

ESG SUBSTANTIAL AMENDMENT

This document is the substantial amendment to the 2011 State of Colorado Annual Action Plan. The amendment addresses the second allocation of the Emergency Solutions Grant (ESG) program. This substantial amendment is organized by the categories that the U.S. Department of Housing and Urban Development (HUD) requires to be included in the ESG Substantial amendment.

A. REQUIREMENTS FOR PREPARATION

1. Consultation

Consult with the Continuum(s) of Care within the geographic area on:

- o Determining how to allocate ESG funds for eligible activities;
- o Developing the performance standards for activities funded under ESG; and
- o Developing funding, policies, and procedures for the operation and administration of HMIS.

2. Citizen Participation

Follow existing citizen participation plan for completing a substantial amendment.

B. REQUIRED CONTENTS

1. Standard Form SF424

(Please see APPENDIX 1 Form SF424)

2. Summary of Consultation Process

COLORADO'S CONTINUUMS OF CARE

| Colorado's Continuums of Care | Service Area |
|---|---|
| Metropolitan Denver Homeless Initiative | Counties of Adams, Arapahoe, Boulder, Broomfield, Denver, Douglas and Jefferson |
| Homeless Pikes Peak Continuum of Care | El Paso County and City of Colorado Springs |
| Balance of State Continuum of Care | Remaining 56 counties of Colorado |

The State of Colorado convened meetings with representatives of CoCs who in turn communicated with member agencies regarding implementation strategies for the Emergency Solution Grant. On February 15 in Denver and March 15 in Greeley, the State requested input about programs, funding policies and procedures and distribution methodology for the second ESG allocation of 2011 and new 2012 allocation. Comments received at these meetings are attached below.

Meetings with Continuums of Care Regarding Emergency Solutions Grant

February 15, 2012, Denver

Tom Luehrs, St Francis Center, representing Metropolitan Denver Homeless Initiative;
Linda Barringer, Family Tree, representing Metropolitan Denver Homeless Initiative;

Paul Andrus, City of Aurora

John Parvensky, Colorado Coalition for the Homeless, representing Balance of State Continuum of Care

Valorie Jordan, City of Colorado Springs, representing Homeward Pikes Peak:

Comments of the Group:

- Ensure emergency housing needs are met;
- Hold the shelter system harmless for this year, but make a shift from “doing business as usual” to rapid re-housing;
- Move shelters towards doing more to link people to housing supports
- No expansion of Transitional Housing;
- Get better relationship with the CoC agencies in the state;
- Empower the agencies to utilize a rapid re-housing model;
- Prioritize the areas of greatest need.

Colorado Coalition for the Homeless sent emails to BOS agencies and HPRP subrecipients. Mr. Parvensky and DOH received email statements from three agencies:

I certainly would support the use of ESG funds to continue the HPRP collaborative.

Sr. Karen Bland - Grand Junction Coalition and Grand Valley Catholic Outreach.

Family Tree supports the use of the supplemental allocation of the Emergency Solutions Grant to continue HPRP activities. Of course, as you've already responded and pointed out, the need to balance the funding of different programs to meet the needs of different homeless and at-risk populations (and communities) is still very important, especially in this climate of decreasing federal funding to support this work. We agree with the vision that emergency and transitional shelter should be diminished over time, but until adequate housing inventory and appropriate resources and programs are developed and available, these services will fill a critical need in the continuum. – **Scott Shields, Chief Executive Officer, Family Tree**
Our community is feeling the loss of HPRP already. Its unfortunate that the resource was not renewed, yet it remains a national strategy priority.

As you know, the term "shelter" has a poor reputation nationally and statewide, a reason that DC wants to use "Emergency Solution Grant," instead of "Emergency Shelter Grant." HPRP and transitional shelter programs such as La Puente serve somewhat different populations. If your letter is saying the traditional ESG funds are being recommended to serve HPRP instead of transitional shelter support, I can't agree. Its choosing one population over another. If the recommendation seeks to provide some additional funding for HPRP work, then great. Something does need to happen to offset the loss of HPRP.

HPRP has been a challenging, and expensive program to operate in terms of # of successes for each dollar spent, when compared to transitional shelters. It holds its value for a narrow window of vulnerable households/homeless who are high enough functioning to transition out of their crisis, yet low enough functioning to need the resource. We need *both* HPRP and transitional shelter resources to address homelessness, regardless of what DC dictates.

Thanks for your work on this.

Lance Cheslock, Executive Director, La Puente Home, Alamosa

March 15, 2012 Greeley– Northern Front Range Continuum of Care Meeting

Kim Larson, Catholic Charities, Fort Collins

Enita Kerns-Haut, Catholic Charities, Greeley

Sherry Anderson, Catholic Charities, Greeley

Beverly Walker, City of Loveland

Jodi Hartmann, Greeley Transitional House, Greeley

Ileani Thompson, North Range Behavioral Health, Greeley

John Tuchsherer, City of Fort Collins Housing Authority
Vanessa Stapert, Veterans Administration, Cheyenne
Connie Kemrick, Catholic Charities, Greeley
Bryce Hach, Homeward 2020, Fort Collins

- The Northern Front Range Continuum of Care has decided to remain with the Balance of State Continuum of Care
- Supportive Services are a critical part of the success of the homeless
- The need for shelters and transitional housing in Larimer and Fort Collins is huge. It is important to maintain homeless assistance
- This shelter has been full since the day it opened one year ago
- Rapid Re-housing is important to stabilizing families and individuals
- Homeless Prevention is important

DOH will continue to work with CoCs to develop performance standards.

