

COLORADO SEXUALLY VIOLENT PREDATOR ASSESSMENT SCREENING INSTRUMENT

Pursuant to 18-3-414.5, C.R.S.

This assessment must be completed for all adult cases convicted on or after July 1, 1999 for specific sex crimes—including attempt, solicitation or conspiracy to commit those crimes--on or after July 1, 1997. The completed assessment must accompany the pre-sentence report and the mental health sex offense specific evaluation submitted to the court/parole board. According to 18-3-414.5(2) and (3), C.R.S.: “Based on the results of such assessment, the court/parole board shall make specific findings of fact and enter an order” concerning whether the defendant is a sexually violent predator. This assessment instrument combines empirical research conducted by the Division of Criminal Justice (Part 3) with additional criteria specified by the Colorado Sex Offender Management Board (Part 2).

ASSESSMENT SUMMARY:

Probation officers or trained DOC staff/contractors, based on the information provided on the following pages, please check the boxes that apply. Checks in boxes I, II, III and IVa or IVb or IVc indicate that the person satisfies the legislative criteria for the definition of sexual predator pursuant to 18-3-414.5(1), C.R.S.

- (I) The defendant is 18 years of age or older or has been tried as an adult, and has been convicted of, or received a deferred judgment and sentence for, one of the five crimes defined in Part 1, pursuant to 18-3-414.5 C.R.S., as revised to include attempt, solicitation, or conspiracy.
- (II) The conviction occurred on or after July 1, 1999 for a crime committed on or after July 1, 1997, pursuant to 18-3-414.5.
- (III) The victim was a stranger to the offender (Part 2A), **OR** the defendant established a relationship primarily for the purpose of sexual victimization (Part 2B), **OR** the defendant promoted a relationship primarily for the purpose of sexual victimization (Part 2C), pursuant to 18-3-414.5, C.R.S.
- (IVa) The defendant scores 4 or more on the Sex Offender Risk Scale (SORS, Part 3A), pursuant to 18-3-414.5 and 16-11.7-103(4)(c.5), C.R.S.
- (IVb) Meets additional risk criteria (Part 3B), pursuant to 16-11.7-103(4)(c.5), C.R.S.
- (IVc) The defendant scores 3 or more on Part C.

COURT/PAROLE BOARD FINDING:

18-3-414.5(2), C.R.S. states: “Based on the results of such assessment, the court/parole board shall make specific findings of fact and enter an order concerning whether the defendant is a sexually violent predator.” Probation officer or trained DOC staff, based on the court’s/ parole board’s decision, please check the box that applies.

- The court/parole board finds this person to meet the criteria specified in 18-3-414.5, C.R.S., sexually violent predator.
- The court/parole board finds this person to meet the criteria specified in 18-3-414.5(1), C.R.S., but the court does NOT find the person to be a sexually violent predator.
- The court/parole board finds this person does NOT meet the criteria specified in 18-3-414.5, C.R.S., sexually violent predator.

PART C: OFFENDERS WHO REFUSE TO PARTICIPATE IN THE SVP INTERVIEW

Please check the box below if the offender refused to participate in the interview required to complete the 10-item SOMB Sex Offender Risk Scale (SORS), and therefore the 6-item scale in Part C was used.

- Yes, the offender refused to participate in the interview and Part C was completed.
- Offender scored 3 or more on the 6-item scale.

Placement Decision: Probation Community Corrections DOC Parole

Following the court finding, *Probation Officers* must mail or fax *all completed pages* within one month to:

Chris Rowe
Division of Probation Services
1301 Pennsylvania Street, Ste 300
Denver, CO 80203
Fax: (303) 837-2340

Following the parole board’s finding, *trained DOC staff* must mail or fax *all completed pages* within one month to:

Nicole Burrell
Division of Criminal Justice
700 Kipling Street, Ste 1000
Denver, CO 80215
Fax: (303) 239-4491

BACKGROUND:

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Probation officers and sex offender evaluators listed on the Sex Offender Management Board (SOMB) provider list or trained DOC staff/contractors will complete this instrument on every sex offender that meets the following criteria:

(I) Is 18 years of age or older at the date of the offense, or who is younger but is tried as an adult pursuant to section 19-2-517 or 19-2-518, C.R.S.

