

Colorado Oil and Gas Conservation Commission

2014 Annual Violations and Penalties Report Under Executive Order No. D 2013-004

December 10, 2014

Introduction

Executive Order D 2013-004, issued by Governor John W. Hickenlooper on May 8, 2013, directed the Colorado Oil and Gas Conservation Commission (“Commission”) to undertake a review of its enforcement program, penalty structure, and imposition of fines, and annually by December 10 each year, report “on all violations, any and all penalties imposed regarding violations, and the rationale for the calculation of final penalty assessments, including fines.”

The Commission submitted its Enforcement and Penalty Policy Review Under Executive Order No. D 2013-004 in December 2013. This report is submitted in satisfaction of the annual requirement on violations and penalties described above.

This report provides statistics at the Notice of Alleged Violation (“NOAV”) level and at the enforcement order level. Contents include the following:

1. 2014 Commission Enforcement Orders Report Summary
2. 2014 Commission Enforcement Order Report
3. 2014 Notice of Alleged Violations Report Summary
4. 2014 COGCC Notice of Alleged Violations (NOAV) Report

Enforcement order statistics are based on all 2014 Commission meetings through October 28, 2014, the last Commission meeting before this report.

NOAV statistics are based on NOAV’s issued between January 1, 2014 and November 30, 2014.

2014 Commission Enforcement Orders Report Summary

(Through October 28, 2014)

This Report lists all Commission Enforcement Orders issued in 2014, through October 28, the date of the most recent Commission Hearing preceding the preparation of this Report. Commission Enforcement Orders issued in 2014 may have resolved Notices of Alleged Violation issued in previous years.

This Report includes details concerning the violations alleged in each NOAV that was resolved in 2014, including the penalties imposed for those violations, and how the Commission arrived at the penalties for each Order.

The table below summarizes the Enforcement Orders issued in 2014; the details for each Order are contained in the following pages.

Total Orders			45
	AOC's		41
	OFV's		4
Total No. of NOAV's Resolved by Orders			120
Total No. of Cited Violations Resolved			290
Orders with Penalties			44
	Total Penalties		\$1,410,000
	Maximum Penalty		\$155,000
	Minimum Penalty		\$0
	Average Penalty		\$31,333
	Median Penalty		\$17,000
Orders without Penalties			1

