

Colorado Department of Law  
FY 2015-16 Budget Request  
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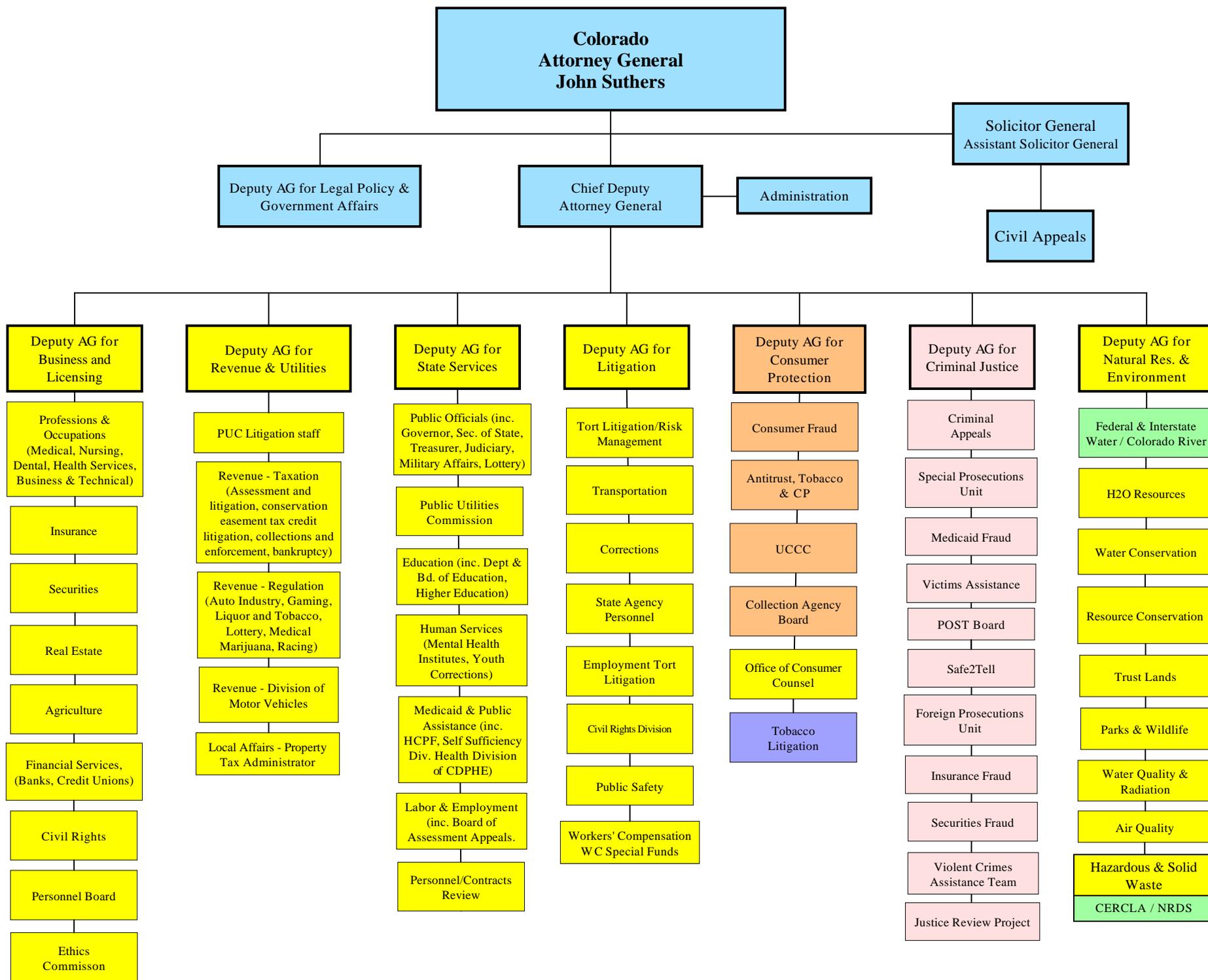
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Long Bill Funding Sources: LSSA=Yellow Criminal Justice & Appellate=Pink Administration=Blue

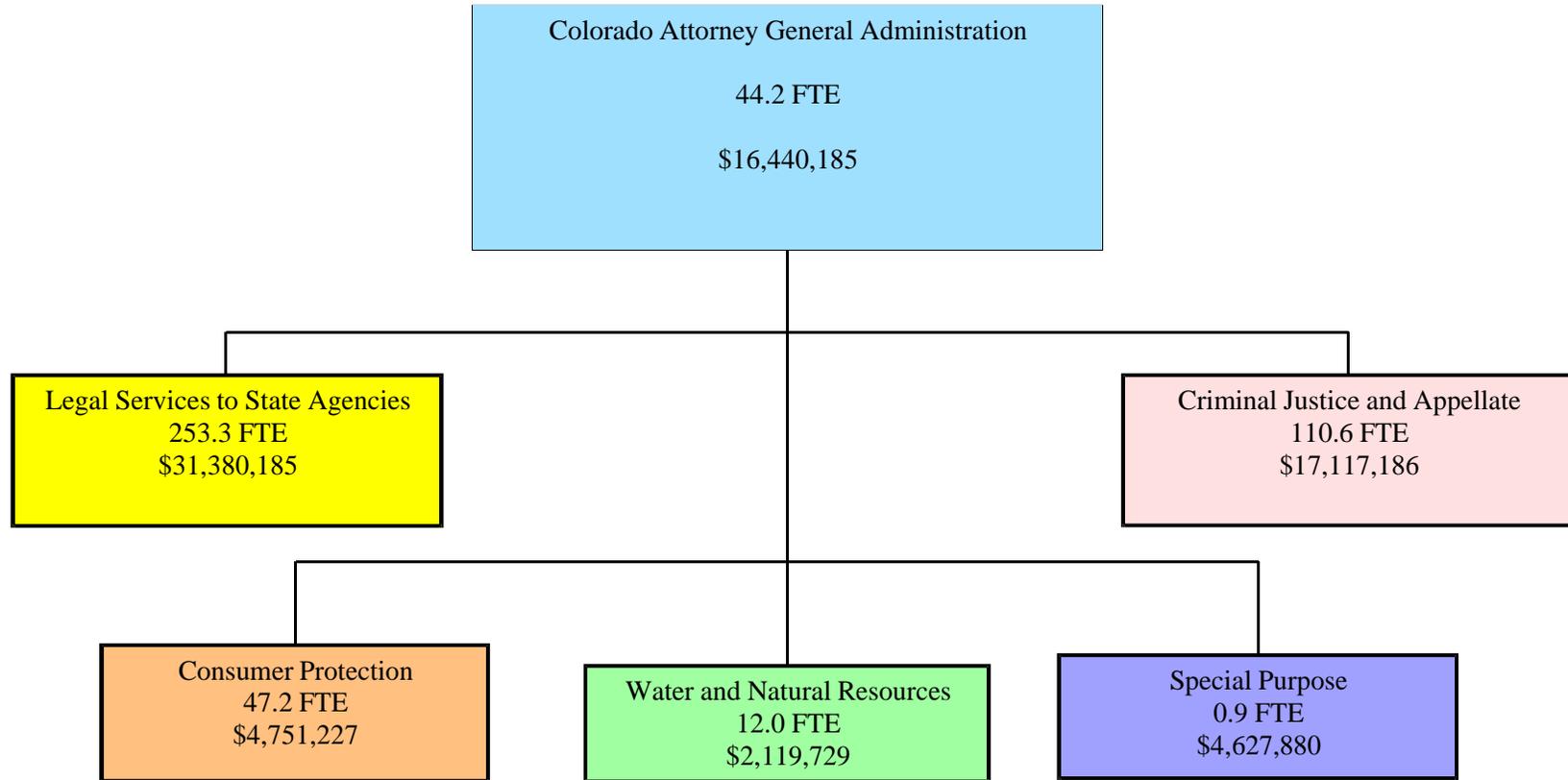
Natural Resources & Water Rights=Light Green

Consumer Protection=Orange

Special Purpose =Purple



Colorado Department of Law  
FY 2015-16 Budget Request



Total Funds: \$76,436,390  
General Funds: \$14,915,403  
Cash Funds: \$15,963,451  
Reappropriated Funds: \$43,776,470  
Federal Funds: \$1,781,067  
FTE: 468.2





# COLORADO DEPARTMENT OF LAW

## A Fact Sheet

**JOHN W. SUTHERS**  
Attorney General

**CYNTHIA H. COFFMAN**  
Chief Deputy Attorney General

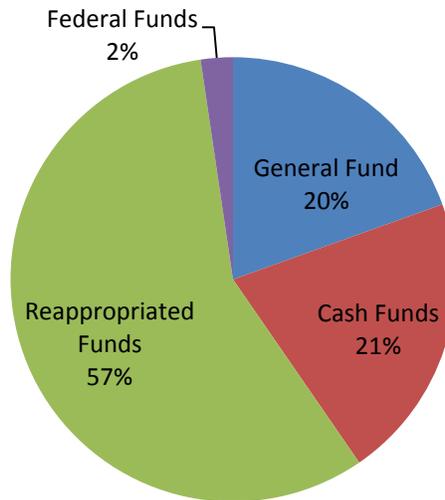
*Department of Law*

*November 2014*

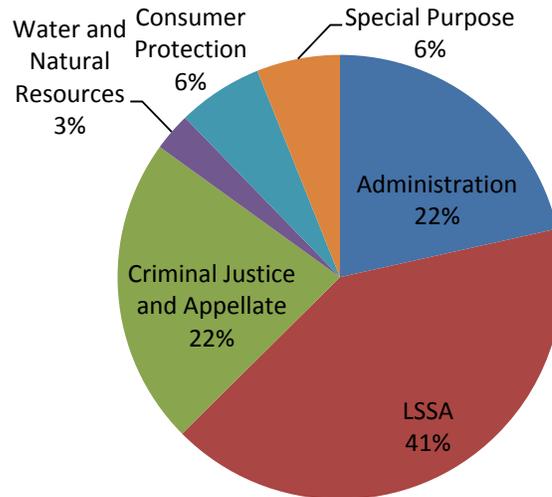
The Department of Law, (often referred to as the Colorado Attorney General's Office), which Attorney General John W. Suthers oversees, represents and defends the legal interests of the people of the State of Colorado and its sovereignty.

<b>FY 2014-15 Appropriation</b>		<b>FY 2015-16 Request</b>	
Total Appropriation	73,980,231	Total Appropriation	\$76,436,390
General Fund		General Fund	
Appropriation	\$13,534,300	Appropriation	\$14,915,403
Total Full Time Equivalent	464.3	Total Full Time Equivalent	468.2
Employees (FTE):		Employees (FTE):	

FY 2015-16 Request by Fund Source



## FY 2015-16 Request by Long Bill Division



### Department in Summary FY 2014-15

The Department of Law defends the legal interests of the people of the State of Colorado in the following program areas:

- Criminal Justice and Appellate – Colorado statutes provide that the Attorney General’s Office has criminal jurisdiction to: 1) To pursue trial level prosecutions of certain offenses, 2) To oversee certification and training of peace officers, and 3) Provide victim services for Department of Law cases. The Appellate Division – Represents the state on defense appeals of all felony convictions before the state appellate courts or the federal courts.
- Legal Services to State Agencies/ Business and Licensing Section – Provides litigation and legal counsel to State professional licensing and occupational regulatory boards, under the Department of Regulatory Agencies. The section also represents the Department of Agriculture and the Independent Ethics Commission.
- Legal Services to State Agencies/ Revenue and Utilities – Provides litigation and legal counsel representing the Department of Revenue in taxation, bankruptcy and regulatory matters involving the racing, lottery, liquor and motor vehicle dealer boards and commissions. Additionally, this section represents the staff of the Colorado Public Utilities Commission in rate cases, company mergers, licensee matters and performance standards for the telecommunications, electric, gas and transportation companies.
- Legal Services to State Agencies/Natural Resources Section – Protects and defends the interests of the State and its citizens in all areas of natural resources and environmental law. It represents and advises state agencies and boards that regulate and oversee the use and conservation of Colorado’s natural resources and the quality of Colorado’s environment.

- Legal Services to State Agencies/State Services Section – The Section’s work protects children and people at risk. It also conserves the state’s fiscal system by reviewing hundreds of state contracts and defending the State against claims typically involving the inadequacy of funding of various programs. The Section also gives legal advice to the statewide elected officials (including the various Governor’s offices),and provides legal advice and counsel to the Public Utilities Commission, and the State Higher Education Institutions.
- Legal Services to State Agencies/Civil Litigation and Employment Law Section – Defends State employees and agencies in State and federal courts. Cases may involve personal injury suits, property damage, or employment discrimination among others. The Section also prosecutes civil rights violations in administrative and state courts and provides employment law legal advice to all state agencies.
- Consumer Protection Section – Protects Colorado consumers against fraud and provides a competitive business environment through enforcement of state and federal consumer protection, charitable solicitations, antitrust, consumer lending, fair debt collection practices, and numerous other consumer protection statutes. The Section also represents the state Office of Consumer Counsel, advocating before the Public Utilities Commission on behalf of residential, small business, and agricultural rate payers.

## **Leading Budget Change Requests for FY 2015-16:**

The FY 2015-16 budget request highlights include the following:

- Decision Item #1 – The Department of Law is requesting 1.8 FTE and \$266,520 in FY 2015-16 annualized to \$264,835 and 2.0 FTE spending authority to support the efforts and workload needs of the Special Prosecution Unit, specifically the Violent Crimes Assistance Team (VCAT). This request is for two experienced Assistant Attorney General with prosecutorial experience to assist this agency in its support of the 22 District Attorney’s and their needs for this specialized expertise.
- Decision Item #2 – The Department of Law is requesting \$109,631 (midrange AAG and associated operating) a dedicated CORA/OML expert to: (a) help DOL and its client agencies handle the increasing number of CORA requests; (b) coordinate responses to mass CORA requests sent to multiple agencies; (c) develop office policy, research and analyze the complex, novel, or unique legal and policy issues implicated by the increasingly sophisticated CORA requests; (d) monitor developments in CORA/OML law, including case law and proposed and enacted legislation; and (e) serve as an educational and training resource for the DOL and its client agencies, which would include providing periodic in-house continuing legal education presentations, monitoring, and responding to queries submitted to the CORA listserv, and develop, managing, and maintaining a ProLaw-style CORA/OML-focused web site on DOL’s intranet.
- Decision Item #3 - The Department of Law is requesting 1.0 FTE and \$80,389 in Tobacco Defense Account spending authority to support the efforts and workload needs to best support 2004 settlement negotiations. This request is for one Legal Assistant II to allow the Department of Law, Department, to better protect Colorado interests with Tobacco Litigation Settlements.
- Decision Item #4 – The Department of Law is requesting \$55,114 for a half time contract administrator within the Administration Section of the agency. This position will handle, contract and purchase order development, contract monitoring and related vendor oversight and programmatic support. This request will allow the Department of Law to better mitigate risk to state resources through dedicated resources and expertise.

- Decision Item #5 The Department of Law is requesting \$167,823 in Total Fund spending authority to support the litigation expenses and annual operating expenses of the Consumer Protection and Antitrust Line Item.

Generally, The Department of Law has had a growing issue with available and appropriate operating dollars for the programmatic needs within this line item. Only a few operating expenses can be housed within the CP line item, leaving no resources within the line item to cover any litigation expenses, instate or out-of-state travel and per diems and other related programmatic expenses. Legitimate operating expenses that cannot be housed in the line item are pushed to the Consumer Protection Custodial. This request is attempting to better align expenses with appropriations and improve transparency.

- The Department’s budget request accommodates for additional spending authority associated with state paid benefits calculations and other centrally appropriated line items.

## **Key Issues and Legislation from 2014:**

- SB 14-123 increases the vehicle registration fee dedicated for P.O.S.T training from \$0.60 per registration to \$1.00 per registration. Additionally, this bill expanded the rule making authority of the P.O.S.T. Board. This bill increases revenues to the fund by roughly \$1.6M and appropriated 1.0 FTE to the program and a part-time employee to the Department’s Administration section. The additional revenues will be primarily used to expand the training needs of the program.
- SB 14-215 Creates the Marijuana Cash Fund to be used for the collection of marijuana retail related taxes. In relation to the P.O.S.T board this bill appropriates roughly \$1.2M to the Board to fund 1.0 FTE and to further expand the training needs of Colorado law enforcement associated with the new law enforcement issues associated with retail marijuana. Additionally, the bill appropriates \$456K to the Special Prosecution Line Item to provide general legal and policy guidance to various state jurisdictions.
- SB 14-002 moved the Safe 2 Tell nonprofit efforts into the Department of Law. This program provides for the anonymous reporting of dangerous and criminal activities in schools,

*For more information about this Department and its programs, please call 303-866-4500.*

## **Links to Additional Fact Sheets and Websites**

Colorado Department of Law                    <http://www.ago.state.co.us/index.cfm>

Colorado No Call Web-site                    <http://www.coloradonocall.com/>

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**Total Reconciliation**

Request/Funding	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2014-15 Appropriation(Long Bill plus Special Bills)	\$73,980,231	464.3	\$13,534,300	\$15,683,936	\$43,013,584	\$1,748,411
FY 2015-16 Base Request	\$75,203,309	464.5	\$14,442,152	\$15,465,939	\$43,518,950	\$1,776,267
FY 2015-16 November Request	\$76,436,392	468.2	\$14,915,402	\$15,963,451	\$43,776,471	\$1,781,067



**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(1) Administration**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>(A) Administration</b>						
<b>Personal Services</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$3,408,314	43.7	\$0	\$0	\$3,408,314	\$0
SB 14-123 POST Board	\$45,985	0.4	\$0	\$45,985	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$3,454,299</b>	<b>44.1</b>	<b>\$0</b>	<b>\$45,985</b>	<b>\$3,408,314</b>	<b>\$0</b>
Salary Survey Classified	\$57,015	0.0	\$0	\$0	\$57,015	\$0
Salary Survey Non Classified	\$6,024	0.0	\$0	\$0	\$6,024	\$0
Merit Pay Classified	\$26,264	0.0	\$0	\$0	\$26,264	\$0
Merit Pay Non Classified	\$6,650	0.0	\$0	\$0	\$6,650	\$0
	\$0	0.0	\$0	\$0	\$0	\$0
Switch CF from POST to Indirect recoveries	\$0	0.1	\$0	(\$45,985)	\$45,985	\$0
<b>FY 15-16 Base Request</b>	<b>\$3,550,252</b>	<b>44.2</b>	<b>\$0</b>	<b>\$0</b>	<b>\$3,550,252</b>	<b>\$0</b>
#4 1/2 FTE Contract Administrator	\$44,167	0.0	\$0	\$0	\$44,167	\$0
	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 2015-16 November 1st Request</b>	<b>\$3,594,419</b>	<b>44.2</b>	<b>\$0</b>	<b>\$0</b>	<b>\$3,594,419</b>	<b>\$0</b>
<b>(B) Health, Life and Dental</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$2,878,006	0.0	\$791,193	\$344,575	\$1,642,380	\$99,858
	\$0		\$0	\$0	\$0	\$0
	\$0		\$0	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$2,878,006</b>		<b>\$791,193</b>	<b>\$344,575</b>	<b>\$1,642,380</b>	<b>\$99,858</b>
Common Policy Adjustment	\$371,516		\$125,693	\$11,976	\$232,616	\$1,231
<b>FY 15-16 Base Request</b>	<b>\$3,249,522</b>	<b>0.0</b>	<b>\$916,886</b>	<b>\$356,551</b>	<b>\$1,874,996</b>	<b>\$101,089</b>
	\$0		-	-	-	-
	\$0		\$0	\$0	\$0	\$0
<b>FY 2015-16 November 1st Request</b>	<b>\$3,249,522</b>	<b>0.0</b>	<b>\$916,886</b>	<b>\$356,551</b>	<b>\$1,874,996</b>	<b>\$101,089</b>
<b>(C) Short-term Disability</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$79,509	0.0	\$20,973	\$9,067	\$47,051	\$2,418
<b>FY 2014-15 Total Appropriation</b>	<b>\$79,509</b>		<b>\$20,973</b>	<b>\$9,067</b>	<b>\$47,051</b>	<b>\$2,418</b>
Common Policy Adjustment	\$4,866	0.0	\$2,080	(\$456)	\$3,194	\$48
<b>FY 15-16 Base Request</b>	<b>\$84,375</b>	<b>0.0</b>	<b>23,053</b>	<b>\$8,611</b>	<b>\$50,245</b>	<b>\$2,466</b>
	\$0	0.0	-	-	-	-
	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 2015-16 November 1st Request</b>	<b>\$84,375</b>	<b>0.0</b>	<b>\$23,053</b>	<b>\$8,611</b>	<b>\$50,245</b>	<b>\$2,466</b>

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(1) Administration**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>(D) SB 04-257 Amortization Equalization Distribution</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$1,445,612	0.0	\$381,335	\$164,849	\$855,466	\$43,962
	\$0		\$0	\$0		
<b>FY 2014-15 Total Appropriation</b>	<b>\$1,445,612</b>		<b>\$381,335</b>	<b>\$164,849</b>	<b>\$855,466</b>	<b>\$43,962</b>
Common Policy Adjustment	\$241,889	0.0	\$79,732	\$7,372	\$149,434	\$5,351
<b>FY 15-16 Base Request</b>	<b>\$1,687,501</b>	<b>0.0</b>	<b>\$461,067</b>	<b>\$172,221</b>	<b>\$1,004,900</b>	<b>\$49,313</b>
			-	-	-	-
			-	-	-	-
<b>FY 2015-16 November 1st Request</b>	<b>\$1,687,501</b>	<b>0.0</b>	<b>\$461,067</b>	<b>\$172,221</b>	<b>\$1,004,900</b>	<b>\$49,313</b>
<b>(E) SB 06-235 Supplemental Amortization Equalization Distribution</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$1,355,263	0.0	\$357,502	\$154,546	\$802,000	\$41,215
	\$0		\$0	\$0		
<b>FY 2014-15 Total Appropriation</b>	<b>\$1,355,263</b>		<b>\$357,502</b>	<b>\$154,546</b>	<b>\$802,000</b>	<b>\$41,215</b>
Common Policy Adjustment	\$274,709	0.0	\$87,846	\$11,804	\$168,642	\$6,417
<b>FY 15-16 Base Request</b>	<b>\$1,629,972</b>	<b>0.0</b>	<b>\$445,348</b>	<b>\$166,350</b>	<b>\$970,642</b>	<b>\$47,632</b>
				-	-	-
				-	-	-
<b>FY 2015-16 November 1st Request</b>	<b>\$1,629,972</b>	<b>0.0</b>	<b>\$445,348</b>	<b>\$166,350</b>	<b>\$970,642</b>	<b>\$47,632</b>
<b>(F) Salary Survey for Classified Employees</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$295,496	0.0	\$91,353	\$74,976	\$106,793	\$22,374
	\$0		\$0	\$0		
<b>FY 2014-15 Total Appropriation</b>	<b>\$295,496</b>		<b>\$91,353</b>	<b>\$74,976</b>	<b>\$106,793</b>	<b>\$22,374</b>
Common Policy Adjustment	<b>(\$175,846)</b>		<b>(\$50,630)</b>	<b>(\$44,222)</b>	<b>(\$67,896)</b>	<b>(\$13,098)</b>
<b>FY 15-16 Base Request</b>	<b>\$119,650</b>	<b>0.0</b>	<b>\$40,723</b>	<b>\$30,754</b>	<b>\$38,897</b>	<b>\$9,276</b>
<b>FY 2015-16 November 1st Request</b>	<b>\$119,650</b>	<b>0.0</b>	<b>\$40,723</b>	<b>\$30,754</b>	<b>\$38,897</b>	<b>\$9,276</b>
<b>(G) Salary Survey for Exempt Employees</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$358,827	0.0	\$83,586	\$19,197	\$252,482	\$3,562
	\$0		\$0	\$0		
<b>FY 2014-15 Total Appropriation</b>	<b>\$358,827</b>		<b>\$83,586</b>	<b>\$19,197</b>	<b>\$252,482</b>	<b>\$3,562</b>
Common Policy Adjustment	<b>\$606,491</b>		<b>\$152,288</b>	<b>\$19,793</b>	<b>\$428,281</b>	<b>\$6,129</b>
<b>FY 15-16 Base Request</b>	<b>\$965,318</b>	<b>0.0</b>	<b>\$235,874</b>	<b>\$38,990</b>	<b>\$680,763</b>	<b>\$9,691</b>

# DEPARTMENT OF LAW

## FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST

### (1) Administration

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 2015-16 November 1st Request</b>	<b>\$965,318</b>	<b>0.0</b>	<b>\$235,874</b>	<b>\$38,990</b>	<b>\$680,763</b>	<b>\$9,691</b>
<b>(H) Merit Pay for Classified Employees</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$104,360	0.0	\$36,984	\$22,483	\$36,301	\$8,592
<b>FY 2014-15 Total Appropriation</b>	<b>\$104,360</b>		<b>\$36,984</b>	<b>\$22,483</b>	<b>\$36,301</b>	<b>\$8,592</b>
Common Policy Adjustment	\$10,471		\$395	\$7,362	\$3,690	(\$977)
<b>FY 15-16 Base Request</b>	<b>\$114,831</b>	<b>0.0</b>	<b>\$37,379</b>	<b>\$29,845</b>	<b>\$39,991</b>	<b>\$7,615</b>
<b>FY 2015-16 November 1st Request</b>	<b>\$114,831</b>	<b>0.0</b>	<b>\$37,379</b>	<b>\$29,845</b>	<b>\$39,991</b>	<b>\$7,615</b>
<b>(I) Merit Pay Awards for Non Classified Employees</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$263,836	0.0	\$62,917	\$11,284	\$186,740	\$2,895
			\$0	\$0		
<b>FY 2014-15 Total Appropriation</b>	<b>\$263,836</b>		<b>\$62,917</b>	<b>\$11,284</b>	<b>\$186,740</b>	<b>\$2,895</b>
Common Policy Adjustment	\$31,423		\$8,237	\$446	\$22,597	\$144
<b>FY 15-16 Base Request</b>	<b>\$295,259</b>	<b>0.0</b>	<b>\$71,154</b>	<b>\$11,730</b>	<b>\$209,337</b>	<b>\$3,039</b>
<b>FY 2015-16 November 1st Request</b>	<b>\$295,259</b>	<b>0.0</b>	<b>\$71,154</b>	<b>\$11,730</b>	<b>\$209,337</b>	<b>\$3,039</b>
<b>(J) Continuing Legal Education</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$126,351	0.0	\$30,524	\$4,698	\$90,060	\$1,069
	\$0		\$0	\$0		
<b>FY 2014-15 Total Appropriation</b>	<b>\$126,351</b>		<b>\$30,524</b>	<b>\$4,698</b>	<b>\$90,060</b>	<b>\$1,069</b>
<b>FY 15-16 Base Request</b>	<b>\$126,351</b>	<b>0.0</b>	<b>\$30,524</b>	<b>\$4,698</b>	<b>\$90,060</b>	<b>\$1,069</b>
Attorney Registration and CLE Adjustment	\$3,562	0.0	\$517	(\$423)	\$3,468	\$0
<b>FY 2015-16 November 1st Request</b>	<b>\$129,913</b>	<b>0.0</b>	<b>\$31,041</b>	<b>\$4,275</b>	<b>\$93,528</b>	<b>\$1,069</b>
<b>(K) Workers' Compensation</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$104,477	0.0	\$28,278	\$12,196	\$61,053	\$2,950
	\$0		\$0	\$0		
<b>FY 2014-15 Total Appropriation</b>	<b>\$104,477</b>		<b>\$28,278</b>	<b>\$12,196</b>	<b>\$61,053</b>	<b>\$2,950</b>
DPA Common Policy Adjustment	(\$21,474)	0.0	(\$5,288)	(\$2,500)	(\$13,038)	(\$648)
<b>FY 15-16 Base Request</b>	<b>\$83,003</b>	<b>0.0</b>	<b>\$22,990</b>	<b>\$9,696</b>	<b>\$48,015</b>	<b>\$2,302</b>
	\$0					

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(1) Administration**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>FY 2015-16 November 1st Request</b>	<b>\$83,003</b>	<b>0.0</b>	<b>\$22,990</b>	<b>\$9,696</b>	<b>\$48,015</b>	<b>\$2,302</b>
<b>(L) Operating Expenses</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$197,242	0.0	\$0	\$0	\$197,242	\$0
SB 123 POST Board	\$950	0.0	\$0	\$950	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$198,192</b>	<b>0.0</b>	<b>\$0</b>	<b>\$950</b>	<b>\$197,242</b>	<b>\$0</b>
Annualization of FY 15 Database Administrator DI	(\$4,703)	0.0	\$0	\$0	(\$4,703)	\$0
Switch Funding for POST Board SB 14-123				(\$950)	\$950	
<b>FY 15-16 Base Request</b>	<b>\$193,489</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$193,489</b>	<b>\$0</b>
#4 1/2 FTE Contract Administrator	\$10,947	0.0	\$0	\$0	\$10,947	\$0
<b>FY 2015-16 November 1st Request</b>	<b>\$204,436</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$204,436</b>	<b>\$0</b>
<b>(M) Administrative Law Judges</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$29,302	0.0	\$0	\$29,302	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$29,302</b>	<b>0.0</b>	<b>\$0</b>	<b>\$29,302</b>	<b>\$0</b>	<b>\$0</b>
DPA Common Policy Adjustment	(\$22,524)		\$0	(\$22,524)	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$6,778</b>	<b>0.0</b>	<b>\$0</b>	<b>\$6,778</b>	<b>\$0</b>	<b>\$0</b>
<b>FY 2015-16 November 1st Request</b>	<b>\$6,778</b>	<b>0.0</b>	<b>\$0</b>	<b>\$6,778</b>	<b>\$0</b>	<b>\$0</b>
<b>(N) Payment to Risk Management</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$153,905	0.0	\$0	\$0	\$153,905	\$0
	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$153,905</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$153,905</b>	<b>\$0</b>
DPA Common Policy Adjustment	(\$37,465)	0.0	\$32,251	\$13,599	(\$86,544)	\$3,229
<b>FY 15-16 Base Request</b>	<b>\$116,440</b>	<b>0.0</b>	<b>\$32,251</b>	<b>\$13,599</b>	<b>\$67,361</b>	<b>\$3,229</b>
	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 2015-16 November 1st Request</b>	<b>\$116,440</b>	<b>0.0</b>	<b>\$32,251</b>	<b>\$13,599</b>	<b>\$67,361</b>	<b>\$3,229</b>
<b>(O) Vehicle Lease Payments</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$55,970	0.0	\$15,012	\$17,097	\$21,382	\$2,479
SB 14-002 Safe2Tell	\$2,618	0	\$2,618	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$58,588</b>	<b>0.0</b>	<b>\$17,630</b>	<b>\$17,097</b>	<b>\$21,382</b>	<b>\$2,479</b>

# DEPARTMENT OF LAW

## FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST

### (1) Administration

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
DPA Common Policy Adjustment	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$58,588</b>	<b>0.0</b>	<b>\$17,630</b>	<b>\$17,097</b>	<b>\$21,382</b>	<b>\$2,479</b>
Fleet DI	\$12,694	0.0	\$17,039	(\$9,842)	\$2,198	\$3,299
<b>FY 2015-16 November 1st Request</b>	<b>\$71,282</b>	<b>0.0</b>	<b>\$34,669</b>	<b>\$7,255</b>	<b>\$23,580</b>	<b>\$5,778</b>
<b>(P) Information Technology Asset Maintenance</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$645,206	0.0	\$174,663	\$75,291	\$377,036	\$18,216
			\$0	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$645,206</b>	<b>0.0</b>	<b>\$174,663</b>	<b>\$75,291</b>	<b>\$377,036</b>	<b>\$18,216</b>
<b>FY 15-16 Base Request</b>	<b>\$645,206</b>	<b>0.0</b>	<b>\$174,663</b>	<b>\$75,291</b>	<b>\$377,036</b>	<b>\$18,216</b>
	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 2015-16 November 1st Request</b>	<b>\$645,206</b>	<b>0.0</b>	<b>\$174,663</b>	<b>\$75,291</b>	<b>\$377,036</b>	<b>\$18,216</b>
<b>(Q) Ralph L. Carr Colorado Judicial Center Lease Space</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$2,981,368	0.0	\$804,128	\$348,331	\$1,743,005	\$85,904
<b>FY 2014-15 Total Appropriation</b>	<b>\$2,981,368</b>	<b>0.0</b>	<b>\$804,128</b>	<b>\$348,331</b>	<b>\$1,743,005</b>	<b>\$85,904</b>
Common Policy Adjustment	\$52,870	0.0	\$36,260	\$6,037	\$12,339	(\$1,766)
<b>FY 15-16 Base Request</b>	<b>\$3,034,238</b>	<b>0.0</b>	<b>\$840,388</b>	<b>\$354,368</b>	<b>\$1,755,344</b>	<b>\$84,138</b>
					\$0	
<b>FY 2015-16 November 1st Request</b>	<b>\$3,034,238</b>	<b>0.0</b>	<b>\$840,388</b>	<b>\$354,368</b>	<b>\$1,755,344</b>	<b>\$84,138</b>
<b>(R) Payments to OIT</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$335,787	0.0	\$91,127	\$39,385	\$195,415	\$9,860
<b>FY 2014-15 Total Appropriation</b>	<b>\$335,787</b>	<b>0.0</b>	<b>\$91,127</b>	<b>\$39,385</b>	<b>\$195,415</b>	<b>\$9,860</b>
DPA Common Policy Adjustment	\$13,116		\$5,507	\$1,364	\$6,430	(\$185)
<b>FY 15-16 Base Request</b>	<b>\$348,903</b>	<b>0.0</b>	<b>\$96,634</b>	<b>\$40,749</b>	<b>\$201,845</b>	<b>\$9,675</b>
	\$0	0.0	\$0	\$0	\$0	\$0
	\$0		\$0		\$0	
<b>FY 2015-16 November 1st Request</b>	<b>\$348,903</b>	<b>0.0</b>	<b>\$96,634</b>	<b>\$40,749</b>	<b>\$201,845</b>	<b>\$9,675</b>
<b>(S) COFRS Modernization</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$47,570	0.0	\$0	\$0	\$46,431	\$1,139

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(1) Administration**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>FY 2014-15 Total Appropriation</b>	<b>\$47,570</b>	0.0	<b>\$0</b>	<b>\$0</b>	<b>\$46,431</b>	<b>\$1,139</b>
<b>COFRS Modernization Refinance</b>	<b>\$0</b>	0.0	<b>\$0</b>	<b>\$0</b>	<b>\$1,139</b>	<b>(\$1,139)</b>
<b>FY 15-16 Base Request</b>	<b>\$47,570</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$47,570</b>	<b>\$0</b>
COFRS Modernization Direct Finance	\$6,570	0.0	\$14,996	\$6,323	(\$16,250)	\$1,501
<b>FY 15-16 Base Request</b>	<b>\$54,140</b>	<b>0.0</b>	<b>\$14,996</b>	<b>\$6,323</b>	<b>\$31,320</b>	<b>\$1,501</b>
<b>(Y) Attorney General Discretionary Fund</b>						
FY 2014-15 Long Bill Appropriation (HB 14 1336)	\$5,000	0.0	\$5,000	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$5,000</b>	0.0	<b>\$5,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FY 15-16 Base Request</b>	<b>\$5,000</b>	<b>0.0</b>	<b>\$5,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		0.0				
<b>FY 15-16 Base Request</b>	<b>\$5,000</b>	<b>0.0</b>	<b>\$5,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>(1) Administration</b>						
<b>FY 2014-15 Appropriation(Long Bill plus Special Bills)</b>	<b>\$14,920,954</b>	<b>44.1</b>	<b>\$2,977,193</b>	<b>\$1,374,212</b>	<b>\$10,223,056</b>	<b>\$346,493</b>
<b>FY 2015-16 Base Request</b>	<b>\$16,362,246</b>	<b>44.2</b>	<b>\$3,451,564</b>	<b>\$1,337,328</b>	<b>\$11,222,125</b>	<b>\$351,230</b>
<b>FY 2015-16 November Request</b>	<b>\$16,440,186</b>	<b>44.2</b>	<b>\$3,484,116</b>	<b>\$1,333,386</b>	<b>\$11,266,654</b>	<b>\$356,030</b>

# DEPARTMENT OF LAW

## FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST

### (2) Legal Services to State Agencies

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Legal Services to State Agencies</b>						
<b>Personal Services</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$25,645,486	251.0	\$0	\$0	\$25,645,486	\$0
SB 14-188 Species Conservation Trust Fund Project List	\$147,550	1.0	\$0	\$0	\$147,550	\$0
SB 14-172 Work Event	\$182		\$0	\$0	\$182	\$0
SB 14-133 Regulation of Private Investigators	\$8,151		\$0	\$0	\$8,151	\$0
SB 14-125 Regulation of Transport Network Companies	\$8,197	0.1	\$0	\$0	\$8,197	\$0
SB 14-099 Provisional Physical Therapist Licenses	\$16,394	0.1	\$0	\$0	\$16,394	\$0
SB 14-029 Paint Stewardship Program	\$8,197		\$0	\$0	\$8,197	\$0
SB 14-005 Alternative Administrative Remedies-Wage Claims	\$20,903	0.1	\$0	\$0	\$20,903	\$0
HB 14-1398 Authorize Marijuana Financial Service Coops	\$13,116	0.1	\$0	\$0	\$13,116	\$0
HB 14-1380 Colorado Coroners Standards and Training	\$3,279		\$0	\$0	\$3,279	\$0
HB 14-1331 Regulation of Basic Local Exchange Services	\$95,088	0.6	\$0	\$0	\$95,088	\$0
HB 14-1329 Deregulate Internet Protocol Emerging Tech	\$16,394	0.1	\$0	\$0	\$16,394	\$0
HB 14-1328 Connect CO Broadband Act	\$50,167	0.4	\$0	\$24,592	\$25,575	\$0
HB 14-1319 Outcomes Based Funding Model for Higher Ed	\$16,394	0.1	\$0	\$0	\$16,394	\$0
HB 14-1227 Sunset Review of State Dental Board	\$51,233	0.3	\$0	\$0	\$51,233	\$0
HB 14-1202 Concerning Study of Accountability Requirements	\$18,000	0.1	\$0	\$0	\$18,000	\$0
HB 14-1199 Change to the Regulation of Consumer Goods	\$3,279		\$0	\$0	\$3,279	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$26,122,010</b>	<b>254.0</b>	<b>\$0</b>	<b>\$24,592</b>	<b>\$26,097,418</b>	<b>\$0</b>
SB 14-172 Work Event	\$0		\$0	\$0	\$0	\$0
SB 14-125 Regulation of Transport Network Companies	\$32,789	0.3	\$0	\$0	\$32,789	\$0
SB 14-099 Provisional Physical Therapist Licenses	(\$5,738)		\$0	\$0	(\$5,738)	\$0
SB 14-005 Alternative Administrative Remedies-Wage Claims	\$20,903	0.1	\$0	\$0	\$20,903	\$0
HB 14-1398 Authorize Marijuana Financial Service Coops	\$3,279		\$0	\$0	\$3,279	\$0
HB 14-1331 Regulation of Basic Local Exchange Services	(\$95,088)	(0.6)	\$0	\$0	(\$95,088)	\$0
HB 14-1329 Deregulate Internet Protocol Emerging Tech	(\$16,394)	(0.1)	\$0	\$0	(\$16,394)	\$0
HB 14-1328 Connect CO Broadband Act	(\$24,592)	(0.2)	\$0	(\$24,592)	\$0	\$0

# DEPARTMENT OF LAW

## FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST

### (2) Legal Services to State Agencies

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
HB 14-1319 Outcomes Based Funding Model for Higher Ed	(\$16,394)	(0.1)	\$0	\$0	(\$16,394)	\$0
HB 14-1227 Sunset Review of State Dental Board	(\$8,197)		\$0	\$0	(\$8,197)	\$0
HB 14-1202 Concerning Study of Accountability Requiremen	(\$18,000)	(0.1)	\$0	\$0	(\$18,000)	\$0
HB 14-1199 Change to the Regulation of Consumer Goods	\$0		\$0	\$0	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$25,994,578</b>	<b>253.3</b>	<b>\$0</b>	<b>\$0</b>	<b>\$25,994,578</b>	<b>\$0</b>
Salary Survey Classified	\$89,114		\$0	\$0	89,114	\$0
Salary Survey Non Classified	\$246,427		\$0	\$0	246,427	\$0
Merit Pay Classified	\$28,215		\$0	\$0	\$28,215	\$0
Merit Pay Non Classified	\$180,925		\$0	\$0	\$180,925	\$0
Merit Pay Non Base Building	(\$10,001)		\$0	\$0	(\$10,001)	\$0
	\$0		\$0	\$0	\$0	\$0
<b>FY 15-16 November 1 Request</b>	<b>\$26,529,258</b>	<b>253.3</b>	<b>\$0</b>	<b>\$0</b>	<b>\$26,529,258</b>	<b>\$0</b>
<b>Operating Expenses</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$1,788,002	0.0	\$0	\$0	\$1,788,002	\$0
SB 14-188 Species Conservation Trust Fund Project List	\$16,394		\$0	\$0	\$16,394	\$0
SB 14-133 Regulation of Private Investigators	\$906		\$0	\$0	\$906	\$0
SB 14-125 Regulation of Transport Network Companies	\$911		\$0	\$0	\$911	\$0
SB 14-099 Provisional Physical Therapist Licenses	\$1,822		\$0	\$0	\$1,822	\$0
SB 14-029 Paint Stewardship Program	\$911		\$0	\$0	\$911	\$0
SB 14-005 Alternative Administrative Remedies-Wage Claims	\$2,322		\$0	\$0	\$2,322	\$0
HB 14-1398 Authorize Marijuana Financial Service Coops	\$1,457		\$0	\$0	\$1,457	\$0
HB 14-1380 Colorado Coroners Standards and Training	\$364		\$0	\$0	\$364	\$0
HB 14-1331 Regulation of Basic Local Exchange Services	\$10,565		\$0	\$0	\$10,565	\$0
HB 14-1329 Deregulate Internet Protocol Emerging Tech	\$1,822		\$0	\$0	\$1,822	\$0
HB 14-1328 Connect CO Broadband Act	\$5,574		\$0	\$2,732	\$2,842	\$0
HB 14-1319 Outcomes Based Funding Model for HE	\$1,822		\$0	\$0	\$1,822	\$0

# DEPARTMENT OF LAW

## FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST

### (2) Legal Services to State Agencies

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
HB 14-1227 Sunset Review of State Dental Board	\$5,692		\$0	\$0	\$5,692	\$0
HB 14-1202 Concerning Study of Accountability Requirements	\$2,000	-	\$0	\$0	\$2,000	\$0
HB 14-1199 Change to the Regulation of Consumer Goods	\$364	-	\$0	\$0	\$364	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$1,840,928</b>	<b>0.0</b>	<b>\$0</b>	<b>\$2,732</b>	<b>\$1,838,196</b>	<b>\$0</b>
SB 14-125 Regulation of Transport Network Companies	\$3,643		\$0	\$0	\$3,643	\$0
SB 14-099 Provisional Physical Therapist Licenses	(\$638)		\$0	\$0	(\$638)	\$0
SB 14-005 Alternative Administrative Remedies-Wage Claims	\$2,323		\$0	\$0	\$2,323	\$0
HB 14-1398 Authorize Marijuana Financial Service Coops	\$364		\$0	\$0	\$364	\$0
HB 14-1331 Regulation of Basic Local Exchange Services	(\$10,565)		\$0	\$0	(\$10,565)	\$0
HB 14-1329 Deregulate Internet Protocol Emerging Tech	(\$1,822)		\$0	\$0	(\$1,822)	\$0
HB 14-1328 Connect CO Broadband Act	(\$2,732)	-	\$0	(\$2,732)	\$0	\$0
HB 14-1319 Outcomes Based Funding Model for HE	(\$1,822)	-	\$0	\$0	(\$1,822)	\$0
HB 14-1227 Sunset Review of State Dental Board	(\$911)	-	\$0	\$0	(\$911)	\$0
HB 14-1202 Concerning Study of Accountability Requirements	(\$2,000)	-	\$0	\$0	(\$2,000)	\$0
HB 14-1199 Change to the Regulation of Consumer Goods	\$0	-	\$0	\$0	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$1,826,768</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,826,768</b>	<b>\$0</b>
	\$0					
	\$0					
	\$0					
<b>FY 15-16 November 1 Request</b>	<b>\$1,826,768</b>		<b>\$0</b>	<b>\$0</b>	<b>\$1,826,768</b>	<b>\$0</b>
<b>Indirect Costs</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$3,211,050	0.0	\$0	\$848,945	\$2,362,105	\$0
	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$3,211,050</b>	<b>\$0</b>	<b>\$0</b>	<b>\$848,945</b>	<b>\$2,362,105</b>	<b>\$0</b>

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(2) Legal Services to State Agencies**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>New Indirect Calculation</b>	<b>(\$186,892)</b>				<b>(\$186,892)</b>	
<b>FY 15-16 Base Request</b>	<b>\$3,024,158</b>	<b>0.0</b>	<b>\$0</b>	<b>\$848,945</b>	<b>\$2,175,213</b>	<b>\$0</b>
<b>Indirect Fund Adjustment</b>	<b>\$0</b>			<b>\$337,154</b>	<b>(\$337,154)</b>	
<b>FY 15-16 November 1 Request</b>	<b>\$3,024,158</b>	<b>0.0</b>	<b>\$0</b>	<b>\$1,186,099</b>	<b>\$1,838,059</b>	<b>\$0</b>
<b>(2) Legal Services to State Agencies</b>						
<b>FY 2014-15 Appropriation(Long Bill plus Special Bills)</b>	<b>\$31,173,988</b>	<b>254.0</b>	<b>\$0</b>	<b>\$876,269</b>	<b>\$30,297,719</b>	<b>\$0</b>
<b>FY 15-16 Base Request</b>	<b>\$30,845,504</b>	<b>253.3</b>	<b>\$0</b>	<b>\$848,945</b>	<b>\$29,996,559</b>	<b>\$0</b>
<b>FY 2015-16 November 1 Request</b>	<b>\$31,380,184</b>	<b>253.3</b>	<b>\$0</b>	<b>\$1,186,099</b>	<b>\$30,194,085</b>	<b>\$0</b>

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(3) Criminal Justice and Appellate**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>(A) Special Prosecution Unit</b>						
<b>Special Prosecution Unit</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$3,659,196	35.8	\$1,832,354	\$1,162,763	\$664,079	\$0
SB 14-215	\$456,760	2.0	\$0	\$456,760	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$4,115,956</b>	<b>37.8</b>	<b>\$1,832,354</b>	<b>\$1,619,523</b>	<b>\$664,079</b>	<b>\$0</b>
Salary Survey Classified	\$39,870	0.0	\$13,294	\$16,277	\$10,299	\$0
Salary Survey Non Classified	\$27,672	0.0	\$10,806	\$12,067	\$4,799	
Merit Pay Classified	\$18,518	0.0	\$4,705	\$6,499	\$7,314	
Merit Pay Non Classified	\$21,996	0.0	\$10,271	\$6,754	\$4,971	\$0
Annualization of SB 14-215	(\$19,994)	0.0	\$0	(\$19,994)	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$4,204,018</b>	<b>37.8</b>	<b>\$1,871,430</b>	<b>\$1,641,126</b>	<b>\$691,462</b>	<b>\$0</b>
DI#1 Violent Crimes Assistance Team FTE	\$266,520	1.8	\$266,520	\$0	\$0	
<b>FY 2015-16 November 1 Request</b>	<b>\$4,470,538</b>	<b>39.6</b>	<b>\$2,137,950</b>	<b>\$1,641,126</b>	<b>\$691,462</b>	<b>\$0</b>
<b>(B) Auto Theft Prevention Grant</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$301,569	2.5	\$0	\$0	\$301,569	\$0
Additional Grant Award from Public Safety	(\$24,903)	(0.5)	\$0	\$0	(\$24,903)	
<b>FY 2014-15 Total Appropriation</b>	<b>\$276,666</b>	<b>2.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$276,666</b>	<b>\$0</b>
Estimated Modification to annual award Prior Year Merit Pay (minus one time bonuses)	<b>\$10,000</b>		<b>\$0</b>		\$10,000	
<b>FY 15-16 Base Request</b>	<b>\$286,666</b>	<b>2.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$286,666</b>	<b>\$0</b>
<b>FY 2015-16 November 1 Request</b>	<b>\$286,666</b>	<b>2.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$286,666</b>	<b>\$0</b>

# DEPARTMENT OF LAW

## FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST

### (3) Criminal Justice and Appellate

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>(C) Appellate Unit</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$3,697,461	38.9	\$3,209,853	\$0	\$487,608	\$0
Additional VALE funding from Pubic Safety	\$3,803		\$0	\$0	\$3,803	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$3,701,264</b>	<b>38.9</b>	<b>\$3,209,853</b>	<b>\$0</b>	<b>\$491,411</b>	<b>\$0</b>
Annualization of FY 15 Appellate Decision Item	(\$1,753)	0.1	(\$1,753)	\$0	\$0	\$0
New Indirect Calculation refinance adjustment	\$0		0	0	0	\$0
Salary Survey Classified	\$11,052		\$11,052	\$0	\$0	\$0
Salary Survey Non Classified	\$39,806		\$39,806	\$0	\$0	\$0
Merit Pay Classified	\$3,065		\$3,065	\$0	\$0	\$0
Merit Pay Non Classified	\$30,845		\$30,845	\$0	\$0	\$0
Prior Year Merit Pay one time bonuses)	(\$1,518)		(\$1,518)	\$0	\$0	\$0
Modification to Indirect Recoveries	\$0		\$229,929	\$0	(\$229,929)	\$0
<b>FY 15-16 Base Request</b>	<b>\$3,782,761</b>	<b>39.0</b>	<b>\$3,521,279</b>	<b>\$0</b>	<b>\$261,482</b>	<b>\$0</b>
	\$0					
	\$0					
<b>FY 2015-16 November 1 Request</b>	<b>\$3,782,761</b>	<b>39.0</b>	<b>\$3,521,279</b>	<b>\$0</b>	<b>\$261,482</b>	<b>\$0</b>
<b>(D) Medicaid Fraud Unit</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$1,648,189	17.0	\$412,045	\$0	\$0	\$1,236,144
	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$1,648,189</b>	<b>17.0</b>	<b>\$412,045</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,236,144</b>
Salary Survey Classified	\$29,830		\$7,456	\$0	\$0	\$22,374
Salary Survey Non Classified	\$4,749		\$1,187	\$0	\$0	\$3,562
Merit Pay Classified	\$11,456		\$2,864	\$0	\$0	\$8,592
Merit Pay Non Classified	\$3,860		\$965	\$0	\$0	\$2,895
Prior Year Merit-based Pay minus one time bonus	(\$207)	0.0	(\$52)	\$0	\$0	(\$155)
<b>FY 15-16 Base Request</b>	<b>\$1,697,877</b>	<b>17.0</b>	<b>\$424,465</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,273,412</b>
	\$0		\$0	\$0	\$0	\$0
<b>FY 2015-16 November 1 Request</b>	<b>\$1,697,877</b>	<b>17.0</b>	<b>\$424,465</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,273,412</b>

# DEPARTMENT OF LAW

## FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST

### (3) Criminal Justice and Appellate

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>(E) Peace Officers Standard Training Board</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$3,073,274	7.0	\$0	\$3,073,274	\$0	\$0
SB 15-123 POST Board	\$1,565,532	1.0	\$0	\$1,565,532		
SB 14-215 Moneys Collected by the State for Legal Marijuana industry	\$1,168,000	1.0	\$0	\$1,168,000		
	\$0		\$0	\$0		
<b>FY 2014-15 Total Appropriation</b>	<b>\$5,806,806</b>	<b>9.0</b>	<b>\$0</b>	<b>\$5,806,806</b>	<b>\$0</b>	<b>\$0</b>
FY 15-123 Annualization of Revenue	\$189,277			\$189,277		
Salary Survey	\$0		\$0	\$0		
Classified Merit Pay	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$5,996,083</b>	<b>9.0</b>	<b>\$0</b>	<b>\$5,996,083</b>	<b>\$0</b>	<b>\$0</b>
	\$0			\$0		
<b>FY 2015-16 November 1 Request</b>	<b>\$5,996,083</b>	<b>9.0</b>	<b>\$0</b>	<b>\$5,996,083</b>	<b>\$0</b>	<b>\$0</b>
<b>(F) Safe2Tell</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$107,471	1.0	\$107,471	\$0	\$0	\$0
SB 14-002 Safe2Tell	\$279,334	2.5	\$264,334	\$15,000		
<b>FY 2014-15 Total Appropriation</b>	<b>\$386,805</b>	<b>3.5</b>	<b>\$371,805</b>	<b>\$15,000</b>	<b>\$0</b>	<b>\$0</b>
<b>Annualization of SB 14-002</b>	\$9,195	0.5	\$9,195			
Salary Survey Classified	\$2,536		\$2,536	\$0	\$0	\$0
Merit Pay Classified	\$0		\$0	\$0	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$398,536</b>	<b>4.0</b>	<b>\$383,536</b>	<b>\$15,000</b>	<b>\$0</b>	<b>\$0</b>
<b>FY 2015-16 November 1 Request</b>	<b>\$398,536</b>	<b>4.0</b>	<b>\$383,536</b>	<b>\$15,000</b>	<b>\$0</b>	<b>\$0</b>
<b>(G) Indirect Cost Assessment</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$503,848	0.0	\$0	\$257,145	\$80,929	\$165,774

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(3) Criminal Justice and Appellate**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
	\$0		\$0	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$503,848</b>	<b>0.0</b>	<b>\$0</b>	<b>\$257,145</b>	<b>\$80,929</b>	<b>\$165,774</b>
<b>New Indirect Calculation</b>	<b>(\$19,123)</b>			<b>\$1,932</b>	<b>(6,907)</b>	<b>(\$14,148)</b>
<b>FY 15-16 Base Request</b>	<b>\$484,725</b>	<b>0.0</b>	<b>\$0</b>	<b>\$259,077</b>	<b>\$74,022</b>	<b>\$151,626</b>
	\$0		\$0			
<b>FY 2015-16 November 1 Request</b>	<b>\$484,725</b>	<b>0.0</b>	<b>\$0</b>	<b>\$259,077</b>	<b>\$74,022</b>	<b>\$151,626</b>
<b>(3) Criminal Justice and Appellate</b>						
<b>FY 2014-15 Appropriation(Long Bill plus Special Bills)</b>	<b>\$16,439,534</b>	<b>108.2</b>	<b>\$5,826,057</b>	<b>\$7,698,474</b>	<b>\$1,513,085</b>	<b>\$1,401,918</b>
<b>FY 2015-16 Base Request</b>	<b>\$16,850,666</b>	<b>108.8</b>	<b>\$6,200,710</b>	<b>\$7,911,286</b>	<b>\$1,313,632</b>	<b>\$1,425,038</b>
<b>FY 2015-16 November 1 Request</b>	<b>\$17,117,186</b>	<b>110.6</b>	<b>\$6,467,230</b>	<b>\$7,911,286</b>	<b>\$1,313,632</b>	<b>\$1,425,038</b>

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(4) Water and Natural Resources**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>(A) Federal and Interstate Water Unit</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$576,724	5.5	\$576,724	\$0	\$0	\$0
	\$0		\$0	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$576,724</b>	<b>5.5</b>	<b>\$576,724</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Salary Survey Classified	\$0	0.0	\$0	\$0	\$0	\$0
Salary Survey Non Classified	\$1,187	0.0	\$1,187	\$0	\$0	\$0
Merit Pay Classified	\$86	0.0	\$86	\$0	\$0	\$0
Merit Pay Non Classified	\$1,890	0.0	\$1,890	\$0	\$0	\$0
minus one time bonus	(\$1,800)	0.0	(\$1,800)	\$0	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$578,087</b>	<b>5.5</b>	<b>\$578,087</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FY 2015-16 November 1 Request</b>	<b>\$578,087</b>	<b>5.5</b>	<b>\$578,087</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>(B) Defense of the Colorado River Basin Compact</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$352,289	3.0	\$0	\$352,289	\$0	\$0
	\$0		\$0	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$352,289</b>	<b>3.0</b>	<b>\$0</b>	<b>\$352,289</b>	<b>\$0</b>	<b>\$0</b>
Classified Merit Pay	\$0	0.0	\$0	\$0	\$0	\$0
Minus one time bonus	(\$604)	0.0	\$0	(\$604)	\$0	\$0
	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$351,685</b>	<b>3.0</b>	<b>\$0</b>	<b>\$351,685</b>	<b>\$0</b>	<b>\$0</b>
	\$0			\$0		
<b>FY 2015-16 November 1 Request</b>	<b>\$351,685</b>	<b>3.0</b>	<b>\$0</b>	<b>\$351,685</b>	<b>\$0</b>	<b>\$0</b>
<b>(C) Defense of the Republican River Compact</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$110,000	0.0	\$0	\$110,000	\$0	\$0
Additional CWCB grant dollars	\$35,000			\$35,000		

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(4) Water and Natural Resources**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>FY 2014-15 Total Appropriation</b>	<b>\$145,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$145,000</b>	<b>\$0</b>	<b>\$0</b>
	\$0	0.0	\$0	\$0	\$0	\$0
	\$0	0.0	\$0	\$0	\$0	\$0
	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$110,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$110,000</b>	<b>\$0</b>	<b>\$0</b>
<b>FY 2015-16 November 1 Request</b>	<b>\$110,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$110,000</b>	<b>\$0</b>	<b>\$0</b>
<b>(D) Consultant Expenses</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$400,000	0.0	\$0	\$400,000	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$400,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$400,000</b>	<b>\$0</b>	<b>\$0</b>
	\$0	0.0	\$0	\$0	\$0	\$0
	\$0	0.0	\$0	\$0	\$0	\$0
	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$400,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$400,000</b>	<b>\$0</b>	<b>\$0</b>
<b>FY 2015-16 November 1 Request</b>	<b>\$400,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$400,000</b>	<b>\$0</b>	<b>\$0</b>
<b>(E) Comprehensive Environmental Response, Compensation and Liability Act</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$484,300	3.5	\$0	\$0	\$484,300	\$0
	\$0		\$0	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$484,300</b>	<b>3.5</b>	<b>\$0</b>	<b>\$0</b>	<b>\$484,300</b>	<b>\$0</b>
Prior Year Salary Survey	\$3,870	0.0	\$0	\$0	\$3,870	\$0
Prior Year Performance-based Pay Minus one time bonus	\$0	0.0	\$0	\$0	\$0	\$0
	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$488,170</b>	<b>3.5</b>	<b>\$0</b>	<b>\$0</b>	<b>\$488,170</b>	<b>\$0</b>
	\$0				\$0	
	\$0				\$0	

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(4) Water and Natural Resources**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>FY 2015-16 November 1 Request</b>	<b>\$488,170</b>	<b>3.5</b>	<b>\$0</b>	<b>\$0</b>	<b>\$488,170</b>	<b>\$0</b>
<b>(F) Comprehensive Environmental Response, Compensation and Liability Act Contracts</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$100,000	0.0	\$0	\$0	\$100,000	\$0
	\$0		\$0	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$100,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$100,000</b>	<b>\$0</b>
<b>FY 15-16 Base Request</b>	<b>\$100,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$100,000</b>	<b>\$0</b>
<b>FY 2015-16 November 1 Request</b>	<b>\$100,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$100,000</b>	<b>\$0</b>
<b>(G) Natural Resource Damage Claims at Rocky Mountain Arsenal</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$50,000	0.0	\$0	\$0	\$50,000	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$50,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$50,000</b>	<b>\$0</b>
<b>FY 15-16 Base Request</b>	<b>\$50,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$50,000</b>	<b>\$0</b>
<b>FY 2015-16 November 1 Request</b>	<b>\$50,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$50,000</b>	<b>\$0</b>
<b>(H) Indirect Cost Assessment</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$45,686	0.0	\$0	\$0	\$45,686	\$0
	\$0		\$0	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$45,686</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$45,686</b>	<b>\$0</b>
	\$0	0.0	\$0	\$0	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$45,686</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$45,686</b>	<b>\$0</b>

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(4) Water and Natural Resources**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
New Indirect Calculation	(\$3,899)				(\$3,899)	
<b>FY 2015-16 November 1 Request</b>	<b>\$41,787</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$41,787</b>	<b>\$0</b>
<b>(4) Water and Natural Resources</b>						
<b>FY 2013-14 Appropriation(Long Bill plus Special Bills)</b>	<b>\$2,153,999</b>	<b>12.0</b>	<b>\$576,724</b>	<b>\$897,289</b>	<b>\$679,986</b>	<b>\$0</b>
<b>FY 2014-15 Base Request</b>	<b>\$2,123,628</b>	<b>12.0</b>	<b>\$578,087</b>	<b>\$861,685</b>	<b>\$683,856</b>	<b>\$0</b>
<b>FY 2014-15 November 1 Request</b>	<b>\$2,119,729</b>	<b>12.0</b>	<b>\$578,087</b>	<b>\$861,685</b>	<b>\$679,957</b>	<b>\$0</b>

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(5) Consumer Protection**

Long Bill Line Item	Total Funds	FTE	General Fund	General Fund Exempt	Cash Funds	Reappropriated Funds	Federal Funds
<b>(A) Consumer Protection and Anti-Trust</b>							
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$2,328,660	26.0	\$1,106,670	\$0	\$961,411	\$260,579	\$0
	\$0		\$0		\$0	\$0	
<b>FY 2014-15 Total Appropriation</b>	<b>\$2,328,660</b>	<b>26.0</b>	<b>\$1,106,670</b>	<b>\$0</b>	<b>\$961,411</b>	<b>\$260,579</b>	<b>\$0</b>
FTE Adjustment		0.2					
Classified Salary Survey	\$23,418	0.0	\$0	\$0	\$19,908	\$3,510	\$0
Non Classified Salary Survey	\$27,381	0.0	\$24,576	\$0	\$1,549	\$1,256	
Merit Pay Classified	\$7,150	0.0	\$0	\$0	\$6,378	\$772	
Merit Pay Non Classified	\$13,140	0.0	\$12,296	\$0	\$0	\$844	
Annualization of FY 15 CP Decision Item	(\$1,230)	0.0	\$0	\$0	(\$1,230)	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$2,398,519</b>	<b>26.2</b>	<b>\$1,143,542</b>	<b>\$0</b>	<b>\$988,016</b>	<b>\$266,961</b>	<b>\$0</b>
DI#3 Tobacco Litigation Legal Assistant	\$80,389	1.0	\$0		\$80,389		
DI #5 CP and Antitrust Operating and Litigation	\$167,823		\$64,547		\$83,911	\$19,365	
<b>FY 2015-16 November 1 Request</b>	<b>\$2,646,731</b>	<b>27.2</b>	<b>\$1,208,089</b>	<b>\$0</b>	<b>\$1,152,316</b>	<b>\$286,326</b>	<b>\$0</b>
<b>(B) Consumer Credit Unit</b>							
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$1,616,183	20.0	\$0	\$0	\$1,616,183	\$0	\$0
	\$0		\$0		\$0		
<b>FY 2014-15 Total Appropriation</b>	<b>\$1,616,183</b>	<b>20.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,616,183</b>	<b>\$0</b>	<b>\$0</b>
Classified Salary Survey	\$38,791	0.0	\$0	\$0	\$38,791	\$0	\$0
Non Classified Salary Survey	\$5,581	0.0	\$0	\$0	\$5,581	\$0	\$0
Classified Merit Pay	\$9,606	0.0	\$0	\$0	\$9,606	\$0	\$0
Non Classified Merit Pay	\$4,530	0.0	\$0	\$0	\$4,530	\$0	\$0
	\$0	0.0	\$0	\$0	\$0	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$1,674,691</b>	<b>20.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,674,691</b>	<b>\$0</b>	<b>\$0</b>
		0.0			\$0		
<b>FY 2015-16 November 1 Request</b>	<b>\$1,674,691</b>	<b>20.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,674,691</b>	<b>\$0</b>	<b>\$0</b>

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(5) Consumer Protection**

Long Bill Line Item	Total Funds	FTE	General Fund	General Fund Exempt	Cash Funds	Reappropriated Funds	Federal Funds
<b>(D) Indirect Cost Assessment</b>							
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$456,857	0.0	\$0	\$0	\$417,698	\$39,159	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$456,857</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$417,698</b>	<b>\$39,159</b>	<b>\$0</b>
New Indirect Calculation	(\$27,052)				(\$23,709.77)	(\$3,342)	
<b>FY 15-16 Base Request</b>	<b>\$429,805</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$393,988</b>	<b>\$35,817</b>	<b>\$0</b>
	\$0				\$0	\$0	
<b>FY 2015-16 November 1 Request</b>	<b>\$429,805</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$393,988</b>	<b>\$35,817</b>	<b>\$0</b>
<b>(5) Consumer Protection</b>							
<b>FY 2014-15 Appropriation(Long Bill plus Special Bills)</b>	<b>\$4,401,700</b>	<b>46.0</b>	<b>\$1,106,670</b>	<b>\$0</b>	<b>\$2,995,292</b>	<b>\$299,738</b>	<b>\$0</b>
<b>FY 2015-16 Base Request</b>	<b>\$4,503,015</b>	<b>46.2</b>	<b>\$1,143,542</b>	<b>\$0</b>	<b>\$3,056,695</b>	<b>\$302,778</b>	<b>\$0</b>
<b>FY 2015-16 November 1 Request</b>	<b>\$4,751,227</b>	<b>47.2</b>	<b>\$1,208,089</b>	<b>\$0</b>	<b>\$3,220,995</b>	<b>\$322,143</b>	<b>\$0</b>

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(6) Special Purpose**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>(A) District Attorney's Salaries</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$2,697,656 \$0	0.0	\$2,697,656	\$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$2,697,656</b>	<b>0.0</b>	<b>\$2,697,656</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Base Salary Increase per HB 07-1170 (\$8,667*22*12)	\$0	0.0	\$0	\$0	\$0	\$0
PERA on Salary Increase	\$0	0.0	\$0	\$0	\$0	\$0
AED & SAED Adjustment	\$20,593 \$0	0.0	\$20,593 \$0	\$0	\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$2,718,249</b>	<b>0.0</b>	<b>\$2,718,249</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FY 2015-16 November 1 Request</b>	<b>\$2,718,249</b>	<b>0.0</b>	<b>\$2,718,249</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>(B) Litigation Management Fund</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$200,000	0.0	\$0	\$200,000	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$200,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$200,000</b>	<b>\$0</b>	<b>\$0</b>
<b>FY 15-16 Base Request</b>	<b>\$200,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$200,000</b>	<b>\$0</b>	<b>\$0</b>
	\$0			\$0		
<b>FY 2015-16 November 1 Request</b>	<b>\$200,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$200,000</b>	<b>\$0</b>	<b>\$0</b>
<b>(C) Tobacco Litigation</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$1,250,000	0.0	\$0 \$0	\$1,250,000 \$0	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$1,250,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$1,250,000</b>	<b>\$0</b>	<b>\$0</b>
	\$0		\$0		\$0	\$0
<b>FY 15-16 Base Request</b>	<b>\$1,250,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$1,250,000</b>	<b>\$0</b>	<b>\$0</b>

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(6) Special Purpose**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
	\$0		\$0	\$0		
<b>FY 2015-16 November 1 Request</b>	<b>\$1,250,000</b>	<b>0.0</b>	<b>\$0</b>	<b>\$1,250,000</b>	<b>\$0</b>	<b>\$0</b>
<b>(D) Lowry Range Litigation Expenses</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$392,400	0.0	\$0	\$392,400	\$0	\$0
<b>FY 2014-15 Total Appropriation</b>	<b>\$392,400</b>	<b>0.0</b>	<b>\$0</b>	<b>\$392,400</b>	<b>\$0</b>	<b>\$0</b>
Annualization of Lowry Range (Settled)	(\$392,400)		\$0	(\$392,400)	\$0	\$0
	\$0		\$0	\$0		
<b>FY 15-16 Base Request</b>	<b>\$0</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	\$0			\$0		
<b>FY 2015-16 November 1 Request</b>	<b>\$0</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>(E) District Attorney Training</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$0	0.0	\$0	\$0	\$0	\$0
HB 14-1144 Measures to Improve Performance of District Attorneys	\$350,000		\$350,000			
<b>FY 2014-15 Total Appropriation</b>	<b>\$350,000</b>	<b>0.0</b>	<b>\$350,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	\$0				\$0	
	\$0		\$0	\$0		
<b>FY 15-16 Base Request</b>	<b>\$350,000</b>	<b>0.0</b>	<b>\$350,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	\$0			\$0		
<b>FY 2015-16 November 1 Request</b>	<b>\$350,000</b>	<b>0.0</b>	<b>\$350,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>(G) CORA OML Attorney</b>						
FY 2014-15 Long Bill Appropriation (HB 14-1336)	\$0	0.0	\$0	\$0	\$0	\$0
	\$0		\$0			
<b>FY 2014-15 Total Appropriation</b>	<b>\$0</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	\$0				\$0	
	\$0		\$0	\$0		
<b>FY 15-16 Base Request</b>	<b>\$0</b>	<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**DEPARTMENT OF LAW**

**FY 2015-16 RECONCILIATION OF DEPARTMENT REQUEST**

**(6) Special Purpose**

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
Priority #2 CORA/OML Expert Decision Item	\$109,631	0.9	\$109,631	\$0		
<b>FY 2015-16 November 1 Request</b>	<b>\$109,631</b>	<b>0.9</b>	<b>\$109,631</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>(6) Special Purpose</b>						
<b>FY 2014-15 Appropriation(Long Bill plus Special Bills)</b>	<b>\$4,890,056</b>	<b>0.0</b>	<b>\$3,047,656</b>	<b>\$1,842,400</b>	<b>\$0</b>	<b>\$0</b>
<b>FY 2015-16 Base Request</b>	<b>\$4,518,249</b>	<b>0.0</b>	<b>\$3,068,249</b>	<b>\$1,450,000</b>	<b>\$0</b>	<b>\$0</b>
<b>FY 2015-16 November 1 Request</b>	<b>\$4,627,880</b>	<b>0.9</b>	<b>\$3,177,880</b>	<b>\$1,450,000</b>	<b>\$0</b>	<b>\$0</b>



Table I										
Legal Services Provided to State Agencies										
	Actual Year			Actual Year			Estimate Year		Request Year	
	Attorney	Legal Asst	Total	Attorney	Legal Asst	Total	@99.01/hr.		FY16	
Department	Hours	Hours	Amount	Hours	Hours	Amount	Hours	Amount	Hours	Amount
AGRICULTURE, DEPT. OF	3,234.40	815.10	\$311,552.07	3,643.40	765.10	\$400,159.64	4,653.00	\$423,795.00	4,653.00	\$460,694.00
CORRECTIONS, DEPT. OF	13,722.60	2,727.90	\$1,273,306.27	10,916.50	2,883.10	\$1,240,835.55	15,298.00	\$1,393,342.00	15,298.00	\$1,514,655.00
GOVERNOR'S ENERGY OFFICE	802.60	5.10	\$64,434.23	994.40	65.80	\$99,081.31	1,100.00	\$100,188.00	1,100.00	\$108,911.00
EDUCATION, DEPT. OF	2,655.50	486.20	\$243,592.12	3,955.10	843.70	\$435,328.41	5,120.00	\$466,330.00	5,120.00	\$506,931.00
GOVERNOR, OFFICE OF	4,116.37	627.65	\$369,441.54	7,818.40	1,233.95	\$829,803.29	5,051.00	\$460,045.00	4,831.00	\$500,100.00
HLTH CARE POLICY & FINANCE	9,466.60	1,731.70	\$868,046.01	8,419.90	1,732.35	\$922,234.18	14,406.00	\$1,312,098.00	14,406.00	\$1,426,338.00
HIGHER EDUCATION, DEPT.	10,267.20	1,607.80	\$924,214.40	10,337.36	1,456.80	\$1,084,770.44	11,548.00	\$1,051,792.00	11,548.00	\$1,143,367.00
HIGHWAYS, DEPT. OF	10,159.20	2,233.00	\$956,102.12	11707.10	2168.30	\$1,265,248.05	16,432.00	\$1,496,627.00	16,432.00	\$1,626,932.00
PUBLIC HEALTH & ENVIRONMNT	27,142.32	3,960.70	\$2,393,329.61	27,194.15	3,651.25	\$2,840,844.10	36,698.00	\$3,342,454.00	36,698.00	\$3,633,469.00
HUMAN SERVICES DEPARTMENT	13,600.70	4,870.20	\$1,401,843.37	13,930.20	5,051.40	\$1,680,641.75	18,439.00	\$1,679,424.00	18,439.00	\$1,825,645.00
INNOVATION & TECHNOLOGY							489.00	\$44,538.00	489.00	\$48,416.00
JUDICIAL DEPARTMENT	2,095.00	214.40	\$181,219.69	2,920.50	104.10	\$284,680.64	3,284.00	\$299,107.00	3,284.00	\$325,149.00
LABOR & EMPLOYMENT DEPT.	6,095.60	1,402.80	\$577,752.50	5,518.60	1,488.60	\$629,485.63	8,610.00	\$784,199.00	8,610.00	\$852,476.00
LEGISLATIVE BRANCH	68.50	1.10	\$5,542.37	19.10	0.10	\$1,820.74	188.00	\$17,123.00	188.00	\$18,614.00
LOCAL AFFAIRS DEPT.	1,353.80	233.80	\$123,277.30	1,407.40	205.50	\$148,196.65	1,790.00	\$163,033.00	1,790.00	\$177,228.00
LAW, DEPARTMENT OF				128.80		\$12,229.66	0.00	\$0.00	0.00	\$0.00
MILITARY AFFAIRS, DEPT.	77.50	0.00	\$6,189.95	17.20		\$1,633.20	110.00	\$10,019.00	110.00	\$10,891.00
NATURAL RESOURCES, DEPT.	37,931.20	7,491.50	\$3,514,961.24	41,317.48	9,035.90	\$4,563,406.60	52,772.00	\$4,806,474.00	52,772.00	\$5,224,956.00
PERA PENSION PLANS	7.30	0.00	\$583.06	7.00		\$664.66	29.00	\$2,641.00	29.00	\$2,871.00
ADMINISTRATION	1,975.60	162.00	\$168,289.92	2,835.30	329.10	\$292,539.79	2,893.00	\$263,494.00	2,893.00	\$286,436.00
RISK MGT & WORKRS' COMP	21,103.00	8,113.60	\$2,211,193.69	26,714.00	9,531.40	\$3,211,942.65	41,710.00	\$3,798,947.00	41,710.00	\$4,129,707.00
PUBLIC SAFETY, DEPT OF	3,722.10	433.40	\$325,367.04	3,486.20	888.60	\$393,986.99	3,633.00	\$330,894.00	3,633.00	\$359,703.00
REGULATORY AGENCIES	84,854.30	9,357.25	\$7,383,602.55	87,746.35	9,008.70	\$8,969,467.20	111,560.00	\$10,160,885.00	111,276.00	\$11,045,556.00
REVENUE, DEPT. OF	30,402.36	4,812.90	\$2,740,083.44	33,289.20	3,505.50	\$3,409,251.11	39,987.00	\$3,642,016.00	40,452.00	\$3,959,113.00
COLO ST. FAIR AUTHORITY	269.60	6.10	\$21,928.35	389.80	710.00	\$37,515.05	180.00	\$16,394.00	180.00	\$17,822.00
SECRETARY OF STATE	3,350.90	349.20	\$290,262.83	2,904.90	273.80	\$295,223.99	7,118.00	\$648,307.00	7,118.00	\$704,753.00
TREASURY, DEPT. OF	1,541.80	154.70	\$133,167.50	951.00	100.00	\$97,984.00	575.00	\$52,371.00	575.00	\$56,931.00
Totals	299,664	51,798	26,489,283	308,569	55,033	\$33,148,975	403,673	36,766,537	403,634	39,967,664

TABLE II

Legal Services to State Agencies Comparison of Attorney Equivalent Hours

	Department	Actual Legal Hours	Average Hours	Approximate Appropriated Hours	Difference Average to Appropriation	Total Requested Hours	Difference Average to Request	Difference Appropriation FY 14-15 To Request				
		10	11	12	13	14	FY 10-14	FY 14-15	FY 14-15	FY 15-16	FY 15-16	FY 15-16
AG	AGRICULTURE, DEPT. OF	3,941.60	3,700.20	4,354.80	4,049.50	4,408.50	4,090.92	4,653	562	4,653	562	0
CO	CORRECTIONS, DEPT. OF	18,647.10	14,619.40	13,337.30	16,450.50	13,799.60	15,370.78	15,298	(73)	15,298	(73)	0
EC	GOVERNOR'S ENERGY OFFICE	729.10	1,294.30	1,113.50	807.70	1,060.20	1,000.96	1,100	99	1,100	99	0
ED	EDUCATION, DEPT. OF	4,610.30	4,079.50	3,684.70	3,141.70	4,798.80	4,063.00	5,120	1,057	5,120	1,057	0
EX	GOVERNOR, OFFICE OF	5,712.40	13,708.35	8,178.65	4,744.02	9,052.35	8,279.15	5,051	(3,228)	5,051	(3,228)	0
HC	HLTH CARE POLICY & FINANCE	10,147.10	10,981.70	11,885.30	11,198.30	10,152.25	10,872.93	14,406	3,533	14,406	3,533	0
HE	HIGHER EDUCATION, DEPT.	13,114.02	12,878.80	13,002.20	11,875.00	11,794.16	12,532.84	11,548	(985)	11,548	(985)	0
HI	HIGHWAYS, DEPT. OF	15,846.40	14,894.10	15,143.40	12,392.20	13,875.40	14,430.30	16,432	2,002	16,432	2,002	0
HL	PUBLIC HEALTH & ENVIRONMNT	28,244.90	27,474.80	29,744.60	31,103.02	30,845.40	29,482.54	36,698	7,215	36,698	7,215	0
HS	HUMAN SERVICES DEPARTMENT	21,015.10	19,639.30	18,861.90	18,470.90	18,981.60	19,393.76	18,439	(955)	18,439	(955)	0
IT	INNOVATION & TECHNOLOGY	0.00	0.00	0.00		0.00	0.00	489		489		
JD	JUDICIAL DEPARTMENT	2,457.70	1,700.40	2,144.50	2,309.40	3,024.60	2,327.32	3,284	957	3,284	957	0
LA	LABOR & EMPLOYMENT DEPT.	8,169.30	8,880.70	9,405.70	7,498.40	7,007.20	8,192.26	8,610	418	8,610	418	0
LE	LEGISLATIVE BRANCH	106.40	98.20	66.30	69.60	19.20	71.94	188	116	188	116	0
LO	LOCAL AFFAIRS DEPT.	1,917.10	1,656.80	1,493.30	1,587.60	1,612.90	1,653.54	1,790	136	1,790	136	0
LW	LAW, DEPARTMENT OF	76.70	154.00	0.00	0.00	128.80	71.90	0	(72)	0	(72)	0
MA	MILITARY AFFAIRS, DEPT.	131.20	509.60	124.10	77.50	17.20	171.92	110	(62)	110	(62)	0
NR	NATURAL RESOURCES, DEPT.	43,305.10	44,613.80	43,855.50	45,422.70	50,353.38	45,510.10	52,772	7,262	52,772	7,262	0
PA	PERA PENSION PLANS	29.20	1.50	1.90	7.30	7.00	9.38	29	20	29	20	0
PE	DEPARTMENT OF PERSONNEL & ADMINISTRATION	3,519.80	2,985.30	2,767.30	2,137.60	3,164.40	2,914.88	2,893	(22)	2,893	(22)	0
PERISK	RISK MGT & WORKRS' COMP	28,190.50	32,310.00	31,568.40	29,216.60	36,245.40	31,506.18	41,710	10,204	41,710	10,204	0
PS	PUBLIC SAFETY, DEPT OF	1,682.40	2,161.20	3,855.50	4,155.50	4,374.80	3,245.88	3,633	387	3,633	387	0
RG	REGULATORY AGENCIES	99,427.20	100,781.25	95,895.35	94,211.55	96,755.05	97,414.08	111,560	14,146	111,560	14,146	0
RV	REVENUE, DEPT. OF	12,836.20	23,227.20	37,466.40	35,215.26	36,794.70	29,107.95	39,987	10,879	39,987	10,879	0
SF	COLO ST. FAIR AUTHORITY	187.70	140.40	356.80	275.70	1,099.80	412.08	180	(232)	180	(232)	0
ST	SECRETARY OF STATE	4,187.20	5,057.90	6,645.10	3,700.10	3,178.70	4,553.80	7,118	2,564	7,118	2,564	0
TR	TREASURY, DEPT. OF	1,675.40	1,635.40	2,186.10	1,696.50	1,051.00	1,648.88	575	(1,074)	575	(1,074)	0
		329,907	349,184	357,139	341,814	363,616	348,332	403,673	55,341	403,673	55,341	0

**Department of Law  
Schedule 10  
FY 2015-16 Budget Request**

Priority	Number	Division	Request	FTE	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Decision Items</b>									
	1	Criminal Justice and Appellate	Violent Crimes Assistance Team FTE	1.8	\$266,520	\$266,520	\$0	\$0	\$0
	2	Administration	CORA and OML Attorney	0.9	\$109,631	\$0	\$0	\$109,631	\$0
	3	Consumer Protection	Tobacco Litigation Legal Assistant	1.0	\$80,389	\$0	\$80,389	\$0	\$0
	4	Administration	1/2 FTE Contract Administrator	0.0	\$55,114	\$0	\$0	\$55,114	\$0
	5	Consumer Protection	CP and Antitrust Operating & Litigation	0.0	\$167,823	\$64,547	\$83,911	\$19,365	\$0
<b>Total - Decision Items</b>				<b>3.7</b>	<b>\$679,477</b>	<b>\$331,067</b>	<b>\$164,300</b>	<b>\$184,110</b>	<b>\$0</b>
<b>Base Reduction Items</b>									
<b>Total - Base Reduction Items</b>				<b>0.0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Non-Prioritized Items</b>									
	Non	Administration	Vehicle Lease Payments DI		\$12,694	\$17,039	(\$9,842)	\$2,198	\$3,299
					\$0				
					\$0	\$0	\$0		\$0
<b>Total Non Prioritized Items</b>				<b>0.0</b>	<b>\$12,694</b>	<b>\$17,039</b>	<b>(\$9,842)</b>	<b>\$2,198</b>	<b>\$3,299</b>
<b>Grand Total November 1, 2014</b>				<b>3.7</b>	<b>\$692,171</b>	<b>\$348,106</b>	<b>\$154,458</b>	<b>\$186,308</b>	<b>\$3,299</b>



## Schedule 13 Funding Request for the 2015-16 Budget Cycle

**Department:** Department of Law  
**Request Title:** Violent Crimes Assistance Team FTE  
**Priority Number:** #1

**Dept. Approval by:**  10/15/14  
Date

**OSPB Approval by:** \_\_\_\_\_  
Date

Decision Item FY 2015-16  
 Base Reduction Item FY 2015-16  
 Supplemental FY 2014-15  
 Budget Amendment FY 2015-16

Line Item Information		FY 2014-15		FY 2015-16		FY 2016-17
		1	2	3	4	6
	Fund	Appropriation FY 2014-15	Supplemental Request FY 2014-15	Base Request FY 2015-16	Funding Change Request FY 2015-16	Continuation Amount FY 2016-17
<b>Total of All Line Items</b>		4,115,956	-	4,204,018	266,520	264,835
	<b>FTE</b>	37.8	-	37.8	1.8	2.0
	<b>GF</b>	1,832,354	-	1,871,430	266,520	264,835
	<b>GFE</b>	-	-	-	-	-
	<b>CF</b>	1,619,523	-	1,641,126	-	-
	<b>RF</b>	664,079	-	691,462	-	-
	<b>FF</b>	-	-	-	-	-
<b>(3) Criminal Justice and Appellate: Special Prosecutions Unit</b>		4,115,956	-	4,204,018	266,520	264,835
	<b>FTE</b>	37.8	-	37.8	1.8	2.0
	<b>GF</b>	1,832,354	-	1,871,430	266,520	264,835
	<b>GFE</b>	-	-	-	-	-
	<b>CF</b>	1,619,523	-	1,641,126	-	-
	<b>RF</b>	664,079	-	691,462	-	-
	<b>FF</b>	-	-	-	-	-

**Letternote Text Revision Required?**      **Yes:**                      **No:**                      **If yes, describe the Letternote Text Revision:**

**Cash or Federal Fund Name and COFRS Fund Number:**      Cash Funds #: NA

**Reappropriated Funds Source, by Department and Line Item Name:**

**Approval by OIT?**                      **Yes:**                      **No:**                      **Not Required: X**

**Schedule 13s from Affected Departments:**                      NA

**Other Information:**





# DEPARTMENT OF LAW

*FY 2015-16 Funding Request  
November 1, 2014*

*John W. Suthers  
Attorney General*

*Cynthia Coffman  
Chief Deputy Attorney General*

**Department Priority: 1**  
**Request Title Violent Crimes Assistance Team FTE**

Summary of Incremental Funding Change for FY 2015-16	Total Funds	GF	CF	RF	FTE
<b>Total</b>	<b>\$266,520</b>	<b>\$266,520</b>	<b>\$0</b>	<b>\$0</b>	<b>1.8</b>
Special Prosecution Unit	\$266,520	\$266,520	\$0	\$0	1.8

Summary of Incremental Funding Change for FY 2016-17	Total Funds	GF	CF	RF	FTE
<b>Total</b>	<b>\$264,835</b>	<b>\$264,835</b>	<b>\$0</b>	<b>\$0</b>	<b>2.0</b>
<b>Special Prosecution Unit</b>	\$264,835	\$264,835	\$0	\$0	2.0

**Request Summary:**

The Department of Law is requesting 1.8 FTE and \$266,520 in FY 2015-16 annualized to \$264,835 and 2.0 FTE spending authority to support the efforts and workload needs of the Special Prosecution Unit, specifically the Violent Crimes Assistance Team (VCAT). This request is for two experienced Assistant Attorney General with prosecutorial experience to assist this agency in its support of the 22 District Attorney's and their needs for this specialized expertise.

**Background:**

The Attorney General's law enforcement efforts are focused in multiple areas: 1) Complex Crimes, 2) Environmental Crimes, 3) Gang Prosecution, 4) Foreign Prosecutions, (5) Auto Theft and 6) the Violent Crime Assistance Team (VCAT).

VCAT is a two-attorney, one-investigator unit. VCAT was created by the Legislature in fiscal year 1994-1995 to assist District Attorneys by providing additional investigative and prosecutorial resources in major violent crime

matters, with an emphasis primarily being on homicide cases. These homicide investigations may include cold-cases, and death-penalty-eligible homicides. VCAT is uniquely designed to assist all of the state's District Attorneys to effectively analyze and prosecute these significant cases. Along with providing legal assistance in the prosecution of cases, the Unit has provided investigator assistance as well. VCAT's workload is dictated by the incidence of homicides, as well as District Attorney requests for assistance in other, complex violent crime cases.

The VCAT attorneys and investigator only become involved in a case upon the request of the local District Attorney and the approval of the Attorney General. During the Department's FY 15 Budget Hearing, the Joint Budget Committee requested the Department assemble estimates and rationale for an increase to this unit to better support local district attorneys. Specifically, the Department of Law was asked to make budgetary estimates to triple the size of the 3.0 FTE (2 attorneys and 1 Criminal Investigator) that currently support District Attorney Offices on request. The Department could not rationalize such a significant increase based on current workload and anticipated workload moving forward. However, the Department did suggest a more modest resource request. The Committee did not choose to act on the information provided.

**Issue:**

Before 2005, the team handled no more than 5 cases in any given year. In 2013 alone, the team assisted in 25 separate homicide cases. To gain a greater appreciation of this growth in the demand for VCAT assistance it is important to examine the genesis and evolution of VCAT. The original version of VCAT was when the Attorney General's Capital Crimes Unit (CCU) was formed in 1994 for the purpose of assisting local prosecutors to manage and prosecute their capital (death penalty) cases. From 1994 through 2005 the CCU had no more than five cases per year where one or both of the assigned Assistant Attorney Generals (AAG) would have been

sworn in as Special Deputy District Attorneys and to serve as full members of the trial team. The vast majority of these cases during this time period were generally located in the Denver metropolitan area. As discussed above in 2013-14, VCAT's two attorneys were involved in 25 different homicide cases. Amongst this group of 25 homicide cases the VCAT attorneys handled 11 capital (death penalty) cases including 1 capital (death penalty) case where the AAG served as a Special Deputy District Attorney and was a full member of the trial team; 5 capital (death penalty) cases where the Special Deputy District Attorney was responsible for complex issues concerning jury selection, motions, and jury instructions; and 5 additional cases where the AAGs consulted with the elected DA but where the AAG attorney was not sworn in as a Special Deputy District Attorney. The Team also was appointed as special deputies on 10 other homicides and served as consultants in 4 additional cases. In contrast to the earlier years (as late as 2007) when the majority of cases were in the Denver metropolitan area, in 2013-14 the 25 separate cases were spread across the State of Colorado from Cortez in the Four Corners to Lamar in the eastern part of the Arkansas Valley and from Walsenburg in Southern Colorado to Fort Collins in the North. Last year alone the Team provided actual and meaningful case assistance in 13 of the 22 Judicial Districts.

The Team has had to call on the assistance of other attorneys in the office to cover the requests that could be handled. For example the First Assistant Attorney General of the Special Prosecutions Unit is augmenting the two VCAT attorneys by supplementing his own full caseload by taking on a murder case from Cortez and is now serving as the lead attorney in that matter. Additionally, another Senior Assistant Attorney General who is also assigned to the Special Prosecutions Unit as the Human Trafficking and Gang prosecutor has also assumed the duties of the lead attorney in another homicide case from Durango that usually would be handled by one of the two VCAT attorneys. As a result of this rapid growth in terms of caseload for the first

time since the team's founding 1994 the Attorney General's Office has reached maximum capacity for VCAT and is now required to triage each case with a greater level of scrutiny with some requests for assistance now actually being turned away, including in situations where the requesting elected District Attorney who sought VCAT's assistance lacks sufficient staff resources and/or staff experience to successfully investigate and prosecute these important cases.

Since the formation of the unit, the number of motions that are now filed in capital (death penalty) cases has exponentially grown by four fold. In a particular death penalty case in 1985,<sup>1</sup> the public defenders filed 107 motions. In the Sir Mario Owens<sup>2</sup> death penalty case through 2009, 318 motions were filed during the pretrial period. In the Edward Montour<sup>3</sup> death penalty case through 2014, the defense filed 471 motions. As a result of this evolving legal tactic by the defense bar VCAT has been called upon to provide motions support by researching and preparing legal responses in 11 separate capital cases during the 2013-14 fiscal year.

A primary issue for the elected District Attorneys in rural jurisdictions is recruiting and retaining Deputy District Attorneys. In many jurisdictions, the elected District Attorney and perhaps one or two of the deputies will have some level of prosecutorial experience. The reality is that the other Deputy DAs will have little or no experience. Over the last several years, rural prosecutors have placed more reliance on the Team to provide experienced case assistance and consultation. One rural jurisdiction has called upon the assistance of the Team because no prosecutor, including the elected District Attorney, possesses any homicide experience at all. In another rural jurisdiction assistance has been requested in homicide cases when none of

the deputies in the Judicial District had more than one year experience as a prosecutor.

In addition to the above significant case involvement, last year alone the VCAT provided consultation 86 times to prosecutors in 17 judicial districts. Last year the attorneys and investigators on the Team also gave 25 lectures to approximately 569 prosecutors and law enforcement officers.

### **Anticipated Outcomes:**

With the additional resources the unit will be better equipped to assess local District Attorneys' requests for assistance and staff appropriately, thereby better ensuring justice is served in each case.

### **Assumptions for Calculations:**

The department is assuming that the Assistant Attorney General Position will be fill at 75% quartile of the range. As mentioned above, the cases within this area of law and regulation are complex and will require independent and experienced analysis advice and effort. The current two attorneys working in this unit are a Senior Assistant Attorney General and an AAG whose salary is almost at the top of the range.

### **Consequences if not Funded:**

If this request is not funded, the unit will continue to prioritize and manage the workload of this unit within existing spending and FTE authority. However, the prioritization will come at the expense of best serving local district attorney needs, as requests for assistance will continue to grow and some requests going unfilled.

### **Impact to Other State Government Agency:**

This request will have no direct impact on other state agencies. There may be a tangential impact to the Public Defender's Office. Often times, the Public Defender is the representation for the defendant on cases that the Attorney General is asked to assist a Local District Attorney.

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<sup>1</sup> *People v. Raymond Baca*, 1985 CR290, 10<sup>th</sup> Judicial District, Pueblo County.

<sup>2</sup> *People v. Sir Mario Owens*, 2006CR705, 18<sup>th</sup> Judicial District, Arapahoe County.

<sup>3</sup> *People v. Edward Montour*, 2002CR782, 18<sup>th</sup> Judicial District, Douglas County.

**Current Statutory Authority or Needed Statutory Change:**

No statutory changes needed. 24-31-105. C.R.S. states, "There is hereby established, within the department of law and under the control of the attorney general, a criminal enforcement section. The criminal enforcement section or any attorney

in the department of law authorized by the attorney general shall prosecute all criminal cases for the attorney general and shall perform other functions as may be required by the attorney general."

**Attorney Salary Ranges FY15**

<b>Position</b>	<b>Ranges</b>			<b>Ranges Annualized</b>		
<b>Attorney I</b>	\$5,095	to	\$6,125	\$61,142	to	\$73,502
<b>Assistant AG</b>	\$5,650	to	\$8,249	\$67,800	to	\$98,989
<b>Senior Assist AG</b>	\$7,314	to	\$10,678	\$87,767	to	\$128,140
<b>1St Assist AG</b>	\$8,443	to	\$12,326	\$101,311	to	\$147,915
<b>Deputies</b>	\$9,556	to	\$13,951	\$114,666	to	\$167,414

**Calculations for 2.0 Assistant Attorney Generals****FY 16****FY 17**

Supplies @ \$500/\$500	\$1,000	\$1,000
Computer @ \$900/\$0	\$1,800	\$0
Office Suite Software @ \$330/\$0	\$660	\$0
Office Equipment @ \$8,767/\$0 (includes office furniture, chair, bookcase)	\$17,534	\$0
Telephone Base @ \$450/\$450	\$900	\$900
Mileage use on state vehicle (assume 12,000miles at \$.212/mile)	\$2,544	\$2,544
Assume 4 overnight stays in hotel per month at \$75/night	\$3,600	\$3,600
Assume avg per diem at \$66 per day for 4 nights each month	\$3,168	\$3,168
Est Litigation Expenses at \$5,000 per Attorney	\$10,000	\$10,000
Cell phone at \$80/month	\$1,920	\$1,920
<b>Total Operating</b>	<b>\$43,126</b>	<b>\$23,132</b>

Monthly Salary Senior Attorney General (75% quartile)	\$7,599	\$7,599
Annual Salary 2 AAG, 11 months FY 16 and 12 months FY 17	\$167,178	\$182,376
PERA at 10.15%	\$16,969	\$18,511
Medicare at 1.45%	\$2,424	\$2,644
AED @ 4.4%	\$7,356	\$8,025
SAED @ 4.25%	\$7,105	\$7,751
STD @ .022%	\$368	\$401
Est HLD at Employee + Spouse	\$21,995	\$21,995
<b>Total PS</b>	<b>\$223,394</b>	<b>\$241,703</b>

<b>Total 2 Senior Attorney Generals</b>	<b>\$266,520</b>	<b>\$264,835</b>
GF	\$266,520	\$264,835



## Schedule 13 Funding Request for the 2015-16 Budget Cycle

**Department:** Department of Law  
**Request Title:** Colorado Open Records Act and Open Meetings Attorney  
**Priority Number:** #2

**Dept. Approval by:** *Jan Peter* 10/15/14  
Date

Decision Item FY 2015-16  
 Base Reduction Item FY 2015-16  
 Supplemental FY 2014-15  
 Budget Amendment FY 2015-16

**OSPB Approval by:** \_\_\_\_\_  
Date

Line Item Information		FY 2014-15		FY 2015-16		FY 2016-17
		1	2	3	4	6
	Fund	Appropriation FY 2014-15	Supplemental Request FY 2014-15	Base Request FY 2015-16	Funding Change Request FY 2015-16	Continuation Amount FY 2016-17
<b>Total of All Line Items</b>		-	-	-	109,631	107,520
	<b>FTE</b>	0.0	0.0	0.0	0.9	1.0
	<b>GF</b>	-	-	-	-	-
	<b>GFE</b>	-	-	-	-	-
	<b>CF</b>	-	-	-	-	-
	<b>RF</b>	-	-	-	109,631	107,520
	<b>FF</b>	-	-	-	-	-

<b>(6) Special Purpose: CORA and OML Expert</b>	<b>Total</b>	-	-	-	109,631	107,520
	<b>FTE</b>	-	-	-	0.9	1.0
	<b>GF</b>	-	-	-	-	-
	<b>GFE</b>	-	-	-	-	-
	<b>CF</b>	-	-	-	-	-
	<b>RF</b>	-	-	-	109,631	107,520
	<b>FF</b>	-	-	-	-	-

**Letternote Text Revision Required?**      Yes:                      No:                      If yes, describe the Letternote Text Revision:  
**Cash or Federal Fund Name and COFRS Fund Number:**      NA  
**Reappropriated Funds Source, by Department and Line Item Name:**      NA  
**Approval by OIT?**                      Yes:                      No:                      Not Required: x  
**Schedule 13s from Affected Departments:**  
**Other Information:**





# DEPARTMENT OF LAW

*FY 2015-16 Funding Request  
November 1, 2014*

*John W. Suthers  
Attorney General*

*Cynthia Coffman  
Chief Deputy Attorney General*

**Department Priority: 2**  
**Request Title: Colorado Open Records Act and Open Meetings Law Attorney**

Summary of Incremental Funding Change for FY 2015-16	Total Funds	FTE	GF	RF
<b>Total</b>	\$109,631	0.9	\$109,631	
Special Purpose (New Line Item)				
CORA/OML Expert				

Summary of Incremental Funding Change for FY 2016-17	Total Funds	FTE	GF	RF
<b>Total</b>	\$107,520	1.0	\$107,520	
Special Purpose				
CORA/OML Expert				

## Request Summary:

The Department of Law is requesting \$109,631 in General Fund spending authority and 0.9 attorney FTE to help meet the workload demand from Colorado Open Records Act requests and Open Meetings Law (OML) expertise. These calculations annualize in out years to \$107,520 and 1.0 FTE.

## Background Information:

In July of 2013, a frequent CORA requester, issued a mass CORA request to at least eleven state agencies, which sought production of the following: (1) a written copy of the state agency's requirements and procedures for CORA requests, including any fees associated with transmission, duplication, transportation, or hourly expense of compiling and

releasing requested documents; (2) any organizational charts for the state agency, as well as divisions or subdivisions therein, if available; and (3) an employee phone directory, if available. Although each state agency received the same CORA request, the state agencies' responses differed markedly, such as in the following ways: (1) one agency's CORA policy charged a copying fee of \$1.25/page, but CORA only allows a maximum \$0.25/page copy fee; (2) some CORA policies identified reasons why documents would not be subject to production, while other policies were very general; (3) some policies addressed requests for manipulation of databases or creations of new records, while others did not; (4) one agency required requesters to certify that they would not use the requested documents for pecuniary gain;

(5) hourly charges for research and retrieval of responsive documents varied from \$15/hour to \$20/hour; (6) some agencies explained why requested information was unavailable or not in a readily producible format, while other agencies invoked CORA's allowable seven-working day extension of time without any explanation, or simply denied the request because it was too voluminous; (7) some agencies did not explain why certain documents could not be produced in the requested format, while other agencies provided an explanation; (8) at least one agency only partially responded to the CORA request and did not address why the other requested documents were not produced; and (9) at least one agency produced more information than was requested (e.g., it produced its strategic plan, which included the requested organizational charts).

Soon after the Governor's Office became aware of the inconsistent CORA policies and CORA responses, the Governor's Chief Legal Counsel, asked the Department of Law (DOL) to: spearhead coordination among state agencies for mass CORA requests; ensure consistency within the DOL regarding interpretation of CORA and analysis of CORA issues; and encourage state agencies to seek counsel from their assigned DOL attorneys when dealing with difficult, unusual, or high-profile CORA requests, as well as CORA requests that will likely lead to litigation.

### **Problem:**

Over the last couple of years, the DOL has noticed an increase in the number of CORA requests submitted to this office; anecdotally, state agencies have also received an increasing number of CORA requests. The nature of the CORA requests suggests that some requesters are using CORA as a political weapon or a litigation tool. Given the increasing number of CORA requests and requesters' increasing savviness, several complex, novel, or unique CORA issues have arisen, several of which may require development of office-wide policy positions. The issues that have arisen include:

- Requesters' use of CORA in ongoing litigation against the State or a state agency;
- Requesters' use of CORA as a pre-litigation tool;
- Requests for discovery responses that have been furnished in ongoing or concluded litigation;
- Extent to which privilege review or legal research performed in connection with a CORA request constitutes "research and retrieval";

- Whether metadata may or must be redacted from electronically-produced documents;
- Who is the actual custodian of a record that is in the possession of multiple people;
- Interpretation and application of the elected official work product doctrine or the deliberative process privilege;
- Custodian's duty or discretion to redact certain information;
- Use of CORA for business-solicitation purposes;
- Custodian's duty or discretion to perform database searches or manipulation in response to CORA requests;
- Production of databases in native format;
- Requests for academic research information;
- Requests for grant applications;
- Requests for pre-settlement communications;
- Requests for bids submitted in connection with requests for proposals;
- Requests for contract negotiation communications;
- Requests for e-mails that state agencies have deleted, but may still exist on OIT's or service provider's back-up servers;
- Fees for electronic production of documents; and
- Requests for e-mails in personal e-mail accounts.

Not only have the volume of CORA requests and the complexity of the issues increased, the average scope of CORA requests appears to have increased. Within the 2014 calendar year, the DOL has seen an increase in the number of broad-scope CORA requests. (e.g., request for approximately 35-search term CORA request to Department of Law most recently).