(II) Has been convicted* on or after July 1, 1999 of one of the following offenses, including an **ATTEMPT, SOLICITATION OR CONSPIRACY TO COMMIT ONE OF THE FOLLOWING**, on or after July 1, 1997:

(II) Has been convicted* on or after July 1, 1999 of one of the following offenses, including an **ATTEMPT, SOLICITATION OR CONSPIRACY TO COMMIT ONE OF THE FOLLOWING**, on or after July 1, 1997:

- o Sexual assault, in violation of section 18-3-402, C.R.S., or sexual assault in the first degree in violation of section 18-3-402, C.R.S. as it existed prior to July 1, 2000;
- o Sexual assault, in the second degree in violation of section 18-3-403, C.R.S., as it existed prior to July 1, 2000;
- o Unlawful sexual contact, in violation of section 18-3-404(1.5) or (2), C.R.S., or sexual assault in the third degree, in violation of section 18-3-404(1.5) or (2), C.R.S., as it existed prior to July 1, 2000;
- o Sexual assault on a child, in violation of sections 18-3-405, C.R.S.; or
- o Sexual assault on a child by one in a position of trust, in violation of section 18-3-405.3, C.R.S.

(III) Whose victim was one of the following (per 18-3-414.5(1)(a)(III), C.R.S.):

- o A stranger to the offender (see Part 2A on page 4 of this form), **or**
- o A person with whom the offender established a relationship primarily for the purpose of sexual victimization (see Part 2B on page 4 of this form), **or****
- o A person with whom the offender promoted a relationship primarily for the purpose of sexual victimization (see Part 2C on page 5 of this form).

(IV) Pursuant to 18-3-414.5(1)(a)(IV), C.R.S. and 16-11.7-103(4)(c.5), C.R.S., is likely to subsequently commit one or more of the offenses specified in 18-3-414.5(II)(a), C.R.S. under the circumstances described in 18-3-414.5(III)(a), C.R.S., according to the scores derived from the SOMB actuarial risk assessment instrument (Part 3A, or Part 3B if available, or Part C if necessary, of this form), specifically pursuant to 16-11.7-103(4)(c.5), C.R.S.

(V) Once the form is completed by the probation officer and the evaluator or trained DOC staff or contractor, it should be forwarded to the court/parole board, pursuant to 18-3-414.5(2) and (3) C.R.S. Based on the results of the assessment (included on the following pages of this form), the court/parole board shall make specific findings of fact and enter an order concerning whether the defendant is a sexually violent predator.

An offender found to be a sexually violent predator is required to register with the local law enforcement agency in the jurisdiction in which they reside within five days of becoming a temporary or permanent resident, and on a quarterly basis thereafter, for the remainder of his or her natural life, pursuant to Section 16-22-108(1)(d), C.R.S. Offenders found to be sexually violent predators will also be placed on the internet listing of sex offenders maintained by the Colorado Bureau of Investigations (CBI) and linked to the State of Colorado's homepage, pursuant to Section 16-22-111, C.R.S. and shall be subject to community notification pursuant to Section 16-13-903, C.R.S.

*Convicted includes having pleaded guilty or nolo contendere, or having received a deferred judgment and sentence per 18-3-414.5(b).

**The members of the Sex Offender Management Board determined that the three relationship categories are mutually exclusive. This document reflects the Board's decision.