HMIS participation will be required in order to receive funding. DOH will develop and MOU with the Colorado HMIS to ensure that the system operates and is capable of reporting in a reliable and responsive manner.

3. Summary of Citizen Participation Process

The State will post the Substantial Amendment on its website on April 6 to meet the 30-day comment period and hold four Public Hearings as follows: on April 18 by phone conference with the Balance of State (CoC); on April 19, in Colorado Springs; and two on May 2 in Denver. The State will discuss the changes to the ESG program resulting from the HEARTH Act and its proposed distribution methodology for its second allocation of 2011 ESG funding.

An email notice about the hearings will be sent to approximately 240 contacts via DOH and CoC list-serves to ensure adequate notice to homeless shelters, service providers, Continuum of Care participants and ESG, HOPWA, HPRP grant recipients and the public . A notice was also published in a newspaper of general circulation on April 11.

This citizen participation process meets requirements for substantial amendments under the State's Citizen Participation Plan.

Summary of Comments and Responses

Public Hearings: Balance of State CoC - Wednesday, April 18, 9:30 a.m.by conference call:

In attendance: Anne Stattleman with Posada, Lance Cheslock with La Puente Home, Sister Karen Bland with Grand Junction Grand Valley Catholic Outreach and Homeward Bound, Stephanie, Roz Wheeler-Belle with CCH, Rob with Summit County Family Resource Center, Bryce Hock with Ft. Collins, Pat with Inn Between, Tammy Miller with Housing Solutions of the SW, Jan Schiller with SHARE, Sarada Levenwitz with VOA, Durango, Mary Jane with Tri-County Resource Center, Jim with Attention Homes, Jan Larsen with Catholic Charities Northern, Lynn Shine, Annie Bacci and Shannon Picaso with the Division of Housing (DOH)

Colorado Springs/El Paso CoC – Thursday, April 19, 1:00 at Pikes Peak United Way Community Room, 518 N. Nevada Ave., Colorado Springs, CO 80903

In attendance: Anne Beer with Pikes Peak United Way, Guinn Roger with Pikes Peak Community Action Agency, Michael Royal with Interfaith Hospitality Network of Colorado Springs and Shannon Picaso with the Colorado Division of Housing.

Metro Denver Homeless Initiatives CoC – Wednesday, May 2 at 1:00 p.m.at Mile High United Way, 2505 18th Street, Denver, CO 80211

In attendance for 1:00 p.m.:

Debbie Zimmerman with Jewish Family Services, Roz Wheller-Bell with CCH, Scott Shields with Family Tree, Tom Luehrs with St. Francis Center, Michelle Hechinger with Urban Peak, Tom Lose with Family Tree, Linda Barringer with Family Tree, Chris Telk with Jefferson Center for Mental Health, John Parvensky with CCH, Kendall Romes with Urban Peak, Diane Elio with Catholic Charities, Rebecca Mayer with MDHI.

Metro Denver Homeless Initiatives CoC – Wednesday, May 2 at 2:30 p.m.at Mile High United Way, 2505 18th Street, Denver, CO 80211

In attendance for 2:30 p.m.:

Joann Calabrese with The Gathering Place, Mendy Evans with The Gathering Place, Allison Disbrow with Attention Homes, Ian Jacobs with Almost Home, Linda Duhon with Catholic Charities, Wendy Brook with Catholic Charities, and Kathryn Clancy with Interfaith Hospitality Network of Denver.

COMMENTS AND RESPONSES

We feel you should increase Homeless Prevention allocation and preserve funding for shelters. There is a huge demand on shelters. We're concerned that there is not enough funding allocated for HMIS.

DOH re-visited allocations across funding categories and decided to increase funds for Homeless Prevention - Financial Assistance and decreased funds for Rapid Re-housing-Financial Assistance by the same amount. While the focus is on "rapid re-housing", shelters are still critical.

Can you ease the Matching requirements?

In the future, we will consider match waivers on a case-by-case basis within our regulatory restrictions.

Please consider a "hold harmless" policy beyond the 2012-2013 ESG allocation:

DOH will evaluate circumstances in each funding cycle and make determinations at that time.

There is a need for capacity building across all areas of the state.

DOH will require CoC lead agencies to assist their subgrantees in building capacity through training or other activities. DOH asset managers can also provide assistance.

Rather than a "lead agency" please consider CoC Boards as the entity that will evaluate and determine funding levels.

We will consider all responses to the request for proposals (RFP)s.

