

**Colorado Oil and Gas Conservation
Commission**

Supplemental

2016 Annual Violations and Penalties Report

Under Executive Order No. D 2013-004

(Updated to include full calendar Year 2016)

January 18, 2017

Introduction

Executive Order D 2013-004, issued by Governor John W. Hickenlooper on May 8, 2013, directed the Colorado Oil and Gas Conservation Commission (“Commission”) to review its enforcement program, penalty structure, and imposition of fines, and by December 10 each year, report “on all violations, any and all penalties imposed regarding violations, and the rationale for the calculation of final penalty assessments, including fines.”

This report updates the annual report submitted to the Governor on December 10, 2016, to include all enforcement orders and all Notices of Alleged Violation for the complete 2016 calendar year

This Report provides statistics at the Notice of Alleged Violation (“NOAV”) level and at the Enforcement Order level. Contents include the following tables:

1. 2016 Commission Enforcement Orders Summary
2. 2016 Commission Enforcement Orders
3. 2016 Commission Notices of Alleged Violation Summary
4. 2016 Commission Notices of Alleged Violation

Enforcement Order statistics are based on 2016 Commission hearings through December 12, 2016. The original December 10, 2016 report only included Enforcement Orders as of October 24, 2016.

NOAV statistics are based on NOAVs issued from January 1, 2016 through December 31, 2016, and their status as of December 31, 2016. The original December 10, 2016 report only included NOAVs and their status as of November 30, 2016.

2016 Commission Enforcement Orders Report (Full Year)

Table 1 summarizes all Commission Enforcement Orders issued in 2016, through December 12, 2016. The original December 10, 2016 report only covered Enforcement Orders through October 24, 2016. Commission Enforcement Orders issued in 2016 resolved Notices of Alleged Violation issued during the current or in previous years. A Commission Enforcement Order results from the Commission issuing an Order Finding Violation (“OFV”) after a hearing or approving an Administrative Order by Consent (“AOC”) that Commission Staff and the operator negotiated.

Table 2 lists information specific to each Enforcement Order, including the violations alleged in each underlying NOAV; total penalty imposed; and factors that contributed to the penalty calculation.

Table 1: 2016 Commission Enforcement Orders Summary

Total Orders			55
	AOCs		44
	OFVs		7
	Other (Bond Foreclosures)		4
Total No. of NOAVs Resolved by Orders			101
Total No. of Cited Violations Resolved			176
Orders with Penalties			51
Orders without Penalties			4
	Total Penalties		\$4,892,671
	Suspended Penalties		\$1,126,520
	Maximum Penalty		\$1,267,555
	Minimum Penalty		\$1,875
	Average Penalty		\$95,935
	Median Penalty		\$32,500

Table 2: 2016 Commission Enforcement Orders

Includes Orders through December 12, 2016 (Full Year)

