institutions based on assets. The fees are presently 8.50 cents per \$1,000 for credit unions, and 8.50 cents per \$1,000 for savings and loans. Fees are adjusted biennially, and existing rates are presumed to be adjusted to cover expenditures.							
Non-Fee Sources	Earned interest.	·						
Long Bill Groups Supported by Fund	Division of Financial Service	s						
Statutory or Other Restriction on Use of Fund	The fund may only be used	to cover costs of the Division	on of Financial Services					
Revenue Drivers	Assessments by institution a	re made bi-annually, with a	amounts are based on bar	nk assets, in order to appro	ximate program cost			
Expenditure Drivers		Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need to						
Assessment of Potential for Compliance	This fund is presently in com	pliance.						
Action	hange¹ □ Planned Fee Reduction	on ¹						
☐ Planned One-time Expenditure(s) ¹	☐ Planned Ongoing Expenditure(s) ¹ Waiver ²						
	Cash Fund Expenditure	Line Item Detail and Cl	nange Reguests					
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12			
Division of Finanacial Services			I I					
Personal Services	929,045	1,096,581	1,249,397	1,151,520	1,174,550			
Operating Expenses	86,988	96,416	173,224	162,768	166,023			
Indirect Cost Assessment	152,988	158,890	173,150	173,150	176,613			
Centrally Appropriated Lines	70,839	73,311	77,601	172,030	175,471			
	4 000 000	4 405 407	1,673,372	1,659,468				
Division Subtotal	1,239,860	1,425,197	1,073,372	1,009,400	1,692,657			

		Schedule 9.A			
Cash Fund Status		nce Cash Fund #282 (103, C.R.S.	
		and Expenditure Tren			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	(58,558)	(499,656)	0	0	0
Exempt Revenue					
Non-Exempt Revenue	9,052,267	11,112,484	10,353,404	10,730,531	10,945,142
Total Expenditures	9,493,365	10,612,828	10,353,404	10,730,531	10,945,142
Ending Balance	(499,656)	0	0	0	(
Ending Balance – Cash Assets	, ,				
Reserves Increase/Decrease	(441,098)	499,656	0	0	(
	, , ,	Fee Levels			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
License and Renewal Fees for Companies	\$500-\$3,345	\$500-\$3,345	\$500-\$3,345	\$500-\$3,345	\$500-\$3,3
License and Renewal Fees for Producers	\$13.50-\$250	\$13.50-\$250	\$13.50-\$250	\$13.50-\$250	\$13.50-\$2
	Cash F	und Reserve Balance			· · · · · · · · · · · · · · · · · · ·
	Actual	Actual	Estimate	Request	Projected
	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	(57,979)		(57,979)	(57,979)	(57,979
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	1,566,405		1,708,312	1,770,538	1,805,948
Excess Uncommitted Fee Reserve Balance	(1,624,384)		(1,766,291)	(1,828,517)	(1,863,927
Deadline for Complying with the Target/Alternative Reserv	ve Balance:	,		June 30	2009