Further, as a result of a recent Colorado Supreme Court decision, *Benefield v. Colo. Republican Party*, No. 11SC935, 2014 CO 57 (Colo. 2014), any CORA requester or party that obtains a court order directing production of any withheld document is a prevailing party for purposes of CORA and, therefore, is entitled to an award of fees and costs associated with the requester's attempt to obtain the wrongfully withheld document(s). Accordingly, the devoted attention to CORA/OML issues may help to protect DOL and client agencies from, or minimize exposure to, fees and costs judgments arising from a court's determination that requested documents were improperly withheld.

Currently, the Department does not have a designated CORA/OML attorney and instead has relied upon existing staff; however this area of law is not their primary responsibility as attorneys in the Public

Officials Unit. Their primary responsibilities have rendered them unable to devote the time and attention needed to sufficiently assist the DOL and client agencies and to work with DOL management to shape CORA policies.

**Anticipated Outcomes:**

A dedicated Colorado Open Records Act/Open Meetings Law (CORA/OML) expert is needed to: (a) help the Department of Law and its client agencies handle the increasing number of CORA requests; (b) coordinate responses to mass CORA requests sent to multiple agencies; (c) develop office policy, research and analyze the complex, novel, or unique legal and policy issues implicated by the increasingly sophisticated CORA requests; (d) monitor developments in CORA/OML law, including case law and proposed and enacted legislation; and (e) serve as an educational and training resource for the DOL and its client agencies, which would include providing periodic in-house continuing legal education presentations, monitoring, and responding to queries submitted to CORA and develop, managing, and maintaining a CORA/OML-focused web site on DOL's intranet.

This attorney will work to ensure legal compliance for CORA requests to the Department of Law and assist other state agencies. This position will serve as the State's expert in CORA/OML.

**Assumptions for Calculations:**

This position will serve as the Department of Law's expert on CORA/OML law and assist in the processing of CORA requests. The position will also advise client agencies on CORA/OML matters that affect them. However, it is not known how much time will be spent assisting specific client request as the current attorneys advising clients in the past on this matter were doing so on a time available basis. Therefore, the Department is proposing that a separate special purpose line item be established for this position and funded from the General Fund for FY16 and FY17. The Department will track hours billed to clients for CORA/OML related work and then recommend refinancing of this position based on how much client related work vs DOL related work. The FY18 budget request will then move a portion of this position to the Legal Services to State Agencies appropriation if there are enough client billable hours to warrant that move. The remaining portion of the

position will continue to be funded from the General Fund to meet Department of Law CORA/OML needs. This position will be a three to four year attorney with a salary of \$6,600/month. Since this is a new line item appropriation the Department is requesting full funding of Health/Life/Dental premiums, Short term Disability, A.E.D. and S.A.E.D. as there is no base budget to absorb these costs.

**Consequences if not funded:**

The inconsistencies in responses to CORA requests by various state agencies will likely continue. Based on the Benefield v. Colo. Republican Party decision, there will likely be a financial cost to the state with the payment of attorney's fees and costs required on a percentage of these open records requests for the failure to produce all required documents. Funding this position will help mitigate some of those costs by helping to ensure that inadvertent document holdbacks are minimized and helping clients comply correctly to CORA requests.

**Impacts to Other State Agencies:**

Undetermined at this time but anticipated to be significant. This position will bill client agencies for legal work performed on their behalf, but it is difficult to predict the estimated number of hours at this time.

**Current Statutory Authority or Needed Statutory Change:**

No statutory changes needed.

Decision Item Calculations:

**Calculations for an Assistant Attorney General 4 Years**

<b>Experience</b>	<b>FY 16</b>	<b>FY 17</b>
Supplies @ \$500/\$500	\$500	\$500
Computer @ \$900/\$0	\$900	\$0
Office Suite Software @ \$395/\$0	\$395	\$0
Office Equipment @ \$8,767/\$0 (includes office furniture, chair, bookcase)	\$8,767	\$0
Telephone Base @ \$450/\$450	\$450	\$450
Cell phone at \$80/month	\$160	\$160
<b>Total Operating</b>	<b>\$11,172</b>	<b>\$1,110</b>

Monthly Salary 4 year Assistant Attorney General	\$6,600	\$6,600
Annual Salary 2AAG, 11 months FY 16 and 12 months FY 17	\$72,600	\$79,200
PERA at 10.15%	\$7,369	\$8,039
Medicare at 1.45%	\$1,053	\$1,148
AED @ 4.4%	\$3,194	\$3,485
SAED @ 4.25%	\$3,086	\$3,366
STD @ .022%	\$160	\$174
Est HLD at Employee + Spouse	\$10,997	\$10,997
<b>Total PS</b>	<b>\$98,459</b>	<b>\$106,410</b>

<b>Total 1.0 Assistant Attorney General</b>	<b>\$109,631</b>	<b>\$107,520</b>
GF	\$109,631	\$107,520

## Schedule 13 Funding Request for the 2015-16 Budget Cycle

**Department:** Department of Law  
**Request Title:** Tobacco Litigation Legal Assistant  
**Priority Number:** #3

**Dept. Approval by:** Jan Peter 10/15/14  
Date

**OSPB Approval by:** \_\_\_\_\_  
Date

x Decision Item FY 2015-16  
 Base Reduction Item FY 2015-16  
 Supplemental FY 2014-15  
 Budget Amendment FY 2015-16

Line Item Information		FY 2014-15		FY 2015-16		FY 2016-17
		1	2	3	4	6
		Appropriation FY 2014-15	Supplemental Request FY 2014-15	Base Request FY 2015-16	Funding Change Request FY 2015-16	Continuation Amount FY 2016-17
	Fund					
<b>Total of All Line Items</b>	<b>Total</b>	2,328,660	-	2,398,519	80,389	79,159
	FTE	26.0	-	26.0	1.0	1.0
	GF	1,106,670	-	1,143,542	-	-
	GFE	-	-	-	-	-
	CF	961,411	-	988,016	80,389	79,159
	RF	260,579	-	266,961	-	-
	FF	-	-	-	-	-
<b>(5) Consumer Protection: Consumer Protection and Antitrust</b>						
	<b>Total</b>	2,328,660	-	2,398,519	80,389	79,159
	FTE	26.0	-	26.0	1.0	1.0
	GF	1,106,670	-	1,143,542	-	-
	GFE	-	-	-	-	-
	CF	961,411	-	988,016	80,389	79,159
	RF	260,579	-	266,961	-	-
	FF	-	-	-	-	-

Letternote Text Revision Required?    Yes:                      No:                      If yes, describe the Letternote Text Revision:

**Cash or Federal Fund Name and COFRS Fund Number:** Fund #1000 Tobacco Litigation Defense Account

**Reappropriated Funds Source, by Department and Line Item Name:**

**Approval by OIT?**                      Yes:                      No:                      Not Required: x

**Schedule 13s from Affected Departments:**                      NA

**Other Information:**





# DEPARTMENT OF LAW

*FY 2015-16 Funding Request  
November 1, 2014*

*John W. Suthers  
Attorney General*

*Cynthia Coffman  
Chief Deputy Attorney General*

**Department Priority: 3**  
**Request Title Tobacco Litigation Legal Assistant**

<b>Summary of Incremental Funding Change for FY 2015-16</b>	<b>Total Funds</b>	<b>CF</b>	<b>FTE</b>
Consumer Fraud Unit/Antitrust Tobacco and Consumer Protection Unit	\$80,389	\$80,389	1.0

<b>Summary of Incremental Funding Change for FY 2016-17</b>	<b>Total Funds</b>	<b>CF</b>	<b>FTE</b>
Consumer Fraud Unit/Antitrust Tobacco and Consumer Protection Unit	\$79,159	\$79,159	1.0

### **Request Summary:**

The Department of Law is requesting 1.0 FTE and \$80,389 in Tobacco Defense Account spending authority to support the efforts and workload needs for Tobacco Litigation support. This request is for one Legal Assistant II to allow the Department of Law, Department, to better protect Colorado interests with Tobacco Litigation negotiations and settlements.

### **Tobacco Settlement Enforcement**

Since the State's settlement of the tobacco litigation against the major domestic tobacco companies in 1998, this unit has monitored compliance with the numerous injunctive terms and payment obligations under the Master Settlement Agreement ("MSA") and the Smokeless Tobacco Master Settlement Agreement ("STMSA"). Under these agreements the companies have agreed to a host of marketing restrictions, including a prohibition on youth marketing. Also under these agreements, the companies pay anywhere from \$80.0 - \$100.0 Million to the general fund of the State of Colorado each year. The fluctuations in payments depend on various complex adjustments provided for under the MSA which relate to sales volume by the participating companies and market share loss to manufacturers that are not part of the MSA (so called, "nonparticipating manufacturers" or "NPMs").

This unit monitors compliance with the settlement and ensures Colorado's interests are protected in the MSA's payment calculations. This unit also enforces statutes that require NPMs to pay an escrow on their sales that approximates what they would owe under the settlement. The Colorado Department of Revenue (DOR) also has enforcement responsibilities with regard to these escrow requirements, and this unit works closely with DOR on this enforcement. The Antitrust, Tobacco and Consumer Protection Unit has one full-time lawyer who is funded out the tobacco settlement funds to handle these functions.

The “diligent enforcement” arbitration proceedings provided for under the MSA has occupied a significant amount of time for this unit since 2006. Through this arbitration, the tobacco companies can challenge the State’s enforcement of the NPM escrow obligations. If it is determined through this arbitration that our enforcement was not diligent, the payment Colorado receives under the settlement can be reduced significantly. After nearly seven years of litigation, Colorado recently received a determination that it diligently enforced the tobacco escrow laws against NPMs. This determination, however, only applies to enforcement undertaken in 2003. While this favorable resolution will likely influence arbitrations for years 2004 – 2012, these proceedings still need to be undertaken to determine the diligence of our enforcement efforts during these years. Work on the arbitrations for these latter years continues and will continue in FY 14-15.

**Purpose of this Request:**

This request is due to increased workload as a result of ongoing diligent enforcement arbitrations. Each calendar year is projected to have its own arbitration in which the Participating Manufacturers seek to establish that Colorado did not diligently enforce its Tobacco Escrow Funds Act. The first of these arbitrations, for the calendar year 2003, took more than three years of planning and three years of hearings to complete. The arbitration for 2004 will commence in 2015, and while expected to move more quickly, the arbitration will most likely take another three years to complete.

While the State has outside counsel representing Colorado in the arbitration, a number of tasks related to the arbitration are handled in house, as well as working with other states on related issues. As a result, this work has impacted the day-to-day enforcement work under the Master Settlement Agreement and Tobacco Escrow Funds Act. Every tobacco product manufacturer must file an annual certification with the Unit for review, and several will file additional supplemental certifications throughout the year. In addition, Non-Participating Manufacturers also file certifications verifying compliance with the Escrow Funds Act. Review of more than 45 annual manufacturer certifications and 20 escrow certifications can take months under normal circumstances. With increased demands in other areas, this annual review is often extended for a greater amount of time. A full-time Legal Assistant

for tobacco would alleviate this problem and allow for a more efficient review process.

Further work on multi-state level, or with state and federal government agencies also require action in a timely fashion. These requests for information, multi-state actions against tobacco companies, working groups on issues related to cigarettes and tobacco products, are impacted by time constraints and expanding diligent enforcement related work.

Tobacco Settlement Enforcement currently consists of one Assistant Attorney General and partial support from a Legal Assistant supporting the Consumer Protection program. In the past, a temporary legal assistant has been engaged to provide short-term assistance in review of certifications and escrow compliance. With the assistance of a full time Legal Assistant II, more tasks would be handled in a timely and efficient manner and potentially new investigations, multi-state activities, and assistance to other agencies would not be delayed or hindered. A full-time Legal Assistant II would help alleviate backlogged projects and allow for more review, analysis and outreach on issues related to cigarette and tobacco control.

**Anticipated Outcomes:**

With the additional resources this Unit will be able to more effectively and efficiently handle the complex needs and workload efforts of the muti-state Master Tobacco Settlement and Colorado Tobacco Escrow Funds Act, including certification review, Certified Brand Directory management, and requests for information and assistance from other government agencies, including the Department of Revenue, the Bureau of Alcohol, Tobacco, and Firearms, and the U.S. Treasury’s Tobacco Tax and Trade Bureau.

**Assumptions for Calculations:**

The department is assuming that this position will be filled at the mid-range for the classification. As mentioned above, this area of law is complex and almost exclusively litigious. As such, the program requires that the requested Legal Assistant have more experience, in order to best serve Colorado consumers.

**Consequences if not Funded:**

If this request is not funded, the department will continue to prioritize and manage the workload efforts within existing spending and FTE authority.

**Impact to Other State Government Agency:**

This decision item will not have a fiscal or workload impact on other state agencies. This request will impact the draw on the Tobacco Defense Account. This account currently funds 1.0 FTE, associated operating expenses, and outside counsel and litigation efforts.

No statutory changes needed, currently. HB 13-1180 provides the mechanism to fund the Tobacco Settlement Defense account with roughly \$1.8M going into the account in FY 13 and \$1M in FY 14, FY 15, and FY 16. As funds in the Tobacco Defense Account, from which this decision hits, new legislation will need to be initiated to continue transfers into the account to support litigation efforts.

**Current Statutory Authority or Needed Statutory Change:****Tobacco Defense Account Estimates:**

FY 15 Starting Balance	\$2,355,974
FY 15 Est Interest	\$14,462
FY 15 \$1M deposit	\$1,000,000
<b>FY 15 Total Revenue</b>	<b>\$3,370,436</b>
FY 15 Est Expenses by Line Item	
Consumer Protection	\$176,596
Carr Bldg Lease	\$6,602
Workers' Compensation	\$231
OIT Payments	\$744
Continuing Legal Educaiton	\$475
Tobacco Litigation Expenses	\$1,250,000
Asset Maintenance	\$1,469
<b>Total Potential Expenses</b>	<b>\$1,436,117</b>
<b>Est FY 15 ending balance</b>	<b>\$1,934,319</b>

FY 16 Estimated Starting Balance	\$1,934,319
FY 16 Est Interest	\$14,462
FY 16 \$1M deposit	\$1,000,000
<b>FY 16 Total Revenue</b>	<b>\$2,948,781</b>
FY 16 Est Expenses by Line Item	
Consumer Protection with Decision Item	\$243,798
Carr Bldg Lease	\$6,599
Workers' Compensation	\$184
OIT Payments	\$2,175
Continuing Legal Educaiton	\$475
Asset Maintenance	\$1,469
Tobacco Litigation Expenses	\$1,250,000
<b>Total Potential Expenses</b>	<b>\$1,504,700</b>
<b>Est FY 16 ending balance</b>	<b>\$1,444,081</b>

FY 16 is the last year for the \$1M transfer into the Tobacco Defense Account. The above charts depict the worst case assumptions on cost impacts to the account. However, the Department believes this is a conservative assumption. Regardless, a bill will most likely need to be sponsored to provide funding for the Tobacco Defense Account in FY 18. However, if estimates provided above are on target, then a bill would need to be addressed impacting FY 17.

Class Code	Class Title	Pay Grade	Minimum	Midpoint	Maximum
H5E2	LEGAL ASSISTANT II	T15	\$4,914	\$5,840	\$6,766

Legal Asst II Calculations	FY 16	FY 17
Supplies @ \$500/\$500	\$500	\$500
Computer @ 900/\$0	\$900	\$0
Office Suite Software @ \$395/\$0	\$330	\$0
Telephone Base @ \$450/\$450	\$450	\$450
Office Equipment @ \$8,767/\$0 (includes office furniture, chair, bookcase)	\$8,767	
<b>Total Operating</b>	<b>\$2,180</b>	<b>\$950</b>

Monthly Salary Legal Assistant II (mid point)	\$5,840	\$5,840
Annual Salary	\$70,080	\$70,080
PERA at 10.15%	\$7,113	\$7,113
Medicare at 1.45%	\$1,016	\$1,016
<b>Total PS General Prof II</b>	<b>\$78,209</b>	<b>\$78,209</b>
<b>Total Decision Item</b>	<b>\$80,389</b>	<b>\$79,159</b>
CF	\$80,389	\$79,159

## Schedule 13 Funding Request for the 2015-16 Budget Cycle

**Department:** Department of Law  
**Request Title:** 1/2 Time Contract Administrator  
**Priority Number:** #4

**Dept. Approval by:**  - 10/15/14  
Date

**OSPB Approval by:** \_\_\_\_\_  
Date

Decision Item FY 2015-16  
 Base Reduction Item FY 2015-16  
 Supplemental FY 2014-15  
 Budget Amendment FY 2015-16

Line Item Information		FY 2014-15		FY 2015-16		FY 2016-17
		1	2	3	4	6
		Appropriation FY 2014-15	Supplemental Request FY 2014-15	Base Request FY 2015-16	Funding Change Request FY 2015-16	Continuation Amount FY 2016-17
	Fund					
<b>Total of All Line Items</b>	<b>Total</b>	3,652,491	-	3,743,741	55,114	45,117
	FTE	44.1	0.0	44.1	0.0	0.0
	GF	-	-	-	-	-
	GFE	-	-	-	-	-
	CF	46,935	-	-	-	-
	RF	3,605,556	-	3,743,741	55,114	45,117
	FF	-	-	-	-	-
<b>(1) Administration :</b>						
<b>Personal Services</b>	<b>Total</b>	3,454,299	-	3,550,252	44,167	44,167
	FTE	44.1	-	44.1	-	-
	GF	-	-	-	-	-
	GFE	-	-	-	-	-
	CF	45,985	-	-	-	-
	RF	3,408,314	-	3,550,252	44,167	44,167
	FF	-	-	-	-	-
<b>(1) Administration:</b>						
<b>Operating Expenses</b>	<b>Total</b>	198,192	-	193,489	10,947	950
	FTE	-	-	-	-	-
	GF	-	-	-	-	-
	GFE	-	-	-	-	-
	CF	950	-	-	-	-
	RF	197,242	-	193,489	10,947	950
	FF	-	-	-	-	-

Letternote Text Revision Required?    Yes:                      No:                      If yes, describe the Letternote Text Revision:  
 Cash or Federal Fund Name and COFRS Fund Number:  
 Reappropriated Funds Source, by Department and Line Item Name:  
 Approval by OIT?                      Yes:                      No:                      Not Required: x  
 Schedule 13s from Affected Departments:  
 Other Information:





# DEPARTMENT OF LAW

*FY 2015-16 Funding Request  
November 1, 2014*

*John W. Suthers  
Attorney General*

*Cynthia Coffman  
Chief Deputy Attorney General*

**Department Priority: 4  
Request Title Contract Administrator 1/2 FTE**

Summary of Incremental Funding Change for FY 2015-16	Total Funds	RF	FTE
<b>Total Request</b>	<b>\$55,114</b>	<b>\$55,114</b>	<b>0.0</b>
Administration Personal Services	\$44,167	\$44,167	0.0
Administration Operating Expenses	\$10,947	\$10,947	0.0

Summary of Incremental Funding Change for FY 2016-17	Total Funds	RF	FTE
<b>Total Request</b>	<b>\$45,117</b>	<b>\$45,117</b>	<b>0.0</b>
Administration Personal Services	\$44,167	\$44,167	0.0
Administration Operating Expenses	\$950	\$950	0.0

### Request Summary:

The Department of Law (DOL) is requesting the money associated with a half time contract administrator within the Administration Section of the agency. This position is a General Professional VI handling, contract and purchase order development; contract monitoring and related vendor oversight and programmatic support.

This request will allow the Department of Law to better mitigate risk to state resources through dedicated resources and expertise.

### Background:

The Administration Section is funded with departmental indirect cost assessments (from revenue funded and federal sources to replace the General Fund) that are collected from the Department's various divisions and transferred as

reappropriated funds to the Administration appropriation. The largest source of indirect cost recoveries are assessments within the Department's largest appropriation: Legal Services to State Agencies.

The Administration Division includes the following sections:

- *Office of the Attorney General*, which includes the Attorney General, Chief Deputy Attorney General, Solicitor General, Director of Legal Policy and Federal-State Issues, and associated administrative staff;
- *Human Resources*, which hires new employees, manages employee benefits, and consults with employees and managers

regarding applicable state and federal personnel laws and regulations;

- *Financial Services*, which includes accounting, financial reporting, and payroll.
- *Budgeting*: Prepares the Department's budget and monitors expenditures and budget implementation
- 
- *Information Technology Services*, which handles the Department's computer, network, and security needs.

*Business Operations and Litigation Support Unit (BOLS)*, provides litigation support including data and document handling. Additionally, this unit provides office wide support to space planning, workspace preparation, facility and security issues, small move assistance, fleet vehicle coordination, archive and destruction, court and courier service.

### **Problem or Opportunity:**

The DOL, due to its representation of state agency business and its' investigation and prosecution efforts in insurance, securities, criminal and Medicaid fraud and general consumer protection investigations and prosecutions, possesses, analyzes and retains various protected information. The DOL has legal responsibilities to safeguard the confidentiality of this information obtained and used in the course of its representation of State interests and instrumentalities and agencies of the State.

Many of the DOL's contracts have the potential for a particular vendor to view, process, or manipulate protected information and work product. Such contracts may address network security and backup, investigation or prosecution data management, or other data retention, such as the anonymous reporting that the Safe2Tell program manages. As such, the DOL must insure its contractors maintain the security,

confidentiality, and auditing requirements that our partners require. These include regulations and guidelines issued by the Federal Bureau of Investigation (FBI), the U.S. Department of Homeland Security (U.S. DHS), the Governor's Office of Homeland Security (DHS), the Colorado Bureau of Investigation (CBI), the Colorado Chief Information Security Officer (CISO), the Health Insurance Portability and Accountability Act (HIPPA), the Health Information Technology for Economic and Clinical Health Act (HITECH), and the Family Educational Rights and Privacy Act (FERPA).

Due to the increasing sophistication of the DOL's contracts based on the continuing need to protect state information, the DOL is spending increasing time on contract negotiations and vendor monitoring to ensure deliverables are being met within the terms of the contract and that state data is protected through contract language and contractor performance.

The Administration section serves the procurement and contract negotiation needs of the entire agency. However, over the past year, the agency has had to rely on the expertise of an individual that serves the CERCLA program and the Natural Resource agencies within the legal Services to State Agencies long bill group. This short term solution has enabled the agency to maintain contract protections and oversight, while maintaining contractor relationships, but is neither the most appropriate use of this individual's effort nor the most appropriate allocation of costs.

The Department of Law is requesting the resources associated with ½ time General Prof VI FTE to be housed with the Administration section of the Long Bill, thereby being paid from indirect costs from all appropriate agency fund sources. This position will manage the contract development of department procurements and help oversee contract delivery.

The Administration section currently can utilize only 40.5 of the 43.7 allocated FTE, due to the

current funding of the Long Bill Line Item. The department is not requesting the FTE due to the current unutilized FTE, but needs the dollar appropriation to accommodate this position.

**Anticipated Outcomes:**

The DOL has not witnessed any failure or shortcoming by a contracted vendor to meet contract deliverables within the terms of the contract and has not had any data breeches. However, the Department believes it would be better served by an employee that can specifically dedicate time to this effort, thereby improving the internal controls on state resources, improve overall vendor oversight, and better accounting for the costs associated with this department wide effort.

**Assumptions for Calculations:**

The Department is using the minimum of the General Prof VI range. Additionally, the Department is assuming general operating costs for each position, with the exception of office furniture. For office furniture, the Department is using the furniture costs associated with small offices, to ensure consistency of furniture in the building.

**Consequences if not Funded:**

If this request is not funded, the department will continue to best meet workload needs while attempting to best meet procurement protocols and contract oversight efforts.

**Impact to Other State Government Agency:**

Based on FY 16 budget submission, roughly 75% of indirect recoveries are recovered from the Legal Services to State Agencies Line Item.  $75\% * \$55,114 = \$41,335$ .  $\$41,335 / 403,272$  hours (FY 15 Long Bill hours plus special bills) = \$0.10 impact on legal rate. Please note the legal rate will go down in FY 16, due to the roughly \$1.6M that was included in the legal rate for FY 15 to cover the compensated absences calculation requirements for an internal service fund. The JBC allowed the Department to build in this amount to accommodate this fund balance requirement. This amount will not be needed in

FY 16, thereby reducing the total amount the fund will need to cover.

**Current Statutory Authority or Needed Statutory Change:**

24-31-101(3) C.R.S. states, “The attorney general may appoint such deputies and assistants as are necessary for the efficient operation of his office within the limitations of appropriations made therefor by the general assembly.” There is no statutory modification necessary to implement this request.

Decision Item Calculations:

<b>Gen Prof VI</b>	<b>FY 16</b>	<b>FY 17</b>
Supplies @ \$500/\$500	\$500	\$500
Computer @ 900/\$0	\$900	\$0
Office Suite Software @ \$395/\$0	\$330	\$0
Telephone Base @ \$450/\$450	\$450	\$450
Office Equipment @ \$8,767/\$0 (includes office furniture, chair, bookcase)	\$8,767	
<b>Total Operating</b>	<b>\$10,947</b>	<b>\$950</b>

Monthly Salary General Prof VI	\$6,596	\$6,596
Annual Salary	\$79,152	\$79,152
0.5 FTE	\$39,576	\$39,576
PERA at 10.15%	\$4,017	\$4,017
Medicare at 1.45%	\$574	\$574
<b>Total PS General Prof VI</b>	<b>\$44,167</b>	<b>\$44,167</b>
<b>Total Decision Item</b>	<b>\$55,114</b>	<b>\$45,117</b>
RF	\$55,114	\$45,117

## Schedule 13 Funding Request for the 2015-16 Budget Cycle

**Department:** Department of Law  
**Request Title:** Consumer Protection and Antitrust Operating and Litigation Decision Item  
**Priority Number:** #5

**Dept. Approval by:**  10/15/14  
Date

x Decision Item FY 2015-16  
 Base Reduction Item FY 2015-16  
 Supplemental FY 2014-15  
 Budget Amendment FY 2015-16

**OSPB Approval by:** \_\_\_\_\_  
Date

Line Item Information		FY 2014-15		FY 2015-16		FY 2016-17
		1	2	3	4	6
Fund	Fund	Appropriation FY 2014-15	Supplemental Request FY 2014-15	Base Request FY 2015-16	Funding Change Request FY 2015-16	Continuation Amount FY 2016-17
<b>Total of All Line Items</b>		<b>Total</b>	-	2,398,519	167,823	167,823
	<b>FTE</b>	26.0	0.0	26.0	0.0	0.0
	<b>GF</b>	1,106,670	-	1,143,542	64,547	64,547
	<b>GFE</b>	-	-	-	-	-
	<b>CF</b>	961,411	-	988,016	83,911	83,911
	<b>RF</b>	260,579	-	266,961	19,365	19,365
	<b>FF</b>	-	-	-	-	-

<b>(5) Consumer Protection: Consumer Protection and Antitrust</b>	<b>Total</b>	2,328,660	-	2,398,519	167,823	167,823
	<b>FTE</b>	26.0	-	26.0	-	-
	<b>GF</b>	1,106,670	-	1,143,542	64,547	64,547
	<b>GFE</b>	-	-	-	-	-
	<b>CF</b>	961,411	-	988,016	83,911	83,911
	<b>RF</b>	260,579	-	266,961	19,365	19,365
	<b>FF</b>	-	-	-	-	-

**Letternote Text Revision Required?**      **Yes:**                      **No:**                      **If yes, describe the Letternote Text Revision:**  
**Cash or Federal Fund Name and COFRS Fund Number:**      #1460 CP Custodial  
**Reappropriated Funds Source, by Department and Line Item Name: Fund**  
**Approval by OIT?**                      **Yes:**                      **No:**                      **Not Required:**  
**Schedule 13s from Affected Departments:**                      NA  
**Other Information:**





# DEPARTMENT OF LAW

*FY 2015-16 Funding Request  
November 1, 2014*

*John W. Suthers  
Attorney General*

*Cynthia Coffman  
Chief Deputy Attorney General*

**Department Priority: 5**  
**Request Title Consumer Protection and Antitrust Operating and Litigation Decision Item**

Summary of Incremental Funding Change for FY 2015-16	Total Funds	GF	CF	RF
Consumer Fraud Unit/Antitrust Tobacco and Consumer Protection Unit	\$167,823	\$64,547	\$83,911	\$19,365

Summary of Incremental Funding Change for FY 2016-17	Total Funds	GF	CF	RF
Consumer Fraud Unit/Antitrust Tobacco and Consumer Protection Unit	\$167,823	\$64,547	\$83,911	\$19,365

## **Request Summary:**

The Department of Law is requesting \$167,823 in Total Fund spending authority to support the litigation expenses and annual operating expenses of the Consumer Protection and Antitrust Line Item.

## **Background Information:**

There are two units that enforce the provisions of the Colorado Consumer Protection Act ("CCPA") (Colo. Rev. Stat. §§ 6-1-101, *et seq.*). The Consumer Fraud Unit handles traditional consumer protection matters such as false advertising, Internet marketing scams and charitable fraud cases.

The Antitrust, Tobacco and Consumer Protection Unit handles the Colorado Antitrust Act ("Antitrust Act") (Colo. Rev. Stat. §§ 6-4-101, *et seq.*), several specialized consumer protection statutes, such as the No-Call List Act (Colo. Rev. Stat. §§ 6-1-901, *et seq.*)

and all of the consumer protection laws designed to address mortgage fraud and foreclosure rescue schemes. *See, e.g.*, Colo. Rev. Stat. § 12-61-904.5, 12-61-911 and § 38-40-105 (governing mortgage loan originator conduct), Colo. Rev. Stat. § 6-1-717, (governing appraisal fraud) and Colo. Rev. Stat. § 6-1-1100, *et seq.*, (Colorado Foreclosure Protection Act). This unit also enforces the Tobacco Master Settlement Agreement and related tobacco laws (Colo. Rev. Stat. §§ 39-28-201, *et seq.* – Tobacco Escrow Funds Act; and Colo. Rev. Stat. §§ 39-28-301, *et seq.* – Certified Brands Directory Act). Below is a description of how these units handle their enforcement efforts under their statutory provisions.

## **Consumer Fraud**

Consumer fraud investigations and prosecutions are handled by a variety of attorneys, investigators, and

support staff through both Units. While most cases are brought under the Colorado Consumer Protection Act, these Units also bring cases under the Charitable Solicitations Act and the Motor Vehicle Repair Act. In addition to purely local cases, attorneys and staff periodically participate in national or multi-state enforcement activities with their counterparts in the Attorney General Offices of other states and with the Federal Trade Commission.

### **Antitrust**

The Attorney General's antitrust enforcement efforts are directed at protecting consumers and legitimate competitors from a whole range of anticompetitive conduct, including price fixing, conspiracies to suppress competition and mergers that will unreasonably restrain fair competition. The Attorney General has exclusive jurisdiction to enforce the civil and criminal provisions of the Colorado Antitrust Act. The Attorney General also participates in merger reviews in conjunction with the FTC and DOJ where the industry at issue implicates statewide interests of concern in Colorado.

The Antitrust, Tobacco and Consumer Protection Unit has one full-time lawyer who enforces the Colorado Antitrust Act and the federal antitrust laws. This lawyer is also responsible for enforcement of the no-call laws, discussed below. This position is funded from the general fund.

### **Tobacco Settlement Enforcement**

Since the State's settlement of the tobacco litigation against the major domestic tobacco companies in 1998, this unit has monitored compliance with the numerous injunctive terms and payment obligations under the Master Settlement Agreement ("MSA") and the Smokeless Tobacco Master Settlement Agreement ("STMSA"). Under these agreements the companies have agreed to a host of marketing restrictions, including a prohibition on youth marketing. Also under these agreements, the companies pay anywhere from \$80.0 - \$100.0 Million to the general fund of the State of Colorado each year. The fluctuations in payments depend on various complex adjustments provided for under the MSA which relate to sales volume by the participating companies and market share loss to manufacturers that are not part of the MSA (so called, "nonparticipating manufacturers" or "NPMs").

This unit monitors compliance with the settlement and ensures Colorado's interests are protected in the MSA's payment calculations. This unit also enforces statutes that require NPMs to pay an escrow on their sales that approximates what they would owe under the settlement. The Colorado Department of Revenue (DOR) also has enforcement responsibilities with regard to these escrow requirements, and this unit works closely with DOR on this enforcement. The Antitrust, Tobacco and Consumer Protection Unit has one full-time lawyer who is funded out the tobacco settlement funds to handle these functions.

The "diligent enforcement" arbitration proceedings provided for under the MSA has occupied a significant amount of time for this unit since 2006. Through this arbitration, the tobacco companies can challenge the State's enforcement of the NPM escrow obligations. If it is determined through this arbitration that our enforcement was not diligent, the payment Colorado receives under the settlement can be reduced significantly. After nearly seven years of litigation, Colorado recently received a determination that it diligently enforced the tobacco escrow laws against NPMs. This determination, however, only applies to enforcement undertaken in 2003. While this favorable resolution will likely influence arbitrations for years 2004 - 2012, these proceedings still need to be undertaken to determine the diligence of our enforcement efforts during these years. Work on the arbitrations for these latter years continues and will continue in FY 14-15.

### **No-Call Enforcement**

The No-Call List Act was enacted in 2002. Since that time over 3.4 Million residential phone numbers have been registered on the no-call list. The no-call list and other procedural aspects of the no-call program are administered by the Public Utilities Commission ("PUC"). However, enforcement of violations are handled by one investigator and one lawyer within the Antitrust, Tobacco and Consumer Protection Unit. The lawyer splits his time between this work and antitrust enforcement. The investigator position is funded in part through the fees generated by telemarketers who buy the no-call lists each quarter. The attorney position is funded through general funds.

The Attorney General investigates complaints that are reported to the PUC of suspected no-call violations. These investigations involve some detailed work to ensure that the jurisdictional elements of the No-Call

List Act are satisfied. They also involve extensive investigation to identify the suspects or telemarketers involved in the violation. As discussed below, these investigations are labor intensive because violators use calling technologies that make it difficult to trace the calls back to them.

### **Mortgage Fraud and Foreclosure Prevention**

To address the rise in mortgage fraud and foreclosure rescue fraud, the General Assembly passed the Foreclosure Protection Act in 2006 and four mortgage fraud bills in 2007. Also, as part of the 2007 mortgage fraud bills the Antitrust, Tobacco and Consumer Protection Unit was staffed with one lawyer FTE and two investigator FTEs to enforce these new laws and the Foreclosure Protection Act. These three new positions are funded through the licensing fees that are paid by mortgage originators to get licensed with the Division of Real Estate's Mortgage Loan Originator Program.

During FY 13-14, significant resources have been devoted to implementing and monitoring the historic \$25.0 billion settlement with the five major mortgage servicing companies, Bank of America, Wells Fargo, JP Morgan Chase, Citibank and Ally/GMAC ("the National Mortgage Settlement"). This settlement resolved several state and federal claims related to the banks' foreclosure and loan servicing practices. It was the largest settlement reached in a joint federal – state investigation. The federal agencies participating in this settlement were the U.S. Department of Justice, the U.S. Department of Housing and Urban Development, the Consumer Protection Financial Bureau and the U.S. Department of Treasury. Forty-nine state Attorneys General and state banking departments participated in this settlement.

As a result of this settlement the servicing companies agreed to provide at least \$20.0 billion in loan relief to homeowners who are struggling to pay their mortgages. This loan relief can come in the form of reducing the principal balance of the loan in conjunction with a loan modification that will allow a borrower to have an affordable monthly payment. It can also come in the form of refinancing a borrower who is current on the loan, but who has been unable to take advantage of the historically low interest rates during FY 12-13. Credit can also be earned for granting relief that avoids a foreclosure and gets the home back on the market, such as a short sale. The

banks also agreed to a detailed 42-page injunction and monitoring plan which regulates the way they conduct foreclosures and handle loan modification requests. One lawyer from this unit serves on the monitoring committee for this nationwide settlement. This activity consumes a significant amount of time and it is expected that this will continue to be the case through FY 14-15 when the settlement expires. Additionally, two lawyers from the unit are engaged in settlements being negotiated with second-tier servicers and monitoring of those settlements.

In addition to this relief, \$51.17 Million in custodial funds were paid to Colorado under the National Mortgage Settlement to help prevent foreclosures and stabilize the housing market. During FY 11-12 this office worked with the Governor's Office, the Division of Housing, the leadership in both the state House and Senate and the housing community to devise a plan to spend this money in a way that will prevent foreclosures and stabilize housing. After holding public hearings and soliciting comments from numerous interested stakeholders this office announced the following funding for existing and new foreclosure prevention and housing stabilization programs:

- ▶ \$24.0 Million for supplemental loan mod programs
- ▶ \$18.196 Million for affordable housing programs
- ▶ \$5.625 Million for housing counseling support over three years
- ▶ \$1.5 Million for Colorado Legal Services over three years
- ▶ \$1.1 Million to the Colorado Foreclosure Hotline for an additional three years of operation and funding for marketing and outreach to distressed homeowners
- ▶ \$750,000 for Colorado Attorney General's Office enforcement and monitoring support

The funding for these programs will continue for three years through FY 14-15. As a result of this settlement this unit has added two contract lawyers, both of whom are funded through the custodial funds recovered in National Mortgage Settlement. One of these lawyers has primary responsibility for monitoring the programs that have been established with the custodial funds. This position will continue through FY 14-15.

**Problem:**

These program areas are primarily funded by four sources: General Fund, The Consumer Protection Custodial Fund, Tobacco Litigation dollars, and moneys provided by the Department of Regulatory Affairs Division of Real Estate's Mortgage Loan Originator Program. Additionally, small revenue streams from No Call dollars are included to help bolster Consumer Protection and Antitrust Efforts.

This request is exclusively focusing on the operating dollar needs supported by the General Fund, the Consumer Protection Custodial Fund, and the Mortgage Fraud dollars provided as Reappropriated Dollars from the Department of Regulatory Agencies.

Generally, The Department of Law has had a growing issue with available and appropriate operating dollars for the programmatic needs within this line item. Please see Chart #1 and Chart #2 below. These charts demonstrate that only a few operating expenses can be housed within this line item, leaving no resources within the line item to cover any litigation expenses, in-state or out-of-state travel and per diems to effectively investigate and potentially prosecute various fraud complaints, and general costs to support and follow up on consumer fraud tips provided by the public and any educational resources provided by this office.

The Department has been able to absorb these costs by two means in the past.

First, the Department of Law had the unusual flexibility to move operating POTS from the centrally appropriated lines to programmatic lines like the Consumer Protection and Antitrust Line Item. Such operating POTS included: Capitol Complex and now Carr Bldg Lease Costs, Building Security in the old building, Workers Compensation expenses, Information Technology Asset expenses, etc.. This flexibility allowed the Department to move budgets to various lines to accommodate some operating expenses, when available resources from POT moves to other lines were not necessary to help cover the costs of that particular line item. In short, the Department could move operating POTS to a line item in excess of the expenses incurred in that line item for a particular expense, if base dollar were available to cover these like expenses in another line item. This flexibility is no longer available in the new, state accounting system: CORE, which has replaced

COFRS. All of the operating centrally appropriated lines will have their costs accounted for in each operating "POTTED" line item for FY 15 and out years, thereby completely eliminating this flexibility.

Secondly, the Department of Law's Consumer Protection and Antitrust efforts periodically receive custodial dollars from court awards and other settlements to be used within the specific direction of the settlement or award. Often times, the direction provided in these awards include language stating, "...to be held along with any interest thereon, in trust by the Attorney General for future consumer education, consumer fraud or antitrust enforcement actions." This language has allowed the Department to move operating expenses incurred due to the normal course of providing consumer fraud and antitrust enforcement and education efforts to moneys that are outside of the appropriated line.

The Department of Law provides the General Assembly each award letter and, through the annual budget request, demonstrates the use of each custodial fund by object code each year. The Department maintains transparency by paying for all state employee salary and benefits incurred by the custodial fund within the Consumer Protection and Antitrust line item. However, the Department cannot house all relevant operating expenses in the line item, due to insufficient spending authority.

This request is attempting to right size the spending authority within the line item by fund source to better reflect annual anticipated costs.

**Anticipated Outcomes:**

Although this request has a General Fund impact, it ultimately will better reflect costs by activity and appropriate fund source, thereby minimizing the Custodial Fund's supplementing of other resources. Additionally, this request will better align needed dollars thereby producing a better demonstration of all costs needed to support the state employees and their operating expenses within an appropriated line item.

**Assumptions for Calculations:**

3 year average on operating expenses not associated with Centrally Appropriated Operating "POTTED" lines.

**Consequences if not Funded:**

If this request is not funded, the department will continue to prioritize and manage the workload efforts

and funding needs within existing and available resources.

**Impacts to Other State Agencies:**

This request, if approved, will increase the reappropriated dollars that are transferred from the Department of Regulatory Affairs by roughly \$19,000.

**Current Statutory Authority or Needed Statutory Change:**

No statutory changes needed.

C.R.S. 24-31-108(3), “If all or a portion of moneys received by the attorney general and paid to the department of treasury pursuant to section 24-31-101(1)(d) are custodial moneys, the attorney general shall direct the state treasurer in writing to place such custodial moneys in a separate account. Any custodial moneys placed in a separate account pursuant to this subsection (3) shall not be subject to annual appropriation by the general assembly. A copy of the written direction to the state treasurer shall be delivered to the joint budget committee...”

Chart 1: Estimated Personal Service Expenses FY 15

	GF	CF	RF	Total
Est FY 15 Salaries by Fund	991,188	882,569	226,956	2,100,713
PERA	100,606	89,581	23,036	213,222
Medicare	14,372	12,797	3,291	30,460
STD	2,181	1,942	499	4,622
AED	39,648	35,303	9,078	84,029
SAED	37,170	33,096	8,511	78,777
HLD	68773	95613	10932	175,318
Other Employee Benefits	2950	2000	250	5,200
Contracts	2500			2,500
Estimated Totals	1,259,386	1,152,901	282,553	2,694,840
Total Appropriation	1,290,274	1,165,523	294,358	2,750,155
<b>Difference/Available for Operating</b>	<b>30,888</b>	<b>12,622</b>	<b>11,805</b>	<b>55,315</b>

Chart 2:

3 Year Average on Certain Object Codes in Consumer Protection and Antitrust Line Item and Costs absorbed within the Consumer Protection Custodial Fund #1460:

2630	COMM SVCS FROM DIV OF TELECOM	12,799
2631	COMM SVCS FROM OUTSIDE SOURCES	4,344
2680	PRINTING/REPRODUCTION SERVICES	13,170
3121	OFFICE SUPPLIES	9,853
3123	POSTAGE	5,766
2252	RENTAL/MOTOR POOL MILE CHARGE	1,347
2258	PARKING FEES	2,570
Est FY 15 Expenses new Contract	CLEAR Subscription Est based on new contract	6,533
	Total	56,383

Chart 3:  
 Estimated FY 15 Budget with POTS moves into Line Item:

	GF	CF	CF	CF	RF	
		Tobacco	No Call	146 Custodial	Real Estate	Total
FY15 Appropriation	1,106,670	161,860	25,719	773,832	260,579	2,328,660
Classified SS				19,908	3,510	23,418
Non Classified SS	24,576	1,549			1,256	27,381
Classified Perf				6,378	772	7,150
Non Classified Perf	12,296				844	13,140
Health/Life/Dental	68,773	5,625		89,676	10,932	175,006
Short Term Disability	2,895	209		2,701	455	6,260
AED	38,743	3,795		39,443	8,263	90,244
SAED	36,321	3,558		31,270	7,747	78,896
<b>Total by Fund Type</b>						
	1,290,274	176,596	25,719	963,208	294,358	

Chart 4: Calculations for Request:

A	FY 12, 13, 14 Avg CP Operating	163,175
B	FY 12, 13, 14 Avg Operating moved to CP Custodial Fund	59,963
C= A+B	Total	223,137
D = Total Difference in Chart 1 - C	Difference/Need	(167,823)
E =D*(10GF FTE/26 Total FTE)	Need by Fund Source GF Based on FTE % in Line Item	64,547
F = D*(13 CF FTE/26 Total FTE)	Need by Fund Source CF Based on FTE % in Line Item	83,911
G = D*(3 RF FTE/26 Total FTE)	Need by Fund Source RF Based on FTE % in Line Item	19,365



**Schedule 13**  
**Funding Request for the 2015-16 Budget Cycle**

Department: Department of Law  
 Request Title: Vehicle Lease Payments Decision Item  
 Priority Number: Non Priority

Dept. Approval by: *Jan Peta* 10/15/14  
 Date

**x Decision Item FY 2015-16**  
**Base Reduction Item FY 2015-16**  
**Supplemental FY 2014-15**  
**Budget Amendment FY 2015-16**

OSPB Approval by: \_\_\_\_\_  
 Date

Line Item Information		FY 2014-15		FY 2015-16		FY 2016-17
		1	2	3	4	6
	Fund	Appropriation FY 2014-15	Supplemental Request FY 2014-15	Base Request FY 2015-16	Funding Change Request FY 2015-16	Continuation Amount FY 2016-17
<b>Total of All Line Items</b>	<b>Total</b>	58,588	-	58,588	12,694	-
	FTE	-	-	-	-	-
	GF	17,630	-	17,630	17,039	-
	GFE	-	-	-	-	-
	CF	17,097	-	17,097	(9,842)	-
	RF	21,382	-	21,382	2,198	-
	FF	2,479	-	2,479	3,299	-

<b>(1) Administration:</b>						
<b>Vehicle Lease Payments</b>	<b>Total</b>	58,588	-	58,588	12,694	-
	FTE	-	-	-	-	-
	GF	17,630	-	17,630	17,039	-
	GFE	-	-	-	-	-
	CF	17,097	-	17,097	(9,842)	-
	RF	21,382	-	21,382	2,198	-
	FF	2,479	-	2,479	3,299	-

Letternote Text Revision Required?    Yes:                      No:                      If yes, describe the Letternote Text Revision:

Cash or Federal Fund Name and COFRS Fund Number:    #1510 UCCC, #2960 POST, #16Z0 Insurance Fraud

Reappropriated Funds Source, by Department and Line Item Name:    #26Q0 LSSA

Approval by OIT?                      Yes:                      No:                      Not Required: x

Schedule 13s from Affected Departments:

Other Information:

Colorado Department of Law

FY 2015-16 Budget Request

**SCHEDULE 2 - PROGRAM SUMMARY**

	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Administration</b>	4,268,936	39.4	4,631,424	38.2	14,920,954	44.1	8,738,130	44.1	16,440,185	44.2
General Fund	646,252	0.0	966,685	0.0	2,977,193	0.0	1,749,435	0.0	3,484,115	0.0
General Fund Exempt	-	0.0	-	0.0	-	0.0	-	0.0	-	0.0
Cash Fund	-	0.0	-	0.0	1,374,212	0.0	573,235	0.0	1,333,386	0.0
Reappropriated Funds	3,622,684	0.0	3,664,739	0.0	10,223,056	0.0	6,293,843	0.0	11,266,654	0.0
Federal Funds	-	0.0	-	0.0	346,493	0.0	121,617	0.0	356,030	0.0
<b>Legal Services to State Agencies</b>	26,929,084	225.1	32,480,865	228.5	31,173,988	254.0	34,908,243	254.0	31,380,185	253.3
General Fund	403,018	0.0	-	0.0	-	0.0	-	0.0	-	0.0
General Fund Exempt	-	0.0	-	0.0	-	0.0	-	0.0	-	0.0
Cash Fund	839,619	0.0	1,186,099	0.0	876,269	0.0	876,269	0.0	1,186,099	0.0
Reappropriated Funds	25,686,447	0.0	31,294,765	0.0	30,297,719	0.0	34,031,974	0.0	30,194,086	0.0
Federal Funds	-	0.0	-	0.0	-	0.0	-	0.0	-	0.0
<b>Criminal Justice and Appellate</b>	11,574,658	81.0	14,251,133	95.3	16,439,534	108.2	18,056,485	108.2	17,117,186	110.6
General Fund	4,571,940	0.0	6,345,881	0.0	5,826,057	0.0	6,801,614	0.0	6,467,230	0.0
General Fund Exempt	-	0.0	-	0.0	-	0.0	-	0.0	-	0.0
Cash Fund	4,046,492	0.0	4,502,589	0.0	7,698,474	0.0	7,984,884	0.0	7,911,286	0.0
Reappropriated Funds	1,488,155	0.0	1,762,749	0.0	1,513,085	0.0	1,643,192	0.0	1,313,631	0.0
Federal Funds	1,468,071	0.0	1,639,915	0.0	1,401,918	0.0	1,626,794	0.0	1,425,038	0.0
<b>Water and Natural Resources</b>	1,823,518	11.2	1,752,171	10.9	2,153,999	12.0	2,253,668	12.0	2,119,729	12.0
General Fund	574,308	0.0	635,061	0.0	576,724	0.0	645,321	0.0	578,087	0.0
General Fund Exempt	-	0.0	-	0.0	-	0.0	-	0.0	-	0.0
Cash Fund	603,601	0.0	588,993	0.0	897,289	0.0	897,289	0.0	861,685	0.0
Reappropriated Funds	645,609		528,117	0.0	679,986	0.0	711,059	0.0	679,956	0.0
Federal Funds		0.0	-	0.0	-	0.0	-	0.0	-	0.0
<b>Consumer Protection</b>	4,765,348	42.8	5,326,658	43.6	4,401,700	46.0	5,133,650	46.2	4,751,227	47.2
General Fund	1,082,843	0.0	1,386,260	0.0	1,106,670	0.0	1,290,274	0.0	1,208,089	0.0
General Fund Exempt	-	0.0	-	0.0	-	0.0	-	0.0	-	0.0
Cash Fund	3,378,289		3,596,706	0.0	2,995,292	0.0	3,509,859	0.0	3,220,995	0.0

Colorado Department of Law

FY 2015-16 Budget Request

**SCHEDULE 2 - PROGRAM SUMMARY**

	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Reappropriated Funds	304,216		343,692	0.0	299,738	0.0	333,517	0.0	322,143	0.0
Federal Funds			-	0.0	-	0.0	-	0.0	-	0.0
<b>Special Purpose</b>	4,459,333	0.0	4,623,054	0.0	4,890,056	0.0	4,890,056	0.0	4,627,880	0.9
General Fund	2,656,471	0.0	2,676,960	0.0	3,047,656	0.0	3,047,656	0.0	3,177,880	0.0
General Fund Exempt	-	0.0	-	0.0	-	0.0	-	0.0	-	0.0
Cash Fund	1,802,862	0.0	1,946,094	0.0	1,842,400	0.0	1,842,400	0.0	1,450,000	0.0
Reappropriated Funds	-	0.0	-	0.0	-	0.0	-	0.0	-	0.0
Federal Funds	-	0.0	-	0.0	-	0.0	-	0.0	-	0.0
<b>GRAND TOTAL Department of Law</b>	53,820,877	399.5	63,065,304	416.5	73,980,231	464.3	73,980,231	464.5	76,436,390	468.2
General Fund	9,934,832	0.0	12,010,846	0.0	13,534,300	0.0	13,534,300	0.0	14,915,403	0.0
General Fund Exempt	-	0.0	-	0.0	-	0.0	-	0.0	-	0.0
Cash Funds	10,670,864	0.0	11,820,482	0.0	15,683,936	0.0	15,683,936	0.0	15,963,451	0.0
Reappropriated Funds	31,747,110	0.0	37,594,062	0.0	43,013,584	0.0	43,013,584	0.0	43,776,470	0.0
Federal Funds	1,468,071	0.0	1,639,915	0.0	1,748,411	0.0	1,748,411	0.0	1,781,067	0.0

Colorado Department of Law

FY 2015-16 Budget Request

Schedule 6: Special Bills Summary

Bill Number	Short Bill Title	Line Items	FTE	Total Funds	General Fund	General Fund Exempt	Cash Funds	Cash Funds Exempt / Reappropriated Funds	Federal Funds
FY 2014-15									
SB 14-188	Species Conservation Trust Fund List	LSSA PS	1.00	\$ 147,550				\$ 147,550	
		LSSA OP		\$ 16,394				\$ 16,394	
		Total	1.00	\$ 163,944				\$ 163,944	
SB 14-172	Work Event	LSSA PS	0.00	\$ 182				\$ 182	
		LSSA OP		\$ -				\$ -	
		Total	0.00	\$ 182				\$ 182	
SB 14-133	Concerning the Regulation of Private Investigators	LSSA PS	0.10	\$ 8,151				\$ 8,151	
		LSSA OP		\$ 906				\$ 906	
		Total	0.10	\$ 9,057				\$ 9,057	
SB 14-125	Regulation of Transport Network Companies	LSSA PS	0.00	\$ 8,197				\$ 8,197	
		LSSA OP		\$ 911				\$ 911	
		Total	0.00	\$ 9,108				\$ 9,108	
SB 14-099	Provisional Physical Therapist Licenses	LSSA PS	0.10	\$ 16,394				\$ 16,394	
		LSSA OP		\$ 1,822				\$ 1,822	
		Total	0.10	\$ 18,216				\$ 18,216	
SB 14-029	Paint Stewardship Program	LSSA PS	0.00	\$ 8,197				\$ 8,197	
		LSSA OP		\$ 911				\$ 911	
		Total	0.00	\$ 9,108				\$ 9,108	
SB 14-005	Alternative Administrative Remedies for the Processing of Certain Wage Claims	LSSA PS	0.00	\$ 20,903				\$ 20,903	
		LSSA OP		\$ 2,322				\$ 2,322	
		Total	0.00	\$ 23,225				\$ 23,225	
HB 14-1199	Change to the Regulation of Consumer Goods	LSSA PS	0.00	\$ 3,279				\$ 3,279	
		LSSA OP		\$ 364				\$ 364	
		Total	0.00	\$ 3,643				\$ 3,643	
HB 14-1398	Authorize Marijuana Financial Service Cooperatives	LSSA PS	0.00	\$ 13,116				\$ 13,116	
		LSSA OP		\$ 1,457				\$ 1,457	
		Total	0.00	\$ 14,573				\$ 14,573	

Colorado Department of Law

FY 2015-16 Budget Request

Schedule 6: Special Bills Summary

Bill Number	Short Bill Title	Line Items	FTE	Total Funds	General Fund	General Fund Exempt	Cash Funds	Cash Funds Exempt / Reappropriated Funds	Federal Funds
HB 14-1380	Colorado Coroners Standards and Training	LSSA PS	0.00	\$ 3,279				\$ 3,279	
		LSSA OP		\$ 364				\$ 364	
		Total	0.00	\$ 3,643				\$ 3,643	
HB 14-1331	Regulation of Basic Local Exchange Services as it Affects Effective Competition	LSSA PS	0.60	\$ 95,088				\$ 95,088	
		LSSA OP		\$ 10,565				\$ 10,565	
		Total	0.60	\$ 105,653				\$ 105,653	
HB 14-1329	Deregulate Internet Protocol Emerging Tech Telecom	LSSA PS	0.10	\$ 16,394				\$ 16,394	
		LSSA OP		\$ 1,822				\$ 1,822	
		Total	0.10	\$ 18,216				\$ 18,216	
HB 14-1328	Connect Colorado Broadband Act	LSSA PS	0.40	\$ 50,167				\$ 50,167	
		LSSA OP		\$ 5,574				\$ 5,574	
		Total	0.40	\$ 55,741				\$ 55,741	
HB 14-1227	Sunset Review of State Board of Dental	LSSA PS	0.30	\$ 51,233				\$ 51,233	
		LSSA OP		\$ 5,692				\$ 5,692	
		Total	0.30	\$ 56,925				\$ 56,925	
HB 14-1319	Outcomes Based Funding Model for Higher Ed	LSSA PS	0.00	\$ 16,394				\$ 16,394	
		LSSA OP		\$ 1,822				\$ 1,822	
		Total	0.00	\$ 18,216				\$ 18,216	
HB 14-131302	Concerning a Study of the Accountability Requirement	LSSA PS	0.00	\$ 18,000				\$ 18,000	
		LSSA OP		\$ 2,000				\$ 2,000	
		Total	0.00	\$ 20,000				\$ 20,000	
	<b>LSSA Total</b>	<b>Total</b>	<b>2.6</b>	<b>\$529,450</b>				<b>\$ 529,450</b>	
SB 14-215	Moneys Collected by the State for Legal Marijuana Industry	Special Prosecution Unit	2.0	\$ 456,760			\$456,760		
		<b>Special Prosecution Total</b>	<b>Total</b>	<b>2.0</b>	<b>\$ 456,760</b>	<b>\$ -</b>		<b>\$456,760</b>	
SB 14-123	POST Board	Adminstration PS	0.4	\$ 45,985			\$45,985		
		Administraiton OP		\$ 950			\$950		

Colorado Department of Law

FY 2015-16 Budget Request

Schedule 6: Special Bills Summary

Bill Number	Short Bill Title	Line Items	FTE	Total Funds	General Fund	General Fund Exempt	Cash Funds	Cash Funds Exempt / Reappropriated Funds	Federal Funds
SB 14-002	Safe2Tell	Administration: Vehicle Lease Payments	0.0	\$ 2,618	\$ 2,618		\$0		
	<b>Administration Total</b>	<b>Total</b>	<b>0.4</b>	<b>\$ 49,553</b>	<b>\$ 2,618</b>		<b>\$46,935</b>		
SB 14-002	Safe2Tell	Safe2Tell	2.5	\$ 279,334	\$ 264,334		\$15,000		
	<b>Safe2Tell Total</b>	<b>Total</b>	<b>2.5</b>	<b>\$ 279,334</b>	<b>\$ 264,334</b>		<b>\$15,000</b>		
SB 14-215	State for Legal Marijuana Industry	POST	1.0	\$ 1,168,000		\$ -	\$ 1,168,000		
		Total	1.0	\$ 1,168,000	\$ -	\$ -	\$ 1,168,000	\$ -	\$ -
SB 14-123	POST Board	POST	1.0	\$ 1,565,532		\$ -	\$ 1,565,532		
		Total	1.0	\$ 1,565,532	\$ -	\$ -	\$ 1,565,532	\$ -	\$ -
	<b>POST Total</b>	<b>Total</b>	<b>2.0</b>	<b>\$ 2,733,532</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 2,733,532</b>	<b>\$ -</b>	<b>\$ -</b>
HB 14-1144	District Attorney Training	Special Purpose:	0.0	\$ 350,000	\$ 350,000	\$ -	\$ -		
		Total	0.0	\$ 350,000	\$ 350,000	\$ -	\$ -	\$ -	\$ -
	<b>FY 2014-15 Total</b>		<b>9.5</b>	<b>4,398,629.0</b>	<b>616,952.0</b>	<b>0.0</b>	<b>3,252,227.0</b>	<b>529,450.0</b>	<b>0.0</b>
<b>FY 2013-14</b>									
SB 13-14	Immunity for Emerg Drugs to Overdose Victims	LSSA PS	0.00	\$ 2,086				\$ 2,086	
		LSSA OP		\$ 232				\$ 232	
		Total	0.00	\$ 2,318				\$ 2,318	
SB 13-26	Medical Transparency	LSSA PS	0.00	\$ 6,953				\$ 6,953	
		LSSA OP		\$ 772				\$ 772	
		Total	0.00	\$ 7,725				\$ 7,725	
SB 13-39	Regulation of Audiologists	LSSA PS	0.00	\$ 10,165				\$ 10,165	
		LSSA OP		\$ 1,129				\$ 1,129	
		Total	0.00	\$ 11,294				\$ 11,294	
SB 13-83	Prescribed Burning Program	LSSA PS	0.00	\$ 4,172				\$ 4,172	
		LSSA OP		\$ 463				\$ 463	

Colorado Department of Law

FY 2015-16 Budget Request

Schedule 6: Special Bills Summary

Bill Number	Short Bill Title	Line Items	FTE	Total Funds	General Fund	General Fund Exempt	Cash Funds	Cash Funds Exempt / Reappropriated Funds	Federal Funds
		Total	0.00	\$ 4,635				\$ 4,635	
SB 13-151	Massage Therapists	LSSA PS	0.00	\$ 19,120				\$ 19,120	
		LSSA OP		\$ 2,124				\$ 2,124	
		Total	0.00	\$ 21,244				\$ 21,244	
SB 13-162	Sunset - Bd of Plumbers	LSSA PS	0.00	\$ 5,215				\$ 5,215	
		LSSA OP		\$ 579				\$ 579	
		Total	0.00	\$ 5,794				\$ 5,794	
SB 13-172	Sunset - Accupuncture Regulation	LSSA PS	0.00	\$ 4,519				\$ 4,519	
		LSSA OP		\$ 502				\$ 502	
		Total	0.00	\$ 5,021				\$ 5,021	
SB 13-180	Sunset - Occupational Therapy	LSSA PS	0.00	\$ 11,471				\$ 11,471	
		LSSA OP		\$ 1,275				\$ 1,275	
		Total	0.00	\$ 12,746				\$ 12,746	
SB 13-200	Expand Medicaid Eligibility	LSSA PS	0.00	\$ 22,419				\$ 22,419	
		LSSA OP		\$ 2,491				\$ 2,491	
		Total	0.00	\$ 24,910				\$ 24,910	
SB 13-207	Perform Auricular Acudetox by MH Prof	LSSA PS	0.00	\$ 5,562				\$ 5,562	
		LSSA OP		\$ 618				\$ 618	
		Total	0.00	\$ 6,180				\$ 6,180	
SB 13-219	Meth Lab Remediation	LSSA PS	0.10	\$ 13,905				\$ 13,905	
		LSSA OP		\$ 1,545				\$ 1,545	
		Total	0.10	\$ 15,450				\$ 15,450	
SB 13-221	Cons Easement Tax Credit Cert App	LSSA PS	0.50	\$ 62,573				\$ 62,573	
		LSSA OP		\$ 6,952				\$ 6,952	
		Total	0.50	\$ 69,525				\$ 69,525	
SB 13-238	Regulation Hearing Aid Providers/Sellers	LSSA PS	0.00	\$ 5,215				\$ 5,215	
		LSSA OP		\$ 579				\$ 579	
		Total	0.00	\$ 5,794				\$ 5,794	
SB 13-241	Regulation of Hemp	LSSA PS	0.00	\$ 12,515				\$ 12,515	
		LSSA OP		\$ 1,390				\$ 1,390	
		Total	0.00	\$ 13,905				\$ 13,905	
SB 13-251	Documentation	LSSA PS	0.10	\$ 6,953				\$ 6,953	
		LSSA OP		\$ 772				\$ 772	
		Total	0.10	\$ 7,725				\$ 7,725	

Colorado Department of Law

FY 2015-16 Budget Request

Schedule 6: Special Bills Summary

Bill Number	Short Bill Title	Line Items	FTE	Total Funds	General Fund	General Fund Exempt	Cash Funds	Cash Funds Exempt / Reappropriated Funds	Federal Funds
HB 13-1111	Regulation of Naturopathic Doctors	LSSA PS	0.00	\$ 15,296				\$ 15,296	
		LSSA OP		\$ 1,699				\$ 1,699	
		Total	0.00	\$ 16,995				\$ 16,995	
HB 13-1292	Keep Jobs in Colorado Act	LSSA PS	0.00	\$ 41,715				\$ 41,715	
		LSSA OP		\$ 4,635				\$ 4,635	
		Total	0.00	\$ 46,350				\$ 46,350	
HB 13-1317	Implement Amend 64: Majority Recommendations	LSSA PS	0.50	\$ 63,616				\$ 63,616	
		LSSA OP		\$ 7,068				\$ 7,068	
		Total	0.50	\$ 70,684				\$ 70,684	
<b>LSSA Total</b>		<b>Total</b>	<b>1.2</b>	<b>\$348,295</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$348,295</b>	<b>\$0</b>
HB 13-1230	Compensation for Persons Wrongly Incarcerated	Special Prosecution Unit	1.4	\$ 128,662	\$ 128,662				
		<b>Total</b>	<b>1.4</b>	<b>\$ 128,662</b>	<b>\$ 128,662</b>				
SB 13-283	Amend. 64 Implement. (MD)	POST	0.0	\$ 20,000		\$ -	\$ 20,000		
		<b>Total</b>	<b>0.0</b>	<b>\$ 20,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 20,000</b>	<b>\$ -</b>	<b>\$ -</b>
HB 1317	Implement Amend 64: Majority Recommendations	POST	0.0	\$ 76,000		\$ -	\$ 76,000		
		Total	0.0	\$ 76,000	\$ -	\$ -	\$ 76,000	\$ -	\$ -
		<b>POST Total</b>	<b>Total</b>	<b>0.0</b>	<b>\$ 96,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 96,000</b>	<b>\$ -</b>
HB 13-1180	Tobacco Litigation Settlement Moneys	Tobacco Litigation	0.0	\$ -	\$ (1,250,000)	\$ -	\$ 1,250,000		
		Health, Life and Dental		\$ -	\$ (5,625)		\$ 5,625		
		Short Term Disability		\$ -	\$ (171)		\$ 171		
		SB 04-257 Amortization Equalization Distribution		\$ -	\$ (3,244)		\$ 3,244		
		SB 06-235 Supplemental Amortization Equalization Distribution		\$ -	\$ (2,928)		\$ 2,928		
		Salary Survey for Exempt Employees		\$ -	\$ (7,842)		\$ 7,842		
		Merit Pay for Exempt Employees		\$ -	\$ (1,584)		\$ 1,584		
		Continuing Legal Ed		\$ -	\$ (375)		\$ 375		
		Workers' Compensation		\$ -	\$ (170)		\$ 170		
		Information Technology Asset Maintenance		\$ -	\$ (1,049)		\$ 1,049		

Colorado Department of Law

FY 2015-16 Budget Request

Schedule 6: Special Bills Summary

Bill Number	Short Bill Title	Line Items	FTE	Total Funds	General Fund	General Fund Exempt	Cash Funds	Cash Funds Exempt / Reappropriated Funds	Federal Funds
		Ralph L. Carr Colorado Judicial Center Lease Space		\$ -	\$ (6,568)		\$ 6,568		
	<b>HB 13-1180</b>	<b>Total</b>	<b>0.0</b>	<b>\$ -</b>	<b>\$ (1,279,556)</b>	<b>\$ -</b>	<b>\$ 1,279,556</b>	<b>\$ -</b>	<b>\$ -</b>
<b>FY 2013-14 Department Total</b>			<b>2.60</b>	<b>\$ 572,957</b>	<b>\$ (1,150,894)</b>	<b>\$ -</b>	<b>\$ 1,375,556</b>	<b>\$ 348,295</b>	<b>\$ -</b>
<b>FY 2012-13</b>									
HB 12-1303	Certification of Speech Lang Pathologists	LSSA PS	0.10	\$ 14,990				\$ 14,990	
		LSSA OP		\$ 1,666				\$ 1,666	
		Total	0.10	\$ 16,656				\$ 16,656	
HB 12-1300	Sunset Continue Prof Review Committee	LSSA PS	0.00	\$ 2,044				\$ 2,044	
		LSSA OP		\$ 227				\$ 227	
		Total	0.00	\$ 2,271				\$ 2,271	
HB 12-1311	Sunset Continue Pharmacy Board	LSSA PS	0.20	\$ 20,783				\$ 20,783	
		LSSA OP		\$ 2,309				\$ 2,309	
		Total	0.20	\$ 23,092				\$ 23,092	
HB 12-1330	Hearing Process Wildlife	LSSA PS	0.00	\$ 2,725				\$ 2,725	
		LSSA OP		\$ 303				\$ 303	
		Total	0.00	\$ 3,028				\$ 3,028	
	<b>LSSA Total</b>	<b>Total</b>	<b>0.3</b>	<b>\$ 40,542</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 40,542</b>	<b>\$ -</b>
HB 12-1246	Reverse Portion of Paydate Shift	Appellate	0.00	\$ 5,880	\$ 5,880			\$ -	
		Consumer Prot/Antitrust		\$ 2,919	\$ 2,919			\$ -	
		Total	0.00	\$ 8,799	\$ 8,799			\$ -	
SB 12-110	Insurance Fraud Fees	Special Pros Unit	2.00	\$ 196,677	\$ -	\$ 196,677	\$ 196,677	\$ -	
				\$ -	\$ -			\$ -	
		Total	2.00	\$ 196,677	\$ -	\$ 196,677	\$ 196,677	\$ -	
<b>FY 2012-13 Department Total</b>			<b>2.30</b>	<b>\$ 246,018</b>	<b>\$ 8,799</b>	<b>\$ 196,677</b>	<b>\$ 196,677</b>	<b>\$ 40,542</b>	<b>\$ -</b>

Colorado Department of Law  
FY 2015-16 Budget Request  
Schedule 7: Supplemental Bills Summary

Bill Number	Line Items	FTE	Total Funds	General Fund	General Fund Exempt	Cash Funds	Cash Funds Exempt / Reappropriated Funds	Federal Funds	
HB 14-1240	<b>(2) Legal Services to State Agencies</b>								
	Personal Services	6.0	\$884,500	\$0	\$0	\$0	\$884,500	\$0	
	Operating and Litigation		\$98,277	\$0		\$0	\$98,277	\$0	
	<b>Total</b>	<b>6.0</b>	<b>\$982,777</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$982,777</b>	<b>\$0</b>	
	<b>(6) Special Purpose</b>								
	Lobato Litigation Expenses	0.0	(\$50,000)	\$0	\$0	\$0	(\$50,000)	\$0	
	Lowry Range Litigation	0.0	\$496,957	\$0	\$0	\$0	\$496,957	\$0	
	<b>Total</b>	<b>0.0</b>	<b>\$446,957</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$446,957</b>	<b>\$0</b>	
	<b>Total HB 13-94 and 230</b>		<b>6.0</b>	<b>\$1,429,734</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,429,734</b>	<b>\$0</b>
	SB 13-94	<b>(1) Administration</b>							
Payment to Risk Mgt and Property Funds		0.0	\$40,207	\$40,207		\$0	\$0	\$0	
<b>Total</b>		<b>0.0</b>	<b>\$40,207</b>	<b>\$40,207</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
<b>(2) Legal Services to State Agencies</b>									
Personal Services	3.5	\$429,940	\$0	\$0	\$0	\$429,940	\$0		

Colorado Department of Law  
FY 2015-16 Budget Request  
Schedule 7: Supplemental Bills Summary

Bill Number	Line Items	FTE	Total Funds	General Fund	General Fund Exempt	Cash Funds	Cash Funds Exempt / Reappropriated Funds	Federal Funds
SB 13-230	Operating and Litigation		\$47,771	\$0		\$0	\$47,771	\$0
	<b>Total</b>	<b>3.5</b>	<b>\$477,711</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$477,711</b>	<b>\$0</b>
	<b>(6) Special Purpose</b>							
Long Bill Add on	Tobacco Litigation	0.0	\$715,630	\$515,630	\$0	\$0	\$200,000	\$0
	<b>Total</b>	<b>0.0</b>	<b>\$715,630</b>	<b>\$515,630</b>	<b>\$0</b>	<b>\$0</b>	<b>\$200,000</b>	<b>\$0</b>
<b>Total HB 13-94 and 230</b>		<b>3.5</b>	<b>\$1,233,548</b>	<b>\$555,837</b>	<b>\$0</b>	<b>\$0</b>	<b>\$677,711</b>	<b>\$0</b>
HB 12-1189	<b>(1) Administration</b>							
	Vehicle Lease Payments	0.0	(\$4,187)	(\$711)		(\$1,790)	(\$1,539)	(\$147)
	<b>Total</b>	<b>0.0</b>	<b>(\$4,187)</b>	<b>(\$711)</b>	<b>\$0</b>	<b>(\$1,790)</b>	<b>(\$1,539)</b>	<b>(\$147)</b>
HB 12-1335	<b>(2) Legal Services to State Agencies</b>							
Long Bill Add on	Special Prosecutions unit	0.0	\$29,814	\$29,814	\$0	\$0	\$0	\$0
	<b>Total</b>	<b>0.0</b>	<b>\$29,814</b>	<b>\$29,814</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Total HB 12-1189 and 1335</b>		<b>0.0</b>	<b>\$25,627</b>	<b>\$29,103</b>	<b>\$0</b>	<b>(\$1,790)</b>	<b>(\$1,539)</b>	<b>(\$147)</b>

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Health/Dental/Life</b>					
FY 2012-13					
(1) Administration	\$270,541	\$270,541	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$1,709,984	\$258,652	\$0	\$1,451,332	\$0
(3) Criminal Justice and Appellate	\$331,174	\$109,855	\$107,368	\$33,784	\$80,167
(4) Water and Natural Resources	\$38,366	\$26,342	\$0	\$12,024	\$0
(5) Consumer Protection	\$247,599	\$46,968	\$199,878	\$753	\$0
Total Expenditures	\$2,597,664	\$712,358	\$307,246	\$1,497,893	\$80,167
Total Appropriated	\$2,597,664	\$712,358	\$307,246	\$1,497,893	\$80,167
(Under)/Over Expenditures	(\$22,699)	\$0	\$0	\$0	(\$22,699)
FY 2013-14					
(1) Administration	\$266,133	\$266,133	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$1,643,905	\$0	\$0	\$1,643,905	\$0
(3) Criminal Justice and Appellate	\$583,446	\$382,403	\$68,779	\$36,918	\$95,346
(4) Water and Natural Resources	\$41,146	\$34,269	\$0	\$6,877	\$0
(5) Consumer Protection	\$282,954	\$60,085	\$212,815	\$10,054	\$0
Total Expenditures	\$2,817,584	\$742,890	\$281,594	\$1,697,754	\$95,346
Total Appropriated	\$2,817,584	\$742,890	\$281,594	\$1,697,754	\$95,346
(Under)/Over Expenditures	(\$32,528)	\$0	\$0	\$0	(\$32,528)
FY 2014-15					
(1) Administration	\$275,135	\$275,135	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$1,567,540	\$0	\$0	\$1,567,540	\$0
(3) Criminal Justice and Appellate	\$689,100	\$423,426	\$107,950	\$57,866	\$99,858
(4) Water and Natural Resources	\$29,901	\$23,859	\$0	\$6,042	\$0
(5) Consumer Protection	\$316,330	\$68,773	\$236,625	\$10,932	\$0
Total Expenditures	\$2,878,006	\$791,193	\$344,575	\$1,642,380	\$99,858
Total Appropriated	\$2,878,006	\$791,193	\$344,575	\$1,642,380	\$99,858
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$3,249,522	\$916,886	\$356,551	\$1,874,996	\$101,089
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$3,249,522	\$916,886	\$356,551	\$1,874,996	\$101,089
Total Appropriated	\$3,249,522	\$916,886	\$356,551	\$1,874,996	\$101,089
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Short-term Disability</b>					
FY 2012-13					
(1) Administration	\$4,301	\$4,301	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$29,063	\$337	\$0	\$28,726	\$0
(3) Criminal Justice and Appellate	\$9,752	\$6,045	\$1,750	\$675	\$1,282
(4) Water and Natural Resources	\$1,180	\$683	\$0	\$497	\$0
(5) Consumer Protection	\$4,578	\$1,642	\$2,707	\$229	\$0
Total Expenditures	\$48,874	\$13,008	\$4,457	\$30,127	\$1,282
Total Appropriated	\$49,196	\$13,008	\$4,457	\$30,127	\$1,604
(Under)/Over Expenditures	(\$322)	\$0	\$0	\$0	(\$322)
FY 2013-14					
(1) Administration	\$5,473	\$5,473	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$36,962	\$0	\$0	\$36,962	\$0
(3) Criminal Justice and Appellate	\$9,722	\$5,820	\$1,732	\$1,024	\$1,146
(4) Water and Natural Resources	\$1,798	\$1,280	\$0	\$518	\$0
(5) Consumer Protection	\$6,806	\$2,344	\$4,291	\$171	\$0
Total Expenditures	\$60,761	\$14,917	\$6,023	\$38,675	\$1,146
Total Appropriated	\$49,196	\$14,917	\$6,023	\$38,675	\$2,427
(Under)/Over Expenditures	(\$1,281)	\$0	\$0	\$0	(\$1,281)
FY 2014-15					
(1) Administration	\$6,349	\$6,349	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$44,343	\$0	\$0	\$44,343	\$0
(3) Criminal Justice and Appellate	\$17,643	\$10,511	\$3,045	\$1,669	\$2,418
(4) Water and Natural Resources	\$1,802	\$1,218	\$0	\$584	\$0
(5) Consumer Protection	\$9,372	\$2,895	\$6,022	\$455	\$0
Total Expenditures	\$79,509	\$20,973	\$9,067	\$47,051	\$2,418
Total Appropriated	\$79,509	\$20,973	\$9,067	\$47,051	\$2,418
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$84,375	\$23,053	\$8,611	\$50,245	\$2,466
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$84,375	\$23,053	\$8,611	\$50,245	\$2,466
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>S.B. 04-257 Amortization Equalization Disbursement</b>					
<b>FY 2012-13</b>					
(1) Administration	\$79,979	\$79,979	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$590,208	\$56,573	\$0	\$533,635	\$0
(3) Criminal Justice and Appellate	\$168,343	\$86,698	\$36,731	\$12,539	\$32,375
(4) Water and Natural Resources	\$23,412	\$14,179	\$0	\$9,233	\$0
(5) Consumer Protection	\$95,326	\$34,199	\$56,866	\$4,261	\$0
(6) Special Purpose	\$103	\$103			
Total Expenditures	\$957,371	\$271,731	\$93,597	\$559,668	\$32,375
Total Appropriated	\$965,510	\$271,731	\$93,597	\$559,668	\$40,514
(Under)/Over Expenditures	(\$8,139)	\$0	\$0	\$0	(\$8,139)
<b>FY 2013-14</b>					
(1) Administration	\$103,697	\$103,697	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$744,199	\$0	\$0	\$744,199	\$0
(3) Criminal Justice and Appellate	\$232,978	\$136,360	\$38,873	\$19,396	\$38,349
(4) Water and Natural Resources	\$34,069	\$24,256	\$0	\$9,813	\$0
(5) Consumer Protection	\$118,572	\$34,007	\$81,321	\$3,244	\$0
Total Expenditures	\$1,233,515	\$298,320	\$120,194	\$776,652	\$38,349
Total Appropriated	\$1,243,606	\$298,320	\$120,194	\$776,652	\$48,440
(Under)/Over Expenditures	(\$10,091)	\$0	\$0	\$0	(\$10,091)
<b>FY 2014-15</b>					
(1) Administration	\$115,431	\$115,431	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$814,292	\$0	\$0	\$814,292	\$0
(3) Criminal Justice and Appellate	\$343,996	\$209,670	\$68,073	\$22,291	\$43,962
(4) Water and Natural Resources	\$28,111	\$17,491	\$0	\$10,620	\$0
(5) Consumer Protection	\$143,782	\$38,743	\$96,776	\$8,263	\$0
Total Expenditures	\$1,445,612	\$381,335	\$164,849	\$855,466	\$43,962
Total Appropriated	\$1,445,612	\$381,335	\$164,849	\$855,466	\$43,962
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
<b>FY 2015-16</b>					
(1) Administration	\$1,687,501	\$461,067	\$172,221	\$1,004,900	\$49,313
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$1,687,501	\$461,067	\$172,221	\$1,004,900	\$49,313
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>S.B. 06-235 Supplemental Amortization Equalization Disbursement</b>					
<b>FY 2012-13</b>					
(1) Administration	\$76,849	\$76,849	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$464,614	\$6,021	\$0	\$458,593	\$0
(3) Criminal Justice and Appellate	\$178,226	\$108,068	\$31,564	\$10,775	\$27,819
(4) Water and Natural Resources	\$20,149	\$12,215	\$0	\$7,934	\$0
(5) Consumer Protection	\$81,782	\$29,249	\$48,871	\$3,662	\$0
Total Expenditures	\$821,620	\$232,402	\$80,435	\$480,964	\$27,819
Total Appropriated	\$828,618	\$232,402	\$80,435	\$480,964	\$34,817
(Under)/Over Expenditures	(\$6,998)	\$0	\$0	\$0	(\$6,998)
<b>FY 2013-14</b>					
(1) Administration	\$93,616	\$93,616	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$668,099	\$0	\$0	\$668,099	\$0
(3) Criminal Justice and Appellate	\$208,202	\$122,169	\$33,902	\$17,510	\$34,621
(4) Water and Natural Resources	\$30,757	\$21,898	\$0	\$8,859	\$0
(5) Consumer Protection	\$111,986	\$30,702	\$74,605	\$6,679	\$0
Total Expenditures	\$1,112,660	\$268,385	\$108,507	\$701,147	\$34,621
Total Appropriated	\$1,121,769	\$268,385	\$108,507	\$701,147	\$43,730
(Under)/Over Expenditures	(\$9,109)	\$0	\$0	\$0	(\$9,109)
<b>FY 2014-15</b>					
(1) Administration	\$105,217	\$105,217	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$763,398	\$0	\$0	\$763,398	\$0
(3) Criminal Justice and Appellate	\$320,956	\$193,098	\$65,745	\$20,898	\$41,215
(4) Water and Natural Resources	\$32,823	\$22,866	\$0	\$9,957	\$0
(5) Consumer Protection	\$132,869	\$36,321	\$88,801	\$7,747	\$0
Total Expenditures	\$1,355,263	\$357,502	\$154,546	\$802,000	\$41,215
Total Appropriated	\$1,355,263	\$357,502	\$154,546	\$802,000	\$41,215
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
<b>FY 2015-16</b>					
(1) Administration	\$1,629,972	\$445,348	\$166,350	\$970,642	\$47,632
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$1,629,972	\$445,348	\$166,350	\$970,642	\$47,632
Total Appropriated					
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Salary Survey for Classified Employees</b>					
FY 2012-13					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$47,674	\$47,674	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$153,961	\$0	\$0	\$153,961	\$0
(3) Criminal Justice and Appellate	\$74,281	\$21,738	\$21,013	\$5,747	\$25,783
(4) Water and Natural Resources	\$5,423	\$4,159	\$0	\$1,264	\$0
(5) Consumer Protection	\$56,518	\$0	\$49,614	\$6,904	\$0
Total Expenditures	\$337,857	\$73,571	\$70,627	\$167,876	\$25,783
Total Appropriated	\$339,441	\$73,571	\$70,627	\$167,876	\$27,367
(Under)/Over Expenditures	(\$1,584)	\$0	\$0	\$0	(\$1,584)
FY 2014-15					
(1) Administration	\$57,015	\$57,015	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$89,114	\$0	\$0	\$89,114	\$0
(3) Criminal Justice and Appellate	\$83,288	\$34,338	\$16,277	\$10,299	\$22,374
(4) Water and Natural Resources	\$3,870	\$0	\$0	\$3,870	\$0
(5) Consumer Protection	\$62,209	\$0	\$58,699	\$3,510	\$0
Total Expenditures	\$295,496	\$91,353	\$74,976	\$106,793	\$22,374
Total Appropriated	\$295,496	\$91,353	\$74,976	\$106,793	\$22,374
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$119,650	\$40,723	\$30,754	\$38,897	\$9,276
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$119,650	\$40,723	\$30,754	\$38,897	\$9,276
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Salary Survey for Exempt Employees</b>					
FY 2012-13					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$97,079	\$97,079	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$2,977,269	\$0	\$0	\$2,977,269	\$0
(3) Criminal Justice and Appellate	\$701,695	\$544,091	\$51,580	\$54,951	\$51,073
(4) Water and Natural Resources	\$81,553	\$63,941	\$0	\$17,612	\$0
(5) Consumer Protection	\$257,546	\$175,647	\$73,995	\$7,904	\$0
Total Expenditures	\$4,115,142	\$880,758	\$125,575	\$3,057,736	\$51,073
Total Appropriated	\$4,115,142	\$880,758	\$125,575	\$3,057,736	\$51,073
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2014-15					
(1) Administration	\$6,024	\$6,024	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$246,427	\$0	\$0	\$246,427	\$0
(3) Criminal Justice and Appellate	\$72,227	\$51,799	\$12,067	\$4,799	\$3,562
(4) Water and Natural Resources	\$1,187	\$1,187	\$0	\$0	\$0
(5) Consumer Protection	\$32,962	\$24,576	\$7,130	\$1,256	\$0
Total Expenditures	\$358,827	\$83,586	\$19,197	\$252,482	\$3,562
Total Appropriated	\$358,827	\$83,586	\$19,197	\$252,482	\$3,562
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$965,318	\$235,874	\$38,990	\$680,763	\$9,691
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$965,318	\$235,874	\$38,990	\$680,763	\$9,691
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Merit Pay for Classified Employees</b>					
FY 2012-13					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$32,639	\$32,639	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$56,153	\$0	\$0	\$56,153	\$0
(3) Criminal Justice and Appellate	\$40,587	\$13,546	\$8,990	\$5,097	\$12,954
(4) Water and Natural Resources	\$2,383	\$1,351	\$0	\$1,032	\$0
(5) Consumer Protection	\$21,341	\$0	\$18,445	\$2,896	\$0
Total Expenditures	\$153,103	\$47,536	\$27,435	\$65,178	\$12,954
Total Appropriated	\$153,103	\$47,536	\$27,435	\$65,178	\$12,954
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2014-15					
(1) Administration	\$26,264	\$26,264	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$28,215	\$0	\$0	\$28,215	\$0
(3) Criminal Justice and Appellate	\$33,039	\$10,634	\$6,499	\$7,314	\$8,592
(4) Water and Natural Resources	\$86	\$86	\$0	\$0	\$0
(5) Consumer Protection	\$16,756	\$0	\$15,984	\$772	\$0
Total Expenditures	\$104,360	\$36,984	\$22,483	\$36,301	\$8,592
Total Appropriated	\$104,360	\$36,984	\$22,483	\$36,301	\$8,592
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$114,831	\$37,379	\$29,845	\$39,991	\$7,615
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$114,831	\$37,379	\$29,845	\$39,991	\$7,615
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Merit Pay for Exempt Employees</b>					
FY 2012-13					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$17,906	\$17,906	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$272,733	\$0	\$0	\$272,733	\$0
(3) Criminal Justice and Appellate	\$74,647	\$61,061	\$4,618	\$4,733	\$4,235
(4) Water and Natural Resources	\$15,731	\$11,968	\$0	\$3,763	\$0
(5) Consumer Protection	\$7,748	\$0	\$6,354	\$1,394	\$0
Total Expenditures	\$388,765	\$90,935	\$10,972	\$282,623	\$4,235
Total Appropriated	\$388,765	\$90,935	\$10,972	\$282,623	\$4,235
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2014-15					
(1) Administration	\$6,650	\$6,650	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$180,925	\$0	\$0	\$180,925	\$0
(3) Criminal Justice and Appellate	\$56,701	\$42,081	\$6,754	\$4,971	\$2,895
(4) Water and Natural Resources	\$1,890	\$1,890	\$0	\$0	\$0
(5) Consumer Protection	\$17,670	\$12,296	\$4,530	\$844	\$0
Total Expenditures	\$263,836	\$62,917	\$11,284	\$186,740	\$2,895
Total Appropriated	\$263,836	\$62,917	\$11,284	\$186,740	\$2,895
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$295,259	\$71,154	\$11,730	\$209,337	\$3,039
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$295,259	\$71,154	\$11,730	\$209,337	\$3,039
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Workers' Compensation</b>					
FY 2012-13					
(1) Administration	\$7,190	\$7,190	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$42,307	\$530	\$0	\$41,777	\$0
(3) Criminal Justice and Appellate	\$15,282	\$8,930	\$3,075	\$1,025	\$2,252
(4) Water and Natural Resources	\$1,590	\$972	\$0	\$618	\$0
(5) Consumer Protection	\$6,887	\$1,766	\$4,591	\$530	\$0
Total Expenditures	\$73,256	\$19,388	\$7,666	\$43,950	\$2,252
Total Appropriated	\$73,256	\$19,388	\$7,666	\$43,950	\$2,252
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$7,271	\$7,271	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$41,636	\$0	\$0	\$41,636	\$0
(3) Criminal Justice and Appellate	\$16,332	\$9,750	\$3,355	\$1,056	\$2,171
(4) Water and Natural Resources	\$2,043	\$1,448	\$0	\$595	\$0
(5) Consumer Protection	\$7,493	\$1,533	\$5,449	\$511	\$0
Total Expenditures	\$74,775	\$20,002	\$8,804	\$43,798	\$2,171
Total Appropriated	\$74,945	\$20,172	\$8,804	\$43,798	\$2,171
(Under)/Over Expenditures	\$170	\$170	\$0	\$0	\$0
FY 2014-15					
(1) Administration	\$10,110	\$10,110	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$58,115	\$0	\$0	\$58,115	\$0
(3) Criminal Justice and Appellate	\$22,833	\$13,892	\$4,557	\$1,434	\$2,950
(4) Water and Natural Resources	\$2,776	\$1,966	\$0	\$810	\$0
(5) Consumer Protection	\$10,643	\$2,310	\$7,639	\$694	\$0
Total Expenditures	\$104,477	\$28,278	\$12,196	\$61,053	\$2,950
Total Appropriated	\$104,477	\$28,278	\$12,196	\$61,053	\$2,950
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$83,003	\$22,990	\$9,696	\$48,015	\$2,302
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$83,003	\$22,990	\$9,696	\$48,015	\$2,302
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Vehicle Lease Payments</b>					
FY 2012-13					
(1) Administration	\$2,646	\$2,646	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$21,625	\$0	\$0	\$21,625	\$0
(3) Criminal Justice and Appellate	\$35,337	\$17,334	\$10,824	\$4,564	\$2,615
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$10,677	\$0	\$10,677	\$0	\$0
Total Expenditures	\$70,285	\$19,980	\$21,501	\$26,189	\$2,615
Total Appropriated	\$70,285	\$19,980	\$21,501	\$26,189	\$2,615
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$2,646	\$2,646	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$16,809	\$0	\$0	\$16,809	\$0
(3) Criminal Justice and Appellate	\$29,811	\$11,292	\$11,575	\$4,329	\$2,615
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$12,753	\$4,439	\$8,314	\$0	\$0
Total Expenditures	\$62,019	\$18,377	\$19,889	\$21,138	\$2,615
Total Appropriated	\$62,019	\$18,377	\$19,889	\$21,138	\$2,615
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2014-15					
(1) Administration	\$2,586	\$2,586	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$17,621	\$0	\$0	\$17,621	\$0
(3) Criminal Justice and Appellate	\$28,647	\$12,277	\$10,130	\$3,761	\$2,479
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$9,734	\$2,767	\$6,967	\$0	\$0
Total Expenditures	\$58,588	\$17,630	\$17,097	\$21,382	\$2,479
Total Appropriated	\$58,588	\$17,630	\$17,097	\$21,382	\$2,479
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$71,282	\$34,669	\$7,255	\$23,580	\$5,778
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$71,282	\$34,669	\$7,255	\$23,580	\$5,778
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law  
Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Capitol Complex Leased Space/CARR Bldg</b>					
<b>FY 2012-13</b>					
(1) Administration	\$107,416	\$107,416	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$807,929	\$79,139	\$0	\$728,790	\$0
(3) Criminal Justice and Appellate	\$215,621	\$115,770	\$43,169	\$17,723	\$38,959
(4) Water and Natural Resources	\$13,178	\$2,483	\$0	\$10,695	\$0
(5) Consumer Protection	\$129,176	\$30,558	\$89,451	\$9,167	\$0
Total Expenditures	\$1,273,320	\$335,366	\$132,620	\$766,375	\$38,959
Total Appropriated	\$1,273,320	\$335,366	\$132,620	\$766,375	\$38,959
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
<b>FY 2013-14</b>					
(1) Administration	\$277,229	\$277,229	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$1,635,110	\$0	\$0	\$1,635,110	\$0
(3) Criminal Justice and Appellate	\$547,069	\$330,271	\$88,470	\$40,719	\$87,609
(4) Water and Natural Resources	\$33,969	\$10,987	\$0	\$22,982	\$0
(5) Consumer Protection	\$433,110	\$142,124	\$271,283	\$19,703	\$0
Total Expenditures	\$2,926,487	\$760,611	\$359,753	\$1,718,514	\$87,609
Total Appropriated	\$2,926,487	\$760,611	\$359,753	\$1,718,514	\$87,609
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
<b>FY 2014-15</b>					
(1) Administration	\$284,977	\$284,977	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$1,659,163	\$0	\$0	\$1,659,163	\$0
(3) Criminal Justice and Appellate	\$653,907	\$397,016	\$130,056	\$40,931	\$85,904
(4) Water and Natural Resources	\$79,221	\$56,115	\$0	\$23,106	\$0
(5) Consumer Protection	\$304,100	\$66,020	\$218,275	\$19,805	\$0
Total Expenditures	\$2,981,368	\$804,128	\$348,331	\$1,743,005	\$85,904
Total Appropriated	\$2,981,368	\$804,128	\$348,331	\$1,743,005	\$85,904
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
<b>FY 2015-16</b>					
(1) Administration	\$3,034,238	\$840,388	\$354,368	\$1,755,344	\$84,138
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$3,034,238	\$840,388	\$354,368	\$1,755,344	\$84,138
Total Appropriated	\$3,034,238	\$840,388	\$354,368	\$1,755,344	\$84,138
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>ADP Capital Outlay</b>					
FY 2012-13					
(1) Administration	\$154,370	\$0	\$154,370	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$154,370	\$0	\$154,370	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2014-15					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Information Technology</b>					
FY 2012-13					
(1) Administration	\$21,754	\$21,754	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$348,280	\$0	\$0	\$348,280	\$0
(3) Criminal Justice and Appellate	\$32,304	\$0	\$26,253	\$6,051	\$1,381
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$42,088	\$0	\$37,046	\$5,042	\$0
Total Expenditures	\$445,807	\$21,754	\$63,299	\$359,373	\$1,381
Total Appropriated	\$445,807	\$21,754	\$63,299	\$359,373	\$1,381
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$21,754	\$21,754	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$348,280	\$0	\$0	\$348,280	\$0
(3) Criminal Justice and Appellate	\$23,222	\$0	\$17,171	\$6,051	\$1,381
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$51,170	\$0	\$46,128	\$5,042	\$0
Total Expenditures	\$445,807	\$21,754	\$63,299	\$359,373	\$1,381
Total Appropriated	\$445,807	\$21,754	\$63,299	\$359,373	\$1,381
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2014-15					
(1) Administration	\$62,435	\$62,435	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$358,892	\$0	\$0	\$358,892	\$0
(3) Criminal Justice and Appellate	\$141,014	\$85,794	\$28,146	\$8,858	\$18,216
(4) Water and Natural Resources	\$17,144	\$12,144	\$0	\$5,000	\$0
(5) Consumer Protection	\$65,721	\$14,290	\$47,145	\$4,286	\$0
Total Expenditures	\$645,206	\$174,663	\$75,291	\$377,036	\$18,216
Total Appropriated	\$645,206	\$174,663	\$75,291	\$377,036	\$18,216
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$645,206	\$174,663	\$75,291	\$377,036	\$18,216
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$645,206	\$174,663	\$75,291	\$377,036	\$18,216
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Lease Space Storage</b>					
FY 2012-13					
(1) Administration	\$669	\$669	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$19,985	\$0	\$0	\$19,985	\$0
(3) Criminal Justice and Appellate	\$4,083	\$3,911	\$0	\$0	\$172
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$3,052	\$0	\$3,052	\$0	\$0
Total Expenditures	\$27,789	\$4,580	\$3,052	\$19,985	\$172
Total Appropriated	\$27,789	\$4,580	\$3,052	\$19,985	\$172
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$669	\$669	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$19,985	\$0	\$0	\$19,985	\$0
(3) Criminal Justice and Appellate	\$4,083	\$3,911	\$0	\$0	\$172
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$3,052	\$0	\$3,052	\$0	\$0
Total Expenditures	\$27,789	\$4,580	\$3,052	\$19,985	\$172
Total Appropriated	\$27,789	\$4,580	\$3,052	\$19,985	\$172
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2014-15					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Communication Service Payments</b>					
FY 2012-13					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$8,967	\$3,090	\$2,384	\$960	\$2,533
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$1,647	\$675	\$484	\$488	\$0
Total Expenditures	\$10,614	\$3,765	\$2,868	\$1,448	\$2,533
Total Appropriated	\$10,614	\$3,765	\$2,868	\$1,448	\$2,533
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$7,594	\$2,617	\$2,019	\$813	\$2,145
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$1,394	\$981	\$0	\$413	\$0
Total Expenditures	\$8,988	\$3,598	\$2,019	\$1,226	\$2,145
Total Appropriated	\$8,988	\$3,598	\$2,019	\$1,226	\$2,145
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2014-15					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>CLE Registration Fees</b>					
FY 2012-13					
(1) Administration	\$1,875	\$1,875	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$70,763	\$750	\$0	\$70,013	\$0
(3) Criminal Justice and Appellate	\$16,875	\$14,081	\$1,125	\$825	\$844
(4) Water and Natural Resources	\$3,000	\$1,688	\$0	\$1,312	\$0
(5) Consumer Protection	\$5,625	\$3,375	\$1,875	\$375	\$0
Total Expenditures	\$98,138	\$21,769	\$3,000	\$72,525	\$844
Total Appropriated	\$99,263	\$21,769	\$3,000	\$72,525	\$1,969
(Under)/Over Expenditures	(\$1,125)	\$0	\$0	\$0	(\$1,125)
FY 2013-14					
(1) Administration	\$1,875	\$1,875	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$70,013	\$0	\$0	\$70,013	\$0
(3) Criminal Justice and Appellate	\$16,875	\$14,081	\$1,125	\$825	\$844
(4) Water and Natural Resources	\$3,750	\$2,438	\$0	\$1,312	\$0
(5) Consumer Protection	\$5,625	\$3,375	\$1,875	\$375	\$0
Total Expenditures	\$98,138	\$21,769	\$3,000	\$72,525	\$844
Total Appropriated	\$99,263	\$21,769	\$3,000	\$72,525	\$1,969
(Under)/Over Expenditures	(\$1,125)	\$0	\$0	\$0	(\$1,125)
FY 2014-15					
(1) Administration	\$2,475	\$2,475	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$87,209	\$0	\$0	\$87,209	\$0
(3) Criminal Justice and Appellate	\$24,601	\$20,211	\$2,323	\$998	\$1,069
(4) Water and Natural Resources	\$4,466	\$3,088	\$0	\$1,378	\$0
(5) Consumer Protection	\$7,600	\$4,750	\$2,375	\$475	\$0
Total Expenditures	\$126,351	\$30,524	\$4,698	\$90,060	\$1,069
Total Appropriated	\$126,351	\$30,524	\$4,698	\$90,060	\$1,069
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$129,913	\$31,041	\$4,275	\$93,528	\$1,069
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$129,913	\$31,041	\$4,275	\$93,528	\$1,069
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Building Security</b>					
FY 2012-13					
(1) Administration	\$13,788	\$13,788	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$81,136	\$1,016	\$0	\$80,120	\$0
(3) Criminal Justice and Appellate	\$29,302	\$17,125	\$5,894	\$1,965	\$4,318
(4) Water and Natural Resources	\$3,049	\$1,863	\$0	\$1,186	\$0
(5) Consumer Protection	\$13,214	\$3,388	\$8,810	\$1,016	\$0
Total Expenditures	\$140,489	\$37,180	\$14,704	\$84,287	\$4,318
Total Appropriated	\$140,489	\$37,180	\$14,704	\$84,287	\$4,318
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2014-15					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>ALJ</b>					
<b>FY 2012-13</b>					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$1,135	\$0	\$1,135	\$0	\$0
Total Expenditures	\$1,135	\$0	\$1,135	\$0	\$0
Total Appropriated	\$1,135	\$0	\$1,135	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
<b>FY 2013-14</b>					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$4,362	\$0	\$4,362	\$0	\$0
Total Expenditures	\$4,362	\$0	\$4,362	\$0	\$0
Total Appropriated	\$4,362	\$0	\$4,362	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
<b>FY 2014-15</b>					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$29,302	\$0	\$29,302	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
<b>FY 2015-16</b>					
(1) Administration	\$6,778	\$0	\$6,778	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$6,778	\$0	\$6,778	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Payments to OIT</b>					
FY 2012-13					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2014-15					
(1) Administration	\$32,493	\$32,493	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$185,972	\$0	\$0	\$185,972	\$0
(3) Criminal Justice and Appellate	\$73,893	\$44,775	\$14,648	\$4,610	\$9,860
(4) Water and Natural Resources	\$8,923	\$6,321	\$0	\$2,602	\$0
(5) Consumer Protection	\$34,506	\$7,538	\$24,737	\$2,231	\$0
Total Expenditures	\$335,787	\$91,127	\$39,385	\$195,415	\$9,860
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$335,787	\$91,127	\$39,385	\$195,415	\$9,860
FY 2015-16					
(1) Administration	\$348,903	\$96,634	\$40,749	\$201,845	\$9,675
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$348,903	\$96,634	\$40,749	\$201,845	\$9,675
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>Risk Management</b>					
FY 2012-13					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2014-15					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$116,440	\$32,251	\$13,599	\$67,361	\$3,229
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$116,440	\$32,251	\$13,599	\$67,361	\$3,229
Total Appropriated					
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0

**Department of Law**  
**Schedule 8**

Fiscal Year	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
<b>COFRS Modernization</b>					
FY 2012-13					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2013-14					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2014-15					
(1) Administration	\$0	\$0	\$0	\$0	\$0
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Appropriated	\$0	\$0	\$0	\$0	\$0
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0
FY 2015-16					
(1) Administration	\$54,140	\$14,996	\$6,323	\$31,320	\$1,501
(2) Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
(3) Criminal Justice and Appellate	\$0	\$0	\$0	\$0	\$0
(4) Water and Natural Resources	\$0	\$0	\$0	\$0	\$0
(5) Consumer Protection	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$54,140	\$14,996	\$6,323	\$31,320	\$1,501
Total Appropriated					
(Under)/Over Expenditures	\$0	\$0	\$0	\$0	\$0