2014 Commission Enforcement Order Report

Operator	Operator #	NOAV Date	NOAV Nos.	Violations in Order	Penalty (Total)	Penalty (Suspended)	Penalty Days	Daily Penalty	Aggravating Factors	Mitigating Factors	Pattern of Violation (Y/N)	Gross Negligence or Knowing & Willful misconduct (Y/N)	AOC or OFV	Other Rationale	Docket No.	Order No. (1V)	Final Order Date
Barrett Corporation* Bill	10071	4/4/2014	200400969	324A.a	\$17,000		17	\$1,000	None	None	No	No	AOC	Statutory Maximum.	1404-OV-38	459	4/28/2014
Beeman Oil & Gas LLC	7125	6/21/2013	200381968; 200382050; 200382055	210; 309; 319.b.1; 326.b.1; 603; 1002; 1003a.; 1003.d.2	\$155,000	\$38,750	155	\$1,000	None	None	No	No	AOC	Statutory Maximum; with 25% suspended for incentive for ongoing compliance	1310-OV-27	463	4/28/2014
Bonanza Creek Energy Operating Company LLC	8960	4/10/2013	200378031; 200378034; 200378035; 200378036; 200378051; 200378063; 200378068; 200378032; 200378037; 200378033; 200378065; 200378066; 200378070	308B (4 wells); 311 (5 wells); 316B. (7 wells) 326.b.1 (1 well)	\$26,000		26	\$1,000	None	3, 5, 6, 7	No	No	AOC	Statutory maximum for violations pursued. Mitigating factors applied to consolidation of violations.	1403-OV-16	450	3/17/2014
Bonanza Creek Energy Operating Company, LLC	8960	6/9/2014	400618618	324A.a; 602.a; 606A.h.; 606A.m; 606A.o	\$3,000		3	\$1,000	N/A	1, 2, 3, 5	N/A	N/A	AOC	Statutory Maximum (despite mitigating factors)	1407-OV-54	476	7/28/2014
Carrizo Oil and Gas Inc.	10338	3/17/2014	2450974; 2450975	308A (2 wells); 308B (2 wells)	\$4,000		4	\$1,000	N/A	N/A	N/A	N/A	AOC	Statutory Maximum	1409-OV-60	475	9/15/2014
CCI Paradox Upstream, LLC	10453	12/1/2013	200390383; 200390387; 200390386; 200390385; 200390384	326.B.(1) -2 Wells	\$18,000		20	\$900	N/A	N/A	N/A	N/A	AOC	10% discount from statutory maximum as settlement inducement	1403-OV-27	473	7/28/2014
ConocoPhillips Company	19160	6/12/2014	400624186)	324A.a; 605.d	\$2,000		2	\$1,000	N/A	N/A	N/A	N/A	AOC	Statutory Maximum	1409-OV-65	479	9/15/2014
Coral Production Corp	20275	1/21/2014	1897820	317.d	\$1,000		1	\$1,000	None	None	No	No	AOC	Statutory Maximum	1403-OV-20	454	3/17/2014
Dejour Energy (USA) Corporation	10301	5/1/2014 (4 NOAV's); 5/27/2014 (4 NOAV's)	200403302; 200403300; 200403301; 200403283; 200403552; 200403594; 200403616; 200403619	(4) Permit Violations; (2) 316C; (4) 308B;(4) 205A	\$45,000		50	\$900	N/A	N/A	N/A	N/A	AOC	10% discount from statutory maximum as settlement inducement	1407-OV-51	471	7/28/2014
Diamond Oil & Gas Inc.	10067	4/5/2012	200345848; 200345861; 200345865; 200345875; 200345885; 200345907	309 (6 wells); 326.b.1 (6 wells)	\$120,000		120	\$1,000	None	None	No	No	OFV	Statutory Maximum	1403-OV-17	464	4/28/2014
Encana Oil & Gas (USA) Inc.	100185	5/1/2012	200348796	324A.a; 805.a.; 907.a.(1); 912.a	\$0		16	\$ -	N/A	3,6	N/A	N/A	AOC	The costs incurred by the operator to resolve the violations resulted in greater public benefits than the monetary penalty that could have been imposed.	1211-OV-12	399	7/28/2014
Enervest Operating LLC	10098	1/22/2014	200393587	326.b.1. (1 well)	\$1,000		1	\$1,000	N/A	N/A	N/A	N/A	AOC	Statutory Maximum	1404-OV-35	472	7/28/2014
Great Western Operating Company LLC	10110	1/22/2014	200394013	326.b.1. (1 well)	\$7,500		10	\$750	None	None	No	No	AOC	25% discount from statutory maximum as settlement inducement	1403-OV-23	452	3/17/2014
Grynberg Petroleum Company	36200	10/13/2011	200325768	326.b.1. (1 well)	\$10,000	\$5,000	10	\$1,000	None	None	No	No	AOC	Statutory Maximum; with 50% suspended for incentive for ongoing compliance	1403-OV-12	449	3/17/2014
Grynberg Petroleum Company	36200	2/3/2012 & 7/12/2013	200339700; 200382922	309; 310; 312	\$50,000	\$25,000	130	\$385	None	None	No	No	AOC	50% suspended for incentive for ongoing compliance	1403-OV-05	451	3/17/2014
Hyndrex Resources	42640	3/3/2011	200299302; 200382921	906; 1004.a; 1103	\$30,000	\$15,000	30	\$1,000	None	None	No	No	AOC	Statutory Maximum; with 50% suspended for incentive for ongoing compliance	1401-OV-01	447	3/17/2014
Hyndrex Resources	42640	1/8/2014	200393531	326.b.1.	\$16,000		20	\$800	None	None	No	No	AOC	20% discount from statutory maximum as settlement inducement	1403-OV-08	453	3/17/2014
Julander Energy Company	44204	4/10/2014	200401389; 200401393	326.b.1 (2 wells)	\$15,000		20	\$750	N/A	2, 3, 7	N/A	N/A	AOC	25% discount from Statutory Maximum for mitigation credit and settlement inducement	1406-OV-47	480	9/15/2014