Operator	Operator No.	NOAV Date	NOAV Nos.	Violations in Order	Penalty (Total)	Penalty (Suspended)	Penalty Days	Daily Penalty	Aggravating Factors	Mitigating Factors	Pattern of Violation (Yes/No)	Gross Negligence or Knowing & Willful Misconduct (Yes/No)	AOC or OFV	Other Rationale	Docket No.	Order No. (1V)	Final Order Date	
1	8 North, LLC	10575	6/17/2016	401063543	309	\$3,680	N/A	210	\$18	None	None	No	No	AOC	Policy Table Value; Duration Matrix	160800309	591	8/29/2016
2	Atom Production LLC	10434	6/23/2015; 6/17/2015; 2/11/2016; 3/23/2016	2157885; 2618137; 400963987; 401005448	308A; 308B; 309; 319.b.; 326.a.; 210.d.; 605.a.; 804; 906.a.; 907; 34-60-121(1) CRS	\$239,469	N/A	4450	\$54	4	None	No	No	OFV	Commission Hearing	160400073	586	6/6/2016
3	Aus-Tex Exploration Inc.	10412	8/5/2016	401089534	609	\$2,500	N/A	1	\$2,500	None	None	No	No	AOC	Policy Table Value	161200479	611	12/12/2016
4	Benchmark Energy LLC	10380	8/31/2015; 12/11/2015; 8/19/2015; 8/31/2015	200437036; 400939440; 200436808; 200437037	§34-60-121(1), C.R.S.; 906.a. (7); 906.b. (6); 309; 326; 1003.a; 523.d.(3)	\$1,267,555	N/A	5009	\$253	None	None	Yes	No	OFV	Commission Hearing	160100074	564	1/26/2016
5	Black Hills Plateau Production LLC	10150	1/13/2016	400859025	609	\$20,000	N/A	4	\$5,000	None	2, 6	No	No	AOC	Policy Table Value	160300103	588	6/6/2016
6	Bonanza Creek Energy Operating Comp. LLC.	8960	11/16/2015	200437972	318.A.f	\$1,875	N/A	1	\$1,875	None	None	No	No	AOC	Settlement Inducement	160100007	559	1/26/2016
7	BP America Production	10000	9/24/2015; 9/14/2015	200437370; 200437191	316C; 1102	\$12,500	N/A	2	\$6,250	None	None	No	No	AOC	Policy Table Values	160100075	557	1/26/2016
8	Carrizo Niobrara	10439	10/9/2015	1898000	912	\$40,000	N/A	223	\$179	None	None	No	No	AOC	Policy Table Value; Settlement Inducement	160100076	589	7/19/2016
9	Cascade Petroleum LLC.	10414	9/25/2015	1897993	912.b	\$20,000	N/A	200	\$100	None	6	No	No	AOC	Policy Table Values; Duration Matrix; Rule Reclassification; Settlement Inducement	160100077	560	1/26/2016
10	Cliff J. Nolte LLC	10357	8/18/2015	1352107; 1352108; 200417500; 2618140; 261841; 261842; 261843; 261844; 5229352	308; 309; 311; 317.p.	N/A	N/A	N/A	N/A	None	None	No	No	Bond Call	Deceased Operator	160300081	582	6/6/2016
