



2012-2013 Consolidated Annual Performance Evaluation Report

State of Colorado Department of Local Affairs

Reeves Brown, Executive Director
Chantal Unfug, Director, Division of Local Government
Pat Coyle, Director, Division of Housing

Table of Contents

GENERAL.....	3
Executive Summary	3
General Questions	8
Managing the Process.....	19
Citizen Participation.....	20
Institutional Structure.....	20
Gaps in Institutional Structure:	20
Strategy to Overcome Gaps	21
Monitoring	22
DOLA Monitoring of Consolidated Plan Goals and Objectives	25
Lead-Based Paint	30
Energy-Efficient Design and Construction	32
HOUSING	34
Housing Needs	34
Specific Housing Objectives	34
Public Housing Strategy.....	35
Barriers to Affordable Housing.....	35
HOME Program.....	36
HOMELESS.....	42
Homeless Needs	42
Specific Homeless Prevention Elements	42
Emergency Shelter Grants (ESG)	42
COMMUNITY DEVELOPMENT	45
Community Development.....	45
Antipoverty Strategy	49
NON-HOMELESS SPECIAL NEEDS.....	51
Specific HOPWA Objectives.....	51
OTHER NARRATIVE	53
Appendix A	HOME Program Annual Performance Report
Appendix B	HOME Match Report
Appendix C	2011 Housing Opportunities for Persons With AIDS Report
Appendix D	Community Development Block Grant Performance and Evaluation Report, Fiscal Years 2008-2012



Third Program Year CAPER

The CPMP Consolidated Annual Performance and Evaluation Report includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations.

GENERAL

Executive Summary

Introduction

Through attending and responding to the changing landscapes of state and local government, economic development, and housing markets The Department of Local Affairs (DOLA) successfully helped to create a suitable living environment, expand economic opportunity and provide decent affordable housing during the 2012 program year.

Using funds provided by the Department of Housing and Urban Development to provide financial and technical assistance to local governments, create economic development strategies and address affordable housing and homelessness, DOLA worked in cooperation with local communities to address the needs of Coloradans across the state.

HUD requires DOLA to prepare this Consolidated Annual Performance Evaluation Report (CAPER) annually to summarize progress made toward meeting Consolidated Plan goals using the Community Development Block Grant, HOME Investment Partnership, Emergency Solutions Grant, and Housing Opportunities for Persons with AIDS funds received for the 2012 program year.

Reporting Period

DOLA is the lead agency overseeing development of the Consolidated Plan and Annual Action Plans. This CAPER covers the period of April 1, 2012 – March 31, 2013.

Major Initiatives

The most commonly cited obstacles to meeting the needs of the under-served are

- 1) the lack of sustainable grant funding, and
- 2) the knowledge and capacity to apply for and administer federally regulated programs and projects by the smallest towns and most sparsely populated counties.

To address these obstacles, the State continued to collaborate with the Colorado Department of Public and Health and Environment and USDA Rural Development to address community development opportunities and provide specialized technical assistance for local governments to increase their knowledge of and access to available State and federal community development programs and resources. For sub-recipients the Department provided technical assistance and training with every contract awarded.

Colorado received its 2012 CDBG allocation of \$7,967,210, of which \$7,628,194 was available for local projects. The State set aside \$2,542,731 each for housing, public facilities and economic development projects. All projects receiving funds are awarded on a competitive basis.

The State contracted \$2,519,888 in public facility projects and \$293,657 in economic development projects during this reporting period. A detailed list of these projects is included in the program report. The Division of Housing contracted \$3,890,179 for rental and single-family owner-occupied home rehabilitation programs.

Through CDBG funds, DOLA served all rural counties with its Business Loan Funds. The Department reached out to businesses by hosting a regional business finance forum in June, 2012 in Golden, Colorado. The State advertised its infrastructure grants to municipal, county and economic development officials.

The Division of Housing (DOH) employed many strategies and sources of funding to meet the needs of the underserved, including the following:

DOH worked to create and preserve units of affordable housing in Colorado through workshops designed to provide technical assistance and build capacity among nonprofit housing developers, local governments and housing authorities, workshops to promote appropriate implementation of HUD regulation; and training that enables grantees to maximize program effectiveness and funding to projects that meet our underwriting requirements.

The Division emphasized increasing affordable housing inventory, and actively sought to increase the number of units available to those in the below 40% of AMI income bracket.

DOH provided one-on-one technical assistance to increase the capacity of Community Housing Development Organizations (CHDOs) and other housing providers.

At the same time, DOH worked to increase the agency capacity of homeless shelters and service providers that assist families and individuals in need, and worked to prevent homelessness.

As a result of these efforts, the Division of Housing funded the production of affordable housing with HOME and CDBG as summarized below:

- New construction of rental units = 290 units
- Rental Acquisition and Rehabilitation = 731 units
- Single family Rehabilitation = 166 households
- Homebuyer Assistance = 166 households
- Homebuyer Assistance and rehabilitation = 14
- New Construction of Ownership Units = 12 homes
- Community Housing Development Organization Operating Grants = 11 grants

See the table on page 9 to compare all Annual Action Plan Housing Goals with actual accomplishments for the program year.

HOME funds totaling \$ 8,200,590 were used to create new affordable housing, to acquire and/or rehabilitate rental units, create senior housing, fund tenant-based rental assistance, assist homebuyers, and fund capacity-building activities for community development housing organizations (CHDOs).

ESG provided \$1,864,078 in funding for shelters, homeless prevention and rapid re-housing providers for a total of 52 nonprofit agencies across the state.

HOPWA provided \$413,833, of which \$350,722 was expended, in funds for tenant-based rental assistance and supportive services for people living with HIV/AIDS to regions of the state outside the Denver Metro Area.

As a part of Governor Hickenlooper's statewide approach to deal with homelessness, called Pathways Home Colorado, the Division of Housing created a team to deal with homelessness prevention and selected its manager. The team oversees and coordinates homelessness prevention programs including programs for youth, for people with disabilities, for families and for people with special needs such as veterans and ex-offenders.

Other Programs Administered by the Department

Community Services Block Grant Program (CSBG): During this reporting period, the State received its 2012 CSBG allocation of \$ 5,856,056, of which \$5,270,450.00 was distributed to 40 local governments serving all 64 Colorado counties.

Energy and Mineral Impact Assistance Fund (EIAF): In 2012, the ability to award monies from this program was restored and \$61,940,250 was distributed to 502 counties, municipalities and school districts from the Severance Tax Direct Distribution and the Federal Mineral Lease Direct Distribution.

Local Limited Gaming Impact Fund (LLGIF): In 2012, the awarded \$4,475,408 million to 40 grantees.

State Housing Development Grants and Loans: DOH received Housing Development Grant (HDG) funds of \$2,200,000 for the state fiscal year July 1, 2012 – June 30, 2013. These State funds are the most flexible of the Division's resources, and help ensure that affordable rental housing is available for projects that may not qualify for HOME or CDBG funds. During the time period that overlapped the reporting period, DOH used \$1,829,759 to acquire and/or rehabilitate 295 rental units as part of 6 projects. 150 of the units provide supportive housing for people with disabilities, twenty are housing for seniors, eight provide housing for formerly homeless veterans, and 17 are transitional housing units with supportive services. 141 of these units are affordable at or below 50% of AMI, and another 111 affordable at less than 80% AMI. The remaining 43 are assisted living units that serve the elderly and younger adults with disabilities up to the 120% of AMI income level.

Rental Subsidy Programs: DOH serves over 7,000 families through HUD's Housing Choice Voucher and Shelter Plus Care Programs. DOH is committed to providing decent, safe and affordable housing to at risk populations in Colorado. It accomplishes this by providing a preference under its HCV Program for the following categories: disabled/elderly, homeless, domestic violence, and individuals exiting an institutional setting. In addition to the regular voucher program, DOH also has the following special population programs: Family Unification Program for homeless youth 18-21; Section 8 Homeownership; SRO Moderate Rehabilitation; VASH-Veterans Affairs Supportive Housing for homeless veterans; Welfare to Work for homeless families; Project-Based Assistance Program; Project Access for persons exiting nursing homes or other institutions, and the Shelter Plus Care program.

Colorado Second Chance Housing and Re-Entry Program (C-SHARP): The Division of Housing used HOME funds to provide tenant based rental assistance to newly released prisoners receiving re-entry services through a U.S. Dept. of Justice Second Chance program grant. The re-entry model used provides prisoners released from state prisons "as homeless," and who have also been diagnosed with serious mental health and substance abuse issues, with housing and supportive services based on the "Housing First" model. Sixty-one formerly incarcerated persons are receiving housing and services through this program. Additional funding was supplied by state Housing Development Grant funds and the Department of Justice.

Neighborhood Stabilization Program I and III:

The Neighborhood Stabilization Program (NSP1) was first created by Congress in the Housing and Economic Recovery Act of 2008, followed by the American Recovery and Reinvestment Act of 2009 (NSP2), and the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 (NSP3). The program is an effort to mitigate the neighborhood effects of foreclosures in areas of greatest need. NSP funds help stabilize the property values in targeted communities by decreasing the rate of decline in property values.

NSP is a component of the Community Development Block Grant (CDBG). All funds must serve households at or below 120% of the area median income and a minimum of 25% of the funds must serve households at or below 50% of the area median income, as the national objective.

Through NSP1, the State of Colorado was granted \$37.9 million in 2009, including the \$3.9 million it administers on behalf of the City of Colorado Springs. In addition, \$6.9 million was granted to the State of Colorado in NSP3 funding in 2011, including the \$1.4 million it administers on behalf of the City of Colorado Springs.

The Colorado Housing Investment Fund (CHIF):

In February 2012, the state of Colorado received over \$50 million as part of a settlement with the five largest mortgage servicing companies. Through this settlement, consisting entirely of custodial funds available through the Attorney General's office, funding would be available for homeowner relief, foreclosure prevention and affordable housing. The Colorado Housing Investment Fund (CHIF) was created from these custodial funds with \$13.2 million to address Colorado's need for affordable rental housing.

To kick off the new CHIF fund, a Special Request for Applications was announced in August 2012 with a deadline for applications November 1st. The Division of Housing

(DOH) received applications for nearly \$17 million of the newly funded CHIF fund. Applications were reviewed through March 2013 for the soundness of each project's financial plan and the need of the proposed projects.

The CHIF funds can be used two ways: 1) short term, low interest loans to bridge the long-term permanent financing sources (a portion of loan may remain in the project as permanent debt) and 2) short term loan guarantees for new construction and rehabilitation. The CHIF funds will revolve back into the CHIF fund allowing DOH to make more loans, as the short term loans are repaid or loan guarantees are released.

General Questions

- 1. Assessment of the one-year goals and objectives:**
- a. Describe the accomplishments in attaining the goals and objectives for the reporting period.**

The Colorado Department of Local Affairs established 14 goals in its 2012-2013 Consolidated Plan Action Plan. Those goals and our progress toward them are listed below:

1. Preserve the existing statewide supply of affordable rental or homeownership housing.
2. Increase the statewide supply of affordable "workforce" rental housing and homeownership in high need areas.
3. Increase the capacity and stability of local housing and service providers statewide.
4. Increase statewide pre-purchase homeownership counseling for low/moderate income and minority households.
5. Prevent and eliminate homelessness.
6. Increase statewide supply of housing coupled with services to increase or maintain independence of seniors and persons with disabilities.
7. Provide rental subsidies statewide for low-income households who would otherwise have to pay more than 30% of their household income for housing.
8. Project base Section 8 vouchers to provide a revenue source for housing units, and provide HOME and CDBG funding to fill gaps in development of units for the homeless and people with disabilities.
9. Assist low-income renters and owners with energy-efficiency upgrades.
10. Ensure the statewide safety and habitability of factory built/manufactured structures through program services that are efficient and effective.
11. Provide assistance to qualified small businesses to start or expand operations, and partner with local banks to fill gaps in financing packages, so that 51% of jobs are created or retained by persons of low-to moderate-income.
12. Assist communities with the installation of public infrastructure that will benefit start-up and expanding businesses that create or retain jobs, at least 51% of which will be or are filled by persons of low to moderate income.
13. Provide financial assistance to rural communities to implement community development and capital improvement activities.
14. Increase the capacity of local governments to administer Federal grants that facilitate the development of sustainability activities.

b. Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.

2012-2013 Production and Grant Funds Spent Compared to Targets

HUD Program Goal & Objective	DOLA Strategy	DOLA 2012-2013 Target	Actual Performance 2012-2013	Funding Amount and Sources
Decent Housing/ Availability	Preserve the existing statewide supply of affordable rental or home-ownership housing.	318 rental 128 owner units	731 rental 166 owner units	<input checked="" type="checkbox"/> CDBG \$3,014,990 <input checked="" type="checkbox"/> HOME \$4,501,600
Decent Housing/ Affordability	Increase the statewide supply of affordable "workforce" rental housing and homeownership in high need areas.	425 rental 190 owner Units	290 Rental 180 Owner Units	<input checked="" type="checkbox"/> CDBG \$785,189 <input checked="" type="checkbox"/> HOME \$2,503,990
Decent Housing/ Sustainability	Increase the capacity and stability of local housing and service providers statewide.	5% of HOME funds used for CHDO operating	5% of HOME funds used for CHDO operating	<input checked="" type="checkbox"/> CDBG \$50,000 <input checked="" type="checkbox"/> HOME \$ 298,545
Decent Housing/ Affordability	Increase statewide pre-purchase homeownership counseling for low/moderate income and minority households.	10 programs	12, including all homeownership programs.	Not a budget line item or separate program.
Suitable Living Environment/ Availability	Prevent and eliminate homelessness	10 new shelter beds; Operations/Essential Services for 4000 persons; Homeless prevention 700 households; 75 units permanent supportive housing	0 shelter beds; Ops/Ess.Svcs for est. 25,000 Homeless Prev for 20,000 50 Units	<input type="checkbox"/> None <input checked="" type="checkbox"/> ESG \$729,626 <input checked="" type="checkbox"/> ESG \$966,647 <input checked="" type="checkbox"/> HOME \$1,000,000
Decent Housing/ Affordability	Increase statewide supply of housing for seniors and persons with disabilities with services that increase or maintain independence.	95 housing units 90 persons with HIV/AIDS maintain housing stability	223 Units 64 persons	<input checked="" type="checkbox"/> HOME \$1,840,000 <input checked="" type="checkbox"/> HOPWA \$413,833
Decent Housing/ Affordability	Provide rental subsidies statewide for low-income households who would otherwise pay more than 30% of their household income for housing.	140 households	122 households	<input checked="" type="checkbox"/> HOME \$692,211
Decent Housing/ Affordability	Project base Section 8 vouchers to provide a revenue source for housing units and HOME and CDBG funding to fill gaps in development of units for the homeless and disabled populations	Project base at least 50 Section 8 vouchers	50 vouchers	Non-CPD Funds
Decent Housing/ Affordability	Assist low-income renters and owners with energy-efficiency upgrades.	75% of units produced will meet energy star or other efficiency standards.	80% of all rental units	Non-CPD Funds

HUD Program Goal & Objective	DOLA Strategy	DOLA 2012-2013 Target	Actual Performance 2012-2013	Funding Amount and Sources
Decent Housing/Affordability	Ensure the statewide safety and habitability of factory built/manufactured structures through program services that are efficient and effective.	<u>Reduce commercial and residential plan review turn-around time (days)</u> Benchmark: 15 days <u>Meet manufacturer plant inspection request dates</u> Benchmark: 100%	Both Benchmarks were met.	Non-CPD Funds
Economic Opportunity/Sustainability	Provide assistance to qualified small businesses to start or expand their operations, and partner with local banks to fill gaps in financing packages, so that 51% of jobs are created or retained by persons of low-to moderate-income.	# of jobs created or retained Benchmark: 100	During this program year, 31 businesses, 153 jobs have been created, 126 were low/mod, or 82.35% LMI	<input checked="" type="checkbox"/> CDBG \$293,657
Economic Opportunity/Sustainability	Assist communities with the installation of public infrastructure that will benefit start-up and expanding businesses that create or retain jobs, at least 51% of which will be or are filled by persons of low- to moderate income.	# of jobs created or retained Benchmark: 100	No projects funded this year, no requests received	<input type="checkbox"/> CDBG \$
Suitable Living Environment/Sustainability	Provide financial assistance to rural communities to implement community development and capital improvement activities.	Number of persons served as a result of the public facility improvements or construction Benchmark: 400	Projects funded are benefitting 3,300 persons, 2,298 are low/mod income	<input checked="" type="checkbox"/> CDBG \$2,519,888
Suitable Living Environment/Sustainability	Increase the capacity of local governments to administer federal grants that facilitate the development of sustainability activities.	Number of local government that increased their capacity to administer federal grants	179 local governments participated in trainings offered by DLG	<input checked="" type="checkbox"/> CDBG \$

c. If applicable, explain why progress was not made towards meeting the goals and objectives.

- *Suitable Living Environment/Availability* – No new emergency shelter beds were created because we received no requests for funding during the reporting period.
- *Economic Opportunity/ Sustainability* – No requests for funding were received for public infrastructure to improve economic opportunity.

Describe the manner in which the recipient would change its program as a result of its experiences.

We would better coordinate the Consolidated Planning and Analysis of Impediments to Fair Housing (AI) processes so that the AI could serve as a resource for the planning process and result in better coordinated goals and objectives.

Affirmatively Furthering Fair Housing:

- d. **Provide a summary of impediments to fair housing choice.**
- e. **Identify actions taken to overcome effects of impediments identified.**

Summary of Impediments

The list of impediments listed below is taken from DOLA's Analysis of Impediments that was completed in October, 2011..

Lack of Fair Housing Education and Coordination

Review of survey responses and Analyses of Impediments from entitlement areas indicates that many residents and property managers do not have access to information about fair housing rights and responsibilities. Most of the following impediments appear to be related to lack of knowledge, so this appears to be an underlying problem.

High Housing Costs Combined with Low Income/Wages

Survey responses, entitlement-area Analyses of Impediments, and Colorado Division of Housing Rental Housing Mismatch report and American Community Survey data most frequently name the shortage of affordable units for households with low and very low incomes. The lack of affordable housing has a disparate impact on Black/African Americans, Hispanics, American Indians, women and people with disabilities because higher percentages of these protected classes are low income.

However, high housing cost is not, in and of itself, an impediment to fair housing. It is the actions that communities take to limit the types and locations of affordable housing that can represent impediments to fair housing when they cause or exacerbate existing segregation, whether or not that is the community's intent.

The shortage and cost of housing specifically suited to people with disabilities is an additional impediment, as is a shortage of apartments with more than three bedrooms, which makes it difficult to house large families who need to rent.

Among the causes of high housing costs are those imposed by local governments, such as impact development fees and planning, zoning and building regulations. Other causes involve high land costs, the cost of shipping building materials through the mountains.

Impediments Specific to People with Disabilities

This was the largest single source of fair housing complaints filed from 2006-2009, accounting for nearly half of all filings:

- Lack of appropriate, accessible housing that is also affordable.
- Failure of landlords/property managers to provide reasonable accommodations for tenants with disabilities.
- Communication issues, especially for people with mental disabilities.

Community Resistance to Affordable and Special Needs Housing

The "Not in My Back Yard Syndrome" is an impediment to fair housing because it discourages or may even prevent development of affordable housing that would provide fair housing choice to protected classes. Twelve of the fourteen communities whose Analyses of Impediments were reviewed cited this as a major impediment.

Lack of Fair Housing Enforcement

The economic downturn and subsequent reductions in State and Federal revenue have led to a lack of funding for fair housing testing and enforcement. No non-profit organizations in Colorado have received fair housing Initiatives Program (FHIP) funding since 2007. Comments in our survey noted lack of enforcement and difficulty in assistance when experiencing discrimination. The Colorado Civil Rights Division is the lead state agency for fair housing enforcement.

Predatory Lending and Foreclosures

A study by the Colorado Civil Rights Division found that minorities, especially Blacks and Latinos, were targeted for subprime mortgage loans and that these groups consequently have experienced a disproportionate number of foreclosures.

Language and Cultural Issues

Persons who do not speak English well may be vulnerable to discrimination or unfair acts. Language barriers especially complicate landlord/tenant issues. Colorado’s population of low-English proficiency Spanish-speakers is 7.3%.

Transportation

Lack of public transit in many areas of the state as well as lack of affordable housing along existing transit routes impede fair housing choice. Housing patterns, location of employment opportunities and public transit are not coordinated so as to enable minorities and low income people to hold a job without having a car.

Local Government Regulations

Planning and zoning, definitions of “family,” land use plans, development fees, growth management programs and housing design specifications may increase the cost of housing and otherwise create impediments to fair housing choice.

Actions taken to Affirmatively Further Fair Housing:

Steps that DOLA has taken to affirmatively further fair housing during the reporting period include:

To Address Lack of Fair Housing Education and Coordination

Action	Agency	Expected Outcome	Specific Action and Timeline
Develop program to educate landlords & property managers about Fair Housing, especially as it affects people with disabilities.	DOH Fair Housing Coordinator/ Management	Improved compliance resulting in fewer complaints	Developed a new workshop that will be presented at 4 sites around the state beginning in June, 2013.
Provide information on web site and through listserv on Fair Housing training provided by others, and about funding available for Fair Housing (NOFAs).	DOH Fair Housing Coordinator/Web site staff	Higher attendance at training; more applications for FH funding	Ongoing
Train DOH staff to improve fair housing service to the public and technical assistance to housing providers.	DOH Fair Housing Coordinator/ Management	Increased staff awareness resulting in improved provider compliance	8 DOH staff members “attended” a NFHA webinar on fair housing for people with disabilities

Further development of Fair Housing web page	DOH Fair Housing Coordinator/Web site staff	Continually improved access to Fair Housing information	Ongoing. During the program year, DOH added a link to the new final rule regarding sexual orientation and gender identity.
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High Housing Costs Combined with Low Income/Wages:

Action	Agency	Expected Outcome	Specific Actions and Timeline
Annually, publish "Affordable Housing: A Guide for Local Officials" as a tool for local governments in creating affordable housing and reducing regulatory barriers.	DOH Housing Initiatives Coordinator	Keep current AH and FH information available for local governments	Updated version is in the final review stages. Added a section on fair housing.
Emphasize job creation under CDBG Section 3 when working with developers to underwrite new projects.	DOH and DLG Housing Development Staff and DLG Regional Managers	Improved job creation for low/mod residents resulting in better access to housing	Ongoing

Impediments Specific to People with Disabilities

Action	Agency	Expected Outcome	Specific Actions and Timeline
Provide technical assistance to property managers on how to fill accessible units with people who need them.	DOH Housing Development Specialists and Asset Managers	Increased tenancy by people with disabilities in appropriate units	Have provided TA to at least 3 housing providers on compliance with fair housing law.
Encourage local housing and disability service agencies to conduct tenant training programs to increase client knowledge of fair housing rights.	DOH Asset Managers	Improved tenant understanding of Fair Housing rights.	As part of ongoing monitoring and technical assistance.
Combine administration of all housing voucher programs by transferring administration of HCVs for people with disabilities from Dept. of Human Services to the Division of Housing	DOH Legislature/ Department Managers	Improved delivery of vouchers to people in need, increased capacity of HCV contractors	The combining of the SHHP and DOH HCV programs was finalized during the reporting period.
Improve accessibility of information from Division of Housing by making key documents available in large print and accessible by screen readers; web site to meet ADA accessibility standards.	DOH Fair Housing Coordinator	Improved access to information about Division of Housing affordable and fair housing efforts; higher citizen participation in processes.	Large print copies of Consolidated Plan, AI, PHA plans have been provided to people with disabilities upon request. The web site has been updated to meet ADA accessibility standards.