## SCHEDULE 8

### Common Policy Summary

Health/Dental/Life	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$270,541	\$270,541	\$0	\$0	\$0
Legal Services to State Agencies	\$1,709,984	\$258,652	\$0	\$1,451,332	\$0
Appellate	\$89,848	\$89,848			
Medicaid Fraud	\$80,167	\$0			\$80,167
POST Board	\$46,496		\$46,496		
Special Prosecution	\$16,266	\$16,266	\$0		
Securities Fraud	\$33,784	\$0		\$33,784	
Insurance Fraud	\$60,872		\$60,872	\$0	
Safe2Tell	\$3,741	\$3,741			
Federal & Interstate Water	\$26,342	\$26,342			
CERCLA	\$12,024	\$0		\$12,024	
RMA Litigation	\$0				
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$119,868	\$46,968	\$72,147	\$753	
Collection Agency Board	\$47,247		\$47,247		
UCCC	\$80,484		\$80,484		
Reversions/Lapsed Appropriation	\$22,699				\$22,699
<b>Department Total FY 12-13</b>	<b>\$2,620,363</b>	<b>\$712,358</b>	<b>\$307,246</b>	<b>\$1,497,893</b>	<b>\$102,866</b>
<b>Actual FY 13-14</b>					
Administration	\$266,133	\$266,133			
Legal Services to State Agencies	\$1,643,905			\$1,643,905	
Appellate	\$241,380	\$241,380			
Medicaid Fraud	\$127,128	\$31,782			\$95,346
POST Board	\$20,946		\$20,946		
Special Prosecution	\$99,031	\$99,031		\$0	
Securities Fraud	\$36,918			\$36,918	
Insurance Fraud	\$47,833		\$47,833		
Safe2Tell	\$10,210	\$10,210			
Federal & Interstate Water	\$30,982	\$30,982			
CERCLA	\$6,877			\$6,877	
RMA Litigation	\$0				
Colorado River Litigation	\$3,287	\$3,287			
Consumer Protection & Anti-Trust	\$159,224	\$60,085	\$89,085	\$10,054	
Collection Agency Board	\$29,608		\$29,608		
UCCC	\$94,122		\$94,122		
Reversions/Lapsed Appropriation	\$32,528				\$32,528
<b>Department Total FY 13-14</b>	<b>\$2,850,112</b>	<b>\$742,890</b>	<b>\$281,594</b>	<b>\$1,697,754</b>	<b>\$127,874</b>

## SCHEDULE 8 Common Policy Summary

Health/Dental/Life	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$275,135	\$275,135			
Legal Services to State Agencies	\$1,567,540			\$1,567,540	
Appellate	\$302,984	\$302,984			
Medicaid Fraud	\$133,144	\$33,286			\$99,858
POST Board	\$41,824		\$41,824		
Special Prosecution	\$76,736	\$76,736		\$0	
Securities Fraud	\$57,866			\$57,866	
Insurance Fraud	\$66,126		\$66,126		
Safe2Tell	\$10,420	\$10,420			
Federal & Interstate Water	\$23,859	\$23,859			
CERCLA	\$6,042			\$6,042	
RMA Litigation	\$0				
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$175,006	\$68,773	\$95,301	\$10,932	
Collection Agency Board	\$47,474		\$47,474		
UCCC	\$93,850		\$93,850		
Reversions/Lapsed Appropriation	\$0				\$0
<b>Department Total FY 14-15</b>	<b>\$2,878,006</b>	<b>\$791,193</b>	<b>\$344,575</b>	<b>\$1,642,380</b>	<b>\$99,858</b>
<b>Request FY 15-16</b>					
Administration	\$3,249,522	\$916,886	\$356,551	\$1,874,996	\$101,089
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$3,249,522</b>	<b>\$916,886</b>	<b>\$356,551</b>	<b>\$1,874,996</b>	<b>\$101,089</b>

## SCHEDULE 8 Common Policy Summary

Short Term Disability	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$4,301	\$4,301			
Legal Services to State Agencies	\$29,063	\$337		\$28,726	
Appellate	\$3,380	\$3,380			
Medicaid Fraud	\$1,793	\$511			\$1,282
POST Board	\$639	\$0	\$639		\$0
Special Prosecution	\$2,016	\$2,016	\$0	\$0	
Securities Fraud	\$675	\$0	\$0	\$675	
Insurance Fraud	\$1,111	\$0	\$1,111	\$0	
Safe2Tell	\$138	\$138			
Federal & Interstate Water	\$683	\$683			
CERCLA	\$497	\$0		\$497	
RMA Litigation	\$0	\$0			
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$2,795	\$1,642	\$924	\$229	
Collection Agency Board	\$487	\$0	\$487	\$0	
UCCC	\$1,296		\$1,296		
Reversions/Lapsed Appropriation	\$322				\$322
<b>Department Total FY 12-13</b>	<b>\$49,196</b>	<b>\$13,008</b>	<b>\$4,457</b>	<b>\$30,127</b>	<b>\$1,604</b>
<b>Actual FY 13-14</b>					
Administration	\$5,473	\$5,473			
Legal Services to State Agencies	\$36,962			\$36,962	
Appellate	\$2,504	\$2,504			
Medicaid Fraud	\$1,528	\$382			\$1,146
POST Board	\$303		\$303		\$0
Special Prosecution	\$2,764	\$2,764	\$0		
Securities Fraud	\$1,024			\$1,024	
Insurance Fraud	\$1,429		\$1,429		
Safe2Tell	\$170	\$170			
Federal & Interstate Water	\$890	\$890			
CERCLA	\$518	\$0		\$518	
RMA Litigation	\$0			\$0	
Colorado River Litigation	\$390	\$390			
Consumer Protection & Anti-Trust	\$4,223	\$2,344	\$1,708	\$171	
Collection Agency Board	\$646	\$0	\$646	\$0	
UCCC	\$1,937		\$1,937		
Reversions/Lapsed Appropriation	\$1,281				\$1,281
<b>Department Total FY 13-14</b>	<b>\$62,042</b>	<b>\$14,917</b>	<b>\$6,023</b>	<b>\$38,675</b>	<b>\$2,427</b>

**SCHEDULE 8**  
**Common Policy Summary**

Short Term Disability	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$6,349	\$6,349			
Legal Services to State Agencies	\$44,343			\$44,343	
Appellate	\$6,349	\$6,349			
Medicaid Fraud	\$3,224	\$806			\$2,418
POST Board	\$814		\$814		\$0
Special Prosecution	\$2,937	\$2,937	\$0		
Securities Fraud	\$1,669			\$1,669	
Insurance Fraud	\$2,231		\$2,231		
Safe2Tell	\$419	\$419			
Federal & Interstate Water	\$1,044	\$1,044			
CERCLA	\$584	\$0		\$584	
RMA Litigation	\$0			\$0	
Colorado River Litigation	\$174	\$174			
Consumer Protection & Anti-Trust	\$6,260	\$2,895	\$2,910	\$455	
Collection Agency Board	\$1,062	\$0	\$1,062	\$0	
UCCC	\$2,050		\$2,050		\$0
Reversions/Lapsed Appropriation	\$0				\$0
<b>Department Total FY 14-15</b>	<b>\$79,509</b>	<b>\$20,973</b>	<b>\$9,067</b>	<b>\$47,051</b>	<b>\$2,418</b>
<b>Request FY 15-16</b>					
Administration	\$84,375	\$23,053	\$8,611	\$50,245	\$2,466
Legal Services to State Agencies	\$0				
Appellate	\$0				
Capital Crimes	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$84,375</b>	<b>\$23,053</b>	<b>\$8,611</b>	<b>\$50,245</b>	<b>\$2,466</b>

## SCHEDULE 8 Common Policy Summary

S.B. 04-257 Amortization Equalization Disbursement	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$79,979	\$79,979			
Legal Services to State Agencies	\$590,208	\$56,573		\$533,635	
Appellate	\$70,602	\$70,602			
Medicaid Fraud	\$35,265	\$2,890			\$32,375
POST Board	\$13,414		\$13,414		
Special Prosecution	\$10,249	\$10,249	\$0		
Securities Fraud	\$12,539			\$12,539	
Insurance Fraud	\$23,317		\$23,317		
Safe2Tell	\$2,957	\$2,957			
Federal & Interstate Water	\$14,179	\$14,179			
CERCLA	\$9,233			\$9,233	
District Attorney Salaries	\$103	\$103			
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$57,886	\$34,199	\$19,426	\$4,261	
Collection Agency Board	\$10,230		\$10,230		
UCCC	\$27,210		\$27,210		
Reversions/Lapsed Appropriation	\$8,139				\$8,139
<b>Department Total FY 12-13</b>	<b>\$965,510</b>	<b>\$271,731</b>	<b>\$93,597</b>	<b>\$559,668</b>	<b>\$40,514</b>
<b>Actual FY 13-14</b>					
Administration	\$103,697	\$103,697			
Legal Services to State Agencies	\$744,199			\$744,199	
Appellate	\$67,977	\$67,977			
Medicaid Fraud	\$51,132	\$12,783			\$38,349
POST Board	\$2,408		\$2,408		
Special Prosecution	\$52,126	\$52,126	\$0		
Securities Fraud	\$19,396			\$19,396	
Insurance Fraud	\$36,465		\$36,465		
Safe2Tell	\$3,474	\$3,474			
Federal & Interstate Water	\$16,871	\$16,871			
CERCLA	\$9,813			\$9,813	
Colorado River Litigation	\$7,385	\$7,385			
Consumer Protection & Anti-Trust	\$69,624	\$34,007	\$32,373	\$3,244	
Collection Agency Board	\$12,246		\$12,246		
UCCC	\$36,702		\$36,702		
Reversions/Lapsed Appropriation	\$12,188				\$10,091
<b>Department Total FY 13-14</b>	<b>\$1,243,606</b>	<b>\$298,320</b>	<b>\$120,194</b>	<b>\$776,652</b>	<b>\$48,440</b>

## SCHEDULE 8 Common Policy Summary

S.B. 04-257 Amortization Equalization Disbursement	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$115,431	\$115,431			
Legal Services to State Agencies	\$814,292			\$814,292	
Appellate	\$133,431	\$133,431			
Medicaid Fraud	\$58,616	\$14,654			\$43,962
POST Board	\$21,803		\$21,803		
Special Prosecution	\$56,609	\$56,609	\$0		
Securities Fraud	\$22,291			\$22,291	
Insurance Fraud	\$46,270		\$46,270		
Safe2Tell	\$4,976	\$4,976			
Federal & Interstate Water	\$11,713	\$11,713			
CERCLA	\$10,620			\$10,620	
Colorado River Litigation	\$5,778	\$5,778			
Consumer Protection & Anti-Trust	\$90,244	\$38,743	\$43,238	\$8,263	
Collection Agency Board	\$17,736		\$17,736		
UCCC	\$35,802		\$35,802		
Reversions/Lapsed Appropriation	\$0				\$0
<b>Department Total FY 14-15</b>	<b>\$1,445,612</b>	<b>\$381,335</b>	<b>\$164,849</b>	<b>\$855,466</b>	<b>\$43,962</b>
<b>Request FY 15-16</b>					
Administration	\$1,687,501	\$461,067	\$172,221	\$1,004,900	\$49,313
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$1,687,501</b>	<b>\$461,067</b>	<b>\$172,221</b>	<b>\$1,004,900</b>	<b>\$49,313</b>

## SCHEDULE 8 Common Policy Summary

S.B. 06-235 Supplemental Amortization Equalization	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$76,849	\$76,849			
Legal Services to State Agencies	\$464,614	\$6,021		\$458,593	
Appellate	\$60,383	\$60,383			
Medicaid Fraud	\$36,953	\$9,134			\$27,819
POST Board	\$11,527		\$11,527		
Special Prosecution	\$36,022	\$36,022	\$0		
Securities Fraud	\$10,775			\$10,775	
Insurance Fraud	\$20,037		\$20,037		
Safe2Tell	\$2,529	\$2,529			
Federal & Interstate Water	\$12,215	\$12,215			
CERCLA	\$7,934			\$7,934	
RMA Litigation	\$0				
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$49,606	\$29,249	\$16,695	\$3,662	
Collection Agency Board	\$8,791		\$8,791		
UCCC	\$23,385		\$23,385		
Reversions/Lapsed Appropriation	\$6,998				\$6,998
<b>Department Total FY 12-13</b>	<b>\$828,618</b>	<b>\$232,402</b>	<b>\$80,435</b>	<b>\$480,964</b>	<b>\$34,817</b>
<b>Actual FY 13-14</b>					
Administration	\$93,616	\$93,616			
Legal Services to State Agencies	\$668,099			\$668,099	
Appellate	\$60,435	\$60,435			
Medicaid Fraud	\$46,161	\$11,540			\$34,621
POST Board	\$982		\$982		
Special Prosecution	\$47,058	\$47,058	\$0		
Securities Fraud	\$17,510			\$17,510	
Insurance Fraud	\$32,920		\$32,920		
Safe2Tell	\$3,136	\$3,136			
Federal & Interstate Water	\$15,231	\$15,231			
CERCLA	\$8,859			\$8,859	
RMA Litigation	\$0				
Colorado River Litigation	\$6,667	\$6,667			
Consumer Protection & Anti-Trust	\$66,606	\$30,702	\$29,225	\$6,679	
Collection Agency Board	\$12,246		\$12,246		
UCCC	\$33,134		\$33,134		
Reversions/Lapsed Appropriation	\$9,109				\$9,109
<b>Department Total FY 13-14</b>	<b>\$1,121,769</b>	<b>\$268,385</b>	<b>\$108,507</b>	<b>\$701,147</b>	<b>\$43,730</b>

## SCHEDULE 8 Common Policy Summary

S.B. 06-235 Supplemental Amortization Equalization	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$105,217	\$105,217			
Legal Services to State Agencies	\$763,398			\$763,398	
Appellate	\$123,810	\$123,810			
Medicaid Fraud	\$54,953	\$13,738			\$41,215
POST Board	\$16,878		\$16,878		
Special Prosecution	\$53,072	\$53,072	\$0		
Securities Fraud	\$20,898			\$20,898	
Insurance Fraud	\$48,867		\$48,867		
Safe2Tell	\$2,478	\$2,478			
Federal & Interstate Water	\$20,356	\$20,356			
CERCLA	\$9,957			\$9,957	
RMA Litigation	\$0				
Colorado River Litigation	\$2,510	\$2,510			
Consumer Protection & Anti-Trust	\$78,896	\$36,321	\$34,828	\$7,747	
Collection Agency Board	\$19,513		\$19,513		
UCCC	\$34,460		\$34,460		
Reversions/Lapsed Appropriation	\$0				\$0
<b>Department Total FY 14-15</b>	<b>\$1,355,263</b>	<b>\$357,502</b>	<b>\$154,546</b>	<b>\$802,000</b>	<b>\$41,215</b>
		\$357,502	\$154,546	\$802,000	\$43,730
		\$0	\$0	\$0	
<b>Request FY 15-16</b>					
Administration	\$1,629,972	\$445,348	\$166,350	\$970,642	\$47,632
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$1,629,972</b>	<b>\$445,348</b>	<b>\$166,350</b>	<b>\$970,642</b>	<b>\$47,632</b>

## SCHEDULE 8

### Common Policy Summary

Salary Survey for Classified Employees	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 12-13</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual FY 13-14</b>					
Administration	\$47,674	\$47,674	\$0	\$0	\$0
Legal Services to State Agencies	\$153,961			\$153,961	
Appellate	\$2,852	\$2,852			
Medicaid Fraud	\$34,377	\$8,594			\$25,783
POST Board	\$7,915		\$7,915		
Special Prosecution	\$5,066	\$5,066			
Securities Fraud	\$5,747			\$5,747	
Insurance Fraud	\$13,098		\$13,098		
Safe2Tell	\$5,226	\$5,226			
Federal & Interstate Water	\$2,609	\$2,609			
CERCLA	\$1,264			\$1,264	
RMA Litigation	\$0				
Colorado River Litigation	\$1,550	\$1,550			
Consumer Protection & Anti-Trust	\$31,602		\$24,698	\$6,904	
Collection Agency Board	\$6,496		\$6,496		
UCCC	\$18,420		\$18,420		
Reversions/Lapsed Appropriation	\$1,584				\$1,584
<b>Department Total FY 13-14</b>	<b>\$339,441</b>	<b>\$73,571</b>	<b>\$70,627</b>	<b>\$167,876</b>	<b>\$27,367</b>

## SCHEDULE 8

### Common Policy Summary

Salary Survey for Classified Employees	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$57,015	\$57,015	\$0	\$0	\$0
Legal Services to State Agencies	\$89,114			\$89,114	
Appellate	\$11,052	\$11,052			
Medicaid Fraud	\$29,830	\$7,456			\$22,374
POST Board	\$0		\$0		
Special Prosecution	\$13,294	\$13,294			
Securities Fraud	\$10,299			\$10,299	
Insurance Fraud	\$16,277		\$16,277		
Safe2Tell	\$2,536	\$2,536			
Federal & Interstate Water	\$0	\$0			
CERCLA	\$3,870			\$3,870	
RMA Litigation	\$0				
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$23,418		\$19,908	\$3,510	
Collection Agency Board	\$17,357		\$17,357		
UCCC	\$21,434		\$21,434		
Reversions/Lapsed Appropriation	\$0				\$0
<b>Department Total FY 14-15</b>	<b>\$295,496</b>	<b>\$91,353</b>	<b>\$74,976</b>	<b>\$106,793</b>	<b>\$22,374</b>
<b>Request FY 15-16</b>					
Administration	\$119,650	\$40,723	\$30,754	\$38,897	\$9,276
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$119,650</b>	<b>\$40,723</b>	<b>\$30,754</b>	<b>\$38,897</b>	<b>\$9,276</b>

## SCHEDULE 8 Common Policy Summary

Salary Survey for Exempt Employees	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 12-13</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual FY 13-14</b>					
Administration	\$97,079	\$97,079			
Legal Services to State Agencies	\$2,977,269			\$2,977,269	
Appellate	\$387,106	\$387,106			
Medicaid Fraud	\$68,097	\$17,024			\$51,073
POST Board	\$0				
Special Prosecution	\$139,961	\$139,961			
Securities Fraud	\$54,951			\$54,951	
Insurance Fraud	\$51,580		\$51,580		
Safe2Tell	\$0				
Federal & Interstate Water	\$50,589	\$50,589			
CERCLA	\$17,612			\$17,612	
RMA Litigation	\$0				
Colorado River Litigation	\$13,352	\$13,352			
Consumer Protection & Anti-Trust	\$191,393	\$175,647	\$7,842	\$7,904	
Collection Agency Board	\$16,477		\$16,477		
UCCC	\$49,676		\$49,676		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 13-14</b>	<b>\$4,115,142</b>	<b>\$880,758</b>	<b>\$125,575</b>	<b>\$3,057,736</b>	<b>\$51,073</b>

**SCHEDULE 8**  
**Common Policy Summary**

Salary Survey for Exempt Employees	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$6,024	\$6,024			
Legal Services to State Agencies	\$246,427			\$246,427	
Appellate	\$39,806	\$39,806			
Medicaid Fraud	\$4,749	\$1,187			\$3,562
POST Board	\$0				
Special Prosecution	\$10,806	\$10,806			
Securities Fraud	\$4,799			\$4,799	
Insurance Fraud	\$12,067		\$12,067		
Safe2Tell	\$0				
Federal & Interstate Water	\$1,187	\$1,187			
CERCLA	\$0			\$0	
RMA Litigation	\$0				
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$27,381	\$24,576	\$1,549	\$1,256	
Collection Agency Board	\$2,790		\$2,790		
UCCC	\$2,791		\$2,791		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 14-15</b>	<b>\$358,827</b>	<b>\$83,586</b>	<b>\$19,197</b>	<b>\$252,482</b>	<b>\$3,562</b>
<b>Request FY 15-16</b>					
Administration	\$965,318	\$235,874	\$38,990	\$680,763	\$9,691
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$965,318</b>	<b>\$235,874</b>	<b>\$38,990</b>	<b>\$680,763</b>	<b>\$9,691</b>

## SCHEDULE 8

### Common Policy Summary

Performance-based Pay Awards for Classified Employees	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 11-12</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual FY 13-14</b>					
Administration	\$32,639	\$32,639	\$0	\$0	\$0
Legal Services to State Agencies	\$56,153			\$56,153	
Appellate	\$2,143	\$2,143			
Medicaid Fraud	\$17,272	\$4,318			\$12,954
POST Board	\$3,039		\$3,039		
Special Prosecution	\$5,526	\$5,526			
Securities Fraud	\$5,097			\$5,097	
Insurance Fraud	\$5,951		\$5,951		
Safe2Tell	\$1,559	\$1,559			
Federal & Interstate Water	\$86	\$86			
CERCLA	\$1,032			\$1,032	
RMA Litigation	\$0				
Colorado River Litigation	\$1,265	\$1,265			
Consumer Protection & Anti-Trust	\$11,249		\$8,353	\$2,896	
Collection Agency Board	\$3,510		\$3,510		
UCCC	\$6,582		\$6,582		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 13-14</b>	<b>\$153,103</b>	<b>\$47,536</b>	<b>\$27,435</b>	<b>\$65,178</b>	<b>\$12,954</b>

## SCHEDULE 8

### Common Policy Summary

Performance-based Pay Awards for Classified Employees	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$26,264	\$26,264	\$0	\$0	\$0
Legal Services to State Agencies	\$28,215			\$28,215	
Appellate	\$3,065	\$3,065			
Medicaid Fraud	\$11,456	\$2,864			\$8,592
POST Board	\$0		\$0		
Special Prosecution	\$4,705	\$4,705			
Securities Fraud	\$7,314			\$7,314	
Insurance Fraud	\$6,499		\$6,499		
Safe2Tell	\$0	\$0			
Federal & Interstate Water	\$86	\$86			
CERCLA	\$0			\$0	
RMA Litigation	\$0				
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$7,150		\$6,378	\$772	
Collection Agency Board	\$6,593		\$6,593		
UCCC	\$3,013		\$3,013		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 14-15</b>	<b>\$104,360</b>	<b>\$36,984</b>	<b>\$22,483</b>	<b>\$36,301</b>	<b>\$8,592</b>
<b>Request FY 15-16</b>					
Administration	\$114,831	\$37,379	\$29,845	\$39,991	\$7,615
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$114,831</b>	<b>\$37,379</b>	<b>\$29,845</b>	<b>\$39,991</b>	<b>\$7,615</b>

## SCHEDULE 8 Common Policy Summary

Employees Performance-Pay Awards for Exempt Employees	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 12-13</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual FY 13-14</b>					
Administration	\$17,906	\$17,906	\$0	\$0	\$0
Legal Services to State Agencies	\$272,733			\$272,733	
Appellate	\$43,790	\$43,790			
Medicaid Fraud	\$5,647	\$1,412			\$4,235
POST Board	\$0				
Special Prosecution	\$15,859	\$15,859			
Securities Fraud	\$4,733			\$4,733	
Insurance Fraud	\$4,618		\$4,618		
Safe2Tell	\$0				
Federal & Interstate Water	\$9,557	\$9,557			
CERCLA	\$3,763			\$3,763	
RMA Litigation	\$0				
Colorado River Litigation	\$2,411	\$2,411			
Consumer Protection & Anti-Trust	\$2,978		\$1,584	\$1,394	
Collection Agency Board	\$1,728		\$1,728		
UCCC	\$3,042		\$3,042		
Reversions/Lapsed Appropriation	\$0				\$0
<b>Department Total FY 13-14</b>	<b>\$388,765</b>	<b>\$90,935</b>	<b>\$10,972</b>	<b>\$282,623</b>	<b>\$4,235</b>

## SCHEDULE 8

### Common Policy Summary

Employees Performance-Pay Awards for Exempt Employees	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$6,650	\$6,650	\$0	\$0	\$0
Legal Services to State Agencies	\$180,925			\$180,925	
Appellate	\$30,845	\$30,845			
Medicaid Fraud	\$3,860	\$965			\$2,895
POST Board	\$0				
Special Prosecution	\$10,271	\$10,271			
Securities Fraud	\$4,971			\$4,971	
Insurance Fraud	\$6,754		\$6,754		
Safe2Tell	\$0				
Federal & Interstate Water	\$1,890	\$1,890			
CERCLA	\$0			\$0	
RMA Litigation	\$0				
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$13,140	\$12,296	\$0	\$844	
Collection Agency Board	\$2,265		\$2,265		
UCCC	\$2,265		\$2,265		
Reversions/Lapsed Appropriation	\$0				\$0
<b>Department Total FY 14-15</b>	<b>\$263,836</b>	<b>\$62,917</b>	<b>\$11,284</b>	<b>\$186,740</b>	<b>\$2,895</b>
<b>Request FY 15-16</b>					
Administration	\$295,259	\$71,154	\$11,730	\$209,337	\$3,039
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$295,259</b>	<b>\$71,154</b>	<b>\$11,730</b>	<b>\$209,337</b>	<b>\$3,039</b>

**SCHEDULE 8**  
**Common Policy Summary**

Workers' Compensation	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$7,190	\$7,190			
Legal Services to State Agencies	\$42,307	\$530		\$41,777	
Appellate	\$5,653	\$5,653			
Medicaid Fraud	\$3,003	\$751			\$2,252
POST Board	\$1,237		\$1,237		
Special Prosecution	\$2,526	\$2,526	\$0		
Securities Fraud	\$1,025			\$1,025	
Insurance Fraud	\$1,838		\$1,838		
Safe2Tell	\$0	\$0			
Federal & Interstate Water	\$972	\$972			
CERCLA	\$618			\$618	
RMA Litigation	\$0				
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$3,710	\$1,766	\$1,414	\$530	
Collection Agency Board	\$972		\$972		
UCCB	\$2,205		\$2,205		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 12-13</b>	<b>\$73,256</b>	<b>\$19,388</b>	<b>\$7,666</b>	<b>\$43,950</b>	<b>\$2,252</b>
<b>Actual FY 13-14</b>					
Administration	\$7,271	\$7,271	\$0	\$0	\$0
Legal Services to State Agencies	\$41,636			\$41,636	
Appellate	\$6,386	\$6,386			
Medicaid Fraud	\$2,895	\$724			\$2,171
POST Board	\$1,192		\$1,192		
Special Prosecution	\$2,640	\$2,640	\$0		
Securities Fraud	\$1,056			\$1,056	
Insurance Fraud	\$2,163		\$2,163		
Safe2Tell	\$0				
Federal & Interstate Water	\$937	\$937			
CERCLA	\$595			\$595	
RMA Litigation	\$0				
Colorado River Litigation	\$511	\$511			
Consumer Protection & Anti-Trust	\$4,087	\$1,533	\$2,043	\$511	
Collection Agency Board	\$988		\$988		
UCCB	\$2,418		\$2,418		
Reversions/Lapsed Appropriation	\$170	\$170			
<b>Department Total FY 13-14</b>	<b>\$74,945</b>	<b>\$20,172</b>	<b>\$8,804</b>	<b>\$43,798</b>	<b>\$2,171</b>

**SCHEDULE 8**  
**Common Policy Summary**

Workers' Compensation	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$10,110	\$10,110	\$0	\$0	\$0
Legal Services to State Agencies	\$58,115			\$58,115	
Appellate	\$8,999	\$8,999			
Medicaid Fraud	\$3,933	\$983			\$2,950
POST Board	\$1,619		\$1,619		
Special Prosecution	\$3,910	\$3,910	\$0		
Securities Fraud	\$1,434			\$1,434	
Insurance Fraud	\$2,938		\$2,938		
Safe2Tell	\$0				
Federal & Interstate Water	\$1,272	\$1,272			
CERCLA	\$810			\$810	
RMA Litigation	\$0				
Colorado River Litigation	\$694	\$694			
Consumer Protection & Anti-Trust	\$6,015	\$2,310	\$3,011	\$694	
Collection Agency Board	\$1,619		\$1,619		
UCCC	\$3,009		\$3,009		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 14-15</b>	<b>\$104,477</b>	<b>\$28,278</b>	<b>\$12,196</b>	<b>\$61,053</b>	<b>\$2,950</b>
<b>Request FY 15-16</b>					
Administration	\$83,003	\$22,990	\$9,696	\$48,015	\$2,302
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$83,003</b>	<b>\$22,990</b>	<b>\$9,696</b>	<b>\$48,015</b>	<b>\$2,302</b>

## SCHEDULE 8 Common Policy Summary

CLE Registration Fees	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$1,875	\$1,875			
Legal Services to State Agencies	\$70,763	\$750		\$70,013	
Appellate	\$11,250	\$11,250			
Medicaid Fraud	\$1,125	\$281			\$844
POST Board	\$0				
Special Prosecution	\$2,550	\$2,550	\$0		
Securities Fraud	\$825			\$825	
Insurance Fraud	\$1,125		\$1,125		
Federal & Interstate Water	\$1,688	\$1,688			
CERCLA	\$1,312	\$0		\$1,312	
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$4,125	\$3,375	\$375	\$375	
Collection Agency Board	\$375		\$375		
UCCC	\$1,125		\$1,125		
Reversions/Lapsed Appropriation	\$1,125				\$1,125
<b>Department Total FY 12-13</b>	<b>\$99,263</b>	<b>\$21,769</b>	<b>\$3,000</b>	<b>\$72,525</b>	<b>\$1,969</b>
<b>Actual FY 13-14</b>					
Administration	\$1,875	\$1,875			
Legal Services to State Agencies	\$70,013			\$70,013	
Appellate	\$11,250	\$11,250			
Medicaid Fraud	\$1,125	\$281		\$0	\$844
POST Board	\$0				
Special Prosecution	\$2,550	\$2,550			
Securities Fraud	\$825			\$825	
Insurance Fraud	\$1,125		\$1,125		
Federal & Interstate Water	\$1,688	\$1,688			
CERCLA	\$1,312	\$0		\$1,312	
RMA Litigation	\$0				
Colorado River Litigation	\$750	\$750			
Consumer Protection & Anti-Trust	\$4,125	\$3,375	\$375	\$375	
Collection Agency Board	\$375		\$375		
UCCC	\$1,125		\$1,125		
Reversions/Lapsed Appropriation	\$1,125				\$1,125
<b>Department Total FY 13-14</b>	<b>\$99,263</b>	<b>\$21,769</b>	<b>\$3,000</b>	<b>\$72,525</b>	<b>\$1,969</b>

**SCHEDULE 8  
Common Policy Summary**

<b>CLE Registration Fees</b>	<b>Total Funds</b>	<b>GF</b>	<b>CF</b>	<b>CFE</b>	<b>FF</b>
<b>Estimate FY 14-15</b>					
Administration	\$2,475	\$2,475			
Legal Services to State Agencies	\$87,209			\$87,209	
Appellate	\$15,675	\$15,675			
Medicaid Fraud	\$1,425	\$356		\$0	\$1,069
POST Board	\$0				
Special Prosecution	\$4,180	\$4,180			
Securities Fraud	\$998			\$998	
Insurance Fraud	\$2,323		\$2,323		
Federal & Interstate Water	\$2,138	\$2,138			
CERCLA	\$1,378	\$0		\$1,378	
RMA Litigation	\$0				
Colorado River Litigation	\$950	\$950			
Consumer Protection & Anti-Trust	\$5,700	\$4,750	\$475	\$475	
Collection Agency Board	\$475		\$475		
UCCC	\$1,425		\$1,425		
Reversions/Lapsed Appropriation	\$0				\$0
<b>Department Total FY 14-15</b>	<b>\$126,351</b>	<b>\$30,524</b>	<b>\$4,698</b>	<b>\$90,060</b>	<b>\$1,069</b>
<b>Request FY 15-16</b>					
Administration	\$129,913	\$31,041	\$4,275	\$93,528	\$1,069
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$129,913</b>	<b>\$31,041</b>	<b>\$4,275</b>	<b>\$93,528</b>	<b>\$1,069</b>

## SCHEDULE 8 Common Policy Summary

ALJ	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$378		\$378		
UCCC	\$757		\$757		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 12-13</b>	<b>\$1,135</b>	<b>\$0</b>	<b>\$1,135</b>		
<b>Actual FY 13-14</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0		\$0		
Collection Agency Board	\$1,453		\$1,453		
UCCC	\$2,909		\$2,909		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 13-14</b>	<b>\$4,362</b>	<b>\$0</b>	<b>\$4,362</b>	<b>\$0</b>	<b>\$0</b>

**SCHEDULE 8**  
**Common Policy Summary**

ALJ	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$9,759		\$9,759		
UCCC	\$19,543		\$19,543		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 14-15</b>	<b>\$29,302</b>	<b>\$0</b>	<b>\$29,302</b>	<b>\$0</b>	<b>\$0</b>
<b>Request FY 15-16</b>					
Administration	\$6,778	\$0	\$6,778	\$0	\$0
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$6,778</b>	<b>\$0</b>	<b>\$6,778</b>	<b>\$0</b>	<b>\$0</b>

## SCHEDULE 8 Common Policy Summary

Risk Management	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$0	\$0			
Legal Services to State Agencies	\$0	\$0		\$0	
Appellate	\$0	\$0			
Medicaid Fraud	\$0	\$0			\$0
POST Board	\$0		\$0		
Special Prosecution	\$0	\$0	\$0		
Securities Fraud	\$0			\$0	
Insurance Fraud	\$0		\$0		
Safe2Tell	\$0	\$0			
Federal & Interstate Water	\$0	\$0			
CERCLA	\$0			\$0	
RMA Litigation	\$0				
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$0	\$0	\$0	\$0	
Collection Agency Board	\$0		\$0		
UCCC	\$0		\$0		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 12-13</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual FY 13-14</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 13-14</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

## Common Policy Summary

Risk Management	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$0	\$0	\$0	\$0	\$0
Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
Appellate	\$0	\$0	\$0	\$0	\$0
Medicaid Fraud	\$0	\$0	\$0	\$0	\$0
POST Board	\$0	\$0	\$0	\$0	\$0
Special Prosecution	\$0	\$0	\$0	\$0	\$0
Securities Fraud	\$0	\$0	\$0	\$0	\$0
Insurance Fraud	\$0	\$0	\$0	\$0	\$0
Safe2Tell	\$0	\$0	\$0	\$0	\$0
Federal & Interstate Water	\$0	\$0	\$0	\$0	\$0
CERCLA	\$0	\$0	\$0	\$0	\$0
RMA Litigation	\$0	\$0	\$0	\$0	\$0
Colorado River Litigation	\$0	\$0	\$0	\$0	\$0
Consumer Protection & Anti-Trust	\$0	\$0	\$0	\$0	\$0
Collection Agency Board	\$0	\$0	\$0	\$0	\$0
UCCC	\$0	\$0	\$0	\$0	\$0
Reversions/Lapsed Appropriation	\$0	\$0	\$0	\$0	\$0
<b>Department Total FY 14-15</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Request FY 15-16</b>					
Administration	\$116,440	\$32,251	\$13,599	\$67,361	\$3,229
Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
Appellate	\$0	\$0	\$0	\$0	\$0
Capital Crimes	\$0	\$0	\$0	\$0	\$0
Medicaid Fraud	\$0	\$0	\$0	\$0	\$0
POST Board	\$0	\$0	\$0	\$0	\$0
Special Prosecution	\$0	\$0	\$0	\$0	\$0
Securities Fraud	\$0	\$0	\$0	\$0	\$0
Insurance Fraud	\$0	\$0	\$0	\$0	\$0
Victims Assistance	\$0	\$0	\$0	\$0	\$0
Federal & Interstate Water	\$0	\$0	\$0	\$0	\$0
CERCLA	\$0	\$0	\$0	\$0	\$0
RMA Litigation	\$0	\$0	\$0	\$0	\$0
Colorado River Litigation	\$0	\$0	\$0	\$0	\$0
Consumer Protection & Anti-Trust	\$0	\$0	\$0	\$0	\$0
Collection Agency Board	\$0	\$0	\$0	\$0	\$0
UCCC	\$0	\$0	\$0	\$0	\$0
Reversions/Lapsed Appropriation	\$0	\$0	\$0	\$0	\$0
<b>Department Total FY 15-16</b>	<b>\$116,440</b>	<b>\$32,251</b>	<b>\$13,599</b>	<b>\$67,361</b>	<b>\$3,229</b>

**SCHEDULE 8**  
**Common Policy Summary**

<b>Vehicle Lease Payments</b>	<b>Total Funds</b>	<b>GF</b>	<b>CF</b>	<b>RA</b>	<b>FF</b>
<b>Actual FY 12-13</b>					
Administration	\$2,646	\$2,646			
Legal Services to State Agencies	\$21,625			\$21,625	
Appellate	\$0	\$0			
Medicaid Fraud	\$3,487	\$872			\$2,615
POST Board	\$2,477		\$2,477		
Special Prosecution	\$16,462	\$16,462	\$0		
Securities Fraud	\$4,564			\$4,564	
Insurance Fraud	\$8,347		\$8,347		
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0	\$0		\$0	
Collection Agency Board	\$0				
UCCC	\$10,677		\$10,677		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY12-13</b>	<b>\$70,285</b>	<b>\$19,980</b>	<b>\$21,501</b>	<b>\$26,189</b>	<b>\$2,615</b>
<b>Actual FY 13-14</b>					
Administration	\$2,646	\$2,646			
Legal Services to State Agencies	\$16,809			\$16,809	
Appellate	\$0				
Medicaid Fraud	\$3,487	\$872			\$2,615
POST Board	\$2,477		\$2,477		
Special Prosecution	\$10,420	\$10,420			
Securities Fraud	\$4,329			\$4,329	
Insurance Fraud	\$9,098		\$9,098		
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$4,439	\$4,439			
Collection Agency Board	\$0				
UCCC	\$8,314		\$8,314		
Reversions/Lapsed Appropriation	\$0		\$0		
<b>Department Total FY 13-14</b>	<b>\$62,019</b>	<b>\$18,377</b>	<b>\$19,889</b>	<b>\$21,138</b>	<b>\$2,615</b>

**SCHEDULE 8**  
**Common Policy Summary**

<b>Vehicle Lease Payments</b>	<b>Total Funds</b>	<b>GF</b>	<b>CF</b>	<b>RA</b>	<b>FF</b>
<b>Estimate FY 14-15</b>					
Administration	\$2,586	\$2,586			
Legal Services to State Agencies	\$17,621			\$17,621	
Appellate	\$0				
Medicaid Fraud	\$3,306	\$827			\$2,479
POST Board	\$2,417		\$2,417		
Special Prosecution	\$8,832	\$8,832			
Securities Fraud	\$3,761			\$3,761	
Insurance Fraud	\$7,713		\$7,713		
Safe2Tell	\$2,618	\$2,618			
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$2,767	\$2,767			
Collection Agency Board	\$0				
UCCC	\$6,967		\$6,967		
Reversions/Lapsed Appropriation	\$0		\$0		
<b>Department Total FY 14-15</b>	<b>\$58,588</b>	<b>\$17,630</b>	<b>\$17,097</b>	<b>\$21,382</b>	<b>\$2,479</b>
<b>Request FY 15-16</b>					
Administration	\$71,282	\$34,669	\$7,255	\$23,580	\$5,778
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$71,282</b>	<b>\$34,669</b>	<b>\$7,255</b>	<b>\$23,580</b>	<b>\$5,778</b>

**SCHEDULE 8**  
**Common Policy Summary**

Information Technology	Total Funds	GF	CF	CFE	FF
<b>Actual FY 12-13</b>					
Administration	\$21,754	\$21,754			
Legal Services to State Agencies	\$348,280			\$348,280	
Appellate	\$0				
Medicaid Fraud	\$1,381	\$0			\$1,381
POST Board	\$9,082		\$9,082		
Special Prosecution	\$0		\$0		
Securities Fraud	\$6,051			\$6,051	
Insurance Fraud	\$17,171		\$17,171		
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$13,275		\$8,233	\$5,042	
Collection Agency Board	\$8,562		\$8,562		
UCCC	\$20,251		\$20,251		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 12-13</b>	<b>\$445,807</b>	<b>\$21,754</b>	<b>\$63,299</b>	<b>\$359,373</b>	<b>\$1,381</b>
<b>Actual FY 13-14</b>					
Administration	\$21,754	\$21,754			
Legal Services to State Agencies	\$348,280			\$348,280	
Appellate	\$0				
Medicaid Fraud	\$1,381				\$1,381
POST Board	\$0		\$0		
Special Prosecution	\$0		\$0		
Securities Fraud	\$6,051			\$6,051	
Insurance Fraud	\$17,171		\$17,171		
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$13,275		\$8,233	\$5,042	
Collection Agency Board	\$8,562		\$8,562		
UCCC	\$29,333		\$29,333		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 13-14</b>	<b>\$445,807</b>	<b>\$21,754</b>	<b>\$63,299</b>	<b>\$359,373</b>	<b>\$1,381</b>

## SCHEDULE 8 Comon Policy Summary

Information Technology	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$62,435	\$62,435			
Legal Services to State Agencies	\$358,892			\$358,892	
Appellate	\$55,577	\$55,577			
Medicaid Fraud	\$24,288	\$6,072			\$18,216
POST Board	\$10,001		\$10,001		
Special Prosecution	\$24,145	\$24,145	\$0		
Securities Fraud	\$8,858			\$8,858	
Insurance Fraud	\$18,145		\$18,145		
Federal & Interstate Water	\$7,858	\$7,858			
CERCLA	\$5,000			\$5,000	
RMA Litigation	\$0				
Colorado River Litigation	\$4,286	\$4,286			
Consumer Protection & Anti-Trust	\$37,147	\$14,290	\$18,571	\$4,286	
Collection Agency Board	\$10,001		\$10,001		
UCCC	\$18,573		\$18,573		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 14-15</b>	<b>\$645,206</b>	<b>\$174,663</b>	<b>\$75,291</b>	<b>\$377,036</b>	<b>\$18,216</b>
<b>Request FY 15-16</b>					
Administration	\$645,206	\$174,663	\$75,291	\$377,036	\$18,216
Legal Services to State Agencies	\$0				
Appellate	\$0				
Capital Crimes	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$645,206</b>	<b>\$174,663</b>	<b>\$75,291</b>	<b>\$377,036</b>	<b>\$18,216</b>

**SCHEDULE 8**  
**Common Policy Summary**

Carr Building Lease	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$107,416	\$107,416			
Legal Services to State Agencies	\$807,929	\$79,139		\$728,790	
Appellate	\$102,783	\$102,783			
Medicaid Fraud	\$51,946	\$12,987			\$38,959
POST Board	\$11,390		\$11,390		
Special Prosecution	\$0	\$0	\$0		
Securities Fraud	\$17,723			\$17,723	
Insurance Fraud	\$31,779		\$31,779		
Safe2Tell	\$0	\$0			
Federal & Interstate Water	\$2,483	\$2,483			
CERCLA	\$10,695			\$10,695	
RMA Litigation	\$0				
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$64,172	\$30,558	\$24,447	\$9,167	
Collection Agency Board	\$4,806		\$4,806		
UCCC	\$60,198		\$60,198		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY12-13</b>	<b>\$1,273,320</b>	<b>\$335,366</b>	<b>\$132,620</b>	<b>\$766,375</b>	<b>\$38,959</b>
<b>Actual FY 13-14</b>					
Administration	\$277,229	\$277,229			
Legal Services to State Agencies	\$1,635,110			\$1,635,110	
Appellate	\$193,990	\$193,990			
Medicaid Fraud	\$123,619	\$36,010			\$87,609
POST Board	\$0		\$0		
Special Prosecution	\$100,271	\$100,271	\$0		
Securities Fraud	\$40,719			\$40,719	
Insurance Fraud	\$88,470		\$88,470		
Safe2Tell	\$0				
Federal & Interstate Water	\$9,580	\$9,580			
CERCLA	\$22,982			\$22,982	
RMA Litigation	\$0				
Colorado River Litigation	\$1,407	\$1,407			
Consumer Protection & Anti-Trust	\$245,023	\$142,124	\$83,196	\$19,703	
Collection Agency Board	\$58,405		\$58,405		
UCCC	\$129,682		\$129,682		
Reversions/Lapsed Appropriation	\$0		\$0		
<b>Department Total FY 13-14</b>	<b>\$2,926,487</b>	<b>\$760,611</b>	<b>\$359,753</b>	<b>\$1,718,514</b>	<b>\$87,609</b>

**SCHEDULE 8**  
**Common Policy Summary**

<b>Carr Building Lease</b>	<b>Total Funds</b>	<b>GF</b>	<b>CF</b>	<b>RA</b>	<b>FF</b>
<b>Estimate FY 14-15</b>					
Administration	\$284,977	\$284,977			
Legal Services to State Agencies	\$1,659,163			\$1,659,163	
Appellate	\$256,810	\$256,810			
Medicaid Fraud	\$114,539	\$28,635			\$85,904
POST Board	\$46,213		\$46,213		
Special Prosecution	\$111,571	\$111,571	\$0		
Securities Fraud	\$40,931			\$40,931	
Insurance Fraud	\$83,843		\$83,843		
Safe2Tell	\$0				
Federal & Interstate Water	\$36,310	\$36,310			
CERCLA	\$23,106			\$23,106	
RMA Litigation	\$0				
Colorado River Litigation	\$19,805	\$19,805			
Consumer Protection & Anti-Trust	\$172,064	\$66,020	\$86,239	\$19,805	
Collection Agency Board	\$46,213		\$46,213		
UCCC	\$85,823		\$85,823		
Reversions/Lapsed Appropriation	\$0		\$0		
<b>Department Total FY 14-15</b>	<b>\$2,981,368</b>	<b>\$804,128</b>	<b>\$348,331</b>	<b>\$1,743,005</b>	<b>\$85,904</b>
<b>Request FY 15-16</b>					
Administration	\$3,034,238	\$840,388	\$354,368	\$1,755,344	\$84,138
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$3,034,238</b>	<b>\$840,388</b>	<b>\$354,368</b>	<b>\$1,755,344</b>	<b>\$84,138</b>

## SCHEDULE 8

### Common Policy Summary

Payments to OIT	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$0	\$0			
Legal Services to State Agencies	\$0	\$0		\$0	
Appellate	\$0	\$0			
Medicaid Fraud	\$0	\$0			\$0
POST Board	\$0		\$0		
Special Prosecution	\$0	\$0	\$0		
Securities Fraud	\$0			\$0	
Insurance Fraud	\$0		\$0		
Safe2Tell	\$0	\$0			
Federal & Interstate Water	\$0	\$0			
CERCLA	\$0			\$0	
RMA Litigation	\$0				
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$0	\$0	\$0	\$0	
Collection Agency Board	\$0		\$0		
UCCC	\$0		\$0		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 12-13</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual FY 13-14</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 13-14</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

## Common Policy Summary

Payments to OIT	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$32,493	\$32,493	\$0	\$0	\$0
Legal Services to State Agencies	\$185,972	\$0	\$0	\$185,972	\$0
Appellate	\$28,924	\$28,924	\$0	\$0	\$0
Medicaid Fraud	\$13,145	\$3,285	\$0	\$0	\$9,860
POST Board	\$5,205	\$0	\$5,205	\$0	\$0
Special Prosecution	\$12,566	\$12,566	\$0	\$0	\$0
Securities Fraud	\$4,610	\$0	\$0	\$4,610	\$0
Insurance Fraud	\$9,443	\$0	\$9,443	\$0	\$0
Safe2Tell	\$0	\$0	\$0	\$0	\$0
Federal & Interstate Water	\$4,090	\$4,090	\$0	\$0	\$0
CERCLA	\$2,602	\$0	\$0	\$2,602	\$0
RMA Litigation	\$0	\$0	\$0	\$0	\$0
Colorado River Litigation	\$2,231	\$2,231	\$0	\$0	\$0
Consumer Protection & Anti-Trust	\$19,333	\$7,538	\$9,564	\$2,231	\$0
Collection Agency Board	\$5,507	\$0	\$5,507	\$0	\$0
UCCC	\$9,666	\$0	\$9,666	\$0	\$0
Reversions/Lapsed Appropriation	\$0	\$0	\$0	\$0	\$0
<b>Department Total FY 14-15</b>	<b>\$335,787</b>	<b>\$91,127</b>	<b>\$39,385</b>	<b>\$195,415</b>	<b>\$9,860</b>
<b>Request FY 15-16</b>					
Administration	\$348,903	\$96,634	\$40,749	\$201,845	\$9,675
Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
Appellate	\$0	\$0	\$0	\$0	\$0
Capital Crimes	\$0	\$0	\$0	\$0	\$0
Medicaid Fraud	\$0	\$0	\$0	\$0	\$0
POST Board	\$0	\$0	\$0	\$0	\$0
Special Prosecution	\$0	\$0	\$0	\$0	\$0
Securities Fraud	\$0	\$0	\$0	\$0	\$0
Insurance Fraud	\$0	\$0	\$0	\$0	\$0
Victims Assistance	\$0	\$0	\$0	\$0	\$0
Federal & Interstate Water	\$0	\$0	\$0	\$0	\$0
CERCLA	\$0	\$0	\$0	\$0	\$0
RMA Litigation	\$0	\$0	\$0	\$0	\$0
Colorado River Litigation	\$0	\$0	\$0	\$0	\$0
Consumer Protection & Anti-Trust	\$0	\$0	\$0	\$0	\$0
Collection Agency Board	\$0	\$0	\$0	\$0	\$0
UCCC	\$0	\$0	\$0	\$0	\$0
Reversions/Lapsed Appropriation	\$0	\$0	\$0	\$0	\$0
<b>Department Total FY 15-16</b>	<b>\$348,903</b>	<b>\$96,634</b>	<b>\$40,749</b>	<b>\$201,845</b>	<b>\$9,675</b>

**SCHEDULE 8**  
**Common Policy Summary**

COFRS Modernization	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$0	\$0			
Legal Services to State Agencies	\$0	\$0		\$0	
Appellate	\$0	\$0			
Medicaid Fraud	\$0	\$0			\$0
POST Board	\$0		\$0		
Special Prosecution	\$0	\$0	\$0		
Securities Fraud	\$0			\$0	
Insurance Fraud	\$0		\$0		
Safe2Tell	\$0	\$0			
Federal & Interstate Water	\$0	\$0			
CERCLA	\$0			\$0	
RMA Litigation	\$0				
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$0	\$0	\$0	\$0	
Collection Agency Board	\$0		\$0		
UCCC	\$0		\$0		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 12-13</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual FY 13-14</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 13-14</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

## Common Policy Summary

COFRS Modernization	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$0	\$0	\$0	\$0	\$0
Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
Appellate	\$0	\$0	\$0	\$0	\$0
Medicaid Fraud	\$0	\$0	\$0	\$0	\$0
POST Board	\$0	\$0	\$0	\$0	\$0
Special Prosecution	\$0	\$0	\$0	\$0	\$0
Securities Fraud	\$0	\$0	\$0	\$0	\$0
Insurance Fraud	\$0	\$0	\$0	\$0	\$0
Safe2Tell	\$0	\$0	\$0	\$0	\$0
Federal & Interstate Water	\$0	\$0	\$0	\$0	\$0
CERCLA	\$0	\$0	\$0	\$0	\$0
RMA Litigation	\$0	\$0	\$0	\$0	\$0
Colorado River Litigation	\$0	\$0	\$0	\$0	\$0
Consumer Protection & Anti-Trust	\$0	\$0	\$0	\$0	\$0
Collection Agency Board	\$0	\$0	\$0	\$0	\$0
UCCC	\$0	\$0	\$0	\$0	\$0
Reversions/Lapsed Appropriation	\$0	\$0	\$0	\$0	\$0
<b>Department Total FY 14-15</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Request FY 15-16</b>					
Administration	\$54,140	\$14,996	\$6,323	\$31,320	\$1,501
Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
Appellate	\$0	\$0	\$0	\$0	\$0
Capital Crimes	\$0	\$0	\$0	\$0	\$0
Medicaid Fraud	\$0	\$0	\$0	\$0	\$0
POST Board	\$0	\$0	\$0	\$0	\$0
Special Prosecution	\$0	\$0	\$0	\$0	\$0
Securities Fraud	\$0	\$0	\$0	\$0	\$0
Insurance Fraud	\$0	\$0	\$0	\$0	\$0
Victims Assistance	\$0	\$0	\$0	\$0	\$0
Federal & Interstate Water	\$0	\$0	\$0	\$0	\$0
CERCLA	\$0	\$0	\$0	\$0	\$0
RMA Litigation	\$0	\$0	\$0	\$0	\$0
Colorado River Litigation	\$0	\$0	\$0	\$0	\$0
Consumer Protection & Anti-Trust	\$0	\$0	\$0	\$0	\$0
Collection Agency Board	\$0	\$0	\$0	\$0	\$0
UCCC	\$0	\$0	\$0	\$0	\$0
Reversions/Lapsed Appropriation	\$0	\$0	\$0	\$0	\$0
<b>Department Total FY 15-16</b>	<b>\$54,140</b>	<b>\$14,996</b>	<b>\$6,323</b>	<b>\$31,320</b>	<b>\$1,501</b>

## SCHEDULE 8 Common Policy Summary

ADP	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$154,370	\$0	\$154,370		\$0
Legal Services to State Agencies	\$0				
Appellate	\$0	\$0			
Medicaid Fraud	\$0	\$0			
POST Board	\$0				
Special Prosecution	\$0	\$0		\$0	
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 12-13</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual FY 13-14</b>					
Administration	\$0	\$0	\$0	\$0	\$0
Legal Services to State Agencies	\$0				
Appellate	\$0	\$0			
Medicaid Fraud	\$0	\$0			
POST Board	\$0				
Special Prosecution	\$0	\$0		\$0	
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 13-14</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**SCHEDULE 8**  
**Common Policy Summary**

ADP	Total Funds	GF	CF	RA	FF
Administration	\$0		\$0		
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 14-15</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Request FY 15-16</b>					
Administration	\$0	\$0	\$0	\$0	\$0
Legal Services to State Agencies	\$0				
Appellate	\$0				
Capital Crimes	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

## SCHEDULE 8 Common Policy Summary

Lease Space	Total Funds	GF	CF	CFE	FF
<b>Actual FY 12-13</b>					
Administration	\$669	\$669			
Legal Services to State Agencies	\$19,985			\$19,985	
Appellate	\$947	\$947			
Medicaid Fraud	\$229	\$57			\$172
POST Board	\$0				
Special Prosecution	\$2,907	\$2,907			
Securities Fraud	\$0				
Insurance Fraud	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$1,011		\$1,011		
UCCC	\$2,041		\$2,041		
Reversions/Lapsed Appropriation	\$0		\$0		
<b>Department Total FY 12-13</b>	<b>\$27,789</b>	<b>\$4,580</b>	<b>\$3,052</b>	<b>\$19,985</b>	<b>\$172</b>
<b>Actual FY 13-14</b>					
Administration	\$669	\$669			
Legal Services to State Agencies	\$19,985			\$19,985	
Appellate	\$947	\$947			
Medicaid Fraud	\$229	\$57			\$172
POST Board	\$0				
Special Prosecution	\$2,907	\$2,907			
Securities Fraud	\$0				
Insurance Fraud	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$1,011		\$1,011		
UCCC	\$2,041		\$2,041		
Reversions/Lapsed Appropriation	\$0		\$0		
<b>Department Total FY 13-14</b>	<b>\$27,789</b>	<b>\$4,580</b>	<b>\$3,052</b>	<b>\$19,985</b>	<b>\$172</b>

## Common Policy Summary

Lease Space	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$0	\$0			
Legal Services to State Agencies	\$0			\$0	
Appellate	\$0	\$0			
Medicaid Fraud	\$0	\$0			\$0
POST Board	\$0				
Special Prosecution	\$0	\$0			
Securities Fraud	\$0				
Insurance Fraud	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0		\$0		
UCCC	\$0		\$0		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 14-15</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Request FY 15-16</b>					
Administration	\$0	\$0	\$0	\$0	\$0
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

## SCHEDULE 8

### Common Policy Summary

Communication Service Payments	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$3,377	\$844			\$2,533
POST Board	\$0				
Special Prosecution	\$2,246	\$2,246	\$0		
Securities Fraud	\$960			\$960	
Insurance Fraud	\$2,384		\$2,384		
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$1,647	\$675	\$484	\$488	
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY12-13</b>	<b>\$10,614</b>	<b>\$3,765</b>	<b>\$2,868</b>	<b>\$1,448</b>	<b>\$2,533</b>
<b>Actual FY 13-14</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$2,860	\$715			\$2,145
POST Board	\$0				
Special Prosecution	\$1,902	\$1,902	\$0		
Securities Fraud	\$813			\$813	
Insurance Fraud	\$2,019		\$2,019		
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$1,394	\$981	\$0	\$413	
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 13-14</b>	<b>\$8,988</b>	<b>\$3,598</b>	<b>\$2,019</b>	<b>\$1,226</b>	<b>\$2,145</b>

**SCHEDULE 8**  
**Common Policy Summary**

<b>Communication Service Payments</b>	<b>Total Funds</b>	<b>GF</b>	<b>CF</b>	<b>RA</b>	<b>FF</b>
<b>Estimate FY 14-15</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0	\$0			\$0
POST Board	\$0				
Special Prosecution	\$0	\$0	\$0		
Securities Fraud	\$0			\$0	
Insurance Fraud	\$0		\$0		
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0	\$0	\$0	\$0	
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 14-15</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Request FY 15-16</b>					
Administration	\$0	\$0	\$0	\$0	\$0
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Victims Assistance	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 15-16</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**SCHEDULE 8**  
**Common Policy Summary**

Security for State Services Building	Total Funds	GF	CF	RA	FF
<b>Actual FY 12-13</b>					
Administration	\$13,788	\$13,788			
Legal Services to State Agencies	\$81,136	\$1,016		\$80,120	
Appellate	\$10,841	\$10,841			
Medicaid Fraud	\$5,758	\$1,440			\$4,318
POST Board	\$2,371		\$2,371		
Special Prosecution	\$4,844	\$4,844	\$0		
Securities Fraud	\$1,965			\$1,965	
Insurance Fraud	\$3,523		\$3,523		
Safe2Tell	\$0	\$0			
Federal & Interstate Water	\$1,863	\$1,863			
CERCLA	\$1,186			\$1,186	
RMA Litigation	\$0				
Colorado River Litigation	\$0	\$0			
Consumer Protection & Anti-Trust	\$7,115	\$3,388	\$2,711	\$1,016	
Collection Agency Board	\$1,863		\$1,863		
UCCC	\$4,236		\$4,236		
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 12-13</b>	<b>\$140,489</b>	<b>\$37,180</b>	<b>\$14,704</b>	<b>\$84,287</b>	<b>\$4,318</b>
<b>Actual FY 13-14</b>					
Administration	\$0				
Legal Services to State Agencies	\$0				
Appellate	\$0				
Medicaid Fraud	\$0				
POST Board	\$0				
Special Prosecution	\$0				
Securities Fraud	\$0				
Insurance Fraud	\$0				
Safe2Tell	\$0				
Federal & Interstate Water	\$0				
CERCLA	\$0				
RMA Litigation	\$0				
Colorado River Litigation	\$0				
Consumer Protection & Anti-Trust	\$0				
Collection Agency Board	\$0				
UCCC	\$0				
Reversions/Lapsed Appropriation	\$0				
<b>Department Total FY 13-14</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

## Common Policy Summary

Security for State Services Building	Total Funds	GF	CF	RA	FF
<b>Estimate FY 14-15</b>					
Administration	\$0	\$0	\$0	\$0	\$0
Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
Appellate	\$0	\$0	\$0	\$0	\$0
Medicaid Fraud	\$0	\$0	\$0	\$0	\$0
POST Board	\$0	\$0	\$0	\$0	\$0
Special Prosecution	\$0	\$0	\$0	\$0	\$0
Securities Fraud	\$0	\$0	\$0	\$0	\$0
Insurance Fraud	\$0	\$0	\$0	\$0	\$0
Safe2Tell	\$0	\$0	\$0	\$0	\$0
Federal & Interstate Water	\$0	\$0	\$0	\$0	\$0
CERCLA	\$0	\$0	\$0	\$0	\$0
RMA Litigation	\$0	\$0	\$0	\$0	\$0
Colorado River Litigation	\$0	\$0	\$0	\$0	\$0
Consumer Protection & Anti-Trust	\$0	\$0	\$0	\$0	\$0
Collection Agency Board	\$0	\$0	\$0	\$0	\$0
UCCC	\$0	\$0	\$0	\$0	\$0
Reversions/Lapsed Appropriation	\$0	\$0	\$0	\$0	\$0
<b>Department Total FY 14-15</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Request FY 15-16</b>					
Administration	\$0	\$0	\$0	\$0	\$0
Legal Services to State Agencies	\$0	\$0	\$0	\$0	\$0
Appellate	\$0	\$0	\$0	\$0	\$0
Capital Crimes	\$0	\$0	\$0	\$0	\$0
Medicaid Fraud	\$0	\$0	\$0	\$0	\$0
POST Board	\$0	\$0	\$0	\$0	\$0
Special Prosecution	\$0	\$0	\$0	\$0	\$0
Securities Fraud	\$0	\$0	\$0	\$0	\$0
Insurance Fraud	\$0	\$0	\$0	\$0	\$0
Victims Assistance	\$0	\$0	\$0	\$0	\$0
Federal & Interstate Water	\$0	\$0	\$0	\$0	\$0
CERCLA	\$0	\$0	\$0	\$0	\$0
RMA Litigation	\$0	\$0	\$0	\$0	\$0
Colorado River Litigation	\$0	\$0	\$0	\$0	\$0
Consumer Protection & Anti-Trust	\$0	\$0	\$0	\$0	\$0
Collection Agency Board	\$0	\$0	\$0	\$0	\$0
UCCC	\$0	\$0	\$0	\$0	\$0
Reversions/Lapsed Appropriation	\$0	\$0	\$0	\$0	\$0
<b>Department Total FY 15-16</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

Schedule 9A: Cash Funds Reports  
Department of Law  
FY 2015-16 Budget Request  
Fund 12W0- Non-Profit Health Care Review  
6-19-406(1)(f), C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$2,801</b>	<b>\$2,801</b>	<b>\$2,801</b>	<b>\$2,801</b>	<b>\$2,801</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Actual Expenditure	\$0	\$0	\$0	\$0	\$0
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$2,801</b>	<b>\$2,801</b>	<b>\$2,801</b>	<b>\$2,801</b>	<b>\$2,801</b>
Decision Item #1 - "Sample A"	N/A	N/A	N/A	\$0	\$0
Decision Item #2 - "Sample B"	N/A	N/A	N/A	\$0	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$2,801</b>	<b>\$2,801</b>	<b>\$2,801</b>	<b>\$2,801</b>	<b>\$2,801</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
1. Fee Name	N/A	N/A	N/A	N/A	N/A
2. Fee Name	N/A	N/A	N/A	N/A	N/A
3. Fee Name	N/A	N/A	N/A	N/A	N/A

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 12W0- Non-Profit Health Care Review  
 6-19-406(1)(f), C.R.S.

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$0	\$0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	N/A	N/A	N/A	N/A	N/A
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Assessment of Potential for Compliance (check all that apply)	___ Already in Compliance    ___ Statute Change <sup>2</sup> ___ Planned Fee Reduction <sup>2</sup>				
	___ Planned One-time Expenditure(s) <sup>1</sup> ___ Planned Ongoing Expenditure(s) <sup>2</sup> ___ Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)
2. If plan is needed to meet compliance deadline, attach Form 9.B.
3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	Accepting grants for the implementation of article 6-19 C.R.S.
Fee Sources	N/A
Non-Fee Sources	Reimburse State legal costs associated with a change from non-profit to for-profit operation.
Long Bill Groups Supported by Fund	None
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	Reviewing the transfer of non-profit hospital assets.
Revenue Drivers	None
Expenditure Drivers	None

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 12W0- Non-Profit Health Care Review  
 6-19-406(1)(f), C.R.S.

Explanation of any Long-term Liability Funding Requirements	N/A
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Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: N/A</b>					
Line Item Name	\$0	\$0	\$0	\$0	\$0
Line Item Name	\$0	\$0	\$0	\$0	\$0
Division Subtotal	\$0	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>



Schedule 9A: Cash Funds Reports  
Department of Law  
FY 2015-16 Budget Request  
Fund 13H0 - Attorney Fees & Cost  
24-31-108, C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance</b> <sup>1</sup>	1,125,012	1,177,299	1,105,290	1,005,290	1,005,290
Actual / anticipated revenue from court awards	\$438,169	\$191,126	\$150,000	\$150,000	\$150,000
Actual / anticipated fees collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$438,169</b>	<b>\$191,126</b>	<b>\$150,000</b>	<b>\$150,000</b>	<b>\$150,000</b>
Actual Expenditure -	\$385,881	\$263,135	\$250,000	\$150,000	\$150,000
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$385,881</b>	<b>\$263,135</b>	<b>\$250,000</b>	<b>\$150,000</b>	<b>\$150,000</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$1,177,299</b>	<b>\$1,105,290</b>	<b>1,005,290</b>	<b>\$1,005,290</b>	<b>\$1,005,290</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$1,177,299</b>	<b>\$1,105,290</b>	<b>1,005,290</b>	<b>\$1,005,290</b>	<b>\$1,005,290</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
1. Fee Name	N/A	N/A	N/A	N/A	N/A
2. Fee Name	N/A	N/A	N/A	N/A	N/A
3. Fee Name	N/A	N/A	N/A	N/A	N/A

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 13H0 - Attorney Fees & Cost  
 24-31-108, C.R.S.

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$0	\$0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	N/A	N/A	N/A	N/A	N/A
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)
2. If plan is needed to meet compliance deadline, attach Form 9.B.
3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	The 2000 session of the General Assembly established this account. Any award of attorneys fees and costs received by the Attorney General are to be deposited into this fund subject to appropriation.
Fee Sources	N/A
Non-Fee Sources	None
Long Bill Groups Supported by Fund	Litigation Management Fund; Consultant Expense Line;
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	These funds are to be used for legal services provided by the Attorney General's Office.
Revenue Drivers	Court awards of attorney fees and costs.
Expenditure Drivers	None

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 13H0 - Attorney Fees & Cost  
 24-31-108, C.R.S.

Explanation of any Long-term Liability Funding Requirements	None
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Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: Water &amp; Natural Resources</b>					
ADP Capital Outlay	\$154,370	\$0		\$0	\$0
Consultant Expense	\$0	\$0	\$50,000	\$50,000	\$50,000
Attorney Fees and Costs	\$0	\$0			
Division Subtotal	\$0	\$0	\$50,000	\$50,000	\$50,000
<b>Division Name: Special Purpose</b>					
Litigation Management Fund	\$231,511	\$263,135	\$200,000	\$100,000	\$100,000
Division Subtotal	\$231,511	\$263,135	\$200,000	\$100,000	\$100,000
<b>TOTAL</b>	<b>\$385,881</b>	<b>\$263,135</b>	<b>\$250,000</b>	<b>\$150,000</b>	<b>\$150,000</b>



Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 1460 - Consumer Protection Custodial  
 24-31-108(3), C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance <sup>1</sup></b>	<b>\$9,868,900</b>	<b>\$16,007,985</b>	<b>\$12,639,074</b>	<b>\$11,265,457</b>	<b>\$9,942,985</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated cash transferred in	\$9,647,794	\$290,234.54	\$2,370,057	\$2,000,000	\$2,000,000
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$9,647,794</b>	<b>\$290,235</b>	<b>\$2,370,057</b>	<b>\$2,000,000</b>	<b>\$2,000,000</b>
Actual / appropriated / projected cash expenditures	\$3,508,709	\$3,659,145	\$3,743,674	\$3,322,472	\$2,622,472
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$3,508,709</b>	<b>\$3,659,145</b>	<b>\$3,743,674</b>	<b>\$3,322,472</b>	<b>\$2,622,472</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$16,007,985</b>	<b>\$12,639,074</b>	<b>\$11,265,457</b>	<b>\$9,942,985</b>	<b>\$9,320,513</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$16,007,985</b>	<b>\$12,639,074</b>	<b>\$11,265,457</b>	<b>\$9,942,985</b>	<b>\$9,320,513</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-134	FY 2014-15	FY 2015-16	FY 2016-17
1. Fee Name	N/A	N/A	N/A	N/A	N/A
2. Fee Name	N/A	N/A	N/A	N/A	N/A
3. Fee Name	N/A	N/A	N/A	N/A	N/A

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 1460 - Consumer Protection Custodial  
 24-31-108(3), C.R.S.

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-134	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$0	\$0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	N/A	N/A	N/A	N/A	N/A
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)
2. If plan is needed to meet compliance deadline, attach Form 9.B.
3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	Consumer protection and Education Enforcement
Fee Sources	N/A
Non-Fee Sources	Consumer protection litigation court awards
Long Bill Groups Supported by Fund	Consumer Protection and Anti-Trust Appropriation, Centrally Appropriated Lines
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	Only used for consumer protection education and enforcement
Revenue Drivers	Depends on Consumer Protection Litigation
Expenditure Drivers	Depends on Consumer Protection Expenses

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 1460 - Consumer Protection Custodial  
 24-31-108(3), C.R.S.

Explanation of any Long-term Liability Funding Requirements	N/A
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Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-134	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: Consumer Protection</b>					
Consumer Protection & Anti-Trust	\$839,401	\$932,993	\$978,208	\$1,062,670	\$1,062,670
CP Indirect Costs	\$161,252	\$160,219	\$156,637	\$155,207	\$155,207
Workers' Compensation			\$2,780	\$1,986	\$1,986
Attorney Registration and CLE					\$0
Administrative Law Judge					\$0
Vehicle Lease Payments			\$0		\$0
IT Asset Maintenance			\$17,142	\$17,142	\$17,142
Ralph L. Carr Colorado Judicial Center Leased Space			\$79,637	\$72,589	\$72,589
Payments to OIT			\$8,820	\$8,347	\$8,347
Risk Management				\$2,786	\$2,786
COFRS Modernization				\$1,295	\$1,295
Subtotal	\$1,000,653	\$1,093,212	\$1,243,224	\$1,322,022	\$1,322,022
Custodial Fund	\$2,508,056	\$2,565,489	\$2,500,000	\$2,000,000	\$1,300,000
Treasury		\$444	\$450	\$450	\$450
<b>TOTAL</b>	<b>\$3,508,709</b>	<b>\$3,659,145</b>	<b>\$3,743,674</b>	<b>\$3,322,472</b>	<b>\$2,622,472</b>



Schedule 9A: Cash Funds Reports  
Department of Law  
FY 2015-16 Budget Request  
Fund 1470 - Special Prosecution Custodial  
18-17-106, C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance <sup>1</sup></b>	<b>\$29,599</b>	<b>\$30,372</b>	<b>\$30,636</b>	<b>\$30,906</b>	<b>\$31,176</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated cash transferred in	\$21,836	\$294	\$300	\$300	\$300
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$21,836</b>	<b>\$294</b>	<b>\$300</b>	<b>\$300</b>	<b>\$300</b>
Actual / appropriated / projected cash expenditures	\$21,063	\$29	\$30	\$30	\$30
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$21,063</b>	<b>\$29</b>	<b>\$30</b>	<b>\$30</b>	<b>\$30</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$30,372</b>	<b>\$30,636</b>	<b>\$30,906</b>	<b>\$31,176</b>	<b>\$31,446</b>
Decision Item #1 - "Sample A"	N/A	N/A	0	\$0	\$0
Decision Item #2 - "Sample B"	N/A	N/A	0	\$0	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>0</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$30,372</b>	<b>\$30,636</b>	<b>\$30,906</b>	<b>\$31,176</b>	<b>\$31,446</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
1. Fee Name	N/A	N/A	N/A	N/A	N/A
2. Fee Name	N/A	N/A	N/A	N/A	N/A
3. Fee Name	N/A	N/A	N/A	N/A	N/A

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 1470 - Special Prosecution Custodial  
 18-17-106, C.R.S.

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$0	\$0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	N/A	N/A	N/A	N/A	N/A
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)
2. If plan is needed to meet compliance deadline, attach Form 9.B.
3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	Forfeitures under the Colorado Organized Crime Act
Fee Sources	N/A
Non-Fee Sources	Interest on Fund Balance
Long Bill Groups Supported by Fund	None
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	Special Prosecution support
Revenue Drivers	Court awards and interest
Expenditure Drivers	None

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 1470 - Special Prosecution Custodial  
 18-17-106, C.R.S.

Explanation of any Long-term Liability Funding Requirements	N/A
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Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: Water &amp; Natural Resources</b>					
Treasury	\$0	\$0	\$0	\$0	\$0
Custodial Fund	\$21,063	\$29	\$30	\$30	\$30
Division Subtotal	\$21,063	\$29	\$30	\$30	\$30
<b>TOTAL</b>	<b>\$21,063</b>	<b>\$29</b>	<b>\$30</b>	<b>\$30</b>	<b>\$30</b>



Schedule 9A: Cash Funds Reports  
Department of Law  
FY 2015-16 Budget Request  
Fund 1480 - Natural Resources Custodial  
24-31-108(3), C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$9,609</b>	<b>\$9,687</b>	<b>\$9,756</b>	<b>\$9,830</b>	<b>\$9,904</b>
Actual / Revenue	\$104	\$93	\$100	\$100	\$100
Actual / anticipated fees collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$104</b>	<b>\$93</b>	<b>\$100</b>	<b>\$100</b>	<b>\$100</b>
Actual Expenditure	\$26	\$24	\$26	\$26	\$26
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$26</b>	<b>\$24</b>	<b>\$26</b>	<b>\$26</b>	<b>\$26</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$9,687</b>	<b>\$9,756</b>	<b>\$9,830</b>	<b>\$9,904</b>	<b>\$9,978</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$9,687</b>	<b>\$9,756</b>	<b>\$9,830</b>	<b>\$9,904</b>	<b>\$9,978</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
1. Fee Name	N/A	N/A	N/A	N/A	N/A
2. Fee Name	N/A	N/A	N/A	N/A	N/A
3. Fee Name	N/A	N/A	N/A	N/A	N/A

Schedule 9A: Cash Funds Reports  
Department of Law  
FY 2015-16 Budget Request  
Fund 1480 - Natural Resources Custodial  
24-31-108(3), C.R.S.

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$0	\$0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	N/A	N/A	N/A	N/A	N/A
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)
2. If plan is needed to meet compliance deadline, attach Form 9.B.
3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	This fund was established as a result of a court award. The award stipulated that these funds be used for legal services to the State Engineers office. Further additional dollars were deposited to pay for RMA NRDs assessment costs
Fee Sources	None
Non-Fee Sources	None
Long Bill Groups Supported by Fund	None
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	NRDs assessment costs and other NR related work
Revenue Drivers	None
Expenditure Drivers	CERCLA litigation or legal work by the State Engineer

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 1480 - Natural Resources Custodial  
 24-31-108(3), C.R.S.

Explanation of any Long-term Liability Funding Requirements	N/A
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Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: N/A</b>					
Line Item Name	\$0	\$0	\$0	\$0	\$0
Line Item Name	\$0	\$0	\$0	\$0	\$0
Line Item Name	\$0	\$0	\$0	\$0	\$0
Custodial Fund	\$26	\$24	\$26	\$26	\$26
Division Subtotal	\$26	\$24	\$26	\$26	\$26
<b>TOTAL</b>	<b>\$26</b>	<b>\$24</b>	<b>\$26</b>	<b>\$26</b>	<b>\$26</b>



Schedule 9A: Cash Funds Reports  
Department of Law  
FY 2015-16 Budget Request  
Fund 14D0 - Mortgage Fraud Settlement Custodial  
24-31-108(3), C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance <sup>1</sup></b>	<b>\$2,703,852</b>	<b>\$20,235,411</b>	<b>\$18,040,151</b>	<b>\$12,040,151</b>	<b>\$6,040,151</b>
Actual / Revenue	\$52,216,722	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$52,216,722</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Actual Expenditure	\$34,685,163	\$2,195,260	\$6,000,000	\$6,000,000	\$6,000,000
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$34,685,163</b>	<b>\$2,195,260</b>	<b>\$6,000,000</b>	<b>\$6,000,000</b>	<b>\$6,000,000</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$20,235,411</b>	<b>\$18,040,151</b>	<b>\$12,040,151</b>	<b>\$6,040,151</b>	<b>\$40,151</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$20,235,411</b>	<b>\$18,040,151</b>	<b>\$12,040,151</b>	<b>\$6,040,151</b>	<b>\$40,151</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
1. Fee Name	N/A	N/A	N/A	N/A	N/A
2. Fee Name	N/A	N/A	N/A	N/A	N/A
3. Fee Name	N/A	N/A	N/A	N/A	N/A

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 14D0 - Mortgage Fraud Settlement Custodial  
 24-31-108(3), C.R.S.

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$0	\$0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	N/A	N/A	N/A	N/A	N/A
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)
2. If plan is needed to meet compliance deadline, attach Form 9.B.
3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	This fund was established in FY 12 as a result of a court award. The award stipulated that these funds be used for various mortgage efforts
Fee Sources	None
Non-Fee Sources	None
Long Bill Groups Supported by Fund	None
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	
Revenue Drivers	None
Expenditure Drivers	Contracts with various providers

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 14D0 - Mortgage Fraud Settlement Custodial  
 24-31-108(3), C.R.S.

Explanation of any Long-term Liability Funding Requirements	N/A
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Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: N/A</b>					
Custodial Fund	\$34,685,163	\$2,195,260	\$6,000,000	\$6,000,000	\$6,000,000
Division Subtotal	\$34,685,163	\$2,195,260	\$6,000,000	\$6,000,000	\$6,000,000
<b>TOTAL</b>	<b>\$34,685,163</b>	<b>\$2,195,260</b>	<b>\$6,000,000</b>	<b>\$6,000,000</b>	<b>\$6,000,000</b>



Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 14P - POST Custodial  
 24-31-108(3), C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$4,477</b>	<b>\$13</b>	<b>(\$0)</b>	<b>\$0</b>	<b>\$0</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated cash transferred in	\$29	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$29</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Actual / appropriated / projected cash expenditures	\$4,493	\$13	(\$0)	\$0	\$0
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$4,493</b>	<b>\$13</b>	<b>(\$0)</b>	<b>\$0</b>	<b>\$0</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$13</b>	<b>(\$0)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Decision Item #1 - "Sample A"	N/A	N/A	N/A	\$0	\$0
Decision Item #2 - "Sample B"	N/A	N/A	N/A	\$0	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$13</b>	<b>(\$0)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
1. Fee Name	N/A	N/A	N/A	N/A	N/A
2. Fee Name	N/A	N/A	N/A	N/A	N/A
3. Fee Name	N/A	N/A	N/A	N/A	N/A

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 14P - POST Custodial  
 24-31-108(3), C.R.S.

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$0	\$0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	N/A	N/A	N/A	N/A	N/A
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Assessment of Potential for Compliance (check all that apply)	___ Already in Compliance ___ Statute Change <sup>2</sup> ___ Planned Fee Reduction <sup>2</sup>				
	___ Planned One-time Expenditure(s) <sup>1</sup> ___ Planned Ongoing Expenditure(s) <sup>2</sup> ___ Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	Support regionalized training of Peace Officers
Fee Sources	N/A
Non-Fee Sources	Court award from US District Court
Long Bill Groups Supported by Fund	None
Non-appropriated Fund Obligations	N/A
Statutory or Other Restriction on Use of Fund	N/A
Revenue Drivers	N/A
Expenditure Drivers	N/A

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 14P - POST Custodial  
 24-31-108(3), C.R.S.

Explanation of any Long-term Liability Funding Requirements	N/A
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Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: None</b>					
Post Custodial Funds	\$0	\$13	\$0	\$0	\$0
Line Item Name	\$0	\$0	\$0	\$0	\$0
Division Subtotal	\$4,493	\$13	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$4,493</b>	<b>\$13</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>



Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 1500 - Collection Agency Board  
 12-14-136, C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance <sup>1</sup></b>	<b>\$86,341</b>	<b>\$104,201</b>	<b>\$5,919</b>	<b>\$89,926</b>	<b>\$131,405</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$533,739	\$510,522	\$920,242	\$893,500	\$853,500
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$533,739</b>	<b>\$510,522</b>	<b>\$920,242</b>	<b>\$893,500</b>	<b>\$853,500</b>
Actual Expenditure	\$515,878	608,804	\$836,235	\$852,020	\$852,020
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$515,878</b>	<b>\$608,804</b>	<b>\$836,235</b>	<b>\$852,020</b>	<b>\$852,020</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$104,201</b>	<b>\$5,919</b>	<b>\$89,926</b>	<b>\$131,405</b>	<b>\$132,885</b>
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$104,201</b>	<b>\$5,919</b>	<b>\$89,926</b>	<b>\$131,405</b>	<b>\$132,885</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
1. Business Registration	\$530,800	\$507,850	\$916,742	\$890,000	\$850,000
2. Other Fines	N/A	N/A	N/A	N/A	N/A
3. Interest Income	\$2,939	\$2,672	\$3,500	\$3,500	\$3,500

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 1500 - Collection Agency Board  
 12-14-136, C.R.S.

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$101,262	\$5,490	\$89,926	\$127,905	\$129,385
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$85,120	\$100,453	\$137,979	\$140,583	\$140,583
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$16,143</b>	<b>(\$94,963)</b>	<b>(\$48,053)</b>	<b>(\$9,178)</b>	<b>(\$7,698)</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input checked="" type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input checked="" type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)
2. If plan is needed to meet compliance deadline, attach Form 9.B.
3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	Fund regulation and the licensing of collection agencies to protect the public from illegal and oppressive collection practices.
Fee Sources	Investigation Fee \$300, Renewal Fee \$500, and License Fee \$1,000
Non-Fee Sources	Penalites, Interest
Long Bill Groups Supported by Fund	Collection Agency Board, Administration Potted Operating Lines
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	Only fund activities of the Collection Agency Board
Revenue Drivers	Economy - With a weak economy and relatively minimal entrance requirements, more individuals enter the collection business.
Expenditure Drivers	As the number of licensees grow, the number of complaints, investigation and disciplinary action grow. Expenditure should keep pace with this growth.

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 1500 - Collection Agency Board  
 12-14-136, C.R.S.

Explanation of any Long-term Liability Funding Requirements	N/A
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Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: ADMINISTRATION</b>					
Treasurer	\$362	\$402	\$400	\$400	\$400
Division Subtotal	\$362	\$402	\$400	\$400	\$400
<b>Division Name: CONSUMER PROTECTION &amp; ANTI-TRUST</b>					
Collection Agency Board	\$447,294	\$543,869	\$670,490	\$698,958	\$698,958
Indirect Costs	\$68,222	\$64,533	\$91,371	\$83,573	\$83,573
Workers' Compensation			\$1,619	\$1,264	\$1,264
Attorney Registration and CLE			\$475	\$950	\$950
Administrative Law Judge			\$9,759	\$2,372	\$2,372
Vehicle Lease Payments			\$0		\$0
IT Asset Maintenance			\$10,001	\$10,001	\$10,001
Ralph L. Carr Colorado Judicial Center Leased Space			\$46,213	\$46,193	\$46,193
Payments to OIT			\$5,507	\$5,312	\$5,312
Risk Management				\$1,773	\$1,773
COFRS Modernization				\$824	\$824
Division Subtotal	\$515,516	\$608,402	\$835,835	\$851,620	\$851,620
	\$0	\$0	\$0	\$0	\$0
Division Subtotal	\$0	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$515,878</b>	<b>\$608,804</b>	<b>\$836,235</b>	<b>\$852,020</b>	<b>\$852,020</b>



Schedule 9A: Cash Funds Reports  
Department of Law  
FY 2015-16 Budget Request  
Fund 1510 - Uniform Consumer Credit Code  
5-6-204, C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance <sup>1</sup></b>	<b>\$635,540</b>	<b>\$4,407</b>	<b>\$608</b>	<b>\$171,064</b>	<b>\$349,337</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$938,703	\$1,723,997	\$1,742,000	\$1,736,000	\$1,711,000
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$938,703</b>	<b>\$1,723,997</b>	<b>\$1,742,000</b>	<b>\$1,736,000</b>	<b>\$1,711,000</b>
Actual / appropriated / projected cash expenditures	\$1,569,837	\$1,727,795	\$1,571,544	\$1,557,727	\$1,557,727
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$1,569,837</b>	<b>\$1,727,795</b>	<b>\$1,571,544</b>	<b>\$1,557,727</b>	<b>\$1,557,727</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$4,407</b>	<b>\$608</b>	<b>\$171,064</b>	<b>\$349,337</b>	<b>\$502,609</b>
Decision Item #1 - "Sample A"	N/A	N/A	N/A	\$0	\$0
Decision Item #2 - "Sample B"	N/A	N/A	N/A	\$0	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$4,407</b>	<b>\$608</b>	<b>\$171,064</b>	<b>\$349,337</b>	<b>\$502,609</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
1. Business Registration	\$929,204	\$1,692,717	\$1,700,000	\$1,725,000	\$1,700,000
2. Other Fines/Forfeitures	\$4,000	\$26,000	\$36,000	\$5,000	\$5,000
3. Interest Income	\$5,499	\$5,280	\$6,000	\$6,000	\$6,000

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 1510 - Uniform Consumer Credit Code  
 5-6-204, C.R.S.

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$4,407	\$302	\$171,064	\$349,337	\$502,609
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses) 33% per HB09-1141	\$518,046	\$570,172	\$518,610	\$514,050	\$514,050
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>(\$513,639)</b>	<b>(\$569,870)</b>	<b>(\$347,545)</b>	<b>(\$164,713)</b>	<b>(\$11,441)</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	Fund regulating and licensing of lenders and creditors issuing consumer credit and engaging in debt management and credit repair to protect the public from illegal practices and ensure compliance with the law.
Fee Sources	Business Registrations: Supervised Lender License \$750; Supervised lender Branch License \$750; UCCC Notification Fee \$100; UCCC Volume Fee \$25 per \$100,000; Rent to Own Notification Fee: \$100
Non-Fee Sources	Fines and Forfeits
Long Bill Groups Supported by Fund	Department of Law - CP & Anti-Trust - Uniform Consumer Credit Code
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	Only for UCCC
Revenue Drivers	Economy and legislation. The availability of credit on the commercial and investment markets impacts whether lenders offer consumer credit. Reductions in mortgage credit will reduce revenue as will consolidations. In addition, any legislation that limits payday lending can reduce revenue. However, lenders that extend their own funds may continue to grow.
Expenditure Drivers	More licensees and creditors operate online to try to avoid state regulation and the number of complaints, investigations and disciplinary actions grow. Expenditures should keep pace with growth.

Schedule 9A: Cash Funds Reports  
Department of Law  
FY 2015-16 Budget Request  
Fund 1510 - Uniform Consumer Credit Code  
5-6-204, C.R.S.

Explanation of any Long-term Liability Funding Requirements	Legislation was passed in 2009 (HB09-1041) that raised the statutory lid on fees and increase fund balance maximum to 33%.
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Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: Consumer Protection &amp; Anti-Trust</b>					
	\$1,389,386	\$1,524,594	\$1,256,148	\$1,273,335	\$1,273,335
Indirect Costs	\$179,858	\$202,501	\$169,690	\$155,207	\$155,207
Workers' Compensation			\$3,009	\$2,347	\$2,347
Attorney Registration and CLE			\$1,425	\$950	\$950
Administrative Law Judge			\$19,543	\$4,406	\$4,406
Vehicle Lease Payments			\$6,967	\$2,440	\$2,440
IT Asset Maintenance			\$18,573	\$18,573	\$18,573
Ralph L. Carr Colorado Judicial Center Leased Space			\$85,823	\$85,788	\$85,788
Payments to OIT			\$9,666	\$9,865	\$9,865
Risk Management				\$3,292	\$3,292
COFRS Modernization				\$824	\$824
Division Subtotal	\$1,569,244	\$1,727,095	\$1,570,844	\$1,557,027	\$1,557,027
Treasurer	\$593	\$700	\$700	\$700	\$700
Division Subtotal	\$593	\$700	\$700	\$700	\$700
<b>TOTAL</b>	<b>\$1,569,837</b>	<b>\$1,727,795</b>	<b>\$1,571,544</b>	<b>\$1,557,727</b>	<b>\$1,557,727</b>



Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 16B0 - UCCC Custodial  
 24-31-108(3), C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance <sup>1</sup></b>	<b>\$904,908</b>	<b>\$720,427</b>	<b>\$1,525,408</b>	<b>\$1,560,408</b>	<b>\$1,595,408</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated cash transferred in	\$261,033	\$870,929	\$85,000	\$85,000	\$85,000
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$261,033</b>	<b>\$870,929</b>	<b>\$85,000</b>	<b>\$85,000</b>	<b>\$85,000</b>
Actual / appropriated / projected cash expenditures	\$445,514	65,948	\$50,000	\$50,000	\$50,000
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$445,514</b>	<b>\$65,948</b>	<b>\$50,000</b>	<b>\$50,000</b>	<b>\$50,000</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$720,427</b>	<b>\$1,525,408</b>	<b>\$1,560,408</b>	<b>\$1,595,408</b>	<b>\$1,630,408</b>
Decision Item #1 - "Sample A"	N/A	N/A	N/A	\$0	\$0
Decision Item #2 - "Sample B"	N/A	N/A	N/A	\$0	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$720,427</b>	<b>\$1,525,408</b>	<b>\$1,560,408</b>	<b>\$1,595,408</b>	<b>\$1,630,408</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
1. Fee Name	N/A	N/A	N/A	N/A	N/A
2. Fee Name	N/A	N/A	N/A	N/A	N/A
3. Fee Name	N/A	N/A	N/A	N/A	N/A

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 16B0 - UCCC Custodial  
 24-31-108(3), C.R.S.

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$0	\$0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	N/A	N/A	N/A	N/A	N/A
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)
2. If plan is needed to meet compliance deadline, attach Form 9.B.
3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	Providing moneys for educational purposes in the areas of consumer credit and lending and consumer protection enforcement efforts involving credit and lending.
Fee Sources	N/A
Non-Fee Sources	Custodial Awards
Long Bill Groups Supported by Fund	Consumer Protection & Anti-Trust
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	None
Revenue Drivers	Disciplinary and legal actions to correct UCCC violations discovered in compliance examinations or through consumer complaints.
Expenditure Drivers	None
Explanation of any Long-term Liability Funding Requirements	N/A

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 16B0 - UCCC Custodial  
 24-31-108(3), C.R.S.

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: Consumer Protection</b>					
Treasury		182			
Consumer Protection & Anti-Trust	\$82,655			\$0	\$0
CP Indirect Costs	\$12,404			\$0	\$0
Division Subtotal	\$95,059	\$182	\$0	\$0	\$0
<b>Division Name: Custodial Fund</b>					
Custodial Fund	\$350,455	\$65,766	\$50,000	\$50,000	\$50,000
Division Subtotal	\$350,455	\$65,766	\$50,000	\$50,000	\$50,000
<b>TOTAL</b>	<b>\$445,514</b>	<b>\$65,948</b>	<b>\$50,000</b>	<b>\$50,000</b>	<b>\$50,000</b>



Schedule 9A: Cash Funds Reports  
Department of Law  
FY 2015-16 Budget Request  
Fund 16Z0- Insurance Fraud  
24-31-104.5 (2), C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance <sup>1</sup></b>	<b>\$110,244</b>	<b>\$4,984</b>	<b>\$173</b>	<b>\$71,950</b>	<b>\$214,136</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated cash transferred in	\$1,262,415	\$1,532,841	\$1,729,835	\$1,830,000	\$1,745,000
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$1,262,415</b>	<b>\$1,532,841</b>	<b>\$1,729,835</b>	<b>\$1,830,000</b>	<b>\$1,745,000</b>
Actual / appropriated / projected cash expenditures	\$1,367,675	\$1,537,653	\$1,658,058	\$1,687,814	\$1,687,814
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$1,367,675</b>	<b>\$1,537,653</b>	<b>\$1,658,058</b>	<b>\$1,687,814</b>	<b>\$1,687,814</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$4,984</b>	<b>\$173</b>	<b>\$71,950</b>	<b>\$214,136</b>	<b>\$271,322</b>
	N/A	N/A	N/A	\$0	
	N/A	N/A	N/A	\$0	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$4,984</b>	<b>\$173</b>	<b>\$71,950</b>	<b>\$214,136</b>	<b>\$271,322</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Insurance Fraud Annual Fee	\$1,256,559	\$1,527,537	\$1,727,335	\$1,827,000	\$1,740,000
Intrest	\$5,855	\$5,304	\$2,500	\$3,000	\$5,000
3. Fee Name	N/A	N/A	N/A	N/A	N/A

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$4,984	\$173	\$71,950	\$214,136	\$271,322
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$225,666	\$253,713	\$273,580	\$278,489	\$278,489
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>(\$220,683)</b>	<b>(\$253,540)</b>	<b>(\$201,630)</b>	<b>(\$64,354)</b>	<b>(\$7,168)</b>
Assessment of Potential for Compliance (check all that apply)	<input checked="" type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	Providing moneys for educational purposes in the areas of consumer credit and lending and
Fee Sources	\$535 or \$2,165 fee based on previous year insurance premiums
Non-Fee Sources	Interest
Long Bill Groups Supported by Fund	Special Prosecution
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	None
Revenue Drivers	Number of insurance providers registering to be able to do business in the state
Expenditure Drivers	Expenses associated with salaries, state paid benefits, and associated operating costs
Explanation of any Long-term Liability Funding Requirements	N/A

Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: Criminal Justice and Appellate</b>					
Special Prosecutions Unit	\$1,238,656	\$1,368,062	\$1,367,854	\$1,413,271	\$1,413,271
Indirect Cost Assessment	\$129,002	\$169,567	\$165,774	\$151,626	\$151,626
Workers' Compensation			\$2,938	\$2,293	\$2,293
Attorney Registration and CLE			\$2,323	\$1,900	\$1,900
Administrative Law Judge					\$0
Vehicle Lease Payments			\$7,713	\$2,398	\$2,398
IT Asset Maintenance			\$18,145	\$18,145	\$18,145
Ralph L. Carr Colorado Judicial Center Leased Space			\$83,843	\$83,808	\$83,808
Payments to OIT			\$9,443	\$9,637	\$9,637
Risk Management				\$3,216	\$3,216
COFRS Modernization				\$1,495	\$1,495
Subtotal	\$1,367,658	\$1,537,629	\$1,658,033	\$1,687,789	\$1,687,789
<b>Division Name:</b>					
Treasury	\$17	\$24	\$25	\$25	\$25
<b>TOTAL</b>	<b>\$1,367,675</b>	<b>\$1,537,653</b>	<b>\$1,658,058</b>	<b>\$1,687,814</b>	<b>\$1,687,814</b>



Schedule 9A: Cash Funds Reports  
Department of Law  
FY 2015-16 Budget Request  
Fund 19A0 - CAB Custodial  
24-31-108(3), C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance<sup>1</sup></b>	<b>\$248,351</b>	<b>\$435,366</b>	<b>\$487,674</b>	<b>\$507,514</b>	<b>\$527,354</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated cash transferred in	\$210,336	\$82,764	\$45,000	\$45,000	\$45,000
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$210,336</b>	<b>\$82,764</b>	<b>\$45,000</b>	<b>\$45,000</b>	<b>\$45,000</b>
Actual / appropriated / projected cash expenditures	\$23,321	\$30,455	\$25,160	\$25,160	\$25,160
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$23,321</b>	<b>\$30,455</b>	<b>\$25,160</b>	<b>\$25,160</b>	<b>\$25,160</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$435,366</b>	<b>\$487,674</b>	<b>\$507,514</b>	<b>\$527,354</b>	<b>\$547,194</b>
Decision Item #1 - "Sample A"	N/A	N/A	N/A	\$0	\$0
Decision Item #2 - "Sample B"	N/A	N/A	N/A	\$0	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$435,366</b>	<b>\$487,674</b>	<b>\$507,514</b>	<b>\$527,354</b>	<b>\$547,194</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
1. Fee Name	N/A	N/A	N/A	N/A	N/A
2. Fee Name	N/A	N/A	N/A	N/A	N/A
3. Fee Name	N/A	N/A	N/A	N/A	N/A

Schedule 9A: Cash Funds Reports  
 Department of Law  
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Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$0	\$0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	N/A	N/A	N/A	N/A	N/A
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)
2. If plan is needed to meet compliance deadline, attach Form 9.B.
3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	Providing moneys for education purposes in the area of fair debt collection and consumer protection enforcement efforts involving the Colorado Fair Debt Collection Practices Act.
Fee Sources	N/A
Non-Fee Sources	Custodial Awards.
Long Bill Groups Supported by Fund	None
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	None
Revenue Drivers	Disciplinary and legal actions to remedy violations of the Colorado Fair Debt Collection Practices Act discovered in investigation consumer complaints.
Expenditure Drivers	None

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 19A0 - CAB Custodial  
 24-31-108(3), C.R.S.

Explanation of any Long-term Liability Funding Requirements	N/A
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Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: N/A</b>					
Treasury	\$0	\$155	\$160	\$160	\$160
Line Item Name	\$0	\$0	\$0	\$0	\$0
Line Item Name	\$0	\$0	\$0	\$0	\$0
Custodial Fund	\$23,321	\$30,300	\$25,000	\$25,000	\$25,000
Division Subtotal	\$23,321	\$30,455	\$25,160	\$25,160	\$25,160
<b>TOTAL</b>	<b>\$23,321</b>	<b>\$30,455</b>	<b>\$25,160</b>	<b>\$25,160</b>	<b>\$25,160</b>



Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 26Q0- Legal Services Cash Fund  
 24-31-108(2.5), C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance <sup>1</sup></b>	<b>\$0</b>	<b>\$16</b>	<b>\$681,398</b>	<b>\$2,281,398</b>	<b>\$2,881,398</b>
Actual / Revenue	\$26,526,082	33,162,270	\$38,060,947	\$39,163,611	\$38,263,611
Actual / anticipated fees collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$26,526,082</b>	<b>\$33,162,270</b>	<b>\$38,060,947</b>	<b>\$39,163,611</b>	<b>\$38,263,611</b>
Actual Expenditure	\$26,526,066	\$32,480,888	\$36,460,947	\$38,563,611	\$37,763,611
Actual / anticipated cash used to pay short-term liabilities			\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$26,526,066</b>	<b>\$32,480,888</b>	<b>\$36,460,947</b>	<b>\$38,563,611</b>	<b>\$37,763,611</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$16</b>	<b>\$681,398</b>	<b>\$2,281,398</b>	<b>\$2,881,398</b>	<b>\$3,381,398</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$16</b>	<b>\$681,398</b>	<b>\$2,281,398</b>	<b>\$2,881,398</b>	<b>\$3,381,398</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Attorney hourly Rate	\$79.87	\$94.95	\$102.79	\$97.81	\$97.81
Legal Assistant Hourly Rate	\$64.79	\$70.86	\$78.73	\$76.98	\$76.98
3. Fee Name	N/A	N/A	N/A	N/A	N/A

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 26Q0- Legal Services Cash Fund  
 24-31-108(2.5), C.R.S.

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$16	(\$714,720)	\$2,281,398	\$2,881,398	\$3,381,398
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$4,376,801	\$5,322,294	\$6,016,056	\$6,362,996	\$6,230,996
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>(\$4,376,785)</b>	<b>(\$6,037,014)</b>	<b>(\$3,734,658)</b>	<b>(\$3,481,597)</b>	<b>(\$2,849,597)</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)
2. If plan is needed to meet compliance deadline, attach Form 9.B.
3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	This fund accomodates all the revenues and expenses associated with providing legal representation to client agencies
Fee Sources	Fees are long bill established hourly rates charged by attorneys and legal assistants
Non-Fee Sources	Interest
Long Bill Groups Supported by Fund	Legal Services to State Agencies
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	None
Revenue Drivers	Hourly rates. FY 16 Fee estimated at \$4.51 lower than FY 15 fees based solely on budget estimates and reduction of compensated absences in calculation
Expenditure Drivers	personal service and operating costs and some litigation expenses

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 26Q0- Legal Services Cash Fund  
 24-31-108(2.5), C.R.S.

Explanation of any Long-term Liability Funding Requirements	N/A
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Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: Legal Services to State Agencies</b>					
Personal Services	\$21,666,059	\$25,995,352	\$29,041,972	\$31,157,451	\$30,357,451
Operating	\$1,909,096	\$3,221,021	\$1,840,928	\$1,826,768	\$1,826,768
Indirect Expenses	\$2,950,911	\$3,264,492	\$3,211,050	\$3,024,158	\$3,024,158
Litigation, Management and Technology	\$0	\$0	\$0	\$100,000	\$100,000
Workers' Compensation			\$58,115	\$45,726	\$45,726
Attorney Registration and CLE			\$87,209	\$90,678	\$90,678
Administrative Law Judge					\$0
Vehicle Lease Payments			\$17,621	\$2,198	\$2,198
IT Asset Maintenance			\$358,892	\$358,891	\$358,891
Ralph L. Carr Colorado Judicial Center Leased Space			\$1,659,163	\$1,671,537	\$1,671,537
Payments to OIT			\$185,972	\$192,208	\$192,208
Risk Management				\$64,146	\$64,146
COFRS Modernization				\$29,825	\$29,825
Subtotal		\$32,480,865	\$36,460,922	\$38,563,586	\$37,763,586
Treasury		\$23	\$25	\$25	\$25
<b>TOTAL</b>	<b>\$26,526,066</b>	<b>\$32,480,888</b>	<b>\$36,460,947</b>	<b>\$38,563,611</b>	<b>\$37,763,611</b>



Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 28Q0- Safe2Tell Cash Fund  
 24-31-610, C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance <sup>1</sup></b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Actual / Revenue	\$0	0.00	\$15,000	\$15,000	\$15,000
Actual / anticipated fees collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$0</b>	<b>\$0</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>
Actual Expenditure	\$0	\$0	\$15,000	\$15,000	\$15,000
Actual / anticipated cash used to pay short-term liabilities			\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$0</b>	<b>\$0</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Fees for producing and distributing materials	\$0.00	\$0.00	Actual Costs	Actual Costs	Actual Costs
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
3. Fee Name	N/A	N/A	N/A	N/A	N/A

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 28Q0- Safe2Tell Cash Fund  
 24-31-610, C.R.S.

Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$0	\$0	\$0	\$0	\$0
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses)	\$0	\$0	\$2,475	\$2,475	\$2,475
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$2,475)</b>	<b>(\$2,475)</b>	<b>(\$2,475)</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup>				
	<input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)
2. If plan is needed to meet compliance deadline, attach Form 9.B.
3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	This fund accomodates all the revenues and expenses associated with providing legal representation to client agencies
Fee Sources	Charges based on actual costs for materials and shipping.
Non-Fee Sources	Interest
Long Bill Groups Supported by Fund	Safe2Tell
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	None
Revenue Drivers	Agencies ordering materials
Expenditure Drivers	Costs associated with materials and shipping

Schedule 9A: Cash Funds Reports  
 Department of Law  
 FY 2015-16 Budget Request  
 Fund 28Q0- Safe2Tell Cash Fund  
 24-31-610, C.R.S.

Explanation of any Long-term Liability Funding Requirements	N/A
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Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Request	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: Criminal Justice and Appellate</b>					
Safe2Tell	\$0	\$0	\$15,000	\$15,000	\$15,000
	\$0	\$0	\$0	\$0	\$0
	\$0	\$0	\$0	\$0	\$0
Subtotal		\$0	\$15,000	\$15,000	\$15,000
Treasury		\$0	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>



Schedule 9A: Cash Funds Reports  
Department of Law  
FY 2015-16 Budget Request  
Fund 2960 - Peace Officer Standard & Training Board  
24-31-301 to 24-31-307, C.R.S.