	Cash Fun	d Narrative Information	1					
Purpose/Background of Fund	The fund exists to support th	ne costs of the Division of Ir	nsurance and its mission o	f consumer protection in the	ne insurance industry.			
Fee Sources	The fund is supported by business and professional license and renewal fees (types include life/health, property/casualty, viaticals, surplus lines, and bail bonds).							
Non-Fee Sources	Earned interest and premium tax revenue is deposited into the fund to supplement fee revenue to fully cover the expenditures each year.							
Long Bill Groups Supported by Fund	Division of Insurance; Pursu Economic Development of the		d also supports the Certific	ed Capital Companies pro	gram in the Office of			
Statutory or Other Restriction on Use of Fund	The fund may only be used Certified Capital Companies	to cover costs of the Division		ecution in the Department	of Law, and the			
Revenue Drivers	premium tax collections that	Fee amounts are set in statute and by rule, and the number of units affects fee revenue. The remainder of revenue is from premium tax collections that would otherwise be deposited in the General Fund. Actual revenue for FY 2007-08 was adjusted by \$499,655 to reflect final adjustments on the State's post-closing financial statements.						
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations for the Division of Insurance, Department of Law, and Office of Economic Development. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for legal services.							
Assessment of Potential for Compliance Action	This fund is presently in comcovered by fee revenue, the Change¹ ☐ Planned Fee Reduction	re is little if any fund balanc		into the fund only to cove	r expenditures not			
·	covered by fee revenue, the Change ¹ Planned Fee Reduction Planned Ongoing Expenditure(s	re is little if any fund baland on ¹ s) ¹ ☐ Waiver ²	e each year.	into the fund only to cove	r expenditures not			
Action ☐ Already in Compliance ☐ Statute C	covered by fee revenue, the Change Delanned Fee Reduction Planned Ongoing Expenditure Cash Fund Expenditure	re is little if any fund baland on ¹ s) ¹ Waiver ² Line Item Detail and Ch	nange Requests		·			
Action ☐ Already in Compliance ☐ Statute C	covered by fee revenue, the Change ¹ Planned Fee Reduction Planned Ongoing Expenditure(s	re is little if any fund baland on ¹ s) ¹ ☐ Waiver ²	e each year.	Request FY 2010-11	Projected FY 2011-12			
Action ☐ Already in Compliance ☐ Statute C	covered by fee revenue, the Change¹ □ Planned Fee Reduction □ Planned Ongoing Expenditure(s) Cash Fund Expenditure Actual	re is little if any fund balance on ¹ s) ¹ Waiver ² Line Item Detail and Ch	nange Requests Estimate	Request	Projected			
Action ☐ Already in Compliance ☐ Statute C☐ ☐ Planned One-time Expenditure(s) ¹	covered by fee revenue, the Change¹ □ Planned Fee Reduction □ Planned Ongoing Expenditure(s) Cash Fund Expenditure Actual	re is little if any fund balance on ¹ s) ¹ Waiver ² Line Item Detail and Ch	nange Requests Estimate	Request	Projected			
Action	covered by fee revenue, the Change¹ □ Planned Fee Reduction □ Planned Ongoing Expenditure(s) Cash Fund Expenditure Actual FY 2007-08 5,834,380	re is little if any fund balance on ¹ s) ¹ □ Waiver ² Line Item Detail and Charactual FY 2008-09	nange Requests Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12 6,477,703			
Action	covered by fee revenue, the Change¹ □ Planned Fee Reduction □ Planned Ongoing Expenditure(s) Cash Fund Expenditure Actual FY 2007-08 5,834,380 275,180	re is little if any fund balance on ¹ s) ¹ □ Waiver ² Line Item Detail and Chactual FY 2008-09 6,429,783 264,606	nange Requests Estimate FY 2009-10 6,643,027 400,249	Request FY 2010-11 6,350,689 410,358	Projected FY 2011-12 6,477,703 418,565			
Action	covered by fee revenue, the Change¹ □ Planned Fee Reduction □ Planned Ongoing Expenditure(s) Cash Fund Expenditure Actual FY 2007-08 5,834,380 275,180 766,261	re is little if any fund balance on ¹ s) ¹ □ Waiver ² Line Item Detail and Chactual FY 2008-09 6,429,783 264,606 819,342	nange Requests Estimate FY 2009-10 6,643,027 400,249 872,262	Request FY 2010-11 6,350,689 410,358 845,305	Projected FY 2011-12 6,477,703 418,565 862,211			
Action	covered by fee revenue, the Change¹ □ Planned Fee Reduction □ Planned Ongoing Expenditure(s) Cash Fund Expenditure Actual FY 2007-08 5,834,380 275,180	re is little if any fund balance on ¹ s) ¹ □ Waiver ² Line Item Detail and Chactual FY 2008-09 6,429,783 264,606 819,342 981,122	nange Requests Estimate FY 2009-10 6,643,027 400,249 872,262 975,410	Request FY 2010-11 6,350,689 410,358 845,305 961,485	Projected FY 2011-12 6,477,703 418,565 862,211 980,715			
Action	covered by fee revenue, the Change¹ □ Planned Fee Reduction □ Planned Ongoing Expenditure(state Cash Fund Expenditure Actual FY 2007-08 □ 5,834,380 □ 275,180 □ 766,261 □ 924,096	re is little if any fund balance on ¹ s) ¹ □ Waiver ² Line Item Detail and Cr Actual FY 2008-09 6,429,783 264,606 819,342 981,122 91,930	ee each year. nange Requests Estimate FY 2009-10 6,643,027 400,249 872,262 975,410 81,312	Request FY 2010-11 6,350,689 410,358 845,305 961,485 81,312	Projected FY 2011-12 6,477,703 418,565 862,211 980,715 82,938			
Action	covered by fee revenue, the Change¹ □ Planned Fee Reduction □ Planned Ongoing Expenditure(s) Cash Fund Expenditure Actual FY 2007-08 5,834,380 275,180 766,261	re is little if any fund balance on ¹ s) ¹ □ Waiver ² Line Item Detail and Chactual FY 2008-09 6,429,783 264,606 819,342 981,122	nange Requests Estimate FY 2009-10 6,643,027 400,249 872,262 975,410	Request FY 2010-11 6,350,689 410,358 845,305 961,485	Projected FY 2011-12 6,477,703 418,565 862,211 980,715			
Action	covered by fee revenue, the Change¹ □ Planned Fee Reduction □ Planned Ongoing Expenditure(state Cash Fund Expenditure Actual FY 2007-08 □ 5,834,380 □ 275,180 □ 766,261 □ 924,096	re is little if any fund balance on ¹ s) ¹ □ Waiver ² Line Item Detail and Ch Actual FY 2008-09 6,429,783 264,606 819,342 981,122 91,930 2,026,046	Estimate FY 2009-10 6,643,027 400,249 872,262 975,410 81,312 1,381,144	Request FY 2010-11 6,350,689 410,358 845,305 961,485 81,312 2,081,382	Projected FY 2011-12 6,477,703 418,565 862,211 980,715 82,938 2,124,636			
Action	covered by fee revenue, the Change¹ □ Planned Fee Reduction □ Planned Ongoing Expenditure(state Cash Fund Expenditure Actual FY 2007-08 5,834,380	re is little if any fund balance on ¹ s) ¹ □ Waiver ² Line Item Detail and Cr Actual FY 2008-09 6,429,783 264,606 819,342 981,122 91,930	ee each year. nange Requests Estimate FY 2009-10 6,643,027 400,249 872,262 975,410 81,312	Request FY 2010-11 6,350,689 410,358 845,305 961,485 81,312	Projected FY 2011-12 6,477,703 418,565 862,211 980,715 82,938			
Action Already in Compliance Statute Compliance Planned One-time Expenditure(s) ¹ Division of Insurance Personal Services Operating Expenses Insurance Fraud Prosecution Indirect Cost Assessment CAPCO Payments Centrally Appropriated Lines/Other Department of Law Insurance Fraud Prosecution (non-add) Governor's Office	covered by fee revenue, the Change¹ □ Planned Fee Reduction □ Planned Ongoing Expenditure(state Actual FY 2007-08 5,834,380	re is little if any fund balance on ¹ s) ¹ □ Waiver ² Line Item Detail and Chactual FY 2008-09 6,429,783 264,606 819,342 981,122 91,930 2,026,046 819,342	6,643,027 400,249 872,262 975,410 81,312 1,381,144	Request FY 2010-11 6,350,689 410,358 845,305 961,485 81,312 2,081,382 845,305	Projected FY 2011-12 6,477,703 418,565 862,211 980,715 82,938 2,124,636			
Action	covered by fee revenue, the Change¹ □ Planned Fee Reduction □ Planned Ongoing Expenditure(state Cash Fund Expenditure Actual FY 2007-08 5,834,380	re is little if any fund balance on ¹ s) ¹ □ Waiver ² Line Item Detail and Ch Actual FY 2008-09 6,429,783 264,606 819,342 981,122 91,930 2,026,046	Estimate FY 2009-10 6,643,027 400,249 872,262 975,410 81,312 1,381,144	Request FY 2010-11 6,350,689 410,358 845,305 961,485 81,312 2,081,382	Projected FY 2011-12 6,477,703 418,565 862,211 980,715 82,938 2,124,636			