Community Resistance to Affordable and Special Needs Housing

Action	Agency	Expected Outcome	Specific Actions and Timeline
Direct housing developers to Knowledgeplex.com for information on working with neighbors to allay unfounded fears about the economic and social effects of affordable and special needs housing.	DOH Housing Development Specialists & Web site coordinator	Improved ability for housing providers to respond to community resistance.	Done

Lack of Fair Housing Enforcement

Action	Agency	Expected Outcome	Specific Actions and Timeline
Seek out new sources of funding for testing	DOH Fair Housing Coordinator	New sources will be found and applied for.	Ongoing – no new sources have been found.
Increase access to information and assistance about filing Fair Housing complaints.	DOH Fair Housing Coordinator	More complaints will result in increased enforcement.	Information posted on web site, brochures available at front desk.

Language and Cultural Issues

Action	Agency	Expected Outcome	Specific Actions and Timeline
Develop a list of Department employees who are bilingual and competent to act as interpreters and/or translators	DOH Fair Housing Coordinator	DOLA staff will have more ready access to interpreters when needed.	Done.
Analyze needs of Limited English Proficiency persons in Colorado and adopt a Language Assistance Plan if the analysis proves it is needed.	DOH Fair Housing Coordinator	Need for a Language Assistance Plan will be determined and plan developed and implemented if needed	4-Step Analysis was completed. Language group size requires written translation. Volume of LEP calls is too small for a full Language assistance plan.
Offer access to translations of fair housing information into Spanish and other languages through web site links to HUD and CCRD.	DOH Fair Housing Coordinator/ Web site staff	Information will be readily available to LEP persons.	Web links already provided.
Release key documents in Spanish as well as English.	DOH/DLG Fair Housing Coordinator	Improved access to information for the Spanish-speaking LEP persons.	On line translation services will be used then checked by

Transportation

Action	Agency	Expected Outcome	Specific Actions and Timeline
Continue to promote Sustainable Communities, which encompass transportation, and economic development as well as affordable housing.	DOLA/DOH and DLG staff members	Continued and improved access to transportation from affordable housing	Ongoing
Encourage development of affordable housing close to public transit, especially where it provides access to better employment opportunities.	DOH Housing Development Specialists	Continued and improved access to transportation from affordable housing	Ongoing
Encourage the development of affordable housing close to services and employment in places where public transportation is not economically feasible.	DOH Housing Development Specialists	Continued and improved access to transportation from affordable housing	Ongoing

Local Government Regulations

Action	Agency	Expected Outcome	Specific Actions and Timeline
Continue to educate local governments about barriers to affordable and Fair Housing.	DOH Initiatives and Research work group.	Reduction in regulatory barriers to affordable/fair housing.	Updated version of Affordable Housing: A guide for Local Officials by 7/1/2012.
Ensure that local government applicants have Fair Housing Plans in place and that they enforce them.	DOH Housing Development Specialists & Asset Managers	Compliance with AFHMP requirements	Ongoing

To address language and cultural barriers, Division of Housing has taken the following actions:

- Fair Housing posters/flyers are available in Spanish on our web site and in our offices, and are made available to all of our grantees.
- Emergency Solutions Grant agencies are provided with Fair Housing flyers with contact information in both English and Spanish
- The “Puzzle of Homeownership” training on the Division of Housing web site is available in Spanish.

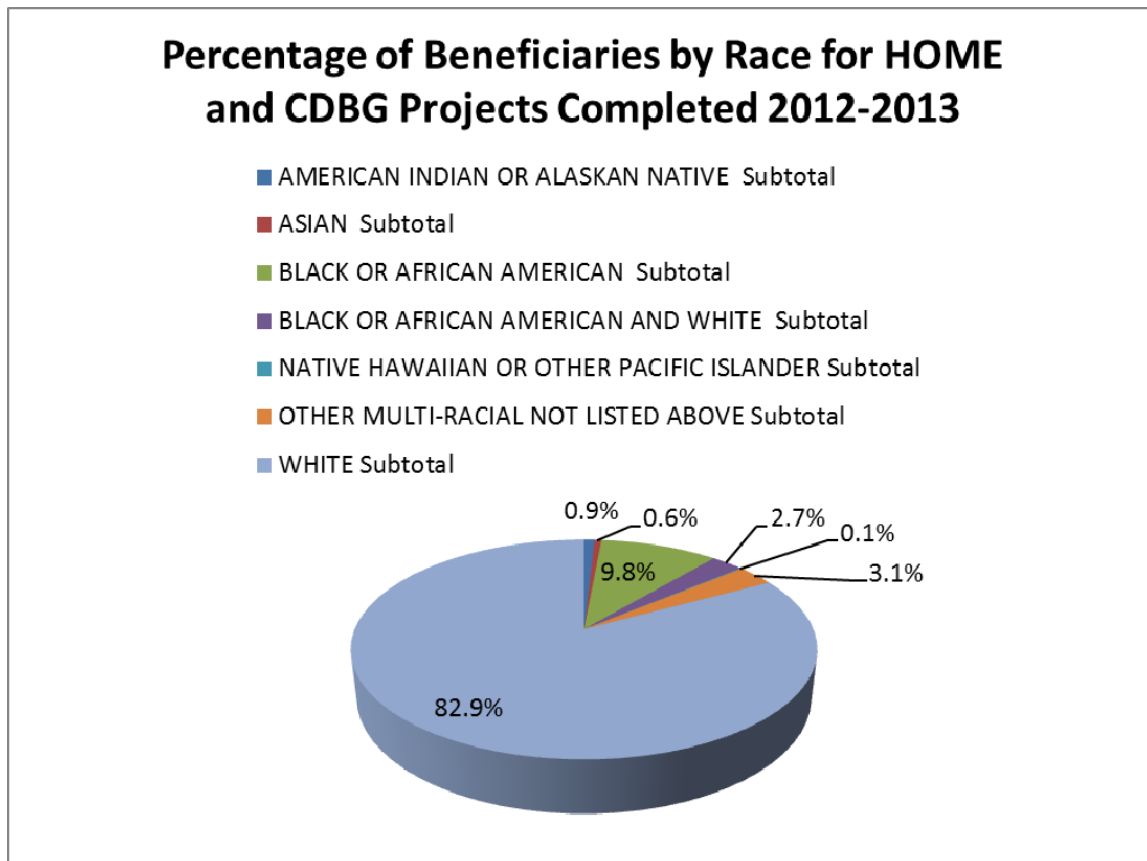
DOH has spent significant time on other efforts to affirmatively further fair housing, even though they have not come to fruition yet. The Division of Housing has collaborated with the Housing Colorado organization to plan a series of training workshops around the state. The workshop, “Compliance with the Fair Housing Act,” will be presented in 5 locations around the state in June, July, September and November, 2013 in conjunction with Housing Colorado’s statewide outreach meetings. This training will increase overall knowledge and awareness of Fair Housing compliance with an extra focus on the needs of people with disabilities.

Another collaborative planning process involving DOH, the Governor’s Energy Office, and the Colorado Department of Health Care and Financing (which administers the state’s Medicaid program) has been underway to improve the process of providing rehabilitation, accessibility modifications and energy efficiency improvements to low-income people with disabilities. We expect to see results from this process during the next program year.

To address segregation and concentration of poverty, the Department of Local Affairs has made efforts to revitalize known areas of racial segregation and high poverty. Out of **56** new rental construction projects undertaken since **2006**, **fourteen** were undertaken in census tracts that exceeded the MSA’s median income and **twenty-six** in tracts with minority populations below **25%**. Twelve of these projects were built in census tracts that are both low minority and high income.

Eight projects in high-minority census tracts (minority percentage greater than 75%) were in entitlement areas in Denver and Adams counties. **Eight** projects carried out in very low income census tracts (below 50% of MSA median) were also in entitlement areas. Only six projects were located in census tracts that are both high-minority and very low income using 75% minority and 50% of MSA income as the cutoff points.

An analysis of the racial and ethnic composition of the initial residents of Division of Housing-funded projects that were completed during the reporting period is as follows:



The chart above shows raw numbers of beneficiaries reported at close out by our HOME and CDBG grantees. Broken down by percentages, 82.9% of the beneficiaries are white, 9.8% are Black/African American, 3.1% are Other Mixed Race and 2.7% are Black/African American and White. Asian, American Indian/Alaska Native and Native Hawaiian/Other Pacific Islander beneficiaries each make up less than 1% of the total. Whites of Hispanic/Latino ethnicity are included in the 82.9%. Non-Hispanic whites constitute 66% of the beneficiaries.

Of the 903 reported beneficiaries in completed projects, 169 or 18.2% were of Hispanic ethnicity. 90% of those reported as Hispanic are White, 10% are Other Mixed Race.

Compared to the racial and ethnic make up of Colorado's population in the American Community Survey 2006-2010 5-year estimates, Colorado's population is 83.5% White, 3.9% Black/African American, 1% American Indian/Alaska Native, 2.7% Asian and .1% Native Hawaiian/Other Pacific islander. 20.1% of the population is Hispanic (of any race) and 6.4% of the ACS respondents self-identified as "some other race."

Thus, beneficiaries ofDOH's HOME and CDBG programs do not directly reflect Colorado's population as a whole. We serve a greater percentage of Black/African Americans, Hispanics and Native Hawaiian/Other Pacific Islanders than their percentage of the population, and fewer Whites and Asians.

The Hispanic/Latino ethnic group is slightly under represented among initial residents ofDOH-funded housing. Out of 903 initial residents reported for 2012-2013, 165 (18.2%) were persons of Hispanic/Latino descent, compared to 20.1% of the general population. White Non-Hispanics made up 66% of the beneficiaries while they comprise 69.7% of Colorado's population (ACS 2011).

4. Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.

The Division's funding served as the catalyst for other supportive housing efforts. The Division of Housing financed hard assets such as housing construction or rehabilitation, and soft costs such as rental subsidies. The direct impact of housing development is improved access to quality affordable housing and additional construction jobs for a community.

DOH and CHFA, as well as other funding agencies, often coordinate their efforts in order to make affordable housing projects successful. CHFA andDOH are also working in a collaborative manner to preserve affordable housing projects that have experienced financial issues due to the economic slow down, resultant vacancy issues and intense market competition.

DOH received \$2,000,000 in Housing Development Grant funds for State fiscal year 2012 (July 1, 2011 to June 30, 2012.) When available, these state funds are the most flexible of the Division's resources, allowing us to tailor community solutions to help ensure that the poorest families in Colorado have an increasing supply of rental units affordable to them.

The Colorado Community Interagency Council on Homelessness (CCICH) creates statewide collaboration among nonprofit corporations, state and Federal agencies. DOH will actively participate in this collaboration to better link housing and services for low-income residents and homeless persons. Other topics of the CCICH include job training, education, employment, childcare, transportation, housing and food stamp benefits to assist poverty-stricken families in achieving economic self-sufficiency.

5. Leveraging Resources

- f. Identify progress in obtaining “other” public and private resources to address needs.
- g. How Federal resources from HUD leveraged other public and private resources.
- h. How matching requirements were satisfied.

During this Consolidated Plan Year, the Division of Housing awarded \$19,673,995 in HOME and CDBG funds, leveraging \$167,295,361 in private and other public funding, a ratio of 8.5:1. See the table below for details.

PROJECT NAME	LEVERAGING	CONTRACT AMT	FUND SOURCE
Larimer Home Ownership Program	\$10,749,200.00	\$406,100.00	CDBG/HOME
Town of Fowler Down Payment Assistance	\$771,125.00	\$32,048.00	CDBG
La Plata Homes Fund Down Payment Assistance	\$321,417.00	\$350,260.00	CDBG
San Luis Valley Housing Coalition DPA	\$876,655.00	\$86,100.00	CDBG
Summit County Down Payment Assistance	\$2,932,733.00	\$76,746.00	CDBG
Prowers County/SECED/Down Payment Asst.	\$290,094.00	\$93,286.00	CDBG
Montana Azul Subdivision Phase 3	\$1,579,675.00	\$180,935.00	CDBG
South Fork Heights Apts. Rental Acq and Rehab	\$2,344,396.00	\$811,975.00	CDBG
Windsor Meadows Apts. Rental Acq and Rehab	\$9,446,965.00	\$308,000.00	CDBG
Archuleta County, Casa De Los Arcos Rehab	\$12,260.00	\$125,000.00	CDBG
Otero County, Manzanola Gardens Rehab	\$441,308.00	\$172,880.00	CDBG
Yuma County, V.H. Villa Rehabilitation	\$1,111,585.00	\$350,000.00	CDBG
Huerfano County/SCCOG/SFOO Rehabilitation	\$368,628.00	\$311,778.00	CDBG
Fremont County/UAACOG/SFOO Rehabilitation	\$179,370.00	\$179,370.00	CDBG
Crowley County/TCHCDC/SFOO Rehabilitation	\$357,462.00	\$350,000.00	CDBG
San Juan County/HSSW/SFOO Rehabilitation	\$278,441.00	\$152,701.00	CDBG
Crowley County/TCHCDC/Self-Help SFOO Rehab	\$55,700.00	\$250,000.00	CDBG
Renaissance Housing Development Rental Const	\$15,148,689.00	\$1,000,000.00	HOME
Habitat for Humanity	\$660,000.00	\$7,986,281.00	HOME
Rocky Mtn. Community Land Trust Homeownership Acquisition	\$334,080.00	\$177,200.00	HOME
Colorado Housing Assistance Corp. DPA	\$3,334,000.00	\$210,000.00	HOME
Douglas County Housing Partnership DPA	\$6,459,670.00	\$258,190.00	HOME
Community Housing Concepts, Glenwood Meadows	\$13,565,174.00	\$700,000.00	HOME
Westview Apartments Rental Acquisition	\$5,855,110.00	\$335,000.00	HOME
Mesa Vista Assisted Living Rental Acquisition	\$681,975.00	\$400,000.00	HOME
Village of Yorkshire Rental Acq. & Rehab	\$10,014,180.00	\$750,000.00	HOME

Canterbury Apts. Acq. & Rehab	\$6,163,256.00	\$398,000.00	HOME
Plaza on Platte Apartments Acq. & Rehab	\$925,000.00	\$305,000.00	HOME
Sunset Towers Rental New Construction	\$18,058,972.00	\$250,000.00	HOME
Caribou II Apartments Rental New Construction	\$16,220,200.00	\$300,000.00	HOME
Libretto Apartments Rental New Construction	\$5,266,400.00	\$280,000.00	HOME
Villas at Oakshire Rental New Construction	\$6,281,788.00	\$250,000.00	HOME
High Mar Apartments Rental New Construction	\$14,257,120.00	\$590,000.00	HOME
Uintah Park and Terrace Apts. Rental Rehab	\$1,266,430.00	\$400,000.00	HOME
Kendall Apartments Rental Rehab	\$5,958,593.00	\$303,600.00	HOME
Habitat for Humanity SFOO Rehab pilot	\$80,268.00	\$195,000.00	HOME
CHDO Operating (11 grants)	\$4,647,442.00	\$348,545.00	HOME
Totals	\$167,295,361	\$19,673,995	

Managing the Process

1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

The Department of Local Affairs took the following steps to ensure compliance:

- Continued to expand and refine our Oracle database to capture a greater amount of compliance information.
- Provided training to DOH Staff. DOH staff members attended the following training programs during the reporting period:
 - Expert HOME & CDBG - 7 people
 - CDBG Economic Development Toolkit - 1 person
 - 2012 Neighborworks Training Institute, Cincinnati - 2 people
 - HUD HOME Certified Specialist, Administration - 7 people
 - 2012 Housing Colorado NOW conference - 6-10 people
 - 2013 COSCDA Program Managers' Conference - 2 people
 - 2012-2013 Tax Credit Finance Series - 3-4 people
 - Basically CDBG for States - 1 person
 - 2012 National Development Council Homeownership Development Finance (HD410) - 1 person
 - 2012 National Development Council Rental Housing Development Finance (HD420) - 1 person
 - 2012 eCON Planning Suite (HUD for IDIS users) - 2 people
 - 5 HUD NSP TA Webinars (program implementation, reporting, etc) - 2 people
 - Healthy Homes & Smoking Policies by CDPHE (Jill Bednarek) - 10 people at CHATs training meeting
 - 2-day HUD/Cloudburst DRGR Computer Based Training - 2 people
 - HUD training for the Family Unification Program, 1 person
 - Trauma Informed Care Training, 1 person
 - Annual Meeting for the SAMHSA Supportive Services in Housing Grant, 1 person
 - Colorado SSI/SSDI Outreach, Access and Recovery (SOAR) sustainability summit, 1 person
 - SOAR "Train the Trainer", 1 person
 - Nan McKay FSS Training (April 2012) - 1 person
 - NAHRO Conference in Fort Collins (5/16/12-5/18/12) - 3 people
 - VASH Bootcamp (10/2/12-10/4/12) - 2 people

- Housing Colorado Conference (10/10/12-10/12/12) - 1 person
 - Colorado State Supervision Training (10/10/12-10/11/12) - 1 person
 - Nan McKay Blended Occupancy Training (12/12/12-12/14/12)-1 person
 - Understanding & Assisting Clients with Disabilities training (2/28/13) - 1 person
 - Nan McKay Occupancy Specialist Training (November 2012) - 2 people
- Reviewed and revised the DOH funding application.
 - Reviewed and revised our contract templates
 - Reviewed and revised our monitoring procedures
 - Provided training for grantees in the areas of financing affordable housing, ESG compliance, HCV compliance, private activity bonds, fair housing

Citizen Participation

1. Provide a summary of citizen comments.

A draft of the Annual Performance and Evaluation Report was posted on the Dept. of Local Affairs web site for public comment from May 24, 2013 through June 25, 2013. Notice of the posting was posted on the Division of Housing's blog and distributed through DOH's newsletter listserv.

An official public hearing notice was placed in the Denver Post and run on May 26th and June 2nd, 2013.

The hearing was held at 12:00 p.m. on June 5th at 1313 Sherman St., Denver, CO. XX members of the public attended the hearing and ___ public comments were received.

Institutional Structure

1. Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.

Gaps in Institutional Structure:

Colorado State government works with local governments, private industry, and nonprofit organizations to tackle the issues involved in providing affordable housing. The gaps remaining in the institutional structure in Colorado are educating the public and reducing the Not In My Backyard (NIMBY) phenomenon; developing better coordination and cooperation between special needs providers and the organizations that produce housing units; and continuing to educate local agencies to increase the production of affordable housing units statewide.

Local nonprofit organizations and housing authorities: Many nonprofits lack not only the funding to meet their community's housing demands, but also the staff expertise to expand or diversify existing services. DOH works to improve agency capacity through technical assistance, workshops, training and monitoring efforts. These efforts will result in retention of existing housing and additional production of housing units where they are needed.

NIMBY: The problem of finding suitable sites for affordable housing continues to be a problem in Colorado. Many neighborhoods are unwilling to have even mixed income rental units nearby, let alone housing for persons with special needs. This lack of understanding about, and fear of affordable housing residents, also hampers efforts to expand Colorado's affordable housing inventory.

To overcome this issue, DOH development staff members routinely work with affordable housing providers and local jurisdictions to encourage early communications and informational meetings about affordable housing projects in their communities. In addition, DOH provides free copies of *Housing Colorado: A Guide for Local Officials* to elected and appointed officials. The Guide has a chapter about ameliorating the "Not in My Backyard" syndrome.

Strategy to Overcome Gaps

The Colorado Department of Local Affairs continues to increase the coordination and involvement of state and Federal agencies, public and private nonprofits and others in the leveraging of funding sources, the planning and delivery of housing-related services, and the development of special initiatives to increase and preserve affordable housing. DOH staff works with local governments and housing providers to increase their capacity to create new affordable housing units. The Division supplements this one-on-one technical assistance by statewide training including the Developer's Toolkit, *Affordable Housing: a guide for local officials*, Advanced Financing, application workshops and other interactive presentations that increase the capacity of Colorado's housing providers.

The Division of Housing also works with the Colorado Department of Human Services, Department of Public Health and Environment, Department of Health Care Policy and Financing, Department of Corrections and local special-needs providers to encourage partnerships between special population service providers and housing development agencies. These partnerships are essential to increasing the supply of affordable, accessible housing for special-needs populations. The Neighborhood Stabilization Program provides an opportunity for local governments and nonprofit agencies to stabilize housing markets through purchase and rehabilitation of foreclosed homes.

The state's interagency "Housing Pipeline" is comprised of key agencies that include the Colorado Division of Housing, Colorado Housing Finance Authority, U.S. Department of Housing and Urban Development and U.S. Department of Agriculture, Rural Development. These bi-monthly meetings provide coordination around multiple agency rules, various funding sources and an annual targeting of specific priority areas of the State in order to address immediate housing needs.

Governmental Coordination

The Department of Local Affairs (DOLA) is the one agency in Colorado that deals almost exclusively with local governments on all levels of its mission. DOLA promotes cooperation and coordination and involves other state agencies in its efforts.

Low-Income Housing Tax Credits (LIHTC)

The Colorado Housing and Finance Authority (CHFA) has the authority to allocate the LIHTC in Colorado. CHFA and the Division of Housing work closely together in using LIHTC to develop affordable housing. The staff of both agencies is in constant contact to discuss new and existing projects, and meet formally on a quarterly basis to update each other on pending projects. This system will continue during the next

year. The annual plan for allocation of tax credits in 2010, approved by CHFA's Board of Directors and by the Governor, is on CHFA's website at www.chfainfo.com. CHFA and the Division of Housing will continue their close coordination in using LIHTC, Federal, State, and private funds for project funding.

Monitoring

a. Describe how and the frequency with which you monitored your activities.

Colorado Division of Housing (CDOH) monitors to ensure that the affordable housing units it funds comply with applicable State and Federal guidelines. During the course of grant and or loan administration, Asset Managers (AMs) and otherDOH staff monitor project performance in a variety of ways. DOH's monitoring plan describes the monitoring methods for the HOME, CDBG, and ESG programs. Monitoring for the Neighborhood Stabilization Program (NSP) takes place in accordance with CDBG standards, plus new requirements of the Housing and Economic Recovery Act of 2008. In addition, NSP 3 requires compliance with the Dodd-Frank Wall Street Reform and Consumer Protection Act (2010).

Project Performance Plan

The Project Performance Plan (PPP) is individually developed for each project. It sets forth the goals and milestones that a project must meet for it to be successful and comply with federal and State requirements. The PPP sets timelines and milestones to complete and manage the project. The PPP forms the basis for tracking and measuring the grantee's performance throughout the term of the contract. The PPP can include:

- Housing agency management capacity and production
- Construction time lines
- Appropriateness of financial management systems
- Development of a marketing plan
- Leasing and occupancy policies
- Risk management implementation

The PPP is an assessment of the project needs, milestones and goals, as determined by theDOH Housing Development Specialist (DEVO) and Asset Manager (AM). The DEVO develops a first draft of the PPP; the AM then reviews it and suggests modifications. The grantee participates in the preparation of the PPP by providing feedback and input; the PPP is considered a working document.

Project Performance Plans vary, as do the different types of projects thatDOH funds. To ensure that the PPP includes all major milestones,DOH has developed templates covering the different types of developments and projects. The templates are not intended to be all-inclusive, and each development team (DEVO and AM) has the ability to tailor the PPP to the individual projects. The PPP template contains a column that the Grantee can use to track quarterly performance. Because the PPP covers all critical milestones a project must meet, AMs are able to easily determine if a project is on track or if its plan needs revision. Some projects will have limited performance measures because the project has a high-functioning developer and/or another organization such as CHFA, Mercy Loan Fund, Rural Development, HUD or a private lender is involved in the project. These organizations often provide project oversight in such areas as construction monitoring, maintenance plans and property inspections. When other monitoring systems are in place,DOH does not duplicate

these efforts. Other projects will have a comprehensive and detailed PPP because they involve a first-time developer and/or there has been staff turnover.

