

# DEPARTMENT OF REGULATORY AGENCIES FY 2008-09 BUDGET REQUEST

## Schedule 9 – Cash Funds Reports

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**Schedule 9.A**

Cash Fund Status for: *Fixed Utilities Fund #184* C.R.S. Citation: 40-2-114, C.R.S.

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	1,013,373	677,622	245,402	843,532	843,532
Exempt Revenue					
Non-Exempt Revenue	9,319,298	9,732,103	11,627,474	11,689,038	11,922,819
Total Expenditures	9,655,049	10,164,323	11,029,344	11,689,038	11,922,819
Ending Balance	677,622	245,402	843,532	843,532	843,532
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(335,750)	(432,220)	598,130	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. Fixed Utilities Assessment					

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	660,923	237,995	836,125	836,125	836,125
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	1,593,083	1,677,113	1,819,842	1,928,691	1,967,265
Excess Uncommitted Fee Reserve Balance	(932,160)	(1,439,118)	(983,717)	(1,092,566)	(1,131,140)
Deadline for Complying with the Target/Alternative Reserve Balance:				June 30, 2009	

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support the administrative expenses of the Public Utilities Commission (PUC) for the supervision and regulation of the public utilities and for financing the Office of Consumer Counsel (OCC). Three percent of the revenue collected pursuant to fixed utilities law is transferred to the General Fund. The remaining 97 percent is available for use by PUC and OCC (§40-2-114, C.R.S.).
Fee Sources	The fund receives revenues from an annual assessment against regulated utilities, performed by Department of Revenue based on a computation pursuant to statute (§40-2-112, C.R.S.).
Non-Fee Sources	Federal grant for pipeline safety is deposited into the fund.
Long Bill Groups Supported by Fund	Public Utilities Commission
Statutory or Other Restriction on Use of Fund	The fund may only be used for purposes stated in 40-2-114 regarding PUC's regulation of fixed utilities.
Revenue Drivers	Assessments are made annually based on the Long Bill appropriation to the PUC, allocation of potted line items, any special bills, the appropriation to the OCC, and OCC pots. Assessments also take into consideration any fund balance and be sufficient to cover the 3 percent transfer to the General Fund.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations for the Public Utilities Commission. For this fund, expenditures include personal services, operating costs, expert testimony and hearings expenses, legal services, and various central appropriations. Expenditures can vary based on staffing and the need for legal and hearings expenses.
Assessment of Potential for Compliance	This fund is presently in compliance.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Public Utilities Commission</b>					
Personal Services	6,104,066	6,418,522	6,037,193	6,383,650	6,511,323
Operating Expenses	296,218	333,036	274,913	297,099	303,041
Expert Testimony	25,000	14,000	25,000	25,000	25,500
Indirect Cost Assessment	756,375	768,592	861,813	929,974	948,574
Centrally Appropriated Lines and OCC transfer	1,268,911	1,384,289	3,830,425	4,053,315	4,134,381
<b>Division Subtotal</b>	<b>8,450,569</b>	<b>8,918,440</b>	<b>11,029,344</b>	<b>11,689,038</b>	<b>11,922,819</b>
<b>TOTAL</b>	<b>8,450,569</b>	<b>8,918,440</b>	<b>11,029,344</b>	<b>11,689,038</b>	<b>11,922,819</b>

**Schedule 9.A**

**Cash Fund Status for: Motor Carrier Fund #185 C.R.S. Citation: 40-2-110.5, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	696,453	719,928	(5,369)	0	0
Exempt Revenue					
Non-Exempt Revenue	2,018,982	1,304,348	2,206,106	2,356,068	2,403,190
Total Expenditures	1,995,507	2,029,646	2,200,737	2,356,068	2,403,190
Ending Balance	719,928	(5,369)	0	0	0
Ending Balance – Cash Assets					
Reserves Increase/Decrease	23,475	(725,297)	5,369	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. Annual identification fee (both interstate and intrastate)	\$5	\$5	\$5	\$5	\$5

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	57,142	(650)	4,719	4,719	4,719
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	329,259	334,892	363,122	388,751	396,526
Excess Uncommitted Fee Reserve Balance	(272,117)	(335,542)	(358,403)	(384,032)	(391,807)
Deadline for Complying with the Target/Alternative Reserve Balance:				June 30, 2009	

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support the PUC's administration of laws governing the regulation of motor carriers in Colorado.
Fee Sources	Fees sources include permit fees and annual \$5 federal and state identification fees from regulated motor carriers. For SB 98-194 purposes, the \$5 federal fee is considered a non-fee revenue source as it is not a fee set by the PUC. Additionally, there are miscellaneous minor fee sources.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Public Utilities Commission
Statutory or Other Restriction on Use of Fund	The fund may only be used for PUC's regulation of motor carriers.
Revenue Drivers	Revenue is the result of the number of motor carriers who pay for permits and the annual registration fee. Presently the federal authorization for fee collection is transitioning between the Single State Registration System (SSRS) and Unified Carrier Registration (UCR) system, which has created a temporary gap in program revenues. In FY 07-08 the fund will require administrative assistance in the form of a loan from the State Controller's Office before the UCR system is operational and program revenues return to normal levels. For the purposes of this schedule, it is assumed that with such assistance, revenue will exactly match expenditures until UCR revenues are fully remitted.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations for the Public Utilities Commission. For this fund, expenditures include personal services, operating costs, expert testimony and hearings expenses, legal services, and various central appropriations. Expenditures can vary based on staffing and the need for legal and hearings expenses.
Assessment of Potential for Compliance	This fund is presently in compliance. Most revenue is not from a state fee.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Public Utilities Commission</b>					
Personal Services	1,477,188	1,494,307	1,509,298	1,595,912	1,627,831
Operating Expenses	85,612	73,063	86,815	93,821	95,697
Indirect Cost Assessment	232,464	236,067	215,453	232,494	237,143
Centrally Appropriated Lines	200,243	226,208	389,171	433,841	442,518
<b>Division Subtotal</b>	<b>1,995,507</b>	<b>2,029,646</b>	<b>2,200,737</b>	<b>2,356,068</b>	<b>2,403,190</b>
<b>TOTAL</b>	<b>1,995,507</b>	<b>2,029,646</b>	<b>2,200,737</b>	<b>2,356,068</b>	<b>2,403,190</b>

**Schedule 9.A**

**Cash Fund Status for: Nuclear Materials Transportation Fund #186 C.R.S. Citation: 42-20-511, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	40,000	40,000	40,000	0	0
Exempt Revenue					
Non-Exempt Revenue	181,680	177,600	156,067	159,188	162,372
Total Expenditures	181,680	177,600	196,067	159,188	162,372
Ending Balance	40,000	40,000	0	0	(0)
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	0	(40,000)	0	(0)

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. Annual Permit Fee, per §42-20-502	\$500	\$500	\$500	\$500	\$500
2. Single Trip Permit Fee, per §42-20-502	\$200	\$200	\$200	\$200	\$200

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	40,000	40,000	40,000	40,000	40,000
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	29,977	29,304	32,351	26,266	26,791
Excess Uncommitted Fee Reserve Balance	10,023	10,696	7,649	13,734	13,209
Deadline for Complying with the Target/Alternative Reserve Balance:				June 30, 2009	