Available Liquid Cash Fund Balance	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Cash in Beginning Fund Balance <sup>1</sup></b>	<b>\$811,749</b>	<b>\$997,680</b>	<b>\$1,013,946</b>	<b>\$784,532</b>	<b>\$544,749</b>
Actual / anticipated accounts receivable collections	\$0	\$0	\$0	\$0	\$0
Actual / anticipated fees collections	\$2,859,766	2,981,233	\$4,647,537	\$4,859,264	\$4,907,857
Actual / anticipated cash transferred in	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other noncash assets converted to cash <sup>2</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Anticipated Cash Inflow During Fiscal Year</b>	<b>\$2,859,766</b>	<b>\$2,981,233</b>	<b>\$4,647,537</b>	<b>\$4,859,264</b>	<b>\$4,907,857</b>
Actual / appropriated / projected cash expenditures	\$2,673,834	\$2,964,966	\$4,876,951	\$5,099,048	\$5,099,048
Actual / anticipated cash used to pay short-term liabilities	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated debit service payments	\$0	\$0	\$0	\$0	\$0
Actual / anticipated nonappropriated loan issuances	\$0	\$0	\$0	\$0	\$0
Actual / anticipated other uses of cash <sup>3</sup>	\$0	\$0	\$0	\$0	\$0
<b>Actual / Appropriated Cash Outflow During Fiscal Year</b>	<b>\$2,673,834</b>	<b>\$2,964,966</b>	<b>\$4,876,951</b>	<b>\$5,099,048</b>	<b>\$5,099,048</b>
<b>Available Liquid Fund Balance Prior to New Requests</b>	<b>\$997,680</b>	<b>\$1,013,946</b>	<b>\$784,532</b>	<b>\$544,749</b>	<b>\$353,558</b>
Decision Item #1 - "Sample A"	N/A	N/A	N/A	\$0	\$0
Decision Item #2 - "Sample B"	N/A	N/A	N/A	\$0	\$0
<b>Change Requests Using Liquid Assets</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$0</b>	<b>\$0</b>
<b>Actual / Anticipated Liquid Fund Balance</b>	<b>\$997,680</b>	<b>\$1,013,946</b>	<b>\$784,532</b>	<b>\$544,749</b>	<b>\$353,558</b>

1 - Include only cash - exclude all other assets such as, receivables, investments, prepaids, capital assets, accumulated depreciation, and all short-term and long-term liabilities

2 - Includes sales of capital assets, sales of investments, collections of loans, etc.

3 - Includes nonappropriated purchases of capital assets and investments, new prepaid expense recorded, etc.

Fee Levels (if applicable)	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
1. Additional Motor Vehicle Registration Fee	\$2,708,606	\$2,790,653	\$4,441,537	\$4,653,264	\$4,875,085
2. Professional/Occupational Licenses	\$144,830	\$177,775	\$200,000	\$200,000	\$200,000
3. Certification/Inspections fee	\$850	\$875	\$1,000	\$1,000	\$1,000
4. Sale of Publications & Maps	\$5,480	\$4,430	\$5,000	\$5,000	\$5,000
5. Private Grant/Other	\$0	\$7,500	\$0	\$0	\$0

Schedule 9A: Cash Funds Reports  
 Department of Law  
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Cash Fund Reserve Balance <sup>1</sup>	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Uncommitted Fee Reserve Balance (total reserve balance minus exempt assets and previously appropriated funds; calculated based on % of revenue from fees)	\$997,680	\$1,013,946	\$784,532	\$544,749	\$353,558
Target/Alternative Fee Reserve Balance (amount set in statute or 16.5% of total expenses) (NA Fees established in Statute . Shown for Info only)	\$441,183	\$489,219	\$804,697	\$841,343	\$841,343
<b>Excess Uncommitted Fee Reserve Balance</b>	<b>\$556,497</b>	<b>\$524,727</b>	<b>(\$20,165)</b>	<b>(\$296,594)</b>	<b>(\$487,785)</b>
Assessment of Potential for Compliance (check all that apply)	<input type="checkbox"/> Already in Compliance <input type="checkbox"/> Statute Change <sup>2</sup> <input type="checkbox"/> Planned Fee Reduction <sup>2</sup> <input type="checkbox"/> Planned One-time Expenditure(s) <sup>1</sup> <input checked="" type="checkbox"/> Planned Ongoing Expenditure(s) <sup>2</sup> <input type="checkbox"/> Waiver <sup>3</sup>				

1. This section is not required for funds outlined in 24-75-402 (5), C.R.S. (2007)

2. If plan is needed to meet compliance deadline, attach Form 9.B.

3. If pursuing a waiver, attach Form 9.C.

Cash Fund Narrative Information	
Purpose/Background of Fund	Funding the Peace Offices Standards and Training Board (POST Board). This program line must supports certified all Peace Offices in the State of Colorado.
Fee Sources	Certification Exam fees \$200/test; VIN Inspection fees \$25; Publication fees \$20; Motor Vehicle Registration fee : (\$1.00)
Non-Fee Sources	Sale of Publication regarding POST Certification including study guides, training manuals, etc.
Long Bill Groups Supported by Fund	(3) Criminal Justice and Appellate / (G) Peace Officers Standards and Training Board.
Non-appropriated Fund Obligations	None
Statutory or Other Restriction on Use of Fund	Funds can only be used to fund POST Board
Revenue Drivers	The number of examinations; number of motor vehicles registered.
Expenditure Drivers	Operational of the program line and money to fund the training grants

Schedule 9A: Cash Funds Reports  
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Explanation of any Long-term Liability Funding Requirements	N/A
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Fund Expenditures Line Item Detail	Actual	Actual	Estimated	Requested	Projected
	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
<b>Division Name: POST Board</b>					
POST line item	\$2,587,006	\$2,871,504	\$4,720,125	\$4,908,013	\$4,908,013
Indirect Cost	\$86,828	\$93,462	\$91,371	\$107,451	\$107,451
Workers' Compensation			\$1,619	\$1,625	\$1,625
Attorney Registration and CLE					\$0
Administrative Law Judge					\$0
Vehicle Lease Payments			\$2,417	\$2,398	\$2,398
IT Asset Maintenance			\$10,001	\$10,001	\$10,001
Ralph L. Carr Colorado Judicial Center Leased Space			\$46,213	\$59,391	\$59,391
Payments to OIT			\$5,205	\$6,829	\$6,829
Risk Management				\$2,279	\$2,279
COFRS Modernization				\$1,060	\$1,060
	\$0	\$0	\$0	\$0	\$0
Division Subtotal	\$0	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$2,673,834</b>	<b>\$2,964,966</b>	<b>\$4,876,951</b>	<b>\$5,099,048</b>	<b>\$5,099,048</b>

## **I) BACKGROUND INFORMATION: ADMINISTRATION UNIT**

The Administration Division includes the following sections:

- *Office of the Attorney General*, which includes the Attorney General, Chief Deputy Attorney General, Solicitor General, Director of Legal Policy and Federal-State Issues, and associated administrative staff;
- *Human Resources*, which hires new employees, manages employee benefits, and consults with employees and managers regarding applicable state and federal personnel laws and regulations;
- *Financial Services*, which includes accounting, financial reporting, and payroll.
- *Budgeting*: Prepares the Department's budget and monitors expenditures and budget implementation
- *Information Technology Services*, which handles the Department's computer needs including maintenance, computer training, and operation of the Attorney General's website;

*Business Operations and Litigation Support Unit (BOLS)*, provides litigation support including data and document handling. Additionally, this unit provides office wide support to space planning, workspace preparation, facility and security issues, small move assistance, fleet vehicle coordination, archive and destruction, court and courier service.

Administration Division appropriations fall into two categories:

- Appropriations that pay the actual cost of running the Division, such as salaries for the Attorney General and Division personnel, and
- Central appropriations or "Pots", such as Health, Life and Dental, that the Department allocates among its divisions.

The Administration is funded with departmental indirect cost assessments (from revenue funded and federal sources to replace the General Fund) that are collected from the Department's various divisions and transferred as reappropriated funds to the Administration appropriation. The largest source of indirect cost recoveries are assessments within the Department's largest appropriation: Legal Services to State Agencies. Any part of the actual cost of running Administration that cannot be covered by indirect cost assessments must be covered by the General Fund.

The central appropriations are allocated to the line item appropriations that use the "pots". As a consequence, the "potted" appropriations are a mixture of General Fund, cash funds, reappropriated funds, and federal funds, reflecting the funding sources of the divisions to which the central appropriations will be distributed.

Staffing for the Administration appropriation is broken into the following sections:

<b>Staffing Summary</b>	<b>FY 12-13 Actual</b>	<b>FY 13-14 Actual</b>	<b>FY 14-15 Approp.</b>	<b>FY 15-16 Request</b>
Office of the Attorney General	9.0	8.7	9.0	9.0
Human Resources	3.5	3.5	3.5	3.5
Fiscal and Accounting	7.3	7.1	7.9	8.0
Information Technology Services	15.2	14.3	17.7	17.7
Business Operations and Litigation Support	4.4	4.6	6.0	6.0
<b>Total</b>	<b>39.4</b>	<b>38.2</b>	<b>44.1</b>	<b>44.2</b>

The comparison of the Administrative staff (not including the Office of the Attorney General) to total Departmental FTE and annual appropriation is listed below.

### **Human Resources**

The Human Resources Unit consists of 3.5 FTE and is responsible for recruiting and hiring new employees; delivering new employee orientation; processing all employment related actions (e.g., new hires, transfers, promotions, salary changes; terminations, etc.); maintaining official personnel, position and exam files; managing employee leave, Family Medical Leave cases, Short-Term Disability claims and worker's compensation claims; risk management and ergonomics; managing employee benefits; developing and implementing personnel related policies and procedures, to include delivering training on related topics; employee relations and communication; and consulting with employees and managers regarding job classification, compensation, performance management, dispute resolution, ADA, State Personnel Rules and Procedures and state and federal personnel laws and regulations.

The Human Resources function at the Department of Law is unique in that we operate under dual personnel systems, the state personnel system is applied to all classified staff and non-classified staff is covered by more of a private sector type of personnel system. For classified staff, most departmental policies and practices are dictated by statute and State Personnel Rules, where departmental policies and practices for non-classified staff are developed within the office and based primarily on prevailing business practices and state and federal law.

<u>FY</u>	<u>Administration</u> <u>Section Staff</u>	<u>Department</u> <u>Staff</u>	<u>Appropriation</u>
89-90	29.0	259.1	\$17,846,627
90-91	29.0	269.5	\$20,172,939
91-92	29.0	284.0	\$22,681,389
92-93	29.0	284.4	\$20,694,260
93-94	29.0	284.4	\$20,645,836
94-95	29.7	290.3	\$23,442,187
95-96	29.7	313.7	\$24,769,094
96-97	29.7	313.7	\$25,542,993
97-98	29.7	320.3	\$26,817,570
98-99	29.7	337.4	\$29,807,946
99-00	29.7	340.8	\$31,317,974
00-01	30.7	344.6	\$32,667,757
01-02	30.7	352.0	\$33,748,107
02-03	30.7	345.9	\$33,672,032
03-04	29.7	335.7	\$33,410,398
04-05	30.7	337.3	\$34,623,907
05-06	30.7	338.7	\$35,465,384
06-07	30.7	359.4	\$39,524,819
07-08	31.7	384.9	\$46,891,223
08-09	33.2	391.2	\$47,996,493
09-10	33.2	395.2	\$47,688,779
10-11	33.2	409.8	\$57,797,347
11-12	31.7	419.0	\$54,346,573
12-13	31.7	433.9	\$57,518,525
13-14	31.7	446.5	\$67,936,080

These increases in staff and appropriation translate to increases in:

- a. additional personnel/payroll activities, transactions, and requirements;

- b. increase in the volume of accounts payable and accounts receivable transactions processed by the fiscal staff;
- c. increases in the demand for general administrative support services, such as word processing, copying, procurement, archive maintenance, travel management, etc.; and
- d. increases in the need for technical support services such as programming, hardware/software maintenance, LAN administration, training, document scanning, e-law and litigation support.

## **II) PRIOR YEAR LEGISLATION**

House Bill 13-1299 revised state departments' planning efforts. This bill establishes the expectations of performance planning and reporting, focusing on improving service delivery and identifying efficiencies. Additionally, this bill reaffirmed the annual audit expectations of the State Auditor's Office and reestablished an oversight committee for the Department of Law, along with other oversight committees.

## **III) HOT ISSUES:**

- Implementation of a document management system to reduce paper and increase document handling efficiency.
- Successfully implement the new statewide accounting system, CORE, while continuing to work with COFRS, thereby closing out the old accounting system and initiating the new system.
- Continue implementation of a Case Management System department wide.
- Successfully link CORE with the Department's case management system to ensure financial integrity through legal billings.
- Successfully implemented an automated leave-keeping system.
- Continue Cyber Security efforts toward compliance with state rules and policies.

## **IV) WORKLOAD MEASURE:**

**WORKLOAD INDICATORS: N/A**

**V): PERFORMANCE MEASURES FOR DEPARTMENT OF LAW – ADMINISTRATION SECTION.**

1. The goal of the Administration and Planning Section is to provide a high quality of service to the staff of the Department of Law, and to client agencies. This section will continue to analyze and adjust processes and services to meet the changing needs of the Department with existing staff levels for as long as it is feasible to do so.

Performance Measure	Outcome	FY13 Actual	FY 14 Actual	FY 15 Estimate	FY16 Request
Continue upgrades and improvement to the Attorney General Information System (AGIS), the billing, calendaring, docketing and case management system.					
		The Department purchased and installed a legal billing and case management system, ProLaw, which was implemented in May, 2014. All client legal billings are now inputted, tracked, and managed within the new system. Case management is being analyzed to best coordinate various section data and communication needs and tracking capabilities. The Department is currently working on the integration of the billing system with the new CORE financial system to maintain data and financial integrity.			

2. Work with Judicial and other planned tenants at the new building at 13<sup>th</sup> and Lincoln.

Performance Measure	Outcome	FY13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
New building planning and cooperation					
		The Department occupied the new building in 2013. The department is working and cooperating with all tenants for space planning, security, data management, security, and other shared services within the building in an ongoing fashion			

3. Work with statewide partners to successfully implement the new accounting system and budgeting system, CORE and Performance Budgeting.

Performance Measure	Outcome	FY13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
CORE Implementation		The Department is currently staffing various implementation and analysis teams to best ensure department requirements are met and statewide financial integrity is preserved.			

## SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

ADMINISTRATION

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>PERSONAL SERVICES</b>	3,478,578	39.4	3,736,381	38.2			4,052,384	44.1	3,594,419	44.2
General Fund	445,742		661,482				598,085		-	
General Fund Exempt	-		-				-		-	
Cash Fund	-		-				45,985		-	
Reappropriated Funds	3,032,836		3,074,899				3,408,314		3,594,419	
Federal Funds									-	
<b>HEALTH/LIFE INSURANCE TOTAL</b>	-		-				-		3,249,522	
General Fund	-		-				-		916,886	
Cash Fund	-		-				-		356,551	
Reappropriated Funds	-		-				-		1,874,996	
Federal Funds	-		-				-		101,089	
<b>SHORT TERM DISABILITY</b>	-		-				-		84,375	
General Fund	-		-				-		23,053	
Cash Fund	-		-				-		8,611	
Reappropriated Funds	-		-				-		50,245	
Federal Funds	-		-				-		2,466	
<b>CLASSIFIED SALARY SURVEY</b>	-		-				-		119,650	
General Fund	-		-				-		40,723	
Cash Fund	-		-				-		30,754	
Reappropriated Funds	-		-				-		38,897	
Federal Funds	-		-				-		9,276	
<b>SALARY SURVEY - EXEMPT EMPLOYEES</b>	-		-				-		965,318	
General Fund	-		-				-		235,874	
Cash Fund	-		-				-		38,990	
Reappropriated Funds	-		-				-		680,763	
Federal Funds	-		-				-		9,691	
<b>PERFORMANCE PAY FOR CLASSIFIED EMPLOYEES</b>	-		-				-		114,831	
General Fund	-		-				-		37,379	
Cash Fund	-		-				-		29,845	
Reappropriated Funds	-		-				-		39,991	
Federal Funds	-		-				-		7,615	

## SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

ADMINISTRATION

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>PERFORMANCE PAY FOR EXEMPT EMPLOYEES</b>	-		-				-		-	
General Fund	-		-				-		71,154	
Cash Fund	-		-				-		11,730	
Reappropriated Funds	-		-				-		209,337	
Federal Funds	-		-				-		3,039	
<b>AMORTIZATION EQUALIZATION DISBURSEMENT</b>	-		-				-		1,687,501	
General Fund	-		-				-		461,067	
Cash Funds	-		-				-		172,221	
Reappropriated Funds	-		-				-		1,004,900	
Federal Funds	-		-				-		49,313	
<b>SUPPLEMENTAL AMORTIZATION EQUALIZATION DISBURSEMENT</b>	-		-				-		1,629,972	
General Fund	-		-				-		445,348	
Cash Funds	-		-				-		166,350	
Reappropriated Funds	-		-				-		970,642	
Federal Funds	-		-				-		47,632	
<b>WORKERS COMP TOTAL</b>	-		-				104,477		83,003	
General Fund	-		-				28,278		22,990	
Cash Funds	-		-				12,196		9,696	
Reappropriated Funds	-		-				61,053		48,015	
Federal Funds	-		-				2,950		2,302	
<b>OPERATING EXPENSE TOTAL</b>	348,817		490,832				198,192		204,436	
General Fund	155,304		300,203				-		-	
General Fund Exempt	-		-				-		-	
Cash Fund	-		-				950		-	
Reappropriated Funds	193,513		190,629				197,242		204,436	
Federal Funds	-		-				-		-	

## SCHEDULE 2 - PROGRAM SUMMARY

### Department of Law

### ADMINISTRATION

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>ATTORNEY REGISTRATION FEES TOTAL</b>	-		-				126,351		129,913	
General Fund	-		-				30,524		31,041	
General Fund Exempt	-		-				-		-	
Cash Fund	-		-				4,698		4,275	
Reappropriated Funds	-		-				90,060		93,528	
Federal Funds	-		-				1,069		1,069	
<b>GENERAL GOV'T COMPUTING CENTER TOTAL</b>	-		166,319				-		-	
General Fund	-		-				-		-	
Cash Funds	-		-				-		-	
Reappropriated Funds	-		166,319				-		-	
<b>RISK MANAGEMENT TOTAL</b>	128,156		128,371				153,905		116,440	
General Fund	40,207		-				-		32,251	
Cash Funds	-		-				-		13,599	
Reappropriated Funds	87,949		128,371				153,905		67,361	
<b>VEHICLE LEASE PAYMENTS TOTAL</b>	-		-				58,588		71,282	
General Fund	-		-				17,630		34,669	
Cash Funds	-		-				17,097		7,255	
Reappropriated Funds	-		-				21,382		23,580	
Federal Funds	-		-				2,479		5,778	
<b>ADP CAPITAL OUTLAY TOTAL</b>	-		-				-		-	
General Fund	-		-				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	-		-				-		-	
Reappropriated Funds	-		-				-		-	
Federal Funds	-		-				-		-	

## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>IT ASSET MAINTENANCE TOTAL</b>	-		-				645,206		645,206	
General Fund	-		-				174,663		174,663	
Cash Funds	-		-				75,291		75,291	
Reappropriated Funds	-		-				377,036		377,036	
Federal Funds	-		-				18,216		18,216	
<b>ADMINISTRATIVE LAW JUDGES TOTAL</b>	-		-				29,302		6,778	
General Fund	-		-				-		-	
Cash Funds	-		-				29,302		6,778	
Cash Funds Exempt	-		-				-		-	
Federal Funds	-		-				-		-	
<b>CAPITAL COMPLEX TOTAL</b>	-		-				2,981,368		3,034,238	
General Fund	-		-				804,128		840,388	
Cash Funds	-		-				348,331		354,368	
Reappropriated Funds	-		-				1,743,005		1,755,344	
Federal Funds	-		-				85,904		84,138	
<b>PAYMENTS TO OIT</b>	-		-				335,787		348,903	
General Fund	-		-				91,127		96,634	
Cash Funds	-		-				39,385		40,749	
Reappropriated Funds	-		-				195,415		201,845	
Federal Funds	-		-				9,860		9,675	
<b>COFRS MODERNIZATION</b>	-		-				47,570		54,140	
General Fund	-		-				-		14,996	
Cash Funds	-		-				-		6,323	
Reappropriated Funds	-		-				46,431		31,320	
Federal Funds	-		-				1,139		1,501	
<b>BUILDING SECURITY</b>	-		-				-		-	
General Fund	-		-				-		-	
Cash Funds	-		-				-		-	
Reappropriated Funds	-		-				-		-	
Federal Funds	-		-				-		-	
<b>ATTORNEY GENERAL DISCRETIONARY FUND</b>	5,000		5,000				5,000		5,000	
General Fund	5,000		5,000				5,000		5,000	

## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Cash Funds	-		-						-	
Reappropriated Funds	-		-						-	
<b>GRAND TOTAL ADMINISTRATION</b>	4,268,936	39.4	4,631,424	38.2	14,920,954	44.1	8,738,130	44.1	16,440,185	44.2
General Fund	646,252		966,685		2,977,193		1,749,435		3,484,115	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		1,374,212		573,235		1,333,386	
Reappropriated Funds	3,622,684		3,664,739		10,223,056		6,293,843		11,266,654	
Federal Funds	-		-		346,493		121,617		356,030	



**SCHEDULE 3 - PERSONAL SERVICES PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY12		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
Attorney General	80,004	1.0	80,004	1.0			80,004	1.0	80,004	1.0
Chief Deputy Attorney General	134,112	1.0	125,648	0.8			157,308	1.0	157,308	1.0
Solicitor General	130,620	1.0	150,216	1.0			153,228	1.0	153,228	1.0
Asst. Attorney General I	75,501	1.0	75,206	0.9			88,176	1.0	88,176	1.0
Deputy Attorney General	122,688	1.0	141,096	1.0			143,940	1.0	143,940	1.0
Management	120,000	1.0	129,792	1.0			133,032	1.0	133,032	1.0
General Professional VI	195,696	1.9	112,800	1.0			115,620	1.0	115,620	1.0
General Professional V			23,840	0.3			74,040	1.0	74,040	1.0
General Professional IV	76,956	1.0	153,292	1.9			164,100	2.0	164,100	2.0
General Profession II	50,116	1.0	66,002	1.3			54,660	1.0	54,660	1.0
General Professional III	120,289	2.0	140,812	2.3			126,108	2.0	126,108	2.0
Controller II	99,462	1.0	105,120	1.0			109,476	1.0	109,476	1.0
Accountant III	35,100	0.5	82,733	1.1			161,028	2.0	161,028	2.0
Accountant I	46,206	1.0	79,112	1.7			45,588	1.0	45,588	1.0
Temp Aide									0	
Accounting Technician III	32,392	0.9	40,068	1.1			41,484	1.0	41,484	1.0
Budget Analyst IV	8,953	0.1	112,521	1.0			115,608	1.0	115,608	1.0
Accountant II	92,406	1.5	19,004	0.3			51,311	0.9	57,012	1.0
Program Assistant I							55,176	1.0	55,176	1.0
Program Assistant II	42,317	0.9	48,473	1.0						
Office Manager I	47,860	0.8							0	
Dept Execuitive Assistant	10,050	0.2	62,808	1.0			65,004	1.0	65,004	1.0
Administrative Assistant II	131,295	3.9	85,456	2.4			235,108	6.6	235,108	6.6
APP Programmer Intern			41,782	1.0			43,596	1.0	43,596	1.0
IT Professional			705,113	11.3			873,442	12.6	873,442	12.6
IT Manager			115,596	1.0			119,196	1.0	119,196	1.0
IT Supervisor			87,456	1.0						
IT Professional VI	114,400	1.1								
IT Professional V										
IT Professional IV	84,384	1.0								
IT Professional III	223,537	3.2								
IT Professional II	356,800	5.8								
IT Professional I	145,609	3.2								
Technician II	26,682	0.7								
IT Tech I	6,581	0.2								
IT Tech II	37,280	0.8								

**SCHEDULE 3 - PERSONAL SERVICES PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY12		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Technician III	37,400	0.9	42,492	1.0			43,992	1.0	43,992	1.0
<b>TOTAL POSITION DETAIL</b>	2,684,695	39.4	2,826,442	38.2			3,250,225	44.1	3,255,926	44.2

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>(I.A.) CONTINUATION FTE SALARY COSTS</b>	2,684,695	39.4	2,826,442	38.2			3,250,225	44.1	3,255,926	44.2
(Permanent FTE by position) Continuation Salary Subtotal										
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	269,148		280,874				329,898		330,476	
Medicare on Continuation Subtotal	36,302		38,635				47,128		47,211	
Non-Base Performance Awards	0		12,361				0		-	
Part-Time/Temporary Salaries	14,064		4,221				12,650		-	
Contractual Services	24,331		51,241				23,500		23,500	
Other Employee Wages	7,833		4,500							
Overtime Pay	0		2,767						-	
Furlough	0		-							
Termination/Retirement Payouts	18,519		32,211							
Tuition and Registration										
OT RE LAW TO JUD	0		5,774							
Forced Vacancy							(161,659)		(106,861)	
Unemployment Insurance	-		-				-			
<b>SUBTOTAL</b>	370,198		432,585				251,517		294,326	
<b>(I.C.) P.S. SUBTOTAL=A+B</b>	<b>3,054,893</b>	<b>39.4</b>	<b>3,259,027</b>	<b>38.2</b>			<b>3,501,742</b>	<b>44.1</b>	<b>3,550,252</b>	<b>44.2</b>
Decision Item: #4 1/2 Time Contract Administrator									44,167	
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life/Dental	244,024		271,568				286,906			
Salary Survey			144,753				-			
Performance Awards			50,545				4,694			
Short Term Disability	4,707		5,389				7,150			
SB 04.257 A.E.D.	84,812		99,474				130,009			
SB 06.235 S.A.E.D.	72,868		89,752				121,883			
Other:	17,274		11,170							
[ ] Indicates a Non-add										
<b>(I.E.) BASE PERSONAL SERVICES TOTAL= C+D</b>	<b>3,478,578</b>	<b>39.4</b>	<b>3,736,381</b>	<b>38.2</b>			<b>4,052,384</b>	<b>44.1</b>	<b>3,594,419</b>	<b>44.2</b>
General Fund	-		661,482				598,085			
Cash Funds							45,985		-	
Reappropriated Funds	3,478,578		3,074,899				3,408,314		3,594,419	
<b>(I.F.)DIFFERENCE= II-I.E.</b>							(0)		-	
<b>ROLLFORWARDS</b>							-		-	
General Funds Exempt							-			

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
<b>(I.) PERSONAL SERVICES DETAIL TOTAL</b>	<b>3,478,578</b>	<b>39.4</b>	<b>3,736,381</b>	<b>38.2</b>	<b>3,408,314</b>	<b>44.1</b>	<b>4,052,384</b>	<b>44.1</b>	<b>3,594,419</b>	<b>44.2</b>
General Fund	-		661,482		0		598,085		-	
General Fund Exempt	-		-				-		-	
Cash Funds	-		-				45,985		-	
Reappropriated Funds	3,478,578		3,074,899		3,408,314		3,408,314		3,594,419	
Federal Funds										
<b>II. PERSONAL SERVICE REQUEST (AGGREGATE ADJUSTMENTS TO THE BASE APPROPRIATION)</b>										
Previous Year Long Bill									3,408,314	43.7
SB 14-123									45,985	0.5
Salary Survey-Classified									57,015	
PBP Classified									26,264	
Salary Survey Exempt									6,024	
PBP Exempt									6,650	
#4 1/2 Contract Administrator									44,167	
OSPB .2% Base Reduction									-	
Subtotal									3,594,419	
<b>(II.G) TOTAL BASE REQUEST</b>									3,594,419	44.2
<b>(II.H) DECISION ITEMS</b>										
General Fund									-	-
Cash Funds									-	-
Reappropriated Funds									-	0.0
<b>(II.I) REFINANCE THE LINE)</b>										
General Fund									-	-
Reappropriated Funds									-	-
<b>II.TOTAL PERSONAL SERVICES REQUEST</b>									<b>3,594,419</b>	<b>44.2</b>
General Fund									-	
Cash Funds									-	
Reappropriated Funds									3,594,419	
<b>III. PERSONAL SERVICES RECONCILIATION</b>										
Long Bill Appropriation	3,049,837	41.7	3,139,121	42.7	3,408,314	43.7	3,408,314	43.7		
Supplemental Bill	0		-							
Roll Forward from Previous FY										
SB 14-123					45985	0.4	45,985	0.4		

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
SB 11-76 PERA Bill					-		-			
Overexpenditures (Reversions) - General Fund			(2,735)							
Lapsed Appropriation Reappropriate Funds Exempt	(2,929)	(2.3)	(64,222)	(4.5)						
<b>(III.C.) ALLOCATED POTS</b>										
Health/Life/Dental	270,541		266,133				275,135			
Salary Survey			144,753				63,039			
Merit Pay			50,545				32,914			
Short Term Disability	4,301		5,473				6,349			
SB 04.257 A.E.D.	79,979		103,697				115,431			
SB 06.235 S.A.E.D.	76,849		93,616				105,217			
<b>III.C. SUBTOTAL ALLOCATED POTS</b>	431,670		664,217				598,085			
	<b>3,478,578</b>		<b>3,736,381</b>							
<b>III. RECONCILIATION P.S. TOTAL</b>	<b>3,478,578</b>	<b>39.4</b>	<b>3,736,381</b>	<b>38.2</b>	<b>3,454,299</b>	<b>44.1</b>	<b>4,052,384</b>	<b>44.1</b>	<b>3,594,419</b>	<b>44.2</b>
General Fund	445,742		661,482		-		598,085		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds					45,985		45,985		-	
Reappropriated Funds	3,032,836		3,074,899		3,408,314		3,408,314		3,594,419	
Federal Funds	-		-		-		-		-	
<b>IV. RECONCILIATION DIFFERENCE= III-I</b>							<b>(0)</b>		-	
<b>Health, Life and Dental</b>	-		-		2,878,006		-		2,878,006	
General Fund	-		-		791,193		-		791,193	
Cash Funds	-		-		344,575		-		344,575	
Reappropriated Funds	-		-		1,642,380		-		1,642,380	
Federal Funds					99,858				99,858	
<b>Decision Items</b>										
General Fund									-	
Cash Funds									-	
Cash Funds Exempt									-	
Federal Funds										
<b>Health/Life/Dental Common Policy Adjustment</b>					0				371,516	
General Fund									125,693	
Cash Funds									11,976	
Reappropriated Funds									232,616	
Federal Funds									1,231	
<b>TOTAL HEALTH/LIFE/DENTAL INSURANCE</b>	-		-		<b>2,878,006</b>		-		<b>3,249,522</b>	
General Fund	-		-		791,193		-		916,886	
Cash Funds	-		-		344,575		-		356,551	

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Reappropriated Funds	-		-		1,642,380		-		1,874,996	
Federal Funds	-		-		99,858		-		101,089	
<b>Health/Life/Dental Reconciliation</b>										
Long Bill Allocation	2,620,363		2,850,112				2,878,006			
Supplemental Bill	-		-							
Allocation to Divisions	(2,597,664)		(2,850,112)				(2,878,006)			
Overexpenditures (Reversions) General Fund										
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt										
Lapsed Appropriation Federal	(22,699)		(32,528)				-			
TOTAL	-		-				-			
<b>Short Term Disability</b>					79,509				79,509	
General Fund	-		-		20,973				20,973	
Cash Funds	-		-		9,067				9,067	
Reappropriated Funds	-		-		47,051				47,051	
Federal Funds	-		-		2,418				2,418	
<b>Short Term Disability Common Policy Adjustments</b>					0				4,866	
General Fund					0				2,080	
Cash Funds					0				(456)	
Reappropriated Funds					0				3,194	
Federal Funds									48	
<b>Decision Items</b>									-	
General Fund									-	
Cash Funds									-	
Cash Funds Exempt									-	
Federal Funds									-	
<b>SHORT TERM DISABILITY TOTAL</b>					79,509		-		84,375	
General Fund					20,973		-		23,053	
Cash Funds					9,067		-		8,611	
Reappropriated Funds					47,051		-		50,245	
Federal Funds					2,418		-		2,466	
<b>Short Term Disability Reconciliation</b>										
Long Bill Allocation	49,196		62,042				79,509			
Allocation to Divisions	(48,874)		(60,761)				(79,509)			
Overexpenditures (Reversions) General Fund										
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt										
Lapsed Appropriation Federal	(322)		(1,281)							

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
TOTAL			-				-			
<b>SB 04-257 Amortization Equalization Disbursement</b>	-		-		1,445,612				1,445,612	
General Fund	-		-		381,335				381,335	
Cash Funds	-		-		164,849				164,849	
Reappropriated Funds	-		-		855,466				855,466	
Federal Funds	-		-		43,962				43,962	
<b>SB 04.257 A.E.D. Adjustment</b>					0				241,889	
General Fund					0				79,732	
Cash Funds					0				7,372	
Reappropriated Funds					0				149,434	
Federal Funds									5,351	
<b>Decision Items</b>									-	
General Fund									-	
Cash Funds									-	
Cash Funds Exempt									-	
Federal Funds									-	
<b>SB 04.257 A.E.D. TOTAL</b>	-		-		<b>1,445,612</b>			-	<b>1,687,501</b>	
General Fund	-		-		<b>381,335</b>				<b>461,067</b>	
Cash Funds	-		-		<b>164,849</b>				<b>172,221</b>	
Reappropriated Funds	-		-		<b>855,466</b>				<b>1,004,900</b>	
Federal Funds	-		-		<b>43,962</b>			-	<b>49,313</b>	
<b>SB 04.257 A.E.D. Reconciliation</b>										
Long Bill Allocation	965,510		1,243,606				1,445,612			
<i>Supplemental:</i>										
Allocation to Divisions	(957,371)		(1,233,515)				(1,445,612)			
Overexpenditures (Reversions)	(8,139)		(10,091)				-			
TOTAL	-		-				-			
<b>SB 06-235 Supplemental Amortization Equalization Disbursement</b>	-		-		1,355,263				1,355,263	
General Fund	-		-		357,502				357,502	
Cash Funds	-		-		154,546				154,546	
Reappropriated Funds	-		-		802,000				802,000	
Federal Funds	-		-		41,215				41,215	
<b>SB 06.235 S.A.E.D. Adjustment</b>					0				274,709	
General Fund					0				87,846	

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Cash Funds					0				11,804	
Reappropriated Funds					0				168,642	
Federal Funds									6,417	
<b>Decision Items</b>									-	
General Fund									-	
Cash Funds									-	
Reappropriated Funds									-	
Federal Funds									-	
<b>SB 06.235 S.A.E.D. TOTAL</b>	-		-		1,355,263		-		1,629,972	
General Fund	-		-		357,502				445,348	
Cash Funds	-		-		154,546				166,350	
Reappropriated Funds	-		-		802,000				970,642	
Federal Funds	-		-		41,215		-		47,632	
<b>SB 06-235 S.A.E.D. Reconciliation</b>										
Long Bill Allocation	828,618		1,121,769				1,355,263			
<i>Supplemental:</i>										
Allocation to Divisions	(821,620)		(1,112,660)				(1,355,263)			
Overexpenditures (Reversions)	(6,988)		(9,109)				-			
TOTAL	-		-				-			
Cash Funds									-	
Reappropriated Funds									-	
Federal Funds									-	
TOTAL										
<b>Classified Salary Survey</b>	-		-		295,496				295,496	
General Fund	-		-		91,353				91,353	
Cash Funds	-		-		74,976				74,976	
Reappropriated Funds	-		-		106,793				106,793	
Federal Funds	-		-		22,374				22,374	
<b>Classified Salary Survey Common Policy</b>									(175,846)	
General Fund									(50,630)	
Cash Funds									(44,222)	
Reappropriated Funds									(67,896)	
Federal Funds									(13,098)	
<b>Decision Items</b>										
General Fund										

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Cash Funds									-	
Reappropriated Funds										
Federal Funds										
<b>TOTAL CLASSIFIED SALARY SURVEY</b>	-		-		295,496		-		119,650	
General Fund	-		-		91,353				40,723	
Cash Funds	-		-		74,976				30,754	
Reappropriated Funds	-		-		106,793				38,897	
Federal Funds	-		-		22,374		-		9,276	
									119,650	
<b>Classified Salary Survey Reconciliation</b>										
Long Bill Allocation	-		339,441				295,496			
Allocation to Divisions	-		(337,857)				(295,496)			
Overexpenditures (Reversions)	-		-							
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt										
Lapsed Appropriation Federal			(1,584)							
TOTAL	-		-				-			
<b>Salary Survey - Exempt Employees</b>	-		-		358,827				358,827	
General Fund	-		-		83,586				83,586	
Cash Funds	-		-		19,197				19,197	
Reappropriated Funds	-		-		252,482				252,482	
Federal Funds	-		-		3,562				3,562	
<b>Exempt Salary Survey FY14 Common Policy</b>									606,491	
General Fund									152,288	
Cash Funds									19,793	
Reappropriated Funds									428,281	
Federal Funds									6,129	
<b>Decision Items</b>									-	
General Fund										
Cash Funds										
Reappropriated Funds										
Federal Funds										
<b>SALARY SURVEY EXEMPT TOTAL</b>	-		-		358,827		-		965,318	
General Fund	-		-		83,586				235,874	
Cash Funds	-		-		19,197				38,990	
Reappropriated Funds	-		-		252,482				680,763	
Federal Funds	-		-		3,562		-		9,691	

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Salary Survey Exempt Reconciliation</b>										
Long Bill Allocation	-		4,115,142				358,827			
Allocation to Divisions	-		(4,115,142)				(358,827)			
Overexpenditures (Reversions) General Fund	-		-							
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt										
Lapsed Appropriation Federal										
TOTAL	-		-				-			
<b>Merit Pay for Classified Employees</b>	-		-		104,360				104,360	
General Fund	-		-		36,984				36,984	
Cash Funds	-		-		22,483				22,483	
Reappropriated Funds	-		-		36,301				36,301	
Federal Funds	-		-		8,592				8,592	
<b>Merit Pay for Classified Employees Common Policy</b>									10,471	
General Fund									395	
Cash Funds									7,362	
Reappropriated Funds									3,690	
Federal Funds									(977)	
<b>TOTAL Merit Pay FOR CLASSIFIED</b>	-		-		104,360		-		114,831	
General Fund	-		-		36,984				37,379	
Cash Funds	-		-		22,483				29,845	
Reappropriated Funds	-		-		36,301				39,991	
Federal Funds	-		-		8,592		-		7,615	
<b>Merit Pay for Classified Reconciliation</b>										
Long Bill Allocation	-		153,103				104,360			
Allocation to Divisions	-		(153,103)				(104,360)			
Overexpenditures (Reversions)	-		-				-			
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Reappropriated Funds										
Lapsed Appropriation Federal										
TOTAL	-		-				-			
<b>Merit Pay for Exempt Employees</b>	-		-		263,836				263,836	
General Fund	-		-		62,917				62,917	
Cash Funds	-		-		11,284				11,284	
Reappropriated Funds	-		-		186,740				186,740	
Federal Funds	-		-		2,895				2,895	

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Merit Pay for Exempt Employees Common Policy</b>									31,423	
General Fund									8,237	
Cash Funds									446	
Reappropriated Funds									22,597	
Federal Funds									144	
<b>Decision Items</b>										
General Fund									-	
Cash Funds									-	
Reappropriated Funds										
Federal Funds										
<b>TOTAL MERIT PAY FOR EXEMPT</b>	-		-		<b>263,836</b>		-		<b>295,259</b>	
General Fund	-		-		<b>62,917</b>				<b>71,154</b>	
Cash Funds	-		-		<b>11,284</b>				<b>11,730</b>	
Reappropriated Funds	-		-		<b>186,740</b>				<b>209,337</b>	
Federal Funds	-		-		<b>2,895</b>				<b>3,039</b>	
<b>Merit Pay for Exempt Reconciliation</b>										
Long Bill Allocation	-		388,765				263,836			
Allocation to Divisions	-		(388,765)				(263,836)			
Overexpenditures (Reversions) General Fund	-		-				-			
Lapsed Appropriation Cash Fund	-		-				-			
Lapsed Appropriation Cash Fund Exempt	-		-				-			
Lapsed Appropriation Federal	-		-				-			
TOTAL	-		-				-			
<b>Workers Compensation</b>	-		-		104,477				104,477	
General Fund	-		-		28,278				28,278	
Cash Funds	-		-		12,196				12,196	
Reappropriated Funds	-		-		61,053				61,053	
Federal Funds	-		-		2,950				2,950	
<b>Workers Compensation Adjustment</b>					0				(21,474)	
General Fund					0				(5,288)	
Cash Funds					0				(2,500)	
Reappropriated Funds					0				(13,038)	
Federal Funds									(648)	
<b>Decision Items</b>										
General Fund									-	

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Cash Funds										
Reappropriated Funds									-	
Reappropriated Funds										
Federal Funds										
<b>WORKERS COMPENSATION TOTAL</b>	-		-		104,477		104,477		83,003	
General Fund	-		-		28,278		28,278		22,990	
Cash Funds	-		-		12,196		12,196		9,696	
Reappropriated Funds	-		-		61,053		61,053		48,015	
Federal Funds	-		-		2,950		2,950		2,302	
<b>Workers Compensation Reconciliation</b>										
Long Bill Allocation	73,256		74,945				104,477			
<i>Supplemental: HB 10-1305</i>	-		-							
<i>Supplemental: SB 09-192</i>	-		-							
Allocation to Divisions	(73,256)		(74,775)				-			
Overexpenditures (Reversions)			(170)							
TOTAL	-		-				104,477			
Page Totals	3,478,578	39.4	3,736,381	38.2	10,339,685	44.1	4,156,861	44.1	11,823,850	44.2
General Fund	445,742		661,482		1,854,121		626,363		2,254,474	
General Fund Exempt							-			
Cash Funds	-		-		859,158		58,181		824,748	
Reappropriated Funds	3,032,836		3,074,899		7,398,580		3,469,367		8,512,205	
Federal Funds	-		-		227,826		2,950		232,424	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 Purchased Services - Litigation	-		10				4,912		4,912	
1950 Pers Service - Other State Agency			229							
2170 Waste Disposal Services	35		223				50		50	
2210 Other Maintenance/Repair Services	-		293						-	
2220 Building Grounds Maintenance	-		-						-	
2230 Equipment Contract Maintenance	128		2,598				2,598		2,598	
2231 ADP Equip Maint/Repair Services	5,376		2,845				6,000		6,000	
2232 Software Upgrades	5,583		4,128				-		-	
2240 Motor Vehicle Repair/Maintenance	-		50						-	
2250 Misc Rentals	-		-						-	
2251 Rental/Lease Motor Pool Veh	2,586		2,490				-		-	
2252 Leased Vehicle - Variable	3,292		4,071				4,271		4,271	
2253 Rental of Equipment	-		-				678		678	
2254 Rental of Motor Vehicles	-		183						-	
2255 Rental of Building	124,830		639						-	
2258 Parking	10,115		7,977				8,100		8,100	
2259 Parking Fee Reimbursement	41		10				12		12	
2268 Rental of IT Software - Network	-		1,561				-		-	
2510 In State Travel	22		32						-	
2511 IS Common Carrier Fares	-		-				500		500	
2512 IS Personal Travel Per Diem	1,555		2,631				2,845		2,845	
2513 IS Pers Vehicle Reimbursement	113		263				155		155	
2514 IS State Owned Aircraft	-		-						-	
2515 State-Owned Vehicle Charge	-		-						-	
2530 Out of State Travel	597		1,580				1,236		1,236	
2531 OS Common Carrier Fares	4,051		7,736				6,356		6,356	
2532 OS Personal Travel Per Diem	8,147		9,828				9,525		9,525	
2533 OS Pers Vehicle Reimbursement	-		-				-		-	
2541 OS-Non-Employee Common Carrier	-		-						-	
2542 OS-Non-Employee Per Diem	-		-						-	
2550 Out of Country Travel			116							
2610 Advertising	-		-						-	
2611 Public Relations	26		-						-	
2630 Comm Service Div of Telecom	14,303		99				12,500		12,500	
2631 Comm Svcs from Outside Sources	17,180		9,726				12,566		12,566	
2641 Other ADP Billing -Purch Services	162		1,819				1,987		1,987	
2640 GGCC Billing Purch Services	-		-						-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2650 OIT Purchased SVS	-		-							
2660 Insurance	7,152		7,170						-	
2680 Contract Printing	20,211		13,667				20,548		20,548	
2681 Photocopy Reimbursement	-		-						-	
2710 Purchase Medical Services	-		-						-	
2810 Freight & Storage	26		-						-	
2820 Other Purchased Services	780		7,253				10,700		10,700	
2830 Office Moving-Pur Services	-		-						-	
2831 Storage Purch Svs	51		-						-	
3110 Other Supplies and Materials	-		2,545				2,352		2,352	
3112 Automotive Supplies	-		-						-	
3113 Clothing and Uniform Allowance	-		-						-	
3114 Custodial	-		-						-	
3115 DP Supplies	85		-				2,525		2,525	
3116 Purchased/Leased Software	1,411		8,954				-		-	
3117 Educational	-		34				33		33	
3118 Food and Food Service Supplies	-		-						-	
3119 Med Lab and Supplies	-		-						-	
3120 Books & Subscriptions	4,929		10,038				17,354		17,354	
3121 Office Supplies	21,136		10,558				14,562		14,562	
3122 Microfilming/Photo. Supplies	-		-						-	
3123 Postage	15,438		(3,386)				18,376		18,376	
3124 Printing	809		-						-	
3126 Repair & Maintenance Supplies	-		-						-	
3128 Non-Capitalized Equipment	382		25,001						-	
3131 Non-Capitalized Building Materials	-		337						-	
3132 Non-Capitalized Furn	35		540						-	
3139 Non-Capitalized Fixed Asset Other	6,707		326						-	
3140 Non-Capitalized IT - PC's	4,137		11,771				4,200		-	
3141 Non-Capitalized IT Servers			241						-	
3142 Non-Capitalized IT - Network	44		1,103						-	
3143 Non-Capitalized IT - Other	5,327		19,854				4,500		3,997	
3146 Non-Capitalized IT - PC SW	-		-						-	
3950 Gasoline	-		-						-	
3970 Natural Gas	-		-						-	
4111 Prizes and Awards	1,891		5,278				2,800		2,800	
4117 Reportable Claims Against State	-		-						-	
4140 Dues & Memberships	200		6,857				7,400		7,400	
4151 Interest - Late Payments	2		-						-	
4170 Miscellaneous Fees	111		-						-	
4180 Official Functions	26,602		5,877				6,100		6,100	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
4200 Purchase Discounts	-		-						-	
4220 Registration Fees	6,968		11,325				12,450		12,450	
4221 Other Educational - W2 RPT	-		-						-	
6140 Leasehold Improv - Direct Purch	-		-						-	
6210 ADP Equipment	-		-						-	
6212 IT Servers - Direct Purchase	454		2,168				-		-	
6214 IT Other Direct Purchase	-		-				-		-	
6224 Other Furniture & Fix Direct Purchase	14,143		-						-	
6250 Library Materials Direct Purchase	9,357		-						-	
EBJJ Law to Judicial	2,287		282,184							
Operating Expense Subtotal	348,817		490,832				198,192		193,489	
General Fund	155,304		300,203				-		-	
General Fund Exempt										
Cash Funds	-		-				950		-	
Reappropriated Funds	193,513		190,629				197,242		193,489	
Federal Funds	-		-						-	
FY14 DECISION ITEM: Database Administrator <b>DI #4 1/2 Time Contract Administrator</b>									10,947	
General Fund									-	
Reappropriated Funds									10,947	
Potted Operating Expenses										
Workers' Compensation										
Leased Vehicle Expense										
Capital Complex/Carr Building										
Leased Space Expense										
IT Asset Maintenance										
ADP Capital Outlay										
Communication Services Payments										
CLE Registration Fees										
Building Security										
Total Potted Operating Expenses										
<b>OPERATING EXPENSE GRAND TOTAL:</b>	<b>348,817</b>		<b>490,832</b>		<b>198,192</b>		<b>198,192</b>		<b>204,436</b>	
General Fund	<b>155,304</b>		<b>300,203</b>				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	-		-		<b>950</b>		<b>950</b>		-	
Reappropriated Funds	<b>193,513</b>		<b>190,629</b>		<b>197,242</b>		<b>197,242</b>		<b>204,436</b>	
Federal Funds	-		-				-		-	
OPERATING EXPENSE RECONCILIATION										
Long Bill Appropriation	193,513		190,629		197,242		197,242		197,242	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
FY 14 Decision Item: Database Admin Annual	-		-						(4,703)	
SB 123 POST					950		950		950	
Allocated POTS										
<i>Worker's Compensation</i>	7,190		7,271							
<i>Vehicle Lease Payments</i>	2,646		2,646							
<i>Capital Complex/Carr Building</i>	107,416		277,229							
<i>Leased Space</i>	669		669							
<i>IT Asset Maintenance</i>	21,754		21,754							
<i>ADP Capital Outlay</i>	-		-							
<i>Communication Services Payments</i>	-		-							
<i>CLE Registration Fees</i>	1,875		1,875							
<i>Building Security</i>	13,788		-				-			
Year-End Transfer										
#4 Decision Item 1/2 Time Contract Administration	-		-						10,947	
Rollforward to subsequent FY										
Overexpenditure/(Reversion)	(34)		(11,241)							
<b>TOTAL</b>	<b>348,817</b>		<b>490,832</b>				<b>198,192</b>		<b>204,436</b>	
Attorney Registration & CLE's Costs	-		-		126,351		-		126,351	
General Fund	-		-		30,524				30,524	
General Fund Exempt	-		-						-	
Cash Funds	-		-		4,698				4,698	
Reappropriated Funds	-		-		90,060		-		90,060	
Federal Funds	-		-		1,069				1,069	
Attorney Registration and CLE Adjustment									3,562	
General Fund									517	
General Fund Exempt										
Cash Funds									(423)	
Reappropriated Funds									3,468	
Federal Funds									-	
<b>TOTAL ATTORNEY REGIS. &amp; CLE's</b>	<b>-</b>		<b>-</b>		<b>126,351</b>		<b>126,351</b>		<b>129,913</b>	
General Fund	-		-		30,524		30,524		31,041	
Cash Funds	-		-		4,698		4,698		4,275	
Reappropriated Funds	-		-		90,060		90,060		93,528	
Federal Funds	-		-		1,069		1,069		1,069	
Attorney Registration & CLE's Reconciliation										
Long Bill Appropriation	99,263		99,263				126,351			
<i>Special Bills: HB 10-1305</i>										

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Allocation to Divisions	(98,138)		(98,138)				-			
Overexpenditure/(Reversion)										
TOTAL	1,125		1,125				126,351			
Purchase of Service from Computer Center (GGCC)	107,588		55,762		-		-		-	
General Fund	-		-		-		-		-	
Cash Funds										
Reappropriated Funds	107,588		55,762		-		-		-	
Federal Funds										
GGCC FY Common Policy Adjustment									-	
General Fund									-	
Reappropriated Funds									-	
GGCC Total	<b>107,588</b>		<b>55,762</b>		-		-		-	
General Fund	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	<b>107,588</b>		<b>55,762</b>		-		-		-	
Federal Funds										
GGCC										
Long Bill Appropriation	107,588		55,762				-			
<i>Supplemental: HB 10-1305</i>	-		0							
<i>Supplemental: HB08-1290</i>										
Lapsed Reappropriated Funds										
TOTAL	107,588		55,762				-			
Colorado State Network	-		166,319		-		-		-	
General Fund	-		-		-		-		-	
Cash Funds										
Reappropriated Funds	-		166,319		-		-		-	
Federal Funds										
CSN FY Adjustment									-	
General Fund									-	
Reappropriated Funds									-	
CSN Total	-		<b>166,319</b>		-		-		-	
General Fund	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	-		<b>166,319</b>		-		-		-	
CSN Reconciliation										
Long Bill Appropriation	-		-				-			

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
<i>Supplemental: HB 10-1305</i>	-		0							
<i>Supplemental: HB08-1290</i>										
Lapsed Reappropriated Funds										
TOTAL	-		-				-			
Risk Management	128,156		128,371		153,905		153,905		153,905	
General Fund	40,207		-						-	
Cash Funds									-	
Reappropriated Funds	87,949		128,371		153,905		153,905		153,905	
Federal Funds										
Risk Management Common Policy Adjustment									-	
General Fund									-	
Cash Funds									-	
Reappropriated Funds									-	
Federal Funds									-	
Risk Management Common Decision Item									(37,465)	
General Fund									32,251	
Cash Funds									13,599	
Reappropriated Funds									(86,544)	
Federal Funds									3,229	
<b>RISK MANAGEMENT TOTAL</b>	<b>128,156</b>		<b>128,371</b>		<b>153,905</b>		<b>153,905</b>		<b>116,440</b>	
General Fund	<b>40,207</b>		<b>-</b>		<b>-</b>		<b>-</b>		<b>32,251</b>	
Cash Funds									<b>13,599</b>	
Reappropriated Funds	<b>87,949</b>		<b>128,371</b>		<b>153,905</b>		<b>153,905</b>		<b>67,361</b>	
Federal Funds									<b>3,229</b>	
Risk Management Reconciliation										
Long Bill Appropriation	87,949		128,371				153,905			
<i>Supplemental: SB 13-094</i>	40,207		-							
<i>Supplemental: HB08-1290</i>										
Lapsed Reappropriated Funds										
TOTAL	128,156		128,371				153,905			
Vehicle Lease Payments	-		-		58,588				58,588	
General Fund	-		-		17,630				17,630	
Cash Funds	-		-		17,097				17,097	
Reappropriated Funds	-		-		21,382				21,382	
Federal Funds	-		-		2,479				2,479	
Vehicle Lease Common Policy DI									12,694	
General Fund									17,039	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Cash Funds									(9,842)	
Reappropriated Funds									2,198	
Federal Funds									3,299	
<b>VEHICLE LEASE PAYMENTS TOTAL</b>	-		-		<b>58,588</b>		<b>58,588</b>		<b>71,282</b>	
General Fund	-		-		17,630		17,630		34,669	
Cash Funds	-		-		17,097		17,097		7,255	
Reappropriated Funds	-		-		21,382		21,382		23,580	
Federal Funds	-		-		2,479		2,479		5,778	
Vehicle Lease Reconciliation										
Long Bill Appropriation	70,285		62,019		55,970		55,970			
<i>SB 14-002</i>					2,618		2,618			
<i>Supplemental</i>	-		-							
Allocation to Divisions	(70,285)		(62,019)				-			
Overexpenditure/(Reversion) General Fund										
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt										
Lapsed Appropriation Federal										
<b>TOTAL</b>	-		-				58,588			
Information Technology Asset Maintenance					645,206		-		645,206	
General Fund	-		-		174,663		-		174,663	
Cash Funds	-		-		75,291		-		75,291	
Reappropriated Funds	-		-		377,036		-		377,036	
Federal Funds	-		-		18,216		-		18,216	
<b>Dec Item:</b>									-	
General Fund									-	
Cash Funds									-	
Reappropriated Funds									-	
Federal Funds									-	
<b>IT ASSET MAINTENANCE TOTAL</b>	-		-		<b>645,206</b>		<b>645,206</b>		<b>645,206</b>	
General Fund	-		-		174,663		174,663		174,663	
Cash Funds	-		-		75,291		75,291		75,291	
Reappropriated Funds	-		-		377,036		377,036		377,036	
Federal Funds	-		-		18,216		18,216		18,216	
IT Asset Maintenance Reconciliation										
Long Bill Appropriation	445,807		445,807				645,206			
<i>Supplemental</i>										
Allocation to Divisions	(445,807)		(445,807)				-			

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Rollforward										
Overexpenditure/(Reversion) General Fund										
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt										
Lapsed Appropriation Federal										
TOTAL	-		-				645,206			
Capital Complex Leased Space/Carr Building					2,981,368				2,981,368	
General Fund					804,128				804,128	
Cash Funds					348,331				348,331	
Reappropriated Funds					1,743,005				1,743,005	
Federal Funds					85,904				85,904	
CARR Bldg Adjustment					0				52,870	
General Fund					0				36,260	
Cash Funds					0				6,037	
Reappropriated Funds					0				12,339	
Federal Funds									(1,766)	
Carr Building Lease Space									-	
General Fund										
Cash Funds										
Cash Funds Exempt										
Federal Funds										
<b>Decision Items</b>									-	
General Fund									-	
Cash Funds										
Reappropriated Funds										
Reappropriated Funds										
Federal Funds										
CAPITAL COMPLEX TOTAL/Carr Building Total					2,981,368		2,981,368		3,034,238	
General Fund					804,128		804,128		840,388	
Cash Funds					348,331		348,331		354,368	
Reappropriated Funds					1,743,005		1,743,005		1,755,344	
Federal Funds					85,904		85,904		84,138	
Capital Complex Reconciliation										
Long Bill Appropriation	1,273,320		2,926,487				2,981,368			
Supplemental: HB10-1305	-		-							
Supplemental: SB09-192	-		-							

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Allocation to Divisions	(1,273,320)		(2,926,487)				-			
Overexpenditure/(Reversion) General Fund										
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt										
Lapsed Appropriation Federal	-		-				2,981,368			
TOTAL										
ADP Capital Outlay										
General Fund					-		-		-	
Cash Funds					-		-		-	
Reappropriated Funds					-		-		-	
Federal Funds					-		-		-	
						0				
FY14 Adjustment - Common Policy						0				
General Fund						0				
Cash Funds						0				
Reappropriated Funds										
Federal Funds										
ADP Capital Outlay TOTAL	154,370		-							
General Fund										
Cash Funds										
Reappropriated Funds	154,370		-							
Federal Funds										
ADP Capital Outlay Reconciliation										
Long Bill Appropriation	154,370		-				-		-	
Special Bills							-		-	
Supplemental: HB08-1290							-		-	
Allocation to Divisions							-		-	
Overexpenditure/(Reversion) General Fund										
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt										
Total	154,370		-							
Lease Space	-		-						-	
General Fund	-		-						-	
Cash Funds	-		-						-	
Reappropriated Funds	-		-						-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Federal Funds	-		-		-				-	
FY13 Adjustment - Common Policy									-	
General Fund									-	
Cash Funds									-	
Reappropriated Funds									-	
Federal Funds									-	
<b>LEASE SPACE TOTAL</b>	-		-		-		-		-	
General Fund	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	-		-		-		-		-	
Federal Funds	-		-		-		-		-	
Lease Space Reconciliation										
Long Bill Appropriation	27,789		27,789				-			
<i>Supplemental: HB08-1290</i>										
Allocation to Divisions	(27,789)		(27,789)				-			
Overexpenditure/(Reversion) General Fund										
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt										
Lapsed Appropriation Federal										
TOTAL	-		-				-			
Security for State Services Building	-		-		-				-	
General Fund	-		-		-				-	
Cash Funds	-		-		-				-	
Reappropriated Funds	-		-		-				-	
Federal Funds	-		-		-				-	
FY14 Adjustment - Common Policy									-	
General Fund									-	
Cash Funds									-	
Reappropriated Funds									-	
Federal Funds									-	
<b>Decision Items</b>										
General Fund									-	
Cash Funds									-	
Reappropriated Funds									-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Federal Funds										
SECURITY FOR STATE SER BLDG TOTAL	-		-		-		-		-	
General Fund	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	-		-		-		-		-	
Federal Funds	-		-		-		-		-	
Security for State Srv Building Reconciliation										
Long Bill Appropriation	140,489		-				-			
<i>Supplemental: HB08-1290</i>										
Allocation to Divisions	(140,489)		-				-			
Overexpenditure/(Reversion) General Fund										
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt										
Lapsed Appropriation Federal	-		-				-			
TOTAL	-		-				-			
Administrative Law Judges	-		-		29,302				29,302	
General Fund	-		-		-				-	
Cash Funds	-		-		29,302				29,302	
Reappropriated Funds	-		-		-				-	
Federal Funds	-		-		-				-	
FYAdjustment - Common Policy									(22,524)	
General Fund									-	
Cash Funds									(22,524)	
Reappropriated Funds									-	
Federal Funds									-	
ADMINISTRATIVE LAW JUDGES TOTAL	-		-		29,302		29,302		6,778	
General Fund	-		-		-		-		-	
Cash Funds	-		-		29,302		29,302		6,778	
Reappropriated Funds	-		-		-		-		-	
Federal Funds	-		-		-		-		-	
Administrative Law Judges Reconciliation										
Long Bill Appropriation	1,135		4,362				29,302			
<i>Special Bills</i>										
<i>Supplemental: SB07-168</i>										
<i>Supplemental: HB08-1290</i>	-		-							
Allocation to Divisions	(1,135)		(4,362)				-			
Overexpenditure/(Reversion) General Fund										
Lapsed Appropriation Cash Fund										

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Lapsed Appropriation Cash Fund Exempt										
Lapsed Appropriation Federal										
TOTAL	-		-				29,302			
<b>COMMUNICATION SERVICE PAYMENTS</b>	-		-		-				-	
General Fund	-		-		-				-	
Cash Funds	-		-		-				-	
Reappropriated Funds	-		-		-				-	
Federal Funds	-		-		-				-	
<b>FYAdjustment - Common Policy and Decision Item</b>					0				-	
General Fund									-	
Cash Funds					0				-	
Reappropriated Funds					0				-	
Federal Funds									-	
<b>COMMUNICATION SERVICES TOTAL</b>	-		-		-		-		-	
General Fund	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	-		-		-		-		-	
Federal Funds	-		-		-		-		-	
<b>Comm Ser Payments Reconciliation</b>										
Long Bill Appropriation	10,614		8,988				-			
<i>Supplemental: SB07-168</i>										
<i>Supplemental: HB08-1290</i>	-		-							
Allocation to Divisions	(10,614)		(8,988)				-			
Overexpenditure/(Reversion) General Fund										
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt										
Lapsed Appropriation Federal										
TOTAL	-		-				-			
<b>COFRS Modernization</b>	46,428		46,431		47,570				47,570	
General Fund	-		-		-				-	
Cash Funds	-		-		-				-	
Reappropriated Funds	46,428		46,431		46,431				47,570	
Federal Funds	-		-		1,139				-	
<b>FYAdjustment - Common Policy</b>					0				6,570	
General Fund									14,996	
Cash Funds					0				6,323	
Reappropriated Funds					0				(16,250)	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Federal Funds									1,501	
COFRS Modernization Total	-		-		47,570		47,570		54,140	
General Fund	-		-		-		-		14,996	
Cash Funds	-		-		-				6,323	
Reappropriated Funds	-		-		46,431		46,431		31,320	
Federal Funds	-		-		1,139		1,139		1,501	
COFRS Modernization Reconciliation										
Long Bill Appropriation	46,431		46,431							
<i>Supplemental: SB07-168</i>										
<i>Supplemental: HB08-1290</i>	-		-							
Allocation to Divisions	-		-				-			
Overexpenditure/(Reversion) General Fund										
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt	(3)		-							
Lapsed Appropriation Federal										
TOTAL	46,428		46,431				-			
Payments to OIT	-		-		335,787				335,787	
General Fund	-		-		91,127				91,127	
Cash Funds	-		-		39,385				39,385	
Reappropriated Funds	-		-		195,415				195,415	
Federal Funds	-		-		9,860				9,860	
FYAdjustment - Common Policy and Decision Item					0				13,116	
General Fund									5,507	
Cash Funds									1,364	
Reappropriated Funds									6,430	
Federal Funds									(185)	
Payments to OIT Total	-		-		335,787		335,787		348,903	
General Fund	-		-		91,127		91,127		96,634	
Cash Funds	-		-		39,385		39,385		40,749	
Reappropriated Funds	-		-		195,415		195,415		201,845	
Federal Funds	-		-		9,860		9,860		9,675	
Payments to OIT Reconciliation										
Long Bill Appropriation	-		-							
<i>Special Bills</i>										
<i>Supplemental: SB07-168</i>										
<i>Supplemental: HB08-1290</i>	-		-							
Allocation to Divisions	-		-				-			
Overexpenditure/(Reversion) General Fund										

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt	-		-							
Lapsed Appropriation Federal										
TOTAL	-		-				-			
Information Technology Security	-		2,328		-				-	
General Fund	-		-		-				-	
Cash Funds	-		-		-				-	
Reappropriated Funds	-		2,328		-				-	
Federal Funds	-		-		-				-	
FYAdjustment - Common Policy and Decision Item					0				-	
General Fund									-	
Cash Funds					0				-	
Reappropriated Funds					0				-	
Federal Funds									-	
Information Technology Security Total	-		-		-		-		-	
General Fund	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	-		-		-		-		-	
Federal Funds	-		-		-		-		-	
Information Technology Security Reconciliation										
Long Bill Appropriation	-		2,328							
<i>Supplemental: SB07-168</i>										
<i>Supplemental: HB08-1290</i>	-		-							
Allocation to Divisions	-		-				-			
Overexpenditure/(Reversion) General Fund										
Lapsed Appropriation Cash Fund										
Lapsed Appropriation Cash Fund Exempt	-		-							
Lapsed Appropriation Federal										
TOTAL	-		2,328				-			
AG's DISCRETIONARY FUND	5,000		5,000		5,000		5,000		5,000	
General Fund	5,000		5,000		5,000		5,000		5,000	
Cash Funds										
Reappropriated Funds										
Federal Funds										
AG's Decret Reconciliation of Funds										
Long Bill Appropriation	5,000		5,000				5,000			

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ADMINISTRATION**

Item	Actual FY13		Actual FY14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Overexpenditure/(Reversion) General Fund TOTAL	5,000		5,000				5,000			
<b>ADMINISTRATION GRAND TOTAL</b>	<b>4,268,936</b>	<b>39.4</b>	<b>4,631,424</b>	<b>38.2</b>	<b>14,920,954</b>	<b>44.1</b>	<b>8,738,130</b>	<b>44.1</b>	<b>16,440,185</b>	<b>44.2</b>
General Fund	646,252		966,685		2,977,193		1,749,435		3,484,115	
General Fund Exempt	-		-							
Cash Funds	-		-		1,374,212		573,235		1,333,386	
Reappropriated Funds	3,622,684		3,664,739		10,223,056		6,293,843		11,266,654	
Federal Funds	-		-		346,493		121,617		356,030	

## SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Revenue		ADMINISTRATION				
Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		Actual FY13	Actual FY14	Approp FY 15	Approp FY 15	Request FY 16
<b>Schedule 3 Total</b>		4,268,936	4,631,424	14,920,954	8,738,130	16,440,185
General Fund		646,252	966,685	2,977,193	1,749,435	3,484,115
General Fund Exempt		-	-	-	-	-
Cash Funds		-	-	1,374,212	573,235	1,333,386
Reappropriated Funds		3,622,684	3,664,739	10,223,056	6,293,843	11,266,654
Federal Funds		-	-	346,493	121,617	356,030
<b>Cash Funds</b>						
Various Sources of Cash		-	-	1,374,212	573,235	1,333,386
NO Call Cash Fund		-	-	-	-	-
<b>SUBTOTAL CASH FUNDS:</b>		-	-	1,374,212	573,235	1,333,386
<b>Reappropriated Funds</b>						
Various Sources of Cash Exempt		3,622,684	3,664,739	10,223,056	6,293,843	11,266,654
<b>FEDERAL FUNDS</b>						
Mediciad Fraud		-	-	346,493	121,617	356,030
		-	-	-		-

## **I) BACKGROUND INFORMATION: STATE SERVICES SECTION.**

Collectively, the attorneys in the State Services section provide representation to eight of sixteen executive branch state agencies, as well as Colorado's five statewide elected public officials, the Governor, Lt. Governor, Attorney General, Secretary of State and Treasurer. The Section also represents the Judiciary and the Public Utilities Commission. The legal work of the Section is diverse, ranging from providing advice on transactions and general operations to defending the constitutionality of state laws in both state and federal court. In general, the State Services Section protects children, people at risk, and represents the public at large. The Section also conserves the state's fiscal system by reviewing hundreds of state contracts and defending the State against claims typically involving the inadequacy of funding of various programs.

The primary metric is the volume of cases handled by each unit. For some units, additional workload measures are provided. We caution, however, that a single case, such as the Lobato School Finance trial and appeal may involve thousands of hours of legal work and other cases can be disposed of with minimal time.

The Section is composed of the following units:

### **Human Services:**

This Unit represents the Department of Human Services both defending the Department in civil litigation and prosecuting on its behalf in the administrative courts. The Unit defends the county confirmation that a person is responsible for child abuse or neglect in administrative appeals. The unit prosecutes licensure actions to revoke or discipline child care providers who harm children or do not follow requirements. Attorneys represent the Division of Youth Corrections requesting early parole or community placement for aggravated offenders or extensions of commitment for youth who are a risk to the community. The unit files motions to quash record subpoenas and assists with open records requests. The Unit regularly provides general legal counsel to various Divisions within the Department, including: Behavioral Health, the Mental Health Institutes, the Regional Centers for Persons with Development Disabilities, Colorado Works, Food Assistance, Child Support Enforcement and Vocational Rehabilitation. The Unit assists with transactional issues, including contract review and drafting, tax disputes, and property transactions. Lastly, the Unit represents the State Long Term Care Ombudsman and the Child Welfare Ombudsman.

## **Health Care:**

This Unit represents the health programs of the Colorado Department of Public Health and Environment, including the division that licenses and surveys all health facilities in the state, sets standards and level of care for hospital emergency departments and trauma units, and certifies emergency medical service providers. The Unit also represents the Prevention Services Division, Disease Control and Environmental Epidemiology Division, the State Laboratory, and the Registrar of Vital Statistics within CDPHE, which includes the Medical Marijuana Registry and manages birth, death, marriage and adoption records. The Unit represents the Department of Health Care Policy and Financing, which administers Medicaid, the Colorado Indigent Care Program and the Children's Basic Health Plan. The Unit's representation includes eligibility issues, Medicaid provider appeals, recipient appeals, judicial review actions, collection of overpayments and amounts owed Medicaid by providers and liable third-parties. In addition, the Unit reviews rules for and provides counsel to the Colorado Board of Health and the Colorado Medical Services Board.

## **Labor/Personnel and Administration:**

This Unit represents the Department of Labor and Employment, which involves mainly workers compensation, unemployment compensation, and petroleum storage tank monitoring and cleanup. In addition, the Unit does the legal work for the Department of Personnel and Administration, including the Personnel Director, employee benefit programs, state buildings, and purchasing. The Unit also advises the Colorado State Controller and reviews hundreds of contracts annually for legal sufficiency.

## **Education:**

This Unit advises all of state's public colleges and universities, and the community college system, on a wide range of state and federal compliance issues, board governance, and transactional matters. In addition, the Unit represents the State Board of Education and the Department of Education on a wide variety of issues, including charter school appeals, general policy questions, and in the prosecution of teacher licensure cases. The Unit provides general legal advice to the Colorado State Charter School Institute, the BEST (Building Excellent Schools Today) Board, and the Department of Higher Education, including the Division of Private Occupational Schools, the Colorado Historical Society, and the Commission on Higher Education. The

Unit regularly defends state education laws and the system of public school finance against constitutional challenges.

**Public Officials:**

This Unit provides legal advice to the Governor, Lt. Governor, Secretary of State (election and campaign finance law), the Treasurer, the Attorney General, and the Judicial Department, as well as the Department of Local Affairs, the Department of Military Affairs, the Office of Economic Development and International Trade, the Office of Information Technology, the State Auditor, the License Plate Auction Group, and the Title Board. The Unit handles constitutional challenges to some state laws and initiated measures adopted by the voters.

**Public Utilities Commission (PUC):**

This Unit represents the three commissioners of the PUC and its staff in an advisory (general counsel) capacity. The PUC Unit provides legal advice and writes orders in a wide variety of quasi-judicial and quasi-legislative proceedings, including rulemaking proceedings, conducted before the Commission. These proceedings address energy, telecommunications, and transportation regulation for the state. The PUC Unit also advises and represents the PUC on legislative matters and in state and federal court.

## **II) PRIOR YEAR LEGISLATION**

### **Human Services**

**SB 14-1317: Concerning Modifications to the Colorado Child Care Assistance Program, and, In Connection Therewith, Aligning Eligibility and Authorization; Addressing Affordability by Reducing Copayment; Improving Provider Reimbursement Rates; Increasing Access to Quality Care; Improving Technology, Infrastructure, and Administration; and Making an Appropriation.** The Department has until July 1, 2016 to establish provider reimbursement rates that are tiered, and the Department will conduct a study to examine private payment tuition rates and how those compare to CCAP. This bill contains the phrase “subject to available appropriations” no less than seven times. The bill requires that counties provide CCAP assistance to families whose income is not more than 165% of the federal poverty level. Families transitioning off the Works program, if eligible, may be provided low-income child care without applying until six months after the transition. Participants must pay a portion of their income for CCAP. The bill requires changes to the automated tracking system, such as tracking a parent who is not employed for sixty days within a 12 month period. A provider may conduct a pre-eligibility determination and accept the child for services while the County determines eligibility. If found ineligible, the County will not reimburse for the child care provided.

**HB 14-1368: Concerning the Transition of Youth Ages Eighteen Through Twenty-One Who have Intellectual and Developmental Disabilities to the Adult Program of Services for Persons with Intellectual and Developmental Disabilities, and In Connection Therewith, Making and Reducing Appropriations.** Youth ages 18 to 21 were previously served through the County Child Welfare System, and the home-and community-based services (HCBS) program is better designed to meet the needs of those with intellectual and developmental disabilities. These youth are to be transitioned from the child welfare system into the HCBS system, except when a court or interdisciplinary team determines it is not in the best interest of the youth to transition. Counties must develop transition plans for these youth.

**SB 14-012: Concerning Increasing the Assistance Payment for the Program for Aid to the Needy Disabled, and in Connection Therewith, Making and Reducing Appropriations.** This bill allows the State Department to promulgate rules to allow a county to waive the requirement that a person apply for SSI benefits prior to receiving Aid to the Needy Disabled (AND) to allow a person to submit an SSI application that is

thorough and complete. The bill allows an increase in the payment. Lastly, the bill creates a pilot program to assist with SSI applications.

## **Education**

**HB 14-1102 – Concerning gifted education programs in public schools and in connection therewith, making an appropriation.** This bill increases school district’s obligations to identify and provide gifted children with gifted education programs, and increases funding for the same.

**HB 14-1156 – Concerning extending the age of eligibility for the child nutrition school lunch protection program, and, in connection therewith making and reducing appropriations.** This bill extends the age of eligibility for free lunches from second to fifth grade and appropriates additional funds.

**HB 14-1136 – Concerning exempting a continuing professional education program that is approved by a state professional licensing board from regulation by the division of private occupational schools in the department of higher education.** This bill exempts professional continuing education programs from the jurisdiction of DPOS.

**HB 14-1182 – Concerning changes for the 2015-16 school year to certain public education accountability measures specified in the “education accountability act of 2009” to accommodate the transition to administering new statewide assessments.** This bill modifies recommended actions the State Board of Education can take with respect to school district turnaround and priority improvement plans based on ratings given during the 2015-16 school year, and changes factors used by the State Board to assign accreditation ratings and performance plans.

**HB 14-1190 – Concerning the factors that are used to determine the financial capacity to provide matching moneys of a school district or board of cooperative services that has applied for financial assistance under the “building excellent schools today act.”** The bill modifies and changes the criteria used by the BEST Board in evaluating requests for financial assistance including the applicants bonding capacity.

**HB 14-1294 – Concerning student data collection privacy protections administered by the department of education.** The bill requires CDE to publish an index of utilized data elements and privacy policies regarding accessibility to data and notices of student and parent rights. The bill also requires CDE to have a detailed data security plan regarding confidentiality of

student data and to create a data security template for school districts to use to create their own data security plans.

**HB 14-1298 – Concerning the financing of public schools, and, in connection therewith make and reducing appropriations.** This bill sets FY base per pupil funding, clarifies the calculation of the cost of living factors, establishes the amount of the negative factor, increases the number of positions in the preschool program, establishes the new English Language Proficiency Act, provides funds for professional development for teachers working with English Language Learners and increases appropriation to boards of cooperative services.

**HB 14-1319 – Concerning the creatio of an outcomes-based funding model for higher education, and, in connection therewith, making an appropriation.** The bill creates a new outcome based funding model for state institutions of higher education that utilizes a consistent set of uniform performance metrics.

**HB 14-1365 – Concerning junior college boards of trustees, and, . . . directing the attorney general to serve as legal advisor to colorado mountain college upon request from its board of trustees.** The bill allows Colorado Mountain College to request and receive legal advice from the Colorado Department of Law.

**SB 14-01 – Concerning making college education more affordable by imposing further restrictions on tuition increases, increasing financial aid, and increasing operating support for each governing board of a state-sponsored institution of higher education by eleven percent.** The bill increases funding for state institutions of higher education by an additional \$100 million and caps tuition rate increases for in-state undergraduates 6 percent for upcoming two fiscal years.

**SB 14-004 – Concerning the role and mission of community colleges.** The bill expands the role and mission of the community colleges to allow them to establish four-year bachelor of applied science programs.

**SB 14-114 – Concerning expanding access for all students to colorado state univeristy – global campus.** The bill expands the role and mission of CSU-Global and allows it to offer certain on-line bachelor degree programs approved by the Colorado Commission on Higher Education.

## Health Care

**SB 13 13-222 - Concerning improving access to childhood immunizations, and, in connection therewith, making an**

**appropriation.** This bill allows CDPHE to create a system for purchasing vaccines and to assess the ability of HCPF to purchase vaccines for children enrolled in CHP.

**SB 13-242 - Concerning dental services for adults in the medicaid program, and, in connection therewith, making and reducing an appropriation.** This bill creates an adult dental benefit for adults in the Medicaid program.

**SB 13-200 - Concerning an increase in the income eligibility for certain optional groups in the medicaid program to one hundred thirty-three percent of the federal poverty line, and, in connection therewith, making and reducing an appropriation.** This Medicaid expansion bill allows for funds in the hospital provider fee cash fund to be used to increase the income eligibility for certain populations.

**HB 13-1068 - Concerning on-site inspections of medicaid providers.** This bill aligns state law with federal law and allows the Department to conduct unannounced inspections of providers for the purpose of an audit or review for compliance with state and federal law.

**HB 13-1314 - Concerning the transfer of the administration of long-term services for persons with intellectual and developmental disabilities to the department of health care policy and financing.** This bill transfers the division of developmental disabilities from DHS to HCPF.

### **Public Utilities Commission**

**HB 1327-1331 – Telecom Reform Legislation.** These five bills amended article 15 of title 40, to deregulate advanced and basic telecommunications services, except basic services subsidized through Colorado’s high cost fund. Where providers receive high cost funding, the Commission retains the authority to set maximum prices and impose provider of last resort obligations. The bill limited high cost distributions to providers serving areas “without effective competition” for basic service. The bill assumes the Commission will conduct proceedings to determine which areas are without effective competition and thus be eligible for high cost funding and subject to rate and provider of last resort regulation. These bills also authorize the formation of a broadband board, to distribute monies for construction of broadband networks from high costs monies no longer distributed to basic service providers.

The bills reserve the Commission’s authority over basic emergency (911) service, even if the types of services or technologies were placed into deregulated status.

**SB 125 - Concerning the regulation of transportation network companies.** This bill authorizes transportation network companies (TNCs) to operate in the state and offer transportation services by connecting drivers with riders through digital communications networks. Rates, terms, and conditions of service are beyond Commission purview; only permitting and safety regulations are authorized by the bill.

### Public Officials

**SB 13-210 - Concerning employment conditions for correctional officers, and, in connection therewith, making an appropriation.** The bill designates a portion of Fort Lyon for use as residential community by the Department of Local Affairs to provide supportive housing services to homeless individuals.

**HB 13-1135 - Concerning the ability of a person to preregister to vote if the person has reached sixteen years of age but will not be eighteen years of age by the date of the next election, and, in connection therewith, making an appropriation.** The bill allows any person who is sixteen years old, but who will not be eighteen years old by the date of the next election, to preregister to vote.

**HB 13-1224 - Concerning prohibiting large-capacity ammunition magazines.** The bill prohibits large-capacity ammunition magazines.

**HB 13-1229 - Concerning criminal background checks performed pursuant to the transfer of a firearm, and, in connection therewith, making an appropriation.** The bill requires criminal background checks to be performed when transferring a firearm.

**SB 14-161 – Concerning the modernization provisions of the “Uniform Election Code of 1992” that ensure voter access for eligible electors.**

## III) HOT ISSUES:

### Human Services

- The Unit continues to defend Human Services in the CBMS litigation. The Unit continues to monitor monthly case processing for compliance

with the settlement agreement, and timely processing has declined over the past year.

- The Unit is working closely with the Department providing advice on specific cases and legal questions as the regional centers reduce beds and move individuals into the community. Families or guardians do not always agree that moving out of the regional center is the best for the individual.
- The Division of Youth Corrections will be making significant changes in practice and policy over the next year. The Legal Center and other advocacy organizations are watching closely and will be meeting with the Department to assure the changes address their concerns about the use of seclusion and physical management techniques, while others are concerned about assaults and safety in the facilities.

The Department will be working to assure that all reports going to the courts from the various evaluators and programs are the highest quality. These are individuals served through the State Hospital in Pueblo, and some are receiving treatment to restore them to competency in the new RISE program at the Arapahoe County Jail.

### **Health Care**

- The Unit continues to defend HCPF in the CBMS litigation. The Unit is continuing to monitor case processing figures for compliance with the settlement agreement.
- The Unit continues to provide assistance to HCPF regarding implementation of the Affordable Care Act.
- The Unit is defending HCPF in numerous deferrals and disallowances from CMS.
- The Unit is defending HCPF in numerous procurement code/contract award challenges.
- The Unit continues to defend HCPF in ongoing challenges to its automatic lien statute which allows the state to collect millions of dollars each year from liable third parties.
- The Unit is continuing joint efforts with the U.S. Attorney's office and the Medicaid Fraud Control Unit to pursue Medicaid provider fraud, in both the civil and criminal arenas.

- The Unit continues to defend HCPF in appeals filed by nursing facilities challenging reimbursement rates.
- The Unit continues to defend HCPF in an ADA action in federal district court challenging HCPF's reimbursement rate for non-emergency medical care.
- The Unit is defending both HCPF and CDPHE in a challenge to the interpretation of the Constitutional Amendment that prohibits public funds for abortions.
- The Unit continues to provide legal advice and opinions to the Department of Public Health and Environment, Medical Marijuana Registry. We also continuously defend the Department against subpoenas seeking confidential information, and assist the Board of Health with rulemaking issues.
- The Unit continues to assist CDPHE with ongoing public health and disease control investigations.
- The Unit continues to defend the CDPHE's Laboratory Services Division against challenges to their new breathalyzer testing instrument and from subpoenas seeking confidential and protected information.

### **Labor/Personnel and Administration**

- *CDLE WyCAN Project.* The U.S. Department of Labor ("USDOL") made federal grant funds available to the states for the purpose of facilitating the design, development, and implementation of unemployment insurance ("UI") benefit systems and tax systems by multiple states working cooperatively. It is the stated intent of the USDOL to make the systems developed with federal funds available for use by other states. The states of Wyoming, Colorado, Arizona, and North Dakota (collectively, "WyCAN States") jointly applied for and received the federal funds for this use. The total grant amount is \$58,100,000 ("Grant Funds") to the WyCAN States for this system (the "Project"). With contributions from each state for state specific work, the total project amount is \$110M. The Unit is assisting CDLE in these discussions and regulations.
- *CDLE, Division of UI v. FedEx.*, Docket Nos. 6299-2011, 7956-2011, 37816-2010. These three cases involve a reclassification of package delivery drivers from independent contractors to employees. Extensive discovery is proceeding and a hearing is scheduled for fall of 2014.

- The Unit is working with the Office of Information Technology to streamline the State's contracting and administration process in connection with information technology.
- The Unit will continue to work with DPA and the Governor's Office to reform of the State procurement and State contracting policies and procedures.

## **Education**

- The Unit continues to provide comprehensive legal advice to the Colorado Department of Education, the Colorado Department of Higher Education, the Colorado State Board of Education, the Colorado State Charter School Institute, the CHEIBA Trust, College Invest, the Colorado Historical Society, and the Colorado School for the Deaf and Blind, including: board representation; transactional, employment, regulatory compliance advice; drafting formal and informal opinions on education issues, and rule and policy drafting and interpretation.
- The Unit continues to coordinate legal services for, and provide legal advice to, over 20 public universities, colleges, community colleges and junior colleges, including the University of Colorado System, Colorado State University System, University of Northern Colorado, the State Board of Community Colleges and Occupational Education System, Metropolitan State University of Denver, the Auraria Higher Education Center, the Colorado School of Mines and Colorado Mountain College.
- Unit attorneys continue to serve as General Counsel providing comprehensive legal services to Adams State University, Western State Colorado University, Colorado Mesa University, and Fort Lewis College.
- The Unit continues to handle a wide range of litigation ranging from administrative hearings on student or faculty discipline and teacher licensing matters to litigation involving contract matters, requests for injunctive relief, Title IX, First Amendment and student discipline matters, as well as complex constitutional challenges to education related statutes.

These matters have significant potential impact and have received coverage in the press.

- *Dwyer v. State of Colorado*. On June 27, 2014, the Colorado Rural Schools Caucus, East Central Board of Cooperative Educational Services, Colorado PTA, and several school districts and individuals

filed suit against the State of Colorado, Commission Robert Hammond, and Governor Hickenlooper challenging the constitutionality of the 2010-11 amendments to the Public School Finance Act known as the “negative factor” under Amendment 23 to the Colorado Constitution. The Unit is defending the State in this litigation.

- *Taxpayers for Public Education, et al., v. Douglas County School District RE-1, et al., and LaRue, et al., v. Colorado Board of Education, et al.* On February 28, 2013, the Court of Appeals issued a ruling in favor of the Department of Education and Douglas County School District by overturning the district court's permanent injunction against a pilot program that would allow up to 500 Douglas County public school students to attend private schools of their choice. The Court of Appeals concluded that plaintiffs lacked standing, and that the pilot program did not violate any of the Colorado constitutional provisions at issue. Plaintiffs filed petitions for writs of certiorari on April 11, 2013, and Defendants filed responses on April 19, 2013. On March 17, 2014, the Supreme Court granted the Petitions and issued a briefing schedule, but substantially reframed the issues for review. Plaintiffs/Petitioners’ filed their opening brief on May 29. The Unit will coordinate with counsel for Douglas County to defend the Department.
- *Masters, et al. v. School Dist. No. 1, et al.* On January 29, 2014, the Colorado Education Assoc. filed a class action lawsuit against Denver Public Schools and the State Board of Education challenging the “mutual consent” provision of SB 10-191 (the new educator evaluation statute), as violating Colo. Const. Art. II, sections 11 and 25. The Unit will defend the State Board.
- *Cost Recovery Efforts for Environmental Contamination on the campus of Colorado School of Mines.* Mining research projects conducted primarily by private mining interests and the Federal government at a research center on the Colorado School of Mines campus left research wastes containing radioactive materials and other metals at the Site. In the 1990s, the EPA conducted a partial clean up that proved ineffective. Cleanup efforts extended through 2012 with the total funds expended by Colorado School of Mines in cleaning up the site and pursuing recovery exceeding Seventeen Million Dollars. The State has negotiated a Consent Decree with numerous Principal Responsible Parties (PRPs) and the Federal government to recover a large proportion of the State’s unrecovered costs, which was approved by the Federal District Court in December of 2013. The School has received nearly all of the payments due under the Consent Decree. Intensive efforts to conclude negotiations with the remaining PRPs and file final suit are ongoing.

## **Public Officials**

Recent and pending Public Officials Unit litigation—These lawsuits have potentially significant public impact or have been covered extensively in the media:

- *1405 Hotels, LLC v. Colorado Economic Development Commission*. The Unit is representing the Colorado Economic Development Commission in this state court lawsuit.
- *Citizen Center v. Gessler, et al.* The Unit is representing the Secretary of State in this lawsuit, which currently is pending resolution of the appeal before the Tenth Circuit.
- *Colorado Common Cause v. Gessler*. The Unit represented the Secretary of State in this state court lawsuit.
- *Paladino v. Gessler*. The Unit is representing the Secretary of State in this state court lawsuit, and currently a petition for *writ of certiorari* is pending in the Colorado Supreme Court.
- *Coalition for Secular Government v. Gessler*. The Unit is representing the Secretary of State in this federal district court lawsuit.
- *Cooke v. Hickenlooper*. The Unit is representing the Governor in this federal district court lawsuit.
- *Rocky Mountain Gun Owners, et al. v. Hickenlooper*. The Unit is representing the Governor in this state court lawsuit.
- *Colorado Medical Society v. Hickenlooper*. The Unit is representing the Governor in this state court lawsuit, which currently is pending resolution of the appeal before the Colorado Supreme Court.
- *Jones v. Samora*. The Unit represented the Secretary of State, who participated as an *amici* in this case.
- *Recall Petition Challenges by Senators Morse and Giron*. The Unit represented the Secretary of State in these two state court actions.
- *The Colorado Libertarian Party v. Gessler – Parts I and II*. The Unit represented the Secretary of State in these two state court actions.

- *Governor Hickenlooper's Interrogatory to the Supreme Court*. The Unit represented the Governor in this state court action.
- *E.W. Scripps Company v. Suthers*. The Unit represented the Attorney General in this state court action.
- *Lower North Fork Fire Litigation*. The Unit represented the Governor and the Attorney General in this state court action.
- *Brinkman, et al. v. Long, et al.* and *McDaniel-Miccio, et al. v. Colorado, et al.* The Unit is representing the State of Colorado and the Attorney General in this state court action.
- *The Grand Old Party v. Gessler*. The unit is representing the Secretary of State in this state court action.

### **Public Utilities Commission**

a. Pending PUC litigation – The PUC Unit is defending the PUC in the lawsuits described below:

- *Boulder municipalization appeal*. In January, 2014, the City of Boulder filed for judicial review of the PUC's decision asserting jurisdiction over electricity services Boulder's utility may provide outside Boulder city limits. The decision also requires Boulder to obtain Commission approval for transfer of regulated assets from Public Service to Boulder before the city files for condemnation. Briefing in the judicial review action will conclude July 23, 2014. In violation of PUC orders, on July 17, 2014, the City filed a condemnation action to acquire regulated assets from Public Service without first obtaining Commission approval. It is possible the PUC may intervene as a party in this condemnation action.
- *American Tradition Institute v. State of Colorado*. This federal lawsuit challenges Colorado's Renewable Energy Standard under the dormant Commerce Clause of the United States Constitution. Plaintiffs seek injunctive and declaratory relief as well as damages and attorneys' fees under § 1983. The federal district court granted the state of Colorado's motion for summary judgment on all claims, dismissing the suit with prejudice. The plaintiffs have appealed to the United States Court of Appeals for the Tenth Circuit. Will Allen is heading the PUC's representation in this case.

b. Potential PUC litigation:

- *Elimination of High Cost Funding in Areas of Effective Competition.* The Commission applied the Telecom Reform Legislation and eliminated about \$2 to \$3 million of high cost support in 56 wire centers served by CenturyLink, on the basis of the Commission’s previous finding of effective competition in those areas. CenturyLink may seek judicial review contesting the Commission’s interpretation and application of the new legislation.
- *Regulation of Basic Emergency Service.* The Commission likely will conduct rulemakings to impose regulations upon all providers of basic emergency service, without regard to the technologies used. The providers deploying IP-enabled services may challenge the Commission’s authority under state and federal law to impose state regulation upon these otherwise deregulated services.

**IV) WORKLOAD MEASURE:**

**DEPARTMENT OF HUMAN SERVICES**

Workload Measure	FY 15 Actual	FY 16 Estimate
Defend the Department in litigation regarding the implementation of the Colorado Benefits Management System (CBMS).	Review and submit monthly data regarding timely processing in accordance with settlement agreement; communicate with plaintiffs’ counsel to address concerns, CBMS upgrades and processing data.	Work with the Department to address systemic issues; review monthly reports, and if necessary defend in active litigation.
Defend County confirmations of child abuse/neglect in the State database system on behalf of the Department for use in employment/background checks.	Ongoing litigation to prosecute child abuse/neglect in full evidentiary hearings before the OAC.	Aggressively prosecute child abuse cases to prevent persons who are found responsible for child abuse from working with children.

Prosecute licensing actions for the Division of Childcare	Actively litigate to revoke, suspend, and deny licenses where the facility fails to follow department rules, the licensee or employee commits child abuse, or otherwise fails to assure safe care for children.	Continue to actively prosecute child care licensing cases to assure safe childcare.
Advise the Department in rulemaking and adoption process.	Review rules and advise on authority and substantive content.	Continue advising on rulemaking.
Initiate actions to revoke, suspend, or deny substance abuse treatment licenses for the Division of Behavioral Health, and certifications for the Division of Developmental Disabilities.	Prosecute treatment agencies or certified service agencies for failures to comply with Department regulations.	Continue to represent the Divisions in licensing actions.
Defend the Department in administrative proceedings brought by recipients of Vocational Rehabilitation services or programs who were denied or reduced services.	Actively defend and, where possible, negotiate settlements for the Division of Vocational Rehabilitation.	Continue to defend the Department in these actions before the OAC. Assist the Division to improve the administrative hearing rules for these cases.
File petitions in district courts on behalf of the Division of Youth Corrections for aggravated offenders, requesting extensions of commitments, release from mittimus or vacating illegal	Represent the DYC in all post-commitment juvenile proceedings, specifically those requiring a return to court for a change in placement or status or responding to subpoenas for records.	Continue representing the DYC in juvenile cases and providing legal advice. Represent the DYC in direct file cases where the juvenile should be transferred to adult jail.

sentences. Represent the Division to transfer a youth from juvenile detention to adult jail when requested by DYC.		
Assist the Department to respond to subpoenas and open records requests.	File motions to quash or redact records as needed when responding to records requests.	Provide ongoing legal advice and representation.
Represent and advise the Regional Centers and the Disability Determination Services Division.	Provide legal advice and represent the Department when needed in court actions.	Advise and represent the Division in court proceedings, represent the Department in Imposition of Legal Disability cases, and provide legal advice as clients are transitioned to the community.

Caseload Trends:

The Human Services Unit continues to see a greater variety of cases and requests for legal advice from more divisions within the Department of Human Services.

Type of Case Status	Number of Cases	Current
All Other Cases	80 cases or issues assigned	53 currently active
Child Abuse/Neglect	51 cases received	17 active currently
Child Care Facility Licensing	40 cases received (17 were summary suspensions of the license)	23 active currently
Youth Corrections	24 cases received	All granted or pending

**HEALTH CARE**

Workload Measure	FY 14 Actual	FY 15 Estimate
<b>Department of Health Care Policy and Financing</b>		
Defend HCPF in litigation regarding the Colorado Benefits Management System.	Monitor provision of claims processing date. Respond to inquiries from plaintiffs' counsel	Anticipate that this office will continue to monitor a very complex settlement, especially after the implementation of ACA and Medicaid expansion.
Defend HCPF in numerous administrative and civil proceedings brought by recipients and providers. Pursue overpayments due from providers for amounts unlawfully paid.	95 new incoming civil cases received.	Given the substantial increase in Medicaid we anticipate increased number of provider and recipient appeals.
Recover funds expanded as a result of third parties' actions and defend challenges to automatic lien statute.	Total recovery of \$209,010.	Same.
Coordinate with HCPF's Program Integrity Unit to uncover and eliminate provider overpayments and fraud in the Medicaid program.	Prosecute and defend provider overpayment appeals at civil level. Work with agency and health care fraud task force to identify fraud in the Medicaid program.	Anticipate increase in provider appeals due to increasing Medicaid enrollment.
Advise HCPF in the rule-making and adoption process to	Continue to provide advice to Medical Services Board, attend monthly meetings,	Continue representation of MSB.

keep the state in compliance with federal and state statutes in connection with the distribution of benefits.	and review proposed regulations for compliance with state and federal law.	
Provide advice and legal opinions with regard to numerous Medicaid, health care, and CORA issues.	65 requests for general advice and legal opinions received.	Need for legal advice and opinions is expected to increase due to health care reform and expansion.

**Colorado  
Department of  
Public Health and  
Environment**

Workload Measure	FY 14 Actual	FY 15 Estimate
Actions prosecuted to revoke, suspend, or place on probationary status licenses of Emergency Service Providers.	25 new incoming matters received.	Anticipate equivalent case load.
Defend civil action, provide legal advice and opinions, and defend against subpoenas seeking confidential and/or overly burdensome requests.	200 requests received.	Same as FY 14.
Prosecute licensing actions involving Health facilities licensed under the authority of the Department.	20 new matters received.	Anticipate equivalent case load.

Provide rulemaking advice to Board of Health.	Review all rules and attend monthly Board meetings. Provide advice and legal opinions to Board.	Same as FY 14
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**EDUCATION**

*Caseload trends:*

The unit continues to average 2-3 major constitutional cases each year.

*Legislative Measures*

The General Assembly enacted numerous laws affecting the State Board of Education’s oversight responsibilities. Many will require additional rule-making, policy and procedure creation, and additional hearings and board meeting work.

Workload Measure	Unit	FY 12 Actual	FY 13 Actual	FY 14 Actual
Teacher Licensure				
Total teacher licensure matters referred	# of matters	89	117	80
Settlements obtained	# of settlements	14	15	15
Closed cases/matters	# of closed matters	30	62	55
Actions Filed/matters referred for litigation	# of cases	36	36	27
Cases/matters carried over to next FY	# of matters	9	56	59

*Objective:* To support the Department of Education and the State Board of Education in protecting school children from teachers who have violated State standards.

*Strategy:* Provide timely legal services to the clients on all matters referred; closely track case status to assure timeliness; solicit and respond to oral and written client feedback to maintain client satisfaction.

*Evaluation of Prior Year Performance;* The Unit avoided case backlogs and worked collaboratively with the client to achieve its objective of protecting students from substandard teachers.

*Key Workload Indicators:* Case status tracking numbers, client satisfaction surveys and ongoing communication to address client concerns.

**PUBLIC UTILITIES COMMISSION**

Workload Measure	FY 13 Actual	FY 2014 Estimate
<p>Providing legal advice and writing decisions in the major matters listed above and the multiple smaller matters that come before the PUC each week; representing the PUC in judicial review of PUC decisions.</p>	<p>The PUC Unit operated at a rate of about 150 hours per month for each of the Unit’s 3 attorneys.</p>	<p>The unit is hiring a 4th attorney to handle the increased workload resulting from the telecommunications and trans legislation. We estimate that, absent an unforeseen circumstance, the Unit should bill at a rate of 150 hours per month, or 1800 hours per year, for each of the 4 Unit attorneys.</p>
<p>The PUC Unit also advises the Governor’s Office on utility matters.</p>	<p>We estimate that between 50 and 100 hours were spent advising the Governor’s Office on legislation addressing energy and telecommunications matters.</p>	<p>We estimate that at least as many hours will be billed advising the Governor’s Office on legislative matters and perhaps defending legislation in state or federal court.</p>

**PUBLIC OFFICIALS**

<b>Workload Measure</b>	<b>FY 13-14 Actual</b>	<b>FY 2014-15 Current</b>	<b>FY 15-16 Estimate</b>
Providing general counsel and advice on a variety of legal matters, representing clients in contested litigation in both state and federal courts, and handling state and federal court appellate matters for clients.	During Fiscal Year 2013-2014, the Public Officials Unit operated at a rate of over 150 hours per month for each of the Unit's 4 attorneys. All 4 attorneys exceeded the 1800 billable hour requirement for the year.	For Fiscal Year 2014-2015 to date, each of the Public Officials Unit attorneys is on track to operate at a rate of at least 150 hours per month when adjusted for approved annual leave usage. The Unit anticipates adding a 5th attorney in August 2014.	We estimate that, absent unforeseen circumstances, the Unit should bill at a rate of at least 150 hours per month, or 1800 hours per year, for each of the Unit's 5 attorneys during Fiscal Year 2015-2016.

**LABOR/PERSONNEL AND ADMINISTRATION**

**DEPARTMENT OF LABOR AND EMPLOYMENT,  
DIVISION OF WORKERS COMPENSATION**

**1. Worker's Compensation Enforcement**

Objective: Pursue statutory fines against employers that fail to have WC insurance for their employees. In the event that employers continue to fail to obtain or maintain WC insurance coverage, the Division seeks to either bring the employer into compliance or close the business.

<b>Workload Measure</b>	<b>Unit</b>	<b>FY 13 Actual</b>	<b>FY 14 Actual</b>	<b>FY15 Estimate</b>
Total number of new cases in Unit		197	<b>193</b>	175 to 200
WC cases		54	<b>62</b>	75 to 100 cases
WC settlements		43	<b>48</b>	30 to 40

WC fines imposed		\$1.4M	<b>\$130K</b>	\$200K
WC fines sent to collections		\$1.3M	<b>\$117K</b>	\$150K

## 2. ICAO Appeals

The Industrial Claims Appeals Office (ICAO) serves as the first appellate level for appeals in Worker’s Compensation and Unemployment Insurance cases. If an ICAO decision is appealed, that appeal is filed with the Colorado Court of Appeals. Further appeal is available in the Colorado Supreme Court. The ICAO selects cases that effect the overall administration of the WC or UI systems, and not just whether benefits were granted in a particular case.

Workload Measure	Unit	FY 13 Actual	FY 14 Actual	FY15 Estimate
Total number of cases		28	<b>24</b>	30 cases
Appellate briefs		19	<b>6</b>	30 briefs
Oral arguments		5	<b>3</b>	7 oral arguments

## 3. Division of Oil and Public Safety

The Division of Oil and Public Safety (OPS) has several statutory duties including oversight of petroleum storage tanks, amusement rides, boiler inspection program, and the elevator, escalator, and other public conveyances program. OPS is in the process of streamlining the administration of UST program claims with the goal of reducing the number of hearings and appeals.

Workload Measure	Unit	FY 13 Actual	FY 14 Actual	FY15 Estimate
New OPS cases		25	<b>16</b>	20 to 30 new cases
Successfully closed or dismissed cases		34	<b>17</b>	20 cases
OPS settlements		15	<b>16</b>	15 settlements



# Strategic Plan FY 15-16

## Business & Licensing Section

### Department of Law

**MISSION:** The Business and Licensing Section’s mission is to provide the highest quality legal representation to regulatory boards, commissions, programs and governmental agencies.

