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**COLORADO**  
**WATER POLLUTION CONTROL**  
**REVOLVING FUND**  
**ANNUAL REPORT**

**JANUARY 1, 1996 - DECEMBER 31, 1996**



PREPARED BY  
THE STATE OF COLORADO  
MARCH 31, 1997

FOR THE ENVIRONMENTAL PROTECTION AGENCY  
REGION VIII

# COLORADO WPCRF ANNUAL REPORT

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## **COLORADO WPCRF ANNUAL REPORT**

### **I. INTRODUCTION**

The Colorado Water Resources and Power Development Authority, the Water Quality Control Division and the Division of Local Government (hereinafter collectively referred to as the "State") have prepared this annual report on Colorado's Water Pollution Control Revolving Fund (WPCRF). The fiscal year covered is January 1, 1996, to December 31, 1996. This report is intended to describe the activity of the WPCRF for this period, address the progress made on the goals of the program and present the annual audit for fiscal year 1996.

### **II. HISTORY**

Colorado's WPCRF Program was established by legislation in April 1988. Also in April 1988, the Water Quality Control Commission (the "Commission") adopted Revolving Fund Regulation 5.2.0 which governs the Priority and Eligibility Lists and Administrative Procedures.

The Intended Use Plan and the state-wide priority and eligibility lists are evaluated and brought before the Commission annually. Additions and modifications to the eligibility list are adopted by the Commission by December 31 of each year and approved by the Colorado General Assembly during the subsequent legislative session prior to April 1.

Changes since 1988:

The Commission amended the regulation to include Nonpoint Source projects and other program improvements on January 3, 1989.

WPCRF Rules 5.2.0 were amended again on October 11, 1990, to reduce delays in adopting the priority and eligibility lists.

The October 17, 1991, revisions to the WPCRF Rules allowed additional projects that are eligible under this program to receive funding priority. They also allowed assistance to communities that qualify under pollution prevention efforts rather than limiting priorities to compliance and enforcement projects.

Proactive changes were made to the Rules in October 1992. Small communities (under 5,000 population) are eligible for WPCRF assistance with an approved feasibility study rather than an extensive

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facility plan. The feasibility study will still have all the necessary requirements (such as environmental information and public participation) and will help reduce costs to small communities. The Rules were also amended to include water conservation measures as an eligible project for participation in the WPCRF. Additional priority points are assigned for including water conservation measures as a part of the project.

No substantial changes were made in 1993, 1994 or 1995. However, the Commission directed staff to revisit the WPCRF Rules for possible changes to the priority point system. In June 1996 the Water Quality Control Division (WQCD) received a Funding Framework Grant from EPA to create a pilot priority system with expanded eligibilities. A critical review team was formed to address changes to the WPCRF Rules which were targeted for completion prior to the adoption of the FY1998 Intended Use Plan. An Interim Rule was drafted and a notice was published for a March 10, 1997, public hearing with the Commission. After changes were made at a prehearing conference, the final proposed Interim Rules were adopted by the Commission on March 10, 1997, and will be reviewed over the next year.

Minor changes to the financial program were made in 1994, 1995 and 1996. The changes occurred in both the direct loan and leveraged loan programs. The leveraged loan program, through the Surplus Matching Account agreement, was modified in 1994 to include direct loan repayments as security for the Clean Water Bonds. These repayments are held for up to one year in the Surplus Matching Account as are any de-allocations from the Matching Account and the repayment of the State Match. On September 1 of each year, the Surplus Matching Account may be used to cure any default on any Clean Water Bonds. If there are no defaults, the funds are moved to the re-loan account on September 2. By pledging the direct loan repayments, the ability to make additional loans with this money will be delayed for a short time period.

An additional minor change occurred in 1995 to provide additional security for the Clean Water Bonds. This change involved another modification to the Surplus Matching Account agreement to require funds in each Matching Account to be the greater of: (a) the amount required to be on deposit in the Matching Account for such Bonds or (b) maximum annual debt service due in the current year or future years on the Bonds secured by such Matching Account. By keeping the Matching Account for each borrower at the maximum annual debt

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service level, the WPCRF will lose some loan capacity over the last four years of each loan. The Matching Account is generally larger than the maximum annual debt service, except for the last four years of each Bond issue.

The last minor change in 1995 involved the Authority's policy on direct loan amounts. The Authority's Board reviewed the current limitation of \$500,000 for each direct loan. Although this has not been a strict policy, it has been a guideline. Since the number and size of leveraged loans are uncertain for each year and the WPCRF had at that time in excess of \$24 million of unencumbered grant awards, the Board felt it was appropriate to change the policy guideline to allow direct loans with amounts of up to \$1.0 million. In reviewing direct loans of this size, the Board would favorably consider a shorter loan term.

In December 1996 the Board revised the closing fee on direct loans. The fee was reduced from 0.8 percent to 0.5 percent to offset increased legal expenses associated with the loan.

### **III. SUMMARY**

The reporting period for this document is January 1, 1996, through December 31, 1996. The Federal grant funds available for the FY1996 loan cycle include approximately \$14,408,097 remaining from the FY1993 grant, \$9,536,100 from the FY1994 grant, \$9,852,000 from the FY1995 grant and \$16,138,618 from the FY1996 grant, the last amendment of which was awarded on September 23, 1996. Of these amounts, \$1,039,293 has been committed to cover administrative expenses.

The FY1996 Intended Use Plan (IUP) was adopted by the Water Quality Control Commission after a formal public hearing on October 10, 1995. Four communities were added to the IUP on November 13, 1995, after public notice and formal approval by the Commission. The FY1996 IUP became effective on November 21, 1995. In that plan, projects totaling over \$44 million were identified for potential loans during FY1996.

Seven loans were executed during 1996 with a total principal amount of \$10,619,655. The communities receiving leveraged loans included the Town of Crested Butte, Mount Crested Butte Water and Sanitation District, the City of Idaho Springs and the Fountain Sanitation District. Direct loans were made to the City of Broomfield, the Town of Ordway and the Town of Lyons.

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The Clean Water Bonds issued to fund the leverage loans maintained their "AA/Aa" ratings from Standard and Poor's Ratings Group and Moody's Investors Service, respectively.

### IV. GOALS AND ACCOMPLISHMENTS

The following discussion addresses the progress toward meeting the goals and accomplishments that were made a part of the WPCRF program through the FY1996 Intended Use Plan (IUP).

#### A. LONG TERM GOALS

"(1) *Maintain the economic viability of the WPCRF while meeting current and projected Water Quality needs in the State of Colorado.*

*Ensure that the WPCRF remains viable and is self perpetuating to meet the long range water quality needs of the State."*

The program has been set up to be self perpetuating and will be leveraged to the maximum extent possible to meet the projected water quality needs of the State and to maintain the economic viability of the WPCRF.

The incentives used for loans include direct loan interest rates at 4.5 percent and leveraged loans at 80 percent of market rate.

"(2) *Provide technical assistance to governmental agencies for facilitating effective planning, design, financing and construction of facilities to meet water quality standards.*

*Customer service will be the focus while utilizing the team approach to create an atmosphere conducive to accomplishing the best practicable project."*

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Administrative fees are now adequate to fund State technical support to local governments. Preapplication and preplanning meetings are now being scheduled with communities to assist with planning, scheduling, financing and management. Additional engineering support has been added to the program to provide technical review as well as technical assistance to small communities.

A team approach to the construction of wastewater treatment facilities is established at the onset to assist communities with all program requirements to save time, money and create the relationships necessary to facilitate the best project for the community. (See **Exhibit A.**)

An ongoing outreach program through the Division of Local Government (DLG) is assisting community officials in achieving compliance and helping them professionally manage their systems. During August 1996, DLG conducted six workshops throughout the State addressing current legislation, financing programs and regulatory issues. Technical assistance is also provided by DLG through site visits, planning discussions, a plant operator training calendar and various other publications and activities.

*"(3) Maintain compliance with Federal Law and the provisions of the State/EPA Operating Agreement."*

The regulations are clear and the application packet includes specific instructions.

The standard operating procedures and policies are quite complex due to many Federal requirements; however, the State continues to work with EPA in refining these procedures and policies.

### B. SHORT TERM GOALS

*"(1) Improve the procedures and checklists of the Handbook of Procedures on an as needed basis."*



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The WQCD continuously makes improvements to the Handbook of Procedures to ensure that all projects meet the State and Federal requirements associated with the loan program.

*"(2) Initiate the process for identifying projects in the FY1997 Intended Use Plan ("IUP") by February 1996."*

The process was not initiated until late March 1996.

*"(3) Submit Annual Report to EPA by March 31, 1996."*

The 1995 Annual Report was submitted to EPA by March 31, 1996.

*"(4) Make improvements to the WPCRF Rules as appropriate. Major revisions will be made once the Clean Water Act is reauthorized."*

The WQCD was awarded a funding framework grant in June 1996 to revise the WPCRF Rules to incorporate a new priority system for expanded eligibilities. A critical review team, composed of varied interests, was assembled to discuss and make recommendations to the WQCD on a new system. After several meetings, the critical review team completed a new set of rules in November entitled "Interim Rules" for submission to the Commission. Upon holding a public hearing, the Commission adopted the Interim Rules on March 10, 1997.

*"(5) Continue coordination with all funding agencies to complete water quality projects identified in the 1996 IUP."*

The agencies involved in the WPCRF have diligently worked together and with other funding agencies to find the best funding sources for each individual community.

Greater effort has been expended to coordinate identification of projects and funding from all available sources including State, Community Development Block Grants, Rural Development and Energy Impact programs.

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"(6) *Leverage funds in the WPCRF to accommodate the amount of eligible costs projected for loans in 1996 as described in Appendix B (of the IUP).*"

The fund was leveraged consistently on a scale of approximately 3 to 1 to ensure that there would be enough monies available for anticipated loans in 1996 and subsequent years. (See also WPCRF Financial Status.)

### C. ACCOMPLISHMENTS

For the purposes of this report the State references the 1996 IUP. A formal public hearing was held and the IUP was adopted by the Commission on October 10, 1995. On November 13, 1995, another hearing was held to consider a request by four communities to be added to the 1996 IUP.

The 1996 IUP (included as **Attachment 1**) contains a list of projects that the State considered as projected commitments (**Appendix B to Attachment 1**). There were 22 projects listed in the IUP totaling over \$44 million; 7 loan agreements were executed in 1996 totaling \$10,619,655. Details of the loans can be found later in the Project Status portion of this report.

In December 1996 the Authority issued \$28,950,000 of Wastewater Revolving Fund Refunding Revenue Bonds 1996 Series A. These Refunding Bonds were subordinated to the underlying cashflows from the 1989, 1990, 1991 Series A and 1991 Series B Clean Water Revenue Bond issues. As a result of this subordination, the underlying rating on this issue was "A1" by Moody's. The bonds were insured by AMBAC to secure a "Aaa/AAA" rating by Moody's and Standard & Poor's. The present value savings from this refunding amounts to \$1,584,000 or 5.7% of the refunded bonds. These savings will be passed through to the following borrowers: Denver Southeast Suburban Water and Sanitation District, Town of Castle Rock, Cities of Englewood and Littleton and Metro Wastewater Reclamation District.

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### V. PROGRAM DETAILS

#### A. WPCRF FINANCIAL STATUS

The final amendment to the FY1996 capitalization grant was awarded on September 23, 1996. The EPA share was \$16,138,618 and the State Match was \$3,227,723 for a total of \$19,366,341. With this grant, the State has received \$107,658,575 to date from EPA and has committed to provide a total of \$21,531,766 for State Match.

**Exhibit B** shows the capitalization grant payments, cumulative payments, binding commitments and percentage of binding commitments. **Exhibit C** presents a summary of project and administrative draws against the various grants. Other financial details are available in **Attachment 4** (audited financial statements) and **Exhibit I** (form 269A).

**Exhibit D** presents a summary of all loans as of December 31, 1996, and the status of those loans as of that date.

#### B. PROJECT STATUS

**Exhibit E** is a grants information control system (GICS) generated report showing seven loan agreements executed during the 1996 reporting period. Each of the seven projects are briefly described below.

**Town of Crested Butte** - A twenty-year loan in the amount of \$2,499,120 was executed on June 26, 1996. The scope of work included two distinct parts. One consists of the wastewater treatment plant, increasing its capacity and adding pre-treatment. The second part of the Project includes the construction of a joint Class A sludge treatment facility in cooperation with the Mt. Crested Butte Water and Sanitation District. The Town's share of the sludge treatment facility will be 35 percent.

**Mt. Crested Butte Water and Sanitation District** - A nineteen-year loan in the amount of \$1,399,080 was executed on June 26, 1996. The project consists of the construction of a joint Class A sludge treatment facility in cooperation with the Town of Crested Butte. The District's share of the sludge treatment facility will be 65 percent.

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**City of Idaho Springs** - A twenty-year loan in the amount of \$1,541,237 was executed on June 26, 1996. The City has been experiencing some significant Infiltration/Inflow problems. The City is also studying the need for upgrades and/or expansion of its treatment plant and the possibility of using WPCRF funds to construct stormwater facilities.

**Fountain Sanitation District** - A nineteen-year loan in the amount of \$1,716,099 was executed on June 26, 1996. This project involves replacement of the District's existing sewage lagoon system with an extended aeration process, clarifiers, post-aeration process, disinfection and sludge handling facilities.

**Town of Ordway** - A twenty-year loan was executed on October 29, 1996, in the amount of \$350,000 to assist in upgrading the Town's existing treatment plant. The project involves an increase in the size and number of ponds to upgrade the plant to a total retention, nondischarging facility. Construction has been completed and final inspection was scheduled for March 20, 1997.

**City of Broomfield** - A twenty-year loan was executed on December 5, 1996, for \$2,514,119. The project consists of the purchase of approximately 1,420 acres of land in Weld County for the injection of sludge as part of the City's biosolids beneficial reuse program.

**Town of Lyons** - On December 6, 1996, the Town received a twenty-year loan in the amount of \$600,000 to assist in expanding and improving the existing treatment facility. The project consists of process control improvements to the aeration basin, construction of a new waste activated sludge and scum pump station, construction of two new aerobic digesters, modification of existing headworks and installation of a mechanical bar screen and installation of a dome to cover the existing treatment unit. The capacity of the plant will be expanded to accommodate a design flow of 0.38 mgd. This project will begin construction in 1997.

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Exhibit F is a report of all projects funded to date. The report breaks out the equivalency and nonequivalence projects; gives the interest rate on each loan; shows the construction start, initiation of operation and performance certification dates. **Exhibit G** classifies all loans by cost categories. Below is a brief summary of the current status of the active projects listed in these reports.

**City of Brighton** - A twenty-year loan in the amount of \$5,080,484 was executed on May 31, 1995. The scope of work included several projects which will be phased over a period of two-three years. The first project involved repairs to the City's central trunkline which was completed at a cost of \$750,299. The second phase, consisting of the installation of a centrifuge, has been completed at a total cost of approximately \$820,000. Phase III involving digester upgrades and sludge handling improvements were recently bid and will cost about \$250,000. The construction period for the digester and related upgrades is 160 days. The remaining phases include construction of the south interceptor and negotiations to obtain a railroad right-of-way for the interceptor.

**City of Craig** - A twenty-year loan was executed May 31, 1995, in the amount of \$1,096,820. The project involved modifying the sludge lagoons to install impermeable geomembrane liners and wear resistant asphalt work surfaces. The project is complete. Final payment was made December 18, 1996. Final inspection was conducted in September 1996.

**City of Fort Morgan** - A twenty-year loan was executed May 31, 1995, in the amount of \$9,146,685. The project included the construction of a 2.25 mgd wastewater treatment plant and two-miles of interceptor sewer. The project was completed using a design/build approach. Construction began on April 28, 1995, and was completed in November 1996. Construction was completed four months ahead of schedule and under budget.

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**City of Fruita** - A twenty-year loan was executed on April 27, 1995, for \$224,000 and was revised to \$155,435 in October. Construction of the interceptor, connecting the Kings View Estates subdivision with the Fruita system was completed in August 1995. Final certification was completed in 1996.

**Town of Log Lane Village** - A twenty-year loan was executed June 1, 1995, for \$250,000. The project included construction of a lift station and new pipeline for transport of wastewater flows to connect to the City of Fort Morgan. The length of the pipeline is approximately 1.25 miles. The total cost was \$734,200. Construction began August 8, 1995, and was completed in November 1995. Some documentation is still needed from the Town to close-out this loan.

**Parker Water and Sanitation District** - A twenty-year loan was executed on August 10, 1994, for \$1,781,883. A five-year loan of \$500,000 was executed on March 16, 1995, to cover increased costs of the project. The project included construction of an Advanced Wastewater Treatment Facility (phosphorus and ammonia removal) at the south plant and construction of larger interceptors. Construction began in December 1994 and was completed in October 1995. A one-year certification inspection was completed in December 1996.

**City of Steamboat Springs** - A twenty-year loan was executed May 31, 1995, in the amount of \$1,563,550. The project includes increasing digester capacity to meet new sludge requirements and improving the land application system in order to increase the area under the land application. This project is essentially complete. The City is desirous of utilizing remaining loan funds on additional construction improvements at the City's wastewater facility. A final construction inspection will be conducted when weather allows. Anticipated completion date is June 30, 1997.

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**Eagle River Water and Sanitation District (f.k.a. Upper Eagle Valley Consolidated Sanitation District)** - Two loans were made to the District totaling \$13,468,023. The project consists of a liquid treatment and odor control facility which will be constructed to provide additional organic treatment capacity and to improve the operability and maintainability of the wastewater facility. Construction began August 1995. This Autothermal Thermophilic Aerobic Digestion (ATAD) system was operational in December 1996. A final construction inspection will be conducted as soon as weather allows.

**Winter Park Water and Sanitation District** - A twenty-year loan for \$3,050,000 was executed on May 31, 1995. The District is experiencing growth and must upgrade its facility to meet its compliance schedule. This loan will fund the first part of a two-phased plan. The District submitted its final facility plan, and the Finding of No Significant Impact will be published in 1997. In early 1996, the District realized that the organic loading was higher than anticipated resulting in design changes and delays in the submittal of the facility plan and plans and specifications. Construction is anticipated to start by June 1997.

**City of Alamosa** - A fifteen-year loan in the amount of \$3,197,216 was executed on August 10, 1994. This was an equivalency project because the project included a Federal Construction Grant and a Community Development Block Grant. Construction of the regional "Bio-Lac" facility began in May 1995 and initiated operation on October 25, 1995. The final construction payment was made on March 22, 1996. The City was unable to certify compliance one year after initiation of operation because the dissolved oxygen measurements were taken in the disinfection channel right after the ultra-violet disinfection. A new sampling location has resulted in compliance, and the City will certify compliance by May 1997. Upon receipt of the one year certification this project will be administratively closed out.

**Genesee Water and Sanitation District** - A twenty-year loan was executed on August 10, 1994, in the amount of \$1,498,151. Approximately \$1,302,278 has been paid to

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date. The project consisted of improvements to the treatment plant for phosphorus removal, solids dewatering, two additional clarifiers, pumping and mechanical equipment. The project was completed and a final inspection held in June 1996. The project is in the one-year certification period.

**City of Greeley** - A twenty-year loan was executed on August 10, 1994, for \$13,457,960. The project includes several upgrades to the existing facility. Construction was initiated on October 24, 1994, and a final inspection was performed in October 1996.

**City of Longmont** - A \$3,500,000 twenty-year loan was executed on August 18, 1992, to replace a failed breakpoint chlorination nitrification system that had been funded with a Federal construction grant. Construction was completed in April 1995. The one-year certification inspection was done in September 1996. The Division is still awaiting a copy of the operation and maintenance manual.

**City of Ouray** - A twenty-year loan for \$800,000 was executed on September 17, 1992. This project was not certified because the plant was operating beyond 80% of design capacity. An engineering analysis has determined that an increase in hydraulic or organic capacity can be considered with the existing operations of the plant. Once the site approval is amended, and the project is certified, the project will be closed.

**Roxborough Park Metropolitan District** - On November 18, 1994, the District received a twenty-year loan in the amount of \$600,000 to construct advanced wastewater treatment facilities including a chemical phosphorous removal system, new head works and sludge handling buildings, as well as an improved aeration system. The final inspection was conducted in February 1996.

**St. Mary's Glacier Water and Sanitation District** - A twenty-year loan was issued in July 1994 in the amount of \$150,000. The District continues to experience tremendous I/I problems and has submitted its final pay request for work undertaken with the loan. Payment and



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close-out of this loan have been held up pending a brief report on the project achievements. The consulting engineers recently proposed constructing a mechanical plant; however, this proposal has been put on hold pending the completion of a comprehensive evaluation of the collection system and continuing I/I problems.

**Town of Windsor** - A fifteen-year loan was executed on August 10, 1994, for \$3,998,853. The project converted the existing aerated lagoon to an activated sludge plant in order to meet ammonia standards and expand plant capacity. Construction began February 16, 1995. A final inspection was performed in March 1996.

### **C. COMPLIANCE WITH PROVISIONS OF GRANT/OPERATING AGREEMENT**

There are many requirements in the capitalization grant/operating agreement that the State must meet to maintain the WPCRF according to EPA standards. A letter from the WQCD (**Attachment 3**) certifies the State has met the following elements of the Operating Agreement's "Roles and Responsibilities of the State."

- a. Colorado has established an instrumentality of the State utilizing the specific expertise of three agencies via legislation adopted in 1988.
- b. The WQCD submitted a report to Region VIII regarding adequate 205(g) funds to closeout the grant program. The report was approved by Region VIII on November 30, 1989, and has been updated and approved annually. A detailed revision to the report was submitted to EPA on September 16, 1995.
- c. In accordance with Federal regulations, State law and the WPCRF Rules, the IUP (which includes the priority and eligibility lists) is presented at a public hearing through the Commission prior to December 31 of each year.
- d. ACH payment schedules are submitted to EPA Region VIII annually.

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- e. The appropriate State Match is included with each capitalization grant and verified by audit.
- f. A binding commitment (loan agreement) is made after a thorough review of the sponsor's application and financial capability. Exhibit B reports the binding commitment percentage to be well over 120% because of the State's leveraged-loan program.
- g. As required, the State expends all funds in an expeditious and timely manner from the WPCRF for the improvement of water quality.
- h. There is only one project still being tracked for the National Municipal Policy list in Colorado. The minor facility, the Town of Red Cliff, is on an enforceable compliance schedule. Representatives of various agencies continually meet with Red Cliff's officials to explore cost effective solutions to the Town's deficiencies. Approximately \$2.2 million has been provided to the Town to complete a first phase project that should assist with its compliance problem. The Department of Local Affairs provided \$400,000 in grants, WQCD has provided \$613,000 in grants and Rural Development is covering the remainder of the project.
- i. Verification of the State's compliance with project eligibility can be found in the project files.
- j. The State maintains extensive expenditure files (administrative and project) for the WPCRF. While conducting various compliance testing procedures, the auditors indicated that nothing came to their attention that caused them to believe that the Authority had not complied, in all material respects, with various laws, regulations, contracts or grants. The Auditors did note that some improvement is needed in comparing requisition payments with the percent of project completion.
- k. Management of the WPCRF is monitored frequently by each of the three agencies. Improvements are continually made to the program with concurrence from EPA.

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- i. The program's financial accounting structure is maintained by the Colorado Water Resources and Power Development Authority. The financial statements are audited annually by an independent accounting firm.
- m. The Colorado WPCRF Annual Report is submitted to EPA by March 31 of each year as required.
- n. The State has agreed to the 8% Disadvantaged Business Enterprise (DBE) goal. EPA has trained the State to monitor and evaluate DBE participation of loan recipients and their contractors. The State is currently utilizing a DBE for financial printing, investment brokering services, arbitrage rebate services, and underwriting. An evaluation of participation was completed on the bids for the Town of Eagle - DBE contracts totaled \$258,492 for 8% - and the Town of Crested Butte/Mount Crested Butte joint project - DBE contracts totaled \$349,345 for 8.2%. The Town of Ordway was also reviewed; the contract was just over \$435,000 and there were no subcontracts and no DBE participation.

**Exhibit H** is a sample of how SF334 forms are tracked during construction. The percent of participation is documented in each project file.

- o. In accordance with the operating agreement, civil rights compliance forms are submitted to EPA for certification prior to executing loan agreements.
- p. During the 1996 reporting period, the State prepared and/or published ten Finding of No Significant Impact (FNSI) documents for the Town of Ordway, Town of Lyons, Town of Vona, Town of Crested Butte/Mt. Crested Butte Sanitation District, Crested Butte South, Town of Eagle, Town of Manzanola, City of Broomfield, Hermosa Sanitation District and the City of Brighton. In June 1996, the first formal appeal to the Commission was filed against the Environmental Assessment and FNSI prepared for the Crested Butte South Metropolitan District. Until the appeal is resolved, no loan will be executed with the District.

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To further track projects for compliance with the Operating Agreement, the State utilizes the GICS data base.

The State utilizes a Time and Effort record keeping system for all staff that perform duties under the WPCRF. There are some discrepancies with the records kept by the WQCD and DLG; measures are being taken to correct them. In 1997 and subsequent years, DLG will not be reimbursed by any Federal grants; therefore, OMB A-87 provisions will not apply. The WQCD technical staff and certain administrative staff record the time spent on the WPCRF according to how they are budgeted instead of budgeting for the amount of time spent on the program. This process will be discussed during the next budget cycle especially in light of the reorganization; however, certain substitute systems are allowed by the Colorado Department of Public Health and Environment as provided by OMB Circular A-87.

### **VI. PROJECTIONS FOR 1997**

#### **A. PROJECTED LOANS**

The FY1997 IUP (**Attachment 2**) includes a list of projects from the eligibility list that have or are anticipated to submit loan applications. These projects are considered the State's projected commitments for the 1997 WPCRF loan program.

#### **Entities with Approved Applications Ready for Funding:**

- Town of Manzanola
- Crested Butte South Metropolitan District
- Town of Erie
- Parker Water and Sanitation District
- Breckenridge Sanitation District
- City of Westminster
- Town of Vona
- Town of Eagle
- Town of Carbondale

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### Applications Received or Anticipated for 1997:

Colorado City Metropolitan District  
City of Sterling  
Town of New Castle  
City of Trinidad  
Buena Vista Sanitation District

### B. PROPOSED PROGRAM IMPROVEMENTS AND/OR MODIFICATIONS

Included as **Attachment 5**, WPCRF Interim Rules will be used to develop the 1998 IUP. Short and Long Term Goals will be modified slightly to reflect the new WPCRF program's needs.

The Division will be reorganizing, utilizing a basin-wide team approach to meet all existing and anticipated water quality needs.

By statute, the Colorado Water Resources and Power Development Authority is prohibited from accepting applications for financial assistance after June 4, 1996, from any governmental entity which has a legal obligation to provide water at retail and which has a total annual demand of 2,000 acre-feet or more, unless the entity has included a copy of a water use efficiency plan adopted pursuant to section 37-60-126, C.R.S. This 1991 statute (HB-1154) was intended to enhance the efficiency with which water is used to meet end uses, with the objective of making water available for all beneficial uses in Colorado.

In 1997, the Authority will be initiating a study to review policies and procedures associated with all direct and interim loans. Items to be reviewed include: security provisions, adequacy of collateral, loan documentation, default procedures, disbursement control and covenant compliance.

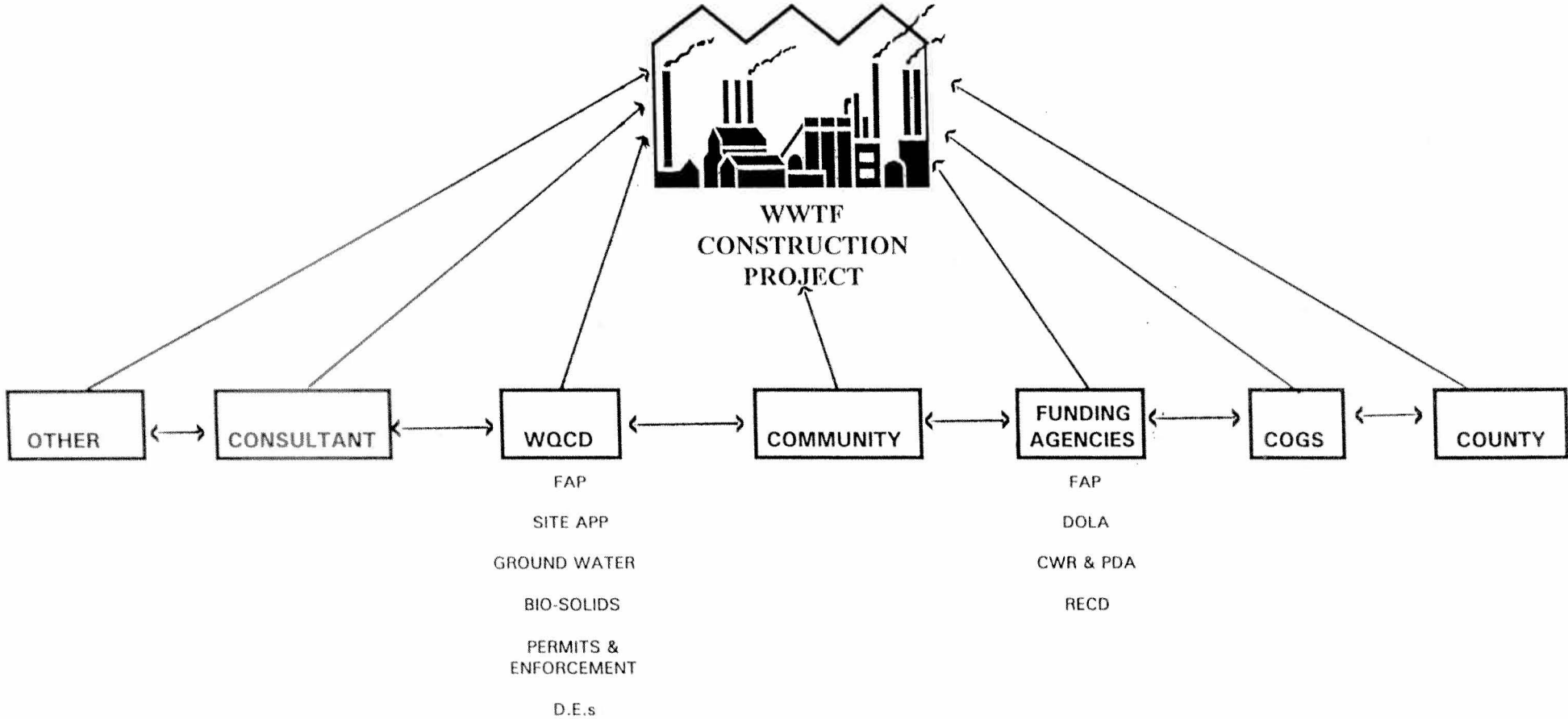
# **Exhibit A**

## **Team Approach to Projects**

EXHIBIT A

TEAM APPROACH TO WWTF CONSTRUCTION PROJECTS

PURPOSE: Provide communities with the expectations of all program requirements - to save time, money, and create the relationships necessary to facilitate the best product for the community.



A-1

**Exhibit B**

**Binding Commitments**



COLORADO WATER RESOURCES and POWER DEVELOPMENT AUTHORITY  
 WATER POLLUTION CONTROL REVOLVING FUND  
 BINDING COMMITMENTS as of DECEMBER 31, 1996

NOTE: The total Administrative Expense for each grant (4% of Cap. Grant) is recorded up front in the period the Capitalization Grant is awarded.

E.P.A. CAPITALIZATION GRANT - PAYMENTS to LOC											TOTAL PAYMENTS to EPA LOC	CUMULATIVE PAYMENTS to EPA LOC	EXECUTED LOANS	ADMINI- STRATIVE EXPENSE	CUMULATIVE BINDING COMMITMENTS	PERCENTAGE of BINDING COMMITMENTS Must be 120%
DATE	FED. YEAR	FED. QTR.	1989 GRANT #080001-89-0	1990 GRANT #080001-90-2	1991 GRANT #080001-91-4	1992 GRANT #080001-92-1	1993 GRANT #080001-93-1	1994 GRANT #080001-94-2	1995 GRANT #080001-95-0	1996 GRANT #080001-96-4						
3/31/89	FY89	2									0	\$0			\$0	Cumulative Binding Commitments / Cumulaty Payments to EPA LOC one year earlier.)
6/30/89	FY89	3									0	\$0			\$0	
9/30/89	FY89	4									0	\$0			\$0	
12/31/89	FY90	1	1,226,529								1,226,529	\$1,226,529	8,626,489	343,428	\$8,969,917	
3/31/90	FY90	2	1,226,529								1,226,529	\$2,453,058		0	\$8,969,917	
6/30/90	FY90	3	1,226,529	1,444,632							2,671,161	\$5,124,219	575,000	404,497	\$9,949,414	
9/30/90	FY90	4	1,226,529	1,444,632							2,671,161	\$7,795,380	4,319,911	170,102	\$14,439,427	
12/31/90	FY91	1	1,226,528	1,444,632							2,671,160	\$10,466,540		0	\$14,439,427	1177.2593%
3/31/91	FY91	2	1,226,528	2,507,770	2,052,013						5,786,311	\$16,252,851	25,500,694	656,644	\$40,596,765	1654.9452%
6/30/91	FY91	3	1,226,528	2,507,770	2,052,012						5,786,310	\$22,039,161		0	\$40,596,765	792.2527%
9/30/91	FY91	4		2,507,770	2,052,013						4,559,783	\$26,598,944	22,410,000	80,000	\$63,086,765	809.2840%
12/31/91	FY92	1		2,507,771	2,452,012						4,959,783	\$31,558,727		0	\$63,086,765	602.7471%
3/31/92	FY92	2			2,452,013						2,452,013	\$34,010,740		0	\$63,086,765	388.1581%
6/30/92	FY92	3			2,452,012	1,942,763					4,394,775	\$38,405,515	180,000	615,619	\$63,882,384	289.8585%
9/30/92	FY92	4			2,452,013	1,942,763					4,394,776	\$42,800,291	44,978,420	0	\$108,860,804	409.2674%
12/31/92	FY93	1			2,300,391	1,942,763					4,243,154	\$47,043,445	257,919	0	\$109,118,723	345.7640%
3/31/93	FY93	2				1,942,763					1,942,763	\$48,986,208		0	\$109,118,723	320.8361%
6/30/93	FY93	3				1,942,762					1,942,762	\$50,928,970		0	\$109,118,723	284.1225%
9/30/93	FY93	4				1,942,762	3,843,650				5,786,412	\$56,715,382		614,984	\$109,733,707	256.3854%
12/31/93	FY94	1				1,942,762	1,921,825				3,864,587	\$60,579,969		0	\$109,733,707	233.2604%
3/31/94	FY94	2				1,942,762	1,921,825				3,864,587	\$64,444,556	200,000	0	\$109,933,707	224.4177%
6/30/94	FY94	3					1,921,825				1,921,825	\$66,366,381		0	\$109,933,707	215.8569%
9/30/94	FY94	4					1,921,825				1,921,825	\$68,288,206	24,084,063	0	\$134,017,770	236.2988%
12/31/94	FY95	1					1,921,825	1,362,300			3,284,125	\$71,572,331	600,000	381,444	\$134,999,214	222.8446%
3/31/95	FY95	2					1,921,826	1,362,300	9,852,000		13,136,126	\$84,708,457	500,000	394,080	\$135,893,294	210.8685%
6/30/95	FY95	3						1,362,300			1,362,300	\$86,070,757	26,442,157	0	\$162,335,451	244.6049%
9/30/95	FY95	4						1,362,300			1,362,300	\$87,433,057		0	\$162,335,451	237.7211%
12/31/95	FY96	1						1,362,300			1,362,300	\$88,795,357		0	\$162,335,451	226.8131%
3/31/96	FY96	2						1,362,300			1,362,300	\$92,784,957		105,092	\$162,440,543	191.7643%
6/30/96	FY96	3						1,362,300			1,362,300	\$95,373,557	7,155,536	49,052	\$169,645,131	197.0996%
9/30/96	FY96	4						1,870,000			1,870,000	\$97,243,557		74,800	\$169,719,931	194.1141%
12/31/96	FY97	1						4,630,018			4,630,018	\$101,873,575	3,464,119	185,201	\$173,369,252	195.2459%
3/31/97	FY97	2									5,785,000	\$107,658,575		231,400	\$173,600,652	
TOTALS			\$8,585,700	\$14,364,977	\$18,264,479	\$15,542,100	\$15,374,601	\$9,536,100	\$9,852,000	\$16,138,618	\$107,658,575		\$189,294,309	\$4,306,343		

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**Exhibit C**

**EPA Capital Contributions Summary**

WPCRF  
EPA CAPITAL CONTRIBUTIONS SUMMARY

From INCEPTION to DECEMBER 31, 1996

	1989 GRANT	1990 GRANT	1991 GRANT	1992 GRANT	1993 GRANT	1994 GRANT	1995 GRANT	1996 GRANT	TOTAL GRANTS	
GRANT AMOUNT AWARDED	\$8,585,700	\$14,364,977	\$18,264,479	\$15,542,100	\$15,374,601	\$9,536,100	\$9,852,000	\$16,138,618	\$107,658,575	
GRANT DATE	AUGUST 31, 1989	MARCH 28, 1990	MARCH 27, 1991	MAY 12, 1992	SEPT. 14, 1993	SEPT. 22, 1994	MARCH 22, 1995	JAN. 26, 1996		
GRANT I. D. NUMBER	#CS080001-89-0	#CS080001-90-2	#CS080001-91-4	#CS080001-92-1	#CS080001-93-1	#CS080001-94-2	#CS080001-95-0	#CS080001-96-4		
DATE of FINAL LOC CASH DRAW	JULY 12, 1991	MAY 20, 1993	JULY 26, 1993	NOVMBR 21, 1995						
DATE GRANT CLOSED ADMINISTRATIVELY BY E.P.A.	APRIL 12, 1994	APRIL 11, 1995	APRIL 11, 1995							
*****										
	1989 GRANT	1990 GRANT	1991 GRANT	1992 GRANT	1993 GRANT	1994 GRANT	1995 GRANT	1996 GRANT	TOTAL GRANTS	
DRAW REQ. DRAW REC. ADMIN'TN PROJECT										
*****										
1989A - DENVER SOUTHEAST SUBURBAN (LL#0101-760200):										
12/22/89	12/26/89	75,500.00		\$75,500					\$75,500	
02/21/90	02/23/90	24,975.36	59,849.64	\$84,825					\$84,825	
03/21/90	03/22/90		142,451.00	\$142,451					\$142,451	
04/13/90	04/16/90	85,417.94	168,259.06	\$253,677					\$253,677	
05/18/90	05/21/90	11,665.00	273,768.00	\$285,433					\$285,433	
06/14/90	06/18/90	11,395.00	377,889.00	\$389,284					\$389,284	
07/19/90	07/23/90		270,880.00	\$270,880					\$270,880	
07/27/90	07/30/90	70,561.00		\$70,561					\$70,561	
08/16/90	08/17/90		171,567.00	\$171,567					\$171,567	
09/20/90	09/24/90		391,854.00	\$391,854					\$391,854	
10/23/90	10/24/90		241,341.00	\$241,341					\$241,341	
11/13/90	11/15/90		207,513.00	\$207,513					\$207,513	
12/18/90	12/20/90		118,212.00	\$118,212					\$118,212	
01/18/91	01/23/91		153,604.00	\$153,604					\$153,604	
02/20/91	02/22/91		113,593.00	\$113,593					\$113,593	
03/21/91	03/25/91		58,070.00	\$58,070					\$58,070	
05/07/91	05/09/91		44,217.00					\$44,217	\$44,217	
07/02/91	07/05/91		280,314.00		\$280,314				\$280,314	
*****										
TOTAL - DENVER SE	279,594.30	3,073,381.70	\$3,028,445	\$44,217	\$280,314	\$0	\$0	\$0	\$0	\$3,352,976



DRAW REQ.		DRAW REC.		ADMIN'TN	PURPOSE	PROJECT	1989 GRANT	1990 GRANT	1991 GRANT	1992 GRANT	1993 GRANT	1994 GRANT	1995 GRANT	1996 GRANT	TOTAL GRANTS
1990A - CASTLE ROCK (LL#0101-763800)/(#387):															
08/29/90	08/30/90		57.29			532,377.71	\$532,435								\$532,435
09/10/90	09/13/90		0.64			3,819.36	\$3,820								\$3,820
09/27/90	10/01/90		0.67			534,108.33	\$534,109								\$534,109
10/10/90	10/11/90					2,400.00	\$2,400								\$2,400
10/31/90	11/01/90		79,464.00				\$6,538	\$72,926							\$79,464
12/28/90	01/02/91					518,993.00	\$518,993								\$518,993
01/02/91	01/04/91		0.32				\$0								\$0
01/15/91	01/17/91					18,407.00	\$18,407								\$18,407
03/28/91	04/01/91					537,400.00	\$391,248	\$146,152							\$537,400
04/29/91	05/01/91		92,661.00					\$92,661							\$92,661
TOTAL - CASTLE ROCK			172,183.92			2,147,505.40	\$2,007,950	\$311,739	\$0	\$0	\$0	\$0	\$0	\$0	\$2,319,689
1991A - ENGLEWOOD/LITTLETON (LL#0101-765505):															
03/01/91	03/05/91					672,927.00	\$672,927								\$672,927
03/28/91	04/01/91					558,680.00	\$558,680								\$558,680
04/29/91	05/01/91					470,573.00	\$470,573								\$470,573
05/30/91	06/03/91					854,966.00		\$854,966							\$854,966
06/27/91	07/01/91					541,997.00		\$541,997							\$541,997
07/30/91	08/01/91					1,583,492.00		\$1,583,492							\$1,583,492
08/29/91	09/03/91		31,119.00			1,089,458.00	\$31,119	\$1,089,458							\$1,120,577
09/27/91	10/01/91					1,183,601.00		\$1,183,601							\$1,183,601
10/01/91	10/03/91		15,177.00				\$15,177								\$15,177
10/08/91	10/10/91		53,296.00				\$53,296								\$53,296
10/30/91	11/01/91					888,514.00	\$888,514								\$888,514
11/27/91	12/02/91					1,159,093.00		\$1,159,093							\$1,159,093
12/18/91	12/23/91		83,949.00				\$83,949								\$83,949
12/30/91	01/02/92					675,547.00		\$675,547							\$675,547
01/30/92	02/03/92					557,687.00		\$557,687							\$557,687
02/03/92	02/05/92		31,172.00				\$31,172								\$31,172
02/19/92	02/21/92		43,121.00				\$43,121								\$43,121
02/27/92	03/02/92					672,567.00	\$672,567								\$672,567
03/30/92	04/01/92					550,965.00		\$550,965							\$550,965
04/29/92	05/01/92					454,066.00		\$454,066							\$454,066
05/05/92	05/07/92		102,072.00					\$102,072							\$102,072
05/28/92	06/01/92					156,482.00		\$156,482							\$156,482
07/28/92	07/30/92					211,349.00	\$211,349								\$211,349
07/31/92	08/04/92		81,451.00				\$81,451								\$81,451
11/23/92	11/30/92					74,253.00		\$74,253							\$74,253
02/24/93	02/26/93		119,629.00					\$119,629							\$119,629
03/01/93	03/03/93					646,436.00		\$646,436							\$646,436
TOTAL - ENG/LITTLETON			635,239.00			12,928,400.00	\$672,927	\$3,140,968	\$9,749,744	\$0	\$0	\$0	\$0	\$0	\$13,563,639



*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****		
*****	*****	----- PURPOSE -----	1989 GRANT	1990 GRANT	1991 GRANT	1992 GRANT	1993 GRANT	1994 GRANT	1995 GRANT	1996 GRANT	TOTAL GRANTS		
*****	*****	ADMIN'TM PROJECT	*****	*****	*****	*****	*****	*****	*****	*****	*****		
*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****		
1992A - CITY of FORT LUPTON (LL#0101-772014):													
11/04/92	11/09/92				\$51,407						\$51,407		
11/20/92	11/25/92				\$85,935						\$85,935		
12/21/92	12/23/92				\$57,850						\$57,850		
01/28/93	02/01/93				\$57,358						\$57,358		
02/23/93	02/24/93				\$73,262						\$73,262		
03/18/93	03/23/93				\$54,859						\$54,859		
04/20/93	04/27/93	I-	\$105,064.00		\$105,064						\$105,064		
04/23/93	04/27/93	I-	\$47,114.00		\$47,114						\$47,114		
05/18/93	05/20/93				\$119,158						\$119,158		
06/29/93	07/01/93				\$59,429						\$59,429		
07/21/93	07/23/93				\$54,630						\$54,630		
08/24/93	08/26/93					\$209,902					\$209,902		
09/21/93	09/23/93				\$35,029						\$35,029		
11/03/93	11/05/93				\$58,941						\$58,941		
11/22/93	11/24/93				\$13,512						\$13,512		
12/16/93	12/20/93				\$20,190						\$20,190		
02/01/94	02/03/94				\$21,466						\$21,466		
02/28/94	03/02/94				\$13,575						\$13,575		
03/23/94	03/25/94				\$12,419						\$12,419		
TOTAL - FORT LUPTON L.L.			0.00	1,151,100.00	\$0	\$0	\$766,066	\$385,034	\$0	\$0	\$0	\$0	\$1,151,100
1992A - FRISCO SANITATION DISTRICT (LL#0101-772015):													
07/31/92	08/04/92				\$61,640						\$61,640		
08/24/92	08/26/92				\$27,269						\$27,269		
09/24/92	09/28/92				\$57,256						\$57,256		
10/26/92	10/28/92				\$161,118						\$161,118		
11/19/92	11/23/92				\$148,025						\$148,025		
12/31/92	01/05/93				\$93,782						\$93,782		
01/25/93	01/27/93				\$125,878						\$125,878		
02/25/93	03/01/93				\$47,035						\$47,035		
03/18/93	03/23/93				\$48,587						\$48,587		
04/16/93	04/22/93				\$105,064						\$105,064		
05/05/93	05/07/93			\$12,742							\$12,742		
05/27/93	06/01/93				\$63,077						\$63,077		
06/23/93	06/25/93					\$149,352					\$149,352		
07/20/93	07/22/93				\$136,639						\$136,639		
08/23/93	08/25/93				\$99,579						\$99,579		
09/28/93	09/30/93				\$114,155						\$114,155		
11/02/93	11/04/93				\$4,602						\$4,602		
TOTAL - FRISCO S.D.			0.00	1,455,800.00	\$0	\$150,907	\$929,205	\$367,688	\$0	\$0	\$0	\$0	\$1,455,800

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*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****
*****	*****	----- PURPOSE -----	1989 GRANT	1990 GRANT	1991 GRANT	1992 GRANT	1993 GRANT	1994 GRANT	1995 GRANT	1996 GRANT	TOTAL GRANTS
*****	*****	ADMIN'TN PROJECT	*****	*****	*****	*****	*****	*****	*****	*****	*****
CITY of OURAY (DL#0101-163502):											
10/07/92	10/09/92			\$66,327							\$66,327
10/23/92	10/27/92			\$128,093							\$128,093
11/11/92	11/16/92				\$129,229						\$129,229
12/18/92	12/22/92				\$55,713						\$55,713
01/14/93	01/20/93				\$73,856						\$73,856
02/23/93	02/24/93				\$33,879						\$33,879
04/06/93	04/08/93				\$22,839						\$22,839
06/16/93	06/18/93				\$3,321						\$3,321
07/12/93	07/14/93				\$26,214						\$26,214
07/26/93	07/28/93					\$6,219					\$6,219
08/17/93	08/19/93					\$8,755					\$8,755
09/28/93	09/30/93					\$14,914					\$14,914
11/04/93	11/08/93					\$61,352					\$61,352
12/23/93	12/27/93					\$32,137					\$32,137
TOTAL - OURAY			\$0	\$194,420	\$345,051	\$123,377	\$0	\$0	\$0	\$0	\$662,848
1992B - CITY of LONGMONT (LL#0101-772210):											
09/29/92	10/01/92			\$3,767							\$3,767
07/19/93	07/21/93				\$89,374						\$89,374
02/08/94	02/10/94					\$53,046					\$53,046
07/13/94	07/15/94					\$336,340					\$336,340
09/14/94	09/16/94					\$5,492					\$5,492
12/28/94	12/30/94					\$501,815					\$501,815
03/06/95	03/08/95					\$139,119					\$139,119
07/18/95	07/20/95					\$188,328					\$188,328
09/05/95	09/07/95					\$116,741					\$116,741
03/25/96	03/27/96						\$10,849				\$10,849
09/07/96	09/09/96						\$28,347				\$28,347
TOTAL - LONGMONT			\$0	\$3,767	\$89,374	\$1,340,881	\$39,196	\$0	\$0	\$0	\$1,473,218

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***** PURPOSE *****				*****	*****	*****	*****	*****	*****	*****	*****	*****	
DRAW REQ.	DRAW REC.	ADMIN'TN	PROJECT	1989 GRANT	1990 GRANT	1991 GRANT	1992 GRANT	1993 GRANT	1994 GRANT	1995 GRANT	1996 GRANT	TOTAL GRANTS	
MONTROSE COUNTY (DL#0101-166202):													
06/08/93	06/10/93		55,537.00			\$55,537						\$55,537	
06/29/93	07/01/93		43,218.00			\$43,218						\$43,218	
07/28/93	07/30/93		95,285.00				\$95,285					\$95,285	
08/25/93	08/30/93		18,933.00				\$18,933					\$18,933	
11/29/93	12/01/93		1,959.00				\$1,959					\$1,959	
TOTAL - MONTROSE COUNTY			0.00	214,932.00	\$0	\$0	\$98,755	\$116,177	\$0	\$0	\$0	\$0	\$214,932
19920 - CITY of FORT COLLINS (LL#0101-772209):													
10/26/92	10/28/92		281,811.00			\$281,811						\$281,811	
11/09/92	11/12/92		366,538.00			\$366,538						\$366,538	
11/23/92	11/25/92		266,118.00			\$266,118						\$266,118	
12/21/92	12/23/92		445,181.00			\$445,181						\$445,181	
01/22/93	01/26/93		479,430.00			\$479,430						\$479,430	
02/24/93	02/26/93		583,130.00			\$583,130						\$583,130	
03/23/93	03/29/93		545,128.00			\$545,128						\$545,128	
04/20/93	04/23/93		905,657.00			\$905,657						\$905,657	
05/18/93	05/20/93		1,568,742.00		\$156,498	\$1,412,244						\$1,568,742	
06/23/93	06/25/93		681,563.00				\$681,563					\$681,563	
07/22/93	07/26/93		744,750.00			\$350,739	\$394,011					\$744,750	
08/24/93	08/27/93		519,341.00				\$519,341					\$519,341	
09/22/93	09/24/93		451,198.00				\$451,198					\$451,198	
10/19/93	10/21/93		313,202.00				\$313,202					\$313,202	
11/23/93	11/26/93		277,097.00				\$277,097					\$277,097	
12/22/93	12/27/93		103,163.00				\$103,163					\$103,163	
01/25/94	01/27/94		124,587.00				\$124,587					\$124,587	
02/18/94	02/22/94		68,725.00				\$68,725					\$68,725	
03/02/94	03/04/94		343,774.00				\$343,774					\$343,774	
03/16/94	03/18/94		49,765.00				\$49,765					\$49,765	
04/26/94	04/29/94		71,456.00				\$71,456					\$71,456	
05/18/94	05/20/94		47,640.00				\$47,640					\$47,640	
06/20/94	06/22/94		30,360.00				\$30,360					\$30,360	
08/02/94	08/04/94		9,534.00				\$9,534					\$9,534	
09/08/94	09/12/94		21,831.00				\$21,831					\$21,831	
09/22/94	09/26/94		35,277.00				\$35,277					\$35,277	
08/30/95	09/01/95		131,007.00				\$131,007					\$131,007	
02/28/96	03/01/96		82,695.00					\$82,695				\$82,695	
TOTAL - FORT COLLINS			0.00	9,548,700.00	\$0	\$156,498	\$5,635,976	\$3,673,531	\$82,695	\$0	\$0	\$0	\$9,548,700