CDOH also uses the PPP to plan when to provide training and technical assistance. A change in the PPP does not warrant a change letter or contract amendment. However, a contract amendment does require a change in the PPP.

Project Monitoring

CDOH requires each project it funds to submit quarterly reports (monthly for NSP). The reports provide AMs and DOH staff with a project update and alert the staff to potential or anticipated problems. The reports include a financial report and a Project Performance Plan. The financial report lists the full financial status of the project, including fund balances of the loan or grant provided. The grantee reports on Project Performance Plan milestones within the PPP document. AMs compare the completed milestones with their target completion dates to determine whether the project is on track. Additionally, AMs often contact the grantee or borrower on a monthly basis to track the project performance.

Contract Monitoring

Near the end of the contract term, AMs monitor DOH projects to ensure compliance with the applicable federal and State requirements. Because some projects need more attention than others, DOH has developed a risk-based monitoring approach. DOH risk-based monitoring allows Asset Managers to focus more time on new grantees and on projects that are at higher risk of encountering problems during the project development.

The DOH Development Specialist and Asset Manager determine the level of monitoring for the project. The Development Specialist and Asset Manager discuss the administrative capacity of each grantee and determine the level of monitoring. The PPP attached to the grantee's contract lists the level of monitoring. The monitoring level may change during the term of the contract, if needed, and a change does not warrant a change letter to be routed for signature. Projects are placed in one of the following three categories: Full, Partial or Minimal monitoring.

FULL - A FULL monitoring determination requires the Asset Manager to address all identified areas pertaining to the project with areas listed in DOH monitoring documents. The Asset Manager will also have to visit the project site and complete a housing quality standards inspection on a minimum 5% of the units. The Development Specialist and Asset Manager will recommend a FULL monitoring if the project contains the following:

- New grantee – The grantee has never received funding from DOH and/or the grantee has not received funding in the last three years
- New activity for existing grantee
- Complicated project
- Unresolved findings or concerns on last contract
- Repeat instances of findings or concerns
- Existing grantee with new staff in key positions
- Staff recommendation due to unexpected problems occurring during the project

PARTIAL - A PARTIAL monitoring requires the Asset Manager to complete a program specific monitoring form, including a file review and an administrative review. DOH

may ask the grantee to supply reports such as rent rolls and financial statements. The Development Specialist and Asset Manager will recommend a PARTIAL monitoring if the project has the following characteristics:

- Uncomplicated project
- Repeat grantee-same/similar type project
- Grantee had no findings during last monitoring
- Grantee is considered moderate in administrative capacity

MINIMAL - A MINIMAL monitoring can only apply to a continuing program such as single-family owner-occupied rehabilitation, down payment assistance, or ESG. With Minimal monitoring, grantee technical assistance is given only if needed and the grantee provides the contractual monthly/quarterly reporting documents. DOH may delay an on-site visit for up to two years for a very high-functioning grantee. The Asset Manager and Developer will only approve this type of monitoring if the project contains the following:

- Grantee has not received any findings or concerns in the past two years.
- Grantee is considered a high-functioning project administrator.

Under the MINIMAL monitoring category, the Asset Manager can conduct a self-certification monitoring. The grantee completes a self-certification monitoring form specific to the funding source and program type, has it notarized, and returns it to the Asset Manager.

The Division of Local Government and Governor's Office of Economic Development and International Trade conduct a full monitoring of all their CDBG grantees using the State's standardized monitoring tool to ensure that the requirements of the grant have been met. These monitoring visits occur on site between the State, grantee, and, if applicable, the sub-grantee. Projects will not be considered closed until all compliance requirements have been met and documented, and any findings have been adequately addressed.

Project Close Out

DOLA closes out all projects upon completion. Required closeout reports include the following:

- Project description
- Actual accomplishments
- Remaining actions
- Audits or name and address of firm selected to do the audit(s) and expected completion date.
- Total actual expenditures for the activity
- Project beneficiaries and other outcome-based requirements of funding
- Program income
- Actions taken to affirmatively further fair housing
- Section 3 outcomes
- National Objective (CDBG)

HOME Long-Term Monitoring

HOME-funded rental projects are required to comply with HOME regulations appropriate to the number of HOME-assisted units occupied by income eligible families with appropriate rents charged throughout the term of affordability. DOH

conducts on-site monitoring of these projects based on the number of HOME units funded.

- At least every three years for projects containing one to four HOME-assisted units;
- At least every two years for projects containing five to twenty-five HOME-assisted units;
- At least once a year for projects containing more than twenty-five HOME-assisted units.

CDOH requires annual rent rolls and eligibility certification by mail in the years between on-site monitoring.

DOLA Monitoring of Consolidated Plan Goals and Objectives

DOLA monitors its progress in achieving goals and objectives of the Consolidated Plan through its Oracle database, which captures housing units, projects and leverage; through HUD’s Integrated Disbursement Information System (IDIS); through periodic reports on housing to the State Legislature; and by completing the Annual Performance Evaluation Reporting System report for HUD.DOH continually assesses compliance with program requirements, including timeliness of expenditures, both programmatically and through accounting and internal audit functions of DOLA.

b. Describe the results of your monitoring including any improvements.

During this periodDOH monitored 75 HOME rental projects; 45 of the projects had no findings and 30 had findings. The majority of findings were because of missing documents in family files. These findings are listed below:

Project Number	Project Name	Status or Resolution Date	Finding Comments
00-002	Rocky Mountain Mutual Housing Association/ Meeker Commons	Response due 6/12/13	HQS deficiencies
00-019	Neighbor to Neighbor/ Coachlight Apts.	10/18/2012	1 HQS fail - electrical outlet missing cover
00-025	Broomfield Towne Centre Senior Apts	2/25/2013	lease contained prohibited provision re: waiver of jury trial - mgr executed HOME Lease Addendum, OK
00-073	Thistle Housing/ English Village Apts Rental Acquisition	9/19/2012	2 units failed HQS
01-003	Town of Mountain Village/Village Court Apts.	Date needed	income not properly verified, not verified for secondary household members, assets not verified
01-027	Catholic Charities/Plaza Del Sol Farm Worker Hsg	Date needed	Prohibited lease terms re: termination, HQS fail due to electrical hazard
01-031	Rocky Mountin Housing Development/ Sheridan Ridge	Date needed	No government issued ID for resident. Corrective Action: Provide resident's government issued ID by December 31, 2012. 4 units were inspected; 2 had findings.
02-037	Almost Home/ Hughes Station Apts.	9/6/2012	A prohibited lease term - waiver of trial by jury. 1 HQS fail.
02-054	Volunteers of America Senior Apts.	Date needed	Double counting City and State HOME units, need 7 (State) + 10 (City) = 17 total units. Currently reporting on 10 units only.
04-039	North Range Behavioral Health/Harmony Way Apartments	4/16/2013	Multiple-no application,valid ID unable to determine if tenant has assets

State of Colorado

04-054	Metro West/Pierce Street Senior Apartments	8/27/2012	Failed to check immigration status for 2 files. CK 5/22/2013: per file, finding cleared before letter sent.
05-038	Thistle Community Housing Rental Properties	10/16/2012	3 units failed HQS
05-039	Cooperating Ministries Transitional Housing	12/26/2012	Administrative Review showed no replacement reserve account for this property and no ability to pay for needed capital improvements. We have forwarded this letter along with the project breakout to the DOH housing development specialist for her review. We are also having her review the documents to see if we can change this from transitional housing to low income housing. HQS Inspection revealed leak in basement - took immediate action and had fixed.
06-046	Child and Migrant Services/Farm Worker Housing	Sent email follow up 5/17/2013.	Need Gov't issued I.D.'s for all tenants
06-052	Douglas County Housing Partnership/Oakwood Apartments Acquisition	9/24/2012	HQS finding - tenant has to move items around water heater
07-006C	CARE Housing Rental Acquisition & Rehabilitation	Sent follow-up e-mail 5/22/2013	AFHMP incomplete
07-069T	Jefferson County Housing Authority/Redwood Apts.	Date needed	Requested an updated occupancy/demographic report that shows the current tenants' AMI level, rent, income, etc. by January 15, 2013 as we currently do not have the correct unit mix per the Use Covenant for the property dated September 20, 2007. Seven family files monitored - all missing annual certification language in lease or exhibit. Two files were missing the annual certifications documentaion that would include: new lease or addendum, asset questionnaire and HAP contract.
07-080	Colorado Springs Housing Authority/Shadow Woods Apts.	Date needed	Income calc for unemployment benefits to be corrected.
10-040	Del Norte Neighborhood Development Corp.-Veterans Apts.	11/1/2012	Minor finding - checking account asset reported at move-in not 3rd party verified. Applicant reported \$175 balance.
11-001	Renaissance Housing Development Corp.-West End Flats	12/13/2012	Lease termination provision violates HOME regs; must record revised Use Covenant to add 1 HOME unit
93-008	Montrose County/Farmworker Project	Sent follow up email 5/17/2013	Missing I.D.'s in some tenant files
93-027	Greeley Center for Independence/Hope Apartments	3/26/2013	Application mssing, lease dates incorrect
94-008	Loveland Housing Authority/ The Meadows	1/3/2013	Findings resolved prior to issuing monitoring letter
94-016	Fremont County Schools/The Family Center Apartments	12/27/2012	Asset, income & 3rd party verifications missing
95-021	CARE Housing/Swallow East	1/14/2013	File Review: wrong unit mix provided, asked them to redesignate to meet contract unit mix. Admin Review: Mgmt using 3 day demand for compliance/possession notice; HOME requires 30 days' notice for termination of lease. Requested they respond re: how they will ensure tenants are aware of their rights re: termination under the HOME program.

96-061	Loveland Housing Authority/Lone Tree Apartments	Date needed	We did not receive the financial audit for 2011 or the general ledger information that was requested to determine the operating and replacement reserve questions. Please provide these documents by January 15, 2013 so we can finish the monitoring.
97-042	Salida Housing Development Corp/Riverbend Apartments	8/31/2012	Missing ID
98-004	Adams Co. Housing AuthorityAztec Villa Apts Rental Acquisition	11/27/2012	Minor HQS violations
98-080	Denver Urban Peak/ Rowan Gardens Apts.	11/20/2012	Financials received and reviewed. Approved.
99-045	HGV L.P./Highland Garden Village Senior Apts.	12/27/2012	Prohibited lease terms - cost of legal action

c. Self Evaluation

a. Describe the effect programs have in solving neighborhood and community problems.

The Colorado Department of Local Affairs, through its Housing and Local Government Divisions, uses HUD funding to address neighborhood and community problems throughout the state, but especially outside of the Denver metropolitan area. The funding is used to build or rehabilitate homes for low income families and households; to provide shelter and a path to self-sufficiency to those who have lost their homes; to build or improve aging water and sewer systems and other infrastructure in low income communities; to help build business and job opportunities and to create communities where people will want to live and work. Our objective is to help communities become economically, socially and environmentally healthy and sustainable.

b. Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.

Needs identified in the Consolidated Plan include rental housing for very-low income people (those whose incomes are less than 40% of the area median income); permanent supportive housing for the homeless and for people disabilities or other special needs; the preservation and stabilization of homeownership; affordable housing specifically for the elderly, people with disabilities and domestic violence survivors.

The table on page 9 is a profile of our consolidated plan activities and the progress made in meeting our goals. That progress has been slower than we would like it to be, for two underlying reasons; one, HOME and CDBG funding reductions and two, the economic downturn that began in 2008 and its aftereffects. The need for affordable housing and supportive services increased as people lost their jobs and/or their homes to foreclosure. Foreclosures increased the number of people who need rental housing, resulting in very low vacancy rates and driving up rents. This is occurring even as the unemployment rate has been improving.

In short, the need greatly exceeds our ability to meet it and the gap is growing.

For example, the 2012 *Housing Need and Rent Burden in Colorado and Its Metropolitan Areas* report produced by the Division of Housing shows that Colorado had 622,000 renter households. Of these, about 298,000 households (48%) pay

more than 30% of their income toward housing. Roughly 150,500 - half - of these households have incomes of less than \$20,000 per year. Statewide, there are 2 families for every housing unit affordable to this income range. During the most recent reporting period, the Division was able to fund 40 new units to serve this population.

c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.

During this reporting period, DOLA and its divisions used HUD funds to help finance the construction of 290 and the acquisition and/or rehabilitation of 731 affordable rental homes; construction of 12 new single-family homes, rehabilitation of 166 single-family homes, and down payment assistance on 166 single-family homes. The Division of Housing provided shelter or other assistance to estimated 25,000 homeless people and helped prevent homelessness for another estimated 20,000. An estimated 60 people with HIV/AIDS received rental assistance and supportive services for themselves and their families.

d. Indicate any activities falling behind schedule.

Programs related to homeownership, i.e., single-family owner-occupied rehabilitation programs and down payment assistance programs, continue to experience difficulty meeting their numeric goals on time. While IDIS shows numerous CDBG and a few HOME projects that are behind in reporting, these primarily represent paperwork delays caused by DOH staff turnover.

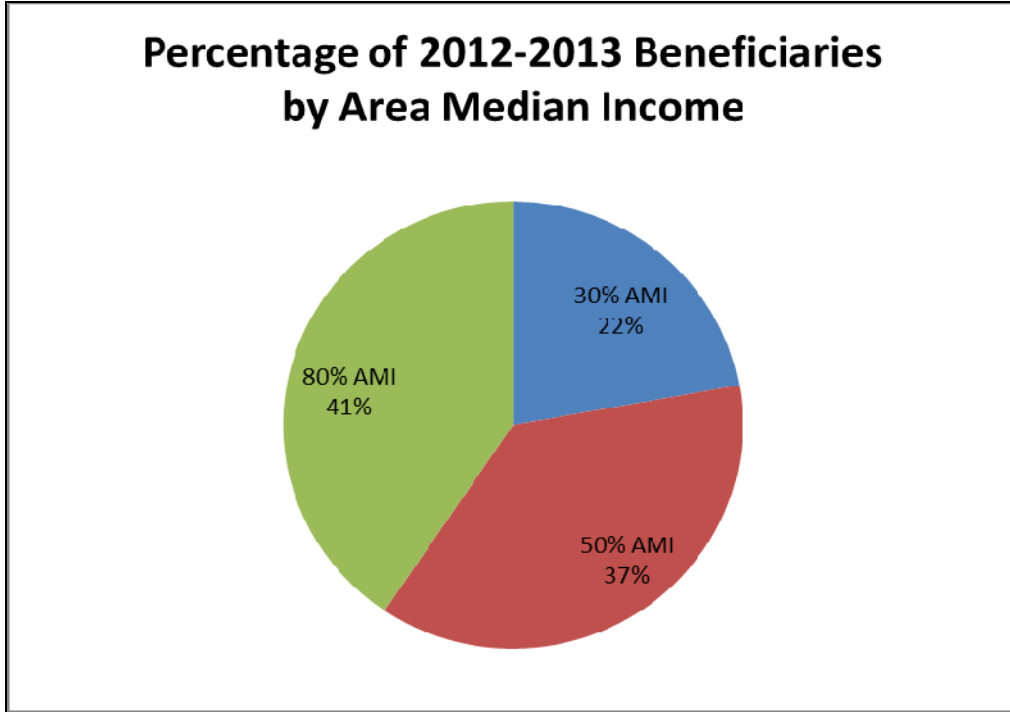
e. Describe how activities and strategies made an impact on identified needs.

Needs identified in our Consolidated Plan included 1) affordable housing for very low income households – those with incomes at or below 40% of the area median, 2) Permanent supportive housing for the homeless and for people with disabilities. During the reporting period, Division of Housing used HUD funding plus state funding to help preserve or create 369 units of housing affordable to those earning less than 40% of the area median. CDBG, HOME, NSP and State funds were used to provide 37 units of permanent supportive housing for people with disabilities and 92 units for people who were formerly homeless.

f. Identify indicators that would best describe the results.

In this Annual Performance Evaluation report we use the number of units produced and the area median income at which those units will be affordable. However, the indicator that would best describe the results would be the income and demographic characteristics of the people who occupy the housing when it is completed. Affordability at certain levels of area median income (AMI) represents the maximum income level of those who will be eligible to live there; in reality, the housing is often occupied by those earning less than the maximum and their rents are adjusted accordingly. For example, analysis of projects that were completed within the reporting period reveals that 255 out of 1151 total beneficiaries had incomes at or below 30% of area median income and 429 had incomes between 31-50% AMI; thus, 59% of the initial beneficiaries of our funded units have incomes below 50% AMI.

See the following graph:



g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.

Tighter underwriting standards for single family home purchases and rehabilitation have made it difficult for low and moderate income families to qualify for loans. Lack of qualified borrowers means that our programs have trouble meeting their numeric goals.

h. Identify whether major goals are on target and discuss reasons for those that are not on target.

Major goals are on target, being achieved through State funding as well as other funding not reported through the CAPER, such as NSP. For example, 6 projects providing 295 affordable rental housing units were acquired or renovated using \$1,829,759 in Colorado State funding. \$2,921,667 in NSP3 funds contributed 28 units to the affordable rental housing supply.

i. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.

Since most major goals are on target and most challenges are the result of lingering economic issues that have resulted in high unemployment, tighter credit standards, lower government revenues, or reduced values of single-family homes, we are not able to identify any adjustments or improvements at this time

Lead-Based Paint

1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

DOLA/DOH recognizes the serious health risks for children from lead poisoning due to contact with untreated lead-based paint and dust in the State's housing stock. To help protect children from these health risks, DOH works closely with sub-grantees, contract agencies, and the Colorado Department of Public Health and Environment (CDPHE) to assure that the State's housing programs and projects comply with current requirements of Title X of the Community Development Act of 1992.

An estimated 661,282 housing units (+/-10%) in Colorado were built prior to 1978. Of these, approximately 65% or 431,736 (+/-10%) may contain lead based paint.

As of September 10, 2001, all provisions of Title X became enforceable in Colorado. These provisions include the regulations found in HUD's Lead Safe Housing Rule (24 CFR part 35). The staff of DOH reviews each proposed housing development program or project to ensure ongoing compliance with all applicable sections of Title X. The review is based on the type of project, the type, amount, and duration of financial assistance, and the age of the property. In addition, DOH makes all applicable training and technical resources available to local housing providers and developers.

CDPHE has statutory responsibility for the ongoing implementation of the statewide comprehensive plan to reduce childhood lead poisoning. The Environmental Protection Agency has authorized the CDPHE to provide training, certification, and enforcement programs surrounding lead poisoning and lead-based paint in the State. CDPHE is also responsible for compiling information on the number and location of children found to have elevated lead blood levels (great than 10 micrograms/deciliter). During the period 1996–2002, approximately 2.5% of all children between the ages of 6 months and 6 years of age tested statewide had elevated blood lead levels. In one Denver neighborhood, over 16% of the children tested had elevated blood lead levels. CDPHE and Medicaid educate parents on the sources and hazards of lead poisoning to increase the number of children tested every year statewide. These efforts resulted in a 40% increase in the number of children tested for possible lead poisoning from 2001-2002 (most recent data available).

Northeast Denver Housing Center (NDHC) is the single Lead Hazard Control Grantee in Colorado. Through its Lead Hazard Control Grant, NDHC responds to reported incidences of elevated blood level in lower in children in lower-income households across the State. In addition, NDHC provides comprehensive lead hazard identification and reduction activities in specific neighborhoods in the City of Denver. Information obtained from the 2000 Census and the Center for Disease Control (CDC) report, "Surveillance for Elevated Blood Lead Levels Among Children – US, 1997-2001" (September 2003), indicates that there are over 21,000 housing units with a lead hazard risk in Colorado. The EPA considers housing units built before 1950 and currently occupied by households living below the poverty level to be at risk.

DOH will implement the following activities during the period of 2010–2015 to ensure statewide compliance with applicable lead-based paint regulations.

Activity 1: Enhanced Existing Partnerships

DOH continued to assist public and private efforts to reduce lead-based paint hazards across the State. This includes ongoing involvement in the Colorado Lead Coalition interagency work group, which develops and implements strategies for statewide lead hazard reduction and education efforts. Besides the Division of Housing, this coalition includes the U.S. Environmental Protection Agency, Denver Health, the U.S. Department of Housing and Urban Development, the Colorado Department of Health and Environment and other agencies.

DOH continues to provide on-going technical assistance and support to assist in the integration of the various services offered to lower-income households, including participation in current healthy home projects in the state. A goal of the DOH is to maximize the interventions for each household in regards to their overall well-being, including linking housing rehabilitation and weatherization services with medical care and hazard mitigation programs.

This includes the Colorado Division of Housing's active participation in the Colorado Healthy Homes Coalition whose mission is to promote the incorporation of healthy homes principles into ongoing programs and practices, focus attention on the relationship between housing and health impacts, and coordinate and leverage state-wide healthy homes activities. During this CAPER reporting year, DOH staff, in conjunction with the Colorado Healthy Homes Coalition, presented a community forum in support of the recently released "Federal Healthy Homes Work Group: Advancing Healthy Housing – A Strategy for Action." This forum brought together housing and health professionals to share information and create strategies to promote a healthier built environment in Colorado.

Activity 2: Provided Lead Hazard Information to Housing Providers, Local Officials and Assisted Households

DOH provided all sub-grantees, contractors and local housing and service providers with the most current required publications for distribution to occupants of housing units assisted with Division funds. For example, DOH distributes the EPA Pamphlet, "Protect Your Family from Lead in Your Home" to local housing and service providers that, in turn, distribute this publication to all applicable households. DOH-funded programs that received lead hazard information include the Single-Family Owner-Occupied Rehabilitation Program, the Housing Choice Voucher Program, down payment assistance programs, and programs that support the acquisition and rehabilitation of rental properties.

The DOH staff has a working knowledge of the Environmental Protection Agency (EPA) Renovation, Repair, and Painting Rule (RRP) that requires that all rehabilitation contractors to be certified in lead-based paint procedures for compensated construction activities. DOH has administrative and field processes in place to confirm that contractors compensated through DOH funded activities are properly RRP certified.

Activity 3: Enhanced Existing Delivery System and Technical Capacity

To comply with the regulations in the most effective and economical way, DOH increased its involvement in CDPHE's lead-based paint education activities and

sponsored additional lead-safe work practice trainings around the State. DOH will continue to provide technical assistance to sub-grantees, contractors, and local housing and service providers about Title X requirements through web-based training, on-site visits, project underwriting and the distribution of best practice methods. In addition, DOH continues to search for funding opportunities to provide the state with additional resources concerning lead-based paint and other home hazards. This includes funding through HUD's Office of Healthy Homes and Lead Hazard Control grants.

DOH intends to coordinate applications for funding under the Lead Hazard Reduction Program – Healthy Homes Initiative on behalf of the entire state.

Energy-Efficient Design and Construction Overview

The Department of Local Affairs places a high priority on energy efficiency and sustainable design in pursuing its goal of livable communities. In addition, the State Housing Board has stated their objective to increase affordability and long-term sustainability of Colorado's affordable housing by using sustainable and energy-efficient design. Given these common goals, the Board approved a policy that supports energy efficient design in 2007, amending the policy in 2008 to require projects to substantially meet one of the energy-efficiency standards listed below.

In 2012, the Division of Housing (DOH) will encourage inclusion of energy-efficient design methods early in the project planning process and provide training opportunities to developers, project owners and project managers on the benefits of efficient design. DOH staff members present energy-efficiency information to the State Housing Board as part of each project summary.