We are worried about the potential conflict of interest. How do you guarantee a fair process? DOH will be involved in the funding distribution process and will ensure that agencies are involved in development of the application and evaluation criteria.

Consider and coordinate other funding resources such as CSBG, CDBG, for ESG-like funding.

DOH has assumed the management of Homeless Prevention Funds (tax check-off) and intends to use these funds for homeless-assistance purposes.

Will administrative funds be available to administer the program?

Administrative funding is limited; however, agencies with an approved cost-allocation plan may request indirect costs across all funding categories.

There are not enough affordable units for our clients.

DOH is working to increase the number of affordable units. Colorado has revised its housing tax credit priorities to include housing for the homeless, adopted Section 8 preferences for persons with disabilities and the chronically homeless, and invested state HDG, HOME and CDBG funding in projects that create permanent supportive housing.

4. Matching Requirement

The State ESG program will use match from a variety of federal, state and private sources. Each applicant requesting funds from DOH through the second ESG 2011 allocation must make matching contributions to supplement its ESG program in an amount that equals the amount of ESG funds awarded by DOH.

The matching contributions must meet all requirements that apply to the ESG funds provided by HUD.

5. Proposed Activities And Overall Budget

a. Proposed Activities

ACTIVITY: Rapid Re-housing – Financial Assistance (\$208,296)

(a). Corresponds with the following priorities from the 2011 and 2012 Annual Action Plan: (1) Provide rental subsidies for low income or other households who would otherwise pay more than 30% of their household income for housing.

(2) Preserve or expand the existing supply of affordable housing (3) Prevent and eliminate homelessness

(b). ESG will assist in moving homeless persons as quickly as possible into permanent housing and to achieve stable housing. DOH will target special need and homeless populations. DOH anticipates funding three subrecipient organizations whose activities will cover the state, thereby assisting an estimated #40 households with rental assistance statewide over the course of the award term. The state program will require subrecipients develop an outreach and centralized intake process for both the unsheltered and sheltered homeless populations.

(c) Corresponding standard objective and outcome categories: provide tenant based rental assistance to stabilize 200 households during the 5-year planning period.

(d) The anticipated start date for the rapid re-housing program is September 1, 2012 and completion date is June 30, 2013. The award term will be 12 months. Beneficiaries of the program can receive up to 12 months of rental assistance and 18 months of Housing Relocation and Stabilization Services.

(e) Approximately 27.4%, or \$208,296 of the second round Emergency Solutions Grant allocation will be used directly for rapid re-housing financial assistance activities.

ACTIVITY: Rapid Re-housing – Housing Relocation (\$68,488):

(a) Corresponds with the following priorities from the 2011 and 2012 State Annual Action Plan (1) Prevent and eliminate homelessness

(b) Housing relocation and stabilization services will include payments to housing owners, utility companies and other third parties for one of the following costs: rental application fees, security deposits that equal no more than two months rent, last month's rent, rental deposits, utility payments including up to six months of utility arrears to support homeless individuals and families in moving as quickly as possible into permanent housing and to achieve housing stability. DOH anticipates funding three subrecipient organizations whose activities will cover the state, thereby assisting an estimated #35 households with housing relocation assistance statewide over the course of the award term.

(c) Corresponding standard objective and outcome categories: Increase statewide supply of housing for persons with special needs coupled with services that increase/maintain independence.

(d) The anticipated start date is September 1, 2012 and the completion date is June 30, 2013.

(e) Approximately 11.7% or \$68,488 of the second round Emergency Solutions Grant allocation will be used for housing relocation and stabilization services.

ACTIVITY: Homeless Prevention -- Financial Assistance (\$228,294):

(a) Corresponds with the following priorities from the 2011 and 2012 State Annual Action Plan (1) Prevent and eliminate homelessness

(b) Housing relocation and stabilization services will include payments to housing owners, utility companies and other third parties for one of the following costs: rental application fees, security deposits that equal no more than two months rent, last month's rent, rental deposits, utility payments including up to six months of utility arrears to support homeless individuals and families in moving as quickly as possible into permanent housing and to achieve housing stability. DOH anticipates funding three subrecipient organizations whose activities will cover the state, thereby assisting an estimated #40 households with housing relocation assistance statewide over the course of the award term.

(c) Corresponding standard objective and outcome categories: Increase statewide supply of housing for persons with special needs coupled with services that increase/maintain independence.

(d) The anticipated start date is September 1, 2012 and the completion date is June 30, 2013.

(e) Approximately 41%, or \$228,294 of the second round Emergency Solutions Grant allocation will be used for housing relocation and stabilization services.

ACTIVITY: Homeless Prevention – Housing Relocation (\$45,658)

(a) Corresponds with the following priorities from the 2011 and 2012 State Annual Action Plan (1) Prevent and eliminate homelessness

(b) Housing relocation and stabilization services will include payments to housing owners, utility companies and other third parties for one of the following costs: rental application fees, security deposits that equal no more than two months rent, last month's rent, rental deposits, utility payments including up to six months of utility arrears to support homeless individuals and families in moving as quickly as possible into permanent housing and to achieve housing stability thereby assisting an estimated 35 households with assistance statewide over the course of the award term.

