

**Schedule 9.A**

**Cash Fund Status for: Motor Carrier Fund #185 C.R.S. Citation: 40-2-110.5, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
Beginning Balance	719,928	(5,369)	484,770	610,498	348,248
Exempt Revenue					
Non-Exempt Revenue	1,304,348	2,538,016	2,326,465	2,093,819	2,210,142
Total Expenditures	2,029,646	2,047,877	2,200,737	2,356,068	2,403,190
Ending Balance	(5,369)	484,770	610,498	348,248	155,201
Ending Balance – Cash Assets					
Reserves Increase/Decrease	23,475	490,139	125,728	(262,250)	(193,048)

**Fee Levels**

	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
1. Annual identification fee (both interstate and intrastate)	\$5	\$5	\$50	\$45	\$48

**Cash Fund Reserve Balance**

	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	57,142	(650)	125,078	(137,171)	(330,219)
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	334,892	337,900	363,122	388,751	396,526
Excess Uncommitted Fee Reserve Balance	(277,750)	(338,550)	(238,043)	(525,923)	(726,745)
Deadline for Complying with the Target/Alternative Reserve Balance:				June 30, 2009	

<b>Cash Fund Narrative Information</b>					
Purpose/Background of Fund		The fund exists to support the PUC's administration of laws governing the regulation of motor carriers in Colorado.			
Fee Sources		Fees sources include permit fees and annual \$5 federal and state identification fees from regulated motor carriers. State identification fees were changed from a statutory maximum of \$5 in response to revenue shortfalls from the federally authorized fee, and this required a fee increase to \$50 during FY 08-09. Fees will be adjusted in future years to match expenditures. For 08-09, the \$5 federal fee is not being collected from the PUC. Additionally,			
Non-Fee Sources		Earned interest.			
Long Bill Groups Supported by Fund		Public Utilities Commission			
Statutory or Other Restriction on Use of Fund		The fund may only be used for PUC's regulation of motor carriers.			
Revenue Drivers		Revenue is the result of the number of motor carriers who pay for permits and the annual registration fee. Presently the federal authorization for fee collection is transitioning between the Single State Registration System (SSRS) and Unified Carrier Registration (UCR) system, which has created a temporary gap in program revenues. In FY 07-08 the fund requiree administrative assistance in the form of a loan from the State Conroller's Office before the UCR system is operational and program revenues return to normal levels. For the purposes of this schedule, it is assumed that with such assistance, revenue will exactly match expenditures until UCR revenues are fully remitted.			
Expenditure Drivers		Expenditures are driven by program costs and correspond to legislative appropriations for the Public Utilities Commission. For this fund, expenditures include personal services, operating costs, expert testimony and hearings expenses, legal services, and various central appropriations. Expenditures can vary based on staffing and the need for legal and hearings expenses.			
Assessment of Potential for Compliance		This fund is presently in compliance. Most revenue is not from a state fee.			
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
<b>Cash Fund Expenditure Line Item Detail and Change Requests</b>					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Public Utilities Commission</b>					
Personal Services	1,494,307	1,446,368	1,902,549	1,797,984	1,833,944
Operating Expenses	73,063	55,701	83,620	83,620	85,292
Indirect Cost Assessment	236,067	200,523	238,697	238,697	243,471
Centrally Appropriated Lines	226,208	345,285	363,124	593,646	605,519
<b>Division Subtotal</b>	<b>2,029,646</b>	<b>2,047,877</b>	<b>2,587,990</b>	<b>2,713,947</b>	<b>2,768,226</b>
<b>TOTAL</b>	<b>2,029,646</b>	<b>2,047,877</b>	<b>2,587,990</b>	<b>2,713,947</b>	<b>2,768,226</b>

**Schedule 9.A**

**Cash Fund Status for:** *Prescription Drug Monitoring Cash Fund #18S* **C.R.S. Citation:** *12-22-706, C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	0	0	56,680	123,721	120,762
Exempt Revenue	0				
Non-Exempt Revenue	50,814	311,498	320,000	250,000	150,000
Total Expenditures	50,814	254,818	252,959	252,959	252,959
Ending Balance	0	56,680	123,721	120,762	17,803
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	56,680	67,041	(2,959)	(102,959)

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. Beginning in FY 07-08, an assessment against all licensees having prescriptive authority will be charged pursuant to statute.	N/A	N/A	\$7.50	\$7.50	\$5.00

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)					
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)					
Excess Uncommitted Fee Reserve Balance					
Deadline for Complying with the Target/Alternative Reserve Balance:				N/A	

<b>Cash Fund Narrative Information</b>					
Purpose/Background of Fund	The fund exists to support the costs of the Division in implementing the Prescription Drug Monitoring Program.				
Fee Sources	The only fee source is a newly authorized assessment against licensees having prescriptive authority, including optometrists, podiatrists, nurses, physicians, dentists, and veterinarians.				
Non-Fee Sources	Federal grants, gifts grants and donations, and earned interest.				
Long Bill Groups Supported by Fund	Division of Registrations				
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Registrations.				
Revenue Drivers	Fees set annually so that revenue generated approximates program costs whenever grants and donations are expected to me insufficient. Actual revenue will depend on the number of payers.				
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations as well as available grant funding. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for legal services.				
Assessment of Potential for Compliance	This fund is presently in compliance. Prior to FY 07-08, revenue for this fund has not been from state fees. Beginning in FY 07-08, state fees will begin; however, the limit will not yet apply because it is the first two fiscal years of the program and cash fund.				
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
<b>Cash Fund Expenditure Line Item Detail and Change Requests</b>					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Division of Registrations - PDMP</b>					
Prescription Drug Monitoring	50,814	254,818	252,959	252,959	252,959
<b>Division Subtotal</b>	50,814	254,818	252,959	252,959	252,959
<b>TOTAL</b>	50,814	254,818	252,959	252,959	252,959

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**Cash Fund Status for:** *Viatical Settlements Cash Fund #18W* **C.R.S. Citation:** *10-7-619, C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	4,964	16,234	27,326	11,900	7,900
Exempt Revenue					
Non-Exempt Revenue	11,270	15,580	10,000	8,000	8,000
Total Expenditures	0	4,488	25,426	12,000	12,000
Ending Balance	16,234	27,326	11,900	7,900	3,900
Ending Balance – Cash Assets					
Reserves Increase/Decrease	11,270	11,092	(15,426)	(4,000)	(4,000)

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. Per Company License Fees		\$500	\$500	\$500	\$500
2. Per Producer License Fees		\$30	\$30	\$15	\$15

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	4,964	16,234	16,234	16,234	16,234
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	0	741	4,195	1,980	1,980
Excess Uncommitted Fee Reserve Balance	4,964	15,493	12,039	14,254	14,254

Deadline for Complying with the Target/Alternative Reserve Balance:

N/A

<b>Cash Fund Narrative Information</b>					
Purpose/Background of Fund		The purpose of the fund is to support the costs of regulating the activities of viatical settlement producers and providers involving agreements to assign, transfer, sell or bequest the death benefit or ownership of a life insurance policy in exchange for compensation less than the expected death benefit.			
Fee Sources		The fund is supported by fees assessed against viatical settlement providers and life insurance producers.			
Non-Fee Sources		Earned interest.			
Long Bill Groups Supported by Fund		Division of Insurance Personal Services.			
Statutory or Other Restriction on Use of Fund		The fund may only be used to cover costs of the Division of Insurance's work related to viatical settlements.			
Revenue Drivers		The number of viatical settlement providers and life insurance producers. Fees will be reduced in FY 08-09 and beyond to reflect expenditure levels.			
Expenditure Drivers		Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services. Expenditures can vary based on staffing and the need for legal services. \$4,488 is appropriated for the current year, after which it is expected that \$12,000 will become the annual level of expenditures.			
Assessment of Potential for Compliance		This fund is presently in compliance. Expenditures and revenues are less than \$50,000.			
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
<b>Cash Fund Expenditure Line Item Detail and Change Requests</b>					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Division of Insurance</b>					
Personal Services	0	4,488	25,426	12,000	12,000
<b>Division Subtotal</b>	0	4,488	25,426	12,000	12,000
<b>TOTAL</b>	0	4,488	25,426	12,000	12,000