INSTRUCTIONS:

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OVERVIEW

- o This instrument requires information from **both** the Pre-Sentence Investigation writer and the SOMB-listed sex offender evaluator; once complete, the instrument must be forwarded to the court.
- o For **Department of Corrections** cases, a trained DOC staff member or contractor must complete the instrument and forward it to the parole board when the offender is considered for release.
- o All completed forms for **Probation** must be faxed or mailed to the **Division of Probation Services**, and those from the **Department of Corrections** should be mailed or faxed to the **Division of Criminal Justice** (see cover page).
- o Pursuant to 16-22-108(1)(d)(I), C.R.S., the **parole board** "shall make specific findings concerning whether the offender is a sexually violent predator" based on the results of this assessment conducted by DOC. If the parole board finds an offender meets the criteria defined in this instrument, the offender is required to register pursuant to 16-22-108(1)(d)(I), C.R.S.

PROBATION OFFICER

The probation officer completes **Part 1** and **Part 3A items 1 through 6**. The probation officer then forwards the instrument to the SOMB-listed sex offender evaluator *along with police reports and victim statements*. If either police reports or victim statements are NOT forwarded with this instrument to the evaluator, please indicate why here:

Sections of this instrument to be completed by the probation officer are designated with: **P**

EVALUATOR

The evaluator completes **Part 2, Part 3A items 7 through 10, Part 3B if available, and if appropriate Part C, including the Instrument Summary**. The evaluator then returns the completed instrument to the probation officer, along with the completed mental health sex offense specific evaluation, pursuant to C.R.S. 16-11.7-104(1).

Sections of this instrument to be completed by the mental health evaluator are designated with: **E**

TRAINED DOC STAFF

The trained DOC staff or contractor must complete the **entire form (Parts 1, 2, 3A, 3B if the information is available and, if necessary, Part C)**.

Data Sources used to complete this instrument must be identified:

Please choose from the following data sources when completing Parts 2, 3 and, when necessary, Part C.

1. Criminal History
2. Pre-Sentence Investigation Process
3. Police Report
4. Mental Health Evaluation
5. Official Record/Documentation
6. Child Protection or Social Service Records
7. Demographic Information
8. NCIC
9. Education Records
10. Victim Report (self report or from any data source)
11. Sexual History (official record, self report)
12. Sex Offense Specific Mental Health Evaluation
13. Prison Record
14. Self-Report
15. CCIC
16. Results of a Plethysmograph Examination or an Abel Screen (SOMB Standards)
17. Polygraph
18. Other (Specify) _____

PART 1

COLORADO	SEXUALLY VIOLENT PREDATOR	ASSESSMENT SCREENING INSTRUMENT
P	Probation Officer or Trained DOC Staff/Contractor Please Complete Part 1	P 4 of 9

CLIENT INFORMATION

Offender's First Name:		Offender's Last Name:		CC#: (Court Case Number)	
SS#:		SID#:		ML#:	
DOB: (MM-DD-YYYY)					
Gender: <input type="checkbox"/> Male <input type="checkbox"/> Female		Ethnicity: <input type="checkbox"/> Anglo <input type="checkbox"/> Black		<input type="checkbox"/> Hispanic <input type="checkbox"/> Other	
PO Name: (Does not apply to DOC cases)			PO Telephone Number: (Does not apply to DOC cases)		
Date Forwarded to Evaluator: (Does not apply to DOC cases)			Judicial District: (Does not apply to DOC cases)		
SOMB Evaluator/Trained DOC Staff Name:			Evaluator/Trained DOC Staff Telephone Number:		
Date of Evaluation:			Date Returned to PO: (Does not apply to DOC cases)		

DEFINING SEXUAL ASSAULT CRIMES (18-3-414.5(1) C.R.S.)

The offender is 18 years of age or older as of the date the crime was committed or is tried as an adult pursuant to 19-2-517 or 19-2-518, C.R.S.; the offender was convicted on or after July 1, 1999 of one of the following crimes committed on or after July 1, 1997. **Attempts, solicitations, and conspiracies apply.** Conviction includes pleas of guilty and nolo contendere or having received a **deferred judgment and sentence.**

Please check the box indicating which of the five crimes qualifies the offender for this assessment.