	Cash Fund Payanua	and Expenditure Trend	Information		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	670	670	670	670	670
Exempt Revenue					
Non-Exempt Revenue	0	0	0	0	
Total Expenditures	0	0	0	0	
Ending Balance	670	670	670	670	67
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	0	0	0	
<u> </u>		Fee Levels		<u>.</u>	
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Application Fee	\$500	\$500	\$500	\$500	50
2. Renewal Fee	\$670-\$3,345	\$670-\$3,345	\$670-\$3,345	\$670-\$3,345	\$670-\$3,34
,	Cash Fu	und Reserve Balance			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	670	0			
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	0	0	0	0	
Excess Uncommitted Fee Reserve Balance	670	0	0	0	

	Cash Fu	ınd Narrative Informati	on		
Purpose/Background of Fund	The fund exists to support Insurance.	the costs of the Multiple E	mployer Welfare Arrangen	nent (MEWA) pilot prograi	m in the Division of
Fee Sources	fee (for background invest	ees imposed by the Commigation and review) as well rges, and funds. There is a	as annual fees ranging fro	om \$670-\$3,345 on each	
Non-Fee Sources	Earned interest.				
Long Bill Groups Supported by Fund	Division of Insurance				
Statutory or Other Restriction on Use of Fund	The fund may only be use	d to cover the direct and in	direct costs of the MEWA	program.	
Revenue Drivers	The number and volume of	of participating MEWAs affe	ects the amount of fee reve	enue.	
Expenditure Drivers	The workload in investigat	ing, reviewing, and admini	stering the MEWA progran	n.	
Assessment of Potential for Compliance	This fund is presently in co	ompliance. Expenditures a	nd revenues are less than	\$50,000.	
Action Already in Compliance Statute Change	□ ge ¹ □ Planned Fee Reduc	ction ¹			
☐ Planned One-time Expenditure(s) ¹ ☐ Pl	anned Ongoing Expenditur	e(s) ¹ ☐ Waiver ²			
	Cash Fund Expenditure	e Line Item Detail and	Change Requests		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Division of Insurance					
Personal Services	0	0	0	0	0
Division Subtotal	0	0	0	0	0
TOTAL	0	0	0	0	0

-		Schedule 9.A			
Cash Fund Status for: /	Mandated Health Insura	nce Cash Fund #16U C	.R.S. Citation: 10-16-	103.3(8), C.R.S.	
	Cash Fund Revenue	and Expenditure Trend	Information		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	10,536	10,536	10,536	10,536	10,536
Exempt Revenue					
Non-Exempt Revenue	0	0	0	0	
Total Expenditures	0	0	0	0	
Ending Balance	10,536	10,536	10,536	10,536	10,53
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	0	0	0	
	<u> </u>	Fee Levels			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
No fees are presently assessed for this fund.					
	Cash Fu	und Reserve Balance			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	10,536	O	0	0	
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	0	0	0	0	(
Excess Uncommitted Fee Reserve Balance	10,536	0	0	0	
 Deadline for Complying with the Target/Alternative Reser	ve Balance:			N/A	

	Cash Fur	nd Narrative Information	on		
Purpose/Background of Fund	The fund exists to support to mandated health insurance		n on Mandated Health Ir	surance Benefits, which a	assesses the impact of
Fee Sources	Fees assessed to health ca	rriers.			
Non-Fee Sources	Earned interest.				
Long Bill Groups Supported by Fund	Division of Insurance				
Statutory or Other Restriction on Use of Fund	The fund may only be used	to cover the direct and ind	irect costs of the Manda	ted Health Commission.	
Revenue Drivers	Fees set to cover expenditu	res for administrative supp	ort of the Commission.		
Expenditure Drivers	Expenditures are for admini years. Future costs are sub recent years.				
Assessment of Potential for Compliance	This fund is presently in cor	mpliance. Expenditures ar	d revenues are less thar	\$50,000.	
Action ☐ Already in Compliance ☐ Statute C	nange ¹ ☐ Planned Fee Reducti	ion ¹			
☐ Planned One-time Expenditure(s) ¹	☐ Planned Ongoing Expenditure	(s) ¹ □ Waiver ²			
	Cash Fund Expenditure	Line Item Detail and C	hange Requests		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Division of Insurance		,			
Personal Services	0	0	0	0	0
Division Subtotal	0	0	0	0	0
TOTAL	0	0	0	0	0

		Schedule 9.A			
Cash Fund Status for:	<u> </u>			22-706, C.R.S.	
	Cash Fund Revenue a	and Expenditure Trend	Information		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	0	56,680	274,379	124,379	49,37
Exempt Revenue	221,442	178,622	175,000	175,000	175,00
Non-Exempt Revenue	90,056	312,735	75,000	85,000	150,00
Total Expenditures	254,818	273,658	400,000	335,000	341,70
Ending Balance	56,680	274,379	124,379	49,379	32,67
Ending Balance – Cash Assets					
Reserves Increase/Decrease	56,680	217,699	(150,000)	(75,000)	(16,700
·	•	Fee Levels			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning in FY 07-08, an assessment against all licensees having prescriptive authority will be charged pursuant to statute.	N/A	\$7.50	\$2.50	\$2.50	\$3.0
	Cash Fu	ınd Reserve Balance			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	56,680	274,379	124,379	49,379	32,6
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	42,045	45,154	66,000	55,275	56,0
Excess Uncommitted Fee Reserve Balance Deadline for Complying with the Target/Alternative Reserve	(14,635)	(229,225)	(58,379)	5,896 N/A	23,