11	CM Production LLC	10352	11/21/2014 (1); 6/18/2015 (5); 8/27/2015 (1)	200438048	§34-60-121(1) C.R.S.; 326; 707.a.	\$392,140	\$64,510	3572	\$110	None	None	No	No	OFV	\$150,000 from Director's Demand for Payment under prior Orders instead of imposing new penalty for Docket No. 151000676	151000676; 160100078; 160100079	569	3/7/2016
12	CM Production LLC	10352	11/25/2015	200438048	§34-60-121(1) C.R.S.	\$359,650	N/A	227	\$1,584	None	None	Yes	No	OFV	Commission Hearing	160100080	581	6/6/2016
13	Dakota Exploration, LLC	10354	4/5/2016	400984454	210 (2); 309 (2); 326.b; 603.f (2); 605.a(4); 605.b (2); 1002.f; 1003 (2)	172,698	\$150,000	5552	\$31	None	None	No	No	AOC	Policy Table Value; Duration Matrix; Settlement Inducement; Consolidation; Proportionality Adjustment; Inability to Pay	160700208	595	8/29/2016
14	DCP Midstream LP.	4680	7/23/2015	200436084	906; 907	\$32,500	\$8,125	13	\$2,500	None	None	No	No	AOC	Policy Table Values; Duration Matrix; Suspension	151200560	561	1/26/2016
15	Diversified Energy, LLC	10428	10/16/2015	200437756	605.a.(4); 906	\$65,700	\$55,700	96	\$684	None	None	No	No	AOC	Policy Table Value with Duration Matrix	160100002	567	3/7/2016
16	Encana Oil & Gas (USA) Inc.	100185	2/23/2016	400992466	308A; 308B; 312; 317.p	\$120,000	55,000	135	\$889	None	None	No	No	AOC	Policy Table Values; Duration Matrix; Settlement Inducement	160600196	594	10/24/2016
17	Extraction Oil & Gas LLC	10459	3/25/2016	401002330	303, 1002.f	\$62,000	\$25,000	44	\$841	None	None	No	No	AOC	Policy Table Value; Duration Matrix; Suspension	160700258	596	8/29/2016
18	Extraction Oil & Gas LLC	10459	9/25/2015	200437407	912.b	\$33,000	\$14,350	26	\$1,269	None	None	No	No	AOC	Policy Table Value; Reclassification; Duration Matrix; Suspension	160400089	576	6/6/2016
19	Extraction Oil & Gas LLC	10459	8/15/2016	401089682; 401089686; 401089672; 401089692; 401089657; 401089667; 401089661; 401089697; 401066622; 401089675; 401089701	603.a (11)	\$27,500	N/A	11	\$2,500	None	None	No	No	AOC	Policy Table Values	161000387	599	10/24/2016
20	Extraction Oil & Gas, LLC	10459	1/6/2016	400961276	§ 34-60-121(1) C.R.S.	\$30,000	N/A	6	\$5,000	None	None	No	No	AOC	Policy Table Value	160400142	572	4/18/2016
21	Great Western Operating Company LLC	10110	8/24/2015	200436875	308A; 308B; 309.a	74,941	N/A	359	\$209	None	None	No	No	AOC	Policy Table Value; Settlement Inducement	151200658	563	1/26/2016