(c) Corresponding standard objective and outcome categories: Increase statewide supply of housing for persons with special needs coupled with services that increase/maintain independence.

(d) The anticipated start date is September 1, 2012 and the completion date is June 30, 2013.

(e) Approximately 7.8%, or \$45,658 of the second round Emergency Solutions Grant allocation will be used for housing relocation and stabilization services.

ACTIVITY: Homeless Management Information Systems (\$20,000)

(a) Corresponds with the following priorities from the 2011 and 2012 State Annual Action Plan (1) Prevent and eliminate homelessness.

(b) This activity will include reimbursement for salary costs incurred by the subrecipient for completing data entry, monitor and reviewing data quality, reporting the HMIS lead agency training staff on using the HMIS or comparable database, and paying staff travel costs to conduct intakes. This activity is limited to 4% of the overall budget.

Subrecipients will not be required to budget for this activity; however all are required to participate in the HMIS system. Subrecipients are required to produce regular reports from HMIS in conjunction with HMIS requirements.

(c) Corresponding standard objective and outcome categories: Supporting activities that improve the range of housing options for special needs populations

(d) The anticipated start date for the rapid re-housing program is September 1, 2012 and completion date of June 30, 2013. The award term will be 12 months.

(e) ESG is anticipated to fund the HMIS activity for approximately 3.4% of the total round allocation, which is \$20,000 total.

ACTIVITY: State Administration (\$14,634)

| |
|---|
| Total ESG Allocation - \$585,370 |
|---|

b. Discussion of Funding Priorities.

The State of Colorado prioritized rapid re-housing activities with its second allocation based on its experience of administering Homeless Prevention and Rapid Re-housing and the demonstrated effectiveness of rapid re-housing.

Methodology in implementing ESG services

1. Focusing on moving homeless families and individuals more quickly from shelter into housing
2. Increasing the coordination of homeless services delivery at the community level (CoCs)
3. Reducing the duplication of services
4. Increasing the emphasis on homeless prevention
5. Leveraging other resources to help families and individuals maintain housing stability.

Objectives for an effective use of ESG resources to prevent and end homelessness.

1. ESG funds should supplement the HPRP program that is ending soon.
2. Program should provide enough assistance to prevent episodes of homelessness while stretching resources
3. Prevention resources should be targeted to households with the highest likelihood of becoming homeless.
4. Distribution of funds should achieve maximum access for needy individuals while minimizing administrative costs as much as possible

5. Homeless prevention and rapid re-housing services will be coordinated to meet the needs of homeless persons through the state.

Obstacles to completing these action steps are the large geographic area involved, the need for improved communications and the rapid implementation required.

c. Detailed Budget

Include detailed budget of planned activities and funding levels accounting for the entire second allocation and any reprogrammed funds from the first allocation.

See APPENDIX II. Detailed Budget.

6. Written Standards for Providing ESG Assistance

Colorado requires its subrecipients to establish and consistently apply written standards for providing ESG assistance. At a minimum these written standards must include:

(a) Standard policies and procedures for evaluating individuals' and families' eligibility for assistance under Emergency Solutions Grant (ESG) consistent with the definition of homelessness and record keeping requirements of the ESG program.

- (i) Standards for targeting and providing essential services related to street outreach;
- (ii) Policies and procedures for admission, diversion, referral, and discharge by emergency shelters assisted under ESG, including standards regarding length of stay, if any, and safeguards to meet the safety and shelter needs of special populations, *e.g.*, victims of domestic violence, dating violence, sexual assault, and stalking; and individuals and families who have the highest barriers to housing and are likely to be homeless the longest;
- (iii) Policies and procedures for assessing, prioritizing, and reassessing individuals' and families' needs for essential services related to emergency shelter;

(b) Policies and procedures for coordination among emergency shelter providers, essential services providers, homelessness prevention, and rapid re-housing assistance providers; other homeless assistance providers; and mainstream service and housing providers (see §576.400(b) and (c) for a list of programs with which ESG-funded activities must be coordinated and integrated to the maximum extent practicable);

(c) Policies and procedures for determining and prioritizing which eligible families and individuals will receive homelessness prevention assistance and which eligible families and individuals will receive rapid re-housing assistance;

- (i) For homelessness prevention assistance, recipients must include the risk factors that will be used to help determine individuals and families who are most in need of homeless prevention assistance to avoid moving into a shelter or place defined in paragraph (1) of the 'homeless' definition in 24 CFR 576.2

(d) Standards for determining what percentage or amount of rent and utilities costs each program participant must pay while receiving homelessness prevention or rapid re-housing assistance;

(e) Standards for determining how long a particular program participant will be provided with rental assistance and whether and how the amount of that assistance will be adjusted over time; and

(f) Standards for determining the type, amount, and duration of housing stabilization and/or relocation services to provide to a program participant, including the limits, if any, on the homelessness prevention or rapid re-housing assistance that each program participant may receive, such as the maximum amount of assistance, maximum number of months the program participant receive assistance; or the maximum number of times the program participant may receive assistance.