- Sexual assault, or sexual assault in the first degree, in violation of section 18-3-402, C.R.S. as it existed prior to July 1, 2000;
- Sexual assault, in the second degree in violation of section 18-3-403, C.R.S. as it existed prior to July 1, 2000;
- Unlawful sexual contact, in violation of section 18-3-404(1.5) or (2), C.R.S. or sexual assault in the third degree, in violation of section 18-3-404(1.5) or (2), C.R.S. as it existed prior to July 1, 2000;
- Sexual assault on a child, in violation of section 18-3-405, C.R.S.; or
- Sexual assault on a child by one in a position of trust, in violation of section 18-3-405.3,

Meets **DEFINING SEXUAL ASSAULT CRIMES** Criterion: Yes No

This crime was an **Attempt Solicitation, or Conspiracy:** Yes No

PLEASE PROCEED TO PART 2

PART 2

COLORADO	SEXUALLY VIOLENT PREDATOR	ASSESSMENT SCREENING INSTRUMENT
E	Evaluator or Trained DOC Staff/Contractor Please Complete Part 2	P 5 of 9

The following criteria were developed by representatives of the Sex Offender Management Board, the Judicial Department and the Department of Corrections to assist the court and the state board of parole (per 16-11.7-103(4)(e), C.R.S.) in the identification of "undue risk." For purposes of this document, undue risk includes the designation of sexual predator as outlined in 18-3-414.5(III) C.R.S.

THE OFFENDER MUST MEET ONE OF THE FOLLOWING THREE SEXUALLY VIOLENT PREDATOR RELATIONSHIP DEFINITIONS: 1) STRANGER, OR 2) ESTABLISHED A RELATIONSHIP, OR 3) PROMOTED A RELATIONSHIP.

A. STRANGER

Pursuant to 18-3-414.5(1)(a)(III), C.R.S. the victim is a **stranger** to the offender when the victim has never known or met the offender, or has met the offender in such a casual manner as to have little or no familiar or personal knowledge of said offender, prior to the current offense.

Meets **STRANGER** Criterion: Yes No (If YES, go to Summary on page 6. If NO, continue in Part 2.)

Select the appropriate data source(s):

- | | |
|--|--|
| <input type="checkbox"/> 1. Criminal History
<input type="checkbox"/> 2. Pre-Sentence Investigation Process
<input type="checkbox"/> 3. Police Report
<input type="checkbox"/> 4. Mental Health Evaluation
<input type="checkbox"/> 5. Official Record/Documentation
<input type="checkbox"/> 6. Child Protection or Social Service Records
<input type="checkbox"/> 7. Demographic Information
<input type="checkbox"/> 8. NCIC
<input type="checkbox"/> 9. Education Records | <input type="checkbox"/> 10. Victim Report (self report or from any data source)
<input type="checkbox"/> 11. Sexual History (official record, self report)
<input type="checkbox"/> 12. Sex Offense Specific Mental Health Evaluation
<input type="checkbox"/> 13. Prison Record
<input type="checkbox"/> 14. Self-Report
<input type="checkbox"/> 15. CCIC
<input type="checkbox"/> 16. Results of a Plethysmograph Examination or an Abel Screen (SOMB Standards)
<input type="checkbox"/> 17. Polygraph
<input type="checkbox"/> 18. Other (Specify) |
|--|--|

B. ESTABLISHED A RELATIONSHIP

Consider only when stranger does not apply.

Pursuant to 18-3-414.5(1)(a)(III), C.R.S., the offender **established a relationship** primarily for the purpose of sexual victimization when **any two of the following criteria** are present (check all that apply). List all data sources used in the box below.

- The offender has a history of multiple victims and similar behavior.
- The offender has actively manipulated the environment to gain access to this victim.
- The offender introduced sexual content in the relationship (introduction of pornography, inappropriate discussion of sexual relations with child).
- The offender persisted in the introduction of sexual contact or inappropriate behavior of a sexual nature despite lack of consent or the absence of the ability to consent.