Minimum Energy Code Requirement

- ❖ For single family and low-rise (up to 3 stories) housing projects:

Projects funded shall substantially meet Low-Water Landscaping (e.g. Denver Water Board Standards), and one of the following (listed in order of preference):

- Enterprise Community Partners, Green Communities Criteria 2011 or later
- U.S. Green Building Council, LEED for Homes, Silver or above
- The most recently released International Energy Efficiency Codes (IECC)
- U.S. Environmental Protection Agency, Energy Star for New Homes Version 3
- U.S. Green Building Council, LEED for Homes, Certified
- U.S. Environmental Protection Agency, Energy Star for New Homes

- ❖ For commercial and residential projects above 3 stories:

Projects funded shall substantially meet Low-Water Landscaping (e.g. Denver Water Board Standards), and one of the following (listed in order of preference):

- Enterprise Community Partners, Green Communities Criteria 2011 or later
- U.S. Green Building Council, LEED for New Construction version 2.2 or later, Silver or above
- The most recently released International Energy Efficiency Codes (IECC)
- U.S. Green Building Council, LEED for New Construction version 2.2 or later, Certified

Enterprise Green Communities

The Colorado Department of Local Affairs, Division of Housing, in coordination with the Colorado Housing and Finance Authority, and the City of Denver, has adopted the *Enterprise Green Communities* as the preferred sustainable design criteria for funded affordable housing projects. The *Enterprise Green Communities* criteria are a multifaceted approach to the design and construction that includes meeting the Energy Star construction requirements and a concentration on the long term performance of the building. In addition, the goal is for the individual household to receive the direct benefits of the increased energy efficiency and sustainability through lower utility bills and a healthier built environment. Since October 2008, the DOH has assisted in the financing of the construction and/or renovation of thousands of affordable housing units that meet or exceed the *Enterprise Green Communities* criteria.

Partner Programs

DOH works closely with the Colorado Energy Office (CEO) and Energy Outreach Colorado (EOC) to assist project developers and property owners with access to technical assistance and funding for energy-efficiency improvements. In addition, the Division's single-family housing rehabilitation programs assist in improving the efficiency of the existing housing stock by providing low-interest energy-efficiency and health and safety repair loans to homeowners.

International Energy Conservation Code (IECC)

The Division of Housing, in partnership with the Colorado Energy Office (CEO), provides 2009 and 2012 International Energy Conservation Code adoption, training and compliance support to local jurisdictions in Colorado. This support is designed to increase the minimum energy code in the State to the 2009 International Energy Conservation Code or above in order to enhance the efficiency of newly constructed and renovated residential and commercial buildings in the State.

The Division of Housing, through the Housing and Technology and Standards Section, adopted the 2012 International Code Council Building Codes, including the 2012 IECC, for use in all factory-built structures (residential and commercial) manufactured, sold, or permanently installed in Colorado.

Energy Star Building Performance Standards

In 2002, U.S. Department of Housing and Urban Development (HUD) and the U.S. Environmental Protection Agency (EPA) entered into a memorandum of understanding to promote the use of Energy Star Building Performance Standards in HUD's affordable housing programs. DOH encourages the use of the Energy Star Standards Program in affordable housing projects. More information concerning the Energy Star Program is available at <http://www.energystar.gov/>. DOH funding applicants indicate the number of proposed housing units that meet the Energy Star Standards Program criteria in their application submission documents.

As noted above, the DOH has produced a significant number of affordable housing units that meet the Energy Star Performance Standard through the *Enterprise Green Communities* criteria. However, few of these projects have been officially provided an Energy Star certificate and therefore DOH has not counted these units in IDIS as Energy Star units. DOH will continue to track the number of energy-efficient units (with or without the Energy Star certification) in future Program years.

HOUSING

Housing Needs

1. Describe Actions taken during the last year to foster and maintain affordable housing.

The 2012 housing priorities and specific objectives listed below involved commitment and expenditure of both current and prior year HOME, CDBG, ESG and HOPWA funds, since the majority of activities and projects are multi-year funded.

Specific Housing Objectives

1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.

During the reporting period, written agreements were executed to produce the following unit counts. Using HOME and CDBG funding, the Division of Housing contracted for 1,423 total affordable housing units. Three hundred three of those units are intended for people with special housing needs.

Project Type	Percentage of Area Median Income				
	0 - 30%	31-40%	41 – 50%	51 - 60%	61 - 80%
New Homeowners	0	0	0	14	273
Single Family Home Rehabilitation	0	0	0	0	166
Rental Units	121	140	423	308	8
Total Units	121	140	423	322	447
The units above include the following totals for people with special needs:					
Homeless	26	0	0	0	0
People with Disabilities	0	0	0	0	20
Senior Housing	16	33	70	88	8
TOTAL Special Needs	42	33	70	88	28

2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.

The Division of Housing did not set numeric goals for production by percent of AMI, but rather has a policy of including as many 30 to 50% AMI units as economically possible in each project.

	ACTUAL
<30% AMI	
Tenant Based Rental Assistance for Homeless	139
Rental Units for Homeless	26
Rental Units for People with Disabilities	0
Senior Housing	16
Other <30% Rental Units	<u>79</u>
Subtotal	339
31-50% AMI	
Rental Units for Homeless	0
Rental Units for People with Disabilities	0
Senior Housing	191
Other 31-50% Rental Units	694
Subtotal	885
TOTAL Units for <50% AMI	<u>1,224</u>

3. Describe efforts to address “worst-case” housing needs and housing needs of persons with disabilities.

Worst case housing needs (WCN) are experienced by unassisted very low-income renters (below 50 percent of the local area median income) who either (1) pay more than one-half of their monthly income for rent; or (2) live in severely inadequate conditions, or both.

During the reporting period, the Colorado Division of Housing used HUD funds to create 1,224 new units of housing affordable to households with incomes below 50% AMI. Of these, 303 were created to meet the needs of people with disabilities or seniors. Another 139 households in this income range received tenant-based rental assistance, other than Housing Choice Vouchers, to make their rent more affordable.

Public Housing Strategy

1. Describe actions taken during the last year to improve public housing and resident initiatives.

The Colorado Division of Housing does not own or operate public housing, so this section is not applicable.

Barriers to Affordable Housing

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

Local Regulatory Barriers

CDOH identified five categories of land use regulations frequently cited as barriers to affordable housing. These include: (1) infrastructure financing, (2) zoning and subdivision controls, (3) building codes, (4) permitting and procedural rules, and (5) environmental regulations. DOLA/DOH provides technical workshops on land use planning and on affordable housing to show communities how local governments could modify regulations to reduce their impact on affordable housing. DOLA/DOH also works with each developer to negotiate a reduction in local regulatory cost during our application review process.

TheDOH publication *Affordable Housing: A Guide for Local Officials*, which addresses these same issues, is available free on the DOLA/DOH web site.

Effectiveness in Reducing Impact of Land Use Regulation

The Division of Housing (DOLA/DOH) provided technical assistance to local governments that want to modify land use regulations in order to encourage affordable housing development. During our application review process, DOLA/DOH made it a priority to assess a local government's financial contribution compared to the impact its regulations and policies have on the total project cost.

Technical Assistance

DOLA/DOH provided training classes for affordable housing developers on "The Developers Toolkit," providing an overview of all of the steps to be taken and issues to consider before attempting to develop affordable housing. This program is presented nationally in partnership with Neighborworks America. Another training course presented by DOLA/DOH is the "Advanced Finance Academy," which covers financing options, maximizing affordability, and project phasing. This class is designed for housing developers who want to use more complex financing sources. DOH provided technical assistance to its ESG grantees in June, 2011 in the form of a workshop covering various aspects of compliance.

The Division provides online interactive training in Housing Quality Standards, preparation for homeownership, and Colorado Energy Codes.

DOLA/DOH staff members discuss regulatory barriers with local governments during project funding.

Please see the "Affirmatively Furthering Fair Housing" section beginning on page 11.

HOME Program

1. Assessment of Relationship of HOME Funds to Goals and Objectives

a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.

Use of HOME funds was essential toDOH's efforts to provide affordable housing. HOME funds were used to produce 453 units of affordable housing, 296 (65%) of which are affordable to households at or below 50% of AMI. In addition to these physical units, 64 homeless families with incomes at or below 30% of AMI received tenant-based rental assistance. These grant agreements run for two years and total \$692,211 in HOME funds.

The State of Colorado received \$4,648,404for the 2012-2013 program year. That total was sub funded as follows: \$464,840 (10%) for administrative costs; \$627,261 (15%) for CHDO reserve; and \$232,420 for CHDO operating and predevelopment loans.

CDOH contracted \$4,309,356 in HOME funds during the program year. HOME grantees that generate program income through down payment assistance or single-family owner-occupied rehabilitation programs and retain it to be used for the same purpose had net program income of \$167,051. The state's revolving loan fund received program income of \$152,972.88.

The projects that we funded and the income levels of households they benefit are listed in the following table.

2012-2013 HOME-Funded Projects with Expected Number of Units by Percent of AMI

GRANTEE	HOME Funds	30%	40%	50%	60%	80%	Total
Homeownership Assistance							
Douglas County Housing Partnership	\$258,190	0	0	0	0	12	12
Larimer Home Ownership Program	\$347,000	0	0	0	0	47	47
Colorado Housing Assistance Corp.	\$210,000	0	0	0	0	20	20
Homeownership New Construction							
Habitat for Humanity of Colorado	\$660,000	0	0	0	14	0	14
Land Trust							
Rocky Mountain Community Land Trust	\$268,800	0	0	0	0	27	27
Rental Acquisition and Rehabilitation							
Glenwood Meadows Apts.	\$700,000	0	13	31	16	0	60
Westview Apartments	\$335,000	0	0	34	0	0	34
Mesa Vista Assisted Living	\$400,000	0	0	15	17	8	40
Village of Yorkshire	\$750,000	10	20	60	100	0	190
Sunset Towers Apartments	\$250,000	10	0	30	60	0	100
Canterbury Apartments	\$398,000	8	8	18	9	0	43
The Plaza on Platte Apartments	\$305,000	5	0	27	0	0	32
Rental Rehabilitation							
Uintah Park and Uintah Terrace Apts	\$400,000	9	0	18	9	0	36
Kendall Apartments	\$303,600	0	0	24	24	0	48
Rental New Construction							
Stout Street Lofts	\$1,000,000	26	23	22	6	0	77
Caribou II Apartments	\$300,000	10	23	57	6	0	96
Libretto Apartments	\$280,000	6	9	6	7	0	28
Villas at Oakshire	\$250,000	3	0	12	14	0	29
High Mar Apartments	\$590,000	0	24	24	11	0	59
Single-Family Owner-Occupied Rehab							
Housing Resources of Western Colorado	113,329	0	0	0	0	14	14
Habitat for Humanity Neighborhood Revit	\$195,000	0	0	0	0	80	80
TOTALS	\$8,313,919	54	79	163	77	94	467

2. HOME Match Report

- a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.

Please see attached report.

3. HOME MBE and WBE Report

- a. Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).

Please see attached report.

4. Assessments

- a. Detail results of on-site inspections of rental housing.

Project Number	Project Name	Status or Resolution Date	Finding Comments
00-002	Rocky Mountain Mutual Housing Association/ Meeker Commons	Response due 6/12/13	HQS deficiencies
00-019	Neighbor to Neighbor/ Coachlight Apts.	10/18/2012	Yes - 1 HQS fail - electrical outlet missing cover
00-025	Broomfield Towne Centre Senior Apts	2/25/2013	Yes - lease contained prohibited provision re: waiver of jury trial - mgr executed HOME Lease Addendum, OK
00-073	Thistle Housing/ English Village Apts Rental Acquisition	9/19/2012	Yes - 2 units failed HQS
01-003	Town of Mountain Village/Village Court Apts.	Need date	Yes - income not properly verified, not verified for secondary household members, assets not verified
01-027	Catholic Charities/Plaza Del Sol Farm Worker Hsg	Need date	Yes - Prohibited lease terms re: termination, HQS fail due to electrical hazard
01-031	Rocky Mountin Housing Development/ Sheridan Ridge	Need date	Finding: No government issued ID for resident. Corrective Action: Provide resident's government issued ID by December 31, 2012. 4 units were inspected; 2 had findings.
02-037	Almost Home/ Hughes Station Apts.	9/6/2012	Yes - A prohibited lease term - waiver of trial by jury. 1 HQS fail.
02-054	Volunteers of America Senior Apts.	Need Date	Yes - Double counting City and State HOME units, need 7 (State) + 10 (City) = 17 total units. Currently reporting on 10 units only.
04-039	North Range Behavioral Health/Harmony Way Apartments	4/16/2013	Yes - multiple-no application, valid ID unable to determine if tenant has assets
04-054	Metro West/Pierce Street Senior Apartments	8/27/2012	Yes - failed to check immigration status for 2 files. CK 5/22/2013: per file, finding cleared before letter sent.
05-038	Thistle Community Housing Rental Properties	10/16/2012	Yes - 3 units failed HQS

05-039	Logan Co. Cooperating Ministries Transitional Hsg Acquisition	12/26/2012	Yes - Administrative Review showed no replacement reserve account for this property and no ability to pay for needed capital improvements. We have forwarded this letter along with the project breakout to the DOH housing development specialist for her review. We are also having her review the documents to see if we can change this from transitional housing to low income housing. HQS Inspection revealed leak in basement - took immediate action and had fixed.
06-046	Child and Migrant Services/Farm Worker Housing	Need date	Yes - Need Gov't issued I.D.'s for all tenants
06-052	Douglas County Housing Partnership/Oakwood Apartments Acquisition	9/24/2012	Yes - HQS finding - tenant has to move items around water heater
07-006C	CARE Housing Rental Acquisition & Rehabilitation	Need date	Yes - AFHMP incomplete
07-069T	Jefferson County Housing Authority/Redwood Apts.	Need date	Yes - Requested an updated occupancy/demographic report that shows the current tenants' AMI level, rent, income, etc. by January 15, 2013 as we currently do not have the correct unit mix per the Use Covenant for the property dated September 20, 2007. Seven family files monitored - all missing annual certification language in lease or exhibit. Two files were missing the annual certifications documentaion that would include: new lease or addendum, asset questionnaire and HAP contract.
07-080	Colorado Springs Housing Authority/Shadow Woods Apts.	Need date	Yes - Income calc for unemployment benefits to be corrected.
10-040	Del Norte Neighborhood Development Corp.-Veterans Apts.	11/1/2012	Yes - Minor finding - checking account asset reported at move-in not 3rd party verified. Applicant reported \$175 balance.
11-001	Renaissance Housing Development Corp.-West End Flats	12/13/2012	Yes - Lease termination provision violates HOME regs; must record revised Use Covenant to add 1 HOME unit

93-008	Montrose County/Farmworker Project	Need date	Yes - Missing I.D.'s in some tenant files
93-027	Greeley Center for Independence/Hope Apartments	3/26/2013	- application missing, lease dates incorrect
94-008	Loveland Housing Authority/ The Meadows	1/3/2013	Yes - findings resolved prior to issuing monitoring letter
94-016	Fremont County Schools/The Family Center Apartments	12/27/2012	Yes - Asset, income & 3rd party verifications missing
95-021	CARE Housing/Swallow East	1/14/2013	File Review: wrong unit mix provided, asked them to redesignate to meet contract unit mix. Admin Review: Mgmt using 3 day demand for compliance/possession notice; HOME requires 30 days' notice for termination of lease. Requested they respond re: how they will ensure tenants are aware of their rights re: termination under the HOME program.
96-061	Loveland Housing Authority/Lone Tree Apartments	Need date	We did not receive the financial audit for 2011 or the general ledger information that was requested to determine the operating and replacement reserve questions. Please provide these documents by January 15, 2013 so we can finish the monitoring.
97-042	Salida Housing Development Corp/Riverbend Apartments	8/31/2012	Yes -Missing ID
98-004	Adams Co. Housing AuthorityAztec Villa Apts Rental Acquisition	11/27/2012	Minor HQS violations
98-080	Denver Urban Peak/ Rowan Gardens Apts.	11/20/2012	Financials received and reviewed. Approved.
99-045	HGV L.P./Highland Garden Village Senior Apts.	12/27/2012	Yes - Prohibited lease terms - cost of legal action

b. Describe the HOME jurisdiction's affirmative marketing actions.

TheDOH loan/grant application requires that all applicants certify that they will affirmatively further fair housing and comply with the civil rights act of 1964 and 1968. Applicants must also address the requirements for handicapped accessible units in their project application and a public hearing must be conducted to gather public and private comments on the proposed project. The meetings must be handicapped accessible and outreach must be done to non-English speaking citizens.

CDOH contracts require compliance with all applicable civil rights laws, including Section 504, Section 3 and the Age Discrimination Act.

CDOH project performance plans often list outreach and affirmative marketing plan requirements. When needed, DOH staff will provide technical assistance to a grantee so that they may comply with the civil rights requirements. DOH asset managers monitor each project to further ensure civil rights compliance. The DOH Project Close-Out (PCO) process requires the reporting of direct benefit activities in order to track those who have been served with Federal/state funding. The PCO also requires the grantee to list in writing the actions they have undertaken to affirmatively further fair housing.

CDOH maintains monitoring records and project close out data demonstrating that it has reviewed the civil rights performance of each grantee it funds.

CDOH grant recipients document the actions they have carried out to affirmatively further fair housing.

- Rental projects of 5 units or more funded with HOME dollars are required to develop an Affirmative Marketing Plan (Plan). DOH staff monitors projects to ensure that the Plans have been developed and implemented.

At project close out, DOH requires each grant recipient to track beneficiary information on the individuals/families that they serve. The grantee must list in writing the project beneficiaries by area median income, race, ethnicity, disability and head of household gender. The grantee is also asked to report on all contracts and sub-contracts with Minority Business Enterprises (MBEs) and Women Business Enterprises (WBEs). They must also list minority owners of rental property.

The following examples demonstrate DOH's efforts to affirmatively further fair housing in the past year during its monitoring visits:

- CDOH requires that Fair Housing logos be placed on all agency publications
- CDOH requires that Colorado Relay Service be used if an agency does not have TDD service
- CDOH provides documentation on 504 Self-Assessment requirements and requires that grantees implement them
- Grantee procurement policies are reviewed and recommendations are made on doing outreach to minority/women owned businesses.
- Handicapped accessible units are inspected during monitoring visits

c. Describe outreach to minority and women owned businesses.

The Division of Housing reviews each Grantee's procurement policies and makes recommendations on doing outreach to minority and women-owned businesses. When an affordable housing project or program is awarded funding from DOH, the funding recipient receives guidance on the Federal/state civil rights compliance requirements. Guidance provided is set forth within the terms of the grantee's contract, the contract project performance plan, monitoring compliance requirements and technical assistance given to grantees by DOH staff. DOH funding recipients know early on that they will be required to demonstrate how they comply with the civil rights requirements and how their organization affirmatively furthers fair housing.

These procedures resulted in subcontracts worth \$1,079,376 being awarded to nine

minority-owned businesses during the reporting period. Eleven women-owned businesses received subcontracts worth \$166,386.

HOMELESS

Homeless Needs

1. Identify actions taken to address needs of homeless persons.
2. Identify actions to help homeless persons make the transition to permanent housing and independent living.
 - CDOH coordinated its efforts with the three Colorado Continuums of Care (CoCs): Metropolitan Denver Homeless Initiative (MDHI); Homeward Pikes Peak and the Balance of State.
 - CDOH provided financial assistance to projects that created permanent supportive housing for chronically homeless individuals or families in coordination with those Continuums of Care;
 - CDOH continued to fund nonprofit organizations using HOME, ESG, HOPWA, CDBG funding to assist with supportive services for chronically homeless persons.

Obstacles to completing these action steps include lack of adequate funding and agency capacity to develop housing solutions.

3. Identify new Federal resources obtained from Homeless SuperNOFA.

Federal Resources from SuperNOFA	
Metropolitan Denver Homeless Initiative CoC	\$15,840,210
Homeward Pikes Peak CoC	\$2,038,301
Balance of State CoC	\$3,028,040
TOTAL McKinney Vento Homeless Assistance (SHP)	\$20,906,301

Specific Homeless Prevention Elements

1. Identify actions taken to prevent homelessness.

The Division continued to work with a collaborative endeavor to prevent foreclosures and strengthened a housing counseling program to assist families in maintaining their homeownership. Research indicates that many low-income families are victims of or at risk of predatory lending practices.

Emergency Solutions Grants (ESG)

1. Identify actions to address emergency needs of homeless individuals and families (including significant subpopulations such as those living on the streets).

During the period of April 1, 2012 – March 31, 2013, the Division funded homeless shelter beds through Emergency Solutions Grants.

A dollar-for dollar match is required for the ESG program which our agencies met through foundations, local government match, private contributions, and volunteer hours. Please see the attached ESG Match Report.

2. Assessment of Relationship of ESG Funds to Goals and Objectives
a. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.

Of the \$1,864,078 received by the State, \$729,626 was distributed to agencies and local governments located in twelve different State planning regions. Homeless prevention and rapid re-housing activities were allocated to a lead agency to administer and accounted for \$994,647. The State retained \$97,545 for state administration and allocated \$42,260 for local administration.

b. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.

The Division of Housing has a comprehensive set of strategies to decrease homelessness in Colorado that include the ESG program, Community Development Block Grant funding for shelters and homeless services; creation of transitional housing units and permanent supportive housing (utilizing HOME dollars), and Colorado Housing Development Grants to create housing for special needs, homelessness and affordable housing.

ESG projects allow homeless shelter providers to have access to a funding stream that provides for operations, staff operations and essential services that stabilize clients. Approximately ___ homeless persons received assistance that helped provide this stability. This grant also provided homelessness prevention assistance and rapid re-housing to chronically homeless persons and families.

3. Matching Resources
a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.

Please see the ESG Matching report attached.

4. State Method of Distribution
a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as subrecipients.

The State's method of distribution is consistent with details published in the 2012-2013 Action Plan and substantial amendment.

(1) A NOFA was issued to invite ESG participation by interested agencies;

- (2) ESG application kits were mailed to previously-funded local governments and nonprofit organizations, with other homeless providers receiving application kits upon request.
- (3) A scoring system was implemented for the application process; evaluation of all projects occurred using criteria published in the Action Plan.

5. Activity and Beneficiary Data

- a. Completion of attached Emergency Solutions Grant Program Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.

Regarding collecting, reporting and evaluating the reliability of this information, we note that we must constantly “retrain” subgrantees due to turnover, capacity issues, etc. We have now instituted a competitive grant process which includes accuracy, timeliness and completeness of reporting as scoring factors.

b. Homeless Discharge Coordination

- i. As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.

The State works with the Community and Interagency Council on Homelessness as a partner in improving and coordinating discharge from institutions.

ESG Homeless Prevention Funds do sometimes assist individuals released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.

c. Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.

The Community and Interagency Council on Homelessness is developing a discharge coordination policy for State-funded institutions to be performed with the improved HMIS system.

COMMUNITY DEVELOPMENT

Community Development

*Please also refer to the Community Development Table in the Needs.xls workbook.

1. Assessment of Relationship of CDBG Funds to Goals and Objectives

- a. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.

The Colorado Department of Local Affairs (DOLA) has achieved its goal of responding to the needs of the local governments as illustrated by the types of projects it has funded during the program year.

- b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.
- c. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.

DOLA has consistently exceeded the expectation of awarding a minimum of 70% of its funds over a three year period to projects that primarily benefit low and moderate-income persons. As shown in Part I of the project annual summaries, in 2011, 100% of its projects were funded under the national objective of low and moderate-income benefit.

2. Changes in Program Objectives

- a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.

In an effort to improve the state's expenditure ratio, DOLA is focusing on awarding its public facility funds to projects that have all other revenue sources committed and are ready to move forward.

3. Assessment of Efforts in Carrying Out Planned Actions

- a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.
- b. Indicate how grantee provided certifications of consistency in a fair and impartial manner.

All requests for certifications of consistency were compared to the Consolidated Plan and approved if the Plan contained a provision for the type of activity proposed.

- c. Indicate how grantee did not hinder Consolidated Plan implementation by action or willful inaction.