(g) Participation in HMIS. The subrecipient must ensure that data on all persons served and all activities assisted under ESG are entered into the applicable community-wide HMIS in the area in which those persons and activities are located, or a comparable database, in accordance with HUD's standards on participation, data collection, and reporting under a local HMIS. If the subrecipient is a victim service provider or a legal services provider, it must use a comparable database that collects client-level data over time (i.e., longitudinal data) and generates unduplicated aggregate reports based on the data. Information entered into a comparable database must not be entered directly into or provided to an HMIS. Subgrantee organizations will be required to submit monthly data quality reports to ensure compliance with this provision.

7. Describe the Process for Making Sub-Awards

DOH plans to allocate its \$570,736 (\$585,370 less \$14,634) to three CoC lead agencies. The exact allocation will be based on geographic need and capacity of applicant agencies to carry out responsibilities.

8. Homeless Participation Requirement

Does not apply to the State.

9. Performance Standards

The recipient must describe the performance standards for evaluating ESG activities, which must be developed in consultation with the Continuums of Care.

The performance standards were developed in conjunction with the governing body for the Balance of State Continuum of Care, Metropolitan Denver Homeless Initiative, and Homeward Pikes Peak by using the national standards outlined in Section 427 of the McKinney-Vento Act, as amended by the HEARTH Act. Baseline measurements for the system-wide objectives will be developed upon program inception by using the HMIS; however system outcomes will not be used to determine the FY2011 ESG allocations. In fiscal year 2013 ESG program, the allocations will be largely determined based upon program performance on the standards. The ESG program will set a minimum of five program standards. Three of the standards are specific to the suprecipient's program performance and the remaining two are specific to system outcomes. The first standard on housing stability states at least 35% of Rapid Re-housing participants will discharge to permanent housing. The second standard, centered on increasing income, states at least 10% of discharged participants will increase or maintain their employment or income upon exit from the program. The third program standard states that at least 55% of participants will access mainstream resources while participating in the Rapid Re-housing program. The percentages are based upon the program performance of HPRP subrecipients and HUD national Supportive Housing Program standards.

The final two standards establish system wide standards for the Rapid Re-housing program. The first sets a standard on the length of time that an individual or family remains homeless in the ESG service area. The average length of stay of participants in shelters included in the Rapid Re-housing program should reduce by at least 10%. The second system standard involves the extent to which individuals and families who leave homelessness and experience additional spells of homelessness. Both of these standards were set based upon HUD's stated performance targets for a high performing Continuum of Care. The CoC will use the HMIS system to measure these outcomes.

10. Certifications

Please see APPENDIX III. Certifications

C. "AT RISK OF HOMELESSNESS"

"At Risk of Homelessness" is based on the risk factor "otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness as determined by CoCs.

APPENDIX I. SF424

| Application for Federal Assistance SF-424 | | Version 02 |
|--|--|---|
| *1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | | *2. Type of Application <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision |
| | | * If Revision, select appropriate letter(s) A. Increase Award A. Increase Award *Other (Specify) _____ |
| 3. Date Received: | | 4. Applicant Identifier: |
| 5a. Federal Entity Identifier: | | *5b. Federal Award Identifier: |
| State Use Only: | | |
| 6. Date Received by State: | | 7. State Application Identifier: |
| 8. APPLICANT INFORMATION: | | |
| *a. Legal Name: State of Colorado | | |
| *b. Employer/Taxpayer Identification Number (EIN/TIN): 84-0644739 | | *c. Organizational DUNS: 87-8192483 |
| d. Address: | | |
| *Street 1: | <u>1313 Sherman Street</u> | |
| Street 2: | <u>Room 500</u> | |
| *City: | <u>Denver</u> | |
| County: | <u>Denver</u> | |
| *State: | <u>Colorado</u> | |
| Province: | _____ | |
| *Country: | <u>USA</u> | |
| *Zip / Postal Code | <u>80203</u> | |
| e. Organizational Unit: | | |
| Department Name: Local Affairs | | Division Name: Housing |
| f. Name and contact information of person to be contacted on matters involving this application: | | |
| Prefix: | <u>Ms.</u> | *First Name: <u>Lynn</u> |
| Middle Name: | _____ | |
| *Last Name: | <u>Shine</u> | |
| Suffix: | _____ | |
| Title: | <u>Housing Initiatives Coordinator</u> | |
| Organizational Affiliation: Division of Housing | | |
| *Telephone Number: 303-866-2046 | | Fax Number: 303-866-4077 |
| *Email: Lynn.Shine@state.co.us | | |

Application for Federal Assistance SF-424

Version 02

***9. Type of Applicant 1: Select Applicant Type:**

A.State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.231

CFDA Title:

Emergency Solutions Grant

***12 Funding Opportunity Number:**

*Title:

13. Competition Identification Number:

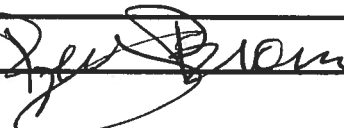
Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