Meets **ESTABLISHED A RELATIONSHIP** Criteria: (Offender must meet at least two of the above items to meet "established a relationship" criteria).

Yes No (If YES, go to Summary on page 6. If NO, continue in Part 2.)

Select the appropriate data source(s):

- | | |
|--|--|
| <input type="checkbox"/> 1. Criminal History
<input type="checkbox"/> 2. Pre-Sentence Investigation Process
<input type="checkbox"/> 3. Police Report
<input type="checkbox"/> 4. Mental Health Evaluation
<input type="checkbox"/> 5. Official Record/Documentation
<input type="checkbox"/> 6. Child Protection or Social Service Records
<input type="checkbox"/> 7. Demographic Information
<input type="checkbox"/> 8. NCIC
<input type="checkbox"/> 9. Education Records | <input type="checkbox"/> 10. Victim Report (self report or from any data source)
<input type="checkbox"/> 11. Sexual History (official record, self report)
<input type="checkbox"/> 12. Sex Offense Specific Mental Health Evaluation
<input type="checkbox"/> 13. Prison Record
<input type="checkbox"/> 14. Self-Report
<input type="checkbox"/> 15. CCIC
<input type="checkbox"/> 16. Results of a Plethysmograph Examination or an Abel Screen (SOMB Standards)
<input type="checkbox"/> 17. Polygraph
<input type="checkbox"/> 18. Other (Specify) |
|--|--|

Continue in Part 2

PART 2 Continued

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C. PROMOTED A RELATIONSHIP

Consider only when stranger or established a relationship criteria above do not apply.

Pursuant to 18-3-414.5(1)(a)(III), C.R.S., the offender **promoted an existing relationship** primarily for the purpose of sexual victimization when **the first item below is present and any other item is present** (*check all that apply*). List all data sources used in the box below.

- The offender took steps to change the focus of the relationship to facilitate the commission of a sexual assault such as but not limited to planning, increased frequency of contact, introduction of inappropriate sexual contact, stalking, seduction or drugging of the victim,
- AND**
- The offender engaged in contact with the victim that was progressively more sexually intrusive, or
 - The offender used or engaged in threat, intimidation, force or coercion in the relationship, or
 - The offender engaged in repetitive non-consensual sexual contact, or
 - The offender established control of the victim through means such as but not limited to emotional abuse, physical abuse, financial control or isolation of the victim in order to facilitate the sexual assault.

Meets **PROMOTED A RELATIONSHIP** Criteria: (*The promoted criteria are met when the first bullet and at least one of the bottom four bullets apply*).

Yes No

Select the appropriate data source(s):

- | | |
|--|--|
| <ul style="list-style-type: none"> <input type="checkbox"/> 1. Criminal History <input type="checkbox"/> 2. Pre-Sentence Investigation Process <input type="checkbox"/> 3. Police Report <input type="checkbox"/> 4. Mental Health Evaluation <input type="checkbox"/> 5. Official Record/Documentation <input type="checkbox"/> 6. Child Protection or Social Service Records <input type="checkbox"/> 7. Demographic Information <input type="checkbox"/> 8. NCIC <input type="checkbox"/> 9. Education Records | <ul style="list-style-type: none"> <input type="checkbox"/> 10. Victim Report (self report or from any data source) <input type="checkbox"/> 11. Sexual History (official record, self report) <input type="checkbox"/> 12. Sex Offense Specific Mental Health Evaluation <input type="checkbox"/> 13. Prison Record <input type="checkbox"/> 14. Self-Report <input type="checkbox"/> 15. CCIC <input type="checkbox"/> 16. Results of a Plethysmograph Examination or an Abel Screen (SOMB Standards) <input type="checkbox"/> 17. Polygraph <input type="checkbox"/> 18. Other (Specify) |
|--|--|

SUMMARY OF PART 2 RELATIONSHIP INFORMATION

A. Meets STRANGER Criterion:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA because "B" or "C" is Yes
B. Meets ESTABLISHED A RELATIONSHIP Criteria:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA because "A" or "C" is Yes
C. Meets PROMOTED A RELATIONSHIP Criteria:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA because "A" or "B" is Yes

If A, B, or C is yes, please proceed to Part 3.