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**Cash Fund Status for:** *Disabled Telephone User's Fund #196* **C.R.S. Citation:** *40-17-104, C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	357,730	668,219	8,971	8,971	8,971
Exempt Revenue					
Non-Exempt Revenue	2,680,257	2,154,796	3,089,254	3,396,780	3,711,312
Total Expenditures	2,369,768	2,814,044	3,089,254	3,396,780	3,711,312
Ending Balance	668,219	8,971	8,971	8,971	8,971
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(403,395)	(659,248)	0	0	0

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. Per-line monthly surcharge	\$0.06	\$0.10	\$0.10	\$0.11	\$0.13

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	353,169	658,661	658,661	658,661	658,661
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	391,012	464,317	509,727	560,469	612,366
Excess Uncommitted Fee Reserve Balance	(37,843)	194,344	148,934	98,192	46,295

Deadline for Complying with the Target/Alternative Reserve Balance: N/A

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**Cash Fund Status for:** *Disabled Telephone User's Fund #196 C.R.S. Citation: 40-17-104, C.R.S.*

**Cash Fund Narrative Information**

Purpose/Background of Fund	The purpose of the Fund is to support the costs of a telephone relay service for disabled telephone users (including deaf, hard of hearing, and speech-impaired individuals, among others). Beginning with S.B. 99-165, there has been an annual transfer (increased to \$200,000 during FY 06-07) to the Reading Services for the Blind Cash Fund. Each calendar year there is also an annual transfer (increased to from \$25,000 to \$644,724 during FY 06-07) to the Deaf and Hard of Hearing Cash Fund pursuant to §40-7-104(4)(a), C.R.S.
Fee Sources	The relay program is funded by a uniform, per month per access line surcharge to all business and residential telephone customers. The surcharge does not apply to wireless customers.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	The PUC's appropriation includes a line item called "Disabled Telephone Users' Fund," which funds the annual contract for the provision of telephone services for the hearing impaired. The Fund also pays the salary and operational costs for the 1.0 FTE administering the program in the PUC. Additional PUC line items include "Transfer to the Reading Services for the Blind Cash Fund" pursuant to S.B. 99-165 and "Transfer to the Colorado Commission for the Deaf and Hard of Hearing".
Statutory or Other Restriction on Use of Fund	The fund may be used by PUC to administer and fund the relay contract, and by DHS for reading services for the blind and the deaf and hard of hearing.
Revenue Drivers	Fees are determined by Public Utilities Commission to be adequate to cover program costs. The fund retains its earned interest.
Expenditure Drivers	Expenditures are driven by program costs including the annual cost of the contract as well as Personal Services and Operating costs. Additional costs are the result of legislation requiring transfers to other funds as noted.
Assessment of Potential for Compliance	Beginning in FY 06-07, S.B. 06-218 exempts this fund from S.B. 98-194.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
<b>Public Utilities Commission</b>					
Disabled Telephone Users Fund Payments	1,936,430	1,844,739	2,439,591	2,439,591	2,488,383
Personal Services, Operating, Various pots	83,822	104,539	106,667	108,800	110,976
Transfer to Reading Services for the Blind	190,000	200,000	200,000	200,000	200,000
Transfer to the Commission on Deaf and Hard of Hearing	25,000	641,318	654,756	654,756	667,851
Transfer to the Commission for the Visually Impaired		23,448	112,067	112,067	114,308
<b>Division Subtotal</b>	<b>2,235,252</b>	<b>2,814,044</b>	<b>3,513,081</b>	<b>3,515,214</b>	<b>3,581,518</b>
<b>TOTAL</b>	<b>2,235,252</b>	<b>2,814,044</b>	<b>3,513,081</b>	<b>3,515,214</b>	<b>3,581,518</b>



**Schedule 9.A**

**Cash Fund Status for:** *Division of Financial Services Cash Fund #272* **C.R.S. Citation:** *11-40-106, C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	201,850	55,426	75,957	75,957	75,957
Exempt Revenue					
Non-Exempt Revenue	941,834	1,260,391	1,395,541	1,706,069	1,740,190
Total Expenditures	1,088,258	1,239,860	1,395,541	1,706,069	1,740,190
Ending Balance	55,426	75,957	75,957	75,957	75,957
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(146,424)	20,531	0	0	0

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. Rate in cents per \$1,000 of assets			7.23 Credit Unions		
2. Rate in cents per \$1,000 of assets			8.23 Sav. and Loans		

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	197,803	54,315	54,315	54,315	54,315
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	179,563	204,577	230,264	281,501	287,131
Excess Uncommitted Fee Reserve Balance	18,240	(150,262)	(175,949)	(227,186)	(232,816)

Deadline for Complying with the Target/Alternative Reserve Balance: June 30, 2009

Cash Fund Narrative Information					
Purpose/Background of Fund		The fund exists to support the costs of the Division of Financial Services and its mission, which is to serve and protect the public interest by promoting a safe and sound financial institutions industry through continuous quality regulation and supervision.			
Fee Sources		The fund is supported by assessments to financial institutions based on assets. The fees are presently 7.23 cents per \$1,000 for credit unions, and 8.23 cents per \$1,000 for savings and loans. Fees are adjusted biennially, and existing rates are presumed to be adjusted to cover expenditures.			
Non-Fee Sources		Earned interest.			
Long Bill Groups Supported by Fund		Division of Financial Services			
Statutory or Other Restriction on Use of Fund		The fund may only be used to cover costs of the Division of Financial Services			
Revenue Drivers		Assessments by institution are made bi-annually, with amounts are based on bank assets, in order to approximate program costs.			
Expenditure Drivers		Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for legal services.			
Assessment of Potential for Compliance		This fund is presently in compliance.			
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
Cash Fund Expenditure Line Item Detail and Change Requests					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Division of Financial Services</b>					
Personal Services	723,052	929,045	1,080,947	1,212,004	1,236,244
Operating Expenses	69,762	86,988	81,671	127,632	130,185
Indirect Cost Assessment	118,882	152,988	159,787	159,787	162,983
Centrally Appropriated Lines	55,847	70,839	73,136	206,646	210,779
<b>Division Subtotal</b>	<b>967,544</b>	<b>1,239,860</b>	<b>1,395,541</b>	<b>1,706,069</b>	<b>1,740,190</b>
<b>TOTAL</b>	<b>967,544</b>	<b>1,239,860</b>	<b>1,395,541</b>	<b>1,706,069</b>	<b>1,740,190</b>

**Schedule 9.A**

**Cash Fund Status for: Division of Banking Cash Fund #244 C.R.S. Citation: 12-2-24, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	428,849	458,944	456,665	456,665	456,665
Exempt Revenue					
Non-Exempt Revenue	3,738,483	4,034,988	4,209,115	4,905,100	5,003,202
Total Expenditures	3,708,388	4,037,267	4,209,115	4,905,100	5,003,202
Ending Balance	458,944	456,665	456,665	456,665	456,665
Ending Balance – Cash Assets					
Reserves Increase/Decrease	30,095	(2,279)	0	0	0

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Various fees (see below).					