No actions were taken that hindered the implementation of the Consolidated Plan.

4. Funds Not Used for National Objectives

- a. Indicate how use of CDBG funds did not meet national objectives.
- b. Indicate how did not comply with overall benefit certification.

All CDBG funds used during the report period met National Objectives.

5. **Anti-displacement and Relocation** – for activities that involve acquisition, rehabilitation or demolition of occupied real property.

a. Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.

The Colorado Department of Local Affairs (DOLA) requires that any CDBG recipient adhere to the Residential Antidisplacement and Relocation Assistance Act. Applicants must have in place a formal antidisplacement and relocation assistance plan with signatures from appropriate county and/or municipal officials.

b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.

DOLA ensures that its activities do not trigger the Uniform Relocation Act by (1) educating grant participants of antidisplacement requirements, (2) by screening projects, (3) by requiring timely issuance of information notices to tenants, residents, or potentially displaced households concerning their rights, needs and preferences. All of these steps are monitored by our Asset Management Team and Regional Managers to ensure that they occur in an accurate and timely manner.

c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.

DOLA staff monitors the process of issuing information notices and ensures that it occurs in a timely fashion.

6. Low/Mod Job Activities – for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons

a. Describe actions taken by grantee and businesses to ensure first consideration was or will be given to low/mod persons.

Grantee, subgrantee, and businesses work in cooperation with the local Job Service Center, and the local Workforce Development Center to screen potential employees for low- and moderate-income status, skills, and abilities, and provide appropriate training, if necessary, to selected individuals for the jobs being offered by the businesses.

b. List by job title of all the permanent jobs created/retained and those that were made available to low/mod persons.

Nine categories of job titles are noted on the quarterly employment report format required on all CDBG economic development projects, and permanent jobs created or retained in a project are entered in the appropriate category.

c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.

Not applicable, other than such training provided by the Job Service center or the Workforce Development Center.

7. Low/Mod Limited Clientele Activities – for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit

- a. **Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of whom are low- and moderate-income.**

For activities that benefit a limited clientele, grantees are required to provide documentation prior to funding that their clientele are at least 51% low and moderate income. If funded, documentation is required at time of project monitoring and again at project close-out using HUD established income levels to verify the low and moderate income benefit. These income levels are included in the grantee contracts.

8. Program income received

- a. **Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.**

Single-Family Owner-Occupied Rehabilitation programs and homeownership assistance programs retain any program income earned to be reused for the same purpose. During 2012, these programs generated no net program income because it was all loaned out through program activity. There was no program income received or reported for public facility and economic development projects.

- b. Detail the amount repaid on each float-funded activity.

There were no float-funded activities during the reporting period.

- c. Detail all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other.

DOLA did not make any loans out of CDBG funds and there were no loan repayments.

- d. Detail the amount of income received from the sale of property by parcel.

DOLA did not own or sell any property during the report period.

9. Prior period adjustments – where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:

- a. The activity name and number as shown in IDIS;
- b. The program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported;
- c. The amount returned to line-of-credit or program account; and
- d. Total amount to be reimbursed and the period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.

There were no prior period adjustments during this reporting period.

10. Loans and other receivables

- a. **List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.**

There were no float-funded activities during the reporting period.

- b. **List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.**
- c. **List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.**

- d. **Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.**

The Department of Local Affairs makes only grants with CDBG. Therefore, there are no loans outstanding or in default.

- e. **Provide a List of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.**

Colorado statutes prohibit the Department from owning any property; therefore this question does not apply.

11. Lump sum agreements

- a. Provide the name of the financial institution.
- b. Provide the date the funds were deposited.
- c. Provide the date the use of funds commenced.
- d. Provide the percentage of funds disbursed within 180 days of deposit in the institution.

The Department of Local Affairs did not enter into any lump sum agreements

12. Housing Rehabilitation – for each type of rehabilitation program for which projects/units were reported as completed during the program year

- a. Identify the type of program and number of projects/units completed for each program.
- b. Provide the total CDBG funds involved in the program.
- c. Detail other public and private funds involved in the project.

2012-2013 SINGLE-FAMILY OWNER-OCCUPIED REHABILITATION

Grantee	CDBG Funds Awarded	Number of Units	Other Funding
Huerfano County	\$311,778	55	\$368,628
Fremont County	\$179,370	19	\$179,370
Prowers County	\$93,286	10	\$290,094
Crowley County	\$350,000	37	\$357,462
San Juan County	\$152,701	20	\$278,441
Crowley County	\$250,000	10	\$557,000
Totals	\$1,337,135.00	151	\$2,030,995.00

13. Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies

- a. **Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.**

The Department of Local Affairs did not pursue any neighborhood revitalization with CDBG funds during the reporting period.

Antipoverty Strategy

1. Describe actions taken during the last year to reduce the number of persons living below the poverty level.

CDOH believes that supportive services linked to housing are the key to helping homeless families escape poverty. DOLA worked with other state agencies, the Colorado Interagency Council on Homelessness, local governments and non-profit service providers to coordinate supportive services to help families escape poverty. The coordinated linking of job training, education, employment opportunities, childcare, transportation, housing and food stamps enabled families in poverty to receive a full benefits package to assist them in getting off the welfare rolls.

Through the Colorado Works Program, the Colorado Dept. of Human Services coordinates the State's Temporary Assistance to Needy Families (TANF) across 64 counties. Each Colorado county designs how it will administer its TANF funds to help reduce poverty. The TANF system provides households with job training, housing, childcare, transportation, family health care, educational support and continuous employment to help them achieve self-sufficiency and escape from poverty. Many counties in Colorado had difficulty providing employment opportunities to TANF recipients because of limited job availability. In accordance with Federal statutes, the Colorado Works Program imposes a 60-month cumulative lifetime limit for receipt of basic cash assistance and requires most adult recipients to be in a work activity within 24 months of being deemed job-ready.

The Division of Housing used its HOME, CDBG and NSP funding as a catalyst for other sustainable housing efforts. The Division allocated Neighborhood Stabilization Program (NSP I and III) funding to local governments and nonprofit organizations for activities to stabilize neighborhoods in areas highly impacted by foreclosures. More than twenty-five percent of the DOH NSP allocation has been used to assist persons earning less than 50 percent of the Area Median Income (AMI).

The Division of Housing financed housing construction or rehabilitation and soft costs including rental subsidies through its other housing programs. The direct impact of housing development is quality housing and additional construction jobs for a community.

Colorado Housing Finance Authority (CHFA) also explores ways to provide low-interest loans for housing development that serves families at 30% of AMI. The Division and CHFA, as well as other housing agencies, often coordinate their funding in order to make affordable housing projects successful. CHFA and DOH collaborate to preserve affordable housing projects that have financial problems.

CDOH received \$2,200,000 in Housing Development Grant funds for State fiscal year 2013 (July 1, 2012 through June 30, 2013) for affordable housing, homeless shelters or transitional housing units. These state funds are the most flexible of the Division's funding, and allowed the creation of tailored community solutions to help ensure that the poorest families in Colorado have an increasing supply of rental units affordable to them.

The Housing Choice Voucher (HCV) Program is a major Federal government program for assisting very low-income families, the elderly, and the disabled to afford decent, safe, and sanitary housing in the private market. Based on Federal requirements,

DOH must provide 75 percent of its vouchers to applicants whose incomes are less than 30 percent of the area median income.

DOH serves over 7,000 families through HUD's Housing Choice Voucher and Shelter Plus Care Programs. DOH is committed to providing decent, safe and affordable housing to at risk populations in Colorado. It accomplishes this by providing a preference under its HCV Program for the following categories: disabled/elderly, homeless, domestic violence, and individuals exiting an institutional setting. In addition to the regular voucher program, DOH also has the following special population programs: Family Unification Program for homeless youth 18-21; Section 8 Homeownership; SRO Moderate Rehabilitation; VASH-Veterans Affairs Supportive Housing for homeless veterans; Welfare to Work for homeless families; Project-Based Assistance Program; Project Access for persons exiting nursing homes or other institutions, and the Shelter Plus Care program.

NON-HOMELESS SPECIAL NEEDS

1. **Identify actions taken to address special needs of persons that are not homeless but require supportive housing**, (including persons with HIV/AIDS and their families).

During the Report year, DOH used HOME funds to help create four rental projects for seniors, adding or rehabilitating 203 units of affordable supportive housing. In addition, one project supplied 25-50 of its 98 units as permanent supportive housing for the people experiencing homelessness.

Using HOPWA funds, the Division of Housing provided rental assistance and supportive services to **69** households containing **135** individuals; **11** households were able to leave the program because they attained permanent housing. More information on the HOPWA program's outcomes is contained in the HOPWA CAPER, Appendix C.

Specific HOPWA Objectives

1. Overall Assessment of Relationship of HOPWA Funds to Goals and Objectives
Grantees should demonstrate through the CAPER and related IDIS reports the progress they are making at accomplishing identified goals and objectives with HOPWA funding. Grantees should demonstrate:
 - a. That progress is being made toward meeting the HOPWA goal for providing affordable housing using HOPWA funds and other resources for persons with HIV/AIDS and their families through a comprehensive community plan;
 - b. That community-wide HIV/AIDS housing strategies are meeting HUD's national goal of increasing the availability of decent, safe, and affordable housing for low-income persons living with HIV/AIDS;
 - c. That community partnerships between State and local governments and community-based non-profits are creating models and innovative strategies to serve the housing and related supportive service needs of persons living with HIV/AIDS and their families;
 - d. That through community-wide strategies Federal, State, local, and other resources are matched with HOPWA funding to create comprehensive housing strategies;
 - e. That community strategies produce and support actual units of housing for persons living with HIV/AIDS; and finally,
 - f. That community strategies identify and supply related supportive services in conjunction with housing to ensure the needs of persons living with HIV/AIDS and their families are met.
2. This should be accomplished by providing an executive summary (1-5 pages) that includes:
 - a. Grantee Narrative
 - i. Grantee and Community Overview
 - (1) A brief description of your organization, the area of service, the name of each project sponsor and a broad overview of the range/type of housing activities and related services
 - (2) How grant management oversight of project sponsor activities is conducted and how project sponsors are selected
 - (3) A description of the local jurisdiction, its need, and the estimated number of persons living with HIV/AIDS

- (4) A brief description of the planning and public consultations involved in the use of HOPWA funds including reference to any appropriate planning document or advisory body
 - (5) What other resources were used in conjunction with HOPWA funded activities, including cash resources and in-kind contributions, such as the value of services or materials provided by volunteers or by other individuals or organizations
 - (6) Collaborative efforts with related programs including coordination and planning with clients, advocates, Ryan White CARE Act planning bodies, AIDS Drug Assistance Programs, homeless assistance programs, or other efforts that assist persons living with HIV/AIDS and their families.
- ii. Project Accomplishment Overview
 - (1) A brief summary of all housing activities broken down by three types: emergency or short-term rent, mortgage or utility payments to prevent homelessness; rental assistance; facility based housing, including development cost, operating cost for those facilities and community residences
 - (2) The number of units of housing which have been created through acquisition, rehabilitation, or new construction since 1993 with any HOPWA funds
 - (3) A brief description of any unique supportive service or other service delivery models or efforts
 - (4) Any other accomplishments recognized in your community due to the use of HOPWA funds, including any projects in developmental stages that are not operational.
 - iii. Barriers or Trends Overview
 - (1) Describe any barriers encountered, actions in response to barriers, and recommendations for program improvement
 - (2) Trends you expect your community to face in meeting the needs of persons with HIV/AIDS, and
 - (3) Any other information you feel may be important as you look at providing services to persons with HIV/AIDS in the next 5-10 years
 - b. Accomplishment Data
 - i. Completion of CAPER Performance Chart 1 of Actual Performance in the provision of housing (Table II-1 to be submitted with CAPER).
 - ii. Completion of CAPER Performance Chart 2 of Comparison to Planned Housing Actions (Table II-2 to be submitted with CAPER).

HOPWA Summary

Using HOPWA funds, the Division of Housing provided rental assistance and supportive services to **69** households comprised of **135** individuals. Please see the HOPWA CAPER, Appendix C for more details of our annual performance for the HOPWA program.

OTHER NARRATIVE

Include any CAPER information that was not covered by narratives in any other section.

All CAPER information was included above.

Annual Performance Report HOME Program

**U.S. Department of Housing
and Urban Development**
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31. Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
	Starting	Ending	

Part I Participant Identification

1. Participant Number	2. Participant Name		
3. Name of Person completing this report		4. Phone Number (Include Area Code)	
5. Address	6. City	7. State	8. Zip Code

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
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Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number					
2. Dollar Amount					
B. Sub-Contracts					
1. Number					
2. Dollar Amount					
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number					
2. Dollar Amount					
D. Sub-Contracts					
1. Number					
2. Dollar Amounts					

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number						
2. Dollar Amount						

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost	Minority Business Enterprises (MBE)			f. White Non-Hispanic
Households Displaced	a. Total	b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	f. White Non-Hispanic
1. Parcels Acquired						
2. Businesses Displaced						
3. Nonprofit Organizations Displaced						
4. Households Temporarily Relocated, not Displaced						
5. Households Displaced - Number						
6. Households Displaced - Cost						

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

Instructions for the HOME Match Report

Applicability:

The HOME Match Report is part of the HOME APR and must be filled out by every participating jurisdiction that incurred a match liability. Match liability occurs when FY 1993 funds (or subsequent year funds) are drawn down from the U.S. Treasury for HOME projects. A Participating Jurisdiction (PJ) may start counting match contributions as of the beginning of Federal Fiscal Year 1993 (October 1, 1992). A jurisdiction not required to submit this report, either because it did not incur any match or because it had a full match reduction, may submit a HOME Match Report if it wishes. The match would count as excess match that is carried over to subsequent years. The match reported on this form must have been contributed during the reporting period (between October 1 and September 30).

Timing:

This form is to be submitted as part of the HOME APR on or before December 31. The original is sent to the HUD Field Office. One copy is sent to the

Office of Affordable Housing Programs, CGHF
Room 7176, HUD, 451 7th Street, S.W.
Washington, D.C. 20410.

The participating jurisdiction also keeps a copy.

Instructions for Part II:

1. **Excess match from prior Federal fiscal year:** Excess match carried over from prior Federal fiscal year.
2. **Match contributed during current Federal fiscal year:** The total amount of match contributions for all projects listed under Part III in column 9 for the Federal fiscal year.

3. **Total match available for current Federal fiscal year:** The sum of excess match carried over from the prior Federal fiscal year (Part II, line 1) and the total match contribution for the current Federal fiscal year (Part II, line 2). This sum is the total match available for the Federal fiscal year.

4. **Match liability for current Federal fiscal year:** The amount of match liability is available from HUD and is provided periodically to PJs. The match must be provided in the current year. The amount of match that must be provided is based on the amount of HOME funds drawn from the U.S. Treasury for HOME projects. The amount of match required equals 25% of the amount drawn down for HOME projects during the Federal fiscal year. Excess match may be carried over and used to meet match liability for subsequent years (see Part II line 5). Funds drawn down for administrative costs, CHDO operating expenses, and CHDO capacity building do not have to be matched. Funds drawn down for CHDO seed money and/or technical assistance loans do not have to be matched if the project does not go forward. A jurisdiction is allowed to get a partial reduction (50%) of match if it meets one of two statutory distress criteria, indicating "fiscal distress," or else a full reduction (100%) of match if it meets both criteria, indicating "severe fiscal distress." The two criteria are poverty rate (must be equal to or greater than 125% of the average national family poverty rate to qualify for a reduction) and per capita income (must be less than 75% of the national average per capita income to qualify for a reduction). In addition, a jurisdiction can get a full reduction if it is declared a disaster area under the Robert T. Stafford Disaster Relief and Emergency Act.

5. **Excess match carried over to next Federal fiscal year:** The total match available for the current Federal fiscal year (Part II, line 3) minus the match liability for the current Federal fiscal year (Part II, line 4). Excess match may be carried over and applied to future HOME project match liability.

Instructions for Part III:

1. **Project No. or Other ID:** "Project number" is assigned by the C/MI System when the PJ makes a project setup call. These projects involve at least some Treasury funds. If the HOME project does not involve Treasury funds, it must be identified with "other ID" as follows: the fiscal year (last two digits only), followed by a number (starting from "01" for the first non-Treasury-funded project of the fiscal year), and then at least one of the following abbreviations: "SF" for project using shortfall funds, "PI" for projects using program income, and "NON" for non-HOME-assisted affordable housing. Example: 93.01.SF, 93.02.PI, 93.03.NON, etc.

Shortfall funds are non-HOME funds used to make up the difference between the participation threshold and the amount of HOME funds allocated to the PJ; the participation threshold requirement applies only in the PJ's first year of eligibility. [§92.102]

Program income (also called "repayment income") is any return on the investment of HOME funds. This income must be deposited in the jurisdiction's HOME account to be used for HOME projects. [§92.503(b)]

Non-HOME-assisted affordable housing is investment in housing not assisted by HOME funds that would qualify as “affordable housing” under the HOME Program definitions. “NON” funds must be contributed to a specific project; it is not sufficient to make a contribution to an entity engaged in developing affordable housing. [§92.219(b)]

2. **Date of Contribution:** Enter the date of contribution. Multiple entries may be made on a single line as long as the contributions were made during the current fiscal year. In such cases, if the contributions were made at different dates during the year, enter the date of the last contribution.
3. **Cash:** Cash contributions from non-Federal resources. This means the funds are contributed permanently to the HOME Program regardless of the form of investment the jurisdiction provides to a project. Therefore all repayment, interest, or other return on investment of the contribution must be deposited in the PJ’s HOME account to be used for HOME projects. The PJ, non-Federal public entities (State/local governments), private entities, and individuals can make contributions. The grant equivalent of a below-market interest rate loan to the project is eligible when the loan is not repayable to the PJ’s HOME account. [§92.220(a)(1)] In addition, a cash contribution can count as match if it is used for eligible costs defined under §92.206 (except administrative costs and CHDO operating expenses) or under §92.209, or for the following non-eligible costs: the value of non-Federal funds used to remove and relocate ECHO units to accommodate eligible tenants, a project reserve account for replacements, a project reserve account for unanticipated increases in operating costs, operating subsidies, or costs relating to the portion of a mixed-income or mixed-use project not related to the affordable housing units. [§92.219(c)]
4. **Foregone Taxes, Fees, Charges:** Taxes, fees, and charges that are normally and customarily charged but have been waived, foregone, or deferred in a manner that achieves affordability of the HOME-assisted housing. This includes State tax credits for low-income housing development. The amount of real estate taxes may be based on the

post-improvement property value. For those taxes, fees, or charges given for future years, the value is the present discounted cash value. [§92.220(a)(2)]

5. **Appraised Land/Real Property:** The appraised value, before the HOME assistance is provided and minus any debt burden, lien, or other encumbrance, of land or other real property, not acquired with Federal resources. The appraisal must be made by an independent, certified appraiser. [§92.220(a)(3)]
6. **Required Infrastructure:** The cost of investment, not made with Federal resources, in on-site and off-site infrastructure directly required for HOME-assisted affordable housing. The infrastructure must have been completed no earlier than 12 months before HOME funds were committed. [§92.220(a)(4)]
7. **Site preparation, Construction materials, Donated labor:** The reasonable value of any site-preparation and construction materials, not acquired with Federal resources, and any donated or voluntary labor (see §92.354(b)) in connection with the site-preparation for, or construction or rehabilitation of, affordable housing. The value of site-preparation and construction materials is determined in accordance with the PJ’s cost estimate procedures. The value of donated or voluntary labor is determined by a single rate (“labor rate”) to be published annually in the Notice Of Funding Availability (NOFA) for the HOME Program. [§92.220(6)]
8. **Bond Financing:** Multifamily and single-family project bond financing must be validly issued by a State or local government (or an agency, instrumentality, or political subdivision thereof). 50% of a loan from bond proceeds made to a multifamily affordable housing project owner can count as match. 25% of a loan from bond proceeds made to a single-family affordable housing project owner can count as match. Loans from all bond proceeds, including excess bond match from prior years, may not exceed 25% of a PJ’s total annual match contribution. [§92.220(a)(5)] The amount in excess of the 25% cap for bonds may carry over, and the excess will count as part of the statutory limit of up to 25% per year. Requirements regarding

bond financing as an eligible source of match will be available upon publication of the implementing regulation early in FY 1994.

9. **Total Match:** Total of items 3 through 8. This is the total match contribution for each project identified in item 1.

Ineligible forms of match include:

1. Contributions made with or derived from Federal resources e.g. CDBG funds [§92.220(b)(1)]
2. Interest rate subsidy attributable to the Federal tax-exemption on financing or the value attributable to Federal tax credits [§92.220(b)(2)]
3. Contributions from builders, contractors or investors, including owner equity, involved with HOME-assisted projects. [§92.220(b)(3)]
4. Sweat equity [§92.220(b)(4)]
5. Contributions from applicants/recipients of HOME assistance [§92.220(b)(5)]
6. Fees/charges that are associated with the HOME Program only, rather than normally and customarily charged on all transactions or projects [§92.220(a)(2)]
7. Administrative costs



COLORADO PERFORMANCE AND EVALUATION REPORT

HIGHLIGHTS OF CUMULATIVE ACCOMPLISHMENTS FOR FFY 2007 THROUGH FFY 2013

The CDBG program is designed to help smaller communities meet their greatest community development and housing needs. The needs of low and moderate income persons generally receive priority consideration.

The following are some of the cumulative accomplishments of the program **for Federal fiscal years 2007 through 2013**.

- Over \$45.65 million has been obligated by the State to 171 local projects.
- More than 49085 people are benefiting from these 171 local projects.
- Over 77% of all persons benefiting from local projects are low and moderate income persons.
- About 99.9% of obligated funds have been awarded for local projects in which a majority of beneficiaries are low and moderate income persons. (Approximately .1% has been committed for local projects that eliminate slums or blight.)
- About 10.4% of funds have been used for local and State administration expenses. (Federal law allows up to 20% to be used for administration.)
- 81.8% of all projects have been outside of the "Front Range" counties (e.g., Regions 2, 3, 4 and 7).
- 48.54% of all projects have been in the State's most economically distressed "southern tier" from the Kansas/Oklahoma border on the east to the Utah border on the west (e.g., Regions 6, 7, 8, 9 and 14).
- Since 2007, the number of projects, by type, has been:

Economic development	34	(11.2%)
Housing	64	(37.6%)
Public Facilities	69	(44.7%)
Public Service	4	(6.5%)

- The amount of funding, by project type, has been:

Economic Development	\$11,867,503.79	(19.17%)
Housing	\$16,829,344.24	(27.19%)
Public Facilities	\$32,312,068.26	(52.20%)
Public Service	\$889,066.59	(1.44%)

PART I

STATE: Colorado
GRANT NUMBER: B-07-DC-08-001
REPORT ON: Federal Fiscal Year (FFY) 2007 Funds
DATA AS OF: March 31, 2013

1. FINANCIAL STATUS:		
A. GRANT AMOUNT:	\$10,768,763.00	Total
	10,768,763.00	Initial Amount
	0.00	Amendment
	0.00	Reallocation
	0.00	Program Income
 TOTAL GRANT AMOUNT:	\$10,768,763.00	Total
	10,345,701.00	Available for Local Projects and Administration
	423,062.00	Available for State Administration
 B. AMOUNT OBLIGATED BY STATE TO GRANTEES FOR LOCAL PROJECTS AND ADMINISTRATION:		
	\$10,171,070.51	Total
 C. AMOUNT EXPENDED BY STATE:		
	\$9,829,953.35	Total
	\$9,406,891.35	Local Projects and Administration
	\$423,062.00	State Administration
 D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:		
	\$917,800.47	Total – 8.52% of Grant Amount
	\$494,738.47	Local
	\$423,062.00	State

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: **FFY 2006-2007-2008**

B. AMOUNTS OBLIGATED TO GRANTEES FOR:		2006	2007	2008
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)				
Total – 99.6% of Amount Obligated:	\$31,554,349.96	\$10,656,963.23	\$10,768,762.00	\$10,128,624.73
Local Projects:	\$28,762,868.96	\$9,706,295.38	\$9,676,332.04	\$9,380,241.54
Administration:	\$1,922,805.09	\$949,958.62	\$494,738.47	\$478,108.00
PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):				
Total – .4% of Amount Obligated:	\$120,000.00	\$0.00	\$0.00	\$120,000.00
Local Projects:	120,000.00	0.00	0.00	120,000.00
Administration:	0.00	0.00	0.00	0.00
MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):				
Total – 0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00
ACQUISITION/REHABILITATION NON-COUNTABLE (NC):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00

PART II - 2007

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2007 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program." The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2006, 2007 and 2008 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2013, a total of 39 projects have been awarded with 2007 funds, 17 public facilities, 19 housing, and 2 economic development and 1 Public Service.
- ❖ The State's primary objective is being achieved. As shown in Part I, **\$10,387,819.93** (100%) of 2007 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2006, 2007, and 2008, to date, 100% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the projects for which funds have been obligated have been completed, it is estimated that over 66.4% of project beneficiaries, or 15,425 of 23,229 persons will be low and moderate income persons.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the State's CDBG program.
- ❖ No changes have been made to the program objectives.