**Objective 1:** Business and Licensing’s (“B&L”) attorneys shall serve as general counsel to the following clients to effectuate the shared goal of public protection: Department of Regulatory Agencies, Department of Agriculture, and the Independent Ethics Commission. B&L also serves as special counsel or conflicts counsel to other state agencies.

- Goal 1: Attorneys shall provide timely, accurate and responsive legal advice to the agencies and boards.
- Goal 2: Attorneys for all units shall identify general counsel and litigation needs of the agencies and boards and communicate those needs to supervisors and clients.
- Goal 3: Attorneys for all units shall assist in rulemaking as needed or requested by the agencies.
- Goal 4: When appropriate to the agency, attorneys shall develop and maintain internal tracking systems for cases, informal attorney general opinions, rulemaking, and legislation affecting the agencies.
- Goal 5: Attorneys shall provide aggressive and effective legal representation of the board or other clients on all appeals of board or agency decisions.

**Objective 2:** The Assistant Attorneys General (“AAGs”) within B&L shall develop subject matter expertise on issues relevant to the clients they represent.

- Goal 1: The Section shall continue to implement the training protocols for new attorneys by pairing each new attorney with a First Assistant or mentor and by holding section-wide practice improvement sessions.
- Goal 2: The Section shall maintain competence of all attorneys by participating in the evaluation process and the goal planning process with supervisors.
- Goal 3: The attorneys shall attend Continuing Legal Education programs and other training relevant to their practice.
- Goal 4: Supervisors shall improve legal advice to all agencies by identifying areas of law and practice where section wide consistency can be achieved, and shall implement tools to address consistency.

**Objective 3:** The section shall effectuate the client’s goals through effective litigation by initiating actions in administrative court, State District Court, courts of appeal and other courts as necessary.

- Goal 1: Attorneys shall effectively and efficiently litigate cases referred to the AGO.

- Goal 2: Supervisors and team leaders shall provide an educational environment in which attorneys and staff may increase their substantive knowledge of the subject matter and develop litigation skills.
- Goal 3: All units shall have policies in place to assure early attorney review and development of case strategies appropriate for all referred cases.
- Goal 4: All units shall identify backlogs and have plans in place to effectively manage backlogs.
- Goal 5: Units shall work with clients to continually educate investigators and staff regarding their role in managing evidence, affidavits and documentation supporting the legal elements that must be proved at hearing.

**Objective 4:** The B&L attorneys shall provide effective conflicts counsel to agencies as assigned.

- Goal 1: Attorneys shall effectively advise the State Personnel Board in its adjudicatory role in actions that come before the Board, and provide rulemaking support and other advice as required.
- Goal 2: Attorneys shall effectively advise the Civil Rights Commission in its adjudicatory role in actions that come before the commission, and provide rulemaking support and other advice as required.
- Goal 3: Attorneys shall effectively advise the Mined Land Reclamation Board in its adjudicatory role in actions that come before the Board.

## **I) BACKGROUND INFORMATION: BUSINESS AND LICENSING SECTION**

### **The Department of Regulatory Agencies**

The Department of Regulatory Agencies (“DORA”) houses several divisions. The AAGs enforce compliance with the law by prosecuting disciplinary actions against licensed professionals, by representing the programs in licensure denial actions, by litigating civil enforcement and subpoena enforcement actions, and by litigating injunctive actions. In addition to prosecuting individual cases, the attorneys provide general counsel advice regarding legislative and policy matters, rulemaking and case analysis. Examples include:

**Division of Professions and Occupations:** B&L AAGs provide legal representation to regulatory boards, committees and programs within the Division of Professions and Occupations, the largest division within DORA. The professions regulated include accountants, acupuncturists, addiction counselors, architects, athletic trainers, barbers, cosmetologists, chiropractors, dentists, dental hygienists, electricians, engineers, land surveyors, landscape architects, hearing aid dealers, massage therapists, marriage and family therapists, direct-entry midwives, nurses, nurse aides, nursing home administrators, occupational therapists, optometrists, outfitters, passenger tramways, physicians, physician assistants, anesthesiologist assistants, physical therapists, plumbers, podiatrists, pharmacists, private investigators, professional counselors, psychologists, respiratory therapists, social workers, speech pathologists, registered psychotherapists, surgical assistants, surgical technologists, the nurse-physician advisory task force for Colorado health care (“NPATCH”), veterinarians and the naturopathic doctors licensure program. B&L AAGs also provide representation to the Division

of Professions and Occupations in carrying out its oversight role for the Michael Skolnik Medical Transparency Act of 2010.

**Division of Real Estate:** The AAGs representing the Division of Real Estate provide legal counsel to the Colorado Real Estate Commission, the Board of Real Estate Appraisers, the Mortgage Loan Originator Board, and the Colorado Conservation Easement Oversight Commission. Unit attorneys provide each of these boards and commissions general counsel services. Unit attorneys also litigate on behalf of these clients in both state and administrative courts.

**Division of Insurance:** AAGs representing the Division of Insurance act as general and litigation counsel to the commissioner of insurance and various sections of the Division of Insurance (“Division”). The Division regulates all matters regarding the business of insurance in Colorado, including health care insurance, health maintenance organizations, long-term care, Medicare supplement insurance, life insurance, and property and casualty insurance (automobile and homeowners insurance). The Division also regulates public adjusters, preneed funeral contracts, commercial bail bonding and all unauthorized activities determined to be the business of insurance. Unit attorneys assist and advise the Division on a wide variety of subject areas related to the regulation of insurance companies and their agents (insurance producers), including rulemaking, changes and amendments to the insurance laws, informal attorney general opinions of legal issues pertaining to insurance, open records requests, and consumer complaints. Unit attorneys continue to assist the Division with new developments in the law regarding health insurance and significant changes in the laws regarding commercial bail bonding and public adjusters . Litigation is conducted in administrative and district courts on behalf of the DOI against insurance companies and producers in cases involving insurance law violations and/or the unauthorized business of insurance. Unit attorneys also represent the Division in all matters involving third party litigation.

**Division of Securities:** AAGs representing the Division of Securities assist the client in the regulation of securities, broker-dealers, sales representatives, investment advisers, and investment adviser representatives and other related entities. Unit attorneys conduct litigation on behalf of the Division of Securities in administrative and district court against individuals, companies, and investment funds or entities that operate in violation of the Colorado Securities Act.

**Division of Banking:** The Division of Banking regulates state-chartered commercial banks, trust companies, and money transmitters. The AAGs provide legal representation and advice to the Board and the Division of Banking on such issues as involuntary liquidations of banks, use of name, restructurings such as mergers, changes-of-control, and purchases and assumptions of assets, and consolidation applications, and general legal advice including rulemaking.

**Division of Financial Services:** AAGs representing the Colorado Financial Services Board assist the client in the regulation of life care institutions, state-chartered credit unions, and state-chartered savings and loan associations.

## **Independent Ethics Commission**

The AAGs representing the Independent Ethics Commission assist the Commission in carrying out its constitutional and statutory duties through the Commission's issuance of Position Statements, Advisory Opinions and Letter Rulings relating to standards of conduct for government officials. AAGs also help the Commission in its constitutional duty to investigate and hold hearings on ethical complaints.

## **Department of Agriculture**

The Business and Licensing Section provides general and litigation counsel to the Colorado Department of Agriculture and the Commissioner of Agriculture. This representation is provided by two full-time AAGs who represent and provide legal advice to the various divisions within the department including: the Animals Division, the Colorado State Board of Livestock Inspection, the Conservation Services Division, the Inspection and Consumer Services Division, the Plants Division, and the Markets Division. The AAGs also represent and advise the Colorado State Fair and several other marketing-related boards associated with the department. The AAGs assist the department in dealing with a myriad of complicated legal issues on a daily basis from livestock disease emergency quarantines, takings and destructions, to regulation of pesticide use, licensing of commodity handlers, certification of organic producers, and prevention of plant diseases, many of which involve complex interactions between state and federal law. The AAGs also assist with rulemaking, drafting of proposed legislation and the sunset review process.

## **Mined Land Reclamation Board**

The Business and Licensing Section provides a General Counsel position that serves as permanent conflicts counsel to the Colorado Mined Land Reclamation Board ("MLRB"), a multi-interest citizen board that establishes the regulations, standards, and policies that guide the Division of Reclamation, Mining and Safety ("DRMS"). The MLRB implements the Colorado Mined Land Reclamation Act, the Colorado Land Reclamation Act for the Extraction of Construction Materials, and the Colorado Surface Coal Mining Reclamation Act. The MLRB is actively involved in the decision-making process for controversial permit issuance and enforcement actions.

## **Other Conflicts Counsel Clients**

Business and Licensing also represents the Civil Rights Commission and the State Personnel Board. The Civil Rights Commission is a seven-member commission appointed by the Governor for four-year terms. The State Personnel Board includes five members serving five-year terms, three of whom are appointed by the Governor and two of whom are elected by members of the state personnel system.

## **II) PRIOR YEAR LEGISLATION:**

### **Department of Regulatory Agencies**

**Division of Professions and Occupations:** A number of programs underwent sunset review or were modified by legislation.

The General Assembly passed HB 14-1283 modifying the Electronic Prescription Drug Monitoring Program (“PDMP”) found in C.R.S. 12-42.5-402. The changes include requiring prescribing practitioners and pharmacists to register and create user accounts with the program, allowing a prescribing practitioner or a pharmacist to delegate authority to access the database to up to three designees who must be registered with the program, allowing the departments of public health and environment and health care policy and financing to access the database for public health purposes and Medicaid patient care coordination, respectively, and expanding law enforcement access to include data for individual pharmacies.

The General Assembly passed HB 14-0227 continuing the Colorado Dental Board. This Sunset legislation changed the name of the Dental Board from the Colorado Board of Dental Examiners to the Colorado Dental Board, and made significant changes to the practice act.

The General Assembly passed HB 14-0797 authorizing provisional licensure for physical therapists.

The General Assembly passed HB 14-1181 continuing the Nurse Physician Advisory Task for Colorado Health Care (“NPATCH”), found in C.R.S. 24-34-109.

House Bill 14-1387 exempts the University of Colorado at Boulder and the Colorado State University Fort Collins campus from the statutory requirements to obtain a state permit and obtain state inspections on electrical and plumbing work. Under the signed bill, inspectors employed by those institutions of higher education are authorized to inspect electrical and plumbing work on those campuses.

**Division of Real Estate:** Beginning July 1, 2015, all persons who manage the affairs of common interest communities must be licensed and regulated by the Director of the Division of Real Estate as a result of HB 13-1277. That bill sets forth the requirements for licensure as well as the grounds pursuant to which the Director may take disciplinary action against such a license. HB 13-1277 also provides that any action by the Director denying, revoking or suspending a license will be conducted according to the Colorado Administrative Procedures Act. This year, the General Assembly passed legislation, S.B. 14-117, which reauthorized the regulation of appraisers by the Board of Real Estate Appraisers through a recreation and reenactment of relevant statutes. This bill created no new substantive amendments.

**Department of Agriculture:** PACFA, the Pet Animal Care and Facilities Act, underwent its sunset review in 2013-2014, its first in five years (HB 14-1270). The Department’s AAG assisted with language drafting, responses to industry groups, and advisement on the effects of proposed language changes.

### **III) HOT ISSUES:**

#### **Department of Regulatory Agencies**

##### **Division of Professions and Occupations (previously known as “Division of Registrations”):**

Examples of cases handled by the attorneys representing the various boards, committees and programs within the Division of Professions and Occupations include:

**Board of Registered Psychotherapists v. Alex Panio / Board of Addiction Counselor Examiners and Board of Professional Counselor Examiners v. Whitney Harrah.** Alex Panio was the founder and president of the Adolescent and Family Institute of Colorado (“AFIC”) and Whitney Harrah was the clinical director of the facility in 2011. The boards brought a consolidated action to (A) block Panio from engaging in unregistered practice in psychotherapy, and (B) discipline Harrah for her conduct in facilitating Panio’s unregistered practice of psychotherapy. The unregistered practice stemmed from Panio’s treatment of an adolescent and her family dealing with issues of drug and alcohol addiction and abuse. A trial was held before the Office of Administrative Courts where the Administrative Law Judge found in favor of the boards and against both respondents. The Administrative Law Judge recommended sanctions against Panio for his unlicensed practice, and recommended that Ms. Harrah’s licenses be suspended for 18 months. The matter is currently in the exceptions process.

**Office of Massage Therapy Registration v. Stanley McFall.** Stanley McFall was a registered massage therapist in Pueblo, Colorado. While providing massage services to a client, it was alleged that Mr. McFall repeatedly exposed and inappropriately touched the client in a sexual way. A trial was held before the Office of Administrative Courts where the Administrative Law Judge found in favor of Office of Massage Therapy, recommending revocation of Mr. McFall’s registration. No exceptions were filed, and the matter is currently awaiting final agency action.

**Colorado Medical Board v. Office of Administrative Courts:** In a license denial action, and over the Medical Board’s objection, an Administrative Law Judge (ALJ) ordered the Medical Board to produce Confidential Letters of Concern (LOC) issued in the last five years which involved matters similar to those which formed the basis for the Board’s denial of license to an applicant. The Board interprets its organic statute, however, to protect LOCs from subpoena or discovery by the statutory peer review privilege in Section 12-36.5-104(10)(a), C.R.S.

Pursuant to procedures set forth in the Administrative Procedure Act, the Board petitioned the district court for relief from the ALJ’s order. The district court held that the peer review privilege stating that Board records were “not subject to subpoena or discovery and not admissible in any civil suit,” did not protect the Board records in an administrative proceeding. The district court interpreted the statute to protect such records from subpoena or discovery only in “civil suits” and the administrative license denial action was not one of those actions.

The Board thereafter petitioned the Colorado Supreme Court directly, seeking review of the district court order under Appellate Rule 21, which allows the Supreme Court to exercise discretionary review if relief through a normal appeal process would be inadequate and the issue is one of public concern. The Supreme Court opted to entertain the Board’s petition. After briefing and oral argument, in its opinion announced on June 23, 2014, the Court agreed with the

Board that the peer review privilege prohibits two separate types of action: (1) the subpoena or discovery of protected materials; and (2) the admissibility of such material in civil suits. The Supreme Court agreed with the Board that the term “civil suits” includes the Board’s adjudicatory administrative proceedings.

The case is a significant victory for the Medical Board and physicians who rely on the confidentiality of Letters of Concern in negotiating and resolving Board cases. The opinion also assures that all Board investigatory records, not just LOCs, are protected from subpoena or discovery with limited exceptions spelled out in the law.

**Colorado Medical Board v. Warren Kortz, M.D.:** Board AAGs filed a formal complaint at the Office of Administrative Courts alleging Dr. Kortz provided substandard care or failed to document portions of his care to numerous patients. After the filing and as a result of public notice generated by the filing, the Board received numerous additional patient complaints. The Board ultimately pursued discipline for Dr. Kortz’s care of surgical patients which fell below generally accepted standards of medical practice on the basis of intraoperative decision-making, intraoperative technical skills, patient selection, informed consent and post-operative care. Some of the decisions regarding inadequate informed consent involved choice of procedure, including the use of robotically-assisted surgery. During litigation but prior to trial, Dr. Kortz agreed to resolve the case through a settlement. Board AAGs secured public discipline including a permanent practice restriction that allows Dr. Kortz to practice only administrative medicine. Under the stipulation, Dr. Kortz shall not have patient contact or perform any act that requires the exercise of discretion in the prospective authorization of medical care.

### **Enforcement of Medical Practice Act Regarding Provider Conduct in Medical Marijuana Recommendations:**

AAGs had numerous opportunities to assist the Medical Board in enforcing Medical Practice Act requirements with respect to physicians and physician assistants for their roles in improper recommendations of medical marijuana. The Medical Board pursued violations of its practice act against multiple respondents for failing to provide care that met generally accepted standards of medical practice or for failing to conform to the requirements of the constitutional amendment and interpreting statutes authorizing medical marijuana. Examples include:

- **Dr. Lenny Sujdak:** A Board AAG pursued discipline against this physician for violating standard of care as well as failing to comply with requirements in health department statute and rules. Dr. Sujdak pre-signed Medical Marijuana Physician Certification forms, which he then provided to mid-level practitioners as part of an attempt to delegate the performance of medical marijuana evaluations. This action is contrary to the medical marijuana provision of the Colorado Constitution and state statutes regarding medical marijuana, which require that a physician establish a bona fide physician-patient relationship and perform medical marijuana evaluations. The Board concluded that Dr. Sujdak’s actions fell below the standard of care. Further, Dr. Sujdak did not appropriately supervise the mid-level practitioners. Dr. Sujdak’s mid-level practitioners certified that patients had chronic or debilitating diseases or medical conditions but failed to perform legitimate medical examinations to reach their determinations. On behalf of the Board, the AAG was able to secure public discipline including a letter of admonition, a requirement for completion of prescribing and ethics courses, and a five year probationary period during which Dr. Sujdak may not perform medical marijuana recommendations and may not delegate medical services to mid-level practitioners.

- Anita Martinez, PA: This physician assistant worked under the supervision of a physician who performed medical marijuana evaluations. The physician attempted to delegate additional evaluations to this physician assistant, who thereafter performed numerous medical marijuana evaluations. The Board's AAG on this matter secured public discipline in the form of a Stipulation and Final Agency Order that includes a letter of admonition, a requirement for prescribing and ethics courses, and a probationary period. During probation, Ms. Martinez must have an on-site supervisor. Ms. Martinez agreed that she may not perform evaluations for medical marijuana.
- Dr. Dallas Williams: Dr. Williams was found guilty after a jury trial in Larimer County District Court of a class four felony for attempting to influence a public servant. The conduct forming the basis of the conviction involved an evaluation for medical marijuana certification in which Dr. Williams did not establish a bona fide physician-patient relationship with the individual who presented for a certification. Although the individual did not have or report a debilitating disease or medical condition that would otherwise qualify him for medical marijuana certification, Dr. Williams provided him with a completed physician certification form. The Board's AAG successfully negotiated a Stipulation and Final Agency Order in which Dr. Williams agreed to permanently relinquish and retire his medical license.
- Dr. Joseph Montante: A Board AAG filed a formal complaint at the OAC against Dr. Montante, who had been performing MMJ evaluations and recommendations, after he was convicted of a felony offense for attempting to influence a public servant. Patient A presented to Dr. Montante for evaluation for medical marijuana certification. Patient A did not have or report a chronic or debilitating disease or medical condition that would otherwise qualify him for medical marijuana certification, but Dr. Montante proceeded to provide him with a completed physician certification form. On behalf of the Board, the AAG successfully obtained revocation of the physician's license.

**Paul C. Doran, D.M.D.:** On February 4, 2014, Dr. Doran entered into a Stipulation and Final Agency Order with admissions regarding his prescribing and self-prescribing controlled substances and other medications without documenting clinical justification, in violation of the Board Rule, and outside the scope of dentistry. On the eve of hearing, Dr. Doran permanently relinquished his license.

**Kelly B. Wettstein, D.M.D.:** Effective February 22, 2013, Dr. Wettstein entered into an agreement to cease practice pending final resolution of the Board case. On October 25, 2013, Dr. Wettstein and the Board entered into a Stipulation and Final Agency Order with admissions regarding billing for services not provided, substandard infection control practices, substandard patient care, and unlawful ownership of his dental practice. Disciplinary terms included indefinite suspension conditional upon ethics and documentation courses; practice restriction on ownership of a dental practice; practice restriction on employing family members; probation and practice monitoring; 58 hours continuing education; and an automatic revocation upon further violation of the practice act.

**State Board of Nursing v. Witt, APN, CRNA, R.N.:** Ms. Witt reported to work as a Certified Registered Nurse Anesthetist ("CRNA"). She was found on the floor of the women's locker room. She was taken to the emergency room, where her BAC was found to be 0.397. Ms. Witt stipulated to a voluntary relinquishment of her multistate license privilege to practice as a nurse in Colorado, and withdrew her name from the Board's Advanced Practice Registry.

**State Board of Nursing v. Niell, L.P.N.:** Ms. Niell accessed thousands of patient records without authority and for no legitimate business purpose. Ultimately, Ms. Niell pled guilty to a felony and misdemeanor for her conduct. Respondent relinquished her nursing license.

**State Board of Nursing v. Sekich, C.N.A.:** After being convicted of a criminal felony and misdemeanor in Boulder County District Court, Ms. Sekich, a CNA, sought collateral relief from the District Court Judge pursuant to § 18-1.3-213, C.R.S. This statute, the result of statutory changes contained within SB 13-123 and effective May 2013, allows a licensee to seek collateral relief from a criminal conviction. The District Court Judge can order relief, preventing a licensing board from revoking a license based on the criminal conviction. (A felony conviction forms the basis for the Board of Nursing to discipline a licensee. An Order of Collateral Relief would preclude the Board of Nursing from taking action based on the felony conviction). This case was the first case in the Business and Licensing Section applying this statute. The Board, through counsel, filed an objection to the Application for Collateral Relief, arguing that the agency should be given deference in making disciplinary or licensing decisions based on criminal convictions. The Court issued an Order denying Ms. Sekich's application for collateral relief, agreeing that the Board is in a better position to determine the matter.

**State Board of Nursing v. Nieto, C.N.A.:** Mr. Nieto was arrested and charged with two counts of Sexual Assault (class 4 felonies), two counts of Crimes Against At-Risk Adult (class 2 felonies), and two counts of Sexual Assault- Victim Incapable of Appraising Condition (class 4 felonies) in Broomfield County District Court, for conduct that occurred at his place of employment while Mr. Nieto worked as a CNA. Mr. Nieto was summarily suspended. Counsel for the Board filed charges against Mr. Nieto in this case. His certificate to practice as a nurse aide was revoked by default.

**The Director for the Division of Professions and Occupations, Direct-Entry Midwives Program ("DEM") v. Durbin:** Ms. Durbin provided direct-entry midwifery care to two different patients at issue in this case. The care provided was in violation of the DEM practice act. For Patient A, she failed to refer her patient to a qualified practitioner for a suspected breech position before and during labor, falsified, failed to make essential entries, or in a negligent manner made incorrect entries regarding the baby's position in the patient records. For Patient B, she failed to properly evaluate the progress of labor, to transport Patient B after labor failed to progress appropriately, and failed to appropriately manage the baby's shoulder impaction during labor. The Director referred Ms. Durbin for a summary suspension. Ms. Durbin promptly signed an Interim Cessation of Practice Agreement in lieu of Summary Suspension and ultimately Ms. Durbin permanently relinquished her direct-entry midwife license in December 2013.

**Board of Licensure for Architects, Professional Engineers, and Professional Land Surveyors v. H. Gary Howell:** The Board of Licensure for Architects, Professional Engineers, and Professional Land Surveyors initiated disciplinary proceedings against professional engineer Gary Howell. The Board alleged that Mr. Howell failed to meet generally accepted engineering practice standards; failed to protect public safety, health, property, and welfare; and failed to exercise appropriate skill, care, and judgment in the application of building codes in his structural engineering work on the Meeker Elementary School. The school was closed pending repair of the structural issues. The Board sought a two-year suspension of Mr. Howell's license

and other discipline. Several weeks before the hearing, Mr. Howell agreed to voluntarily relinquish his license.

**Division of Real Estate:** Examples of cases handled by the AAG's representing the Division of Real Estate and programs within the Division include:

**Colorado Real Estate Commission v. Bernard McDonnell:** The Real Estate Commission referred a complaint against Bernard McDonnell, a licensed real estate broker, to the OAG after an investigation revealed that Mr. McDonnell took \$10,000 from his HOA without authorization while he was acting as its president. Ultimately Mr. McDonnell returned the funds to the HOA, most of which was returned when he resigned as its president. Mr. McDonnell argued, among other things, that the Real Estate Commission lacked the power to sanction his conduct because he was not acting as a real estate broker while serving as the HOA president. A hearing was held at the Office of Administrative Courts, following which the Court determined that the Real Estate Commission had jurisdiction over Mr. McDonnell's conduct, that Mr. McDonnell had converted the funds from the HOA, and that Mr. McDonnell engaged in dishonest dealing. The Administrative Law Judge issued an Initial Decision recommending that Mr. McDonnell's real estate broker's license be placed on probationary status for three years, he be publicly censured, he be required to complete six hours of ethics coursework, and the imposition of a fine of \$5,000. The Commission issued a Final Agency Order upholding the Initial Decision.

**Colorado Real Estate Commission v. Steve Swenson:** Mr. Swenson was a licensed real estate broker who owned H&S Telluride Ventures, Inc., a licensed real estate brokerage that provided rental property management services in Colorado. A routine, on-site audit of H&S financial records conducted by DORA investigators found that the company was missing around \$60-\$100,000 of client/tenant security deposits. After negotiations, Mr. Swenson agreed to a settlement where his real estate broker license and the H&S Telluride Ventures' real estate brokerage license were revoked. He also admitted to five violations of real estate broker licensing law, including converting funds of others without proper authorization, dishonest dealing, and demonstrating unworthiness or incompetency to act as a real estate broker. Mr. Swenson was censured publicly, required to notify his clients of his unlawful behavior, and will pay a \$10,000 fine upon any future application for a real estate broker/brokerage license. Criminal charges are also pending in this matter.

**Colorado Real Estate Commission v. Miguel A. Silva:** Mr. Silva, a licensed real estate broker, unlawfully entered a home that was owned by two active duty soldiers in the United States Army while they were on temporary deployment to Fort Drum, New York. Mr. Silva not only unlawfully gained access into the home; he also donated all of the homeowners' furniture, household items, and personal belongings to the Salvation Army without the homeowners' permission or knowledge. Mr. Silva thereafter leased the property without authorization and collected money from unwitting tenants. Mr. Silva currently is facing several felony charges in El Paso County arising out of the same set of facts. Due to Mr. Silva's ambiguous and not fully responsive discovery, the Administrative Law Judge barred Mr. Silva from presenting any defense that he was authorized to lease the soldiers' home and ordered that the Colorado Real Estate Commission be awarded its costs and attorneys' fees related to the discovery violations. Thereafter, Mr. Silva agreed to the revocation of his license, admissions to eight violations of real estate licensing law, and a \$17,500 stayed fine to be paid if Mr. Silva ever

applies for any license regulated by any of the boards or commissions housed within the Division of Real Estate. Mr. Silva also paid \$2,500 to the Division of Real Estate for attorney's fees related to the discovery matter.

**Colorado Real Estate Commission v. Gregory Geller:** Mr. Geller is a licensed real estate broker and owner of Vision Real Estate, a highly visible real estate brokerage firm in the Denver area. Mr. Geller pursued real estate "investments" as part of his business and created a niche marketing to—and purchasing properties from—senior citizens who desired a quick sales transaction with little to no hassle. While acting as a purchaser, Mr. Geller often marketed his status as a licensed real estate broker to build trust with seniors and to convince them that he knew the market, was offering them a good deal, and could handle all of the cumbersome paperwork. Mr. Geller's business model involved getting a seller (often senior) quickly under contract for a below market value and then, unbeknownst to the seller, he immediately assigned his buyer rights to a third party investor who sought to renovate the property in exchange for a significant referral fee payment to Mr. Geller. Unfortunately, the complainant in this case suffered from early Alzheimer's and had little recourse once locked into the buy-and-sell contract and his family discovered that "good deal" actually meant an offer far below market value.

Interestingly, during the course of litigation, Mr. Geller paid \$20,000 to the complainant in an attempt to make the matter go away. Ultimately, Mr. Geller agreed to a settlement which included a license downgrade, admissions to two violations of the real estate broker license law, a \$14,000 fine, a public censure, and coursework in ethics, contracts, and brokerage relationships.

**Colorado Real Estate Commission v. Steven Mast:** Licensed real estate broker Steven Mast represented the buyer in a contract to purchase a home. The contract required the seller to provide the buyer a Seller's Property Disclosure disclosing any potential defect of which the seller was aware. However, the seller never provided the disclosure and Mast never followed up to obtain it. Mast also never informed the buyer of his right to terminate the contract due to the seller's failure to provide the disclosure. The disclosure was critical here because the buyer elected to self-inspect the home instead of hiring a professional. The buyer discovered significant problems with the foundation and roof after closing, which led to cancellation of his homeowner's insurance. The cost to repair the home was more than the buyer could afford. He was forced to move out and may have to allow the home to go into foreclosure. During the investigation, a copy of the disclosure was discovered disclosing the problems with the foundation and the roof. Buyer indicated that he would not have purchased the home if he had seen the disclosure. Mr. Mast ultimately admitted to two violations of real estate licensing law. He agreed to permanently surrender his real estate broker license and pay a fine of \$4,500.00.

**Division of Insurance:** Significant cases handled by the Insurance Unit include:

**J. Brown** - Bail bondsman J. Brown had a history of consumer complaints dating back to 1999 and his bail bonding agent license was placed on a probationary status in 2006. Despite being on probation, Brown continued to violate Colorado law and additional consumer complaints were received by the Division. The Division filed a Notice of Charges at the Office of Administrative Courts seeking revocation of Mr. Brown's bail bonding license for failure to timely post bail bonds, collection of premium for bail bonds posted by other bail bondsmen, failure to return

money to consumers after not posting bonds and various violations of the terms and conditions of his probation. The Division obtained summary judgment on several of the counts in the NOC. An additional consumer complaint for misappropriating \$30,000 resulted in a second case being filed by the Division in which the Division summarily suspended Mr. Brown's bail bonding agent license. Four days prior to a hearing on the summary suspension case the Division and Mr. Brown entered into a settlement agreement involving both cases whereby Mr. Brown admitted his wrongdoing, agreed to pay restitution to consumers, was assessed a \$300,000 civil penalty and agreed never to apply for another insurance producer license in Colorado.

**B. Winterton** - In July of 2013, the Division entered into a stipulation with B. Winterton, a Utah insurance agent, to resolve a case filed against Winterton by the Division at the Office of Administrative Courts. The case against Winterton was initiated when a former Winterton employee advised the Division that Winterton had been selling insurance in Colorado without a license. The Division's investigation revealed that Winterton had solicited or sold 105 insurance policies in Colorado without a non-resident insurance producer license. In the settlement agreement, Winterton admitted that he violated Colorado law by engaging in 105 transactions in Colorado without a non-resident insurance producer license. Winterton agreed to pay a civil penalty of \$315,000 (\$3000 for each of the 105 illegal insurance transactions) and to not apply for an insurance producer license in Colorado for at least five years

**J. Johnson** - In late 2011, J. Johnson submitted 16 insurance policy applications to Farmers Insurance Company falsely representing to Farmers that he had collected the initial premium due on each policy so that he could collect advance commissions in excess of \$38,000. The Division's investigation of Johnson also revealed that Johnson had misappropriated insurance premiums that several small business owners and individuals had paid to Johnson for insurance. The Division filed a Notice of Charges at the Office of Administrative Courts and the case proceeded to mediation that resulted in Johnson admitting the law violations, agreeing to pay restitution and a civil penalty of approximately \$88,000. An additional \$178,000 in civil penalties was assessed but stayed pending Johnson's completion of all the other terms and conditions of the settlement

**C. Wilson** - The Division filed a Notice of Charges against C. Wilson at the Office of Administrative Courts alleging that his insurance producer license should be revoked for failing to pay state income taxes in excess of \$25,000 and for demonstrating financial irresponsibility in failing to pay his taxes as well as failing to pay an outstanding judgment against Wilson in the amount of \$71,000 owed to Wells Fargo for a line of credit he had obtained for his insurance business. The Division prevailed on summary judgment and the matter was set for a sanctions hearing. The ALJ ruled in the Division's favor, revoking Mr. Wilson's license.

**S. McDaniel** - In 2012, S. McDaniel applied for a resident insurance producer license with life authority. The Division denied McDaniel's license application based on his admissions to fiduciary violations while previously licensed as a Real Estate Broker as detailed in a Stipulation and Final Agency Order that he entered into with the Colorado Real Estate Commission. McDaniel's fiduciary violations as a Real Estate Broker included: (1) failing to account for or timely remit money in his possession belonging to others; (2) commingling funds; and (3) failing to keep money belonging to others in a trust, escrow, or other account separate from his own. McDaniel requested a hearing on the license denial and the Division filed Notice of Grounds for

Denial with the Office of Administrative Courts. The case did not proceed to hearing and McDaniel entered into a settlement agreement whereby McDaniel admitted that the Division's denial of his license application was proper and that his fiduciary violations as a Real Estate Broker constituted violations of Colorado insurance laws (demonstrating financial irresponsibility and failure to fully meet the licensing requirements). McDaniel agreed to pay a civil penalty in the amount of \$3,000 for his violations and to not apply for an insurance producer license for one year.

**First American Title** - After more than a year and a half of contentious litigation in Denver District Court and the Court of Appeals, the Division reached a settlement with First American Title Insurance Company (FATIC) that required FATIC to pay a \$177,500.00 civil penalty. The main point of contention between the Division and FATIC centered on FATIC's claim that it was not responsible for any violations committed by its appointed agents. The litigation arose out of a Market Conduct Examination (MCE) in which the Division examined the business practices of FATIC for compliance with the state's insurance laws and regulations and found numerous violations. The settlement resulted in an Amended Final Agency Order by the Commissioner of Insurance finding that FATIC committed law violations in 17 different areas through both the acts of the company as well as the acts of its agents. FATIC paid the \$177,500.00 civil penalty in full and dismissed its pending cases in the Denver District Court and the Court of Appeals.

**Division of Securities:** The AAGs representing the Division of Securities handled a significant number of complex civil matters. For example:

**Rome v. Richard Roop:** Unit attorneys representing the Securities Commissioner obtained a temporary restraining order and then a preliminary injunction against Richard Roop and his entity Bottom Line Results ("BLR"). The Complaint alleges that the Defendants engaged in a scheme to defraud investors by failing to provide full disclosures regarding his handling of investor funds in connection with a real estate investment scheme. The scheme involves the investment in real estate promissory notes with Roop and BLR as the recipients of the funds through a trust arrangement. Investor funds would then be pooled in some instances with funds from other investors to allow Roop and BLR time to renovate if necessary, and then resell the property to new owners under a variety of financing arrangements. The Complaint also alleges that the investments were not registered nor exempt from registration, and that Roop was offering and selling the securities without the required license (his license had been previously revoked by the Division of Securities). The matter is currently pending in the Denver District Court and is set for a trial in March of 2015.

**Joseph v. Darrell McAllister:** Unit attorneys representing the Securities Commissioner prosecuted an injunctive action against McAllister based upon his fraudulent conduct in soliciting investments in Bank of Choice Holding Company. McAllister was President, CEO and Chairman of Bank of Choice and Bank of Choice Holding Company, and utilized his position to make a general solicitation of unregistered shares of stock by directing a subordinate to solicit "large depositors" to sign pre-prepared documents in order to make the investments. The Complaint alleged that four related investors were convinced to liquidate their Bank of Choice certificates of deposit in order to invest \$500,000 in McAllister's stock scheme based on representations that the preferred stock would pay 7.28% returns and the fact that they could redeem their investments after three years, and that the investment was guaranteed. Ultimately,

it was revealed that approximately 40 people purchased shares in the Bank of Choice Holding Company through McAllister's offerings. Eventually, the Colorado Division of Banking closed Bank of Choice, rendering the stock they purchased worthless according to the Complaint. Prior to trial, McAllister stipulated to the entry of a permanent injunction barring him from future violations of the Colorado Securities Act, and a payment of restitution.

In addition to traditional injunctive litigation, AAGs representing the Division of Securities are actively managing a number of cases where a receiver has been successfully sought by the DOS to seize investment funds, including Mueller Capital Management, Wealth Systems International and the DelGreene family of funds, Secured Financial Group and the Integrity Funds, Southern Financial Corporation and the Secured Real Estate Lending Fund, Mark Jackson, Dharma Investment Group and the Dharma family of entities, Yost Company, Valley Investments, and entities connected with Perry Sawano and Brad Hawkins (Providence Financial Services, Inc., d/b/a Integrity Financial Consulting, RMC Financial LLC, Delta Real Estate Fund, Ltd., and Aspen Ridge Investments).

**Independent Ethics Commission:** Over the past fiscal year, AAGs aided the Commission prepare for and conduct hearings on complaints, including a complaint filed against the President of Fort Lewis College (13-07) and another against the Governor (13-11). In addition to assistance at the hearings, AAGs prepared procedural orders, wrote client advisory memos and drafted versions of the final orders in those matters. AAGs also helped the Commission navigate a complicated personnel matter. Simultaneously, the AAGs assisted the Commission in hiring an additional Commission staff member and provided training and resources to the new staff member as necessary. In addition, AAGs assisted the Commission in its compliance with Colorado's Open Meetings Laws and Open Records Act. The AAGs also guided the Commission in its issuance of Advisory Opinions and Position Statements.

**Department of Agriculture:** Significant cases handled by AAGs representing the Department of Agriculture include:

**Low Level Aerial Applicators, Inc.:** In August 2012, the Department's Pesticide Enforcement Program learned that an aerial applicator may have allowed pesticide to drift onto homes and homeowners' associations surrounding a field in Larimer County where the applicator had made an application. After investigation, the Department determined that it had grounds to believe that the applicator had, in fact, drifted pesticide onto a private individual's home and onto two homeowners' associations. After finalizing its investigation and providing Low Level the opportunity to respond, the Department referred the matter to its AAGs who initiated settlement negotiations without success. The Department's attorneys filed a notice of charges in the spring of 2013, alleging drift onto a private individual's residence and onto two homeowners' associations. In September 2013, the Department's AAGs filed a motion for judgment on the pleadings because Low Level's answer did not deny the allegations. Low Level did not contest the motion, and the OAC entered judgment in favor of the Department, finding that pesticide had drifted onto non-target sites, including onto two different homeowners' associations and onto a private residence. The OAC granted the Department's relief sought: a \$6,000 civil penalty and a one-year probation of Respondent's Commercial Applicator's license.

**Salazar v. Lawton:** In April 2013, the Bureau of Animal Protection sought an injunction to restrain a rancher, John Lawton, permanently from owning, possessing, managing, or tending livestock. The Mesa County District Attorney brought a criminal action against Mr. Lawton, alleging in excess of 40 counts of animal neglect/cruelty. The Department's AAG stayed the civil proceeding pending the outcome of the criminal matter. Once a jury convicted Mr. Lawton of 40 counts of animal cruelty/neglect, the Department's AAG moved for summary judgment in the civil matter, which was granted June 25, 2014. The Mesa County District Court permanently enjoined Mr. Lawton from owning, leasing, managing, possessing, or otherwise tending livestock in Colorado.

**Salazar v. The Dog House:** Between 2010 and 2012, the Dog House, a doggie-day-care in Evans, allowed three dogs to escape from its facility, one of which died while outside its possession. Respondent refused to settle the matter with the Department without litigation, and the Department referred the case to the Department of Law with instructions to file suit if necessary. The Department's AAG attempted to negotiate a settlement with the respondent, who continued to refuse, through counsel. The Department's AAG filed suit; counsel for Respondent withdrew; and the Department's AAG received a favorable ruling from the OAC at the time of hearing, including a \$5,000 fine and one year's licensure probation.

**Mined Land Reclamation Board:** Significant cases handled by General Counsel for the MLRB include:

**High Country Citizens' Alliance v. MLRB.** High Country Citizens' Alliance ("HCCA"), a citizens group in Crested Butte, filed a judicial review action against the Board in March 2011 to set aside the Board's decision to approve a prospecting notice held by U.S. Energy. U.S. Energy is engaged in prospecting for molybdenum near Crested Butte. HCCA contended that the prospecting activities threaten water resources around Crested Butte and, therefore, the Board should have required U.S. Energy to post additional bond for water treatment costs. The Board heard the matter in January 2011, where it determined that sufficient financial warranty already exists to pay for both reclamation and protecting water resources, and that another state agency has jurisdiction over water treatment. In 2012, the District Court affirmed the Board's decision. HCCA further appealed the case the Court of Appeals; in October 2013, the Court likewise affirmed the Board's action in all respects.

#### **IV) WORKLOAD MEASURE:**

##### **WORKLOAD INDICATORS**

As a result of DORA's increased use of the Expedited Settlement Program within the Division of Professions and Occupations and Division of Real Estate, cases not requiring referral for legal services have been resolved within the agency. Consequently, the cases that have been referred to the Office of the Attorney General have been more complex and contentious. Consistent with the client's expectation, AAGs have continued to aggressively file or resolve most cases within a one-year period of time. AAGs have responded to this goal without compromising their commitment to prioritize the most egregious cases and promote public protection.



# Revenue and Utilities Section (“R&U”) Department of Law FY 2015-16

MISSION: R&U’s mission is to provide responsive and proactive legal representation of the highest quality to its clients.

## I. R&U BACKGROUND

R&U consists of four units: 1) General Tax and Enforcement; 2) Income Tax and Transportation, 3) Motor Vehicles and Enforcement, and 3) Public Utilities Commission (“PUC”) Litigation. These Units represent the following clients:

- Department of Revenue
- Department of Local Affairs (Property Tax Administrator and Property Tax Division)
- Statewide clients regarding bankruptcy matters
- Department of Regulatory Agencies (PUC Litigation Staff)

### A. General Tax and Enforcement Unit (“GTE Unit”)

The GTE Unit provides legal advice and representation to the Department of Revenue on general tax and collections matters, as well as to the Marijuana Enforcement and Liquor Enforcement Divisions. This Unit also represents the Property Tax Administrator and Division of Property Tax within the Department of Local Affairs. Finally, the GTE Unit also provides advice and representation to all state clients on bankruptcy matters.

**Taxation (general tax matters excluding income tax).** GTE Unit attorneys defend Revenue’s taxation determinations and collection actions in administrative, district court, and appellate proceedings. The Unit also provides legal advice regarding rulemaking and legislative matters. Tax cases are often complex and involve disputed amounts in the millions of dollars. Taxpayers are statutorily entitled to receive two trials: one at the administrative level, and a *de novo* trial in district court. Many cases are appealed to Colorado’s appellate courts. When delinquent taxpayers declare bankruptcy, Unit attorneys also protect the State’s interest in bankruptcy court.

**Enforcement (Marijuana Enforcement Division, and Liquor and Tobacco Enforcement Division).** GTE Unit attorneys provide general counsel advice and represent these Enforcement Divisions regarding licensing and disciplinary matters in administrative hearings, during the exceptions process, and on appeal. GTE Unit attorneys handle many unique legal issues as a result of Colorado’s decriminalization and regulation of the marijuana industry when possession and distribution of marijuana remains illegal under federal law.

**Property Tax Administrator and Division of Property Tax.** GTE Unit attorneys prosecute cases related to state-assessed value before the Board of Assessment Appeals (BAA), the district courts, and appellate courts. The Division coordinates and

administers the implementation of property tax law throughout 64 counties in Colorado, and is responsible for the valuation of the operating plant and property of all public utilities doing business in Colorado. These include telephone companies, airlines and railroads, among others.

**Bankruptcy.** During FY 2013-14, R&U added a second bankruptcy attorney and a dedicated administrative assistant to create a Department of Law “bankruptcy desk” with a goal of providing faster and more thorough bankruptcy support for all state agencies that have claims in bankruptcy cases. These attorneys provide regular advice and representation to the Department of Revenue with respect to tax claims; the Office of Judicial Administration with respect to criminal restitution claims and other fines, penalties, and costs; the Department of Public Health and Environment with respect to environmental claims; various institutions of higher education with respect to obligations owed by students or former students; and the Department of Law with respect to consumer protection claims. In addition to maximizing recoveries from bankruptcy cases, the bankruptcy attorneys advise state agencies regarding bankruptcy compliance so as to minimize claims against the state for violations of the automatic stay or bankruptcy discharges.

## **B. Income Tax & Transportation Unit (“ITT Unit”)**

The ITT Unit provides legal advice and representation to the Department of Revenue on income tax matters and also represents the Trial Staff of the Public Utilities Commission within the Department of Regulatory Agencies on transportation matters.

**Income Tax.** The Income Tax attorneys represent Revenue in administrative, district court, and appellate proceedings in which income tax assessments are contested; provide general counsel support for issues related to income tax audit and assessments; and support and assist Revenue in rulemaking and legislative matters related to income tax matters. Income tax matters are complex and require intensive legal services to assure that taxpayers, including sophisticated national and international corporate taxpayers, pay the amount owed under the law, thereby protecting the interests of the State and all taxpayers.

Although the vast majority of conservation easement tax credit appeals have been resolved pursuant to the special procedures established by House Bill 11-1300, ITT Unit attorneys continue to provide general counsel advice and legal representation on the remaining cases.

**Transportation.** ITT Unit attorneys represent the Transportation Section Trial Staff of the Public Utilities Commission. This Section is responsible for enforcing the statutes and regulations governing motor carriers, including taxis, limousines, towing carriers, hazardous materials carriers, and movers. Following the passage of Senate Bill 14-125, the Transportation Section also has oversight over Transportation Network Companies.

**C. Motor Vehicle & Enforcement Unit (“MVE Unit”)**

The MVE Unit provides legal advice and representation to the Division of Motor Vehicles, Motor Vehicle Dealer Board, and the Gaming, Racing, and Lottery Commissions within the Department of Revenue.

**Division of Motor Vehicles.** Unit attorneys provide general counsel advice on rules and open records requests, and represent the Division in appeals of driver’s license revocation cases to the Colorado Court of Appeals and Supreme Court.

**Enforcement (Motor Vehicle Dealer Board, Limited Gaming Control Commission, and Racing Commission).** ITT Unit attorneys provide general counsel advice at regular open meetings and on matters including rulemaking, policies, and legislative matters. Attorneys represent these clients in administrative hearings, during the exceptions process, and on appeal. ITT Unit attorneys also defend clients in injunctive, declaratory judgment, and other civil actions in state district court.

**Lottery Commission.** ITT Unit attorneys provide general counsel advice and legal representation to the Lottery Division and Commission on a variety of issues including in connection with rulemaking.

**D. Public Utilities Commission Litigation Unit (“PUC Litigation Unit”)**

The PUC Litigation Unit represents the Trial Staff of the Public Utilities Commission (“PUC” or “Commission”) on fixed utilities litigation, including gas, electric, telephone, and water/sewer. The PUC regulates the rates, charges, services, and facilities of public utilities within the State.

Staff of the Commission consists of experts in fields including engineering, finance, and economics. When Staff enters an appearance as a party to a proceeding, Staff is divided into Advisory Staff and Litigation Staff. Representation of Litigation Staff includes providing legal advice on a daily basis and representation in cases before the PUC. Such cases include those where public utilities seek to increase the rates charged to the public, including residential, commercial, and industrial customers as well as in cases in which public utilities seek to build new facilities or extend existing facilities.

PUC Litigation Unit attorneys represent the PUC and its Commissioners in judicial review actions; in civil actions commenced by or against the PUC in state and federal courts (except civil actions for damages against the PUC and/or PUC Commissioners, which are litigated by attorneys in the Tort Litigation Unit); and in federal administrative proceedings before the Federal Communications Commission, Federal Energy Regulatory Commission, and the Surface Transportation Board.

## II. HOT ISSUES

### A. Department of Revenue

#### TAXATION

Significant taxation cases handled by the GTE and ITT Units on behalf of the Department of Revenue include:

**Agilent Technologies Inc., v. Dep’t of Revenue.** The Income Tax attorneys are representing Revenue in an appeal by an international corporation that operates through multiple related C corporations to various degrees inside Colorado, in other states, and in foreign countries. Revenue applied a combined or “unitary apportionment” accounting method that aggregated income from the unitary group of Agilent-related corporations and then apportioned part of that income to Colorado. Agilent’s Colorado corporate income tax was then calculated based on the percentage of its business in the state. Revenue’s assessments were upheld following an administrative hearing and Agilent has appealed to Denver District Court. The amount at issue includes \$7.6 million in tax, \$4.8 million in interest, and \$0.9 million in penalties.

**BP America Prod. Co. v. Dep’t of Revenue.** The Colorado Court of Appeals issued a decision affirming Revenue’s determination that a company may not deduct “return on investment” as a “cost borne” from its severance tax return in Colorado. BP’s petition for writ of certiorari is pending before the Colorado Supreme Court.

**Conservation Easement Tax Credit Litigation.** As of the end of FY 13-14, Revenue has prevailed in or settled the vast majority of over 120 consolidated appeals filed by taxpayers under House Bill 11-1300. The total amount recovered in these cases will approach \$100 million. HB-1300 strongly encouraged Revenue to waive penalties and interest for taxpayers who have acted in good faith to resolve these disputes and Revenue has waived such penalties and interest in the vast majority of settlements in accordance with this recommendation.

**Daimler Chrysler v. Dep’t of Revenue.** The Colorado Court of Appeals ruled in favor of Revenue in this dispute over whether Daimler Chrysler is entitled to a “bad debt” deduction for loans it made through motor vehicle dealers to consumers that were not repaid. The Court held that, because Daimler is neither a retail seller of motor vehicles nor a wholly-owned affiliate or subsidiary of such a seller, it was not entitled to the deduction. A petition for writ of certiorari is pending before the Colorado Supreme Court.

**DCP Midstream, LP v. Dep’t of Revenue.** The Denver District Court ruled against Revenue in this case concerning the interplay of two statutes, both granting an exemption from sales tax. The general, statewide exemption exempts “machinery” used in “manufacturing.” The “enterprise zone” exemption is allowed for purchases of machinery to be used in an enterprise zone for, among other things, mining, blasting, refining, processing and beneficiation of natural resources. The Court held that “processing” natural gas may also be exempt outside an enterprise zone. Revenue did not appeal the decision.

**Direct Mktg Ass'n v. Brohl.** The U.S. Supreme Court has granted the DMA's petition for certiorari in which it seeks reversal of the Tenth Circuit's decision last year finding that the Tax Injunction Act ("TIA") bars the federal court's jurisdiction to hear claims that would restrain the collection of the state's tax. DMA filed suit in 2010 challenging Colorado's law which was enacted to promote compliance with and increase collection of the State's use tax. The law requires Internet and remote retailers to notify their Colorado customers that the retailer does not collect the State's sales or use taxes and that the customer may owe tax on their purchase and requires retailers to submit . The federal district court issued a permanent injunction finding that the law violates the dormant Commerce Clause. But the Tenth Circuit held that the district court lacked. The U.S. Supreme Court will decide whether the TIA or principles of comity compelled dismissal of the federal case. Meanwhile, the Denver District Court has preliminarily enjoined the law and that case is stayed pending resolution of the DMA's U.S. Supreme Court appeal.

**GE Wind v. Dep't of Revenue.** The case arose from a wind farm sold by GE Wind and erected and installed by GE Wind in Prowers County. In the first phase of a bifurcated tax appeal, the Denver District Court held that the \$197 million sale was subject to Prowers County sale tax. The second phase of the appeal concerned whether certain portions of the transaction were non-taxable services and whether tax penalties and penalty interest were improperly calculated or otherwise should be abated. The parties filed cross-motions for summary judgment and briefed the issues. Shortly after the filing of briefs, the parties reached a confidential settlement agreement. Revenue is responsible for administering, collecting, and enforcing the Prowers County sales tax.

**No Over Taxation v. Hickenlooper.** In this lawsuit, plaintiffs challenge retail marijuana sales and excise taxes – arguing simultaneously that the taxes do not adequately implement Amendment 64 and that the taxes are invalid because of the federal law criminalizing marijuana sales. The lawsuit was filed against the Governor, Revenue, the City of Denver, and the Denver Treasury Department. GTE attorneys along with the Governor's counsel represent the State defendants.

**Pub. Serv. Co. v. Brohl.** Following nearly a decade of litigation of PSCo's sales tax refund claim, the Colorado Supreme Court affirmed Revenue's determination that equipment, wire, and transformers purchased by PSCo to generate, transmit and distribute electricity do not qualify for the manufacturing machinery sales tax exemption. The Court reasoned that the state tax code treats generation of electricity as the provision of a service, not the manufacture of tangible personal property. Had it been granted, PSCo's refund claim would have required the State to pay over \$20 million, including interest.

**Pioneer N.R. v. Dep't of Revenue.** This case concerning whether gas gathering pipe qualifies for the enterprise zone machinery sales tax exemption is pending before the Colorado Court of Appeals.

**TABOR Foundation v. RTD, SCFD and Dep't of Revenue.** The TABOR Foundation sought a preliminary injunction against implementation of House Bill 13-1272, which took effect January 1, 2014. HB 13-1272 adjusted the sales and use tax exemptions of RTD and SCFD so that they no longer differed from the state sales tax exemptions. In refusing to enter a preliminary injunction, the District Court found that

the plaintiff had failed to show a likelihood of success on the merits of its claims that the law violates TABOR. The hearing on plaintiff's request for a permanent injunction is scheduled for 2015.

## **ENFORCEMENT**

Significant enforcement cases handled by the GTE and MVE Units on behalf of the Department of Revenue include:

**Baker v. State of Colo.** The federal district court for the District of Colorado dismissed a challenge to Colorado's statutory limit for THC while driving a motor vehicle. Enacted during the 2013 legislative session, the law permits jurors to make the "permissible inference" of impairment if a blood test shows the driver's THC level is 5 nanograms or more. THC, or Delta 9-THC, is the psychoactive compound in marijuana that causes impairment. Plaintiff Brandon Baker, who purports to run a church founded on Rastafarian beliefs, brought suit against the State and Attorney General John Suthers alleging infringement of his and others' First, Fourth, Sixth, and Fourteenth Amendment rights. The case was dismissed for lack of standing and failure to state a claim.

**High Times v. Brohl.** GTE attorneys are defending Revenue's retail marijuana advertising regulations against a First Amendment challenge in the federal district court for the District of Colorado. The court determined that the plaintiffs had failed to establish standing and granted leave to amend the complaint. A motion to dismiss the second amended complaint is pending, and plaintiffs have filed a motion for leave to file a *third* amended complaint in the matter.

**Retail Marijuana Regulations.** GTE Unit attorneys advised the Marijuana Enforcement Division during this first year of implementation of the state regulatory scheme to regulate sales of retail marijuana following the passage of Amendment 64. Attorneys assisted with review of legislation, stakeholder meetings, development of regulations, and a variety of other unique legal issues. Attorneys also represented the Division in many medical marijuana licensure denial proceedings, and, in connection with those matters, defended the Division in several district court cases seeking injunctive relief.

**Scientific Games Int'l v. Dep't Personnel and Admin.** The Colorado Lottery awarded a 10-year contract for lottery jackpot gaming systems and services to GTECH Corporation. The incumbent vendor, SGI, protested the award and the Department of Personnel and Administration affirmed the Lottery's decision to award the contract to GTECH. SGI filed an appeal in Denver District Court. The matter is fully briefed and currently pending.

**Shortline Kia v. Arapahoe Motors of America and Arapahoe Kia v. Kia Motors of America.** Automobile manufacturer KIA Motors sent termination letters to two Colorado dealerships in December 2013, indicating its intention to terminate the franchise agreement in 90 days. The dealerships filed complaints with the Executive Director of Revenue requesting that she stay the termination of the franchise by issuing a cease and desist order until a hearing is held on whether the manufacturer violated Colorado law. On March 6<sup>th</sup>, the Executive Director issued a cease and desist order

allowing Shortline Kia to remain in business pending a hearing at the Office of Administrative Courts (“OAC”). The matter is currently pending at the OAC.

## **DIVISION OF MOTOR VEHICLES**

Significant matters handled by the MVE Unit on behalf of the Department of Revenue include:

**Francen v. Dep’t of Revenue & Hanson v. Dep’t of Revenue.** In this pair of companion cases, the Colorado Supreme Court upheld Revenue’s driver’s license revocations for two DUI suspects. The Court agreed with Revenue, holding: (1) the use of the term “probable cause” in the civil driving statutes does not provide DUI suspects with a general statutory right to challenge the lawfulness of the initial stop in civil administrative driver’s license revocation hearings; and (2) the exclusionary rule does not apply in these hearings.

**Hernandez v. Dep’t of Revenue.** The Colorado Court of Appeals affirmed an order revoking a driver’s license and held that a police officer, who witnesses a driver on the shoulder of the road having difficulty with his vehicle, may stop and offer assistance without turning the contact into an investigatory stop. The Court reaffirmed that a peace officer may properly issue a notice of revocation following a consensual stop based upon his own observation of the driver’s drunken condition.

**Senate Bill 13-251: Colorado Road and Community Safety Act.** MVE Unit attorneys are assisting DMV in implementing SB 251, which, effective August 1, 2014, allows persons not lawfully present in Colorado and those who are temporarily lawfully present to obtain a driver’s license or identification card. The documents must indicate on their face that they are not compliant with the federal REAL ID law and they cannot be used for identification for some purposes, such as obtaining federal benefits.

### **B. Department of Local Affairs, Property Tax Administrator**

Significant cases handled by the GTE Unit on behalf of the Property Tax Administrator within the Department of Local Affairs include:

**Pitkin County Bd. of Assessment Appeals and Prop. Tax Adm’r v. Roaring Fork Club, LLC.** This case concerns the proper appraisal methodology for a private, “non-equity” golf course. This type of course receives large up-front membership deposits, but little in the way of operating income for many years. The BAA previously rejected the methodology offered by the Club in favor of a methodology offered by the PTA. However, the Court of Appeals reversed. The PTA intervened to file a petition for certiorari to the Colorado Supreme Court concerning whether the BAA and PTA have discretion to consider the \$50,000,000 in membership deposits received by the Club as a relevant factor under the income approach to determining value of the property. A decision by the Colorado Supreme Court on whether to grant the petition is pending.

**Treehouse Condo. Ass’n v. Dep’t of Prop. Tax.** The Colorado Court of Appeals upheld the determination by the Board of Assessment Appeals that development rights held separately from surface rights constitute a taxable interest in real property, subject to assessment in Colorado. The Court relied on the Colorado constitution, which

states broadly that all real and personal property must be taxed unless exempted by law. Because the language in the assignment of the developments rights stated they constituted “a real property interest,” the Court rejected the taxpayer’s argument that these rights were mere contract rights or incorporeal hereditaments not subject to taxation.

### C. Public Utilities Commission

Significant cases handled by the PUC Litigation Unit include:

## **ENERGY**

**Public Service Company of Colorado (“PSCo”) Demand-Side Management Strategic (DSM) Issues Proceeding.** PSCo sought approval of a number of issues relating to its DSM efforts, including a reduction of energy savings goals established in 2011. The Company also sought approval to implement a Distribution Voltage Optimization (“DVO”) program, which it estimates would save some customers an average of 1.8% on their electric bills. Among the many decision points, the Commission adopted Staff’s recommendation for a flat energy savings goal of 400 GWh per year. While the Commission found DVO to be DSM, it agreed with Staff that not enough details were known about the proposal and that a separate application should bring those details forward. It also agreed with Staff that PSCo should not earn a separate, generous financial incentive for implementing the program and that costs should be recovered through base rates (rather than obtaining current cost recovery through the Demand Side Adjustment Clause, as proposed by the Company).

**PSCo 2014 Electric Rate Case.** Pursuant to the Clean Air - Clean Jobs Act, the PUC approved a number of modifications, early retirements, and replacement of existing coal electric generation in Colorado. The work has been ongoing since 2011. The largest investment in new facilities by PSCo is a large combined cycle unit at the Cherokee site, estimated at \$534 million. The project is scheduled to be completed by close of 2014. As a result, PSCo has requested that cost recovery for the project be included in rates through an electric rate case filed in June 2014.

**PSCo 2012 Gas Rate Case.** In December 2012, PSCo filed an Advice Letter with the Commission seeking approval of a set of three successive rate increases to natural gas base rates using forecasted information, which is commonly referred to as a future test year (“FTY”). This was the first time PSCo sought approval of increases referred to as a multi-year rate plan (“MYP”). An Administrative Law Judge heard significant testimony from Trial Staff and other parties, regarding whether it is in the public interest to use a FTY in lieu of historical test year (“HTY”) information as a basis to set rates. In December 2013, the PUC issued a decision rejecting PSCo’s proposed FTY and MYP and adopting a HTY, which Trial Staff supported.

**Net Metering.** PSCo must submit annual plans with the Commission demonstrating how it will comply with the State’s renewable energy standard (RES). As part of its 2014 Plan, the Company proposed a method of tracking and accounting for what it calls a “net metering incentive” to customers with rooftop solar. Currently, solar customers use PSCo’s utility generation and grid infrastructure, but do not pay for the costs associated with this use. As a result, the costs are shifted to other customers who do

not have solar systems. The Commission severed the net metering issue from the RES Plan proceeding and opened a separate proceeding to address net metering as it relates to all electric utilities in Colorado. In April 2014, the Commission conducted an Information Meeting, during which the parties presented suggestions for the purpose and structure of the proceeding. Subsequently, the Commission issued a request for legal briefs asking the parties to address six different questions on the scope of a utility's obligation to provide net metering to customers under Colorado law. Briefs are due July 31, 2014.

**PSCo Solar\*Connect Application.** PSCo proposes to create a new solar energy program that it asserts will allow customers who are unable to install solar generation at their premises to enjoy some of the benefits of solar energy. Under the proposal, PSCo will enter into a power purchase contract for the solar energy from a new solar power facility up to 50 megawatts (MW) in size. A customer that subscribes to the program would pay for a given amount of solar energy per month at a fixed price per kilowatt-hour, which the customer would then see as a credit toward his or her electric bill each month. PSCo customers would still pay for the costs of transmitting and backing up the solar power they use through the program, costs which are currently not paid by customers who install solar power at their homes and businesses. Under the proposal, the program would still receive a subsidy through the Renewable Energy Standard Adjustment (RESA), which is used to support the Company's other renewable energy programs. A hearing is scheduled for November 2014.

**PSCo Voluntary Programs in Boulder.** In January 2014, the City of Boulder served PSCo with a Notice of Intent to Acquire PSCo's electric distribution system in Boulder, which is the first step that the City must take to exercise the power of eminent domain. PSCo asserts that, if the City were to condemn PSCo's system in Boulder and create its own municipal utility as it plans to do, the new municipal utility would benefit from the voluntary solar and energy efficiency programs that PSCo currently provides to all of its customers, including its customers in Boulder. Among other proposals, PSCo asks the Commission to allow it to include a clause in all future contracts with customers who install solar at their home or business that will notify them that PSCo will have no obligation to purchase these customers' solar energy if Boulder ultimately condemns PSCo's system. PSCo also seeks to notify new participants in its solar community garden program in Boulder that PSCo will have no obligation to provide them with bill credits for solar energy if Boulder leaves the PSCo system. Finally, PSCo also asks the Commission to allow it to cap the amount of money it spends on energy efficiency programs in Boulder to an amount equal to the amount of money it collects for these programs from customers in Boulder. A hearing is scheduled for August 2014.

## **TELECOMMUNICATIONS**

**Telecom Reform Legislation.** In May 2014, the Governor signed into law five bills (HB14-1327 through HB14-1331) designed to reduce PUC regulation of telecommunication services in Colorado, and also, to establish a mechanism to facilitate the availability of broadband services to rural Colorado homes and businesses. A key aspect of the reform are changes to the High Cost Support Mechanism (HCSM), which is a statutorily-established fund designed to help ensure that rural areas of Colorado receive basic telephone service by subsidizing telecom providers in these same areas. Colorado

citizens pay into this fund via a charge on their telephone bills. The Reform Legislation created a new Broadband Fund to be administered by a new Broadband Board. The PUC can move HCSM funds to the Broadband Fund if the PUC deems certain areas of the state as “effectively competitive” insofar as basic telephone service.

**Proceedings to Examine Whether Areas of Colorado Are Receiving Effectively Competitive Basic Telephone Service.** Following a complex and lengthy proceeding, the Commission held that 56 geographic areas of Colorado receive effectively competitive basic telephone service. Most of these areas, known as “wire centers,” are located along the I-25 corridor from Fort Collins to Pueblo. The practical effect of designating wire centers as effectively competitive is that telecom providers serving customers in them stand to lose funding under the High Cost Support Mechanism. It is anticipated that during FY 2014-2015 the PUC will determine whether the remaining wire centers in Colorado (over 150) are also effectively competitive.

## **TRANSPORTATION**

Significant cases handled by the PUC Litigation and ITT Units include:

**MKBS LLC., d.b.a. Metro Taxi, Application to Transfer Control of Certificate of Public Convenience and Necessity (“CPCN”) to Supertaxi, Inc.** This case involves the issue of whether the transfer of a CPCN for certain taxi operations as part of an acquisition that resulted in the ownership of both local taxi companies by one holding company, met the legislative standard of promoting competition in the taxi industry. Trial Staff questioned whether, without some limits on the number of vehicles, a monopoly would exist of such size as to drive out all competition. Commission rules require that any transfer of authority cannot result in duplication of services or overlapping geographical areas of service. Staff requested that the Administrative Law Judge set the number of vehicles to be operated under the transferred CPCN to be the number of vehicles actually used rather than the number of vehicles listed as authorized on the certificate. A decision is pending.

**PUC v. Epler.** The PUC has directed the Transportation Attorneys to file a suit in Denver District Court for an injunction to prohibit Paul Epler from operating as a towing carrier as that term was defined under the repealed towing carrier statutes. The PUC found that Mr. Epler is permanently ineligible to be issued a towing carrier permit under the reenacted motor carrier statutes. The Commission seeks an injunction prohibiting Mr. Epler from owning, managing, operating or controlling a towing carrier.

## **I. BACKGROUND INFORMATION: CIVIL LITIGATION AND EMPLOYMENT LAW SECTION**

The employees of the section defend all state agencies, institutions of higher education, officials, and employees in litigation in state and federal court, as well as administrative hearings. The section also acts as general counsel to the Department of Personnel and Administration, Division of Risk Management (Risk Management), the Colorado Department of Corrections (CDOC), the Colorado State Board of Parole (Parole Board), the Colorado Department of Public Safety (CDPS), the Colorado Civil Rights Division (CCRD), the Colorado Transportation Commission, and the Colorado Department of Transportation (CDOT), providing quick and thorough legal advice regarding the many issues that arise on a daily basis. The section advises all state agencies and institutions of higher education regarding employment, personnel and workers' compensation matters. The section is divided into six units: Corrections and Public Safety, Employment Personnel and Civil Rights, Employment Tort, Tort Litigation, Transportation and Workers' Compensation. A brief description of each unit follows.

### **Corrections and Public Safety Unit:**

The Corrections and Public Safety Unit provides representation to the CDOC, the Parole Board, and the various divisions of the CDPS including the Colorado State Patrol (CSP), the Colorado Bureau of Investigation (CBI), the Division of Criminal Justice, the Division of Fire Prevention and Control, and the Division of Homeland Security and Emergency Management. The Unit defends inmate lawsuits involving various issues, including constitutional rights, time computation, prison discipline proceedings, *habeas corpus* petitions, parole and contract-related matters. The Unit also provides representation to all divisions the CDPS. Unit lawyers provide general legal advice to the CDOC, Parole Board, CSP, CBI, the Division of Criminal Justice, the Division of Fire Prevention and Control, and the Division of Homeland Security and Emergency Management on a daily basis on matters such as open records requests, environmental issues, sentencing issues, internal discipline, and procedural matters, compliance issues, and administrative regulations.

### **Employment Personnel and Civil Rights Unit:**

The Employment Personnel and Civil Rights unit defends state agencies and institutions of higher education in administrative hearings before the State Personnel Board and before the appellate courts in matters involving classified employee grievances and appeals of disciplinary actions. The cases involve claims arising from the Colorado Constitution, the State Personnel System Act, the state whistleblower act, the Colorado Anti-Discrimination Act, and related federal and state statutes. The attorneys also provide advice and risk reduction training to state agencies and institutions of higher education on issues such as hiring,

managing, and disciplining employees, and represents state agencies and institutions of higher education when a custodian of records or employee receives an personnel-related subpoena. The unit provides legal advice to the Colorado Civil Rights Division (CCRD) within the Department of Regulatory Agencies in connection with CCRD's investigation of charges of employment, housing, and public accommodations discrimination and cases brought based as a result of those investigations. The unit also assists CCRD on all transactional legal matters. The unit prosecutes civil rights cases through all stages of appeal and defends challenges to the authority of the Colorado Civil Rights Commission.

### **Employment Tort Unit:**

The Employment Tort unit defends state agencies, institutions of higher education, and employees in state and federal court employment litigation. The attorneys handle the cases from inception through appeal. The cases involve claims arising under a myriad of federal and state statutes, including Title VII of the Civil Rights Act, the Americans with Disabilities Act, the Fair Labor Standards Act, The Family Medical Leave Act, the Equal Pay Act, the Age Discrimination in Employment Act, the state whistleblower act, and other employment laws as well as federal civil rights laws. The attorneys also provide advice and risk reduction training to state agencies and institutions of higher education regarding employment law. The unit provides day to day advice to Risk Management on questions of coverage, indemnity, settlements, and conflicts.

### **Tort Litigation Unit:**

The Tort Litigation unit defends state agencies, institutions of higher education, and employees in lawsuits seeking damages for personal injury and property damage, and those brought pursuant to federal law (except for employment claims). The unit also provides day to day advice to Risk Management on questions of coverage, indemnity, settlement, and applicability of the Colorado Governmental Immunity Act (CGIA). Members of this unit aggressively defend the state and seek to minimize the monetary liability of the state in a variety of law suits. In addition, the unit provides most of the Conflicts Counsel services to regulatory agencies and boards in cases where line attorneys act as prosecutors in regulatory actions before the agencies and boards and are therefore prevented from advising the decision makers.

### **Transportation Unit:**

The Transportation unit acts as a general service law firm to the CDOT and the Transportation Commission, with the exception of personnel and tort matters. The unit also represents the Colorado Bridge Enterprise and the Colorado High Performance Transportation Enterprise, which are government-owned enterprises

and divisions within CDOT. The members of the unit advise CDOT regarding a myriad of legal issues. The unit prosecutes all condemnation actions, defends inverse condemnation cases, and administrative actions. The unit also handles access control, highway beautification, and billboard location disputes, and protests brought under the Procurement Code. The unit advises CDOT in construction matters and represents CDOT in construction dispute review boards, arbitration and litigation. The unit also provides advice regarding environmental and real estate issues and defends and negotiates settlements in these areas. Members of the unit review, revise, and approve CDOT contracts, assist in drafting and negotiating contracts, including public-private partnership contracts, and assist in rulemaking, legislation and any other legal matters that arise.

### **Workers' Compensation Unit:**

The Workers' Compensation unit works with Risk Management and its third party administrator to defend state agencies, institutions of higher education, and employees in workers' compensation matters. The attorneys manage litigation from inception through hearings and appeals, including fully contested claims, challenges to specific disability and medical benefits, penalty allegations, petitions to review, and cases with subrogation or employment law issues. The attorneys also represent the Special Funds Unit of the Department of Labor and Employment, Division of Workers' Compensation and the Subsequent Injury fund and Major Medical Fund in workers' compensation cases. The attorneys provide day-to-day legal advice to Risk Management's Workers' Compensation Division, its third party administrator, state agencies and the Special Funds Unit, regarding workers' compensation law, liability exposure and settlements.

## **II. PRIOR YEAR LEGISLATION**

### **HB 1037: ENFORCING LAWS AGAINST DESIGNER DRUGS**

This bill prohibits the distribution, dispensing, manufacturing, display, offer, or sales of any product labeled as incense or any other trademark if the product contains synthetic cannabinoids. It establishes civil penalties of between \$10,000 and \$500,000 for violations (deceptive trade practices). Each individually packaged product is considered a deceptive trade practice violation. Penalties increase to between \$25,000 and \$500,000 for persons who sell or distribute these products to a minor who is at least two years younger than the violator. Beginning September 1, 2014, the CBI is required to purchase and maintain field test kits and make them available to local law enforcement agencies and the Liquor Enforcement Division of the Department of Revenue.

### **HB 1044: PAROLEE TAMPER WITH ELECTRONIC MONITORING DEVICE**

The bill codifies current CDOC practice and specifies that if a Community Parole Officer has probable cause to believe that a parolee who is under the supervision of the Community Parole Officer has removed or tampered with an electronic monitoring device that the parolee is required to wear as a condition of parole, the parole officer shall either make an immediate warrantless arrest of the parolee, except that, before making such an arrest the Community Parole Officer shall first determine that the notification of removal or tampering was not nearly the result of equipment malfunction. Furthermore, the bill indicates that not later than twelve hours after acquiring probable cause of a tamper alert, that the Community Parole Officer notifies a law enforcement agency with jurisdiction over the parolee's last known address that the parolee is subject to an immediate warrantless arrest.

### **HB 1095: CBI CYBER CRIME JURISDICTION**

This bill authorizes the CBI to conduct criminal investigations related to computer crime upon the request of law enforcement officials or the Governor, or upon its own discovery of such crime. The CBI is charged with developing and collecting information about computer crime to assist in the identification, charging, and prosecution of criminal offenders, and to report its findings to the appropriate law enforcement agencies. It must provide awareness training and information concerning cyber-security and security risks to the information technology industry. At least annually, the CBI must also prepare a report of its activities and outcomes for use by local law enforcement agencies or the Governor.

### **HB 1166: RENEWAL OF CONCEALED HANDGUN PERMITS**

Under current law, a person who possesses a concealed handgun permit or a temporary emergency concealed handgun permit must renew the permit with the county sheriff who originally issued it. HB 1166 allows a permit holder to renew a non-temporary permit with the sheriff in the location in which her or she resides, maintains a secondary residence, or owns or leases property for business purposes. The bill also allows a permit holder to renew a temporary permit with the sheriff in the location in which he or she resides or in which the circumstances giving rise to the emergency exist. Under the bill, in order to renew a permit in a new location, the applicant must submit a legible photocopy of the permit with his or her renewal application. In addition, the renewing sheriff must contact the office of the sheriff who originally issued the permit and confirm that it has not been revoked or suspended.

### **HB 1171: MEDICAL EVIDENCE IN SEXUAL ASSAULT CASES RULES**

Under current law, CDPS is required to promulgate rules regarding standards for consent for the collection, testing, and release of test results of forensic medical evidence in sexual assault cases. The CDPS was also to develop consent forms that

notify persons of the potential effects of and require acknowledgment of consent for each step of the process. HB 1171 clarifies that the consent information is to be included in the form, but that the consent form itself is not required to be part of the promulgated rules.

### **HB 1172: BACKGROUND AND HIRING PROCESS FOR CDOC AND CDPS**

This bill clarifies hiring practices by the CDOC and the CDPS affecting persons with criminal convictions. Under current law, most state agencies, except the CDPS, may not perform a background check until the agency determines that an applicant is a finalist or has made a conditional offer of employment to the applicant. This bill includes CDOC in this exception. The bill also clarifies that, at any stage of the hiring process, if the CDOC or CDPS determines an applicant has been convicted of a crime, it must consider the factors listed in statute as reasons for disqualification. These factors include the nature of the conviction, its relevancy to the position's duties, any information regarding the applicant's rehabilitation or good conduct, and the amount of time lapsed since the conviction.

### **HB 1191: HIT AND RUN MEDINA ALERT PROGRAM**

This bill allows CDPS/CBI to establish a program to alert the public when a hit-and-run accident involving serious bodily injury or death occurs and law enforcement needs assistance in locating the suspect's vehicle. CDPS is authorized to promulgate rules and implement the program, referred to as the Medina alert, on or after January 1, 2015.

### **HB 1229: RETAIL MARIJUANA FINGERPRINT CHECK LOCAL AUTHORITY**

This bill conforms retail marijuana licensing law with medical marijuana law by allowing a local jurisdiction to submit fingerprints to the CBI for the purpose of conducting fingerprint-based criminal history record checks for an individual applying for a retail marijuana establishment license. If the individual's fingerprints are unclassifiable, the local jurisdiction may acquire a name-based criminal history check.

### **HB 1260: PENALTIES FOR SEX OFFENSES AGAINST A CHILD UNDER 12 “JESSICA’S LAW”**

This bill requires an indeterminate sentence for a class 2, class 3, or class 4 felony sex offenses when the act includes sexual intrusion or sexual penetration against a child under the age of 12 when the offender was an adult and at least ten years older than the child. Under the bill, sentencing for these sex offenses varies by felony class level, as follows:

- at least 10-16 years and up to a maximum of natural life for a class 4 felony;
- at least 18-32 years and up to a maximum of natural life for a class 3 felony;
- and
- at least 24-48 years and up to a maximum of natural life for a class 2 felony.

If the defendant is placed on parole, the Parole Board is required to order that the offender wear an electronic monitoring device for the duration of his or her parole.

**HB1172: CONCERNING EXEMPTING CERTAIN PUBLIC SAFETY DEPARTMENTS FROM CERTAIN STATUTORY REQUIREMENTS RELATED TO THE IMPACT OF A CRIMINAL CONVICTION ON STATE EMPLOYMENT OPPORTUNITIES.**

This act amends § 24-5-101, C.R.S. to exempt the CDPS and CDOC from certain prohibitions against considering convictions in the hiring of state employees. It also allows the two departments to determine whether a conviction will disqualify an applicant for a position at any stage of the hiring process, rather than just at the finalist stage.

**HB 1273: HUMAN TRAFFICKING**

This bill repeals, reenacts, and makes changes to existing laws concerning human trafficking. Currently, human trafficking of an adult is a class 3 felony, unless the victim is in the United States illegally, in which case it is a class 2 felony. Human trafficking of a child is a class 2 felony. Current law also makes the crime of coercion of involuntary servitude a class 6 felony. The bill changes the definition of the crime of human trafficking of an adult and of a child to include the distinction that the trafficking was for the purpose of either involuntary or sexual servitude. Under the bill, human trafficking of an adult for involuntary servitude or for sexual servitude is a class 3 felony and a class 2 felony if the victim is a minor. The bill removes the offense of coercion of involuntary servitude from law, but includes the definition of coercion in both cases of human trafficking for involuntary or sexual servitude. Human trafficking of a minor for sexual servitude is considered to be a “sex offense against a child” for the purposes of the statute of limitations, which means there is no limit on the period of time in which criminal proceedings may be initiated against a defendant. If an offender is convicted of human trafficking for involuntary servitude or for sexual servitude, the court must order that restitution be paid to the victim, if appropriate, even if the victim is unable to receive payment. Finally, the bill creates the Colorado Human Trafficking Council within the CDPS and specifies the council's membership and duties. The council is required to meet at least four times per year. The council must make recommendations to the Judiciary Committees of the General Assembly by January 1, 2016, regarding whether or not a process should be established for certifying organizations that

provide services to victims of human trafficking, and if a grant program should be established to assist these organizations. The council is also required to develop an implementation plan for a public awareness campaign to educate the public about human trafficking, and distribute victim services contact information to places where victims are likely to see it; consider and recommend, as the council deems necessary, any statutory changes to more easily punish and prosecute persons who engage in human trafficking, and better protect victims; develop training standards and curricula for organizations that provide assistance to victims of human trafficking, for persons who work with victims, and for law enforcement agencies; identify best practices for the prevention of human trafficking; annually report to the Judiciary Committees of the General Assembly on the prevalence of human trafficking and the efforts of law enforcement to combat human trafficking in the state; on or before January 1, 2017, and on or before January 17 of each year thereafter, submit a report to the Judiciary Committees of the General Assembly summarizing the activities of the council during the preceding year; and research and pursue funding opportunities for the council.

### **HB 1309: REPURPOSING THE AMBULATORY SURGERY CENTER**

This bill allows the CDOC to use its day surgery center building at the Denver Reception and Diagnostic Center as an auxiliary medical facility and to amend or modify its lease purchase agreement as necessary. It also clarifies that the CDOC may use savings for clinical services to make payments on the lease-purchase agreement.

### **HB 1327: CONCERNING THE DEPLOYMENT OF BROADBAND TECHNOLOGY.**

This bill exempts from state sales and use taxes all purchases by a telecommunications company of equipment to provide broadband services and allows local jurisdictions the option of exempting these taxes. The bill also requires state and local government agencies, including coordination by the CDOT, to provide notice of trenching activity to broadband providers, except in emergencies, and clarifies that broadband service providers may utilize public rights-of-way for broadband facilities to the same extent as other telecommunication providers.

### **HB 1340: STATE TOXICOLOGY LABORATORY**

This bill requires the CBI to operate a state toxicology laboratory on or before July 1, 2015. The purpose of the new laboratory is to assist local law enforcement agencies in the enforcement of laws for driving under the influence of alcohol or drugs. The CBI is authorized to recover its direct and indirect costs through fees for the services performed. Fees are to be credited to the newly created State Toxicology Laboratory Fund. The bill requires that a portion of moneys annually

appropriated to the Colorado Department of Public Health and Environment from the Law Enforcement Assistance Fund for similar purposes to instead be appropriated to the CDPS to pay the costs of toxicology laboratory services. Under current law, the State Board of Health in the CDPHE promulgates administrative regulations for the certification of laboratories. This bill states that these regulations may waive specific certification requirements for laboratories that are accredited by the American Board of Forensic Toxicology or the International Standards Organization.

### **HB 1343: CONCERNING WORKERS' COMPENSATION COVERAGE FOR POST-TRAUMATIC STRESS DISORDER FOR PEACE OFFICERS.**

This bill creates a task force to research work-related peace officer post-traumatic stress disorder. The task force will report findings, and make recommendations including best policies and practices for public employers of peace officers in Colorado including identification, prevention, treatment, covered workers' compensation claims, standardized pre-employment psychological screenings, and education of both management and employees on this mental health illness. Report and recommendations due by January 15, 2015

### **HB 1355: CONCERNING DEPARTMENT OF CORRECTIONS REENTRY INITIATIVES FOR SUCCESSFUL REINTEGRATION OF ADULT OFFENDERS INTO THE COMMUNITY**

This bill directs the CDOC to develop and implement initiatives to decrease recidivism, enhance public safety, and increase each offender's chances of achieving success upon his or her release. Subject to available appropriations, on and after July 1, 2014, these initiatives are to include: (1) programs to assist offenders in a correctional facility to prepare for release to the community; (2) efforts to assist each offender's transition from a correctional facility into the community; and (3) operational enhancements, including equipment, training, and programs to supervise offenders in the community. The bill also stipulates that on and after January 1, 2015, the CDOC is required to develop and implement a grant program to provide funding to community organizations that provide reentry services to offenders. The grant program is set for sunset review and repeal by September 1, 2018. Finally, the bill contains a reporting requirement and after January 1, 2016, the CDOC is required to present information on the CDOC's progress in implementing the requirements of House Bill 14-1355 during its annual presentation before the Joint Judiciary Committee of the General Assembly.

### **HB 1378: POSTING INTIMATE PHOTOS ON THE INTERNET**

This bill creates two new class 1 misdemeanor crimes related to posting a private image or video of a person over the age of 18 through the use of social media. Each

crime requires that the private image or video be posted without the victim's consent and the actor must have known or should have known that the victim had a reasonable expectation of privacy. If the conduct is related to a newsworthy event, it is not an offense. In addition to any other sentence imposed, the court is required to levy a minimum fine of up to \$10,000 for an offense. Fines are credited to the Crime Victim Compensation Fund. The bill allows a person whose private images have been posted to bring a civil action against the person who caused the posting. The court may provide injunctive relief, the greater of \$10,000 or actual damages incurred as a result of the posting of the private images, exemplary damages, and reasonable attorney's fees and costs. No liability is imposed on the provider of an interactive computer service, an information service, or a telecommunications service for content provided by another person. The first new crime is posting a private image for harassment. To commit this crime, the conduct must have resulted in serious emotional distress to the victim. The second new crime is posting a private image for pecuniary gain, which requires that the actor intended to obtain a pecuniary benefit from any person as a result of posting, viewing, or removal of the private images. The bill sets forth a process and requirements for sealing of criminal conviction records when the offender has completed his or her sentence, including payment of any fine, and has not been convicted of another criminal offense for at least five years after completion of the sentence.

### **HB 1383: CONCERNING THE NUMBER OF PHYSICIANS THAT MUST BE PROVIDED TO AN INJURED EMPLOYEE TO SELECT A TREATING PHYSICIAN IN WORKER'S COMPENSATION CASES**

Current law requires the employer provide a list of at least two physicians and/or corporate medical providers to an employee from which to select a treating physician. Effective April 15, 2015, this law requires four physicians or corporate medical providers. There is an exception if there are less than nine physicians or corporate medical providers within 30 miles of the employers' place of business who are willing to treat an injured employee, in which case the employer may designate two physicians/corporate medical providers.

### **SB 8: CREATE WILDFIRE INFORMATION AND RESOURCE CENTER**

This bill creates the Wildfire Information and Resource Center (WIRC) in the CDPS. Within the department, the Division of Fire Prevention and Control (DFPC) is charged with collecting wildfire-related information and links in an on-line resource for homeowners, fire professionals, the media, and educators. The WIRC must present information related to: current wildfires and prescribed burns; wildfire prevention and preparation; statewide fire conditions; fire training; funding for wildfire mitigation; and other research and information at the discretion of the director of the DFPC. The DFPC is authorized to engage in a public-private

partnership and to utilize grants and donations for the WIRC. However, implementation of the WIRC does not rely on gifts, grants, or donations.

**SB 59: STATUTE OF LIMITATIONS CRIME RELATED TO SEX CRIMES**

Under current law, certain offenses that accompany sex offenses are subject to a statute of limitations, while the sex offense is not. This bill would eliminate the statute of limitations for an offense that accompanies a sex offense.

**SB 64: CONCERNING THE USE OF LONG TERM ISOLATED CONFINEMENT FOR INMATES WITH SERIOUS MENTAL ILLNESS**

This bill prohibits the CDOC from placing a person with serious mental illness in long-term isolated confinement (administrative segregation) unless exigent circumstances are present. It also creates and specifies the composition of the new Serious Mental Illness in Long-Term Isolated Confinement Work Group to advise the CDOC on policies and procedures related to the proper treatment and care of offenders with SMI. The work group is to hold its first meeting prior to July 1, 2014, and meet at least semi-annually thereafter.

**SB 118: CONCERNING IMPROVING PROTECTIONS FOR INDIVIDUALS WITH DISABILITIES.**

This act brings the definition of “disability” and other definitions under the Colorado Anti-Discrimination Act to conform to corresponding definitions in the federal Americans with Disabilities Act of 1990 and the Americans with Disabilities Amendments Act of 2008. It increases the potential fine to \$3,500 for discrimination in places of public accommodation and housing, and for violations of the rights of an individual with a disability who uses a service animal.

**SB 121: ASSISTANCE TO LOCAL GOVERNMENT AFTER A DISASTER EMERGENCY**

Under current law, if a disaster emergency is declared by the federal government, federal aid is provided by the Federal Emergency Management Agency (FEMA) and other federal agencies. In order to receive moneys from federal agencies, the federal government typically requires that the affected unit of local government provide a certain percentage of matching funds. This bill allows the Governor to determine a percentage of state aid that can be made available to a local government to assist it in meeting the federal match requirement. As soon as practical, the Governor is required to notify the Joint Budget Committee of the source and amount of state moneys that will be contributed for this purpose.

**SB 138: CIVIL IMMUNITY FOR VOLUNTEERS AT EMERGENCIES**

Under current law, volunteer firefighters participating in firefighting efforts or providing emergency care, rescue, or assistance at the scene of an emergency, have limited immunity from potential civil damages. SB 138 extends the same limited immunity, granted to volunteer firefighters, to include other volunteers, as defined in the Volunteer Service Act. The immunity applies to all good faith efforts to respond to an emergency, and the exemption does not apply to grossly negligent or reckless acts or omissions.

### **SB 172: CONCERNING FIREFIGHTER HEALTH AND CIRCULATORY MALFUNCTION BENEFITS**

This bill provides for employer paid benefits for eligible firefighters who suffer cardiac or circulatory illness as the result of strenuous work events. It does not affect the determination of whether a heart attack is compensable under the Workers' Compensation Act. Workers' Compensation would receive an offset for any benefits paid to a worker under this section.

### **SB 191: CONCERNING PROCEDURES FOR RESOLVING WORKERS' COMPENSATION CLAIMS**

Effective July 1, 2014, the Director or ALJ can consider medical treatment guidelines to determine whether medical treatment is reasonable, necessary and related to a workers' compensation injury but may consider other factors as well. Settlement documents may be submitted by email. The time to set a hearing is extended from 100 to 120 days from setting date. The time to request an Order (Specific Findings of Fact and Conclusions of Law) is extended from seven business days from the date of the Summary Order to ten business days. The Director/ALJ may issue a subpoena for an out-of-state witness on a showing of good cause and may assess penalties against an out-of-state witness who fails to appear. The Director, ALJ or panel has 30 days from receipt of a remand to issue an order consistent with the remand. If requested 3 business days in advance of an IME, the insurer must pay the claimant \$75 per day if he/she verifies that he/she will incur uncompensated wage losses as a result of attending the examination. The ATP must provide written notice via certified mail to the injured worker and insurer within 3 business days from the date the ATP refuses to treat for non-medical reasons if the injured worker requires medical treatment to cure or relieve the effects of the injury. The Notice must state the reasons for the discharge and offer to transfer the medical records to the new ATP. The lump sum award is increased from \$60,000 to \$80,868.10, including a lump sum for death benefits up to \$161,734.15 in a proportionate amount if there are multiple dependents.

### **SB 213: STATUTE OF LIMITATIONS FOR VEHICULAR HOMICIDE**

This bill modifies the statute of limitations for certain cases of vehicular homicide. For criminal defendants who also leave the scene of the accident, the statute of limitations for both crimes is increased from five years to ten years. For civil cases of wrongful death involving vehicular homicide, if the defendant also committed the offense of leaving the scene of an accident that resulted in the death of a person, the statute of limitations is increased from two years to four years. Legislative service agencies are required to do a post-enactment review of the bill five years after its implementation.

### **SB 215: DISPOSITION OF LEGAL MARIJUANA RELATED REVENUE**

This bill creates the Marijuana Tax Cash Fund (MTCF) for tax revenue collected in connection with the retail marijuana industry. These taxes include: excise tax revenue on wholesale marijuana in excess of \$40 million per year; the 10 percent sales tax revenue less 15 percent to local governments; and sales tax revenue from the 2.9 percent state sales tax on both retail and medical marijuana. Funds in the Marijuana Cash Fund (MCF) not attributable to licensing fees will be transferred to the MTCF on July 1, 2014. Programs currently funded from the MCF in the Department of Law, Department of Public Health and Environment, CDPS and the Department of Revenue (DOR) will now be funded from the MTCF. A \$2.0 million transfer currently made from the MCF to the General Fund will now be from the MTCF. Funds in the MTCF cannot be appropriated for the fiscal year in which they were received by the state except the appropriation to the DOR for the direct and indirect costs of regulating medical and retail marijuana. Any money not appropriated to the DOR may be appropriated by the General Assembly based on the most recent estimate of revenue prepared by the staff of the Legislative Council or the DOR. Beginning in FY 2015-16, 93.5 percent of the moneys in the MTCF will be available for appropriation. The bill identifies the purposes for which moneys may be appropriated from the MTCF, which include: the study of law enforcement's activity and costs related to the legalization of retail marijuana; the coordination of the Executive Branch response to the legalization of retail marijuana; increasing the expertise and knowledge among prosecutors and law enforcement officials regarding the legal and regulatory issues surrounding the legalization of marijuana; obtaining health data through surveys or other means regarding marijuana and other drug use and monitoring the health effects of marijuana, including changes in drug use patterns and the emerging science and medical information relevant to the health effects associated with marijuana use; advanced roadside impaired driving enforcement training and drug recognition expert training for peace officers; developing and implementing marijuana education and prevention campaigns; providing inpatient treatment for adults who suffer from co-occurring disorders at the Colorado Mental Health Institute at Pueblo; increasing the availability of school-based prevention, early intervention, and health care services and programs to reduce the risk of marijuana and other substance use and abuse by school-aged children; funding community-based programs to provide marijuana prevention and

intervention services to youth; funding local judicial district-based programs to provide marijuana prevention and intervention services to pre-adjudicated and adjudicated youth; expanding the provision of jail-based behavioral health services in underserved counties and to enhance the provision of jail-based behavioral health services to offenders transitioning from jail to the community to ensure continuity of care; and providing substance use disorder treatment services for adolescents and pregnant women. The bill appropriates funds for the following: creating the Office of Marijuana Coordination in the Governor's Office; two marijuana education and prevention campaigns conducted by the DPHE; creating the School-based Substance Abuse Prevention and Intervention Grant Program in the Department of Health Care Policy and Financing ; expanding the Tony Grampsas Youth Services Program in the Department of Human Services; and providing child welfare training specific to issues arising from marijuana use and abuse. The bill also authorizes the Division of Criminal Justice in the CDPS roll-forward spending authority for up to \$45,000 of a current year appropriation from the MCF to FY 2014-15 for gathering data and studying law enforcement's activity and costs related to the implementation of retail marijuana.

**SB 223: DIRECTING THE CONTROLLER TO PAY THE FULL AMOUNT OF DAMAGES AWARDED BY SPECIAL MASTERS IN THE LOWER NORTH FORK WILDFIRE LITIGATION, NOTWITHSTANDING THAT SUCH AWARDS INCLUDED HITHERTO BARRED PAYMENTS FOR NON-ECONOMIC DAMAGES AND INTEREST IN EXCESS OF DAMAGES CAPS OF C.R.S. §24-10-114(1).**

By this bill, the General Assembly paid all damages to property owners who resisted efforts to settle claims by directing parties through the Claims Board mediation process, according to amounts awarded by the Special Masters in the case, notwithstanding that amounts that had been barred by statute in the settlement process for interest and non-economic damages were thereby paid. The result has been to reward those who resisted reasonable settlement efforts under statutory guidelines and penalize those who settled in good faith. As a result, we are seeing an effort by those who reasonably settled claims to reopen the case and set aside settlements in hope of obtaining compensation for non-economic damages and interest in excess of tort claim caps. We are watching to see if the bill will affect litigation of tort claims against the state outside of the Lower North Fork Wildfire litigation and if it will affect our ability to reasonably settle these claims in the future.

**III. HOT ISSUES**

**Lower North Fork Wildfire Litigation**

At the end of Fiscal Year 2014, we had essentially completed litigation of property owner claims in the Lower North Fork Wildfire Litigation. Payment of claims to non-settling property owners in excess of limitations previously set on claims settlements may mean additional litigation as those who took settlements in good faith attempt to reopen litigation and set aside settlements to be paid their non-economic damages and interest, like those who resisted settlement. In addition, 22 insurers who brought claims for inverse condemnation have commenced appeals from the order dismissing those claims, and we will be engaged in appellate litigation to defend that order. In the event that the order is reversed, we will be engaged in litigation and trial to defend the inverse condemnation claims.

### **Possible effect on tort claims from increased damages caps.**

During the coming fiscal year we will begin to see whether substantially increased damages caps in C.R.S. §24-10-114(1) will have an effect on lawsuits filed against state agencies and state employees and on the rate of settlement of those claims versus trial.

### **Challenge to Exempt Positions in Higher Education**

Article XII, section 13 of the Colorado Constitution provides that “administrators” of educational institutions and departments “may be exempt by law” from the state personnel system. Section 24-50-135, C.R.S. implements this provision by allowing presidents of institutions of higher education to exempt certain “professional” positions and positions funded by “auxiliary activities.” The definitions of “professional” and “auxiliary activities” are defined in the statute. Colorado WINS, the exclusive employee organization for state classified employees, first challenged the facial constitutionality of the statute in a petition for declaratory action to the State Personnel Board. After the petition was denied for lack of jurisdiction, Colorado WINS filed challenges to the statute as it was applied to several different positions at Colorado Mesa University, Adams State University, and the Colorado School of Mines. Colorado WINS withdrew its claims against Colorado School of Mines, with prejudice. The remaining claims against Colorado Mesa University and Adams State University are before the State Personnel Board on motions for summary judgment, and are subject to appeal to the Court of Appeals.

### **Anticipated Increase in Discrimination Claims before the State Personnel Board and Colorado Civil Rights Division**

On January 1, 2015, the remedies created in HB 13-1136 will take effect. This bill expanded the remedies for persons found to be discriminated against in employment to include compensatory damages, punitive damages in certain situations, and attorney fees. The bill requires state employees to go to state court to obtain additional remedies after they receive a decision finding discrimination from the state personnel board. We anticipate that this increased liability exposure will likely increase the number of discrimination claims filed with the State Personnel Board and with the Colorado Civil Rights Division during the second half of the fiscal year.

### **Colorado Bridge Enterprise litigation**

The TABOR Foundation sued the CBE, the Transportation Commission and individual Transportation Commissioners in their official capacities, claiming that the Bridge Safety Surcharge authorized by SB09-108 constituted a “tax” rather than a “fee” requiring statewide voter approval and also claiming that \$300 million in bonds issued by the Bridge Enterprise in December 2010 to fund “designated bridge” repair and reconstruction projects required voter approval. The lawsuit sought a declaration that the Bridge Safety Surcharge and bonds are illegal and should be enjoined. A two-day bench trial held before Judge Michael Martinez of Denver District Court in May 2013. The lawsuit was defended by both the Transportation unit and outside counsel retained on behalf of the Transportation Commission and the individual Transportation Commissioners. Judge Martinez issued his final order in July 2013 and CBE won on all issues. Judge Martinez concluded that the bridge safety surcharge was indeed a fee and not a tax and held that CBE was an “enterprise” under TABOR because it did not receive impermissible “grants” from CDOT exceeding the 10 percent limitation on state and local government revenue in any fiscal year. The TABOR Foundation appealed Judge Martinez’s order and the appeal was fully brief in late 2013 and early 2014 and oral argument was held before a Colorado Court of Appeals’ panel on July 8, 2014. The Court of Appeals’ opinion is expected by the end of 2014.

### **High Performance Transportation Enterprise.**

The HPTE worked throughout most of FY14 to pursue a concession agreement for construction, operation and maintenance of “managed lanes” on U.S. Highway 36 and I-25. Financial close on this concession deal was reached in late February 2014. The Transportation unit actively assisted with the drafting of the concession agreement and negotiation of concession terms. An entity entitled the Drive Sunshine Institute filed a lawsuit against HPTE and others as a result of the US 36 concession deal, but has not served the lawsuit. HPTE has started to work, and will continue through FY15, on a potential public-private partnership to replace the I-70 viaduct in Denver.

## **Outdoor Recreation**

The CDOC is currently litigating several cases, including a class action lawsuit relating to outdoor recreation for offenders in segregation. The federal courts have held that extended denial of outdoor recreation constitutes a violation of the Eighth Amendment. The CDOC's maximum security facility does not have outdoor recreation areas for inmates. The litigation is proceeding rapidly and CDOC is attempting to moot the issue before any additional adverse rulings occur.

## **Mentally Ill Offenders and housing offenders in Administrative Segregation for extended periods of time**

The CDOC has considerably revised its policies pertaining to housing mentally ill offenders in Administrative Segregation, and housing offenders in general in Administrative Segregation for extended periods of time. The CDOC has several cases relating to extended confinement in Administrative Segregation. In addition, recent trends across the country as well as communications from the ACLU here indicate that litigation relating to extended confinement in administrative Segregation is detrimental to offender's mental health and exacerbates mental health problems in offenders with existing mental health problems. Revisions of the CDOC policy have reduced the inmate population in segregation from 1,505 in 2011, to 221 as of July of 2014. CDOC is also no longer housing offender in "Administrative Segregation." Rather, the new high custody designations are as follows:

Restrictive Housing Maximum Security Status: The most restrictive offender management status for those offenders who have demonstrated through their behavior that they pose a significant risk to the safety and security of staff and other offenders, as well as to the safe and orderly operation of general population. Maximum Security status is primarily used for offenders who have demonstrated through their behavior that they pose a risk to the safe and orderly operation of a general population correctional facility. *Maximum 12 months duration.*

Close Custody Management Control Unit (MCU): A close custody designation that provides an increased level of housing, supervision and control to maintain the safety of the public, volunteers, staff and offenders. Assignment to Close Custody Management Control Units (MCU) is primarily used as a progressive management assignment for offenders who are progressing from Restrictive Housing Maximum Security Status, as well

as for those general population offenders who have demonstrated, through their behavior, that they pose a significant risk to the safe and orderly operation of a correctional facility. *Includes cognitive behavioral programming.*

Close Custody Management Control Unit / High Risk (MCU/HR): A close custody designation that provides an increased level of housing, supervision and control to maintain the safety of the public, staff, volunteers, and offenders. Assignment to Close Custody Management Control Unit / High Risk (MCU/HR) is primarily used as an assignment for those offenders who through their actions have exhibited behaviors that pose a substantial and significant risk to the safety and/or security of the public, volunteers, staff and other offenders. *Includes cognitive behavioral programming.*

Close Custody Management Control Unit / Protective Custody (MCU/PC): A close custody designation that provides an increased level of housing, supervision and control to maintain the safety of the public, staff, volunteers, and offenders. Assignment to Close Custody Management Control Unit / Protective Custody (MCU/PC) is primarily used as an assignment for close custody offenders who have legitimate, validated and documented protective custody issues in accordance with AR 650-02, Protective Custody.

Close Custody Transition Units (CCTU): A temporary close custody designation/assignment for close custody offenders who have exhibited behavior that warrants the opportunity to receive cognitive programming and increased interaction for offenders to prepare them for placement in a less controlled environment. Assignment to Close Custody Transition Units (CCTU) is primarily used as a temporary (6-month) progressive management assignment for close custody offenders who are either progressing from Close Custody Management Control Units or for newly arrived offenders who score close custody on their initial intake classification. *Includes cognitive behavioral programming.*

## **Sentence Time Computation**

Sentence time computation and specifically the awarding, vesting, withdrawal, and application of good time and earned time credits remain a hot issue, both in the legislature and in the courts. On the litigation front, the application of good time credits earned by an offender and whether these credits apply to an offender's discharge date, or merely the date the offender becomes eligible for discretionary

parole is currently being litigated in the Colorado Supreme Court and in various district courts throughout the state. Amendments and enactments of various time credit statutes over time has resulted in confusion on proper application and warding of time credits. As a result the Corrections Unit has received inconsistent rulings on time computation issues. The CDOC in conjunction with the Attorney General's Office has put together a working group to amend, consolidate and simplify the earned time and good time statutes.

#### IV. WORKLOAD MEASURES

The workload measurements below do not reflect all areas of work these units address on behalf of clients. The measurements below are presented to provide a representation of the type and gravity of work each unit performs throughout each year.