All areas of the State

***15. Descriptive Title of Applicant's Project:**

Emergency Solutions Grant Program

| | | |
|---|-------|--------------------------|
| Application for Federal Assistance SF-424 | | Version 02 |
| 16. Congressional Districts Of: | | |
| *a. Applicant: 1-7 | | *b. Program/Project: |
| 17. Proposed Project: | | |
| *a. Start Date: 04/01/2011 | | *b. End Date: 03/31/2013 |
| 18. Estimated Funding (\$): | | |
| *a. Federal | _____ | 585,370 |
| *b. Applicant | _____ | 585,370 |
| *c. State | _____ | |
| *d. Local | _____ | |
| *e. Other | _____ | |
| *f. Program Income | _____ | 0 |
| *g. TOTAL | _____ | 1,170,740 |
| *19. Is Application Subject to Review By State Under Executive Order 12372 Process? | | |
| <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on _____ | | |
| <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. | | |
| <input checked="" type="checkbox"/> c. Program is not covered by E. O. 12372 | | |
| *20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.) | | |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| 21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001) | | |
| <input checked="" type="checkbox"/> ** I AGREE | | |
| ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions | | |
| Authorized Representative: | | |
| Prefix: Mr. | _____ | *First Name: Reeves |
| Middle Name: | _____ | |
| *Last Name: Brown | _____ | |
| Suffix: | _____ | |
| *Title: Executive Director | | |
| *Telephone Number: 303-866-4904 | | Fax Number: 303-866-4077 |
| * Email: Reeves.Brown@state.co.us | | |
| *Signature of Authorized Representative:  | | *Date Signed: 5/15/12 |

Application for Federal Assistance SF-424

Version 02

***Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 60 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form (including the continuation sheet) required for use as a cover sheet for submission of preapplications and applications and related information under discretionary programs. Some of the items are required and some are optional at the discretion of the applicant or the Federal agency (agency). Required items are identified with an asterisk on the form and are specified in the instructions below. In addition to the instructions provided below, applicants must consult agency instructions to determine specific requirements.

| Item | Entry: | Item | Entry: |
|------|--|------|--|
| 1. | Type of Submission: (Required): Select one type of submission in accordance with agency instructions. <ul style="list-style-type: none"> • Preapplication • Application • Changed/Corrected Application – If requested by the agency, check if this submission is to change or correct a previously submitted application. Unless requested by the agency, applicants may not use this to submit changes after the closing date. | 10. | Name Of Federal Agency: (Required) Enter the name of the Federal agency from which assistance is being requested with this application. |
| 2. | Type of Application: (Required) Select one type of application in accordance with agency instructions. <ul style="list-style-type: none"> • New – An application that is being submitted to an agency for the first time. • Continuation - An extension for an additional funding/budget period for a project with a projected completion date. This can include renewals. • Revision - Any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision, enter the appropriate letter(s). More than one may be selected. If "Other" is selected, please specify in text box provided. <ul style="list-style-type: none"> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration E. Other (specify) | 11. | Catalog Of Federal Domestic Assistance Number/Title: Enter the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested, as found in the program announcement, if applicable. |
| | | 12. | Funding Opportunity Number/Title: (Required) Enter the Funding Opportunity Number and title of the opportunity under which assistance is requested, as found in the program announcement. |
| | | 13. | Competition Identification Number/Title: Enter the Competition Identification Number and title of the competition under which assistance is requested, if applicable. |
| | | 14. | Areas Affected By Project: List the areas or entities using the categories (e.g., cities, counties, states, etc.) specified in agency instructions. Use the continuation sheet to enter additional areas, if needed. |
| 3. | Date Received: Leave this field blank. This date will be assigned by the Federal agency. | 15. | Descriptive Title of Applicant's Project: (Required) Enter a brief descriptive title of the project. If appropriate, attach a map showing project location (e.g., construction or real property projects). For preapplications, attach a summary description of the project. |
| 4. | Applicant Identifier: Enter the entity identifier assigned by the Federal agency, if any, or applicant's control number, if applicable. | 16. | Congressional Districts Of: (Required) 16a. Enter the applicant's Congressional District, and 16b. Enter all District(s) affected by the program or project. Enter in the format: 2 characters State Abbreviation – 3 characters District Number, e.g., CA-005 for California 5 th district, CA-012 for California 12 th district, NC-103 for North Carolina's 103 rd district. <ul style="list-style-type: none"> • If all congressional districts in a state are affected, enter "all" for the district number, e.g., MD-all for all congressional districts in Maryland. • If nationwide, i.e. all districts within all states are affected, enter US-all. • If the program/project is outside the US, enter 00-000. |
| 5a. | Federal Entity Identifier: Enter the number assigned to your organization by the Federal Agency, if any. | | |
| 5b. | Federal Award Identifier: For new applications leave blank. For a continuation or revision to an existing award, enter the previously assigned Federal award identifier number. If a changed/corrected application, enter the Federal Identifier in accordance with agency instructions. | | |
| 6. | Date Received by State: Leave this field blank. This date will be assigned by the State, if applicable. | | |
| 7. | State Application Identifier: Leave this field blank. This identifier will be assigned by the State, if applicable. | | |
| 8. | Applicant Information: Enter the following in accordance with agency instructions: | 17. | Proposed Project Start and End Dates: (Required) Enter the proposed start date and end date of the project. |
| | a. Legal Name: (Required): Enter the legal name of applicant that will undertake the assistance activity. This is the name that the organization has registered with the Central Contractor Registry. Information on registering with CCR may be obtained by visiting the Grants.gov website. | | |
| | b. Employer/Taxpayer Number (EIN/TIN): (Required): Enter the Employer or Taxpayer Identification Number (EIN or TIN) as assigned by the Internal Revenue Service. If your organization is not in the US, enter 44-4444444. | | |
| | c. Organizational DUNS: (Required) Enter the organization's DUNS or DUNS+4 number received from Dun and Bradstreet. Information on obtaining a DUNS number may be obtained by visiting the Grants.gov website. | | |
| | d. Address: Enter the complete address as follows: Street address (Line 1 required), City (Required), County, State (Required, if country is US), Province, Country (Required), Zip/Postal Code (Required, if country is US). | | |
| | e. Organizational Unit: Enter the name of the primary organizational unit (and department or division, if applicable) that will undertake the | 18. | Estimated Funding: (Required) Enter the amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines, as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. |
| | | 19. | Is Application Subject to Review by State Under Executive Order 12372 Process? Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the |