PART I

STATE: Colorado
GRANT NUMBER: B-08-DC-08-001
REPORT ON: Federal Fiscal Year (FFY) 2008 Funds
DATA AS OF: March 31, 2013

1. FINANCIAL STATUS:			
A. GRANT AMOUNT:	\$10,546,315.00	Total	
	10,546,315.00	Initial Amount	
	0.00	Amendment	
	0.00	Reallocation	
	0.00	Program Income	
 TOTAL GRANT AMOUNT:	\$10,546,315.00	Total	
	10,129,926.00	Available for Local Projects and Administration	
	416,389.00	Available for State Administration	
 B. AMOUNT OBLIGATED BY STATE TO GRANTEES FOR LOCAL PROJECTS AND ADMINISTRATION:			
	\$10,128,624.73	Total	
 C. AMOUNT EXPENDED BY STATE:			
	\$9,548,037.28	Total	
	\$9,078,542.92	Local Projects and Administration	
	\$416,389.00	State Administration	
 D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:			
	\$894,497.00	Total – 8.48% of Grant Amount	
	\$478,108.00	Local	
	\$416,389.00	State	

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: **FFY 2006-2007-2008**

B. AMOUNTS OBLIGATED TO GRANTEES FOR:		2006	2007	2008
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)				
Total – 99.6% of Amount Obligated:	\$31,554,349.96	\$10,656,963.23	\$10,171,762.00	\$9,530,516.73
Local Projects:	\$28,762,868.96	\$9,706,295.38	\$9,676,332.04	\$9,380,241.54
Administration:	\$1,922,805.09	\$949,958.62	\$494,738.47	\$478,108.00
PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):				
Total – .4% of Amount Obligated:	\$120,000.00	\$0.00	\$0.00	\$120,000.00
Local Projects:	120,000.00	0.00	0.00	120,000.00
Administration:	0.00	0.00	0.00	0.00
MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):				
Total – 0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00
ACQUISITION/REHABILITATION NON-COUNTABLE (NC):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00

PART II - 2008

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2008 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program." The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2006, 2007 and 2008 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2013, a total of 30 projects have been awarded with 2008 funds, 15 public facilities, 11 housing and 4 economic development.
- ❖ The State's primary objective is being achieved. As shown in Part I, **\$10,128,624.73** (99.9%) of 2008 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2006, 2007, and 2008, to date, 99.6% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the projects for which funds have been obligated have been completed, it is estimated that over 69.8% of project beneficiaries, or 7043 of 10,092 persons will be low and moderate income persons.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the State's CDBG program.
- ❖ No changes have been made to the program objectives.

PART I

STATE: Colorado
GRANT NUMBER: B-09-DC-08-001
REPORT ON: Federal Fiscal Year (FFY) 2009 Funds
DATA AS OF: March 31, 2013

1. FINANCIAL STATUS:			
A. GRANT AMOUNT:	\$8,327,836.00	Total	
	8,327,836.00	Initial Amount	
	0.00	Amendment	
	0.00	Reallocation	
	0.00	Program Income	
 TOTAL GRANT AMOUNT:	 \$8,327,836.00	 Total	
	7,978,001.00	Available for Local Projects and Administration	
	349,835.00	Available for State Administration	
 B. AMOUNT OBLIGATED BY STATE TO GRANTEES FOR LOCAL PROJECTS AND ADMINISTRATION:			
	\$7,978,001.00	Total	
 C. AMOUNT EXPENDED BY STATE:			
	\$6,852,701.37	Total	
	\$6,502,865.37	Local Projects and Administration	
	\$349,836.00	State Administration	
 D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:			
	\$966,392.00	Total – 11.6% of Grant Amount	
	\$616,557.00	Local	
	\$349,835.00	State	

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: **FFY 2009-2010-2011**

B. AMOUNTS OBLIGATED TO GRANTEES FOR:		2009	2010	2011
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)				
Total – 100% of Amount Obligated:	\$19,135,521.00	\$7,978,001.00	\$9,920,735.56	\$7,469,776.21
Local Projects:	17,404,295.00	\$7,361,444.00	\$8,983,663.00	1,059,188.00
Administration:	1,731,226.00	616,557.00	960,833.00	153,836.00
 PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):				
Total – 0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Administration:	0.00	0.00	0.00	0.00
 MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):				
Total – 0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00
 ACQUISITION/REHABILITATION NON-COUNTABLE (NC):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00

PART II - 2009

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2009 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program." The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2009, 2010 and 2011 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2013, a total of 20 projects have been awarded with 2009 funds, 3 public facilities, 14 housing and 3 economic development.
- ❖ The State's primary objective is being achieved. As shown in Part I, **\$7,978,001.00**(100%) of 2009 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2009, 2010, and 2011, to date, 100% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the projects for which funds have been obligated have been completed, it is estimated that over 76.8% of project beneficiaries, or 8,689 of 11,312 persons will be low and moderate income persons.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the State's CDBG program.
- ❖ No changes have been made to the program objectives.

PART I

STATE: Colorado
GRANT NUMBER: B-10-DC-08-001
REPORT ON: Federal Fiscal Year (FFY) 2010 Funds
DATA AS OF: March 31, 2012

1. FINANCIAL STATUS:			
A. GRANT AMOUNT:	\$10,355,150.00	Total	
	10,355,150.00	Initial Amount	
	0.00	Amendment	
	0.00	Reallocation	
	0.00	Program Income	
 TOTAL GRANT AMOUNT:	\$10,355,150.00	Total	
	9,944,496.00	Available for Local Projects and Administration	
	410,654.00	Available for State Administration	
 B. AMOUNT OBLIGATED BY STATE TO GRANTEES FOR LOCAL PROJECTS AND ADMINISTRATION:			
	\$9,920,735.56	Total	
 C. AMOUNT EXPENDED BY STATE:			
	\$7,549,323.39	Total	
	7,194,396.73	Local Projects and Administration	
	\$354,926.66	State Administration	
 D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:			
	\$1,371,487.00	Total – 13.24% of Grant Amount	
	\$960,833.00	Local	
	\$410,654.00	State	

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: **FFY 2009-2010-2011**

B. AMOUNTS OBLIGATED TO GRANTEES FOR:		2009	2010	2011
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)				
Total – 100% of Amount Obligated:	\$19,135,521.00	\$7,978,001.00	\$9,920,735.56	\$7,469,776.21
Local Projects:	17,404,295.00	\$7,361,444.00	\$8,983,663.00	1,059,188.00
Administration:	1,731,226.00	\$616,557.00	\$960,833.00	\$732,413.00
PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):				
Total – 0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Administration:	0.00	0.00	0.00	0.00
MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):				
Total – 0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00
ACQUISITION/REHABILITATION NON-COUNTABLE (NC):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00

PART II - 2010

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2010 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program." The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2009, 2010 and 2011 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2013, a total of 41 projects have been awarded with 2010 funds, 14 public facilities, 18 housing, 7 economic development and 2 public service.
- ❖ The State's primary objective is being achieved. As shown in Part I, **\$,9,920,735.56** (100%) of 2010 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2009, 2010, and 2011, to date, 100% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the projects for which funds have been obligated have been completed, it is estimated that over 62.6% of project beneficiaries, or 9655 of 15428 of persons benefitting are low/moderate income.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the State's CDBG program.
- ❖ No changes have been made to the program objectives.

PART I

STATE: Colorado
GRANT NUMBER: B-10-DC-08-001
REPORT ON: Federal Fiscal Year (FFY) 2011 Funds
DATA AS OF: March 31, 2013

1. FINANCIAL STATUS:			
A. GRANT AMOUNT:	\$8,702,773.00	Total	
	8,702,773.00	Initial Amount	
	0.00	Amendment	
	0.00	Reallocation	
	0.00	Program Income	
 TOTAL GRANT AMOUNT:	 \$8,702,773.00	 Total	
	8,341,690.00	Available for Local Projects and Administration	
	361,083.00	Available for State Administration	
 B. AMOUNT OBLIGATED BY STATE TO GRANTEES FOR LOCAL PROJECTS AND ADMINISTRATION:			
	\$7,469,776.21	Total	
 C. AMOUNT EXPENDED BY STATE:			
	\$5,184,316.94	Total	
	\$5,010,261.48	Local Projects and Administration	
	174,055.46	State Administration	
 D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:			
	\$1,321,966.00	Total – 15.19% of Grant Amount	
	\$960,883.00	Local	
	\$361,083.00	State	

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: **FFY 2009-2010-2011**

B. AMOUNTS OBLIGATED TO GRANTEES FOR:		2009	2010	2011
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)				
Total – 100% of Amount Obligated:	\$19,135,521.00	\$7,978,001.00	\$9,944,496.00	\$1,213,024.00
Local Projects:	17,404,295.00	\$7,361,444.00	\$8,983,663.00	1,059,188.00
Administration:	1,731,226.00	616,557.00	960,833.00	153,836.00
 PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):				
Total – 0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Administration:	0.00	0.00	0.00	0.00
 MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):				
Total – 0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00
 ACQUISITION/REHABILITATION NON-COUNTABLE (NC):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00

PART II - 2011

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2011 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program." The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2009, 2010 and 2011 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2013, a total of 30 projects have been awarded with 2012 funds, 14 Public Facilities, 14 housing, and 2 economic development.
- ❖ The State's primary objective is being achieved. As shown in Part I, **\$7,469,776.21** (100%) of 2012 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2009, 2010, and 2011, to date, 100% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the projects for which funds have been obligated have been completed, it is estimated that over 59% of project beneficiaries, or 59 of 100 persons will be low and moderate income persons.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the state's CDBG program.
- ❖ No changes have been made to the program objectives.

ESG Quarterly Match Report
 Quarter From: 04/01/2012 to 03/31/2013

Preparation Date:
 06/28/2013 10:22 AM

Prepared By:
 MMiller

Project#	Awarded	Grantee	Matching Type	Quarters	Match Amount	Payment Amt
00-002	\$700,000.00	Rocky Mountain Mutual Housing Association	Other	09/30/2012	\$5,684.00	\$0.00
						\$0.00
				12/31/2012	\$1,422.66	\$0.00
						\$0.00
				03/31/2013		\$0.00
			Total:	\$7,106.66	\$0.00	
11-901	\$10,000.00	Family Crisis Services, Inc.	Private	06/30/2012	\$2,018.49	\$1,574.17
				Total:	\$2,018.49	\$1,574.17
11-902	\$5,000.00	S.H.A.R.E., Inc.	Local Government	06/30/2012	\$2,233.01	\$1,715.72
				Total:	\$2,233.01	\$1,715.72
11-903	\$14,000.00	Family & Intercultural Resource Center	Other	06/30/2012	\$7,615.00	\$9,045.00
				Total:	\$7,615.00	\$9,045.00
11-904	\$18,000.00	Grand Valley Catholic Outreach	Private	06/30/2012		\$0.00
				Total:		\$0.00
11-905	\$29,000.00	Safe Shelter Of St. Vrain Valley	Local Government	06/30/2012	\$7,250.00	\$7,495.54
				Total:	\$7,250.00	\$7,495.54
11-906	\$19,000.00	Pikes Peak Community Action Agency	Private	06/30/2012	\$0.00	\$140.86
				Total:	\$0.00	\$140.86
11-908	\$22,000.00	Women'S Crisis Center - Family Outreach Center	Other Federal	06/30/2012		\$4,647.16
				Total:		\$4,647.16
11-909	\$19,000.00	Loaves & Fishes Ministries Of Fremont County	Other	06/30/2012	\$4,199.87	\$11,588.86
				Total:	\$4,199.87	\$11,588.86
11-910	\$17,650.00	Hilltop Community Resources, Inc.	Private	06/30/2012	\$4,413.00	\$7,950.08
				Total:	\$4,413.00	\$7,950.08
11-911	\$13,000.00	Housing Solutions For The Southwest	Private	06/30/2012	\$2,931.39	\$6,351.76
				Total:	\$2,931.39	\$6,351.76
11-912	\$6,000.00	Advocate Safehouse Project	Local Government	06/30/2012	\$557.50	\$2,362.41
				Total:	\$557.50	\$2,362.41
11-913	\$22,000.00	Boulder Shelter For The Homeless	Local Government	06/30/2012	\$0.00	\$1,666.85
				Total:	\$0.00	\$1,666.85

ESG Quarterly Match Report
Quarter From: 04/01/2012 to 03/31/2013

Preparation Date:
06/28/2013 10:22 AM

Prepared By:
MMiller

Project#	Awarded	Grantee	Matching Type	Quarters	Match Amount	Payment Amt
11-914	\$21,000.00	Help For Abused Partners	Private	06/30/2012	\$8,577.17	\$4,397.60
				Total:	\$8,577.17	\$4,397.60
11-915	\$19,000.00	Inter-Faith Community Services	Other	06/30/2012	\$0.00	\$10,800.00
				Total:	\$0.00	\$10,800.00
11-918	\$21,000.00	Jefferson Center For Mental Health	Other	06/30/2012	\$17,169.00	\$1,510.83
				Total:	\$17,169.00	\$1,510.83
11-919	\$25,000.00	Jeffco Action Center	Other	06/30/2012	\$0.00	\$0.00
				Total:	\$0.00	\$0.00
11-921	\$10,000.00	Arkansas Valley Resources Center	Local Government	06/30/2012	\$0.00	\$4,726.41
				Total:	\$0.00	\$4,726.41
11-922	\$19,000.00	The Inn Between Of Longmont, Inc.	Local Government	06/30/2012	\$19,000.00	\$6,927.41
				Total:	\$19,000.00	\$6,927.41
11-923	\$24,000.00	Family Tree, Inc./Women In Crisis	Local Government	06/30/2012	\$0.00	\$5,651.84
				Total:	\$0.00	\$5,651.84
11-924	\$24,000.00	Volunteers Of America, Durango Comm. Shelter And Southwest Safehous	Local Government	06/30/2012	\$1,374.91	\$9,700.81
				Total:	\$1,374.91	\$9,700.81
11-925	\$8,000.00	Advocates Against Battering & Abuse	Other	06/30/2012	\$0.00	\$3,173.49
				Total:	\$0.00	\$3,173.49
11-926	\$19,650.00	Tri-County Resources	Other	06/30/2012	\$4,913.00	\$9,695.47
				Total:	\$4,913.00	\$9,695.47
11-927	\$11,000.00	Castle Rock Community Inter-Church Task Force D/B/A Douglas/Elbert Tf	Other	06/30/2012	\$6,253.97	\$4,746.03
				Total:	\$6,253.97	\$4,746.03
11-929	\$4,680.00	Alternatives To Violence, Inc.	Other	06/30/2012	\$1,170.00	\$1,170.00
				Total:	\$1,170.00	\$1,170.00
11-930	\$30,197.96	Urban Peak	Private	06/30/2012	\$16,810.33	\$14,359.16
				Total:	\$16,810.33	\$14,359.16
11-931	\$66,500.00	Weld County Housing Authority	Local Government	06/30/2012	\$16,625.00	\$10,196.29
				Total:	\$16,625.00	\$10,196.29
			Other	06/30/2012		\$10,196.29
				Total:		\$10,196.29
			Other Federal	06/30/2012	\$38,163.06	\$10,196.29
				Total:	\$38,163.06	\$10,196.29

ESG Quarterly Match Report
 Quarter From: 04/01/2012 to 03/31/2013

Preparation Date:
 06/28/2013 10:22 AM

Prepared By:
 MMiller

Project#	Awarded	Grantee	Matching Type	Quarters	Match Amount	Payment Amt
11-932	\$53,000.00	Pueblo County Government	Other	06/30/2012	\$16,575.00	\$10,250.00
				Total:	\$16,575.00	\$10,250.00
11-935	\$5,000.00	Mountain Family Center	Private	06/30/2012	\$0.00	\$300.00
				Total:	\$0.00	\$300.00
11-936	\$15,000.00	The Pinon Project	Other	06/30/2012		\$0.00
				Total:		\$0.00
11-937	\$27,500.00	Advocates Against Domestic Assault	Local Government	06/30/2012	\$16,539.00	\$4,721.00
				Total:	\$16,539.00	\$4,721.00
11-938	\$5,168.00	Advocates Victim Assistance Team, Db Advocates For A Violence-Free Co	Other	06/30/2012	\$1,600.63	\$881.70
				Total:	\$1,600.63	\$881.70
11-939	\$10,000.00	Safehouse Progressive Alliance For Nonviolence	Local Government	06/30/2012		\$2,500.00
				Total:		\$2,500.00
11-940	\$7,000.00	Family Promise Of Greater Denver (Formerly Interfaith Hospitality Netw	Other	06/30/2012	\$3,500.00	\$3,500.00
				Total:	\$3,500.00	\$3,500.00
11-947	\$19,000.00	The Delores Project	Other	06/30/2012	\$2,885.78	\$0.00
				Total:	\$2,885.78	\$0.00
11-949	\$13,906.47	Urban Peak Colorado Springs	Private	06/30/2012	\$4,266.06	\$3,069.05
				Total:	\$4,266.06	\$3,069.05
11-950	\$10,000.00	Mountain Resource Center, Inc	Other	06/30/2012	\$0.00	\$0.00
				Total:	\$0.00	\$0.00
11-951	\$18,000.00	Neighbor To Neighbor	Other	06/30/2012	\$0.00	\$6,880.06
				Total:	\$0.00	\$6,880.06
11-952	\$28,000.00	Catholic Charities And Community Services Of The Archdiocese Of Denver	Other	06/30/2012		\$4,087.11
				Total:		\$4,087.11
			Private	06/30/2012	\$0.00	\$4,087.11
				Total:	\$0.00	\$4,087.11
11-953	\$29,000.00	Crossroads Safehouse, Inc.	Other	06/30/2012	\$7,250.00	\$12,461.39
				Total:	\$7,250.00	\$12,461.39
11-954	\$5,500.00	Bright Future Foundation For Eagle County	Local Government	06/30/2012	\$364.00	\$0.00
				Total:	\$364.00	\$0.00

ESG Quarterly Match Report
Quarter From: 04/01/2012 to 03/31/2013

Preparation Date:
06/28/2013 10:22 AM

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Project#	Awarded	Grantee	Matching Type	Quarters	Match Amount	Payment Amt
11-955	\$18,000.00	Homewardbound Of The Grand Valley Inc.	Private	06/30/2012	\$12,716.99	\$9,409.42
				Total:	\$12,716.99	\$9,409.42
11-956	\$62,500.00	Adams County Housing Authority	Other	06/30/2012	\$53,549.00	\$8,951.00
				Total:	\$53,549.00	\$8,951.00
11-957	\$52,000.00	La Puente Home Inc.	Private	06/30/2012		\$17,722.25
				Total:		\$17,722.25
11-958	\$5,000.00	Attention Homes	Other	06/30/2012	\$5,000.00	\$463.35
				Total:	\$5,000.00	\$463.35
11-960	\$21,000.00	Catholic Charities And Community Services, Samaritan House	Private	06/30/2012		\$0.00
				Total:		\$0.00
11-961	\$28,000.00	Family Tree, Inc., House Of Hope	Other	06/30/2012	\$726.18	\$10,746.38
				Total:	\$726.18	\$10,746.38
11-963	\$14,000.00	The Gathering Place	Private	06/30/2012	\$3,500.00	\$3,500.00
				Total:	\$3,500.00	\$3,500.00
11-964	\$28,000.00	St. Francis Center	Other	06/30/2012		\$7,636.38
				Total:		\$7,636.38
11-967	\$4,960.00	The Empowerment Program	Other	06/30/2012	\$3,502.19	\$454.54
				Total:	\$3,502.19	\$454.54
11-983	\$12,000.00	Interfaith Hospitality Network Of Colorado Springs (Ihn)	Other	06/30/2012	\$6,021.95	\$4,213.84
				Total:	\$6,021.95	\$4,213.84
11-989	\$13,944.91	City And County Of Broomfield	Other	06/30/2012	\$0.00	\$4,372.32
				Total:	\$0.00	\$4,372.32
11-991	\$15,000.00	The Bridge Emergency Shelter	Private	06/30/2012	\$0.00	\$1,560.22
				Total:	\$0.00	\$1,560.22
12-906	\$6,000.00	Almost Home, Inc.	Other	09/30/2012		\$0.00
				12/31/2012		\$0.00
				03/31/2013	\$4,720.62	\$3,252.54
				Total:	\$4,720.62	\$3,252.54
12-908	\$19,000.00	Women'S Crisis Center - Family Outreach Center	Other	09/30/2012	\$4,750.00	\$0.00
				12/31/2012	\$4,750.00	\$4,750.00
				03/31/2013	\$0.00	\$4,750.00
				Total:	\$9,500.00	\$9,500.00

ESG Quarterly Match Report
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 06/28/2013 10:22 AM

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 MMiller

Project#	Awarded	Grantee	Matching Type	Quarters	Match Amount	Payment Amt
12-910	\$17,000.00	Hilltop Community Resources, Inc.	Private	09/30/2012		\$0.00
				12/31/2012	\$5,945.00	\$0.00
				03/31/2013	\$0.00	\$5,945.00
				Total:	\$5,945.00	\$5,945.00
12-911	\$19,000.00	A Woman'S Place	Other	09/30/2012		\$0.00
				12/31/2012	\$10,317.86	\$0.00
				03/31/2013	\$5,797.98	\$10,317.86
				Total:	\$16,115.84	\$10,317.86
12-914	\$18,000.00	Help For Abused Partners	Other	09/30/2012		\$0.00
				12/31/2012	\$5,256.03	\$0.00
				03/31/2013		\$7,516.81
				Total:	\$5,256.03	\$7,516.81
12-915	\$21,000.00	Catholic Charities And Community Services Of The Archdiocese Of Denver	Private	09/30/2012	\$0.00	\$0.00
				12/31/2012	\$6,379.88	\$0.00
				03/31/2013		\$4,696.88
				Total:	\$6,379.88	\$4,696.88
12-918	\$12,000.00	Growing Home, Inc	Other	09/30/2012	\$0.00	\$0.00
				12/31/2012	\$0.00	\$0.00
				03/31/2013		\$0.00
				Total:	\$0.00	\$0.00
12-921	\$7,000.00	Arkansas Valley Resources Center	Other	09/30/2012	\$0.00	\$0.00
				12/31/2012	\$0.00	\$0.00
				03/31/2013	\$5,250.00	\$2,575.65
				Total:	\$5,250.00	\$2,575.65
12-922	\$19,000.00	The Inn Between Of Longmont, Inc.	Other	09/30/2012	\$0.00	\$0.00
				12/31/2012	\$0.00	\$0.00
				03/31/2013		\$9,152.05
				Total:	\$0.00	\$9,152.05
12-924	\$24,000.00	Volunteers Of America	Other	09/30/2012	\$0.00	\$0.00
				12/31/2012	\$0.00	\$0.00
				03/31/2013	\$16,356.00	\$0.00
				Total:	\$16,356.00	\$0.00
12-925	\$8,000.00	Advocates Against Battering & Abuse	Private	09/30/2012	\$8,000.00	\$0.00
				12/31/2012	\$0.00	\$0.00
				03/31/2013	\$0.00	\$5,374.09
				Total:	\$8,000.00	\$5,374.09