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to provide funding to support a permitting and inspection program for the regulation of the transport of nuclear materials within or though the state of Colorado. The purpose is to protect citizens and the environment. (§40-20-401, C.R.S.)
Fee Sources	The fund is supported by nuclear materials permit fees (annual and single trip).
Non-Fee Sources	Civil penalties.
Long Bill Groups Supported by Fund	Department of Public Safety (CDPS): Special Programs, Nuclear Materials Transportation. Department of Revenue (DOR): Motor Vehicle Business Group, Administration, Personal Services and Motor Carrier Services Division, Personal Services and Operating Expenses. The PUC has no line items supported by this fund.
Statutory or Other Restriction on Use of Fund	The fund is used to cover the costs of hazardous materials shipments, but Section 42-20-107(3), C.R.S., provides that at the end of each fiscal year, any moneys remaining in the Hazardous Materials Safety Fund "shall not revert to the general fund, but shall be subject to appropriation by the General Assembly to the executive director of the Department of Public Safety for disbursement to local governments for the purposes related to the preparation and training for and response to hazardous materials incidents."
Revenue Drivers	The number of shipments made.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations and the number of shipments made.
Assessment of Potential for Compliance	This fund is presently in compliance.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Department of Revenue</b>					
MVBG Administration	0	0	0	0	0
Motor Carrier Services, Personal Svcs/Operating	39,102	38,329	43,405	35,241	35,946
<b>Department of Public Safety</b>					
Nuclear Materials Transportation	142,578	139,271	152,661	123,947	126,426
<b>Division Subtotal</b>		0	0	0	0
<b>TOTAL</b>	181,680	177,600	196,067	159,188	162,372
	181,680	177,600	196,067	159,188	162,372



**Schedule 9.A**

**Cash Fund Status for: Highway Crossing Protection Fund #187 C.R.S. Citation: 40-29-116, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	615,238	426,428	200,915	0	0
Exempt Revenue					
Non-Exempt Revenue	23,461	14,520	6,027	0	0
Total Expenditures	212,272	240,032	206,942	0	0
Ending Balance	426,428	200,915	0	0	0
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(188,810)	(225,512)	(200,915)	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
No fees exist for this fund.					

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	0	0	0	0	0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	35,025	39,605	34,146	0	0
Excess Uncommitted Fee Reserve Balance	(35,025)	(39,605)	(34,146)	0	0
Deadline for Complying with the Target/Alternative Reserve Balance:					June 30, 2009

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support a program that provides financial resources for the building of railroad crossing protection devices.
Fee Sources	None.
Non-Fee Sources	Subject to appropriation, there is an annual transfer from the General Fund of \$240,000. The Fund retains its earned interest. No transfer is requested for FY 2008-09.
Long Bill Groups Supported by Fund	PUC: Highway Crossing Protection
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Highway Crossing Protection program.
Revenue Drivers	General Fund transfers and earned interest. However, revenue will consist of only earned interest for FY 06-07, and FY 07-08, as no General Fund transfers are expected.
Expenditure Drivers	Expenditures depend on when the PUC crossing projects are completed. The Commission approves the projects, but it can be up to several years before the expenditures are made. The funds are committed each year, but not necessarily expended during the same year. This is reflected in the fund balances above; FY 07-08 expenditures are encumbered. Interest earnings became continuously appropriated pursuant to S.B. 03-225; however, the fund is statutorily empowered to release funds upon order of the PUC.
Assessment of Potential for Compliance	This fund is presently in compliance.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>  <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Public Utilities Commission</b>					
Highway Crossing Protection	212,272	240,032	206,942	0	0
<b>Division Subtotal</b>	212,272	240,032	206,942	0	0
<b>TOTAL</b>	212,272	240,032	206,942	0	0

**Schedule 9.A**

**Cash Fund Status for: Hazardous Materials Safety Fund #188 C.R.S. Citation: 42-20-107(3), C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	40,000	18,520	32,979	16,737	170
Exempt Revenue					
Non-Exempt Revenue	281,080	327,648	292,561	298,412	304,380
Total Expenditures	302,560	313,189	308,803	314,979	304,551
Ending Balance	18,520	32,979	16,737	170	0
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(21,480)	14,459	(16,242)	(16,567)	(170)

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. Annual Permit Fee, per §42-20-202	\$10 to \$400	\$10 to \$400	\$10 to \$400	\$10 to \$400	\$10 to \$400
2. Single Trip Permit Fee, per §42-20-202	\$25	\$25	\$25	\$25	\$25

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	17,333	27,469	11,227	(5,340)	(5,510)
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	49,922	51,676	50,952	51,972	50,251
Excess Uncommitted Fee Reserve Balance	(32,589)	(24,207)	(39,725)	(57,311)	(55,761)
Deadline for Complying with the Target/Alternative Reserve Balance:				June 30, 2009	

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to provide funding to the Department of Public Safety (DPS) for the Colorado State Patrol (CSP) for hazardous material response and routing across the State. Funding is also provided to DPS for distribution to local governments (responders) for training and equipment purchases. Finally, funds are appropriated to the Department of Revenue for hazardous materials permitting. No funds are spent by DORA.
Fee Sources	The fund is supported by Hazardous materials permit fees (annual and single trip).
Non-Fee Sources	Penalties, gifts, donations, federal funds per §42-20-107, C.R.S.
Long Bill Groups Supported by Fund	Department of Public Safety, Executive Director's Office, Distribution to Local Governments--Department of Public Safety, Colorado State Patrol, Hazardous Materials Routing--Department of Revenue, Hazardous Materials Permitting Program.
Statutory or Other Restriction on Use of Fund	The fund is used to cover the costs of hazardous materials shipments, but Section 42-20-107(3), C.R.S., provides that at the end of each fiscal year, any moneys remaining in the Hazardous Materials Safety Fund "shall not revert to the general fund, but shall be subject to appropriation by the General Assembly to the executive director of the Department of Public Safety for disbursement to local governments for the purposes related to the preparation and training for and response to hazardous materials incidents."
Revenue Drivers	The number of shipments made.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations and the number of shipments made. Department of Public Safety: personal services and operating for the Hazardous Materials Routing Program, for the Department of Revenue: Hazardous Materials Permitting Program.
Assessment of Potential for Compliance	This fund is presently in compliance.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Department of Revenue</b>					
MVBG Administration	0	0	0	0	0
Hazardous Materials Permitting	173,131	196,845	202,363	206,410	210,538
<b>Department of Public Safety</b>					
Hazardous Materials Routing	89,429	97,824	106,440	108,569	94,012
Distribution to Local Governments	40,000	18,520			
<b>Division Subtotal</b>	302,560	313,189	308,803	314,979	304,551
<b>TOTAL</b>	302,560	313,189	308,803	314,979	304,551

**Schedule 9.A**

**Cash Fund Status for: Division of Registrations Cash Fund #189 C.R.S. Citation: 24-34-104 and 12-38-131, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	4,656,844	4,916,865	1,839,245	1,732,680	1,728,324
Exempt Revenue	2,095,044	2,380,256	2,723,881	2,870,273	2,834,301
Non-Exempt Revenue	17,539,706	15,026,388	17,195,665	18,119,834	17,998,673
Total Expenditures	19,374,729	20,484,264	20,026,111	20,994,463	20,877,786
Ending Balance	4,916,865	1,839,245	1,732,680	1,728,324	1,683,512
Ending Balance – Cash Assets					
Reserves Increase/Decrease	260,021	(3,077,620)	(106,565)	(4,356)	(44,812)

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. There are numerous professional licensing fees for the 30 agencies in this fund. Please see the attached summary of major fees by board.					