	Cash Fun	d Narrative Information	1		
Purpose/Background of Fund	The fund exists to support the	he costs of the Division in ir	nplementing the Prescripti	on Drug Monitoring Progra	am.
Fee Sources	The only fee source is a new podiatrists, nurses, physicia			prescriptive authority, inclu	ding optometrists,
Non-Fee Sources	Federal grants, gifts grants	and donations, and earned	interest.		
Long Bill Groups Supported by Fund	Division of Registrations				
Statutory or Other Restriction on Use of Fund	The fund may only be used	to cover costs of the Division	on of Registrations.		
Revenue Drivers	Fees set annually so that re insufficient. Actual revenue	•	. •	ever grants and donations	are expected to me
Expenditure Drivers	Expenditures are driven by this fund, expenditures inclubased on staffing and the new terms of the property of	ide personal services, asso			
Assessment of Potential for Compliance	This fund is presently in con 08, state fees will begin; how				
Action Already in Compliance Statute	 Change ¹ ☐ Planned Fee Reducti	on ¹			
☐ Planned One-time Expenditure(s) ¹	☐ Planned Ongoing Expenditure((s) ¹ ☐ Waiver ²			
	Cash Fund Expenditure	Line Item Detail and Cl	nange Requests		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Division of Registrations - PDMP	-				
Prescription Drug Monitoring	50,814	273,658	400,000	335,000	341,700
Division Subtotal	50,814	273,658	400,000	335,000	341,700
TOTAL	50,814	273,658	400,000	335,000	341,700

			Compliance Plan	Schedule 9.B for: Prescription Drug Mon	itoring Cash Fund #	185	
			Compliance Flan	101. Trescription Drug Mon	moning Cash i unu #	.700	
C.R.S. Citation		12-22-706, C.R.S.					
Action		Reduce fees at earlies	st possible date.				
Plan Descriptio	n			ision of Registrations in impleme	enting the Prescription	Drug Monitoring Program	. Non-fee sources suppo
·		grants, gift grants and podiatrists, nurses, ph	donations and earned int ysicians, dentists and vet	terest. In addition, fee sources terinarians. The program is predding more funds from the fund b	began in FY 07-08 from sently non-compliant.	om licensees who have pro However, this program is	escriptive authority, which expected to achieve com
Assumptions a	nd Calculations	timing as some are an	inual and others are for tw	proximately 28,872 licensees are vo-years. The revenue accrual a order to maintain the fund bala	process requires that	not all of these increases	
		Fund Balance at		enue			
		Beginning of Fiscal Year	Projected Earned Interest	Projected Assessments Proj	iected Expenditures	Projected Reserve for Fiscal Year	Projected 16.5% Target Reserve
FY 2009-10		274,379	0		400,000	124,379	66,000
FY 2010-11		124,379	0		335,000	49,379	
FY 2010-11		49,379	0	,	341,700	32,679	· · · · · · · · · · · · · · · · · · ·
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		Schedule 9.A			
Cash Fund Status	for: Viatical Settlemen	nts Cash Fund #18W C.	R.S. Citation : 10-7-61	9, C.R.S.	
	Cash Fund Revenue	and Expenditure Trend	Information		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	16,234	27,326	33,774	10,868	6,868
Exempt Revenue					
Non-Exempt Revenue	15,580	16,040	8,000	8,000	8,000
Total Expenditures	4,488	9,592	30,906	12,000	12,000
Ending Balance	27,326	33,774	10,868	6,868	2,868
Ending Balance – Cash Assets					
Reserves Increase/Decrease	11,092	17,540	(16,458)	(4,000)	(4,000
		Fee Levels			·
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Per Company License Fees	\$500		\$500	\$500	\$5
2. Per Producer License Fees	\$30		\$30	\$15	\$
	Cash F	und Reserve Balance	,	¥ -	·
	Actual	Actual	Estimate	Request	Projected
	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	16,234	33,774	10,868	6,868	2,868
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	741	1,583	5,099	1,980	1,980
Excess Uncommitted Fee Reserve Balance	15,493	32,191	5,768	4,888	88
Deadline for Complying with the Target/Alternative Reser	ve Balance:			N/A	

	Cash Fu	nd Narrative Informatio	n		
Purpose/Background of Fund		to support the costs of regul	_		-
	agreements to assign, tran	nsfer, sell or bequest the dea	ath benefit or ownership of	a life insurance policy in ex	change for
-	'		1 (1) (2)	176 - 1	
Fee Sources		ees assessed against viatica	al settlement providers and	life insurance producers.	
Non-Fee Sources	Earned interest.				
Long Bill Groups Supported by Fund	Division of Insurance Pers				
Statutory or Other Restriction on Use of Fund		d to cover costs of the Division			
Revenue Drivers	The number of viatical sett expenditure levels.	tlement providers and life ins	surance producers. Fees w	vill be reduced in FY 08-09	and beyond to reflect
Expenditure Drivers	personal services. Expend	y program costs and corresp ditures can vary based on sta is expected that \$12,000 wil	affing and the need for lega	al services. \$4,488 is appr	
Assessment of Potential for Compliance	This fund is presently in co	ompliance. Expenditures and	d revenues are less than \$	50,000.	
Action ☐ Already in Compliance ☐ Statute Change	le ¹ □ Planned Fee Reduc	etion ¹			
☐ Planned One-time Expenditure(s) ¹ ☐ Planned One-time Expenditure(s) □	anned Ongoing Expenditure	e(s) ¹ □ Waiver ²			
	Cash Fund Expenditure	E Line Item Detail and C	hange Requests		
	Actual	Actual	Estimate	Request	Projected
	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12
Division of Insurance	<u> </u>				
Personal Services	4,488	9,592	30,906	12,000	12,000
Division Subtotal	4,488	9,592	30,906	12,000	12,000
TOTAL	4,488	9,592	30,906	12,000	12,000