Operator	Operator #	NOAV Date	NOAV Nos.	Violations in Order	Penalty (Total)	Penalty (Suspended)	Penalty Days	Daily Penalty	Aggravating Factors	Mitigating Factors	Pattern of Violation (Y/N)	Gross Negligence or Knowing & Willful misconduct (Y/N)	AOC or OFV	Other Rationale	Docket No.	Order No. (1V)	Final Order Date
K P Kauffman Company Inc	46290	11/26/2013 (1st NOAV); 12/1/2013 (all others)	200390427; 200390425, 200390413, 200390395, 200390391, 200390392, 200390428, 200390429, 200390422, 200390406, 200390403, 200390397, 200390398, 200390396, 200390394, 200390393, 200390411	326.b.1 (14 wells)	\$117,500	\$33,000	140	\$840	None	None	No	No	AOC	16% discount from statutory maximum as settlement inducement	1404-OV-30	465	4/28/2014
Lorentz Oil & Gas LLC	10117	1/6/2014	200393015; 200393018; 200393019; 200393020; 200393021	309 (1 Well)	\$1,000		1	\$1,000	None	None	No	No	AOC	Statutory Maximum.	1404-OV-37	456	4/28/2014
M E III Corporation	52060	7/12/2013	200382926	309; 310	\$20,000	\$15,000	20	\$1,000	N/A	N/A	N/A	N/A	AOC	Statutory Maximum; with 75% suspended as incentive for ongoing compliance	1407-OV-56	482	9/15/2014
Maggie Operating, Inc	52530	12/23/2013	200393001	324A.a; 906.a; 906.b.; 906.c.; 906.d.; 906.e.2.; 907.a.; 907.b. 907.e.; 909.b.; 909.c.; 910	\$120,000	\$24,000	120	\$1,000	None	None	No	No	AOC	Statutory Maximum; with 20% suspended for incentive for ongoing compliance	1403-OV-07	462	6/17/2014
Maggie Operating, Inc	52530	11/14/2013	200389384	210; 603.j [now Rule 603.f.]; 804; 905; 906; 907.b.2.; 1002.f.2.; 1003.f	\$30,000	\$6,000	32	\$937.50	None	None	No	No	AOC	Statutory Maximum; with 20% suspended for incentive for ongoing compliance	1403-OV-06	462	6/17/2014
Maralex Resources, Inc	10119	3/23/2012; 6/12/2014 (2 NOAV's); 8/19/2014	400625924; 400623453; 200410618; 200344211	204 (2); 210; 326.b.1; 603.f (2); 905 (2); 906 (2); 907.a.(1); 1002.f	\$ 94,000		114	825	None	None	N	N	OFV	Contested hearing	1410-OV-53	489	10/28/2014
McElvain Energy Inc.	55575	1/22/2014	200393595	326.b.1. (1 well)	\$7,500		10	\$750	None	None	No	No	AOC	25% discount from statutory maximum as settlement inducement	1403-OV-22	448	3/17/2014
Noble Energy Inc.	100322	11/25/2013	200389608	Section 34-60-121(1) C.R.S.	\$10,000		10	\$1,000	None	None	No	No	AOC	Statutory Maximum	1310-OV-43	441	1/27/2014
Petro Mex Resources	10059	4/10/2014	200401345; 200401344	326.b.1.; 309	\$38,000		40	\$950	None	None	No	No	AOC	5% discount from statutory maximum as settlement inducement	1406-OV-50	469	6/17/2014
Redwine Resources Inc	10107	10/7/2013	200388006	309; 319; 326; 902.d; 1002; 1003	\$60,000		60	\$1,000	None	None	No	No	OFV	Statutory Maximum	1406-OV-45	470	6/17/2014
Renegade Oil & Gas Company LLC	74165	1/10/2014	200393417	326.b.1. (1 well)	\$7,500		10	\$750	None	None	No	No	AOC	25% discount from statutory maximum as settlement inducement	1403-OV-26	466	6/17/2014
Rio Mesa Resources Inc	74740	3/12/2014	200398606; 200396065	309, 326.b.1	\$16,000		20	\$900	None	None	No	No	AOC	10% discount from statutory maximum as settlement inducement	1404-OV-41	461	4/28/2014
Schneider Energy Services Inc	76840	1/8/2013, 1/8/2013; 1/25/2013; 1/25/2013	200372617; 200372614; 200373610; 200373606	309 (4 wells); 326.b.1 (4 wells)	\$72,000	\$30,000	80	\$950	None	None	No	No	AOC	5% discount from statutory maximum as settlement inducement & 40 % suspended for incentive for ongoing compliance	1404-OV-15	455	4/28/2014
Smith Oil Properties Inc	79905	12/12/2013	200392001; 200392000; 200391999	326.b.1. (3 wells)	\$3,000		3	\$1,000	None	None	No	No	AOC	Statutory Maximum. No. of days reduced in exchange for extra commitment to plug wells.	1404-OV-34	457	4/28/2014
Smith Oil Properties, Inc.	79905	n/a	n/a	Time Extension	Time Extension		N/A	N/A	N/A	N/A	N/A	N/A	OFV	No additional penalty (Request for time extension for compliance actions. Not a new enforcement action.)	1404-OV-34	486	9/15/2014
Stehle Oil Company	82440	7/12/2013	200382924	309 (5 wells)	\$50,000	\$45,000	50	\$1,000	None	None	No	No	AOC	Statutory Maximum; with 90% suspended for incentive for ongoing compliance	1401-OV-02	444	1/27/2014
Sunburst Inc	83555	3/25/2014	200399835	§ 34-60-121(1), C.R.S.	\$8,000		10	\$800	None	None	No	No	AOC	20% discount from statutory maximum as settlement inducement	1406-OV-44	467	6/17/2014
Sundance Energy Inc.	10340	5/9/2014	200404234	324A.a; 606A.h; 606A.m; 606A.q	\$4,000		4	\$1,000	N/A	N/A	N/A	N/A	AOC	Statutory Maximum	1407-OV-52	477	7/28/2014