*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****
DRAW REC.	DRAW REC.	ADMIN'TN	PURPOSE PROJECT	1989 GRANT	1990 GRANT	1991 GRANT	1992 GRANT	1993 GRANT	1994 GRANT	1995 GRANT	1996 GRANT	TOTAL GRANTS
*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****
LEVERAGED LOAN SURPLUS MATCHING ACCOUNT (LL#0102-010700/#0101-753904):												
02/23/94	02/25/94		109,296.00				\$109,296					\$109,296
06/29/94	07/05/94		120,497.00				\$120,497					\$120,497
08/24/94	08/26/94		119,134.00				\$119,134					\$119,134
11/07/94	11/09/94		118,110.00				\$118,110					\$118,110
02/06/95	02/08/95		143,376.00				\$143,376					\$143,376
05/15/95	05/17/95		167,467.00				\$167,467					\$167,467
08/21/95	08/23/95		154,710.00				\$154,710					\$154,710
11/08/95	11/10/95		150,082.00				\$150,082					\$150,082
03/06/96	03/08/96		159,394.00					\$159,394				\$159,394
07/02/96	07/05/96		207,172.00					\$207,172				\$207,172
09/11/96	09/13/96		191,618.00					\$191,618				\$191,618
12/06/96	12/10/96		194,769.00					\$194,769				\$194,769
<hr/>												
TOTAL - L.L. MATCHING		1,835,625.00	0.00	\$0	\$0	\$0	\$1,082,672	\$752,953	\$0	\$0	\$0	\$1,835,625
<hr/>												
CITY of FORT LUPTON (DL#0101-346302):												
04/26/94	04/29/94		166,666.00				\$166,666					\$166,666
<hr/>												
TOTAL - FORT LUPTON D.L.		0.00	166,666.00	\$0	\$0	\$0	\$166,666	\$0	\$0	\$0	\$0	\$166,666
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ST. MARY'S GLACIER WATER & SANITATION DISTRICT (DL#0101-489302):												
08/26/94	08/30/94		15,351.00				\$15,351					\$15,351
10/28/94	11/01/94		13,124.00				\$13,124					\$13,124
06/27/95	06/29/95		10,280.00				\$10,280					\$10,280
03/19/96	03/21/96		46,243.00					\$46,243				\$46,243
10/15/96	10/17/96		28,627.00					\$28,627				\$28,627
<hr/>												
TOTAL - ST. MARY'S GLACIER		0.00	113,625.00	\$0	\$0	\$0	\$38,755	\$74,870	\$0	\$0	\$0	\$113,625
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DRAW REQ.	DRAW REC.	ADMIN'TN	PURPOSE PROJECT	1989 GRANT	1990 GRANT	1991 GRANT	1992 GRANT	1993 GRANT	1994 GRANT	1995 GRANT	1996 GRANT	TOTAL GRANTS		
1994A - CITY of GREELEY (LL#0101-331315):														
10/12/94	10/14/94		454,288.00				\$454,288					\$454,288		
12/19/94	12/21/94		296,721.00				\$296,721					\$296,721		
01/31/95	02/02/95		122,191.00				\$122,191					\$122,191		
03/13/95	03/15/95		123,928.00				\$123,928					\$123,928		
04/05/95	04/07/95		195,794.00				\$195,794					\$195,794		
05/05/95	05/09/95		108,918.00				\$108,918					\$108,918		
05/23/95	05/25/95		51,621.00				\$51,621					\$51,621		
06/15/95	06/19/95		285,279.00				\$285,279					\$285,279		
07/21/95	07/25/95		185,443.00				\$185,443					\$185,443		
08/16/95	08/18/95		216,529.00				\$216,529					\$216,529		
09/19/95	09/21/95		494,268.00				\$494,268					\$494,268		
10/19/95	10/23/95		417,834.00				\$417,834					\$417,834		
11/17/95	11/21/95		261,367.00				\$60,027	\$201,340				\$261,367		
12/20/95	12/26/95		151,050.00					\$151,050				\$151,050		
01/22/96	01/24/96		113,564.00					\$113,564				\$113,564		
02/23/96	02/27/96		171,550.00					\$171,550				\$171,550		
04/23/96	04/25/96		12,980.00					\$12,980				\$12,980		
12/19/96	12/23/96		1,475.00					\$1,475				\$1,475		
<b>TOTAL - GREELEY</b>				<b>0.00</b>	<b>3,664,800.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>\$3,012,841</b>	<b>\$651,959</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>\$3,664,800</b>
1994A - PARKER W&S DISTRICT (LL#0101-331316):														
12/08/94	12/12/94		66,319.00				\$66,319					\$66,319		
02/08/95	02/10/95		174,576.00				\$174,576					\$174,576		
04/21/95	04/26/95		212,739.00				\$212,739					\$212,739		
07/28/95	08/01/95		130,781.00				\$130,781					\$130,781		
<b>TOTAL - PARKER W&amp;SD</b>				<b>0.00</b>	<b>584,415.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>\$584,415</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>\$584,415</b>

***** PURPOSE *****				***** 1989 GRANT *****	***** 1990 GRANT *****	***** 1991 GRANT *****	***** 1992 GRANT *****	***** 1993 GRANT *****	***** 1994 GRANT *****	***** 1995 GRANT *****	***** 1996 GRANT *****	***** TOTAL GRANTS *****		
DRAW REQ.	DRAW REC.	ADMIN'TW	PROJECT											
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1994A - TOWN of WINDSOR (LL#0101-331317):														
01/04/95	01/06/95		73,487.00				\$73,487					\$73,487		
05/03/95	05/05/95		100,775.00				\$100,775					\$100,775		
06/06/95	06/08/95		95,723.00				\$95,723					\$95,723		
06/28/95	06/30/95		93,579.00				\$93,579					\$93,579		
07/26/95	07/28/95		98,276.00				\$98,276					\$98,276		
08/21/95	08/23/95		138,861.00				\$138,861					\$138,861		
09/19/95	09/21/95		154,515.00				\$154,515					\$154,515		
10/17/95	10/19/95		111,029.00				\$111,029					\$111,029		
12/20/95	12/26/95		56,868.00					\$56,868				\$56,868		
01/25/96	01/29/96		71,307.00					\$71,307				\$71,307		
03/01/96	03/05/96		41,681.00					\$41,681				\$41,681		
09/12/96	09/16/96		25,162.00					\$25,162				\$25,162		
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TOTAL - WINDSOR				0.00	1,069,263.00	\$0	\$0	\$0	\$874,245	\$195,018	\$0	\$0	\$0	\$1,069,263
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1994A - TOWN of ALAMOSA (LL#0101-331313):														
01/10/95	01/12/95		215,972.00				\$215,972					\$215,972		
02/28/95	03/02/95		219,720.00				\$219,720					\$219,720		
06/14/95	06/16/95		541,208.00				\$541,208					\$541,208		
06/28/95	06/30/95		111,643.00				\$111,643					\$111,643		
07/28/95	08/01/95		124,702.00				\$124,702					\$124,702		
10/03/95	10/05/95		51,337.00				\$51,337					\$51,337		
03/20/96	03/22/96		71,498.00					\$71,498				\$71,498		
-----														
TOTAL - ALAMOSA				0.00	1,336,080.00	\$0	\$0	\$0	\$1,264,582	\$71,498	\$0	\$0	\$0	\$1,336,080
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1994A - GENESEE W&S DISTRICT (LL#0101-331314):														
03/28/95	03/30/95		22,081.00				\$22,081					\$22,081		
07/25/95	07/27/95		42,842.00				\$42,842					\$42,842		
08/16/95	08/18/95		5,591.00				\$5,591					\$5,591		
08/22/95	08/24/95		43,356.00				\$43,356					\$43,356		
09/18/95	09/20/95		47,490.00				\$47,490					\$47,490		
10/16/95	10/18/95		56,202.00				\$56,202					\$56,202		
11/10/95	11/14/95		71,528.00				\$71,528					\$71,528		
12/20/95	12/26/95		81,172.00					\$81,172				\$81,172		
01/18/96	01/22/96		42,915.00					\$42,915				\$42,915		
02/16/96	02/20/96		52,580.00					\$52,580				\$52,580		
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TOTAL - GENESEE W&S				0.00	465,757.00	\$0	\$0	\$0	\$289,090	\$176,667	\$0	\$0	\$0	\$465,757

DRAW REQ.		DRAW REC.		PURPOSE	1989 GRANT	1990 GRANT	1991 GRANT	1992 GRANT	1993 GRANT	1994 GRANT	1995 GRANT	1996 GRANT	TOTAL GRANTS
		ADMIN'TN	PROJECT										
CITY of FRUITA (DL#0101-768311):													
05/16/95	05/18/95			65,935.00				\$65,935					\$65,935
06/21/95	06/23/95			30,998.00				\$30,998					\$30,998
07/21/95	07/25/95			14,547.00				\$14,547					\$14,547
09/05/95	09/07/95			12,725.00				\$12,725					\$12,725
10/02/95	10/04/95			5,325.00				\$5,325					\$5,325
TOTAL - FRUITA D.L.		0.00	129,530.00		\$0	\$0	\$0	\$129,530	\$0	\$0	\$0	\$0	\$129,530
1992A - EAGLE RIVER W.&S.D. (LL#0101-772016):													
05/25/95	05/30/95			284,276.00				\$284,276					\$284,276
02/02/96	02/06/96			278,694.00					\$278,694				\$278,694
05/23/96	05/28/96			383,172.00					\$383,172				\$383,172
07/16/96	07/18/96			481,731.00					\$481,731				\$481,731
10/09/96	10/11/96			90,703.00					\$90,703				\$90,703
12/16/96	12/18/96			103,956.00					\$103,956				\$103,956
TOTAL - EAGLE RIVER-1992A		0.00	1,622,532.00		\$0	\$0	\$0	\$284,276	\$1,338,256	\$0	\$0	\$0	\$1,622,532
1995A - CRAIG (LL#0102-066414):													
07/21/95	07/25/95			233,832.00				\$233,832					\$233,832
09/19/95	09/21/95			109,567.00				\$109,567					\$109,567
12/16/96	12/18/96			15,701.00					\$15,701				\$15,701
TOTAL - CRAIG		0.00	359,100.00		\$0	\$0	\$0	\$343,399	\$15,701	\$0	\$0	\$0	\$359,100

*****				*****	*****	*****	*****	*****	*****	*****	*****	*****	
----- PURPOSE -----				1989 GRANT	1990 GRANT	1991 GRANT	1992 GRANT	1993 GRANT	1994 GRANT	1995 GRANT	1996 GRANT	TOTAL GRANTS	
DRAW REG.	DRAW REC.	ADMIN'TN	PROJECT	*****	*****	*****	*****	*****	*****	*****	*****	*****	
*****				*****	*****	*****	*****	*****	*****	*****	*****	*****	
1995A - FORT MORGAN (LL#0102-066413):													
07/11/95	07/13/95		51,874.00				\$51,874					\$51,874	
(Original EPA Fax was lost, actual EPA disbursement occurred on 7/25/95.)													
08/04/95	08/08/95		93,215.00				\$93,215					\$93,215	
09/11/95	09/13/95		96,547.00				\$96,547					\$96,547	
10/11/95	10/13/95		133,507.00				\$133,507					\$133,507	
11/06/95	11/08/95		169,206.00				\$169,206					\$169,206	
12/15/95	12/19/95		156,944.00					\$156,944				\$156,944	
01/08/96	01/10/96		174,597.00					\$174,597				\$174,597	
02/09/96	02/13/96		222,768.00					\$222,768				\$222,768	
03/08/96	03/12/96		210,411.00					\$210,411				\$210,411	
04/05/96	04/09/96		260,455.00					\$260,455				\$260,455	
05/03/96	05/07/96		230,335.00					\$230,335				\$230,335	
06/07/96	06/11/96		167,037.00					\$167,037				\$167,037	
07/12/96	07/16/96		151,111.00					\$151,111				\$151,111	
08/20/96	08/22/96		134,990.00					\$134,990				\$134,990	
09/10/96	09/12/96		128,999.00					\$128,999				\$128,999	
10/29/96	10/31/96		75,141.00					\$75,141				\$75,141	
11/15/96	11/19/96		49,785.00					\$49,785				\$49,785	
12/26/96	12/30/96		198,493.00					\$198,493				\$198,493	
TOTAL - FORT MORGAN				0.00	2,705,415.00	\$0	\$0	\$544,349	\$2,161,066	\$0	\$0	\$0	\$2,705,415
PARKER WATER & SANITATION DISTRICT (DL#0101-768308):													
09/19/95	09/21/95		416,667.00				\$416,667					\$416,667	
TOTAL - PARKER W&S D.L.				0.00	416,667.00	\$0	\$0	\$416,667	\$0	\$0	\$0	\$0	\$416,667
LOG LANE VILLAGE (DL#0101-768314):													
10/24/95	10/26/95		34,161.00				\$34,161					\$34,161	
12/20/95	12/26/95		125,805.00					\$125,805				\$125,805	
TOTAL - LOG LANE VLG D.L.				0.00	159,966.00	\$0	\$0	\$34,161	\$125,805	\$0	\$0	\$0	\$159,966

DRAW REQ.		DRAW REC.		ADMIN'TN	PURPOSE	PROJECT	1989 GRANT	1990 GRANT	1991 GRANT	1992 GRANT	1993 GRANT	1994 GRANT	1995 GRANT	1996 GRANT	TOTAL GRANTS
ROXBOROUGH PARK (DL#0101-768304):															
11/08/95	11/10/95					250,000.00				\$250,000					\$250,000
02/01/96	02/05/96					250,000.00					\$250,000				\$250,000
TOTAL - ROXBOROUGH D.L.		0.00		500,000.00			\$0	\$0	\$0	\$250,000	\$250,000	\$0	\$0	\$0	\$500,000
1995A - WINTER PARK W&S DISTRICT (LL#0102-066416):															
11/06/95	11/08/95					3,013.00				\$3,013					\$3,013
11/06/95	11/08/95					1,854.00				\$1,854					\$1,854
12/12/95	12/14/95					1,636.00					\$1,636				\$1,636
04/04/96	04/08/96					21,930.00					\$21,930				\$21,930
07/23/96	07/28/96					3,817.00					\$3,817				\$3,817
11/06/96	11/08/96					6,543.00					\$6,543				\$6,543
TOTAL - WINTER PARK		0.00		38,793.00			\$0	\$0	\$0	\$4,867	\$33,926	\$0	\$0	\$0	\$38,793
1995A - BRIGHTON (LL#0102-066418):															
12/19/95	12/26/95					191,689.00					\$191,689				\$191,689
03/13/96	03/15/96					22,858.00					\$22,858				\$22,858
12/09/96	12/11/96					209,668.00					\$209,668				\$209,668
TOTAL - BRIGHTON		0.00		424,215.00			\$0	\$0	\$0	\$0	\$424,215	\$0	\$0	\$0	\$424,215
1995A - STEAMBOAT SPRINGS (LL#0102-066415):															
01/23/96	01/25/96					273,650.00					\$273,650				\$273,650
05/06/96	05/08/96					70,251.00					\$70,251				\$70,251
12/16/96	12/18/96					15,555.00					\$15,555				\$15,555
TOTAL - STEAMBOAT SPRINGS		0.00		359,456.00			\$0	\$0	\$0	\$0	\$359,456	\$0	\$0	\$0	\$359,456

*****				*****	*****	*****	*****	*****	*****	*****	*****	*****		
DRAW REQ.	DRAW REC.	ADMIN'TN	PURPOSE PROJECT	1989 GRANT	1990 GRANT	1991 GRANT	1992 GRANT	1993 GRANT	1994 GRANT	1995 GRANT	1996 GRANT	TOTAL GRANTS		
*****				*****	*****	*****	*****	*****	*****	*****	*****	*****		
1995A - EAGLE RIVER W.S.D. (LL#0102-066417):														
02/02/96	02/06/96		521,783.00					\$521,783				\$521,783		
05/23/96	05/28/96		114,378.00					\$114,378				\$114,378		
07/16/96	07/18/96		476,820.00					\$476,820				\$476,820		
10/09/96	10/15/96		299,375.00					\$299,375				\$299,375		
12/16/96	12/18/96		169,265.00					\$169,265				\$169,265		
TOTAL - EAGLE RIVER-1995A				0.00	1,581,621.00	\$0	\$0	\$0	\$0	\$1,581,621	\$0	\$0	\$0	\$1,581,621
1996A - CRESTED BUTTE (LL#0102-267211):														
09/12/96	09/17/96		75,928.00					\$75,928				\$75,928		
10/02/96	10/04/96		64,776.00					\$64,776				\$64,776		
10/22/96	10/24/96		49,862.00					\$49,862				\$49,862		
11/25/96	11/27/96		75,363.00					\$75,363				\$75,363		
12/19/96	12/23/96		23,954.00					\$23,954				\$23,954		
TOTAL - CRESTED BUTTE				0.00	289,883.00	\$0	\$0	\$0	\$0	\$289,883	\$0	\$0	\$0	\$289,883
1996A - MT. CRESTED BUTTE (LL#0102-267212):														
09/17/96	09/19/96		41,765.00					\$41,765				\$41,765		
10/02/96	10/04/96		40,784.00					\$40,784				\$40,784		
11/15/96	11/19/96		44,712.00					\$44,712				\$44,712		
11/25/96	11/27/96		30,318.00					\$30,318				\$30,318		
12/18/96	12/20/96		11,731.00					\$11,731				\$11,731		
TOTAL - MT. CRESTED BUTTE				0.00	169,310.00	\$0	\$0	\$0	\$0	\$169,310	\$0	\$0	\$0	\$169,310



*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****
*****	*****	PURPOSE	*****	*****	*****	*****	*****	*****	*****	*****	TOTAL GRANTS
*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****
*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****
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*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****
CITY of BROOMFIELD (DL#0101-768320):											
12/03/96	12/05/96		2,095,099.00					\$2,000,000	\$95,099		\$2,095,099
TOTAL - BROOMFIELD D.L.		0.00	2,095,099.00	\$0	\$0	\$0	\$0	\$2,000,000	\$95,099	\$0	\$2,095,099
TOWN of ORDWAY (DL#0101-768317):											
12/16/96	12/18/96		168,723.00					\$168,723			\$168,723
TOTAL - ORDWAY D.L.		0.00	168,723.00	\$0	\$0	\$0	\$0	\$168,723	\$0	\$0	\$168,723
1996A - FOUNTAIN SAN. DIST. (LL#0102-267213):											
12/20/96	12/24/96		15,477.00					\$15,477			\$15,477
TOTAL - FOUNTAIN		0.00	15,477.00	\$0	\$0	\$0	\$0	\$15,477	\$0	\$0	\$15,477
TOTAL - ALL PROJECTS		\$3,302,278.22	\$64,528,371.78	\$8,585,700	\$14,364,977	\$18,264,479	\$15,542,100	\$10,978,295	\$95,099	\$0	\$67,830,650.00

\*ORIGINAL 1990 GRANT WAS ISSUED ON MARCH 28, 1990, FOR \$10,112,428. AMENDED 1990 GRANT #1 WAS ISSUED ON SEPT. 27, 1990, FOR AN ADDITIONAL \$4,252,549.  
\*ORIGINAL 1991 GRANT WAS ISSUED ON MARCH 27, 1991, FOR \$16,416,100. AMENDED 1991 GRANT #2 WAS ISSUED ON SEPT. 26, 1991, FOR AN ADDITIONAL \$2,000,000.  
AMENDED 1991 GRANT #3 WAS ISSUED ON JUNE 24, 1992, DE-OBLIGATING \$151,621 FOR USE IN THE COLORADO V.Q.C.D. NONPOINT SOURCE PROGRAM.  
\*ORIGINAL 1996 GRANT WAS ISSUED ON JANUARY 26, 1996, FOR \$2,627,300. AMENDED 1996 GRANT #1 WAS ISSUED ON MARCH 20, 1996, FOR AN ADDITIONAL \$1,226,300.  
AMENDED 1996 GRANT #2 WAS ISSUED ON MAY 7, 1996, FOR AN ADDITIONAL \$1,870,000. AMENDED 1996 GRANT #3 WAS ISSUED ON JULY 31, 1996,  
FOR AN ADDITIONAL \$4,630,018. AMENDED GRANT #4 WAS ISSUED ON SEPTEMBER 23, 1996, FOR AN ADDITIONAL \$5,785,000.

SUMMARY OF EPA CAPITAL CONTRIBUTIONS BY ADMIN/PROJECT COSTS	1989 GRANT	1990 GRANT	1991 GRANT	1992 GRANT	1993 GRANT	1994 GRANT	1995 GRANT	1996 GRANT	TOTAL GRANTS
TOTAL ADMINISTRATION COSTS ALLOWABLE PER GRANT (4% of Grant Awarded * (5/6))	\$286,190.00	\$478,832.00	\$608,816.00	\$518,070.00	\$512,486.00	\$317,870.00	\$328,400.00	\$537,954.00	\$3,588,618.00
TOTAL CUMULATIVE ADMINISTRATION COSTS PAID TO DATE	\$286,191.22	\$575,310.00	\$390,255.00	\$1,297,569.00	\$752,953.00	\$0.00	\$0.00	\$0.00	\$3,302,278.22
TOTAL CUMULATIVE PROJECT COSTS PAID TO DATE	\$8,299,508.78	\$13,789,667.00	\$17,874,224.00	\$14,244,531.00	\$10,225,342.00	\$95,099.00	\$0.00	\$0.00	\$64,528,371.78
TOTAL CUMULATIVE COSTS PAID TO DATE PER GRANT	\$8,585,700.00	\$14,364,977.00	\$18,264,479.00	\$15,542,100.00	\$10,978,295.00	\$95,099.00	\$0.00	\$0.00	\$67,830,650.00

SUMMARY OF EPA CAPITAL CONTRIBUTIONS PER THE GENERAL LEDGER:

EPA CAPITAL CONTRIBUTIONS RECORDED as of DECEMBER 31, 1996	\$68,013,519
LESS: EPA CAPITAL CONTRIBUTION ACCRUED as of 12/31/96 for 1996 4th QTR. ADMIN. DRAW WHICH HAS NOT BEEN RECEIVED	(\$182,869)
TOTAL CUMULATIVE EPA CAPITAL CONTRIBUTIONS to the COLORADO WATER POLLUTION CONTROL REVOLVING FUND: INCEPTION to DATE	\$67,830,650

**Exhibit D**

**WPCRF Loan Summary**



WATER POLLUTION CONTROL REVOLVING FUND (WPCRF)  
 LOAN SUMMARY as of 12/31/96

EXISTING LOANS

**LEVERAGED LOAN PROGRAM**

	BORROWER	DATE of BOND ISSUE	ORIGINAL LOAN AMOUNT	LOAN TERM	EFFECTIVE INTEREST RATE	FEDERAL GRANT AMOUNT
1989A	DENVER SOUTHEAST SUBURBAN	12/21/89	6,905,000.00	20 YEARS	4.63%	3,073,381.70
1990A	TOWN of CASTLE ROCK	8/30/90	4,319,911.00	20 YEARS	5.20%	2,147,505.40
1991A	CITY of ENGLEWOOD	1/31/91	12,750,000.00	22 YEARS	4.64%	6,464,024.04
1991A	CITY of LITTLETON (REVENUE)	1/31/91	5,000,694.16	22 YEARS	4.64%	2,535,263.31
1991A	CITY of LITTLETON (GEN. OBLIG.)	1/31/91	7,750,000.00	22 YEARS	4.64%	3,929,112.65
1991B	METRO WASTEWATER RECLAM. DISTRICT	7/10/91	21,910,000.00	20 YEARS	4.58%	11,125,000.00
1992A	CITY of FORT LUPTON	7/16/92	4,200,000.00	21 YEARS	5.17%	1,151,100.00
1992A	FRISCO SANITATION DISTRICT	7/16/92	4,500,000.00	20 YEARS	5.17%	1,455,800.00
1992A	UPPER EAGLE VALLEY CONS. SN DISTRICT	7/16/92	7,368,840.00	21 YEARS	5.17%	1,737,300.00
1992B	CITY of FORT COLLINS	8/18/92	24,540,580.00	23 YEARS	4.05%	9,548,700.00
1992B	CITY of LONGMONT	8/18/92	3,500,000.00	20 YEARS	3.96%	1,729,200.00
1994A	CITY of ALAMOSA	8/10/94	3,197,216.00	15 YEARS	3.77%	1,336,080.00
1994A	GENESEE WATER & SANITATION DISTRICT	8/10/94	1,498,151.50	20 YEARS	4.86%	465,757.50
1994A	CITY of GREELEY	8/10/94	13,457,960.00	20 YEARS	4.97%	3,664,800.00
1994A	PARKER WATER & SANITATION DISTRICT	8/10/94	1,781,883.00	20 YEARS	4.89%	584,415.00
1994A	TOWN of WINDSOR	8/10/94	3,998,852.50	15 YEARS	4.62%	1,069,262.50
1995A	CITY of BRIGHTON	5/31/95	5,080,483.75	20 YEARS	4.58%	1,277,418.75
1995A	CITY of CRAIG	5/31/95	1,096,820.00	20 YEARS	4.58%	359,100.00
1995A	CITY of FORT MORGAN	5/31/95	9,146,685.00	20 YEARS	4.59%	2,708,425.00
1995A	CITY of STEAMBOAT SPRINGS	5/31/95	1,563,550.00	20 YEARS	4.58%	492,750.00
1995A	UPPER EAGLE VALLEY CONS. SN DISTRICT	5/31/95	6,099,183.00	20 YEARS	4.58%	1,920,915.00
1995A	WINTER PARK WATER & SAN'TN DISTRICT	5/31/95	3,050,000.00	20 YEARS	4.59%	799,250.00
1996A	TOWN of CRESTED BUTTE	6/26/96	2,499,120.00	20 YEARS	4.73%	795,600.00
1996A	FOUNTAIN SANITATION DISTRICT	6/26/96	1,716,099.00	19 YEARS	4.71%	505,495.00
1996A	CITY of IDAHO SPRINGS	6/26/96	1,541,237.00	20 YEARS	4.74%	481,185.00
1996A	MT. CRESTED BUTTE WTR & SAN. DISTRICT	6/26/96	1,399,080.00	19 YEARS	4.74%	445,400.00
TOTAL LEVERAGED LOANS			<u>\$159,871,345.91</u>			<u>\$61,802,240.85</u>

**DIRECT LOAN PROGRAM**

	BORROWER	DATE of LOAN	ORIGINAL LOAN AMOUNT	LOAN TERM	EFFECTIVE INTEREST RATE	FEDERAL GRANT AMOUNT
1989	LARIMER COUNTY - MTN. RANGE SHADOWS	12/21/89	1,721,489.01	21 YEARS	3.15%	1,207,770.00
1990	MOUNTAIN WATER & SANITATN DISTRICT	4/17/90	200,000.00	20 YEARS	1.43%	166,666.68
1990	TOWN of WELLINGTON	6/01/90	375,000.00	20 YEARS	1.43%	312,500.00
1991	DURANGO WEST METRO. DISTRICT #2	7/29/91	500,000.00	20 YEARS	4.50%	416,658.00
1992	NUCLA SANITATION DISTRICT	5/11/92	180,000.00	20 YEARS	1.50%	149,999.00
1992	DIVIDE WATER & SANITATION DISTRICT	7/15/92	69,000.00	9 YEARS	4.50%	57,500.00
1992	CITY of OURAY	9/17/92	800,000.00	20 YEARS	4.50%	666,667.00
1992	MONTROSE COUNTY	10/30/92	257,919.26	20 YEARS	4.50%	214,932.00
1994	CITY of FORT LUPTON	1/12/94	200,000.00	20 YEARS	5.17%	166,666.00
1994	ST. MARY'S GLACIER WATER & SAN. DIST.	7/15/94	150,000.00	20 YEARS	4.50%	125,000.00
1994	ROXBOROUGH PARK METRO. DISTRICT	11/18/94	600,000.00	20 YEARS	4.50%	500,000.00
1995	PARKER WATER & SANITATION DISTRICT	3/16/95	500,000.00	5 YEARS	4.89%	416,667.00
1995	CITY of FRUITA	4/27/95	155,435.23	20 YEARS	4.50%	129,530.00
1995	TOWN of LOG LANE VILLAGE	6/01/95	250,000.00	20 YEARS	4.50%	208,333.00
1996	TOWN of ORDWAY	10/29/96	350,000.00	20 YEARS	4.50%	291,666.00
1996	CITY of BROOMFIELD	12/05/96	2,514,119.34	20 YEARS	4.71%	2,095,099.00
1996	TOWN of LYONS	12/06/96	600,000.00	20 YEARS	4.50%	500,000.00
TOTAL DIRECT LOANS			<u>\$9,422,962.84</u>			<u>\$7,625,653.68</u>
TOTAL ADMINISTRATIVE DRAWS COMPLETED						<u>\$3,302,278.22</u>
TOTAL WPCRF			<u>\$169,294,308.75</u>			<u>\$72,730,172.75</u>

**WATER POLLUTION CONTROL REVOLVING FUND LOAN STATUS REPORT**  
As of December 31, 1996

BORROWER	ISSUE DATE	ORIGINAL LOAN AMOUNT	FINAL PAYMENT DATE	PAYMENT FREQUENCY	LAST PAYMENT DATE	PRINCIPAL PAID TO DATE	AMOUNT OUTSTANDING	IS LOAN CURRENT?
<b>DIRECT LOANS</b>								
(1) Mountain Range Shadows Amended Loan	12/21/89 06/07/91	1,815,146.00 <b>1,721,489.01</b>	06/15/10	ANNUAL	06/15/96	371,934.26	<b>1,349,554.75</b>	YES
Mountain Water & Sanitation District	04/17/90	<b>200,000.00</b>	08/01/10	QUARTERLY	11/01/96	56,778.00	<b>143,222.00</b>	YES
Town of Wellington	06/01/90	<b>375,000.00</b>	09/01/10	QUARTERLY	12/01/96	106,456.44	<b>268,543.56</b>	YES
Durango West Metropolitan District	07/29/91	<b>500,000.00</b>	07/15/11	QUARTERLY	12/01/96	80,480.41	<b>419,519.59</b>	YES
Nucla Sanitation District	05/11/92	<b>180,000.00</b>	04/30/12	QUARTERLY	12/01/96	34,695.14	<b>145,304.86</b>	YES
Divide Water & Sanitation District	07/15/92	<b>69,000.00</b>	06/02/95	QUARTERLY	06/02/95 (2)	69,000.00	<b>0.00</b>	P-in-F
City of Ouray	09/17/92	<b>800,000.00</b>	06/01/12	QUARTERLY	12/01/96	116,955.47	<b>683,044.53</b>	YES
(1) Montrose County Amended Loan	10/30/92 03/25/94	266,100.00 <b>257,919.26</b>	07/01/13	ANNUAL	07/01/96	25,790.96	<b>232,128.30</b>	YES
City of Fort Lupton	01/12/94	<b>200,000.00</b>	09/01/13	QUARTERLY	12/01/96	17,383.69	<b>182,616.31</b>	YES
St. Mary's Glacier Water & San. Dist.	07/15/94	<b>150,000.00</b>	06/01/14	QUARTERLY	12/01/96	10,764.04	<b>139,235.96</b>	YES
Roxborough Park Metropolitan District	11/18/94	<b>600,000.00</b>	06/01/14	QUARTERLY	12/01/96	26,266.53	<b>573,733.47</b>	YES
Parker Water & Sanitation District	03/16/95	<b>500,000.00</b>	08/01/00	SEMI-ANNUAL	08/01/96	104,711.46	<b>395,288.54</b>	YES
(1) City of Fruita Amended Loan	04/27/95 10/06/95	224,000.00 <b>155,435.23</b>	03/01/15	QUARTERLY	12/01/96	7,579.93	<b>147,855.30</b>	YES
Town of Log Lane Village	06/01/95	<b>250,000.00</b>	06/01/16	QUARTERLY	12/01/96	6,369.58	<b>243,630.42</b>	YES
Town of Ordway	10/29/96	<b>350,000.00</b>	09/01/16	SEMI-ANNUAL (3)	03/01/97	0.00	<b>350,000.00</b>	YES
City of Broomfield	12/05/96	<b>2,514,119.34</b>	09/01/16	SEMI-ANNUAL (3)	03/01/97	0.00	<b>2,514,119.34</b>	YES
Town of Lyons	12/06/96	<b>600,000.00</b>	06/01/17	QUARTERLY (3)	06/01/97	0.00	<b>600,000.00</b>	YES
<b>SUBTOTAL - DIRECT LOANS</b>		<b>\$9,422,962.84</b>				<b>\$1,035,165.91</b>	<b>\$8,387,796.93</b>	
<b>LEVERAGED LOANS</b>								
Denver Southeast Suburban W. & S. D.	12/21/89	<b>6,905,000.00</b>	08/01/11	QUARTERLY	11/01/96	1,020,587.17	<b>5,884,412.83</b>	YES
Town of Castle Rock	08/30/90	<b>4,319,911.00</b>	08/01/10	QUARTERLY	11/01/96	798,415.70	<b>3,521,495.30</b>	YES

**WATER POLLUTION CONTROL REVOLVING FUND LOAN STATUS REPORT**  
As of December 31, 1996

BORROWER	ISSUE DATE	ORIGINAL LOAN AMOUNT	FINAL PAYMENT DATE	PAYMENT FREQUENCY	LAST PAYMENT DATE	PRINCIPAL PAID TO DATE	AMOUNT OUTSTANDING	IS LOAN CURRENT?
City of Englewood	01/31/91	12,750,000.00	08/01/12	QUARTERLY	11/01/96	1,464,260.92	11,285,739.08	YES
City of Littleton (Revenue)	01/31/91	5,000,694.16	08/01/12	QUARTERLY	11/01/96	574,299.69	4,426,394.47	YES
City of Littleton (G.O.)	01/31/91	7,750,000.00	08/01/12	QUARTERLY	11/01/96	890,040.95	6,859,959.05	YES
Metro Wastewater Reclamation District	07/10/91	21,910,000.00	04/01/11	SEMI-ANNUAL	10/01/96	3,830,098.18	18,079,901.82	YES
City of Fort Lupton	07/16/92	4,200,000.00	08/01/13	QUARTERLY	11/01/96	456,607.02	3,743,392.98	YES
Frisco Sanitation District	07/16/92	4,500,000.00	07/01/12	QUARTERLY	11/01/96	565,765.26	3,934,234.74	YES
Eagle River Water & Sanitation District	07/16/92	7,368,840.00	08/01/13	QUARTERLY	11/01/96	730,241.89	6,638,598.11	YES
City of Fort Collins	08/18/92	24,540,580.00	09/01/14	QUARTERLY	12/01/96	2,841,938.24	21,698,641.76	YES
City of Longmont	08/18/92	3,500,000.00	08/01/12	QUARTERLY	11/01/96	533,566.10	2,966,433.90	YES
City of Alamosa	08/10/94	3,197,216.00	08/01/09	SEMI-ANNUAL	08/01/96	207,328.00	2,989,888.00	YES
Genesee Water & Sanitation District	08/10/94	1,498,151.50	08/01/14	SEMI-ANNUAL	08/01/96	117,293.00	1,380,858.50	YES
City of Greeley	08/10/94	13,457,960.00	08/01/14	SEMI-ANNUAL	08/01/96	52,880.00	13,405,080.00	YES
Parker Water & Sanitation District	08/10/94	1,781,883.00	08/01/14	SEMI-ANNUAL	08/01/96	144,477.00	1,637,406.00	YES
Town of Windsor	08/10/94	3,998,852.50	08/01/09	SEMI-ANNUAL	08/01/96	237,712.50	3,761,140.00	YES
City of Brighton	05/31/95	5,080,483.75	08/01/15	SEMI-ANNUAL	08/01/96	0.00	5,080,483.75	YES
City of Craig	05/31/95	1,096,820.00	08/01/15	SEMI-ANNUAL	08/01/96	42,802.74	1,054,017.26	YES
City of Fort Morgan	05/31/95	9,146,685.00	08/01/15	SEMI-ANNUAL	08/01/96	0.00	9,146,685.00	YES
City of Steamboat Springs	05/31/95	1,563,550.00	08/01/15	SEMI-ANNUAL	08/01/96	64,036.18	1,499,513.82	YES
Eagle River Water & Sanitation District	05/31/95	6,099,183.00	08/01/15	SEMI-ANNUAL	08/01/96	240,125.32	5,859,057.68	YES
Winter Park Water & Sanitation District	05/31/95	3,050,000.00	08/01/15	SEMI-ANNUAL	08/01/96	0.00	3,050,000.00	YES
Town of Crested Butte	06/26/96	2,499,120.00	08/01/16	SEMI-ANNUAL	(3) 02/01/97	0.00	2,499,120.00	YES
Mt. Crested Butte Water & San. District	06/26/96	1,399,080.00	08/01/15	SEMI-ANNUAL	(3) 02/01/97	0.00	1,399,080.00	YES
Fountain Sanitation District	06/26/96	1,716,099.00	08/01/15	SEMI-ANNUAL	(3) 02/01/97	0.00	1,716,099.00	YES
City of Idaho Springs	06/26/96	1,541,237.00	08/01/16	SEMI-ANNUAL	(3) 02/01/97	0.00	1,541,237.00	YES
<b>SUBTOTAL - LEVERAGED LOANS</b>		<b>\$159,871,345.91</b>				<b>\$14,812,475.86</b>	<b>\$145,058,870.05</b>	
<b>TOTAL - WPCRF PROGRAM</b>		<b>\$169,294,308.75</b>				<b>\$15,847,641.77</b>	<b>\$153,446,666.98</b>	

(1) Amended by board due to project cost reduction.

(2) Loan was paid in full on June 2, 1995.

(3) Date of first payment.

**Exhibit E**

**FY 1996 Loan Awards (GLCS)**



03/17/97 ENIAR02

FISCAL YEAR 1996 - WPCRF LOAN AWARDS

GRANT NO (01)	NAME (12)	BINDING AMT ACTUAL	BINDING DATE ACTUAL	EQV. N	INTEREST RATEX
080954010	ORDWAY, TOWN OF	350000	102996	N	4.500
080971010	BROOMFIELD, CITY OF	2514119	120596	N	4.710
080987010	LYONS, TOWN OF	600000	120696	N	4.500
080989010	MT. CRESTED BUTTE/ME	1399080	060196	N	4.740
081001010	FOUNTAIN SANITATION	1716099	060196	N	4.710
081004010	CRESTED BUTTE, TOWN	2499120	060196	N	4.730
081009010	IDAHO SPRINGS, CITY	1541237	062696	N	4.740

EQUIVALENCY N

7

BINDING-AMT-ACTUAL:

10619655

**Exhibit F**

**WPCRF Project Status Report (GICS)**

## WPCRF PROJECT STATUS REPORT

GRANT NO (01)	NAME (12)	BINDING AMT ACTUAL	BINDING DATE ACTUAL	EQUIV. PROJ.	INTEREST RATE-%	** CONST. START	** INIT. OPERATION	** PROJECT PERF.
080701010	CASTLE ROCK/CASTLE P	4319911	052290	N	5.200	A 041588	A 061990	A 061991
080704010	DIVIDE WATER AND SAN	69000	062592	N	4.500	A 052892	A 082492	AA 082493
080720010	MOUNTAIN WATER AND S	200000	041790	N	1.430	A 042490	A 093090	A 093091
080722010	MUCLA SAN. DIST.	180000	051192	N	1.500	A 071592	A 080492	A 120793
080723010	CURAY, CITY OF	800000	091792	N	4.500	A 093092	A 071793	A 071794
080737010	ROXBOROUGH PARK METR	600000	111894	N	4.500	A 060595	A 020196	A 031397
080742010	LARIMER CO/MTN RANGE	1721489	122189	N	3.150	A 011790	A 071290	A 071291
080748010	FT. LUPTON, CITY OF	4200000	071692	N	5.173	A 071592	A 092793	A 083195
080748020	FT. LUPTON, CITY OF	200000	011294	N	5.173	A 071592	A 092793	T1 092795
080753010	DURANGO WEST METRO D	500000	072191	N	4.500	A 082091	A 061492	A 103193
080754010	MONTROSE COUNTY/CASI	257919	103092	N	4.500	A 043093	A 070193	A 081594
080782010	FORT MORGAN, CITY OF	9146685	053195	N	4.590	A 042895	T 032797	T 022798
080799010	UPPER EAGLE SAN. DIS	7368840	071692	N	5.173	A 082895	A 120796	T 120797
080809010	FRISCO, TOWN OF	4500000	071692	N	5.173	A 073092	A 120693	A 120694
080816010	WINTER PARK W&SD	3050000	050195	N	4.590	T 093097	T 093098	T 093099
080831010	PARKER WSD	1781883	081094	N	4.890	A 120194	A 112095	T 112096
080831020	PARKER WSD	500000	031695	N	4.890	A 120194	A 112095	T 112096
080862010	GREELEY, CITY OF	13337082	081094	N	4.970	A 102494	T 081696	T 081697
080871010	FRUITA/KINGSVIEW EST	155435	042795	N	4.500	A 041195	A 081695	A 081696
080879010	ST. MARY'S GLACIER W	150000	071594	N	4.500	A 100194	A 063096	T 063097
080889010	WINDSOR, TOWN OF	3998853	081094	N	4.620	A 021695	A 110195	T 040197
080897010	GENESSEE W&SD	1498151	081094	N	4.860	A 062695	A 052096	T 052097
080949010	CRAIG, CITY OF	1096820	060195	N	4.580	A 063095	A 063096	T 063097
080951010	STEAMBOAT SPRINGS, C	1563550	050195	N	4.580	A 091995	T 073197	T 073198
080954010	ORDWAY, TOWN OF	350000	102996	N	4.500	T 040197	T 040197	T 040198
080959010	UPPER EAGLE CONS. SA	6099183	060195	N	4.580	A 082895	A 120796	T 120797
080960010	BRIGHTON, CITY OF	5080484	060195	N	4.580	A 050195	T 123097	T 123098
080964010	LOG LANE VILLAGE	250000	060195	N	4.500	A 080895	T 060196	T 060197
080971010	BROOMFIELD, CITY OF	2514119	120596	N	4.710	T 120197	T 120197	T 120198
080987010	LYONS, TOWN OF	600000	120696	N	4.500	T 050197	T 050197	T 050198
080989010	MT. CRESTED BUTTE/ME	1399080	060196	N	4.740	A 061796	T 063097	T 063098
081001010	FOUNTAIN SANITATION	1716099	060196	N	4.710	T 120197	T 120197	T 120198
081004010	CRESTED BUTTE, TOWN	2499120	060196	N	4.730	A 061796	T 063097	T 063098
081009010	IDAHO SPRINGS, CITY	1541237	062696	N	4.740	T 060197	T 090197	T 090198

EQUIVALENCY N

34

BINDING-AMT-ACTUAL:

83244940

03/17/97 ENIAR01

WPCRF PROJECT STATUS REPORT

GRANT NO (01)	NAME (12)	BINDING AMT ACTUAL	BINDING DATE ACTUAL	EQUIV. PROJ.	INTEREST RATE-%	** CONST. ** START	INIT. OPERATION	** PROJECT PERF.	
080700010	METRO WASTEWATER REC	21910000	071091	Y	4.575	A 100188 A	110190	A 110191	
080702010	ENGLEWOOD/LITTLETON	25500694	013191	Y	4.640	A 010891 A	070192	AA 070193	
080709010	DENVER SOUTHEAST SUB	6905000	120189	Y	4.634	A 012290 A	031991	A 031992	
080746010	WELLINGTON, TOWN OF	375000	060190	Y	1.430	A 072490 A	013191	A 013192	
080775010	LONGMONT, CITY OF	3500000	081892	Y	3.964	A 031494 A	040795	T 040796	
080808010	FT. COLLINS, CITY OF	24540580	081892	Y	4.045	A 100192 A	013094	A 013095	
080891010	CITY OF ALAMOSA	3197216	081094	Y	3.770	A 051694 A	102595	T 040197	
EQUIVALENCY Y				7					
BINDING-AMT-ACTUAL:			85928490						

03/17/97 ENIAR01

WPCRF PROJECT STATUS REPORT

GRANT NO (01)	NAME (12)	BINDING AMT ACTUAL	BINDING DATE ACTUAL	EQUIV. PROJ.	INTEREST RATE-%	** CONST. ** START	INIT. OPERATION	** PROJECT PERF.
---------------------	--------------	--------------------------	------------------------	--------------	--------------------	-----------------------	--------------------	---------------------

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TOTALS: 41

BINDING-AMT-ACTUAL: 169173430

# **Exhibit G**

## **Loan Awards by Cost Categories (GICS)**

03/17/97 ENIAR03

## WPCRF LOAN AWARDS BY COST CATEGORIES

GRANT NO (01)	NAME (12)	BINDING AMT ACTUAL	EQV.	I-SECONDARY IVA-NEW-COLL.	II-ADVANCED IVB-NEW-INTER.	IIIA-INF-INFLOW IIIB-SEW.-REHAB
080960010	BRIGHTON, CITY OF	5080484	N	0	4240715	0
080971010	BROOMFIELD, CITY OF	2514119	N	0	0	839769
080701010	CASTLE ROCK/CASTLE P	4319911	N	0	2514119	0
080891010	CITY OF ALAMOSA	3197216	Y	1300000	0	0
080949010	CRAIG, CITY OF	1096820	N	0	1600000	0
081004010	CRESTED BUTTE, TOWN	2499120	N	0	1419911	0
080709010	DENVER SOUTHEAST SUB	6905000	Y	3000000	100000	0
080704010	DIVIDE WATER AND SAN	69000	N	0	97216	0
080753010	DURANGO WEST METRO D	500000	N	1096820	0	0
080702010	ENGLEWOOD/LITTLETON	25500694	Y	0	0	0
080782010	FORT MORGAN, CITY OF	9146685	N	0	2499120	0
081001010	FOUNTAIN SANITATION	1716099	N	0	0	0
080809010	FRISCO, TOWN OF	4500000	N	0	0	0
080871010	FRUITA/KINGSVIEW EST	155435	N	500000	3000000	0
080808010	FT. COLLINS, CITY OF	24540580	Y	0	0	0
080748010	FT. LUPTON, CITY OF	4200000	N	0	9146685	0
080748020	FT. LUPTON, CITY OF	200000	N	0	0	0
080897010	GENESSEE W&SD	1498151	N	0	1716099	0
080862010	GREELEY, CITY OF	13337082	N	1000000	0	0
081009010	IDAHO SPRINGS, CITY	1541237	N	0	3500000	0
080742010	LARIMER CO/MTN RANGE	1721489	N	0	0	0
080964010	LOG LANE VILLAGE	250000	N	1721489	0	0
080775010	LONGMONT, CITY OF	3500000	Y	0	250000	0
				0	3500000	0
				0	0	0

## WPCRF LOAN AWARDS BY COST CATEGORIES

GRANT NO (01)	NAME (12)	BINDING AMT ACTUAL	EQV. IVA-NEW-COLL.	I-SECONDARY IIB-NEW-INTER.	II-ADVANCED IIC-NEW-INTER.	IIIA-INF-INFLOW IIIB-SEW.-REHAB
080987010	LYONS, TOWN OF	600000 N		0	600000	0
080700010	METRO WASTEWATER REC	21910000 Y	5000000	0	0	0
080754010	MONTROSE COUNTY/CASI	257919 N		0	0	0
080720010	MOUNTAIN WATER AND S	200000 N		0	257919	0
080989010	MT. CRESTED BUTTE/ME	1399080 N		0	0	200000
080722010	NUCLA SAN. DIST.	180000 N	180000	0	0	0
080954010	ORDWAY, TOWN OF	350000 N	350000	0	0	0
080723010	OURAY, CITY OF	800000 N	800000	0	0	0
080831010	PARKER WSD	1781883 N	1000000	0	400000	0
080831020	PARKER WSD	500000 N		0	381883	0
080737010	ROXBOROUGH PARK METR	600000 N		0	500000	0
080879010	ST. MARY'S GLACIER W	150000 N		0	0	0
080951010	STEAMBOAT SPRINGS, C	1563550 N	1563550	0	0	150000
080959010	UPPER EAGLE CONS. SA	6099183 N		0	0	0
080799010	UPPER EAGLE SAN. DIS	7368840 N	7368840	0	6099183	0
080746010	WELLINGTON, TOWN OF	375000 Y	375000	0	0	0
080889010	WINDSOR, TOWN OF	3998853 N	3998853	0	0	0
080816010	WINTER PARK W&SD	3050000 N	3050000	0	0	0
			0	0	0	0



**Exhibit H**

**DBE Tracking**

EXHIBIT H  
 FY-96 DISADVANTAGED BUSINESS ENTERPRISES (DBE)  
 SF334 - TRACKING FORM

(*Report due date to EPA)							
PROJECT	PROJ #	WWT START	WWT END	2nd Q-FY96 (*04/30/96)	3rdQ-FY96 (*07/31/96)	4thQ-FY96 (*10/31/96)	1st Q-FY97 (1/31/97)
Brighton	080960-01	A05/01/95	T12/30/97	?	?	?	?
Crested Butte	080989-01	A05/17/96	T06/30/97			*	*
Mt. Crested Butte	081004-01	A05/17/96	T06/30/97			*	*
Crag	080949-01	A06/30/95	A06/30/96	?	?		
Eagle	080929-01					1/2/97	2/4/97
Genesee	080897-01	A06/26/95	A05/20/96	?	?		
Greeley	080862-01	A10/24/94	A10/24/96		5/9/96	9/11/96	11/14/96
Rox. Park	080737-01	A06/05/95	A02/01/96	?			
Upper Eagle	080959-01	A08/28/95	A12/07/96	2/4/97	2/4/97	2/4/97	
Windsor	080869-01	A02/16/95	A11/1/95	4/22/96			
Ft Morgan	080782-01	A04/28/95	A09/04/96	5/9/96	9/11/96		
Strm Springs	080951-01	A09/19/95	T07/31/97	5/9/96	9/11/96	?	?
A=Actual							
T=Target							
Note -							
? - follow-up action required							
* - loanee has been contacted							

**Exhibit I**

**Financial Status Reports  
(Federal Form 269A)**

# FINANCIAL STATUS REPORT

(Short Form)

(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted <b>U.S. Environmental Protection Agency Grants Administration Office</b>	2. Federal Grant or Other Identifying Number Assigned By Federal Agency <b>CS080001-93-1</b>	OMB Approval No. <b>0348-0039</b>	Page <b>1</b>	of <b>1</b>	pages pages
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3. Recipient Organization (Name and complete address, including ZIP code)  
**Colorado Water Resources and Power Development Authority  
1580 Logan Street, Suite 620, Denver, Colorado 80203**

4. Employer Identification Number <b>84-0879485</b>	5. Recipient Account Number or Identifying Number <b>N/A</b>	6. Final Report <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7. Basis <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
--	---	--	---

8. <del>XXXX</del> Grant Period (See Instructions) From: (Month, Day, Year) <b>9/14/93</b> To: (Month, Day, Year) <b>3/31/96</b>	9. Period Covered by this Report From: (Month, Day, Year) <b>1/01/96</b> To: (Month, Day, Year) <b>12/31/96</b>
--	---

10. Transactions:	I Previously Reported	II This Period	III Cumulative
a. Total outlays	1,159,805	12,014,149	13,173,954
b. Recipient share of outlays	193,301	2,002,358	2,195,659
c. Federal share of outlays	966,504	10,011,791	10,978,295
d. Total unliquidated obligations			5,275,567
e. Recipient share of unliquidated obligations			879,261
f. Federal share of unliquidated obligations			4,396,306
g. Total Federal share (Sum of lines c and f)			15,374,601
h. Total Federal funds authorized for this <del>XXXX</del> period grant			15,374,601
i. Unobligated balance of Federal funds (Line h minus line g)			0

11. Indirect Expense	a. Type of Rate (Place "X" in appropriate box) -----See Attached Schedule----- <input type="checkbox"/> Provisional <input type="checkbox"/> Predetermined <input type="checkbox"/> Final <input type="checkbox"/> Fixed			
	b. Rate	c. Base	d. Total Amount	e. Federal Share

-----SEE ATTACHED SCHEDULE-----

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.

13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.