		Schedule 9.A			
Cash Fund Status for:				1-908(2), C.R.S.	
		and Expenditure Trend			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	1,110,471	1,136,791	407,689	(134,327)	16,085
Exempt Revenue					
Non-Exempt Revenue	811,432	288,236	648,288	1,340,716	1,523,275
Total Expenditures	785,112	1,017,337	1,190,304	1,190,304	1,214,110
Ending Balance	1,136,791	407,689	(134,327)	16,085	325,250
Ending Balance – Cash Assets					
Reserves Increase/Decrease	26,320	(702,782)	(1,271,118)	150,412	309,165
<u> </u>	•	Fee Levels			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Mortgage Broker Registration Fee Other Fees	\$200	\$200	\$245	\$349	\$3
	Cash F	und Reserve Balance			
	Actual	Actual	Estimate	Request	Projected
	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)		407,689	(134,327)	16,085	325,250
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)		167,861	196,400	196,400	200,328
Excess Uncommitted Fee Reserve Balance		239,829	(330,727)	(180,315)	124,922
Deadline for Complying with the Target/Alternative Reser	ve Balance:			June 30, 2	2009

	Cash Fund	d Narrative Informatior	1				
Purpose/Background of Fund	The fund exists to support th	e costs of the Division in in	plementing the licensure	program for Mortgage Bro	kers.		
Fee Sources	Application fees for mortgate	brokers, and from their an	nual renewal fees.				
Non-Fee Sources	Earned interest.						
Long Bill Groups Supported by Fund	Division of Real Estate						
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Real Estate and the Department of Law related to mortgage brokers.						
Revenue Drivers	Fees set annually so that rev	venue generated approxima	ates program costs. Actua	al revenue will depend on t	the number of payers.		
Expenditure Drivers	Expenditures are driven by personal services, associate hearings and legal services.		•				
Assessment of Potential for Compliance	Compliance is not required from and fees will be set according		fund and program. Howe	ver, compliance is expecte	ed during FY 08-09		
Action ☐ Already in Compliance ☐ Statute C ☐ Planned One-time Expenditure(s) ¹	☐ Planned Ongoing Expenditure(s	s) ¹ Waiver ²					
	Cash Fund Expenditure I	Line Item Detail and Ch	Estimate	Request	Projected		
☐ Planned One-time Expenditure(s) ¹	Cash Fund Expenditure	Line Item Detail and Ch		Request FY 2010-11	Projected FY 2011-12		
☐ Planned One-time Expenditure(s)¹ Division of Real Estate	Cash Fund Expenditure I Actual FY 2007-08	Line Item Detail and Ch Actual FY 2008-09	Estimate FY 2009-10	FY 2010-11	FY 2011-12		
□ Planned One-time Expenditure(s)¹ Division of Real Estate Personal Services	Cash Fund Expenditure I Actual FY 2007-08	Line Item Detail and Ch Actual FY 2008-09	Estimate FY 2009-10	FY 2010-11 384,819	FY 2011-12 392,515		
Division of Real Estate Personal Services Operating Expenses/Other	Cash Fund Expenditure I Actual FY 2007-08	Line Item Detail and Ch Actual FY 2008-09	Estimate FY 2009-10	FY 2010-11	FY 2011-12		
Division of Real Estate Personal Services Operating Expenses/Other Hearings	Cash Fund Expenditure I Actual FY 2007-08	Line Item Detail and Ch Actual FY 2008-09	Estimate FY 2009-10 384,819 25,951	FY 2010-11 384,819	FY 2011-12 392,515 26,470		
Division of Real Estate Personal Services Operating Expenses/Other Hearings Commission Meetings	Cash Fund Expenditure I Actual FY 2007-08	Line Item Detail and Ch Actual FY 2008-09	Estimate FY 2009-10 384,819 25,951	FY 2010-11 384,819	FY 2011-12 392,515 26,470 0		
Division of Real Estate Personal Services Operating Expenses/Other Hearings	Cash Fund Expenditure I	Actual FY 2008-09 366,592 27,035	Estimate FY 2009-10 384,819 25,951 0 0	384,819 25,951 0	392,515 26,470 0		
Division of Real Estate Personal Services Operating Expenses/Other Hearings Commission Meetings Mortgage Broker Consumer Protection	Cash Fund Expenditure I Actual FY 2007-08 268,917 14,442 131,178	Actual FY 2008-09 366,592 27,035	Estimate FY 2009-10 384,819 25,951 0 0 311,339	384,819 25,951 0 0 311,339	392,515 26,470 0 0 317,566		
Division of Real Estate Personal Services Operating Expenses/Other Hearings Commission Meetings Mortgage Broker Consumer Protection Indirect Cost Assessment	Cash Fund Expenditure I Actual FY 2007-08 268,917 14,442 131,178 67,229	Actual FY 2008-09 366,592 27,035 295,724 90,753	Estimate FY 2009-10 384,819 25,951 0 0 311,339 90,684	384,819 25,951 0 0 311,339 90,684	392,515 26,470 0 0 317,566 92,498		
Division of Real Estate Personal Services Operating Expenses/Other Hearings Commission Meetings Mortgage Broker Consumer Protection Indirect Cost Assessment Centrally Appropriated Lines	Cash Fund Expenditure I Actual FY 2007-08 268,917 14,442 131,178 67,229	Actual FY 2008-09 366,592 27,035 295,724 90,753	Estimate FY 2009-10 384,819 25,951 0 0 311,339 90,684	384,819 25,951 0 0 311,339 90,684	392,515 26,470 0 0 317,566 92,498		
Division of Real Estate Personal Services Operating Expenses/Other Hearings Commission Meetings Mortgage Broker Consumer Protection Indirect Cost Assessment Centrally Appropriated Lines Department of Law	Cash Fund Expenditure I Actual FY 2007-08 268,917 14,442 131,178 67,229 303,346	Line Item Detail and Ch Actual FY 2008-09 366,592 27,035 295,724 90,753 237,233	Estimate FY 2009-10 384,819 25,951 0 0 311,339 90,684 377,511	384,819 25,951 0 0 311,339 90,684 377,511	92,498 392,515 26,470 0 317,566 92,498		