Operator	Operator #	NOAV Date	NOAV Nos.	Violations in Order	Penalty (Total)	Penalty (Suspended)	Penalty Days	Daily Penalty	Aggravating Factors	Mitigating Factors	Pattern of Violation (Y/N)	Gross Negligence or Knowing & Willful misconduct (Y/N)	AOC or OFV	Other Rationale	Docket No.	Order No. (1V)	Final Order Date	
Tekton Windsor LLC	10392	6/26/2014	200407964; 200407965	318A.e.(4)	\$2,000		2	\$1,000	N/A	N/A	N/A	N/A	AOC	Statutory Maximum	1409-OV-62	481	9/15/2014	
Texas Tea of Colorado LLC	87195	2/27/2014	200397470	210.b; 309; 319.b.(3); 324A.a; 326.b.(1); 603.f; 912.a	\$70,000	\$70,000	70	\$1,000	N/A	N/A	N/A	N/A	AOC	Statutory Maximum with 100% suspended for incentive for ongoing compliance	1406-OV-43	485	9/15/2014	
Think Oil, Inc.	87815	1/23/2014	2540423; 2540424	706 (2 wells)	\$20,000		20	\$1,000	N/A	N/A	N/A	N/A	OFV	Statutory Maximum	1404-OV-32	458	9/15/2014	
Top Operating Company	39560	5/1/2014 (3 NOAV's); 8/19/2014 (3 NOAV's)	200403550, 200410693, 200403377; 200410693, 1772518, 1772519	309 (3); 603.f (3); 605.a; 906.a (2)	\$ 33,000		44	750	None	2; 3; 4; 6	N	N	AOC	Settlement inducement	1410-OV-57	487	10/27/2014	
Walter S. Fees Jr. & Son	29470	3/26/2012	200344232; 200344233; 200344234	326.b.1 (3 wells)	\$25,000		30	\$8,333	None	None	No	No	AOC	17% discount from Statutory Maximum for settlement inducement	1403-OV-13	445	3/17/2014	
Wayne L. Wise	97120	9/25/2014	200412873	210.b; 319.b	\$ 16,000		20	800	None	None	N	N	AOC	20% discount from statutory maximum as settlement inducement	1410-OV-72	488	10/27/2014	
Wellstar Corporation	95245	7/18/2011	200315279	905.a	\$9,000		1	\$900	None	None	No	No	AOC	10% discount from statutory maximum as settlement inducement	1404-OV-40	460	4/28/2014	
Western Operating Company	95620	12/13/2013	200392590	905.b.; 907.a.; 909	\$25,000		30	\$8,333	None	3	No	No	AOC	17% discount from Statutory Maximum for mitigation credit and settlement inducement	1403-OV-04	446	3/17/2014	
Wiepking-Fullerton Energy LLC	96340	5/5/2014	200403658	324A.a	\$1,000		1	\$1,000	N/A	N/A	N/A	N/A	AOC	Statutory Maximum	1407-OV-58	474	7/28/2014	
WPX Energy Rocky Mountain LLC	96850	4/16/2014	200402150; 200402148; 200402151; 200402143	§ 34-60-121(1), C.R.S.	\$30,000		40	\$750	None	None	No	No	AOC	25% discount from statutory maximum as settlement inducement	1406-OV-49	468	6/17/2014	
Total Penalties Imposed					\$1,410,000													

Aggravating factors.