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	458,944	456,665	456,665	456,665	456,665
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	611,884	666,149	694,504	809,342	825,528
Excess Uncommitted Fee Reserve Balance	(152,940)	(209,485)	(237,839)	(352,677)	(368,864)

Deadline for Complying with the Target/Alternative Reserve Balance:

June 30, 2009

<b>Cash Fund Narrative Information</b>					
Purpose/Background of Fund		The fund exists to support the costs of the Division of Banking and its mission, which is to serve and protect the public interest by promoting a safe and sound financial institutions industry through continuous quality regulation and supervision.			
Fee Sources		The fund is supported by assessments to financial institutions and various fees as authorized by statute, including charter applications, debt adjuster licenses, change fees, and miscellaneous minor fees. These numerous fees include percentage-based assessments as well as individual fees ranging from \$100 to \$71,000.			
Non-Fee Sources		Earned interest.			
Long Bill Groups Supported by Fund		Division of Banking.			
Statutory or Other Restriction on Use of Fund		The fund may only be used to cover costs of the Division of Banking.			
Revenue Drivers		Assessments by institution are made bi-annually, with amounts are based on bank assets, in order to approximate program costs.			
Expenditure Drivers		Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for legal services.			
Assessment of Potential for Compliance		This fund is presently in compliance.			
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
<b>Cash Fund Expenditure Line Item Detail and Change Requests</b>					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Division of Banking</b>					
Personal Services	2,583,496	3,033,326	3,185,942	3,484,857	3,554,554
Operating Expenses	258,600	284,470	279,905	279,905	285,503
Board Meetings	10,174	23,500	23,500	23,500	23,970
Indirect Cost Assessment	416,082	453,079	473,214	473,214	482,678
Centrally Appropriated Lines	189,215	242,892	246,554	643,624	656,496
<b>Division Subtotal</b>	<b>3,457,567</b>	<b>4,037,267</b>	<b>4,209,115</b>	<b>4,905,100</b>	<b>5,003,202</b>
<b>TOTAL</b>	<b>3,457,567</b>	<b>4,037,267</b>	<b>4,209,115</b>	<b>4,905,100</b>	<b>5,003,202</b>

**Schedule 9.A**

**Cash Fund Status for:** *Division of Insurance Cash Fund #282 C.R.S. Citation: 10-1-103, C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	0	(58,558)	0	0	0
Exempt Revenue					
Non-Exempt Revenue	8,706,806	9,551,923	10,502,889	4,342,901	4,429,759
Total Expenditures	8,765,364	9,493,365	10,502,889	4,342,901	4,429,759
Ending Balance	(58,558)	0	0	0	0
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(58,558)	58,558	0	0	0

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. License and Renewal Fees for Companies	\$500-\$3,345	\$500-\$3,345	\$500-\$3,345	\$500-\$3,345	\$500-\$3,345
2. License and Renewal Fees for Producers	\$13.50-\$250	\$13.50-\$250	\$13.50-\$250	\$13.50-\$250	\$13.50-\$250

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	(17,671)	(57,979)	(57,979)	(57,979)	(57,979)
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	1,446,285	1,566,405	1,732,977	716,579	730,910
Excess Uncommitted Fee Reserve Balance	(1,463,956)	(1,624,384)	(1,790,956)	(774,558)	(788,889)
Deadline for Complying with the Target/Alternative Reserve Balance:				June 30, 2009	

<b>Cash Fund Narrative Information</b>	
Purpose/Background of Fund	The fund exists to support the costs of the Division of Insurance and its mission of consumer protection in the insurance industry.
Fee Sources	The fund is supported by business and professional license and renewal fees (types include life/health, property/casualty, viaticals, surplus lines, and bail bonds).
Non-Fee Sources	Earned interest and premium tax revenue is deposited into the fund to supplement fee revenue to fully cover the expenditures each year.
Long Bill Groups Supported by Fund	Division of Insurance; Pursuant to HB 01-1097, this fund also supports the Certified Capital Companies program in the Office of Economic Development of the Governor's Office.
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Insurance, fraud prosecution in the Department of Law, and the Certified Capital Companies program in the Office of Economic Development.
Revenue Drivers	Fee amounts are set in statute and by rule, and the number of units affects fee revenue. The remainder of revenue is from premium tax collections that would otherwise be deposited in the General Fund.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations for the Division of Insurance, Department of Law, and Office of Economic Development. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for legal services.
Assessment of Potential for Compliance	This fund is presently in compliance. Because premium tax revenue is deposited into the fund only to cover expenditures not covered by fee revenue, there is little if any fund balance each year.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

<b>Cash Fund Expenditure Line Item Detail and Change Requests</b>					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Division of Insurance</b>					
Personal Services	5,410,982	5,834,380	2,693,297	(7,774)	(7,929)
Operating Expenses	276,501	275,180	383,944	383,869	391,546
Insurance Fraud Prosecution	272,895	766,261	845,305	845,305	862,211
Indirect Cost Assessment	832,164	924,096	985,760	961,485	980,715
Centrally Appropriated Lines/Other	1,826,141	1,614,814	5,515,949	2,081,382	2,124,582
<b>Department of Law</b>					
Insurance Fraud Prosecution ( <i>non-add</i> )	272,895	766,261	845,305	845,305	862,211
<b>Governor's Office</b>					
Certified Capital Companies	88,123	78,634	78,634	78,634	78,634
<b>Division Subtotal</b>	8,706,806	9,493,365	10,502,889	4,342,901	4,429,759
<b>TOTAL</b>	8,706,806	9,493,365	10,502,889	4,342,901	4,429,759

**Schedule 9.A**

**Cash Fund Status for:** *Health Care Reform Cash Fund #19W* **C.R.S. Citation:** *10-16-131, C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	100,000	10,325	1,478	0	0
Exempt Revenue	69,067	152	0		
Non-Exempt Revenue	0	0	0	0	0
Total Expenditures	158,742	8,999	1,478	0	0
Ending Balance	10,325	1,478	0	0	0
Ending Balance – Cash Assets					
Reserves Increase/Decrease	10,325	(8,847)	(1,478)	0	0

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
None					

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	0	0	0	0	0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	26,192	1,485	244	0	0
Excess Uncommitted Fee Reserve Balance	(26,192)	(1,485)	(244)	0	0

Deadline for Complying with the Target/Alternative Reserve Balance:

N/A

<b>Cash Fund Narrative Information</b>					
Purpose/Background of Fund		The fund exists to support the costs of the activities of the Health Care Reform Commission established in SB 06-208. The Commission is not overseen by the Department.			
Fee Sources		None.			
Non-Fee Sources		Federal grants, gifts grants and donations, and earned interest. The fund received a \$100,000 GF appropriation in FY 05-06 and an additional \$65,000 in revenue from gifts, grants, and donations in FY 06-07 plus \$4,000 in interest earnings. The fund is exhausted and will cease to fund expenditures.			
Long Bill Groups Supported by Fund		Division of Insurance			
Statutory or Other Restriction on Use of Fund		The fund may only be used to cover costs of the Health Care Reform Commission			
Revenue Drivers		General Assembly appropriations and gifts.			
Expenditure Drivers		Expenditures reflect the amount of work done by the Commission.			
Assessment of Potential for Compliance		This fund is presently in compliance; this fund consists entirely of non-fee revenue.			
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
<b>Cash Fund Expenditure Line Item Detail and Change Requests</b>					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Division of Insurance</b>					
Health Care Reform Commission	158,742	8,999	1,478	0	0
<b>Division Subtotal</b>	158,742	8,999	0	0	0
<b>TOTAL</b>	158,742	8,999	0	0	0



**Schedule 9.A**

**Cash Fund Status for:** *Division of Real Estate Cash Fund #212 C.R.S. Citation: 12-61-111.5(2)(b), C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	1,633,156	592,747	(823,764)	2,954	2,954
Exempt Revenue					
Non-Exempt Revenue	2,633,575	2,662,032	5,250,000	4,292,332	4,378,179
Total Expenditures	3,673,983	4,078,544	4,423,282	4,292,332	4,378,179
Ending Balance	592,747	(823,764)	2,954	2,954	2,954
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(1,040,409)	(1,416,512)	826,718	0	0

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Fee increases are pending for this fund due to the fund balance deficit for FY 2007-08. Out-years are assumed at fee levels sufficient to support expenditures.					