##### **Corrections and Public Safety Unit:**

Workload Measure	Unit	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
New cases	Corrections and Public Safety	336 (202 inmate, 93 Risk Mgmt, 41 advice, 0 assigned to outside counsel)	253 (145 inmate, 72 Risk Mgmt, 36 advice, 0 assigned to outside counsel)	300 (183 inmate, 83 Risk Mgmt, 26 advice, 8 Public Safety)	350	350
Billable hours		16,860	16,294	19,594	21,000	21,000

##### **Employment/Personnel and Civil Rights Unit:**

Workload Measure	Unit	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Personnel cases opened	Employment Personnel	240	233	232	250	275
Special funds (SIF and MMIF) cases opened		6	1	0		
Civil rights matters opened (including		18	12	10	15	15

hearing worthiness analysis and probable cause sufficiency analysis)						
Billable hours		18,690	19,579	19,609	21,000	21,000

**Employment Tort Unit:**

Workload Measure	Unit	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
New cases opened	Employment Tort	21 new cases with 123 claims	6 new cases with 12 claims	9 new cases with 27 claims	15 new cases with 105 claims	20 new cases with 140 claims
Cases and claims handled in-house		54 cases with 554 claims	43 cases with 174 claims	39 cases with 105 claims	40 cases with 280 claims	40 cases with 280 claims
Cases and claims handled by outside counsel		5 cases with 13 claims	5 cases with 13 claims	0 cases	1 case	1 case
Billable hours		9,940	7,435	6,878	7,500	8,500

**Tort Litigation Unit:**

Workload Measure	Unit	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 156 Request
New cases opened	Tort Litigation	89	86	82	90	90
Notices of claims received and reviewed		1,843	1,835	1,773	1,850	1,850
Conflicts cases handled (new FTE added in FY 11)		60	60	58	63	63
Billable hours		19,397	20,115	20,646	20,700	20,700

**Transportation Unit:**

Workload Measure	Unit	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 14 Estimate	FY 15 Request
New cases filed	Transportation	41	39	48	40	40
Pending cases		42	35	37	45	45
Number of trials		0	3	2	2	2
Contested hearings		6	14	8	10	10
Condemnation cases resolved		14	16	15	15	15
Contracts reviewed		620	410	114	200	200
Billable hours		13,083	11,500	12,100	13,500	13,500

**Workers' Compensation Unit:**

Workload Measure	Unit	FY 14 Actual	FY 15 Estimate	FY 16 Request
New cases opened	Workers' Compensation	263 new cases	270 new cases	270 new cases
Cases and claims handled in-house		173 cases	250 cases	250 cases
Cases and claims handled by outside counsel		90 cases	20 cases	20 cases
Billable hours		7,472	10,800	10,800

## **I) BACKGROUND INFORMATION: NATURAL RESOURCES AND ENVIRONMENT SECTION (LSSA).**

### **Introduction to the Natural Resources and Environment Section**

The Natural Resources and Environment Section protects and defends the interests of Colorado and its citizens in all areas of natural resources and environmental law. The Section, on behalf of the Colorado Department of Natural Resources (DNR) and the Colorado Department of Public Health and Environment (CDPHE), represents and advises state agencies, boards and commissions who regulate the development, use and conservation of the State's natural resources and protect the quality of the environment.

Section attorneys, with the support of legal and administrative assistants, provide general legal advice and represent our clients on administrative matters, rulemaking hearings, transactional matters, enforcement actions, judicial proceedings and legislative matters. We help to protect legal interests in natural resources and ensure compliance with environmental laws.

### **CDPHE Clients**

#### **Water Quality & Radiation Unit**

This Unit represents the divisions and commissions of CDPHE responsible for protecting and improving the quality of our State's water resources. The Unit also represents the division of CDPHE charged with controlling radioactive materials. Specifically, the Unit provides legal counsel to the Executive Director's Office, the Radiation Management Program, the Water Quality Control Commission, the Water Quality Control Division, the Water and Wastewater Facility Operators Certification Board, the Office of Administration, the Office of Policy and Public/Private Initiatives, the Uranium, X-ray, Radioactive Materials, and Special Projects Units, and the Consumer Protection Division.

#### **Air Quality Unit**

This Unit represents the divisions and commissions of CDPHE responsible for improving and protecting our State's air quality. Specifically, the Unit provides legal counsel to the Executive Director's Office, the Air Quality Control Commission, and the Air Pollution Control Division. The Unit also represents the Colorado Energy Office.

#### **Hazardous & Solid Waste Unit**

This Unit represents the Hazardous Materials & Waste Management Division regarding the storage, treatment and disposal of solid and hazardous waste. The Unit ensures contaminated sites are promptly and thoroughly cleaned up by those responsible for the contamination, and pursues enforcement actions when appropriate. The Unit advises the Division on EPA-lead cases to ensure State input is incorporated into federal cleanup

actions. The Unit also provides legal advice to the Petroleum Storage Tank Committee, which oversees reimbursement of cleanup costs under the Petroleum Storage Tank Fund.

## **DNR Clients**

### **Water Conservation Unit**

The Unit assists Colorado Water Conservation Board (CWCB) to adopt and implement programs regarding instream flow protection, recreational in-channel diversions, flood management, water conservation and weather modification. The Unit helps draft contracts for CWCB's grant and loan program which provides funding for many purposes including water studies, conservation efforts, water rights purchases, reservoir construction, and dam rehabilitation. The Unit also acts to acquire and protect water rights on behalf of CWCB, Colorado Parks and Wildlife, the State Land Board, the Department of Transportation, and the Department of Corrections.

### **Water Resources Unit**

This Unit represents the Division of Water Resources (also known as the Office of the State Engineer) including the State Engineer, the seven Division Engineers, the Colorado Groundwater Commission, and the Board of Examiners for Water Well Contractors. The Unit represents its clients in administrative and court proceedings. Before the water courts, the Unit represents DWR in various water matters, including applications for new water rights, changes of water rights, plans for augmentation, objections to well permit issuances and denials, enforcement of curtailment and other administrative orders, appeals of agency decisions, the promulgation of agency rules, and other water rights related matters.

### **Resource Conservation Unit**

This Unit represents the Oil and Gas Conservation Commission, the Division of Reclamation, Mining and Safety, the Mined Land Reclamation Board, the Colorado Coal Mine Board of Examiners, the Colorado Inactive Mines Program, and the Mine Safety Unit. These clients implement and enforce numerous programs including regulation of reclamation of land that has been impacted by mining operations, regulation of oil and gas operations, and closure of inactive or abandoned mines. The attorneys help to ensure that the mining and oil and gas industry comply with all environmental protection and reclamation requirements.

### **State Trust Lands Unit**

This Unit primarily represents the State Board of Land Commissioners (also known as the Land Board). The Unit is responsible for all legal matters involving the Land Board, including advising on the management of real property (land, minerals, and water) throughout the state, and representing the Land Board in any legal or administrative proceedings. The Unit assists the Land Board in its trustee capacity as manager of eight trusts of land granted to the state by the federal government, the largest of which is the

school lands trust for the benefit of public K-12 education in Colorado. The Land Board manages the trusts under the constitutional and statutory provisions governing the trusts to generate revenue or other benefits for the purposes of the respective trusts. Given the Board's role to generate revenues, representation of the Land Board is similar in many respects to representation of a for profit corporate business entity and, as such, raises issues frequently not presented by other state agencies.

### **Parks and Wildlife Unit**

This Unit represents the Division of Parks and Wildlife and the Parks and Wildlife Commission on all legal matters, including advising on the management of the Division's significant real property and water rights holdings and assisting to implement its numerous regulatory programs (hunting, fishing, threatened and endangered species, recreational trails, vessels, snowmobiles, Off-Highway Vehicles, river guides). Parks and Wildlife generates its own revenues through the sale of hunting and fishing licenses, parks passes, and other permits and uses these funds to manage all wildlife and park and outdoor recreation resources.

## **II) PRIOR YEAR LEGISLATION**

### **Water Quality & Radiation Unit**

SB 14-192 amended the Radiation Control Act, C.R.S. §25-11-101 *et seq*, as it pertains to licensing and decontamination of uranium mills and uranium milling operations. The Unit was heavily involved with negotiating language with stakeholders and their attorneys. Implementing the bill will require regulatory changes and legal assistance from the Unit.

### **Air Quality Unit**

None.

### **Hazardous & Solid Waste Unit**

HB 14-1352 concerns the management of waste tires. This bill consolidated Colorado's waste tire laws in the solid waste statutes, consolidated regulatory and fee collecting authority in CDPHE and replaced the Waste Tire Processor and End User Fund with the End User Fund, which will pay people who find end uses for waste tires and tire-derived products, until the Fund sunsets in 3 years. The bill also changed the process for cleaning up illegal tire piles and established a process for CDPHE to recover money from parties responsible for creating waste tire piles that are remediated with State funds. Additionally, the bill refined existing CDPHE authorities governing waste tire and used tire management and processing. The bill required the Solid and Hazardous Waste Commission to promulgate implementing regulations.

### **Water Conservation Unit**

HB 14-1333 authorized \$138,079,000 in funding for various projects from the Construction Fund and Severance Tax Perpetual Base Fund, including \$87,769,000 for the Chatfield Reservoir Reallocation Project, a partnership with the U. S. Army Corps of Engineers (Corps) and local water providers to reallocate up to 20,600 acre-feet of existing flood storage to new water supply storage space in Chatfield Reservoir.

### **Water Resources Unit**

HB 14-1005 amends existing statutes to clarify that those water users whose headgates cannot obtain sufficient water because the stream has relocated or lowered may relocate their headgates upstream in order to obtain the full water supply to which they are entitled without filing an application with the water court for a change in point of diversion.

SB 14-026 removed certain statutory printing requirements for the Division of Water Resources, including the following requirements for: (1) the State Engineer to provide copies of his General Assembly annual reports to the governor and chairs of legislative committees dealing with agriculture and natural resources; (2) the Division Engineers to prepare biennial water right tabulations (now to be maintained and made available on the State Engineers' website); (3) the State Engineer to provide notice of agency decisions on applications for approval of substitute water supply plans and interruptible water supply agreements to water court parties via first-class mail (now by electronic e-mail unless a party has elected to receive service via first-class ).

SB 14-105 eliminated the requirement that a portion of certain statutory fees collected by the State Engineer for the Water Resources Cash Fund be transferred to the state's general fund.

### **Resource Conservation Unit**

HB 14-1356 authorizes an increase in the maximum daily penalty for violations of the Colorado Oil and Gas Conservation Act or Colorado Oil and Gas Commission ("COGCC") regulations. It increases the maximum daily penalty from \$1,000 to \$15,000 and removes the current maximum penalty cap of \$10,000 per violation. In addition to the monetary fine increases, HB-1356 authorizes the COGCC to impose other penalties on operators responsible for egregious violations, including restrictions on the issuance of new permits and suspension of existing permits. HB-1356 also creates a reporting requirement for COGCC to publish a quarterly report on the COGCC website listing certain information relating to any penalties assessed in the previous quarter.

SB 14-076 creates a new limited-impact permit for mining operations that affect 5 acres or less of surface area and subjects new applicants to the same permitting standards as the existing larger limited-impact permit category (less than 10 acres). The existing small limited-impact permit operations have until July 1, 2015, to comply with the new permit standards with regard to financial warranties and demonstrating the operator's right to

conduct mining operations. SB 076 also increases the annual fee for new small limited-impact permits for operations from \$86 to \$172.

SB 14-198 created a mineral extraction study group, comprised of members of the general assembly and the public, to research and study matters relating to the imposition and allocation of federal mineral leasing revenues.

### **State Trust Lands Unit**

HB 14-1210 requires state agencies that own forest land, rangeland, or wildland areas to enter into intergovernmental agreements with each county to address cooperation regarding the prevention of, the responses to and the payment of costs for fighting wildfires. Although originally exempt from the legislation, the State Land Board is now required to “evaluate the feasibility of entering into these agreements” by September 2014. It will have to report the feasibility findings to the Wildfire Matters Review Committee.

### **Parks and Wildlife Unit**

SB 14-188 appropriated \$1.5 million to fund a full-time attorney position to assist the state in preparing for and responding to a spate of upcoming listing decisions by the U.S. Fish and Wildlife Service under the federal Endangered Species Act for species native to and currently present in Colorado, including the lesser Prairie Chicken, the Gunnison Sage Grouse and the greater Sage Grouse.

## **III) HOT ISSUES**

### **Water Quality & Radiation Unit**

CORA: This past year the Unit spent substantial resources defending several Colorado Open Records Act lawsuits filed by a community group over CDPHE’s regulation of and closure plans for the Cotter Corporation’s Cañon City uranium milling facility. Although CDPHE prevailed on all of the issues and documents with one minor exception (out of hundreds of documents), the court awarded attorney fees to the community group. That award is on appeal to the Colorado Court of Appeals. In the meantime, the community group has filed another open records request that covers several hundred more documents.

Cotter Uranium Mill: After six months of lengthy and complicated negotiations, CDPHE, Cotter and EPA recently finalized an Administrative Order on Consent that will govern and guide the clean-up of the Cotter Cañon City Uranium Mill for several years to come.

Southern Delivery System: The Unit, on behalf of CDPHE, successfully defended CDPHE’s decision to approve the SDS project, a water supply project being built by the City of Colorado Springs. The Pueblo County DA and an environmental group

challenged the decision, and district court judge agreed with them. We successfully got the decision reversed by the Court of Appeals, and the Colorado Supreme Court declined to review that decision.

International Risk Group (IRG) is a company that redevelops contaminated properties. IRG recently sued CDPHE in Denver District Court over CDPHE's refusal to terminate IRG's water quality permit for the Bayaud facility on the South Platte River in Denver. Although IRG and CDPHE are discussing settlement, it is likely that the lawsuit will move forward, in part because of a third-party lawsuit against IRG over the same facility. That third-party lawsuit will also require a substantial amount of the Unit's and CDPHE's time.

### **Air Quality Unit**

Several significant matters will continue to demand attention by the Air Quality Unit. Conservation groups appealed select parts of Colorado's Regional Haze State Implementation Plan to the 10<sup>th</sup> Circuit Court of Appeals. The Air Quality Unit represents intervenor CDPHE. While parts of this appeal have been settled, the unit will defend the plan's provisions addressing Xcel Energy's Comanche Generating Station. The unit is also representing CDPHE in a joint civil enforcement action with the U.S. E.P.A. against Noble Energy for failing to adequately control volatile organic compound emissions from its exploration and production facilities, directly contributing ozone precursor pollutants in Colorado's only ground-level ozone nonattainment area.

The unit will be involved in rulemaking to address changes to Colorado's greenhouse gas regulations in the wake of the United States Supreme Court's decision partially striking down EPA's decision to regulate GHG's through its major source permitting program. The unit will be involved in substantial rulemaking to address EPA's initiative to regulate carbon dioxide from existing power plants. The unit is defending a conservation group's civil action to prompt faster action on pending major source permit applications and anticipates more such actions.

The Colorado Energy Office is becoming more actively engaged in targeted issues matters heard by the Public Utilities Commission, such as the implementation of the Renewable Energy Standards which will likely involve certain innovative approaches by power companies to achieve these standards.

### **Water Conservation Unit**

Governor Hickenlooper vetoed Senate Bill 14-023, which allowed "water efficiency savings" (water not consumed under existing practices and reduced as a result of structural improvements that increase water efficiencies) to be changed for instream use by the Colorado Water Conservation Board. The Governor voiced support for a targeted pilot program that would encourage conservation of water resources and keep more water in streams and rivers for water quality purposes. The CWCB recently voiced approval of

a proposed project that would allow a change of water for both instream flow and irrigation, noting such project would support the purposes behind the vetoed SB 14-023.

### **Water Resources Unit**

The Division of Water Resources believes that a water right's historical consumptive use may be subject to requantification in each successive case for a change of a water right to a new purpose and such requantification should include any years of diminished use or unexcused nonuse. Certain municipal water providers and private water suppliers disagree. These issues are the subject of two pending Supreme Court appeals.

The Division of Water Resources seeks to hold the oil and gas industry to the same water law principles and doctrines applicable to other water users, including (1) limiting new or changed appropriations to the amount of water for which the industry can show a legitimate and documentable need at lands or facilities in which the industry has a legal interest or a reasonable likelihood of obtaining such an interest, and (2) limiting previously decreed conditional oil and gas water rights to be changed to that amount of water originally contemplated for use and consumption at the time of the original appropriations.

### **Resource Conservation Unit**

Executive Order 2013-004 required the COGCC undertake a strategic review of its enforcement program, penalty structure, and imposition of fines to evaluate whether they strongly deter violations and encourage prompt and cooperative post-violation response and mitigation. COGCC policy response to the Order coupled with the increased penalties authorized by HB 14-1356 has resulted in an increase in enforcement related activities. Unit attorneys are involved in numerous aspects of the enforcement process, most notably the drafting of stipulated Agreement on Consent for the majority of new enforcement actions, a trend that will likely continue.

In addition, the COGCC has been inundated with Colorado Open Records Act (CORA) requests and Unit attorneys have spent a considerable amount of resources assisting the client with responses. The majority of CORA requests relate to hydraulic fracturing records, violation/penalty records, flood-related damages, and correspondence related to setback enforcement.

### **State Trust Lands Unit**

Rangeview Metropolitan District and Pure Cycle Corporation filed suit against the State Board of Land Commissioners claiming the Board gave them with the exclusive right to provide water service to all water users on the former Lowry Bombing Range. The plaintiffs base their case on contract theories of promissory estoppel and reformation of a water lease between the Board and Rangeview and claim \$128 million in damages. Trial was scheduled for three weeks in July 2014. The parties reached a negotiated settlement the weekend before the trial was scheduled to start. Under the settlement, the State Land Board was released from all damages and the parties made several concessions to each

other under the lease that the parties hope will make their relationship more productive and less contentious in the future. The settlement also allows the Land Board to realize the full benefits of its oil-and-gas lease with ConocoPhillips, which provides the Land Board with \$137 million in bonus payments plus royalties for actual production. The unit devoted substantial resources to negotiating and implementing a final resolution of a longstanding disagreement with SR Team, LLC involving a real estate development in Douglas County. As a result, the Land Board received a premium value of \$16 million for section school.

### **Parks and Wildlife Unit**

The Unit has spent substantial resources defending a lawsuit filed by a conservation group (“Rags over the Arkansas River” or “ROAR”) challenging the decision of the Parks and Wildlife Commission to authorize a temporary display of art known as the “Over The River” project by internationally known artists Christo and Jean-Claude. ROAR challenged the decision on a number of substantive and procedural grounds. The Denver District Court ruled for the PWC on all issues and the matter is currently before the Colorado Court of Appeals.

Colorado is home to three declining grouse species, the lesser Prairie Chicken, the greater Sage Grouse and the Gunnison Sage Grouse, all of which are recently listed or proposed for listing within the next by the U.S. Fish and Wildlife Service under the federal Endangered Species Act. Such listings can have significant impact to land use within the birds’ habitat within Colorado, as well as a negative impact on the state’s relationship with local governments, which at the state’s urging has spent significant resources to support conservation actions intended to protect the birds. The Governor’s Office, the Department of Natural Resources and Colorado Parks and Wildlife have all publicly stated that such listings, particularly any listing of the Gunnison Sage Grouse will be challenged by the state.

## **IV) WORKLOAD MEASURES**

### **Water Quality & Radiation Unit**

The attorneys in the Unit provide general legal advice as well as representation in regulatory, administrative, and judicial proceedings, enforcement actions, and legislative proposals. This includes representing clients in meetings, rulemaking hearings, and adjudicatory proceedings before the Water Quality Control Division, Water Quality Control Commission, the Water and Wastewater Facility Operators Certification Board, the Radiation Management Program, the Board of Health, and various state and federal courts. As just one example, the attorneys prepare for and attend approximately 15 – 20 meetings of various boards and commissions annually.

The attorneys assist the water quality division and radiation program in obtaining and maintaining delegation from the EPA and the U.S. Nuclear Regulatory Commission to administer the state counterparts of corresponding federal environmental and radiation programs. They ensure that adoption, implementation and enforcement of the state’s

environmental programs are consistent with state and federal requirements, and defend any challenges to such programs. The attorneys are regularly involved in issues of statewide importance, including such matters as clean streams, rivers and lakes, safe drinking water, the regulation of medical and industrial uses of radioactive materials, X-ray machines, clean-up of historic uranium mills, and licensing of new uranium mills.

The Unit's attorneys have a regular caseload of enforcement actions. The attorneys seek to ensure compliance with environmental programs through creative, non-punitive means, as well as through traditional enforcement methods. In addition to traditional cash penalties, the attorneys help to negotiate supplemental environmental projects, which can be used to reduce cash penalties and improve the environment, and environmental covenants to ensure protection of the public health and safety. In the regulatory arena, the attorneys help to draft and to negotiate clear, effective and efficient regulations and legislation on behalf of their clients. They review proposed legislation to ensure that it is consistent with existing laws and regulations.

In recent years the CDPHE has experienced an increase in workload related to many of the state's water quality and radiation control programs. Such workload increases have included and will include large-scale rulemakings such as basin-wide water quality standards and classifications regulations. They also include new temperature, organic chemicals, and arsenic standards, other permitting regulations, and water pollution issues from oil and gas operations. There has also been an increase in litigation concerning challenges to CDPHE decisions, such as with respect to water permits, radioactive materials licenses, Open Records Act issues, agency commission determinations, construction stormwater enforcement, water treatment plant site approvals, and drinking water disinfection revocations. This trend has required the Unit to spend additional time assisting the client to develop and defend its decision-making record. A recent increase in major federal environmental legislation, litigation, and policy initiatives will require additional legal resources as the client makes changes to its corresponding state program.

### **Air Quality Unit**

The attorneys in the Air Quality Unit provide general legal advice as well as representation in regulatory, administrative and judicial proceedings, enforcement actions, and legislative proposals. This includes representing clients in meetings, rulemaking hearings, and adjudicatory hearings before the Air Pollution Control Division, Air Quality Control Commission, the Public Utilities Commission, the Board of Health, and various state and federal courts. As just one example, the attorneys prepare for and attend approximately fifteen meetings of various boards and commissions annually.

Unit attorneys assist the Air Pollution Control Division and Air Quality Control Commission in obtaining and maintaining delegation from the EPA to administer the state counterpart of corresponding federal environmental program. They ensure that adoption, implementation and enforcement of the state's environmental programs are consistent with state and federal requirements, and defend any challenges to such programs. The attorneys are regularly involved in prominent issues of statewide importance, including such matters as compliance with national standards for ground level ozone and regional haze, greenhouse

gas regulation, and the management of pollution emissions associated with wildfires and controlled, open burning. Two unit attorneys also serve as counsel for the Colorado Energy Office, representing the office when it intervenes in cases before the Public Utilities Commission.

The Unit's attorneys have a regular caseload of enforcement actions. The attorneys seek to ensure compliance with environmental programs through creative, non-punitive means, as well as through traditional enforcement methods. In addition to traditional cash penalties, the attorneys help to negotiate supplemental environmental projects, which can be used to reduce cash penalties and improve the environment. In the regulatory arena, the attorneys help to draft and to negotiate clear, effective and efficient regulations and legislation on behalf of their clients. They review proposed legislation to ensure that it is consistent with existing laws and regulations.

The Air Pollution Control Division continues to experience significantly more work associated with rulemaking, permitting and enforcement due to an increase in oil and gas exploration and production as well as an increasingly educated regulated industry, requiring additional support from the Unit's attorneys. As the Division manages this workload, there is more demand on Air Quality attorneys to address a myriad of issues. The Air Quality Control Commission adopted significant rulemaking revisions for its oil and gas air emission controls program and anticipates more revisions to address Regional Haze plan adjustments as well as new and tighter federal standards for greenhouse gases, ozone, nitrogen oxides and sulfur dioxides. These complicated and contentious program changes demand significant resources from the Commission and the Division. Air Quality Unit attorneys will continue to see a demand for legal support on these program changes. In recent years, the Division and Commission have been involved in litigation over decisions approving the Regional Haze State Implementation Plan, permits, and Open Records Act issues. This trend has required the Unit attorneys to spend additional time advising the agencies and defending their decisions.

### **Hazardous & Solid Waste Unit**

This Unit represents the HMWMD in a wide variety of civil matters. The Unit's attorneys promptly review draft administrative orders to ensure that they are within the client's authority to issue and enforce. Additionally, the Unit represents the related rule-making body, the Solid & Hazardous Waste Commission, and ensures it complies with applicable statutory and regulatory procedural requirements, and advises the Commission as needed. The Unit also handles civil and administrative litigation and assists the client in formulating litigation strategy, amassing evidence, preparing witnesses, and appearing in administrative, trial and appellate courts. If facilities refuse inspection, attorneys obtain administrative search warrants, often within a few hours of the initial request. The attorneys help draft and negotiate clear, effective and efficient hazardous and solid waste regulations and legislation on behalf of their clients. Many Solid Waste Regulations are being completely revised and re-promulgated over the next several years. The attorneys review proposed legislation to ensure that it is consistent with existing laws and regulations. In addition, the client requests the attorneys provide trainings on a variety of

topics, including 4<sup>th</sup> amendment issues, CORA and open meetings laws, and general enforcement topics.

### **Water Conservation Unit**

The Unit assists the CWCB, and to a lesser extent, the Department of Transportation, the Department of Corrections, the State Historical Society and the Department of Education in acquiring, maintaining and protecting water rights. In order to meet expectations of that goal, the Unit: (1) evaluates water rights portfolios and recommends and assists in acting to protect the water; (2) identifies and resolves problems concerning existing water rights through stipulated settlements or litigation; (3) pursues changes of water rights or applications for new water rights as directed by the client; (4) protects clients' water rights and access easements; (5) represents and assists client agencies in administrative proceedings and hearings and advises staff in preparing for such meetings; (6) assists CWCB staff in developing and obtaining Controller approval of standard loan contract and revisions; (7) assists CWCB staff in resolving issues related to loans and grants and in developing loan programs and procedures; (8) provides comprehensive research for client agencies, including drafting legal memos and opinions; (9) advise CWCB regarding water and water rights acquisitions for instream flows and help assess the quality and viability of such prospective acquisitions, including negotiating specific terms of purchase.

### **Water Resources Unit**

Attorneys in the Water Resources Unit represent the State Engineer and his seven Division Engineers in water matters before Colorado's seven water courts. These matters may include: (1) opposition to applications for new water rights, changes of water rights, plans for augmentation, required findings of reasonable diligence in the development of conditional water rights, or to make conditional water rights absolute through actual use; (2) water right abandonment proceedings initiated by the Division Engineers; (3) the enforcement of water right administrative orders issued by the Division Engineers; (4) complaints for declaratory or injunctive relief regarding water rights or their administration; (5) appeals of the State Engineer's rulemakings; and (6) other State Administrative Procedures Act appeals of agency actions related to well-permitting, nontributary ground water determinations, temporary substitute water supply plans, interruptible water supply agreements, and other determinations delegated to the State Engineer by the General Assembly. Presently, the Unit is handling hundreds of water matters in varying stages of litigation.

Unit attorneys also represent and advise the Colorado Ground Water Commission and the State Engineer's staff in proceedings before the Commission at its quarterly meetings. The Ground Water Commission is a regulatory and adjudicatory body authorized by the General Assembly to manage and control ground water resources within eight Designated Ground Water Basins in eastern Colorado. These basins have very little surface water and users rely primarily on ground water as their source of supply. Matters before the Commission may include: (1) the determination of designated ground water basins or requests to de-designate all or a portion of designated basins; (2) the creation of ground

water management districts; (3) the creation and adoption of rules and policies; (4) reviews of requests for variances from such rules and policies; and (5) appeals of determinations the Commission has delegated to the State Engineer. Such determinations by the State Engineer include: (1) the issuance of new conditional large capacity well permits; (2) the determination of rights to ground water in the Denver Basin aquifers within the designated basins; (3) the issuance of replacement well permits for large capacity wells; (4) the determination of water rights or changes of water rights for large capacity wells; and (5) the issuance of final permits for such wells. The State Engineer and his staff also provide technical and administrative support to the Ground Water Commission and the Ground Water Management Districts.

Unit attorneys also represent the Board of Examiners of Water Well Construction and Pump Installation Contractors, which has general supervision and authority over the construction and abandonment of wells and the installation of pumping equipment, with the ability to adopt and revise related rules. The Board of Examiners also has the authority to examine for, deny, approve, revoke, suspend, and renew the licenses of applicants and disseminate information to pump installation contractors and well construction contractors in order to protect and preserve the ground water resources of the state. The Board handles complaints regarding licensed water well construction and pump installation contractors and those persons operating without a license. Unit attorneys assist the Board with hearings, the judicial enforcement of the Board's orders, and complaints against unlicensed contractors.

### **Resource Conservation Unit**

Attorneys in the Resource Conservation Unit represent the Division of Reclamation, Mining and Safety (DRMS) in administrative hearings held monthly before the Mined Land Reclamation Board. Attorneys advise and assist the Division in preparing for administrative hearings and represent the Division at prehearing conferences and at the hearings. In addition, the Unit represents Division staff related to administrative enforcement actions. These administrative enforcement hearings can range from small hearings with a few people involved to time consuming hearings in which numerous parties (operator, objectors, attorneys, etc.) and complex issues (water quality, uranium contamination, legal right to enter) are involved. In addition, attorneys represent the Division in all litigation and related appeals. The attorneys also assist the Division in drafting proposed regulations for rulemaking hearings, and will do so this year related to SB 14-076. Unit attorneys also provide day-to-day verbal and written advice and representation to the Division on a variety of legal issues and matters.

The DRMS continues to see a substantial increase in its workload related to gold, silver, molybdenum, and uranium prospecting and mining/development. Such mining and development will ultimately result in reclamation permit applications and/or amendments being filed with the Division and possible hearings being held on such applications before the Mined Land Reclamation Board. In addition, there have been a number of contested Coal matters involving complex issues and contentious parties. Finally, the Division has seen an increase in illegal mining violations that are resolved via stipulated

agreement or contested administrative hearings. Accordingly, there will be a parallel increased need for legal services.

Unit attorneys also act as legal advisor to the Colorado Oil and Gas Conservation Commission (COGCC) at monthly administrative hearings. The Unit represents COGCC staff on administrative enforcement actions and handles all litigation for this client. They formulate litigation strategy, amass evidence, prepare witnesses, and appear in administrative, trial and appellate courts. The Commission's attorney also assists in drafting proposed regulations for rulemaking hearings. The Commission has several large rulemaking hearings a year with multiple parties and numerous alternate proposals. The attorneys provide day-to-day verbal and written advice and representation to the Commission and staff on a variety of legal issues and matters. The attorneys work with the COGCC and its staff to set priorities for legal services based on workload, need, and budget constraints. The average yearly number of matters for which legal services are provided runs in the hundreds. This workload will dramatically increase as the COGCC increases its enforcement efforts in response Executive Order 2013-004. The trend of issuing record breaking numbers of applications for permits to drill will most likely continue this year.

Nearly two years ago the COGCC initiated litigation regarding the preemption of a local municipality's oil and gas regulations and is a party to a lawsuit challenging a voter-approved ban on the use of hydraulic fracturing. Both of these matters will continue to require significant attorney resources for the next year. In addition, the COGCC recently denied a petition for rulemaking which has been appealed to District Court. Based on the renewed concentration on enforcement matters, the litigation and the record breaking business of the COGCC, there is likely to be a continued increase in the Commission's need for legal services.

All client agencies represented by this Unit have seen an increase in litigation this past year, and that trend will likely continue. In recent years, appeals are becoming more common; therefore, the Unit expects a significant amount of appellate work.

### **Trust Lands Unit**

The attorneys in the State Trust Lands Unit are assigned to State Board of Land Commissioners. The attorneys act as general counsel to and work directly with the Land Board to appropriately plan and meet the demand for legal services based on workload and budget constraints. The case load for the Board continues to increase and generally exceeds legal service budgets even though attorneys worked directly with the Board to establish priorities within those budgets. Due to the specialized nature of the agency, which is primarily a revenue generating entity and not primarily regulatory in nature, legal services are provided by attorneys on a daily and otherwise on-going basis and not generally on an individual request basis. In many instances such services are provided informally in person or through telephone consultations. Assigned attorneys attend, represent and assist the Land Board to establish policy and program direction, and assess real estate transactions during its monthly meetings. Attorneys then assist Board staff to implement those policies, programs, and transactions as well as advise on the general

management of the revenue generating assets of the Board. The provision of legal services is given in a manner intended to avoid legal challenge to or litigation regarding the activities of the Board. Any litigation that is filed is handled by the attorney assigned to represent the Land Board in a timely and effective manner.

### **Parks and Wildlife Unit**

The attorneys in the Parks and Wildlife Unit act as general counsel to and work directly with its client agency, the Colorado Parks and Wildlife Commission and the Division of Parks and Wildlife (collectively “Colorado Parks and Wildlife”), to appropriately plan and meet the demand for legal services based on workload and budget constraints. The case load for the client agency continues to increase and generally exceeds the legal service budget and attorneys work directly with staff from the client agencies to establish priorities within the budget.

Due to the specialized nature of Colorado Parks and Wildlife, which is primarily a self-funded resource management entity and not solely regulatory in nature, legal services are provided by attorneys on a daily and otherwise on-going basis and not generally on an individual request basis. The operation of Colorado Parks and Wildlife is in many instances comparable to a “for profit” corporation. In many instances such services are provided informally in person or through telephone consultations on a daily basis. Assigned attorneys attend, represent and assist the Parks and Wildlife Commission to establish policies and program direction during its monthly meetings and then assist the Division of Parks and Wildlife in the ongoing implementation of those policies and programs. Attorneys also assist with legal issues regarding the general management of the revenue generating assets of Colorado Parks and Wildlife.

The provision of legal services is given in a manner intended to prevent or avoid legal challenge to or litigation regarding the activities of Colorado Parks and Wildlife. However, any litigation filed is handled by the attorney assigned to represent the client agency and performed in a timely and effective manner.

## **I) BACKGROUND INFORMATION: OFFICE OF CONSUMER COUNSEL SECTION.**

This Unit provides full legal services to the Office of Consumer Counsel (“OCC”), a type 1 agency within the Colorado Department of Regulatory Agencies, and the Utility Consumers’ Board. By statute, the OCC is charged with representing the public interest and specific interests of residential, small business, and agricultural consumers in proceedings before the Colorado Public Utilities Commission (“Commission”). Such advocacy most often involves matters relating to proposed changes in electric, gas, and telephone utility rates and services. In addition, the Unit represents the OCC in federal regulatory proceedings affecting Colorado consumers’ rates and services. See Legal Services to State Agencies for Program Summary.

## **II) PRIOR YEARS LEGISLATION**

In 2014 the Colorado Legislature passed several telecommunication bills (HBs14-1327, 1328, 1329, 1330 and 1331) that will affect the consumers represented by the OCC. As a result of the passage of these bills, the Commission will be initiating several proceedings to implement the legislation. The OCC will be participating in these proceedings.

## **III) HOT ISSUES (for the OCC)**

Governor Ritter’s issuance in November 2007 of his Colorado Climate Action Plan and the Legislature’s passage of HB10-1365, known as the “Clean Air-Clean Jobs Act” (“CACJA”), has greatly affected energy regulation in Colorado. As a result of the Governor’s Climate Action Plan, the CACJA, and the Commission’s rulemaking dockets to implement this legislation, the OCC has been heavily involved in numerous proceedings before the Commission involving energy issues.

The Commission requires jurisdictional electric utilities, Public Service Company of Colorado (“Public Service”) and Black Hills/Electric (“Black Hills”) to file every four years their electric resource plan (“ERP”) to determine cost-effective resource portfolios to meet their electric resource needs. Public Service filed their latest ERP in October 2011 and Black Hills filed their latest ERP in July 2012. These ERP filings were affected by the PUC’s decisions in other dockets, which included the CACJA, Demand Side Management, Renewable Energy Resources, Interruptible Service Option Plan and various transmission plan applications. HB07-1037 required the Commission to develop rules for natural gas and electric demand side management programs to develop natural gas and electric savings targets. Electric resource needs will be reduced by the implementation of these conservation measures. HB07-1281 revised the electric resource standards by requiring electricity to be generated, for utilities under the Commission’s jurisdiction, from eligible energy resources in the following amounts: 3% for the year 2007, 5% for the years 2008

through 2010, 10% for the years 2011 through 2014, 15% for the years 2015 through 2019 and 20% for the year 2020 and thereafter. (Municipal utilities and cooperative electric associations have smaller requirements.) The maximum retail rate impact to comply with these standards is 2% of the total electric bill annually for each customer. HB06-1281 (codified at 40-2-123) provides incentives for utilities to consider the use of “new clean energy and energy-efficient technologies” for its electric generation portfolio. For generation that qualifies as a 123 Resource, the utility is allowed to collect approved costs through a separate rate rider. SB09-051 encourages the installation of energy-efficient equipment such as solar panels. HB10-1001 further revised the electric resource standards by requiring electricity from eligible energy resources to 12% for the years 2011 through 2014, 20% for the years 2015 through 2019 and 30% for the year 2020 and thereafter. The 2011 and 2012 ERP proceedings involved all of the above referenced legislation. Both Public Service and Black Hills are currently implementing the Commission’s ERP decisions.

The CACJA required Public Service and Black Hills to file at the Commission before August 15, 2010 its Emission Reduction Plan, which covered a minimum of 900 megawatts or 50% of the utility’s coal-fired electric generating units in Colorado, whichever was smaller. Each of the utility’s plans had to be reviewed by the Department of Public Health and Environment prior to filing to determine if the plan or plans “meet the current and reasonably foreseeable requirements of the Federal Act (“Federal Clean Air Act”) and State law (“Colorado Air Pollution Prevention and Control Act”) in a cost-effective manner.” Filings were made by Public Service and Black Hills. Pursuant to HB10-1365 the Commission issued its Decision in both proceedings on December 15, 2010. The CACJA requires full implementation of the approved Emission Reduction Plans on or before December 31, 2017. As indicated above, the CACJA affects the ERP filings made by Public Service and Black Hills. In addition to affecting the ERP filings, the implementation of the CACJA will affect the electric rates paid by the customers of the two utilities. Black Hills filed its electric rate case on April 30, 2014 and Public Service filed its electric rate case on June 17, 2014. Both of these proceedings involve rate issues as a result of the CACJA. The OCC is representing its statutorily required customers in these electric rate cases.

The OCC also represents its statutorily required customers in natural gas rate cases that are filed by five investor owned natural gas companies. Public Service filed a natural gas rate case in December 2012, which was finalized by the Commission in February 2014. Atmos Energy filed a natural gas rate case on April 2, 2014. As a result of new federal legislation, investor owned natural gas companies have to implement updated natural gas safety procedures. As a result, the natural gas companies have proposed adjustments to allow recovery of these costs outside of a normal rate case. Rocky Mountain filed on March 31, 2014 for a System Safety Integrity Rider.

#### IV) WORKLOAD MEASURE (for the OCC)

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Actual	FY 16 Estimate	FY 17 Request
Achieve customer savings that at least equal the OCC's annual appropriation	3284% \$50,202,608	3548% \$58,962,546		3416% \$54,582,000 (Based on a 2 year fiscal average)	3416% \$54,582,000 (Based on a 2 year fiscal average)
Percent of rate proceedings in which the OCC participated on behalf of consumers	100%	100%	100%	100%	100%

### OCC

Performance Measure	Outcome	FY 13 Actual		FY 14 Actual		FY 15 Estimate		FY 16 Request	
		Incidents	Change	Incidents	Change	Incidents	Change	Incidents	Change
Number of cases OCC participates	Benchmark	60		60		60		60	
	Actual	80		79					

*Strategy:* The Office of Consumer Counsel ("OCC") unit represents the Office of Consumer Counsel and therefore represents residential, small commercial and agricultural customers before the Public Utilities Commission.

*Evaluation of Prior Year Performance:* Because the cases the OCC unit participates is based on the filings done by electric, natural gas and telephone utilities, the OCC has no control on the number of cases worked on. However, by reviewing the savings chart above, the OCC has saved utility customers millions of dollars.

*Key Workload Indicators:* The key workload factor is the amount of customer savings. The number can fluctuate each year because it depends on the number and type of cases filed by utilities. For example, there are potentially more savings in years that a utility or multiple utilities file rate cases.

*Performance Evaluation:* The OCC has saved utility customers millions of dollars each year since the OCC was created by the Legislature in 1984. The savings chart above shows the customer savings for the past two fiscal years. The OCC can maintain this success by diligently advocating for utility customers in proceedings before the Commission.



**SCHEDULE 2 - PROGRAM SUMMARY**

**Department of Law**

**LEGAL SERVICES TO STATE AGENCIES**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>PERSONAL SERVICES</b>	21,987,642	225.1	25,995,352	228.5	26,122,010	254.0	29,856,265	254.0	26,529,258	253.3
General Fund	321,583		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Fund	839,619		-		24,592		24,592		-	
Reappropriated Funds	20,826,440		25,995,352		26,097,418		29,831,673		26,529,258	
<b>OPERATING EXPENSES</b>	1,990,531		3,221,021		-		1,840,928		1,826,768	
General Fund	81,435		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Fund	-		-		-		2,732		-	
Reappropriated Funds	1,909,096		3,221,021		-		1,838,196		1,826,768	
<b>INDIRECT COST ASSESSMENT</b>	2,950,911		3,264,492		3,211,050		3,211,050		3,024,158	
General Fund	-		-		-		-		-	
Cash Fund	-		1,186,099		848,945		848,945		1,186,099	
Reappropriated Funds	2,950,911		2,078,393		2,362,105		2,362,105		1,838,059	
<b>GRAND TOTAL</b>	26,929,084	225.1	32,480,865	228.5	31,173,988	254.0	34,908,243	254.0	31,380,185	253.3
General Fund	403,018		-		-		0		-	
General Fund Exempt	-		-		-		0		-	
Cash Funds	839,619		1,186,099		876,269		876,269		1,186,099	
Reappropriated Funds	25,686,447		31,294,765		30,297,719		34,031,974		30,194,086	
Federal Funds	-		-		-		0		-	



**SCHEDULE 3 - PERSONAL SERVICES PROGRAM DETAIL**

**Department of Law**

**LEGAL SERVICES TO STATE AGENCIES**

Item	Actual FY 12		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
Deputy Attorney General	622,411	5.0	714,188	5.0			731,640	5.0	731,640	5.0
Assistant Deputy Attorney General	67,764	0.5								
First Assistant Attorney General	2,868,813	28.2	3,603,718	29.3			3,730,752	30.0	3,730,752	30.0
Senior Assistant Attorney General	3,322,855	36.8	4,010,835	37.4			4,652,017	43.0	4,652,017	43.0
Assistant Attorney General	7,137,346	97.4	8,310,666	101.3			9,432,749	113.6	9,375,097	112.9
Assistant Attorney General II										
Assistant Attorney General I										
Attorney I										
General Professional IV										
Legal Assistant II	1,797,205	30.6	1,931,532	30.3			2,315,838	34.0	2,315,838	34.0
Legal Assistant I	49,274	1.1	56,784	1.2			51,444	1.0	51,444	1.0
Program Assistant I										
Office Manager I	265,768	5.0	272,207	4.9			292,572	5.0	292,572	5.0
General Professional V	37,762	0.4	38,515	0.4			40,109	0.4	40,109	0.4
General Professional IV										
IT Tech II										
Administrative Assistant I										
Administrative Assistant III	198,979	5.1	175,172	4.2			299,334	7.0	299,334	7.0
Administrative Assistant II	541,508	15.0	545,832	14.5			575,220	15.0	575,220	15.0
<b>TOTAL POSITION DETAIL</b>	<b>16,909,684</b>	<b>225.1</b>	<b>19,659,450</b>	<b>228.5</b>			<b>22,121,674</b>	<b>254.0</b>	<b>22,064,022</b>	<b>253.3</b>



### SCHEDULE 3 - PROGRAM DETAIL

Department of Law		LEGAL SERVICES TO STATE AGENCIES									
Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16		
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	
<b>(I.A) CONTINUATION FTE SALARY COSTS</b>	16,909,684	225.1	19,659,450	228.5			22,121,674	254.0	22,064,022	253.3	
(Permanent FTE by position) Continuation Salary Subtotal											
<b>(I.B) OTHER PERSONAL SERVICES</b>											
PERA on Continuation Subtotal	1,687,885		1,967,183				2,245,350		2,239,498		
Medicare on Continuation Subtotal	237,826		278,622				320,764		319,928		
Non-Base Building Performance Awards			8,072				27,008				
Part-Time/Temporary Salaries	242,714		357,459				449,675		449,675		
Contractual Services	150,419		442,637				512,340		512,340		
Overtime Pay	-		4,899				7,239		7,239		
Termination/Retirement Payouts	65,704		66,598								
Sick Leave Payouts	124,019		14,017								
Unemployment Compensation	17,723		12,312								
OT TO JUD	-		33,226				34,500		34,500		
Reduced Appropriation Need	-		-				540,697		853,556		
Other Employee Benefits	37,321		47,836				48,500		48,500		
<b>Subtotal -</b>	19,473,298	225.1	22,892,312	228.5			26,307,747	254.0	26,529,258	253.3	
<b>(I.C.) PERSONAL SERVICES SUBTOTAL= A+B</b>											
<b>(I.D.) POTS EXPENDITURES</b>											
Health/Life/Dental	1,496,619		1,737,040				1,785,420				
Salary Survey			3,131,230								
Performance Awards			328,886								
Short Term Disability	28,925		36,893				48,668				
SB 04.257 A.E.D.	531,852		698,387				884,867				
SB 06.235 S.A.E.D.	456,949		630,720				829,563				
Other: [ ] Indicates a Non-add											
	21,987,642	225.1	25,995,352	228.5			29,856,265	254.0	26,529,258	253.3	
<b>(I.E.) BASE PERSONAL SERVICES= C+D</b>											
General Fund											
General Fund Exempt											
Cash Funds	839,619		-				24,592				
Reappropriated Funds	21,148,023		25,995,352				29,831,673		26,529,258		
<b>(I.F.) DIFFERENCE= II-I.E.</b>											

**SCHEDULE 3 - PROGRAM DETAIL**

Department of Law	LEGAL SERVICES TO STATE AGENCIES									
	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
Item	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>(I.G.) REQUEST YEAR DECISION ITEMS</b>										
General Fund										
Cash Funds										
Reappropriated Funds										
<b>Dec Item #</b>										
General Fund										
Cash Funds										
Reappropriated Funds										
<b>NP Decision Item:</b>										
Reappropriated Fund									-	-
<b>ROLLFORWARDS</b>	-		-				-			
General Fund Exempt	-		-				-			
Reappropriated Funds	-		-				-			
<b>Projected Spending Authority Shortfall</b>							-			
Reappropriated Funds							-			
<b>PERSONAL SERVICES TOTAL</b>	21,987,642	225.1	25,995,352	228.5			29,856,265	254.0	26,529,258	253.3
General Fund	321,583		-				-			
General Fund Exempt	-		-				-			
Cash Funds	839,619		-				24,592		-	
Reappropriated Funds	20,826,440		25,995,352				29,831,673		26,529,258	
Federal Funds										
<b>II. PERSONAL SERVICES REQUEST (AGGREGATE ADJUSTMENTS TO THE BASE APPROPRIATION)</b>										
Previous Year Long Bill and Special Bills									25,645,486	251.0
DNR: Legal Hours Decision Item									-	0.0
									-	0.0
Adjustments:										0.0
Salary Survey-Classified									89,114	
Merit Pay Classified									28,215	
Salary Survey Exempt									246,427	
Merit Pay Exempt									180,925	

**SCHEDULE 3 - PROGRAM DETAIL**

Department of Law		LEGAL SERVICES TO STATE AGENCIES								
		Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16
Item	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Non Base Building Merit									(10,001)	
FY 14 Special Bills									476,524	3.0
Subtotal -									26,656,690	254.0
<b>PERSONAL SERVICES RECONCILIATION</b>										
Long Bill Appropriation	20,510,299	237.9	21,168,224	241.5	25,645,486	251	25,645,486	251.0		
Supplemental SB 13-94	373,385	3.5								
Supplemental HB 14-1240		0.0	884,500	6.0						
DU Grant for Fellowship			20,000							
Special Bills -										
HB 12-1303 Certification of Speech Lang Patholog	14990	0.1								
HB 12-1330 Hearing Process Wildlife	2725									
HB 12-1300 Sunset Continue Prof Review Commi	2044	0								
HB 12-1311 Sunset Continue Pharmacy Board	20783	0.2								
HB 12-1110 Appraisal Mgt Companies	56555	0.5								
SB 13-014 Immunity for Emerg Drugs to Overdose Victims			2,086							
SB 13- 26 Medical Transparency			6,953							
SB 13-39 Regulation of Audiologists			10,165							
SB 13-83 Prescribed Burning Program			4,172							
SB 13-151 Massage Therapists			19,120							
SB 13- 162 Sunset - Bd of Plumbers			5,215							
SB 13-172 Sunset - Accupuncture Regulation			4,519							
SB 13-180 Sunset Occupational Therapy			11,471							
SB 13-200 Expand Medicaid Eligibility			22,419							
SB 13-207 Perform Auricular Acudetox by MH Prof			5,562							
SB 13-219 Meth Lab Remediation			13,905	0.1						
SB 13-221 Cons Easement Tax Credit Cert App			62,573	0.5						
SB 13-238 Regulation Hearing Aid Providers/Sellers			5,215							
SB 13-241 Industrial Hemp			12,515	0						
SB 13-251 CDL and Identity Documentation			6,953	0.1						
HB 13-1111 Regulation of Naturopathic Doctors			15,296							
HB 13-1292 Keep Jobs in Colorado Act			41,715	0.3						
HB 13-1317 Implement Amend 64: Majority Rec.			63,616	0.5						
SB 14-188 Species Conservation Trust Fund Project List					\$147,550	1.0	\$147,550	1.0		
SB 14-172 Work Event					\$182		\$182			
SB 14-133 Regulation of Private Investigators					\$8,151		\$8,151			
SB 14-125 Regulation of Transport Network Companies					\$8,197	0.1	\$8,197	0.1	32,789	0.3
SB 14-099 Provisional Physical Therapist Licenses					\$16,394	0.1	\$16,394	0.1	(5,738)	
SB 14-029 Paint Stewardship Program					\$8,197		\$8,197			
SB 14-005 Alternative Administrative Remedies-Wage Claims					\$20,903	0.1	\$20,903	0.1	20,903	0.1
HB 14-1398 Authorize Marijuana Financial Service Coops					\$13,116	0.1	\$13,116	0.1	3,279	
HB 14-1380 Colorado Coroners Standards and Training					\$3,279		\$3,279		-	

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**LEGAL SERVICES TO STATE AGENCIES**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
HB 14-1331 Regulation of Basic Local Exchange Services					\$95,088	0.6	\$95,088	0.6	(95,088)	(0.6)
HB 14-1329 Deregulate Internet Protocol Emerging Tech					\$16,394	0.1	\$16,394	0.1	(16,394)	(0.1)
HB 14-1328 Connect CO Broadband Act					\$50,167	0.4	\$50,167	0.4	(24,592)	(0.2)
HB 14-1319 Outcomes Based Funding Model for Higher Ed					\$16,394	0.1	\$16,394	0.1	(16,394)	(0.1)
HB 14-1227 Sunset Review of State Dental Board					\$51,233	0.3	\$51,233	0.3	(8,197)	
HB 14-1202 Concerning Study of Accountability Requirement					\$18,000	0.1	\$18,000	0.1	(18,000)	(0.1)
HB 14-1199 Change to the Regulation of Consumer Goods					\$3,279		\$3,279			
Year End Transfers										
Overexpenditures (Reversions)										
Lapsed Appropriation Cash Funds										
Lapsed Appropriation Cash Funds Exempt										
Lapsed Appropriation Reappropriated Funds	(1,787,008)	(17.1)	(2,944,123)	(20.5)						
Other										
<b>Allocated POTS</b>										
Salary POTS	-		-				-			
Health/Life/Dental	1,709,984		1,643,905				1,567,540			
Short Term Disability	29,063		36,962				44,343			
SB 04.257 A.E.D.	590,208		744,199				814,292			
SB 06.235 S.A.E.D.	464,614		668,099				763,398			
Salary Survey Classified	-		153,961				89,114			
Salary Survey Exempt	-		2,977,269				246,427			
Merit Pay Classified			56,153				28,215			
Merit Pay Exempt			272,733				180,925			
<b>Pots Subtotal</b>	2,793,869		6,553,281				3,734,254			
<b>Reconciled Total</b>	21,987,642	225.1	25,995,352	228.5			29,856,264	254.0	26,529,258	253.3
<b>II. PERSONAL SERVICES REQUEST TOTAL</b>	21,987,642	225.1	25,995,352	228.5	26,122,010	254.0	29,856,265	254.0	26,529,258	253.3
General Fund	321,583		-		-		-			
General Fund Exempt	-		-		-		-			
Cash Funds	839,619		-		24,592		24,592		-	
Reappropriated Funds	20,826,440		25,995,352		26,097,418		29,831,673		26,529,258	
Federal Funds	-		-		-		-		-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**LEGAL SERVICES TO STATE AGENCIES**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 Purchased Services - Litigation	91,992		102,726				587,940		573,593	
2170 Waste Disposal Services	6,007		2,043				6,000		6,000	
2210 Other Maintenance	3,844		-							
2220 Building Grounds Maintenance	-		8,971				-		-	
2230 Equipment Contract Maintenance	2,043		220				2,225		2,225	
2231 ADP Equip Maint/Repair Services	131,733		43,066				79,852		79,852	
2232 Software Upgrades	97,265		74,510				185,026		205,150	
2240 Motor Vehicle Repair/Maintenance	1,141		-						-	
2251 Rental/Lease Motor Pool Veh	18,874		18,820							
2252 Leased Vehicle - Variable	17,131		22,789				22,520		22,520	
2253 Rental of Equipment	6,390		-				5,240		5,240	
2254 Rental of Motor Vehicles	89		192						-	
2255 Rental of Building	748,359		21,511						-	
2258 Parking	6,600		14,388				6,600		6,600	
2259 Parking Fee Reimbursement	59		61				-		-	
2268 Rental of IT Software - Network	28,980		30,348				15,678		15,678	
2510 In State Travel	238		770				1,000		1,000	
2511 IS Common Carrier Fares	2,231		2,625				1,584		1,584	
2512 IS Personal Travel Per Diem	8,454		10,062				7,853		7,853	
2513 IS Pers Vehicle Reimbursement	717		728				715		715	
2514 IS State Owned Aircraft	-		-						-	
2515 State-Owned Vehicle Charge	-		-						-	
2520 IS Travel Non Employee	39		-						-	
2521 IS Common Carrier Non Employee	196		-						-	
2522 IS Non Employee Per Diem	-		-						-	
2523 IS Non Employee Per Veh Reimburse	-		-						-	
2530 Out of State Travel	869		542				650		650	
2531 OS Common Carrier Fares	3,509		3,276				4,312		4,312	
2532 OS Personal Travel Per Diem	2,937		4,264				4,200		4,200	
2533 OS Pers Vehicle Reimbursement	0		-						-	
2541 OS/Non-Empl Common Carrier	0		-						-	
2550 Out of Country Travel	0		-						-	
2552 OC Per Diem	0		-						-	
2610 Advertising	0		-						-	
2611 Public Relations	0		-						-	
2630 Comm Service Div of Telecom	68,280		375				81,088		81,088	
2631 Comm Svcs from Outside Sources	21,143		6,430				14,895		14,895	
2640 GGCC Billing Purch Services	0		-						-	
2641 Other ADP Billing	13,853		72,915				287,255		287,255	
2650 OIT Purchased Svs	-		-						-	
2660 Insurance	41,808		41,259							
2680 Contract Printing	46,201		18,820				52,852		52,852	
2681 Photocopy Reimbursement	60		82						-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**LEGAL SERVICES TO STATE AGENCIES**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2810 Freight & Storage	0		-						-	
2820 Other Purchased Services	4,626		20,442				25,316		25,316	
2830 Office Moving-Pur Services	6,600		192				-		-	
2831 Storage-Purchase Services	810		-						-	
3110 Other Supplies and Materials	-		57						-	
3112 Automotive Supplies	-		24						-	
3113 Clothing and Uniform Allowance	-		-						-	
3114 Custodial	-		-						-	
3115 DP Supplies	963		391				29,850		28,150	
3116 Purchased/Leased Software	90,585		140,596				90,585		90,585	
3117 Educational	59		723				100		100	
3118 Food and Food Service Supplies	-		-						-	
3120 Books & Subscriptions	49,289		43,098				55,452		55,452	
3121 Office Supplies	50,081		53,705				45,245		43,848	
3122 Microfilming/Photo. Supplies	-		-						-	
3123 Postage	25,679		25,212				28,526		28,526	
3124 Printing	966		439				1,247		1,247	
3126 Repair & Maintenance Supplies	95		66						-	
3128 Non-Capitalized Equipment	2,264		7,525				16,450		16,450	
3131 Non-Capitalized Building Materials	5,382		3,234				4,500		4,500	
3132 Non Capitalized IT Purchases	1,781		31,000						-	
3139 Non - Capitalized Fixed Asset Other	-		4,240						-	
3140 Non-Capitalized IT - PC's	32,166		37,358						-	
3141 Non-Capitalized IT- Servers	-		3,859						-	
3142 Noncapitalized IT Network	712		2,392						-	
3143 Non-Capitalized IT Other	32,703		75,010						-	
3146 Non-Cap. IT Purch. Server Software	-		-						-	
3940 Electricity	-		-						-	
3950 Gasoline	-		-						-	
3970 Natural Gas	-		-						-	
4110 Losses	-		-						-	
4111 Prizes and Awards	950		-						-	
4117 Reportable Claims Against the State	-		-						-	
4140 Dues & Memberships	1,159		39,634				73,550		73,550	
4150 Interest Expense			5,612							
4151 Interest - Late Payments	282		-						-	
4170 Miscellaneous Fees	-		148						-	
4180 Official Functions	2,369		927				7,260		7,260	
4220 Registration Fees	49,898		87,073				78,522		78,522	
4221 Other Educational - W2 RPT	-		-						-	
5993 Refunds	35		-						-	
6140 Leasehold Improv - Direct Purch	-		-						-	
6210 ADP Equipment	-		-						-	
6212 IT Servers - Direct Purchase	88,982		34,708						-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**LEGAL SERVICES TO STATE AGENCIES**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
6213 IT PC SW Direct Purchase	73,590		-							
6214 IT Other Direct Purchase	-		-						-	
6215 IT Network Direct Purchase	-		-							
6220 Office Furn & Equip	-		-				16,840			
6222 Office Furn Direct Purchase	-		397,851							
6224 Other Furn & Fixtures- Direct Purch.	89		-						-	
6480 Other Cap. Equipment-Lease Furn	-		-						-	
6340 Leasehold Improvements	-		-						-	
EBJJ OT RE LAW to JUD	97,378		1,703,714							
<b>OPERATING EXPENSE SUBTOTAL</b>	<b>1,990,531</b>		<b>3,221,021</b>				<b>1,840,928</b>		<b>1,826,768</b>	
General Fund										
General Fund Exempt										
Cash Funds	-		-							
Reappropriated Funds	1,990,531		3,221,021				1,840,928		1,826,768	
<b>DECISION ITEMS:</b>										
TF									-	
General Fund										
Cash Funds										
Reappropriated									-	
<b>DECISION ITEMS:</b>										
TF										
Reappropriated										
<b>DECISION ITEMS:</b>										
TF										-
RF										-
<b>ROLLFORWARDS</b>										
General Funds Exempt									-	
Reappropriated Funds									-	
<b>Subtotal:</b>	-		-				-		-	
Reappropriated Funds	-		-				-		-	
<b>OPERATING EXPENSE TOTAL:</b>	<b>1,990,531</b>		<b>3,221,021</b>				<b>1,840,928</b>		<b>1,826,768</b>	
General Fund	<b>81,435</b>		-				-		-	
General Fund Exempt									-	
Cash Funds	-		-				-		-	
Reappropriated Funds	<b>1,909,096</b>		<b>3,221,021</b>				<b>1,840,928</b>		<b>1,826,768</b>	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**LEGAL SERVICES TO STATE AGENCIES**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Operating Expense Reconciliation</b>										
Long Bill Appropriation	1,670,720		1,696,667		1,788,002		1,788,002		1,840,928	
Supplemental SB 13-94	41,487		-							
Supplemental HB 14-1240			98,277						-	
Special Bills -										
HB 12-1303 Certification of Speech La	1,666									
HB 12-1330 Hearing Process Wildlife	303									
HB 12-1300 Sunset Continue Prof Rev	227									
HB 12-1311 Sunset Continue Pharmac	2,309									
HB 12-1110 Appraisal Mgt Companies	6,284									
SB 13-014 Immunity for Emerg Drugs to Overdose Victims			232							
SB 13- 26 Medical Transparency			772							
SB 13-39 Regulation of Audiologists			1,129							
SB 13-83 Prescribed Burning Program			463							
SB 13-151 Massage Therapists			2,124							
SB 13- 162 Sunset - Bd of Plumbers			579							
SB 13-172 Sunset - Accupuncture Regulation			502							
SB 13-180 Sunset Occupational Therapy			1,275							
SB 13-200 Expand Medicaid Eligibility			2,491							
SB 13-207 Perform Auricular Acudetox by MH Prof			618							
SB 13-219 Meth Lab Remediation			1,545							
SB 13-221 Cons Easement Tax Credit Cert App			6,952							
SB 13-238 Regulation Hearing Aid Providers/Sellers			579							
SB 13-241 Industrial Hemp			1,390							
SB 13-251 CDL and Identity Documentation			772							
HB 13-1111 Regulation of Naturopathic Doctors			1,699							
HB 13-1292 Keep Jobs in Colorado Act			4,635							
HB 13-1317 Implement Amend 64: Majority Rec.			7,068							
SB 14-188 Species Conservation Trust Fund Project List					16,394		\$16,394			
SB 14-133 Regulation of Private Investigators					906		\$906			
SB 14-125 Regulation of Transport Network Companies					911		\$911		3,643	
SB 14-099 Provisional Physical Therapist Licenses					1822		\$1,822		(638)	
SB 14-029 Paint Stewardship Program					911		\$911			
SB 14-005 Alternative Administrative Remedies-Wage Claims					2322		\$2,322		2,323	
HB 14-1398 Authorize Marijuana Financial Service Coops					1457		\$1,457		364	
HB 14-1380 Colorado Coroners Standards and Training					364		\$364			
HB 14-1331 Regulation of Basic Local Exchange Services					10565		\$10,565		(10,565)	
HB 14-1329 Deregulate Internet Protocol Emerging Tech					1822		\$1,822		(1,822)	
HB 14-1328 Connect CO Broadband Act					5574		\$5,574		(2,732)	
HB 14-1319 Outcomes Based Funding Model for HE					1822		\$1,822		(1,822)	
HB 14-1227 Sunset Review of State Dental Board					5692		\$5,692		(911)	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**LEGAL SERVICES TO STATE AGENCIES**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
HB 14-1202 Concerning Study of Accountability Requirement					2000		\$2,000		(2,000)	
HB 14-1199 Change to the Regulation of Consumer Goods					364		\$364			
<b>Allocated POTS:</b>										
Vehicle Lease Payments	21,625		16,809							
Capital Complex Lease Space/CARR B	807,929		1,635,110							
Lease Space	19,985		19,985							
<i>Worker's Compensation</i>	42,307		41,636							
IT Asset Maintenance	348,280		348,280							
Building Security	81,136									
Postage Increase										
ADP Capital Outlay										
CLE Registration Fees	70,763		70,013							
Year-End Transfer										
Rollforward from previous FY										
Rollforward to Subsequent FY										
Overexpenditure/(Reversion)										
Lapsed Appropriation Cash Funds Exempt										
Lapsed Appropriation Reappropriated	(1,124,490)		(740,581)							
Other										
<b>TOTAL</b>	1,990,531		3,221,021		1,840,928		1,840,928		1,826,768	
GF	81,435									
CF					2,732		2,732			
RF	1,909,096		3,221,021		1,838,196		1,838,196			
<b>OPERATING AND LITIGATION:</b>					<b>1,840,928</b>		<b>1,840,928</b>		<b>1,826,768</b>	
General Fund									-	
Cash Funds					2,732		2,732		-	
Reappropriated					1,838,196		1,838,196		1,826,768	
<b>INDIRECT COST ASSESSMENT</b>	2,950,911		3,264,492		3,211,050		3,211,050		3,024,158	
General Fund										
Cash Funds			848,945		848,945		848,945		1,186,099	
Reappropriated Funds	2,950,911		2,415,547		2,362,105		2,362,105		3,024,158	
<b>INDIRECT COST ASSESSMENT TOTAL</b>	<b>2,950,911</b>		<b>3,264,492</b>		<b>3,211,050</b>		<b>3,211,050</b>		<b>3,024,158</b>	
General Fund										
Cash Funds			1,186,099		848,945		848,945		1,186,099	
Reappropriated Funds	2,950,911		2,078,393		2,362,105		2,362,105		1,838,059	
<b>Indirect Cost Assess. Reconciliation</b>										
Long Bill Appropriation	2,950,911		3,264,492				3,211,050			
Lapsed Appropriation Reappropriated Funds										
Other										

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**LEGAL SERVICES TO STATE AGENCIES**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
TOTAL	2,950,911		3,264,492				3,211,050			
<b>GRAND TOTALS LSSA (PS, OP, IND)</b>	<b>26,929,084</b>	<b>225.1</b>	<b>32,480,865</b>	<b>228.5</b>	<b>31,173,988</b>	<b>254.0</b>	<b>36,749,171</b>	<b>254.0</b>	<b>31,380,185</b>	<b>253.3</b>
General Fund	403,018		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	839,619		1,186,099		876,269		876,269		1,186,099	
Reappropriated Funds	25,686,447		31,294,765		30,297,719		35,872,902		30,194,086	

## SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

### Department of Law

### LEGAL SERVICES TO STATE AGENCIES

Item	Actual	Actual	Approp	Estimate	Request
	Actual FY 13	Actual FY 14	Approp FY 15	Estimate FY 15	Request FY 16
<b>Schedule 3 Total</b>	26,929,084	32,480,865	31,173,988	36,749,171	31,380,185
General Fund	403,018	-	-	-	-
General Fund Exempt	-	-	-	-	-
Cash Funds	839,619	1,186,099	876,269	876,269	1,186,099
Reappropriated Funds	25,686,447	31,294,765	30,297,719	35,872,902	30,194,086
Federal Funds	-	-	-	-	-
<b>CASH FUNDS</b>					
Various Sources of Cash				876,269	1,186,099
Fringe Benefits					
Service Charges from Others Non Exempt		864			
College Invest					
Colo State VA Center-Homelake					
Rifle State Nursing Home					
Nursing Homes		73,147			
PERA	583				
Colorado Student Loan Program	2,452	5,184			
Student Obligation Bond Authority					
Auraria Higher Education Ctr-Tabor Enterp					
CU Health Sciences Center	120				
Revenue - Lottery		75,031			
Division of Wildlife-Enterprise					
Cumbres & Toltec RR					
Colo School Dist Self Insurance PL					
Disability Insurance Trust	15,990				
SVC-State VA Center - Fitzsimons					
AHEC	28,273	10,717			
State Board of Agriculture	47,321				
DOHE Non Exempt		793,606			
School of Mines	196,702				
UNC	19,069				
Adams State College	175,443				
Metro State College	78,104	133,072			
Mesa State College	48,297				
Western State College	22,897				

## SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

### Department of Law

### LEGAL SERVICES TO STATE AGENCIES

Item	Actual	Actual	Approp	Estimate	Request
	Actual FY 13	Actual FY 14	Approp FY 15	Estimate FY 15	Request FY 16
Ft. Lewis College	92,808				
CCCOES	74,303	94,459			
Private VOC School	28,759				
CU Boulder	2,770	19			
CSU-Global Campus	40				
Authorities - State Comp.					
Student Loan	5,447				
Health Benefit Exchange Board	240				
DOLE Petroleum storage tank					
<b>Subtotal Cash Funds</b>	839,619	1,186,099		876,269	1,186,099
<b>REAPPROPRIATED FUNDS</b>					
Various Sources of Cash Exempt Administration				35,872,902	30,194,086
Agriculture	311,552	400,160			
Colorado Horse Development Authority					
Corrections	1,270,547	1,233,113			
Correctional Industries	2,759	11,117			
Education	243,592	435,328			
Governor's Office	433,876	928,885			
Public Health and Environment	2,393,330	2,840,844			
Higher Education	85,418	47,514			
Arts and Humanities Council					
Historical Society					
Health Care Policy and Financing	868,046	922,234			
Human Services	1,336,319	1,607,495			
Nursing Homes	65,524				
Judicial	181,220	284,681			
Law - POST	15,455	12,230			
General Assembly (GA)	5,542	1,821			

## SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law	LEGAL SERVICES TO STATE AGENCIES				
Item	Actual	Actual	Approp	Estimate	Request
	Actual FY 13	Actual FY 14	Approp FY 15	Estimate FY 15	Request FY 16
Labor & Employment	577,753	629,486			
Local Affairs	123,277	148,197			
Military Affairs	6,190	1,633			
Natural Resources	3,514,961	4,563,407			
Personnel	168,290	292,540			
Risk Management	2,211,194	3,211,943			
Public Safety	325,367	393,987			
Regulatory Agencies	7,383,603	8,969,467			
Revenue	2,564,746	3,169,613			
Revenue - Gaming	149,508	164,607			
Secretary of State	290,263	295,224			
Transportation	956,102	1,265,248			
Treasury	133,168	97,384			
State Fair Authority	21,928	37,515			
Lottery	25,829				
Interest	21,105				
Reimb prior year Exp		500			
Grant from Univ of Denver		10,000			
Transfer to Fund Litigation Mgmt Fund					
Fund Balance Addition	(16)	(681,405)			
Underearned Revenue					
<b>Subtotal Reappropriated Funds</b>	25,686,447	31,294,765		35,872,902	30,194,086
<b>Total Revenues - CF and RA</b>	<b>26,526,066</b>	<b>32,480,865</b>			

## **I) BACKGROUND INFORMATION: SPECIAL PROSECUTION UNIT**

The Attorney General's trial prosecution efforts (in addition to the litigation that is conducted by our dedicated Financial Fraud and Medicaid Fraud Units) are focused in multiple areas: 1) Complex Crimes, 2) Environmental Crimes, 3) Gang Prosecution, 4) Prosecution Assistance, 5) Auto Theft and 6) the Violent Crime Assistance Team (VCAT). Of these duties, the "Special Prosecutions Unit" (hereinafter SPU) dealt with all six areas. The SPU is also involved in several outreach programs associated with mitigating gang activity, preventing school violence and responding to child abductions.

The most accurate review of the Special Prosecutions Unit is illustrated by an individual review of each of six subject areas:

### **1. Complex Crimes**

The Complex Crimes Unit prosecutes cases that are: 1) referred to us because of our specialized knowledge and experience, or 2) multi-jurisdictional cases investigated through the use of the Statewide Grand Jury.

This Unit derives its authority to investigate and prosecute from three sources: a) statutory authority granted directly to the Attorney General; b) appointment by a local District Attorney to act as a Special Deputy District Attorney; and c) gubernatorial order.

Complex criminal matters required the efforts of four attorneys and three investigators. Several areas of expertise include racketeering cases, domestic terrorism, burglary and robbery cases, identity fraud, large check fraud schemes, narcotics trafficking rings (including the illegal distribution of heroin, cocaine, methamphetamine and prescription drugs), mortgage/lending institution fraud, and tax fraud.

One of the investigators and one of the prosecutors allocate a portion of their time to gang prosecutions, as needed. The remaining complex crime prosecutors focused on the financial oriented and narcotic crimes associated with the gang activities and organized criminal enterprises.

### **2. Environmental Crimes**

The primary function of the Environmental Crimes subspecialty is to investigate and prosecute environmental crimes occurring in the State of Colorado. The Unit also provides investigative and prosecutorial expertise and support to statewide law enforcement efforts against entities committing environmental crimes in the state. The Unit also coordinates a state/federal task force for the purpose of engendering an interrelated effort to contend with environmental crimes affecting Colorado. Members of the Unit also work with the Colorado Department of Public Health and Environment and the United States Environmental Protection Agency to increase awareness and recognition of environmental crimes through training programs directed at local

regulators, law enforcement, and other agencies intimately related to the management of Colorado's resources.

The Unit derives its authority from statutory authority granted directly to the Attorney General and special appointment by District Attorneys. The Unit consists of one Attorney General Prosecutor, a Special Assistant Attorney General, as needed, from the Environmental Protection Agency, an Attorney General Investigator, an analyst and two special agents from the Environmental Protection Agency's Criminal Investigations Division. All costs associated with the EPA Attorney and Investigator are borne by the EPA. The Unit investigates and prosecutes environmental crimes regardless of media (i.e. hazardous waste, hazardous substances, water and air) using existing environmental statutes and other crimes enumerated in the criminal code when applicable.

### **3. Gang Prosecution**

Gangs are a continuing problem in Colorado. The Gang Prosecutions (GP) emphasis began in 2000 with a mission to decrease the impact gangs have on the community. Since the inception of this emphasis, we have indicted over 200 gang members on over 300 felony charges. The majority of these gang members were indicted on racketeering-related charges. These prosecutions have all resulted in felony convictions and dozens of years in prison sentences. GP works to accomplish that goal through collaborative efforts with other agencies. GP prosecutes gang-related multi-jurisdictional crimes. Since the GP started, many gang members have been successfully indicted and convicted under the Colorado Organized Crime Control Act ("COCCA"). Including these COCCA matters, these investigations often involve violence, drug trafficking, and human trafficking.

The COCCA laws are similar to federal racketeering laws. Racketeering cases are complex, and demand a lot of resources to adequately investigate and prosecute. Investigations often requires months of collaborative work with numerous agencies before sufficient evidence exists to prosecute these cases. The complexity of these large, multi-jurisdictional cases demand prosecution by experienced attorneys.

In addition to investigation and prosecution, the GP attorneys should be involved with community outreach. An attorney from the AG's Office serves as a legal advisor to the Colorado Gang Advisory Board ("COG"). The other COG board members are representatives from the Colorado Bureau of Investigation and Colorado law enforcement agencies. COG created and maintains a gang database that is used by law enforcement statewide. More recently, an attorney from the AG's Office has been appointed to the Human Trafficking Task Force where the unit attorney has assumed a profound leadership role. The GP attorneys also provide educational outreach and training to other prosecutors, police officers, school officials, mental health professionals, law enforcement command staff and corrections officials.

#### **4. Prosecution Assistance**

Within the Criminal Justice Section, the newly established Prosecution Assistance Unit was formed to combine the existing Foreign Prosecutions, Victim Witness, Justice Review Project, wrongful incarceration compensation petition reviews (pursuant to HB 13-1230), with new resources allocated by the General Assembly to create a Marijuana Team.

##### **Foreign Prosecutions**

Each year fugitives flee Colorado after committing homicides. State records reveal 186 currently active homicide arrest warrants. Nearly 21% of these warrants reveal that the murder fugitive fled to Mexico to avoid prosecution in Colorado. The CBI database indicates 39 murder fugitives believed to be Mexican nationals returned to their country of origin and currently are residing in the Republic of Mexico in an effort to avoid apprehension and justice in Colorado.

There are two avenues available to Colorado prosecutors when attempting to prosecute fugitives that have fled to Mexico. First, Colorado may attempt to extradite the fugitive back to the United States for prosecution. Extradition treaties exist between Mexico and the U.S. However, the government of Mexico has been reluctant to extradite Mexican nationals back to the United States. There have been limited extraditions of Mexican nationals for serious offenses and certain aggravated murders.

Second, Colorado may have the option to prosecute the fugitive in Mexico under Article IV of the Mexican Federal Penal Code. This option, provided by the government of Mexico, is to try their citizens in Mexico for crimes committed in the U.S. If convicted, the offenders serve their sentence in a Mexican prison. In 2001, the General Assembly granted funding for a bilingual investigator at the Attorney General's Office to be the coordinator for Colorado Article IV prosecutions. Mexico's Article IV requires the case be submitted by either the state or federal attorney general. The Colorado Attorney General's Foreign Prosecution Unit investigator works both with the Mexican federal attorney general's Office (PGR) and Colorado law enforcement to facilitate appropriate Article IV filings and prosecutions of Colorado cases.

During FY 2013-2014, the Attorney General's Foreign Prosecution Unit prepared two murder cases for Article IV prosecution in Mexico. In addition, the FPU obtained three Mexican federal warrants for homicides committed in Adams, Mesa and El Paso Counties. FPU also assisted in several requests regarding fugitives in Mexico or Mexican detainees and or victims/witnesses needed for trial in Colorado. Assistance was provided to eight counties as well as nine sheriff/police departments with witnesses and/or persons of interest in criminal investigations.

Additionally, FPU receives requests from Mexico to locate and detain murder fugitives seeking refuge in Colorado. With the cooperation of ICE and other law enforcement, last

year FPU provided assistance in locating individuals wanted in the Mexican states of Chihuahua, Michoacán, Nayarit, Sonora, and Zacatecas.

### **Marijuana Team**

In SB 14-215, the General Assembly appropriated \$456,760 to the Criminal Justice Section, for a team consisting of an attorney and one support staff to consolidate expertise in the area of legalized marijuana, and to train on the issues surrounding legalized marijuana.

### **5. Auto Theft**

The Auto Theft team comprises 2.0 FTE positions, which are funded by a grant through the Colorado Auto Theft Prevention Authority (CATPA). The Auto Theft team provides investigative and prosecutorial resources for combating complex statewide crimes which center on automobile theft. The general goals of the Auto Theft Team are: (a) to utilize the State Grand Jury, search warrants, and other criminal investigative tools to investigate potential complex multi-jurisdictional automobile centered cases; (b) to vigorously prosecute defendants under applicable Colorado statutes and obtain criminal convictions, incarceration of offenders where appropriate, to seek restitution, fines, and penalties; and, (c) to protect consumers and to minimize losses through the deterrent effect of prosecuting violators.

### **6. Violent Crime Assistance Team**

The Violent Crime Assistance Team (VCAT) is a two-attorney, one-investigator unit. VCAT was created by the Legislature in fiscal year 1994-1995 to assist District Attorneys by providing additional investigative and prosecutorial resources in major violent crime matters, with an emphasis primarily being on homicide cases. These homicide investigations may include cold-cases, and death-penalty-eligible homicides. VCAT is uniquely designed to assist all of the state's District Attorneys to effectively analyze and prosecute these significant cases. Along with providing legal assistance in the prosecution of cases, the Unit has provided investigator assistance as well. VCAT's workload is dictated by the incidence of homicides, as well as District Attorney requests for assistance in other, complex violent crime cases.

The team has handled capital appeals in both the state and federal appellate courts, and post-conviction proceedings involving death row inmates. VCAT has performed a primary role in responding to legal and logistical needs during the execution process and is the only prosecutorial entity in Colorado, which provides expertise on complex violent crimes, including homicides from initial investigation through execution.

The VCAT attorneys and investigator only become involved in a case upon the request of the local District Attorney and the approval of the Attorney General. As anticipated,

requests by state prosecutors to VCAT for consultation or other assistance was greater than the assistance that could be provided.

## **II) PRIOR YEAR LEGISLATION**

**SB 14-215** *Concerning the Disposition of Moneys Collected by the State in Connection with the Legal Marijuana Industry, and, in connection therewith, Making an Appropriation.* The General Assembly appropriated \$456,760 to the Criminal Justice Section, for a team consisting of an attorney and one support staff to consolidate expertise in the area of legalized marijuana, and to train on the issues surrounding legalized marijuana.

**HB 13-1230** *Concerning Compensation for Persons who are Exonerated of their Crimes after a Period of Incarceration...*, This bill creates a state compensation program for persons who are found actually innocent of felony crimes after serving time in jail, prison, or juvenile placement. This bill appropriated \$230K and 1.4 FTE to the Department of Law to address the requirements of this bill.

## **III) HOT ISSUES:**

- 1) Last year, the Special Prosecutions Unit, and its Violent Crime Assistance Team, provided more assistance than ever before in its history. Currently, VCAT is actively involved in nine of the twenty-two judicial districts on as many as twelve separate cases. In addition to the historical involvement on death penalty cases, VCAT is actively involved in five different homicide cases that are four different judicial districts. Special Prosecutions has also agreed to serve as special prosecutors in two different Rule 35(c) cases in homicide cases that are from two different judicial districts in southwest Colorado. As well as its active participation on complex violent crimes, VCAT also is a consulting resource for prosecutors throughout Colorado. For example just one of the attorneys provided assistance 78 times (up from 66 in the previous year) to prosecutors in 14 different judicial districts, to the Colorado District Attorneys Council, and to other states including Missouri and Nevada. This one of kind, specialized assistance was addition to this attorney's regular and ongoing case assignments.

**IV) WORKLOAD MEASURE:**

**WORKLOAD INDICATORS**

**1. Complex Crimes**

Objective: To utilize the Statewide Grand Jury to a greater extent and obtain at least 10 felony indictments.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Grand Jury investigations initiated in FY. (Individuals)	93	86	100	100
Indictments obtained in FY (Individuals)#	62	82	70	70

Objective: To open 40 new investigation files, file 25 new cases in court and obtain at least 15 felony convictions.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Total new investigations opened (Individuals)	102	107	120	120
Total new cases filed (Individuals)	88	87	95	95
Felony convictions obtained (Individuals)	126	61	75	75
Misdemeanor convictions obtained (Individuals)	2	1	6	6
Cases Closed	90	85	80	80

Objective: To obtain restitution, fines and cost recoveries in appropriate cases

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
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Fines, costs and restitution ordered.	\$3,216,846.73	\$ 3,684,267.02	\$2,000,000	\$2,000,000
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Objective: To participate in multi-jurisdictional case-driven task forces and information exchanging work groups.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Intergovernmental Cooperation	15	25	12	12

Objective: To continue in multi-jurisdiction case-driven task forces and information exchanging work groups.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Training sessions given.	31	27	30	30

## 2. Environmental Crimes

Objective: Maintain the effort of the Environmental Crimes prosecution emphasis to work in collaborative effort with local law enforcement, local emergency response personnel, District Attorneys, the Colorado Department of Public Health and Environment and the U.S. Environmental Protection Agency.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Obtain federal funding and/or federal support as part of a cooperative state/federal criminal enforcement effort to combat environmental crimes within the borders of the State of Colorado	Yes	Yes	Yes	Yes

Objective: To enforce compliance with existing environmental laws and regulations through investigation and prosecution of environmental crimes.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
New investigations opened	28	30	30	30
New cases filed	14	6	15	15
Felony convictions	9	5	10	10
Cases closed	24	29	25	25
Jury trials	1	0		
Court trials	0	0		

Objective: To increase the State of Colorado's participation in cohesive state and federal effort to combat environmental crimes.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Increase membership participation in state/federal task forces and unified state environmental programs	4	11	4	4

Objective: To increase awareness of environmental criminal activity within the State of Colorado by conducting statewide training.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Conduct local/state/federal awareness training to entities concerned with Colorado's environment	11	19	12	12

### 3. Gang Prosecution\*

Objective: To prosecute gang members, with the State Grand Jury, for multi-jurisdictional criminal activities.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Gang* members investigated	72	95	100	100
Gang members indicted	65	49	90	90
Felony convictions obtained for gang members**	113	16	50	50
Number of years of Department of Corrections**	328	71	200	200
Trials	7	1		

\* A "Gang" means a group of three or more individuals with a common interest or bond and whose activity is characterized by criminal or delinquent conduct.

\*\* The timing of individual being convicted of a felony usually does not occur at the same time that the individual is sentenced. For example, if a defendant is convicted of a felony crime in May or June (end of a Fiscal Year) then the sentence will likely be imposed during the beginning of the next Fiscal Year.

#### 4. Foreign Prosecution

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Active Cases	16		14	14
Article IV cases filed in Mexico	1	2	2	2
Extraditions/assists	19		12	12

#### 5. Auto Theft

Workload Measures		Actual FY13	FY 14 Actual	FY 15 Estimate	FY 16 Request
<b>Measure 1.1</b> Criminal Investigations Opened (Individuals)	Target	4	4	4	4
	Actual	3	3		
<b>Measure 1.2</b> Criminal Cases Filed (Individuals)		9	7	10	10
Measure 1.3 Jury trials		1	1	3	3
<b>Measure 1.4</b> Convictions Obtained		8	9	13	13
<b>Measure 1.4</b> Restitution Ordered					
	Actual	\$552,657.47	\$13,341.36		
<b>Measure 1.6</b> Publicize Prosecutions	Target	4	1	4	4
	Actual	2	1		

## 6. Violent Crime Assistance Team

Objectives: The objective of the Unit is to assist local District Attorneys in the investigation, evaluation, and prosecution of homicides and serious violent crimes. If the death penalty is sought, the Team provides prompt and effective assistance to the local District Attorney in filing and arguing motions, and trying cases, as requested by the local jurisdiction.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Cold Case and Active Homicide/Serious Violent Crime Assistance (Special Deputy)	7	16	15	15
Cold Case and Active Homicide/Serious Violent Crime Assistance (Investigator)#	12	29		
Capital Case Assistance	6	10		
Inquiries from Prosecutors Answered, Number of Judicial Districts#	85 from 15 Judicial Districts	86 from 17 Judicial Districts		
Lectures, Classes, Practicums, Given by Members of Unit#	24	25		
Task Force; Review Teams#	3	4		



## **I) BACKGROUND INFORMATION: INSURANCE FRAUD UNIT**

Colorado residents pay millions of dollars annually in insurance premiums. When insurance fraud in its many varied forms occurs, those costs are not only borne by the insurance companies but they are passed on to Colorado citizens in the form of higher premiums and insurance costs. Typical criminal conduct in this area involves staged automobile accidents, theft of premiums, fraudulent claims, contractor fraud and overbilling for services. The Insurance Fraud Unit has been tasked with the investigation and vigorous prosecution of criminal offenses relating to insurance fraud. Charges often involve crimes such as theft, forgery, conspiracy counts and in some instances racketeering. The Unit now consists of four attorneys, six investigators, one full time paralegal, one half time paralegal, and half of the time of an administrative assistant. This Unit is uniquely situated to handle insurance fraud cases and the staff is highly regarded statewide due to their expertise in this area. Staff of the Insurance Fraud Unit share their expertise in this arena and provide assistance to other investigators and law enforcement throughout the state.

The Insurance Fraud Unit is funded by an industry assessment through the Division of Insurance of the Colorado Department of Regulatory Agencies. The Insurance Fraud Unit provides investigative and prosecutorial resources for combating insurance fraud statewide. The general goals of the Insurance Fraud Program are: (a) to investigate allegations of insurance fraud by utilizing the appropriate tools available to members of the unit including the Statewide Grand Jury, search warrants and other investigative techniques; (b) to vigorously prosecute defendants under applicable Colorado statutes and obtain criminal convictions and just sentences of offenders where appropriate. This includes seeking restitution, fines, and penalties where appropriate; (c) to protect Colorado insurance consumers and to minimize losses to Colorado citizens; and (d) to make the public aware of the Unit's prosecutorial actions in order to educate and generally deter insurance fraud.

During FY14, the Unit continued to see a large number of fraud referrals. Most of these referrals were channeled to the Unit by the Colorado Division of Insurance and the National Insurance Crime Bureau (NICB). In addition, the Unit investigated and responded to a number of citizen complaints as well. The Unit continues to work large and complex cases both internally and in conjunction with other law enforcement and administrative agencies. In the last fiscal year, the Unit conducted several large and complex investigations in the Statewide Grand Jury and obtained grand jury indictments.

In FY14, the Unit opened 126 new investigations after screening all of the case referrals. The Unit filed a total of 36 new cases in Colorado courts. Cases were filed in Arapahoe, Denver, Douglas, Eagle, El Paso, Huerfano, Jefferson, Larimer, Mesa and Weld counties. In FY14 the Unit recorded the following number of cases per category among those investigated:

1. 5 health and accident insurance,
2. 5 insurance agents/brokers,
3. 60.5 automobile insurance,
4. 5 commercial insurance,
5. 28.5 homeowners insurance,
6. 4 insurance billing,
7. 1 life insurance,
8. 15 workers' compensation insurance,
9. 2 miscellaneous cases.

During FY14, the Unit supervised the restitution payments of 56 defendants on probation for insurance fraud-related crimes. \$251,975 in restitution was collected in FY14. Additionally, the Unit achieved new restitution orders totaling \$3,204,781.

Noteworthy cases for FY14 include the following:

**Chiniee Carter:** Pleaded guilty to stealing hundreds of thousands of dollars by filing fraudulent medical claims with several insurance companies. The ring would submit forged medical bills to insurance companies claiming injuries from motor vehicle accidents as well as 'slip and fall' accidents. Carter would then claim her children were badly injured, based on the forged medical bills, which caused the insurance companies to pay these false claims with inflated dollar amounts. Between 2007 and 2012, numerous claims were filed. Carter recruited family and friends to assist in her fraudulent behavior. Carter plead guilty to the Colorado Organized Crime Control Act as well as forgery and theft. She faces up to a 16-year sentence to the Department of Corrections.

**Briana Nguyen:** In late 2010, the Colorado Attorney General's Office received information that Briana Nguyen was involved in several vehicle accident / bodily injury claims involving several different insurance companies. Her claims ran the gamut from stolen and damaged property claims, to vehicle accident / bodily injury claims, to claims for essential services and lost wages. Briana Nguyen's wrongdoing spanned decades. The investigation determined that Briana Nguyen had been making false claims related to vehicle accidents / bodily injuries dating back into the mid-1990's. She carelessly recruited her young children and ex-husband as conspirators

in her criminal behavior. A grand jury investigation was launched and resulted in a multiple count indictment. After a two week jury trial Nguyen was convicted of multiple felony counts. She was sentenced to jail and probation.

**James Ahlin:** Ahlin was an insurance producer in Mesa County. He accepted insurance policy premiums from clients and then issued fraudulent liability insurance certificates to industrial workers and pocketed the money. Ahlin plead guilty to forgery. In addition, based upon the conviction Ahlin lost his insurance producers license and will no longer be able to work in the industry.

The Unit continues to see a large number of false insurance claims across the spectrum of insurance types. The Unit still sees a large number of staged accidents of motor vehicles. By also working with the National Insurance Crime Bureau, the Unit leverages its unique expertise to initiate investigations based upon analysis of crime patterns and the assimilation of insurance data. Many times insurance crimes go unnoticed by individual insurance companies because the claim losses are spread out among different companies. The Insurance Fraud Unit is changing that.

The Unit has been unable to prosecute a percentage of case referrals due legal and industry challenges. Increased dollar thresholds for the crime of theft allowed a number of cases involving bad actors to go unprosecuted. In addition, the continued digitalization of the insurance industry means that crimes that could once be prosecuted with the crime of forgery could no longer be prosecuted. The Unit worked in collaboration with the insurance industry and other stakeholders to propose a new insurance fraud crime. The Colorado Legislature passed SB92 this year now criminalizing specifically insurance fraud. This new and powerful tool will genuinely aid the Unit to better find and prosecute this crime that costs consumers millions annually.

#### IV) WORKLOAD MEASURE:

##### WORKLOAD INDICATORS

Workload Measures		Actual FY12	Actual FY13	Actual FY 14	Estimate FY15	Request FY16
<b>Measure 1.1</b> Criminal Investigation Opened	Target	80	80	80	80	80
	Actual	74	116	126		

<b>Measure 1.2.</b> Criminal Cases Filed	Target	20	20	20	28	33
	Actual	20	28	36		
<b>Measure 1.3</b> Jury trials	Target	2	2	2	2	2
	Actual	1	0	2		
<b>Measure 1.4</b> Total Number Defendants Convicted of a Crime	Target					
	Actual			39		
<b>Measure 1.4</b> Total Number Convictions Obtained	Target	22	22	22	22	22
	Actual	28	21	72		
<b>Measure 1.4</b> Restitution Ordered	Target	\$450,000	\$450,000	\$450,000	\$250,000	\$250,000
	Actual	\$648,347	\$3,162,077	\$3,204,781		

## Performance Measures

1. Complex and/or multi-jurisdictional fraud investigations and prosecutions

Objective: To conduct a statewide program for investigating and prosecuting violations of applicable state laws pertaining to fraud relating to insurance which local jurisdictions would be unable to effectively handle.

Performance Measures		Actual FY12	Actual FY13	Actual FY 14	Estimate FY15	Request FY16
<b>Measure 1.1</b> Investigate multi-jurisdictional insurance fraud cases in the grand jury, and obtain indictment where appropriate.	Target	4	4	4	4	4
	Actual	0	9	7		
<b>Measure 1.2.</b> Restitution actually collected pursuant to court order	Target	\$100,000	\$100,000	\$100,000	\$100,000	\$100,000
	Actual	\$209,063	\$253,077	\$251,975		

*Strategy:* The Unit receives referrals from numerous sources. Fraud referrals often require substantial investigation, and some investigations take months or in rare cases even years. Many of the investigations result in charges. However, some of the fraud referrals once they are fully investigated do not result in criminal charges. This is a common part of the criminal investigation process and can be due to a variety of factors including a lack of provable criminal intent, jurisdictional issues, ambiguous documentation or inconsistencies or vagueness in the applicable rules.

The Unit endeavors to be expeditious and responsive when reviewing referrals, opening investigations, and bringing cases through the court system. The Unit will occasionally partner with outside law enforcement agencies to prosecute cases when appropriate.

The statewide grand jury is a powerful investigative tool exclusively available to the Colorado Attorney General's Office. The Unit had a number of complex and multi-jurisdictional cases that were submitted to the grand jury in FY 14. Indictments were obtained on all of these cases.

It is anticipated that there will continue to be significant insurance fraud cases submitted to the grand jury in FY 15. Some notable cases that resulted in grand jury indictments in the past year include:

- Two individuals worked in tandem to engage in various types of insurance and other fraud. The lead suspect was charged with violating the Colorado Organized Crime Control Act. The indictment charges the two men of defrauding citizens, pawn brokers and jewelry dealers by stealing and then reselling luxury items. The investigation began when a number of victims contacted law enforcement with information about felonious activities conducted by the two Aurora men. In addition, one suspect passed multiple large checks, totally in about \$150,000, on a closed bank account, and stole an estimated \$425,000 in jewelry, diamonds and watches from local dealers. The two suspects then conspired to resell the stolen goods through a pawn shop.
- Beginning in 2007 and proceeding into 2012, a suspect submitted numerous insurance claims for damage to his home and other structures on his property. He claimed damage to a fence, swimming pool, hot tub, appliances and other items in his house that was allegedly cause by power outages and surges, lightning strikes and theft. The claims were erroneous and did not occur. To perpetuate the fraud, the suspect forged numerous documents that were ultimately submitted to insurers. Sometimes he would take legitimate estimates from contractors and alter the date or marking it 'paid in full'. Losses were in the thousands to insurers.

*Evaluation of Prior Year Performance:* The significant increase in restitution ordered helps illustrate that the Unit is meeting the goal of prosecuting more serious cases.

*Key Workload Indicators:* Workload indicators are consistent with the results in the Performance Measure table. Indicators show that the Unit has the ability to handle both routine cases and the more complex cases that are highly demanding of employee time.

## 2. Case openings, assignments, and processing

*Objective:* To quickly process referrals once they are received in Unit and, after initial review, continue the procedure of assigning the responsibility of each case to a team of at least one investigator and one prosecutor, and holding periodic status meetings and preparing reports on each case.

Performance Measures		Actual FY12	Actual FY13	Actual FY 14	Estimate FY14	Request FY15
<b>Measure 2.1</b> Assign an investigator and attorney at the time of case opening	Target	80	80	80	80	80
	Actual	74	116	126		
<b>Measure 2.2</b> Update case status reports monthly and provide reports to Division of Insurance	Target	12	12	12	12	12
	Actual	12	12	12		

*Strategy:* As the Unit's case load has varied from simple to more complex cases, it has become increasingly important for the Unit to promptly assign cases to the assigned investigators and attorneys, and to monitor and assess the progress of each case on a monthly basis.

*Evaluation of Prior Year Performance:* Assignments and monitoring have proceeded according to the standards set, and this has assisted the Unit in keeping its cases progressing satisfactorily.

*Key Workload Indicators:* Workload indicators are consistent with the results in the Performance Measure table.



## **I) BACKGROUND INFORMATION: SECURITIES FRAUD UNIT**

Colorado citizens are swindled out of millions of dollars each year through securities fraud. Securities fraud takes many forms including pyramid or “Ponzi” schemes, oil and gas investment schemes, and “fix-and-flip” housing scams, among others. The cases handled by the Securities Fraud Unit generally involve the prosecution of individuals who solicit and receive investor money for investment schemes that are not regulated. In addition, the Securities Fraud Unit has recently ventured into new territories such as securities fraud related to marijuana dispensaries. Our aging populations are often specifically targeted by those seeking to perpetrate investment crimes and the resulting losses to retirement funds and life savings can be catastrophic. In addition, due to the impending explosion of baby boom generation retirements the potential for losses is ever increasing. The Attorney General has been granted the authority to aggressively prosecute criminal violations relating to securities and securities fraud. The Office of the Attorney General is recognized statewide for its expertise on securities fraud matters and works many high profile cases. The Unit independently investigates, charges when warranted, and prosecutes securities violations statewide. The Securities Fraud Unit frequently utilizes the Statewide Grand Jury for these sophisticated and complex cases. The Securities Fraud Unit closely collaborates with the Colorado Division of Securities and Colorado law enforcement agencies to prosecute these crimes.

The Unit is made up of two attorneys, two investigators, a paralegal and an administrative assistant. This Unit has especially benefitted from the services of a forensic auditor to aid in both the investigation and prosecution of securities fraud. The Unit can move expeditiously on investigating cases with the expertise of the auditor and can better explain how money was used or misappropriated.

The Securities Fraud Unit is funded by an industry assessment through the Division of Securities of the Colorado Department of Regulatory Agencies. The Attorney General’s Office has original jurisdiction to both investigate and prosecute crime relating to securities. The Securities Fraud Unit provides investigative and prosecutorial resources for combating securities fraud statewide. The general goals of the Securities Fraud Program are: (a) to investigate allegations of securities fraud by utilizing the appropriate tools available to members of the unit including the Statewide Grand Jury, search warrants, and other investigative techniques; (b) to vigorously prosecute defendants under applicable Colorado statutes and obtain criminal convictions and just sentences of offenders where appropriate. This includes seeking restitution, fines, and penalties where appropriate; (c) to protect consumers and to minimize losses to victims; and (d) to make the public aware of the Unit’s

prosecutorial actions in order to educate and generally deter securities fraud violations.

## **II) MAJOR FRAUD CASES PROSECUTED BY THE UNIT**

**Clinton Fraley** worked for several investment companies and then started his own investment firm called “Wealth by Design, Inc.” Fraley solicited friends and acquaintances he had developed to invest with this new firm. Without authorization, Fraley redeemed client retirement and other investment accounts from a mutual fund company where funds were held without the permission of the investors. He then physically intercepted the redemption checks at the homes of his clients and proceeded to forge these checks. He converted over \$500,000 of client money for his own use such as to purchase a townhome and an interest in an airplane. Fraley was convicted of securities fraud and was sentenced to twelve years in the Department of Corrections.

**Jeffory Shields** owned and operated Geodynamics Exploration, Inc., and Geodynamics, Inc., a Colorado company. Shields solicited money from investors by and through these companies. The investments offered by Shields promised high rates of return and made representations that the funds would be used for exploration and drilling of oil and gas wells. Shields had at least three oil and gas projects, one each in the name of Johnston’s Corner, Huskies, and Trumpeter, for exploration and drilling of oil and gas wells. In fact, most of the investor funds were not used for the purpose of exploration and drilling oil and gas wells. Losses to Colorado investors exceeded one million dollars. Shields solicited Colorado investors locally and from states including Nebraska, Texas, California, New York, New Jersey, Minnesota, Michigan, Illinois, Indiana, Oklahoma, Massachusetts, Tennessee, Hawaii, Washington, and Arizona. Combined, losses exceeded several million dollars. Shields was convicted of multiple counts of securities fraud in two separate cases. Sentencing and restitution are pending.

## **IV) WORKLOAD MEASURE:**

## WORKLOAD INDICATORS

Workload Measures		Actual FY 12	Actual FY13	Actual FY14	Estimate FY15
<b>Measure 1.1</b> Criminal Investigations Opened	<b>Target</b>	12	8	8	8
	<b>Actual</b>	6	18	12	
<b>Measure 1.2.</b> Criminal Cases Filed	<b>Target</b>	12	10	10	10
	<b>Actual</b>	8	10	6	
<b>Measure 1.3</b> Jury trials	<b>Target</b>	2	2	2	2
	<b>Actual</b>	1	1	0	
<b>Measure 1.4</b> Convictions Obtained	<b>Target</b>	10	10	8	8
	<b>Actual</b>	6	7	8	
<b>Measure 1.5</b> Restitution Ordered	<b>Target</b>	\$5,000,000	\$5,000,000	\$5,000,0000	\$5,000,000
	<b>Actual</b>	\$11,023,182	\$4,283,094	\$7,113,232	

Statistics kept by the Unit indicate that the workload of the Unit is continuing to trend toward investigating and prosecuting fewer yet larger and more significant cases. The Unit's new restitution orders were made on behalf of 90 victims this year.

## PERFORMANCE MEASURES

### 1. Complex and/or multi-jurisdictional fraud investigations and prosecutions

*Objective: To conduct a statewide program for investigating and prosecuting violations of applicable state laws pertaining to securities fraud which local jurisdictions would be unable to effectively handle.*

Performance Measures		Actual FY 12	Actual FY13	Estimate FY14	Request FY15
<b>Measure 1.1</b> Investigate multi- jurisdictional	<b>Target</b>	6	6	6	6
	<b>Actual</b>	7	6	9	

Securities fraud cases in the grand jury, and obtain indictment where appropriate.					
<b>Measure 1.2.</b> Restitution actually collected pursuant to court order	<b>Target</b>	\$250,000	\$250,000	\$250,000	\$250,000
	<b>Actual</b>	\$182,928	\$135,859	<b>\$295,261</b>	

*Strategy:* The Unit receives referrals from numerous sources. The Division of Securities refers approximately 50% of the Unit’s cases to our office. Private attorneys, law enforcement and private citizens also refer cases to the Unit. Fraud referrals often require substantial investigation, and most investigations take months or years. Some of the fraud referrals, once investigated, do not result in criminal charges. This is due to various reasons, including lack of provable criminal intent, inconsistencies or uncooperative victims and witnesses, or statute of limitations problems when cases are brought to our attention years after the criminal behavior.