- (1) The violation was intentional or reckless.
- (2) The violation had a significant negative impact, or threat of significant negative impact, on the environment or on public health, safety, or welfare.
- (3) The violation resulted in significant waste of oil and gas resources.
- (4) The violation had a significant negative impact on correlative rights of other parties.
- (5) The violation resulted in or threatened to result in significant loss or damage to public or private property.
- (6) The violation involved recalcitrance or recidivism upon the part of the violator.
- (7) The violation involved intentional false reporting or recordkeeping.
- (8) The violation resulted in economic benefit to the violator, including the economic benefit associated with noncompliance with the applicable rule, in which case the amount of such benefit may be taken into consideration.
- (9) The violation results in significant, avoidable loss of wildlife or wildlife resources, including the ability of the land to produce vegetation supportive of wildlife.

Mitigating factors.

- (1) The violator self-reported the violation.
- (2) The violator demonstrated prompt, effective and prudent response to the violation, including assistance to any impacted parties.
- (3) The violator cooperated with the Commission, or other agencies with respect to the violation.
- (4) The cause(s) of the violation was (were) outside of the violator's reasonable control and responsibility, or is (are) customarily considered to be force majeure.
- (5) The violator made a good faith effort to comply with applicable requirements prior to the Commission learning of the violation.
- (6) The cost of correcting the violation reduced or eliminated any economic benefit to the violator.
- (7) The violator has demonstrated a history of compliance with Commission rules, regulations and orders.

2014 Notice of Alleged Violations Report Summary

This Report lists all Notice of Alleged Violations (“NOAV”) the Commission issued in 2014, through November 30. The Report indicates whether a NOAV has been resolved (“closed”) or is still pending (“open”). For each closed NOAV, the Report indicates whether a penalty was imposed and, if so, the penalty amount.

The table below summarizes the status of the NOAVs issued in 2014; the details for each NOAV are contained in the pages that follow.

<i>Total NOAVs Issued (Does not include withdrawn) (1/1/2014 to 11/30/2014)</i>		130
<i>Total Open</i>		58
<i>Total Resolved</i>		72
		% Of Total Resolved 55.4%
<i>Resolved by Order and Penalty</i>		52
<i>Resolved by Order Without Penalty</i>		0
<i>Resolved by Corrective Actions Without Penalties</i>		20
<i>Withdrawn</i>		20
<i>Total Penalties Assessed</i>	\$393,500	
<i>Maximum Penalty Assessed</i>	\$70,000	
<i>Minimum Penalty Assessed</i>	\$200	
<i>Average Penalty Assessed</i>	\$7,567	
<i>Median Penalty Assessed</i>	\$7,500	