		O	Schedule 9.E		"401	
		Compliance Plan	for: Mortgage Broker F	kegistration Cash Fund	#19U	
C.R.S. Citation	12-61-908(2), C.R.S.					
Action	Increase fees at earlie					
Plan Description	four bills passed during expected. The expert	ng the 2007 Legislative senditures will trend to what I	ne Division in the implemer ssion. Presently the fund i has been anticipated begin ieve compliance in FY 09-	is not in compliance. This uning in FY 09-10 and cont	is due to expenditure costs inue on that cycle ongoing	s, which drive the program
Assumptions and Calculations			renue projections. These fact FY 08-09. Further fee r			
	Fund Balance at	Rev	enue			
	Beginning of Fiscal Year	Projected Earned Interest	Projected Assessments	Projected Expenditures		Projected 16.5% Target Reserve
FY 2009-10	287,450	0				585,328
FY 2010-11	299,216	0			· ·	· ·
FY 2010-11	299,216	0	3,630,392	3,618,391	311,217	597,034
Page 725			<u> </u>			Solorado Department «

		Schedule 9.A			
Cash Fund Status	for: Health Care Reform	m Cash Fund #19W C.I	R.S. Citation: 10-16-1	31, C.R.S.	
	Cash Fund Revenue	and Expenditure Trend	Information		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	10,325	1,478	1,478	1,478	1,478
Exempt Revenue	152	0	0	0	
Non-Exempt Revenue	0	0	0	0	
Total Expenditures	8,999	0	0	0	(
Ending Balance	1,478	1,478	1,478	1,478	1,47
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(8,847)	0	0	0	
		Fee Levels			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
None					<u> </u>
	Cash F	und Reserve Balance			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	0		0	0	
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	1,485		0	0	(
Excess Uncommitted Fee Reserve Balance	(1,485)		0	0	
Deadline for Complying with the Target/Alternative Reserv	ve Balance:			N/A	

	Cash Fur	nd Narrative Informatio	n		
Purpose/Background of Fund		he costs of the activities of	the Health Care Reform C	Commission established	in SB 06-208. The
	Commission is not oversee	n by the Department.			
Fee Sources	None.				
Non-Fee Sources	Federal grants, gifts grants an additional \$65,000 in rev exhausted and will cease to	venue from gifts, grants, an			
Long Bill Groups Supported by Fund	Division of Insurance				
Statutory or Other Restriction on Use of Fund	The fund may only be used	to cover costs of the Healt	n Care Reform Commission	on	
Revenue Drivers	General Assembly appropri	iations and gifts.			
Expenditure Drivers	Expenditures reflect the am	ount of work done by the C	ommission.		
Assessment of Potential for Compliance	This fund is presently in cor	mpliance; this fund consists	entirely of non-fee revenu	Je.	
Action Already in Compliance Statute Chan	ge ¹ ☐ Planned Fee Reduct	ion ¹			
☐ Planned One-time Expenditure(s) ¹ ☐ P	lanned Ongoing Expenditure	(s) ¹ ☐ Waiver ²			
	Cash Fund Expenditure	Line Item Detail and C	hange Requests		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Division of Insurance					<u> </u>
Health Care Reform Commission	8,999	0	0	0	0
Division Subtotal	8,999	0	0	0	0
TOTAL	8,999	0	0	0	0

		Schedule 9.A			
Cash Fund Status fo	r: Hazardous Materials	Safety Fund #188 C.R	.S. Citation : 42-20-10	7(3), C.R.S.	
	Cash Fund Revenue a	and Expenditure Trend	Information		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	32,979	18,391	18,391	(0)	(
Exempt Revenue					
Non-Exempt Revenue	318,925	320,694	318,925	325,303	331,81
Total Expenditures	333,513	335,939	337,316	325,303	331,81
Ending Balance	18,391	3,146	(0)	0	
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(14,588)	(29,833)	(18,391)	0	(0
<u> </u>		Fee Levels			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
1. Annual Permit Fee, per §42-20-202	\$10 to \$400	\$10 to \$400	\$10 to \$400	\$10 to \$400	\$10 to \$4
2. Single Trip Permit Fee, per §42-20-202	\$25	\$25	\$25	\$25	
3 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	Cash Fu	und Reserve Balance	, -	¥ -	·
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	27,469		9,078	9,078	9,07
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	55,030		55,657	53,675	54,74
Excess Uncommitted Fee Reserve Balance	(27,561)		(46,579)	(44,597)	(45,67
Deadline for Complying with the Target/Alternative Reserv	/e Balance:			June 30, 2	2009

	Cash Fun	d Narrative Information	n		
Purpose/Background of Fund	The fund exists to provide fundaterial response and routing (responders) for training and materials permitting. No fundaterials	ng across the State. Funding across the State. Funding	ng is also provided to DPS	for distribution to local go	vernments
Fee Sources	The fund is supported by Ha	azardous materials permit fo	ees (annual and single trip).	
Non-Fee Sources	Penalties, gifts, donations, for	ederal funds per §42-20-10	7, C.R.S.		
Long Bill Groups Supported by Fund	Department of Public Safety Colorado State Patrol, Haza				
Statutory or Other Restriction on Use of Fund	The fund is used to cover the of each fiscal year, any more be subject to appropriation to disbursement to local government and incidents."	neys remaining in the Haza by the General Assembly to	ordous Materials Safety Fundamental order of the executive director of the same of the sam	nd "shall not revert to the che che che che che che che che che c	general fund, but shall Safety for
Revenue Drivers	The number of shipments m	ade.			
Expenditure Drivers	Expenditures are driven by p Department of Public Safety of Revenue: Hazardous Mat	: personal services and ope			
Assessment of Potential for Compliance	This fund is presently in com				
Action ☐ Already in Compliance ☐ Statute ☐ Planned One-time Expenditure(s)¹	☐ Planned Ongoing Expenditure(s) ¹ ☐ Waiver ²	hanga Paguasta		
	Cash Fund Expenditure		<u> </u>		
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Department of Revenue			1		
MVBG Administration	0	0	0	0	0
Hazardous Materials Permitting	194,094	202,363	202,363	206,410	210,538
Department of Public Safety					
	106,440	115,185	115,185	117,489	121,271
Hazardous Materials Routing					
Distribution to Local Governments	32,979	18,391	19,768	1,404	
<u>9</u>	32,979 333,513	18,391 335,939	19,768 337,316	1,404 325,303	331,810