Operator	Operator No.	NOAV Date	NOAV Nos.	Violations in Order	Penalty (Total)	Penalty (Suspended)	Penalty Days	Daily Penalty	Aggravating Factors	Mitigating Factors	Pattern of Violation (Yes/No)	Gross Negligence or Knowing & Willful Misconduct (Yes/No)	AOC or OFV	Other Rationale	Docket No.	Order No. (1V)	Final Order Date
Great Western Operating Company LLC	10110	8/24/2015	200436875	308A; 308B; 309.a	\$104,000	\$104,000	44	\$2,364	None	None	No	No	AOC	Policy Table Value; Settlement Inducement	151200658	612	12/12/2016
Grynberg, Jack DBA Grynberg Petroleum Co.	36200	8/24/2015	200436876	607	\$3,500	N/A	369	\$9	None	None	No	No	AOC	Consolidation; Rule Reclassification; Settlement Inducement	160300091	565	3/7/2016
Grynberg, Jack DBA Grynberg Petroleum Co.	36200	9/18/2015	200437259	318A.f	\$1,875	N/A	1	\$1,875	None	None	No	No	AOC	Settlement Inducement	160300161	566	3/7/2016
Javernick Oil, LLC	44390	10/27/2015	200437826	308A; 309	\$10,686	\$6,686	461	\$23	None	None	No	No	AOC	Duration Matrix; Inability to Pay; Settlement Inducement; Suspension	160300003	575	4/18/2016
Kerr-McGee Oil & Gas Onshore LP	47120	2/3/2016	400980802	317.p	\$2,000	N/A	1	\$2,000	None	None	No	No	AOC	Policy Table Value; Settlement Inducement	160400164	574	4/18/2016
Mackley, Arnold and Darleen	10043	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Bond Call	Bond Call; Domestic Well	160700305	587	7/19/2016
Maralex Disposal LLC	10430	8/26/2016	401099550	605.a.(4)	\$30,000	N/A	12	\$2,500	None	None	No	No	AOC	Policy Table Value	161000458	610	12/12/2016
Maralex Resources, Inc	53255	8/11/2016	401091611	326	\$60,970	N/A	376	\$162	None	None	No	No	AOC	Policy Table Values; Duration Matrix	161000390	609	12/12/2016
Maralex Resources, Inc.	53255	6/21/2016	401022828	906.b; 1102	\$15,000	N/A	2	\$7,500	None	None	No	No	AOC	Increase Over Policy Table Value; Consolidation; Duration Matrix	161000308	607	12/12/2016
Merit Energy Company	56565	8/9/2016	401083040	311	\$2,198	N/A	52	\$42	None	None	No	No	AOC	Policy Table Values; Duration Matrix; Settlement Inducement	161000389	602	10/24/2016
Monument Global Resources Inc.	10430	5/1/2013; 6/23/2015	200379257; 200379270; 200434664	906.a.(4); 906.c.(1)/909.c.(2)(3); 906.b.(2); 906.c.; 906.d.; 1102.a.(1)(2)	\$100,950	\$54,925	313	\$323	None	None	No	No	AOC	Statutory Maximum; Inability to Pay; Settlement inducement	150900160	541	3/7/2016
Monument Global Resources	10430	8/31/2016	401102188; 401102202	522.f	\$293,800	N/A	275	\$1,068	None	None	Yes	No	OFV	Consolidation; Policy Table Values; Duration Matrix; Proportionality Adjustment	161000401	600	10/24/2016
Monument Global Resources, Inc.	10430	3/27/2015	200427365	326.f	\$65,590	\$50,000	607	\$108	None	None	No	No	AOC	Policy Table Value; Duration Matrix; Inability to Pay; Suspension	150900486	577	4/18/2016
PDC Energy Inc.	69175	3/17/2016; 4/15/2016	400994763; 401026439	308A; 308B; 312; 316C.a	62,325	N/A	522	\$119	None	2	No	No	AOC	Policy Table Value; Duration Matrix; Settlement Inducement	160600198	593	8/29/2016
Petrox Resources, Inc.	69805	11/10/2015	200437910	1003.d.(2)	\$10,000	N/A	\$27	\$370	None	None	No	No	AOC	Proportionality Adjustment; Duration Matrix; Settlement Inducement	160400009	585	7/19/2016
Picance Energy	10433	9/29/2015 (2)	200437586; 200437631	316C.a (2)	\$7,800	N/A	16	\$488	None	None	No	No	AOC	Policy Table Value	160100082	562	1/26/2016
Premier Oil & Gas Inc.	10236	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Bond Call	N/A	160800310	592	10/24/2016
Prospect Energy LLC	10312	8/15/2016	401078582	326.b	\$32,400	N/A	67	\$484	None	None	No	No	AOC	Policy Table Value; Duration Matrix; Settlement Inducement	161200403	606	12/12/2016
Rancho Tres	73372	9/16/2015	2559405	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Bond Call	Domestic Well (Orphaned)	160300095	570	3/7/2016
Renegade Oil & Gas Company LLC	74165	7/23/2015	200436071	205.f.; 907.a.(1)	\$22,446	\$11,223	139	\$161	None	None	No	No	AOC	Policy Table Value; Settlement Inducement; Suspension	160300096	568	3/7/2016
San Marco Petroleum Inc.	74975	9/15/2016	401108344	607	\$3,000	N/A	283	\$11	None	None	No	No	AOC	Rule Reclassification; Duration Matrix; Settlement Inducement	161200481	604	12/12/2016
Sandra K. Woodard	10342	8/4/2016	401082021; 401082126; 401082150	309 (3)	\$8,192	\$7,373	591	\$14	None	None	No	No	AOC	Policy Table Values; Duration Matrix; Settlement Inducement; Inability to Pay	161000386	598	10/24/2016
Santa Fe Natural Resources	10525	6/11/2015	2618136	309; 326	\$36,150	\$18,075	632	\$57	None	None	No	No	AOC	Policy Table Values; Duration Matrix; Settlement Inducement; Inability to Pay; Suspension	151000473	558	1/26/2016
Sunburst Inc.	83555	1/25/2016	400967807	205A; 303.a.(1)D; 308A; 308B; 309	\$29,000	28000	669	\$43	None	None	No	No	AOC	Settlement Inducement; Duration Matrix; Inability to Pay	160600163	597	10/24/2016