2014 COGCC Notice of Alleged Violations (NOAV) Report

NOAV's From January 1, 2014 through November 30, 2014

NOAV No.	NOAV Date	Operator Name	Resolution Date	closed	Docket No.	Order No.	Penalty (Y/N)	Penalty \$
1897820	1/3/2014	CORAL PRODUCTION CORP	3/18/2014	Y	1403-OV-20	1V-454	Y	\$1,000
200393015	1/6/2014	LORENTZ OIL & GAS LLC	5/2/2014	Y	1404-OV-37	1V-456	Y	\$200
200393018	1/6/2014	LORENTZ OIL & GAS LLC	5/2/2014	Y	1404-OV-37	1V-456	Y	\$200
200393019	1/6/2014	LORENTZ OIL & GAS LLC	5/2/2014	Y	1404-OV-37	1V-456	Y	\$200
200393020	1/6/2014	LORENTZ OIL & GAS LLC	5/2/2014	Y	1404-OV-37	1V-456	Y	\$200
200393021	1/6/2014	LORENTZ OIL & GAS LLC	5/2/2014	Y	1404-OV-37	1V-456	Y	\$200
200393468	1/7/2014	TOM FENNO PRODUCTION LLC	3/26/2014	Y	1403-OV-10	None		Dismissed
200393530	1/8/2014	HYNDREX RESOURCES	3/17/2014	Y	1403-OV-08	1V-453	Y	\$8,000
200393531	1/8/2014	HYNDREX RESOURCES	3/17/2014	Y	1403-OV-08	1V-453	Y	\$8,000
200393545	1/8/2014	PADCO LLC	4/9/2014	Y	1403-OV-24	None		Dismissed
200393566	1/9/2014	RITCHIE EXPLORATION INC	3/21/2014	Y	1403-OV-25	None		Dismissed
200393426	1/9/2014	THOMPSON ENGINEERING & PRODUCTION						
200393417	1/10/2014	RENEGADE OIL & GAS COMPANY LLC	6/17/2014	Y	1403-OV-26	1V-466	Y	\$7,500
200393418	1/15/2014	RENEGADE OIL & GAS COMPANY LLC	6/20/2014	Y	Dismissed	None		Dismissed
200393587	1/22/2014	ENERVEST OPERATING LLC	7/28/2014	Y	1404-OV-35	1V-472	Y	\$1,000
200393588	1/22/2014	ENERVEST OPERATING LLC	6/18/2014	Y	Dismissed	None		Dismissed
200394013	1/22/2014	GREAT WESTERN OPERATING COMPANY LLC	3/17/2014	Y	1403-OV-23	1V-452	Y	\$7,500
200393595	1/22/2014	MCELVAIN ENERGY INC	3/17/2014	Y	1403-OV-22	1V-448	Y	\$7,500
200394255	1/23/2014	EP ENERGY E&P COMPANY LP	3/19/2014	Y	Dismissed	None		Dismissed
200394187	1/23/2014	KOCH EXPLORATION COMPANY, LLC	6/20/2014	Y	Dismissed	None		Dismissed
200394188	1/23/2014	KOCH EXPLORATION COMPANY, LLC	6/20/2014	Y	Dismissed	None		Dismissed
2540423	1/23/2014	THINK OIL INC	9/15/2014	Y	1404-OV-32	1V-458	Y	\$10,000
2540424	1/23/2014	THINK OIL INC	9/15/2014	Y	1404-OV-32	1V-458	Y	\$10,000
200394796	1/30/2014	P & M PETROLEUM MANAGEMENT LLC			1410-OV-14			
200395248	2/5/2014	ROSEWOOD RESOURCES INC	3/31/2014	Y	Dismissed	None		Dismissed
200397470	2/26/2014	TEXAS TEA OF COLORADO LLC DBA TEXAS TEA LLC	9/15/2014	Y	1406-OV-43	1V-485	Y	\$70,000
200400969	3/3/2014	BARRETT CORPORATION* BILL	6/16/2014	Y	1404-OV-38	1V-459	Y	\$27,000
200396065	3/12/2014	RIO MESA RESOURCES INC	5/2/2014	Y	1404-OV-41	1V-461	Y	\$8,000
200398606	3/12/2014	RIO MESA RESOURCES INC	5/2/2014	Y	1404-OV-41	1V-461	Y	\$8,000
200398989	3/14/2014	WPX ENERGY ROCKY MOUNTAIN LLC	3/20/2014	Y	1404-OV-39	None		Dismissed
200399000	3/14/2014	WPX ENERGY ROCKY MOUNTAIN LLC	3/20/2014	Y	1404-OV-39	None		Dismissed
2450970	3/17/2014	BERRY PETROLEUM COMPANY LLC	4/4/2014	Y	Dismissed	None		Dismissed
2450971	3/17/2014	BERRY PETROLEUM COMPANY LLC	4/4/2014	Y	Dismissed	None		Dismissed
2450973	3/17/2014	BERRY PETROLEUM COMPANY LLC	4/4/2014	Y	Dismissed	None		Dismissed
2450972	3/17/2014	BERRY PETROLEUM COMPANY LLC						
2450974	3/17/2014	CARRIZO OIL & GAS INC	9/15/2014	Y	1409-OV-60	1V-475	Y	\$2,000
2450975	3/17/2014	CARRIZO OIL & GAS INC	9/15/2014	Y	1409-OV-60	1V-475	Y	\$2,000
2450978	3/17/2014	HALCYON EXPLORATION COMPANY LLC			1410-OV-71			
2450979	3/17/2014	KERR-MCGEE OIL & GAS ONSHORE LP	5/15/2014	Y	Dismissed	None		Dismissed
2450986	3/17/2014	ROSEWOOD RESOURCES INC	4/4/2014	Y	Dismissed	None		Dismissed
2450985	3/17/2014	ROSEWOOD RESOURCES INC						
2450987	3/17/2014	XTO ENERGY INC	5/26/2014	Y	Dismissed	None		Dismissed
200399090	3/18/2014	CM PRODUCTION LLC			1409-OV-64			
200399835	3/25/2014	SUNBURST INC	6/19/2014	Y	1406-OV-44	1V-467	Y	\$8,000
200400589	4/1/2014	BLACK DIAMOND MINERALS LLC	5/14/2014	Y	1406-OV-46	None		Dismissed
200401389	4/10/2014	JULANDER ENERGY COMPANY	9/15/2014	Y	1406-OV-47	1V-480	Y	\$7,500
200401393	4/10/2014	JULANDER ENERGY COMPANY	9/15/2014	Y	1406-OV-47	1V-480	Y	\$7,500
200401344	4/10/2014	PETRO MEX RESOURCES	6/17/2014	Y	1406-OV-50	1V-469	Y	\$19,000