*Evaluation of Prior Year Performance:* The unit’s numbers were fairly similar for the past two years; while the case numbers are low, the sentences and restitution figures reflect the complexity and size of the cases prosecuted. However, the Unit has seen a significant number of criminal investigations opened. This is a reflection on greater cooperation with partner law enforcement and regulatory agencies. Additionally, Colorado victims across the Front Range are well represented in that cases prosecuted this year involve Denver, Broomfield, Douglas, Elbert, Jefferson, and Mesa counties.

*Key Workload Indicators:* Workload indicators are consistent with the results in the Performance Measure table. Indicators show that the Unit has the ability to handle the more complex cases that are highly demanding of employee time.

## 2. Case openings, assignments, and processing

*Objective:* To quickly process referrals once they are received in the Unit and, after initial review, continue the procedure of assigning the responsibility of each case to a team of at least one investigator and one prosecutor, and holding periodic status meetings and preparing reports on each case.

Performance Measures	Actual FY12	Actual FY13	Estimate FY14	Request FY15
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<b>Measure 2.1</b> Assign an investigator and attorney at the time of case opening	<b>Target</b>	12	12	8	8
	<b>Actual</b>	6	17	10	
<b>Measure 2.2</b> Update case status reports monthly and provide reports to Division of Securities	<b>Target</b>	12	12	12	12
	<b>Actual</b>	12	12	12	

*Strategy:* As the Unit's case load and referrals is often fluctuating, monthly reports are created and sent to the Division of Securities and the Unit meets routinely to discuss ongoing investigations and cases to ensure cases keep moving forward.

*Evaluation of Prior Year Performance:* The Unit has seen an increase in the number of referrals this fiscal year from the Division of Securities and from other sources.

*Key Workload Indicators:* Workload indicators are consistent with the results in the Performance Measure table.



## **D) BACKGROUND INFORMATION: APPELLATE DIVISION**

### **Structure:**

General fund unit headed by the Deputy Solicitor General.  
34 attorney FTE's and 4 support staff.

**Primary duties.** The Division represents the prosecution when defendants challenge their felony convictions before state and federal appellate courts.

For the most part, the Division responds to appeals that are brought on behalf of convicted criminals, and thus has no control over the size of its incoming caseload. Most of the cases handled by the Appellate Division are in the Colorado Court of Appeals; the rest are in the Colorado Supreme Court and the federal district and appellate courts. For each case, an Appellate Division attorney must review the trial court record and the brief filed by the defense, do legal research into the defendant's claims, and file a response. In a small number of cases, the Appellate attorney will be filing the first (or opening) brief rather than a response. In FY 2014, Appellate Division attorneys filed 1149 opening and response briefs. On some appeals, the attorney must also argue the case before an appellate court (with the exception of state habeas cases, all Colorado Supreme Court cases are argued, as all result in opinions that are binding on the lower courts). Appellate attorneys conducted 124 oral arguments in FY 2014, an increase of 13% over FY 2013.

The Division must provide effective and ethical representation in every case and handle whatever issues are presented in as timely a manner as possible. At times, however, it must take the offensive and seek certiorari review in the Supreme Court when (1) the court of appeals issues an opinion that appears to be contrary to established law and/or would have an adverse impact on law enforcement; or (2) conflicting decisions from the court of appeals emphasize the need for clarification in particular areas of the law. These situations generally require extensive research and briefing, and will always result in oral argument.

The outcome of any given case may significantly affect how law enforcement authorities conduct searches and arrests; influence criminal trials and sentencing hearings throughout the state; or impact the state's Department of Corrections and probation, parole, and county Community Corrections programs. As such, each case must be given thorough and careful attention.

In order to do the best job possible, appellate and trial prosecutors must keep current on ongoing developments in criminal law and procedure. In addition to writing briefs and presenting oral arguments, Division attorneys condense the critical principles embodied in each published case, and classify and incorporate them into a digest that is transmitted weekly to the division's attorneys and to the Offices of the State's District Attorneys. This digest is available to state prosecutors through a web site posting that is updated monthly. Division attorneys also participate in internal training sessions on various legal issues and in training sessions for the DA's throughout the year and at the annual CDAC conference in September.

**Critical issues.** The Division’s obligation to effectively represent the interests of the People must be balanced with the need to process cases as quickly and efficiently as possible.

The Division officially “opens” a case when it receives an opening brief from the defense. In FY 2014, the Division opened 911 new appeals, an average of 76 new cases per month.

The Colorado Appellate Rules provide that a response (or answer brief) should be filed within 35 days after service of the opening brief. As of the end of FY 2014, the Division had 272 cases awaiting a response. Meeting a 35-day turnaround is impossible.

	<b>Cases Opened</b>	<b>Briefs Filed by Division</b>	<b>Cases Resolved Other Ways</b>	<b>Backlog</b>
FY 08	-	-	-	280
FY 09	1240	1029	87	395
FY 10	1152	1054	62	434
FY 11	1050	1021	66	398
FY 12	1171	894	67	608
FY 13	1018	885	163	564
FY 14	911	1149	149	272

The Division was appropriated an additional six attorney positions through an FY 14 decision item. Originally, the Division was anticipating reducing the need for these six new hires by four by FY 18. However, the Appellate Division of the Public Defender’s Office received ten (10) additional attorneys for FY 2015. The Division will be monitoring that impact on its workload to determine best resource needs moving forward.

Further reducing the backlog and reducing the length of time it takes to process our appeals must be the Division’s top priorities.

**III) HOT ISSUES:**

Appellate’s biggest challenge has always been how to keep pace with an unpredictable incoming caseload, while also trying to pare down the pending caseload. The state appellate courts (and particularly the Court of Appeals) have traditionally recognized the difficulties posed by our workload and have been generous in granting extra time to file our briefs. However, the court of appeals has caught up on its caseload, and has indicated that it wants to reduce the amount of time it takes for cases to pass through the appellate system. The Division agrees that it is to everyone’s benefit to have appellate cases resolved as quickly as possible, while giving those appeals the attention they deserve. As we chip away at our pending caseload, we can expect to see continuing pressure by the Courts for a faster turnaround on our appeals.

The United States Court of Appeals for the Tenth Circuit Court has concluded that “delay in adjudicating a direct criminal appeal beyond two years from the filing of the notice of appeal gives rise to a presumption that the state appellate process is ineffective. *Harris v. Champion*, 15

F.3d 1538, \*1556 (10<sup>th</sup> Cir. 1994). Thus, in any federal habeas corpus cases we are involved in, we may have to overcome that presumption. The task is not impossible, but time devoted to overcoming the presumption is time not spent on other cases. Indeed, the time we spend “managing delays” (repeatedly requesting additional extensions of time and overcoming these presumptions) takes time away from work on substantive claims and has a snowball effect.

We have not yet had a conviction vacated in state or federal court because of inordinate delay on appeal, but each year we are faced with more such claims than in the past.

**IV) WORKLOAD MEASURE:**

Work on these appeals involves additional activities that vary by case, including monitoring case files; writing and responding to motions; conducting legal research; filing supplemental pleadings such as petitions for rehearing and petitions for certiorari; and preparing for and orally arguing the merits of the appeal before an appellate court(s). Oral arguments involve significant prep time, so an increase in arguments directly impacts brief production.

Workload Measure	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY16 Request
Incoming cases	1171	1018	911	1100	1200
Oral arguments	115	110	124	130	140

**CORE OBJECTIVES AND PERFORMANCE MEASURES**

Objective: Produce quality briefs appropriately tailored to the seriousness of the offense/appellate challenge while maintaining or improving success rate. As a performance measure, the most quantifiable indicator may be “Cases Resolved,” which reflects the number of briefs filed plus the cases decided by the Court of Appeals via its expedited docket (which issues opinions in simple cases without the need for an AG response) or otherwise resolved.

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY16 Request
Briefs filed annually		894	885	1149	1000	
Cases resolved (i.e. total of briefs filed and cases		961	1048	1298	1000	

decided via expedited docket or otherwise resolved) annually						
Percentage of cases with a successful outcome on appeal annually	90%	91.2%	91%	91.3%	90%	90%

**Performance Evaluation:**

Over the past two years, the Division has met its goal of preserving at least 90% of the convictions challenged on appeal.

The addition of six attorney positions in FY 2014, hard work on the part of Division staff, the use of the experimental docket, and lower incoming numbers combined to produce a significant decrease in the backlog of cases awaiting answer briefs. At the end of FY 2013, the backlog stood at 564 cases; the Division reduced that number to 272 cases at the end of FY 2014, a reduction of over 50% (292 cases)!

## **Strategy:**

The strategy of the Division is do whatever it can to resolve cases in a timely fashion while providing quality representation of the state's interests. Toward that end, it is critical that the Division reduce the backlog to a manageable level.

1. The Division was awarded six new attorney FTE for FY 2014, two permanent and four short term (three positions end in FY 2018 and one in FY 2017). With the additional resources garnered by the Public Defender's Office, the Division is assessing resource needs in out years.
2. Cases are channeled within the Division as efficiently as possible so that the best people for the job are working on particular cases. Many Division attorneys have developed special expertise, and to the extent possible, supervisors channel cases dealing with particular subject areas to those with expertise (few cases, however, ever consist of single issues). Resource materials (a brief bank, outlines, etc.) are compiled and updated to provide starting points and shortcuts for research, and senior staff provide mentoring and oversight so that junior staff get on the right track quickly and efficiently.
3. At the end of FY 2012, the Division worked with the Court of Appeals on a procedure for an "experimental docket" in which Division attorneys screened cases and filed abbreviated pleadings short of thorough briefs. This was designed to expedite the small percentage of cases that could be dealt with easily and effectively without full briefing, thereby increasing Division and court efficiency and expediting case processing. In FY 2013, 91 cases were resolved via the experimental docket; an additional 87 cases were so resolved in FY 2014. However, many of the judges on the Court of Appeals did not like this practice, as they felt it put them in the role of advocates rather than neutral adjudicators. In addition, it necessitated much more work by the Court's staff attorneys, which also did not work well for the Court. As a result, the Chief Judge discontinued the experimental docket at the end of the 2013 calendar year. The cases that would have been diverted to the experimental docket are now included the general caseload.
4. The Deputy Solicitor General has organized a working group consisting of representatives from the Appellate Division, the appellate court clerks, the Court of Appeals, the Public Defender's Office, and the Office of Alternate Defense Counsel to discuss matters of mutual concern and how we might streamline our interaction for maximum efficiency. The group meets every other month and has come up with a number of changes that have been beneficial to all involved.



## **I) BACKGROUND INFORMATION: VICTIM ASSISTANCE UNIT.**

Under Colo. Const. Art. II, § 16a and Colo. Rev. Stat. §§ 24-4.1-302, *et seq.*, victims of certain crimes have the right to be informed of and present for each critical stage of the criminal justice process. To this end, law enforcement agencies are required to provide certain services to all victims of crime against persons. The Department of Law Victim Services is provided by 1 FTE, the Victim Services Coordinator. The Victim Services Coordinator carries out these duties for both the (Criminal) Appellate Division and the trial prosecutions within the Criminal Justice Section in the Attorney General's office. The Coordinator helps over 1200 victims each year. These duties entail providing status information, explanations, court accompaniment, referral, and liaison services to crime victims in Appellate, Special Prosecutions, Medicaid fraud, and, occasionally, capital cases. Also, under Sec. 18-3-417, when there is a disciplinary case involving a licensed professional who is accused of a sex offense, the Victim Services Coordinator advises the victim of the alleged offense of their right to pursue criminal and civil action and provides the victim with information about the Colorado Victim Rights Act.

The Coordinator provides status information, explanations, court accompaniment, referral, and liaison services to the victim as his or her case progresses through the "critical stages" of the criminal justice process. Depending on whether the case is an appellate or trial-level proceeding, the critical stages and nature of additional services vary. These services are non-duplicative of any other services in the state. The VSC enables crime victims and their families to keep informed about the legal events in their cases.

The Victim Services Coordinator works cooperatively with the Victim Assistance Coordinators in all of the District Courts in Colorado, with Victim Compensation, with the Victim Advocates in the Departments of Correction, Probation, Parole, and with community-based victim programs throughout the state.

## **II) PRIOR YEAR LEGISLATION**

NA

## **III) HOT ISSUES**

### People v. Justin Taylor

The defendant was at home taking care of his six month old son and a two year old daughter while the mother was out seeking employment. When she returned home, the baby was unconscious and non-responsive. The mother called 911, but on the way to the hospital the baby died. An autopsy showed that he died of blunt force trauma causing brain injury. The defendant was convicted of reckless child abuse resulting in death and sentenced to 48 years in prison.

On direct appeal, the Court of Appeals agreed with the defendant that the court erred in failing to instruct the jury that the prosecution was required to prove beyond a reasonable doubt the causal link between the defendant's actions and the baby's fatal injuries. Based on this finding, the Court has reversed the defendant's conviction and sentence and has remanded the case for a new trial.

The Victim Services Coordinator has provided information about the appeal to the baby's mother. At the time of the court's opinion and the reversal of the conviction, the mother had moved with no forwarding address. The Victim Services Coordinator was able to locate her, inform her of the court's decision, and is currently giving her information, referrals to support services, and is helping the victim in the transition to the trial court for retrial.

### People v. Michael Quinn Tate

16-year-old Michael Quinn Tate and his friend 17-year-old Michael Fitzgerald, burgled Fitzgerald's house. When Steven Fitzgerald, Michael Fitzgerald's father, appeared, he was shot and killed by Tate. Tate was ultimately tried as an adult and convicted of first degree felony murder and other charges. He was sentenced to Life Without Parole.

While his appeal was pending, the US Supreme Court decided *Miller v Alabama*, which held that mandatory Life Without Parole sentences imposed on juvenile offenders, like Mr. Tate, violated the Eighth Amendment's ban on cruel and unusual punishment. The Court of Appeals, accordingly, vacated Mr. Tate's sentence and remanded his case to the trial court for resentencing and otherwise affirmed his convictions.

The Colorado Supreme Court heard oral arguments on Mr. Tate's resentencing options on June 5, 2014, along with three other juvenile cases needing resentencing under *Miller v Alabama*.

The Victim Services Coordinator has been in contact with the large and concerned family during direct appeal and now during the sentencing issues being addressed. There have been two oral arguments thus far in this appeal, and the Victim Services Coordinator has accompanied family members to the court.

## **I) BACKGROUND INFORMATION: MEDICAID FRAUD UNIT**

The Medicaid Fraud Control Unit (“MFCU”), authorized for 17 FTE positions, defends the financial integrity of the state’s Medicaid program and the safety of patients in Medicaid-funded facilities. The MFCU investigates and prosecutes fraud by providers against the Medicaid program and patient abuse in Medicaid-funded facilities throughout the state. It also pursues civil recoveries and damages against providers under the Colorado Medicaid False Claims Act, which became law on May 26, 2010. By law, the Unit is separate from and independent of the state agency (Colorado Department of Health Care Policy and Financing, “HCPF”) that administers Colorado’s Medicaid program. By federal law and State Executive Order D1787, the Unit has statewide investigation and prosecution authority, which occurs with the cooperation and assistance of the 22 local District Attorneys across the state.

The Colorado Medical Assistance Program (Medicaid) provides medical assistance to low income, disabled individuals, children and families in Colorado, paying over \$6 billion each fiscal year to over 10,000 participating Colorado Medicaid providers (such as nursing homes, physicians, psychologists and mental health therapists, dentists, pharmacies, laboratories, hospitals, clinics and durable medical equipment companies) on behalf of over 900,000 recipients enrolled in its various programs. Accordingly, Medicaid is one of the two largest items in the current Colorado state budget.

Colorado Medicaid is jointly funded by the federal and state governments at an approximate 50/50 split. The MFCU receives 75% of its funding from the federal government, but in most cases a full 50% of the monies recovered by the Unit are returned to the state. During FY 2014, the MFCU returned **\$9,441,306.04** in restitution and recoveries to the Medicaid program in Colorado. Recoveries are accomplished through criminal restitution orders, settlements with providers, and participation with other state MFCUs in civil and criminal litigation, termed “global” cases, over alleged fraud against state Medicaid programs nationwide.

This past fiscal year, under the False Claims Act, the MFCU obtained civil fraud recoveries to supplement its criminal authority. In FY 2014, the MFCU recovered **\$245,868.21** in civil recoveries for local fraud and overpayments unrelated to the global cases, far in excess of the state money appropriated for its civil unit<sup>1</sup>.

A large majority of health care providers deliver reasonable and honest services, and bill appropriately. Fraud in the delivery of health care services has been estimated nationally at **3.0%** (testimony of the Inspector General of Health and Human Services before the Senate Special Committee on Aging, May 6, 2009). Recognizing that any amount is too much, it is the goal of the MFCU to effectively investigate, prosecute and to recover overpayments. Modern Medicaid fraud is typically a complex, multi-party (and sometimes multistate) white-collar crime which requires sophisticated investigative and prosecution personnel, who are well-trained and experienced in the white-collar crime arena.

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<sup>1</sup> All of the civil recoveries were obtained through settlements. This past year, the nature of the Unit’s civil cases, both global and local, has evolved, and it appears that there will be fewer settlements and more litigated cases in the immediate future. Because of this, in order to continue to best protect the Medicaid program through appropriate enforcement of the law, up to and including litigation, the Unit will be requesting authorization to add another experienced attorney to its staff.

## **II) PRIOR YEAR and CURRENT YEAR LEGISLATION**

During the legislative session which occurred during FY2013, the Colorado Legislature passed an amended Medicaid False Claims Act. The purpose of the amendments was to bring Colorado's statute into compliance with Federal standards; by doing so, Colorado would be entitled to an additional 10% (taken from the Federal government's share) of any recovery made under the Colorado Medicaid False Claims Act. During fiscal year 2014, the Medicaid Fraud Unit learned that the amended Medicaid False Claims Act had been reviewed by the Office of Inspector General/U.S. Department of Health and Human Services and found to be in compliance with the applicable standards. Because of this, Colorado will now receive the additional 10% of any recoveries made.

## **III) HOT ISSUES**

Major fraud cases: In the past fiscal year, the MFCU has continued to investigate and prosecute medical supply and equipment cases with significant losses, and more such cases are under investigation.

False Claims: Since the passage of the Medicaid False Claims Act, the State of Colorado has been named as a party plaintiff in approximately 276 global cases, resulting in a sharp increase in the MFCU's case load and activity level. As mentioned above, the MFCU has also recovered substantial funds from local civil cases, some of which were generated within the MFCU and some of which were the result of referrals from outside sources.

Initiatives and directions: The MFCU has begun initiatives to combat certain types of fraud that are prominent in Colorado. These frauds include overbilling in home health and Home and Community Based Services (HCBS), upcoding of services by physicians and other professionals, and fraud in expense reporting in nursing home cost reports. The MFCU is reviewing home health and home-based services providers for potential fraud whenever their billed service dates coincide with recipients' hospitalization, and has opened numerous cases in fiscal year 2014. The MFCU has also been determining the cost report impact of any nursing home professional discovered to not have been duly licensed in their field, and demanding repayment and penalties from their employers when appropriate.

Affordable Care Act: With the United States Supreme Court case upholding major provisions of the Affordable Care Act, there is the potential for the act's implementation to have a major effect on the Unit's caseload, as the act may significantly increase the number of Medicaid recipients, with current rough estimates projecting a 15% increase in recipients. Even before the ACA ruling, CMS had predicted that the growth in Medicaid spending would outpace the growth of the U.S. economy over the next decade (CMS Press Release, October 17, 2008).

#### **IV) WORKLOAD MEASURE:**

#### **WORKLOAD INDICATORS**

<b>Workload Measures</b>		<b>FY 12 Actual</b>	<b>FY 13 Actual</b>	<b>FY 14 Actual</b>	<b>FY 15 Estimate</b>	<b>FY 16 Request</b>
<b>Measure 1.1</b> Criminal Investigation Opened	<b>Target</b>	45	45	45	45	45
	<b>Actual</b>	26	47	31		
<b>Measure 1.1a</b> Civil Investigation Opened	<b>Target</b>	50	50	50	50	50
	<b>Actual</b>		103	108		
<b>Measure 1.2.</b> Criminal Investigations Pending	<b>Target</b>	55	55	55	55	55
	<b>Actual</b>	48	58	45		
<b>Measure 1.2a</b> Civil Investigation Pending	<b>Target</b>		150	150	150	150
	<b>Actual</b>		192	202		
<b>Measure 1.3.</b> Criminal Cases Filed	<b>Target</b>	12	12	12	12	12
	<b>Actual</b>	12	15	5		
<b>Measure 1.4.</b> Convictions	<b>Target</b>	12	12	12	12	12
	<b>Actual</b>	19	10	10		
<b>Measure 1.8.</b> Total fines / Costs / Restitution Recovered (This includes all Civil & Criminal)	<b>Target</b>	\$450,000	\$3,500,000	\$3,500,000	\$3,500,000	\$2,500,000
	<b>Actual</b>	\$8,469,092.38	\$16,250,428.94	\$9,441,306.04		
<b>Measure 1.9.</b> Referred for program exclusions (Providers)	<b>Target</b>	12/60	12/60	12/60	12/60	12/60
	<b>Actual</b>	8/Indefinite	20/Pending	46/Pending		
<b>Measure 1.10.</b> Cases closed (Civil & Criminal)	<b>Target</b>	45	55	55	55	55
	<b>Actual</b>	105	115	131		
<b>Measure 1.11. Patient Incident Reviews</b>	<b>Target</b>	1,000	2,000	2,000	2,000	2,000
	<b>Actual</b>	3819	3,328	3,516		
<b>Measure 1.12.</b> Intergovernmental Cooperation (open non-global cases investigated jointly w/other agencies)	<b>Target</b>	22	15	15	15	15
	<b>Actual</b>	10	9	10		

**N.B.:** The dollar amount listed in Measure 1.8 reflects all recoveries, both civil and criminal, made by the Unit. Per estimates obtained from the National Association of Medicaid Fraud Control Units (NAMFCU), with whom the Unit coordinates its participation in global cases, the amount of dollar recoveries to be obtained through global cases over the next few years is expected to decrease markedly. While such estimates are not an exact science, they are worth noting, as the largest part of the Unit's recoveries over the past several years has been through global cases. Future years' recoveries have been adjusted to reflect both current trends and the NAMFCU estimates.

Under Measure 1.9, 46 individuals were referred for exclusions from the Medicaid program. The amount of time for which they will be excluded is pending.

Statistics kept by the MFCU indicate that the workload of the MFCU has increased gradually but steadily over the fiscal years. A further increase in the MFCU caseload is anticipated for FY15 with the Supreme Court's ruling pertaining to the Affordable Care Act.

## V) PERFORMANCE MEASURES

### 1. Fraud Investigations and Prosecutions

*Objective: To conduct a statewide program for investigating and prosecuting violations of applicable state laws pertaining to fraud in the administration of the Medicaid program, the provision of medical assistance, or the activities of providers of medical assistance under the State Medicaid plan.*

SEE FOLLOWING TABLE

Performance Measure	Outcome	FY 13 Actual		FY 14 Actual		FY 15 Estimate		FY 16 Request	
		Actual	Change	Actual	Change	Actual	Change	Actual	Change
1.1 Open fraud investigations with substantial potential for criminal prosecution.	Benchmark	35		35		35		35	
	Actual	58	32	41	<17>				
1.2 Identify, collect overpayments or refer the matter to appropriate state agency for collection.	Benchmark	\$2,000,000		\$2,000,000		\$2,000,000		\$2,000,000	
	Actual	\$16,250,428.94	\$7,781,336.56	\$9,387,610.23	<\$6,862,818.71>				
1.3 To prosecute and convict providers who violate the criminal law by defrauding the Medicaid program.	Benchmark	9		9		9		9	
	Actual	10	10	10	0				
*Probation sentences (years)	Benchmark	10		10		10		10	
	Actual	30.5	2.25	19	<11.5>				
*Jail / prison sentences(days)	Benchmark	180		180		180		180	
	Actual	2,275.00	2,215.00	1,883	<392>				
*Useful public service (hours)	Benchmark	100		100		100		100	
	Actual	966	414	696	<270>				

*Strategy:* The Unit receives referrals from numerous sources. Fraud referrals often require substantial investigation, and some investigations take months or years. Many of the fraud referrals, once investigated, do not result in criminal charges. This is due to various reasons including lack of provable criminal intent, and inconsistencies or vagueness of applicable rules.

The Unit endeavors to be as quick and responsive as possible in receiving referrals, opening investigations, and bringing cases through the court system. When cases are not appropriate for criminal investigation, the Unit reviews them promptly for consideration of civil recoveries or refers them to other agencies and/or delivers information or assistance to the referring entity or person to assure that their concerns may be addressed.

The MFCU's case load increased significantly with the addition of the civil unit, both from new local civil cases and from the service upon the state of scores of civil *qui tam* cases based in federal courts throughout the country.

Evaluation of Prior Year Performance: The MFCU obtained almost as many convictions in FY14 as projected for this year.

*Workload Indicators:* Workload indicators are consistent with the results in the Performance Measure table, and reflect an increasing Unit caseload. Indicators show that the Unit is close to reaching the limits of its ability to handle both routine cases and those that are highly demanding of employee time. As such, there is a clear indication that additional staff, specifically an additional attorney, will be needed to allow the Unit to continue to function efficiently.

The need for an additional attorney is not simply a function of the ever-growing number of cases (and the number of active cases is projected to continue to grow as the Affordable Care Act is fully implemented), but also of the complexity of the cases. For the past decade, the vast majority of the Unit's monetary recoveries have been as a result of its participation in global settlements facilitated by the National Association of Medicaid Fraud Control Units (NAMFCU). With the exception of one case, which is pending a judicial ruling, **all** of these cases have settled; none has gone to trial. As the global case environment has matured, this is no longer true. NAMFCU has informed the respective state units that litigation is now anticipated in several of the pending *qui tam* (civil) investigations, and that the units will need to contribute attorney resources to this litigation if the Unit wishes to benefit from any monetary judgment obtained at trial. As currently staffed, the Unit would be unable to participate in many cases, due to a shortage of attorney staff, as the global cases are enormously time consuming, often requiring out of state travel and discovery responses involving tens of thousands of documents. By adding an additional experienced attorney, the Unit would be able to better address the pending litigation demands in its civil cases. Adding an additional attorney would also be beneficial to the handling of the Unit's criminal cases. While the number of criminal cases resolved has remained fairly constant the past few years, the past fiscal year has marked a dramatic increase in criminal cases which will be fully litigated. Criminal matters going to trial not only require the dedicated attention of the attorney to that case, but also require the assigned attorney to be absent from the office for extended periods of time. By adding an additional attorney to assist in both the criminal and civil cases, matters would be addressed more expeditiously and litigated cases would be handled more quickly.

## 2. Abuse Investigations and Prosecutions

*Objective:* To review complaints alleging abuse of patients in health care facilities receiving payments under the State Medicaid plan and in board and care facilities regardless of funding; and complaints of the misappropriation of patients' private funds in such facilities.

Performance Measure	Outcome	FY 13 Actual		FY 14 Actual		FY 15 Estimate		FY 16 Request	
		Incidents	Change	Incidents	Change	Incidents	Change	Incidents	Change
2.1 Open abuse investigations with a substantial potential for criminal prosecution or refer to appropriate government agency	Benchmark	6		6		6		6	
	Actual	8	2	4	<4>				
2.2 Criminally prosecute and convict those who violate the criminal law, particularly the "Wrongs to At-risk Adults" statute.	Benchmark	1		1		1		1	
	Actual	3	0	0	<3>				

*Strategy:* The Unit investigates thousands of occurrences of injury, endangerment, and wrongdoing in nursing homes and other facilities. These cases can be investigated and prosecuted by the Unit, but a substantially greater number are tracked through the system as they are prosecuted by other government agencies, usually the local District Attorney. As with fraud referrals, the Unit endeavors to be as quick and responsive as possible. Abuse cases are coordinated with local police and prosecutors and assistance is given as needed. In rare cases, a local agency will not accept an abuse case but the Unit is able to prosecute it independently.

*Evaluation of Prior Year Performance:* The Unit continues its work reviewing and investigating incidents of abuse and provides assistance and support to other law enforcement agencies. As mentioned, these cases are typically investigated by the local police and prosecuted by the local District Attorney, with the MFCU providing assistance as needed. This year, the Unit reviewed 3,516 allegations of abuse and other incidents in long-term care facilities.

*Key Workload Indicators:* Workload indicators are consistent with the results in the Performance Measure table, and reflect an increasing overall Unit caseload. The indicators do not demonstrate the number of cases for which the Unit provided expert assistance or consultation.

### 3. Criminal Case Openings, Assignments, and Processing

*Objective:* Process cases quickly in Unit and, where applicable, in court system by continuing the procedure of assigning the responsibility of each case to a team of at least one investigator and one prosecutor, and holding periodic status meetings and preparing reports on each case.

Performance Measure	Outcome	FY 13 Actual		FY 14 Actual		FY 15 Estimate		FY 16 Request	
		Incidents	Change	Incidents	Change	Incidents	Change	Incidents	Change
3.1 Assign an investigator, auditor and attorney at the time of case opening.	Benchmark	35		35		35		35	
	Actual	47	26	41	<6>				
3.2 Update case status report and do case review of each case periodically	Benchmark	4/4		4/4		2/2		2/2	
	Actual	4/4		4/4					

*Strategy:* As the Unit’s case load has increased steadily, it has become increasingly important for the Unit to promptly assign cases to the respective investigators and attorneys, and to monitor and assess the progress of each case periodically.

*Evaluation of Prior Year Performance:* Assignments and monitoring have proceeded according to the standards set, and this has assisted the Unit in keeping its cases progressing satisfactorily. In addition, the Unit has improved its pre-assignment evaluation of referred cases, providing a review of cases to be assigned, so that limited Unit resources may be utilized properly. Thus, the number of cases actually opened is far fewer than the number of cases reviewed.

*Key Workload Indicators:* Workload indicators are consistent with the results in the Performance Measure table, and reflect an increasing overall Unit caseload.

## **I) BACKGROUND INFORMATION: P.O.S.T. UNIT**

**Structure:** The Colorado Peace Officer Standards and Training Board (P.O.S.T.) was established as a result of the enactment of federal legislation requiring equal protection by jurisdictions receiving federal funding (Title VII of the Rehabilitation Act of 1973).

The P.O.S.T. Board is composed of 20 members, of which three are statutory members: the Attorney General (Chairperson); the FBI Special Agent in Charge of the Denver Division of the Federal Bureau of Investigation; and the Executive Director of the Colorado Department of Public Safety. Seventeen members are appointed to 3-year terms by the Governor, and include one local government representative; one member of the general public; 6 active sheriffs; 6 active chiefs of police; and 3 line-level peace officers serving at the rank of sergeant and below.

**Primary Duties:** The P.O.S.T. Board is statutorily responsible for the approval, inspection, and regulation of all basic and reserve peace officer training academy programs: to include the development of the basic, reserve peace officer, refresher academy curriculums program curriculums; instruction methodology training programs; skills training programs (arrest control tactics, firearms, and law enforcement driving) and skills instructor programs. The Board's responsibilities also include enforcement of statutes and rules related to peace officer academy enrollment; ensuring peace officer applicants are not convicted criminals; reviewing variance applications; and taking timely revocation action against any certified peace officer convicted of a felony or certain misdemeanors. P.O.S.T. is required to establish the standards for the renewal of expired Colorado peace officer certificates.

P.O.S.T. Staff members work with the four (4) Subject Matter Expert (SME) Committees, which include the Curriculum SME Committee, and three skills disciplines (Firearms, Arrest Control Tactics, and Law Enforcement Driving), to improve training delivery, administer practical examinations to individuals seeking Colorado peace officer certification under reciprocity. Over the years, Colorado P.O.S.T. has joined with its counterparts from other states to develop a reciprocity process for the evaluation for out-of-state peace officer applicants.

P.O.S.T. also participates in the Peace Officer Certification Information System (POCIS), a service provided through the International Association of International Association of Directors of Law Enforcement Standards and Training (IADLEST) and enters revocation data into the National Decertification Index (NDI). P.O.S.T. enters the personal data of individuals whose peace officer authority and peace officer certificates have been revoked by the P.O.S.T. Board. NDI can be accessed by all states and serves as a national clearing house which maintains the names of individuals who have been revoked and/or prohibited to serve as peace officers in other states.

P.O.S.T. Staff electronically maintains the peace officer certification record and data for approximately 13,554+- active peace officers. P.O.S.T. is also responsible for the maintenance of the certification records of many thousands of individuals who were at one time appointed as active peace officers, but who no longer serve as peace officers

## **II) HOT ISSUES:**

P.O.S.T.'s responsibility for the certification and training of Colorado Peace Officers is a critical link between federal agencies, state agencies, and local government agencies. P.O.S.T. will continue to review the educational requirements and certification needs of Colorado peace officers. Towards that end, POST will be seeking a statutory in-service training requirement. There is currently no mandate that any POST-certified peace officer train after the academy, including firearms, legal update and other areas. While many agencies have policies requiring in-service training, there are others that have no such requirement. Part of this will include regular perishable skills training. Perishable skills are firearms, driving and arrest control.

POST will develop a strategic plan in September to focus on priorities and programs as identified by the POST Board.

## **III) WORKLOAD MEASURE:**

At its quarterly meetings, the P.O.S.T. Board considers appealed variance requests and may hold hearings of appealed suspension, revocation, or denial rulings made by the P.O.S.T. Director.

The Board also reviews applications for program approval. Additionally, the P.O.S.T. Board conducts Rule Making Hearings to modify or implement P.O.S.T. Rules as a result of changes made during the legislative session, at the request of Staff, or to coincide with case law.

Staff also reviews the certification records of peace officers from bordering states applying for Colorado peace officer certification or involved in temporary assignment to law enforcement agencies in Colorado (see § 29-1-206, C.R.S.).

### **Recent Legislative Actions Affecting P.O.S.T. Workload**

SB 14-123 increases the vehicle registration fee dedicated for P.O.S.T training from \$0.60 per registration to \$1.00 per registration. Additionally, this bill expanded the rule making authority of the P.O.S.T. Board. This bill increases revenues to the fund by roughly \$1.6M and appropriated 1.0 FTE to the program and a part-time employee to the Department's Administration section. The additional revenues will be primarily used to expand the training needs of the program.

SB 14-215 Creates the Marijuana Cash Fund to be used for the collection of marijuana retail related taxes. In relation to the P.O.S.T board this bill appropriates roughly \$1.2M to the Board to fund 1.0 FTE and to further expand the training needs of Colorado law enforcement associated with the new law enforcement issues associated with retail marijuana.

## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**SPECIAL PROSECUTIONS UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>SPECIAL PROSECUTIONS UNIT</b>	3,375,427	30.2	4,223,969	32.5	4,115,956	37.8	4,679,584	37.8	4,470,538	39.6
General Fund	1,486,121		2,095,215		1,832,354		2,060,784		2,137,950	
General Fund Exempt	-		-		-		-		-	
Cash Funds	1,243,656		1,368,062		1,619,523		1,824,614		1,641,126	
Reappropriated Funds	645,651		760,693		664,079		794,186		691,462	



**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**SPECIAL PROSECUTIONS UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
Deputy Attorney General	124,728	1.0	143,436	1.0			146,328	1.0	146,328	1.0
First Assistant Attorney General	196,918	1.9	330,625	2.6			388,224	3.0	388,224	3.0
Senior Assistant Attorney General	615,829	6.4	678,264	6.0			692,628	6.0	692,628	6.0
Assistant Attorney General	276,560	3.3	369,244	4.0			462,696	5.0	462,696	5.0
General Prof III							48,000	1.0	48,000	1.0
Criminal Investigator II	800,380	10.6	854,081	11.0			961,416	12.0	961,416	12.0
Criminal Investigator III	88,200	1.0	114,264	1.2			193,680	2.0	193,680	2.0
Legal Assistant II									0	0.0
Legal Assistant I	128,152	2.8	112,458	2.4			96,180	2.0	96,180	2.0
Legal Assistant II	55,776	1.0	80,388	1.4			118,620	2.0	118,620	2.0
Auditor IV	78,000	1.0	71,614	0.9			83,064	1.0	83,064	1.0
Program Assistant I	30,149	0.6	86,124	1.7			106,776	2.0	106,776	2.0
Administrative Assistant III	27,571	0.6	15,092	0.3			36,480	0.8	36,480	0.8
Administrative Assistant II										
<b>TOTAL POSITION DETAIL</b>	<b>2,422,263</b>	<b>30.2</b>	<b>2,855,589</b>	<b>32.5</b>			<b>3,334,092</b>	<b>37.8</b>	<b>3,334,092</b>	<b>37.8</b>
<b>(I.A.) CONTINUATION FTE SALARY COSTS</b>										
(Permanent FTE by Position) Continuation Salary Subtotal	<b>2,422,263</b>	<b>30.2</b>	<b>2,855,589</b>	<b>32.5</b>			<b>3,334,092</b>	<b>37.8</b>	<b>3,334,092</b>	<b>37.8</b>
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	241,963		285,123				338,410		338,410	
Medicare on Continuation Subtotal	34,217		40,660				48,344		48,344	
Non-Base Building Performance Awards			1,108				1,840			
Part-Time/Temporary Salaries	-		35,085							
Contractual Services	21,252		22,240				173,862		173,862	
Furloughs	-		-							
Leave	13,979		9,449							
Forced Vacancy							(20,011)			
Overtime	49		-							
Unemployment Compensation	-		-							
Other	2,953		5,660							
<b>SUBTOTAL</b>	<b>314,413</b>	<b>30.2</b>	<b>399,326</b>	<b>32.5</b>			<b>542,446</b>	<b>37.8</b>	<b>560,617</b>	<b>37.8</b>
<b>(I.C.) PERSONAL SERVICES SUBTOTAL= A+B</b>	<b>2,736,676</b>		<b>3,254,915</b>				<b>3,876,538</b>		<b>3,894,709</b>	

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**SPECIAL PROSECUTIONS UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life Dental	184,422		217,633				247,984			
Salary Survey Non Add			270,403				-			
Performance Awards Non Add			41,784				-			
Short Term Disability	4,132		5,342				7,335			
SB 04.257 A.E.D.	72,511		100,944				133,364			
SB 06.235 S.A.E.D.	62,264		90,957				125,028			
Other										
[ ] Indicates a Non-add										
<b>(I.E.) BASE PERSONAL SERVICES TOTAL= C+D</b>	<b>3,060,005</b>	<b>30.2</b>	<b>3,669,791</b>	<b>32.5</b>			<b>4,390,249</b>	<b>37.8</b>	<b>3,894,709</b>	<b>37.8</b>
General Fund	1,334,751		1,717,857				1,963,685			
Cash Funds	1,131,643		1,273,323				1,670,141			
Reappropriated Funds	593,612		678,612				756,423			
<b>(I.F.) DIFFERENCE= II-I.E.</b>										
<b>(I.G.) REQUEST YEAR DECISION ITEMS</b>										
<b>FY15 Decision Items:</b>										
General Fund									-	0.0
Cash Funds									-	
Reappropriated Funds										
<b>II. PERSONAL SERVICE REQUEST TOTAL</b>	<b>3,060,005</b>	<b>30.2</b>	<b>3,669,791</b>	<b>32.5</b>			<b>4,390,249</b>	<b>37.8</b>	<b>3,894,709</b>	<b>37.8</b>
General Fund	1,334,751		1,717,857				1,963,685		1,744,625	
Cash Funds	1,131,643		1,273,323				1,670,141		1,496,385	
Reappropriated Funds	593,612		678,612				756,423		653,699	
Federal Funds										

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**SPECIAL PROSECUTIONS UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 Litigation	7,867		83,404				5,372		35,080	
2150 Custodial Services	-		-							
2160 Janitorial Service	-		-							
2170 Waste Disposal Services	37		2,971				1,500		1,500	
2210 Bldg Maintenance/Repair Svcs	-		106							
2220 Building Grounds Maintenance	-		-							
2230 Equipment Contract Maintenance	136		15				20		20	
2231 ADP Equip Maint/Repair Services	2,796		4,540							
2232 Software Upgrades	5,960		4,407				-		-	
2240 Motor Veh Maint/Repair Svcs	-		-							
2251 Lease Motor Pool Vehicle	24,269		21,246							
2252 Motor Pool Mileage Charge	16,445		22,117				23,512		23,512	
2253 Equipment Rental	-		-				-		-	
2254 Rental of Motor Vehicles	-		187							
2255 Rental of Buildings	95,683		2,777				-		-	
2258 Parking Fees	13,790		14,985				14,985		14,985	
2259 Parking Fee Reimbursement	6		19							
2268 Retntal of IT Software - Network			1,666							
2510 In State Travel	68		129				150		150	
2511 In State Common Carrier Fares	-		-				-		-	
2512 IS Personal Travel Per Diem	13,638		10,779				19,444		19,444	
2513 IS Personal Vehicle Reimbursement	219		501				482		482	
2520 IS Travel/Non Employee	453		96							
2521 IS Common Carrier Non Employee	-		-							
2522 IS Non-Emp - Pers Per Diem	69		-							
2523 IS/Non-Emp - Pers Veh Reimb	-		-							
2530 Out of State Travel	238		367				250		250	
2531 OS Common Carrier Fares	1,288		1,699				1,455		1,455	
2532 OS Personal Travel Per Diem	1,239		3,091				2,665		2,665	
2533 OS Personal Vehicle Reimbursement	-		-							
2540 OS Travel Non-Employee	531		-							
2541 OS Non Emp Common Carrier Fares	-		-							
2542 OS/Non Emp - Pers Per Diem	46		-							
2550 Out of Country Travel	-		-				-		-	
2551 Out of Country Common Carrier Fares	-		-							
2552 OC Pers Travel Reimbursement	-		-							
2610 Advertising	-		-				-		-	
2630 Telephone	16,510		4,913				5,689		5,689	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**SPECIAL PROSECUTIONS UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2631 Comm Svcs from Outside Sources	11,865		29,555				33,250		33,250	
2641 Other ADP Billings - Purchase Services	-		5,439				-		-	
2650 OIT Purchased Svcs	-		-							
2660 Insurance	5,360		5,777							
2680 Contract Printing	6,498		11,447				21,466		21,466	
2681 Photocopy Reimbursement	-		-							
2710 Purchase Medical Services	-		-				-		-	
2810 Freight & Storage	-		-							
2820 Other Purchased Services	75		663				320		320	
2830 Office Moving/Purchased Services	7,710		-							
2831 Storage Purch Svcs	54		-							
3110 Other Supplies and Materials	1,384		397							
3112 Automotive Supplies	-		10							
3113 Clothing and Uniform Allowance	-		-							
3114 Custodial	-		-							
3115 DP Supplies	469		40				-		-	
3116 Purchase/Leased Software	356		9,701				-		-	
3117 Educational	1,178		1,287				1,100		1,100	
3120 Books & Subscriptions	13,380		16,822				13,250		13,250	
3121 Office Supplies	3,655		12,625				4,215		4,215	
3122 Microfilming/Photo. Supplies	-		-							
3123 Postage	2,343		3,495				2,850		2,850	
3124 Printing	188		66							
3126 Repair & Maintenance/Supplies	-		-							
3128 Non-Capitalized Equipment	2,505		5,027				-		-	
3131 Non-Capitalized Building Mat'ls	3,511		163							
3132 Non-Cap Office Furn/Office Systems	-		654				-		-	
3140 Non-Capitalized IT - PC's	13,073		2,925				3,000		-	
3141 Non Capitalized IT Servers	-		257				-		-	
3142 Non Cap IT Network	47		160							
3143 Non-Capitalized IT - Other	1,509		5,900				-		-	
3146 Non Capitalized IT	-		-				-		-	
4100 Other Operating Expenses	-		-							
4140 Dues & Memberships	7,675		14,277				12,589		12,589	
4151 Interest Late Payments	-		13							
4170 Miscellaneous Fees	194		406				450		450	
4180 Official Functions	1,001		1,085				1,200		1,200	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**SPECIAL PROSECUTIONS UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
4111 Prizes and Awards	-		26							
4150 Interest Expense			214							
4220 Registration Fees	6,771		11,360				10,555		10,555	
5781 Grants to NonGov/Organizations							109,566		102,832	
6140 Buildings and Improves. to Bldg.	-		-							
6210 Other Capital Equipment	-		-							
6212 IT Servers - Direct Purchase	485		2,314							
6213 IT PC SW - Direct Purchase	-		-							
6214 IT Other Direct Purchase	12,164		-							
6216 IT Servers SW	2,452		-							
EBJJ Law to Judicial	8,232		232,057							
<b>Operating Expense Subtotal:</b>	<b>315,422</b>		<b>554,178</b>				<b>289,335</b>		<b>309,309</b>	
<b>FY16 DECISION ITEMS:</b>										
<b>Total Funds</b>									266,520	1.8
General Fund									266,520	1.8
Cash Funds										
Cash Funds Exempt										
<b>OPERATING EXPENSE TOTAL:</b>	<b>315,422</b>		<b>554,178</b>				<b>289,335</b>		<b>575,829</b>	
General Fund	151,370		377,358				97,099		393,325	
General Funds Exempt										
Cash Funds	112,013		94,739				154,473		144,741	
Reappropriated Funds	52,039		82,082				37,763		37,763	
<b>Potted Operating Expenses</b>							-			
### Workers' Compensation										
Vehicle Leased Expense										
Capital Complex Lease Space										
Lease Space										
IT Asset Maintenance										
ADP Capital Outlay										
Communication Service Payments										
Building Security										
CLE Registration Fees										
<b>Total</b>										
General Fund										
Cash Funds										
Reappropriated Funds										
<b>ROLLFORWARDS</b>							-			
General Fund									-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**SPECIAL PROSECUTIONS UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Cash Funds										
Reappropriated Funds							-			
<b>REFINANCING THE LINE ITEM</b>									-	
General Fund										
General Fund Exempt										
Cash Funds									-	
Cash Funds Exempt										
<b>TOTAL SPECIAL PROSECUTIONS UNIT</b>	<b>3,375,427</b>	<b>30.2</b>	<b>4,223,969</b>	<b>32.5</b>			<b>4,679,584</b>	<b>37.8</b>	<b>4,470,538</b>	<b>39.6</b>
General Fund	1,486,121		2,095,215				2,060,784		2,137,950	
General Fund Exempt	-		-							
Cash Funds	1,243,656		1,368,062				1,824,614		1,641,126	
Reappropriated Funds	645,651		760,693				794,186		691,462	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	2,816,528	30.5	3,233,334	34.4	3,659,196	35.8	3,659,196	35.8	3,659,196	35.8
DI#1 Violent Crimes Assistance Team FTE									266,520	1.8
Increase due to \$5,000 Grant	5,000		0							
SB 12-110	196,677	2.0							-	
SB 13-1230 Exoneration of wrongly convicted	-		128,662	1.4						
SB 14-215					456,760	2.0	456,760	2.0	456,760	2.0
SB 14-215 annualization									(19,994)	
Long Bill Add On										
Salary Survey-Classified			23,911				39,870		39,870	
PBP - Classified			16,574				18,518		18,518	
Salary Survey Exempt			246,492				27,672		27,672	
PBP - Exempt			25,210				21,996		21,996	
Health/Life/Dental	110,922		183,782				200,728			
Short Term Disability	3,802		5,217				6,837			
SB 04.257 A.E.D.	46,105		107,987				125,170		-	
SB 06.235 S.A.E.D.	66,834		97,488				122,837			
Worker's Compensation	5,389		5,859							
Capital Complex Lease Space/CARR Bldg	49,502		229,460							

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**SPECIAL PROSECUTIONS UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
Lease Space	2,907		2,907							
Vehicle Lease Allocation	29,373		23,847							
IT Asset Maintenance	23,222		23,222							
ADP Capital Outlay Allocation	-		-							
Communication Service Payments	5,590		4,734							
Building Security	10,332		-							
CLE Registration Fees	4,500		4,500							
Roll Forward from Previous FY							-			
Rollforward to Subsequent FY										
Overexpenditure/(Reversion) - GF	(1,255)	(2.3)	(67,976)	(2.8)						
Lapsed Appropriation Cash Fund	(1)		(36,304)	(0.2)						
Lapsed Appropriation Reappropriated Funds			(34,937)	(0.3)						
<b>TOTAL RECONCILIATION</b>	3,375,427	30.2	4,223,969	32.5			4,679,584	37.8	4,470,538	39.6
DIFFERENCE=										
<b>GRAND TOTAL</b>	<b>3,375,427</b>	<b>30.2</b>	<b>4,223,969</b>	<b>32.5</b>	<b>4,115,956</b>	<b>37.8</b>	<b>4,679,584</b>	<b>37.8</b>	<b>4,470,538</b>	<b>39.6</b>
General Fund	1,486,121		2,095,215		1,832,354		2,060,784		2,137,950	
General Fund Exempt	-		-		-		-		-	
Cash Funds	1,243,656		1,368,062		1,619,523		1,824,614		1,641,126	
Reappropriated Funds	645,651		760,693		664,079		794,186		691,462	
Federal Funds							-		-	



## SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

### Department of Law

### SPECIAL PROSECUTIONS UNIT

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>		3,375,427	4,223,969	4,115,956	4,679,584	4,470,538
General Funds		1,486,121	2,095,215	1,832,354	2,060,784	2,137,950
General Funds Exempt		-	-	-	-	-
Cash Funds		1,243,656	1,368,062	1,619,523	1,824,614	1,641,126
Reappropriated Funds		645,651	760,693	664,079	794,186	691,462
<b>Cash Funds</b>						
Marijuana Cash Fund				456,760	456,760	436,766
Fund #16Z		1,238,656	1,368,062	1,162,763	1,367,854	1,204,360
EALA		5,000				
<b>Reappropriated Funds</b>		645,651	760,693	664,079	794,186	691,462
Special Prosecution Custodial		-	-	-		
DORA Division of Insurance Cash Fund		-	-	-		-
DORA Division of Securities		645,651	760,693	664,079	794,186	691,462



## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**Auto Theft Prevention Grant**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Auto Theft</b>	255,694	2.3	278,271	2.3	276,666	2.0	276,666	2.0	286,666	2.0
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	255,694		278,271		276,666		276,666		286,666	
Federal Funds	-		-		-		-		-	



## SCHEDULE 3 - PROGRAM DETAIL

Department of Law

Auto Theft Prevention Grant

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
Senior Asst Attorney General	98,004	1.0	106,568	1.0			118,812	1.0	118,812	1.0
Criminal Investigator II	76,800	1.0	79,584	1.0			82,224	1.0	82,224	1.0
Administrative Asst III	14,185	0.3	12,366	0.3						
<b>TOTAL POSITION DETAIL</b>	<b>188,989</b>	<b>2.3</b>	<b>198,518</b>	<b>2.3</b>			<b>201,036</b>	<b>2.0</b>	<b>201,036</b>	<b>2.0</b>
<b>(I.A.) CONTINUATION FTE SALARY COSTS</b>										
(Permanent FTE by position) Continuation Salary Subtotal	<b>188,989</b>	<b>2.3</b>	<b>198,518</b>	<b>2.3</b>			<b>201,036</b>	<b>2.0</b>	<b>201,036</b>	<b>2.0</b>
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	17,827		18,929				20,405		20,405	
Medicare on Continuation Subtotal	2,548		2,831				2,915		2,915	
Non-Base Building Performance Awards										
Contractual	673		-				8,980		17,170	
Overtime	49		-							
Sick and Annual Leave Payouts	395		-							
Furlough Days	-		-				-		-	
Other	489		488							
Vacancy Savings										
<b>SUBTOTAL</b>	<b>21,981</b>		<b>22,247</b>				<b>32,300</b>		<b>40,490</b>	
<b>(I.C.) PERSONAL SERVICES SUBTOTAL=</b>										
<b>A+B</b>	<b>210,970</b>	<b>2.3</b>	<b>220,766</b>	<b>2.3</b>			<b>233,336</b>	<b>2.0</b>	<b>241,526</b>	<b>2.0</b>
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life Dental	19,493		22,290				20,492		20,492	
Salary Survey							-			
Performance Awards							-			
Short Term Disability	322		398				442		442	
SB 04.257 A.E.D.	5,629		6,678				8,041		8,846	

### SCHEDULE 3 - PROGRAM DETAIL

Department of Law

Auto Theft Prevention Grant

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
SB 06.235 S.A.E.D. Other [ ] Indicates a Non-add	4,841		6,017				7,539		8,544	
<b>(I.E.) BASE PERSONAL SERVICES TOTAL= C+D</b>	<b>241,255</b>	<b>2.3</b>	<b>256,149</b>	<b>2.3</b>			<b>269,851</b>	<b>2.0</b>	<b>279,850</b>	<b>2.0</b>
<b>(I.F.) DIFFERENCE= II- I.E.</b> <b>(I.G.) REQUEST YEAR DECISION ITEMS</b>									-	
General Fund Cash Funds Reappropriated Funds										
<b>II. PERSONAL SERVICES REQUEST TOTAL</b>	<b>241,255</b>	<b>2.3</b>	<b>256,149</b>	<b>2.3</b>			<b>269,851</b>	<b>2.0</b>	<b>279,850</b>	<b>2.0</b>
General Fund Cash Funds Reappropriated Funds Federal Funds	- 241,255		- 256,149				269,851		279,850	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**Auto Theft Prevention Grant**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 Litigation	298		630				250		250	
2170 Waste Disposal Services	-		200							
2210 Bldg Maintenance/Repair Svcs	-		35							
2230 Equipment Maintenance/Repair Svcs	-		-				-		-	
2231 ADP Equip Maint/Repair Services	-		226				-		-	
2251 Rental/Lease Motor Pool Vehicle	1,344		1,193				1,250		1,250	
2252 Motor Pool Mileage Charge	1,105		1,211				250		250	
2254 Rental of Motor Vehicles	-		-							
2255 Rental of Buildings	6,109		-							
2258 Parking Fees	-		-				0		0	
2559 Parking Fee Reimbursement	-		-				26		26	
2510 In State Travel	-		-						0	
2258 Parking Fees	-		-							
2512 IS Personal Travel Per Diem	313		356				350		350	
2513 IS Personal Vehicle Reimbursement	-		-							
2530 Out of State Travel	-		-							
2531 OS Common Carrier Fares	-		-							
2532 OS Personal Travel Per Diem	-		-							
2533 OS Personal Vehicle Reimbursement	-		-							
2630 Comm Svcs Div of Telecom	553		-							
2631 Comm Svcs from Outside Sources	628		622				650		650	
2641 Other ADP Billings - Purchase Services	-		-							
2660 Insurance	351		336							
2680 Contract Printing	278		60				200		200	
2681 Photocopy Reimbursement	-		-							
2810 Freight & Storage	-		-				-		-	
2820 Other Purchased Services	-		221				-		-	
2830 Office Moving/Purchased Services	-		-							
3110 Other Supplies and Materials	246		-				360		360	
3112 Automotive Supplies	-		-							
3114 Custodial	-		-							
3115 DP Supplies	103		-				-		-	
3116 Purchase/Leased Software	-		-							
3117 Educational	59		-							
3120 Books & Subscriptions	1,137		1,497				1,500		1,500	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**Auto Theft Prevention Grant**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
3121 Office Supplies	1,012		927				976		976	
3122 Microfilming/Photo. Supplies	-		-							
3123 Postage	173		298				328		328	
3124 Printing	35		-				-		-	
3126 Repair & Maintenance/Supplies	-		-				50		50	
3128 Non-Capitalized Equipment	-		228							
3132 Non-Capitalized Furn/Office Sust	-		-							
3140 Non-Capitalized IT - PCs	-		-				-		-	
3141 Non-Capitalized IT - Servers	-		-							
3143 Non-Capitalized IT - Other	4		-							
3146 Non-Capitalized IT Purchased Server SW	-		-							
4100 Other Operating Expenses	-		-							
4140 Dues & Memberships	(113)		-				-		-	
4170 Miscellaneous Fees	-		-							
4180 Official Functions	-		-							
4220 Registration Fees	345		594				625		625	
EBJJ OT RE Law to Jud Unused Appropriation	457.0		13,487							
<b>Operating Expense Subtotal:</b>	<b>14,439</b>		<b>22,122</b>				<b>6,815</b>		<b>6,815</b>	
<b>Decision Item</b>										
Cash Funds Exempt										
<b>OPERATING EXPENSE TOTAL:</b>	<b>14,439</b>		<b>22,122</b>				<b>6,815</b>		<b>6,815</b>	
General Fund										
General Funds Exempt										
Cash Funds	14,439		22,122							
Reappropriated Funds	-		-				6,815		6,815	
<b>Potted Operating Expenses</b>										
Workers' Compensation							-		-	
Leased Vehicle Expense										
Leased Space Allocation							-		-	
IT Asset Maintenance										
ADP Capital Outlay										
Building Security										
<b>Total</b>							-		-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**Auto Theft Prevention Grant**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
General Fund							-			
Cash Funds Exempt							-			
<b>Base Refinancing</b>									-	
General Fund										
Reappropriated Funds										
<b>FY010 Refinancing</b>									-	
General Fund									-	
Reappropriated Funds									-	
<b>DECISION ITEMS</b>									-	
General Fund									-	
<b>Projected Shortfall</b>							-			
Reappropriated Funds							-			
<b>TOTAL Auto Theft Grant</b>	<b>255,694</b>	<b>2.3</b>	<b>278,271</b>	<b>2.3</b>	<b>301,569</b>	<b>2.5</b>	<b>276,666</b>	<b>2.0</b>	<b>286,666</b>	<b>2.0</b>
General Fund										
General Fund Exempt										
Cash Funds	-		-							
Reappropriated Funds	255,694		278,271		301,569	2.5	276,666	2.0	286,666	
Federal Funds									-	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	239,075	2.0	282,234	2.5	301,569		301,569	2.5	301,569	2.5
Supplemental HB 10-1305	-		-							
Grant Amount (Est)	43,159	0.5	9,335		(24,903)	(0.5)	(24,903)	(0.5)	(14,903)	(0.5)
PERA, AED, SAED adjustments										
Removal of one time equipment purchases										
Classified Salary POTS										
Health/Life/Dental										
Short Term Disability										

## SCHEDULE 3 - OPERATING PROGRAM DETAIL

**Department of Law**

**Auto Theft Prevention Grant**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
SB 04.257 A.E.D.										
SB 06.235 S.A.E.D.										
Capital Complex Lease Space										
Workers Compensation										
Vehicle Lease Allocation										
IT Asset Maintenance										
ADP Capital Outlay Allocation										
Building Security										
Rollforward from Previous FY year										
Restriction										
Overexpenditure/(Reversion) - GF										
Lapsed Appropriation Reappropriated Fund	(26,540)		(13,298)	(0.2)						
Lapsed Appropriation Federal Funds	-		-							
<b>TOTAL RECONCILIATION</b>	255,694	2.0	278,271	2.3			276,666	2.0	286,666	
<b>GRAND TOTAL</b>	<b>255,694</b>	<b>2.3</b>	<b>278,271</b>	<b>2.3</b>	<b>276,666</b>	<b>2.0</b>	<b>276,666</b>	<b>2.0</b>	<b>286,666</b>	<b>2.0</b>
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	255,694		278,271		276,666		276,666		286,666	
Federal Funds	0		0				-			

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

<b>Department of Law</b>		<b>Auto Theft Prevention Grant</b>				
<b>Item</b>	<b>Fund Number</b>	<b>Actual</b>	<b>Actual</b>	<b>Approp</b>	<b>Estimate</b>	<b>Request</b>
		<b>FY 2013</b>	<b>FY 2014</b>	<b>FY 2015</b>	<b>FY 2015</b>	<b>FY 2016</b>
<b>Schedule 3 Total</b>		255,694	278,271	276,666	276,666	286,666
General Funds		-	-	-	-	-
General Funds Exempt		-	-	-	-	-
Cash Funds		-	-	-	-	-
Reappropriated Funds		255,694	278,271	276,666	276,666	286,666
Federal Funds		-	-	-	-	-
<b>Reappropriated Funds</b>						
Auto Theft Prevention Grant		255,694	278,271	276,666	276,666	286,666
<b>Federal Funds</b>						
Federal VOCA Grant		-	-		-	-



## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**APPELLATE UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>APPELLATE UNIT</b>	3,065,022	31.3	4,251,008	37.0	3,701,264	38.9	4,352,606	38.9	3,782,761	39.0
General Fund	2,551,396		3,610,003		3,209,853		3,861,195		3,521,279	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	513,626		641,005		491,411		491,411		261,482	



**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**APPELLATE UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
Deputy Attorney General	122,016	1.0	140,316	1.0			143,136	1.0	143,136	1.0
First Assistant Attorney General	286,437	3.0	374,051	3.0			378,072	3.0	378,072	3.0
Senior Assistant Attorney General	546,600	6.7	721,766	7.0			742,596	7.0	742,596	7.0
Assistant Attorney General	1,100,637	16.6	1,364,125	18.0			1,828,176	23.0	1,828,176	23.0
Attorney			267,075	4.0			-		-	
General Prof IV	68,256	1.0	71,088	1.0			73,308	1.0	73,308	1.0
Program Assistant I	48,000	1.0	49,740	1.0			52,896	1.0	52,896	1.0
Administrative Assistant II	72,000	2.0	75,983	2.0			114,860	2.9	117,660	3.0
Administrative Assistant I										
<b>TOTAL POSITION DETAIL</b>	<b>2,243,945</b>	<b>31.3</b>	<b>3,064,146</b>	<b>37.0</b>			<b>3,333,044</b>	<b>38.9</b>	<b>3,335,844</b>	<b>39.0</b>
<b>(I.A.) CONTINUATION FTE SALARY COSTS</b>										
(Permanent FTE by Position)										
Continuation Salary Subtotal	<b>2,243,945</b>	<b>31.3</b>	<b>3,064,146</b>	<b>37.0</b>			<b>3,333,044</b>	<b>38.9</b>	<b>3,335,844</b>	<b>39.0</b>
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	221,255		298,150				338,304		338,588	
Medicare on Continuation Subtotal	30,136		41,936				48,329		48,370	
Non-Base building Performance Award			294				2,100		-	
Furlough	-		-				-		-	
Part-Time/Temporary Salaries	-		-				-		-	
Contractual Services	10,449		-				-		7,047	
Leave	21,777		314							
Vacancy Savings							(2,391)			
Overtime	-		293				-		-	
Other	4,944		7,760				-		-	
<b>SUBTOTAL</b>	<b>288,561</b>	<b>31.3</b>	<b>349,038</b>	<b>37.0</b>			<b>386,342</b>	<b>38.9</b>	<b>394,005</b>	<b>39.0</b>
<b>(I.C.) PERSONAL SERVICES</b>										
<b>SUBTOTAL= A+B</b>	<b>2,532,506</b>	<b>31.3</b>	<b>3,413,184</b>	<b>37.0</b>			<b>3,719,386</b>	<b>38.9</b>	<b>3,729,849</b>	<b>39.0</b>
Difference										
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life Dental	250,553		311,666				314,665			
Salary Survey			389,958				-			
Performance Awards			45,933				-			
Short Term Disability	3,864		5,757				7,333			
SB 04.257 A.E.D.	62,556		104,985				133,322			
SB 06.235 S.A.E.D.	53,606		94,513				124,989			

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**APPELLATE UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Other [ ] Indicates a Non-add										
<b>I BASE PERSONAL SERVICES TOTAL=</b>	<b>2,903,085</b>	<b>31.3</b>	<b>3,930,105</b>	<b>37.0</b>			<b>4,299,695</b>	<b>38.9</b>	<b>3,729,849</b>	<b>39.0</b>
<b>(I.F.) DIFFERENCE= II-I.E.</b>									-	
<b>(I.G.)</b>										
General Fund										
Cash Funds										
Reappropriated Funds										
<b>II PERSONAL SERVICES REQUEST TOTAL</b>	<b>2,903,085</b>	<b>31.3</b>	<b>3,930,105</b>	<b>37.0</b>			<b>4,299,695</b>	<b>38.9</b>	<b>3,729,849</b>	<b>39.0</b>
General Fund	2,389,459		3,289,100				3,808,284		3,468,367	
Cash Funds										
Reappropriated Funds	513,626		641,005				491,411		261,482	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**APPELLATE UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 Litigation	4,735		1,443				1,500		1,500	
2170 Waste Disposal Services	-		-				-		-	
2220 Building Grounds Maintenance	-		-				-		-	
2230 Bldg Maintenance/Repair Svcs	-		-				-		-	
2231 ADP Equip Maint/Repair Services	1,197		3,819				650		650	
2232 Software Upgrades	-		-				-		-	
2240 Motor Veh Maint/Repair Svcs	-		-				-		-	
2251 Lease Motor Pool Vehicle	-		-				-		-	
2252 Motor Pool Mileage Charge	-		-				-		-	
2255 Rental of Buildings	95,513		905				-		-	
2258 Parking Fees	-		-				-		-	
2559 Parking Fee Reimbursement	-		-				-		-	
2510 In State Travel	40		-				-		-	
2512 IS Personal Travel Per Diem	2,330		1,429				2,250		2,250	
2513 IS Personal Vehicle Reimbursement	88		172				75		75	
2520 IS Travel/Non Employee	-		-				-		-	
2521 IS Common Carrier Non Employee	-		-				-		-	
2522 IS Non-Emp - Pers Per Diem	-		-				-		-	
2523 IS/Non-Emp - Pers Veh Reimb	-		-				-		-	
2530 Out of State Travel	-		-				-		-	
2531 OS Common Carrier Fares	-		-				-		-	
2532 OS Personal Travel Per Diem	-		-				118		118	
2533 OS Personal Vehicle Reimbursement	-		-				-		-	
2550 Out of Country Travel	-		-				-		-	
2552 OC Pers Travel Reimbursement	-		-				-		-	
2630 Telephone	7,840		-				-		-	
2631 Comm Svcs from Outside Sources	142		69				425		425	
2641 Other ADP Billings - Purchase Services	88		12,053				13,500		13,500	
2660 Insurance	5,448		6,297				-		-	
2680 Contract Printing	4,675		593				950		950	
2681 Photocopy Reimbursement	-		-				-		-	
2810 Freight & Storage		0.0		-						
2820 Other Purchased Services	120		232				5,264		5,264	
2830 Office Moving/Purchased Services	-		-				-		-	
3110 Other Supplies and Materials	-		-				-		-	
3115 DP Supplies	-		-				350		350	
3116 Purchase/Leased Software	-		5,253				-		-	
3117 Educational	-		-				-		-	
3120 Books & Subscriptions	2,862		2,888				3,115		3,115	
3121 Office Supplies	3,939		5,360				4,288		4,288	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**APPELLATE UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
3122 Microfilming/Photo. Supplies	-		-						-	
3123 Postage	3,898		2,931				3,012		3,012	
3124 Printing	-		-						-	
3126 Repair & Maintenance/Supplies	-		-						-	
3128 Non-Capitalized Equipment	-		452						-	
3131 Non-Capitalized Building Materials	3,511		123				-		-	
3132 Non-Cap Office Furn/Office Systems	299		1,887				-		-	
3139 NONCAPITLIZD FIXED ASSET OTHER	-		-						-	
3140 Non-Capitalized IT - PC's	2,932		1,825						-	
3141 Non-Capitalized IT - Servers	-		-						-	
3143 Non-Capitalized IT Other	1,082		1,560				-		-	
3146 Non-Capitalized Purchased Server SW	-		-						-	
3950 Gasoline	-		-						-	
4111 Prizes and Awards	-		-						-	
4140 Dues & Memberships	110		5,846				5,915		5,915	
4151 Interest Late Payments	-		-						-	
4170 Miscellaneous Fees	-		-						-	
4180 Official Functions	-		121				-		-	
4220 Registration Fees	8,280		12,755				11,500		11,500	
6140 Buildings and Improves. to Bldg.	-		-						-	
6210 Other Capital Equipment	-		-						-	
6212 IT PC SW - Direct Purchase	-		-				-		-	
6213 IT Servers Direct Purchase	-		-				-		-	
6220 Office Furn & Equip	-		-						-	
6224 Other Furn & Fixtures- Direct Purchase	-		-						-	
6410 ADP Equipment-Lease Purchase	-		-						-	
EBJJ OT RE LAW TO JUD	12,807		252,891						-	
Operating Expense Subtotal:	161,937		320,902				52,912		52,912	
<b>OPERATING EXPENSE TOTAL:</b>	<b>161,937</b>		<b>320,902</b>				<b>52,912</b>		<b>52,912</b>	
General Fund	161,937		320,902				52,912		52,912	
General Funds Exempt							-		-	
Reappropriated Funds										
<b>Rollforwards</b>							-		-	
General Fund Exempt							-		-	
<b>Special Bills</b>										
HB07-1054 Increasing Judges									-	-

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**APPELLATE UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
General Fund									-	
<b>Potted Operating Expenses</b>										
Workers' Compensation							-			
Leased Vehicle Expense							-			
Capital Complex Lease Space							-			
Lease Space							-			
IT Asset Maintenance							-			
ADP Capital Outlay							-			
CLE Registration Fees							-			
Building Security							-			
<b>Total</b>							-			
General Fund							-			
General Fund Exempt										
Cash Funds										
Reappropriated Funds							-			
<b>DECISION ITEMS</b>										
General Fund									-	0.0
Cash Funds									-	0.0
Cash Funds Exempt										
<b>TOTAL APPELLATE UNIT</b>	<b>3,065,022</b>	<b>31.3</b>	<b>4,251,008</b>	<b>37.0</b>			<b>4,352,606</b>	<b>38.9</b>	<b>3,782,761</b>	<b>39.0</b>
General Fund	2,551,396		3,610,003				3,861,195		3,521,279	
General Fund Exempt							0.00		-	
Cash Funds							0.00		-	
Reappropriated Funds	513,626		641,005				491,411		261,482	
<b>RECONCILIATION OF FUNDS/REQUEST</b>										
Long Bill Appropriation	2,703,455	32.0	3,240,771	37.5	3,697,461	38.9	3,697,461	38.9	3,697,461	37.5
SB 11-76 PERA Reduction	-		-							
Additional VALE Grant			3,408		3,803		3,803		3,803	
Additional VALE Grant FY 16 est										
FY 15 Decision Item Reconciliation									(1,753)	0.1
HB 12 1246 Reinstate Paydate Shift Part T <i>Decision Item</i>	5,880									
Salary Survey Classified			2,852				11,052		11,052	
Salary Survey Exempt			387,106				39,806		39,806	
Merit Pay Classified			2,143				3,065		3,065	
Merit Pay Exempt			43,790				30,845		29,327	
Health/Life/Dental	89,848		241,380				302,984			

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**APPELLATE UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
Short Term Disability	3,380		2,504				6,349			
SB 04.257 A.E.D.	70,602		67,977				133,431			
SB 06.235 S.A.E.D.	60,383		60,435				123,810			
Worker's Compensation	5,653		6,386							
Capital Complex Lease Space/Carr	102,783		193,990							
Lease Space	947		947							
Vehicle Lease Allocation										
IT Asset Maintenance										
CLE Registration Fees	11,250		11,250							
Building Security	10,841		-							
ADP Capital Outlay Allocation										
Rollforward from Previous FY year							-			
Rollforward to Subsequent FY										
Overexpenditure/(Reversions) - GF			(13,931)	(0.5)						
Lapsed Appropriation RF		(0.7)	-							
<b>TOTAL RECONCILIATION</b>	<b>3,065,022</b>	<b>31.3</b>	<b>4,251,008</b>	<b>37.0</b>			<b>4,352,606</b>		<b>3,782,761</b>	<b>37.6</b>
<b>GRAND TOTAL</b>	<b>3,065,022</b>	<b>31.3</b>	<b>4,251,008</b>	<b>37.0</b>	<b>3,701,264</b>	<b>38.9</b>	<b>4,352,606</b>	<b>38.9</b>	<b>3,782,761</b>	<b>39.0</b>
General Fund	<b>2,551,396</b>		<b>3,610,003</b>		<b>3,209,853</b>		<b>3,861,195</b>		<b>3,521,279</b>	
General Fund Exempt							-		-	
Cash Funds							-		-	
Reappropriated Funds	<b>513,626</b>		<b>641,005</b>		<b>491,411</b>		<b>491,411</b>		<b>261,482</b>	
Federal Funds							-		-	

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**APPELLATE UNIT**

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>		3,065,022	4,251,008	3,701,264	4,352,606	3,782,761
General Funds		2,551,396	3,610,003	3,209,853	3,861,195	3,521,279
General Funds Exempt				-	-	-
Cash Funds				-	-	-
Reappropriated Funds		513,626	641,005	491,411	491,411	261,482
<b>Reappropriated Funds</b>	100					
Indirect Cost Recoveries		440,975	564,946	411,549	411,549	181,620
Victim's Assistance		72,651	76,059	79,862	79,862	79,862



**SCHEDULE 2 - PROGRAM SUMMARY**

**Department of Law**

**MEDICAID FRAUD GRANT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>MEDICAID FRAUD CONTROL GRANT</b>	1,738,022	16.2	1,986,025	16.6	1,648,189	17.0	1,948,021	17.0	1,697,877	17.0
General Fund	424,643		520,939		412,045		487,001		424,465	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	-		-		-		-		-	
Federal Funds	1,313,380		1,465,086		1,236,144		1,461,020		1,273,412	



**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**MEDICAID FRAUD GRANT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
First Assistant Attorney General	105,000	1.0	127,056	1.0			129,408	1.0	129,408	1.0
Senior Assistant Attorney General	98,400	1.0	119,856	1.0			122,460	1.0	122,460	1.0
Assistant Attorney General	83,888	0.9	96,461	1.0			98,988	1.0	98,988	1.0
Criminal Investigator III	99,036	1.0	103,140	1.0			106,356	1.0	106,356	1.0
Criminal Investigator II	622,648	8.4	678,797	8.6			732,600	9.0	732,600	9.0
Auditor IV	77,100	1.0	80,832	1.0			83,676	1.0	83,676	1.0
Program Assistant I	37,322	0.8	45,480	1.0			47,088	1.0	47,088	1.0
Health Professional IV	71,700	1.0	74,244	1.0			76,104	1.0	76,104	1.0
Legal Assistant I									0	
Legal Assistant II	49,044	1.0	58,872	1.0			62,256	1.0	62,256	1.0
<b>TOTAL POSITION DETAIL</b>	<b>1,244,137</b>	<b>16.2</b>	<b>1,384,738</b>	<b>16.6</b>			<b>1,458,936</b>	<b>17.0</b>	<b>1,458,936</b>	<b>17.0</b>
<b>(I.A.) CONTINUATION FTE SALARY COSTS</b>										
(Permanent FTE by position) Continuation Salary Subtotal	<b>1,244,137</b>	<b>16.2</b>	<b>1,384,738</b>	<b>16.6</b>			<b>1,458,936</b>	<b>17.0</b>	<b>1,458,936</b>	<b>17.0</b>
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	122,485		136,020				148,082		148,083	
Medicare on Continuation Subtotal	17,510		19,459				21,155		21,155	
Non-Base building Performance Award	-		1,000				1,340		-	
Part-Time/Temporary Salaries	-		-				-		-	
Professional Contractual Services	6,947		508				-		-	
Sick Leave Payouts	56		705				-		-	
Annual Leave Payouts	430		5,297				-		-	
Leave Payouts							-		-	
Forced Vacancy	-		-						(3,047)	
Overtime	-		-						-	
Other	1,571		2,026				750		750	
<b>SUBTOTAL</b>	<b>148,998</b>	<b>16.2</b>	<b>165,014</b>	<b>16.6</b>			<b>171,327</b>	<b>17.0</b>	<b>166,941</b>	<b>17.0</b>
<b>(I.C.) PERSONAL SERVICES SUBTOTAL= A+B</b>										
	<b>1,393,135</b>	<b>16.2</b>	<b>1,549,752</b>	<b>16.6</b>			<b>1,630,263</b>	<b>17.0</b>	<b>1,625,877</b>	<b>17.0</b>
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life Dental	108,756		129,482				129,482			
Salary Survey non add			102,474				-			
Performance Awards Non Add			22,919				-			
Short Term Disability	2,143		2,622				3,210			

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**MEDICAID FRAUD GRANT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
SB 04.257 A.E.D.	38,560		48,165				58,357			
SB 06.235 S.A.E.D.	33,116		43,455				54,710			
Other [ ] Indicates a Non-add										
<b>(I.E.) BASE PERSONAL SERVICES TOTAL= C+D</b>	<b>1,575,710</b>	<b>16.2</b>	<b>1,773,476</b>	<b>16.6</b>			<b>1,876,021</b>	<b>17.0</b>	<b>1,625,877</b>	<b>17.0</b>
<b>(I.F.) DIFFERENCE- II.-I.E.</b>										
<b>(I.G.) REQUEST YEAR DECISION ITEMS</b>										
General Fund										
Cash Funds										
Reappropriated Funds										
<b>II. PERSONAL SERVICES REQUEST TOTAL</b>	<b>1,575,710</b>	<b>16.2</b>	<b>1,773,476</b>	<b>16.6</b>			<b>1,876,021</b>	<b>17.0</b>	<b>1,625,877</b>	<b>17.0</b>
General Fund	384,064		467,802				469,005		406,470	
Cash Funds										
Reappropriated Funds	-		-							
Federal Funds	1,191,645		1,305,675				1,407,016		1,219,407	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**MEDICAID FRAUD GRANT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 Litigation	(43)		852				750		750	
2170 Waste Disposal Service	2		49				-		-	
2210 Other Maintenance/Repair Svcs			35							
2230 Equipment Contract Maintenance	8		1				-		-	
2231 ADP Equip Maint/Repair Services	(697)		2,186							
2232 Software Upgrades	354		262							
2240 Motor Veh Maint/Repair Svcs	-		-				-		-	
2251 Lease Motor Pool Vehicle	3,306		3,018							
2252 Motor Pool Mileage Charge	3,583		7,081				6,896		6,896	
2253 Equipment Rental	-		-						-	
2254 Rental of Motor Vehicles	-		-						-	
2255 Rental of Buildings	52,127		219						-	
2258 Parking Fees	3,960		4,455				3,500		3,500	
2259 Parking Fee Reimbursement	-		-				-		-	
2268 Rental of IT Software - Network			504							
2510 In State Travel	-		-						-	
2511 In State Common Carrier Fare	-		-						-	
2512 IS Personal Travel Per Diem	2,720		1,997				2,525		2,525	
2513 IS Personal Vehicle Reimbursement	20		148				50		50	
2520 IS Travel/Non Employee	-		-						-	
2522 IS Non-Emp - Pers Per Diem	-		-						-	
2523 IS/Non-Emp - Pers Veh Reimb	-		-						-	
2530 Out of State Travel	514		579				520		520	
2531 OS Common Carrier Fares	3,609		3,024				3,225		3,225	
2532 OS Personal Travel Per Diem	4,900		4,500				4,972		4,972	
2533 OS Personal Vehicle Reimbursement	-		-						-	
2550 Out of Country Travel	-		-						-	
2552 OC Pers Travel Reimbursement	-		-						-	
2610 Advertising	-		-						-	
2630 Telephone	8,204		3,002				2,750		2,750	
2631 Comm Svcs from Outside Sources	7,688		19,518				19,625		19,625	
2640 GGCC Billings Purch Serv			401							
2641 Other ADP Billings - Purchase Services	-		1,085							
2650 OIT Purchased Svs	-		-							
2660 Insurance	2,988		2,855						-	
2680 Contract Printing	1,575		53				850		850	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**MEDICAID FRAUD GRANT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2981 Photocopy Reimbursement	-		-						-	
2810 Freight & Storage	-		-						-	
2820 Other Purchased Services	-		-						-	
2830 Office Moving/Purchased Services	-		-						-	
2831 Storage Purch Svs	3		-						-	
3110 Other Supplies and Materials	272		-						-	
3112 Automotive Supplies	-		-						-	
3113 Clothing & Uniform Allowance	-		-						-	
3114 Custodial	-		-						-	
3115 DP Supplies	226		80				-		-	
3116 Purchase/Leased Software	83		518						-	
3117 Educational Supplies			102						-	
3119 Med Lab Supplies	32		-				-		-	
3120 Books & Subscriptions	8,648		9,426				9,528		9,528	
3121 Office Supplies	979		4,733				2,256		2,256	
3122 Microfilming/Photo. Supplies	-		-						-	
3123 Postage	526		338				578		578	
3124 Printing	81		-						-	
3126 Repair & Maintenance/Supplies	-		50						-	
3128 Non-Capitalized Equipment	1,172		2,612				-		-	
3131 Non-Capitalized Building Materials	-		39				-		-	
3132 Non-Capitalized Furn/Office Systems	-		22						-	
3139 Non-Capitalized Fixed Asset Other	-		-						-	
3140 Non-Capitalized IT - PC's	9,212		120				-		-	
3141 Non-Capitalized IT - Servers			15						-	
3142 Non-Capitalized IT - Network	3		9				-		-	
3143 Non-Capitalized IT Other	334		963				-		-	
3146 Non-Capitalized IT Purch Servers SW	-		-				-		-	
4111 Prizes and Awards	-		200						-	
4140 Dues & Memberships	9,778		14,034				10,125		10,125	
4151 Interest Late Payments	-		-						-	
4170 Miscellaneous Fees	-		-						-	
4180 Official Functions	33		-						-	
4220 Registration Fees	3,784		3,395				3,850		3,850	
6140 Buildings and Improves. to Bldg.	-		-						-	
6210 Other Capital Equipment	-		-						-	
6212 IT Servers Direct Purchase	29		138				-		-	
6214 IT Other Direct Purchase			5,287						-	
6216 IT Server SW - Direct Purchase	146		-						-	
6224 Other Furniture and Fixtures Direct Purchase	24,377		-				-		-	
EBJJ Law to Judicial	7,775		114,644							

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**MEDICAID FRAUD GRANT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Operating Expense Subtotal:</b>	162,312		212,549				72,000		72,000	
<b>OPERATING EXPENSE TOTAL:</b>	<b>162,312</b>		<b>212,549</b>				<b>72,000</b>		<b>72,000</b>	
General Fund	40,578		53,137				18,000		17,996	
Federal Funds	121,734		159,411				54,000		54,004	
<b>Potted Operating Expenses</b>										
Workers' Compensation							-			
Leased Vehicle Expense							-			
Capital Complex Lease Space							-			
Leased Space Allocation							-			
IT Asset Maintenance							-			
Communication Service Payments							-			
ADP Capital Outlay										
CLE Registration Fees							-			
Building Security							-			
<b>Total</b>							-			
General Fund							-			
Cash Funds										
Reappropriated Funds										
Federal Funds							-			
<b>FY 13 Decision Item:</b>										
<b>Med Fraud FTE</b>										
General Funds										-
Federal Funds										-
<b>Vacancy Savings</b>										
General Funds										-
Federal Funds										-
<b>TOTAL MEDICAID FRAUD</b>	<b>1,738,022</b>	<b>16.2</b>	<b>1,986,025</b>	<b>16.6</b>			<b>1,948,021</b>	<b>17.0</b>	<b>1,697,877</b>	<b>17.0</b>
General Fund	424,643		520,939				487,001		424,466	
General Fund Exempt	-		-				-		-	
Cash Funds	-		-				-		-	
Reappropriated Funds	-		-				-		-	
Federal Funds	1,313,380		1,465,086				1,461,020		1,273,412	
<b>RECONCILIATION OF FUNDS/REQUEST</b>										
Long Bill Appropriation	1,579,511	17.0	1,579,511	17.0	1,648,189		1,648,189	17.0	1,648,189	17.0
Supplemental SB09-192										
SB 10-167 False Claims Act										

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**MEDICAID FRAUD GRANT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
SB 11-76 PERA							-		-	
Dept requested base adjustment										
DPA NP Printing Statewide Warrants and Mainframe Docs										
Classified Salary Survey			34,377				29,830		29,830	
NonClassified Salary Survey			68,097				4,749		4,749	
Classified Perf Pay			17,272				11,456		11,249	
NonClassified Perf Pay			5,647				3,860		3,860	
Health/Life/Dental	80,167		127,128				133,144			
Short Term Disability	1,793		1,528				3,224			
SB 04.257 A.E.D.	35,265		51,132				58,616			
SB 06.235 S.A.E.D.	36,953		46,161				54,953			
Worker's Compensation	3,003		2,895							
Leased Space Allocation	229		229							
Capital Complex Lease Space/CARR	51,946		123,619				-			
Vehicle Lease Allocation	3,487		3,487				-			
IT Asset Maintenance	1,381		1,381				-			
ADP Capital Outlay Allocation										
Communication Service Payments	3,377		2,860				-			
CLE Registration Fees	1,125		1,125				-			
Building Security	5,758		-				-			
Rollforward from Previous FY										
Rollforward to Subsequent FY										
Overexpenditure/(Reversion) - GF										
Lapsed Appropriation Reappropriated Funds										
Lapsed Appropriation Federal Funds/General	(65,973)	(0.8)	(80,423)	(0.4)						
<b>TOTAL RECONCILIATION</b>	1,738,022	16.2	1,986,026	16.6			1,948,021	17.0	1,697,877	17.0
<b>GRAND TOTAL</b>	<b>1,738,022</b>	<b>16.2</b>	<b>1,986,025</b>	<b>16.6</b>	<b>1,648,189</b>	<b>17.0</b>	<b>1,948,021</b>	<b>17.0</b>	<b>1,697,877</b>	<b>17.0</b>
General Fund	424,643		520,939		412,045		487,001		424,465	
General Fund Exempt	-		-				-		-	
Cash Funds	-		-				-		-	
Reappropriated Funds	-		-				-		-	
Federal Funds	1,313,380		1,465,086		1,236,144		1,461,020		1,273,412	

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**MEDICAID FRAUD GRANT**

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>		1,738,022	1,986,025	1,648,189	1,948,021	1,697,877
General Funds		424,643	520,939	412,045	487,001	424,465
General Funds Exempt		-	-	-	-	-
Cash Funds		-	-	-	-	-
Reappropriated Funds		-	-	-	-	-
Federal Funds		1,313,380	1,465,086	1,236,144	1,461,020	1,273,412
<b>Federal Funds</b>						
Medicaid Fraud Federal Funds		1,313,380	1,465,086	1,236,144	1,461,020	1,273,412



## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**PEACE OFFICERS STANDARDS & TRAINING BOARD**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>POST Board</b>	2,587,006	5.5	2,871,498	6.0	5,806,806	9.0	5,888,125	9.0	5,996,083	9.0
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	2,587,006		2,871,498		5,806,806		5,888,125		5,996,083	
Reappropriated Funds	-		-		-		-		-	
Federal Funds										



**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**PEACE OFFICERS STANDARDS & TRAINING BOARD**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
General Professional VI	59,860	0.7	99,105	1.0			105,492	1.0	105,492	1.0
General Professional V										
General Prof IV	58,949	0.9	73,248	1.0			75,528	1.0	75,528	1.0
Compliance Investigator II	66,876	1.0	69,300	1.0			72,312	1.0	72,312	1.0
Assistant Attorney General	6,309		3,623							
Data Specialist									-	
Technician III							43,200	1.0	43,200	1.0
Administrative Asst II	36,000	1.0	37,308	1.0			38,544	1.0	38,544	1.0
Program Assistant II										
Program Assistant I	81,600	2.0	86,604	2.0			190,464	4.0	190,464	4.0
<b>TOTAL POSITION DETAIL</b>	<b>309,594</b>	<b>5.5</b>	<b>369,188</b>	<b>6.0</b>			<b>525,540</b>	<b>9.0</b>	<b>525,540</b>	<b>9.0</b>
<b>(I.A.) CONTINUATION FTE SALARY COSTS</b>										
(Permanent FTE by Position)										
Continuation Salary Subtotal	<b>309,594</b>	<b>5.5</b>	<b>369,188</b>	<b>6.0</b>			<b>525,540</b>	<b>9.0</b>	<b>525,540</b>	<b>9.0</b>
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	30,584		36,906				53,342		53,342	
Medicare on Continuation Subtotal	4,373		5,272				7,620		7,620	
Non-Base building performance Award							-		-	
Part Time/Temporary Services	2,457		-				-	0.0	-	
Contractual Services	87,394		42,764				248,575		247,781	
Overtime Payments	-		7,069							
Termination/Retirement Payouts										
Other	-		184							
Leave Payout	-		-				-		-	
Sick Leave Payout	-		-				-		-	
Unemployment Payout	2,724		-				-		-	
<b>SUBTOTAL</b>	<b>127,532</b>		<b>92,195</b>				<b>309,538</b>		<b>308,744</b>	
<b>(I.C.) PERSONAL SERVICES</b>										
<b>SUBTOTAL= A+B</b>	<b>437,126</b>	<b>5.5</b>	<b>461,382</b>	<b>6.0</b>			<b>835,078</b>	<b>9.0</b>	<b>834,284</b>	<b>9.0</b>
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life Dental	34,404		42,054				48,744			
Salary Survey Non Add			7,915							

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**PEACE OFFICERS STANDARDS & TRAINING BOARD**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<i>Performance Awards Non Add</i>			3,039							
Short Term Disability	531		701				1,156			
SB 04.257 A.E.D.	9,707		13,101				21,022			
SB 06.235 S.A.E.D.	8,367		11,832				19,708			
Other	435		99							
[ ] Indicates a Non-add										
<b>(I.E.) BASE PERSONAL SERVICES TOTAL= C+D</b>	<b>490,570</b>	<b>5.5</b>	<b>529,169</b>	<b>6.0</b>			<b>925,708</b>	<b>9.0</b>	<b>834,284</b>	<b>9.0</b>
<b>(I.F.) DIFFERENCE=II- I.E.</b>									-	
<b>(I.G.) REQUEST YEAR DECISION ITEMS</b>										
General Fund										
Cash Funds										
Reappropriated Funds										
<b>II. PERSONAL SERVICES REQUEST TOTAL</b>	<b>490,570</b>	<b>5.5</b>	<b>529,169</b>	<b>6.0</b>			<b>925,708</b>	<b>9.0</b>	<b>834,284</b>	<b>9.0</b>
General Fund							-		-	
General Fund Exempt										
Cash Funds	490,570		529,169			-	925,708		834,284	
Reappropriated Funds	-		-				-		-	
Federal Funds										

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**PEACE OFFICERS STANDARDS & TRAINING BOARD**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
2170 Waste Disposal Services	15		-				-		-	
2230 Equipment Contract Maintenance	53		6				1,250		1,250	
2231 ADP Equip Maint/Repair Services	2,294		1,477						-	
2232 Software Upgrades	6,481		5,178				-		-	
2240 Motor Veh Maint/Repair Svcs	-		-						-	
2250 Misc Rentals	-		-						-	
2251 Lease Motor Pool Vehicle	2,417		2,321						-	
2252 Motor Pool Mileage Charge	2,560		2,802				4,412		4,412	
2253 Equipment Rental	-		-						-	
2254 Rental of Motor Vehicles	88		100						-	
2255 Rental of Buildings	21,382		-							
2258 Parking Fees	1,320		1,620						-	
2259 Parking Fee Reimbursement	310		204				400		400	
2268 Rental of IT Software Network			652							
2510 In State Travel	180		165						-	
2512 IS Personal Travel Per Diem	2,191		2,552				4,823		4,823	
2513 IS Personal Vehicle Reimbursement	95		130				-		-	
2514 State Owned Aircraft	-		-						-	
2520 IS Travel/Non Employee	53		80						-	
2522 IS/Non-Emp - Pers Per Diem	2,381		1,676				3,256		3,256	
2523 IS/Non-Emp - Pers Veh Reimb	2,524		4,027				2,625		2,625	
2530 Out of State Travel	36		170				150		150	
2531 OS Common Carrier Fares	776		840				500		500	
2532 OS Personal Travel Per Diem	838		674				225		225	
2533 OS Personal Vehicle Reimbursement	-		-						-	
2540 OS Travel - Non Emp	-		972							
2541 OS Non Emp - Comm Carrier	-		1,350							
2542 OS/Non Employee Pers Per Diem	-		656						-	
2543 OS/Non Employee Pers Vehi Reimb			96							
2550 Out of Country Travel	-		-						-	
2552 OC Pers Travel Reimbursement	-		-						-	
2630 Telephone	1,721		-				4,214		4,214	
2631 Comm Svcs from Outside Sources	455		280				275		275	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**PEACE OFFICERS STANDARDS & TRAINING BOARD**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2641 Other ADP Billings - Purchase Services	-		150,189				215		215	
2650 OIT Purchased Services	-		-							
2660 Insurance	1,230		1,175				-		-	
2680 Contract Printing	6,138		7,004				95,800		95,800	
2681 Photocopy Reimbursement	-		-						-	
2690 Other Pur Services - Legal	15,455		12,230				18,500		18,500	
2810 Freight & Storage	-		-						-	
2820 Other Purchased Services	-		1,375						-	
2830 Office Moving/Purchased Services	-		-						-	
2831 Storage - Purch Svs	21		-							
3110 Other Supplies and Materials	-		212						-	
3112 Automotive Supplies	-		-						-	
3113 Clothing & Uniform Allowance	1,523		-						-	
3114 Custodial	-		-						-	
3115 DP Supplies	-		55				189		189	
3116 Purchase/Leased Software	139		3,405				-		-	
3117 Educational	5		14				75		75	
3120 Books & Subscriptions	178		302				450		450	
3121 Office Supplies	2,992		2,876				4,360		4,360	
3122 Microfilming/Photo. Supplies	97		25				39		39	
3123 Postage	4,976		3,270				4,250		4,250	
3124 Printing	174		-						-	
3126 Repair & Maintenance/Supplies	-		-						-	
3128 Non-Capitalized Equipment	1,276		232						-	
3131 Non-Capitalized Building Materials	-		39						-	
3132 Non-Capitalized Furn/Office Systems	599		142						-	
3140 Non-Capitalized IT PC's	2,488		787				-		-	
3141 Non-Capitalized IT - Servers	-		101				-		-	
3142 Non-Capitalized IT - Network	19		62						-	
3143 Non-Capitalized IT Other	5,768		18,936				-		-	
3146 Non-Capitalized IT Purchased Server	-		-				-		-	
4100 Other Operating Expenses	-		-						-	
4111 Prizes and Awards	-		-						-	
4140 Dues & Memberships	450		1,552				1,750		1,750	
4151 Interest Late Payments	-		5						-	
4170 Miscellaneous Fees	-		-						-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**PEACE OFFICERS STANDARDS & TRAINING BOARD**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
4180 Official Functions	966		2,304				2,544		2,544	
4220 Registration Fees	527		955						-	
5110 Grants to Cities	-		-						-	
5120 Grants to Counties	-		-						-	
5520 Distributions Counties	2,925		4,450							
5776 State Grant - Interfund	28,809		12,256				18,040		18,040	
5781 Grants to NonGov/Organizations	1,836,496		2,043,232				4,794,076		4,993,458	
5881 Grants to NonGov/Organ	97,003		-							
6140 Buildings and Improves. to Bldg.	-		-						-	
6210 Other Capital Equipment	-		-						-	
6212 IT PC SW Direct Purchase	190		905				-		-	
6213 IT Servers Direct Purchase	-		-				-		-	
6214 IT Other Direct Purchase	12,164		-							
6216 IT Server SW == Direct Purchase	959		-							
6220 Office Furn & Equip	-		-						-	
6222 Office Furniture Direct Purchase	24,703		-							
6280 Other Capital Equipment (direct purchase)	-		-						-	
6410 ADP Equipment-Lease Purchase	-		-						-	
7520 Intra Fund Transfer-Other	-		-						-	
ABJE OT RE Law to Judicial			46,211							
<b>Operating Expense Subtotal:</b>	2,096,437		2,342,329				4,962,418		5,161,800	
<b>OPERATING EXPENSE TOTAL:</b>	<b>2,096,437</b>		<b>2,342,329</b>				<b>4,962,418</b>		<b>5,161,800</b>	
General Fund									-	
General Funds Exempt										
Cash Funds	2,096,437		2,342,329				4,962,418		5,161,800	
Reappropriated Funds										
<b>Potted Operating Expenses</b>										
Workers' Compensation							-			
Leased Vehicle Expense							-			
Capital Complex Lease Space							-			
Leased Space							-			
IT Asset Maintenance							-			
ADP Capital Outlay							-			
Building Security							-			
<b>Total</b>							-			
General Fund							-			
Cash Funds							-			

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**PEACE OFFICERS STANDARDS & TRAINING BOARD**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
Reappropriated Funds										
<b>FY DECISION ITEM REQUEST</b>										
General Fund							-		-	
Cash Funds							-		-	
<b>TOTAL POST BOARD</b>	<b>2,587,006</b>	<b>5.5</b>	<b>2,871,498</b>	<b>6.0</b>	<b>3,073,274</b>	<b>9.0</b>	<b>5,888,125</b>	<b>9.0</b>	<b>5,996,083</b>	<b>9.0</b>
General Fund							-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	2,587,006		2,871,498		3,073,274		5,888,125		5,996,083	
Reappropriated Funds							-		-	
Federal Funds										
<b>RECONCILIATION OF FUNDS/REQUEST</b>										
Long Bill Appropriation	2,683,620	7.0	2,966,320	7.0	3,073,274		3,073,274	9.0	3,073,274	7.0
SB 13-283			20,000							
HB 13-1317 Recommendations for Retail Marijuana			76,000							
Grant Funding Elder Abuse			7,500							
SB 14-123					1,565,532	1.0	1,565,532	1.0	1,565,532	1.0
SB 14-215					1,168,000	1.0	1,168,000	1.0	1,168,000	1.0
SB 14-215								0.0		
Salary Survey-Classified									-	
PBP - Classified									-	
SB 4-215 Annualization							-		189,277	
PERA change back to 10.15%										
<i>DPA NP Printing Statewide Warrants and Mainframe Docs</i>										
<i>Special Bill - HB08-1397</i>										
<i>Supplemental HB 10-1305</i>										
Merit Pay			3,039				-		-	
Salary Survey			7,915				-		-	
Health/Life/Dental	46,496		20,946				41,824			
Short Term Disability	639		303				814			
SB 04.257 A.E.D.	13,414		2,408				21,803			
SB 06.235 S.A.E.D.	11,527		982				16,878			
Workers Compensation	1,237		1,192				-			
Capital Complex Lease Space/CARR BLDG	11,390		-				-			
Leased Space Allocation										
Vehicle Lease Allocation	2,477		2,477				-			

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**PEACE OFFICERS STANDARDS & TRAINING BOARD**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE						
Building Security	2,371		-							
IT Asset Maintenance	9,082		-				-			
ADP Capital Outlay Allocation							-			
Rollforward from Previous FY							-			
Rollforward to Subsequent FY										
Overexpenditure/(Reversion) - GF										
Lapsed Appropriation Cash Fund	(195,247)	(1.5)	(237,584)	(1.0)						
Lapsed Appropriation Cash Fund Exempt										
<b>TOTAL RECONCILIATION</b>	<b>2,587,006</b>	<b>5.5</b>	<b>2,871,498</b>	<b>6.0</b>			<b>5,888,125</b>	<b>11.0</b>	<b>5,996,083</b>	<b>9.0</b>
<b>GRAND TOTAL</b>	<b>2,587,006</b>	<b>5.5</b>	<b>2,871,498</b>	<b>6.0</b>	<b>5,806,806</b>	<b>9.0</b>	<b>5,888,125</b>	<b>9.0</b>	<b>5,996,083</b>	<b>9.0</b>
General Fund					-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	<b>2,587,006</b>		<b>2,871,498</b>		<b>5,806,806</b>		<b>5,888,125</b>		<b>5,996,083</b>	
Reappropriated Funds	-		-				-		-	
Federal Funds	-		-				-		-	



## SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

**Department of Law**

**PEACE OFFICERS STANDARDS & TRAINING BOARD**

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>		2,587,006	2,871,498	5,806,806	5,888,125	5,996,083
General Funds		-	-	-	-	-
General Funds Exempt		-	-	-	-	-
Cash Funds		2,587,006	2,871,498	5,806,806	5,888,125	5,996,083
Reappropriated Funds		-	-	-	-	-
<b>Cash Funds</b>	296					
POST Board Fund		2,587,006	2,871,498	4,638,806	4,720,125	4,828,083
Marijuana Cash Fund				1,168,000	1,168,000	1,168,000
<b>Reappropriated Funds</b>	296					
POST Board Fund Reserve		-	-	-	-	-



## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**Safe2Tell**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Safe2Tell	109,781	1.0	119,724	1.0	386,805	3.5	407,634	3.5	398,536	4.0
General Fund	109,781		119,724		371,805		392,634		383,536	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		15,000		15,000		15,000	
Reappropriated Funds	0		0		0		-		0	
Federal Funds	-		-		-		-		-	



**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**Safe2Tell**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
General Professional III							88,000	1.7	105,600	2.0
General Professional VI	93,384	1.0	92,468	1.0			96,300	1.0	96,300	1.0
Admin Asst III							35,000	0.8	42,000	1.0
<b>TOTAL POSITION DETAIL</b>		-	92,468	1.0			219,300	3.5	243,900	4.0
<b>(I.A.) CONTINUATION FTE SALARY COSTS</b>	<b>93,384</b>	<b>1.0</b>	<b>92,468</b>	<b>1.0</b>			<b>219,300</b>	<b>3.5</b>	<b>243,900</b>	<b>4.0</b>
(Permanent FTE by position)										
Continuation Salary Subtotal										
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	9,712		9,456				22,259		24,756	
Medicare on Continuation Subtotal	1,355		1,351				3,180		3,537	
Non-Base Building Performance Awards	-		-							
Contractual	-		-				70,574		72,548	
Other	-		367							
<b>SUBTOTAL</b>	11,067		11,173				96,013		100,840	
<b>(I.C.) PERSONAL SERVICES SUBTOTAL= A+B</b>	<b>104,451</b>	<b>1.0</b>	<b>103,641</b>	<b>1.0</b>			<b>315,313</b>	<b>3.5</b>	<b>344,740</b>	<b>4.0</b>
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life Dental	110		9,369				27,010			
Salary Survey Non Add			5,226				-			
Performance Awards Non Add			1,559				-			
Short Term Disability	162		181				388			
SB 04.257 A.E.D.	2,724		3,323				8,772			
SB 06.235 S.A.E.D.	2,335		2,989				8,224			
Other										
[ ] Indicates a Non-add										
<b>(I.E.) BASE PERSONAL SERVICES TOTAL= C+D</b>	<b>109,781</b>	<b>1.0</b>	<b>119,502</b>	<b>1.0</b>			<b>359,707</b>	<b>3.5</b>	<b>344,740</b>	<b>4.0</b>
<b>(I.F.) DIFFERENCE= II- I.E.</b>										
<b>(I.G.) REQUEST YEAR DECISION ITEMS</b>										

## SCHEDULE 3 - PROGRAM DETAIL

**Department of Law**

**Safe2Tell**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
General Fund										
Cash Funds										
Reappropriated Funds										
<b>II. PERSONAL SERVICES REQUEST TOTAL</b>	<b>109,781</b>	<b>1.0</b>	<b>119,502</b>	<b>1.0</b>			<b>359,707</b>	<b>3.5</b>	<b>344,740</b>	<b>4.0</b>
General Fund	109,781		119,502				359,707		344,740	
Cash Funds										
Reappropriated Funds									-	
Federal Funds									-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**Safe2Tell**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
2230 Equipment Maintenance/Repair Svcs	-		-				-			
2231 ADP Equip Maint/Repair Services	-		125				-			
2232 Software Upgrades	-		-				0			
2252 Motor Pool Mileage Charge	-		-				2,544		2,544	
2258 Parking Fees	-		-				1,620		1,620	
2510 In State Travel							7,200		11,507	
2512 IS Personal Travel Per Diem	-		-				3,255		7,255	
2513 IS Personal Vehicle Reimbursement	-		-							
2532 OS Personal Travel Per Diem	-		-							
2630 Telephone	-		-				1,800		1,800	
2631 Comm Svcs from Outside Sources	-		-				1,920		1,920	
2641 Other ADP Billings - Purchase Services	-		-							
2660 Insurance	-		-							
2680 Contract Printing	-		-				15,000		20,000	
3115 Data Processing Supplies	-		-							
3116 Purchase/Leased Software	-		-							
3117 Educational	-		-							
3120 Books & Subscriptions	-		-							
3121 Office Supplies	-		97				2,000		3,150	
3122 Microfilming/Photo. Supplies	-		-							
3123 Postage	-		-				2,500		2,500	
3124 Printing	-		-							
3140 Non-Capitalized IT - PCs	-		-				5,000			
3141 Non-Capitalized IT - Servers	-		-							
3143 Non-Capitalized IT - Other	-		-							
3146 Non-Capitalized IT Purchased Server SW	-		-							
4140 Dues & Memberships	-		-							
4220 Registration Fees	-		-				1,500		1,500	
6222 Office Furniture Direct Purchase	-		-				3,588			
	-		-							
<b>Operating Expense Subtotal:</b>	-		222				47,927	-	53,796	
<b>OPERATING EXPENSE TOTAL:</b>	-		<b>222</b>				<b>47,927</b>		<b>53,796</b>	
General Fund							32,927		38,796	
Cash Funds	-		222				15,000		15,000	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**Safe2Tell**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
<b>Potted Operating Expenses</b>										
<b>TOTAL SAFE2TELL</b>	<b>109,781</b>	<b>1.0</b>	<b>119,724</b>	<b>1.0</b>	<b>107,471</b>	<b>1.0</b>	<b>407,634</b>	<b>3.5</b>	<b>398,536</b>	<b>4.0</b>
General Fund					107,471		392,634		383,536	
Cash Funds					-		15,000		15,000	
									-	
<b>RECONCILIATION OF FUNDS/REQUEST</b>										
Long Bill Appropriation	100,686		100,686		107,471	1.0	107,471	1.0	107,471	1.0
Safe2Tell Decision Item										
SB 14-002 GF					264,334	2.5	264,334	2.5	273,529	3.0
SB 14-002 CF					15,000		15,000		15,000	
Salary Survey Classified			5,226				2,536		2,536	
Merit Classified			1,559				0		-	
Health/Life/Dental	3,741		10,210				10,420			
Short Term Disability	138		170				419			
SB 04.257 A.E.D.	2,957		3,474				4,976			
SB 06.235 S.A.E.D.	2,529		3,136				2,478			
Capital Complex Lease Space							-			
Workers Compensation							-			
Vehicle Lease Allocation										
IT Asset Maintenance										
ADP Capital Outlay Allocation										
Building Security							-			
Rollforward from Previous FY year Restriction										
Overexpenditure/(Reversion) - GF	(270)		(4,737)							
Lapsed Appropriation Reappropriated Funds										
Lapsed Appropriation Federal Funds										
<b>TOTAL RECONCILIATION</b>	<b>109,781</b>	<b>1.0</b>	<b>119,724</b>	<b>1.0</b>			<b>407,634</b>	<b>1.0</b>	<b>398,536</b>	<b>4.0</b>
<b>GRAND TOTAL</b>	<b>109,781</b>	<b>1.0</b>	<b>119,724</b>	<b>1.0</b>	<b>386,805</b>	<b>3.5</b>	<b>407,634</b>	<b>3.5</b>	<b>398,536</b>	<b>4.0</b>
General Fund	<b>109,781</b>		<b>119,724</b>		<b>371,805</b>		<b>392,634</b>		<b>383,536</b>	
General Fund Exempt	-									
Cash Funds	-				<b>15,000</b>		<b>15,000</b>		<b>15,000</b>	
Reappropriated Funds	-		-		-					
Federal Funds							-			

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**Safe2Tell**

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2015
<b>Schedule 3 Total</b>		109,781	119,724	386,805	407,634	398,536
General Funds		109,781	119,724	371,805	392,634	383,536
General Funds Exempt		-	-	-	-	-
Cash Funds		-	-	15,000	15,000	15,000
Reappropriated Funds		-	-	-		-
Federal Funds		-	-	-		-
<b>Cash funds</b>						
Safe2Tell Cash Fund		-	-	15,000	15,000	15,000
<b>Federal Funds</b>						
		-	-		-	-



**SCHEDULE 2 - PROGRAM SUMMARY**

**Department of Law**

**INDIRECT COST ASSESSMENT - CRIMINAL JUSTICE & APPELLATE**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Criminal Justice &amp; Appellate Indirect</b>	443,705		520,638		503,848		503,848		484,725	
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	215,830		263,029		257,145		257,145		259,077	
Reappropriated Funds	73,184		82,780		80,929		80,929		74,022	
Federal Funds	154,691		174,829		165,774		165,774		151,626	

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**INDIRECT COST ASSESSMENT - CRIMINAL JUSTICE & APPELLATE**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
<b>Criminal Justice &amp; Appellate Indirect</b>	<b>443,705</b>		<b>520,638</b>		<b>503,848</b>		<b>503,848</b>		<b>484,725</b>	
General Fund							-		-	
General Fund Exempt							-		-	
Cash Funds	<b>215,830</b>		<b>263,029</b>		<b>257,145</b>		<b>257,145</b>		<b>259,077</b>	
Reappropriated Funds	<b>73,184</b>		<b>82,780</b>		<b>80,929</b>		<b>80,929</b>		<b>74,022</b>	
Federal Funds	<b>154,691</b>		<b>174,829</b>		<b>165,774</b>		<b>165,774</b>		<b>151,626</b>	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation Supplemental	446,544		515,376							
Rollforward from Previous FY										
Rollforward to Subsequent FY										
Lapsed Appropriation Cash Funds	-		0							
Lapsed Appropriation Federal Funds	-		5,262							
Lapsed Appropriation Reappropriated Funds	(2,839)		0							
<b>TOTAL RECONCILIATION</b>	<b>443,705</b>		<b>520,638</b>							

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**INDIRECT COST ASSESSMENT - CRIMINAL JUSTICE & APPELLATE**

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>		443,705	520,638	503,848	503,848	484,725
General Funds		-	-	-	-	-
General Funds Exempt		-	-	-	-	-
Cash Funds		215,830	263,029	257,145	257,145	259,077
Reappropriated Funds		73,184	82,780	80,929	80,929	74,022
Federal Funds		154,691	174,829	165,774	165,774	151,626
<b>Cash Funds</b>		<b>215,830</b>	<b>263,029</b>	<b>257,145</b>	<b>257,145</b>	<b>259,077</b>
State Compensation Insurance Authority		-	-	-	-	-
POST Board Cash Fund		86,828	93,462	91,371	91,371	107,451
Insurance Fraud Cash Fund		129,002	169,567	165,774	165,774	151,626
<b>Reappropriated Funds</b>		<b>73,184</b>	<b>82,780</b>	<b>80,929</b>	<b>80,929</b>	<b>74,022</b>
DORA Division of Insurance Cash Fund		-	-	-	-	-
DORA Division of Securities		73,184	82,780	80,929	80,929	74,022
<b>Federal Funds</b>						
Medicaid Federal Grant		154,691	174,829	<b>165,774</b>	<b>165,774</b>	<b>151,626</b>

## **D) BACKGROUND INFORMATION: NATURAL RESOURCES AND ENVIRONMENT UNIT (Non-Legal Services to State Agencies).**

### **Introduction to the Natural Resources and Environment Section**

The Natural Resources and Environment Section protects and defends the interests of Colorado and its citizens in all areas of natural resources and environmental law. The Section, on behalf of the Colorado Department of Natural Resources (DNR) and the Colorado Department of Public Health and Environment (CDPHE), represents and advises state agencies, boards and commissions who regulate the development, use and conservation of the State's natural resources and protect the quality of the environment.