Operator	Operator No.	NOAV Date	NOAV Nos.	Violations in Order	Penalty (Total)	Penalty (Suspended)	Penalty Days	Daily Penalty	Aggravating Factors	Mitigating Factors	Pattern of Violation (Yes/No)	Gross Negligence or Knowing & Willful Misconduct (Yes/No)	AOC or OFV	Other Rationale	Docket No.	Order No. (1V)	Final Order Date
46 Synergy Resources	10311	6/23/2015 (5)	1772582; 1772583; 1772584; 1772585; 200434693	316C.a	\$146,250	\$146,250	13	\$11,250	None	4, 6	No	No	AOC	Policy Table Values; Duration Matrix; Settlement Inducement	151000487	603	10/24/2016
47 Synergy Resources Corporation	10311	6/23/2015	1772582	308A; 309; 316C	\$79,275	N/A	264	\$300	None	6	No	No	AOC	Policy Table Values; Duration Matrix; Settlement Inducement	151000487	571	3/7/2016
48 Texas Tea of Colorado, LLC, aka Texas Tea, LLC	87195	3/18/2015; 4/29/2015 (2); 9/28/2015;	200426655; 200430624; 200430630; 200437494	319; 326.c; 326(2); 906; 1102.d; §34-60-121(1) C.R.S.	\$323,500	N/A	475	\$681	None	None	Yes	No	OFV	Policy Table Value; Duration Matrix	160300097	573	4/18/2016
49 Ursa Operating Company LLC	10447	2/26/2016	400987806	317.p	\$2,000	N/A	1	\$2,000	None	None	No	No	AOC	Policy Table Value; Consolidation; Settlement Inducement	160400195	579	6/6/2016
50 Ursa Operating Company LLC	10447	2/9/2016	400976773; 400983780; 400983954	605.d; 805.a	\$20,625	N/A	6	\$3,438	None	Unspecified	No	No	AOC	Consolidation; Policy Table Value; Settlement Inducement	160600165	580	7/19/2016
51 Wellington Operating Company	95233	2/4/2015; 9/23/2015 (6)	2558861; 1352205; 1352206; 1352207; 1352208; 1352209; 200437282	707.a; 326.f (6)	\$112,200	\$102,200	1230	\$91	None	None	No	No	AOC	Duration Matrix; Inability to Pay	160300099	605	12/12/2016
52 Wellstar Corporation	95245	12/31/2015	400957128; 400956756	210; 605.a; 906; 1002.f; §34-60-121(1) C.R.S.	\$210,820	\$180,820	1728	\$122	None	None	No	No	AOC	Proportionality Adjustment; Consolidation; Duration Matrix; Suspension	160600141	583	6/6/2016
53 Wepking Fullerton Energy LLC	96340	2/11/2016	400986881	907.d. and 1003 (10 counts of each)	\$65,128	\$21,000	626	\$104	None	2	No	No	AOC	Proportionality Adjustment; Consolidation; Duration Matrix	160600193	584	6/6/2016
54 XOG Operating LLC	10144	7/15/2016 (2)	401078742; 401078727	319b(1) (2); 326.c.(2) (2)	\$43,283	\$23,283	1644	\$26	None	None	No	No	AOC	Consolidation; Settlement Inducement; Duration Matrix; Proportionality Adjustment; Inability to Pay	161000381	601	10/24/2016
55 XTO Energy Inc.,	100264	4/1/2016	401012690	1102	\$10,000	N/A	1	\$10,000	None	1, 2	No	No	AOC	Increased Daily Penalty from Policy Table Value	160600199	590	7/19/2016