Typed or Printed Name and Title <b>Daniel L. Law, Executive Director</b>	Telephone (Area code, number and extension) <b>(303) 830-1550 call Ext. 17</b>
Signature of Authorized Certifying Official 	Date Report Submitted <b>March 10, 1997</b>

COLORADO WATER RESOURCES and POWER DEVELOPMENT AUTHORITY

WPCRF - 1993 GRANT

FORM 269A, LINES 10(a - c) - OUTLAYS

FOR THE PERIOD: JANUARY 1, 1996 to DECEMBER 31, 1996

<b>OUTLAYS</b>
----------------

	<u>EPA LOC</u>	STATE MATCH @ 20% of EPA LOC	<u>TOTAL</u>
ADMINISTRATION	752,953.00	150,590.60	903,543.60
ST. MARY'S GLACIER D.L.	74,870.00	14,974.00	89,844.00
ROXBOROUGH PARK D.L.	250,000.00	50,000.00	300,000.00
ORDWAY D.L.	168,723.00	33,744.60	202,467.60
BROOMFIELD D.L.	2,000,000.00	400,000.00	2,400,000.00
1992A - EAGLE RIVER W&S DISTRICT	1,338,256.00	267,651.20	1,605,907.20
1992B - FORT COLLINS	82,695.00	16,539.00	99,234.00
1992B - LONGMONT	39,196.00	7,839.20	47,035.20
1994A - ALAMOSA	71,498.00	14,299.60	85,797.60
1994A - GENESEE W&S DISTRICT	95,495.00	19,099.00	114,594.00
1994A - GREELEY	299,569.00	59,913.80	359,482.80
1994A - WINDSOR	138,150.00	27,630.00	165,780.00
1995A - BRIGHTON	232,526.00	46,505.20	279,031.20
1995A - CRAIG	15,701.00	3,140.20	18,841.20
1995A - FORT MORGAN	2,004,122.00	400,824.40	2,404,946.40
1995A - STEAMBOAT SPRINGS	359,456.00	71,891.20	431,347.20
1995A - EAGLE RIVER W&S DISTRICT	1,581,621.00	316,324.20	1,897,945.20
1995A - WINTER PARK W&S DISTRICT	32,290.00	6,458.00	38,748.00
1996A - CRESTED BUTTE	289,883.00	57,976.60	347,859.60
1996A - MT. CRESTED BUTTE W&SD	169,310.00	33,862.00	203,172.00
1996A - FOUNTAIN SAN. DISTRICT	15,477.00	3,095.40	18,572.40
<b>TOTAL OUTLAYS - 1993 GRANT</b>	<u><b>\$10,011,791.00</b></u>	<u><b>\$2,002,358.20</b></u>	<u><b>\$12,014,149.20</b></u>

COLORADO WATER RESOURCES and POWER DEVELOPMENT AUTHORITY

WPCRF - 1993 GRANT

FORM 269A, LINES 10(d - f) - UNLIQUIDATED OBLIGATIONS

FOR THE PERIOD: JANUARY 1, 1996 to DECEMBER 31, 1996

UNLIQUIDATED OBLIGATIONS
--------------------------

	<u>TOTAL CAP. GRANT AMOUNT</u>	<u>EPA LOC DRAWS RECEIVED thru DEC. 31, 1996</u>	<u>UNLIQUIDATED OBLIGATION</u>
OURAY D.L.	666,667.00	662,848.00	3,819.00
ST. MARY's GLACIER D.L.	125,000.00	113,625.00	11,375.00
LOG LANE VILLAGE D.L.	208,333.00	159,966.00	48,367.00
ORDWAY D.L.	291,666.00	168,723.00	122,943.00
1992A - EAGLE RIVER W.&S.D.	1,737,300.00	1,622,532.00	114,768.00
1992B - LONGMONT	1,729,200.00	1,473,218.00	255,982.00
1995A - BRIGHTON	1,277,418.75	424,215.00	853,203.75
1995A - FORT MORGAN	2,708,425.00	2,705,415.00	3,010.00
1995A - STEAMBOAT SPRINGS	492,750.00	359,456.00	133,294.00
1995A - EAGLE RIVER W.&S.D.	1,920,915.00	1,581,621.00	339,294.00
1995A - WINTER PARK W&S DISTRICT	799,250.00	38,793.00	760,457.00
1996A - CRESTED BUTTE	795,600.00	289,883.00	505,717.00
1996A - MT. CRESTED BUTTE W&SD	445,400.00	169,310.00	276,090.00
1996A - FOUNTAIN SAN. DISTRICT	505,495.00	15,477.00	490,018.00
1996A - IDAHO SPRINGS	481,185.00	0.00	481,185.00
TOTAL UNLIQUIDATED OBLIGATIONS - FEDERAL FUNDS as of 12/31/96			\$4,399,522.75
LESS: FEDERAL FUNDS ALLOCABLE TO 1994 GRANT			<u>(\$3,216.75)</u>
1993 GRANT - UNLIQUIDATED FEDERAL FUNDS as of 12/31/96			<b>\$4,396,306.00</b>
1993 GRANT - UNLIQUIDATED STATE MATCH as of 12/31/96			<u>\$879,261.20</u>
1993 GRANT - TOTAL UNLIQUIDATED OBLIGATIONS as of 12/31/96			<u><b>\$5,275,567.20</b></u>

**COLORADO WATER RESOURCES and POWER DEVELOPMENT AUTHORITY**

**WPCRF - 1993 GRANT**

**ATTACHMENT TO FORM 269A**

**LINE 11, INDIRECT EXPENSE:**

INDIRECT EXPENSE IS CHARGED TO FEDERAL GRANT CS080001-93-1 BY THREE ENTITIES.  
THE THREE ENTITIES ARE AS FOLLOWS:

1. WATER QUALITY CONTROL DIVISION of the STATE OF COLORADO  
DEPARTMENT of PUBLIC HEALTH and ENVIRONMENT ("WQCD")
2. DIVISION OF LOCAL GOVERNMENT of the STATE OF COLORADO DEPT. of LOCAL AFFAIRS ("DLG")
3. COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY ("AUTHORITY")

**ADMINISTRATIVE PAYMENTS RECEIVED 1/01/96 to 12/31/96:**

**WQCD**

	TYPE of RATE	RATE		BASE		AMOUNT		TOTAL AMOUNT	FEDERAL SHARE
		OFF-SITE	ON-SITE	OFF-SITE	ON-SITE	OFF-SITE	ON-SITE		
10/01/95 to 12/31/95	FIXED	12.7%	18.8%	19,863	92,228	2,523	17,339	\$19,862	\$16,552
1/01/96 to 6/30/96	FIXED	12.7%	18.8%	54,147	178,865	6,877	33,627	\$40,504	\$33,753
7/01/96 to 9/30/96	FIXED	13.7%	19.6%	28,800	98,385	3,946	19,283	\$23,229	\$19,358
				<u>102,810</u>	<u>369,478</u>	<u>13,346</u>	<u>70,249</u>	<u>\$83,595</u>	<u>\$69,663</u>

**DLG**

	TYPE of RATE	RATE	BASE	TOTAL AMOUNT	FEDERAL SHARE
10/01/95 to 12/31/95	FIXED	14.2%	15,648	\$2,222	\$1,852
1/01/96 to 6/30/96	FIXED	14.2%	31,074	\$4,413	\$3,677
7/01/96 to 9/30/96	FIXED	13.8%	16,163	\$2,230	\$1,858
			<u>62,885</u>	<u>\$8,865</u>	<u>\$7,387</u>

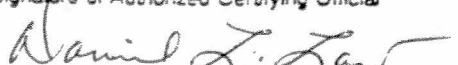
**AUTHORITY**

	TYPE of RATE	RATE	BASE	TOTAL AMOUNT	FEDERAL SHARE
10/01/95 to 12/31/95	OTHER	29.8%	35,056	\$10,433	\$8,694
1/01/96 to 9/30/96	OTHER	47.1%	142,363	\$67,009	\$55,841
			<u>177,419</u>	<u>\$77,442</u>	<u>\$64,535</u>

# FINANCIAL STATUS REPORT

(Short Form)

(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted U.S. Environmental Protection Agency Grants Administration Office		2. Federal Grant or Other Identifying Number Assigned By Federal Agency CS080001-94-2		OMB Approval No. 0348-0039	Page 1	of 1 pages
3. Recipient Organization (Name and complete address, including ZIP code) Colorado Water Resources and Power Development Authority 1580 Logan Street, Suite 620, Denver, Colorado 80203						
4. Employer Identification Number 84-0879485		5. Recipient Account Number or Identifying Number N/A		6. Final Report <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		7. Basis <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> XX
8. <del>XXXX</del> Grant Period (See Instructions) From: (Month, Day, Year) 9/22/94		To: (Month, Day, Year) 6/30/97		9. Period Covered by this Report From: (Month, Day, Year) 1/01/96		To: (Month, Day, Year) 12/31/96
10. Transactions:				I Previously Reported	II This Period	III Cumulative
a. Total outlays				0	114,119	114,119
b. Recipient share of outlays				0	19,020	19,020
c. Federal share of outlays				0	95,099	95,099
d. Total unliquidated obligations						603,860
e. Recipient share of unliquidated obligations						100,643
f. Federal share of unliquidated obligations						503,217
g. Total Federal share (Sum of lines c and f)						598,316
h. Total Federal funds authorized for this <del>XXXX</del> period grant						9,536,100
i. Unobligated balance of Federal funds (Line h minus line g)						8,937,784
11. Indirect Expense						
a. Type of Rate (Place "X" in appropriate box) -----NOT APPLICABLE----- <input type="checkbox"/> Provisional <input type="checkbox"/> Predetermined <input type="checkbox"/> Final <input type="checkbox"/> Fixed						
b. Rate		c. Base		d. Total Amount		e. Federal Share
-----NOT APPLICABLE-----		-----NO INDIRECT-----		EXPENSES CHARGED TO		THIS GRANT-----
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.						
13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.						
Typed or Printed Name and Title Daniel L. Law, Executive Director				Telephone (Area code, number and extension) (303) 830-1550 call Ext. 17		
Signature of Authorized Certifying Official 				Date Report Submitted March 10, 1997		



COLORADO WATER RESOURCES and POWER DEVELOPMENT AUTHORITY

WPCRF - 1994 GRANT

FORM 269A, LINES 10(a - c) - OUTLAYS

FOR THE PERIOD: JANUARY 1, 1996 to DECEMBER 31, 1996

OUTLAYS

	<u>EPA LOC</u>	<u>STATE MATCH</u> <u>@</u> <u>20% of EPA LOC</u>	<u>TOTAL</u>
ADMINISTRATION	0.00	0.00	0.00
CITY of BROOMFIELD	95,099.00	19,019.80	114,118.80
<b>TOTAL OUTLAYS - 1994 GRANT</b>	<u><b>\$95,099.00</b></u>	<u><b>\$19,019.80</b></u>	<u><b>\$114,118.80</b></u>

COLORADO WATER RESOURCES and POWER DEVELOPMENT AUTHORITY

WPCRF - 1994 GRANT

FORM 269A, LINES 10(d - f) - UNLIQUIDATED OBLIGATIONS

FOR THE PERIOD: JANUARY 1, 1996 to DECEMBER 31, 1996

<b>UNLIQUIDATED OBLIGATIONS</b>
---------------------------------

	TOTAL CAP. GRANT AMOUNT	EPA LOC DRAWS RECEIVED thru DEC. 31, 1996	UNLIQUIDATED OBLIGATION
LYONS D.L.	500,000.00	0.00	500,000.00

TOTAL UNLIQUIDATED OBLIGATIONS - FEDERAL FUNDS as of 12/31/96	\$500,000.00
PLUS: FEDERAL FUNDS ALLOCATED FROM 1993 GRANT	\$3,216.75
1994 GRANT - UNLIQUIDATED FEDERAL FUNDS as of 12/31/96	\$503,216.75
1994 GRANT - UNLIQUIDATED STATE MATCH as of 12/31/96	\$100,643.35
1994 GRANT - TOTAL UNLIQUIDATED OBLIGATIONS as of 12/31/96	\$603,860.10

# FINANCIAL STATUS REPORT

(Short Form)

(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted U.S. Environmental Protection Agency Grants Administration Office	2. Federal Grant or Other Identifying Number Assigned By Federal Agency CS080001-95-0	OMB Approval No. 0348-0039	Page 1	of 1	pages
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3. Recipient Organization (Name and complete address, including ZIP code)  
 Colorado Water Resources and Power Development Authority  
 1580 Logan Street, Suite 620, Denver, Colorado 80203

4. Employer Identification Number 84-0879485	5. Recipient Account Number or Identifying Number N/A	6. Final Report <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7. Basis <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
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8. <del>Existing</del> Grant Period (See Instructions) From: (Month, Day, Year) 3/22/95	To: (Month, Day, Year) 12/31/99	9. Period Covered by this Report From: (Month, Day, Year) 1/01/96	To: (Month, Day, Year) 12/31/96
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10. Transactions:	I Previously Reported	II This Period	III Cumulative
a. Total outlays	0	0	0
b. Recipient share of outlays	0	0	0
c. Federal share of outlays	0	0	0
d. Total unliquidated obligations			0
e. Recipient share of unliquidated obligations			0
f. Federal share of unliquidated obligations			0
g. Total Federal share (Sum of lines c and f)			0
h. Total Federal funds authorized for this <del>type</del> period grant			9,852,000
i. Unobligated balance of Federal funds (Line h minus line g)			9,852,000

11. Indirect Expense	a. Type of Rate (Place "X" in appropriate box) ----- NOT APPLICABLE ----- <input type="checkbox"/> Provisional <input type="checkbox"/> Predetermined <input type="checkbox"/> Final <input type="checkbox"/> Fixed			
	b. Rate	c. Base	d. Total Amount	e. Federal Share
----- NOT APPLICABLE ----- NO INDIRECT EXPENSES CHARGED TO THIS GRANT -----				

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.

13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.

Typed or Printed Name and Title Daniel L. Law, Executive Director	Telephone (Area code, number and extension) (303) 830-1550 call Ext. 17
--	--

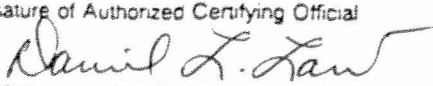
Signature of Authorized Certifying Official 	Date Report Submitted March 10, 1997
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# FINANCIAL STATUS REPORT

(Short Form)

(Follow instructions on the back)

-INITIAL REPORT-

1. Federal Agency and Organizational Element to Which Report is Submitted U.S. Environmental Protection Agency Grants Administration Office	2. Federal Grant or Other Identifying Number Assigned By Federal Agency  CS080001-96-4	OMB Approval No. 0348-0039	Page 1	of 1 pages
3. Recipient Organization (Name and complete address, including ZIP code) Colorado Water Resources and Power Development Authority 1580 Logan Street, Suite 620, Denver, Colorado 80203				
4. Employer Identification Number 84-0879485	5. Recipient Account Number or Identifying Number N/A	6. Final Report <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7. Basis <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	
8. <del>XXXXX</del> Grant Period (See Instructions) From: (Month, Day, Year) 1/26/96	To: (Month, Day, Year) 12/31/99	9. Period Covered by this Report From: (Month, Day, Year) 1/26/96	To: (Month, Day, Year) 12/31/96	
10. Transactions:	I Previously Reported	II This Period	III Cumulative	
a. Total outlays	- NOT APPLICABLE -		0	
b. Recipient share of outlays	INITIAL REPORT		0	
c. Federal share of outlays			0	
d. Total unliquidated obligations			0	
e. Recipient share of unliquidated obligations			0	
f. Federal share of unliquidated obligations			0	
g. Total Federal share (Sum of lines c and f)			0	
h. Total Federal funds authorized for this <del>XXXXX</del> period grant			16,138,618	
i. Unobligated balance of Federal funds (Line h minus line g)			16,138,618	
11. Indirect Expense	a. Type of Rate (Place "X" in appropriate box) -----NOT APPLICABLE----- <input type="checkbox"/> Provisional <input type="checkbox"/> Predetermined <input type="checkbox"/> Final <input type="checkbox"/> Fixed			
	b. Rate -----NOT APPLICABLE-----NO INDIRECT EXPENSES CHARGED TO THIS GRANT	c. Base	d. Total Amount	e. Federal Share
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.				
13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.				
Typed or Printed Name and Title Daniel L. Law, Executive Director			Telephone (Area code, number and extension) (303) 830-1550 call Ext. 17	
Signature of Authorized Certifying Official 			Date Report Submitted March 10, 1997	

**Attachment 1**

**FY 1996 Intended Use Plan**

# STATE OF COLORADO

Roy Romer, Governor  
Patti Shwayder, Acting Executive Director

**WATER QUALITY CONTROL COMMISSION**  
WQCC-CC-B2  
4300 Cherry Creek Drive South  
Denver, Colorado 80222-1530  
Phone (303) 692-3520



Colorado Department  
of Public Health  
and Environment

## NOTICE OF FINAL ADOPTION

PURSUANT to the provisions of sections 24-4-103(5) and 24-4-103(11)(a), C.R.S.

NOTICE IS HEREBY GIVEN that the Colorado Water Quality Control Commission, after a public rulemaking process on October 10, 1995 and complying with the provisions of 24-4-103 and 25-8-401(1) C.R.S., amended on November 13, 1995, pursuant to 25-8-202(1)(e), (g) and (2), C.R.S., and Section 2.1.3 of the "Procedural Rules" the regulation entitled:

"State of Colorado Fiscal Year - 1996 Intended Use Plan" 5.17.7 (5 CCR 1002-24)

Establishing the Revolving Fund Priority List for FY96 and the FY96 additions and modifications to the Revolving Fund Eligibility List.

Also, pursuant to 24-4-103(8)(b), C.R.S., this amendment was submitted to the Attorney General for review and was found to be within the authority of the Water Quality Control Commission to promulgate, and further that there are no apparent constitutional deficiencies in its form or substance. Furthermore, in adopting this amendment the Commission also adopted a general Statement of Basis, Specific Statutory Authority, and Purpose in compliance with 24-4-103(4), C.R.S.

This amendment will be submitted to the Office of Legislative Legal Services within twenty (20) days after the date of the Attorney General's Opinion, pursuant to 24-4-103(8)(d), C.R.S., and to the Secretary of State in time for December, 1995 publication in the Colorado Register pursuant to 24-4-103(5) and (11)(d), C.R.S., and will become effective December 30, 1995.

A copy of this amendment is attached and made a part of this notice.\*

Dated this 26<sup>th</sup> day of November, 1995, at Denver, Colorado.

WATER QUALITY CONTROL COMMISSION

  
Paul D. Frohardt, Administrator

\*A copy of this regulation  
is available at a charge of \$2.00  
pursuant to 24-4-103(9), C.R.S.

IUP96.fa

STATE OF COLORADO  
FY 1996  
**WPCRF INTENDED USE PLAN**

**INTRODUCTION**

This Intended Use Plan (IUP) will serve as the planning document for explaining how the fiscal year (FY) 1994, 1995 and 1996 appropriations for the WPCRF will be used. As currently developed, the IUP identifies the specific projects and activities associated with the federal appropriation. All of the funds allotted to the State for FY94 and 95 will be made available to the WPCRF.

The State agrees to submit to EPA, as part of its annual application for a Capitalization Grant under Title VI of the Water Quality Act of 1987 (the Federal Act), an IUP which meets the requirements of Section 606(c) of the Federal Act and S.B. 50 (1988).

The requirements of the Act are as follows:

- ◆ A list of those projects for construction of publicly owned treatment works on the State's priority list developed pursuant to section 216 of this Act and a list of activities eligible for assistance under sections 319 and 320 of the Federal Act;
- ◆ A description of the short and long-term goals of the State's Water Pollution Control Revolving Fund (WPCRF);
- ◆ Information on the activities to be supported, including a description of project categories, discharge requirements under titles III and IV of the Federal Act, terms of financial assistance, and communities served; and
- ◆ The criteria and method established for the distribution of funds.

The State will prepare and submit a Capitalization Grant application based on the FY 1996 allotment for the WPCRF.

To date, the WPCRF has issued 35 loans totalling \$158,493,218. From this total, \$66,406,787 has been utilized from the federal capitalization grants; the amount of grant awards through FY1995 is \$91,519,957.

## LIST OF WATER POLLUTION CONTROL REVOLVING FUND PROJECTS

Attached to the 1996 IUP as Appendix A is the statewide project priority list showing the current construction needs for point source wastewater treatment. Also attached as Appendix A-1 is the Eligibility List with 1996 additions and modifications. The Eligibility List consists of projects from the priority list that have or will have approved facility plans or feasibility studies during the 1996 funding year.

### LONG TERM GOALS

The State continues to develop long term goals that will insure the integrity of the WPCRF:

1. Maintain the economic viability of the WPCRF while meeting current and projected Water Quality needs in the State of Colorado.

Ensure that the WPCRF remains viable and is self perpetuating to meet the long range water quality needs of the State.

2. Provide technical assistance to governmental agencies for facilitating effective planning, design, financing, and construction of facilities to meet water quality standards.

Customer service will be the focus while utilizing the team approach to create an atmosphere conducive to accomplishing the best practicable project.

3. Maintain compliance with Federal Law and the provisions of the State/EPA Operating Agreement.

### SHORT TERM GOALS

In an effort to continually improve the WPCRF program, the State will pursue the following short term goals throughout the calendar year for 1996.

1. Improve the procedures and checklists of the Handbook of Procedures on an as needed basis.



2. Initiate the process for identifying projects in the FY1997 Intended Use Plan by February, 1996.
3. Submit Annual Report to EPA by March 31, 1996.
4. Make improvements to the WPCRF Rules as appropriate. Major revisions will be made once the Clean Water Act is reauthorized.
5. Continue coordination with all funding agencies to complete water quality projects identified in the 1996 IUP.
6. Leverage funds in the WPCRF to accommodate the amount of eligible costs projected for loans in 1996 as described in Appendix B.

### **INFORMATION ON ACTIVITIES TO BE SUPPORTED**

Appendix B to the IUP is a table depicting projects that are likely to be funded with loans from the WPCRF during FY 1996. The total estimated eligible costs for the 21 projects listed is \$46,465,000. There is over \$25,000,000 available from federal capitalization grants which will be used in part along with state match funds and leveraged funds. The federal amount does not reflect any capitalization grant that may be made for FY1996.

The State intends to use an amount equal to 4% of the Capitalization Grant for Administration of the WPCRF. Additional administrative expenses are covered by a small loan surcharge. The State will also employ the reserve of 1% (or \$100,000, whichever is greater) from the Title VI allotment as provided by Section 604(b) to conduct water quality planning.

All funding agencies meet periodically to coordinate the financial demands of facilities out of compliance and that have a severity of pollution problem per WPCRF Rules (5.2.0.). These projects are listed on the FY 1996 Project Priority list (Appendix A).

The State will provide the necessary assurance and certifications as part of the Capitalization Grant Agreement and Operating Agreement between the State of Colorado and the U.S. Environmental Protection Agency.

The proposed payment schedule using FY 1996 WPCRF funds will be included in the application for the Capitalization Grant. The payment schedule identifies the anticipated amount of EPA Automated Clearinghouse (ACH) draws from the Capitalization Grant and State dollars to be deposited into the WPCRF.

**Public Review and Comment:**

The Commission held a formal public hearing on October 10, 1995 at which time the State's FY 1996 IUP was continued to November 13, 1995 for final adoption. The Water Quality Control Division contacted local governmental agencies on the State's Project Priority List regarding their potential for funding under the WPCRF. Each year, the IUP will be amended to include additional WPCRF projects and the Division will seek further public review and comment.

**CRITERIA AND METHODS FOR DISTRIBUTING FUNDS**

The WPCRF Rules (5.2.0), the IUP, the Project Priority List attached as Appendix A, and the Eligibility List attached as Appendix A-1 provide a clear, objective system for identifying priority of wastewater management systems. This system uses the following criteria:

- (1) Severity of Pollution
- (2) Quality of Receiving Waters
- (3) Financial Need
- (4) Regionalization
- (5) Water Conservation
- (6) Beneficial Use of Sludge

The rationale for funding projects from the WPCRF priority list in an order other than that shown shall be due to one of the following four reasons:

- (1) Governmental agencies do not wish to participate in the WPCRF or they have received funding from other sources.
- (2) Governmental agencies are not ready to proceed with the project.
- (3) Governmental agencies are not in compliance with requirements identified in the Federal Act.
- (4) Governmental agencies do not have the financial capability to repay a loan.

The WPCRF criteria for reviewing the financial capability of the applicant is included in the State/EPA Operating Agreement.

The State legislation S.B. 50 (1988) establishes a WPCRF as an enduring and viable fund. The WPCRF shall be maintained and be available in perpetuity for providing financial assistance as authorized and limited by the Federal Act.

GRANTS INFORMATION AND CONTROL SYSTEM  
FISCAL YEAR 96  
WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	2 105	METRO DENVER REC DIST-PHASE IV 6450 YORK STREET DENVER CO. 802297407 DENVER	CS08070005	SLUDGE PROCESSING IMPROVEMENTS	P C00026638 08-0070-001	0	34,350,000
S	3 100	ARAPAHOE COUNTY W&W AUTHORITY 7305 SOUTH POTOMAC ST. STE 150 ENGLEWOOD CO. 80112 ARAPAHOE	CS08070701	PHOSPHORUS REMOVAL/COMPLIANCE SCHED	P C00037346 08-0400-001	0	3,300,000
S	4 100	HUDSON SANITATION DISTRICT P.O. BOX 56 HUDSON CO. 806420056 WELD	CS08086301	DES & CONS OF WWTF	P C00029581 08-0251-001	0	1,500,000
S	5 100	LARKSPUR, TOWN OF 9524 SPRUCE MTN. RD. LARKSPUR CO. 801180271 DOUGLAS	CS08082201	DES & CONS OF WWTF	P C00035891 08-0380-001	0	1,600,000
S	6 100	METRO DENVER REC DIST-PHASE III 6450 YORK STREET DENVER CO. 802297407 DENVER	CS08070003	UPGRADE OF WWTF	P C00026638 08-0070-001	0	17,700,000
S	7 100	METRO DENVER REC DIST-PHASE II 6450 YORK STREET DENVER CO. 802297407 DENVER	CS08070002	ADV TREATMENT AND UPGRADE	P C00026638 08-0070-001	0	138,150,000
S	8 95	ALLENSPARK WATER AND SAN. DIST. P.O. BOX 91 ALLENSPARK CO. 80510 BOULDER	CS08077601	CONS.OF NEW WWTF/SEPTIC PROBLEMS	P NO NUMBER 08-0089-001	0	1,356,000

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S	9	95	REDCLIFF WATER AND SAN. DIST. P.O. BOX 147 REDCLIFF EAGLE CO. 81649	CS08072501	CONS OF NEW WWTF	P C00021385 08-0226-001	0	4,000,000
S	10	95	RYE, TOWN OF P.O. BOX 236 RYE PUEBLO CO. 81069	CS08072801	CONNECTION TO COLO CITY MD	P C00041769 08-0185-001	0	650,000
S	12	90	GARDEN VALLEY P.O. BOX 17626 COLORADO SPGS. EL PASO CO. 80935	CS08093901	CONNECTION TO COLO SPRG.	P NO NUMBER 08-0323-001	0	375,000
S	13	90	GUNNISON COUNTY/ANTELOPE HILLS 200 EAST VIRGINIA GUNNISON GUNNISON CO. 81230	CS08090101	CONS OF INTERCEPTOR	P C00041858 08-0409-001	0	661,000
S	14	90	LAS ANIMAS, CITY OF 532 CARSON LAS ANIMAS BENT CO. 81054	CS08074301	CONS OF WWTF	P C00032484 08-0287-001	0	700,000
S	15	90	PALISADE, TOWN OF P.O. BOX 128 PALISADE MESA CO. 81526	CS08099801	UPGRADE WWTF DUE TO COMPLIANCE PROB	- -	0	500,000
S	16	90	SAN JUAN RIVER VILLAGE METRO DISTR 134 HARMON AVENUE PAGOSA SPRINGS ARCHULETA CO. 81147	CS08089201	CONS OF NEW WWTF	P C00031461 08-0481-001	0	350,000

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FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	17 90	TELLER COUNTY P.O. BOX 959 CRIPPLE CREEK TELLER CO. 80813	CS08096701	DES. AND CONST. OF WWTF	P NO NUMBER	0	1,200,000
S	18 90	WINTER PARK SAN. DIST. P.O. BOX 7 WINTER PARK GRAND CO. 804820007	CS08081601	EXPANSION AND UPGRADE OF WWTF	P C00026051 08-0475-001	0	5,000,000
S	19 85	BUENA VISTA SAN. DIST. P.O. BOX 1822 BUENA VISTA CHAFFEE CO. 81211	CS08071701	REGIONAL WWTF TO INCLUDE DEPT OF CO	P NO NUMBER 08-0274-001	0	4,200,000
S	20 85	COSTILLA COUNTY BOX 318 SAN LUIS COSTILLA CO. 81152	CS08076001	UPGRADE OF EXISTING WWTF	P C00036528 08-0406-001	0	300,000
S	21 85	HOTCHKISS P.O. BOX 369 HOTCHKISS DELTA CO. 81419	CS08071901	DESIGN AND CONS. OF WWTF	P C00021415 08-0242-001	0	1,200,000
S	22 85	NORTH DELTA SAN. DIST. 1562 96TH LANE DELTA DELTA CO. 81416	CS08094001	POSSIBLE REGIONALIZATION	P NO NUMBER 08-0487-001	0	1,506,697
S	23 85	ROMEO, TOWN OF P.O. BOX 505 ROMEO CONEJOS CO. 81148	CS08090901	UPGRADE OF EXISTING WWTF	P NO NUMBER 08-0330-001	0	50,000

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S	24 80	COLORADO SPRINGS, CITY OF P.O. BOX 1575 COLORADO SPRINGS CO. 80901 EL PASO	CS08099201	EXPANSION OF WWTF DUE TO GROWTH	P C00027635	0	10,000,000
S	27 80	MANASSA, TOWN OF P.O. BOX 170 MANASSA CO. 81141 CONEJOS	CS08082301	SEWER REHABILITATION	P C00042935 08-0236-001	0	2,200,000
S	29 80	MONTROSE CO/SPRING CREEK ESTATES P.O. BOX 1289 MONTROSE CO. 81402 MONTROSE	CS08092401	UPGRADE OF EXISTING WWTF	P C00037001 08-0484-001	0	1,000,000
S	30 80	TRINIDAD, TOWN OF P.O. BOX 880 TRINIDAD CO. 81082 LAS ANIMAS	CS08084401	UPGRADE & EXPANSION OF WWTF	P C00031232 08-0256-001	0	2,000,000
S	31 75	FRASER, TOWN OF BOX 120 FRASER CO. 80442 GRAND	CS08094201	CONSTRUCTION OF AWT FAC.	P C00020966 08-0204-001	0	1,000,000
S	32 75	GRAND COUNTY WSD #1 P.O. BOX 3077 WINTER PARK CO. 80482 GRAND	CS08094301	CONSTRUCTION OF AWT FAC	P NO NUMBER 08-0203-001	0	2,000,000
S	36 70	BRIGHTON, CITY OF 22 S. 4TH AVENUE BRIGHTON CO. 80601 ADAMS	CS08096001	EXPANSION OF WWTF-COLL SYS REHAB.	P C00021547	0	5,000,000

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GRANTS INFORMATION AND CONTROL SYSTEM  
 FISCAL YEAR 96  
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FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	37		ERIE WATER AND SAN. DIST. P.O. BOX 390 ERIE CO. 80516	CS08071001	UPGRADE OF EXISTING WWTF/ADV TREATM	P C00021831 08-0148-001	0	6,000,000
S	40		LITTLETON/ENGLEWOOD 2900 S. PLATTE RIVER DR. ENGLEWOOD CO. 80110	CS08070202	EXPAND WWTF/CAPACITY PROBLEMS	- -	0	5,000,000
S	41		LOG LANE VILLAGE BOX 920 FORT MORGAN CO. 80705	CS08096401	DES. AND CONST. OF INTERCEPTOR	P C00041726 - -	0	367,200
S	42		MANZANOLA, TOWN OF P.O. BOX 187 MANZANOLA CO. 81058	CS08097701	UPGRADE WWTF	P C00023825 - -	0	136,000
S	44		NEDERLAND, TOWN OF P.O. BOX 396 NEDERLAND CO. 80466	CS08087401	UPGRADE OF EXISTING FAC.	P C00020222 08-0152-001	0	2,500,000
S	46		ROCKVALE, TOWN OF P.O. BOX 91 ROCKVALE CO. 81244	CS08084501	UPGRADE OF EXISTING WWTF	P NO NUMBER 08-0500-011	0	200,000
S	48		WALSENBURG, CITY OF 525 S. ALBERT ST. WALSENBURG CO. 81089	CS08098101	UPGRADE WWTF	P C00020745 - -	0	1,000,000

GRANTS INFORMATION AND CONTROL SYSTEM  
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 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	51		CHAFFEE CNTY/JOHNSONS VILLAGE	CS08100301	DESIGN & CONST. COLLECTION & INTERC		0	1,000,000
	65		BUENA VISTA CHAFFEE CO. 81211			- -		
S	52		DELTA/GARNETT MESA	CS08095301	CONSTRUCTION OF INTERCEPTOR/SEWER	P NO NUMBER	0	1,000,000
	65		DELTA DELTA CO.			08-0489-001		
S	53		EAST GRAND WQB	CS08097301	REGIONALIZATION PROJECT	P NO NUMBER	0	4,000,000
	65		FRASER GRAND CO.			- -		
S	54		FOUNTAIN SANITATION DIST 116 S. MAIN FOUNTAIN	CS08100101	DESIGN & CONST. WWTF		0	5,000,000
	65		EL PASO CO. 80817			- -		
S	55		FREMONT SAN. DIST. P.O. BOX 422 FLORENCE	CS08096801	REGIONALIZATION PROJECT	P C00039748	0	3,035,000
	65		FREMONT CO. 81226			- -		
S	56		IGNACIO SANITATION DISTRICT P.O. BOX 367 IGNACIO	CS08080601	I/I CORRECTION	P C00022853	0	350,000
	65		LA PLATA CO. 81137			08-0180-001		
S	57		MORGAN COUNTY/MORGAN HEIGHTS P.O. BOX 596 FORT MORGAN	CS08081101	CONSTRUCTION OF INTERCEPTORS/SEWERS	P NO NUMBER	0	1,500,000
	65		MORGAN CO. 80701			08-0473-001		

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GRANTS INFORMATION AND CONTROL SYSTEM  
 FISCAL YEAR 96  
 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
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FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	58		ORDWAY, TOWN OF 315 MAIN ORDWAY CROWLEY	CS08095401	UPGRADE OF EXISTING WWTF		0	440,000
	65		CO. 81063			08-0183-001		
S	59		PAONIA, TOWN OF P.O. BOX 460 PAONIA DELTA	CS08099301	UPGRADE OF WWTF		0	3,220,000
	65		CO. 81428					
S	60		SILT, TOWN OF P.O. BOX 174 SILT GARFIELD	CS08098401	UPGRADE OF WWTF	P C00029181	0	75,000
	65		CO. 81652					
S	61		SILVERTHORNE/DILLON P.O. BOX 1309 SILVERTHORNE SUMMIT	CS08097901	DESIGN AND CONST. OF WWTF	P C00020826	0	5,500,000
	65		CO. 80498					
S	62		ARRIBA, TOWN OF P.O. BOX 113 ARRIBA LINCOLN	CS08078901	UPGRADE OF EXISTING WWTF	P C00021130	0	30,000
	60		CO. 80804			08-0230-001		
S	63		BRECKENRIDGE SANITATION DISTRICT P.O. BOX 1216 BRECKENRIDGE SUMMIT	CS08094101	EXPANSION OF WWTF AND CONSTRUCTION	P NO NUMBER	0	8,000,000
	60		CO. 80424			08-0273-001		
S	64		KIOWA, TOWN OF P.O. BOX 237 KIOWA ELBERT	CS08079501	UPGRADE OF EXISTING WWTF	P C00033405	0	50,000
	60		CO. 801170237			08-0166-001		

GRANTS INFORMATION AND CONTROL SYSTEM  
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S	65		LA JARA, TOWN OF P.O. BOX 273 LA JARA CONEJOS	81140	CS08083901 UPGRADE OF EXISTING WWTF	P C00020150 08-0043-001	0	100,000
S	66		MONTE VISTA, CITY OF 720 FIRST AVENUE MONTE VISTA RIO GRANDE	81144	CS08093601 CONSTRUCTION OF SLUDGE FAC.	P C00023132 08-0003-001	0	510,000
S	68		BACA GRANDE WSD P.O. BOX 84 CRESTONE SAGUACHE	81131	CS08096601 UPGRADE OF WWTF	P C00041556 -	0	500,000
S	69		BAYFIELD SANITATION DISTRICT P.O. BOX 9 BAYFIELD LA PLATA	811220020	CS08085201 EXPANSION OF WWTF	P C00020273 08-0050-001	0	600,000
S	70		BENT COUNTY 725 CARSON/BOX350 LAS ANIMAS BENT	81054	CS08095601 MODIFICATION TO LIFT STATION (PRETR P NO NUMBER	08-0500-013	0	250,000
S	75		SNYDER SAN. DIST. P.O. BOX 757 SNYDER MORGAN	80750	CS08084101 CONSTRUCTION OF NEW WWTF	P NO NUMBER 08-0476-001	0	500,000
S	76		STERLING, CITY OF CITY HALL - CENTENNIAL SQUARE STERLING LOGAN	80751	CS08087801 UPGRADE WWTF	P C00026247 08-0075-001	0	1,500,000

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 FISCAL YEAR 96  
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FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	78	50	BENNETT, TOWN OF 355 4TH ST. BENNETT ADAMS CO. 80102	CS08081701	UPGRADE OF EXISTING WWTF	P C00025615 08-0195-001	0	22,000
S	79	50	BOULDER, CITY OF P.O. BOX 791 BOULDER BOULDER CO. 80306	CS08089601	CAPACITY AND SLUDGE MODIFICATIONS	P C00024147 08-0412-001	0	6,000,000
S	81	50	CORTEZ SANITATION DISTRICT P.O. BOX 730 CORTEZ MONTEZUMA CO. 813213211	CS08085601	CONSTRUCTION OF SLUDGE FAC.	P C00020125 08-0214-001	0	25,000
S	82	50	ELBERT WSD P.O. BOX 67 ELBERT ELBERT CO. 80106	CS08085901	UPGRADE OF EXISTING WWTF	P C00582001 08-0278-001	0	100,000
S	84	50	HERMOSA SAN. DIST. P.O. BOX 2217 DURANGO LA PLATA CO. 81302	CS08095801	REGIONALIZATION	P C00040452 - -	0	400,000
S	85	50	HILAND ACRES WATER & SAN DIST 9840 E. 157TH AVE. BRIGHTON ADAMS CO. 80601	CS08099701	UPGRADE FACILITY DUE TO NONCOMPLIAN	- -	0	100,000
S	86	50	LYONS, TOWN OF BOX 49 LYONS BOULDER CO. 80540	CS08098701	CAPACITY PROBLEMS	- -	0	1,000,000

GRANTS INFORMATION AND CONTROL SYSTEM  
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S	88	50	SOUTH FT. COLLINS SD 4700 S. COLLEGE AVE. FORT COLLINS CO. 80525 LARIMER	CS08098001	SLUDGE/EXPAND WWTF & REGIONAL PLANN	P C00020737	0	2,000,000
S	89	50	VONA, TOWN OF P.O. BOX 86 VONA CO. 80861 KIT CARSON	CS08098201	CONSTRUCTION OF WWTF	P C00024520	0	100,000
S	90	50	WEST JEFFERSON METRO DIST 390 UNION BLVD, SUITE 400 LAKEWOOD CO. 80228 JEFFERSON	CS08090802	EXPAND WWTF FOR GROWTH		0	6,000,000
S	91	50	WESTMINSTER, CITY OF 4800 W 92ND AVE WESTMINSTER CO. 80030 ADAMS/JEFFERSON	CS08080501	WASTEWATER RE-USE PROJECT	P C00024171 08-0073-003	0	1,000,000
S	92	45	CAMPO, TOWN OF P.O. BOX 124 CAMPO CO. 81029 BACA	CS08091701	CONSTRUCTION OF INTERCEPTORS/SEWERS	P NO NUMBER 08-0296-001	0	180,000
S	93	45	ESTES PARK, CITY OF P.O. BOX 1200 ESTES PARK CO. 80517 LARIMER	CS08080201	EXP. OF EXISTING WWTF AND I/I CORRE	P C00020290 08-0047-001	0	100,000
S	94	45	GEORGETOWN, TOWN OF BOX 426 GEORGETOWN CO. 80444 CLEAR CREEK	CS08099601	CONSTRUCT DIGESTER		0	380,000

GRANTS INFORMATION AND CONTROL SYSTEM  
FISCAL YEAR 96  
WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	96 45	LAMAR, CITY OF P.O. BOX 270 LAMAR PROWERS CO. 810520270	CS08096201	UPGRADE FOR GROUNDWATER	P C00023671	0	800,000
S	97 45	LOCHBUIE, TOWN OF 152 POPLAR BRIGHTON WELD CO. 80601	CS08101001	DES & CONST OF INTERCEPTOR	- -	0	1,000,000
S	98 45	MESA COUNTY/ROSEVALE ROAD GRAND JUNCTION MESA CO.	CS08094701	UPGRADE OF WWTF	- -	0	500,000
S	99 45	MONTROSE COUNTY/SPRING CREEK MESA P.O. BOX 1289 MONTROSE MONTROSE CO. 81402	CS08092101	CONSTRUCTION OF INTERCEPTOR	P NO NUMBER 08-0483-001	0	1,000,000
S	102 45	SEIBERT, TOWN OF P.O. BOX 114 SEIBERT KIT CARSON CO. 808340114	CS08087601	UPGRADE OF EXISTING WWTF	P C00032972 08-0308-001	0	50,000
S	105 40	COLORADO CITY METROPOLITAN DISTRICT P.O. BOX 390 COLORADO CITY PUEBLO CO. 81019	CS08096301	DESIGN AND CONST. OF WWTF	P C00021121 - -	0	500,000
S	106 40	CRESTED BUTTE SOUTH METRO DIST CRESTED BUTTE GUNNISON CO.	CS08099001	UPGRADE WWTF	- -	0	1,000,000

GRANTS INFORMATION AND CONTROL SYSTEM  
 FISCAL YEAR 96  
 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	129	30	EVANS, TOWN OF 3700 GOLDEN STREET EVANS WELD CO. 80620	CS08095701	EXPANSION OF EXISTING WWTF	P C00020508 08-0163-001	0	400,000
S	130	25	BROOMFIELD, CITY OF P.O. BOX 1415 BROOMFIELD BOULDER CO. 80038	CS08097101	WASTEWATER RE-USE PROJECT	P C00026409 -	0	12,500,000
S	131	25	CASTLE PINES NORTH METRO DISTRICT 482 HAPPY CANYON ROAD CASTLE ROCK DOUGLAS CO. 80104	CS08094801	UPGRADE PUMP STATION/TRUNK LINES	P NO NUMBER 08-0488-001	0	385,000
S	132	25	CHEYENNE WELLS SAN. DIST. P.O. BOX 262 CHEYENNE WELLS CHEYENNE CO. 80810	CS08074701	DESIGN AND CONST. OF WWTF	P C00041386 08-0231-001	0	200,000
S	133	25	CRAWFORD, TOWN OF 425 HWY 92, BOX 56 CRAWFORD DELTA CO. 81415	CS08100001	DESIGN & CONST. LIFT STATION	-	0	100,000
S	134	25	CRESTED BUTTE, TOWN OF 308 THIRD, BOX 39 CRESTED BUTTE GUNNISON CO. 81224	CS08100401	CONST. NEW MECHANICAL WWTF	-	0	3,000,000
S	135	25	DOVE CREEK, TOWN OF P.O. BOX 508 DOVE CREEK DOLORES CO. 81324	CS08100201	NEED PLANT UPGRADE	-	0	10,000

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GRANTS INFORMATION AND CONTROL SYSTEM  
 FISCAL YEAR 96  
 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	107 40	EAGLE, TOWN OF P.O. BOX 609 EAGLE EAGLE CO. 81631	CS08092901	EXPAND WWTF; AT 90% OF CAPACITY	P NO NUMBER 08-0277-001	0	2,000,000
S	108 40	EAST RIVER REGIONAL SAN. DIST. P.O. BOX 879 CRESTED BUTTE GUNNISON CO. 81224	CS08098801	UPGRADE WWTF	- -	0	1,000,000
S	109 40	GEM VILLAGE/BAYFIELD SD P.O. BOX 9 BAYFIELD LA PLATA CO. 811220009	CS08088601	UPGRADE WWTF/SLUDGE REMOVAL	P C00039276 08-0480-001	0	25,000
S	110 40	IDAHO SPRINGS, CITY OF BOX 907 IDAHO SPRINGS CLEAR CREEK CO. 80452	CS08100901	I/I CORRECTION	- -	0	1,700,000
S	112 40	MT. CRESTED BUTTE/MERIDIAN LAKES P.O. DRAWER E MT. CRESTED BUTTE GUNNISON CO. 81225	CS08098901	EXPANSION AND UPGRADE OF WWTF	- -	0	1,000,000
S	115 40	W. GLENWOOD SPRINGS SD P.O. BOX 866 GLENWOOD SPGS GARFIELD CO. 816020866	CS08083301	UPGRADE OF EXISTING WWTF	P C00024317 08-0137-001	0	50,000
S	116 35	COAL CREEK/WILLIAMSBURG P.O. BOX 36 COAL CREEK FREMONT CO. 81221	CS08091601	CONSTRUCTION OF NEW WWTF	P NO NUMBER 08-0500-012	0	460,000

GRANTS INFORMATION AND CONTROL SYSTEM  
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FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	117 35	EAST EATON WATER AND SANITATION DI P.O. BOX 2193 EATON WELD CO. 80615	CS08092801	CONSTRUCTION OF INTERCEPTOR/SEWERS	P NO NUMBER 08-0098-001	0	650,000
S	118 35	FLAGLER SAN. DIST. 311 MAIN ST. - P.O. BOX 126 FLAGLER KIT CARSON CO. 808150126	CS08082701	UPGRADE SLUDGE FAC.	P C00037095 08-0234-001	0	100,000
S	121 35	FRISCO SAN. DIST. P.O. BOX 601 FRISCO SUMMIT CO. 80443	CS08080902	CONNECTION TO UNSEWERED AREAS	P C00037907 -	0	500,000
S	122 35	MARBLE, TOWN OF 407 W. MAIN ST. MARBLE GUNNISON CO. 81623	CS08083201	CONSTRUCTION OF NEW WWTF	P NO NUMBER 08-0099-001	0	100,000
S	125 35	STEAMBOAT SPRINGS, CITY OF P.O. BOX 775088 STEAMBOAT SPRINGS ROUTT CO. 80477	CS08095102	EXPANSION OF WWTF	P C00035556 -	0	1,300,000
S	127 30	DACONO, CITY OF 512 CHERRY ST., BOX 186 DACONO WELD CO. 80514	CS08099901	DESIGN & CONST. INTERCEPTOR, ENLARG	-	0	885,000
S	128 30	SUNSET METRO DIST/ELLCOTT CALHAN EL PASO CO. 80808	CS08099401	INTERCEPTOR TO SUNSET METRO DIST	-	0	100,000



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 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	139	25	MONTE VISTA VETERANS CTR WMTF 720 FIRST AVENUE MONTE VISTA CO. 81144 RIO GRAND	CS08098301	UPGRADE WMTF	P NO NUMBER	0	500,000
S	140	25	ORCHARD CITY, TOWN OF 2102 J50 ROAD AUSTIN CO. 81410 DELTA	CS08084701	CONSTRUCTION OF NEW WMTF	P NO NUMBER 08-0360-001	0	75,000
S	142	25	PPACOG/CHEYENNE MTN ZOO 4250 CHEYENNE MTN ZOO RD. COLORADO SPGS. CO. 80906 EL PASO	CS08097201	CONNECTION TO COLO. SPGS.	P NO NUMBER	0	500,000
S	144	25	WATTENBURG, TOWN OF C/O WELD CO.-1400 N 17TH AVE GREELEY CO. 80631 WELD	CS08092601	CONSTRUCTIN OF NEW WMTF	P NO NUMBER 08-0485-001	0	50,000
S	146	25	RIFLE, CITY OF (SOUTH) BOX 1908 RIFLE CO. 81650 GARFIELD	CS08101201	LINE LAGOONS & SLUDGE HANDLING	P C00030970	0	300,000
N	1	110	BLACKHAWK/CENTRAL CITY P.O. BOX 85 CENTRAL CITY CO. 804220085 CLEAR CREEK	CS08085401	CONS OF NEW WMTF	P C00023949 08-0272-001	0	25,000,000
N	11	90	CLEAR CREEK/ARAPAHOE PARK EMPIRE CO. 80438 CLEAR CREEK	CS08100701	CONST. INTERCEPTOR		0	100,000

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GRANTS INFORMATION AND CONTROL SYSTEM  
 FISCAL YEAR 96  
 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	25	DOUGLAS COUNTY/LOUVIERS P.O. BOX 121 LOUVIERS CO. 80131	CS08093801	UPGRADE OF EXISTING WWTF	P C00027359 08-0288-001	0	500,000
	80	DOUGLAS					
N	26	FT COLLINS/PHASE III 700 WOOD STREET-P.O. BOX 580 FT COLLINS CO. 805220580	CS08080802	CONSTRUCTION OF REG. FAC.	P NO NUMBER 08-0037-001	0	50,000,000
	80	LARIMER					
N	28	MOFFAT, TOWN OF P.O. BOX 353 MOFFAT CO. 81143	CS08083801	CONSTRUCTION OF NEW WWTF	P C00037621 08-0356-001	0	350,000
	80	SAGUACHE					
N	33	LAKE CITY AREA WSD P.O. BOX 172 LAKE CITY CO. 812350172	CS08086501	UPGRADE OF EXISTING WWTF	P C00020371 08-0134-001	0	750,000
	75	HINSDALE					
N	34	NORTH LAMAR SAN. DIST. P.O. BOX 1771 LAMAR CO. 81052	CS08093701	CONSTRUCTION OF INTERCEPTOR	P NO NUMBER	0	500,000
	75	PROMERS					
N	35	PINON WATER AND SAN. DIST. RT. 1 BOX 426 FF TRINIDAD CO. 81082	CS08077901	UPGRADE OF EXISTING WWTF	P NO NUMBER 08-0313-001	0	106,000
	75	LAS ANIMAS					
N	38	JOES, TOWN OF P.O. BOX 92 JOES CO. 80822	CS08084301	CONSTRUCTION OF NEW WWTF	P NO NUMBER	0	350,000
	70	YUMA					

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WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	39 70	LARIMER COUNTY/REGIONAL PLAN P.O. BOX 1190 FT. COLLINS CO. 80522 LARIMER	CS08098601	REGIONALIZATION WITH FT. COLLINS	- -	0	15,000,000
N	43 70	MESA WSD P.O. BOX 213 MESA CO. 816430213 MESA	CS08087201	DES & CONS OF WWTF	P C00032727 08-0363-001	0	300,000
N	45 70	RICO, TOWN OF 1580 LINCOLN, SUITE 900 DENVER CO. 80203 DOLORES	CS08083701	CONSTRUCTION OF NEW WWTF	P C00029793 - -	0	500,000
N	47 70	UTE PASS AREA-CHIPETA/CASCADE/GREE P.O. BOX 524 GREEN MTN FALLS CO. 80819 EL PASO	CS08092701	CONSTRUCTION OF NEW WWTF	P NO NUMBER - -	0	1,000,000
N	49 70	WELD COUNTY/REGIONAL PLAN P.O. BOX 758 GREELEY CO. 80632 WELD	CS08098501	REGIONALIZATION	- -	0	15,000,000
N	50 65	BERKELEY WATER AND SAN. DIST. 5445-B FEDERAL BLVD DENVER CO. 80221 ADAMS	CS08076201	FAILING SEPTICS/HIGH GROUNDWATER	P NO NUMBER 08-0129-001	0	361,000
N	67 55	ATWOOD, TOWN OF 16373 US HIGHWAY 6 ATWOOD CO. 80722 LOGAN	CS08091801	CONSTRUCTION OF NEW WWTF	P NO NUMBER - -	0	500,000

GRANTS INFORMATION AND CONTROL SYSTEM  
 FISCAL YEAR 96  
 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	71 55	COPE, TOWN OF COPE WASHINGTON CO. 80812	CS08091501	CONSTRUCTION OF NEW WWTF	P NO NUMBER	0	750,000
N	72 55	CRESTONE, TOWN OF 199 NORTH ALDER STREET CRESTONE SAGUACHE CO. 81131	CS08093501	POSSIBLE REGIONALIZATION	P NO NUMBER 08-0338-001	0	300,000
N	73 55	GLENWOOD SPRINGS, CITY OF 806 COOPER AVENUE GLENWOOD SPGS. GARFIELD CO. 81601	CS08094601	INTERCEPTOR/SEWER REHAB.	08-0138-001	0	500,000
N	74 55	PARACHUTE, TOWN OF 222 GRAND VALLEY WAY PARACHUTE GARFIELD CO. 81635	CS08094401	INFILTRATION/INFLOW CORRECTION	P NO NUMBER 08-0246-001	0	18,000
N	77 50	AGUILAR, TOWN OF P.O. BOX 538 AUGILAR LAS ANIMAS CO. 81020	CS08096901	CONSTRUCTION FOR GROUNDWATER DISCHA P	CO0033065	0	100,000
N	80 50	CARBONDALE, TOWN OF 76 SOUTH 2ND ST. CARBONDALE GARFIELD CO. 81623	CS08094501	EXPANSION OF WWTF	08-0257-001	0	1,000,000
N	83 50	GYPSUM, TOWN OF P.O. BOX 130 GYPSUM EAGLE CO. 81637	CS08093301	UPGRADE OF EXISTING WWTF	P NO NUMBER 08-0225-001	0	250,000

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GRANTS INFORMATION AND CONTROL SYSTEM  
 FISCAL YEAR 96  
 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	87 50	MONTEZUMA, TOWN OF P.O. BOX 1476 DILLON SUMMIT CO. 80435	CS08081401	CONSTRUCTION OF NEW WWTF	P NO NUMBER	0	50,000
N	95 45	LA JUNTA, CITY OF BOX 489 LA JUNTA OTERO CO. 81050	CS08100801	LINE LAGOONS		0	500,000
N	100 45	NEW CASTLE, TOWN OF BOX 166 NEW CASTLE GARFIELD CO. 81647	CS08100601	UPGRADE WWTF FOR AWT		0	845,000
N	101 45	ROCKY FORD, CITY OF 203 S. MAIN ST. ROCKY FORD OTERO CO. 81067	CS08089901	EXPANSION OF EXISTING WWTF	P C00023850 08-0011-001	0	200,000
N	103 45	SUPERIOR METRO DIST. #1 124 E. COAL CREEK DR. SUPERIOR BOULDER CO. 80027	CS08101101	DES & CONST OF LIFT STATION		0	700,000
N	104 40	BASALT SAN. DIST. P.O. BOX 527 BASALT EAGLE CO. 81621	CS08097001	UPGRADE WWTF	P C00021491	0	100,000
N	111 40	SAN MIGUEL CNTY/LAST DOLLAR HOA P.O. BOX 1106 TELLURIDE SAN MIGUEL CO. 81435	CS08097401	CONNECTION TO TELLURIDE	P C00029777	0	500,000