Cash Fund Status for: Cash Fund Status		Schedule 9.A	20 CBC Citation	40 C4 740 C D C	
Cash rund Status for: Ca		t Appraisals Cash Fund #2. e and Expenditure Trend		12-61-719, C.R.S.	
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	0	0	79,220	41,307	26,895
Exempt Revenue					
Non-Exempt Revenue	0	157,200	78,600	65,500	78,600
Total Expenditures	0	77,980	116,513	79,912	81,510
Ending Balance	0	79,220	41,307	26,895	23,985
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	79,220	(37,913)	(14,412)	(2,910)
		Fee Levels			, , ,
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Per Document Appraisal Fee	Cash	\$600 Fund Reserve Balance	\$300	\$250	\$3
	Actual	Actual	Estimate	Request	Projected
	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)		79,220	41,307	26,895	23,985
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)		12,867	19,225	13,185	13,449
Excess Uncommitted Fee Reserve Balance		66,353	22,083	13,710	10,536
Deadline for Complying with the Target/Alternative Reser	ve Balance:			June 30, 2	2010

		Cash Fu	Ind Narrative Information	n		
Purpose	/Background of Fund	Fees generated from this	orogram cover the costs of th	ne Division in implementing	the requirements of this p	rogram. This
		program backs the apprais	sal of conservation easment	property.		
Fee Sou	irces	The revenue for this progra	am is received from appraisa	als for easement property.		
Non-Fee	Sources	None.				
Long Bill	Groups Supported by Fund	Division of Real Estate.				
Statutory	y or Other Restriction on Use of Fund	The fund may only be use	d to cover costs associated v	with the Conservation Ease	ement Appraisal Program.	
Revenue	e Drivers	Fees set annually so that i	revenue generates approxim	ated costs. Actual revenue	will depend on the number	er of fee payers.
Expendi	ture Drivers		y program costs and corresp ng expenses, and legal servi			
Assessn	nent of Potential for Compliance	The program is within the compliance, but is expected	first two years of implementa ed to be by FY 11-12.	tion therefore the limit doe	s not yet apply.The progra	m presently is not in
Action	☐ Already in Compliance ☐ Statute C☐ ☐ Planned One-time Expenditure(s)¹		ction ¹			
Action	, ,	Change¹ ☐ Planned Fee Reduction	e(s) ¹ Waiver ²	hange Requests		
Action	, ,	Change¹ ☐ Planned Fee Reduction	ction ¹	hange Requests Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
	, ,	Change Planned Fee Reduction Planned Ongoing Expenditure Cash Fund Expenditure Actual	e(s) ¹ Waiver ² e Line Item Detail and C	Estimate	•	•
Division	☐ Planned One-time Expenditure(s) ¹	Change Planned Fee Reduction Planned Ongoing Expenditure Cash Fund Expenditure Actual	e(s) ¹ Waiver ² e Line Item Detail and C	Estimate	•	•
Division Person	□ Planned One-time Expenditure(s)¹ n of Real Estate	Change ¹ Planned Fee Reduction Planned Ongoing Expenditure Cash Fund Expenditure Actual FY 2007-08	e(s) ¹ Waiver ² e Line Item Detail and C Actual FY 2008-09	Estimate FY 2009-10	FY 2010-11	FY 2011-12
Division Person	□ Planned One-time Expenditure(s)¹ n of Real Estate nal Services ting Expenses/Other	Change ¹ Planned Fee Reduction Planned Ongoing Expenditure Cash Fund Expenditure Actual FY 2007-08	e(s) ¹ Waiver ² e Line Item Detail and C Actual FY 2008-09	Estimate FY 2009-10	FY 2010-11 42,642	FY 2011-12 43,494
Division Persor Opera Hearin	□ Planned One-time Expenditure(s)¹ n of Real Estate nal Services ting Expenses/Other	Change ¹ Planned Fee Reduction Planned Ongoing Expenditure Cash Fund Expenditure Actual FY 2007-08	e(s) ¹ Waiver ² e Line Item Detail and C Actual FY 2008-09	Estimate FY 2009-10 79,187 2,102	FY 2010-11 42,642	FY 2011-12 43,494 2,144
Division Person Opera Hearin Comm	□ Planned One-time Expenditure(s)¹ n of Real Estate nal Services ting Expenses/Other	Change ¹ Planned Fee Reduction Planned Ongoing Expenditure Cash Fund Expenditure Actual FY 2007-08	e(s) ¹ Waiver ² e Line Item Detail and C Actual FY 2008-09	Fy 2009-10 79,187 2,102 0	FY 2010-11 42,642	FY 2011-12 43,494 2,144 0
Division Persor Opera Hearin Comm Indirect	□ Planned One-time Expenditure(s)¹ n of Real Estate nal Services ting Expenses/Other ngs nission Meetings	Change Planned Fee Reduce Planned Ongoing Expenditure Cash Fund Expenditure Actual FY 2007-08 0 0 0 0	e(s) ¹ Waiver ² E Line Item Detail and C Actual FY 2008-09 40,513 2,232 0 0	Fy 2009-10 79,187 2,102 0 0	FY 2010-11 42,642 2,102 0 0	FY 2011-12 43,494 2,144 0 0
Division Person Opera Hearin Comm Indired Centra	Planned One-time Expenditure(s)¹ n of Real Estate nal Services ting Expenses/Other ngs nission Meetings ct Cost Assessment	Change Planned Fee Reduce Planned Ongoing Expenditure Cash Fund Expenditure Actual FY 2007-08 0 0 0 0	e(s) ¹ □ Waiver ² E Line Item Detail and C Actual FY 2008-09 40,513 2,232 0 0 4,254	Fstimate FY 2009-10 79,187 2,102 0 0 4,242	42,642 2,102 0 0 4,187	FY 2011-12 43,494 2,144 0 0 4,271