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	1,557,427	557,879	1,384,597	1,384,597	1,384,597
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	606,207	672,960	729,842	708,235	722,399
Excess Uncommitted Fee Reserve Balance	951,220	(115,081)	654,755	676,362	662,198

Deadline for Complying with the Target/Alternative Reserve Balance: June 30, 2009

<b>Cash Fund Narrative Information</b>	
Purpose/Background of Fund	The fund exists to support the costs of the Division in carrying out its mission to protect the from incompetent and dishonest real estate practitioners.
Fee Sources	The majority of revenue is from application fees for real estate brokers and appraisers, and from their annual renewal fees for licensure.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Real Estate
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Real Estate
Revenue Drivers	Fees set annually so that revenue generated approximates program costs. Actual revenue will depend on the number of payers.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for hearings and legal services.
Assessment of Potential for Compliance	This fund is presently in compliance.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

<b>Cash Fund Expenditure Line Item Detail and Change Requests</b>					
	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
<b>Division of Real Estate</b>					
Personal Services	2,375,565	2,639,712	2,963,253	3,183,312	3,246,978
Operating Expenses	176,162	197,280	176,156	179,679	183,273
Hearings	150	3,997	4,000	4,000	4,080
Commission Meetings	21,666	9,264	21,925	21,925	22,364
CBI/FBI Record Checks	0	0	0	0	0
Indirect Cost Assessment	406,257	409,593	480,279	468,452	477,821
Centrally Appropriated Lines	676,907	769,097	777,668	793,221	809,086
Recovery Fund Payments	17,277	49,601			
<b>Division Subtotal</b>	<b>3,673,984</b>	<b>4,078,544</b>	<b>4,423,282</b>	<b>4,650,589</b>	<b>4,743,601</b>
<b>TOTAL</b>	<b>3,673,984</b>	<b>4,078,544</b>	<b>4,423,282</b>	<b>4,650,589</b>	<b>4,743,601</b>

**Schedule 9.A**

**Cash Fund Status for:** *Division of Registrations Cash Fund #189 C.R.S. Citation: 24-34-104 and 12-38-131, C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	4,916,865	1,839,245	767,744	1,243,413	41,915
Exempt Revenue	2,380,256	2,428,796	2,613,429	2,665,698	2,452,442
Non-Exempt Revenue	15,026,388	18,313,061	19,705,188	20,099,292	18,491,349
Total Expenditures	20,484,264	21,813,358	21,842,949	23,966,488	20,877,786
Ending Balance	1,839,245	767,744	1,243,413	41,915	107,920
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(3,077,620)	(1,071,501)	475,669	(1,201,498)	66,005

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. There are numerous professional licensing fees for the 30 agencies in this fund. Please see the attached summary of major fees by board.					

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	1,839,245	767,744	1,243,413	41,915	107,920
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	3,379,904	3,599,204	3,604,087	3,954,471	3,444,835
Excess Uncommitted Fee Reserve Balance	(1,540,659)	(2,831,460)	(2,360,674)	(3,912,556)	(3,336,915)

Deadline for Complying with the Target/Alternative Reserve Balance:

June 30, 2009

Cash Fund Narrative Information					
Purpose/Background of Fund	The fund exists to support the costs of the Division to protect the public through effective licensure and enforcement for more than 30 professions and occupations.				
Fee Sources	The fund is supported by numerous professional licensing fees including renewal fees, as well as original license fees, application fees, reciprocity fees, reinstatement fees, examination or examination processing fees, etc. Additionally, there are inspection and permit fees assessed in the Electrical, Plumbing, and Passenger Tramway boards.				
Non-Fee Sources	Earned interest, as well as miscellaneous revenue such as sales of publications and maps. Additionally, Nurse Aides is funded from Departments of Public Health and Environment and Health Care Policy and Financing, which are required to obtain and forward federal funding.				
Long Bill Groups Supported by Fund	Division of Registrations.				
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Registrations.				
Revenue Drivers	Fees set annually so that revenue generated approximates program costs. Most renewal fees are on a two-year cycle. Actual revenue will depend on the number of payers (licensee and inspection numbers).				
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, expert testimony and hearings expenses, and legal services. Expenditures can vary based on staffing and the need for hearings and legal services.				
Assessment of Potential for Compliance	This fund is presently in compliance. However, additional detail by board is reported in the attached Schedule 9.B to provide information on individual boards as if the legal requirements of S.B. 98-194 applied to specific subaccounts of this fund. It is important to note that the Uncommitted Reserves report accumulates the total excess balances by board but does not factor in boards that are in compliance. This serves to over-state the actual balance of the cash fund for informational purposes.				
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
Cash Fund Expenditure Line Item Detail and Change Requests					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Division of Registrations</b>					
Personal Services	10,858,935	11,776,908	11,357,423	11,754,926	11,990,025
Operating Expenses	1,310,501	1,313,306	1,424,330	1,424,330	1,452,817
Hearings	236,900	215,123	307,075	307,075	313,217
Indirect Cost Assessment	3,460,849	3,756,951	4,122,091	4,070,030	4,151,431
Payments to Dept of HCPF	154,383	14,652	14,652	14,652	14,652
Legal Defense Account Transfers	185,000	275,000			
Centrally Appropriated Lines	4,277,696	4,461,418	4,617,378	6,395,475	6,523,385
<b>Division Subtotal</b>	20,484,264	21,813,358	21,842,949	23,966,488	24,445,525
<b>TOTAL</b>	20,484,264	21,813,358	21,842,949	23,966,488	24,445,525

**Schedule 9.A**

**Cash Fund Status for:** *Hazardous Materials Safety Fund #188 C.R.S. Citation: 42-20-107(3), C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	18,520	32,979	18,391	(2,867)	(24,551)
Exempt Revenue					
Non-Exempt Revenue	327,648	318,925	318,925	325,303	331,810
Total Expenditures	313,189	333,513	340,183	346,987	307,259
Ending Balance	32,979	18,391	(2,867)	(24,551)	0
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(21,480)	(14,588)	(21,258)	(21,683)	24,551

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. Annual Permit Fee, per §42-20-202	\$10 to \$400	\$10 to \$400	\$10 to \$400	\$10 to \$400	\$10 to \$400
2. Single Trip Permit Fee, per §42-20-202	\$25	\$25	\$25	\$25	\$25

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	17,333	27,469	6,211	(15,473)	9,078
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	51,676	55,030	56,130	57,253	50,698
Excess Uncommitted Fee Reserve Balance	(34,343)	(27,561)	(49,920)	(72,726)	(41,620)

Deadline for Complying with the Target/Alternative Reserve Balance:

June 30, 2009

Cash Fund Narrative Information					
Purpose/Background of Fund		The fund exists to provide funding to the Department of Public Safety (DPS) for the Colorado State Patrol (CSP) for hazardous material response and routing across the State. Funding is also provided to DPS for distribution to local governments (responders) for training and equipment purchases. Finally, funds are appropriated to the Department of Revenue for hazardous materials permitting. No funds are spent by DORA.			
Fee Sources		The fund is supported by Hazardous materials permit fees (annual and single trip).			
Non-Fee Sources		Penalties, gifts, donations, federal funds per §42-20-107, C.R.S.			
Long Bill Groups Supported by Fund		Department of Public Safety, Executive Director's Office, Distribution to Local Governments--Department of Public Safety, Colorado State Patrol, Hazardous Materials Routing--Department of Revenue, Hazardous Materials Permitting Program.			
Statutory or Other Restriction on Use of Fund		The fund is used to cover the costs of hazardous materials shipments, but Section 42-20-107(3), C.R.S., provides that at the end of each fiscal year, any moneys remaining in the Hazardous Materials Safety Fund "shall not revert to the general fund, but shall be subject to appropriation by the General Assembly to the executive director of the Department of Public Safety for disbursement to local governments for the purposes related to the preparation and training for and response to hazardous materials incidents."			
Revenue Drivers		The number of shipments made.			
Expenditure Drivers		Expenditures are driven by program costs and correspond to legislative appropriations and the number of shipments made. Department of Public Safety: personal services and operating for the Hazardous Materials Routing Program; for the Department of Revenue: Hazardous Materials Permitting Program.			
Assessment of Potential for Compliance		This fund is presently in compliance.			
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
Cash Fund Expenditure Line Item Detail and Change Requests					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Department of Revenue</b>					
MVBG Administration	0	0	0	0	0
Hazardous Materials Permitting	196,845	194,094	197,976	201,935	205,974
<b>Department of Public Safety</b>					
Hazardous Materials Routing	97,824	106,440	108,569	110,740	101,285
Distribution to Local Governments	18,520	32,979	33,639	34,311	
<b>Division Subtotal</b>	<b>313,189</b>	<b>333,513</b>	<b>340,183</b>	<b>346,987</b>	<b>307,259</b>
<b>TOTAL</b>	<b>313,189</b>	<b>333,513</b>	<b>340,183</b>	<b>346,987</b>	<b>307,259</b>

**Schedule 9.A**

**Cash Fund Status for:** *Division of Securities Cash Fund #213* **C.R.S. Citation:** *11-51-129, C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	744,986	724,323	545,534	361,452	614,678
Exempt Revenue					
Non-Exempt Revenue	2,975,177	2,840,605	3,602,611	3,559,208	3,614,211
Total Expenditures	2,995,840	3,019,395	3,241,159	3,305,982	3,614,211
Ending Balance	724,323	545,534	906,986	614,678	614,678
Ending Balance – Cash Assets					
Reserves Increase/Decrease	260,021	(178,790)	361,452	253,226	0

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. Broker/Dealer and Firm Fees	60	75	50	65	65
2. Representative fees	10	13	8	14	14

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	744,986	724,324	1,085,776	1,339,002	1,339,002
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	494,314	498,200	534,791	545,487	596,345
Excess Uncommitted Fee Reserve Balance	250,672	226,124	550,985	793,515	742,657

Deadline for Complying with the Target/Alternative Reserve Balance:	June 30, 2009
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<b>Cash Fund Narrative Information</b>					
Purpose/Background of Fund		The fund exists to support the costs of the Division in carrying out its mission to protect investors and maintain public confidence in the securities markets while avoiding unreasonable burdens on participants in the capital markets.			
Fee Sources		The majority of revenue is from initial licensing fees and renewal fees on broker/dealers, representatives and investment advisor licensees. Other minor, miscellaneous fees include registration and exemption from filing, interpretative opinion fees, and other miscellaneous fees. The Department sets fees annually to approximate expenditures.			
Non-Fee Sources		Earned interest.			
Long Bill Groups Supported by Fund		Division of Securities			
Statutory or Other Restriction on Use of Fund		The fund may only be used to cover costs of the Division of Securities.			
Revenue Drivers		Fees set annually so that revenue generated approximates program costs. Actual revenue will depend on the number of payers.			
Expenditure Drivers		Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for hearings and legal services.			
Assessment of Potential for Compliance		This fund is presently out of compliance.			
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
<b>Cash Fund Expenditure Line Item Detail and Change Requests</b>					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Division of Securities</b>					
Personal Services	1,709,149	1,748,162	2,085,771	1,936,967	1,975,706
Operating Expenses	45,970	56,460	47,816	47,816	47,816
Hearings	19,317	19,438	19,594	19,594	19,594
Board Meeting Costs	2,936	2,448	4,500	4,500	4,500
Securities Fraud Prosecution	407,797	441,794	473,761	473,014	482,474
Indirect Cost Assessment	219,597	235,467	245,825	245,825	250,742
Centrally Appropriated Lines	591,074	515,625	464,134	817,038	833,379
<b>Department of Law</b>					
Securities Fraud Prosecution (non-add)	407,797	441,794	473,761	473,014	482,474
<b>Division Subtotal</b>	2,995,840	3,019,395	3,341,401	3,544,754	3,614,211
<b>TOTAL</b>	2,995,840	3,019,395	3,341,401	3,544,754	3,614,211



**Schedule 9.A**

**Cash Fund Status for: Fixed Utilities Fund #184 C.R.S. Citation: 40-2-114, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	677,622	245,402	685,196	1,028,850	800,000
Exempt Revenue					
Non-Exempt Revenue	9,732,103	11,564,427	12,214,079	11,587,588	12,052,252
Total Expenditures	10,164,323	11,124,633	11,870,425	11,816,438	12,052,252
Ending Balance	245,402	685,196	1,028,850	800,000	800,000
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(335,750)	439,794	343,654	(228,850)	0

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. Fixed Utilities Assessment					

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	660,923	237,995	581,649	352,799	352,799
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	1,677,113	1,835,564	1,958,620	1,949,712	1,988,622
Excess Uncommitted Fee Reserve Balance	(1,016,190)	(1,597,569)	(1,376,971)	(1,596,914)	(1,635,823)

Deadline for Complying with the Target/Alternative Reserve Balance:

June 30, 2009

<b>Cash Fund Narrative Information</b>					
Purpose/Background of Fund		The fund exists to support the administrative expenses of the Public Utilities Commission (PUC) for the supervision and regulation of the public utilities and for financing the Office of Consumer Counsel (OCC). Three percent of the revenue collected pursuant to fixed utilities law is transferred to the General Fund. The remaining 97 percent is available for use by PUC and OCC (§40-2-114, C.R.S.)..			
Fee Sources		The fund receives revenues from an annual assessment against regulated utilities, performed by Department of Revenue based on a computation pursuant to statute (§40-2-112, C.R.S.).			
Non-Fee Sources		Federal grant for pipeline safety is deposited into the fund.			
Long Bill Groups Supported by Fund		Public Utilities Commission			
Statutory or Other Restriction on Use of Fund		The fund may only be used for purposes stated in 40-2-114 regarding PUC's regulation of fixed utilities.			
Revenue Drivers		Assessments are made annually based on the Long Bill appropriation to the PUC, allocation of potted line items, any special bills, the appropriation to the OCC, and OCC pots. Assessments also take into consideration any fund balance and be sufficient to cover the 3 percent transfer to the General Fund.			
Expenditure Drivers		Expenditures are driven by program costs and correspond to legislative appropriations for the Public Utilities Commission. For this fund, expenditures include personal services, operating costs, expert testimony and hearings expenses, legal services, and various central appropriations. Expenditures can vary based on staffing and the need for legal and hearings expenses.			
Assessment of Potential for Compliance		This fund is presently in compliance.			
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
<b>Cash Fund Expenditure Line Item Detail and Change Requests</b>					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Public Utilities Commission</b>					
Personal Services	6,418,522	6,942,883	7,610,197	7,191,937	7,335,776
Operating Expenses	333,036	315,212	334,479	334,479	341,169
Expert Testimony	14,000	23,500	25,000	25,000	25,000
Indirect Cost Assessment	768,592	876,738	954,786	954,786	973,882
Centrally Appropriated Lines and OCC transfer	2,630,173	2,966,299	2,945,963	2,966,068	3,025,389
<b>Division Subtotal</b>	<b>10,164,323</b>	<b>11,124,633</b>	<b>11,870,425</b>	<b>11,472,270</b>	<b>11,701,216</b>
<b>TOTAL</b>	<b>10,164,323</b>	<b>11,124,633</b>	<b>11,870,425</b>	<b>11,472,270</b>	<b>11,701,216</b>

**Schedule 9.A**

**Cash Fund Status for:** *High Cost Administration Fund #227 C.R.S. Citation: 40-15-208(1), C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	50,795	(40,655)	7,244	7,244	7,244
Exempt Revenue					
Non-Exempt Revenue	685	167,667	100,837	106,227	104,859
Total Expenditures	92,135	119,768	100,837	106,227	104,859
Ending Balance	(40,655)	7,244	7,244	7,244	7,244
Ending Balance – Cash Assets					
Reserves Increase/Decrease	1,274	47,899	0	0	0

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. Provider Assessment	77,700	0	100,837	106,227	104,859

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	49,426	0	7,244	7,244	7,244
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	15,202	19,762	16,638	17,527	17,302
Excess Uncommitted Fee Reserve Balance	34,224	(19,762)	(9,394)	(10,284)	(10,058)
Deadline for Complying with the Target/Alternative Reserve Balance:				June 30, 2009	

<b>Cash Fund Narrative Information</b>					
Purpose/Background of Fund		The Colorado High Cost Support Mechanism (CHCSM) enables all Colorado citizens to receive affordable basic telephone service by using a statewide surcharge to subsidize service in areas that are costly to serve. The Fund was created using transferred funds and is supported by annual assessments against utilities. The fund exists to support administrative costs associated with the CHCSM.			
Fee Sources		Assessments against utility companies.			
Non-Fee Sources		Earned Interest			
Long Bill Groups Supported by Fund		Public Utilities Commission			
Statutory or Other Restriction on Use of Fund		The fund may only be used to cover costs of the CHCSM.			
Revenue Drivers		Initially revenues consisted only of a fund balance transfer and earned interest; however, assessments are now necessary. Revenue is dependent on the amount of the fee, which is calculated to cover costs.			
Expenditure Drivers		Expenditures are driven by program costs and correspond to legislative appropriations for the Public Utilities Commission. For this fund, expenditures include personal services and operating costs. Expenditures can vary based on staffing.			
Assessment of Potential for Compliance		This fund is presently in compliance.			
Action		<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>			
		<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>			
<b>Cash Fund Expenditure Line Item Detail and Change Requests</b>					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Public Utilities Commission</b>					
Personal Services	76,648	83,307	81,070	82,691	84,345
Operating Expenses	157	19,546	2,500	2,500	2,550
Indirect Cost Assessment	10,981	11,768	11,773	12,008	12,249
Centrally Appropriated Lines	4,350	5,147	5,494	5,604	5,716
<b>Division Subtotal</b>	<b>92,135</b>	<b>119,768</b>	<b>100,837</b>	<b>102,803</b>	<b>104,859</b>
<b>TOTAL</b>	<b>92,135</b>	<b>119,768</b>	<b>100,837</b>	<b>102,803</b>	<b>104,859</b>

**Schedule 9.A**

**Cash Fund Status for:** *Mortgage Broker Registration Cash Fund #19U* **C.R.S. Citation:** *12-61-908(2), C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	0	1,110,471	1,136,791	787,255	469,912
Exempt Revenue					
Non-Exempt Revenue	1,198,940	811,432	811,432	811,432	1,293,463
Total Expenditures	88,469	785,112	1,160,969	1,128,775	1,151,350
Ending Balance	1,110,471	1,136,791	787,255	469,912	612,025
Ending Balance – Cash Assets					
Reserves Increase/Decrease	1,110,471	26,320	(349,536)	(317,342)	142,113

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
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Under the previously existing program, broker license fees were set at \$200 initially for a three-year period. Fee adjustments will be made to bring in sufficient revenue to support expenditures.

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)				469,912	612,025
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)				186,248	189,973
Excess Uncommitted Fee Reserve Balance				283,665	422,053

Deadline for Complying with the Target/Alternative Reserve Balance: June 30, 2009

<b>Cash Fund Narrative Information</b>					
Purpose/Background of Fund		The fund exists to support the costs of the Division in implementing mortgage broker registrations as originally required by HB 06-1161 and as newly required by four bills passed during the 2007 legislative session.			
Fee Sources		Application fees for mortgage brokers, and from their annual renewal fees.			
Non-Fee Sources		Earned interest.			
Long Bill Groups Supported by Fund		Division of Real Estate			
Statutory or Other Restriction on Use of Fund		The fund may only be used to cover costs of the Division of Real Estate and the Department of Law related to mortgage brokers.			
Revenue Drivers		Fees set annually so that revenue generated approximates program costs. Actual revenue will depend on the number of payers.			
Expenditure Drivers		Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for hearings and legal services.			
Assessment of Potential for Compliance		Compliance is not required for the first two years of the fund and program. However, compliance is expected during FY 08-09 and fees will be set accordingly.			
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
<b>Cash Fund Expenditure Line Item Detail and Change Requests</b>					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Division of Real Estate</b>					
Personal Services	88,469	268,917	563,089	529,869	540,466
Operating Expenses/Other	0	14,442	33,474	33,474	34,143
Mortgage Broker Consumer Protection	0	131,178	309,495	309,495	315,685
Indirect Cost Assessment	0	67,229	91,265	89,017	90,797
Centrally Appropriated Lines		303,346	163,647	166,920	170,258
<b>Department of Law</b>					
Mortgage Broker Consumer Protection	0	0	0	0	0
<b>Division Subtotal</b>	88,470	785,112	1,160,969	1,128,775	1,151,350
<b>TOTAL</b>	88,470	785,112	1,160,969	1,128,775	1,151,350

**Schedule 9.A**

**Cash Fund Status for:** *Nuclear Materials Transportation Fund #186 C.R.S. Citation: 42-20-511, C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	40,000	40,000	40,000	40,000	40,000
Exempt Revenue					
Non-Exempt Revenue	177,600	92,925	94,784	96,679	98,613
Total Expenditures	177,600	92,925	94,784	96,679	98,613
Ending Balance	40,000	40,000	40,000	40,000	40,000
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	0	(0)	(0)	(0)

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. Annual Permit Fee, per §42-20-502	\$500	\$500	\$500	\$500	\$500
2. Single Trip Permit Fee, per §42-20-502	\$200	\$200	\$200	\$200	\$200