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	4,781,143	1,740,103	1,633,538	1,629,182	1,584,370
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	3,196,830	3,379,903	3,304,308	3,464,086	3,444,835
Excess Uncommitted Fee Reserve Balance	1,584,313	(1,639,800)	(1,670,770)	(1,834,904)	(1,860,465)
Deadline for Complying with the Target/Alternative Reserve Balance:					June 30, 2009

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support the costs of the Division to protect the public through effective licensure and enforcement for more than 30 professions and occupations.
Fee Sources	The fund is supported by numerous professional licensing fees including renewal fees, as well as original license fees, application fees, reciprocity fees, reinstatement fees, examination or examination processing fees, etc. Additionally, there are inspection and permit fees assessed in the Electrical, Plumbing, and Passenger Tramway boards.
Non-Fee Sources	Earned interest, as well as miscellaneous revenue such as sales of publications and maps. Additionally, Nurse Aides is funded from Departments of Public Health and Environment and Health Care Policy and Financing, which are required to obtain and forward federal funding.
Long Bill Groups Supported by Fund	Division of Registrations.
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Registrations.
Revenue Drivers	Fees set annually so that revenue generated approximates program costs. Most renewal fees are on a two-year cycle. Actual revenue will depend on the number of payers (licensee and inspection numbers).
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, expert testimony and hearings expenses, and legal services. Expenditures can vary based on staffing and the need for hearings and legal services.
Assessment of Potential for Compliance	This fund is presently in compliance. However, additional detail by board is reported in the attached Schedule 9.B to provide information on individual boards as if the legal requirements of S.B. 98-194 applied to specific subaccounts of this fund. It is important to note that the Uncommitted Reserves report accumulates the total excess balances by board but does not factor in boards that are in compliance. This serves to over-state the actual balance of the cash fund for informational purposes.

Action  Already in Compliance  Statute Change<sup>1</sup>  Planned Fee Reduction<sup>1</sup>

Planned One-time Expenditure(s)<sup>1</sup>  Planned Ongoing Expenditure(s)<sup>1</sup>  Waiver<sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Division of Registrations</b>					
Personal Services	10,320,688	10,858,935	11,917,519	11,112,462	11,334,711
Operating Expenses	1,172,169	1,310,501	1,284,811	1,287,242	1,312,987
Hearings	236,834	236,900	307,075	307,075	313,217
Indirect Cost Assessment	3,440,022	3,460,849	3,783,139	4,069,374	4,150,761
Payments to Dept of HCPF	146,812	154,383	14,652	14,652	14,652
Legal Defense Account Transfers	200,000	185,000			
Centrally Appropriated Lines	3,858,204	4,277,696	2,718,915	4,041,037	3,645,530
Decision Item #1 FTE for Medical Examiners				72,915	75,934
Decision Item #3 License Records				89,706	29,994
<b>Division Subtotal</b>	19,374,729	20,484,264	20,026,111	20,994,463	20,877,786
<b>TOTAL</b>	19,374,729	20,484,264	20,026,111	20,994,463	20,877,786

**Schedule 9.B**

**Compliance Plan for: Division of Registrations Cash Fund #189**

C.R.S. Citation	24-34-104 and 12-38-131, C.R.S.
Action	Reduce fees at earliest possible date for affected boards.
Plan Description	<p>By board, the following descriptions apply:</p> <p><b>Boxing Board:</b> July 2007 fee reductions will bring this fund into compliance in 2008. This includes reductions to original licenses (50%) and event fees (20%).</p> <p><b>Chiropractic Board:</b> August 2007 fee reductions for Chiropractors (36%) and Inactive Chiropractors (37%) will bring this fund into compliance in 2009. Due to the two-year renewal period, the fund balance cannot be brought into compliance in the first year without negatively impacting the second year.</p> <p><b>Dental Board:</b> Current fees are reducing this fund balance and will achieve compliance in 2009. Due to the two-year renewal period, the fund balance cannot be brought into compliance in the first year without negatively impacting the second year.</p> <p><b>Electrical Board:</b> The majority of revenue is from inspection permits. Unexpected permit revenue can be generated by unforeseen factors including statewide bond issues and the amount and complexity of remodels, additions and new construction. Permit fees were reduced 50% in FY07 and this lower level remains in effect for FY08. Original license fees were reduced 60% for FY08. Next renewal is in March 2009 and reductions are anticipated. Compliance is expected in 2009.</p> <p><b>Architects, Engineers and Land Surveyors Board:</b> The board for Architects and the board for Engineers and Land Surveyors were consolidated in FY07. Original license fees for FY08 were adjusted for consistency and to reduce the fund balance. These included a reduction for Architects of 50%. Compliance for the combined fund is expected in 2008.</p> <p><b>Optometric Board:</b> Original license fee reductions for FY09 and renewal fee reductions at the earliest renewal date (April 2009) are expected to achieve compliance by 2010.</p> <p><b>Passenger Tramway Board:</b> November 2007 renewal fee reductions of 20% will bring this fund into compliance in 2008.</p> <p><b>Plumbing Board:</b> The majority of revenue is from inspection permits. Unexpected permit revenue can be generated by unforeseen factors including statewide bond issues and the amount and complexity of remodels, additions and new construction. Permit fees were reduced 50% in FY07 and this lower level remains in effect for FY08. Original license fees were reduced 20% for FY08. Renewal fees for September 2007 were reduced 55%. Compliance is expected in 2008.</p> <p><b>Podiatry Board:</b> This fund is minimally over the \$50,000 statutory limit. Reductions to fees for FY08 were made to Original licenses (33%) and renewals (40%). Compliance is expected for 2008.</p> <p><b>Veterinary Medicine Board:</b> Original license fee reductions (17%) for FY08 and renewal fee reductions (53%) at the next renewal (November 2008) are expected to achieve compliance in 2009.</p>

	Fund Balance at Beginning of Fiscal Year	Revenue		Projected Expenditures	Projected Reserve for Fiscal Year	Projected 16.5% Target Reserve	Difference Over/(Under)
		Projected Earned Interest	Projected Assessments				
FY 2008-09	1,823,082	0	20,990,107	20,994,463	2,062,481	3,464,086	(1,401,605)
FY 2009-10	2,062,481	0	20,832,974	20,877,786	2,062,481	3,444,835	(1,382,354)
FY 2010-11	2,062,481	0	21,249,633	21,295,342	2,062,481	3,513,731	(1,451,250)

**Schedule 9.A**

**Cash Fund Status for: Disabled Telephone User's Fund #196 C.R.S. Citation: 40-17-104, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	761,125	357,730	668,219	329,298	193,190
Exempt Revenue					
Non-Exempt Revenue	1,831,857	2,680,257	2,750,333	3,260,672	3,737,916
Total Expenditures	2,235,252	2,369,768	3,089,254	3,396,780	3,711,312
Ending Balance	357,730	668,219	329,298	193,190	219,794
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(403,395)	310,489	(338,921)	(136,108)	26,604