Aggravating factors

- (1) The violator acted with gross negligence or knowing and willful misconduct.
- (2) The violation resulted in significant waste of oil and gas resources.
- (3) The violation had a significant negative impact on correlative rights of other parties.
- (4) The violator was recalcitrant or uncooperative with the Commission or other agencies in correcting or responding to the violation.
- (5) The violator falsified reports or records.
- (6) The violator benefited economically from the violation, in which case the amount of such benefit shall be taken into consideration.
- (7) The violator has engaged in a pattern of violations.

Mitigating factors

- (1) The violator self-reported the violation.
- (2) The violator demonstrated prompt, effective and prudent response to the violation, including assistance to any impacted parties.
- (3) The cause of the violation was outside of the violator's reasonable control and responsibility, or is customarily considered to be force majeure.
- (4) The violator made a good faith effort to comply with applicable requirements prior to the Commission learning of the violation.
- (5) The cost of correcting the violation reduced or eliminated any economic benefit to the violator, excluding circumstances in which increased costs stemmed from non-compliance.
- (6) The violator has demonstrated a history of compliance with the Act, and Commission rules, orders, and permits.

2016 Commission Notices of Alleged Violation Report (Through December 31, 2016)

Table 3 summarizes all Notices of Alleged Violations (“NOAVs”) the Commission issued from January 1, 2016, through December 31, 2016, and indicates whether a NOAV has been resolved (“closed”) or is still pending (“open”). For each 2016 NOAV, Table 4 indicates whether it is open or closed, and as appropriate, whether a penalty was imposed, the penalty amount, and other relevant information. Withdrawn NOAVs are not included in the statistics.

The following statistics are for those NOAVs issued as of December 31, 2016. The original December 10, 2016 report only covered NOAVs issued as of November 30, 2016 and their status as of November 30, 2016.

Table 3: 2016 Commission Notices of Alleged Violation Summary

Total NOAVs Issued (1/1/2016 through 12/31/2016) (Does not include withdrawn NOAVs)		104
Total Open		57
Total Resolved		47
		45 % Of Total Resolved
Resolved by Order With Penalty		47
Resolved by Order Without Penalty		0
Resolved Without Penalty		0
Total Penalties Assessed	\$1,619,375	
Maximum Penalty Assessed	\$210,820	
Minimum Penalty Assessed	\$2,000	
Average Penalty Assessed	\$34,455	
Median Penalty Assessed	\$10,000	