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200401345	4/10/2014	PETRO MEX RESOURCES	6/17/2014	Y	1406-OV-50	1V-469	Y	\$19,000
200401847	4/11/2014	CCI PARADOX UPSTREAM LLC	7/28/2014	Y	1407-OV-29	1V-473	Y	\$9,000
200402143	4/15/2014	WPX ENERGY ROCKY MOUNTAIN LLC	6/17/2014	Y	1406-OV-49	1V-468	Y	\$7,500
200402148	4/15/2014	WPX ENERGY ROCKY MOUNTAIN LLC	6/17/2014	Y	1406-OV-49	1V-468	Y	\$7,500
200402150	4/15/2014	WPX ENERGY ROCKY MOUNTAIN LLC	6/17/2014	Y	1406-OV-49	1V-468	Y	\$7,500
200402151	4/15/2014	WPX ENERGY ROCKY MOUNTAIN LLC	6/17/2014	Y	1406-OV-49	1V-468	Y	\$7,500
200402152	4/15/2014	WPX ENERGY ROCKY MOUNTAIN LLC	5/6/2014	Y	Dismissed	None		Dismissed
200402204	4/16/2014	BILL BARRETT CORPORATION	9/15/2014	Y	Dismissed	None		Dismissed
200402879	4/23/2014	NOBLE ENERGY INC			1406-OV-48			
200403283	4/29/2014	DEJOUR ENERGY (USA) CORPORATION	7/28/2014	Y	1407-OV-51	1V-471	Y	\$9,900
200403300	4/30/2014	DEJOUR ENERGY (USA) CORPORATION	7/28/2014	Y	1407-OV-51	1V-471	Y	\$9,000
200403301	4/30/2014	DEJOUR ENERGY (USA) CORPORATION	7/28/2014	Y	1407-OV-51	1V-471	Y	\$9,900
200403302	4/30/2014	DEJOUR ENERGY (USA) CORPORATION	7/28/2014	Y	1407-OV-51	1V-471	Y	\$9,000
200403358	5/1/2014	TOP OPERATING COMPANY	10/27/2014	Y	1410-OV-57	1V-487	Y	\$16,780
200403377	5/1/2014	TOP OPERATING COMPANY	10/27/2014	Y	1410-OV-57	1V-487	Y	\$5,593
200403550	5/1/2014	TOP OPERATING COMPANY	10/27/2014	Y	1410-OV-57	1V-487	Y	\$5,593
200403552	5/2/2014	DEJOUR ENERGY (USA) CORPORATION	7/28/2014	Y	1407-OV-51	1V-471	Y	\$1,800
200403594	5/2/2014	DEJOUR ENERGY (USA) CORPORATION	7/28/2014	Y	1407-OV-51	1V-471	Y	\$1,800
200403616	5/2/2014	DEJOUR ENERGY (USA) CORPORATION	7/28/2014	Y	1407-OV-51	1V-471	Y	\$1,800
200403619	5/2/2014	DEJOUR ENERGY (USA) CORPORATION	7/28/2014	Y	1407-OV-51	1V-471	Y	\$1,800
200403592	5/5/2014	DEJOUR ENERGY (USA) CORPORATION						
200403658	5/5/2014	WIEPKING-FULLERTON ENERGY LLC	7/28/2014	Y	1407-OV-58	1V-474	Y	\$1,000
200404234	5/9/2014	SUNDANCE ENERGY INC	7/28/2014	Y	1405-OV-52	1V-477	Y	\$4,000
2618205	5/29/2014	CCI PARADOX UPSTREAM LLC	7/28/2014	Y	1407-OV-29	1V-473	Y	\$9,000
400618618	6/9/2014	BONANZA CREEK ENERGY OPERATING COMPANY LLC	7/28/2014	Y	1407-OC-54	1V-476	Y	\$3,000
200407197	6/10/2014	ENERGY SEARCH COMPANY			1409-OV-55			
200407198	6/10/2014	ENERGY SEARCH COMPANY			1409-OV-55			
200407199	6/10/2014	ENERGY SEARCH COMPANY			1409-OV-55			
200407200	6/10/2014	ENERGY SEARCH COMPANY			1409-OV-55			
400624424	6/11/2014	DCP MIDSTREAM LP			1409-OV-63			
400624186	6/12/2014	CONOCO PHILLIPS COMPANY	9/15/2014	Y	1409-OV-65	1V-479	Y	\$2,000
400628002	6/17/2014	PETRO MEX RESOURCES						
400628609	6/18/2014	HYNDREX RESOURCES						
400629488	6/19/2014	SUNCOR ENERGY (USA) INC						
200407843	6/25/2014	ENERGY SEARCH COMPANY			1409-OV-55			
200407872	6/25/2014	ENERGY SEARCH COMPANY			1409-OV-55			
200407964	6/26/2014	TEKTON WINDSOR LLC	9/15/2014	Y	1409-OV-62	1V-481	Y	\$1,000
200407965	6/26/2014	TEKTON WINDSOR LLC	9/15/2014	Y	1409-OV-62	1V-481	Y	\$1,000
200407950	7/1/2014	AUGUSTUS ENERGY RESOURCES LLC						
200408959	7/14/2014	FOUNDATION ENERGY MANAGEMENT			1410-OV-67			
200408958	7/14/2014	FOUNDATION ENERGY MANAGEMENT LLC			1410-OV-67			
200408960	7/14/2014	FOUNDATION ENERGY MANAGEMENT LLC			1410-OV-67			
200408963	7/14/2014	WILLSOURCE ENTERPRISE LLC			1410-OV-69			