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. Per-line monthly surcharge	\$0.06	\$0.10	\$0.10	\$0.11	\$0.13

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	353,169	658,661	319,740	183,632	210,236
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	368,817	391,012	509,727	560,469	612,366
Excess Uncommitted Fee Reserve Balance	(15,648)	267,649	(189,987)	(376,837)	(402,130)
Deadline for Complying with the Target/Alternative Reserve Balance:				N/A	



**Schedule 9.A**

**Cash Fund Status for: Disabled Telephone User's Fund #196 C.R.S. Citation: 40-17-104, C.R.S.**

**Cash Fund Narrative Information**

Purpose/Background of Fund	The purpose of the Fund is to support the costs of a telephone relay service for disabled telephone users (including deaf, hard of hearing, and speech-impaired individuals, among others). Beginning with S.B. 99-165, there has been an annual transfer (increased to \$200,000 during FY 06-07) to the Reading Services for the Blind Cash Fund. Each calendar year there is also an annual transfer (increased to from \$25,000 to \$644,724 during FY 06-07) to the Deaf and Hard of Hearing Cash Fund pursuant to §40-7-104(4)(a), C.R.S.
Fee Sources	The relay program is funded by a uniform, per month per access line surcharge to all business and residential telephone customers. The surcharge does not apply to wireless customers.
Non-Fee Sources Long Bill Groups Supported by Fund	Earned interest. The PUC's appropriation includes a line item called "Disabled Telephone Users' Fund," which funds the annual contract for the provision of telephone services for the hearing impaired. The Fund also pays the salary and operational costs for the 1.0 FTE administering the program in the PUC. Additional PUC line items include "Transfer to the Reading Services for the Blind Cash Fund" pursuant to S.B. 99-165 and "Transfer to the Colorado Commission for the Deaf and Hard of Hearing".
Statutory or Other Restriction on Use of Fund	The fund may be used by PUC to administer and fund the relay contract, and by DHS for reading services for the blind and the deaf and hard of hearing.
Revenue Drivers	Fees are determined by Public Utilities Commission to be adequate to cover program costs. The fund retains its earned interest.
Expenditure Drivers	Expenditures are driven by program costs including the annual cost of the contract as well as Personal Services and Operating costs. Additional costs are the result of legislation requiring transfers to other funds as noted.
Assessment of Potential for Compliance	Beginning in FY 06-07, S.B. 06-218 exempts this fund from S.B. 98-194.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup>  <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Public Utilities Commission</b>					
Disabled Telephone Users Fund Payments	1,936,430	1,847,019	2,106,747	2,439,591	2,750,848
Personal Services, Operating, Various pots	83,822	100,467	106,667	112,465	115,740
Transfer to Reading Services for the Blind	190,000	200,000	200,000	200,000	200,000
Transfer to the Commission on Deaf and Hard of Hearing	25,000	222,282	675,840	644,724	644,724
<b>Division Subtotal</b>	<b>2,235,252</b>	<b>2,369,768</b>	<b>3,089,254</b>	<b>3,396,780</b>	<b>3,711,312</b>
<b>TOTAL</b>	<b>2,235,252</b>	<b>2,369,768</b>	<b>3,089,254</b>	<b>3,396,780</b>	<b>3,711,312</b>

**Schedule 9.A**

**Cash Fund Status for: Division of Real Estate Cash Fund #212 C.R.S. Citation: 12-61-111.5(2)(b), C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	1,784,539	1,633,156	592,747	592,747	592,747
Exempt Revenue					
Non-Exempt Revenue	3,115,772	2,633,575	3,475,310	4,292,332	4,378,179
Total Expenditures	3,267,155	3,673,983	3,475,310	4,292,332	4,378,179
Ending Balance	1,633,156	592,747	592,747	592,747	592,747
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(151,384)	(1,040,409)	0	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. Fee					

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	1,557,427	557,879	557,879	557,879	557,879
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	539,081	606,207	573,426	708,235	722,399
Excess Uncommitted Fee Reserve Balance	1,018,346	(48,328)	(15,547)	(150,356)	(164,520)

Deadline for Complying with the Target/Alternative Reserve Balance:

June 30, 2009

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support the costs of the Division in carrying out its mission to protect the from incompetent and dishonest real estate practitioners.
Fee Sources	The majority of revenue is from application fees for real estate brokers and appraisers, and from their annual renewal fees for licensure.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Real Estate
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Real Estate
Revenue Drivers	Fees set annually so that revenue generated approximates program costs. Actual revenue will depend on the number of payers.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for hearings and legal services.
Assessment of Potential for Compliance	This fund is presently in compliance.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Division of Real Estate</b>					
Personal Services	2,207,337	2,375,565	2,365,453	2,707,750	3,123,134
Operating Expenses	162,459	176,162	166,212	162,717	191,917
Hearings	450	150	4,000	4,000	4,080
Commission Meetings	16,755	21,666	21,925	21,925	22,364
CBI/FBI Record Checks	24,095	0	0	0	0
Indirect Cost Assessment	399,871	406,257	412,360	481,175	567,523
Centrally Appropriated Lines	456,189	676,907	505,359	914,765	933,061
Recovery Fund Payments	0	17,277			
<b>Division Subtotal</b>	<b>3,267,155</b>	<b>3,673,983</b>	<b>3,475,310</b>	<b>4,292,332</b>	<b>4,842,078</b>
<b>TOTAL</b>	<b>3,267,155</b>	<b>3,673,983</b>	<b>3,475,310</b>	<b>4,292,332</b>	<b>4,842,078</b>

**Schedule 9.A**

**Cash Fund Status for: Division of Securities Cash Fund #213 C.R.S. Citation: 11-51-129, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	711,500	744,986	724,324	286,103	286,103
Exempt Revenue					
Non-Exempt Revenue	2,801,020	2,975,177	2,752,466	3,327,251	3,393,796
Total Expenditures	2,767,533	2,995,840	3,190,687	3,327,251	3,393,796
Ending Balance	744,986	724,324	286,103	286,103	286,103
Ending Balance – Cash Assets					
Reserves Increase/Decrease	260,021	(20,663)	(438,221)	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. Broker/Dealer and Firm Fees	60	75	50	75	75
2. Representative fees	10	13	8	13	13

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	744,986	724,324	286,103	286,103	286,103
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	456,643	494,314	526,463	548,996	559,976
Excess Uncommitted Fee Reserve Balance	288,343	230,010	(240,360)	(262,893)	(273,873)

Deadline for Complying with the Target/Alternative Reserve Balance:

June 30, 2009

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support the costs of the Division in carrying out its mission to protect investors and maintain public confidence in the securities markets while avoiding unreasonable burdens on participants in the capital markets.
Fee Sources	The majority of revenue is from initial licensing fees and renewal fees on broker/dealers, representatives and investment advisor licensees. Other minor, miscellaneous fees include registration and exemption from filing, interpretative opinion fees, and other miscellaneous fees. The Department sets fees annually to approximate expenditures.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Securities
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Securities.
Revenue Drivers	Fees set annually so that revenue generated approximates program costs. Actual revenue will depend on the number of payers.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for hearings and legal services.
Assessment of Potential for Compliance Action	This fund is presently out of compliance.
	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Division of Securities</b>					
Personal Services	1,635,671	1,709,149	1,860,323	1,745,359	1,780,266
Operating Expenses	52,784	45,970	47,816	47,816	48,772
Hearings	18,726	19,317	19,594	19,594	19,986
Board Meeting Costs	3,983	2,936	4,500	4,500	4,590
Securities Fraud Prosecution	370,417	407,797	447,844	447,844	456,801
Indirect Cost Assessment	216,513	219,597	235,468	248,391	253,359
Centrally Appropriated Lines	469,440	591,074	575,142	813,747	830,022
<b>Department of Law</b>					
Securities Fraud Prosecution (non-add)	370,417	407,797	447,844	447,844	456,801
<b>Division Subtotal</b>	<b>2,767,533</b>	<b>2,995,840</b>	<b>3,190,687</b>	<b>3,327,251</b>	<b>3,393,796</b>
<b>TOTAL</b>	<b>2,767,533</b>	<b>2,995,840</b>	<b>3,190,687</b>	<b>3,327,251</b>	<b>3,393,796</b>

**Schedule 9.B**  
**Compliance Plan for: Division of Securities Cash Fund #213**

C.R.S. Citation	11-51-129, C.R.S.						
Action	Reduce fees at earliest possible date.						
Plan Description	Specifically, renewal fees for broker/dealers, investment firms, and representatives will be reduced to eliminate \$223,000 in revenue during FY 07-08. This reflects a fund balance target of 13.7%, and will be achieved by fee reductions of \$5 for 142,000 representatives, and \$25 for 4,000 Broker/Dealers and Advisor Firms.						
Assumptions and Calculations	As stated above, a fee reduction of \$5 for approximately 142,000 representatives will eliminate \$710,000, while a reduction of \$25 for 4,000 Broker/Dealers and Advisor Firms will eliminate \$100,000. These fees are annual. The revenue accrual process requires that not all of these reductions will impact FY 07-08. Further fee reductions or increases will be implemented in future years in order to maintain the fund balance within statutory limits.						
	<b>Fund Balance at Beginning of Fiscal Year</b>	<b>Revenue</b>		<b>Projected Expenditures</b>	<b>Projected Reserve for Fiscal Year</b>	<b>Projected 16.5% Target Reserve</b>	<b>Difference Over/(Under)</b>
		<b>Projected Earned Interest</b>	<b>Projected Assessments</b>				
FY 2008-09	286,103	0	3,327,251	3,327,251	286,103	548,996	(262,894)
FY 2009-10	286,103	0	3,393,796	3,393,796	286,103	559,976	(273,874)
FY 2010-11	286,103	0	3,461,672	3,461,672	286,103	571,176	(285,073)

**Schedule 9.A**

**Cash Fund Status for: High Cost Administration Fund #227 C.R.S. Citation: 40-15-208(1), C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	49,521	50,795	(40,656)	10,000	10,000
Exempt Revenue					
Non-Exempt Revenue	79,852	685	151,493	106,227	108,351
Total Expenditures	78,578	92,135	100,837	106,227	108,351
Ending Balance	50,795	(40,656)	10,000	10,000	10,000
Ending Balance – Cash Assets					
Reserves Increase/Decrease	1,274	(91,450)	50,656	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. Provider Assessment	77,700	0	151,493	106,227	108,351

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	49,426	0	10,000	10,000	10,000
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	12,965	15,202	16,638	17,527	17,878
Excess Uncommitted Fee Reserve Balance	36,461	(15,202)	(6,638)	(7,527)	(7,877)
Deadline for Complying with the Target/Alternative Reserve Balance:				June 30, 2009	

**Cash Fund Narrative Information**

Purpose/Background of Fund	The Colorado High Cost Support Mechanism (CHCSM) enables all Colorado citizens to receive affordable basic telephone service by using a statewide surcharge to subsidize service in areas that are costly to serve. The Fund was created using transferred funds and is supported by annual assessments against utilities. The fund exists to support administrative costs associated with the CHCSM.
Fee Sources	Assessments against utility companies.
Non-Fee Sources	Earned Interest
Long Bill Groups Supported by Fund	Public Utilities Commission
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the CHCSM.
Revenue Drivers	Initially revenues consisted only of a fund balance transfer and earned interest; however, assessments are now necessary. Revenue is dependent on the amount of the fee, which is calculated to cover costs.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations for the Public Utilities Commission. For this fund, expenditures include personal services and operating costs. Expenditures can vary based on staffing.
Assessment of Potential for Compliance	This fund is presently in compliance.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Public Utilities Commission</b>					
Personal Services	60,303	76,648	81,070	85,123	86,826
Operating Expenses	4,194	157	2,500	2,500	2,550
Indirect Cost Assessment	10,823	10,981	11,773	12,420	12,668
Centrally Appropriated Lines	3,258	4,350	5,494	6,184	6,307
<b>Division Subtotal</b>	<b>78,578</b>	<b>92,135</b>	<b>100,837</b>	<b>106,227</b>	<b>108,351</b>
<b>TOTAL</b>	<b>78,578</b>	<b>92,135</b>	<b>100,837</b>	<b>106,227</b>	<b>108,351</b>



**Schedule 9.A**

**Cash Fund Status for: Division of Banking Cash Fund #244 C.R.S. Citation: 12-2-24, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	688,385	428,849	458,944	458,944	458,944
Exempt Revenue					
Non-Exempt Revenue	3,198,031	3,738,483	3,975,931	4,174,428	4,257,917
Total Expenditures	3,457,567	3,708,388	3,975,931	4,174,428	4,257,917
Ending Balance	428,849	458,944	458,944	458,944	458,944
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(259,536)	30,095	0	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Various fees (see below)					

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	419,348	449,093	449,093	449,093	449,093
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	570,499	611,884	656,029	688,781	702,556
Excess Uncommitted Fee Reserve Balance	(151,151)	(162,791)	(206,936)	(239,688)	(253,463)
Deadline for Complying with the Target/Alternative Reserve Balance:				June 30, 2009	

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support the costs of the Division of Banking and its mission, which is to serve and protect the public interest by promoting a safe and sound financial institutions industry through continuous quality regulation and supervision.
Fee Sources	The fund is supported by assessments to financial institutions and various fees as authorized by statute, including charter applications, debt adjuster licenses, change fees, and miscellaneous minor fees. These numerous fees include percentage-based assessments as well as individual fees ranging from \$100 to \$71,000.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Banking.
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Banking.
Revenue Drivers	Assessments by institution are made bi-annually, with amounts are based on bank assets, in order to approximate program costs.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for legal services.
Assessment of Potential for Compliance	This fund is presently in compliance.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Division of Banking</b>					
Personal Services	2,583,496	2,790,945	3,010,820	3,170,209	3,233,613
Operating Expenses	258,600	270,727	283,905	279,905	285,503
Board Meetings	10,174	11,500	11,500	11,500	11,730
Indirect Cost Assessment	416,082	422,728	453,276	478,152	487,715
Centrally Appropriated Lines	189,215	212,489	216,430	234,662	239,355
<b>Division Subtotal</b>	<b>3,457,567</b>	<b>3,708,388</b>	<b>3,975,931</b>	<b>4,174,428</b>	<b>4,257,917</b>
<b>TOTAL</b>	<b>3,457,567</b>	<b>3,708,388</b>	<b>3,975,931</b>	<b>4,174,428</b>	<b>4,257,917</b>

