

**COGCC 3rd Quarter 2020 HB 14-1356 Report**

Operator	Operator #	NOAV Nos.	NOAV Date	Violations in Order	Penalty (Total)	Penalty Imposed on Defunct and/or Non-Responsive Operator (Y/N)	Penalty (Suspended)	Penalty Days	Daily Penalty	Aggravating Factors	Mitigating Factors	Pattern of Violation (Y/N)	Gross Negligence or Knowing & Willful misconduct (Y/N)	AOC or OFV	Other Rationale	Docket No.	Order No. (1V)	Final Order Date
1 Caerus Piceance LLC	10456	401939600	2/14/2019	34-60-121(1)(a)	\$43,600	N	N	13	\$3,353	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	191200686	734	9/30/2020
2 Caerus Piceance LLC	10456	402078586	6/18/2019	205A	\$900	N	N	5	\$180	N	N	N	N	AOC	Settlement Inducement	200300085	721	7/15/2020
3 Crestone Peak Resources Operating LLC	10633	402078588	6/18/2019	205A	\$17,612	N	N	617	\$29	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	200300086	729	7/21/2020
4 Crestone Peak Resources Operating LLC	10633	401941746	2/15/2019	34-60-121(1)(a), C.R.S.	\$141,903	N	N	372	\$381	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	191200758	733	9/29/2020
5 Extraction Oil & Gas Inc.	10459	402078590	6/18/2019	205A	\$6,349	N	N	357	\$18	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	200300087	722	7/15/2020
6 KP Kauffman Company Inc.	46290	402139759	8/9/2019	906.b.(1); 906.b.(2); 906.c.(2)	\$42,500	N	N	17	\$2,500	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	191100719	728	8/18/2020
7 Noble Energy Inc.	100322	402078596	6/18/2019	205A	\$148,744	N	N	12,584	\$12	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	200300088	735	9/17/2020
8 Petrox Resources Inc.	69805	401605720	4/12/2018	605.a.(4); 906.b.	\$79,975	N	N	57	\$1,403	N	N	N	N	AOC	Duration Matrix; Settlement Inducement	200300061	724	9/7/2020
9 SandRidge Exploration & Production LLC	10598	402078602	6/18/2019	205A	\$1,620	N	N	9	\$180	N	N	N	N	AOC	Settlement Inducement	200300090	727	7/21/2020
10 Springdale Partners LLC	10487	402236898	11/12/2019	210.b.; 326.a.; 603.f.; 605.a.(4)	\$2,255,270	Y	N	568	\$3,971	N	N	N	N	OFV	Financial Assurance Foreclosure; Duration Matrix	200300064	732	8/11/2020
11 SRC Energy Inc.	10311	402078603	6/18/2019	205A	\$6,318	N	N	47	\$134	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	200300089	723	7/15/2020

Total Penalties Assessed                      \$2,744,791

**A. Aggravating Factors**

1. The violator acted with gross negligence or knowing and willful misconduct.
2. The violation resulted in significant waste of oil and gas resources.
3. The violation had a significant negative impact on correlative rights of other parties.
4. The violator was recalcitrant or uncooperative with the Commission or other agencies in correcting or responding to the violation.
5. The violator falsified reports or records.
6. The violator benefited economically from the violation, in which case the amount of such benefit shall be taken into consideration
7. The violator has engaged in a pattern of violations.
8. The violation led to death or serious injury.

**B. Mitigating Factors**

1. The violator self-reported the violation.
2. The violator demonstrated prompt, effective and prudent response to the violation, including assistance to any impacted parties.
3. The cause of the violation was outside of the violator's reasonable control and responsibility, or is customarily considered to be force majeure
4. The violator made a good faith effort to comply with applicable requirements prior to the Commission learning of the violator
5. The cost of correcting the violation reduced or eliminated any economic benefit to the violator, excluding circumstances in which increased costs stemmed from non-compliance.
6. The violator has demonstrated a history of compliance with the Act, and Commission rules, orders, and permits