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 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

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N	113	40	PAGOSA SPRINGS/KOA PAGOSA SPRINGS ARCHULETA CO.	CS08097801	CONNECTION TO PAGOSA SPRINGS	P NO NUMBER	0	100,000
N	114	40	SOUTH DURANGO SAN. DIST. P.O. BOX 5232 DURANGO LA PLATA CO. 81301	CS08096501	EXPANSION OF WWTF	P CO0041262	0	500,000
N	119	35	FT. GARLAND WSD P.O. BOX 309 FT GARLAND COSTILLA CO. 81133	CS08095001	CONSTRUCTION OF NEW WWTF	08-0239-001	0	100,000
N	120	35	FORT LUPTON, CITY OF 130 SO. MCKINLEY AVENUE FT. LUPTON WELD CO. 80621	CS08095201	SYSTEM CHANGE; WASTEWATER RE-USE FO	08-0126-001	0	100,000
N	123	35	MOSCA GENERAL IMPROVEMENT DISTRICT P.O. BOX 178 ALAMOSA ALAMOSA CO. 81101	CS08091401	CONSTRUCTION OF NEW WWTF	P NO NUMBER	0	100,000
N	124	35	STARKVILLE, TOWN OF RTE. 1, P.O. BOX 407 STARKEVILLE LAS ANIMAS CO. 81082	CS08083501	CONSTRUCTION OF NEW WWTF	P NO NUMBER	0	75,000
N	126	35	SYLVAN LAKES W&SD P.O. BOX 156 LEADVILLE LAKE CO. 80461	CS08088001	CONSTRUCTION OF NEW WWTF	P NO NUMBER	0	100,000

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 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
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N	136 25	ELIZABETH, TOWN OF BOX 159 ELIZABETH ELBERT CO. 80107	CS08100501	EXPAND WWTF DUE TO GROWTH	- -	0	1,200,000
N	137 25	LOGAN COUNTY STERLING LOGAN CO.	CS08097601	UNSEWERED AREAS/CONNECTION TO STERL P NO NUMBER	- -	0	100,000
N	138 25	MERINO, TOWN OF BOX 211 MERINO LOGAN CO. 80741	CS08099501	DESIGN & CONST. COLLECTION SYSTEM &	- -	0	100,000
N	141 25	PITKIN, TOWN OF P.O. BOX 71 PITKIN GUNNISON CO. 81241	CS08083401	CONSTRUCTION OF NEW WWTF	P NO NUMBER - -	0	50,000
N	143 25	SILVER HILLS SD P.O. BOX 378 LEADVILLE LAKE CO. 80461	CS08087701	CONSTRUCTION OF NEW WWTF	P NO NUMBER - -	0	100,000
N	145 25	DEL NORTE, TOWN OF P.O. BOX M DEL NORTE RIO GRANDE CO. 81132	CS08099101	SLUDGE MODIFICATION	- -	0	300,000

APPENDIX A-1  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1996

<u>No. of Projects</u>	<u>Funding Status**</u>	<u>Entity</u>	<u>County</u>
1	S	Allenspark Water & Sanitation District	Boulder
2	T	Arapahoe County Water & Wastewater Authority	Arapahoe
3	U	Arriba, Town of	Lincoln
4	U	Baca Grande Water & San District	Saguache
5	T	Bayfield Sanitation District	La Plata
6	U	Bennett, Town of	Adams
7	T	Bent County	Bent
8	U	Boulder, City of	Boulder
9	T	Breckenridge Sanitation District	Summit
10	T	Brighton, City of	Adams
11	U	Broomfield, City of	Jeff/Bldr/Adms/Weld
12	T	Buena Vista Sanitation District	Chaffee
13	U	Campo, Town of	Baca
14	U	Castle Pines North Metro District	Douglas
15	U	Chaffee County/Johnson's Village	Chaffee
16	U	Cheyenne Wells Sanitation District	Cheyenne
17	T	Coal Creek/Williamsburg	Fremont
18	U	Colorado City Metro District	Pueblo
19	U	Colorado Springs, City of	El Paso
20	U	Cortez Sanitation District	Montezuma
21	T	Costilla County Water & Sanitation District	Costilla
22	U	Crawford, Town of	Delta
23	T	Crested Butte South Metro District	Gunnison
24	T	Crested Butte, Town of	Gunnison
25	U	Dacono, City of	Weld
26	T	Delta/Garnett Mesa	Delta
27	U	Dove Creek, Town of	Dolores
28	U	Eagle, Town of	Eagle
29	U	East Eaton Water & Sanitation District	Weld
30	U	East Grand Water Quality Board	Grand
31	T	East River Regional Sanitation District	Gunnison
32	U	Elbert Water & Sanitation District	Elbert
33	U	Erie Water & Sanitation District	Boulder/Weld
34	U	Estes Park	Larimer
35	U	Evans, Town of	Weld
36	U	Flagler Sanitation District	Kit Carson
37	S	Fort Collins, City of (Drake Facility)	Larimer
38	S	Fort Morgan, City of	Morgan
39	U	Fountain Sanitation District	El Paso
40	U	Fraser, Town of	Grand
41	T	Fremont Sanitation District	Fremont
42	U	Frisco Sanitation District	Summit
43	T	Garden Valley Water & San District	El Paso
44	U	Gem Village Sanitation District (Bayfield)	La Plata
45	U	Georgetown, Town of	Clear Creek



APPENDIX A-1  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1996

<u>No. of Projects</u>	<u>Funding Status**</u>	<u>Entity</u>	<u>County</u>
1	S	Allenspark Water & Sanitation District	Boulder
2	T	Arapahoe County Water & Wastewater Authority	Arapahoe
3	U	Arriba, Town of	Lincoln
4	U	Baca Grande Water & San District	Saguache
5	T	Bayfield Sanitation District	La Plata
6	U	Bennett, Town of	Adams
7	T	Bent County	Bent
8	U	Boulder, City of	Boulder
9	T	Breckenridge Sanitation District	Summit
10	T	Brighton, City of	Adams
11	U	Broomfield, City of	Jeff/Bldr/Adms/Weld
12	T	Buena Vista Sanitation District	Chaffee
13	U	Campo, Town of	Baca
14	U	Castle Pines North Metro District	Douglas
15	U	Chaffee County/Johnson's Village	Chaffee
16	U	Cheyenne Wells Sanitation District	Cheyenne
17	T	Coal Creek/Williamsburg	Fremont
18	U	Colorado City Metro District	Pueblo
19	U	Colorado Springs, City of	El Paso
20	U	Cortez Sanitation District	Montezuma
21	T	Costilla County Water & Sanitation District	Costilla
22	U	Crawford, Town of	Delta
23	T	Crested Butte South Metro District	Gunnison
24	T	Crested Butte, Town of	Gunnison
25	U	Dacono, City of	Weld
26	T	Delta/Garnett Mesa	Delta
27	U	Dove Creek, Town of	Dolores
28	U	Eagle, Town of	Eagle
29	U	East Eaton Water & Sanitation District	Weld
30	U	East Grand Water Quality Board	Grand
31	T	East River Regional Sanitation District	Gunnison
32	U	Elbert Water & Sanitation District	Elbert
33	U	Erie Water & Sanitation District	Boulder/Weld
34	U	Estes Park	Larimer
35	U	Evans, Town of	Weld
36	U	Flagler Sanitation District	Kit Carson
37	S	Fort Collins, City of (Drake Facility)	Larimer
38	S	Fort Morgan, City of	Morgan
39	U	Fountain Sanitation District	El Paso
40	U	Fraser, Town of	Grand
41	T	Fremont Sanitation District	Fremont
42	U	Frisco Sanitation District	Summit
43	T	Garden Valley Water & San District	El Paso
44	U	Gem Village Sanitation District (Bayfield)	La Plata
45	U	Georgetown, Town of	Clear Creek

APPENDIX A-1 (Continued)  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1996

<u>No. of Projects</u>	<u>Funding Status**</u>	<u>Entity</u>	<u>County</u>
46	U	Grand County No. 1	Grand
47	S	Gunnison County/Antelope Hills	Gunnison
48	U	Hermosa Sanitation District	La Plata
49	U	Hiland Acres Water & Sanitation District	Adams
50	T	Hotchkiss, Town of	Delta
51	T	Hudson Sanitation District	Weld
52	U	Idaho Springs, City of	Clear Creek
53	T	Ignacio Sanitation District	La Plata
54	U	Kiowa, Town of	Elbert
55	U	La Jara, Town of	Conejos
56	U	Lamar, City of	Prowers
57	U	Larkspur, Town of	Douglas
58	T	Las Animas, City of	Bent
59	U	Littleton/Englewood	Arapahoe
60	U	Lochbuie, Town of	Weld
61	T	Log Lane Village	Morgan
62	U	Lyons, Town of	Boulder
63	U	Manassa, Town of	Conejos
64	U	Manzanola, Town of	Otero
65	U	Marble, Town of	Gunnison
66	U	Mesa County/Rosevale Road	Mesa
67	U	Metro Denver Reclamation, Phase II	Adams
68	U	Metro Denver Reclamation, Phase III	Adams
69	U	Metro Denver Reclamation, Phase IV	Adams
70	U	Monte Vista, City of	Rio Grande
71	U	Monte Vista Veterans Center WWTF	Rio Grande
72	U	Montrose County/Spring Creek Estates	Montrose
73	U	Montrose County/Spring Creek Mesa	Montrose
74	T	Morgan Cnty/Morgan Hghts (Country Club Park Met D)	Morgan
75	T	Mt. Crested Butte/Meridian Lakes	Gunnison
76	U	Nederland, Town of	Boulder
77	U	North Delta Sanitation District	Delta
78	U	Orchard City, Town of	Delta
79	U	Ordway, Town of	Crowley
80	U	Palisade, Town of	Mesa
81	T	Paonia, Town of	Delta
82	U	Pikes Peak Area Council of Govt/Cheyenne Mt. Zoo	El Paso
83	T	Redcliff Water & Sanitation District	Eagle
84	U	Rifle, City of (South)	Garfield
85	T	Rockvale, Town of	Fremont
86	U	Romeo, Town of	Conejos
87	U	Rye, Town of	Pueblo
88	S	San Juan River Village Metro District	Archuleta
89	U	Seibert, Town of	Kit Carson

APPENDIX A-1 (Continued)  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1996

<u>No. of Projects</u>	<u>Funding Status**</u>	<u>Entity</u>	<u>County</u>
90	U	Silt, Town of	Garfield
91	U	Silverthorne/Dillon	Summit
92	S	Snyder Sanitation District	Morgan
93	U	South Fort Collins Sanitation District	Larimer
94	S	Steamboat Springs, City of (WWTF)	Routt
95	U	Sterling, City of	Logan
96	U	Sunset Metro District/Ellicott School District	El Paso
97	T	Teller County Water Quality Management Agency	Teller
98	U	Trinidad, City of	Las Animas
99	U	Vona, Town of	Kit Carson
100	U	Walsenburg, City of	Huerfano
101	U	Wattensburg, Town of	Weld
102	U	West Glenwood Springs Sanitation District	Garfield
103	U	West Jefferson Metro District	Jefferson
104	U	Westminster, City of	Adams/Jeff/Boulder
105	T	Winter Park Water & Sanitation District	Grand

- \*\*
- S = Approved facility plan; ready for funding  
 T = Facility plan/feasibility study submitted for review; ready for funding during current fiscal year  
 U = Facility plan/feasibility study expected to be submitted and ready for funding during current fiscal year

Additions to the 96 Eligibility List include:

- |                                      |  |
|--------------------------------------|--|
| 1) Baca Grande Water & San Dist      | 22) Mesa County/Rosevale Road                                |
| 2) Broomfield, City of               | 23) Monte Vista, City of                                     |
| 3) Chaffee County/Johnsons Village   | 24) Monte Vista Veterans Center                              |
| 4) Colorado Springs, City of         | 25) Mt. Crested Butte/Meridian Lakes                         |
| 5) Crawford, Town of                 | 26) Palisade, Town of  |
| 6) Crested Butte South Metro Dist    | 27) Paonia, Town of  |
| 7) Crested Butte, Town of            | 28) Pikes Peak Area Council of Govt/<br>CheyenneMountain Zoo |
| 8) Dacono, City of                   | 29) Romeo, Town of   |
| 9) Dove Creek, Town of               | 30) Silt, Town of  |
| 10) East Grand Water Quality Board   | 31) Silverthorne/Dillon                                      |
| 11) East River Regional San Dist     | 32) South Fort Collins San Dist                              |
| 12) Erie Water & Sanitation District | 33) Steamboat Springs, City of (WWTF)                        |
| 13) Fountain, City of                | 34) Sunset Metro Dist/Ellicott                               |
| 14) Fremont Sanitation Dist          | 35) Vona, Town of  |
| 15) Frisco San District              | 36) Walsenburg, City of                                      |
| 16) Georgetown, Town of              | 37) West Jefferson Metro District                            |
| 17) Hiland Acres Water & San Dist    | 38) Westminster, City of                                     |
| 18) Hotchkiss, Town of               | 39) Idaho Springs, City of                                   |
| 19) Littleton/Englewood              | 40) Lochbuie, Town of  |
| 20) Lyons, Town of                   | 41) Rifle, City of (South)                                   |
| 21) Manzanola, Town of               |  |

APPENDIX A-1 (Continued)  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1996

**Deletions** due to completed projects, projects being funded by other resources, name/project change, or facilities in compliance include:

- |  |   |
|--|---|
| 1) Academy Water & San Dist  | 24) Hotchkiss/Paonia                            |
| 2) Alamosa, City of  | 25) Jefferson County (Mt. Evans/Conifer Center) |
| 3) Avondale Water & San Dist   | 26) Kittredge Sanitation District               |
| 4) Berthoud, Town of   | 27) Larimer County/Glacier View Meadows         |
| 5) Blackhawk/Central City  | 28) Montrose, City of                           |
| 6) Boxelder Water & San Dist   | 29) Morrison, Town of                           |
| 7) Calhan, Town of   | 30) Naturita, Town of                           |
| 8) Center Sanitation District  | 31) Parker, City of                             |
| 9) Craig, City of  | 32) Perry Park Water & San Dist                 |
| 10) Crowley, Town of   | 33) Roxborough Park Metro District              |
| 11) Cucharas San & Water Dist  | 34) San Luis Water & San Dist                   |
| 12) Deer Trail, Town of  | 35) Sedalia Water & San District                |
| 13) Donala WSD / Gleneagle   | 36) St. Mary's Glacier Water & San Dist         |
| 14) Eads, Town of  | 37) St. Charles Mesa San District               |
| 15) East Alamosa Water & San Dist  | 38) Steamboat Springs, City of (Sludge)         |
| 16) Empire, Town of  | 39) Sugar City, Town of                         |
| 17) Evergreen Metro District   | 40) Upper Eagle Valley San District             |
| 18) Forrest Lakes Metro Dist   | 41) Victor, City of                             |
| 19) Fremont San Dist/West Florence   | 42) West Jefferson County Metro Dist            |
| 20) Fruita/Kingsview Estates   | 43) Westminster, City of                        |
| 21) Genesee Water & San Dist   | 42) Westwood Lakes Water Dist                   |
| 22) Greeley, City of   | 43) Willowbrook Sanitation District             |
| 23) Gunnison County (Crested Butte, Mt. Crested Butte, Meridian Lakes, East River) | 44) Windsor, Town of                            |

**APPENDIX B**  
**PROJECTED WPCRF LOAN FOR 1996**

LOAN #	NAME	BINDING AMOUNT TARGET	BINDING DATE TARGET	PROJECT DESCRIPTION	FED FY FUNDS
08096801	Breckenridge Sanitation District	8,000,000	10/01/96	Const of Sludge Facilities	94/95
08097101	Broomfield, City of	1,000,000	06/01/96	Wastewater Reuse Project	94
08099001	Crested Butte South Metro District	1,000,000	01/01/96	Upgrade WWTF	93/94
08095301	Delta/Garnett Mesa	1,000,000	01/01/96	Const of Interceptor/sewer	93/94
08092901	Eagle, Town of	750,000	06/01/96	Infiltration/Inflow Correction	94
08071001	Erie Water & Sanitation District	1,000,000	06/01/96	Upgrade WWTF for AWT	94/95
08080802	Fort Collins, City of (Drake Facility)	5,000,000	01/01/96	Addition of Water Meters	93/94
08096801	Fremont Sanitation District	3,035,000	06/01/96	Regional Project	94/95
08093901	Garden Valley	150,000	06/01/96	Connect to Colorado Springs	94
08071901	Hotchkiss, Town of	1,200,000	06/01/96	Des & Const of WWTF	94
08086301	Hudson Sanitation District	1,500,000	01/01/96	Des & Const of WWTF	93/94
08074301	Las Animas, City of	100,000	01/01/96	Des & Const of WWTF	93/94
08070202	Littleton/Englewood	5,000,000	10/01/96	Upgrade WWTF for Capacity	95
08098701	Lyons, Town of	1,000,000	06/01/96	Expand WWTF	95
08093601	Monte Vista, City of	510,000	10/01/96	Const of Biosolids Facilities	95
08098301	Monte Vista Veterans Center WWTF	500,000	10/01/96	Upgrade WWTF	95
08081101	Morgan County/Morgan Heights	1,000,000	01/01/96	Const of Interceptor/sewers	93/94
08098901	Mt. Crested Butte/Meridian Lakes	1,000,000	06/01/96	Expand and Upgrade WWTF	94
08099301	Paonia, Town of	3,220,000	06/01/96	Upgrade WWTF	94
08097201	PPACOG/Cheyenne Mt. Zoo	500,000	04/01/96	Connect to Colorado Springs	94
08098001	South Fort Collins San Dist	2,000,000	06/01/96	Sludge/Expand WWTF & Reg. Plan	95
08080501	Westminster, City of	6,000,000	10/01/96	Wastewater Reuse Project	95
	Total Eligible Cost Commitment	\$44,465,000			

5.17.7(2) STATEMENT OF BASIS, SPECIFIC STATUTORY AUTHORITY, AND PURPOSE  
(1995 REVISIONS)

The provisions of sections 25-8-202(1)(e) and (g); 25-8-308(1)(d); 37-95-107.6(4) C.R.S., provide the specific statutory authority for adoption of the attached regulatory amendments. The Commission also adopted, in compliance with sections 24-4-103(4) C.R.S., the following statement of basis and purpose.

BASIS AND PURPOSE:

The Intended Use Plan (IUP) is presented to the Water Quality Control Commission each year for agency action and public comment. The plan describes the intent of the Water Pollution Control Revolving Fund (WPCRF) for providing financial assistance to governmental agencies.

Attached to the 1996 IUP as Appendix A is the statewide project priority list showing the current construction needs for point source wastewater treatment. Also attached as Appendix A-1 is the 1996 Eligibility List additions and modifications. The Eligibility List consists of projects from the priority list that have or will have approved facility plans or feasibility studies during the 1996 funding year. Attachment B to the IUP is a chart depicting projects that are expected to be funded from the WPCRF and includes their target date for a binding commitment.

The Commission held a formal public meeting on October 10, 1995 to adopt the 1996 IUP; however, three communities requested that they be added to the IUP. The communities were Idaho Springs, Lochbouie and Superior. They were added along with the City of Rifle for final approval on November 13, 1995.

The Environmental Protection Agency recommended several changes to the 1995 IUP. These recommendations have been incorporated into the 1996 IUP. The most significant changes have been made to the "goals and objectives." The long and short term goals are now separate and have been revised to reflect the current WPCRF program needs.

**Attachment 2**

**FY 1997 Intended Use Plan**

# STATE OF COLORADO

Roy Romer, Governor  
Patti Shwayder, Executive Director

**WATER QUALITY CONTROL COMMISSION**  
WQCC-CC-82  
4300 Cherry Creek Drive South  
Denver, Colorado 80222-1530  
Phone (303) 692-3520



Colorado Department  
of Public Health  
and Environment

## NOTICE OF FINAL ADOPTION

PURSUANT to the provisions of sections 24-4-103(5) and 24-4-103(11)(a), C.R.S.

NOTICE IS HEREBY GIVEN that the Colorado Water Quality Control Commission, after a public rulemaking process complying with the provisions of 24-4-103 C.R.S., adopted on October 7, 1996, pursuant to 25-8-202(1)(e), (g); 25-8-308(1)(d) and 37-95-107.6(4), C.R.S., and Section 2.1.3 of the "Procedural Rules" the regulation entitled:

"State of Colorado Fiscal Year - 1997 Intended Use Plan" 5.17.8 (5 CCR 1002-24)

Establishing the Revolving Fund Priority List for FY97.

Also, pursuant to 24-4-103(8)(b), C.R.S., this regulation was submitted to the Attorney General for review and was found to be within the authority of the Water Quality Control Commission to promulgate, and further that there are no apparent constitutional deficiencies in its form or substance. Furthermore, in adopting this regulation the Commission also adopted a general Statement of Basis, Specific Statutory Authority, and Purpose in compliance with 24-4-103(4), C.R.S.

This regulation will be submitted to the Office of Legislative Legal Services within twenty (20) days after the date of the Attorney General's Opinion, pursuant to 24-4-103(8)(d), C.R.S., and to the Secretary of State in time for November, 1996 publication in the Colorado Register pursuant to 24-4-103(5) and (11)(d), C.R.S., and will become effective November 30, 1996.

A copy of this regulation is attached and made a part of this notice.\*

Dated this 10th day of October, 1996, at Denver, Colorado.

WATER QUALITY CONTROL COMMISSION

  
Paul D. Frohardt, Administrator

\*A copy of this regulation  
is available at a charge of \$2.00  
pursuant to 24-4-103(9), C.R.S.



STATE OF COLORADO  
FY 1997  
WPCRF INTENDED USE PLAN

INTRODUCTION

This Intended Use Plan (IUP) will serve as the planning document for explaining how the fiscal year (FY) 1994, 1995, 1996 and 1997 appropriations for the WPCRF will be used. As currently developed, the IUP identifies the specific projects and activities associated with the federal appropriation. All of the funds allotted to the State for FY95 and 96 will be made available to the WPCRF.

The State agrees to submit to EPA, as part of its annual application for a Capitalization Grant under Title VI of the Water Quality Act of 1987 (the Federal Act), an IUP which meets the requirements of Section 606(c) of the Federal Act and S.B. 50 (1988).

The requirements of the Act are as follows:

- ◆ A list of those projects for construction of publicly owned treatment works on the State's priority list developed pursuant to section 216 of this Act and a list of activities eligible for assistance under sections 319 and 320 of the Federal Act;
- ◆ A description of the short and long-term goals of the State's Water Pollution Control Revolving Fund (WPCRF);
- ◆ Information on the activities to be supported, including a description of project categories, discharge requirements under titles III and IV of the Federal Act, terms of financial assistance, and communities served; and
- ◆ The criteria and method established for the distribution of funds.

The State will prepare and submit a Capitalization Grant application based on the FY 1997 allotment for the WPCRF.

To date, the WPCRF has issued 36 loans totalling \$158,674,653. From this total, \$66,862,775 has been utilized from the federal capitalization grants; the amount of grant awards through FY1996 is \$97,243,557.

## LIST OF WATER POLLUTION CONTROL REVOLVING FUND PROJECTS

Attached to the 1997 IUP as Appendix A is the statewide project priority list showing the current construction needs for point source wastewater treatment. Also attached as Appendix A-1 is the Eligibility List with 1997 additions and modifications. The Eligibility List consists of projects from the priority list that have or will have approved facility plans or feasibility studies during the 1997 funding year.

## LONG TERM GOALS

The State continues to develop long term goals that will insure the integrity of the WPCRF:

1. Maintain the economic viability of the WPCRF while meeting current and projected Water Quality needs in the State of Colorado.

Ensure that the WPCRF remains viable and is self perpetuating to meet the long range water quality needs of the State.

2. Provide technical assistance to governmental agencies for facilitating effective planning, design, financing, and construction of facilities to meet water quality standards.

Customer service will be the focus while utilizing the team approach to create an atmosphere conducive to accomplishing the best practicable project.

3. Maintain compliance with State and Federal Laws and the provisions of the State/EPA Operating Agreement.

## SHORT TERM GOALS

In an effort to continually improve the WPCRF program, the State will pursue the following short term goals throughout the calendar year for 1997.

1. Improve the procedures and checklists of the Handbook of Procedures on an as needed basis.
2. Initiate the process for identifying projects in the FY1998 Intended Use Plan by February, 1997.

3. Submit Annual Report to EPA by March 31, 1997.
4. Make improvements to the WPCRF Rules as appropriate. Major revisions will be made once the Clean Water Act is reauthorized.  
-Amend Rules to incorporate expanded eligibilities for the FY1998 IUP.
5. Continue coordination with all funding agencies to complete water quality projects identified in the 1997 IUP.
6. Leverage funds in the WPCRF to accommodate the amount of eligible costs projected for loans in 1997 as described in Appendix B.

### INFORMATION ON ACTIVITIES TO BE SUPPORTED

Appendix B to the IUP is a table depicting projects that are likely to be funded with loans from the WPCRF during the remainder of calendar year 1996 and all of 1997. The total estimated eligible costs for the 20 projects listed is \$57,496,099. There is over \$25,000,000 available from federal capitalization grants which will be used in part along with state match funds and leveraged funds. The federal amount does not reflect any capitalization grant that may be made for FY1997.

The State intends to use an amount equal to 4% of the Capitalization Grant for Administration of the WPCRF. Additional administrative expenses are covered by a small loan surcharge. The State will also employ the reserve of 1% (or \$100,000, whichever is greater) from the Title VI allotment as provided by Section 604(b) to conduct water quality planning.

All funding agencies meet periodically to coordinate the financial demands of facilities out of compliance and that have a severity of pollution problem per WPCRF Rules (5.2.0.). These projects are listed on the FY 1997 Project Priority list (Appendix A).

The State will provide the necessary assurance and certifications as part of the Capitalization Grant Agreement and Operating Agreement between the State of Colorado and the U.S. Environmental Protection Agency.

The proposed payment schedule using FY 1997 WPCRF funds will be included in the application for the Capitalization Grant. The payment schedule identifies the anticipated amount of EPA Automated Clearinghouse (ACH) draws from the Capitalization Grant and State dollars to be deposited into the WPCRF.

**Public Review and Comment:**

The Commission held a formal public hearing on October 7, 1996 at which time the State's FY 1997 IUP was adopted. The Water Quality Control Division contacted local governmental agencies on the State's Project Priority List regarding their potential for funding under the WPCRF. Each year, the IUP will be amended to include additional WPCRF projects and the Division will seek further public review and comment.

**CRITERIA AND METHODS FOR DISTRIBUTING FUNDS**

The WPCRF Rules (5.2.0), the IUP, the Project Priority List attached as Appendix A, and the Eligibility List attached as Appendix A-1 provide a clear, objective system for identifying priority of wastewater management systems. This system uses the following criteria:

- (1) Severity of Pollution
- (2) Quality of Receiving Waters
- (3) Financial Need
- (4) Regionalization
- (5) Water Conservation
- (6) Beneficial Use of Sludge

The rationale for funding projects from the WPCRF priority list in an order other than that shown shall be due to one of the following four reasons:

- (1) Governmental agencies do not wish to participate in the WPCRF or they have received funding from other sources.
- (2) Governmental agencies are not ready to proceed with the project.
- (3) Governmental agencies are not in compliance with requirements identified in the Federal Act.
- (4) Governmental agencies do not have the financial capability to repay a loan.

The WPCRF criteria for reviewing the financial capability of the applicant is included in the State/EPA Operating Agreement.

The State legislation S.B. 50 (1988) establishes a WPCRF as an enduring and viable fund. The WPCRF shall be maintained and be available in perpetuity for providing financial assistance as authorized and limited by the Federal Act.

GRANTS INFORMATION AND CONTROL SYSTEM  
 FISCAL YEAR 1997  
 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	2	100	ARAPAHOE COUNTY W&W AUTHORITY 7305 SOUTH POTOMAC ST. STE 150 ENGLEWOOD CO. 80112 ARAPAHOE	CS08070701	PHOSPHORUS REMOVAL/COMPLIANCE SCHED P	C00037346 08-0400-001		8,750,000
S	3	100	FOUNTAIN SANITATION DIST 116 S. MAIN FOUNTAIN CO. 80817 EL PASO	CS08100101	DESIGN & CONST. WWTF			5,000,000
S	4	100	METRO DENVER REC DIST-PHASE III 6450 YORK STREET DENVER CO. 802297407 DENVER	CS08070003	UPGRADE TRANSMISSION LINES	P C00026638 08-0070-001		46,276,000
S	5	100	METRO DENVER REC DIST-PHASE II 6450 YORK STREET DENVER CO. 802297407 DENVER	CS08070002	ADV TREATMENT AND UPGRADE	P C00026638 08-0070-001		194,639,000
S	6	100	PALISADE, TOWN OF P.O. BOX 128 PALISADE CO. 81526 MESA	CS08099801	UPGRADE WWTF DUE TO COMPLIANCE PROB			500,000
S	7	95	ALLENSPARK WATER AND SAN. DIST. P.O. BOX 91 ALLENSPARK CO. 80510 BOULDER	CS08077601	CONS.OF NEW WWTF/SEPTIC PROBLEMS	P NO NUMBER 08-0089-001		1,356,000
S	8	95	BUENA VISTA SAN. DIST. P.O. BOX 1822 BUENA VISTA CO. 81211 CHAFFEE	CS08071701	DESIGN AND CONST. OF REGIONAL WWTF	P NO NUMBER 08-0274-001		5,000,000

GRANTS INFORMATION AND CONTROL SYSTEM  
 FISCAL YEAR 1997  
 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT NAME, ADDRESS CITY, STATE, ZIP COUNTY	LEGAL ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	9	95	JOHNSONS VILLAGE SID 12874 COUNTY RD_314B BUENA VISTA CHAFFEE	CO. 81211	CS08100301	DESIGN & CONST. COLLECTION & INTERC	P NO NUMBER		1,500,000
S	10	90	HUDSON SANITATION DISTRICT P.O. BOX 56 HUDSON WELD	CO. 806420056	CS08086301	DES & CONS OF WWTF	P C00029581 08-0251-001		500,000
S	11	85	COSTILLA COUNTY BOX 318 SAN LUIS COSTILLA	CO. 81152	CS08076001	UPGRADE OF EXISTING WWTF/TIE-IN WIT	P C00036528 08-0406-001		300,000
S	12	85	HOTCHKISS, TOWN OF P.O. BOX 369 HOTCHKISS DELTA	CO. 81419	CS08071901	DESIGN AND CONST. WWTF	P C00021415 08-0242-001		1,200,000
S	13	85	POWDERHORN METRO DIST. #1 P.O. BOX 370 MESA MESA	CO. 81643	CS08102101	POSSIBLE REGIONALIZATION WITH MESA	P C00023485		3,500,000
S	14	85	ROMEO, TOWN OF P.O. BOX 505 ROMEO CONEJOS	CO. 81148	CS08090901	UPGRADE OF EXISTING WWTF AND 1/1	P NO NUMBER 08-0330-001		100,000
S	17	80	IDAHO SPRINGS, CITY OF BOX 907 IDAHO SPRINGS CLEAR CREEK	CO. 80452	CS08100901	1/1 CORRECTION	08-0283-001		1,700,000

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PGM: GBWPPLR1 10/04/96

GRANTS INFORMATION AND CONTROL SYSTEM  
FISCAL YEAR 1997  
WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	18 80	MANASSA, TOWN OF P.O. BOX 170 MANASSA CO. 81141 CONEJOS	CS08082301	SEWER REHABILITATION	P C00042935 08-0236-001		2,200,000
S	19 75	CENTRAL GRAND VALLEY SD P.O. BOX 40219 GRAND JUNCTION CO. 81504 MESA	CS08104201	INFILTRATION/INFLOW CORRECTION			850,000
S	20 75	EAST GRAND WQB P.O. BOX 3077 FRASER CO. 80442 GRAND	CS08097301	REGIONALIZATION AWT PROJECT	P NO NUMBER 08-0960-601		5,800,000
S	21 75	FRASER SANITATION DISTRICT BOX 120 FRASER CO. 80442 GRAND	CS08094201	CONST. OF AWT FAC./REGIONAL FAC.	P C00020966 08-0204-001		2,400,000
S	22 75	FREMONT COUNTY SID P.O. BOX 9 FLORENCE CO. 81226 FREMONT	CS08102901	PHASE 1 - REGIONALIZATION			3,500,000
S	23 75	GRAND COUNTY W&S #1 P.O. BOX 3077 WINTER PARK CO. 80482 GRAND	CS08094301	CONST. OF AWT FAC/REGIONAL FAC.	P NO NUMBER 08-0203-001		3,000,000
S	24 75	GRAND COUNTY/TABERNASH 308 BYERS HOT SULPHUR SPGS. CO. 80451 GRAND	CS08102601	CONSTRUCTION OF WWTF/COLL. SYSTEM			3,000,000

GRANTS INFORMATION AND CONTROL SYSTEM  
FISCAL YEAR 1997  
WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT NAME, ADDRESS CITY, STATE, ZIP COUNTY	LEGAL ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	25	70	BRIGHTON, CITY OF 22 S. 4TH AVENUE BRIGHTON ADAMS	CO. 80601	CS08096001	EXPANSION OF WWTF-COLL SYS REHAB.	P C00021547 08-0200-001		5,000,000
S	26	70	CENTER SANITATION DISTRICT P.O. BOX 400 CENTER SAGUACHE	CO. 81125	CS08103901	UPGRADE OF WWTF			500,000
S	28	70	ERIE WATER AND SAN. DIST. P.O. BOX 390 ERIE WELD	CO. 80516	CS08071001	UPGRADE OF EXISTING WWTF/ADV TREATM	P C00021831 08-0148-001		6,000,000
S	29	70	GALETON WSD P.O. BOX 331 GALETON WELD	CO. 80622	CS08102401	WWTF UPGRADE/CAPACITY PROBLEMS	P C00043220		200,000
S	30	70	GARDEN VALLEY W&SD P.O. BOX 17626 COLORADO SPGS. EL PASO	CO. 80935	CS08093901	CONNECTION TO COLO SPRG.	P NO NUMBER 08-0323-001		375,000
S	32	70	LA JARA, TOWN OF P.O. BOX 273 LA JARA CONEJOS	CO. 81140	CS08083901	UPGRADE OF EXISTING WWTF/WETLANDS	P C00020150 08-0043-001		400,000
S	34	70	LARKSPUR, TOWN OF 9524 SPRUCE MTN. RD. LARKSPUR DOUGLAS	CO. 801180271	CS08082201	DES & CONS OF WWTF	P C00035891 08-0380-001		1,600,000

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S	35 70	LITTLETON/ENGLEWOOD 2900 S. PLATTE RIVER DR. ENGLEWOOD CO. 80110 DENVER	CS08070202	EXPAND WWTF/CAPACITY PROBLEMS	P C00032999 08-0960-101		5,000,000
S	36 70	LYONS, TOWN OF BOX 49 LYONS CO. 80540 BOULDER	CS08098701	UPGRADE OF WWTF	P C00020877 08-0309-001		1,000,000
S	37 70	MANZANOLA, TOWN OF P.O. BOX 187 MANZANOLA CO. 81058 OTERO	CS08097701	UPGRADE WWTF	P C00023825 08-0187-001		350,000
S	39 70	MONTE VISTA, CITY OF 4 CHICO CAMINO MONTE VISTA CO. 81144 RIO GRANDE	CS08093601	UPGRADE/EXPANSION OF WWTF	P C00023132 08-0003-001		1,500,000
S	40 70	NEDERLAND, TOWN OF P.O. BOX 396 NEDERLAND CO. 80466 BOULDER	CS08087401	UPGRADE OF EXISTING FAC.	P C00020222 08-0152-001		2,500,000
S	41 70	PAGOSA SPRINGS SD BOX 1859 PAGOSA SPRINGS CO. 81147 ARCHULETA	CS08102501	CONSTRUCTION OF WWTF			1,180,941
S	43 70	WINTER PARK W&SD P.O. BOX 7 WINTER PARK CO. 804820007 GRAND	CS08081601	EXPANSION AND UPGRADE OF WWTF	P C00026051 08-0475-001		3,050,000

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S	44 65	BAYFIELD SANITATION DISTRICT P.O. BOX 9 BAYFIELD CO. 811220020 LA PLATA	CS08085201	EXPANSION OF WWTF	P C00020273 08-0050-001		600,000
S	46 65	IGNACIO SANITATION DISTRICT P.O. BOX 367 IGNACIO CO. 81137 LA PLATA	CS08080601	I/I CORRECTION	P C00022853 08-0180-001		350,000
S	47 65	LAS ANIMAS, CITY OF 532 CARSON LAS ANIMAS CO. 81054 BENT	CS08074301	DESIGN AND CONST. WWTF	P C00032484 08-0287-001		1,500,000
S	48 65	MORGAN COUNTY/MORGAN HEIGHTS P.O. BOX 596 FORT MORGAN CO. 80701 MORGAN	CS08081101	DESIGN AND CONST. WWTF	P NO NUMBER 08-0473-001		1,500,000
S	49 65	NEW CASTLE, TOWN OF BOX 166 NEW CASTLE CO. 81647 GARFIELD	CS08100601	UPGRADE WWTF FOR AWT			1,200,000
S	50 65	ORDWAY, TOWN OF 315 MAIN ORDWAY CO. 81063 CROWLEY	CS08095401	UPGRADE OF EXISTING WWTF	P C00034291 08-0183-001		665,000
S	51 65	PAONIA, TOWN OF P.O. BOX 460 PAONIA CO. 81428 DELTA	CS08099301	UPGRADE OF WWTF			3,220,000

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FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	52  65	REDCLIFF, TOWN OF P.O. BOX 40 REDCLIFF EAGLE  CO. 81649	CS08072501	CONS OF NEW WWTF	P C00021385  08-0226-001		4,000,000
S	53  65	ROCKY FORD, CITY OF 203 S. MAIN ST. ROCKY FORD OTERO  CO. 81067	CS08089901	EXPANSION OF EXISTING WWTF/WETLANDS	P C00023850  08-0011-001		500,000
S	54  65	SILVERTHORNE/DILLON_JSA P.O. BOX 1309 SILVERTHORNE SUMMIT  CO. 80498	CS08097901	DESIGN AND CONST. OF EXPANDED WWTF	P C00020826  08-0960-701		5,500,000
S	56  60	ARRIBA, TOWN OF P.O. BOX 113 ARRIBA LINCOLN  CO. 80804	CS08078901	UPGRADE OF EXISTING WWTF	P C00021130  08-0230-001		208,000
S	57  60	BRECKENRIDGE SANITATION DISTRICT P.O. BOX 1216 BRECKENRIDGE SUMMIT  CO. 80424	CS08094101	CONSTRUCTION OF ADDITIONAL FACILITY	P NO NUMBER  08-0273-001		12,700,000
S	58  60	CRESTED BUTTE SOUTH METRO DIST P.O. BOX CRESTED BUTTE GUNNISON  CO. 81224	CS08099001	UPGRADE WWTF	  08-0961-001		1,000,000
S	59  60	EAST RIVER REGIONAL SAN. DIST. P.O. BOX 879 CRESTED BUTTE GUNNISON  CO. 81224	CS08098801	UPGRADE WWTF	P C00040720  08-0960-901		1,000,000

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S	62		PARKER WSD DRAWER 700 PARKER DOUGLAS	CO. 80134	CS08102701	UPGRADE BIOSOLIDS FACILITY/AWT	- -		4,500,000
S	65		BACA GRANDE WSD P.O. BOX 84 CRESTONE SAGUACHE	CO. 81131	CS08096601	UPGRADE OF WWTF	P C00041556 08-0410-001		800,000
S	66		CAMPO, TOWN OF P.O. BOX 124 CAMPO BACA	CO. 81029	CS08091701	CONSTRUCTION OF INTERCEPTORS/SEWERS	P NO NUMBER 08-0296-001		275,000
S	68		CRESTED BUTTE, TOWN OF 308 THIRD, BOX 39 CRESTED BUTTE GUNNISON	CO. 81224	CS08100401	CONST. NEW MECHANICAL WWTF	- -		3,000,000
S	69		E.GUNNISON/CITY OF GUNNISON GUNNISON GUNNISON	CO. 81230	CS08101501	CONNECTION TO GUNNISON	- -		2,000,000
S	70		GEORGETOWN, TOWN OF BOX 426 GEORGETOWN CLEAR CREEK	CO. 80444	CS08099601	CONSTRUCTION OF DIGESTER	- -		380,000
S	71		GLENWOOD SPRINGS, CITY OF 806 COOPER AVENUE GLENWOOD SPGS. GARFIELD	CO. 81601	CS08094601	INTERCEPTOR/SEWER REHAB.	08-0138-001		500,000

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FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	72		LOGAN COUNTY 315 MAIN STERLING LOGAN	CO. 80751	CS08097601 UNSEWERED AREAS/CONNECTION TO STERL	P NO NUMBER		661,375
	55							
S	73		SNYDER SAN. DIST. P.O. BOX 757 SNYDER MORGAN	CO. 80750	CS08084101 CONSTRUCTION OF NEW WWTF	P NO NUMBER		500,000
	55					08-0476-001		
S	74		TRINIDAD, CITY OF P.O. BOX 880 TRINIDAD LAS ANIMAS	CO. 81082	CS08084401 UPGRADE & EXPANSION OF WWTF	P C00031232		6,000,000
	55					08-0256-001		
S	75		BENNETT, TOWN OF 355 4TH ST. BENNETT ADAMS	CO. 80102	CS08081701 UPGRADE OF EXISTING WWTF	P C00025615		22,000
	50					08-0195-001		
S	76		BOULDER, CITY OF P.O. BOX 791 BOULDER BOULDER	CO. 80306	CS08089601 CAPACITY AND SLUDGE MODIFICATIONS	P C00024147		6,000,000
	50					08-0412-001		
S	77		CARBONDALE, TOWN OF 76 SOUTH 2ND ST. CARBONDALE GARFIELD	CO. 81623	CS08094501 UPGRADE/EXPAND WWTF/INTERCEPTOR			2,000,000
	50					08-0257-001		
S	78		COLORADO CITY METROPOLITAN DISTRICT P.O. BOX 390 COLORADO CITY PUEBLO	CO. 81019	CS08096301 EXPANSION/UPGRADE OF WWTF	P C00021121		2,500,000
	50					08-0125-001		

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S	79		CORTEZ SANITATION DISTRICT P.O. BOX 730 CORTEZ MONTEZUMA	CS08085601	CONST. OF SLUDGE FAC./REPLACE LINES	P C00020125		275,000
	50		CO. 813213211			08-0214-001		
S	80		ELBERT WSD P.O. BOX 67 ELBERT ELBERT	CS08085901	UPGRADE OF EXISTING WWTF	P C00582001		100,000
	50		CO. 80106			08-0278-001		
S	81		EVANS, CITY OF 3700 GOLDEN STREET EVANS WELD	CS08095701	EXPANSION OF EXISTING WWTF	P C00020508		610,000
	50		CO. 80620			08-0163-001		
S	82		HILAND ACRES WATER & SAN DIST 9840 E. 157TH AVE. BRIGHTON ADAMS	CS08099701	UPGRADE FACILITY DUE TO NONCOMPLIAN	P C00022594		100,000
	50		CO. 80601					
S	84		SANFORD, TOWN OF P.O. BOX 237 SANFORD CONEJOS	CS08103301	UPGRADE OF WWTF			500,000
	50		CO. 81151					
S	85		VONA, TOWN OF P.O. BOX 86 VONA KIT CARSON	CS08098201	CONSTRUCTION OF WWTF	P C00024520		310,000
	50		CO. 80861			08-0304-001		
S	86		WEST JEFFERSON METRO DIST 390 UNION BLVD, SUITE 400 LAKEWOOD JEFFERSON	CS08090802	EXPAND WWTF FOR GROWTH			6,000,000
	50		CO. 80228					

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S	87 45	BEAR CREEK WSD 2517 S. FLOWER ST. LAKEWOOD CO. 80227 JEFFERSON	CS08103401	CONSTRUCTION OF WWTF/COLL. SYSTEM			463,000
S	89 45	ELIZABETH, TOWN OF BOX 159 ELIZABETH CO. 80107 ELBERT	CS08100501	EXPAND WWTF DUE TO GROWTH			2,500,000
S	90 45	ESTES PARK WSD P.O. BOX 1200 ESTES PARK CO. 80517 LARIMER	CS08080201	EXP. OF EXISTING WWTF AND 1/1 CORRE P C00020290	08-0047-001		100,000
S	92 45	FORT MORGAN/SPANISH COLONY P.O. BOX 100 FORT MORGAN CO. 80701 MORGAN	CS08103201	CONNECTION OF UNSEWERED AREAS			125,000
S	94 45	FRISCO SAN. DIST. P.O. BOX 601 FRISCO CO. 80443 SUMMIT	CS08080902	CONNECTION TO UNSEWERED AREAS	P C00037907 08-0196-001		500,000
S	96 45	LAMAR, CITY OF P.O. BOX 270 LAMAR CO. 810520270 PROWERS	CS08096201	UPGRADE FOR GROUNDWATER DISCHARGE	P C00023671 08-0286-001		800,000
S	97 45	LOCHBUITE, TOWN OF 152 POPLAR BRIGHTON CO. 80601 WELD	CS08101001	DES & CONST OF INTERCEPTOR	08-0320-001		1,000,000

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S	98 45	MERINO, TOWN OF BOX 211 MERINO LOGAN CO. 80741	CS08099501	DESIGN & CONST. WWTF			500,000
S	99 45	NORTH DELTA SAN. DIST. 1562 96TH LANE DELTA DELTA CO. 81416	CS08094001	POSSIBLE REGIONALIZATION	P NO NUMBER 08-0487-001		1,506,697
S	101 45	REDSTONE WSD 0215 REDSTONE BLVD. REDSTONE PITKIN CO. 81623	CS08103501	EXPANSION OF WWTF			1,200,000
S	102 45	RIFLE, CITY OF (SOUTH) BOX 1908 RIFLE GARFIELD CO. 81650	CS08101201	LINE LAGOONS & SLUDGE HANDLING	P C00030970 08-0133-001		650,000
S	103 45	RYE, TOWN OF P.O. BOX 236 RYE PUEBLO CO. 81069	CS08072801	CONNECTION TO COLO CITY MD	P C00041769 08-0185-001		750,000
S	104 45	STERLING, CITY OF CITY HALL - CENTENNIAL SQUARE STERLING LOGAN CO. 80751	CS08087801	UPGRADE WWTF FOR AWT/COLL. SYSTEM	P C00026247 08-0075-001		2,500,000
S	105 45	WALSENBURG, CITY OF 525 S. ALBERT ST. WALSENBURG HUERFANO CO. 81089	CS08098101	UPGRADE OF WWTF	P C00020745 08-0054-001		1,000,000

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S	106  45	WESTMINSTER, CITY OF 4800 W 92ND AVE WESTMINSTER CO. 80030 ADAMS/JEFFERSON	CS08080501	WASTEWATER RE-USE PROJECT	P C00024171  08-0073-003		13,000,000
S	107  40	CHEYENNE WELLS SAN. DIST. P.O. BOX 262 CHEYENNE WELLS CO. 80810 CHEYENNE	CS08074701	DESIGN AND CONST. OF WWTF	P C00041386  08-0231-001		200,000
S	108  40	DACONO, CITY OF 512 CHERRY ST., BOX 186 DACONO CO. 80514 WELD	CS08099901	DESIGN & CONST. INTERCEPTOR, ENLARG			2,000,000
S	109  40	EAGLE, TOWN OF P.O. BOX 609 EAGLE CO. 81631 EAGLE	CS08092901	DESIGN & CONST. WWTF/REFINANCE	P C00021059  08-0277-001		4,014,500
S	110  40	GEM VILLAGE/BAYFIELD SD P.O. BOX 9 BAYFIELD CO. 811220009 LA PLATA	CS08088601	UPGRADE WWTF/SLUDGE REMOVAL	P C00039276  08-0480-001		150,000
S	111  40	HERMOSA SAN. DIST. P.O. BOX 2217 DURANGO CO. 81302 LA PLATA	CS08095801	REGIONALIZATION	P C00040452  08-0960-301		500,000
S	113  40	MT. CRESTED BUTTE/MERIDIAN LAKES P.O. DRAWER E MT. CRESTED BUTTE CO. 81225 GUNNISON	CS08098901	EXPANSION AND UPGRADE OF WWTF			1,000,000

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S	114	40	PAGOSA SPRINGS/KOA BOX 1859 PAGOSA SPRINGS ARCHULETA	CO. 81147	CS08097801	CONNECTION TO PAGOSA SPRINGS	P NO NUMBER		250,000
S	115	40	ST MARYS GLACIER IDAHO SPRINGS CLEAR CREEK	CO. 80452	CS08087902	UPGRADE OF WWTF			1,000,000
S	117	35	AULT, TOWN OF BOX 98 AULT WELD	CO. 80610	CS08101301	CONSTRUCTION OF NEW WWTF	P NO NUMBER		500,000
S	118	35	BROOMFIELD, CITY OF P.O. BOX 1415 BROOMFIELD BOULDER	CO. 80038	CS08097101	WASTEWATER RE-USE PROJECT/SLUDGE	P C00026409 08-0041-001		15,000,000
S	120	35	N.GUNNISON/CITY OF GUNNISON GUNNISON GUNNISON	CO. 81230	CS08101901	CONNECTION TO GUNNISON			100,000
S	121	35	PPACOG/CHEYENNE MTN ZOO 4250 CHEYENNE MTN ZOO RD. COLORADO SPGS. EL PASO	CO. 80906	CS08097201	CONNECTION TO COLO. SPGS.	P C00031917 08-0960-501		650,000
S	122	35	STEAMBOAT SPRINGS, CITY OF P.O. BOX 775088 STEAMBOAT SPRINGS ROUTT	CO. 80477	CS08095102	EXPANSION OF WWTF	P C00035556		5,000,000

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S	123	30	SOUTH DURANGO SAN. DIST. P.O. BOX 5232 DURANGO CO. 81301 LA PLATA	CS08096501	EXPANSION/CONSTRUCTION OF NEW WWTF	P C00041262		1,000,000
S	124	30	SOUTH FT. COLLINS SD 4700 S. COLLEGE AVE. FORT COLLINS CO. 80525 LARIMER	CS08098001	SLUDGE/EXPAND WWTF & REGIONAL PLANN	P C00020737 08-0046-001		2,000,000
S	125	30	SUNSET METRO DIST/ELLCOTT CALHAN CO. 80808 EL PASO	CS08099401	INTERCEPTOR TO SUNSET METRO DIST			100,000
S	127	25	BYERS WSD P.O. BOX 301 BYERS CO. 80103 ARAPAHOE	CS08101401	UPGRADE OF LAGOON SYSTEM			575,000
S	128	25	DOVE CREEK, TOWN OF P.O. BOX 508 DOVE CREEK CO. 81324 DOLORES	CS08100201	NEED PLANT UPGRADE	P C00023434		500,000
S	129	25	EAST EATON WATER AND SANITATION DI P.O. BOX 2193 EATON CO. 80615 WELD	CS08092801	CONSTRUCTION OF INTERCEPTOR/SEWERS	P NO NUMBER 08-0098-001		650,000
S	130	25	FLEMING, TOWN OF BOX 468 FLEMING CO. 80728 LOGAN	CS08101601	EXPANSION AND UPGRADE OF WWTF			385,578

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S	131 25	HOLYOKE, TOWN OF 207 W. DENVER ST. HOLYOKE PHILLIPS CO. 80734	CS08101801	UPGRADE OF WWTF	-	-	655,000
S	132 25	MEAD, TOWN OF P.O. BOX 626 MEAD WELD CO. 80542	CS08103101	UPGRADE OF WWTF	-	-	150,000
S	133 25	MONTE VISTA VETERANS CTR WWTF 4 CHICO CAMINO MONTE VISTA RIO GRAND CO. 81144	CS08098301	UPGRADE WWTF	P C00036927 08-0960-801		500,000
S	137 25	SEIBERT, TOWN OF P.O. BOX 114 SEIBERT KIT CARSON CO. 808340114	CS08087601	UPGRADE OF EXISTING WWTF	P C00032972 08-0308-001		50,000
S	140 25	SOUTH FORK WSD P.O. BOX 303 SOUTH FORK RIO GRANDE CO. 81154	CS08103801	REHABILITATION OF WWTF	-	-	150,000
S	141 25	SPRINGFIELD, TOWN OF 748 MAIN STREET SPRINGFIELD BACA CO. 81073	CS08103601	EXTENSION OF SEWER LINE	-	-	1,000,000
S	143 25	SUPERIOR METRO DIST. #1 124 E. COAL CREEK DR. SUPERIOR BOULDER CO. 80027	CS08101101	DES & CONST OF LIFT STATION	-	-	700,000