Table 4: 2016 Commission Notices of Alleged Violation

NOAVs Issued from January 1, 2016 through December 31, 2016

NOAV No.	NOAV Date	Operator Name	Resolve Date	Closed (Y/N)	Docket No.	Order No. (1V-___)	Penalty (Y/N)	Penalty Amt.
401063543	6/17/2016	8 NORTH LLC	8/29/2016	Y	160800309	591	Y	\$3,680
401082880	8/9/2016	ANTLER ENERGY LLC		N	161000388			
400963987	2/11/2016	ATOM PETROLEUM LLC	6/6/2016	Y	160400073	586	Y	\$92,359
401005448	3/23/2016	ATOM PETROLEUM LLC	6/6/2016	Y	160400073	586	Y	\$90,000
401089534	9/14/2016	AUS-TEX EXPLORATION INC	12/12/2016	Y	161200479	611	Y	\$2,500
401121017	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC		N	170100008			
401121857	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC		N	170100008			
401122866	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC		N	170100008			
401122915	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC		N	170100008			
401123785	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC		N	170100008			
401123787	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC		N	170100008			
401124027	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC		N	170100008			
401124029	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC		N	170100008			
401124032	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC		N	170100008			
401124042	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC		N	170100008			
401132217	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC		N	170100008			
401083038	10/27/2016	BECCA OIL LLC		N	170100001			
400943722	1/13/2016	BLACK HILLS PLATEAU PRODUCTION LLC	6/6/2016	Y	160300103	588	Y	\$20,000
401047564	6/8/2016	BLACK HILLS PLATEAU PRODUCTION LLC		N	161200307			
401077757	7/15/2016	BP AMERICA PRODUCTION COMPANY	9/1/2016	Y	160100075	557	Y	\$12,500
401133244	11/23/2016	CHEVRON USA INC		N				
400984454	4/5/2016	DAKOTA EXPLORATION LLC	8/29/2016	Y	160700208	595	Y	\$100,995
400984767	4/5/2016	DAKOTA EXPLORATION LLC	8/29/2016	Y	160700208	595	Y	\$104,685
401067209	7/11/2016	DAKOTA EXPLORATION LLC	8/29/2016	Y	160700208	595	Y	\$24,404
400992466	2/23/2016	ENCANA OIL & GAS (USA) INC	10/24/2016	Y	160600196	594	Y	\$120,000
401077780	7/15/2016	ENTEK GRB LLC		N	161200379			
400961276	1/6/2016	EXTRACTION OIL & GAS LLC	4/18/2016	Y	160400142	572	Y	\$30,000
401002330	3/25/2016	EXTRACTION OIL & GAS LLC	8/29/2016	Y	160700258	596	Y	\$62,000
401066622	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
401089657	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
401089661	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
401089667	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
401089672	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
401089675	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
401089686	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
401089692	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
401089697	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
401089701	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
401089682	9/8/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
401083039	10/27/2016	FRITZLER RESOURCES INC		N	170100002			
401074952	7/8/2016	GRYNBERG* JACK DBA GRYNBERG PETROLEUM CO		N	161200377			
401149201	11/16/2016	HIGH PLAINS ENERGY LLC		N				
401149276	11/16/2016	HIGH PLAINS ENERGY LLC		N				
401149288	11/16/2016	HIGH PLAINS ENERGY LLC		N				
401149365	11/16/2016	HIGH PLAINS ENERGY LLC		N				
401149372	11/16/2016	HIGH PLAINS ENERGY LLC		N				
401149379	11/16/2016	HIGH PLAINS ENERGY LLC		N				
401149390	11/16/2016	HIGH PLAINS ENERGY LLC		N				
401149430	11/16/2016	HIGH PLAINS ENERGY LLC		N				
401163497	12/9/2016	HILCORP ENERGY COMPANY		N				
401081025	10/27/2016	HRM RESOURCES II LLC		N				
401081037	10/27/2016	HRM RESOURCES II LLC		N				
401105510	9/16/2016	HUNTER RIDGE ENERGY SERVICES LLC		N	161200480			
401158434	12/7/2016	JULANDER ENERGY COMPANY		N				
400980802	2/3/2016	KERR MCGEE OIL & GAS ONSHORE LP	4/18/2016	Y	160400164	574	Y	\$2,000
401103776	9/23/2016	KERR MCGEE OIL & GAS ONSHORE LP		N	161200483			
401080843	11/16/2016	LILIS ENERGY INC		N				
401083035	11/16/2016	LOEB LLC* HERMAN L		N				
401147218	11/10/2016	LONGS PEAK RESOURCES LLC		N				
401099550	8/26/2016	MARALEX DISPOSAL LLC	12/12/2016	Y	161000458	610	Y	\$30,000
401022828	6/21/2016	MARALEX RESOURCES, INC	12/12/2016	Y	161000308	607	Y	\$15,000
401091611	8/11/2016	MARALEX RESOURCES, INC	12/12/2016	Y	161000390	609	Y	\$60,970
401083040	8/9/2016	MERIT ENERGY COMPANY	10/24/2016	Y	161000389	602	Y	\$2,198
401068072	7/15/2016	MERRION OIL & GAS CORP		N	161000378			
401077581	7/15/2016	MERRION OIL & GAS CORP		N	161000378			
401102188	9/1/2016	MONUMENT GLOBAL RESOURCES INC	10/24/2016	Y	161000401	600	Y	\$152,200
401102202	9/1/2016	MONUMENT GLOBAL RESOURCES INC	10/24/2016	Y	161000401	600	Y	\$141,600
401103177	9/23/2016	NOBLE ENERGY INC		N	161200484			
401078793	7/15/2016	NORTHSTAR GAS COMPANY INC		N	161200382			
401128719	10/27/2016	PAINE* JOSEPH J.C. DBA PAINE PETROLEUM COMPANY		N				
400994763	3/18/2016	PDC ENERGY INC	8/29/2016	Y	160600198	593	Y	\$25,000
401026439	4/15/2016	PDC ENERGY INC	8/29/2016	Y	160600198	593	Y	\$58,178