**Schedule 9.A**

**Cash Fund Status for: Low Income Telephone Assistance Fund #251 C.R.S. Citation: 40-3.4-108, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	599,282	1,025,716	221,411	221,411	221,411
Exempt Revenue					
Non-Exempt Revenue	724,646	975,361	2,212,461	2,213,836	2,130,320
Total Expenditures	298,212	1,779,665	2,212,461	2,213,836	2,130,320
Ending Balance	1,025,716	221,411	221,411	221,411	221,411
Ending Balance – Cash Assets					
Reserves Increase/Decrease	426,436	(804,304)	(0)	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. Per-line monthly surcharge	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	1,025,716	221,411	221,411	221,411	221,411
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	250,000	250,000	250,000	250,000	250,000
Excess Uncommitted Fee Reserve Balance	775,716	(28,589)	(28,589)	(28,589)	(28,589)
Deadline for Complying with the Target/Alternative Reserve Balance:				N/A	

**Schedule 9.A**

**Cash Fund Status for: Low Income Telephone Assistance Fund #251 C.R.S. Citation: 40-3.4-108, C.R.S.**

**Cash Fund Narrative Information**

Purpose/Background of Fund	The purpose of the Fund is for the PUC to have an account in which to deposit and then allocate funds to phone companies for subsidizing phone bills for low-income telephone customers as authorized by Section 40-3.4-104, C.R.S. Part of the annual payments from the Fund are paid to the Department of Human Services to administer the Low-Income Telephone Assistance Program (there is a Long Bill line item in DHS as well as in the PUC).
Fee Sources	The Fund receives revenues from telephone companies based on the uniform monthly charge in customers' bills. Payments from and allocations to individual carriers are calculated on a net basis, so that transactions involving the fund reflect the difference between the amount collected by a carrier and disbursements owed to the carrier per the program. No fees are presently required as a trust settlement is funding required payments.
Non-Fee Sources	Earned interest and trust settlements.
Long Bill Groups Supported by Fund	Public Utilities Commission; Department of Human Services
Statutory or Other Restriction on Use of Fund	The fund may only be used for Low Income Telephone Assistance administration in PUC and DHS.
Revenue Drivers	Fees are determined by Public Utilities Commission to be adequate to cover program costs. The fund retains its earned interest.
Expenditure Drivers	Expenditures are driven by program administrative costs as well as the amount of moneys to be redistributed compared to the amount of money collected by phone companies.
Assessment of Potential for Compliance	The fund is in compliance.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Public Utilities Commission</b>					
Low Income Telephone Assistance	232,926	1,712,303	2,143,752	2,143,752	2,058,835
<b>Department of Human Services</b>					
Low Income Telephone Assistance Program	65,286	67,362	68,709	70,084	71,485
<b>Division Subtotal</b>	298,212	1,779,665	2,212,461	2,213,836	2,130,320
<b>TOTAL</b>	298,212	1,779,665	2,212,461	2,213,836	2,130,320

**Schedule 9.A**

**Cash Fund Status for: Division of Financial Services Cash Fund #272 C.R.S. Citation: 11-40-106, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	217,480	201,850	55,426	55,426	55,426
Exempt Revenue					
Non-Exempt Revenue	951,914	941,834	1,334,669	1,395,718	1,423,632
Total Expenditures	967,544	1,088,258	1,334,669	1,395,718	1,423,632
Ending Balance	201,850	55,426	55,426	55,426	55,426
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(15,630)	(146,424)	0	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. Rate in cents per \$1,000 of assets			7.23 Credit Unions		
2. Rate in cents per \$1,000 of assets			8.23 Sav. and Loans		

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	197,803	54,315	54,315	54,315	54,315
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	159,645	179,563	220,220	230,293	234,899
Excess Uncommitted Fee Reserve Balance	38,158	(125,248)	(165,905)	(175,978)	(180,584)
Deadline for Complying with the Target/Alternative Reserve Balance:				June 30, 2009	

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support the costs of the Division of Financial Services and its mission, which is to serve and protect the public interest by promoting a safe and sound financial institutions industry through continuous quality regulation and supervision.
Fee Sources	The fund is supported by assessments to financial institutions based on assets. The fees are presently 7.23 cents per \$1,000 for credit unions, and 8.23 cents per \$1,000 for savings and loans. Fees are adjusted biennially, and existing rates are presumed to be adjusted to cover expenditures.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Financial Services
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Financial Services
Revenue Drivers	Assessments by institution are made bi-annually, with amounts are based on bank assets, in order to approximate program costs.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for legal services.
Assessment of Potential for Compliance	This fund is presently in compliance.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Division of Financial Services</b>					
Personal Services	723,052	845,263	1,019,613	956,351	975,478
Operating Expenses	69,762	64,148	86,921	81,671	83,304
Indirect Cost Assessment	118,882	120,780	153,054	161,454	164,683
Centrally Appropriated Lines	55,847	58,067	75,081	196,242	200,167
<b>Division Subtotal</b>	<b>967,544</b>	<b>1,088,258</b>	<b>1,334,669</b>	<b>1,395,718</b>	<b>1,423,632</b>
<b>TOTAL</b>	<b>967,544</b>	<b>1,088,258</b>	<b>1,334,669</b>	<b>1,395,718</b>	<b>1,423,632</b>

**Schedule 9.A**

**Cash Fund Status for: Division of Insurance Cash Fund #282 C.R.S. Citation: 10-1-103, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	3,490	0	(58,558)	0	0
Exempt Revenue					
Non-Exempt Revenue	8,514,852	8,706,806	9,546,679	9,854,466	10,051,555
Total Expenditures	8,518,342	8,765,364	9,488,121	9,854,466	10,051,555
Ending Balance	0	(58,558)	0	0	0
Ending Balance – Cash Assets					
Reserves Increase/Decrease	(3,490)	(58,558)	58,558	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. License and Renewal Fees for Companies	\$500-\$3,345	\$500-\$3,345	\$500-\$3,345	\$500-\$3,345	\$500-\$3,345
2. License and Renewal Fees for Producers	\$13.50-\$250	\$13.50-\$250	\$13.50-\$250	\$13.50-\$250	\$13.50-\$250

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	(17,671)	(57,979)	(57,979)	(57,979)	(57,979)
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	1,405,526	1,446,285	1,565,540	1,625,987	1,658,507
Excess Uncommitted Fee Reserve Balance	(1,423,197)	(1,504,264)	(1,623,519)	(1,683,966)	(1,716,486)

Deadline for Complying with the Target/Alternative Reserve Balance:

June 30, 2009

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support the costs of the Division of Insurance and its mission of consumer protection in the insurance industry.
Fee Sources	The fund is supported by business and professional license and renewal fees (types include life/health, property/casualty, viaticals, surplus lines, and bail bonds).
Non-Fee Sources	Earned interest and premium tax revenue is deposited into the fund to supplement fee revenue to fully cover the expenditures each year.
Long Bill Groups Supported by Fund	Division of Insurance; Pursuant to HB 01-1097, this fund also supports the Certified Capital Companies program in the Office of Economic Development of the Governor's Office.
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Insurance, fraud prosecution in the Department of Law, and the Certified Capital Companies program in the Office of Economic Development.
Revenue Drivers	Fee amounts are set in statute and by rule, and the number of units affects fee revenue. The remainder of revenue is from premium tax collections that would otherwise be deposited in the General Fund.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations for the Division of Insurance, Department of Law, and Office of Economic Development. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for legal services.
Assessment of Potential for Compliance	This fund is presently in compliance. Because premium tax revenue is deposited into the fund only to cover expenditures not covered by fee revenue, there is little if any fund balance each year.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Division of Insurance</b>					
Personal Services					
Operating Expenses	5,410,982	5,485,319	6,107,462	5,852,418	5,969,466
Insurance Fraud Prosecution	276,501	286,532	383,944	383,869	391,546
Indirect Cost Assessment	272,895	585,868	787,018	787,018	802,758
Centrally Appropriated Lines/Other	832,164	850,848	924,212	1,007,136	1,013,435
<b>Department of Law</b>	1,634,187	1,412,171	1,001,841	1,734,480	1,783,014
Insurance Fraud Prosecution (non-add)	272,895	585,868	787,018	787,018	802,758
<b>Governor's Office</b>					
Certified Capital Companies	88,123	86,068	87,789	89,545	91,336
<b>Division Subtotal</b>	8,514,852	8,706,806	9,292,266	9,854,466	10,051,555
<b>TOTAL</b>	8,514,852	8,706,806	9,292,266	9,854,466	10,051,555



**Schedule 9.A**

Cash Fund Status for: *Multiple Employer Welfare Arrangement (MEWA) Cash Fund #16Q C.R.S. Citation: 10-16-902(6), C.R.S.*

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	670	670	670	670	670
Exempt Revenue					
Non-Exempt Revenue	0	0	0	0	0
Total Expenditures	0	0	0	0	0
Ending Balance	670	670	670	670	670
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	0	0	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. Application Fee	\$500	\$500	\$500	\$500	500
2. Renewal Fee	\$670-\$3,345	\$670-\$3,345	\$670-\$3,345	\$670-\$3,345	\$670-\$3,345

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	670	0			
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	0	0	0	0	0
Excess Uncommitted Fee Reserve Balance	670	0	0	0	0
Deadline for Complying with the Target/Alternative Reserve Balance:				N/A	

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support the costs of the Multiple Employer Welfare Arrangement (MEWA) pilot program in the Division of Insurance.
Fee Sources	The fund is supported by fees imposed by the Commissioner of Insurance on participating MEWAs, including a \$500 application fee (for background investigation and review) as well as annual fees ranging from \$670-\$3,345 on each MEWA depending on respective premiums, charges, and funds. There is a maximum of 18 MEWAs for the program.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Insurance
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover the direct and indirect costs of the MEWA program.
Revenue Drivers	The number and volume of participating MEWAs affects the amount of fee revenue.
Expenditure Drivers	The workload in investigating, reviewing, and administering the MEWA program.
Assessment of Potential for Compliance	This fund is presently in compliance. Expenditures and revenues are less than \$50,000.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Division of Insurance</b>					
Personal Services	0	0	0	0	0
<b>Division Subtotal</b>	0	0	0	0	0
<b>TOTAL</b>	0	0	0	0	0

**Schedule 9.A**

**Cash Fund Status for: Mandated Health Insurance Cash Fund #16U C.R.S. Citation: 10-16-103.3(8), C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	10,536	10,536	10,536	10,536	10,536
Exempt Revenue					
Non-Exempt Revenue	0	0	0	0	0
Total Expenditures	0	0	0	0	0
Ending Balance	10,536	10,536	10,536	10,536	10,536
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	0	0	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
No fees are presently assessed for this fund.					

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	10,536	0	0	0	0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	0	0	0	0	0
Excess Uncommitted Fee Reserve Balance	10,536	0	0	0	0
Deadline for Complying with the Target/Alternative Reserve Balance:				N/A	

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support the costs of the Commission on Mandated Health Insurance Benefits, which assesses the impact of mandated health insurance benefits in Colorado.
Fee Sources	Fees assessed to health carriers.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Insurance
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover the direct and indirect costs of the Mandated Health Commission.
Revenue Drivers	Fees set to cover expenditures for administrative support of the Commission.
Expenditure Drivers	Expenditures are for administrative support of the Commission; however, no costs for this purpose have been incurred in recent years. Future costs are subject to frequency of meetings and required support of the Commission, which has been negligible in recent years.
Assessment of Potential for Compliance	This fund is presently in compliance. Expenditures and revenues are less than \$50,000.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Division of Insurance</b>					
Personal Services					
<b>Division Subtotal</b>	0	0	0	0	0
<b>TOTAL</b>	0	0	0	0	0

**Schedule 9.A**

**Cash Fund Status for: Prescription Drug Monitoring Cash Fund #18S C.R.S. Citation: 12-22-706, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	0	0	0	0	0
Exempt Revenue	0				
Non-Exempt Revenue	0	50,814	252,959	252,959	252,959
Total Expenditures	0	50,814	252,959	252,959	252,959
Ending Balance	0	0	0	0	0
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	0	0	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. Beginning in FY 07-08, an assessment against all licensees having prescriptive authority will be charged pursuant to statute.	N/A	N/A	\$7.50	\$7.50	\$7.50

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)					
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)					
Excess Uncommitted Fee Reserve Balance					
Deadline for Complying with the Target/Alternative Reserve Balance:				N/A	

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support the costs of the Division in implementing the Prescription Drug Monitoring Program.
Fee Sources	The only fee source is a newly authorized assessment against licensees having prescriptive authority, including optometrists, podiatrists, nurses, physicians, dentists, and veterinarians.
Non-Fee Sources	Federal grants, gifts grants and donations, and earned interest.
Long Bill Groups Supported by Fund	Division of Registrations
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Registrations.
Revenue Drivers	Fees set annually so that revenue generated approximates program costs whenever grants and donations are expected to me insufficient. Actual revenue will depend on the number of payers.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations as well as available grant funding. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for legal services.
Assessment of Potential for Compliance	This fund is presently in compliance. Prior to FY 07-08, revenue for this fund has not been from state fees. Beginning in FY 07-08, state fees will begin; however, the limit will not yet apply because it is the first two fiscal years of the program and cash fund.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Division of Registrations - PDMP</b>					
Prescription Drug Monitoring		50,814	252,959	252,959	252,959
<b>Division Subtotal</b>	0	50,814	252,959	252,959	252,959
<b>TOTAL</b>	0	50,814	252,959	252,959	252,959

**Schedule 9.A**

**Cash Fund Status for: Viatical Settlements Cash Fund #18W C.R.S. Citation: 10-7-619, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	0	4,964	16,234	21,746	17,746
Exempt Revenue					
Non-Exempt Revenue	10,590	11,270	10,000	8,000	8,000
Total Expenditures	5,626	0	4,488	12,000	12,000
Ending Balance	4,964	16,234	21,746	17,746	13,746
Ending Balance – Cash Assets					
Reserves Increase/Decrease	4,964	11,270	5,512	(4,000)	(4,000)