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S	146 25	WATTENBURG, TOWN OF C/O WELD CO.-1400 N 17TH AVE GREELEY CO. 80631 WELD	CS08092601	CONSTRUCTION OF NEW WWTF	P NO NUMBER 08-0485-001		1,000,000
S	147 20	DEL NORTE, TOWN OF 565 PINE STREET DEL NORTE CO. 81132 RIO GRANDE	CS08099101	UPGRADE WWTF			500,000
S	148 15	CASTLE PINES NORTH METRO DISTRICT 482 HAPPY CANYON ROAD CASTLE ROCK CO. 80104 DOUGLAS	CS08094801	UPGRADE PUMP STATION/TRUNK LINES	P NO NUMBER 08-0488-001		385,000
N	1 120	CLEAR CREEK/ARAPAHOE PARK EMPIRE CLEAR CREEK CO. 80438	CS08100701	CONST. INTERCEPTOR	P NO NUMBER		800,000
N	15 80	BLACKHAWK/CENTRAL CITY P.O. BOX 85 CENTRAL CITY CO. 804220085 CLEAR CREEK	CS08085401	CONS OF NEW WWTF	P C00023949 08-0272-001		25,000,000
N	16 80	FT COLLINS/PHASE III 700 WOOD STREET-P.O. BOX 580 FT COLLINS CO. 805220580 LARIMER	CS08080802	CONSTRUCTION OF REG. FAC.	P NO NUMBER 08-0037-001		50,000,000
N	27 70	DOUGLAS COUNTY/LOUVIERS P.O. BOX 121 LOUVIERS CO. 80131 DOUGLAS	CS08093801	UPGRADE OF EXISTING WWTF	P C00027359 08-0288-001		350,000

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FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT NAME, ADDRESS CITY, STATE, ZIP COUNTY	LEGAL ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	31	70	JOES, TOWN OF P.O. BOX 92 JOES YUMA	CO. 80822	CS08084301	CONSTRUCTION OF NEW WWTF	P NO NUMBER		350,000
N	33	70	LARIMER COUNTY/REGIONAL PLAN P.O. BOX 1190 FT. COLLINS LARIMER	CO. 80522	CS08098601	REGIONALIZATION WITH FT. COLLINS			15,000,000
N	38	70	MESA WSD P.O. BOX 213 MESA MESA	CO. 81643	CS08102801	UPGRADE OF WWTF/POSSIBLE REGIONALIZ			3,000,000
N	42	70	RICO, TOWN OF 1580 LINCOLN, SUITE 900 DENVER DOLORES	CO. 80203	CS08083701	CONSTRUCTION OF NEW WWTF/COLL. SYST	P C00029793		1,000,000
N	45	65	BERKELEY WATER AND SAN. DIST. 5445-B FEDERAL BLVD DENVER ADAMS	CO. 80221	CS08076201	FAILING SEPTICS/COLL SYSTEM UPGRADE	P NO NUMBER 08-0129-001		361,000
N	55	60	AGUILAR, TOWN OF P.O. BOX 538 AGUILAR LAS ANIMAS	CO. 81020	CS08096901	CONSTRUCTION FOR GROUNDWATER DISCHA	P C00033065		200,000
N	60	60	GYPSUM, TOWN OF P.O. BOX 130 GYPSUM EAGLE	CO. 81637	CS08093301	UPGRADE OF EXISTING WWTF	P NO NUMBER 08-0225-001		450,000

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GRANTS INFORMATION AND CONTROL SYSTEM  
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 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	61	NORTH LAMAR SAN. DIST. P.O. BOX 1771 LAMAR CO. 81052	CS08093701	CONSTRUCTION OF ADDL. PRESSURE SEWE P	NO NUMBER		250,000
	60	PROWERS					
N	63	ROUIT COUNTY/HAHN'S PEAK CO.	CS08103701	CONNECTION TO CENTRAL SYSTEM			1,000,000
	60	ROUIT					
N	64	ATWOOD, TOWN OF 16373 US HIGHWAY 6 ATWOOD CO. 80722	CS08091801	CONSTRUCTION OF NEW WWTF	P NO NUMBER		750,000
	55	LOGAN					
N	67	COPE, TOWN OF COPE CO. 80812	CS08091501	CONSTRUCTION OF NEW WWTF	P NO NUMBER		750,000
	55	WASHINGTON					
N	83	MOFFAT, TOWN OF P.O. BOX 353 MOFFAT CO. 81143	CS08083801	CONSTRUCTION OF NEW WWTF	P C00037621 08-0356-001		500,000
	50	SAGUACHE					
N	88	CRESTONE, TOWN OF 199 NORTH ALDER STREET CRESTONE CO. 81131	CS08093501	CONSTRUCTION OF NEW WWTF	P NO NUMBER 08-0338-001		500,000
	45	SAGUACHE					
N	91	FORT GARLAND WSD P.O. BOX 309 FT GARLAND CO. 81133	CS08095001	CONSTRUCTION OF NEW WWTF			500,000
	45	COSTILLA			08-0239-001		

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 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	93 45	FOWLER, TOWN OF 114 E. CRANSTON AVENUE FOWLER CO. 81039 OTERO	CS08101701	EXPANSION OF WWTF	-	-	500,000
N	95 45	LA JUNTA, CITY OF BOX 489 LA JUNTA CO. 81050 OTERO	CS08100801	NEED TO RE-LINE LAGOONS	-	-	500,000
N	100 45	PINON WATER AND SAN. DIST. RT. 1 BOX 426 FF TRINIDAD CO. 81082 LAS ANIMAS	CS08077901	UPGRADE OF EXISTING WWTF	P NO NUMBER 08-0313-001	-	300,000
N	112 40	MONTEZUMA, TOWN OF P.O. BOX 1476 DILLON CO. 80435 SUMMIT	CS08081401	CONSTRUCTION OF NEW WWTF	P NO NUMBER	-	1,000,000
N	116 40	UTE PASS AREA-CHIPETA/CASCADE/GREE P.O. BOX 524 GREEN MTN FALLS CO. 80819 EL PASO	CS08092701	CONSTRUCTION OF NEW WWTF	P NO NUMBER	-	1,000,000
N	119 35	FORT LUPTON, CITY OF 130 SO. MCKINLEY AVENUE FT. LUPTON CO. 80621 WELD	CS08095201	SYSTEM CHANGE; WASTEWATER RE-USE FO	08-0126-001	-	100,000
N	126 25	BURLINGTON, TOWN OF 415 15TH STREET BURLINGTON CO. 80807 KIT CARSON	CS08104301	EXPAND/UPGRADE WWTF	-	-	250,000



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 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	134  25	MOSCA GENERAL IMPROVEMENT DISTRICT P.O. BOX 178 ALAMOSA ALAMOSA CO. 81101	CS08091401	CONSTRUCTION OF NEW WWTF	P NO NUMBER		300,000
N	135  25	NORTH WALSENBURG  WALSENBURG HUERFANO CO. 81089	CS08102001	CONNECTION TO WALSENBURG/NEW WWTF			400,000
N	136  25	PENROSE/FREMONT COUNTY  PENROSE FREMONT CO. 81240	CS08104001	UPGRADE OF WWTF			500,000
N	138  25	SEVERANCE, TOWN OF BOX 122 SEVERANCE WELD CO. 80546	CS08102301	UPGRADE OF WWTF			200,000
N	139  25	SILVER HILLS SD P.O. BOX 378 LEADVILLE LAKE CO. 80461	CS08087701	CONNECTION TO LEADVILLE	P NO NUMBER		150,000
N	142  25	STARKVILLE, TOWN OF RTE. 1, P.O. BOX 407 STARKVILLE LAS ANIMAS CO. 81082	CS08083501	REGIONALIZATION TO TRINIDAD	P NO NUMBER 08-0350-001		100,000
N	144  25	SYLVAN LAKES W&SD P.O. BOX 156 LEADVILLE LAKE CO. 80461	CS08088001	CONSTRUCTION OF NEW WWTF	P NO NUMBER 08-0325-001		100,000

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FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	145  25	THREE LAKES WSD P.O. BOX 899 GRAND LAKE CO. 80447 GRAND	CS08102201	UPGRADE WWTF FOR PHOSPHORUS			8,000,000

APPENDIX A-1  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1997

<u>No. of Projects</u>	<u>Funding Status**</u>	<u>Entity</u>	<u>County</u>
1	S	Allenspark Water & Sanitation District	Boulder
2	T	Arapahoe County Water & Wastewater Authority	Arapahoe
3	U	Arriba, Town of	Lincoln
4	U	Ault, Town of	Weld
5	U	Baca Grande Water & San District	Saguache
6	T	Bayfield Sanitation District	La Plata
7	U	Bear Creek WSD	Jefferson
8	U	Bennett, Town of	Adams
9	U	Boulder, City of	Boulder
10	T	Breckenridge Sanitation District	Summit
11	S	Brighton, City of	Adams
12	U	Broomfield, City of	Jeff/Bldr/Adms/Weld
13	T	Buena Vista Sanitation District	Chaffee
14	U	Byers WSD	Arapahoe
15	T	Campo, Town of	Baca
16	U	Carbondale, Town of	Garfield
17	U	Castle Pines North Metro District	Douglas
18	U	Center WSD	Saguache
19	U	Central Grand Valley SD	Mesa
20	U	Cheyenne Wells Sanitation District	Cheyenne
21	U	Colorado City Metro District	Pueblo
22	U	Cortez Sanitation District	Montezuma
23	T	Costilla County Water & Sanitation District	Costilla
24	S	Crested Butte South Metro District	Gunnison
25	S	Crested Butte, Town of	Gunnison
26	U	Dacono, City of	Weld
27	U	Del Norte, Town of	Rio Grande
28	U	Dove Creek, Town of	Dolores
29	S	Eagle, Town of	Eagle
30	U	East Eaton Water & Sanitation District	Weld
31	U	East Grand Water Quality Board	Grand
32	T	East River Regional Sanitation District	Gunnison
33	U	Elbert Water & Sanitation District	Elbert
34	U	Elizabeth, Town of	Elbert
35	U	Erie Water & Sanitation District	Boulder/Weld
36	U	Estes Park WSD	Larimer
37	U	Evans, Town of	Weld
38	U	Fleming, Town of	Logan
39	U	Fort Morgan/Spanish Colony	Morgan
40	S	Fountain Sanitation District	El Paso
41	U	Fraser Sanitation District	Grand
42	T	Fremont County Special Improvement District	Fremont
43	U	Frisco Sanitation District	Summit
44	U	Galeton Water & San District	Adams
45	T	Garden Valley Water & San District	El Paso

APPENDIX A-1 (Continued)  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1997

<u>No. of Projects</u>	<u>Funding Status**</u>	<u>Entity</u>	<u>County</u>
46	U	Georgetown, Town of	Clear Creek
47	U	Glenwood Springs, City of	Garfield
48	U	Grand County No. 1	Grand
49	U	Grand County/Tabernash	Grand
50	U	Hermosa Sanitation District	La Plata
51	U	Hiland Acres Water & Sanitation District	Adams
52	U	Holyoke, City of	Phillips
53	S	Hotchkiss, Town of	Delta
54	T	Hudson Sanitation District	Weld
55	T	Idaho Springs, City of	Clear Creek
56	T	Ignacio Sanitation District	La Plata
57	U	Johnson's Village Special Improvement District	Chaffee
58	U	La Jara, Town of	Conejos
59	U	Lamar, City of	Prowers
60	U	Larkspur, Town of	Douglas
61	T	Las Animas, City of	Bent
62	U	Littleton/Englewood	Arapahoe
63	U	Lochbuie, Town of	Weld
64	U	Logan County	Logan
65	T	Lyons, Town of	Boulder
66	S	Manassa, Town of	Conejos
67	S	Manzanola, Town of	Otero
68	U	Mead, Town of	Weld
69	U	Merino, Town of	Logan
70	U	Metro Denver Reclamation, Phase II	Adams
71	U	Metro Denver Reclamation, Phase III	Adams
72	T	Monte Vista, City of	Rio Grande
73	U	Monte Vista Veterans Center WWTF	Rio Grande
74	T	Morgan Cnty/Morgan Hghts (Country Club Park Met D)	Morgan
75	S	Mt. Crested Butte/Meridian Lakes	Gunnison
76	U	Nederland, Town of	Boulder
77	U	New Castle, Town of	Garfield
78	U	North Delta Sanitation District	Delta
79	S	Ordway, Town of	Crowley
80	U	Pagosa Springs/KOA	Archuleta
81	U	Pagosa Springs San District	Archuleta
82	U	Palisade, Town of	Mesa
83	T	Paonia, Town of	Delta
84	U	Parker Water & Sanitation District	Douglas
85	T	Pikes Peak Area Council of Govt/Cheyenne Mt. Zoo	El Paso
86	U	Powderhorn Metro District #1	Mesa
87	T	Redcliff, Town of	Eagle
88	U	Rifle, City of (South)	Garfield
89	U	Rocky Ford, City of	Otero
90	U	Romeo, Town of	Conejos

APPENDIX A-1 (Continued)  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1997

<u>No. of Projects</u>	<u>Funding Status**</u>	<u>Entity</u>	<u>County</u>
91	U	Rye, Town of	Pueblo
92	U	Sanford, Town of	Conejos
93	U	Seibert, Town of	Kit Carson
94	U	Silt, Town of	Garfield
95	U	Silverthorne/Dillon	Summit
96	S	Snyder Sanitation District	Morgan
97	U	South Durango San District	La Plata
98	T	South Fort Collins Sanitation District	Larimer
99	U	St. Mary Glacier	Clear Creek
100	U	Steamboat Springs, City of (WWTF)	Routt
101	U	Sterling, City of	Logan
102	T	Sunset Metro District/Ellicott School District	El Paso
103	U	Superior Metro Dist #1	Boulder
104	U	Trinidad, City of	Las Animas
105	S	Vona, Town of	Kit Carson
106	U	Walsenburg, City of	Huerfano
107	U	Wattensburg, Town of	Weld
108	U	West Jefferson Metro District	Jefferson
109	T	Westminster, City of	Adams/Jeff/Boulder
110	S	Winter Park Water & Sanitation District	Grand

\*\*

- S = Approved facility plan; ready for funding  
 T = Facility plan/feasibility study submitted for review; ready for funding during current fiscal year  
 U = Facility plan/feasibility study expected to be submitted and ready for funding during current fiscal year

Additions to the 97 Eligibility List include:

- |                                  |                              |
|----------------------------------|------------------------------|
| 1. Ault, Town of                 | 20. Holyoke, City of         |
| 2. Bear Creek WSD                | 21. Johnson's Village SID    |
| 3. Byers, Town of                | 22. Logan County             |
| 4. Carbondale, Town of           | 23. Mead, Town of            |
| 5. Center San Dist               | 24. Merino, Town of          |
| 6. Central Grand Valley San Dist | 25. New Castle, Town of      |
| 7. Del Norte, Town of            | 26. Pagosa Springs/KOA       |
| 8. Eagle, Town of                | 27. Pagosa Springs San Dist  |
| 9. Elizabeth, Town of            | 28. Parker WSD               |
| 10. Estes Park WSD               | 29. Powderhorn Metro Dist #1 |
| 11. Fleming, Town of             | 30. Redcliff, Town of        |
| 12. Ft. Morgan Spanish Colony    | 31. Redstone WSD             |
| 13. Fraser Sanitation District   | 32. Rocky Ford, City of      |
| 14. Fremont County SID           | 33. Sanford, Town of         |
| 15. Galeton Water & San Dist     | 34. South Durango San Dist   |
| 16. Glenwood Springs, City of    | 35. South Fork WSD           |
| 17. Grand County/Tabernash       | 36. Springfield, Town of     |
| 18. Gunnison, City of (North)    | 37. St. Marys Glacier        |
| 19. Gunnison, City of ( East )   | 38. Superior Metro Dist #1   |

APPENDIX A-1 (Continued)  
COLORADO PROJECT ELIGIBILITY LIST  
FISCAL YEAR 1997

Deletions due to completed projects, projects being funded by other resources, name/project change, or facilities in compliance include:

1. Bent County
2. Coal Creek/Williamsburg
3. Chaffee County/Johnsons Village
4. Colorado Springs
5. Crawford, Town of
6. Delta/Garnett Mesa
7. Estes Park, Town of
8. Flagler San District
9. Fort Collins
10. Fort Morgan
11. Fraser, Town of
12. Fremont San Dist
13. Gem Village San Dist
14. Greeley, City of
15. Gunnison Cnty/Antelope Hills
16. Kiowa, Town of
17. Log Lane Village, Town of
18. Marble, Town of
19. Mesa County/Rosevale Rd
20. Metro Denver Rec Dist-Phase IV
21. Montrose County/Springs Creek Estates
22. Montrose County/Springs Creek Mesa
23. Orchard City, Town of
24. Parachute, Town of
25. Redcliff Water & San Dist
26. Rockvale
27. San Juan River Village Metro Dist
28. San Miguel County/Last Dollar HOA
29. Silt, Town of
30. Teller County WQMA
31. Upper Eagle Valley
32. Weld County/Regional
33. West Glenwood Springs San Dist

APPENDIX B  
PROJECTED WPCRF LOAN FOR 1997

LOAN #	NAME AMOUNT TARGET	BINDING DATE TARGET	BINDING DESCRIPTION	PROJECT FUNDS	FED FY
08096801	Breckenridge Sanitation District	8,000,000	10/01/97	Const of WWTF	95
08097101	Broomfield, City of	12,500,000	12/01/96	Wastewater Reuse Project	94
08099001	Crested Butte South Metro District	500,000	01/01/97	Upgrade WWTF	94
08092901	Eagle, Town of	3,000,000	06/01/97	Const of WWTF	95
08071001	Erie Water & Sanitation District	1,000,000	06/01/97	Upgrade WWTF for AWT	95
08093901	Garden Valley	150,000	06/01/97	Connect to Colorado Springs	95
08071901	Hotchkiss, Town of	1,200,000	06/01/97	Des & Const of WWTF	95
08086301	Hudson Sanitation District	1,500,000	06/01/97	Des & Const of WWTF	95
08074301	Las Animas, City of	100,000	06/01/97	Des & Const of WWTF	94
08070202	Littleton/Englewood	5,000,000	10/01/97	Upgrade WWTF for Capacity	95
08098701	Lyons, Town of	1,000,000	12/01/96	Expand WWTF	95
08093601	Monte Vista, City of	510,000	10/01/97	Const of Biosolids Facilities	95
08098301	Monte Vista Veterans Center WWTF	500,000	10/01/97	Upgrade WWTF	95
08099301	Paonia, Town of	3,220,000	06/01/97	Upgrade WWTF	94
08097201	PPACOG/Cheyenne Mt. Zoo	500,000	04/01/97	Connect to Colorado Springs	94
08098001	South Fort Collins San Dist	2,000,000	06/01/97	Sludge/Expand WWTF & Reg. Plan	95
08080501	Westminster, City of	11,300,000	12/01/96	Wastewater Reuse Project	95
Total Eligible Cost Commitment		\$51,980,000			

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5.17.8(2) STATEMENT OF BASIS, SPECIFIC STATUTORY AUTHORITY, AND PURPOSE  
(1996 REVISIONS)

The provisions of sections 25-8-202(1)(e) and (g); 25-8-308(1)(d); 37-95-107.6(4) C.R.S., provide the specific statutory authority for adoption of the attached regulatory amendments. The Commission also adopted, in compliance with sections 24-4-103(4) C.R.S., the following statement of basis and purpose.

BASIS AND PURPOSE:

The Intended Use Plan (IUP) is presented to the Water Quality Control Commission each year for agency action and public comment. The plan describes the intent of the Water Pollution Control Revolving Fund (WPCRF) for providing financial assistance to governmental agencies.

Attached to the 1997 IUP as Appendix A is the statewide project priority list showing the current construction needs for point source wastewater treatment. Also attached as Appendix A-1 is the 1997 Eligibility List additions and modifications. The Eligibility List consists of projects from the priority list that have or will have approved facility plans or feasibility studies during the 1997 funding year. Attachment B to the IUP is a chart depicting projects that are expected to be funded from the WPCRF and includes their target date for a binding commitment.

The Commission held a formal public meeting on October 7, 1996 to adopt the 1997 IUP.



# STATE OF COLORADO

Roy Romer, Governor  
Patti Shwayder, Executive Director

## WATER QUALITY CONTROL COMMISSION

WQCC-CC-B2  
4300 Cherry Creek Drive South  
Denver, Colorado 80222-1530  
Phone (303) 692-3520



Colorado Department  
of Public Health  
and Environment

### NOTICE OF FINAL ADOPTION

PURSUANT to the provisions of sections 24-4-103(6) and 24-4-103(11)(a), C.R.S.

NOTICE IS HEREBY GIVEN that the Colorado Water Quality Control Commission, after a public rulemaking process complying with the provisions of 24-4-103 C.R.S., amended on January 13, 1997, pursuant to 25-8-202(1)(e), (g); 25-8-308(1)(d) and 37-95-107.6(4), C.R.S., and Section 2.1.3 of the "Procedural Rules" the regulation entitled:

"State of Colorado Fiscal Year - 1997 Intended Use Plan" 5.17.8 (5 CCR 1002-24)

Providing for emergency addition of the Town of Cheraw and the City of Fruita to the 1997 Intended Use Plan.

Also, pursuant to 24-4-103(8)(b), C.R.S., this regulation was submitted to the Attorney General for review and was found to be within the authority of the Water Quality Control Commission to promulgate, and further that there are no apparent constitutional deficiencies in its form or substance. Furthermore, in adopting this regulation the Commission also incorporated a Statement of Findings Regarding Basis for the Emergency Rule in compliance with 24-4-103(6), C.R.S.

This regulation will be submitted to the Office of Legislative Legal Services within twenty (20) days after the date of the Attorney General's Opinion, pursuant to 24-4-103(8)(d), C.R.S., and to the Secretary of State in time for February, 1997 publication in the Colorado Register pursuant to 24-4-103(5) and (11)(d), C.R.S. This action is effective immediately and shall remain in effect on an emergency basis until April 12, 1997.

A copy of this regulation is attached and made a part of this notice.\*

Dated this 11st day of January, 1997, at Denver, Colorado.

WATER QUALITY CONTROL COMMISSION

  
Paul D. Frohardt, Administrator

\*A copy of this regulation  
is available at a charge of \$2.00  
pursuant to 24-4-103(9), C.R.S.

PGH: GBWPPLR1 01/16/97

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FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	146 25	WATTENBURG, TOWN OF C/O WELD CO.-1400 N 17TH AVE GREELEY CO. 80631 WELD	CS08092601	CONSTRUCTION OF NEW WWTF	P NO NUMBER 08-0485-001		1,000,000
S	147 20	DEL NORTE, TOWN OF 565 PINE STREET DEL NORTE CO. 81132 RIO GRANDE	CS08099101	UPGRADE WWTF			500,000
S	148 15	CASTLE PINES NORTH METRO DISTRICT 482 HAPPY CANYON ROAD CASTLE ROCK CO. 80104 DOUGLAS	CS08094801	UPGRADE PUMP STATION/TRUNK LINES	P NO NUMBER 08-0488-001		385,000
S	149 20	BERTHOUD, TOWN OF BOX 1229 BERTHOUD CO. 80513 LARIMER	CS08104401	CONSTRUCTION OF SLUDGE FAC.			1,500,000
S	150 20	THORNTON, CITY OF P.O. BOX 291220 THORNTON CO. 80229 ADAMS	CS08104501	CONSTRUCTION OF INTERCEPTOR			350,000
S	151 20	CHERAW, TOWN OF BOX 116 CHERAW CO. 81030 OTERO	CS08104601	UPGRADE OF WWTF	P		200,000
S	152 20	FRUITA/DOWNER SUBDIVISION 101 W. MCCUNE AVENUE FRUITA CO. 81521 MESA	CS08104701	CONNECTION TO FRUITA	P		210,000

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FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	HPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	1	120	CLEAR CREEK/ARAPAHOE PARK EMPIRE CLEAR CREEK CO. 80438	CS08100701	CONST. INTERCEPTOR	P NO NUMBER		800,000
N	15	80	BLACKHAWK/CENTRAL CITY P.O. BOX 85 CENTRAL CITY CLEAR CREEK CO. 804220085	CS08085401	CONS OF NEW WWTF	P C00023949 08-0272-001		25,000,000
N	16	80	FT COLLINS/PHASE III 700 WOOD STREET-P.O. BOX 580 FT COLLINS LARIMER CO. 805220580	CS08080802	CONSTRUCTION OF REG. FAC.	P NO NUMBER 08-0037-001		50,000,000
N	27	70	DOUGLAS COUNTY/LOUVIERS P.O. BOX 121 LOUVIERS DOUGLAS CO. 80131	CS08093801	UPGRADE OF EXISTING WWTF	P C00027359 08-0288-001		350,000
N	31	70	JOES, TOWN OF P.O. BOX 92 JOES YUMA CO. 80822	CS08084301	CONSTRUCTION OF NEW WWTF	P NO NUMBER		350,000
N	33	70	LARIMER COUNTY/REGIONAL PLAN P.O. BOX 1190 FT. COLLINS LARIMER CO. 80522	CS08098601	REGIONALIZATION WITH FT. COLLINS			15,000,000
N	38	70	MESA WSD P.O. BOX 213 MESA MESA CO. 81643	CS08102801	UPGRADE OF WWTF/POSSIBLE REGIONALIZ			3,000,000

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GRANTS INFORMATION AND CONTROL SYSTEM  
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FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	42 70	RICO, TOWN OF 1580 LINCOLN, SUITE 900 DENVER CO. 80203 DOLORES	CS08083701	CONSTRUCTION OF NEW WWTF/COLL. SYST P	C00029793 -		1,000,000
N	45 65	BERKELEY WATER AND SAN. DIST. 5445-B FEDERAL BLVD DENVER CO. 80221 ADAMS	CS08076201	FAILING SEPTICS/COLL SYSTEM UPGRADE P	NO NUMBER 08-0129-001		361,000
N	55 60	AGUILAR, TOWN OF P.O. BOX 538 AGUILAR CO. 81020 LAS ANIMAS	CS08096901	CONSTRUCTION FOR GROUNDWATER DISCHA P	C00033065 -		200,000
N	60 60	GYPSUM, TOWN OF P.O. BOX 130 GYPSUM CO. 81637 EAGLE	CS08093301	UPGRADE OF EXISTING WWTF	P NO NUMBER 08-0225-001		450,000
N	61 60	NORTH LAMAR SAN. DIST. P.O. BOX 1771 LAMAR CO. 81052 PROWERS	CS08093701	CONSTRUCTION OF ADDL. PRESSURE SEWE P	NO NUMBER -		250,000
N	63 60	ROUIT COUNTY/HAHN'S PEAK CO. ROUTE	CS08103701	CONNECTION TO CENTRAL SYSTEM	-		1,000,000
N	64 55	ATWOOD, TOWN OF 16373 US HIGHWAY 6 ATWOOD CO. 80722 LOGAN	CS08091801	CONSTRUCTION OF NEW WWTF	P NO NUMBER -		750,000

GRANTS INFORMATION AND CONTROL SYSTEM  
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 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	67		COPE, TOWN OF	CS08091501	CONSTRUCTION OF NEW WWTF	P NO NUMBER		750,000
	55		COPE WASHINGTON CO. 80812			- -		
N	83		MOFFAT, TOWN OF P.O. BOX 353 MOFFAT SAGUACHE	CS08083801	CONSTRUCTION OF NEW WWTF	P C00037621		500,000
	50		MOFFAT SAGUACHE CO. 81143			08-0356-001		
N	88		CRESTONE, TOWN OF 199 NORTH ALDER STREET CRESTONE SAGUACHE	CS08093501	CONSTRUCTION OF NEW WWTF	P NO NUMBER		500,000
	45		CRESTONE SAGUACHE CO. 81131			08-0338-001		
N	91		FORT GARLAND WSD P.O. BOX 309 FT GARLAND COSTILLA	CS08095001	CONSTRUCTION OF NEW WWTF			500,000
	45		FT GARLAND COSTILLA CO. 81133			08-0239-001		
N	93		FOWLER, TOWN OF 114 E. CRANSTON AVENUE FOWLER OTERO	CS08101701	EXPANSION OF WWTF			500,000
	45		FOWLER OTERO CO. 81039			- - -		
N	95		LA JUNTA, CITY OF BOX 489 LA JUNTA OTERO	CS08100801	NEED TO RE-LINE LAGOONS			500,000
	45		LA JUNTA OTERO CO. 81050			- - -		
N	100		PINON WATER AND SAN. DIST. RT. 1 BOX 426 FF TRINIDAD LAS ANIMAS	CS08077901	UPGRADE OF EXISTING WWTF	P NO NUMBER		300,000
	45		TRINIDAD LAS ANIMAS CO. 81082			08-0313-001		

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 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	112 40	MONTEZUMA, TOWN OF P.O. BOX 1476 DILLON CO. 80435 SUMMIT	CS08081401	CONSTRUCTION OF NEW WWTF	P NO NUMBER - -		1,000,000
N	116 40	UTE PASS AREA-CHIPETA/CASCADE/GREE P.O. BOX 524 GREEN MTN FALLS CO. 80819 EL PASO	CS08092701	CONSTRUCTION OF NEW WWTF	P NO NUMBER - -		1,000,000
N	119 35	FORT LUPTON, CITY OF 130 SO. MCKINLEY AVENUE FT. LUPTON CO. 80621 WELD	CS08095201	SYSTEM CHANGE; WASTEWATER RE-USE FO	08-0126-001		100,000
N	126 25	BURLINGTON, TOWN OF 415 15TH STREET BURLINGTON CO. 80807 KIT CARSON	CS08104301	EXPAND/UPGRADE WWTF	- -		250,000
N	134 25	MOSCA GENERAL IMPROVEMENT DISTRICT P.O. BOX 178 ALAMOSA CO. 81101 ALAMOSA	CS08091401	CONSTRUCTION OF NEW WWTF	P NO NUMBER - -		300,000
N	135 25	NORTH WALSENBURG WALSENBURG CO. 81089 HUERFANO	CS08102001	CONNECTION TO WALSENBURG/NEW WWTF	- -		400,000
N	136 25	PENROSE/FREMONT COUNTY PENROSE CO. 81240 FREMONT	CS08104001	UPGRADE OF WWTF	- -		500,000

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GRANTS INFORMATION AND CONTROL SYSTEM  
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 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	138	25	SEVERANCE, TOWN OF BOX 122 SEVERANCE WELD CO. 80546	CS08102301	UPGRADE OF WWTF	-	-	200,000
N	139	25	SILVER HILLS SD P.O. BOX 378 LEADVILLE LAKE CO. 80461	CS08087701	CONNECTION TO LEADVILLE	P NO NUMBER	-	150,000
N	142	25	STARKVILLE, TOWN OF RTE. 1, P.O. BOX 407 STARKVILLE LAS ANIMAS CO. 81082	CS08083501	REGIONALIZATION TO TRINIDAD	P NO NUMBER 08-0350-001	-	100,000
N	144	25	SYLVAN LAKES W&SD P.O. BOX 156 LEADVILLE LAKE CO. 80461	CS08088001	CONSTRUCTION OF NEW WWTF	P NO NUMBER 08-0325-001	-	100,000
N	145	25	THREE LAKES WSD P.O. BOX 899 GRAND LAKE GRAND CO. 80447	CS08102201	UPGRADE WWTF FOR PHOSPHORUS	-	-	8,000,000

# STATE OF COLORADO

Roy Romer, Governor  
Patti Shwayder, Executive Director

**WATER QUALITY CONTROL COMMISSION**  
WQCC-CC-82  
4300 Cherry Creek Drive South  
Denver, Colorado 80222-1530  
Phone (303) 692-3520



Colorado Department  
of Public Health  
and Environment

## NOTICE OF FINAL ADOPTION

PURSUANT to the provisions of sections 24-4-103(5) and 24-4-103(11)(a), C.R.S.

NOTICE IS HEREBY GIVEN that the Colorado Water Quality Control Commission, after a public rulemaking process complying with the provisions of 24-4-103 C.R.S., amended on January 13, 1997, pursuant to 25-8-202(1)(e), (g); 25-8-308(1)(d) and 37-95-107.6(4), C.R.S., and Section 2.1.3 of the "Procedural Rules" the regulation entitled:

"State of Colorado Fiscal Year - 1997 Intended Use Plan" 5.17.8 (5 CCR 1002-24)

Adding the Town of Berthoud and the City of Thornton to the FY97 list and adding Erie Water and Sanitation District to the deletion list.

Also, pursuant to 24-4-103(8)(b), C.R.S., this regulation was submitted to the Attorney General for review and was found to be within the authority of the Water Quality Control Commission to promulgate, and further that there are no apparent constitutional deficiencies in its form or substance. Furthermore, in adopting this regulation the Commission also adopted a general Statement of Basis, Specific Statutory Authority, and Purpose in compliance with 24-4-103(4), C.R.S.

This regulation will be submitted to the Office of Legislative Legal Services within twenty (20) days after the date of the Attorney General's Opinion, pursuant to 24-4-103(8)(d), C.R.S., and to the Secretary of State in time for February, 1997 publication in the Colorado Register pursuant to 24-4-103(5) and (11)(d), C.R.S., and will become effective March 2, 1997.

A copy of this regulation is attached and made a part of this notice.\*

Dated this 21st day of January, 1997, at Denver, Colorado.

WATER QUALITY CONTROL COMMISSION

  
Paul D. Frohardt, Administrator

\*A copy of this regulation  
is available at a charge of \$2.00  
pursuant to 24-4-103(9), C.R.S.



APPENDIX A-1  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1997

<u>No. of Projects</u>	<u>Funding Status**</u>	<u>Entity</u>	<u>County</u>
1	S	Allenspark Water & Sanitation District	Boulder
2	T	Arapahoe County Water & Wastewater Authority	Arapahoe
3	U	Arriba, Town of	Lincoln
4	U	Ault, Town of	Weld
5	U	Baca Grande Water & San District	Saguache
6	T	Bayfield Sanitation District	La Plata
7	U	Bear Creek WSD	Jefferson
8	U	Bennett, Town of	Adams
9	U	Berthoud, Town of	Larimer
10	U	Boulder, City of	Boulder
11	T	Breckenridge Sanitation District	Summit
12	S	Brighton, City of	Adams
13	U	Broomfield, City of	Jeff/Bldr/Adms/Weld
14	T	Buena Vista Sanitation District	Chaffee
15	U	Byers WSD	Arapahoe
16	T	Campo, Town of	Baca
17	U	Carbondale, Town of	Garfield
18	U	Castle Pines North Metro District	Douglas
19	U	Center WSD	Saguache
20	U	Central Grand Valley SD	Mesa
21	U	Cheyenne Wells Sanitation District	Cheyenne
22	U	Colorado City Metro District	Pueblo
23	U	Cortez Sanitation District	Montezuma
24	T	Costilla County Water & Sanitation District	Costilla
25	S	Crested Butte South Metro District	Gunnison
26	S	Crested Butte, Town of	Gunnison
27	U	Dacono, City of	Weld
28	U	Del Norte, Town of	Rio Grande
29	U	Dove Creek, Town of	Dolores
30	S	Eagle, Town of	Eagle
31	U	East Eaton Water & Sanitation District	Weld
32	U	East Grand Water Quality Board	Grand
33	T	East River Regional Sanitation District	Gunnison
34	U	Elbert Water & Sanitation District	Elbert
35	U	Elizabeth, Town of	Elbert
36	U	Erie, Town of	Boulder/Weld
37	U	Estes Park WSD	Larimer
38	U	Evans, Town of	Weld
39	U	Fleming, Town of	Logan
40	U	Fort Morgan/Spanish Colony	Morgan
41	S	Fountain Sanitation District	El Paso
42	U	Fraser Sanitation District	Grand
43	T	Fremont County Special Improvement District	Fremont
44	U	Frisco Sanitation District	Summit

APPENDIX A-1 (Continued)  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1997

<u>No. of Projects</u>	<u>Funding Status**</u>	<u>Entity</u>	<u>County</u>
45	U	Galeton Water & San District	Adams
46	T	Garden Valley Water & San District	El Paso
47	U	Georgetown, Town of	Clear Creek
48	U	Glenwood Springs, City of	Garfield
49	U	Grand County No. 1	Grand
50	U	Grand County/Tabernash	Grand
51	U	Hermosa Sanitation District	La Plata
52	U	Hiland Acres Water & Sanitation District	Adams
53	U	Holyoke, City of	Phillips
54	S	Hotchkiss, Town of	Delta
55	T	Hudson Sanitation District	Weld
56	T	Idaho Springs, City of	Clear Creek
57	T	Ignacio Sanitation District	La Plata
58	U	Johnson's Village Special Improvement District	Chaffee
59	U	La Jara, Town of	Conejos
60	U	Lamar, City of	Prowers
61	U	Larkspur, Town of	Douglas
62	T	Las Animas, City of	Bent
63	U	Littleton/Englewood	Arapahoe
64	U	Lochbuie, Town of	Weld
65	U	Logan County	Logan
66	T	Lyons, Town of	Boulder
67	S	Manassa, Town of	Conejos
68	S	Manzanola, Town of	Otero
69	U	Mead, Town of	Weld
70	U	Merino, Town of	Logan
71	U	Metro Denver Reclamation, Phase II	Adams
72	U	Metro Denver Reclamation, Phase III	Adams
73	T	Monte Vista, City of	Rio Grande
74	U	Monte Vista Veterans Center WWTF	Rio Grande
75	T	Morgan Cnty/Morgan Hghts (Country Club Park Met D)	Morgan
76	S	Mt. Crested Butte/Meridian Lakes	Gunnison
77	U	Nederland, Town of	Boulder
78	U	New Castle, Town of	Garfield
79	U	North Delta Sanitation District	Delta
80	S	Ordway, Town of	Crowley
81	U	Pagosa Springs/KOA	Archuleta
82	U	Pagosa Springs San District	Archuleta
83	U	Palisade, Town of	Mesa
84	T	Paonia, Town of	Delta
85	U	Parker Water & Sanitation District	Douglas
86	T	Pikes Peak Area Council of Govt/Cheyenne Mt. Zoo	El Paso
87	U	Powderhorn Metro District #1	Mesa
88	T	Redcliff, Town of	Eagle

APPENDIX A-1 (Continued)  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1997

<u>No. of Projects</u>	<u>Funding Status**</u>	<u>Entity</u>	<u>County</u>
89	U	Rifle, City of(South)	Garfield
90	U	Rocky Ford, City of	Otero
91	U	Romeo, Town of	Conejos
92	U	Rye, Town of	Pueblo
93	U	Sanford, Town of	Conejos
94	U	Seibert, Town of	Kit Carson
95	U	Silt, Town of	Garfield
96	U	Silverthorne/Dillon	Summit
97	S	Snyder Sanitation District	Morgan
98	U	South Durango San District	La Plata
99	T	South Fort Collins Sanitation District	Larimer
100	U	St. Mary Glacier	Clear Creek
101	U	Steamboat Springs, City of (WWTF)	Routt
102	U	Sterling, City of	Logan
103	T	Sunset Metro District/Ellicott School District	El Paso
104	U	Superior Metro Dist #1	Boulder
105	U	Thornton, City of	Adams
106	U	Trinidad, City of	Las Animas
107	S	Vona, Town of	Kit Carson
108	U	Walsenburg, City of	Huerfano
109	U	Wattensburg, Town of	Weld
110	U	West Jefferson Metro District	Jefferson
111	T	Westminster, City of	Adams/Jeff/Boulder
112	S	Winter Park Water & Sanitation District	Grand

\*\*

S = Approved facility plan; ready for funding

T = Facility plan/feasibility study submitted for review; ready for funding during current fiscal year

U = Facility plan/feasibility study expected to be submitted and ready for funding during current fiscal year

## Additions to the 97 Eligibility List include:

- |                                  |                                |
|----------------------------------|--------------------------------|
| 1. Ault, Town of                 | 15. Fraser Sanitation District |
| 2. Bear Creek WSD                | 16. Fremont County SID         |
| 3. Berthoud, Town of             | 17. Galeton Water & San Dist   |
| 4. Byers, Town of                | 18. Glenwood Springs, City of  |
| 5. Carbondale, Town of           | 19. Grand County/Tabernash     |
| 6. Center San Dist               | 20. Gunnison, City of (North)  |
| 7. Central Grand Valley San Dist | 21. Gunnison, City of ( East ) |
| 8. Del Norte, Town of            | 22. Holyoke, City of           |
| 9. Eagle, Town of                | 23. Johnson's Village SID      |
| 10. Elizabeth, Town of           | 24. Logan County               |
| 11. Erie, Town of                | 25. Mead, Town of              |
| 12. Estes Park WSD               | 26. Merino, Town of            |
| 13. Fleming, Town of             | 27. New Castle, Town of        |
| 14. Ft. Morgan Spanish Colony    | 28. Pagosa Springs/KOA         |

APPENDIX A-1 (Continued)  
COLORADO PROJECT ELIGIBILITY LIST  
FISCAL YEAR 1997

- |                              |                            |
|------------------------------|----------------------------|
| 29. Pagosa Springs San Dist  | 37. South Fork WSD         |
| 30. Parker WSD               | 38. Springfield, Town of   |
| 31. Powderhorn Metro Dist #1 | 39. St. Marys Glacier      |
| 32. Redcliff, Town of        | 40. Superior Metro Dist #1 |
| 33. Redstone WSD             | 41. Thornton, City of      |
| 34. Rocky Ford, City of      |                            |
| 35. Sanford, Town of         |                            |
| 36. South Durango San Dist   |                            |

**Deletions** due to completed projects, projects being funded by other resources, name/project change, or facilities in compliance include:

- |                                     |   |
|-------------------------------------|---|
| 1. Bent County                      | 20. Marble, Town of                       |
| 2. Coal Creek/Williamsburg          | 21. Mesa County/Rosevale Rd               |
| 3. Chaffee County/Johnsons Village  | 22. Metro Denver Rec Dist-Phase IV        |
| 4. Colorado Springs                 | 23. Montrose County/Springs Creek Estates |
| 5. Crawford, Town of                | 24. Montrose County/Springs Creek Mesa    |
| 6. Delta/Garnett Mesa               | 25. Orchard City, Town of                 |
| 7. Eagle Sanitation District        | 26. Parachute, Town of                    |
| 8. Erie Water & Sanitation District | 27. Redcliff Water & San Dist             |
| 9. Estes Park, Town of              | 28. Rockvale                              |
| 10. Flagler San District            | 29. San Juan River Village Metro Dist     |
| 11. Fort Collins                    | 30. San Miguel County/Last Dollar HOA     |
| 12. Fort Morgan                     | 31. Silt, Town of                         |
| 13. Fraser, Town of                 | 32. Teller County WQMA                    |
| 14. Fremont San Dist                | 33. Upper Eagle Valley                    |
| 15. Gem Village San Dist            | 34. Weld County/Regional                  |
| 16. Greeley, City of                | 35. West Glenwood Springs San Dist        |
| 17. Gunnison Cnty/Antelope Hills    |   |
| 18. Kiowa, Town of                  |   |
| 19. Log Lane Village, Town of       |   |

APPENDIX B  
PROJECTED WPCRF LOAN FOR 1997

LOAN #	NAME AMOUNT TARGET	BINDING DATE TARGET	BINDING DESCRIPTION	PROJECT FUNDS	FED FY
08096801	Breckenridge Sanitation District	8,000,000	10/01/97	Const of WWTF	95
08097101	Broomfield, City of	12,500,000	12/01/96	Wastewater Reuse Project	94
08099001	Crested Butte South Metro District	500,000	01/01/97	Upgrade WWTF	94
08092901	Eagle, Town of	3,000,000	06/01/97	Const of WWTF	95
08071001	Erie Water & Sanitation District	1,000,000	06/01/97	Upgrade WWTF for AWT	95
08093901	Garden Valley	150,000	06/01/97	Connect to Colorado Springs	95
08071901	Hotchkiss, Town of	1,200,000	06/01/97	Des & Const of WWTF	95
08086301	Hudson Sanitation District	1,500,000	06/01/97	Des & Const of WWTF	95
08074301	Las Animas, City of	100,000	06/01/97	Des & Const of WWTF	94
08070202	Littleton/Englewood	5,000,000	10/01/97	Upgrade WWTF for Capacity	95
08098701	Lyons, Town of	1,000,000	12/01/96	Expand WWTF	95
08093601	Monte Vista, City of	510,000	10/01/97	Const of Biosolids Facilities	95
08098301	Monte Vista Veterans Center WWTF	500,000	10/01/97	Upgrade WWTF	95
08099301	Paonia, Town of	3,220,000	06/01/97	Upgrade WWTF	94
08097201	PPACOG/Cheyenne Mt. Zoo	500,000	04/01/97	Connect to Colorado Springs	94
08098001	South Fort Collins San Dist	2,000,000	06/01/97	Sludge/Expand WWTF & Reg. Plan	95
08080501	Westminster, City of	11,300,000	12/01/96	Wastewater Reuse Project	95
Total Eligible Cost Commitment		\$51,980,000			

5.17.8.(4) FINDINGS REGARDING BASIS FOR EMERGENCY RULE: JANUARY 13, 1997

The Commission held this emergency rulemaking hearing to add two communities to the Water Pollution Control Revolving Fund (WPCRF) fiscal year 1997 Intended Use Plan.

The Town of Cheraw maintains and operates a non-discharging lagoon system. The water levels have risen to a point of topping the embankment, creating a surface discharge without a permit. It could potentially flow into the lake causing a public health hazard. The Town will need to start construction as soon as possible estimating the cost to be \$200,000.

The City of Fruita is negotiating agreements with the Downer Subdivision and the J Road/Fruita Monument High School to provide wastewater treatment. These areas are on individual sewage disposal systems in high ground water, some are failing and some leech fields are reportedly in the flood plain of Little Salt Wash. The potential for public health concerns is high and the cost for constructing sewer lines to both areas is estimated at \$210,000.

The Commission finds that the immediate adoption of the revised 1997 Intended Use Plan is necessary for the preservation of public health, safety or welfare and that compliance with normal notice requirements would be contrary to the public interest. If the two communities are not added to the Intended Use Plan's priority and eligibility lists at this time, funding would not be available to correct the public health issues until the spring of 1998.