PART 3A

3A. SOMB SEX OFFENDER RISK SCALE (SORS)

Pursuant to 16-11.7-103(4)(c.5), C.R.S. the Division of Criminal Justice worked in consultation with the Sex Offender Management Board (SOMB) to develop an actuarial risk assessment scale to be used in the identification of an offender's risk to fail supervision/treatment. Data on demographic, index crime, criminal/juvenile history, sexual history and therapy/attitude characteristics were studied. Failure was measured at 12 and 30 months. **Two-thirds of convicted male sex offenders scoring 4 or more on the 10-item scale below were nearly four times as likely (371%) to fail supervision or treatment as someone scoring below 4. Nine studies of sex offenders have found supervision or treatment failure to be correlated with the subsequent commission of a new sex crime. Women who score 0-3 are considered low risk. Women who score 4-8 are considered high risk but the probability for revocation is unknown due to the small number of women in the study.** Please refer to the "Handbook: Sexually Violent Predator Risk Assessment Instrument Background and Instruction, June 2003" for additional information (available at http://dcj.state.co.us/ors/risk_assessment.htm).

Each item is scored 1 (YES) or 0 (NO). Missing information must be coded 0. Please indicate the data source(s) (the list of sources can be found on page 3).

P Probation Officer or Trained DOC Staff Please Complete Items 1 through 6

NA	YES	NO	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1. The offender has one or more juvenile felony adjudications. (Include attempts and conspiracies but <u>not</u> deferred judgments). Data Source(s) _____
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	2. The offender has one or more prior adult felony convictions. (Include attempts and conspiracies and deferred judgments/sentences). Data Source(s) _____
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3. The offender was employed less than full-time at arrest. This does not apply to women. (Part-time, sporadic, or day labor is not considered full-time. Full time student or multiple, concurrent, stable part-time jobs are considered full-time employment. Being disabled or retired is not considered full-time employment and must be coded yes. Full -time work refers to 35 or more hours per week). Data Source(s) _____
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4. The offender failed first or second grade. (Whatever the reason, if the offender failed these grades in elementary school, and was held back or repeated the grade, this item scores "yes". Probation Officers may need to work closely with the SOMB evaluator and polygraph examiner to obtain this information). Data Source(s) _____
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	5. The offender possessed a weapon during the current crime. (A weapon is defined as a gun, knife, or object that could be used to intimidate or harm a victim. The offenders need only to possess the weapon during the crime, not use the weapon. If the victim was led to believe that a weapon was present, regardless if it was, score this criterion "yes"). Data Source(s) _____
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	6. The victim had ingested or was administered alcohol or drugs during or immediately prior to the current crime. Data Source(s) _____

E Evaluator or Trained DOC Staff Please Complete Items 7 through 10

NA	YES	NO	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	7. The offender reports that he was NOT sexually aroused during the current crime. This does not apply to women. (Sexual arousal refers to an erection. The erection must have been sustained throughout the sexual assault. Data sources include self-report and/or corroborating documentation such as the victim report and police report). Note: If the offender was NOT aroused, mark yes. Data Source(s) _____
			<i>These last three items on this scale are scored from the Colorado Sex Offender Management Board (SOMB) Checklist (attached).</i>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	8. The offender scored 20 or above on the COLORADO-SOMB Denial Scale.
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9. The offender scored 20 or above on the COLORADO-SOMB Deviancy Scale.
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10. The offender scored 20 or below on the COLORADO-SOMB Motivation Scale.