| | | | | |
|---|---|---|---|---|
| | <p>assistance activity, if applicable.</p> <p>f. Name and contact information of person to be contacted on matters involving this application: Enter the name (First and last name required), organizational affiliation (if affiliated with an organization other than the applicant organization), telephone number (Required), fax number, and email address (Required) of the person to contact on matters related to this application.</p> | <p>State intergovernmental review process. Select the appropriate box. If "a." is selected, enter the date the application was submitted to the State</p> | | |
| 20. | | <p>Is the Applicant Delinquent on any Federal Debt? (Required) Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.</p> <p>If yes, include an explanation on the continuation sheet.</p> | | |
| 9. | <p>Type of Applicant: (Required) Select up to three applicant type(s) in accordance with agency instructions.</p> <table border="0" data-bbox="198 436 867 978"> <tr> <td data-bbox="198 436 532 978"> <ul style="list-style-type: none"> A. State Government B. County Government C. City or Township Government D. Special District Government E. Regional Organization F. U.S. Territory or Possession G. Independent School District H. Public/State Controlled Institution of Higher Education I. Indian/Native American Tribal Government (Federally Recognized) J. Indian/Native American Tribal Government (Other than Federally Recognized) K. Indian/Native American Tribally Designated Organization L. Public/Indian Housing Authority </td> <td data-bbox="532 436 867 978"> <ul style="list-style-type: none"> M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education) N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education) O. Private Institution of Higher Education P. Individual Q. For-Profit Organization (Other than Small Business) R. Small Business S. Hispanic-serving Institution T. Historically Black Colleges and Universities (HBCUs) U. Tribally Controlled Colleges and Universities (TCCUs) V. Alaska Native and Native Hawaiian Serving Institutions W. Non-domestic (non-US) Entity X. Other (specify) </td> </tr> </table> | <ul style="list-style-type: none"> A. State Government B. County Government C. City or Township Government D. Special District Government E. Regional Organization F. U.S. Territory or Possession G. Independent School District H. Public/State Controlled Institution of Higher Education I. Indian/Native American Tribal Government (Federally Recognized) J. Indian/Native American Tribal Government (Other than Federally Recognized) K. Indian/Native American Tribally Designated Organization L. Public/Indian Housing Authority | <ul style="list-style-type: none"> M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education) N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education) O. Private Institution of Higher Education P. Individual Q. For-Profit Organization (Other than Small Business) R. Small Business S. Hispanic-serving Institution T. Historically Black Colleges and Universities (HBCUs) U. Tribally Controlled Colleges and Universities (TCCUs) V. Alaska Native and Native Hawaiian Serving Institutions W. Non-domestic (non-US) Entity X. Other (specify) | <p>21. Authorized Representative: (Required) To be signed and dated by the authorized representative of the applicant organization. Enter the name (First and last name required) title (Required), telephone number (Required), fax number, and email address (Required) of the person authorized to sign for the applicant.</p> <p>A copy of the governing body's authorization for you to sign this application as the official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)</p> |
| <ul style="list-style-type: none"> A. State Government B. County Government C. City or Township Government D. Special District Government E. Regional Organization F. U.S. Territory or Possession G. Independent School District H. Public/State Controlled Institution of Higher Education I. Indian/Native American Tribal Government (Federally Recognized) J. Indian/Native American Tribal Government (Other than Federally Recognized) K. Indian/Native American Tribally Designated Organization L. Public/Indian Housing Authority | <ul style="list-style-type: none"> M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education) N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education) O. Private Institution of Higher Education P. Individual Q. For-Profit Organization (Other than Small Business) R. Small Business S. Hispanic-serving Institution T. Historically Black Colleges and Universities (HBCUs) U. Tribally Controlled Colleges and Universities (TCCUs) V. Alaska Native and Native Hawaiian Serving Institutions W. Non-domestic (non-US) Entity X. Other (specify) | | | |