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
1. Per Company License Fees		\$500	\$500	\$500	\$500
2. Per Producer License Fees		\$30	\$30	\$15	\$15

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	4,964	16,234	16,234	16,234	16,234
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	928	0	741	1,980	1,980
Excess Uncommitted Fee Reserve Balance	4,036	16,234	15,493	14,254	14,254
Deadline for Complying with the Target/Alternative Reserve Balance:				N/A	

**Cash Fund Narrative Information**

Purpose/Background of Fund	The purpose of the fund is to support the costs of regulating the activities of viatical settlement producers and providers involving agreements to assign, transfer, sell or bequest the death benefit or ownership of a life insurance policy in exchange for compensation less than the expected death benefit.
Fee Sources	The fund is supported by fees assessed against viatical settlement providers and life insurance producers.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Insurance Personal Services.
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Insurance's work related to viatical settlements.
Revenue Drivers	The number of viatical settlement providers and life insurance producers. Fees will be reduced in FY 08-09 and beyond to reflect expenditure levels.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services. Expenditures can vary based on staffing and the need for legal services. \$4,488 is appropriated for the current year, after which it is expected that \$12,000 will become the annual level of expenditures.
Assessment of Potential for Compliance	This fund is presently in compliance. Expenditures and revenues are less than \$50,000.

Action  Already in Compliance  Statute Change<sup>1</sup>  Planned Fee Reduction<sup>1</sup>

Planned One-time Expenditure(s)<sup>1</sup>  Planned Ongoing Expenditure(s)<sup>1</sup>  Waiver<sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
Division of Insurance					
Personal Services	5,626	0	4,488	12,000	12,000
<b>Division Subtotal</b>	<b>5,626</b>	<b>0</b>	<b>4,488</b>	<b>12,000</b>	<b>12,000</b>
<b>TOTAL</b>	<b>5,626</b>	<b>0</b>	<b>4,488</b>	<b>12,000</b>	<b>12,000</b>



**Schedule 9.A**

**Cash Fund Status for: Mortgage Broker Registration Cash Fund #19U C.R.S. Citation: 12-61-908(2), C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	0	0	1,110,471	510,834	310,834
Exempt Revenue					
Non-Exempt Revenue	0	1,198,940	100,000	1,696,792	1,934,728
Total Expenditures	0	88,469	699,637	1,896,792	1,934,728
Ending Balance	0	1,110,471	510,834	310,834	310,834
Ending Balance – Cash Assets					
Reserves Increase/Decrease	0	1,110,471	(599,637)	(200,000)	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Under the previously existing program, broker license fees were set at \$200 initially. However, changes based on the passage of new requirements and additional expenditures in other Departments will prompt fee adjustments that are not yet complete. This section will be updated.					

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)				310,834	310,834
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)				312,971	319,230
Excess Uncommitted Fee Reserve Balance				(2,137)	(8,396)
Deadline for Complying with the Target/Alternative Reserve Balance:				June 30, 2009	

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support the costs of the Division in implementing mortgage broker registrations as originally required by HB 06-1161 and as newly required by four bills passed during the 2007 legislative session.
Fee Sources	Application fees for mortgage brokers, and from their annual renewal fees.
Non-Fee Sources	Earned interest.
Long Bill Groups Supported by Fund	Division of Real Estate
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Division of Real Estate and the Department of Law related to mortgage brokers.
Revenue Drivers	Fees set annually so that revenue generated approximates program costs. Actual revenue will depend on the number of payers.
Expenditure Drivers	Expenditures are driven by program costs and correspond to legislative appropriations. For this fund, expenditures include personal services, associated operating expenses, and legal services. Expenditures can vary based on staffing and the need for hearings and legal services.
Assessment of Potential for Compliance	Compliance is not required for the first two years of the fund and program. However, compliance is expected during FY 08-09 and fees will be set accordingly.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Division of Real Estate</b>					
Personal Services	0	88,469	369,786	423,296	431,762
Operating Expenses/Other	0	0	48,036	38,450	39,219
Mortgage Broker Consumer Protection	0	0	0	733,735	748,410
Indirect Cost Assessment	0	0	64,463	75,221	76,725
Centrally Appropriated Lines	0		217,353	626,090	638,612
<b>Department of Law</b>					
Mortgage Broker Consumer Protection	0	0	0	0	0
<b>Division Subtotal</b>	0	88,470	699,637	1,896,792	1,934,728
<b>TOTAL</b>	0	88,470	699,637	1,896,792	1,934,728

**Schedule 9.A**

**Cash Fund Status for: Health Care Reform Cash Fund #19W C.R.S. Citation: 10-16-131, C.R.S.**

**Cash Fund Revenue and Expenditure Trend Information**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Beginning Balance	0	100,000	10,325	0	0
Exempt Revenue	100,000	69,067			
Non-Exempt Revenue	0	0	0	0	0
Total Expenditures	0	158,742	10,325	0	0
Ending Balance	100,000	10,325	0	0	0
Ending Balance – Cash Assets					
Reserves Increase/Decrease	100,000	(89,675)	(10,325)	0	0

**Fee Levels**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
None					

**Cash Fund Reserve Balance**

	<b>Actual FY 2005-06</b>	<b>Actual FY 2006-07</b>	<b>Estimate FY 2007-08</b>	<b>Request FY 2008-09</b>	<b>Projected FY 2009-10</b>
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	0	0	0	0	0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	0	26,192	1,704	0	0
Excess Uncommitted Fee Reserve Balance	0	(26,192)	(1,704)	0	0
Deadline for Complying with the Target/Alternative Reserve Balance:					N/A

**Cash Fund Narrative Information**

Purpose/Background of Fund	The fund exists to support the costs of the activities of the Health Care Reform Commission established in SB 06-208. The Commission is not overseen by the Department.
Fee Sources	None.
Non-Fee Sources	Federal grants, gifts grants and donations, and earned interest. The fund received a \$100,000 GF appropriation in FY 05-06 and an additional \$65,000 in revenue from gifts, grants, and donations in FY 06-07 plus \$4,000 in interest earnings.
Long Bill Groups Supported by Fund	Division of Insurance
Statutory or Other Restriction on Use of Fund	The fund may only be used to cover costs of the Health Care Reform Commission.
Revenue Drivers	General Assembly appropriations and gifts.
Expenditure Drivers	Expenditures reflect the amount of work done by the Commission.
Assessment of Potential for Compliance	This fund is presently in compliance; this fund consists entirely of non-fee revenue.
Action	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>1</sup> <input type="checkbox"/> Planned Fee Reduction <sup>1</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>1</sup> <input type="checkbox"/> Waiver <sup>2</sup>

**Cash Fund Expenditure Line Item Detail and Change Requests**

	Actual FY 2005-06	Actual FY 2006-07	Estimate FY 2007-08	Request FY 2008-09	Projected FY 2009-10
<b>Division of Insurance</b>					
Health Care Reform Commission	0	158,742	10,325	0	0
<b>Division Subtotal</b>	0	158,742	0	0	0
<b>TOTAL</b>	0	158,742	0	0	0