ESG Quarterly Match Report
 Quarter From: 04/01/2012 to 03/31/2013

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 06/28/2013 10:22 AM

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Project#	Awarded	Grantee	Matching Type	Quarters	Match Amount	Payment Amt
12-926	\$20,000.00	Tri-County Resources	Other	09/30/2012		\$0.00
				12/31/2012	\$2,229.00	\$0.00
				03/31/2013		\$2,229.00
				Total:	\$2,229.00	\$2,229.00
12-929	\$6,000.00	Alternatives To Violence, Inc.	Other	09/30/2012		\$0.00
				12/31/2012	\$3,000.00	\$0.00
				03/31/2013	\$1,500.00	\$3,000.00
				Total:	\$4,500.00	\$3,000.00
12-930	\$29,000.00	Urban Peak	Other	09/30/2012		\$0.00
				12/31/2012	\$18,155.10	\$0.00
				03/31/2013	\$7,257.34	\$20,560.38
				Total:	\$25,412.44	\$20,560.38
12-931	\$12,000.00	Access Housing, Inc.	Other	09/30/2012	\$11,500.00	\$0.00
				12/31/2012		\$0.00
				03/31/2013		\$11,500.00
				Total:	\$11,500.00	\$11,500.00
12-932	\$32,000.00	Pueblo County Dept Of Housing & Human Services	Other	09/30/2012	\$9,000.00	\$0.00
				12/31/2012	\$13,000.00	\$0.00
				03/31/2013	\$38,307.50	\$22,000.00
				Total:	\$60,307.50	\$22,000.00
12-938	\$6,000.00	Advocates Victim Assistance Team, Dba Advocates For A Violence-Free Co	Other	09/01/2012	\$2,453.00	\$0.00
				12/01/2012	\$1,475.96	\$0.00
				03/01/2013		\$2,453.00
				Total:	\$3,928.96	\$2,453.00
12-939	\$10,000.00	Safehouse Progressive Alliance For Nonviolence	Local Government	09/30/2012	\$2,500.00	\$0.00
				12/31/2012	\$2,500.00	\$0.00
				03/31/2013		\$5,000.00
				Total:	\$5,000.00	\$5,000.00
12-947	\$19,000.00	The Delores Project	Other	09/30/2012	\$0.00	\$0.00
				12/31/2012	\$0.00	\$0.00
				03/31/2013		\$11,894.32
				Total:	\$0.00	\$11,894.32
12-952	\$28,000.00	Catholic Charities And Community Services Of The Archdiocese Of Denver	Private	09/30/2012	\$0.00	\$0.00
				12/31/2012		\$0.00
				03/31/2013		\$3,646.76
				Total:	\$0.00	\$3,646.76

ESG Quarterly Match Report
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06/28/2013 10:22 AM

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Project#	Awarded	Grantee	Matching Type	Quarters	Match Amount	Payment Amt
12-953	\$29,000.00	Crossroads Safehouse, Inc.	Other	09/30/2012		\$0.00
				12/31/2012	\$14,500.00	\$0.00
				03/31/2013	\$7,250.00	\$13,559.44
				Total:	\$21,750.00	\$13,559.44
12-954	\$6,000.00	Bright Future Foundation For Eagle County	Local Government	09/30/2012		\$0.00
				12/31/2012	\$1,777.69	\$0.00
				03/31/2013	\$2,486.04	\$1,777.69
				Total:	\$4,263.73	\$1,777.69
12-955	\$18,000.00	Homewardbound Of The Grand Valley Inc.	Other	09/01/2012	\$0.00	\$0.00
				12/01/2012		\$0.00
				03/01/2013	\$18,000.00	\$0.00
				Total:	\$18,000.00	\$0.00
12-957	\$44,626.00	La Puente Home Inc.	Private	09/30/2012		\$0.00
				12/31/2012	\$32,918.00	\$0.00
				03/31/2013		\$30,785.05
				Total:	\$32,918.00	\$30,785.05
12-958	\$5,000.00	Attention Homes	Other	09/30/2012	\$0.00	\$0.00
				12/31/2012		\$0.00
				03/31/2013		\$0.00
				Total:	\$0.00	\$0.00
12-963	\$14,000.00	The Gathering Place	Other	09/30/2012	\$3,500.00	\$0.00
				12/31/2012		\$0.00
				03/31/2013		\$7,000.00
				Total:	\$3,500.00	\$7,000.00
12-983	\$9,000.00	Interfaith Hospitality Network Of Colorado Springs (Ihn)	Other	09/30/2012		\$0.00
				12/31/2012	\$3,953.33	\$0.00
				03/31/2013	\$3,153.33	\$3,953.26
				Total:	\$7,106.66	\$3,953.26
12-991	\$15,000.00	The Bridge Emergency Shelter	Other	09/30/2012	\$11,434.30	\$0.00
				12/31/2012		\$0.00
				03/31/2013		\$11,434.39
				Total:	\$11,434.30	\$11,434.39
Total:					\$595,752.10	\$492,877.62



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

Table of Contents

PART 1: Grantee Executive Summary

1. Grantee Information
2. Project Sponsor Information
3. Administrative Subrecipient Information
4. Program Subrecipient Information
5. Grantee Narrative and Performance Assessment
 - a. Grantee and Community Overview
 - b. Annual Performance under the Action Plan
 - c. Barriers or Trends Overview
 - d. Assessment of Unmet Housing Needs

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging
2. Program Income and Resident Rent Payments

PART 3: Accomplishment Data: Planned Goals and Actual Outputs

PART 4: Summary of Performance Outcomes

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
3. Access to Care and Support: Housing Subsidy Assistance with Supportive Services

PART 5: Worksheet - Determining Housing Stability Outcomes

PART 6: Annual Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)

PART 7: Summary Overview of Grant Activities

- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	64
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	0
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	0
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	0
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	0
4.	Short-term Rent, Mortgage, and Utility Assistance	0
5.	Adjustment for duplication (subtract)	0
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	64

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number COH11F999 & COH12F999		Operating Year for this report From (mm/dd/yy) 4/01/2012 To (mm/dd/yy) 3/31/2013		
Grantee Name State of Colorado				
Business Address		1313 Sherman St., Suite 500		
City, County, State, Zip		Denver	Denver	CO 80203
Employer Identification Number (EIN) or Tax Identification Number (TIN)		84-0644739		
DUN & Bradstreet Number (DUNs):		87-8192483	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:	
*Congressional District of Grantee's Business Address				
*Congressional District of Primary Service Area(s)				
*City(ies) and County(ies) of Primary Service Area(s)		Cities:	Counties:	
Organization's Website Address www.Colorado.gov		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Name Colorado Health Network, Inc DBA Colorado AIDS Project				Parent Company Name, if applicable
Name and Title of Contact at Subrecipient	Darrell Vigil, Chief Executive Officer			
Email Address	Darrell.Vigil@coloradoaidsproject.org			
Business Address	2490 W. 26 th Ave. Ste 300 A			
City, State, Zip, County	Denver	CO	80211	Denver County
Phone Number (with area code)	(303) 837-0166		Fax Number (include area code) 303-837-0388	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	84-0961159			
DUN & Bradstreet Number (DUNs):	149553331			
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address	1			
Congressional District of Primary Service Area	3, 4, 5			
City (ies) and County (ies) of Primary Service Area(s)	Cities: Denver, Aurora, Littleton, Lakewood, Centennial, Aravada, Wheatridge, Westminster, Castle Rock, Northglenn, Thornton		Counties: Denver, Arapahoe, Adams, Jefferson, Douglas, Broomfield Larimer, Weld, Morgan, Logan, Phillips, Washington, Yuma, Sedgwick, El Paso, Custer, Lincoln, Crowley, Chaffee, Sagauche, Alamosa, Kit Carson, Huerfano, Mineral, Otero, Kiowa, Fremont, Rio Grande, Bent, Baca, Conejos, Costilla, Prowers, Las Animas, Cheyenne, Pueblo, Teller, Archuleta, Delta, Delores, Eagle, Garfield, Grand, Gunnison, Hinsdale, Jackson, La Plata, Lake, Mesa, Moffat, Montezuma, Montrose, Ouray, Pitkin, Rio Blanco, Routt, San Juan, San Miguel, and Summit	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$226,361			

Project Sponsor Name	Boulder County AIDS Project	Parent Company Name, if applicable		
Name and Title of Contact at Subrecipient	Ana Hopperstadt, Executive Director			
Email Address	ana@bcap.org			
Business Address	2118 14 th St.			
City, State, Zip, County	Boulder	CO	80302	Boulder County
Phone Number (with area code)	303-444-6121		Fax Number (include area code) 303-444-0260	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2442032			
DUN & Bradstreet Number (DUNs):	845731454			
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address	2			
Congressional District of Primary Service Area	2, 4,			
City (ies) and County (ies) of Primary Service Area(s)	Cities: Boulder Broomfield		Counties: Boulder Broomfield	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$56,341			

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Administrative Subrecipient Agency Name Colorado Health Network, Inc, DBA Colorado AIDS Project		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Robert George, DCAP Regional Director Jesse Yedinak, Director of Quality Assurance		
Email Address	Robert.George@coloradoaidsproject.org Jesse.Yedinak@coloradoaidsproject.org		
Business Address	2490 W. 26 th Ave., Ste 300A		
City, County, State, Zip,	Denver, Denver County, CO 80211		
Phone Number (with area code)	(303) 837-0166		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	84-0961159	Fax Number (with area code) 303-837-0388	
DUN & Bradstreet Number (DUNs):	149553331		
Congressional District of Project Sponsor's Business Address	1		
Congressional District(s) of Primary Service Area(s)	3,4,5		
City(ies) and County(ies) of Primary Service Area(s)	Larimer, Weld, Morgan, Logan, Phillips, Washington, Yuma, Sedgwick, El Paso, Custer, Lincoln, Crowley, Chaffee, Sagauche, Alamosa, Kit Carson, Huerfano, Mineral, Otero, Kiowa, Fremont, Rio Grande, Bent, Baca, Conejos, Costilla, Prowers, Las Animas, Cheyenne, Pueblo, Teller, Archuleta, Delta, Delores, Eagle, Garfield, Grand, Gunnison, Hinsdale, Jackson, La Plata, Lake, Mesa, Moffat, Montezuma, Montrose, Ouray, Pitkin, Rio Blanco, Routt, San Juan, San Miguel, and Summit.		
Total HOPWA contract amount for this Organization for the operating year	\$12,468.82	Counties:	
Organization's Website Address www.coloradoaidsproject.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		

4. Program Sponsor Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name				Parent Company Name, if applicable
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address	2, 4			
Congressional District(s) of Primary Service Area	2, 4			
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities:			Counties:
Total HOPWA Subcontract Amount of this Organization for the operating year				

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Colorado Health Network, Inc, dba Colorado AIDS Project (CAP) continued to serve a majority of the state with the HOPWA CHAMP program through the merged regional offices of Southern, Northern, and Western CAP. Boulder County AIDS Project (BCAP), while not part of the CAP merger, continued to take part in the HOPWA CHAMP program and CAP continued as project administrative subrecipient. The merged organization, along with BCAP, provide CHAMP assistance to all counties associated with the balance of the state outside of DCAP's eligible metropolitan service area (EMSA). These statewide counties include: Boulder, Larimer, Weld, Morgan, Logan, Phillips, Washington, Yuma, Sedgwick, El Paso, Custer, Lincoln, Crowley, Chaffee, Sagauche, Alamosa, Kit Carson, Huerfano, Mineral, Otero, Kiowa, Fremont, Rio Grande, Bent, Baca, Conejos, Costilla, Prowers, Las Animas, Cheyenne, Pueblo, Teller, Archuleta, Delta, Delores, Eagle, Garfield, Grand, Gunnison, Hinsdale, Jackson, La Plata, Lake, Mesa, Moffat, Montezuma, Montrose, Ouray, Pitkin, Rio Blanco, Routt, San Juan, San Miguel, and Summit.

**SCAP Regional Office Program Contact: Richard Blair, Regional Director
Kira Whitley, Case Management Manager/HOPWA Coordinator**

**NCAP Regional Office Program Contact: Lori Dagle, Regional Director
Jennifer Case, Case Management Manager**

**WCAP Regional Office Program Contact: Jeff Basinger, Regional Director
Martha Monroe, Lead Case Manager/HOPWA Coordinator**

**BCAP Program Contact: Ana Hopperstad, Executive Director
Celeste LeBlanc, Care Services Director**

Supportive services included: housing counseling, medical case management including linkage to care, adherence counseling, risk reduction counseling, employment and self-sufficiency/budgeting assistance, and benefits navigation. All clients in the HOPWA CHAMP subsidy program received on-going bio-psycho-social assessments of need, creation of a treatment plan with related referrals to agency and community resources, and on-going support. Each housing client developed a permanent housing plan with their case manager and were required to apply for other permanent housing programs (i.e. Section 8) when available.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

Historically, CAP and BCAP have used HOPWA funds to provide permanent housing (PH) assistance, along with short term mortgage, rent and utility (STRMU) assistance. However, due to increasing rental costs and limited funding, the CAP regional offices and BCAP chose to transfer all available funding directly into tenant based rental assistance (TBRA) subsidies and supportive services last year. This year 64 households received ongoing subsidy assistance and all participants received wrap-around supportive services.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps

currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

It is the goal of this program to assist clients in obtaining and maintaining permanent, affordable and safe/sanitary housing. Stable housing is critical for clients when it comes to accessing and staying engaged in medical care. At year end, 51 clients maintained stable housing on this program, and continue to receive supportive services appropriate to their needs. While funding limitations are always present, the participating agencies have made a priority of connecting participants with other housing opportunities, in order to maximize their ability to help as many participants as possible with a dwindling budget. 13 participants transitioned off of the program. Six participants were able to move into private housing, and 5 participants disconnected from service without further contact.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Each participating agency partners with local housing authorities and landlord's to offer participants as many stable housing options as possible. Throughout the year, each agency strongly encourages or requires participants to apply for any and all housing opportunities that are appropriate, including Section 8 HCV vouchers, Project-Based Section 8, sliding-scale tax credit properties and other affordable housing options. Medical Case Managers/HOPWA Coordinators work one-on-one with participants to navigate the application processes and advocate on their behalf with any and all community housing organizations.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

DCAP staff routinely provides technical assistance to the statewide CHAMP HOPWA agencies; however, all staff would benefit from additional HUD sponsored trainings and on-going updates pertaining to any changes to the HOPWA program at the federal level. Additional information relevant to other federally funded housing opportunities is welcome, especially in the state's rural areas where safe and affordable housing is scarce and participants have difficulty utilizing their subsidies due to Fair Market Rent restrictions.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

HOPWA regulations pertaining to initial one-year term leases can be problematic for participants. Staff report that potential landlords are uncomfortable signing a one-year or 6-month lease with a client who has little to no income, despite the client's participation in the program and likely on-going subsidy. This has been attributed to landlords who have previous histories with subsidy programs and are hesitant to engage in rental agreements with low-income tenants. As a result, staff spends a larger percentage of time advocating on behalf of their clients and explaining the program to landlords without compromising confidentiality.

Due to funding limitations, agencies were prudent when moving people off their waitlists and into new housing subsidies. This resulted in extensive waitlists and little movement into the subsidy program, even when participants moved off of the program. Increasing local rental rates, specifically in the resort areas, along with a shortage of affordable housing options, have made it difficult to find suitable units within the published Fair Market Rents (FMR's). In some cases, given the disabled population we work with exclusively, 110% of HUD published FMR is being used as the payment standard, or statewide agencies are defaulting to their local Housing Authority FMR's, which are often permitted a locality exception increase in FMR by HUD.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input checked="" type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

From a supportive services perspective, there are many barriers to address when assisting clients with multiple diagnosis, for example a higher percentage of clients with criminal history in their past. When those barriers are coupled with poor credit and rental history, finding suitable housing can be difficult. Medical Case Managers (MCM) and HOPWA Coordinators work diligently to assist clients with budgeting and financial literacy, as well as providing supportive documentation to landlords regarding successes in overcoming and addressing previous criminal background and/or substance abuse issues. Additionally, program participants routinely work on developing wellness plans with their MCM's to ensure that all appropriate referrals to mental health, patient advocates, medical providers, workforce centers, and other community resources continue to be made as participant needs change.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

Trends in the community affecting people living with HIV/AIDS are similar to those faced by individuals and families across the country. With the continued increase in cost of living, and the lack of available jobs, it is difficult for our clients to secure gainful employment sufficient to support their households. More and more HIV+ individuals and their families, especially in the rural and resort areas, are at an extreme risk of increased poverty, marginalization and homelessness. In the non-metro areas of the state there is a lack of public transportation, making it difficult for HIV+ individuals/families to get to and from their home to engage in medical care, employment, and other supportive services.

Despite our best efforts to create resource lists and a referral safety net for our clients, other community based organizations and human service agencies are operating with limited resources as well. In the end, those living with or affected by HIV/AIDS in our communities still face an abundance of unmet needs such as transportation, job readiness training, education, housing/utility assistance, and the need for on-going supportive services. With the aging of our population we anticipate a need for specialized assisted living and long-term care. At present, these resources do not exist. Further development of a plan to address the needs of aging clients and their families is critical.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

Reports on the CHAMP program statistics and expenditures are compiled and submitted on a monthly basis. Quarterly reports are also provided to the Colorado Department of Local Affairs. Financial audits are performed regularly and each agency submits IRS 990 forms, as required. These reports are available to the public. Additionally, several agencies participate in community outreach forums to educate interested citizens about the services they offer, including the HOPWA program.

Regional/Executive Directors at all agencies respond to public queries pertaining to HOPWA and other agency programs, as appropriate.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area’s Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	909
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance: <ul style="list-style-type: none"> <li data-bbox="175 428 639 457">a. Tenant-Based Rental Assistance (TBRA) 845 <li data-bbox="175 499 727 562">b. Short-Term Rent, Mortgage and Utility payments (STRMU) NA <ul style="list-style-type: none"> <li data-bbox="224 596 565 625">• Assistance with rental costs NA <li data-bbox="224 630 656 659">• Assistance with mortgage payments NA <li data-bbox="224 663 574 693">• Assistance with utility costs. NA <li data-bbox="175 726 737 789">c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities NA 	

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$38,585	Financial Assistance	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ryan White-Other	\$158,364	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
TOTAL (Sum of all Rows)	\$196,949		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	0

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	Total Program Income Expended (Sum of Rows 1 and 2)	0

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	64	64	0	0	\$263,280	\$263,280
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	0	0	0	0	0	0
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)	0	0	0	0	0	0
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
4.	Short-Term Rent, Mortgage and Utility Assistance	0	0	0	0	0	0
5.	Permanent Housing Placement Services	0	0				
6.	Adjustments for duplication (subtract)	0	0	0	0		
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	64	64	0	0	\$263,280	\$263,280
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	0	0	0	0	0	0
9.	Stewardship Units subject to 3 or 10 year use agreements	0	0				
10.	Total Housing Developed (Sum of Rows 8 & 9)	0	0				
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	64	64			\$19,423	\$19,423
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	0	0			0	0
12.	Adjustment for duplication (subtract)					0	0
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	64	64			\$19,423	\$19,423
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services	0	0			0	0
15.	Total Housing Information Services	0	0	0	0	0	0

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources						
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$12,741	\$12,741
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$12,469	\$12,469
20.	Total Grant Administration and Other Activities (Sum of Rows 17 – 20)					\$25,210	\$25,210
Total Expended							
						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$307,913	\$307,913

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	64	\$ 19,423
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	64	
16.	Adjustment for Duplication (subtract)	0	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	64	\$19,423

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u> Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	0	0
b.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage costs ONLY.	0	0
c.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage and utility costs.	0	0
d.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental costs ONLY.	0	0
e.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental and utility costs.	0	0
f.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with utility costs ONLY.	0	0
g.	Direct program delivery costs (e.g., program operations staff time)		0

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	64	52	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	6	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison	1	
			8 Disconnected/Unknown	4	
			9 Death	1	<i>Life Event</i>
Permanent Supportive Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/unknown		
			9 Death		<i>Life Event</i>

B1:Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	0
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Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
0	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	0	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	0	
	Other HOPWA Housing Subsidy Assistance	0	
	Other Housing Subsidy (PH)	0	
	Institution <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	0	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	0	
	Emergency Shelter/street	0	<i>Unstable Arrangements</i>
	Jail/Prison	0	
	Disconnected	0	
	Death	0	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			0
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			0

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	64
b. Case Management	64
c. Adjustment for duplication (subtraction)	-64
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	64
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	0
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	0

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	64		Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	64		Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	64		Access to Health Care
4. Accessed and maintained medical insurance/assistance	64		Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	60		Sources of Income

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> MEDICAID Health Insurance Program, or use local program name MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> Veterans Affairs Medical Services AIDS Drug Assistance Program (ADAP) State Children's Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
---	--	--

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	11	

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	52			
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance	52			
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households

that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	64

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1. <u>Continuing</u> to receive HOPWA support from the prior operating year	
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year	
2. Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3. Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4. Transitional housing for homeless persons	
5. Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	
6. Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7. Psychiatric hospital or other psychiatric facility	
8. Substance abuse treatment facility or detox center	
9. Hospital (non-psychiatric facility)	
10. Foster care home or foster care group home	
11. Jail, prison or juvenile detention facility	
12. Rented room, apartment, or house	
13. House you own	
14. Staying or living in someone else’s (family and friends) room, apartment, or house	
15. Hotel or motel paid for without emergency shelter voucher	
16. Other	
17. Don’t Know or Refused	
18. TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	2	

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a.), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	64
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	0
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	49
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	113

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	4	3	0	0	7
3.	31 to 50 years	24	13	0	0	37
4.	51 years and Older	14	6	0	0	20
5.	Subtotal (Sum of Rows 1-4)	42	22	0	0	64
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	22	17	0	0	39
7.	18 to 30 years	5	5	0	0	10
8.	31 to 50 years	0	0	0	0	0
9.	51 years and Older	0	0	0	0	0
10.	Subtotal (Sum of Rows 6-9)	27	22	0	0	49
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	69	44	0	0	113

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	1	0	5	0
2.	Asian	0	0	0	0
3.	Black/African American	12	0	9	0
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	50	14	23	8
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	0	0	0	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	1	0	12	0
11.	Column Totals (Sum of Rows 1-10)	64	14	49	8
<i>Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.</i>					

**Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)*

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	54
2.	31-50% of area median income (very low)	10
3.	51-80% of area median income (low)	0
4.	Total (Sum of Rows 1-3)	64

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

Not Applicable

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: _____ Date Completed: _____
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = _____ Total Units = _____
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <u>Specify:</u>					

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		



U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 PR91 - ESG Financial Summary
 COLORADO, CO
 2012