Cash Fund Status for: Conse	metian Fasament Hale	Schedule 9.A	nd #22U C.B.S. Citatia	n. 12 61 720 C.D.C	
Cash Fund Status for: Conse		e and Expenditure Trend		on: 12-01-720, C.R.S.	
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Beginning Balance	0	0	0	1,250	1,250
Exempt Revenue					
Non-Exempt Revenue	0	100,800	146,250	100,000	102,000
Total Expenditures	0	110,102	145,000	100,000	102,000
Ending Balance	0	(9,302)	1,250	1,250	1,250
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	(9,302)	10,552	0	C
		Fee Levels			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Conservation Easement Holder-Land Trusts Conservation Easement Holder-Municipalities	Ocal	\$4,200 \$4,200	\$4,200 \$4,200	\$4,200 \$4,200	\$4,20 \$4,20
		Fund Reserve Balance			
	Actual FY 2007-08	Actual FY 2008-09	Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue		(9,302)	1,250	1,250	1,250
from fees)					
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)		18,167	23,925	16,500	16,830
Target/Alternative Fee Reserve Balance (amount set		(27,469)	(22,675)	16,500 (15,250)	16,830 (15,580)

	Cash Fui	nd Narrative Informatioi	า		
Purpose/Background of Fund	Fees generated from this p	rogram cover the costs of th	e Division in implementing	the requirements of this p	rogram. This
Fee Sources		am is received from licensed			-
Non-Fee Sources	None.				
Long Bill Groups Supported by Fund	Division of Real Estate.				
Statutory or Other Restriction on Use of Fund	The fund may only be used	to cover costs associated v	vith the Conservation Ease	ement Holder Program.	
Revenue Drivers	Fees set annually so that re	evenue generates approxima	ated costs. Actual revenue	will depend on the number	er of fee payers.
Expenditure Drivers		program costs and corresponding expenses, and legal services			
Assessment of Potential for Compliance	The program is within the fi compliance, but is expected	irst two years of implementa d to be by FY 11-12.	tion therefore the limit doe	s not yet apply.The progra	m presently is not in
Action ☐ Already in Compliance ☐ Statute C		· ·			
Entireday in compilation in citatate of	Change¹ ☐ Planned Fee Reduct ☐ Planned Ongoing Expenditure Cash Fund Expenditure	e(s)¹ □ Waiver²	nange Requests		
E / illeday ill compliance E ciatate c	☐ Planned Ongoing Expenditure	e(s)¹ □ Waiver²	nange Requests Estimate FY 2009-10	Request FY 2010-11	Projected FY 2011-12
Entireday in compilarios El ciatate e	☐ Planned Ongoing Expenditure Cash Fund Expenditure Actual	e(s) ¹ Waiver ² Line Item Detail and Cl Actual	Estimate	•	•
☐ Planned One-time Expenditure(s) ¹	☐ Planned Ongoing Expenditure Cash Fund Expenditure Actual	e(s) ¹ Waiver ² Line Item Detail and Cl Actual	Estimate	•	•
☐ Planned One-time Expenditure(s)¹ Division of Real Estate	□ Planned Ongoing Expenditure Cash Fund Expenditure Actual FY 2007-08	e(s) ¹ Waiver ² e Line Item Detail and Cl Actual FY 2008-09	Estimate FY 2009-10	FY 2010-11	FY 2011-12
☐ Planned One-time Expenditure(s)¹ Division of Real Estate Personal Services	□ Planned Ongoing Expenditure Cash Fund Expenditure Actual FY 2007-08	e Line Item Detail and Cl Actual FY 2008-09	Estimate FY 2009-10	FY 2010-11 48,432	FY 2011-12 49,401
Division of Real Estate Personal Services Operating Expenses/Other	□ Planned Ongoing Expenditure Cash Fund Expenditure Actual FY 2007-08	e Line Item Detail and Cl Actual FY 2008-09	Estimate FY 2009-10 104,957 6,852	FY 2010-11 48,432	FY 2011-12 49,401 12,844
Division of Real Estate Personal Services Operating Expenses/Other Hearings	□ Planned Ongoing Expenditure Cash Fund Expenditure Actual FY 2007-08	e(s) ¹ Waiver ² Line Item Detail and CI Actual FY 2008-09 91,362 7,277 0	Estimate FY 2009-10 104,957 6,852	FY 2010-11 48,432 12,592 0	FY 2011-12 49,401 12,844 0
Division of Real Estate Personal Services Operating Expenses/Other Hearings Commission Meetings	□ Planned Ongoing Expenditure Cash Fund Expenditure Actual FY 2007-08	e(s) ¹ Waiver ² 2 Line Item Detail and CI Actual FY 2008-09 91,362 7,277 0 6,182	Estimate FY 2009-10 104,957 6,852 0 8,533	48,432 12,592 0 8,533	FY 2011-12 49,401 12,844 0 8,704
Division of Real Estate Personal Services Operating Expenses/Other Hearings Commission Meetings Indirect Cost Assessment	□ Planned Ongoing Expenditure Cash Fund Expenditure Actual FY 2007-08	e(s) ¹ ☐ Waiver ² 2 Line Item Detail and CI Actual FY 2008-09 91,362 7,277 0 6,182 4,254	Estimate FY 2009-10 104,957 6,852 0 8,533 4,242	48,432 12,592 0 8,533 4,187	FY 2011-12 49,401 12,844 0 8,704 4,271