TOTAL (Add up all the "Yes" responses in Part 3A)

Meets DCJ SEX OFFENDER RISK SCALE Criteria: (Total score of 4 or more "Yes" responses for both men and women)

Yes No

PLEASE PROCEED TO 3B

PART 3B

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3B. ADDITIONAL INFORMATION WHEN AVAILABLE

Mental abnormality is referenced in 16-11.7-103(4)(c.5), C.R.S. and is addressed in the 10-Item SORS in Part 3A. Additional information may be gathered here in Part 3B. Mental abnormality, according to statute, "means a congenital or acquired condition that affects the emotional or volitional capacity of a person in a manner that predisposes that person to the commission of a criminal sexual act...." DCJ research found an offender may be at additional risk when he or she scores:

- 18 or more on the Psychopathy Check List Short Version (PCL-SV), **OR**
- 30 on the Psychopathy Check List Revised PCL-R, **OR**
- 85 or more on **each** of the following Millon Clinical Multiaxial Inventory (MCMI-III) scales: narcissistic, antisocial, and paranoid.

If the information is available, please indicate the score of the appropriate test below.

Psychopathy Checklist	
PCL-SV Score:	OR
PCL-R Score:	

-OR-

Millon Clinical Multiaxial Inventory (MCMI-III)	
Narcissistic Score:	AND
Antisocial Score:	AND
Paranoid Score:	

INSTRUMENT SUMMARY

To be identified a sexually violent predator, the operator must have YES on Parts 1+2+[3A or 3B]	
Defining Sexual Assault Crimes Criterion (Part 1)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Meets Date Requirement (Per Statute)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Meets Relationship Criteria (Part 2)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Scored 4 or more on DCJ Sex Offender Risk Scale (Part 3A) OR	<input type="checkbox"/> Yes <input type="checkbox"/> No
Information was available and offender meets Part 3B	<input type="checkbox"/> Yes <input type="checkbox"/> No
DID OFFENDER MEET SVP CRITERIA? <i>Must have YES on Parts 1+2+[3A or 3B, or 3C when necessary].</i>	<input type="checkbox"/> Yes <i>(Record this response on page 1 as well.)</i> <input type="checkbox"/> No

COLORADO	SEXUALLY VIOLENT PREDATOR	ASSESSMENT SCREENING INSTRUMENT
PART C: RISK SCALE FOR OFFENDERS WHO DECLINE THE INTERVIEW REQUIRED TO COMPLETE THE 10-ITEM ACTUARIAL RISK SCALE		P 9 of 9

In the event that the offender refuses to participate in the clinical interview required to complete the 10-item SOMB Sex Offender Risk Scale (SORS), apply the following 6-item scale. Use any information that is available in the offender's file.

*The probation officer or DOC staff will meet with the offender, give the offender an informed consent form, obtain the offender's signature on the consent form, and conduct the assessment per the protocol established in the SVP Assessment Handbook. If the offender refuses to participate in the SVP assessment using the form provided here and refuses to sign the informed consent form. **To encourage participation, the provider will verbally read the following statements to the offender at the time of his/her refusal to participate.***

- a. Without cooperation in the SVP assessment interview required to complete this form, the interviewer will be required to complete an alternate risk scale (below) with information only from the offender's criminal history file;**
- b. The 'alternate' scale contains valid risk factors, but is shorter and eliminates approximately 50% of sex offenders from recommendation as an SVP; and**
- c. Participation in the interview required to complete the longer, 10-item scale has been found to eliminate more than 80% of convicted sex offenders from recommendation as an SVP.**

If the offender further refuses to participate, the provider will end the interview and score the six-item risk scale below using information available in the offender's file.

Each item is scored 1 (YES) or 0 (NO). Missing information must be coded 0. Please indicate the data source(s) (the list of sources can be found on page 3).