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GRANTS INFORMATION AND CONTROL SYSTEM  
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WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
S	25 70	BRIGHTON, CITY OF 22 S. 4TH AVENUE BRIGHTON ADAMS CO. 80601	CS08096001	EXPANSION OF WWTF-COLL SYS REHAB.	P C00021547 08-0200-001		5,000,000
S	26 70	CENTER SANITATION DISTRICT P.O. BOX 400 CENTER SAGUACHE CO. 81125	CS08103901	UPGRADE OF WWTF			500,000
S	28 70	ERIE, TOWN OF P.O. BOX 390 ERIE WELD CO. 80516	CS08071001	UPGRADE OF EXISTING WWTF/ADV TREATM	P C00021831 08-0148-001		6,000,000
S	29 70	GALETON WSD P.O. BOX 331 GALETON WELD CO. 80622	CS08102401	WWTF UPGRADE/CAPACITY PROBLEMS	P C00043220		200,000
S	30 70	GARDEN VALLEY W&SD P.O. BOX 17626 COLORADO SPGS. EL PASO CO. 80935	CS08093901	CONNECTION TO COLO SPRG.	P NO NUMBER 08-0323-001		375,000
S	32 70	LA JARA, TOWN OF P.O. BOX 273 LA JARA CONEJOS CO. 81140	CS08083901	UPGRADE OF EXISTING WWTF/WETLANDS	P C00020150 08-0043-001		400,000
S	34 70	LARKSPUR, TOWN OF 9524 SPRUCE MTN. RD. LARKSPUR DOUGLAS CO. 801180271	CS08082201	DES & CONS OF WWTF	P C00035891 08-0380-001		1,600,000

GRANTS INFORMATION AND CONTROL SYSTEM  
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 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

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S	146	25	WATTENBURG, TOWN OF C/O WELD CO.-1400 N 17TH AVE GREELEY CO. 80631 WELD	CS08092601	CONSTRUCTION OF NEW WWTF	P NO NUMBER 08-0485-001		1,000,000
S	147	20	DEL NORTE, TOWN OF 565 PINE STREET DEL NORTE CO. 81132 RIO GRANDE	CS08099101	UPGRADE WWTF			500,000
S	148	15	CASTLE PINES NORTH METRO DISTRICT 482 HAPPY CANYON ROAD CASTLE ROCK CO. 80104 DOUGLAS	CS08094801	UPGRADE PUMP STATION/TRUNK LINES	P NO NUMBER 08-0488-001		385,000
S	149	20	BERTHOUD, TOWN OF BOX 1229 BERTHOUD CO. 80513 LARIMER	CS08104401	CONSTRUCTION OF SLUDGE FAC.			1,500,000
S	150	20	THORNTON, CITY OF P.O. BOX 291220 THORNTON CO. 80229 ADAMS	CS08104501	CONSTRUCTION OF INTERCEPTOR			350,000
N	1	120	CLEAR CREEK/ARAPAHOE PARK EMPIRE CO. 80438 CLEAR CREEK	CS08100701	CONST. INTERCEPTOR	P NO NUMBER		800,000
N	15	80	BLACKHAWK/CENTRAL CITY P.O. BOX 85 CENTRAL CITY CO. 804220085 CLEAR CREEK	CS08085401	CONS OF NEW WWTF	P C00023949 08-0272-001		25,000,000



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WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
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FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	16 80	FT COLLINS/PHASE III 700 WOOD STREET-P.O. BOX 580 FT COLLINS CO. 805220580 LARIMER	CS08080802	CONSTRUCTION OF REG. FAC.	P NO NUMBER 08-0037-001		50,000,000
N	27 70	DOUGLAS COUNTY/LOUVIERS P.O. BOX 121 LOUVIERS CO. 80131 DOUGLAS	CS08093801	UPGRADE OF EXISTING WWTF	P C00027359 08-0288-001		350,000
N	31 70	JOES, TOWN OF P.O. BOX 92 JOES CO. 80822 YUMA	CS08084301	CONSTRUCTION OF NEW WWTF	P NO NUMBER -		350,000
N	33 70	LARIMER COUNTY/REGIONAL PLAN P.O. BOX 1190 FT. COLLINS CO. 80522 LARIMER	CS08098601	REGIONALIZATION WITH FT. COLLINS	-		15,000,000
N	38 70	MESA WSD P.O. BOX 213 MESA CO. 81643 MESA	CS08102801	UPGRADE OF WWTF/POSSIBLE REGIONALIZ	-		3,000,000
N	42 70	RICO, TOWN OF 1580 LINCOLN, SUITE 900 DENVER CO. 80203 DOLORES	CS08083701	CONSTRUCTION OF NEW WWTF/COLL. SYST	P C00029793 -		1,000,000
N	45 65	BERKELEY WATER AND SAN. DIST. 5445-B FEDERAL BLVD DENVER CO. 80221 ADAMS	CS08076201	FAILING SEPTICS/COLL SYSTEM UPGRADE	P NO NUMBER 08-0129-001		361,000

GRANTS INFORMATION AND CONTROL SYSTEM  
 FISCAL YEAR 1997  
 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	55 60	AGUILAR, TOWN OF P.O. BOX 538 AGUILAR CO. 81020 LAS ANIMAS	CS08096901	CONSTRUCTION FOR GROUNDWATER DISCHA	P C00033065 - -		200,000
N	60 60	GYPSUM, TOWN OF P.O. BOX 130 GYPSUM CO. 81637 EAGLE	CS08093301	UPGRADE OF EXISTING WWTF	P NO NUMBER 08-0225-001		450,000
N	61 60	NORTH LAMAR SAN. DIST. P.O. BOX 1771 LAMAR CO. 81052 PROWERS	CS08093701	CONSTRUCTION OF ADDL. PRESSURE SEWE	P NO NUMBER - -		250,000
N	63 60	ROUTT COUNTY/HAHN'S PEAK CO. ROUTT	CS08103701	CONNECTION TO CENTRAL SYSTEM	- -		1,000,000
N	64 55	ATWOOD, TOWN OF 16373 US HIGHWAY 6 ATWOOD CO. 80722 LOGAN	CS08091801	CONSTRUCTION OF NEW WWTF	P NO NUMBER - -		750,000
N	67 55	COPE, TOWN OF COPE CO. 80812 WASHINGTON	CS08091501	CONSTRUCTION OF NEW WWTF	P NO NUMBER - -		750,000
N	83 50	MOFFAT, TOWN OF P.O. BOX 353 MOFFAT CO. 81143 SAGUACHE	CS08083801	CONSTRUCTION OF NEW WWTF	P C00037621 08-0356-001		500,000

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GRANTS INFORMATION AND CONTROL SYSTEM  
FISCAL YEAR 1997  
WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	88 45	CRESTONE, TOWN OF 199 NORTH ALDER STREET CRESTONE CO. 81131 SAGUACHE	CS08093501	CONSTRUCTION OF NEW WWTF	P NO NUMBER 08-0338-001		500,000
N	91 45	FORT GARLAND WSD P.O. BOX 309 FT GARLAND CO. 81133 COSTILLA	CS08095001	CONSTRUCTION OF NEW WWTF		08-0239-001	500,000
N	93 45	FOWLER, TOWN OF 114 E. CRANSTON AVENUE FOWLER CO. 81039 OTERO	CS08101701	EXPANSION OF WWTF			500,000
N	95 45	LA JUNTA, CITY OF BOX 489 LA JUNTA CO. 81050 OTERO	CS08100801	NEED TO RE-LINE LAGOONS			500,000
N	100 45	PINON WATER AND SAN. DIST. RT. 1 BOX 426 FF TRINIDAD CO. 81082 LAS ANIMAS	CS08077901	UPGRADE OF EXISTING WWTF	P NO NUMBER 08-0313-001		300,000
N	112 40	MONTEZUMA, TOWN OF P.O. BOX 1476 DILLON CO. 80435 SUMMIT	CS08081401	CONSTRUCTION OF NEW WWTF	P NO NUMBER		1,000,000
N	116 40	UTE PASS AREA-CHIPETA/CASCADE/GREE P.O. BOX 524 GREEN MTN FALLS CO. 80819 EL PASO	CS08092701	CONSTRUCTION OF NEW WWTF	P NO NUMBER		1,000,000

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GRANTS INFORMATION AND CONTROL SYSTEM  
 FISCAL YEAR 1997  
 WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
 FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK	PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	119	35	FORT LUPTON, CITY OF 130 SO. MCKINLEY AVENUE FT. LUPTON CO. WELD	80621	CS08095201 SYSTEM CHANGE; WASTEWATER RE-USE FO	08-0126-001		100,000
N	126	25	BURLINGTON, TOWN OF 415 15TH STREET BURLINGTON CO. KIT CARSON	80807	CS08104301 EXPAND/UPGRADE WWTF	- -		250,000
N	134	25	MOSCA GENERAL IMPROVEMENT DISTRICT P.O. BOX 178 ALAMOSA CO. ALAMOSA	81101	CS08091401 CONSTRUCTION OF NEW WWTF	P NO NUMBER - -		300,000
N	135	25	NORTH WALSENBURG WALSENBURG CO. HUERFANO	81089	CS08102001 CONNECTION TO WALSENBURG/NEW WWTF	- -		400,000
N	136	25	PENROSE/FREMONT COUNTY PENROSE CO. FREMONT	81240	CS08104001 UPGRADE OF WWTF	- -		500,000
N	138	25	SEVERANCE, TOWN OF BOX 122 SEVERANCE CO. WELD	80546	CS08102301 UPGRADE OF WWTF	- -		200,000
N	139	25	SILVER HILLS SD P.O. BOX 378 LEADVILLE CO. LAKE	80461	CS08087701 CONNECTION TO LEADVILLE	P NO NUMBER - -		150,000

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PGM: GBWPPLR1 11/26/96

GRANTS INFORMATION AND CONTROL SYSTEM  
FISCAL YEAR 1997  
WATER POLLUTION CONTROL REVOLVING FUND PRIORITY LIST  
FOR THE STATE OF COLORADO

FUND STATUS	PRIORITY RANK PRIORITY POINTS	APPLICANT LEGAL NAME, ADDRESS CITY, STATE, ZIP COUNTY	PROJECT NUMBER	DESCRIPTION OF PROJECT	NPDES PERMIT NEEDS FAC NUMBER	TARGET STATE CERT	TOTAL ELIG COST
N	142  25	STARKVILLE, TOWN OF RTE. 1, P.O. BOX 407 STARKVILLE CO. 81082 LAS ANIMAS	CS08083501	REGIONALIZATION TO TRINIDAD	P NO NUMBER  08-0350-001		100,000
N	144  25	SYLVAN LAKES W&SD P.O. BOX 156 LEADVILLE CO. 80461 LAKE	CS08088001	CONSTRUCTION OF NEW WWTf	P NO NUMBER  08-0325-001		100,000
N	145  25	THREE LAKES WSD P.O. BOX 899 GRAND LAKE CO. 80447 GRAND	CS08102201	UPGRADE WWTf FOR PHOSPHORUS			8,000,000

APPENDIX A-1  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1997

<u>No. of Projects</u>	<u>Funding Status**</u>	<u>Entity</u>	<u>County</u>
1	S	Allenspark Water & Sanitation District	Boulder
2	T	Arapahoe County Water & Wastewater Authority	Arapahoe
3	U	Arriba, Town of	Lincoln
4	U	Ault, Town of	Weld
5	U	Baca Grande Water & San District	Saguache
6	T	Bayfield Sanitation District	La Plata
7	U	Bear Creek WSD	Jefferson
8	U	Bennett, Town of	Adams
9	U	Berthoud, Town of	Larimer
10	U	Boulder, City of	Boulder
11	T	Breckenridge Sanitation District	Summit
12	S	Brighton, City of	Adams
13	U	Broomfield, City of	Jeff/Bldr/Adms/Weld
14	T	Buena Vista Sanitation District	Chaffee
15	U	Byers WSD	Arapahoe
16	T	Campo, Town of	Baca
17	U	Carbondale, Town of	Garfield
18	U	Castle Pines North Metro District	Douglas
19	U	Center WSD	Saguache
20	U	Central Grand Valley SD	Mesa
21	U	Cheyenne Wells Sanitation District	Cheyenne
22	U	Colorado City Metro District	Pueblo
23	U	Cortez Sanitation District	Montezuma
24	T	Costilla County Water & Sanitation District	Costilla
25	S	Crested Butte South Metro District	Gunnison
26	S	Crested Butte, Town of	Gunnison
27	U	Dacono, City of	Weld
28	U	Del Norte, Town of	Rio Grande
29	U	Dove Creek, Town of	Dolores
30	S	Eagle, Town of	Eagle
31	U	East Eaton Water & Sanitation District	Weld
32	U	East Grand Water Quality Board	Grand
33	T	East River Regional Sanitation District	Gunnison
34	U	Elbert Water & Sanitation District	Elbert
35	U	Elizabeth, Town of	Elbert
36	U	Erie, Town of	Boulder/Weld
37	U	Estes Park WSD	Larimer
38	U	Evans, Town of	Weld
39	U	Fleming, Town of	Logan
40	U	Fort Morgan/Spanish Colony	Morgan
41	S	Fountain Sanitation District	El Paso
42	U	Fraser Sanitation District	Grand
43	T	Fremont County Special Improvement District	Fremont
44	U	Frisco Sanitation District	Summit

APPENDIX A-1 (Continued)  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1997

<u>No. of Projects</u>	<u>Funding Status**</u>	<u>Entity</u>	<u>County</u>
45	U	Galeton Water & San District	Adams
46	T	Garden Valley Water & San District	El Paso
47	U	Georgetown, Town of	Clear Creek
48	U	Glenwood Springs, City of	Garfield
49	U	Grand County No. 1	Grand
50	U	Grand County/Tabernash	Grand
51	U	Hermosa Sanitation District	La Plata
52	U	Hiland Acres Water & Sanitation District	Adams
53	U	Holyoke, City of	Phillips
54	S	Hotchkiss, Town of	Delta
55	T	Hudson Sanitation District	Weld
56	T	Idaho Springs, City of	Clear Creek
57	T	Ignacio Sanitation District	La Plata
58	U	Johnson's Village Special Improvement District	Chaffee
59	U	La Jara, Town of	Conejos
60	U	Lamar, City of	Prowers
61	U	Larkspur, Town of	Douglas
62	T	Las Animas, City of	Bent
63	U	Littleton/Englewood	Arapahoe
64	U	Lochbuie, Town of	Weld
65	U	Logan County	Logan
66	T	Lyons, Town of	Boulder
67	S	Manassa, Town of	Conejos
68	S	Manzanola, Town of	Otero
69	U	Mead, Town of	Weld
70	U	Merino, Town of	Logan
71	U	Metro Denver Reclamation, Phase II	Adams
72	U	Metro Denver Reclamation, Phase III	Adams
73	T	Monte Vista, City of	Rio Grande
74	U	Monte Vista Veterans Center WWTF	Rio Grande
75	T	Morgan Cnty/Morgan Hghts (Country Club Park Met D)	Morgan
76	S	Mt. Crested Butte/Meridian Lakes	Gunnison
77	U	Nederland, Town of	Boulder
78	U	New Castle, Town of	Garfield
79	U	North Delta Sanitation District	Delta
80	S	Ordway, Town of	Crowley
81	U	Pagosa Springs/KOA	Archuleta
82	U	Pagosa Springs San District	Archuleta
83	U	Palisade, Town of	Mesa
84	T	Paonia, Town of	Delta
85	U	Parker Water & Sanitation District	Douglas
86	T	Pikes Peak Area Council of Govt/Cheyenne Mt. Zoo	El Paso
87	U	Powderhorn Metro District #1	Mesa
88	T	Redcliff, Town of	Eagle

APPENDIX A-1 (Continued)  
 COLORADO PROJECT ELIGIBILITY LIST  
 FISCAL YEAR 1997

<u>No. of Projects</u>	<u>Funding Status**</u>	<u>Entity</u>	<u>County</u>
89	U	Rifle, City of(South)	Garfield
90	U	Rocky Ford, City of	Otero
91	U	Romeo, Town of	Conejos
92	U	Rye, Town of	Pueblo
93	U	Sanford, Town of	Conejos
94	U	Seibert, Town of	Kit Carson
95	U	Silt, Town of	Garfield
96	U	Silverthorne/Dillon	Summit
97	S	Snyder Sanitation District	Morgan
98	U	South Durango San District	La Plata
99	T	South Fort Collins Sanitation District	Larimer
100	U	St. Mary Glacier	Clear Creek
101	U	Steamboat Springs, City of (WWTF)	Routt
102	U	Sterling, City of	Logan
103	T	Sunset Metro District/Ellicott School District	El Paso
104	U	Superior Metro Dist #1	Boulder
105	U	Thornton, City of	Adams
106	U	Trinidad, City of	Las Animas
107	S	Vona, Town of	Kit Carson
108	U	Walsenburg, City of	Huerfano
109	U	Wattensburg, Town of	Weld
110	U	West Jefferson Metro District	Jefferson
111	T	Westminster, City of	Adams/Jeff/Boulder
112	S	Winter Park Water & Sanitation District	Grand

\*\*

S = Approved facility plan; ready for funding

T = Facility plan/feasibility study submitted for review; ready for funding during current fiscal year

U = Facility plan/feasibility study expected to be submitted and ready for funding during current fiscal year

## Additions to the 97 Eligibility List include:

- |                                  |                                |
|----------------------------------|--------------------------------|
| 1. Ault, Town of                 | 15. Fraser Sanitation District |
| 2. Bear Creek WSD                | 16. Fremont County SID         |
| 3. Berthoud, Town of             | 17. Galeton Water & San Dist   |
| 4. Byers, Town of                | 18. Glenwood Springs, City of  |
| 5. Carbondale, Town of           | 19. Grand County/Tabernash     |
| 6. Center San Dist               | 20. Gunnison, City of (North)  |
| 7. Central Grand Valley San Dist | 21. Gunnison, City of ( East ) |
| 8. Del Norte, Town of            | 22. Holyoke, City of           |
| 9. Eagle, Town of                | 23. Johnson's Village SID      |
| 10. Elizabeth, Town of           | 24. Logan County               |
| 11. Erie, Town of                | 25. Mead, Town of              |
| 12. Estes Park WSD               | 26. Merino, Town of            |
| 13. Fleming, Town of             | 27. New Castle, Town of        |
| 14. Ft. Morgan Spanish Colony    | 28. Pagosa Springs/KOA         |



APPENDIX A-1 (Continued)  
COLORADO PROJECT ELIGIBILITY LIST  
FISCAL YEAR 1997

- |                              |                            |
|------------------------------|----------------------------|
| 29. Pagosa Springs San Dist  | 37. South Fork WSD         |
| 30. Parker WSD               | 38. Springfield, Town of   |
| 31. Powderhorn Metro Dist #1 | 39. St. Marys Glacier      |
| 32. Redcliff, Town of        | 40. Superior Metro Dist #1 |
| 33. Redstone WSD             | 41. Thornton, City of      |
| 34. Rocky Ford, City of      |                            |
| 35. Sanford, Town of         |                            |
| 36. South Durango San Dist   |                            |

**Deletions** due to completed projects, projects being funded by other resources, name/project change, or facilities in compliance include:

- |                                     |   |
|-------------------------------------|---|
| 1. Bent County                      | 20. Marble, Town of                       |
| 2. Coal Creek/Williamsburg          | 21. Mesa County/Rosevale Rd               |
| 3. Chaffee County/Johnsons Village  | 22. Metro Denver Rec Dist-Phase IV        |
| 4. Colorado Springs                 | 23. Montrose County/Springs Creek Estates |
| 5. Crawford, Town of                | 24. Montrose County/Springs Creek Mesa    |
| 6. Delta/Garnett Mesa               | 25. Orchard City, Town of                 |
| 7. Eagle Sanitation District        | 26. Parachute, Town of                    |
| 8. Erie Water & Sanitation District | 27. Redcliff Water & San Dist             |
| 9. Estes Park, Town of              | 28. Rockvale                              |
| 10. Flagler San District            | 29. San Juan River Village Metro Dist     |
| 11. Fort Collins                    | 30. San Miguel County/Last Dollar HOA     |
| 12. Fort Morgan                     | 31. Silt, Town of                         |
| 13. Fraser, Town of                 | 32. Teller County WQMA                    |
| 14. Fremont San Dist                | 33. Upper Eagle Valley                    |
| 15. Gem Village San Dist            | 34. Weld County/Regional                  |
| 16. Greeley, City of                | 35. West Glenwood Springs San Dist        |
| 17. Gunnison Cnty/Antelope Hills    |   |
| 18. Kiowa, Town of                  |   |
| 19. Log Lane Village, Town of       |   |

**APPENDIX B  
PROJECTED WPCRF LOAN FOR 1997**

LOAN #	NAME AMOUNT TARGET	BINDING DATE TARGET	BINDING DESCRIPTION	PROJECT FUNDS	FED FY
08096801	Breckenridge Sanitation District	8,000,000	10/01/97	Const of WWTF	95
08097101	Broomfield, City of	12,500,000	12/01/96	Wastewater Reuse Project	94
08099001	Crested Butte South Metro District	500,000	01/01/97	Upgrade WWTF	94
08092901	Eagle, Town of	3,000,000	06/01/97	Const of WWTF	95
08071001	Erie Water & Sanitation District	1,000,000	06/01/97	Upgrade WWTF for AWT	95
08093901	Garden Valley	150,000	06/01/97	Connect to Colorado Springs	95
08071901	Hotchkiss, Town of	1,200,000	06/01/97	Des & Const of WWTF	95
08086301	Hudson Sanitation District	1,500,000	06/01/97	Des & Const of WWTF	95
08074301	Las Animas, City of	100,000	06/01/97	Des & Const of WWTF	94
08070202	Littleton/Englewood	5,000,000	10/01/97	Upgrade WWTF for Capacity	95
08098701	Lyons, Town of	1,000,000	12/01/96	Expand WWTF	95
08093601	Monte Vista, City of	510,000	10/01/97	Const of Biosolids Facilities	95
08098301	Monte Vista Veterans Center WWTF	500,000	10/01/97	Upgrade WWTF	95
08099301	Paonia, Town of	3,220,000	06/01/97	Upgrade WWTF	94
08097201	PPACOG/Cheyenne Mt. Zoo	500,000	04/01/97	Connect to Colorado Springs	94
08098001	South Fort Collins San Dist	2,000,000	06/01/97	Sludge/Expand WWTF & Reg. Plan	95
08080501	Westminster, City of	11,300,000	12/01/96	Wastewater Reuse Project	95
Total Eligible Cost Commitment		\$51,980,000			

5.17.8(3) STATEMENT OF BASIS, SPECIFIC STATUTORY AUTHORITY, AND PURPOSE(1996 REVISIONS)

The provisions of sections 25-8-202(1)(e) and (g); 25-8-308(1)(d); 37-95-107.6(4) C.R.S., provide the specific statutory authority for adoption of the attached regulatory amendments. The Commission also adopted, in compliance with sections 24-4-103(4) C.R.S., the following statement of basis and purpose.

BASIS AND PURPOSE:

The Intended Use Plan (IUP) is presented to the Water Quality Control Commission each year for agency action and public comment. The plan describes the intent of the Water Pollution Control Revolving Fund (WPCRF) for providing financial assistance to governmental agencies.

Attached to the 1997 IUP as Appendix A is the statewide project priority list showing the current construction needs for point source wastewater treatment. Also attached as Appendix A-1 is the 1997 Eligibility List additions and modifications. The Eligibility List consists of projects from the priority list that have or will have approved facility plans or feasibility studies during the 1997 funding year. Attachment B to the IUP is a chart depicting projects that are expected to be funded from the WPCRF and includes their target date for a binding commitment.

The Commission held a formal public meeting on October 7, 1996 to adopt the 1997 IUP. However, the Town of Berthoud and the City of Thornton requested that they be added to the IUP and the name of the "Erie Water and Sanitation District" was changed to the "Town of Erie." These changes were made for final approval on January 13, 1997.

**Attachment 3**

**Certification Letter**

# STATE OF COLORADO

Roy Romer, Governor  
Patti Shwayder, Executive Director

*Dedicated to protecting and improving the health and environment of the people of Colorado*

4300 Cherry Creek Dr. S.  
Denver, Colorado 80222-1530  
Phone (303) 692-2000

Laboratory and Radiation Services Division  
8100 Lowry Blvd.  
Denver CO 80220-6928  
(303) 692-3090



Colorado Department  
of Public Health  
and Environment

## ATTACHMENT 3

March 31, 1997

Jack A. Rychecky, Chief  
Municipal Support Unit  
U.S. EPA Region VIII  
999 18th St., Suite 500  
Denver, Colorado 80202-2466

Dear Mr. Rychecky:

The Water Quality Control Division is responsible for project reviews and technical and administrative processes of the Water Pollution Control Revolving Fund. The Division hereby certifies that all applicable state and federal laws and regulations are being satisfied through established procedures.

The Division also certifies on behalf of the State that all operating agreement procedures referenced in the Annual Report dated March 31, 1997 are adhered to in a progressive and competent manner.

Sincerely,

J. David Holm, Director  
Water Quality Control Division

**Attachment 4**

**Audit Report for FY 1996**

COLORADO WATER RESOURCES  
and  
POWER DEVELOPMENT AUTHORITY

FINANCIAL STATEMENTS,  
SUPPLEMENTAL INFORMATION  
and  
REPORTS REQUIRED BY THE  
SINGLE AUDIT ACT OF 1984

DECEMBER 31, 1996

an  
Schooneveld and Co., Inc.  
Certified Public Accountants

**COLORADO WATER RESOURCES  
and  
POWER DEVELOPMENT AUTHORITY**

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**COLORADO WATER RESOURCES  
and  
POWER DEVELOPMENT AUTHORITY**

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**COLORADO WATER RESOURCES  
and  
POWER DEVELOPMENT AUTHORITY**

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## INDEPENDENT AUDITOR'S REPORT

**Board of Directors  
Colorado Water Resources and Power Development Authority  
Denver, Colorado**

We have audited the accompanying financial statements of the Colorado Water Resources and Power Development Authority as of and for the year ended December 31, 1996, as listed in the foregoing Table of Contents. These financial statements are the responsibility of the Authority's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with generally accepted auditing standards and *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Colorado Water Resources and Power Development Authority at December 31, 1996, and the results of its operations and cash flows of its proprietary fund for the year then ended, in conformity with generally accepted accounting principles.

Our audit was made for the purpose of forming an opinion on the financial statements taken as a whole. The combining fund financial statements and other schedules as listed in the Table of Contents as supplemental information are presented for purposes of legal compliance and additional analysis and are not a required part of the financial statements of the Colorado Water Resources and Power Development Authority. Such information has been subjected to the auditing procedures applied in the audit of the financial statements and, in our opinion, is fairly stated in all material respects in relation to the financial statements taken as a whole.

In accordance with *Government Auditing Standards*, we have also issued a report dated February 28, 1997, on our consideration of the Colorado Water Resources and Power Development Authority's internal control structure and a report dated February 28, 1997, on its compliance with laws and regulations.

*Van Schooneveld and Co., Inc.*

February 28, 1997

FINANCIAL STATEMENTS

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**COMBINED BALANCE SHEET - ALL FUND TYPES**

DECEMBER 31, 1996

<u>ASSETS</u>	<u>Proprietary Fund Types</u>	<u>Fiduciary Fund Types</u>	<u>Totals (Memorandum Only)</u>	
			<u>1996</u>	<u>1995</u>
Cash and cash equivalents	\$ 25,937,577	\$	\$ 25,937,577	\$ 20,117,657
State of Colorado pooled investments	21,103,295	42,400,000	63,503,295	46,207,473
Total cash and cash equivalents	47,040,872	42,400,000	89,440,872	66,325,130
Investments - Trust accounts	56,685,553	22,608,006	79,293,559	101,158,455
Total cash, cash equivalents and investments	103,726,425	65,008,006	168,734,431	167,483,585
Current portion of loans receivable	8,645,138		8,645,138	7,219,697
Loans receivable	206,106,963		206,106,963	194,357,346
Accounts receivable - Borrowers	2,361,778		2,361,778	2,181,357
Due from other funds	500,959		500,959	1,382,701
Interest receivable on investments	1,563,763	685,164	2,248,927	2,404,816
Capitalization grant receivable	182,869		182,869	159,394
Advance receivable	5,862,357		5,862,357	5,582,917
Furniture and fixtures - Net	58,780		58,780	63,754
Other assets	11,113		11,113	20,744
Total Assets	<u>\$ 329,020,145</u>	<u>\$ 65,693,170</u>	<u>\$ 394,713,315</u>	<u>\$ 380,856,311</u>
 <u>LIABILITIES AND EQUITY</u>				
<b>LIABILITIES</b>				
Current portion of bonds payable	\$ 7,818,441	\$	\$ 7,818,441	\$ 6,923,311
Long-term bonds payable	188,104,718		188,104,718	182,572,187
Accrued interest payable	3,543,541		3,543,541	3,313,796
Accounts payable - Other	154,170		154,170	154,624
Accounts payable - Borrowers	3,614,354	402,134	4,016,488	3,450,427
Deferred revenue	795,719		795,719	677,002
Due to other funds	92,028	408,931	500,959	1,382,701
Advance payable	5,862,357		5,862,357	5,582,917
Project funds payable	2,389,179	22,243,173	24,632,352	34,910,886
Other liabilities	763,059		763,059	371,480
Total Liabilities	<u>213,137,566</u>	<u>23,054,238</u>	<u>236,191,804</u>	<u>239,339,331</u>
 <b>EQUITY</b>				
Contributions from Federal government	68,013,519		68,013,519	57,883,154
Retained earnings	47,869,060		47,869,060	40,828,404
Fund balance - Reserve for Animas - La Plata		42,638,932	42,638,932	42,805,422
Total Equity	<u>115,882,579</u>	<u>42,638,932</u>	<u>158,521,511</u>	<u>141,516,980</u>
Total Liabilities and Equity	<u>\$ 329,020,145</u>	<u>\$ 65,693,170</u>	<u>\$ 394,713,315</u>	<u>\$ 380,856,311</u>

The accompanying notes are an integral part of the financial statements.

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**COMBINED STATEMENT OF REVENUE, EXPENSES AND  
CHANGES IN RETAINED EARNINGS - ALL PROPRIETARY FUND TYPES**

YEAR ENDED DECEMBER 31, 1996

(with comparative totals for the year ended December 31, 1995)

	<u>1996</u>	<u>1995</u>
<b>INTEREST REVENUE</b>		
Cash and investments	\$ 2,123,399	\$ 2,032,472
Interim loans	63,743	
Loans receivable	<u>11,920,343</u>	<u>11,131,825</u>
Total Interest Revenue	14,107,485	13,164,297
 <b>INTEREST EXPENSE - BONDS</b>	 <u>12,014,646</u>	 <u>11,374,287</u>
Net Interest Revenue	<u>2,092,839</u>	<u>1,790,010</u>
 <b>OTHER REVENUE</b>		
Surcharge from borrowers	808,608	840,004
State funds	1,615,140	1,615,140
Administrative fee	1,261,486	1,149,325
Other	<u>14,472</u>	<u>40,114</u>
Total Other Revenue	<u>3,699,706</u>	<u>3,644,583</u>
Net Revenue	<u>5,792,545</u>	<u>5,434,593</u>
 <b>OTHER EXPENSE</b>		
Grant administration	1,010,151	813,147
Project expenses	123,334	78,959
General and administrative	360,538	342,415
Other	<u>79,265</u>	<u>49,985</u>
Total Other Expense	<u>1,573,288</u>	<u>1,284,506</u>
 <b>NET INCOME BEFORE OPERATING TRANSFERS</b>	 4,219,257	 4,150,087
Operating transfers in (out)	<u>2,821,399</u>	<u>1,506,191</u>
 <b>NET INCOME</b>	 7,040,656	 5,656,278
 <b>RETAINED EARNINGS - BEGINNING OF YEAR</b>	 <u>40,828,404</u>	 <u>35,172,126</u>
 <b>RETAINED EARNINGS - END OF YEAR</b>	 <u>\$ 47,869,060</u>	 <u>\$ 40,828,404</u>

The accompanying notes are an integral part of the financial statements.

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**COMBINED STATEMENT OF CASH FLOWS**

ALL PROPRIETARY FUND TYPES

YEAR ENDED DECEMBER 31, 1996

(with comparative totals for the year ended December 31, 1995)

	<u>1996</u>	<u>1995</u>
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Net income before operating transfers in	\$ 4,219,257	\$ 4,150,087
Adjustments to reconcile income to net cash provided by operating activities:		
Depreciation	17,836	14,311
Amortization of investment (discount) premium	80,188	142,646
Changes in assets and liabilities related to operations:		
Decrease in due from other funds	881,742	649,433
(Increase) in accounts receivable - Borrowers	(180,421)	(355,345)
(Increase) in interest receivable - Investments	(125,584)	(210,850)
Decrease in interest receivable - Loans	39,978	29,755
Decrease in other assets	9,631	20,049
Increase (decrease) in accounts payable	(454)	9,710
Increase (decrease) in due to other funds	(5,113)	41,740
Increase in accounts payable - Borrowers	284,446	640,526
(Decrease) in deferred revenue	(231,828)	(67,778)
Increase in interest payable	537,406	313,100
Increase in other liabilities	223,933	331,418
Total adjustments	<u>1,531,760</u>	<u>1,558,715</u>
Net cash provided by operating activities	<u>5,751,017</u>	<u>5,708,802</u>
<b>CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES</b>		
Operating transfer in	<u>2,821,399</u>	<u>1,506,191</u>
Net cash provided by noncapital financing activities	<u>2,821,399</u>	<u>1,506,191</u>
<b>CASH FLOW FROM CAPITAL AND RELATED FINANCING ACTIVITIES</b>		
Principal repayments of loans receivable	8,226,858	8,584,554
Principal paid on bonds	(8,015,000)	(8,495,000)
Acquisition of furniture and fixtures	(12,862)	(51,780)
Payment from other fund - Advance	1,724,710	2,309,080
Bond proceeds	44,885,179	24,525,000
Payment to refunded escrow agent	(30,176,467)	-0-
Bond issuance costs	(406,066)	-0-
Loans made/Project costs paid	(20,188,698)	(27,918,039)
Capitalization grants received	10,106,890	8,894,795
Payment to other funds - Advance	(1,724,710)	(2,309,080)
Net cash provided by capital and related financing activities	<u>4,419,834</u>	<u>5,539,530</u>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>		
Net (increase) decrease in investments	<u>(4,950,103)</u>	<u>4,550,362</u>
Net cash provided (required) by investing activities	<u>(4,950,103)</u>	<u>4,550,362</u>
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>	8,042,147	17,304,885
<b>CASH AND CASH EQUIVALENTS - BEGINNING OF YEAR</b>	<u>38,998,725</u>	<u>21,693,840</u>
<b>CASH AND CASH EQUIVALENTS - END OF YEAR</b>	<u>\$ 47,040,872</u>	<u>\$ 38,998,725</u>

The accompanying notes are an integral part of the financial statements.

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**  
**STATEMENT OF REVENUE, EXPENDITURES AND CHANGES IN FUND BALANCE -**  
**ACTUAL AND BUDGET - EXPENDABLE TRUST FUND**  
**ANIMAS - LA PLATA ESCROW FUND**  
**YEAR ENDED DECEMBER 31, 1996**  
(with comparative totals for the year ended December 31, 1995)

	<u>1996</u>		<b>Variance- Favorable (Unfavorable)</b>	<u>1995</u>
	<u>Actual</u>	<u>Budget</u>		<u>Actual</u>
<b>REVENUE</b>				
Investment interest	\$ 2,654,909	\$ 2,500,000	\$ 154,909	\$ 2,552,735
Total Revenue	<u>2,654,909</u>	<u>2,500,000</u>	<u>154,909</u>	<u>2,552,735</u>
<b>EXPENDITURES</b>				
Construction/land	<u>-0-</u>	<u>4,300,000</u>	<u>4,300,000</u>	<u>-0-</u>
<b>EXCESS REVENUE OVER EXPENDITURES BEFORE OTHER FINANCING USES</b>	2,654,909	(1,800,000)	4,454,909	2,552,735
<b>OPERATING TRANSFERS OUT</b>	<u>(2,821,399)</u>	<u>(2,938,124)</u>	<u>116,725</u>	<u>(1,506,191)</u>
<b>EXCESS REVENUE OVER (UNDER) EXPENDITURES AND OTHER FINANCING USES</b>	(166,490)	(4,738,124)	4,571,634	1,046,544
<b>BEGINNING FUND BALANCE</b>	<u>42,805,422</u>	<u>42,638,124</u>	<u>167,298</u>	<u>41,758,878</u>
<b>ENDING FUND BALANCE</b>	<u>\$ 42,638,932</u>	<u>\$ 37,900,000</u>	<u>\$ 4,738,932</u>	<u>\$ 42,805,422</u>

The accompanying notes are an integral part of the financial statements.



# COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY

## NOTES TO THE FINANCIAL STATEMENTS

DECEMBER 31, 1996

### NOTE 1) ORGANIZATION

The Colorado Water Resources and Power Development Authority (the Authority) is a political subdivision of the State of Colorado established pursuant to the Colorado Water Resources and Power Development Act, Title 37, Article 95 of the Colorado Revised Statutes, 1973, as amended.

#### **Water Operations**

The Authority was created to initiate, acquire, construct, maintain, repair and operate or cause to be operated projects for the protection, preservation, conservation, upgrading, development and utilization of the water resources of the State. The Authority is authorized to issue bonds, notes or other obligations which constitute its debt and not debt of the State of Colorado.

The primary activity of the Water Operations Fund is to administer the Small Water Resources Projects Program (SWRP) for which the Authority is authorized to finance water resources projects of \$10 million or less. All costs of project development (except water rights acquisitions, planning and environmental mitigation and documentation) may be financed through the SWRP.

Pursuant to the SWRP, proceeds of the bonds issued by the Authority are to be used to fund loans to local governments. Each local government evidences its obligations under its loan agreement by the issuance to the Authority of a governmental agency bond which is to be secured by a pledge of a specific revenue source or by a general obligation pledge. The repayments pursuant to the loan agreements by the local governments participating in the SWRP are structured, in the aggregate, to provide amounts sufficient to pay the principal of and interest on the bonds issued by the Authority. The SWRP debt service reserve for the bonds issued by the Authority is funded by the Authority from available monies of the Authority. The principal of and interest on the bonds issued by the Authority have been insured as to repayment to the bondholders.

#### **Water Pollution Control Revolving Fund**

The Water Pollution Control Revolving Fund (WPCRF) was created by State statute (CRS 37-95-107.6) in response to the mandate from the Federal Clean Water Act of 1987 (Act). The Authority was authorized statutorily to implement the revolving loan portion of the Act. The WPCRF was established for the purpose of financing loans to local governments for the construction of publicly-owned wastewater treatment projects that meet specified eligibility requirements and that are placed on a project eligibility list established in accordance with the State statute.

Pursuant to statutes, with the written consent of the Colorado Department of Public Health and Environment, the Authority is authorized, on behalf of the State, to execute all operating agreements and capitalization grant agreements with the United States Environmental Protection Agency (EPA). The Authority, the Water Quality Control Division of the Colorado Department of Public Health and Environment and the Division of Local Government of the Colorado Department of Local Affairs have entered into a Memorandum of Understanding under which each has agreed to assume specified responsibilities in connection with the operation of the WPCRF. The statutes also authorize the Authority to issue bonds for such purposes and to designate assets in the WPCRF that may be pledged and assigned as security for payment of such bonds.

The WPCRF is capitalized through capitalization grants awarded by the EPA. Matching funds are provided by the Authority or its borrowers. In order to receive capitalization grants, the Authority must provide matching in a ratio of \$1 of state match for every \$5 (20%) of capitalization grants. Administrative expense reimbursements funded by EPA capitalization grants are limited to a percentage (5/6th of 4%) of the capitalization grants.

The Authority issues bonds to provide loans to local governmental entities, either individually or in pools. Loans to borrowers may be provided from federal grants and/or from bond proceeds. The matching requirement is provided by cash contributions from borrowers or from the Authority in the form of cash.

When a loan to a local governmental entity is provided from the proceeds of bonds, the Authority allocates a portion of its federal capitalization grant to provide for a reserve. Earnings on such federal capitalization grant reserves are used to reduce the amounts payable by the borrower.

Each local government evidences its obligation to the Authority under its loan agreement by the issuance to the Authority of a governmental agency bond which is secured by a pledge of a specific revenue source or by a general obligation pledge. The repayments pursuant to the loan agreements by the local governments participating in the WPCRF are, in the aggregate: to provide amounts sufficient to repay the Authority principal and stated interest (including an administrative surcharge) on direct loans; and to repay principal, premium (if any) and interest on the bonds issued by the Authority for the leveraged loans, as well as cash advances or bonds issued to provide the matching requirements, and an administrative surcharge.

Loans are made at or below market interest rates (at terms generally not exceeding 20 years) with principal and interest payments commencing not later than one year after completion of the borrowers' projects.

## **Drinking Water Revolving Fund**

The Drinking Water Revolving Fund (DWRF) was created by State statute (CRS 37-95-107.8) in 1995, in anticipation of the reauthorization of the Federal Safe Drinking Water Act (SDWA). The SDWA was reauthorized in 1996 with a state revolving loan program. The DWRF was established to provide assistance to governmental agencies for projects that appear on the "Drinking Water Project Eligibility List" (List). The List, established in accordance with State statute, consists of new or existing water management facilities that extend, protect, improve, or replace domestic drinking water supplies in the State of Colorado and for any other means specified in the SDWA.

Pursuant to State statutes, subject to financial analysis by the Division of Local Government in the Department of Local Affairs, the Authority is authorized to make and contract to make loans to governmental agencies to finance the cost of eligible projects that the governmental agency may lawfully undertake or acquire under State Law and for which the governmental agency is entitled by law to borrow money. The Authority may make the loans subject to terms and conditions determined by the Authority to be consistent with the purposes of the loans.

Each local governmental agency shall evidence its obligation to the Authority by issuing notes, bonds, or other obligations of the borrower that are issued to the Authority and are secured by a pledge of a specific revenue source or by a general obligation pledge. Each loan to a governmental agency and the notes, bonds, or other obligations thereby issued shall bear interest at such rate or rates per annum at or below market interest rate and shall be for such terms not to exceed twenty years after project completion.

## **Animas - La Plata Escrow Fund**

Colorado, New Mexico, the Ute Mountain Ute and Southern Ute Indian Tribes entered into a cost-sharing agreement with the United States Secretary of Interior to construct a dam and reservoir and associated facilities in the Southwest part of Colorado. The United States Department of Interior is in the process of obtaining the applicable permits for the project.

The Authority has committed \$30 million to the project as a portion of the State's federal cost-sharing requirements. The \$30 million and any earnings from the \$30 million are to be held until \$42.4 million is available for the project, at which time additional earnings can be used for other Authority projects. The project balance reached \$42.4 million in 1995. During 1996, \$2,821,399 of additional earnings were transferred to the Proprietary Fund - Drinking Water Revolving Fund.

At December 31, 1996, the Authority had an accumulated fund balance of \$42,638,932 for this project.

## **Reporting Entity**

The Authority follows the Governmental Accounting Standards Board (GASB) accounting pronouncements which provide guidance for determining which governmental activities, organizations and functions should be included within the financial reporting entity. GASB pronouncements set forth the financial accountability of a governmental organization's governing body as the basic criterion for including a possible component governmental organization in a primary government's legal entity. Financial accountability includes, but is not limited to, appointment of a voting majority of the organization's governing body, ability to impose its will on the organization, a potential for the organization to provide specific financial benefits or burdens and fiscal dependency.

The Authority is not financially accountable for any other organization. Under current GASB pronouncements, the Authority has been determined to be a component unit of the State of Colorado (the primary government). As such, the Authority's financial statements are included in the Comprehensive Annual Financial Statements issued by the State of Colorado.

## **NOTE 2) SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

The more significant accounting policies of the Authority are described as follows:

### **a) Fund Accounting**

The accounts of the Authority are organized on the basis of funds, each of which is considered a separate accounting entity. Fund types used by the Authority are described below.

#### **Proprietary Fund Types**

**Enterprise Funds** - The accounting policies of the Enterprise Funds (Water Operations, Water Pollution Control Revolving Fund and Drinking Water Revolving Fund) conform to generally accepted accounting principles as applicable to governmental units accounted for as proprietary enterprise funds. The enterprise fund is used since the Authority's powers are related to those operated in a manner similar to a financing institution where net income and capital maintenance are appropriate determinations of accountability.

The Authority has elected to follow Governmental Accounting Standards Board pronouncements. Therefore, statements issued by the Financial Accounting Standards Board after November 30, 1989, are not applied.

#### **Fiduciary Fund Types**

**Expendable Trust Fund** - The Expendable Trust Fund is used to account for assets held by the Authority in its trustee capacity (related to the Animas - La Plata Escrow Fund) on the original principal and accumulated income which may be expended in the course of designated operations.

**Agency Funds** - Agency Funds are used to account for assets held by the Authority as an agent for local governmental units. These assets consist primarily of unspent bond proceeds restricted for future construction. Agency funds are custodial in nature and do not involve measurement of results of operations.

**b) Basis of Accounting**

The accrual basis of accounting is utilized in the proprietary fund types. Revenue is recognized when earned, and expenses are recognized when the liability is incurred. Expenditures for loans and advances made and for furniture and fixtures are shown as increases in assets, and redemption of bonds and notes are recorded as a reduction in liabilities. Grants are recorded as contributed capital when earned.

The modified accrual basis of accounting is followed in the expendable trust fund and agency funds. Revenue is recorded when susceptible to accrual, i.e., both measurable and available. Available means collectible within the current period or soon enough thereafter to be used to pay liabilities of the current period. The major source of revenue which is susceptible to accrual is investment income. Expenditures are recorded when the liability is incurred.

**c) Budgets**

In accordance with the State Budget Law, the Authority's Board of Directors holds public hearings in the fall of each year to approve the budget and appropriate the funds for the ensuing year. The appropriation is at the total fund expenditures level and lapses at year end. The Authority's Board of Directors can modify the budget between spending categories, and the Authority's Executive Director can modify the budget within spending categories, within the total appropriation without notification. The total appropriation can only be amended upon completion of notification and publication requirements.

The budget includes each fund on its basis of accounting unless otherwise indicated.

During the year ended December 31, 1996, supplementary appropriations approved by the Authority modified the Water Pollution Control Revolving Fund appropriation from \$55,755,000 to \$60,885,000.

Encumbrance accounting (open purchase orders, contracts in process and other commitments for the expenditures of funds in future periods) is not used by the Authority for budget or financial reporting purposes.

**d) Cash Equivalents**

For purposes of the statement of cash flows, the Authority considers cash deposits, money market mutual funds, investment pools, U.S. Government obligations, repurchase agreements and other highly liquid investments with a maturity of three months or less when purchased to be cash equivalents.

e) **Furniture and Fixtures**

Furniture and fixtures are recorded at cost. Depreciation expense has been computed using the straight-line method over the estimated economic useful lives.

f) **Amortization**

The deferred cost on bond refunding and original issue discounts are amortized using the interest method over the life of the outstanding bonds. The amortization amount is a component of interest expense, and the unamortized deferred cost and original issue discount costs are reflected as a reduction of bonds payable.

Reimbursements of original issue discount received from borrowers at closing is reflected as deferred revenue. The deferred revenue is being amortized using the interest method over the life of the loans receivable and is reflected as a component of interest income.

g) **Accrual for Compensated Absences**

The Authority has a policy which allows employees to accumulate unused vacation benefits up to a certain maximum number of days. Compensated absences are recognized as current salary costs as accumulated.

h) **Advance**

The Water Operations Fund makes advances to the WPCRF for the purpose of financing the WPCRF's capitalization grant matching requirements. The advance is noninterest bearing. The advance is repaid based upon a usage formula related to administrative fees collected by the WPCRF from the borrowers.

i) **Totals (Memorandum Only)**

Total columns on the combined statements are captioned "(Memorandum Only)" because they do not represent consolidated financial information and are presented only to facilitate financial analysis. Data in these columns do not present financial position, in conformity with generally accepted accounting principles. Interfund eliminations have not been made in the aggregation of this data.

j) **Comparative Data**

Comparative total data for the prior year have been presented in the accompanying financial statements in order to provide an understanding of changes in the Authority's financial position, operations and cash flows. However, comparative data have not been presented in all statements because their inclusion would make certain statements unduly complex and difficult to understand.

## NOTE 3) CASH AND INVESTMENTS

### Cash Deposits

The Colorado Public Deposit Protection Act (PDPA) requires that all units of Colorado government deposit cash in eligible public depositories. Eligibility is determined by state regulators. Amounts on deposit in excess of federal insurance levels must be collateralized. The eligible collateral is determined by the PDPA. PDPA allows the institution to create a single collateral pool for all public funds. The pool for all the uninsured public deposits as a group is to be maintained by another institution or held in trust. The market value of the collateral must be at least equal to the aggregate uninsured deposits.

The State Regulatory Commissions for banks and financial services are required by statute to monitor the naming of eligible depositories and reporting of the uninsured deposits and assets maintained in the collateral pools.

At December 31, 1996, the Authority's cash deposits had a carrying balance of \$61,204 and a bank balance of \$80,972, all of which were insured.

### Investments

Colorado statutes specify investment instruments meeting defined rating and risk criteria in which Colorado governmental units may invest, which include:

- . Obligations of the United States and certain U.S. government agency securities
- . Certain international agency securities
- . General obligation and revenue bonds of U.S. local government entities
- . Bankers' acceptances of certain banks
- . Commercial paper
- . Written repurchase agreements collateralized by certain authorized securities
- . Certain money market mutual funds
- . Guaranteed investment contracts
- . Local government investment pools

The Authority's investments are recorded at amortized cost and are categorized below to give an indication of the level of credit risk assumed by the Authority at year end. Category 1 includes investments that are insured or registered or for which the securities are held by the entity or its agent in the Authority's name. Category 2 includes uninsured and unregistered investments for which the securities are held by the broker's or dealer's trust department or agent in the Authority's name. Category 3 includes uninsured and unregistered investments for which the securities are held by the broker or dealer, or by its trust department or agent but not in the Authority's name.

Category 3 investments include investments held by trustees pursuant to bond indentures. These investments were acquired by the trustees at the direction of the Authority. Investments of U.S. Treasury notes and bonds are held in the trustee's bank's account at the Federal Reserve in book entry form. Consequently, the trustees are considered to be the purchaser as well as the custodian of the investments.

Investments in local government investment pools or in money market mutual funds are not categorized because they are not evidenced by securities that exist in physical or book entry form.

	Category			Carrying Amount	Market Value
	1	2	3		
Repurchase agreements collateralized with U.S. Treasury Obligations which have a market value of \$39,547,941 as of December 31, 1996	\$	\$	\$33,176,734	\$ 33,176,734	\$ 33,176,734
U.S. Treasury Bills, Notes and Bonds			8,284,688	8,284,688	9,334,913
U.S. Treasury Notes - State and Local Government Series	<u>35,013,400</u>			<u>35,013,400</u>	<u>35,013,400</u>
	<u>\$35,013,400</u>	<u>\$ -0-</u>	<u>\$41,461,422</u>	<u>76,474,822</u>	<u>77,525,047</u>

Investment Pools or Money Market Mutual Funds:

Cash held by State Treasurer	63,503,296	63,503,296
Local government investment pool - COLOTRUST	13,880,549	13,880,549
Stagecoach Treasury Money Market Mutual Fund	<u>14,814,560</u>	<u>14,814,560</u>
	<u>92,198,405</u>	<u>92,198,405</u>
Total Investments	<u>\$ 168,673,227</u>	<u>\$ 169,723,452</u>

Total Cash, Cash Equivalents and Investments \$ 168,734,431

Cash held by State Treasurer has been invested in the State Treasurer's cash pool. A detailed composition of the cash and investments in this pool is available in the annual State Treasurer's Report.

Colorado Local Government Liquid Asset Trust (the Trust), is an investment vehicle established for local government entities in Colorado to pool surplus funds. The Trust operates similarly to a money market fund and each share is equal in value to \$1.00. The Trust offers shares in two portfolios, COLOTRUST PRIME and COLOTRUST PLUS+. Both portfolios may invest in U.S. Treasury securities and repurchase agreements collateralized by U.S. Treasury securities. COLOTRUST PLUS+ may also invest in certain obligations of U.S. government agencies, highest rated commercial paper and repurchase agreements collateralized by certain obligations of U.S. government agencies. A designated custodial bank serves as custodian for the Trust's portfolios pursuant to a custodian agreement. The custodian acts as safekeeping agent for the Trust's investment portfolios and provides services as the depository in connection with direct investments and withdrawals. The custodian's internal records segregate investments owned by the Trust. As of December 31, 1996, the Authority had \$13,880,549 invested in COLOTRUST PRIME.



As of December 31, 1996, the Authority had invested \$14,814,560 in the Stagecoach Treasury Money Market Mutual Fund. The Stagecoach Treasury Money Market Mutual Fund is a money market mutual fund which may invest only in obligations issued or guaranteed by the U.S. Treasury such as bills, notes, bonds, strips and certificates of indebtedness and repurchase agreements and reverse repurchase agreements related to such U.S. Treasury obligations and STRIPS.

**NOTE 4) LOANS RECEIVABLE**

The following is an analysis of changes in loans receivable for the year ended December 31, 1996:

	<u>Balance December 31, 1995</u>	<u>New Loans</u>	<u>Repayments Received/ Loans Canceled</u>	<u>Balance December 31, 1996</u>
Water Pollution Control Revolving Fund				
Direct loans	\$ 5,259,315	\$ 3,464,119	\$ 335,637	\$ 8,387,797
Leveraged loans	142,651,296	7,155,536	4,747,962	145,058,870
Water Operations Fund				
Small Water Resources Program	52,035,000	7,755,000	2,840,000	56,950,000
Drinking Water Revolving Fund				
Direct loans	<u>1,631,432</u>	<u>2,827,260</u>	<u>103,258</u>	<u>4,355,434</u>
	201,577,043	<u>\$ 21,201,915</u>	<u>\$ 8,026,857</u>	214,752,101
Less current portion	<u>7,214,697</u>			<u>8,645,138</u>
Long-term portion	<u>\$ 194,362,346</u>			<u>\$ 206,106,963</u>

Scheduled maturities of the loans receivable are as follows:

	<u>WPCRF</u>		<u>Water Operations</u>		<u>DWRF</u>		
	<u>Principal</u>	<u>Interest</u>	<u>Principal</u>	<u>Interest</u>	<u>Principal</u>	<u>Interest</u>	<u>Total</u>
1997	\$ 5,759,868	\$ 8,371,784	\$ 2,690,000	\$ 3,349,162	\$ 195,270	\$ 119,008	\$ 20,485,092
1998	6,526,755	7,986,697	2,500,000	3,222,382	217,105	118,635	20,571,574
1999	7,116,206	7,644,518	2,635,000	3,083,837	227,010	112,830	20,819,401
2000	7,379,400	7,264,832	2,780,000	2,933,696	237,368	107,823	20,703,119
2001	7,537,520	6,871,369	2,925,000	2,771,902	248,198	103,192	20,457,181
There-							
after	<u>119,126,918</u>	<u>42,768,583</u>	<u>43,420,000</u>	<u>17,606,475</u>	<u>3,230,483</u>	<u>800,015</u>	<u>226,952,474</u>
	<u>\$153,446,667</u>	<u>\$80,907,783</u>	<u>\$56,950,000</u>	<u>\$32,967,454</u>	<u>\$4,355,434</u>	<u>\$1,361,503</u>	<u>\$329,988,841</u>

The schedule above does not include earning credits due to borrowers, which are subject to adjustment in future years, nor does it include administrative fees due from the borrowers.

The Water Pollution Control Revolving Fund direct loans receivable which had original amounts of \$9,422,963 have interest rates of 1.431% up to 5.17% and have maturity dates of 2000 to 2017. Leveraged loans receivable which had original amounts of \$159,871,346 have interest rates of 3.77% to 5.2% and have scheduled final maturity dates of 2009 to 2016.

Water Operations Fund - Small Water Resource Program loans receivable which had original amounts of \$67,255,000 have interest rates of 2.7% to 7.10% and have scheduled final maturity dates of October 2000 to October 2016.

On July 13, 1995, the Upper Eagle Regional Water Authority prepaid its 1990A and 1994B Small Water Resources Program loans from the Authority with principal outstanding of \$750,000 and \$2,000,000, respectively. Sufficient funds were deposited into an escrow account with a trustee by Upper Eagle Regional Water Authority and invested in U.S. government securities for the purpose of paying the principal and interest of the Authority's related bonds which were defeased (see Note 5). This transaction did not result in an economic gain or loss to the Authority.

On February 15, 1996, Avon Metropolitan District prepaid its 1992A Small Water Resources Program loan from the Authority with principal outstanding of \$840,000. Sufficient funds were deposited into an escrow account with a trustee by Avon Metropolitan District, and invested in U.S. government securities for the purpose of paying the principal and interest of the Authority's related bonds which were defeased (see Note 5). The transaction did not result in an economic gain or loss to the Authority.

The Drinking Water Revolving Fund direct loans receivable have original amounts of \$4,458,692 with interest rates of 4.5% and have scheduled final maturity dates of 2001 to 2017.

**NOTE 5) BONDS PAYABLE**

The following is an analysis of changes in bonds payable for the year ended December 31, 1996:

	<u>Balance December 31, 1995</u>	<u>New Issues</u>	<u>Retire- ments</u>	<u>Balance December 31, 1996</u>
<b>Water Operations - Small Water Resources Program</b>				
1990 Series A	\$ 3,600,000	\$	\$ 430,000	\$ 3,170,000
1991 Series A	3,530,000		140,000	3,390,000
1992 Series A	12,865,000		1,245,000	11,620,000
1992 Series B	13,745,000		580,000	13,165,000
1993 Series A	6,460,000		75,000	6,385,000
1994 Series A	5,640,000		200,000	5,440,000
1994 Series B	7,260,000		220,000	7,040,000
1996 Series A/B		<u>7,425,000</u>	<u>150,000</u>	<u>7,275,000</u>
	<u>53,100,000</u>	<u>7,425,000</u>	<u>3,040,000</u>	<u>57,485,000</u>
<b>Water Pollution Control Revolving Fund - State Match Revenue Bonds</b>				
1989 Series A	625,000		40,000	585,000
1990 Series A	415,000		25,000	390,000
1991 Series A	2,390,000		220,000	2,170,000
1991 Series B	<u>1,875,000</u>		<u>195,000</u>	<u>1,680,000</u>
	<u>5,305,000</u>		<u>480,000</u>	<u>4,825,000</u>
<b>Water Pollution Control Revolving Fund - Clean Water Revenue Bonds</b>				
1989 Series A	6,115,000		4,360,000	1,755,000
1990 Series A	3,335,000		2,215,000	1,120,000
1991 Series A	20,550,000		12,825,000	7,725,000
1991 Series B	16,995,000		10,475,000	6,520,000
1992 Series A	14,130,000		585,000	13,545,000
1992 Series B	24,655,000		1,020,000	23,635,000
1994 Series A	22,390,000		590,000	21,800,000
1995 Series A	24,525,000		325,000	24,200,000
1996 Series A		<u>6,710,000</u>		<u>6,710,000</u>
	<u>132,695,000</u>	<u>6,710,000</u>	<u>32,395,000</u>	<u>107,010,000</u>
<b>Water Pollution Control Revolving Fund - Waste- water Revolving Fund Refunding Revenue Bonds</b>				
1996 Series A		<u>28,950,000</u>		<u>28,950,000</u>
Total long-term debt	191,100,000	<u>\$43,085,000</u>	<u>\$ 35,915,000</u>	198,270,000
Less unamortized original issue discount	(677,002)			(445,174)
Plus unamortized original issue premium				1,800,179
Less deferred cost on refunding	(927,500)			(3,701,846)
Less current portion	<u>(6,923,311)</u>			<u>(7,818,441)</u>
	<u>\$ 182,572,187</u>			<u>\$ 188,104,718</u>

All of the Authority Small Water Resources Program bonds and the Series 1989A and Series 1990A Clean Water Revenue Bonds and Series 1989A and Series 1990A State Match Revenue Bonds are insured as to payment of principal and interest by Financial Guaranty Insurance Company. The Clean Water Revenue Bonds, Series 1992A are insured as to payment of principal and interest by Financial Security Assurance, Inc. The Wastewater Revolving Fund Refunding Revenue Bonds, Series 1996A are insured as to payment of principal and interest by AMBAC Indemnity Corporation.