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200408966	7/14/2014	WILLSOURCE ENTERPRISE LLC			1410-OV-69			
400650507	7/24/2014	HALCYON EXPLORATION COMPANY LLC						
400650567	7/24/2014	HALCYON EXPLORATION COMPANY LLC						
200409733	7/31/2014	TOP OPERATING COMPANY						
200409785	8/1/2014	BENCHMARK ENERGY LLC			1410-OV-68			
200409794	8/1/2014	FRITZLER RESOURCES INC						
400648213	8/5/2014	K P KAUFFMAN COMPANY INC			1409-OV-66			
400629229	8/14/2014	P & M PETROLEUM MANAGEMENT LLC						
200410618	8/18/2014	MARALEX RESOURCES, INC			1410-OV-53			
1772518	8/19/2014	TOP OPERATING COMPANY	10/27/2014	Y	1410-OV-57	1V-487	Y	\$1,678
1772519	8/19/2014	TOP OPERATING COMPANY	10/27/2014	Y	1410-OV-57	1V-487	Y	\$1,678
200410693	8/19/2014	TOP OPERATING COMPANY	10/27/2014	Y	1410-OV-57	1V-487	Y	\$1,678
200411687	9/3/2014	KERR MCGEE OIL & GAS ONSHORE LP						
200410334	9/10/2014	PETRO MEX RESOURCES						
200411773	9/10/2014	PETRO MEX RESOURCES						
200411870	9/12/2014	PETRO MEX RESOURCES						
400687355	9/15/2014	MARALEX RESOURCES, INC						
400686674	9/17/2014	MARALEX RESOURCES, INC						
400688414	9/17/2014	MARALEX RESOURCES, INC						
400688424	9/17/2014	MARALEX RESOURCES, INC						
400691473	9/23/2014	PETRO MEX RESOURCES						
200412868	9/25/2014	FOUNDATION ENERGY MANAGEMENT LLC						
200412872	9/25/2014	FOUNDATION ENERGY MANAGEMENT LLC						
200412873	9/25/2014	WISE* WAYNE L	10/27/2014	Y	1410-OV-72	1V-488	Y	\$16,000
200413121	10/1/2014	BENCHMARK ENERGY LLC						
2465110	10/6/2014	P & M PETROLEUM MANAGEMENT LLC						
2465111	10/6/2014	P & M PETROLEUM MANAGEMENT LLC						
200413180	10/8/2014	ENCANA OIL & GAS (USA) INC						
200413930	10/15/2014	P & M PETROLEUM MANAGEMENT LLC						
200414097	10/16/2014	GREAT WESTERN OPERATING COMPANY LLC						
200414053	10/16/2014	KERR MCGEE OIL & GAS ONSHORE						
200415200	10/23/2014	RANCHERS EXPLORATION PARTNERS LLC						
200415708	10/27/2014	RANCHERS EXPLORATION PARTNERS LLC						
200417060	11/13/2014	WILLSOURCE ENTERPRISE LLC						
200417072	11/13/2014	WILLSOURCE ENTERPRISE LLC						
2465138	11/14/2014	RICKER FARMS INC						
2465139	11/14/2014	SCHNEIDER ENERGY SERVICES INC						
200417241	11/17/2014	UNIT PETROLEUM COMPANY						
200417500	11/21/2014	CM PRODUCTION LLC						