APPENDIX II. Detailed Budget

APPENDIX 2. Detailed Budget

Table 1: Declaration of FY 2010 Grant Commitments

| | |
|----------------------------|------------------|
| Activity Type | Obligated Amount |
| Homeless Assistance | \$672,239 |
| Homeless Prevention | \$227,000 |
| Administrative Activities | \$47,328 |
| Total FY 2010 Award | 946,567 |
| HUD FY10 Allocation | \$946,567 |
| Uncommitted | \$-0- |
| Source: IDIS | |

Table 3: Detailed Budget:

| First Allocation | 1040658 | FY 2011 | | | |
|------------------------------------|---|---|---------------------|-------------------|------------------------|
| Second Allocation | 585370 | Emergency Shelter Grants/Emergency Solutions Grants | | | |
| Grant Amount | 1626028 | Program Allocations | | | |
| Total Administration | 121952 | | | | |
| | | First Allocation | | Second Allocation | Total Fiscal Year 2011 |
| | Eligible Activities | Activity Amount | Reprogrammed Amount | Activity Amount | Activity Amount |
| Emergency Shelter Grants | Homeless Assistance | | 0 | | |
| | Rehab/Conversion | | 0 | | |
| | Operations | \$503,830 | 0 | | 503,830 |
| | Essential Services | \$222,796 | 0 | | 222,796 |
| | Homelessness Prevention | \$261,999.10 | 0 | | 261,999.10 |
| | Administration | \$52,032.90 | 0 | | 52,032.90 |
| 32.9 | Emergency Shelter Grants Subtotal | \$1,040,658 | 0 | | 1,040,658 |
| Emergency Solutions Grants Program | Emergency Shelter** | | | | |
| | Renovation** | | | | |
| | Operation** | | | | |
| | Essential Services** | | | | |
| | URA Assistance** | | | | |
| | Street Outreach – Essential Services ** | | | | |
| | HMIS | | 0 | \$20,000 | \$20,000 |
| | Rapid Rehousing | | 0 | \$208,296 | \$208,296 |
| | Housing Relocation and Stabilization Services | | 0 | \$68,488 | \$68,488 |
| | Tenant-Based Rental Assistance | | 0 | | |
| | Project-Based Rental Assistance | | 0 | | |
| | Homeless Prevention | | 0 | \$228,294 | \$228,294 |
| | Housing Relocation and Stabilization Services | | 0 | \$45,658 | \$45,658 |
| | Tenant-Based Rental Assistance | | 0 | | |
| | Project-Based Rental Assistance | | 0 | | |
| | Administration | | | 14,634 | \$14,634 |
| | Emergency Solutions Grants Subtotal | | | \$585,370 | \$1,626,028 |
| | | Total Grant Amount: \$1,040,658 + 585,370 = | | | \$1,626,028 |

**Allowable only if the amount obligated for homeless assistance activities using funds from the first allocation is less than the expenditure limit for emergency shelter and street outreach activities (see Section III.B. of this Notice)

| | |
|---|------------------|
| Combined street outreach and emergency shelter expenditures cannot exceed the greater of | \$975,616 |
| 1) 60% of FY11 total ESG grant award | |
| 2) Amt. Of FY10 committed to street outreach and emergency shelter activities | \$672,239 |

| | | |
|--------------------------|---|------------------|
| Round 1- Shelter: | \$726,626 max. amount remaining for shelter/outreach | \$248,990 |
|--------------------------|---|------------------|

APPENDIX III. Certification

ESG Certifications

Each State that seeks funding under the Emergency Solutions Grants Program must provide the following certifications:

Matching Funds – The State will obtain any matching amounts required under 24 CFR 576.201 in a manner so that its subrecipients that are least capable of providing matching amounts receive the benefit of the exception under 24 CFR 576.201(a)(2).

Discharge Policy – The State will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Confidentiality – The State will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

The State will ensure that its subrecipients comply with the following criteria:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

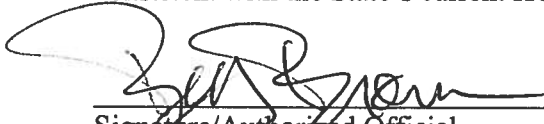
Essential Services and Operating Costs – If ESG funds are used for shelter operations or essential services related to street outreach or emergency shelter, the subrecipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the applicant serves the same type of persons (e.g., families with children, unaccompanied youth, veterans, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The subrecipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for such individuals.

Homeless Persons Involvement – To the maximum extent practicable, the subrecipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted ESG.

Consolidated Plan – All activities the subrecipient undertakes with assistance under ESG are consistent with the State's current HUD-approved consolidated plan.



Signature/Authorized Official

5/15/12

Date

Executive Director

Title