The outstanding bonds had original principal amounts of \$73,840,000 for Small Water Resources Program, \$148,125,000 of Clean Water Revenue Bonds, \$7,040,000 of State Match Revenue Bonds and \$28,950,000 of Wastewater Revolving Fund Refunding Revenue Bonds for a total of \$257,955,000. The bonds are payable semiannually with interest rates ranging from 2.7% to 7.4% and serial and term principal maturities, including mandatory call provisions, through the year 2016. All of the bonds, except the Small Water Resources Series 1996B and the Wastewater Revolving Fund Refunding Revenue Bonds Series 1996A, have optional call provisions through 2006, generally eight years from the issue date with maximum call premiums of 2% and decreasing to no premium.

The Authority's debt service requirements to maturity, excluding unamortized original issue discount and deferred cost on refunding, are as follows:

	<u>Water Operations</u>		<u>WPCRF</u>		<u>Total</u>
	<u>Principal</u>	<u>Interest</u>	<u>Principal</u>	<u>Interest</u>	
1997	\$ 2,440,000	\$ 3,349,162	\$ 5,575,000	\$ 8,479,835	\$ 19,843,997
1998	2,585,000	3,222,382	6,360,000	7,841,200	20,008,582
1999	2,725,000	3,083,837	6,885,000	7,495,088	20,188,925
2000	2,870,000	2,933,696	7,090,000	7,113,838	20,007,534
2001	3,020,000	2,771,902	7,305,000	6,715,105	19,812,007
Thereafter	<u>43,845,000</u>	<u>17,606,475</u>	<u>107,570,000</u>	<u>44,073,799</u>	<u>213,095,274</u>
	<u>\$57,485,000</u>	<u>\$ 32,967,454</u>	<u>\$ 140,785,000</u>	<u>\$ 81,718,865</u>	<u>\$ 312,956,319</u>

Total interest paid during 1996 and 1995 amounted to \$11,739,907 and \$11,170,799, respectively.

### Refunding

On December 17, 1996, the Authority advance refunded and defeased (debt legally satisfied) the following issues

1989A Clean Water Revenue Bonds	\$ 4,130,000
1990A Clean Water Revenue Bonds	2,060,000
1991A Clean Water Revenue Bonds	11,985,000
1991B Clean Water Revenue Bonds	<u>9,725,000</u>
	<u>\$ 27,900,000</u>

with the issuance of \$28,950,000 Wastewater Revolving Fund Refunding Revenue Bonds 1996 Series A dated December 1, 1996, with an average interest rate of 5.54% plus a premium of \$1,800,179. Restrictions on the monies restricted for interest payments and the reserve account pertaining to the defeased bonds were

removed under the new refunding. The defeased bonds are not considered a liability of the Authority since sufficient funds (\$30,176,467) were deposited with a trustee and invested in U.S. government securities for the purpose of paying the principal and interest of the defeased bonds when due.

The Authority reduced its aggregate debt service payments by almost \$2,548,000 over the next 15 years and obtained an economic gain (difference between the present values of the old and new debt service payments) of \$1,795,556. Authority costs related to the refunding amounted to \$1,050,000, which has been deferred and is being amortized over the life of the new debt.

In addition to the above bond issues, other bonds previously issued by the Authority have been defeased. The detail of the Authority's total defeased bonds outstanding at December 31, 1996, is as follows:

1986 Water and Power Revenue Bonds (Stagecoach)	\$ 6,480,000
1990A Small Water Resources Revenue Bonds	6,115,000
1992A Small Water Resources Revenue Bonds	805,000
1994B Small Water Resources Revenue Bonds	1,995,000
1989A Clean Water Revenue Bonds	4,130,000
1990A Clean Water Revenue Bonds	2,060,000
1991A Clean Water Revenue Bonds	11,985,000
1991B Clean Water Revenue Bonds	<u>9,725,000</u>
	<u>\$ 43,295,000</u>

The bond resolutions authorizing the various bond issues contain general provisions and provisions related to accounting and financial operations of the Authority. Management of the Authority believes they are in substantial compliance with these provisions.

All Small Water Resources loan repayments which were due in 1996 were received as scheduled. The Small Water Resources Projects Fund received revenue from loan repayments which were due from November 1, 1995, to October 1, 1996, of \$5,565,709. Small Water Resources bond payments totaling \$5,565,709 were made on May 1, 1996, and November 1, 1996.

The Authority has provided a Debt Service Bond Reserve Fund at least equal to the debt service reserve requirement under the Small Water Resources Bond Resolution. At December 31, 1996, the Small Water Resources Debt Service Reserve Fund amounted to \$8,500,000 and was fully funded. The Authority can issue up to \$150,000,000 of Small Water Resources Revenue Bonds at the current funding level for the Small Water Debt Service Reserve Fund. At December 31, 1996, the Authority had \$57,485,000 of outstanding Small Water Resources Revenue Bonds.

**NOTE 6) CHANGES IN FUND EQUITY**

The following is an analysis of the changes in fund equity for the year ended December 31, 1996:

	<u>Contributed Capital</u>	<u>Retained</u>	<u>Total</u>
	<u>Federal Government</u>	<u>Earnings</u>	<u>Fund Equity</u>
Balance - December 31, 1995	\$ 57,883,154	\$ 40,828,404	\$ 98,711,558
Net income		7,040,656	7,040,656
EPA capitalization grants	<u>10,130,365</u>		<u>10,130,365</u>
Balance - December 31, 1996	<u>\$ 68,013,519</u>	<u>\$ 47,869,060</u>	<u>\$ 115,882,579</u>

The following table details the 1996 EPA capitalization grants and matching requirements recognized by project:

<u>Project</u>	<u>Federal Grants Recognized in 1996</u>	<u>Matching Requirement for 1996</u>
Administration	\$ 776,428	\$ 155,286
1992A - Eagle River Water and Sanitation District	1,338,256	267,651
1992B - City of Longmont	39,196	7,839
1992B - City of Fort Collins	82,695	16,539
1994DL - St. Mary's Glacier Water and Sanitation District	74,870	14,974
1994DL - Roxborough Park Metropolitan District	250,000	50,000
1994A - Town of Windsor	138,150	27,630
1994A - City of Greeley	299,569	59,914
1994A - City of Alamosa	71,498	14,300
1994A - Genesee Water and Sanitation District	95,495	19,099
1995A - City of Craig	15,701	3,140
1995A - City of Fort Morgan	2,004,122	400,824
1995A - Winter Park Water and Sanitation District	32,290	6,458
1995A - City of Brighton	232,526	46,505
1995A - City of Steamboat Springs	359,456	71,891
1995A - Eagle River Water and Sanitation District	1,581,621	316,324
1996DL - City of Broomfield	2,095,099	419,020
1996DL - Town of Ordway	168,723	33,745
1996A - Town of Crested Butte	289,883	57,977
1996A - Mt. Crested Butte Water and Sanitation District	169,310	33,862
1996A - Fountain Sanitation District	15,477	3,095
	<u>\$ 10,130,365</u>	<u>\$ 2,026,073</u>

**NOTE 7) PUBLIC EMPLOYEES' RETIREMENT ASSOCIATION OF COLORADO**

The Authority participates in the State Division Trust Fund, a cost-sharing multiple-employer defined benefit pension plan administered by the Public Employees' Retirement Association of Colorado (PERA). The Trust provides retirement and disability and death benefits for its members or their beneficiaries. All employees and board members are members of the Trust. Colorado State Statutes have assigned the Colorado Legislature the authority to establish benefit provisions of the trust. PERA issues a publicly available annual financial report that includes financial statements and required supplementary information for the Trust. A copy of PERA's annual report may be obtained by calling PERA'S Infoline at 1-800-759-PERA.

The financial statements of the Trust are prepared using the accrual basis of accounting. Plan member contributions are recognized in the period in which the contributions are due. Benefits and refunds are recognized when due and payable in accordance with the terms of the plan. Trust investments are presented at fair value except for short-term investments which are recorded at cost, which approximates market.

Plan members and the Authority are required to contribute at rates set by Colorado Statutes. The contribution rate for members is 8% and for the Authority is 11.6% of covered salary. The Authority's contributions to the Trust for the years ending December 31, 1996, 1995 and 1994 were \$41,684, \$34,910 and \$29,987, respectively, which was equal to the Authority's required contributions for each year.

Additionally, Trust members of the Authority may voluntarily contribute to the Voluntary Investment Program (VIP), an Internal Revenue Code Section 401(K) defined contribution plan administered by PERA. VIP participation is voluntary and contributions are separate from others made to PERA. State statutes have assigned the State Legislature the authority to establish VIP plan provisions.

The VIP is funded by voluntary member contributions of up to 18% of their covered salary. No employer contributions are required. Four employees of the Authority participated in the VIP Plan and made contributions amounting to \$21,629 during the year ended December 31, 1996.

**NOTE 8) COMMITMENTS AND CONTINGENCIES**

**Operating Leases**

The Authority leases office facilities under an operating lease which expires on December 31, 1998. Total rental expense for the year ended December 31, 1996, was \$56,882. The future minimum annual rental commitments under this lease are \$56,882 for 1997 and \$61,235 for 1998.

**NOTE 9) TAX, SPENDING AND DEBT LIMITATIONS**

In November 1992, the voters of Colorado approved Amendment 1, referred to as the Taxpayer's Bill of Rights (TABOR), which added a new Section 20 to Article X of the Colorado Constitution. TABOR contains tax, spending, revenue and debt limitations which apply to the State of Colorado and all local governments.

Enterprises, defined as government-owned businesses authorized to issue revenue bonds and receiving less than 10% of annual revenue in grants from all state and local governments combined, are excluded from the provisions of TABOR. The Authority's management believes that its operations qualify for this exclusion.

The Authority's management believes it is in compliance with the provisions of TABOR. However, TABOR is complex and subject to interpretation. Many of the provisions, including the qualification as an Enterprise, may require judicial interpretation.

**NOTE 10) RISK MANAGEMENT**

The Authority is exposed to various risks of loss related to torts; thefts of, damage to, or destruction of assets; errors or omissions; injuries to employees; or acts of God. The Authority maintains commercial insurance for most all risks of loss. Settled claims have not exceeded this commercial coverage in any of the past three fiscal years.



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SUPPLEMENTAL INFORMATION

ENTERPRISE FUNDS

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**ENTERPRISE FUNDS**

**COMBINING BALANCE SHEET**

DECEMBER 31, 1996

(with comparative totals as of December 31, 1995)

<u>ASSETS</u>	<u>Water Pollution Control Revolving Fund</u>	<u>Water Operations Fund</u>	<u>Drinking Water Revolving Fund</u>
Cash and cash equivalents	\$ 14,186,672	\$ 10,137,754	\$ 1,613,151
State of Colorado pooled investments		<u>17,729,839</u>	<u>3,373,456</u>
Total cash and cash equivalents	14,186,672	27,867,593	4,986,607
Investments - Trust accounts	<u>56,685,553</u>		
Total cash, cash equivalents and investments	70,872,225	27,867,593	4,986,607
Current portion of loans receivable	5,759,868	2,690,000	195,270
Loans receivable	147,686,799	54,260,000	4,160,164
Accounts receivable - Borrowers	2,361,778		
Due from other funds	408,931	92,028	
Interest receivable on investments	1,404,739	148,944	10,080
Capitalization grant receivable	182,869		
Advance receivable		5,862,357	
Furniture and fixtures - Net		58,780	
Other assets		<u>11,113</u>	
Total Assets	<u>\$ 228,677,209</u>	<u>\$ 90,990,815</u>	<u>\$ 9,352,121</u>
 <u>LIABILITIES AND EQUITY</u>			
<b>LIABILITIES</b>			
Current portion of bonds payable	\$ 5,461,965	\$ 2,356,476	\$
Long-term bonds payable	133,855,557	54,249,161	
Accrued interest payable	2,985,347	558,194	
Accounts payable - Other	152,646	1,524	
Accounts payable - Borrowers	3,609,534	4,820	
Deferred revenue	417,479	378,240	
Project costs payable	823,803		1,565,376
Due to other funds	67,015		25,013
Advances payable	5,862,357		
Other liabilities	<u>744,959</u>	<u>18,100</u>	
Total Liabilities	<u>153,980,662</u>	<u>57,566,515</u>	<u>1,590,389</u>
 <b>EQUITY</b>			
Contributions from Federal government	68,013,519		
Retained earnings	<u>6,683,028</u>	<u>33,424,300</u>	<u>7,761,732</u>
Total Equity	<u>74,696,547</u>	<u>33,424,300</u>	<u>7,761,732</u>
Total Liabilities and Equity	<u>\$ 228,677,209</u>	<u>\$ 90,990,815</u>	<u>\$ 9,352,121</u>

<u>Totals</u>	
<u>1996</u>	<u>1995</u>
\$ 25,937,577	\$ 20,117,657
<u>21,103,295</u>	<u>18,881,068</u>
47,040,872	38,998,725
<u>56,685,553</u>	<u>51,778,385</u>
103,726,425	90,777,110
8,645,138	7,219,697
206,106,963	194,357,346
2,361,778	2,181,357
500,959	1,382,701
1,563,763	1,364,866
182,869	159,394
5,862,357	5,582,917
58,780	63,754
<u>11,113</u>	<u>20,744</u>
<u>\$ 329,020,145</u>	<u>\$ 303,109,886</u>
\$ 7,818,441	\$ 6,923,311
188,104,718	182,572,187
3,543,541	3,313,796
154,170	154,624
3,614,354	3,329,908
795,719	677,002
2,389,179	1,375,962
92,028	97,141
5,862,357	5,582,917
<u>763,059</u>	<u>371,480</u>
<u>213,137,566</u>	<u>204,398,328</u>
68,013,519	57,883,154
<u>47,869,060</u>	<u>40,828,404</u>
<u>115,882,579</u>	<u>98,711,558</u>
<u>\$ 329,020,145</u>	<u>\$ 303,109,886</u>

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**ENTERPRISE FUNDS**

**COMBINING STATEMENT OF REVENUE, EXPENSES AND  
CHANGES IN RETAINED EARNINGS**

YEAR ENDED DECEMBER 31, 1996

(with comparative totals for the year ended December 31, 1995)

	<u>Water Pollution Control Revolving Fund</u>	<u>Water Operations Fund</u>	<u>Drinking Water Revolving Fund</u>
<b>INTEREST REVENUE</b>			
Cash and investments	\$ 278,502	\$ 1,653,802	\$ 191,095
Interim loans		63,743	
Loans receivable	<u>8,372,609</u>	<u>3,480,053</u>	<u>67,681</u>
Total Interest Revenue	8,651,111	5,197,598	258,776
<b>INTEREST EXPENSE - BONDS</b>	<u>8,534,593</u>	<u>3,480,053</u>	
Net Interest Revenue	<u>116,518</u>	<u>1,717,545</u>	<u>258,776</u>
<b>OTHER REVENUE</b>			
Surcharge from borrowers	808,608		
State funds			1,615,140
Administrative fee	1,211,368		50,118
Other	<u>9,387</u>	<u>5,085</u>	
Total Other Revenue	<u>2,029,363</u>	<u>5,085</u>	<u>1,665,258</u>
Net Revenue	<u>2,145,881</u>	<u>1,722,630</u>	<u>1,924,034</u>
<b>OTHER EXPENSE</b>			
Grant administration	1,010,151		
Project expenses		123,334	
General and administrative		257,804	102,734
Other	<u>78,870</u>	<u>395</u>	
Total Other Expenses	<u>1,089,021</u>	<u>381,533</u>	<u>102,734</u>
<b>NET INCOME BEFORE OPERATING TRANSFERS</b>	1,056,860	1,341,097	1,821,300
Operating transfers in (out)			<u>2,821,399</u>
<b>NET INCOME</b>	1,056,860	1,341,097	4,642,699
<b>RETAINED EARNINGS - BEGINNING OF YEAR</b>	<u>5,626,168</u>	<u>32,083,203</u>	<u>3,119,033</u>
<b>RETAINED EARNINGS - END OF YEAR</b>	<u>\$ 6,683,028</u>	<u>\$ 33,424,300</u>	<u>\$ 7,761,732</u>

<u>Totals</u>	
<u>1996</u>	<u>1995</u>
\$ 2,123,399	\$ 2,032,472
63,743	
<u>11,920,343</u>	<u>11,131,825</u>
14,107,485	13,164,297
<u>12,014,646</u>	<u>11,374,287</u>
<u>2,092,839</u>	<u>1,790,010</u>
808,608	840,004
1,615,140	1,615,140
1,261,486	1,149,325
<u>14,472</u>	<u>40,114</u>
<u>3,699,706</u>	<u>3,644,583</u>
<u>5,792,545</u>	<u>5,434,593</u>
1,010,151	813,147
123,334	78,959
360,538	342,415
<u>79,265</u>	<u>49,985</u>
<u>1,573,288</u>	<u>1,284,506</u>
4,219,257	4,150,087
<u>2,821,399</u>	<u>1,506,191</u>
7,040,656	5,656,278
<u>40,828,404</u>	<u>35,172,126</u>
<u>\$ 47,869,060</u>	<u>\$ 40,828,404</u>

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**ENTERPRISE FUNDS**

**COMBINING STATEMENT OF CASH FLOWS**

**YEAR ENDED DECEMBER 31, 1996**

(with comparative totals for the year ended December 31, 1995)

	<u>Water Pollution Control Revolving Fund</u>	<u>Water Operations Fund</u>	<u>Drinking Water Revolving Fund</u>
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Net income before operating transfers in	\$ <u>1,056,860</u>	\$ <u>1,341,097</u>	\$ <u>1,821,300</u>
Adjustments to reconcile operating income to net cash provided by operating activities:			
Depreciation		17,836	
Amortization of investment (discount) premium	42,935	37,253	
Changes in assets and liabilities related to operations:			
Decrease in due from other funds	876,629	5,113	
(Increase) in accounts receivable - Borrowers	(180,421)		
(Increase) decrease in interest receivable - Investments	(124,265)	3,058	(4,377)
Decrease in interest receivable - Loans		39,978	
Decrease in other assets		9,631	
Increase (decrease) in accounts payable	980	(1,434)	
Increase (decrease) in due to other funds	27,407		(32,520)
Increase in accounts payable - Borrowers	284,251	195	
(Decrease) in deferred revenue	(229,113)	(2,715)	
Increase (decrease) in interest payable	423,061	114,345	
Increase in other liabilities	<u>220,283</u>	<u>3,650</u>	
Total adjustments	<u>1,341,747</u>	<u>226,910</u>	<u>(36,897)</u>
Net cash provided by operating activities	<u>2,398,607</u>	<u>1,568,007</u>	<u>1,784,403</u>



<u>Totals</u>	
<u>1996</u>	<u>1995</u>
\$ 4,219,257	\$ 4,150,087
17,836	14,311
80,188	142,646
881,742	649,433
(180,421)	(355,345)
(125,584)	(210,850)
39,978	29,755
9,631	20,049
(454)	9,710
(5,113)	41,740
284,446	640,526
(231,828)	(67,778)
537,406	313,100
223,933	331,418
<u>1,531,760</u>	<u>1,558,715</u>
<u>5,751,017</u>	<u>5,708,802</u>

(Continued)

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**ENTERPRISE FUNDS**

**COMBINING STATEMENT OF CASH FLOWS**

YEAR ENDED DECEMBER 31, 1996

(with comparative totals for the year ended December 31, 1995)

(Continued)

	<u>Water Pollution Control Revolving Fund</u>	<u>Water Operations Fund</u>	<u>Drinking Water Revolving Fund</u>
<b>CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES</b>			
Operating transfer in			2,821,399
Net cash provided by noncapital financing activities			<u>2,821,399</u>
<b>CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES</b>			
Principal repayments of loans receivable	5,083,600	3,040,000	103,258
Principal paid on bonds	(4,975,000)	(3,040,000)	
Acquisition of furniture and fixtures		(12,862)	
Payment from other fund - Advance	1,002,075	722,635	
Bond proceeds	37,460,179	7,425,000	
Payment to refunded escrow agent	(30,176,467)		
Bond issuance costs	(406,066)		
Loans made/Project costs paid	(10,261,968)	(7,755,000)	(2,171,730)
Capitalization grants received	10,106,890		
Payment to other fund - Advance	<u>(722,635)</u>	<u>(1,002,075)</u>	
Net cash provided (required) by capital and related financing activities	<u>7,110,608</u>	<u>(622,302)</u>	<u>(2,068,472)</u>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>			
Net (increase) decrease in investments	<u>(5,675,103)</u>	<u>725,000</u>	
Net cash provided (required) by investing activities	<u>(5,675,103)</u>	<u>725,000</u>	
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>	3,834,112	1,670,705	2,537,330
<b>CASH AND CASH EQUIVALENTS - BEGINNING OF YEAR</b>	<u>10,352,560</u>	<u>26,196,888</u>	<u>2,449,277</u>
<b>CASH AND CASH EQUIVALENTS - END OF YEAR</b>	<u>\$ 14,186,672</u>	<u>\$ 27,867,593</u>	<u>\$ 4,986,607</u>

<u>Totals</u>	
<u>1996</u>	<u>1995</u>
<u>2,821,399</u>	<u>1,506,191</u>
<u>2,821,399</u>	<u>1,506,191</u>
8,226,858	8,584,554
(8,015,000)	(8,495,000)
(12,862)	(51,780)
1,724,710	2,309,080
44,885,179	24,525,000
(30,176,467)	
(406,066)	
(20,188,698)	(27,918,039)
10,106,890	8,894,795
<u>(1,724,710)</u>	<u>(2,309,080)</u>
<u>4,419,834</u>	<u>5,539,530</u>
<u>(4,950,103)</u>	<u>4,550,362</u>
<u>(4,950,103)</u>	<u>4,550,362</u>
8,042,147	17,304,885
<u>38,998,725</u>	<u>21,693,840</u>
<u>\$ 47,040,872</u>	<u>\$ 38,998,725</u>

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**REGULATORY BASIS  
COMBINING SCHEDULE OF BALANCE SHEET -  
WATER POLLUTION CONTROL REVOLVING FUND**

DECEMBER 31, 1996

(with comparative totals as of December 31, 1995)

<u>ASSETS</u>	<u>State Revolving Fund</u>	<u>Non-State Revolving Fund</u>	<u>Elimination</u>	<u>Water Pollution Control Revolving Fund</u>	
				<u>1996</u>	<u>1995</u>
Cash and cash equivalents	\$ 11,824,365	\$ 2,990,195	\$	\$ 14,814,560	\$ 15,300,914
Investments	<u>70,245,087</u>			<u>70,245,087</u>	<u>76,977,958</u>
Total cash, cash equivalents and investments	82,069,452	2,990,195		85,059,647	92,278,872
Current portion of loans receivable	5,759,868			5,759,868	5,083,599
Loans receivable	147,686,799			147,686,799	142,827,012
Accounts receivable - Borrowers	1,418,300	943,478		2,361,778	2,181,357
Interest receivable on investments	1,679,388	109,933		1,789,321	1,882,340
Capitalization grant receivable	<u>182,869</u>			<u>182,869</u>	<u>159,394</u>
Total Assets	<u>\$238,796,676</u>	<u>\$ 4,043,606</u>	<u>\$ -0-</u>	<u>\$242,840,282</u>	<u>\$244,412,574</u>
<b><u>LIABILITIES AND EQUITY</u></b>					
<b>LIABILITIES</b>					
Current portion of bonds payable	\$ 5,461,965	\$	\$	\$ 5,461,965	\$ 4,911,858
Long-term bonds payable	133,855,557			133,855,557	132,441,550
Accrued interest payable	2,985,347			2,985,347	2,791,399
Accounts payable - Other Borrowers	3,609,534	152,646		152,646	151,666
Deferred revenue	417,479			417,479	646,592
Project costs payable	14,986,876			14,986,876	30,655,349
Due to other funds		67,015		67,015	39,608
Advance payable		5,862,357		5,862,357	5,582,917
Other liabilities		<u>744,959</u>		<u>744,959</u>	<u>357,030</u>
Total Liabilities	<u>161,316,758</u>	<u>6,826,977</u>		<u>168,143,735</u>	<u>180,903,252</u>
<b>EQUITY</b>					
Contributions from Federal government	66,546,866	1,466,653		68,013,519	57,883,154
Retained earnings	<u>10,933,052</u>	<u>(4,250,024)</u>		<u>6,683,028</u>	<u>5,626,168</u>
Total Equity	<u>77,479,918</u>	<u>(2,783,371)</u>		<u>74,696,547</u>	<u>63,509,322</u>
Total Liabilities and Equity	<u>\$238,796,676</u>	<u>\$ 4,043,606</u>	<u>\$ -0-</u>	<u>\$242,840,282</u>	<u>\$244,412,574</u>

This schedule is prepared on a regulatory basis as required by the Environmental Protection Agency for the Water Pollution Control Revolving Fund. This presentation includes both Enterprise Fund and Agency Fund operations. Therefore, differences between this presentation and the combining statement do exist.

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**REGULATORY BASIS**

**COMBINING SCHEDULE OF REVENUE, EXPENSES AND  
CHANGES IN RETAINED EARNINGS**

**WATER POLLUTION CONTROL REVOLVING FUND**

**YEAR ENDED DECEMBER 31, 1996**

(with comparative totals for the year ended December 31, 1995)

	<u>State Revolving Fund</u>	<u>Non-State Revolving Fund</u>	<u>Elimination</u>	<u>Water Pollution Control Revolving Fund</u>	
				<u>1996</u>	<u>1995</u>
<b>INTEREST REVENUE</b>					
Cash and investments	\$ (50,844)	\$ 329,346	\$	\$ 278,502	\$ 324,422
Loans receivable	<u>8,372,609</u>			<u>8,372,609</u>	<u>7,790,814</u>
Total Interest Revenue	8,321,765	329,346		8,651,111	8,115,236
<b>INTEREST EXPENSE - BONDS</b>	<u>8,534,593</u>			<u>8,534,593</u>	<u>8,033,276</u>
Net Interest Revenue/ (Expense)	<u>(212,828)</u>	<u>329,346</u>		<u>116,518</u>	<u>81,960</u>
<b>OTHER REVENUE</b>					
Surcharge from borrowers	808,608			808,608	840,004
Administrative fee		1,211,368		1,211,368	1,136,274
Other		<u>9,387</u>		<u>9,387</u>	<u>38,400</u>
Total Other Revenue	<u>808,608</u>	<u>1,220,755</u>		<u>2,029,363</u>	<u>2,014,678</u>
Net Revenue	<u>595,780</u>	<u>1,550,101</u>		<u>2,145,881</u>	<u>2,096,638</u>
<b>OTHER EXPENSE</b>					
Grant administration		1,010,151		1,010,151	813,147
Other	<u>77,963</u>	<u>907</u>		<u>78,870</u>	<u>49,985</u>
Total Other Expense	<u>77,963</u>	<u>1,011,058</u>		<u>1,089,021</u>	<u>863,132</u>
<b>NET INCOME</b>	517,817	539,043		1,056,860	1,233,506
<b>RETAINED EARNINGS - BEGINNING OF YEAR</b>	<u>10,415,235</u>	<u>(4,789,067)</u>		<u>5,626,168</u>	<u>4,392,662</u>
<b>RETAINED EARNINGS - END OF YEAR</b>	<u>\$ 10,933,052</u>	<u>\$ (4,250,024)</u>	<u>\$ -0-</u>	<u>\$ 6,683,028</u>	<u>\$ 5,626,168</u>

This schedule is prepared on a regulatory basis as required by the Environmental Protection Agency for the Water Pollution Control Revolving Fund. This presentation includes both Enterprise Fund and Agency Fund operations. Therefore, differences between this presentation and the combining statement do exist.

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**  
**SCHEDULE OF REVENUE, EXPENDITURES AND CHANGES IN FUNDS AVAILABLE**

ACTUAL (NONGAAP BUDGETARY BASIS) AND BUDGET

WATER POLLUTION CONTROL REVOLVING FUND

YEAR ENDED DECEMBER 31, 1996

	<u>Actual</u>	<u>Amended Budget</u>	<u>Variance- Favorable (Unfavorable)</u>
<b>REVENUE</b>			
Investment interest income	\$ 278,502	\$ 400,000	\$ (121,498)
Administrative fee income	1,211,368	1,200,000	11,368
Loan interest income	8,372,609	8,590,000	(217,391)
State surcharge income	808,608	810,000	(1,392)
Capitalization grants received	10,130,365	10,000,000	130,365
Colorado state match	1,011,463	2,900,000	(1,888,537)
Loan principal repayments	5,083,600	5,200,000	(116,400)
Bond proceeds	37,460,179	40,000,000	(2,539,821)
Interim loan repayments	<u>0</u>	<u>2,500,000</u>	<u>(2,500,000)</u>
Total Revenue	<u>64,356,694</u>	<u>71,600,000</u>	<u>(7,243,306)</u>
<b>EXPENDITURES</b>			
Grant administration	1,010,151	1,085,000	74,849
Authority loan repayments	722,635	750,000	27,365
Bond principal payments	4,975,000	4,975,000	0
Payment to refunded bond escrow	30,176,467	30,180,000	3,533
Project costs paid - Direct loans	3,106,432	4,240,000	1,133,568
Loans made - Leveraged loans	7,155,536	7,500,000	344,464
Other	78,870	80,000	1,130
Bond interest expense	8,534,593	9,000,000	465,407
Bond issuance costs	573,712	575,000	1,288
WPCRF interim loans	<u>0</u>	<u>2,500,000</u>	<u>2,500,000</u>
Total Expenditures	<u>56,333,396</u>	<u>60,885,000</u>	<u>4,551,604</u>
<b>EXCESS REVENUE OVER EXPENDITURES</b>	<u>\$ 8,023,298</u>	<u>\$ 10,715,000</u>	<u>\$ (2,691,702)</u>

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**RECONCILIATION OF NONGAAP BUDGETARY BASIS (ACTUAL) TO**

**COMBINING STATEMENT OF REVENUE, EXPENSES AND**

**CHANGES IN RETAINED EARNINGS**

**WATER POLLUTION CONTROL REVOLVING FUND**

**YEAR ENDED DECEMBER 31, 1996**

<b>REVENUE (BUDGETARY BASIS)</b>	\$ 64,356,694
Bond proceeds	(37,460,179)
Capitalization grants received	(10,130,365)
Loan principal repayments	(5,083,600)
Colorado state match	<u>(1,002,076)</u>
Revenue (GAAP)	<u>10,680,474</u>
<b>EXPENDITURES (BUDGETARY BASIS)</b>	56,333,396
Project costs paid - Direct loans	(3,106,432)
Bond principal payments	(4,975,000)
Authority loan repayments	(722,635)
Payment to refunded bond escrow	(30,176,467)
Bond issuance costs	(573,712)
Loans made - Leveraged loans	<u>(7,155,536)</u>
Expenses (GAAP)	<u>9,623,614</u>
<b>NET INCOME PER COMBINING STATEMENT OF REVENUE, EXPENSES AND CHANGES IN RETAINED EARNINGS</b>	<u>\$ 1,056,860</u>

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**SCHEDULE OF REVENUE, EXPENDITURES AND CHANGES IN FUNDS**

**AVAILABLE - ACTUAL (NONGAAP BUDGETARY BASIS) AND BUDGET**

**WATER OPERATIONS FUND**

YEAR ENDED DECEMBER 31, 1996

	<u>Actual</u>	<u>Budget</u>	<u>Variance- Favorable (Unfavorable)</u>
<b>REVENUE</b>			
Investment interest income	\$ 1,653,802	\$ 1,352,100	\$ 301,702
Interest transfer from Animas - La Plata	2,821,399	2,738,124	83,275
WPCRF state match loan repayment	722,635	700,000	22,635
Interim loan repayments	2,514,119	3,000,000	(485,881)
Bond proceeds	7,425,000	15,000,000	(7,575,000)
Loan interest income	3,480,053	3,500,000	(19,947)
Other	<u>5,085</u>	<u>0</u>	<u>5,085</u>
Total Revenue	<u>18,622,093</u>	<u>26,290,224</u>	<u>(7,668,131)</u>
<b>EXPENDITURES</b>			
WPCRF state match loan	1,002,075	2,900,000	1,897,925
DWRF state match loan	0	1,200,000	1,200,000
General/administrative	239,968	354,100	114,132
Interim loans made	2,780,376	3,000,000	219,624
Interest transfer to DWRF	2,821,399	2,938,000	116,601
Bond interest expense	3,404,219	3,500,000	95,781
Bond issuance costs	191,919	420,100	228,181
Loans made - SWRP	7,233,476	14,580,000	7,346,524
Project expenditures	123,334	1,177,500	1,054,166
Capital expenditures	<u>12,862</u>	<u>18,000</u>	<u>5,138</u>
Total Expenditures	<u>17,809,628</u>	<u>30,087,700</u>	<u>12,278,072</u>
<b>EXCESS REVENUE OVER (UNDER) EXPENDITURES</b>			
	<u>\$ 812,465</u>	<u>\$ (3,797,476)</u>	<u>\$ 4,609,941</u>

Loan principal repayments equal bond principal payments. These transactions do not impact funds available or generate or use funds available; therefore, they are not included as part of the above budgetary comparison.



**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**RECONCILIATION OF NONGAAP BUDGETARY BASIS (ACTUAL) TO**

**COMBINING STATEMENT OF REVENUE, EXPENSES AND**

**CHANGES IN RETAINED EARNINGS**

**WATER OPERATIONS FUND**

**YEAR ENDED DECEMBER 31, 1996**

<b>REVENUE (BUDGETARY BASIS)</b>	\$ 18,622,093
WPCRF state match loan repayment	(722,635)
Interest transferred (from) Animas - La Plata	(2,821,399)
Interim loan principal repayments	(2,450,376)
Bond proceeds	<u>(7,425,000)</u>
Net Revenue (GAAP)	<u>5,202,683</u>
<b>EXPENDITURES (BUDGETARY BASIS)</b>	17,809,628
Depreciation	17,836
Capital expenditures	(12,862)
Amortization	75,834
WPCRF state match loan	(1,002,075)
Interest transfer to DWRF	(2,821,399)
Interim loans made	(2,780,376)
Bond issuance costs	(191,524)
Loans made - SWRP	<u>(7,233,476)</u>
Expenses (GAAP)	<u>3,861,586</u>
<b>NET INCOME PER COMBINING STATEMENT OF REVENUE, EXPENSES AND CHANGES IN RETAINED EARNINGS</b>	<u>\$ 1,341,097</u>

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**SCHEDULE OF REVENUE, EXPENDITURES AND CHANGES IN FUNDS**

**AVAILABLE - ACTUAL (NONGAAP BUDGETARY BASIS) AND BUDGET**

**DRINKING WATER REVOLVING FUND**

YEAR ENDED DECEMBER 31, 1996

	<u>Actual</u>	<u>Budget</u>	<b>Variance- Favorable (Unfavorable)</b>
<b>REVENUE</b>			
Investment interest income	\$ 191,095	\$ 51,860	\$ 139,235
Loan interest income	67,681	1,125,000	(1,057,319)
Interest transfer from water operations	2,821,399	2,938,000	(116,601)
Loan principal repayments	103,258	50,000	53,258
Bond proceeds		20,000,000	(20,000,000)
Capital contributions - EPA		2,750,000	(2,750,000)
Capital contributions - State match		1,200,000	(1,200,000)
State grant income	1,615,140	1,615,140	
Administrative fee income	<u>50,118</u>	<u>300,000</u>	<u>(249,882)</u>
<b>Total Revenue</b>	<u><b>4,848,691</b></u>	<u><b>30,030,000</b></u>	<u><b>(25,181,309)</b></u>
<b>EXPENDITURES</b>			
Administrative expenses	102,734	300,000	197,266
Project costs paid - Direct loans	2,171,730	2,200,000	28,270
Bond interest expense		650,000	650,000
Bond issuance costs		400,000	400,000
Transfer to Project Trust Fund		19,600,000	19,600,000
State match transfers		<u>1,200,000</u>	<u>1,200,000</u>
<b>Total Expenditures</b>	<u><b>2,274,464</b></u>	<u><b>24,350,000</b></u>	<u><b>22,075,536</b></u>
<b>EXCESS REVENUE OVER EXPENDITURES</b>	<u><b>\$ 2,574,227</b></u>	<u><b>\$ 5,680,000</b></u>	<u><b>\$ (3,105,773)</b></u>

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**RECONCILIATION OF NONGAAP BUDGETARY BASIS (ACTUAL) TO  
COMBINING STATEMENT OF REVENUE, EXPENSES AND CHANGES IN  
RETAINED EARNINGS**

**DRINKING WATER REVOLVING FUND**

**YEAR ENDED DECEMBER 31, 1996**

<b>REVENUE (BUDGETARY BASIS)</b>	<b>\$ 4,848,691</b>
Loan principal repayments	<u>(103,258)</u>
Revenue (GAAP)	<u>4,745,433</u>
<b>EXPENDITURES (BUDGETARY BASIS)</b>	<b>2,274,464</b>
Project costs paid - Direct loans	<u>(2,171,730)</u>
Expenses (GAAP)	<u>102,734</u>
<b>NET INCOME PER COMBINING STATEMENT OF REVENUE, EXPENSES AND CHANGES IN RETAINED EARNINGS</b>	<b><u>\$ 4,642,699</u></b>

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FIDUCIARY FUNDS

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**FIDUCIARY FUNDS**

**COMBINING BALANCE SHEET**

DECEMBER 31, 1996

(with comparative totals as of December 31, 1995)

<b>ASSETS</b>	<b>Trust Fund</b>	<b>Agency Funds</b>	<b>Totals</b>	
			<b>1996</b>	<b>1995</b>
<b>ASSETS</b>				
Cash and investments - Trust accounts	\$	\$ 22,608,006	\$ 22,608,006	\$ 49,380,070
State of Colorado pooled investments	42,400,000		42,400,000	27,326,405
Interest receivable on investments	<u>238,932</u>	<u>446,232</u>	<u>685,164</u>	<u>1,039,950</u>
<b>Total Assets</b>	<b><u>\$ 42,638,932</u></b>	<b><u>\$ 23,054,238</u></b>	<b><u>\$ 65,693,170</u></b>	<b><u>\$ 77,746,425</u></b>
 <b><u>LIABILITIES AND FUND BALANCE</u></b>				
<b>LIABILITIES</b>				
Project funds payable	\$	\$ 22,243,173	\$ 22,243,173	\$ 33,534,924
Interest due to borrowers		402,134	402,134	120,519
Due to other funds		<u>408,931</u>	<u>408,931</u>	<u>1,285,560</u>
<b>Total Liabilities</b>		<b><u>23,054,238</u></b>	<b><u>23,054,238</u></b>	<b><u>34,941,003</u></b>
 <b>FUND BALANCE</b>				
Reserve for Animas - La Plata		<u>42,638,932</u>	<u>42,638,932</u>	<u>42,805,422</u>
<b>Total Fund Balance</b>		<b><u>42,638,932</u></b>	<b><u>42,638,932</u></b>	<b><u>42,805,422</u></b>
<b>Total Liabilities and Fund     Balance</b>	<b><u>\$ 42,638,932</u></b>	<b><u>\$ 23,054,238</u></b>	<b><u>\$ 65,693,170</u></b>	<b><u>\$ 77,746,425</u></b>

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**FIDUCIARY FUNDS**

**COMBINING STATEMENT OF CHANGES IN ASSETS AND LIABILITIES -**

**AGENCY FUNDS**

YEAR ENDED DECEMBER 31, 1996

	<u>Balance January 1, 1996</u>	<u>Additions</u>	<u>Deletions</u>	<u>Balance December 31, 1996</u>
<b>WATER POLLUTION CONTROL REVOLVING ASSETS</b>				
Cash and investments - Trust accounts	\$ 30,872,927	\$ 8,350,343	\$ 25,035,848	\$ 14,187,422
Interest receivable on investments	<u>601,866</u>	<u>1,168,059</u>	<u>1,385,343</u>	<u>384,582</u>
Total Assets	<u>\$ 31,474,793</u>	<u>\$ 9,518,402</u>	<u>\$ 26,421,191</u>	<u>\$ 14,572,004</u>
<b>LIABILITIES</b>				
Project funds payable	\$ 30,189,233	\$ 6,965,000	\$ 22,991,160	\$ 14,163,073
Due to other funds	<u>1,285,560</u>	<u>1,168,059</u>	<u>2,044,688</u>	<u>408,931</u>
Total Liabilities	<u>\$ 31,474,793</u>	<u>\$ 8,133,059</u>	<u>\$ 25,035,848</u>	<u>\$ 14,572,004</u>
<b>WATER OPERATIONS ASSETS</b>				
Cash and investments - Trust accounts	\$ 3,433,548	\$ 7,233,476	\$ 2,246,440	\$ 8,420,584
Interest receivable on investments	<u>32,662</u>	<u>417,265</u>	<u>388,277</u>	<u>61,650</u>
Total Assets	<u>\$ 3,466,210</u>	<u>\$ 7,650,741</u>	<u>\$ 2,634,717</u>	<u>\$ 8,482,234</u>
<b>LIABILITIES</b>				
Project funds payable	\$ 3,345,691	\$ 7,233,476	\$ 2,499,067	\$ 8,080,100
Interest due to borrowers	<u>120,519</u>	<u>417,265</u>	<u>135,650</u>	<u>402,134</u>
Total Liabilities	<u>\$ 3,466,210</u>	<u>\$ 7,650,741</u>	<u>\$ 2,634,717</u>	<u>\$ 8,482,234</u>

(Continued)

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**FIDUCIARY FUNDS**

**COMBINING STATEMENT OF CHANGES IN ASSETS AND LIABILITIES -**

**AGENCY FUNDS**

**YEAR ENDED DECEMBER 31, 1996**

(Continued)

	<u>Balance</u> <u>January 1,</u> <u>1996</u>	<u>Additions</u>	<u>Deletions</u>	<u>Balance</u> <u>December 31,</u> <u>1996</u>
<b>TOTALS -</b>				
<b>ALL AGENCY FUNDS</b>				
<b>ASSETS</b>				
<hr/>				
Cash and investments -				
Trust accounts	\$ 34,306,475	\$ 15,583,819	\$ 27,282,288	\$ 22,608,006
Interest receivable on				
investments	<u>634,528</u>	<u>1,585,324</u>	<u>1,773,620</u>	<u>446,232</u>
Total Assets	<u>\$ 34,941,003</u>	<u>\$ 17,169,143</u>	<u>\$ 29,055,908</u>	<u>\$ 23,054,238</u>
<b>LIABILITIES</b>				
<hr/>				
Project funds payable	\$ 33,534,924	\$ 14,198,476	\$ 25,490,227	\$ 22,243,173
Interest due to borrower	120,519	417,265	135,650	402,134
Due to other funds	<u>1,285,560</u>	<u>1,168,059</u>	<u>2,044,688</u>	<u>408,931</u>
Total Liabilities	<u>\$ 34,941,003</u>	<u>\$ 15,783,800</u>	<u>\$ 27,670,565</u>	<u>\$ 23,054,238</u>



**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**SCHEDULE OF PROJECT COSTS PAYABLE - BY BORROWER - AGENCY FUNDS**

DECEMBER 31, 1996

	<b><u>Project Costs Payable</u></b>
<b>Water Pollution Control Revolving Fund</b>	
1992A - Eagle River Water and Sanitation District	\$ 469,032
1992B - City of Longmont	504,870
1995A - City of Brighton	3,339,559
1995A - City of Fort Morgan	10,000
1995A - City of Steamboat Springs	415,503
1995A - Eagle River Water and Sanitation District	1,059,786
1995A - Winter Park Water and Sanitation District	2,853,965
1996A - Town of Crested Butte	1,547,153
1996A - Mt. Crested Butte Water and Sanitation District	842,400
1996A - Fountain Sanitation District	1,620,805
1996A - City of Idaho Springs	<u>1,500,000</u>
	<u>14,163,073</u>
<b>Water Operations Fund</b>	
1992B - Mt. Werner Water and Sanitation District	245,612
1994A - Town of Berthoud	216,519
1994A - Town of Platteville	81,660
1994B - Town of Carbondale	1,113,198
1994B - Project 7 Water Authority	2,591
1994B - City of Rifle	190,785
1996A - City of Canon City	4,496,500
1996A - Town of Johnstown	<u>1,733,235</u>
	<u>8,080,100</u>
<b>Total Project Costs Payable</b>	<u>\$ 22,243,173</u>

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**SCHEDULE OF LOANS RECEIVABLE - BY BORROWER**

DECEMBER 31, 1996

<b>Water Operations Fund - Small Water Resources Projects</b>		
1990A -	East Dillon Water District	\$ 495,000
1990A -	Town of Estes Park	1,770,000
1990A -	City of Evans	140,000
1990A -	Fort Collins - Loveland Water District	2,115,000
1990A -	North Weld County Water District	2,525,000
1990A -	Eagle River Water and Sanitation District	1,645,000
1991A -	Edwards Metropolitan District	1,025,000
1991A -	Town of Estes Park	1,020,000
1991A -	Town of Minturn	345,000
1991A -	City of Steamboat Springs	1,000,000
1992A -	City of Brush	770,000
1992A -	City of Fort Lupton	2,640,000
1992A -	Town of LaSalle	1,610,000
1992A -	City of Louisville	6,600,000
1992B -	Central Weld County Water District	1,345,000
1992B -	City of Glenwood Springs	2,355,000
1992B -	Little Thompson Water District	4,425,000
1992B -	Town of Minturn	80,000
1992B -	Mt. Werner Water and Sanitation District	4,040,000
1992B -	North Weld County Water District	920,000
1994A -	Town of Berthoud	930,000
1994A -	City of Fort Morgan	2,345,000
1994A -	Town of Gypsum	675,000
1994A -	Parker Water and Sanitation District	560,000
1994A -	Town of Platteville	930,000
1994B -	Town of Carbondale	1,855,000
1994B -	Project 7 Water Authority	3,980,000
1994B -	City of Rifle	1,205,000
1996A -	City of Canon City	4,505,000
1996A -	Town of Johnstown	1,785,000
1996B -	Morgan County Quality Water District	985,000
1996		
Interim -	Town of Lochbuie	<u>330,000</u>
	<b>Total Water Operations Fund Loans Receivable - SWRP</b>	<b><u>\$ 56,950,000</u></b>

(Continued)

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**SCHEDULE OF LOANS RECEIVABLE - BY BORROWER**

DECEMBER 31, 1996

(Continued)

**Drinking Water Revolving Fund**

1995DL - Idledale Water and Sanitation District	\$ 241,765
1995DL - Town of Fairplay	239,709
1995DL - Town of Minturn	288,162
1995DL - Town of Empire	318,354
1995DL - Town of Elizabeth	497,344
1996DL - Lake Creek Meadows Metropolitan District	473,073
1996DL - Town of Fraser	200,000
1996DL - Baca Grande Water and Sanitation District	473,947
1996DL - Town of Firestone	92,690
1996DL - Town of Nunn	330,260
1996DL - Town of Lochbuie	350,130
1996DL - Town of Lyons	500,000
1996DL - Town of Bayfield	<u>350,000</u>
Total Drinking Water Revolving Fund Direct Loans Receivable	<u>\$ 4,355,434</u>

**Water Pollution Control Revolving Fund**

**Direct Loans** (Loans were provided by EPA Capitalization Grants and Authority resources)

1989DL - Larimer County Commissioners (Mountain Range Shadows Project)	\$ 1,349,555
1990DL - Mountain Water and Sanitation District	143,222
1990DL - Town of Wellington	268,544
1991DL - Durango West Metropolitan District No. 2	419,520
1992DL - Nucla Sanitation District	145,305
1992DL - City of Ouray	683,045
1992DL - Montrose County	232,128
1994DL - City of Fort Lupton	182,616
1994DL - St. Mary's Glacier Water and Sanitation District	139,236
1994DL - Roxborough Park Metropolitan District	573,733
1995DL - Parker Water and Sanitation District	395,289
1995DL - City of Fruita	147,855
1995DL - Town of Log Lane Village	243,630
1996DL - Town of Ordway	350,000
1996DL - City of Broomfield	2,514,119
1996DL - Town of Lyons	<u>600,000</u>
Total WPCRFB Direct Loans	<u>8,387,797</u>

(Continued)

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**SCHEDULE OF LOANS RECEIVABLE - BY BORROWER**

DECEMBER 31, 1996

(Continued)

**Leveraged Loans** (Loans were provided by bond proceeds, EPA Capitalization Grants and Authority resources)

1989A - Denver Southeast Suburban Water and Sanitation District (Denver S.E.)	5,884,413
1990A - Town of Castle Rock	3,521,495
1991A - City of Englewood	11,285,739
1991A - City of Littleton	11,286,353
1991B - Metro Wastewater Reclamation District (Metro)	18,079,902
1992A - City of Fort Lupton	3,743,393
1992A - Frisco Sanitation District	3,934,235
1992A - Eagle River Water and Sanitation District	6,638,598
1992B - City of Fort Collins	21,698,642
1992B - City of Longmont	2,966,434
1994A - City of Alamosa	2,989,888
1994A - Genesee Water and Sanitation District	1,380,858
1994A - City of Greeley	13,405,080
1994A - Parker Water and Sanitation District	1,637,406
1994A - Town of Windsor	3,761,140
1995A - City of Brighton	5,080,484
1995A - City of Craig	1,054,017
1995A - City of Fort Morgan	9,146,685
1995A - City of Steamboat Springs	1,499,514
1995A - Eagle River Water and Sanitation District	5,859,058
1995A - Winter Park Water and Sanitation District	3,050,000
1996A - Town of Crested Butte	2,499,120
1996A - Mt. Crested Butte Water and Sanitation District	1,399,080
1996A - Fountain Sanitation District	1,716,099
1996A - City of Idaho Springs	<u>1,541,237</u>
Total WPCRF Leveraged Loans	<u>145,058,870</u>
 Total Water Pollution Control Revolving Fund Loans Receivable	 <u>\$ 153,446,667</u>
 Total Loans Receivable	 <u>\$ 214,752,101</u>

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**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**SCHEDULE OF BONDS PAYABLE - BY ISSUE**

DECEMBER 31, 1996

<b>Water Operations Fund</b>	<u>Original Issue Amount</u>	<u>Current Amount Outstanding</u>	<u>Interest Rate</u>
<b>Small Water Resources Program Revenue Bonds</b>			
1990 Series A (Small Water Resources)	\$ 11,845,000	\$ 3,170,000	6.0% - 7.4%
1991 Series A (Small Water Resources)	4,000,000	3,390,000	4.8% - 7.0%
1992 Series A (Small Water Resources)	14,020,000	11,620,000	3.8% - 6.7%
1992 Series B (Small Water Resources)	14,825,000	13,165,000	2.9% - 6.2%
1993 Series A (Small Water Resources)	6,585,000	6,385,000	2.7% - 5.0%
1994 Series A (Small Water Resources)	5,835,000	5,440,000	3.4% - 5.875%
1994 Series B (Small Water Resources)	9,305,000	7,040,000	4.0% - 6.375%
1996 Series A (Small Water Resources)	6,385,000	6,290,000	3.7% - 5.45%
1996 Series B (Small Water Resources)	<u>1,040,000</u>	<u>985,000</u>	5.84% - 6.37%
Total Small Water Resources Revenue Bonds Payable	<u>73,840,000</u>	<u>57,485,000</u>	

**Due Dates**

**Early Redemption**

Serial Bonds through 2005, term bonds subject to mandatory redemption 2006 - 2010. Bonds maturing on 2003 and thereafter were refunded with the 1993A issue. Upper Eagle Regional Water Authority's outstanding bonds in the amount of \$750,000 were defeased in 1995

After 2000 at par

Serial Bonds through 2006, term bonds subject to mandatory redemptions 2001-2005 and 2007-2011

After 2001 at par

Serial Bonds through 2007, term bonds subject to mandatory redemptions 2004-2005 and 2008-2012

After 2002 at par

Serial Bonds through 2006, term bonds subject to mandatory redemption 2007-2012. Avon Metropolitan District's outstanding bonds in the amount of \$840,000 were defeased in 1996