NOAV No.	NOAV Date	Operator Name	Resolve Date	Closed (Y/N)	Docket No.	Order No. (1V-___)	Penalty (Y/N)	Penalty Amt.
401083135	10/27/2016	PDC ENERGY INC		N	170100005			
401088370	10/27/2016	PETRO MEX RESOURCES		N				
401139533	11/1/2016	PETROHUNTER OPERATING COMPANY		N	161200550			
401140160	11/1/2016	PETROHUNTER OPERATING COMPANY		N	161200550			
401140347	11/1/2016	PETROHUNTER OPERATING COMPANY		N	161200550			
401078582	8/25/2016	PROSPECT ENERGY LLC	12/12/2016	Y	161000403	606	Y	\$32,400
401108344	9/15/2016	SAN MARCO PETROLEUM INC	12/12/2016	Y	161200481	604	Y	\$3,000
401141492	11/7/2016	SANDRIDGE EXPLORATION & PRODUCTION LLC		N	170100019			
401141635	11/7/2016	SANDRIDGE EXPLORATION & PRODUCTION LLC		N	170100019			
401141661	11/7/2016	SANDRIDGE EXPLORATION & PRODUCTION LLC		N	170100019			
400967807	1/25/2016	SUNBURST INC	10/24/2016	Y	160600163	597	Y	\$29,000
401078291	7/14/2016	TYLER ROCKIES EXPLORATION LTD		N	161000380			
400976773	2/9/2016	URSA OPERATING COMPANY LLC	7/19/2016	Y	160600165	580	Y	\$6,875
400983780	2/9/2016	URSA OPERATING COMPANY LLC	7/19/2016	Y	160600165	580	Y	\$6,875
400983954	2/9/2016	URSA OPERATING COMPANY LLC	7/19/2016	Y	160600165	580	Y	\$6,875
400987806	2/26/2016	URSA OPERATING COMPANY LLC	6/6/2016	Y	160400195	579	Y	\$2,000
401080106	8/25/2016	WARD & SON* ALFRED		N	161200402			
401082382	8/25/2016	WARD & SON* ALFRED		N	161200402			
401141974	11/3/2016	WARD & SON* ALFRED		N	170100012			
400956756	1/5/2016	WELLSTAR CORPORATION	6/6/2016	Y	160600141	583	Y	\$210,820
401137225	10/27/2016	WHITING OIL & GAS CORPORATION		N	170100007			
401137427	10/27/2016	WHITING OIL & GAS CORPORATION		N	170100007			
401137435	10/27/2016	WHITING OIL & GAS CORPORATION		N	170100007			
401137436	10/27/2016	WHITING OIL & GAS CORPORATION		N	170100007			
401137440	10/27/2016	WHITING OIL & GAS CORPORATION		N	170100007			
400986881	2/11/2016	WIEPKING-FULLERTON ENERGY LLC	6/6/2016	Y	160600193	584	Y	\$65,128
401082021	8/4/2016	WOODARD* SANDRA K	10/24/2016	Y	161000386	598	Y	\$3,641
401082126	8/4/2016	WOODARD* SANDRA K	10/24/2016	Y	161000386	598	Y	\$3,641
401082150	8/4/2016	WOODARD* SANDRA K	10/24/2016	Y	161000386	598	Y	\$3,641
401078727	7/15/2016	XOG OPERATING LLC	10/24/2016	Y	161000381	601	Y	\$28,831
401078742	7/15/2016	XOG OPERATING LLC	10/24/2016	Y	161000381	601	Y	\$28,879
401012690	4/1/2016	XTO ENERGY INC	7/19/2016	Y	160600199	590	Y	\$10,000