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	40,000	40,000	40,000	40,000	40,000
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	29,304	15,333	15,639	15,952	16,271
Excess Uncommitted Fee Reserve Balance	10,696	24,667	24,361	24,048	23,729
Deadline for Complying with the Target/Alternative Reserve Balance:				June 30, 2009	

Cash Fund Narrative Information					
Purpose/Background of Fund		The fund exists to provide funding to support a permitting and inspection program for the regulation of the transport of nuclear materials within or though the state of Colorado. The purpose is to protect citizens and the environment. (§40-20-401, C.R.S.)			
Fee Sources		The fund is supported by nuclear materials permit fees (annual and single trip).			
Non-Fee Sources		Civil penalties.			
Long Bill Groups Supported by Fund		Department of Public Safety (CDPS): Special Programs, Nuclear Materials Transportation. Department of Revenue (DOR): Motor Vehicle Business Group, Administration, Personal Services and Motor Carrier Services Division, Personal Services and Operating Expenses. The PUC has no line items supported by this fund.			
Statutory or Other Restriction on Use of Fund		The fund is used to cover the costs of hazardous materials shipments, but Section 42-20-107(3), C.R.S., provides that at the end of each fiscal year, any moneys remaining in the Hazardous Materials Safety Fund "shall not revert to the general fund, but shall be subject to appropriation by the General Assembly to the executive director of the Department of Public Safety for disbursement to local governments for the purposes related to the preparation and training for and response to hazardous materials incidents."			
Revenue Drivers		The number of shipments made.			
Expenditure Drivers		Expenditures are driven by program costs and correspond to legislative appropriations and the number of shipments made.			
Assessment of Potential for Compliance		This fund is presently in compliance.			
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
Cash Fund Expenditure Line Item Detail and Change Requests					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Department of Revenue</b>					
MVBG Administration	0	0	0	0	0
Motor Carrier Services, Personal Svcs/Operating	38,329	20,571	20,982	21,402	21,830
<b>Department of Public Safety</b>					
Nuclear Materials Transportation	139,271	72,354	73,801	75,277	76,783
		0	0	0	0
<b>Division Subtotal</b>	<b>177,600</b>	<b>92,925</b>	<b>94,784</b>	<b>96,679</b>	<b>98,613</b>
<b>TOTAL</b>	<b>177,600</b>	<b>92,925</b>	<b>94,784</b>	<b>96,679</b>	<b>98,613</b>



**Schedule 9.A**

**Cash Fund Status for: Highway Crossing Protection Fund #187 C.R.S. Citation: 40-29-116, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	426,428	200,916	149,710	0	0
Exempt Revenue					
Non-Exempt Revenue	14,520	8,559	6,378	0	0
Total Expenditures	240,032	59,765	156,087	0	0
Ending Balance	200,916	149,710	0	0	0
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(225,512)	(51,206)	(149,709)	0	0

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
No fees exist for this fund.					

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	0	0	0	0	0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	39,605	9,861	25,754	0	0
Excess Uncommitted Fee Reserve Balance	(39,605)	(9,861)	(25,754)	0	0

Deadline for Complying with the Target/Alternative Reserve Balance:

June 30, 2009

<b>Cash Fund Narrative Information</b>					
Purpose/Background of Fund	The fund exists to support a program that provides financial resources for the building of railroad crossing protection devices.				
Fee Sources	None.				
Non-Fee Sources	Subject to appropriation, there is an annual transfer from the General Fund of \$240,000. The Fund retains its earned interest. No transfer is requested for FY 2008-09.				
Long Bill Groups Supported by Fund	PUC: Highway Crossing Protection				
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Highway Crossing Protection program.				
Revenue Drivers	General Fund transfers and earned interest. However, revenue will consist of only earned interest for FY 06-07, and FY 07-08, as no General Fund transfers are expected.				
Expenditure Drivers	Expenditures depend on when the PUC crossing projects are completed. The Commission approves the projects, but it can be up to several years before the expenditures are made. The funds are committed each year, but not necessarily expended during the same year. This is reflected in the fund balances above; FY 07-08 expenditures are encumbered. Interest earnings became continuously appropriated pursuant to S.B. 03-225; however, the fund is statutorily empowered to release funds upon order of the PUC.				
Assessment of Potential for Compliance	This fund is presently in compliance.				
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
<b>Cash Fund Expenditure Line Item Detail and Change Requests</b>					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Public Utilities Commission</b>					
Highway Crossing Protection	240,032	59,765	156,087	0	0
<b>Division Subtotal</b>	240,032	59,765	156,087	0	0
<b>TOTAL</b>	240,032	59,765	156,087	0	0

**Schedule 9.A**

**Cash Fund Status for:** *Low Income Telephone Assistance Fund #251 C.R.S. Citation: 40-3.4-108, C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	1,025,716	221,412	165,377	165,377	165,377
Exempt Revenue					
Non-Exempt Revenue	975,361	2,139,301	2,204,607	2,205,824	2,207,066
Total Expenditures	1,779,665	2,195,336	2,204,607	2,205,824	2,207,066
Ending Balance	221,412	165,377	165,377	165,377	165,377
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(804,304)	(56,035)	0	0	0

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. Per-line monthly surcharge	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	1,025,716	221,411	221,411	221,411	221,411
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	250,000	250,000	250,000	250,000	250,000
Excess Uncommitted Fee Reserve Balance	775,716	(28,589)	(28,589)	(28,589)	(28,589)
Deadline for Complying with the Target/Alternative Reserve Balance:				N/A	

**Schedule 9.A**

**Cash Fund Status for:** *Low Income Telephone Assistance Fund #251* **C.R.S. Citation:** *40-3.4-108, C.R.S.*

**Cash Fund Narrative Information**

Purpose/Background of Fund	The purpose of the Fund is for the PUC to have an account in which to deposit and then allocate funds to phone companies for subsidizing phone bills for low-income telephone customers as authorized by Section 40-3.4-104, C.R.S. Part of the annual payments from the Fund are paid to the Department of Human Services to administer the Low-Income Telephone Assistance Program (there is a Long Bill line item in DHS as well as in the PUC).
Fee Sources	The Fund receives revenues from telephone companies based on the uniform monthly charge in customers' bills. Payments from and allocations to individual carriers are calculated on a net basis, so that transactions involving the fund reflect the difference between the amount collected by a carrier and disbursements owed to the carrier per the program. No fees are presently required as a trust settlement is funding required payments.
Non-Fee Sources	Earned interest and trust settlements.
Long Bill Groups Supported by Fund	Public Utilities Commission; Department of Human Services
Statutory or Other Restriction on Use of Fund	The fund may only be used for Low Income Telephone Assistance administration in PUC and DHS.
Revenue Drivers	Fees are determined by Public Utilities Commission to be adequate to cover program costs. The fund retains its earned interest.
Expenditure Drivers	Expenditures are driven by program administrative costs as well as the amount of moneys to be redistributed compared to the amount of money collected by phone companies.
Assessment of Potential for Compliance	The fund is in compliance.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
<b>Public Utilities Commission</b>					
Low Income Telephone Assistance	1,712,303	2,135,674	2,143,752	2,143,752	2,143,752
<b>Department of Human Services</b>					
Low Income Telephone Assistance Program	67,362	59,662	60,855	62,072	63,314
<b>Division Subtotal</b>	1,712,303	2,135,674	2,204,607	2,205,824	2,207,066
<b>TOTAL</b>	1,779,665	2,195,336	2,204,607	2,205,824	2,207,066

**Schedule 9.A**

**Cash Fund Status for:** *Mandated Health Insurance Cash Fund #16U C.R.S. Citation: 10-16-103.3(8), C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	10,536	10,536	10,536	10,536	10,536
Exempt Revenue					
Non-Exempt Revenue	0	0	0	0	0
Total Expenditures	0	0	0	0	0
Ending Balance	10,536	10,536	10,536	10,536	10,536
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	0	0	0	0

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
No fees are presently assessed for this fund.					