NA	YES	NO	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1. The offender has one or more juvenile felony adjudications. (Include attempts and conspiracies but <i>not</i> deferred judgments.) <i>Data Source(s)</i> _____
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	2. The offender has one or more prior adult felony convictions. (Include attempts and conspiracies and deferred judgments/sentences.) <i>Data Source(s)</i> _____
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3. The offender was employed less than full-time at arrest. <i>This does not apply to women. (Part-time, sporadic, or day labor is not considered full-time. Disabled or retirement is not considered full-time employment. Full-time student or multiple, concurrent, stable part-time jobs are considered full-time employment. Full-time work refers to 35 or more hours per week.)</i> <i>Data Source(s)</i> _____
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4. Any documented history or violence or weapon use (lifetime). (Any documentation in the file that reflects violent behavior or weapon use as an adult or juvenile, in the institution or in the community, whether or not it resulted in an arrest or investigation. This includes pretending to use a weapon during the commission of a crime, and it includes domestic violence arrests.) <i>Data Source(s)</i> _____
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	5. Any documentation of substance-abuse related crime (lifetime). (This includes any arrest for a drug crime, DUI, or documentation in the file that any past or current offense was committed when the offender was abusing drugs or alcohol.) <i>Data Source(s)</i> _____
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	6. More than one sexual assault victim or more than one sexual assault (lifetime). (Include the current offense, and any information in the file that documents any type of sexual assault against more than a single victim, or evidence of more than a single sex crime event.) <i>Data Source(s)</i> _____
			TOTAL A score of 3 or more qualifies the person as a Sexually Violent Predator.

SOMB CHECKLIST

Please endorse each of the following items as they apply to the client: "0" means "does not apply at all" to a "5" meaning "applies very much". **Please complete the entire form and fax it to DCJ at 303-239-4491.**

Date:	CR#:	SS#:
Client Name:		
SOMB Evaluator Name:		Referring Probation Officer Name:

	Not at all...Very Much		Not at all...Very Much
DENIAL		POSITIVE SOCIAL SUPPORT	
Denies actual facts of offense.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Has many pro-social friends.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Denies wrongness of actions.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Has close friends.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Minimizes prior offenses.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Interacts with friends regularly.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Portrays self as victim.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Has healthy family.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Blames others for the crime.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	People are interested in his progress.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Holds grudges against "system".	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	People have offered to help him.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Says victim "wanted it".	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Has friends/family he could live with.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Says therapy is unnecessary.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Has lived in same community for years.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
DEVIANT SEXUAL PRACTICES		READINESS TO CHANGE	
Has no socially appropriate sexual outlet.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Verbalizes desire to change.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Engages in many forms of deviant sexuality.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Sees other ways of behaving.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Obsessed with deviant sexual practices.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Appears tired of old ways.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Engages in bizarre sexual practices.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Shows detrimental effects on victim.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Poor control of sexual behavior.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Has plan for change.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Talks constantly about sex.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Willingness to discuss sexual history.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Nothing seems "off limits" sexually.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Can see future in changing.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Masturbation is compulsive or excessive.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Eliminates deviant sexual behavior.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
MOTIVATION		TAKING CARE OF BUSINESS	
Verbalizes desire for treatment.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Work/school stability.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Agrees with court order for intervention.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Keeps up on financial obligations.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Pays attention to evaluator.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Maintains stable family life/living situation.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Arrives for appointments on time.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Completes homework.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Is positive about evaluator's testing.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Takes responsibility for life incidents.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Actively participates in evaluation.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Reports/journals about stressful situations.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Completes evaluation requirements.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Reports/journals about anger.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Seeks additional help.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	Reports/journals about high risk situations.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
SOCIAL SKILLS			Not at all...Very Much
Socially appropriate.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	<p>Although only three of these items are used in the SORS Risk Scale, all of these items were found to statistically correlate with revocation/treatment failure. Because scores can change over time, this checklist may be used by therapists or supervising officers as a set of dynamic indicators of supervision/treatment outcome.</p>	
Appropriate social connectedness.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5		
Pleasant in conversation.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5		
Non-hostile interaction.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5		
Dresses appropriately.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5		
Forms genuine bonds with others.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5		
Appropriate social network.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5		
Appropriately helpful to others.	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5		
	Not at all...Very Much		