2002-2003 at 101 % of par, 2003-2004 at 100.75 % of par, 2004-2005 at 100.5 % of par, 2005-2006 at 100.25 % of par, after 2006 at par

Serial Bonds through 2006, term bonds subject to mandatory redemption 2007-2010

After 2002 at par

Serial Bonds through 2003, term bonds subject to mandatory redemption 2007-2014

2002-2003 at 101 % of par, 2003-2004 at 100.5 % of par, after 2004 at par

Serial Bonds through 2009, term bonds subject to redemption 2010-2015. Upper Eagle Regional Water Authority's outstanding bonds in the amount of \$2,000,000 were defeased in 1995

After 2004 at par

Serial Bonds through 2010, term bonds subject to redemption 2011-2016

After 2006 at par

Taxable Serial Bonds 2001 through 2006, term bonds subject to mandatory redemption 1996-2000

The bonds are not subject to early redemption

(Continued)

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**SCHEDULE OF BONDS PAYABLE - BY ISSUE**

DECEMBER 31, 1996

(Continued)

<b>Water Pollution Control Revolving Fund</b>	<u>Original Issue Amount</u>	<u>Current Amount Outstanding</u>	<u>Interest Rate</u>
<b>Water Pollution Control Revolving Fund State Match Revenue Bonds</b>			
State Match 1989 Series A (Denver SE)	795,000	585,000	5.9% - 6.75%
State Match 1990 Series A (Castle Rock)	505,000	390,000	6.25% - 7.3%
State Match 1991 Series A (Englewood-Littleton)	3,035,000	2,170,000	5.75% - 6.9%
State Match 1991 Series B (Metro)	<u>2,705,000</u>	<u>1,680,000</u>	4.8% - 6.9%
Total WPCRF State Match Revenue Bonds Payable	<u>7,040,000</u>	<u>4,825,000</u>	
<b>Clean Water Revenue Bonds</b>			
Clean Water 1989 Series A (Denver SE)	6,905,000	1,755,000	5.95% - 7.0%
Clean Water 1990 Series A (Castle Rock)	3,890,000	1,120,000	6.25% - 7.4%
Clean Water 1991 Series A (Englewood-Littleton)	22,915,000	7,725,000	5.75% - 7.0%
Clean Water 1991 Series B (Metro)	19,685,000	6,520,000	4.8% - 6.90%



**Due Dates**

**Early Redemption**

Serial Bonds through 2005

After 1996 at par

Serial Bonds through 2005

After 1996 at par

Serial Bonds through 2004

2001-2002 at 101 % of par, 2002-2003 at 100.5 % of par, after 2003 at par

Serial Bonds through 2006

2001-2002 at 101 % of par, 2002-2003 at 100.5 % of par, after 2003 at par

Serial Bonds through 2005, term bonds subject to mandatory redemption 2006-2011. \$4,130,000 of bonds maturing in 2000 and thereafter were refunded with the issuance of the 1996 Series A Wastewater Revolving Fund Refunding Revenue Bonds

After 1999 at par

Serial Bonds through 2006, term bonds subject to mandatory redemption 2007-2010. \$2,060,000 of bonds maturing in 2001 and thereafter were refunded with the issuance of the 1996 Series A Wastewater Revolving Fund Refunding Revenue Bonds

From 2000-2001 at 101 % of par, after 2001 at par

Serial Bonds through 2007, term bonds subject to mandatory redemption 2008-2012. \$11,985,000 of bonds maturing in 2002 and thereafter were refunded with the issuance of the 1996 Series A Wastewater Revolving Fund Refunding Revenue Bonds

2001-2002 at 101 % of par, 2002-2003 at 100.5 % of par, after 2003 at par

Serial Bonds through 2006, term bonds subject to mandatory redemption 2007-2011. \$65,000 of bonds maturing in 1997 and \$9,660,000 of bond maturing in 2002 and thereafter were refunded with the issuance of the 1996 Series A Wastewater Revolving Fund Refunding Revenue Bonds

2001-2002 at 101 % of par, 2002-2003 at 100.5 % of par, after 2003 at par

(Continued)

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**SCHEDULE OF BONDS PAYABLE - BY ISSUE**

DECEMBER 31, 1996

(Continued)

<b>Water Pollution Control Revolving Fund</b>	<u>Original Issue Amount</u>	<u>Current Amount Outstanding</u>	<u>Interest Rate</u>
<b>Clean Water Revenue Bonds (Continued)</b>			
Clean Water 1992 Series A (Ft. Lupton, Frisco Sanitation District and Eagle River Water and Sanitation District)	15,200,000	13,545,000	4.15% - 6.25%
Clean Water 1992 Series B (Ft. Collins and Longmont)	25,785,000	23,635,000	3.75% - 6.0%
Clean Water 1994 Series A (Alamosa, Greeley, Windsor, Parker Water and Sanitation District and Genesee Water and Sanitation District)	22,510,000	21,800,000	3.8% - 6.3%
Clean Water 1995 Series A (Fort Morgan, Eagle River Water and Sanitation District, Brighton, Winter Park Water and Sanitation District, Steamboat Springs and Craig)	24,525,000	24,200,000	4.1% - 5.85%
Clean Water 1996 Series A (Crested Butte, Mt. Crested Butte Water and Sanitation District, Fountain Sanitation District and Idaho Springs)	<u>6,710,000</u>	<u>6,710,000</u>	4.25% - 5.9%
Total WPCRF Clean Water Revenue Bonds Payable	<u>148,125,000</u>	<u>107,010,000</u>	
Wastewater Revolving Fund Refunding Revenue Bonds 1996 Series A (Partial Refunding of the following Clean Water Bonds: 1989A, 1990A, 1991A and 1991B)	<u>28,950,000</u>	<u>28,950,000</u>	3.5% - 6.0%
<b>Total Bonds Payable</b>	<u>\$ 257,955,000</u>	<u>\$ 198,270,000</u>	

**Due Dates**

Serial Bonds through 2004, term bonds subject to mandatory redemptions 2005-2007 and 2008-2013

Serial Bonds through 2006, term bonds subject to mandatory redemptions 2007-2009 and 2010-2014

Serial Bonds through 2008, term bonds subject to mandatory redemptions 2009-2011 and 2012-2014

Serial Bonds through 2009, term bonds subject to mandatory redemptions 2010-2012 and 2013-2015

Serial Bonds 1998 through 2008, term bonds subject to mandatory redemptions 2009-2011 and 2012-2016

Serial Bonds 1997 through 2012

**Early Redemption**

2002-2003 at 101 % of par, 2003-2004 at 100.5 % of par, after 2004 at par

2002-2003 at 101 % of par, 2003-2004 at 100.5 % of par, after 2004 at par

2002-2003 at 102 % of par, 2003-2004 at 101 % of par, after 2004 at par

2003-2004 at 102 % of par, 2004-2005 at 101 % of par, after 2005 at par

2006-2007 at 101 % of par, 2007-2008 at 100.5 % of par, after 2008 at par

The Bonds are not subject to early redemption

**COLORADO WATER RESOURCES and POWER DEVELOPMENT AUTHORITY**  
**SCHEDULE OF CASH and INVESTMENTS HELD BY TRUSTEES - BY BOND ISSUE**  
**AS OF DECEMBER 31, 1996**

	<u>SWRP Revenue or DWRP and WPCRF Rebate Accounts</u>	<u>Debt Service Funds</u>	<u>Debt Service Reserve Funds</u>	<u>Project Accounts</u>	<u>Matching Accounts</u>	<u>Cost of Issuance Accounts</u>	<u>Other Accounts</u>	<u>TOTAL Cash and Investments By Bond Issue</u>
<b><u>Water Pollution Control Revolving Fund:</u></b>								
	<b>Rebate Accounts:</b>							
Clean Water Revenue Bonds, 1989 Series A		169,868			3,889,464			\$4,059,332
State Match Revenue Bonds, 1989 Series A		9,609	79,502					\$89,111
Clean Water Revenue Bonds, 1990 Series A		100,274			2,052,807			\$2,153,081
State Match Revenue Bonds, 1990 Series A		6,981	50,501					\$57,482
Clean Water Revenue Bonds, 1991 Series A		542,757			12,958,338			\$13,501,095
State Match Revenue Bonds, 1991 Series A		35,850	303,506					\$339,356
Clean Water Revenue Bonds, 1991 Series B	6,287	419,512			11,202,895			\$11,628,694
State Match Revenue Bonds, 1991 Series B		55,338	270,505					\$325,843
Clean Water Revenue Bonds, 1992 Series A		697,862		469,031	4,254,540			\$5,421,433
Clean Water Revenue Bonds, 1992 Series B		1,103,359		504,870	11,266,990			\$12,875,219
Clean Water Revenue Bonds, 1994 Series A	280,917	358		24,350	7,171,513			\$7,477,138
Clean Water Revenue Bonds, 1995 Series A	199,311	342,027		7,678,813	5,584,835			\$13,804,986
Clean Water Revenue Bonds, 1996 Series A		26,116		5,510,358	474,670			\$6,011,144
Refunding Revenue Bonds, 1996 Series A		71,313				167,864		\$239,177
Direct Loan Project Accounts							142,127	\$142,127
Direct Loan Surplus Matching Account							60,689	\$60,689
Direct Loan SRF Reloan Account							1,371,027	\$1,371,027
Direct Loan Administrative Fee Account							600,200	\$600,200
Leveraged Loan SRF Reloan Account							3,309,023	\$3,309,023
Leveraged Loan Administrative Fee Account							1,593,490	\$1,593,490
Subtotal - Water Pollution Control Revolving Fund	486,515	3,581,224	704,014	14,187,422	58,856,052	167,864	7,076,556	\$85,059,647

**COLORADO WATER RESOURCES and POWER DEVELOPMENT AUTHORITY**  
**SCHEDULE OF CASH and INVESTMENTS HELD BY TRUSTEES - BY BOND ISSUE**  
**AS OF DECEMBER 31, 1996**

	<u>SWRP Revenue or DWRP and WPCRF Rebate Accounts</u>	<u>Debt Service Funds</u>	<u>Debt Service Reserve Funds</u>	<u>Project Accounts</u>	<u>Matching Accounts</u>	<u>Cost of Issuance Accounts</u>	<u>Other Accounts</u>	<u>TOTAL Cash and Investments By Bond Issue</u>
<b>Water Operations Fund:</b>								
<b>Revenue Accounts:</b>								
Small Water Resources Projects Program Debt Service Reserve Fund			8,912,599					\$8,912,599
Small Water Resources Projects Bonded Funds	923,706	0		8,420,584				\$9,344,290
Authority Operating and DSR Funds							18,031,288	\$18,031,288
Subtotal - Water Operations Fund	923,706	0	8,912,599	8,420,584	0	0	18,031,288	\$36,288,177
<b>Drinking Water Revolving Fund:</b>								
<b>Rebate Accounts:</b>								
Direct Loan Project Accounts							1,422,829	\$1,422,829
Drinking Water Funding Account							3,373,456	\$3,373,456
Direct Loan Repayment Account							173,871	\$173,871
Direct Loan SRF Reloan Account							7,729	\$7,729
Direct Loan Administrative Fee Account							8,722	\$8,722
Subtotal - Drinking Water Revolving Fund	0	0	0	0	0	0	4,986,607	\$4,986,607
<b>Animas - La Plata Escrow Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>42,400,000</b>	<b>\$42,400,000</b>
<b>Colorado Water Resources and Power Development Authority - TOTAL Cash and Investments</b>	<b>\$1,410,221</b>	<b>\$3,581,224</b>	<b>\$9,616,613</b>	<b>\$22,608,006</b>	<b>\$58,856,052</b>	<b>\$167,864</b>	<b>\$72,494,451</b>	<b>\$168,734,431</b>

**COLORADO WATER RESOURCES and POWER DEVELOPMENT AUTHORITY**  
**SCHEDULE OF CASH and INVESTMENTS HELD BY TRUSTEES - BY BOND ISSUE**  
**AS OF DECEMBER 31, 1996**

	<u>Cash</u>	<u>Cash Held by State Treasurer</u>	<u>Stagecoach Treasury Money Market Fund</u>	<u>COLOTRUST Local Gov't Investment Pool</u>	<u>US Treasury Notes - SLGS</u>	<u>US Treasury Bills, Notes and Bonds (Amortized Cost)</u>	<u>Repurchase Agreements</u>	<u>TOTAL Cash and Investments By Bond Issue</u>
<b>Water Pollution Control Revolving Fund:</b>								
Clean Water Revenue Bonds, 1989 Series A			868,632		3,190,700			\$4,059,332
State Match Revenue Bonds, 1989 Series A			89,111					\$89,111
Clean Water Revenue Bonds, 1990 Series A			388,188		1,385,000	379,893		\$2,153,081
State Match Revenue Bonds, 1990 Series A			57,482					\$57,482
Clean Water Revenue Bonds, 1991 Series A			562,966		9,858,600	2,161,183	918,346	\$13,501,095
State Match Revenue Bonds, 1991 Series A			339,356					\$339,356
Clean Water Revenue Bonds, 1991 Series B			656,182		9,156,500	1,816,012		\$11,628,694
State Match Revenue Bonds, 1991 Series B			325,843					\$325,843
Clean Water Revenue Bonds, 1992 Series A			1,311,933		2,669,000	1,440,500		\$5,421,433
Clean Water Revenue Bonds, 1992 Series B			1,634,519		8,753,600	2,487,100		\$12,875,219
Clean Water Revenue Bonds, 1994 Series A			580,926				6,896,212	\$7,477,138
Clean Water Revenue Bonds, 1995 Series A			657,573				13,147,413	\$13,804,986
Clean Water Revenue Bonds, 1996 Series A			26,116				5,985,028	\$6,011,144
Refunding Revenue Bonds, 1996 Series A			239,177					\$239,177
Direct Loan Project Accounts			142,127					\$142,127
Direct Loan Surplus Matching Account			60,689					\$60,689
Direct Loan SRF Reloan Account			1,371,027					\$1,371,027
Direct Loan Administrative Fee Account			600,200					\$600,200
Leveraged Loan SRF Reloan Account			3,309,023					\$3,309,023
Leveraged Loan Administrative Fee Account			1,593,490					\$1,593,490
Subtotal - Water Pollution Control Revolving Fund	0	0	14,814,560	0	35,013,400	8,284,688	26,946,999	\$85,059,647

**COLORADO WATER RESOURCES and POWER DEVELOPMENT AUTHORITY**  
**SCHEDULE OF CASH and INVESTMENTS HELD BY TRUSTEES - BY BOND ISSUE**  
**AS OF DECEMBER 31, 1996**

	<u>Cash</u>	<u>Cash Held by State Treasurer</u>	<u>Stagecoach Treasury Money Market Fund</u>	<u>COLOTRUST Local Gov't Investment Pool</u>	<u>US Treasury Notes - SLGS</u>	<u>US Treasury Bills, Notes and Bonds (Amortized Cost)</u>	<u>Repurchase Agreements</u>	<u>TOTAL Cash and Investments By Bond Issue</u>
<b>Water Operations Fund:</b>								
Small Water Resources Projects Program Debt Service Reserve Fund	0			8,912,599				\$8,912,599
Small Water Resources Projects Bonded Funds	61,642			3,052,913			6,229,735	\$9,344,290
Authority Operating and DSR Funds	(448)	17,729,840		301,896				\$18,031,288
Subtotal - Water Operations Fund	61,194	17,729,840	0	12,267,408	0	0	6,229,735	\$36,288,177
<b>Drinking Water Revolving Fund:</b>								
Direct Loan Project Accounts	9			1,422,820				\$1,422,829
Drinking Water Funding Account		3,373,456						\$3,373,456
Direct Loan Repayment Account	0			173,871				\$173,871
Direct Loan SRF Reloan Account	0			7,729				\$7,729
Direct Loan Administrative Fee Account	1			8,721				\$8,722
Subtotal - Drinking Water Revolving Fund	10	3,373,456	0	1,613,141	0	0	0	\$4,986,607
Animas - La Plata Escrow Fund	0	42,400,000	0	0	0	0	0	\$42,400,000
Colorado Water Resources and Power Development Authority - TOTAL Cash and Investments	\$61,204	\$63,503,296	\$14,814,560	\$13,880,549	\$35,013,400	\$8,284,688	\$33,176,734	\$168,734,431

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REPORTS REQUIRED BY THE SINGLE AUDIT ACT OF 1984

INDEPENDENT AUDITOR'S REPORT ON SUPPLEMENTARY  
SCHEDULE OF FEDERAL FINANCIAL ASSISTANCE

**Van Schooneveld and Co., Inc.**  
Certified Public Accountants

Principals  
Jack C. Schroeder  
Larry R. Beardsley  
Richard M. Carlson  
Mark D. Elmshauser  
Kevin F. Collins

**Board of Directors  
Colorado Water Resources and Power Development Authority  
Denver, Colorado**

We have audited the financial statements of the Colorado Water Resources and Power Development Authority as of and for the year ended December 31, 1996, and have issued our report thereon dated February 28, 1997. These financial statements are the responsibility of the Colorado Water Resources and Power Development Authority's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with generally accepted auditing standards and *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

Our audit was made for the purpose of forming an opinion on the financial statements of the Colorado Water Resources and Power Development Authority, taken as a whole. The accompanying Schedule of Federal Financial Assistance is presented for purposes of additional analysis and is not a required part of the financial statements. The information in that schedule has been subjected to the auditing procedures applied in the audit of the financial statements and, in our opinion, is fairly presented in all material respects in relation to the financial statements taken as a whole.

*Van Schooneveld and Co., Inc.*

February 28, 1997

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**SCHEDULE OF FEDERAL FINANCIAL ASSISTANCE**

YEAR ENDED DECEMBER 31, 1996

<u>Grantor/ Program Title</u>	<u>Federal CFDA Number</u>	<u>Grant Award</u>	<u>Accrued December 31, 1995</u>	<u>Receipts</u>	<u>Contributed Capital Recognized Which Equals Expenditures</u>	<u>Accrued December 31, 1996</u>
<b>U.S. Environmental Protection Agency</b>						
Title VI Water Pollution Control Revolving Fund						
1993 grant	66.458	\$15,374,601	\$ 159,394	\$10,011,791	\$ 9,852,397	\$ -0-
1994 grant	66.458	\$ 9,536,100		95,099	277,968	182,869
1995 grant	66.458	\$ 9,852,000				
1996 grant	66.458	\$16,138,618				
 Total Federal Financial Assistance			<u>\$ 159,394</u>	<u>\$10,106,890</u>	<u>\$ 10,130,365</u>	<u>\$ 182,869</u>

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INTERNAL CONTROL STRUCTURE

INDEPENDENT AUDITOR'S REPORT ON THE INTERNAL  
CONTROL STRUCTURE BASED ON AN AUDIT OF THE  
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE  
WITH *GOVERNMENT AUDITING STANDARDS*

**Van**  
**Schooneveld and Co., Inc.**  
Certified Public Accountants

Principals  
Jack C. Schroeder  
Larry R. Beardsley  
Richard M. Carlson  
Mark D. Elmshauser  
Kevin F. Collins

**Board of Directors**  
**Colorado Water Resources and Power Development Authority**  
**Denver, Colorado**

We have audited the financial statements of the Colorado Water Resources and Power Development Authority (the Authority) as of and for the year ended December 31, 1996, and have issued our report thereon dated February 28, 1997.

We conducted our audit in accordance with generally accepted auditing standards and *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

The management of the Authority is responsible for establishing and maintaining an internal control structure. In fulfilling this responsibility, estimates and judgments by management are required to assess the expected benefits and related costs of internal control structure policies and procedures. The objectives of an internal control structure are to provide management with reasonable, but not absolute, assurance that assets are safeguarded against loss from unauthorized use or disposition and that transactions are executed in accordance with management's authorization and recorded properly to permit the preparation of financial statements in accordance with generally accepted accounting principles. Because of inherent limitations in any internal control structure, errors or irregularities may nevertheless occur and not be detected. Also, projection of any evaluation of the structure to future periods is subject to the risk that procedures may become inadequate because of changes in conditions or that the effectiveness of the design and operation of policies and procedures may deteriorate.

In planning and performing our audit of the financial statements of the Authority for the year ended December 31, 1996, we obtained an understanding of the internal control structure. With respect to the internal control structure, we obtained an understanding of the design of relevant policies and procedures and whether they have been placed in operation, and we assessed control risk in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide an opinion on the internal control structure. Accordingly, we do not express such an opinion.



Page Two  
Board of Directors  
Colorado Water Resources and Power Development Authority

Our consideration of the internal control structure would not necessarily disclose all matters in the internal control structure that might be material weaknesses under standards established by the American Institute of Certified Public Accountants. A material weakness is a condition in which the design or operation of one or more of the specific internal control structure elements does not reduce to a relatively low level the risk that errors and irregularities in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control structure and its operations that we consider to be material weaknesses as defined above.

However, we noted other matters involving the internal control structure and its operations that we have reported to the management of the Colorado Water Resources and Power Development Authority in a separate letter dated February 28, 1997.

This report is intended for the information of management of the Authority, the Colorado State Auditor's office and the United States Environmental Protection Agency. However, this report is a matter of public record and its distribution is not limited.

*W. A. Schramm, Jr., CPA, Inc.*

February 28, 1997

INDEPENDENT AUDITOR'S REPORT ON THE INTERNAL  
CONTROL STRUCTURE USED IN ADMINISTERING  
FEDERAL FINANCIAL ASSISTANCE PROGRAM



Principals  
Jack C. Schroeder  
Larry R. Beardsley  
Richard M. Carlson  
Mark D. Elmshauser  
Kevin F. Collins

**Board of Directors  
Colorado Water Resources and Power Development Authority  
Denver, Colorado**

We have audited the financial statements of the Colorado Water Resources and Power Development Authority (the Authority) as of and for the year ended December 31, 1996, and have issued our report thereon dated February 28, 1997. We have also audited the compliance of the Colorado Water Resources and Power Development Authority with requirements applicable to its major federal financial assistance program and have issued our report thereon dated February 28, 1997.

We conducted our audits in accordance with generally accepted auditing standards; *Government Auditing Standards*, issued by the Comptroller General of the United States and Office of Management and Budget Circular A-128, *Audits of State and Local Governments*. Those standards and OMB Circular A-128 require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement and about whether the Authority complied with laws and regulations, noncompliance with which would be material to a major federal financial assistance program.

In planning and performing the audits for the year ended December 31, 1996, we considered the internal control structure of the Authority in order to determine our auditing procedures for the purpose of expressing our opinions on the financial statements of the Authority and the compliance of the Authority with requirements applicable to its major program and to report on the internal control structure in accordance with OMB Circular A-128. This report addresses our consideration of internal control structure policies and procedures relevant to compliance with requirements applicable to federal financial assistance programs. We have addressed internal control structure policies and procedures relevant to our audit of the financial statements in a separate report dated February 28, 1997.

The management of the Authority is responsible for establishing and maintaining an internal control structure. In fulfilling this responsibility, estimates and judgments by management are required to assess the expected benefits and related costs of internal control structure policies and procedures. The objectives of an internal control structure are to provide management with reasonable, but not absolute, assurance that assets are safeguarded against loss from unauthorized use or disposition, that transactions are executed in accordance with management's authorization and recorded properly to permit the preparation of financial statements in accordance with generally accepted accounting principles and federal financial assistance programs are managed in compliance with applicable laws and regulations. Because of inherent limitations in any internal control structure, errors, irregularities or instances of noncompliance may nevertheless occur and not be detected. Also, projection of any evaluation of the structure to future periods is subject to the risk that procedures may become inadequate because of changes in conditions or that the effectiveness of the design and operation of policies and procedures may deteriorate.

For the purpose of this report, we have classified the significant internal control structure policies and procedures used in administering federal financial assistance programs into the following categories.

Internal Accounting Controls

- . Cash receipts, revenue, loans receivable
- . Cash disbursements, expenditures, accounts payable
- . Payroll/labor allocation
- . Investing
- . Debt

Controls Used in Administering Federal Financial Assistance Program

General Requirements

- . Political activity
- . Davis-Bacon Act
- . Civil rights
- . Cash management
- . Relocation assistance and real property management
- . Federal financial reports
- . Allowable costs/cost principles
- . Drug-free workplace
- . Administrative requirements

Specific Requirements

- . Types of services/types of costs allowed or unallowed
- . Matching, level of effort or earmarking requirements
- . Special reporting requirements
- . Special tests and provisions (binding commitments and first use)
- . Monitoring subrecipients
- . Claims for advances and reimbursements

For all the internal control structure categories listed above, we obtained an understanding of the design of relevant policies and procedures and determined whether they have been placed in operation and we assessed control risk.

During the year ended December 31, 1996, the Authority expended 100% of its federal financial assistance under one major federal financial assistance program.

We performed tests of controls, as required by OMB Circular A-128, to evaluate the effectiveness of the design and operation of internal control structure policies and procedures that we considered relevant to preventing or detecting material noncompliance with specific requirements, general requirements, and requirements governing claims for advances and reimbursements and amounts claimed or used for matching that are applicable to the major federal financial assistance program of the Authority which is identified in the accompanying Schedule of Federal Financial Assistance. Our procedures were less in scope than would be necessary to render an opinion on these internal control structure policies and procedures. Accordingly, we do not express such an opinion.

Our consideration of the internal control structure policies and procedures used in administering federal financial assistance would not necessarily disclose all matters in the internal control structure that might constitute material weaknesses under standards established by the American Institute of Certified Public Accountants. A material weakness is a condition in which the design or operation of one or more of the internal control structure elements does not reduce to a relatively low level the risk that noncompliance with laws and regulations that would be material to a federal financial assistance program may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control structure and its operations that we consider to be material weaknesses as defined above.

However, we noted other matters involving the internal control structure and its operations that we have reported to the management of the Colorado Water Resources and Power Development Authority in a separate letter dated February 28, 1997.

This report is intended for the information of management of the Authority, the Colorado State Auditor's office and the United States Environmental Protection Agency. However, this report is a matter of public record and its distribution is not limited.

*Karen Schumann*

February 28, 1997

COMPLIANCE

INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE  
BASED ON AN AUDIT OF THE FINANCIAL STATEMENTS  
PERFORMED IN ACCORDANCE WITH  
*GOVERNMENT AUDITING STANDARDS*

**Board of Directors  
Colorado Water Resources and Power Development Authority  
Denver, Colorado**

We have audited the financial statements of the Colorado Water Resources and Power Development Authority (the Authority) as of and for the year ended December 31, 1996, and have issued our report thereon dated February 28, 1997.

We conducted our audit in accordance with generally accepted auditing standards and *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

Compliance with laws, regulations, contracts and grants applicable to the Authority is the responsibility of the Authority's management. As part of obtaining reasonable assurance about whether the financial statements are free of material misstatement, we performed tests of the Authority's compliance with certain provisions of laws, regulations, contracts and grants. However, the objective of our audit of the financial statements was not to provide an opinion on overall compliance with such provisions. Accordingly, we do not express such an opinion.

The results of our tests disclosed immaterial instances of noncompliance that are required to be reported herein under *Government Auditing Standards*, which are described in the accompanying Schedule of Findings and Questioned Costs.

This report is intended for the information of management of the Authority, the Colorado State Auditor's office and the United States Environmental Protection Agency. However, this report is a matter of public record and its distribution is not limited.

*Van Schooneveld and Co., Inc.*

February 28, 1997



INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE WITH  
THE GENERAL REQUIREMENTS APPLICABLE TO FEDERAL  
FINANCIAL ASSISTANCE PROGRAM

**Board of Directors**  
**Colorado Water Resources and Power Development Authority**  
**Denver, Colorado**

We have audited the financial statements of the Colorado Water Resources and Power Development Authority (the Authority) as of and for the year ended December 31, 1996, and have issued our report thereon dated February 28, 1997.

We have applied procedures to test the Authority's compliance with the following requirements applicable to its federal financial assistance program, which is identified in the Schedule of Federal Financial Assistance for the year ended December 31, 1996.

- . Political Activity
- . Davis-Bacon Act
- . Civil rights
- . Cash management
- . Relocation assistance and real property management
- . Federal financial reports
- . Allowable costs/cost principles
- . Drug-free workplace
- . Administrative requirements

Our procedures were limited to the applicable procedures described in the Office of Management and Budget's *Compliance Supplement for Single Audits of State and Local Governments*. Our procedures were substantially less in scope than an audit, the objective of which is the expression of an opinion on the Authority's compliance with the requirements listed in the preceding paragraph. Accordingly, we do not express such an opinion.

With respect to the items tested, the results of those procedures disclosed no material instances of noncompliance with the requirements listed in the second paragraph of this report. With respect to items not tested, nothing came to our attention that caused us to believe that the Authority had not complied, in all material respects, with those requirements.

This report is intended for the information of management of the Authority, the Colorado State Auditor's office and the United States Environmental Protection Agency. However, this report is a matter of public record and its distribution is not limited.



February 28, 1997

INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE WITH  
SPECIFIC REQUIREMENTS APPLICABLE TO MAJOR  
FEDERAL FINANCIAL ASSISTANCE PROGRAM

**Board of Directors**  
**Colorado Water Resources and Power Development Authority**  
**Denver, Colorado**

We have audited the financial statements of the Colorado Water Resources and Power Development Authority (the Authority) as of and for the year ended December 31, 1996, and have issued our report thereon dated February 28, 1997.

We have also audited the Authority's compliance with requirements governing:

- . Types of service/types of cost allowed or unallowed
- . Matching, level of effort or earmarking requirements
- . Special reporting requirements
- . Special tests and provisions (binding commitments and first use)
- . Monitoring subrecipients
- . Claims for advances and reimbursements

that are applicable to its major federal financial assistance program which is identified in the accompanying Schedule of Federal Financial Assistance for the year ended December 31, 1996. The management of the Authority is responsible for the Authority's compliance with those requirements. Our responsibility is to express an opinion on compliance with those requirements based on our audit.

We conducted our audit of compliance with those requirements in accordance with generally accepted auditing standards; *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-128, *Audits of State and Local Governments*. Those standards and OMB Circular A-128 require that we plan and perform the audit to obtain reasonable assurance about whether material noncompliance with the requirements referred to above occurred. An audit includes examining, on a test basis, evidence about the Authority's compliance with those requirements. We believe that our audit provides a reasonable basis for our opinion.

The results of our audit procedures disclosed an immaterial instance of noncompliance with the requirements referred to above, which are described in the accompanying Schedule of Findings and Questioned Costs. We considered this instance of noncompliance in forming our opinion on compliance which is expressed in the following paragraph.

In our opinion, the Authority complied, in all material respects, with the requirements governing types of services/types of costs allowed or unallowed, matching, level of effort or earmarking requirements, special reporting requirements, special tests and provisions, monitoring subrecipients and claims for advances and reimbursements that are applicable to its major federal financial assistance program for the year ended December 31, 1996.

Page Two  
Board of Directors  
Colorado Water Resources and Power Development Authority

This report is intended for the information of management of the Authority, the Colorado State Auditor's office and the United States Environmental Protection Agency. However, this report is a matter of public record and its distribution is not limited.

*V. A. Schramm, Chairman*

February 28, 1997

**COLORADO WATER RESOURCES AND POWER DEVELOPMENT AUTHORITY**

**SCHEDULE OF FINDINGS AND QUESTIONED COSTS**

**YEAR ENDING DECEMBER 31, 1996**

<b><u>Program</u></b>	<b><u>Finding/Noncompliance</u></b>	<b><u>Questioned Cost</u></b>
Title VI, Water Pollution Control Revolving Fund CFDA No. 66.458	During our review of a subrecipient's single audit, it was noted that the subrecipient had not included the Title VI, Water Pollution Control Revolving Fund Program on their Schedule of Federal Financial Assistance.	None

**Management Response**

Management has taken steps to ensure that Title VI, Water Pollution Control Revolving Fund monies passed through will be addressed by the specific subrecipient in question. Management believes that this is an isolated instance. Management also believes that no plan of corrective action is required as the amount of pass through funds which was not on this particular subrecipient's Schedule of Federal Financial Assistance, represents less than 2% of total federal funds disbursed by the Authority.

**Auditor's Response**

We concur that no plan of corrective action is required.

**NOTE:**

There were no findings addressed in the 1995 Single Audit Report dated March 1, 1996.

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**Attachment 5**  
**Interim WPCRF Rules**



### 5.2.7 INTENDED USE PLAN

The Water Quality Control Division, in cooperation with the Division of Local Government, the Authority, Regional Water Quality Planning Organizations, and the NPS Task Force, will develop an annual Intended Use Plan. The Plan will be recommended to the Commission for final agency action which will also provide for public comment and review to comply with the Federal Act.

### 5.2.9 INTERIM RULES FOR FY95 INTENDED USE PLAN

#### (1) AUTHORITY

The Water Pollution Control Revolving Fund (WPCRF) was authorized by Congress in Title II and Title VI of the 1987 Amendments to the Clean Water Act (hereinafter referred to as the "Federal Act").

Senate Bill 50 (1988) gives authorization for the State to participate in the WPCRF by amending the Colorado Water Resources and Power Development Authority Act, Title 37 of Article 95, C.R.S. (The "Authority Act"); this allows the Colorado Water Resources and Power Development Authority (hereinafter referred to as the "Authority") to provide funds for the State's twenty percent match required by the Federal Act. Sections 37-95-103 and 37-95-107.6, C.R.S.

Section 25-8-202 (1)(e) and (g), C.R.S., of the Colorado Water Quality Control Act (the "State Act") also provides the Water Quality Control Commission ("Commission") and the Water Quality Control Division ("Division") with the authority to promulgate, implement and administer this regulation.

#### (2) DEFINITIONS

See the State Act, the Authority Act, and the Federal Act for additional definitions.

- (a) "Beneficial Use" - The use or reuse of treatment works effluent or the use of Biosolids to act as a soil conditioner or low grade fertilizer for the promotion of vegetative growth on land. Also means a use of water, including the method of diversion, storage, transportation, treatment and application; that is reasonable and consistent with the public interest in the proper utilization of water.
- (b) "Biosolids" - The accumulated residual product resulting from processing waste materials from a wastewater and water treatment plant.
- (c) "Collection System" - A system of sewers used to collect wastewater and convey the wastewater by gravity or pressure flow to a common point.
- (d) "Governmental Agencies" - Departments, divisions, or other units of state government, special districts, water conservation districts, metropolitan water districts, conservancy districts, irrigation districts, municipal corporations, counties, cities and other political subdivisions, the United States or any agency thereof, and any agency commission or authority established pursuant to an interstate compact or agreement.

- (e) "Ground Waters" - Are subsurface waters which are or can be brought to the surface of the ground or to surface waters through wells, springs, seeps, or other discharge areas.
- (f) "Interceptor Sewer" - (intercepting sewer) - A sanitary sewer that receives flows from a number of collector sewers or outlets and transports such wastewater to a point for treatment or disposal.
- (g) "Management Agency" - Any public agency designated for wastewater management responsibilities in a certified areawide Water Quality Management Plan prepared under Section 208 of the Federal Act. Such designation shall be considered final only upon the agency's acceptance of its responsibilities as outlined in the appropriate 208 plan.
- (h) "Nonpoint Source (NPS)" - A diffused pollution source that is not regulated as a point source including but not limited to sources that are often associated with agriculture, inactive or abandoned mining, silviculture, urban runoff, or runoff from construction activities. Nonpoint source pollution does not emanate from a discernible, confined, and discrete conveyance (such as a single pipe) but generally results from land runoff, precipitation, atmospheric deposition, or percolation.
- (i) "NPS Project Implementation Plan" - A plan which describes proposed improvements to lessen a nonpoint source pollution problem. At a minimum, the plan shall describe existing conditions, proposed improvements, anticipated benefits, project costs, financial arrangements and ongoing project maintenance and monitoring.
- (j) "NPS Education Program" - A project which raises public awareness of a prevalent NPS problem and has a primary purpose including disseminating information to the public. As a minimum, a project description shall include a plan which describes the intent, proposed information for dissemination, project cost, financial arrangements and anticipated benefits.
- (k) "Point Source" - Any discernible, confined, and discrete conveyance, including, but not limited to, any pipe, ditch, channel, tunnel, conduit, well, discrete fissure, container, rolling stock, concentrated animal feeding operation, or vessel or other floating craft, from which pollutants are or may be discharged. "Point source" does not include agricultural stormwater discharges and return flows from irrigated agriculture.
- (l) "Pollution" - Activities or events that degrade the physical, chemical, biological, and radiological integrity of water.
- (m) "Project" - A specific improvement to a water quality management system, phase or segment.
- (n) "Project Eligibility List" - The list of projects eligible for financial assistance from the Authority through the WPCRF or its other funding capabilities, as adopted and modified in accordance with Section 37-95-107.6(4).

- (o) "Public Health Hazard" - Any contamination of ground or surface waters, caused by inadequate treatment works or disposal of inadequately treated sludge, which potentially endangers public health through contamination of drinking water supplies or direct public exposure. To qualify as a public health hazard, the contamination must be documented by means of a sanitary survey or engineering report submitted by a governmental agency to the Water Quality Control Division.
- (p) "Refinance Project" - Refinancing of debt obligations for eligible projects where the initial debt was incurred and construction began after March 7, 1985.
- (q) "Regional Project" - A water quality management system project that will combine and/or eliminate one or more existing treatment works or water quality management systems. An Intergovernmental agreement or contract shall be signed or a resolution adopted by the project participants of the regional project.
- (r) "Significant Non-Compliance" - Violation of limits in a discharge permit, issued under Section 402 of the Federal Act and/or part 5 of the State Act. Significant non-compliance with the discharge permit means any 2 or more exceedances of a non-toxic parameter which are 40% or more over the permit limit or 4 marginal violations (less than 40% over the permit limit) of the permit within a 6 month period. For toxic parameters, significant non-compliance is determined by 2 or more exceedances of a permit limit which are 20% or more over the limit or 4 marginal violations (less than 20% over the permit limit) of the permit within a 6 month period. Significant non-compliance is determined within the 12 month period prior to the time the project eligibility list is prepared.
- (s) "Sewage Sludge" - Solid, semi-solid, or liquid residue generated during the treatment of domestic sewage in a treatment works. Sewage sludge includes, but is not limited to, domestic septage; scum or solids removed in wastewater treatment processes; and any material derived from sewage sludge. Sewage sludge does not include ash generated during the firing of sewage sludge in a sewage sludge incinerator or material generated from preliminary treatment of domestic sewage in a treatment works.
- (t) "Small Communities" - Identified specifically for funding from the WPCRF and State Construction Grants Programs, are defined as those governmental agencies with an existing population under 5,000.
- (u) "State Waters" - Includes any and all surface and subsurface waters which are contained in or flow in or through this state, but does not include waters in sewage systems, waters in treatment works or disposal systems, waters in potable water distribution systems, and all water withdrawn for use until use and treatment have been completed.
- (v) "Treatment Works" - Any devices and systems used in the storage, treatment, recycling or reclamation of municipal sewage, sewage sludge, and biosolids, or industrial wastes of a liquid nature, or necessary to recycle or reuse water or biosolids at the most economical cost over the estimated life of the works, including intercepting sewers,

outfall sewers, sewage collection systems, pumping, power, and other equipment; extensions, improvements, remodeling, additions, and alterations thereof; elements essential to provide a reliable recycled supply such as stand-by treatment units and clear well facilities; and any works, including site acquisition of the land that will be an integral part of the treatment process (including land used for the storage of treated wastewater in land treatment systems prior to land application) or is used for ultimate disposal of residues resulting from such treatment. In addition, treatment works means any other method or system for preventing, abating, reducing, storing, treating, separating, or disposing of domestic wastewater, including stormwater runoff, or industrial waste, or waste in combined stormwater and sanitary sewer systems.

- (w) "Water Conservation Project" - Any structural or nonstructural water conservation measure that achieves a reduction in wastewater flow for a publicly-owned treatment works. Structural measures shall include but are not necessarily limited to, installation of interior low-flow plumbing fixtures which are distributed and/or installed by a governmental agency or which are funded in whole or in part by the governmental agency and water meters which are funded and owned by the governmental agency. Nonstructural measures shall include but are not necessarily limited to: incentives for previously installed low-flow fixtures, leaking or I/I detection programs, public awareness, public education, and incentive wastewater and water service charges and treatment works effluent reuse.
- (x) "Water Quality Management Plan" - The plan for managing the water quality, including consideration of the relationship of water quality to land and water resources and uses, on an areawide basis, for each planning area designated pursuant to Sections 208(a) and 303(e) and 319 of the Federal Act.
- (y) "Water Quality Management System" - Any treatment works or any other system for disposal of sewage, biosolids, and industrial waste, or NPS pollution control, stormwater protection, ground water protection and treatment operated by a governmental agency.
- (z) "Water Quality Management System Phase or Segment" - Any portion of a water quality management system described in an approved plan which can be identified as a contract, sub-item or subcontract. Completion of building of a system phase or segment may, but need not in and of itself, result in a complete system.
- (aa) "Watershed" - A geographical area in which activities may significantly impact a water body (or segment of concern) or an underlying aquifer. There are several considerations in defining the geographical scope of a watershed: hydrology, political boundaries, uses to be protected, nature of the water quality problem, manageability, available resources, and public interest.
- (bb) "Watershed Protection Approach" - An integrated, holistic strategy to protect or attain the desired beneficial uses within a watershed, including protection of human health and aquatic ecosystems.

(3) PURPOSE

The purpose of the WPCRF is to provide financial assistance to governmental agencies for the construction of any project as defined herein that appears on the Project Eligibility List.

The Project Eligibility List is intended to:

- (a) Identify governmental agencies and their projects that will correct water quality problems and are eligible for funding by the WPCRF as determined by the provisions of the Authority Act.
- (b) Allocate program funds in a manner that will most benefit water quality improvement.

(4) INTENDED USE PLAN

The Water Quality Control Division, in cooperation with the Division of Local Government and the Authority will develop an annual Intended Use Plan (IUP). The IUP is required by the Federal Act and the Authority Act to provide information about how the State will assist communities with their water quality needs. The Plan will be recommended to the Commission for final agency action which will also provide for public comment and review to comply with the Federal Act.

(5) GENERAL POLICIES

- (a) The Commission will consider protection of public health and water quality when determining the Water Quality Management System projects eligible for WPCRF participation.
- (b) The Commission will maintain and improve water quality in the State by providing accessibility to the WPCRF.
- (c) The Commission will encourage regionalization of water quality management systems where practicable. It is the policy of the Commission to encourage good local planning within the concept of an Areawide Water Quality Management Plan. Projects shall conform to a State approved plan, (for example, water quality management plan, NPS management plan, etc.) as updated from time to time or they may not be considered for assistance through the WPCRF.
- (d) It is the policy of the Commission and the State Board of Health to promote biosolids disposal methods for beneficial use as opposed to other sludge disposal methods. It is also the Commission's policy to promote water conservation and reuse measures.
- (e) The Commission intends the project list to identify the long term water quality needs in Colorado and the Project Eligibility List to identify projects that have met the requirements to receive loan funds.

(6) PROCEDURES FOR IDENTIFYING PROJECTS AND ESTABLISHING THE PROJECT ELIGIBILITY LIST

- (a) Each year the Division shall (after consultation with interested persons and entities, including but not limited to the Division of Local Government, the Authority, designated regional water quality planning organizations, watershed planning organizations and the Nonpoint Source Task Force) review, update, and compile a project list that contains a project description that will improve or benefit water quality which may include but are not limited to the following examples: Impact on public health; Impact on fish and wildlife; Impact on the ecosystem; Improving water quality to meet applicable standards for streams, lakes, and ground water; Protection of classified uses.

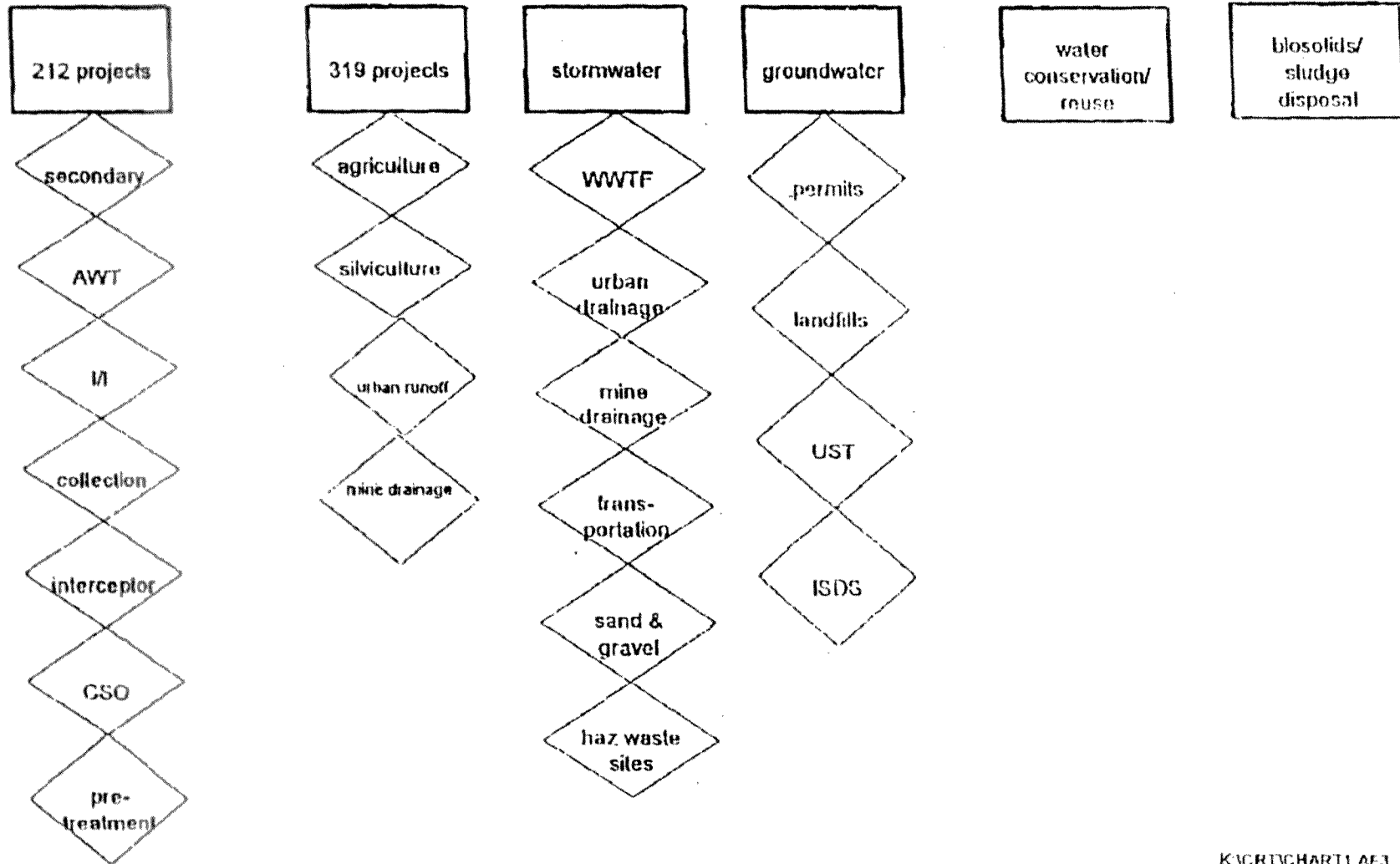
This list will be included in the IUP as Appendix A and presented to the Commission for a public rulemaking hearing.

- (b) The project list shall include three categories. Category 1 includes those projects that improve or benefit public health. Category 2 on the list includes those projects that will utilize proactive and long range planning of water quality approaches and/or pollution prevention methods, for example: Capacity and growth issues; Water reuse; Water conservation; Best Management Practices for NPS. Category 3 is the remainder of projects listed that will improve or benefit water quality.
- (c) Projects will be placed on the Project Eligibility List for funding once the Division has determined which projects from the list have or are anticipated to have an approved planning document prepared in accordance with WPCRF procedures during the funding year.

Governmental Agencies must complete a planning document, identifying a specific project that is cost-effective, environmentally acceptable, and consistent with applicable Local, State and Federal regulations and guidelines. The Division will review those projects to which Environmental Assessments and public participation requirements apply in accordance with the EPA approved State Environmental Review Policy.

- (d) The attached Figure 1, Eligibilities Chart, shall be used as guidance for identifying eligible projects; however, projects not associated with wastewater treatment facilities will be limited to that portion of the project that benefits public health and water quality (e.g. a landfill).
- (e) The Project Eligibility List will identify the governmental agency that will enter into the loan agreement and repay the loan. Before receiving WPCRF funding, the governmental agency must develop a user charge and fee system or other financial mechanism to ensure operation, maintenance and replacement needs are met and to ensure repayment of any WPCRF loan and existing debt.
- (f) The Commission will schedule a hearing for public review and comment on the IUP. Each governmental agency on the proposed lists will receive notification. After considering all pertinent comments, the Commission shall approve the IUP and adopt

FIGURE 1 - ELIGIBILITIES CHART



additions and modifications to the Project Eligibility List no later than December 31 of each year.

- (g) Projects will be funded if:
  - (i) Governmental agencies wish to participate in the WPCRF.
  - (ii) Governmental agencies are ready to proceed with the project.
  - (iii) Governmental agencies are in compliance with requirements identified in 602(b)(6) of the Federal Act.
  - (iv) Governmental agencies have the financial capability to repay a loan.
- (h) If it is determined that the WPCRF lacks sufficient funds to cover loans for all eligible projects that are ready to proceed, Category 1 projects will be funded prior to Category 2 projects, which will be funded prior to Category 3 projects. Within each category, the following criteria will be used to prioritize the projects:
  - (i) Priority will be given to the project of any governmental agency that is under an enforcement action and/or compliance schedule relating to water quality standards as long as the enforcement action does not relate to administrative requirements of the program.
  - (ii) If there are more projects of governmental agencies under enforcement actions than there are funds available, higher priority will be given to those entities that have a greater financial need as determined by procedures and recommendations of the Division of Local Government.
  - (iii) Higher Priority will also be given to any project serving a small community.



5.2.10 STATEMENT OF BASIS, SPECIFIC STATUTORY AUTHORITY, AND PURPOSE  
(1997 REVISIONS)

The provisions of sections 25-8-202(1)(e), and (g); 25-8-308 (1)(d); and 37-95-107.6(4) C.R.S. provide the specific statutory authority for adoption of the attached regulatory amendments. The Commission also adopted, in compliance with section 24-4-103(4) C.R.S., the following statement of basis and purpose.

BASIS AND PURPOSE

The Federal Clean Water Act and the Environmental Protection Agency have authorized flexibility to state revolving fund programs by encouraging states to fund "expandable uses" and integrate other water quality eligibilities into their priority systems and plans for distributing funds. The Water Quality Control Commission authorized a critical review team to review and propose revisions to the existing Water Pollution Control Revolving Fund (WPCRF) Rules 5.2.0 (5 CCR 1002-21) to assist communities that are proactive with compliance issues and encourage pollution prevention. With these broad directions in place, the Interim WPCRF Rules with expanded eligibilities were proposed.

The existing WPCRF Rules have separate priority ranking systems for point source (wastewater projects defined by Section 212 of the Federal Act) and nonpoint source projects. The nonpoint source priority system has not been utilized since 1992. The point source priority system required points to be compiled for each project; first for severity of pollution problems, then for quality of receiving waters, financial need, regionalization, water conservation and beneficial use.

The Interim WPCRF Rules provide for a list of all projects that improve or benefit water quality with emphasis on public health, and proactive and long range planning of water quality approaches and/or pollution prevention methods. A more detailed prioritization will be assembled if there is a shortage of funds available.

This interim rule provides two major benefits:

- ◆ Even though traditional wastewater projects are still a priority and will be identified as such, this rule will allow communities to receive low interest loans for other water quality concerns in their area, such as: stormwater, biosolids, water reuse, landfills, etc.
- ◆ The effort of compiling the project list will be more strategic with planning and watershed efforts, more accurate with addressing actual water quality needs, less subjective and less resource intensive as far as collecting data to prioritize each project (which would be very difficult with such diverse types of projects).

In subsection (a) of section 5.2.9(6), Procedures for Identifying Projects and Establishing the Project Eligibility List, the Commission has included broader language regarding consultation than appears in the existing rule. The purpose of this language is to further strengthen the WPCRF program by broadening community involvement. The Commission is hopeful that this will result in more timely and accurate needs assessment information, improved coordination between different funding sources, and ongoing feedback on the WPCRF program.

The critical review team recommended that the Commission adopt an interim rule to assess the outcome of such a radical change in prioritizing water quality projects in Colorado before permanently changing this regulation. Therefore, this rulemaking has not repealed the existing regulatory provisions, but rather provides that the new Interim Rules will apply for purposes of developing the FY98 Intended Use Plan. It is anticipated that the effectiveness and appropriateness of this Interim WPCRF Rule will be discussed by the Commission at its October, 1997 meeting, following the hearing on the FY98 Intended Use Plan. At that time the Commission will discuss the operation of the Interim Rules and whether they should be modified, repealed, or made permanent.