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	10,536	0	0	0	0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	0	0	0	0	0
Excess Uncommitted Fee Reserve Balance	10,536	0	0	0	0
Deadline for Complying with the Target/Alternative Reserve Balance:				N/A	

<b>Cash Fund Narrative Information</b>	
Purpose/Background of Fund	The fund exists to support the costs of the Commission on Mandated Health Insurance Benefits, which assesses the impact of mandated health insurance benefits in Colorado.
Fee Sources	Fees assessed to health carriers.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Insurance
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover the direct and indirect costs of the Mandated Health Commission.
Revenue Drivers	Fees set to cover expenditures for administrative support of the Commission.
Expenditure Drivers	Expenditures are for administrative support of the Commission; however, no costs for this purpose have been incurred in recent years. Future costs are subject to frequency of meetings and required support of the Commission, which has been negligible in recent years.
Assessment of Potential for Compliance	This fund is presently in compliance. Expenditures and revenues are less than \$50,000.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

<b>Cash Fund Expenditure Line Item Detail and Change Requests</b>					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Division of Insurance</b>					
Personal Services	0	0	0	0	0
<b>Division Subtotal</b>	0	0	0	0	0
<b>TOTAL</b>	0	0	0	0	0

**Schedule 9.A**

**Cash Fund Status for:** *Division of Registrations Cash Fund #189 C.R.S. Citation: 24-34-104 and 12-38-131, C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	4,916,865	1,839,245	767,744	1,243,413	1,917,319
Exempt Revenue	2,380,256	2,428,796	2,613,429	2,665,698	2,452,442
Non-Exempt Revenue	15,026,388	18,313,061	19,705,188	21,974,696	22,031,406
Total Expenditures	20,484,264	21,813,358	21,842,949	23,966,488	24,445,525
Ending Balance	1,839,245	767,744	1,243,413	1,917,319	1,955,642
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(3,077,620)	(1,071,501)	475,669	673,906	38,323

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. There are numerous professional licensing fees for the 30 agencies in this fund. Please see the attached summary of major fees by board.					

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	1,839,245	767,744	1,243,413	1,917,319	1,955,642
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	3,379,904	3,599,204	3,604,087	3,954,471	4,033,512
Excess Uncommitted Fee Reserve Balance	(1,540,659)	(2,831,460)	(2,360,674)	(2,037,152)	(2,077,870)
Deadline for Complying with the Target/Alternative Reserve Balance:					June 30, 2009

Cash Fund Narrative Information					
Purpose/Background of Fund	The fund exists to support the costs of the Division to protect the public through effective licensure and enforcement for more than 30 professions and occupations.				
Fee Sources	The fund is supported by numerous professional licensing fees including renewal fees, as well as original license fees, application fees, reciprocity fees, reinstatement fees, examination or examination processing fees, etc. Additionally, there are inspection and permit fees assessed in the Electrical, Plumbing, and Passenger Tramway boards.				
Non-Fee Sources	Earned interest, as well as miscellaneous revenue such as sales of publications and maps. Additionally, Nurse Aides is funded from Departments of Public Health and Environment and Health Care Policy and Financing, which are required to obtain and forward federal funding.				
Long Bill Groups Supported by Fund	Division of Registrations.				
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Registrations.				
Revenue Drivers	Fees set annually so that revenue generated approximates program costs. Most renewal fees are on a two-year cycle. Actual revenue will depend on the number of payers (licensee and inspection numbers).				
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, expert testimony and hearings expenses, and legal services. Expenditures can vary based on staffing and the need for hearings and legal services.				
Assessment of Potential for Compliance	This fund is presently in compliance. However, additional detail by board is reported in the attached Schedule 9.B to provide information on individual boards as if the legal requirements of S.B. 98-194 applied to specific subaccounts of this fund. It is important to note that the Uncommitted Reserves report accumulates the total excess balances by board but does not factor in boards that are in compliance. This serves to over-state the actual balance of the cash fund for informational purposes.				
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
Cash Fund Expenditure Line Item Detail and Change Requests					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Division of Registrations</b>					
Personal Services	10,858,935	11,776,908	11,357,423	11,754,926	11,990,025
Operating Expenses	1,310,501	1,313,306	1,424,330	1,424,330	1,452,817
Hearings	236,900	215,123	307,075	307,075	313,217
Indirect Cost Assessment	3,460,849	3,756,951	4,122,091	4,070,030	4,151,431
Payments to Dept of HCPF	154,383	14,652	14,652	14,652	14,652
Legal Defense Account Transfers	185,000	275,000			
Centrally Appropriated Lines	4,277,696	4,461,418	4,617,378	6,395,475	6,523,385
<b>Division Subtotal</b>	20,484,264	21,813,358	21,842,949	23,966,488	24,445,525
<b>TOTAL</b>	20,484,264	21,813,358	21,842,949	23,966,488	24,445,525



**Schedule 9.A**

**Cash Fund Status for:** *Multiple Employer Welfare Arrangement (MEWA) Cash Fund #16Q* **C.R.S. Citation:** *10-16-902(6), C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Beginning Balance	670	670	670	670	670
Exempt Revenue					
Non-Exempt Revenue	0	0	0	0	0
Total Expenditures	0	0	0	0	0
Ending Balance	670	670	670	670	670
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	0	0	0	0

**Fee Levels**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
1. Application Fee	\$500	\$500	\$500	\$500	500
2. Renewal Fee	\$670-\$3,345	\$670-\$3,345	\$670-\$3,345	\$670-\$3,345	\$670-\$3,345

**Cash Fund Reserve Balance**

	<b>Actual FY 2006-07</b>	<b>Actual FY 2007-08</b>	<b>Estimate FY 2008-09</b>	<b>Request FY 2009-10</b>	<b>Projected FY 2010-11</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	670	0			
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	0	0	0	0	0
Excess Uncommitted Fee Reserve Balance	670	0	0	0	0
Deadline for Complying with the Target/Alternative Reserve Balance:				N/A	

Cash Fund Narrative Information					
Purpose/Background of Fund	The fund exists to support the costs of the Multiple Employer Welfare Arrangement (MEWA) pilot program in the Division of Insurance.				
Fee Sources	The fund is supported by fees imposed by the Commissioner of Insurance on participating MEWAs, including a \$500 application fee (for background investigation and review) as well as annual fees ranging from \$670-\$3,345 on each MEWA depending on respective premiums, charges, and funds. There is a maximum of 18 MEWAs for the program.				
Non-Fee Sources	Earned interest.				
Long Bill Groups Supported by Fund	Division of Insurance				
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover the direct and indirect costs of the MEWA program.				
Revenue Drivers	The number and volume of participating MEWAs affects the amount of fee revenue.				
Expenditure Drivers	The workload in investigating, reviewing, and administering the MEWA program.				
Assessment of Potential for Compliance	This fund is presently in compliance. Expenditures and revenues are less than \$50,000.				
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>				
Cash Fund Expenditure Line Item Detail and Change Requests					
	Actual FY 2006-07	Actual FY 2007-08	Estimate FY 2008-09	Request FY 2009-10	Projected FY 2010-11
<b>Division of Insurance</b>					
Personal Services	0	0	0	0	0
<b>Division Subtotal</b>	0	0	0	0	0
<b>TOTAL</b>	0	0	0	0	0