DATE: 06-28-13
 TIME: 12:14
 PAGE: 1

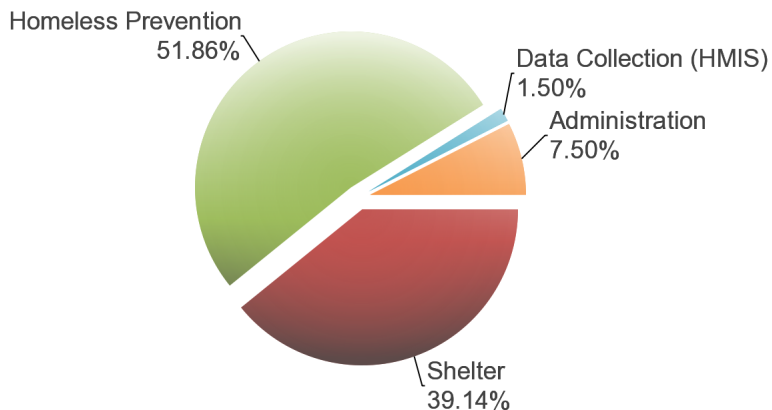
ESG Program Level Summary

Grant Number	Total Grant Amount	Total Funds Committed	Total Funds Available to Commit	% of Grant Funds Not Committed	Grant Funds Drawn	% of Grant Funds Drawn	Available to Draw	% Remaining to Draw
E12DC080001	\$1,864,078.00	\$1,864,078.00	\$0.00	0.00%	\$617,802.29	33.14%	\$1,246,275.71	66.86%

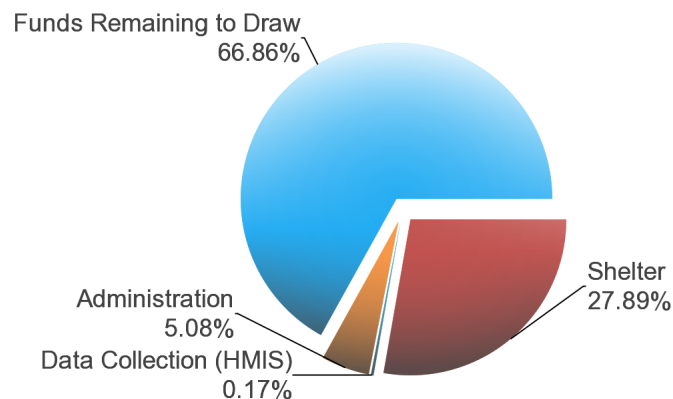
ESG Program Components

Activity Type	Total Committed to Activities	% of Grant Committed	Drawn Amount	% of Grant Drawn
Street Outreach	\$0.00	0.00%	\$0.00	0.00%
Shelter	\$729,626.00	39.14%	\$519,937.13	27.89%
Homeless Prevention	\$966,647.00	51.86%	\$0.00	0.00%
Rapid Re-Housing	\$0.00	0.00%	\$0.00	0.00%
Data Collection (HMIS)	\$28,000.00	1.50%	\$3,200.00	0.17%
Administration	\$139,805.00	7.50%	\$94,665.16	5.08%
Funds Not Committed	\$0.00	0.00%	\$0.00	0.00%
Funds Remaining to Draw	\$0.00	0.00%	\$1,246,275.71	66.86%
Total	\$1,864,078.00	100.00%	\$1,864,078.00	100.00%

Funds Committed



Funds Drawn



■ Street Outreach ■ Shelter ■ Homeless Prevention ■ Rapid Re-Housing
■ Data Collection (HMIS) ■ Administration ■ Funds Not Committed ■ Funds Remaining to Draw

■ Street Outreach ■ Shelter ■ Homeless Prevention ■ Rapid Re-Housing
■ Data Collection (HMIS) ■ Administration ■ Funds Not Committed ■ Funds Remaining to Draw



U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 PR91 - ESG Financial Summary
 COLORADO, CO
 2012

DATE: 06-28-13
 TIME: 12:14
 PAGE: 2

24-Month Grant Expenditure Deadline

Recipient's grant must be expended for eligible activity costs within 24 months after the date HUD signs the grant agreement with the recipient. Expenditure means either an actual cash disbursement for a direct charge for a good or service or an indirect cost or the accrual of a direct charge for a good or service or an indirect cost. This report uses draws in IDIS to measure expenditures. HUD allocated Fiscal Year 2011 ESG funds in two allocations. For FY2011, this Obligation Date is the date of the first allocation. This report does not list the Obligation Date, does not calculate the Expenditure Deadline, and does not track the Days Remaining for the FY 2011 second allocation.

Grant Amount: \$1,864,078.00

Grant Number	Draws to Date	HUD Obligation Date	Expenditure Deadline	Days Remaining to Meet Requirement Date	Expenditures Required
E12DC080001	\$617,802.29	05/23/2012	05/23/2014	329	\$1,246,275.71

60% Cap on Emergency Shelter and Street Outreach

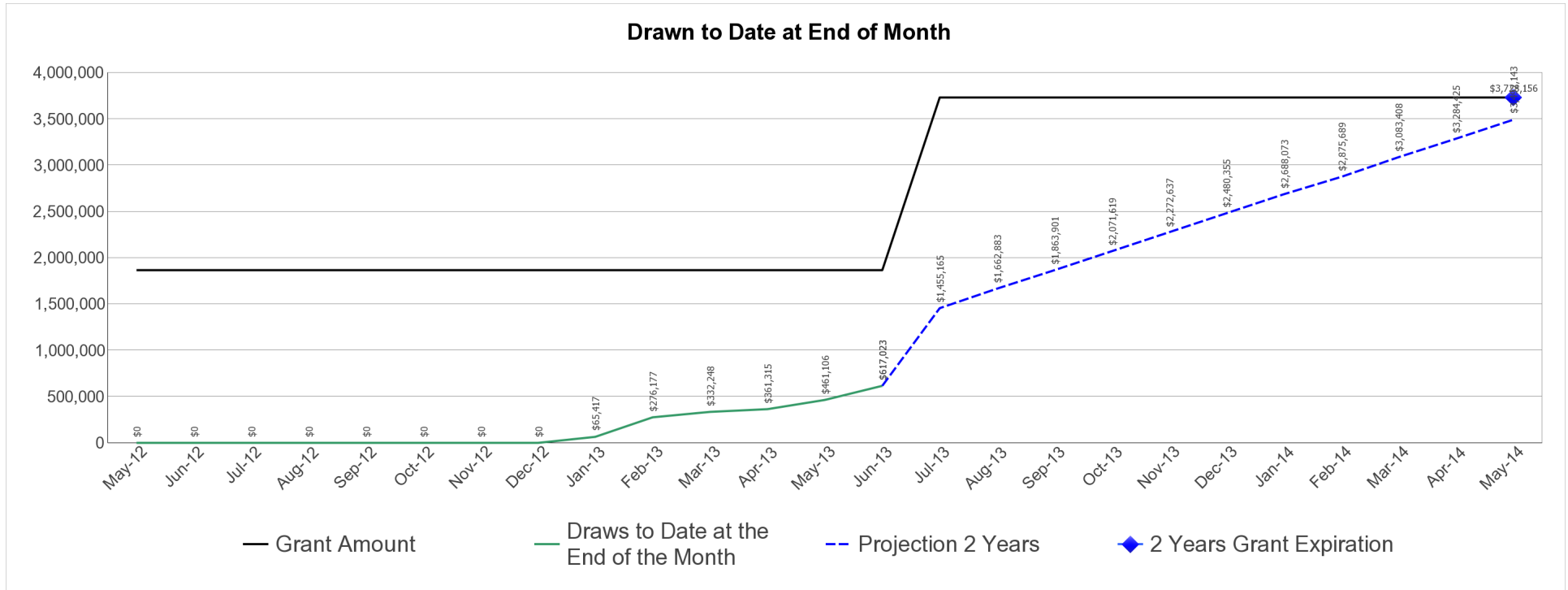
Amounts to the total amount of the recipient's fiscal year grant, allowed for emergency shelter and street outreach activities, is capped at 60 percent. This amount cannot exceed the greater of: (1) 60% of the overall grant for the year; or, (2) the amount of Fiscal Year 2010 ESG funds committed for homeless assistance activities.

Amount Committed to Shelter	Amount Committed to Street Outreach	Total Amount Committed to Shelter and Street Outreach	% Committed to Shelter and Street Outreach	2010 Funds Committed to Homeless Assistance Activities	Total Drawn for Shelter and Street Outreach	% Drawn for Shelter and Street Outreach
\$729,626.00	\$0.00	\$729,626.00	39.14%		\$519,937.13	27.89%



ESG Draws By Month (at the total grant level):

Grant Amount: 1,864,078.00



ESG Draws By Quarter (at the total grant level):

Quarter End Date	Draws for the Quarter	Draws to Date at the End of the Quarter	% Drawn to Date at End of Quarter
06/30/2012	\$0.00	\$0.00	0.00%
09/30/2012	\$0.00	\$0.00	0.00%
12/31/2012	\$0.00	\$0.00	0.00%
03/31/2013	\$332,247.99	\$332,247.99	17.82%
06/30/2013	\$284,774.92	\$617,022.91	33.10%



U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 PR91 - ESG Financial Summary
 COLORADO, CO
 2012

DATE: 06-28-13
 TIME: 12:14
 PAGE: 4

ESG Subrecipient Commitments and Draws by Activity Category :

Subrecipient	Activity Type	Committed	Drawn
COLORADO	Administration	97,545.00	90,665.16
	Total	97,545.00	90,665.16
	Total Remaining to be Drawn		6,879.84
	Percentage Remaining to be Drawn		92.95
PUEBLO COUNTY	Administration	4,000.00	4,000.00
	Total	4,000.00	4,000.00
	Total Remaining to be Drawn		0.00
	Percentage Remaining to be Drawn		100.00
ACCESS HOUSING, INC.	Shelter	10,000.00	9,500.00
	Data Collection (HMIS)	2,000.00	2,000.00
	Total	12,000.00	11,500.00
	Total Remaining to be Drawn		500.00
	Percentage Remaining to be Drawn		95.83
FAMILY CRISIS SERVICES	Shelter	10,000.00	8,722.89
	Total	10,000.00	8,722.89
	Total Remaining to be Drawn		1,277.11
	Percentage Remaining to be Drawn		87.23
S.H.A.R.E. INC.	Shelter	6,000.00	5,177.22
	Total	6,000.00	5,177.22
	Total Remaining to be Drawn		822.78
	Percentage Remaining to be Drawn		86.29
SAFE SHELTER OF ST. VRAIN VALLEY	Shelter	29,000.00	22,445.37
	Total	29,000.00	22,445.37
	Total Remaining to be Drawn		6,554.63
	Percentage Remaining to be Drawn		77.40
ALMOST HOME INC.	Shelter	6,000.00	5,500.00
	Total	6,000.00	5,500.00
	Total Remaining to be Drawn		500.00
	Percentage Remaining to be Drawn		91.67
WOMEN'S CRISIS AND FAMILY OUTREACH CENTER	Shelter	19,000.00	14,250.00
	Total	19,000.00	14,250.00
	Total Remaining to be Drawn		4,750.00
	Percentage Remaining to be Drawn		75.00
A WOMAN'S PLACE, INC.	Shelter	19,000.00	16,115.84
	Total	19,000.00	16,115.84
	Total Remaining to be Drawn		2,884.16
	Percentage Remaining to be Drawn		84.82
ADVOCATE SAFEHOUSE PROJECT	Shelter	6,000.00	3,000.00
	Total	6,000.00	3,000.00
	Total Remaining to be Drawn		3,000.00



U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 PR91 - ESG Financial Summary
 COLORADO, CO
 2012

DATE: 06-28-13
 TIME: 12:14
 PAGE: 5

Subrecipient	Activity Type	Committed	Drawn
ADVOCATE SAFEHOUSE PROJECT	Percentage Remaining to be Drawn		50.00
BOULDER SHELTER FOR THE HOMELESS	Shelter	22,000.00	20,999.78
	Total	22,000.00	20,999.78
	Total Remaining to be Drawn		1,000.22
	Percentage Remaining to be Drawn		95.45
HELP FOR ABUSED PARTNERS	Shelter	18,000.00	11,843.79
	Total	18,000.00	11,843.79
	Total Remaining to be Drawn		6,156.21
	Percentage Remaining to be Drawn		65.80
ARKANSAS VALLEY RESOURCE CENTER	Shelter	7,000.00	7,000.00
	Total	7,000.00	7,000.00
	Total Remaining to be Drawn		0.00
	Percentage Remaining to be Drawn		100.00
THE INN BETWEEN OF LONGMONT, INC.	Shelter	19,000.00	9,152.05
	Total	19,000.00	9,152.05
	Total Remaining to be Drawn		9,847.95
	Percentage Remaining to be Drawn		48.17
FAMILY TREE INC.	Shelter	51,000.00	34,428.95
	Total	51,000.00	34,428.95
	Total Remaining to be Drawn		16,571.05
	Percentage Remaining to be Drawn		67.51
URBAN PEAK DENVER	Shelter	29,000.00	28,547.75
	Total	29,000.00	28,547.75
	Total Remaining to be Drawn		452.25
	Percentage Remaining to be Drawn		98.44
ADVOCATES VICTIM ASSISTANCE TEAM	Shelter	6,000.00	3,928.96
	Total	6,000.00	3,928.96
	Total Remaining to be Drawn		2,071.04
	Percentage Remaining to be Drawn		65.48
SAFEHOUSE PROGRESSIVE ALLIANCE FOR NONVIOLENCE, INC.	Shelter	10,000.00	7,500.00
	Total	10,000.00	7,500.00
	Total Remaining to be Drawn		2,500.00
	Percentage Remaining to be Drawn		75.00
THE DELORES PROJECT	Shelter	19,000.00	17,500.00
	Total	19,000.00	17,500.00
	Total Remaining to be Drawn		1,500.00
	Percentage Remaining to be Drawn		92.11
URBAN PEAK COLORADO SPRINGS	Shelter	14,000.00	12,822.66
	Total	14,000.00	12,822.66
	Total Remaining to be Drawn		1,177.34
	Percentage Remaining to be Drawn		91.59



U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 PR91 - ESG Financial Summary
 COLORADO, CO
 2012

DATE: 06-28-13
 TIME: 12:14
 PAGE: 6

Subrecipient	Activity Type	Committed	Drawn
THE RESOURCE CENTER OF EAGLE COUNTY	Shelter	6,000.00	4,640.42
	Total	6,000.00	4,640.42
	Total Remaining to be Drawn		1,359.58
	Percentage Remaining to be Drawn		77.34
ATTENTION INC.	Shelter	5,000.00	4,500.00
	Total	5,000.00	4,500.00
	Total Remaining to be Drawn		500.00
	Percentage Remaining to be Drawn		90.00
GROWING HOME, INC.	Shelter	12,000.00	12,000.00
	Total	12,000.00	12,000.00
	Total Remaining to be Drawn		0.00
	Percentage Remaining to be Drawn		100.00
THE GATHERING PLACE A DROP IN CENTER FOR WOMEN	Shelter	14,000.00	10,500.00
	Total	14,000.00	10,500.00
	Total Remaining to be Drawn		3,500.00
	Percentage Remaining to be Drawn		75.00
ST. FRANCIS CENTER	Shelter	28,000.00	12,988.32
	Total	28,000.00	12,988.32
	Total Remaining to be Drawn		15,011.68
	Percentage Remaining to be Drawn		46.39
THE BRIDGE PROJECT INC.	Shelter	15,000.00	13,611.34
	Total	15,000.00	13,611.34
	Total Remaining to be Drawn		1,388.66
	Percentage Remaining to be Drawn		90.74
ADVOCATES AGAINST BATTERING AND ABUSE	Shelter	8,000.00	7,369.22
	Total	8,000.00	7,369.22
	Total Remaining to be Drawn		630.78
	Percentage Remaining to be Drawn		92.12
ADVOCATES AGAINST DOMESTIC ASSAULT	Shelter	24,000.00	9,709.00
	Total	24,000.00	9,709.00
	Total Remaining to be Drawn		14,291.00
	Percentage Remaining to be Drawn		40.45
LA PUENTE HOME INC.	Shelter	42,626.00	29,585.05
	Data Collection (HMIS)	2,000.00	1,200.00
	Total	44,626.00	30,785.05
	Total Remaining to be Drawn		13,840.95
JEFFCO ACTION CENTER	Shelter	17,000.00	0.00
	Total	17,000.00	0.00
	Total Remaining to be Drawn		17,000.00
	Percentage Remaining to be Drawn		0.00



U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 PR91 - ESG Financial Summary
 COLORADO, CO
 2012

DATE: 06-28-13
 TIME: 12:14
 PAGE: 7

Subrecipient	Activity Type	Committed	Drawn
ALTERNATIVES TO VIOLENCE INC.	Shelter	6,000.00	4,500.00
	Total	6,000.00	4,500.00
	Total Remaining to be Drawn		1,500.00
	Percentage Remaining to be Drawn		75.00
CATHOLIC CHARITIES & COMMUNITY SERVICES	Shelter	70,000.00	41,280.26
	Total	70,000.00	41,280.26
	Total Remaining to be Drawn		28,719.74
	Percentage Remaining to be Drawn		58.97
ALTERNATIVES TO FAMILY VIOLENCE	Shelter	7,000.00	0.00
	Total	7,000.00	0.00
	Total Remaining to be Drawn		7,000.00
	Percentage Remaining to be Drawn		0.00
HILLTOP HEALTH SERVICES CORPORATION	Shelter	37,000.00	19,086.00
	Total	37,000.00	19,086.00
	Total Remaining to be Drawn		17,914.00
	Percentage Remaining to be Drawn		51.58
HOMEWARDBOUND OF THE GRAND VALLEY, INC.	Shelter	18,000.00	18,000.00
	Total	18,000.00	18,000.00
	Total Remaining to be Drawn		0.00
	Percentage Remaining to be Drawn		100.00
THE PUEBLO YOUNG WOMENS CHRISTIAN ASSOCIATION	Shelter	10,000.00	7,500.00
	Total	10,000.00	7,500.00
	Total Remaining to be Drawn		2,500.00
	Percentage Remaining to be Drawn		75.00
CROSSROADS TURNING POINTS, INC.	Shelter	8,000.00	8,000.00
	Total	8,000.00	8,000.00
	Total Remaining to be Drawn		0.00
	Percentage Remaining to be Drawn		100.00
POSADA, INC.	Shelter	10,000.00	7,500.00
	Total	10,000.00	7,500.00
	Total Remaining to be Drawn		2,500.00
	Percentage Remaining to be Drawn		75.00
LOAVES AND FISHES MINISTRIES OF FREMONT COUNTY	Shelter	15,000.00	11,986.55
	Total	15,000.00	11,986.55
	Total Remaining to be Drawn		3,013.45
	Percentage Remaining to be Drawn		79.91
VOLUNTEERS OF AMERICAN CO BR	Shelter	24,000.00	15,539.97
	Total	24,000.00	15,539.97
	Total Remaining to be Drawn		8,460.03
	Percentage Remaining to be Drawn		64.75
FAMILY PROMISE OF GREATER DENVER	Shelter	7,000.00	5,250.00



U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 PR91 - ESG Financial Summary
 COLORADO, CO
 2012

DATE: 06-28-13
 TIME: 12:14
 PAGE: 8

Subrecipient	Activity Type	Committed	Drawn
FAMILY PROMISE OF GREATER DENVER	Total	7,000.00	5,250.00
	Total Remaining to be Drawn		1,750.00
	Percentage Remaining to be Drawn		75.00
	Shelter	9,000.00	7,106.59
INTERFAITH HOSPITALITY NETWORK OF COLORADO SPRINGS	Total	9,000.00	7,106.59
	Total Remaining to be Drawn		1,893.41
	Percentage Remaining to be Drawn		78.96
	Shelter	8,000.00	8,000.00
GREELEY TRANSITION HOUSE INC.	Total	8,000.00	8,000.00
	Total Remaining to be Drawn		0.00
	Percentage Remaining to be Drawn		100.00
	Homeless Prevention	1,253,914.00	0.00
COLORADO COALITION FOR THE HOMELESS	Data Collection (HMIS)	15,000.00	0.00
	Administration	31,980.00	0.00
	Total	1,300,894.00	0.00
	Total Remaining to be Drawn		1,300,894.00
	Percentage Remaining to be Drawn		0.00
	Homeless Prevention	239,200.00	0.00
COLORADO SPRINGS CITY GOVERNMENT	Data Collection (HMIS)	9,000.00	0.00
	Administration	6,280.00	0.00
	Total	254,480.00	0.00
	Total Remaining to be Drawn		254,480.00
	Percentage Remaining to be Drawn		0.00
	Shelter	29,000.00	22,849.15
CROSSROADS SAFE HOUSE	Total	29,000.00	22,849.15
	Total Remaining to be Drawn		6,150.85
	Percentage Remaining to be Drawn		78.79



U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 PR91 - ESG Financial Summary
 COLORADO, CO
 2012

DATE: 06-28-13
 TIME: 12:14
 PAGE: 9

ESG Subrecipients by Activity Category

Activity Type	Subrecipient
Shelter	ACCESS HOUSING, INC.
	FAMILY CRISIS SERVICES
	S.H.A.R.E. INC.
	SAFE SHELTER OF ST. VRAIN VALLEY
	ALMOST HOME INC.
	WOMEN'S CRISIS AND FAMILY OUTREACH CENTER
	A WOMAN'S PLACE, INC.
	ADVOCATE SAFEHOUSE PROJECT
	BOULDER SHELTER FOR THE HOMELESS
	HELP FOR ABUSED PARTNERS
	ARKANSAS VALLEY RESOURCE CENTER
	THE INN BETWEEN OF LONGMONT, INC.
	FAMILY TREE INC.
	URBAN PEAK DENVER
	ADVOCATES VICTIM ASSISTANCE TEAM
	SAFEHOUSE PROGRESSIVE ALLIANCE FOR NONVIOLENCE, INC.
	THE DELORES PROJECT
	URBAN PEAK COLORADO SPRINGS
	THE RESOURCE CENTER OF EAGLE COUNTY
	ATTENTION INC.
	GROWING HOME, INC.
	THE GATHERING PLACE A DROP IN CENTER FOR WOMEN
	ST. FRANCIS CENTER
	THE BRIDGE PROJECT INC.
	ADVOCATES AGAINST BATTERING AND ABUSE
	ADVOCATES AGAINST DOMESTIC ASSAULT
	LA PUENTE HOME INC.
	JEFFCO ACTION CENTER
	ALTERNATIVES TO VIOLENCE INC.
	CATHOLIC CHARITIES & COMMUNITY SERVICES
	ALTERNATIVES TO FAMILY VIOLENCE
	HILLTOP HEALTH SERVICES CORPORATION
	HOMEBARBOUNDED OF THE GRAND VALLEY, INC.
	THE PUEBLO YOUNG WOMENS CHRISTIAN ASSOCIATION
	CROSSROADS TURNING POINTS, INC.
	POSADA, INC.
	LOAVES AND FISHES MINISTRIES OF FREMONT COUNTY



U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 PR91 - ESG Financial Summary
 COLORADO, CO
 2012

DATE: 06-28-13
 TIME: 12:14
 PAGE: 10

Activity Type	Subrecipient
Shelter	VOLUNTEERS OF AMERICAN CO BR
	FAMILY PROMISE OF GREATER DENVER
	INTERFAITH HOSPITALITY NETWORK OF COLORADO SPRINGS
	GREELEY TRANSITION HOUSE INC.
	CROSSROADS SAFE HOUSE
Homeless Prevention	COLORADO COALITION FOR THE HOMELESS
	COLORADO SPRINGS CITY GOVERNMENT
Data Collection (HMIS)	ACCESS HOUSING, INC.
	LA PUENTE HOME INC.
	COLORADO COALITION FOR THE HOMELESS
	COLORADO SPRINGS CITY GOVERNMENT
Administration	COLORADO
	PUEBLO COUNTY
	COLORADO COALITION FOR THE HOMELESS
	COLORADO SPRINGS CITY GOVERNMENT