Section attorneys, with the support of legal and administrative assistants, provide general legal advice and represent our clients in administrative matters, rulemaking hearings, transactional matters, enforcement actions, and judicial proceedings. We help to protect legal interests in natural resources and ensure compliance with environmental laws.

### **Federal and Interstate Water Unit**

This Unit protects the State's interests in interstate rivers with respect to both interstate water allocation and federal environmental requirements, including the National Environmental Policy Act, Endangered Species Act, and Wild and Scenic Rivers Act. The Unit also works with state water users to protect the State's interests in the timely and reasonable resolution of federal claims for water rights, including reserved water rights and claims for instream flows.

### **Colorado River Subunit**

This Unit provides legal counsel and representation to DNR, CWCB, the State Engineer and Colorado's Commissioner to the Upper Colorado River Commission regarding the Colorado River Compacts and administration and management of the Colorado River system. The Unit's major tasks include representing the State's Colorado River interests in interstate and environmental litigations involving the Colorado River, counseling and representing the CWCB and Compact Commissioner regarding legal and policy strategies relevant to the Colorado River, preparing a litigation database of the voluminous documents relevant to the Colorado River, and assisting the State Engineer in preparing for enforcing the State's obligations under the Colorado River compacts. The Unit also represents the State's water agencies in Colorado River negotiations with the Colorado River basin states that are signatories to the Colorado River Compact, various Federal agencies and the Republic of Mexico.

### **CERCLA Litigation Unit**

This Unit handles the legal work for seriously contaminated sites – known as Superfund sites – most of which are being cleaned up under consent decrees by those who contaminated them. The Unit works to recover the state's costs for overseeing these

cleanups from the responsible parties to the greatest extent possible. The Unit represents CDPHE to ensure cleanup work progresses in a timely fashion and is completed.

In addition, the Unit advocates on behalf of the State Natural Resources Trustees to recover damages for injuries to natural resources caused by releases of hazardous substances. The Trustees are the Executive Directors of CDPHE and DNR, and the Attorney General. The Unit serves the Trustees by assisting with the identification of injuries and negotiating or litigating to recover damages. Once recovered, the Unit assists the Trustees to determine how to allocate the recovered funds to restore or replace the injured natural resources (i.e., ground water, wildlife habitat, and fish populations).

## **II) PRIOR YEAR LEGISLATION**

### **CERCLA Litigation Unit**

SB 13 -113 made two revisions to CRS § 25-16-104.7. First, it allowed reimbursement of money used for litigation and settlement of the Rocky Mt. Arsenal natural resources damage claim by means other than interest earned on the recovered funds. The bill also removed a provision requiring an annual appropriation of money spent from the fund.

## **III) WORKLOAD MEASURES**

### **Federal and Interstate Water Unit**

The primary purpose of the Federal and Interstate Water Unit is to defend the rights and interests of the state of Colorado and its water users against claims made by federal agencies or other states. The Unit handles all matters regarding water right claims made by federal agencies, including federal reserved rights, as well as providing advice on planning and litigation related to Colorado's Compacts, interstate decrees and any other interstate agreements relating to the water resources of the State. Attorneys within the Unit have developed very specific knowledge to provide legal assistance within this specialized area of law and policy.

The major litigation currently within the Unit involves the Rio Grande Compact, the Arkansas River Compact, and the Republican River Compact. Unit attorneys have begun representing the State's interests in the Rio Grande as part of the interstate litigation Texas recently filed with the U.S. Supreme Court. Although Texas' claims are directed toward New Mexico, Colorado is a named defendant as a signatory to the Rio Grande Compact. Unit attorneys are actively involved in ensuring that the State's rights and authorities under the compact are unaffected. The Unit also continues to work with the State Engineer and affected water users to develop rules to address well impacts on surface water supplies and Colorado's Compact obligation in the Rio Grande basin. As part of that effort, Unit attorneys are defending the State Engineer's approval of Subdistrict No. 1 of the Rio Grande Water Conservation District that addresses these issues as well as working with the State Engineer and a Special Advisory Committee to promulgate Rules and Regulations to address conjunctive management and establish

criteria for the beginning and end of the irrigation season for Water Division No. 3. Unit attorneys also continue to monitor developments related to both the Rio Grande Compact and the Costilla Creek Compact, not only with regards to actual water flow, but also other environmental or endangered species matters that may adversely impact Colorado's ability to meet its compact obligations.

In the Arkansas River basin, the Unit continues to provide legal advice regarding disputes with Kansas concerning the Decree and how Colorado's water resources development can continue under the terms of that Decree. Specifically, Unit attorneys are working closely with the State Engineer's Office to enforce the recently promulgated Irrigation Improvement Rules that are intended to address the potential adverse effects of increased irrigation efficiency on compact compliance. Unit attorneys are also involved in several cases with compact implications in the Water Division 2.

Unit attorneys also continue to work with state leaders to address Colorado's compliance with the Republican River Compact. Currently, Unit attorneys are involved in four separate arbitrations concerning both Nebraska and Colorado's compliance with the Republican River Compact as well as the U.S. Supreme Court case *Kansas v. Nebraska and Colorado*. The Unit's involvement in the litigation is focused on assuring that any position taken by either Kansas or Nebraska concerning Nebraska's past non-compliance and related damages do not adversely impact Colorado's compact compliance efforts or monetary interests. Similarly, Unit attorneys are also involved in three separate arbitrations invoked by Nebraska in efforts to determine appropriate procedures for assuring future compact compliance. At the same time, Unit attorneys are in the midst of arbitrating the State's proposed Compact Compliance Pipeline and Accounting Modifications as a result of draining of Bonny Reservoir to assure Colorado's future compact compliance. If the states do not unanimously accept the four separate arbitration decisions, a state may invoke the original jurisdiction of the U.S. Supreme Court to litigate each of the matters.

Finally, Unit attorneys continue to provide legal counsel to the State Engineer and the CWCB in diverse matters with federal or interstate implications including the continuing development and operation of the Animas-La Plata project, evaluation of potential federal reserved water right claims by the U.S. Forest Service and as part of a Wild and Scenic River designations, and numerous smaller water court cases throughout the State.

Continually increasing demands for scarce water supplies, both within and outside of the State, ensure that the Unit's workload remains heavy. This workload will likely increase as the Unit attorneys continue to take the lead on interstate water matters over outside counsel, and downstream states continue to covet Colorado's compact entitlements and monitor Colorado's actions closely to gain advantages for their water users. Moreover, Unit attorneys will likely become increasingly involved in addressing legal matters associated with the development of the State Water Plan pursuant to the Governor's 2013 Executive Order.

## **Colorado River Subunit**

The Colorado River Unit focuses on interstate issues regarding the Colorado River, including actions by other states that may impact Colorado's rights under the Colorado River Compact and the Upper Basin Compact.

The Unit attorneys provide counsel on operation of the Colorado River and its storage and other water projects under the Colorado River and Upper Colorado River Compacts. The Unit provides legal advice on interstate negotiations, agreements, legislation and litigation. These actions include monitoring implementation of interim operating criteria for management of the Colorado River reservoir system (i.e., Guidelines for Lower Basin shortages and coordinated operation of Lake Powell and Lake Mead), the Quantified Settlement Agreement, which is intended to bring California into compliance with its 4.4 Plan, monitoring and joining proposals or litigation that may affect operation of Glen Canyon or Hoover Dams, assisting in analyzing the effects of drought on Colorado River water supplies, and assisting the Department of Natural Resources in evaluating Colorado's options to respond to Colorado River shortages. The Unit attorneys also participate in talks with the Department of Interior to finalize the Environmental Impact Statement for the Glen Canyon Long-Term Experimental and Management Plan, Environmental Assessment for the High Flow Experimental Protocol, and Environmental Impact Statement for the Re-Operation of the Aspinall Unit. Additional negotiations that the Unit attorneys are involved in include discussions with the Republic of Mexico regarding storage in United States' reservoirs, discussions within the Department of Natural Resources and with water users regarding plans for developing Colorado's apportionment under the Compacts, opportunities for implementing statewide and/or region wide water banking, and proposed ideas for adopting a statewide water plan. Unit attorneys are also actively involved in negotiating and implementing finalization of the Colorado River Cooperative Agreement consistent with compact and intrastate water laws.

Finally, the Unit is charged with the long term task of collecting documents and organizing an electronic database for those documents that relate to the development, implementation or interpretation of the Colorado River Compact or the Upper Basin Compact. This includes working with and monitoring outside vendors for the collection, scanning and organization of millions of documents. This work is in anticipation of litigation regarding these Compacts. Because this collection and organization is so time consuming, it must be accomplished well in advance of any actual litigation or disagreement and will have to be continually maintained so as to be an up to date and valuable tool for Colorado to protect its entitlement and its water users.

## **CERCLA Litigation Unit**

The CERCLA Litigation Unit provides legal advice to the three Natural Resources Trustees regarding damages claims, and coordinates HMWMD staff, consultants, expert witnesses, and stakeholders in a timely fashion. The Unit also prepares litigation plans and budgets. Several settled natural resources damages cases will require significant legal support as stakeholder groups in the Alamosa River drainage basin, north Metro area and Lake County seek to have potential restoration projects funded from settlement monies. Similar natural resource damages restoration efforts are on-going at previously settled case sites.



## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**FEDERAL & INTERSTATE WATER UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>FEDERAL &amp; INTERSTATE WATER UNIT</b>	574,308	5.3	596,086	5.3	576,724	5.5	636,859	5.5	578,087	5.5
General Fund	574,308		596,086		576,724		636,859		578,087	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	-		-		-		-		-	



## SCHEDULE 3 - PROGRAM DETAIL

**Department of Law**

**FEDERAL & INTERSTATE WATER UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
First Assistant Attorney General	86,964	1.0	57,816	0.5			59,292	0.5	59,292	0.5
Senior Assistant Attorney General	53,878	0.5	102,612	1.0			104,520	1.0	104,520	1.0
Assistant Attorney General	200,353	2.8	222,902	2.8			245,280	3.0	245,280	3.0
Legal Assistant II	59,765	1.0	57,234	1.0			59,556	1.0	59,556	1.0
<b>TOTAL POSITION DETAIL</b>	<b>400,960</b>	<b>5.3</b>	<b>440,564</b>	<b>5.3</b>			<b>468,648</b>	<b>5.5</b>	<b>468,648</b>	<b>5.5</b>
<b>(I.A.) CONTINUATION FTE SALARY COSTS</b>										
(Permanent FTE by position ) Continuation Salary Subtotal	<b>400,960</b>	<b>5.3</b>	<b>440,564</b>	<b>5.3</b>			<b>468,648</b>	<b>5.5</b>	<b>468,648</b>	<b>5.5</b>
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	42,820		42,564				47,567		47,568	
Medicare on Continuation Subtotal	6,016		6,081				6,795		6,795	
Non-Base Building Performance Awards	-		-				400		-	
Part-Time/Temporary Salaries	3,477		-				7,804		11,423	
Contractual Services	1,245		-				13,047		18,935	
Leave	24,811		-							
Overtime	3,398		-							
Vacancy Savings			-							
Other	1,428		1,333						-	
<b>SUBTOTAL</b>	<b>83,196</b>	<b>5.3</b>	<b>49,978</b>	<b>5.3</b>			<b>75,614</b>	<b>5.5</b>	<b>84,721</b>	<b>5.5</b>
<b>(I.C.) PERSONAL SERVICES SUBTOTAL= A+B</b>	<b>484,156</b>	<b>5.3</b>	<b>490,542</b>	<b>5.3</b>			<b>544,262</b>	<b>5.5</b>	<b>553,369</b>	<b>5.5</b>
Difference										
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life Dental	27,160		24,868				24,668			
Salary Survey Non Add	-		53,198				-			
Performance Award Non Add	-		9,643				-			
Short Term Disability	690		804				1,031			
SB 04.257 A.E.D.	12,037		14,982				21,770			
SB 06.235 S.A.E.D.	10,303		13,485				20,410			
Other			-				-			
[ ] Indicates a Non-add										
<b>(I.E.) BASE PERSONAL SERVICES TOTAL=</b>	<b>534,344</b>	<b>5.3</b>	<b>544,681</b>	<b>5.3</b>			<b>612,141</b>	<b>5.5</b>	<b>553,369</b>	<b>5.5</b>

## SCHEDULE 3 - PROGRAM DETAIL

Department of Law

FEDERAL & INTERSTATE WATER UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>C+D</b>										
<b>(I.F) DIFFERENCE= II.-I.E</b>										
<b>(I.G.) REQUEST YEAR DECISION ITEMS</b>										
General Fund										
Cash Funds										
Reappropriated Funds										
<b>II. PERSONAL SERVICES REQUEST TOTAL</b>	<b>534,344</b>	<b>5.3</b>	<b>544,681</b>	<b>5.3</b>			<b>612,141</b>	<b>5.5</b>	<b>553,369</b>	<b>5.5</b>
General Fund	534,344		544,681				612,141		553,369	
Cash Funds										
Reappropriated Funds										

## SCHEDULE 3 - OPERATING PROGRAM DETAIL

**Department of Law**

**FEDERAL & INTERSTATE WATER UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 Litigation	5,718		1,305				8,988		8,988	
2150 2150 - Custodial Services	-		-						-	
2160 2160 - Janitorial Service	-		-						-	
2170 Waste Disposal Services	-		-						-	
2210 2210 - Bldg Maintenance/Repair Svcs	-		-						-	
2220 2220 - Building Grounds Maintenance	-		-						-	
2230 Equipment Contract Maintenance	-		-						-	
2220 Building Grounds Maintenance	-		-						-	
2231 ADP Equip Maint/Repair Services	-		378				-		-	
2232 Software Upgrades	-		-				-		-	
2240 2240 - Motor Veh Maint/Repair Svcs	-		-						-	
2251 2251 - Lease Motor Pool Vehicle	-		-						-	
2252 2252 - Motor Pool Mileage Charge	-		-						-	
2253 2253 - Equipment Rental	-		-						-	
2254 2254 - Rental of Motor Vehicles	153		-						-	
2255 Rental of Buildings	16,800		-				-		-	
2559 2559 - Parking Fee Reimbursement	-		-						-	
2258 Parking Fees	-		-						-	
2259	11		-						-	
2510 In State Travel	88		-				50		50	
2511 In State Common Carrier Fares	1,517		-				800		800	
2512 IS Personal Travel Per Diem	1,791		1,504				1,220		1,220	
2513 IS Personal Vehicle Reimbursement	20		60				-		-	
2514 State Owned Aircraft	-		-						-	
2521 2521 - IS Common Carrier Non Employee	-		-						-	
2522 2522 - IS Non-Emp - Pers Per Diem	-		-						-	
2523 2523 - IS/Non-Emp - Pers Veh Reimb	-		-						-	
2530 Out of State Travel	274		151				150		150	
2531 OS Common Carrier Fares	1,889		1,152				1,675		1,675	
2532 OS Personal Travel Per Diem	2,154		1,252				1,850		1,850	
2533 2533 - OS Personal Vehicle Reimbursement	-		-						-	
2550 2550 - Out of Country Travel	-		-						-	

## SCHEDULE 3 - OPERATING PROGRAM DETAIL

**Department of Law**

**FEDERAL & INTERSTATE WATER UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2552 OC Pers Travel Reimbursement	-		-						-	
2630 Telephone	1,141		6				2,241		2,241	
2631 Comm Svcs from Outside Sources	2,343		-				2,350		2,350	
2641 Other ADP Billings - Purchase Services	55		1,632				1,632		1,632	
2660 Insurance	967		924				-			
2680 Contract Printing	604		27				725		725	
2810 2810 - Freight & Storage	-		-						-	
2820 2820 - Other Purchased Services	-		-						-	
2830 2830 - Office Moving/Purchased Services	-		-						-	
3111 3110 - Other Supplies and Materials	-		-						-	
3112 3112 - Automotive Supplies	-		-						-	
3114 3114 - Custodial	-		-						-	
3115 DP Supplies	-		-				-		-	
3116 Purchase/Leased Software	-		-				-		-	
3117 Educational	-		-				-		-	
3120 Books & Subscriptions	245		157				192		192	
3121 Office Supplies	-		361				100		100	
3122 3122 - Microfilming/Photo. Supplies	-		-						-	
3123 Postage	633		88				545		545	
3124 3124 - Printing	-		-						-	
3126 3126 - Repair & Maintenance/Supplies	-		-						-	
3128 3128 - Non-Capitalized Equipment	-		-						-	
3132 Non-Cap. Office/Furn.	-		-						-	
3140 Non-Capitalized IT - PCs	-		1,744						-	
3141 Non-Capitalized IT - Servers	-		-						-	
3143 Non-Capitalized IT Other	-		-						-	
3144 NonCap IT-Purchased Server SW	-		-						-	
3940 3940 - Electricity	-		-						-	
3950 3950 - Gasoline	-		-						-	
4100 4100 - Other Operating Expenses	-		-						-	
4140 Dues & Memberships	-		857				1,450		1,450	
4151 4151 - Interest Late Payments	-		-						-	
4170 4170 - Miscellaneous Fees	-		-						-	
4180 4180 - Official Functions	-		-						-	
4220 Registration Fees	1,045		2,718				750		750	
6140 6140 - Buildings and Improves. to Bldg.	-		-						-	
6210 6210 - Other Capital Equipment	-		-						-	

## SCHEDULE 3 - OPERATING PROGRAM DETAIL

**Department of Law**

**FEDERAL & INTERSTATE WATER UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
6212 IT Servers Direct Purchase	-		-				-		-	
6213 IT PC SW Direct Purchase	-		-				-		-	
EBJJ OT RE LAW TO JUD	2,516		37,091							
<b>Operating Expense Subtotal:</b>	39,964		51,405				24,718		24,718	
<b>OPERATING EXPENSE TOTAL:</b>	<b>39,964</b>		<b>51,405</b>				<b>24,718</b>		<b>24,718</b>	
General Fund	39,964		51,405				24,718		24,718	
General Funds Exempt										
<b>Decision Item:</b>							-		-	
General Fund							-		-	
<b>Potted Operating Expenses</b>										
Workers' Compensation										
Leased Vehicle Expense										
Capital Complex/Carr Bldg										
Lease Space										
IT Asset Maintenance										
ADP Capital Outlay							-			
CLE Registration Fees										
<b>Total</b>							-		-	
General Fund							-		-	
General Fund Exempt										
Cash Funds										
Reappropriated Funds										
<b>TOTAL FED &amp; INTERSTATE WATER UNIT</b>	<b>574,308</b>	<b>5.3</b>	<b>596,086</b>	<b>5.3</b>			<b>636,859</b>	<b>5.5</b>	<b>578,087</b>	<b>5.5</b>
General Fund	574,308		596,086				636,859		578,087	
CF	-		-							
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation/Request	513,883	5.5	513,883	5.5	576,724	5.5	576,724	5.5	576,724	5.5
<i>Supplemental HB10-1305</i>										
<i>Minus one time Bonuse</i>									(1,800)	
<i>Salary Survey</i>			53,198				1,187		1,187	
Merit Pay			9,643				1,976		1,976	
Health/Life/Dental	26,342		30,982				23,859			

## SCHEDULE 3 - OPERATING PROGRAM DETAIL

**Department of Law**

**FEDERAL & INTERSTATE WATER UNIT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
Short Term Disability	683		890				1,044			
SB 04.257 A.E.D.	14,179		16,871				11,713			
SB 06.235 S.A.E.D.	12,215		15,231				20,356			
Worker's Compensation	972		937							
Capital Complex/CARR Bldg	2,483		9,580							
Lease Space	-		-				-			
Vehicle Lease Allocation	-		-				-			
IT Asset Maintenance	-		-				-			
ADP Capital Outlay Allocation	-		-				-			
Building Security	1,863		-				-			
Insurance Reimbursement										
CLE Registration Fees	1,688		1,688				-			
Rollforward from Previous FY							-			
Rollforward to Subsequent FY										
Overexpenditures (Reversions) - GF		(0.2)	(56,817)	(0.2)						
Lapsed Appropriation Cash Fund										
<b>TOTAL RECONCILIATION</b>	574,308	5.3	596,086	5.3			636,859	5.5	578,087	5.5
<b>GRAND TOTAL</b>	<b>574,308</b>	<b>5.3</b>	<b>596,086</b>	<b>5.3</b>	<b>576,724</b>	<b>5.5</b>	<b>636,859</b>	<b>5.5</b>	<b>578,087</b>	<b>5.5</b>
General Fund	<b>574,308</b>		<b>596,086</b>		<b>576,724</b>		<b>636,859</b>		<b>578,087</b>	
General Fund Exempt										
Cash Funds	-		0							
Reappropriated Funds										
Federal Funds										

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**FEDERAL & INTERSTATE WATER UNIT**

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>		574,308	596,086	576,724	636,859	578,087
General Funds		574,308	596,086	576,724	636,859	578,087
General Funds Exempt		-	-	-	-	-
Cash Funds		-	-	-	-	-
Reappropriated Funds		-	-	-	-	-
<b>Cash Funds</b>						
Fund 13H Attorney Fees		-	-		-	-
<b>Reappropriated Funds</b>		-	-			



**SCHEDULE 2 - PROGRAM SUMMARY**

**Department of Law**

**DEFENSE OF THE COLORADO RIVER COMPACT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>DEFENSE OF THE COLORADO RIVER COMPACT</b>	267,883	2.6	325,848	2.8	352,289	3.0	360,751	3.0	351,685	3.0
General Fund	-		38,975		-		8,462		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	267,883		286,873		352,289		352,289		351,685	
Reappropriated Funds	-		-		-		-		-	



## SCHEDULE 3 - PROGRAM DETAIL

Department of Law

DEFENSE OF THE COLORADO RIVER COMPACT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
First Assistant Attorney General	47,784	0.5	57,816	0.5			59,292	0.5	59,292	0.5
Senior Assistant Attorney General										
Assistant Attorney General	75,121	1.1	97,140	1.3			114,840	1.5	114,840	1.5
Legal Assistant II	69,432	1.0	72,048	1.0			74,928	1.0	74,928	1.0
<b>TOTAL POSITION DETAIL</b>	<b>192,337</b>	<b>2.6</b>	<b>227,004</b>	<b>2.8</b>			<b>249,060</b>	<b>3.0</b>	<b>249,060</b>	<b>3.0</b>
<b>(I.A.) CONTINUATION FTE SALARY COSTS</b>										
(Permanent FTE by Position Continuation Salary Subtotal)	<b>192,337</b>	<b>2.6</b>	<b>227,004</b>	<b>2.8</b>			<b>249,060</b>	<b>3.0</b>	<b>249,060</b>	<b>3.0</b>
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	21,875		22,952				25,280		25,280	
Medicare on Continuation Subtotal	1,765		2,231				3,611		3,611	
Non-Base Building Performance Awards	-		1,487				-		-	
Part-Time/Temporary Salaries	-		0				-		-	
Contractual Services	2,058		0				24,302		51,772	
Leave	-		0							
Furlough	-		0							
Overtime	-		0							
Other	1,101		1,009							
<b>SUBTOTAL</b>	<b>26,798</b>		<b>27,678</b>				<b>53,193</b>		<b>80,662</b>	
<b>(I.C.) PERSONAL SERVICES SUBTOTAL= A+B</b>										
	<b>219,136</b>	<b>2.6</b>	<b>254,682</b>	<b>2.8</b>			<b>302,253</b>	<b>3.0</b>	<b>329,722</b>	<b>3.0</b>
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life Dental	10,573		11,334				16,929			
Salary Survey Non Add	-		14,902				-			
Performance Awards Non Add	-		3,676				-			
Short Term Disability	333		430				304			
SB 04.257 A.E.D.	6,056		8,169				9,962			
SB 06.235 S.A.E.D.	5,198		7,385				9,340			
[ ] Indicates a Non-add										
<b>(I.E.) BASE PERSONAL SERVICES TOTAL= C+D</b>										
	<b>241,295</b>	<b>2.6</b>	<b>282,000</b>	<b>2.8</b>			<b>338,788</b>	<b>3.0</b>	<b>329,722</b>	<b>3.0</b>

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**DEFENSE OF THE COLORADO RIVER COMPACT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>(I.G.) REQUEST YEAR DECISION ITEMS</b>										
General Fund										
Cash Funds										
Reappropriated Funds										
<b>II. PERSONAL SERVICES REQUEST TOTAL</b>	<b>241,295</b>	<b>2.6</b>	<b>282,000</b>	<b>2.8</b>			<b>338,788</b>	<b>3.0</b>	<b>329,722</b>	<b>3.0</b>
General Fund			36,307				8,462			
Cash Funds	241,295		245,693				330,326		329,722	
Reappropriated Funds							-		-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**DEFENSE OF THE COLORADO RIVER COMPACT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 Litigation	2,415		118				3,250		3,250	
2150 Custodial Services	-		0						-	
2160 Janitorial Service	-		0						-	
2170 Waste Disposal Services	-		0						-	
2210 Bldg Maintenance/Repair Svcs	-		0						-	
2220 Building Grounds Maintenance	-		0						-	
2230 Equipment Contract Maintenance	-		0						-	
2231 ADP Equip Maint/Repair Services	-		21						-	
2232 Software Upgrades	-		0						-	
2240 Motor Veh Maint/Repair Svcs	-		0						-	
2251 Lease Motor Pool Vehicle	-		0						-	
2252 Motor Pool Mileage Charge	-		0						-	
2253 Equipment Rental	-		0						-	
2254 Rental of Motor Vehicles	99		165						-	
2255 Rental of Buildings	-		0						-	
2258 Parking Fees	-		0						-	
2259 Parking Fee Reimbursement	-		0						-	
2510 In State Travel	11		90						-	
2511 In State Common Carrier Fares	-		1,844				525		525	
2512 IS Personal Travel Per Diem	321		762				850		850	
2513 IS Personal Vehicle Reimbursement	-		220						-	
2520 IS Travel/Non Employee	-		0						-	
2522 IS Non-Emp - Pers Per Diem	-		0						-	
2530 Out of State Travel	248		524				675		675	
2531 OS Common Carrier Fares	3,533		8,849				7,525		7,525	
2532 OS Personal Travel Per Diem	1,555		3,137				3,250		3,250	
2533 OS Personal Vehicle Reimbursement	-		0						-	
2550 Out of Country Travel	-		0						-	
2552 OC Pers Travel Reimbursement	-		0						-	
2630 Telephone	10		71				493		493	
2631 Comm Svcs from Outside Sources	1,580		1,214				1,250		1,250	
2641 Other ADP Billings - Purchase Services	26		785				285		285	
2660 Insurance	-		504						-	

## SCHEDULE 3 - OPERATING PROGRAM DETAIL

**Department of Law**

**DEFENSE OF THE COLORADO RIVER COMPACT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2680 Contract Printing	-		31						-	
3115 DP Supplies	-		0						-	
3116 Purchase/Leased Software	-		0						-	
3117 Educational	-		0						-	
3120 Books & Subscriptions	35		86						-	
3123 Postage	22		10						-	
3121 Office Supplies	635		105						-	
3140 Noncapitalized IT - PCs	-		1,744						-	
3141 Noncapitalized IT - Servers	-		0						-	
3143 Non-Capitalized IT Other	-		0						-	
3146 Non-Capitalized IT Purchased Server SW	-		0						-	
4140 Dues & Memberships	40		468				610		610	
4170 Miscellaneous Fees	-		0						-	
4180 Official Functions	82		78						-	
4220 Registration Fees	2,440		4,440				3,250		3,250	
6212 IT Servers - Direct Purchase	-		0						-	
6214 IT Other - Direct Purchase	12,164		0						-	
EBJJ Law to Judicial	1,372		18,581							
<b>Operating Expense Subtotal:</b>	<b>26,588</b>		<b>43,849</b>				<b>21,963</b>		<b>21,963</b>	
<b>OPERATING EXPENSE TOTAL:</b>	<b>26,588</b>		<b>43,849</b>				<b>21,963</b>		<b>21,963</b>	
General Fund			2,668							
General Funds Exempt										
Cash Funds	26,588		41,181				21,963		21,963	
Reappropriated Funds							-			
<b>ROLLFORWARD</b>							-			
RF: Lit Document Group										
<b>Allocated Operating POTS</b>							-			
Workers' Compensation							-			
Leased Vehicle Expense							-			
Carr Building							-			
IT Asset Maintenance							-			
Communication Service Payments							-			

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**DEFENSE OF THE COLORADO RIVER COMPACT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
ADP Capital Outlay							-			
CLE Registration Fees							-			
<b>Total</b>							-		-	
General Funds							-		-	
Reappropriated Funds							-			
<b>Dec Item</b>									-	
General Fund									-	
Cash Funds									-	
Cash Funds Exempt										
<b>TOTAL COLORADO RIVER LITIGATION</b>	<b>267,883</b>	<b>2.6</b>	<b>325,848</b>	<b>2.8</b>			<b>360,751</b>	<b>3.0</b>	<b>351,685</b>	<b>3.0</b>
General Fund	-		38,975				8,462		-	
General Fund Exempt									-	
Cash Funds	<b>267,883</b>		286,873				352,289		<b>351,685</b>	
Reappropriated Funds	-		-				-		-	
Federal Funds										
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	335,198	3.0	335,198	3.0	352,289	3.0	352,289	3.0	352,289	3.0
<i>SB 11-76 PERA Bill</i>					0		-		-	
<i>Minus one time Bonus</i>									(604)	
Salaury Sur vey	-		14,902				-		-	
Merit Pay			3,676				-		-	
Health/Life/Dental			3,287				-		-	
Short Term Disability			390				174			
SB 04.257 A.E.D			7,385				5,778			
SB 06.235 S.A.E.D.			6,667				2,510			
Worker's Compensation			511							
Carr Building			1,407				-			
Vehicle Lease Allocation							-			
IT Asset Maintenance	-		-				-			
Office Suite Upgrade							-			
Storage Lease Space							-			

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**DEFENSE OF THE COLORADO RIVER COMPACT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
ADP Capital Outlay Allocation							-			
Communication Service Payments							-			
ALJ Allocation							-			
CLE Registration Fees			750				-			
Building Security							-			
Rollforward to Subsequent FY							-			
Rollforward					0		-			
Overexpenditure/(Reversion)										
Lapsed Appropriation Cash Fund	(67,315)	(0.5)	(48,325)	(0.2)						
Lapsed Appropriation GF Funds										
<b>TOTAL RECONCILIATION</b>	267,883	2.5	325,848	2.8			360,751	3.0	351,685	3.0
<b>GRAND TOTAL</b>	<b>267,883</b>	<b>2.6</b>	<b>325,848</b>	<b>2.8</b>	<b>352,289</b>	<b>3.0</b>	<b>360,751</b>	<b>3.0</b>	<b>351,685</b>	<b>3.0</b>
General Fund	-		<b>38,975</b>				<b>8,462</b>		-	
Cash Funds	<b>267,883</b>		<b>286,873</b>		<b>352,289</b>		<b>352,289</b>		<b>351,685</b>	
Reappropriated Funds	-		-		-		-		-	

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**DEFENSE OF THE COLORADO RIVER COMPACT**

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		Actual FY 13	Actual FY 14	Approp FY 15	Estimate FY 15	Request FY 16
<b>Schedule 3 Total</b>		267,883	325,848	352,289	360,751	351,685
General Funds		-	38,975	-	8,462	-
General Funds Exempt		-	-	-	-	-
Cash Funds		267,883	286,873	352,289	352,289	351,685
Reappropriated Funds		-	-	-	-	-
<b>Cash Funds</b>						
Water Conservation Board Litigation Fund		267,883	286,873	352,289	352,289	351,685
<b>Reappropriated Funds</b>						
Water Conservation Board Litigation Fund		-	-	-	-	-



**SCHEDULE 2 - PROGRAM SUMMARY**

**Department of Law**

**DEFENSE OF THE REPUBLICAN RIVER COMPACT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>DEFENSE OF THE REPUBLICAN RIVER COMPACT</b>	196,138	-	221,385	-	145,000	-	145,000	-	110,000	-
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	196,138		221,385		145,000		145,000		110,000	
Reappropriated Cash Funds	-		-		-		-		-	



**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**DEFENSE OF THE REPUBLICAN RIVER COMPACT**

Item	Actual FY 13		Approp FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Personal Services</b>										
Part-Time/Temporary Salaries										
Prof Contractual Services/Litigation	106,361		194,391				95,959		88,991	
Purchased Services- Professional										
Termination/Retirement Payouts										
Overtime Wages										
Other:										
Vacancy Savings										
<b>Subtotal:</b>	106,361		194,391				95,959	-	88,991	-
<b>Total Personal Services Continuation</b>	106,361		194,391				95,959	-	88,991	-
<b>PERSONAL SERVICES TOTAL</b>	<b>106,361</b>		<b>194,391</b>				<b>95,959</b>		<b>88,991</b>	-
General Fund	-		-							
General Fund Exempt	-		-							
Cash Funds	106,361		194,391				95,959		88,991	
Reappropriated Cash Funds							-		-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**DEFENSE OF THE REPUBLICAN RIVER COMPACT**

Item	Actual FY 13		Approp FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 Litigation			17,386				30,500		16,250	
2254 Rental of Motor Vehicles			102							
2258 Parking Fees			-							
2559 Parking Fee Reimbursement			-							
2510 In State Travel	-		-							
2512 IS Personal Travel Per Diem	248		185							
2513 IS Personal Vehicle Reimbursement	60		60							
2520 IS Travel/Non Employee	-		-							
2523 IS/Non-Emp - Pers Veh Reimb	-		-							
2530 Out of State Travel	1,237		176			2,500		450		
2531 OS Common Carrier Fares	5,347		2,017			6,000		2,200		
2532 OS Personal Travel Per Diem	9,621		2,372			4,250		1,825		
2533 OS Personal Vehicle Reimbursement	-		-							
2550 Out of Country Travel	-		-							
2552 OC Pers Travel Reimbursement	-		-							
2630 Telephone	62		124			31		31		
2631 COMM SVCS FROM OUTSIDE SOURCES			-							
2680 PRINTING/REPRODUCTION SERVICES			267							
2681 Photocopy Reimbursement	-		-			260		98		
3117 Educational	-		-							
3120 Books & Subscriptions	-		-							
3121 Office Supplies	-		-							
3122 Microfilming/Photo. Supplies	-		-							
3123 Postage	834		1,022			5,500		155		
3128 NonCap Equipment			80							
3140 NONCAPITALIZED IT - PC'S			1,410							
3143 NONCAPITALIZED IT - OTHER			144							
4151 Interest - Late Payments	39		-							
4220 Registraion Fees	25		-							
EBJJ OTRE Law to Jud			1,650							
<b>Operating Expense Subtotal:</b>	17,472		26,994			49,041		21,009		
<b>OPERATING EXPENSE TOTAL:</b>	<b>17,472</b>		<b>26,994</b>			<b>49,041</b>		<b>21,009</b>		
General Fund	-		-			-		-		
General Funds Exempt										
Cash Funds	17,472		26,994			49,041		21,009		
Reappropriated Cash Funds						-		-		

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**DEFENSE OF THE REPUBLICAN RIVER COMPACT**

Item	Actual FY 13		Approp FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
<b>TOTAL DEFENSE OF THE REPUBLICAN RIVER</b>	<b>123,833</b>	-	221,385	-			<b>145,000</b>	-	<b>110,000</b>	-
General Fund	-		-				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	123,833		221,385				145,000		110,000	
Reappropriated Cash Funds	-						-		-	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	110,000		110,000		110,000		110,000			
Special Bills										
<i>HB 12-1248 additional spending</i>	110,000		359,265		35,000		35,000			
<i>Supplemental SB09-192</i>										
Salary POTS										
Health/Life/Dental										
Short Term Disability										
Worker's Compensation										
Capital Complex Leased Space Allocation										
Vehicle Lease Allocation										
IT Asset Maintenance										
ADP Capital Outlay Allocation										
Rollforward to Subsequent FY							-			
Rollforward from Previous FY							-			
Overexpenditure/(Reversion) - GF										
Lapsed Appropriation Reappropriate Funds										
Lapsed Appropriation Cash Funds	(96,167)		(247,880)							
Total	123,833		221,385				145,000			
<b>GRAND TOTAL</b>	<b>123,833</b>	<b>0.0</b>	<b>221,385</b>	<b>0.0</b>	<b>145,000</b>	<b>0.0</b>	<b>145,000</b>	<b>0.0</b>	<b>110,000</b>	<b>0.0</b>
General Fund	-		-				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	123,833		221,385		145,000		145,000		110,000	
Reappropriated Cash Funds	-		-				-		-	
Federal Funds										

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**DEFENSE OF THE REPUBLICAN RIVER COMPACT**

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2015
<b>Schedule 3 Total</b>		123,833	221,385	145,000	145,000	110,000
General Funds		-	-	-	-	-
General Funds Exempt		-	-	-	-	-
Cash Funds		123,833	221,385	145,000	145,000	110,000
Reappropriated Cash Funds		-	-	-	-	-
<b>Cash Fund</b>						
Colorado Water Conservation Board		123,833	221,385	145,000	145,000	110,000
<b>Cash Funds Exempt</b>						
Colorado Water Conservation Board		-	-	-	-	-

## SCHEDULE 2 - PROGRAM SUMMARY

Department of Law		CONSULTANT EXPENSE									
Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16		
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	
<b>CONSULTANT EXPENSE</b>	139,581		80,735		400,000		400,000	-	400,000	-	
General Fund	-		-		-		-		-		
General Fund Exempt	-		-		-		-		-		
Cash Funds	139,581		80,735		400,000		400,000		400,000		
Reappropriated Funds	-		-		-		-		-		

## SCHEDULE 3 - PROGRAM DETAIL

Department of Law		CONSULTANT EXPENSE									
Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16		
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	
<b>CONSULTANT EXPENSE TOTAL</b>	<b>139,581</b>		<b>80,735</b>		<b>400,000</b>		<b>400,000</b>		<b>400,000</b>		
General Fund											
General Fund Exempt											
Cash Funds	139,581		80,735		400,000		400,000		400,000		
Reappropriated Funds	-		-				-		-		
<b>RECONCILIATION OF FUNDS</b>											
Long Bill Appropriation	400,000		400,000				400,000				
<i>Supplemental</i>	-		-				-				
Rollforward from Previous FY	-		0				-				
Rollforward to Subsequent FY							-				
Overexpenditure/(Reversion)							-				
Lapsed Appropriation RF	-		0				-				
Lapsed Appropriation Cash Funds	(260,419)		(319,265)								
<b>TOTAL RECONCILIATION</b>	<b>139,581</b>		<b>80,735</b>				<b>400,000</b>				

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**CONSULTANT EXPENSE**

Item	Actual FY 13	Actual FY 14	Approp FY 15	Estimate FY 15	Request FY 16
	Total Funds	Total Funds	Total Funds	Total Funds	Total Funds
<b>Schedule 3 Total</b>	139,581	80,735	400,000	400,000	400,000
General Fund				-	-
General Fund Exempt				-	-
Cash Funds	139,581	80,735	400,000	400,000	400,000
Reappropriated Funds	-	-	-	-	-
<b>Cash Funds</b>					
Attorneys Fees and Costs	-	-	50,000	50,000	50,000
DNR Water Conservation	139,581	80,735	350,000	350,000	350,000
<b>Reappropriated Funds</b>					
Attorneys Fees and Costs	-	-		-	-

## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION & LIABILITY ACT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>CERCLA</b>	394,204	3.2	353,462	2.8	484,300	3.5	515,373	3.5	488,170	3.5
General Fund							-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	394,204		353,462		484,300		515,373		488,170	
Federal Funds	-		-		-					



### SCHEDULE 3 - PROGRAM DETAIL

**Department of Law**

### COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION & LIABILITY ACT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
Senior Assistant Attorney General	62,909	0.7	73,233	0.7			71,677	0.7	71,677	0.7
Assistant Attorney General	158,493	1.9	115,067	1.5			168,556	2.2	168,556	2.2
Assistant Attorney General II										
Assistant Attorney General I										
General Professional V	60,335	0.6	59,573	0.6			60,163	0.6	60,163	0.6
<b>TOTAL POSITION DETAIL</b>	<b>281,737</b>	<b>3.2</b>	<b>247,873</b>	<b>2.8</b>			<b>300,396</b>	<b>3.5</b>	<b>300,396</b>	<b>3.5</b>
<b>(I.A.) CONTINUATION FTE SALARY COSTS</b>										
(Permanent FTE by Position) Continuation Salary Subtotal	<b>281,737</b>	<b>3.2</b>	<b>247,873</b>	<b>2.8</b>			<b>300,396</b>	<b>3.5</b>	<b>300,396</b>	<b>3.5</b>
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	25,722		26,426				30,490		30,490	
Medicare on Continuation Subtotal	2,811		2,797				4,356		4,356	
Non-Base Building Performance Awards	-		647				-		-	
Part-Time/Temporary Salaries	1,170		-				-		-	
Furlough	-		-				-		-	
Contractual Services	4,185		-				118,737		137,466	
Annual Leave Payout	1,606		-				-		-	
Overtime	-		-				-		-	
Vacancy Savings	-		-				-		-	
Sick Leave	-		-				-		-	
Other Employee Benefits	176		484				-		-	
<b>SUBTOTAL</b>	<b>35,670</b>		<b>30,355</b>				<b>153,583</b>		<b>172,312</b>	
<b>(I.C.) PERSONAL SERVICES SUBTOTAL= A+B</b>										
	<b>317,408</b>	<b>3.2</b>	<b>278,228</b>	<b>2.8</b>			<b>453,979</b>	<b>3.5</b>	<b>472,708</b>	<b>3.5</b>
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life Dental	13,678		16,348				17,520			
Salary Survey Non Add			18,876							
Performance Awards Non Add			4,795							
Short Term Disability	430		493				661			

### SCHEDULE 3 - PROGRAM DETAIL

Department of Law

### COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION & LIABILITY ACT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
SB 04.257 A.E.D.	8,083		9,408				12,016			
SB 06.235 S.A.E.D.	6,935		8,505				11,265			
Other [ ] Indicates a Non-add										
<b>(I.E.) BASE PERSONAL SERVICES TOTAL= C+D</b>	<b>346,534</b>	<b>3.2</b>	<b>312,982</b>	<b>2.8</b>			<b>495,441</b>	<b>3.5</b>	<b>472,708</b>	<b>3.5</b>
<b>(I.F.) DIFFERENCE- II- I.E.</b>										
<b>(I.G.) REQUEST YEAR DECISION ITEMS</b>										
General Fund										
Cash Funds										
Reappropriated Funds										
<b>II. PERSONAL SERVICES REQUEST TOTAL</b>	<b>346,534</b>	<b>3.2</b>	<b>312,982</b>	<b>2.8</b>			<b>495,441</b>	<b>3.5</b>	<b>472,708</b>	<b>3.5</b>
General Fund							-		-	
General Funds Exempt										
Cash Funds										
Reappropriated Funds	346,534		312,982				495,441		472,708	
Federal Funds										

## SCHEDULE 3 - OPERATING PROGRAM DETAIL

**Department of Law**

**COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION & LIABILITY ACT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 Litigation	1,241		-				5,673		5,673	
2170 Waste Disposal Services	-		-				-		-	
2230 IT Hardware Maint/Repair Svs	-		-				-		-	
2231 ADP Equip Maint/Repair Services	-		330							
2232 IT Software Mntc/Upgrade Svs	-		-							
2254 Rental of Motor Vehicles	-		223							
2255 Rental of Buildings	10,692		-							
2258 Parking Fees	-		-							
2259 Parking Feee Reimbursement	-		-							
2510 In-State Travel	124		11				50		50	
2511 In-State Common Carrier Fares	-		-				-		-	
2512 IS Personal Travel Per Diem	602		235				450		450	
2513 IS Personal Vehicle Reimbursement	-		50				-		-	
2530 Out of State Travel	-		296				300		300	
2531 OS Common Carrier Fares	(530)		1,653				1,250		1,250	
2532 OS Personal Travel Per Diem	-		2,460				1,542		-	
2630 Telephone	5,596		2,829				3,750		3,750	
2631 Comm Svcs from Outside Sources	595		-				-		-	
2641 Other ADP Billings - Purchase Services	22		1,092							
2660 Insurance	614		587				-		-	
2680 Contract Printing	255		-				200		200	
2820 Other Purchased Servs	-		-				-		-	
3115 DP Supplies	-		-				-		-	
3116 Purchase/Leased Software	-		-				33		33	
3117 Educational	-		-							
3120 Books & Subscriptions	1,324		2,656				1,500		1,500	
3121 Office Supplies	-		230				345		345	
3123 Postage	31		-				129		129	
3140 Non-Capitalized IT PC's	-		-							
3141 Non-Capitalized IT Servers	-		-							
3143 Non-Capitalized IT - Other	-		-							
3146 Non-Capitalized IT Purchased Server Software	-		-							
4140 Dues & Memberships	-		546				960		960	
4180 Official Functions	664		-							
4220 Registration Fees	784		3,679				3,750		718	
6212 IT Servers Direct Purchase	-		-				-		104	

## SCHEDULE 3 - OPERATING PROGRAM DETAIL

**Department of Law**

**COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION & LIABILITY ACT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
6214 IT Other Direct Purchase	24,328		-				-		-	
EBJJ DOL to JUD	1,328		23,603							
<b>Operating Expense Subtotal:</b>	47,670		40,479				19,932		15,462	
<b>OPERATING EXPENSE TOTAL:</b>	<b>47,670</b>		<b>40,479</b>				<b>19,932</b>		<b>15,462</b>	
General Fund	-		-				0		0	
Cash Funds										
Reappropriated Funds	47669.9		40,479				19,932		15,462	
<b>ROLLFORWARD</b>	-		-							
General Fund	-		-							
Reappropriated Funds										
<b>Potted Operating Expenses</b>										
Workers' Compensation										
Leased Vehicle Expense										
Carr Building										
Lease Space										
IT Asset Maintenance										
ADP Capital Outlay										
CLE Registration Fees										
<b>Total</b>										
General Fund										
Reappropriated Funds										
<b>Rollforward - Previous Year</b>	-		-				-		-	
General Fund Exempt							-			
<b>FY013 DECISION ITEM REQUEST</b>										
General Fund									-	
Refinance									-	
<b>FY 13 DPA NP</b>									-	

## SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION & LIABILITY ACT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
General Fund									-	
Cash Funds Exempt									-	
<b>LONG BILL APPROPRIATION</b>					484,300					
GF										
RF					484,300					
<b>SPECIAL BILLS</b>										
<i><b>SB 11-76 PERA</b></i>										
GF							-			
RF					0		-			
<b>TOTAL CERCLA</b>	<b>394,204</b>	<b>3.2</b>	<b>353,462</b>	<b>2.8</b>	<b>484,300</b>	<b>3.5</b>	<b>515,373</b>	<b>3.5</b>	<b>488,170</b>	<b>3.5</b>
General Fund					-		-			
General Fund Exempt	-		-				-			
Cash Funds					-					
Reappropriated Funds	394,204		353,462		484,300		515,373		488,170	
Federal Funds										
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	460,629	3.5	460,629	3.5			484,300	3.5	484,300	3.5
<i>Supplemental SB09-192</i>										
HB 10-1329 CF to RF										
PERA back to 10.15% from 7.65%									-	
Minus one time Bonus							-		-	
Salary Survey			18,876				3,870		3,870	
Merit Pay			4,795				-		-	
Health/Life/Dental	12,024		6,877				6,042			
Short Term Disability	497		518				584			
SB 04.257 A.E.D.	9,233		9,813				10,620			
SB 06.235 S.A.E.D.	7,934		8,859				9,957			
Worker's Compensation	618		595				-			
Capital Complex/CARR Bldg	10,695		22,982				-			
Lease Space	-		-				-			
Vehicle Lease Allocation	-		-				-			
IT Asset Maintenance	-		-				-			
Building Security	1,186		-				-			

## SCHEDULE 3 - OPERATING PROGRAM DETAIL

**Department of Law**

**COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION & LIABILITY ACT**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
ADP Capital Outlay Allocation	1,312		1,312				-			
CLE Registration Fees										
Rollforward from Previous FY										
Rollforward to Subsequent FY										
Overexpenditure/(Reversion)- GF	-		-							
Lapsed Appropriation General Funds Exempt										
Lapsed Appropriation Reappropriated Funds	(109,924)	(0.3)	(181,794)	(0.7)						
<b>TOTAL RECONCILIATION</b>	<b>394,204</b>	<b>3.2</b>	<b>353,462</b>	<b>2.8</b>			<b>515,373</b>	<b>3.5</b>	<b>488,170</b>	<b>3.5</b>
<b>GRAND TOTAL</b>	<b>394,204</b>	<b>3.2</b>	<b>353,462</b>	<b>2.8</b>	<b>484,300</b>	<b>3.5</b>	<b>515,373</b>	<b>3.5</b>	<b>488,170</b>	<b>3.5</b>
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	<b>394,204</b>		<b>353,462</b>		484,300		<b>515,373</b>		<b>488,170</b>	
Federal Funds	-		-							

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

<b>Department of Law</b>		<b>COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION &amp; LIABILITY ACT</b>				
<b>Item</b>	<b>Fund Number</b>	<b>Actual</b>	<b>Actual</b>	<b>Approp</b>	<b>Estimate</b>	<b>Request</b>
		<b>FY 2012</b>	<b>FY 2013</b>	<b>FY 2014</b>	<b>FY 2014</b>	<b>FY 2015</b>
<b>Schedule 3 Total</b>		387,178	353,462	484,300	515,373	488,170
General Funds		-	-	-	-	-
General Funds Exempt		-	-	-	-	-
Cash Funds		-	-	-	-	-
Reappropriated Funds		387,178	353,462	484,300	515,373	488,170
Federal Funds						
<b>Reappropriated Funds</b>						
Hazardous Substance Response Fund		387,178	353,462	484,300	515,373	488,170



**SCHEDULE 2 - PROGRAM SUMMARY**

**Department of Law** **COMPREHENSIVE ENVIRON RESPONSE COMPEN & LIAB ACT CONTRACTS**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>CERCLA CONTRACTS</b>	207,991	-	127,924	-	100,000		100,000	-	100,000	-
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash										
Reappropriated Funds	207,991		127,924		100,000		100,000		100,000	

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law** **COMPREHENSIVE ENVIRON RESPONSE COMPEN & LIAB ACT CONTRACTS**

Item	Actual FY 11		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
<b>CERCLA CONTRACTS</b>										
1920 - Purchased Services - Professional	207,991		127,924				100,000		100,000	
<b>Rollforward</b>	-		-				-		-	
General Fund Exempt							-			
Reappropriated Funds										
<b>CERCLA CONTRACTS TOTAL</b>	<b>207,991</b>		<b>127,924</b>		<b>100,000</b>		<b>100,000</b>		<b>100,000</b>	
General Fund	-		-		-		-		-	
General Fund Exempt							-			
Reappropriated Funds	<b>207,991</b>		<b>127,924</b>		<b>100,000</b>		<b>100,000</b>		<b>100,000</b>	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	425,000		425,000		100,000		100,000		100,000	
<i>Supplemental</i>										
HB 10-1329 Solid Waste Tipping Fees Ref	-		-							
GF to RF									0	
Rollforward from previous FY							0			
Rollforward to Subsequent FY	-		-							
Reversion GF							0			
Reversion RF	(217,009)		(297,076)							
<b>TOTAL RECONCILIATION</b>	<b>207,991</b>		<b>127,924</b>		<b>100,000</b>		<b>100,000</b>		<b>100,000</b>	
GF										
RF	207,991		127,924		100,000		100,000		100,000	

## SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

**Department of Law**

**COMPREHENSIVE ENVIRON RESPONSE COMPEN & LIAB ACT CONTRACTS**

Item	Actual FY 13	Actual FY 14	Approp FY 15	Estimate FY 15	Request FY 16
	Total Funds	Total Funds	Total Funds	Total Funds	Total Funds
<b>Schedule 3 Total</b>	207,991	127,924	100,000	100,000	100,000
General Fund	-	-	-	-	-
General Fund Exempt	-	-	-	-	-
Reappropriated Funds	207,991	127,924	100,000	100,000	100,000
<b>Cash Funds</b>					
<b>Reappropriated Funds</b>					
Hazardous Substance Response Fund	207,991	127,924	100,000	100,000	100,000

## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**ROCKY MOUNTAIN ARSENAL**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>NATURAL RESOURCE DAMAGE</b>										
<b>CLAIMS AT ROCKY MOUNTAIN ARSENAL</b>	-	-	-	-	50,000	-	50,000	-	50,000	-
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	-		-		50,000		50,000		50,000	
Federal Funds	-		-		-		-		-	



### SCHEDULE 3 - PROGRAM DETAIL

Department of Law

ROCKY MOUNTAIN ARSENAL

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
Senior Assistant Attorney General										
Assistant Attorney General I										
Assistant Attorney General II	-	0.0	-	0.0			-			
<b>TOTAL POSITION DETAIL</b>	-	0.0	-	0.0			-	0.0	-	0.0
<b>(I.A.) CONTINUATION FTE SALARY COSTS</b>										
(Permanent FTE by position)										
Continuation Salary Subtotal	-	0.0	-	0.0			-	0.0	-	0.0
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	-		-				-		-	
Medicare on Continuation Subtotal	-		-				-		-	
Non-Base Building Performance Awards	-		-				-		-	
Part-Time/Temporary Salaries	-		-				-		-	
Contractual	-		-				50,000		50,000	
Other	-		-							
<b>SUBTOTAL</b>	-		-				50,000		50,000	
<b>(I.C.) PERSONAL SERVICES SUBTOTAL= A+B</b>										
	-	0.0	-	0.0			50,000		50,000	
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life Dental	-		-				-			
Salary Survey	-		-				-			
Performance Awards	-		-				-			
Short Term Disability	-		-				-			
SB 04.257 A.E.D.	-		-				-			
SB 06.235 S.A.E.D.	-		-				-			
Other	-		-							
[ ] Indicates a Non-add										
<b>(I.E.) BASE PERSONAL SERVICES TOTAL= C+D</b>										
	-	0.0	-	0.0			50,000		50,000	0.0

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**ROCKY MOUNTAIN ARSENAL**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>(I.F.)DIFFERENCE= II- I.E.</b>										
<b>(I.G.) REQUEST YEAR DECISION ITEMS</b>							-			
General Fund										
Cash Funds										
Reappropriated Funds										
<b>II. PERSONAL SERVICES REQUEST (AGGREGATE ADJUSTMENTS TO THE BASE APPROPRIATION)</b>										
Previous Year Long Bill									50,000	0.0
Salary Survey-Classified									-	
PBP - Classified									-	
Salary Survey Exempt									-	
PBP - Exempt									-	
OSPB .2% Base Reduction									-	
SPECIAL BILLS:										
Subtotal									50,000	
<b>II. PERSONAL SERVICES REQUEST TOTAL</b>	-	0.0	-	0.0			50,000	0.0	50,000	0.0
General Fund	-		-				-		-	
Cash Funds	-		-				50,000		50,000	
Reappropriated Funds									-	
Federal Funds									-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ROCKY MOUNTAIN ARSENAL**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 - Litigation									-	
2170 Waste Disposal Services	-		-							
2230 Equipment Maintenance/Repair Svcs	-		-							
2231 ADP Equip Maint/Repair Services	-		-							
2232 Software Upgrades	-		-							
2240 Motor Veh Maint/Repair Svcs	-		-							
2251 Lease Motor Pool Vehicle	-		-							
2252 Motor Pool Mileage Charge	-		-							
2253 Equipment Rental	-		-							
2254 Rental of Motor Vehicles	-		-							
2255 Rental of Buildings	-		-							
2258 Parking Fees	-		-							
2559 Parking Fee Reimbursement	-		-							
2510 In State Travel	-		-							
2512 IS Personal Travel Per Diem	-		-							
2513 IS Personal Vehicle Reimbursement	-		-							
2520 IS Travel/Non Employee	-		-							
2523 IS/Non-Emp - Pers Veh Reimb	-		-							
2530 Out of State Travel	-		-							
2531 OS Common Carrier Fares	-		-							
2532 OS Personal Travel Per Diem	-		-							
2533 OS Personal Vehicle Reimbursement	-		-							
2550 Out of Country Travel	-		-							
2552 OC Pers Travel Reimbursement	-		-							
2630 Telephone	-		-							
2631 Comm Svcs from Outside Sources	-		-							
2641 Other ADP Billings - Purchase Services	-		-							
2660 Insurance	-		-							
2680 Contract Printing	-		-							
3115 Data Processing Supplies	-		-							
3116 Purchase/Leased Software	-		-							
3117 Educational	-		-							
3123 Postage	-		-							
3140 Non-Capitalized IT- PC's	-		-							
3141 Non-Capitalized IT- Servers	-		-							
3143 Non-Capitalized IT - Other	-		-							
3146 Non-Capitalized IT - Purchased Server SW	-		-							
4140 Dues & Memberships	-		-							
4151 Interest Late Payments	-		-							
4170 Miscellaneous Fees	-		-							
4180 Official Functions	-		-							

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ROCKY MOUNTAIN ARSENAL**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
4220 Registration Fees	-		-							
6140 Buildings and Improves. to Bldg.	-		-							
6210 Other Capital Equipment	-		-							
6212 IT Servers Direct Purchase	-		-							
6213 IT PC SW Direct Purchase	-		-							
<b>Operating Expense Subtotal:</b>	-		-				-		-	
<b>OPERATING EXPENSE TOTAL:</b>	-		-				-		-	
General Fund	-		-				-		-	
Cash Funds	-		-				-		-	
Reappropriated Funds							-		-	
<b>ROLLFORWARD</b>							-		-	
General Fund							-		-	
Cash Funds							-		-	
<b>Allocated Operating POTS</b>										
Workers' Compensation							-			
Leased Space Allocation							-			
IT Asset Maintenance							-			
ADP Capital Outlay							-			
<b>Total</b>							-		-	
General Fund							-			
Cash Fund										
Reappropriated Funds										
<b>Budget Amendment</b>										
FY 10 BRI#01							-	0.0	-	0.0
Cash Funds							-	-	-	
<b>Special Bill HB 07-1357 Litigation Cost</b>										
General Fund									-	
Cash Funds									-	
<b>TOTAL ROCKY MOUNTAIN ARSENAL</b>	-	<b>0.0</b>	-	<b>0.0</b>			<b>50,000</b>	-	<b>50,000</b>	<b>0.0</b>
General Fund	-		-				-		-	
Cash Funds	-		-				50,000		50,000	
Reappropriated Funds	-		-				-		-	
Federal Funds									-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**ROCKY MOUNTAIN ARSENAL**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	150,000	0.0	50,000	0.0			50,000	0.0		
<i>Supplemental</i>										
Salary POTS							-			
Health/Life/Dental							-			
Short Term Disability							-			
SB 04.257 A.E.D.							-			
SB 06.235 S.A.E.D.							-			
Capital Complex Lease Space							-			
Workers Compensation							-			
Vehicle Lease Allocation							-			
IT Asset Maintenance							-			
ADP Capital Outlay Allocation							-			
CLE Registration Fees	-		-				-			
Rollforward from Previous FY							-			
Rollforward to Subsequent FY										
Restriction										
Overexpenditure/(Reversion) - GF										
Lapsed Appropriation Cash Funds	(150,000)	0.0	(50,000)	0.0						
Lapsed Appropriation Federal Funds										
<b>TOTAL RECONCILIATION</b>	0	0.0	0	0.0			50,000	0.0		
<b>GRAND TOTAL</b>	-	<b>0.0</b>	-	<b>0.0</b>	<b>50,000</b>	<b>0.0</b>	<b>50,000</b>	<b>0.0</b>	<b>50,000</b>	<b>0.0</b>
General Fund	-		-				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	-		-			-	-		-	
Reappropriated Funds	-		-		<b>50,000</b>		<b>50,000</b>		<b>50,000</b>	
Federal Funds	-		-				-		-	

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

<b>Department of Law</b>		<b>ROCKY MOUNTAIN ARSENAL</b>				
Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>		-	-	50,000	50,000	50,000
General Funds		-	-	-	-	-
General Funds Exempt		-	-	-	-	-
Cash Funds		-	-	-	-	-
Reappropriated Funds		-	-	50,000	50,000	50,000
Federal Funds		-	-	-	-	-
<b>Cash Funds</b>						
CDPHE Hazardous Substance Response Fund		-	-	-	-	-
<b>Reappropriated Funds</b>						
CDPHE Hazardous Substance Response Fund		-	-	50,000	50,000	50,000

## SCHEDULE 2 - PROGRAM SUMMARY

### Department of Law

### INDIRECT COST ASSESSMENT - NATURAL RESOURCES

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>INDIRECT COST ASSESSMENT</b>	43,414		46,731		45,686		45,686	-	41,787	-
General Fund										
General Fund Exempt										
Cash Funds	-		-		-		-		-	
Reappropriated Funds	43,414		46,731		45,686		45,686		41,787	

## SCHEDULE 3 - PROGRAM DETAIL

### Department of Law

### INDIRECT COST ASSESSMENT - NATURAL RESOURCES

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>INDIRECT COST ASSESSMENT</b>	43,414		46,731		45,686		45,686		41,787	
Cash Funds					-		-		-	
Reappropriated Funds	43,414		46,731		45,686		45,686		41,787	
<b>INDIRECT COST ASSESSMENT</b>	<b>43,414</b>		<b>46,731</b>		<b>45,686</b>		<b>45,686</b>		<b>41,787</b>	
Cash Funds					-		-		-	
Reappropriated Funds	<b>43,414</b>		<b>46,731</b>		<b>45,686</b>		<b>45,686</b>		<b>41,787</b>	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	43,414		46,731		45,686		45,686			
HB 10-1329 Solid Waste Users Fees	0		0		0		-		41,787	
Lapsed Spending Authority CF										
<b>TOTAL RECONCILIATION</b>							45,686			

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**INDIRECT COST ASSESSMENT - NATURAL RESOURCES**

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>		43,414	46,731	45,686	45,686	41,787
General Funds						
General Funds Exempt						
Cash Funds		-	-	-	-	-
Reappropriated Funds		43,414	46,731	45,686	45,686	41,787
<b>Reappropriated Funds</b>		<b>43,414</b>	<b>46,731</b>	<b>45,686</b>	<b>45,686</b>	<b>41,787</b>
CDPHE Haz Sub Response Fund		43,414	46,731	45,686	45,686	41,787
<b>Federal Funds</b>						

## **(I) BACKGROUND INFORMATION: CONSUMER PROTECTION UNITS**

There are two units that enforce the provisions of the Colorado Consumer Protection Act (“CCPA”) (Colo. Rev. Stat. §§ 6-1-101, *et seq.*). The Consumer Fraud Unit handles traditional consumer protection matters such as false advertising, Internet marketing scams and charitable fraud cases.

The Antitrust, Tobacco and Consumer Protection Unit handles the Colorado Antitrust Act (“Antitrust Act”) (Colo. Rev. Stat. §§ 6-4-101, *et seq.*), several specialized consumer protection statutes, such as the No-Call List Act (Colo. Rev. Stat. §§ 6-1-901, *et seq.*) and all of the consumer protection laws designed to address mortgage fraud and foreclosure rescue schemes. *See, e.g.*, Colo. Rev. Stat. § 12-61-904.5, 12-61-911 and § 38-40-105 (governing mortgage loan originator conduct), Colo. Rev. Stat. § 6-1-717, (governing appraisal fraud) and Colo. Rev. Stat. § 6-1-1100, *et seq.*, (Colorado Foreclosure Protection Act). This unit also enforces the Tobacco Master Settlement Agreement and related tobacco laws (Colo. Rev. Stat. §§ 39-28-201, *et seq.* – Tobacco Escrow Funds Act; and Colo. Rev. Stat. §§ 39-28-301, *et seq.* – Certified Brands Directory Act). Below is a description of how these units handle their enforcement efforts under their statutory provisions.

### **Consumer Fraud**

Consumer fraud investigations and prosecutions are handled by a variety of attorneys, investigators, and support staff through both Units. While most cases are brought under the Colorado Consumer Protection Act, these Units also bring cases under the Charitable Solicitations Act and the Motor Vehicle Repair Act. In addition to purely local cases, attorneys and staff periodically participate in national or multi-state enforcement activities with their counterparts in the Attorney General Offices of other states and with the Federal Trade Commission.

### **Antitrust**

The Attorney General’s antitrust enforcement efforts are directed at protecting consumers and legitimate competitors from a whole range of anticompetitive conduct, including price fixing, conspiracies to suppress competition and mergers that will unreasonably restrain fair competition. The Attorney General has exclusive jurisdiction to enforce the civil and criminal provisions of the Colorado Antitrust Act. The Attorney General also participates in merger reviews in conjunction with the FTC and DOJ where the industry at issue implicates statewide interests of concern in Colorado.

The Antitrust, Tobacco and Consumer Protection Unit has one full-time lawyer who enforces the Colorado Antitrust Act and the federal antitrust laws. This lawyer is also responsible for enforcement of the no-call laws, discussed below. This position is funded from the general fund.

### **Tobacco Settlement Enforcement**

Since the State’s settlement of the tobacco litigation against the major domestic tobacco companies in 1998, this unit has monitored compliance with the numerous injunctive terms and payment obligations under the Master Settlement Agreement (“MSA”) and the Smokeless Tobacco Master Settlement Agreement (“STMSA”). Under these agreements the companies have agreed to a host of marketing restrictions, including a prohibition on youth marketing. Also under these agreements, the companies pay anywhere from \$80.0 - \$100.0 Million to the general fund of the State of Colorado each year. The fluctuations in payments depend on various complex adjustments provided for under the MSA which relate to sales volume by the participating companies and market share loss to manufacturers that are not part of the MSA (so called, “nonparticipating manufacturers” or “NPMs”).

This unit monitors compliance with the settlement and ensures Colorado's interests are protected in the MSA's payment calculations. This unit also enforces statutes that require NPMs to pay an escrow on their sales that approximates what they would owe under the settlement. The Colorado Department of Revenue (DOR) also has enforcement responsibilities with regard to these escrow requirements, and this unit works closely with DOR on this enforcement. The Antitrust, Tobacco and Consumer Protection Unit has one full-time lawyer who is funded out the tobacco settlement funds to handle these functions.

The "diligent enforcement" arbitration proceedings provided for under the MSA has occupied a significant amount of time for this unit since 2006. Through this arbitration, the tobacco companies can challenge the State's enforcement of the NPM escrow obligations. If it is determined through this arbitration that our enforcement was not diligent, the payment Colorado receives under the settlement can be reduced significantly. After nearly seven years of litigation, Colorado recently received a determination that it diligently enforced the tobacco escrow laws against NPMs. This determination, however, only applies to enforcement undertaken in 2003. While this favorable resolution will likely influence arbitrations for years 2004 – 2012, these proceedings still need to be undertaken to determine the diligence of our enforcement efforts during these years. Work on the arbitrations for these latter years continues and will continue in FY 14-15.

### **No-Call Enforcement**

The No-Call List Act was enacted in 2002. Since that time over 3.4 Million residential phone numbers have been registered on the no-call list. The no-call list and other procedural aspects of the no-call program are administered by the Public Utilities Commission ("PUC"). However, enforcement of violations are handled by one investigator and one lawyer within the Antitrust, Tobacco and Consumer Protection Unit. The lawyer splits his time between this work and antitrust enforcement. The investigator position is funded in part through the fees generated by telemarketers who buy the no-call lists each quarter. The attorney position is funded through general funds.

The Attorney General investigates complaints that are reported to the PUC of suspected no-call violations. These investigations involve some detailed work to ensure that the jurisdictional elements of the No-Call List Act are satisfied. They also involve extensive investigation to identify the suspects or telemarketers involved in the violation. As discussed below, these investigations are labor intensive because violators use calling technologies that make it difficult to trace the calls back to them.

### **Mortgage Fraud and Foreclosure Prevention**

To address the rise in mortgage fraud and foreclosure rescue fraud, the General Assembly passed the Foreclosure Protection Act in 2006 and four mortgage fraud bills in 2007. Also, as part of the 2007 mortgage fraud bills the Antitrust, Tobacco and Consumer Protection Unit was staffed with one lawyer FTE and two investigator FTEs to enforce these new laws and the Foreclosure Protection Act. These three new positions are funded through the licensing fees that are paid by mortgage originators to get licensed with the Division of Real Estate's Mortgage Loan Originator Program.

During FY 13-14, significant resources have been devoted to implementing and monitoring the historic \$25.0 billion settlement with the five major mortgage servicing companies, Bank of America, Wells Fargo, JP Morgan Chase, Citibank and Ally/GMAC ("the National Mortgage Settlement"). This settlement resolved several state and federal claims related to the banks' foreclosure and loan servicing practices. It was the largest settlement reached in a joint federal – state investigation. The federal agencies participating in this settlement were the U.S. Department of Justice, the U.S. Department of Housing and Urban Development, the Consumer Protection Financial Bureau and the U.S. Department of Treasury. Forty-nine state Attorneys General and state banking departments participated in this settlement.

As a result of this settlement the servicing companies agreed to provide at least \$20.0 billion in loan relief to homeowners who are struggling to pay their mortgages. This loan relief can come in the form of reducing the principal balance of the loan in conjunction with a loan modification that will allow a borrower to have an affordable monthly payment. It can also come in the form of refinancing a borrower who is current on the loan, but who has been unable to take advantage of the historically low interest rates during FY 12-13. Credit can also be earned for granting relief that avoids a foreclosure and gets the home back on the market, such as a short sale. The banks also agreed to a detailed 42-page injunction and monitoring plan which regulates the way they conduct foreclosures and handle loan modification requests. One lawyer from this unit serves on the monitoring committee for this nationwide settlement. This activity consumes a significant amount of time and it is expected that this will continue to be the case through FY 14-15 when the settlement expires. Additionally, two lawyers from the unit are engaged in settlements being negotiated with second-tier servicers and monitoring of those settlements.

In addition to this relief, \$51.17 Million in custodial funds were paid to Colorado under the National Mortgage Settlement to help prevent foreclosures and stabilize the housing market. During FY 11-12 this office worked with the Governor's Office, the Division of Housing, the leadership in both the state House and Senate and the housing community to devise a plan to spend this money in a way that will prevent foreclosures and stabilize housing. After holding public hearings and soliciting comments from numerous interested stakeholders this office announced the following funding for existing and new foreclosure prevention and housing stabilization programs:

- ▶ \$24.0 Million for supplemental loan mod programs
- ▶ \$18.196 Million for affordable housing programs
- ▶ \$5.625 Million for housing counseling support over three years
- ▶ \$1.5 Million for Colorado Legal Services over three years
- ▶ \$1.1 Million to the Colorado Foreclosure Hotline for an additional three years of operation and funding for marketing and outreach to distressed homeowners
- ▶ \$750,000 for Colorado Attorney General's Office enforcement and monitoring support

The funding for these programs will continue for three years through FY 14-15. As a result of this settlement this unit has added two contract lawyers, both of whom are funded through the custodial funds recovered in National Mortgage Settlement. One of these lawyers has primary responsibility for monitoring the programs that have been established with the custodial funds. This position will continue through FY 14-15.

The other lawyer works directly with consumers facing foreclosure in an effort to assist them with the loan modification process. This lawyer receives written complaints that these borrowers submit to our office and escalates them to executive level contacts that our office has established with the servicing companies. Each of these complaints is reviewed at the highest levels of the major mortgage servicers. This escalation process afforded these particular homeowners an independent review of their request for assistance. Sometimes as a result of this review, the homeowner obtains relief that they otherwise would not have gotten had they not filed a complaint with our office.

During FY12-13 this unit also continued its mortgage fraud enforcement efforts. Two major enforcement efforts occupied the unit's remaining resources during FY 13-14 and will continue to do so through FY 14-15. First, our office along with DOJ and 17 other state Attorney General Offices have filed consumer protection claims against Standard and Poor's Rating Services, Inc. as a result of its ratings of mortgage-backed securities. *In re: Standard & Poor's Rating Agency Litigation*, Civil Action No. 13-MD-2446 (JMF) (SDNY). This action was consolidated with 14 other state actions in the Southern District of New York, but was recently remanded back to state court. DOJ and the states brought these actions because of the important role that S&P played in the financial crisis. Its ratings were relied upon by investors and the public to assess the creditworthiness of complex securities, such as mortgage-backed securities. DOJ and the states alleged that its conflicts of interests

were allowed to influence its ratings of mortgage-backed securities. These AAA ratings failed to recognize the true creditworthiness of these securities. When the financial crisis started in 2008 these securities were downgraded to junk status. This action is being handled by the mortgage fraud lawyer who is funded from the mortgage fraud funding. Because this case has been set for trial in July 2015 and, consequently, will be the first case to go to trial against S&P, the unit is looking to hire one or two contract lawyers to assist in case preparation.

Second, during FY13-14 our office stepped up the investigation of six law firms and their related companies regarding their foreclosure billing practices. This investigation was commenced in FY11-12. The complexity and number of parties involved has required our unit to add staff. Three lawyers who are funded through the general fund have been assigned to this investigation full-time. In addition, the two mortgage fraud investigators work full-time on this investigation. We have also hired an additional three contract lawyers to assist with this investigation. The contract lawyers are being funded with mortgage fraud custodial funds. We anticipate that this staffing will be necessary through FY14-15 for this matter, as the investigation has resulted in one lawsuit filed so far and may result in additional lawsuits in the coming year.

## **(II) PRIOR YEAR LEGISLATION**

General Assembly passed HB 14-1037 which made it an explicit CCPA violation to sell any product containing synthetic cannabinoids, and increasing significantly the civil penalties for violating this new provision. Bill was signed into law and takes effect on August 6, 2014.

## **(III) HOT ISSUES**

The Antitrust, Tobacco and Consumer Protection Unit will have three major focuses during FY14-15:

1. Bring a successful conclusion to the programs established under the settlement to prevent foreclosures and promote housing;
2. Continue to prosecute the Standard & Poor's litigation; and
3. Continue the investigation into billing practices of Colorado's foreclosure law firms and prosecute the resulting cases.
4. Expansion into more traditional consumer protection areas.

## **(IV) WORKLOAD MEASURES**

### **Workload Indicators**

As discussed in previous budget submissions, quantifiable workload measures are difficult to formulate for the type of consumer protection work that is done by these two units. It is impossible to predict how long any particular litigation will last, or the extent to which a single case will consume unit resources.

Moreover, quantifiable measures such as consumer complaints filed and population growth have been poor predictors of the consumer protection caseload. For example, no-call complaints continue to decrease each year, yet nearly 3.4 Million phone numbers are registered on the no-call list. However, the advent of new calling technology and more diffused telemarketing strategies have actually increased the investigative burden on the no-call enforcement staff. In a similar vein, homeowners who have been sold risky loans through deceptive trade practices, or lost their homes to fraudulent foreclosure rescue scams seldom file complaints with

our office regarding these practices. However, mortgage fraud and foreclosure rescue fraud has accounted for the greatest portion of this unit’s work during the past seven fiscal years.

This unit’s experience with the foreclosure crisis provides a case-in-point as to why these artificial measures are poor predictors of workload and why they should not be used to set targets or project workload. We have found that our limited resources are best guided by enforcement priorities that respond to current issues that are affecting a large number of consumers, such as the foreclosure crisis. These current issues can arise quickly, such as the robo-signing scandal, which led to the National Mortgage Settlement. Or they can be revealed by a qualitative analysis of complaints. In any event, we have found that being guided by quantifiable indicators such as consumer complaints or population growth are not accurate indicators of workload or the targets that should be pursued.

For these reasons it is difficult to establish benchmarks for enforcement of these laws. In addition, as a prosecutor it would be inappropriate for the Attorney General to set quotas for the number of cases or investigations commenced. Therefore, the benchmarks set in the reports below are not an indication of actual goals. In some instances no benchmarks are set. Rather strategic objectives will continue to guide these units’ enforcement priorities.

**CORE OBJECTIVES AND PERFORMANCE MEASURES**

**CONSUMER FRAUD**

*Objective: Identify and prevent deceptive trade practices in marketplaces affecting Colorado consumers and businesses*

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Investigate and either sue or settle with individuals or entities that are engaged in deceptive trade practices	70	55	27	55	60	60

*Strategy:*

The strategy of both Units continues to be, as it has in the past, to investigate those companies attracting both the largest number and the most discernable pattern of complaints alleging a deceptive trade practice. The consumer intake unit analyzes complaint volume and patterns and regularly communicates to the attorneys within the unit those businesses attracting the most compelling consumer allegations of deceptive advertising and sales practices. The unit also confers with other law enforcement agencies including the FTC, postal service, FBI, and state district attorney’s offices to determine what investigations merit the resources of this unit. When investigations confirm the allegations of deceptive trade practices the unit proceeds with prosecution alternatives ranging from voluntary changes to business practices to Formal Assurances of Discontinuance to Complaints for restitution, fines, attorney fees and injunctive relief.

This figure represents investigations and cases that were worked on during FY 14 under the Colorado Consumer Protection Act and Charitable Fraud Act. This figure represents the following actions:

- 38 investigations opened
- 8 settlements, assurances of discontinuance or stipulated final judgments reached in cases, regardless of when they were opened

- 9 lawsuits filed

*Performance Evaluation:* The number of investigations opened and lawsuits filed increased from last year while the number of judgments/settlements/assurances was lower. Significant time and resources were spent this past year on collection of judgments reached last year including the Patterson and Dalbey collections. Enforcement of injunctions obtained in prior years was also a priority with much of Libby DeBlasio’s time this past year spent on monitoring and enforcing the Westwood Consent Judgment. In the charitable fraud arena, our default judgment against Adam Shyroch and his breast cancer fraudulent charity was overturned resulting in ongoing prosecution of that matter.

**ANTITRUST**

*Objective: Preserve competition in marketplaces affecting Colorado consumers and businesses by investigating and resolving conduct that is anticompetitive and unreasonably restricts trade in Colorado.*

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Investigate and either sue or settle with individuals or entities that are engaged in anticompetitive conduct such as price fixing, agreeing to restrain trade or entering into mergers that unreasonably restrict competition	10	9	12	8	10	10

*Strategy:*

The antitrust enforcement strategy is to leverage our limited resources by participating in investigations and cases with the Department of Justice, the Federal Trade Commission and other state Attorney General Offices. Anticompetitive practices with the most profound impact on Colorado residents are usually perpetrated by companies operating on a nationwide basis. With just one antitrust lawyer who also splits his time on enforcement of the no-call laws, this unit can leverage our enforcement efforts by coordinating with other state and federal antitrust enforcement agencies. This strategy allows us to take on those practices that have widespread harm within the state and across the nation. This strategy will continue to be used, but we will also make increased efforts where possible to identify and investigate local antitrust issues.

*Performance Evaluation:*

As a result of this strategy we were able to fulfill our goal of providing protecting for Colorado consumers by leveraging limited resources. The e-books lawsuit provides a good example as to the effectiveness of this strategy. In April 2012 Colorado, along with 32 state Attorney General Offices, filed suit against five publishers and Apple for price fixing on best-selling books that are distributed electronically and read by consumers on tablets or other electronic devices. This is a nationwide practice that Colorado could not handle on its own with just one attorney. This strategy has resulted in \$166.0 million in settlements with five publishers, and resulted in a finding that Apple participated in this price-fixing conspiracy and a pending \$400.0 million settlement with Apple.

The figure reported for FY12-13 reports the number of cases investigated, litigated or brought to resolution through settlement or judgment. They include traditional investigations of anticompetitive conduct, such as price fixing and agreements to restrain competition. They also include reviews of mergers that threatened to reduce competition. These activities are broken down as follows:

- The trial and finding entered against Apple in which the court concluded that Apple conspired with eBook publishers to raise the price of eBooks.
- 3 investigations opened to conduct that may be anticompetitive
- 8 settlements reached, including 5 with the publishing companies that conspired with Apple to raise the price of eBooks. These settlements resulted in \$166.0 million to consumers nationwide.

**TOBACCO**

*Objective: Ensure that the Master Settlement Agreement continues to protect consumers and fair competition in the tobacco industry by enforcing the anti-youth marketing and honest advertising requirements contained in this agreement and protecting the payments owed to Colorado under this settlement.*

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Participate in MSA proceedings to ensure that proper payments are made under the settlement to	12	13	12	19	12	12
Diligently enforce the tobacco laws requiring escrow payments by NPMs and certification of compliant brands by all tobacco manufacturers	85	95	85	89	85	85

*Strategy:*

The strategy for tobacco enforcement is to protect Colorado’s settlement payments under the MSA. This is composed of two main functions. The first is to ensure that Colorado’s diligent enforcement efforts are presented in the multistate arbitration that is currently pending with regard to the nonparticipating manufacturer (NPM) adjustment.

The other strategy that this unit employs to protect the MSA payments is to diligently enforce the tobacco escrow and certification laws relating to the MSA. Under these laws, an NPM must make certifications as to its sales in Colorado and pay an escrow equivalent to \$0.029 per cigarette. In addition, all tobacco manufacturers regardless of whether they are NPMs or parties to the MSA must certify the brands that they intend to sell in Colorado. If certified, the brands are published on a certified brands directory. This certification process provides an effective way to ensure compliance with the MSA, and therefore, protect Colorado’s payment under the MSA.

*Performance Evaluation:*

In FY 12-13, Colorado participated in the NPM Arbitration hearings and had its own state-specific arbitration. The arbitration panel determined that Colorado was diligent in the enforcement of its tobacco

escrow laws against the NPMs and that our office had a “culture of compliance”. This positive result is likely to influence the arbitrations in later years. This positive result also means that Colorado’s payment will not be reduced for the 2003 sales year and bodes well for protection of our payment in later years.

The number reported in table #1 represents participation in proceedings that are conducted under the MSA for the processing of payments. This number has held steady for the last two fiscal years. Most of the work, however, is related to the diligent enforcement proceedings.

With regard to certification review, this unit has met our goal of reviewing each escrow certification and certification made for the certified brands directory in a timely manner. The numbers reported in table #2 represent certifications reviewed and other enforcement efforts to ensure NPM compliance. This number has declined because the number of NPMs selling cigarettes in Colorado has declined over time. The certifications are then published to all retailers and provide them with up to date information about brands that may be sold in Colorado. By timely reviewing these certifications and publishing them to retailers, we are able to deter the sale of unauthorized brands in Colorado. This measure has proven to be an effective way to ensure that only compliant brands are sold in Colorado. This control measure in turn protects the MSA payments to Colorado.

**No-Call**

*Objective: Ensure that residential privacy is protected by actively enforcing the Colorado No-Call List Act.*

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Identify telemarketers who are responsible for the most number of violations and take immediate action to bring them into compliance with the No-Call Act.	24	19	15	14	24	24

*Strategy:*

No-call enforcement continues to be a challenge as a result of telemarketers that use calling technologies that conceal their location and telephone numbers. The most common no-call violations are committed by companies that are located in other states and even outside of the country. They telemarket on a nationwide basis. They use auto-dialer devices that do not scrub calling lists against the no-call list. They use calling technologies that conceal the identity of telemarketers and make it difficult to trace the call back to them.

To identify these violators our strategy had been to use traditional investigative techniques to trace these calls back to the telemarketer. This enforcement approach is labor intensive. It requires the issuance of numerous subpoenas to local telecom carriers, Internet service providers and financial institutions to identify the particular telemarketer originating the calls. We have also coordinated information sharing among other state Attorney General Offices and the FTC.

Despite our best efforts, we have been unable to make a meaningful difference in stopping unwanted calls by telemarketers who are located out of state or out of the country and who are using these call-masking techniques. Our office is not alone among law enforcement agencies across the country having this same problem. The FTC held a robo-call summit on October 18, 2012 to discuss this issue. See

<http://www.ftc.gov/opa/2012/10/robocalls.shtm>. Our office attended, along with interested telecom stakeholders. The focus of the summit was to identify alternative ways to identify and stop these violators. The FTC even offered a \$50,000 reward to contestants who submitted creative ideas for stopping these calls. See <http://www.ftc.gov/opa/2012/10/robocalls3.shtm>.

In FY13-14 this office is changing its enforcement strategy. First, we are going to focus on text message complaints. This is a common occurrence and we are working on a system to collect complaints about these violations. We are also participating in a coordinated law enforcement effort to stop cramming of unauthorized charges on wireless bills. Common unauthorized charges generally arise out of free offers for new ring tones or daily horoscope texts. Third, we are going to focus on violators who we can quickly identify. We have adopted a strategy to quickly identify them and then stop their calls. These violators usually operate for a limited time and on a small scale. While these violators account for approximately 10% of our complaints, stopping these telemarketers will at least reduce the number of unwanted calls. Additionally, the unit has taken measures to issue more subpoenas on a regular basis by regularly reviewing the no-call complaint database and issuing subpoenas to both the national and local top complaint-getting numbers on a bi-monthly basis.

Finally, our investigator is working with James Sidanycz to create a legislative “wish list” for the no-call statute to help improve enforcement ability. We are also hoping to bring the no-call complaint database in-house this year.

*Performance Evaluation:*

Unfortunately the strategy discussed above has not resulted in less unwanted calls. This is a problem common to other law enforcement agencies nationwide. This has caused a shift in our enforcement strategy, as discussed above.

**MORTGAGE AND FORECLOSURE RESCUE FRAUD**

*Objective: Preserve and stabilize home ownership in Colorado by helping delinquent borrowers save their homes from foreclosure and preventing exploitation of homeowners in foreclosure.*

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Enforce the mortgage fraud and foreclosure rescue fraud laws in order to protect a borrower from foreclosure or unfair loan terms.	140	138	15	416*	NA	NA

\*The vast majority of this number is the amount of foreclosure-related complaints we escalated to mortgage servicer during FY 13-14. It also accounts for larger investigations into mortgage fraud-related complaints.

*Strategy:*

As discussed in last year’s budget submission this unit is pursuing a new strategy that is focused on alleviating the foreclosure crisis in Colorado. This new strategy is designed to prevent unnecessary foreclosures. The National Mortgage Settlement has put in place the financial incentives, injunctive terms and state-level funding that has allowed us to pursue this new strategy. Therefore, during FY 12-13 through FY14-15 this unit will focus more on running programs to prevent foreclosure and less on enforcement actions against entities violating the mortgage fraud and foreclosure relief laws. As stated in last year’s

budget narrative, this unit will employ three strategies to try to avoid foreclosure and the rescue scams that prey on homeowners trying to save their homes.

1. Actively monitoring the National Mortgage Settlement to ensure that relief is provided to homeowners in need. Our office serves on the monitoring committee which is tasked with working with the settlement monitor to ensure that the major mortgage servicers are following the requirements necessary to evaluate a modification request and provide meaningful relief to struggling homeowners.
2. Participate in negotiations and monitoring of settlements with second-tier services such as Ocwen, SunTrust and NationStar.
3. Implement and fund the settlement programs that are designed to help borrowers avoid foreclosure.
4. Continue to devote one full-time staff to escalate complaints to the major mortgage servicers in FY 13-14. As foreclosures continue to decline and the servicing companies improve their systems for assisting delinquent borrowers an assessment will be made as to the need to continue this position in FY 14-15.

*Performance Evaluation:*

The National Mortgage Settlement and our Colorado-specific programs have helped alleviate the foreclosure crisis and stabilize the housing market during FY12-13. The Colorado Division of Housing reports that foreclosures are at their lowest point since 2007. In addition, Metro Denver area housing prices have recovered to their pre-financial crisis peak set in July 2006.

<http://www.divisionofhousing.com/2013/08/case-shiller-metro-denver-home-price.html#.UkmlNtznauI>.

The National Mortgage Settlement and Colorado-specific programs have helped contribute to these positive results. Below are the results of the National Mortgage Settlement and related programs during FY 12-13:

1. Loan Relief Granted under the National Mortgage Settlement: The settlement has delivered loan relief in the amount of \$425.0 Million to 7,500 homeowners in Colorado. This relief means that there were 7,500 less foreclosures because of the settlement in FY12-13. This nearly doubles the amount of relief that we estimated at the outset of the settlement. Nationwide over \$51.0 Billion in loan relief has been granted to 643,726 borrowers. Again, this represents a significant number of foreclosures avoided nationwide as a result of this settlement.
2. Affordable Housing Gains: The foreclosure crisis has created an expensive rental market as homeowners leave their homes for rental housing. The Division of Housing also reports that vacancy rates are at historical lows and that rental rates are at an all time high. [http://www.divisionofhousing.com/search/label/vacancy%20surveys#.UkdC\\_9znaUk](http://www.divisionofhousing.com/search/label/vacancy%20surveys#.UkdC_9znaUk). As a result of this situation, the Attorney General provided just over \$13.1 million to the Colorado Division of Housing to offer funding that would stimulate the construction of more affordable rental housing. As a result of this funding 900 affordable housing units have been preserved or created in Denver, Loveland and Ft. Collins. An additional \$5.0 Million has been provided to repurpose Ft. Lyon as a residential treatment and housing facility for homeless veterans. As a result of this funding, the Division of Housing has been able to retrofit Ft. Lyon. Residents started to be admitted in September 2013, just in time to provide some help with those displaced by the flooding. It is anticipated that up to 300 homeless veterans will be housed at Ft. Lyon and receive treatment there.
3. Supplemental Loan Programs: The Attorney General established two loan funds with \$24.0 million to provide relief to homeowners who don't qualify for relief under the National Mortgage Settlement. Both of these loan programs got up and running in late 2012 and early 2013. We are still working with the major servicers to get them to recognize these assistance programs. But so far with limited

participation of the servicers these programs have provided assistance to 27 homeowners. We will work with the major servicers to get them onboard with these programs throughout FY 13-14.

4. Housing Counseling Support: The Attorney General committed just over \$5.6 million to increase the availability of housing counseling throughout Colorado. These housing counselors are HUD approved nonprofit counselors who charge nothing for their services and can provide expert advice to homeowners. Four out of five homeowners who contact a counselor are able to save their home or work out an arrangement that avoids a foreclosure. This free service is the best way for struggling homeowners to get assistance. It also provides an alternative to the loan mod and foreclosure relief scams that prey on vulnerable homeowners.

As a result of this funding, 18 housing counseling agencies have been able to expand their services to homeowners across the state. In particular five agencies have received rural expansion funding which has resulted in coverage of areas that had limited service or had previously not been served, including the counties of Archuleta, Garfield, Delta, Montrose, Moffat, Rio Blanco, Crowley, Otero, Bent, Teller, Chaffee, Lake and Gunnison. Overall 182 households have received counseling as a result of this increased funding.

5. Colorado Foreclosure Hotline: The Colorado Foreclosure Hotline is a service that was founded in 2006 in response to the foreclosure crisis. Homeowners who are in need of assistance call the hotline and are connected with a nonprofit, HUD approved housing counselor. Of the additional \$1.1 Million provided to the hotline, \$600,000 is for continued operation of the hotline through FY 14-15. The other \$500,000 is used to increase marketing of the hotline. As a result of this extra funding the hotline undertook two significant marketing initiatives in FY 12-13 and promoted grassroots awareness in areas hit hardest by the foreclosure crisis. This extra funding increased call volume, which translated directly into free help for homeowners struggling with their mortgages.
6. Colorado Legal Services: In FY 12-13 Colorado received the first of three \$500,000 grants to support servicers for homeowners who are in foreclosure or in default on their loans. As a result of this funding CLS has been able to expand legal aid services to homeowners across the state. CLS added lawyers in Denver, Grand Junction, Ft. Collins, Greeley, Pueblo and Colorado Springs to provide free legal services to clients who qualified for representation. In FY 12-13 CLS also launched a statewide tollfree assistance line and provided representation to 318 clients.
7. Attorney General Escalation Program: The \$750,000 retained by the Attorney General's Office has allowed us to hire a full time lawyer to review mortgage fraud complaints and determine which ones to escalate to the servicing companies. In FY 12-13 1,745 complaints have been filed and 626 were escalated to the servicing companies.



## **D) BACKGROUND INFORMATION: CONSUMER CREDIT UNIT**

This Unit enforces seven state laws relating to consumer credit and debt collections. As of July 1, 2014, it has a combined FTE total of 20 consisting of 4 attorney positions and 16 classified staff positions.

### **Consumer Credit:**

The Consumer Credit unit enforces the Colorado Uniform Consumer Credit Code (UCCC) (consumer lending); Uniform Debt Management Services Act (credit counseling and debt settlement); Credit Services Organization Act (credit repair), Rental Purchase Agreement Act (rent-to-own), and Refund Anticipation Loans Act.

**UCCC:** The UCCC protects the rights of consumers who borrow money, establishes reasonable limits on interest rates and fees, fosters fair competition among lenders, and promotes an adequate supply of credit. The UCCC, title 5 of the Colorado Revised Statutes, includes articles establishing the Colorado Consumer Equity Protection Act (restricting certain terms in high-cost loans), Deferred Deposit Loan Act (payday loans), and the Refund Anticipation Loans Act and Rental Purchase Agreement Act, both described below. The unit licenses and examines lenders who make high-rate loans – defined as loans with an annual percentage rate of more than 12%, including deferred deposit or “payday” lenders – and as of January 1, 2010 may examine retailers that extend credit and sales finance companies that collect credit contracts. These retailers must file an annual notification form. There is an advisory board – the Council of Advisors on Consumer Credit. The UCCC contains a licensing exemption for residential first mortgage acquisition and refinance loans.

**Debt Management:** Debt management companies act as an intermediary between an individual and creditors to obtain concessions such as reduction of interest, waiver of fees, etc. The law regulates both traditional credit counseling companies that distribute periodic payments to creditors, and debt settlement companies that attempt to negotiate with creditors to settle a debt for a lesser amount. Companies that enter into contracts with Colorado consumers are subject to strict regulation including registration, bonding, insurance requirements, fee limitations, and contract and disclosure requirements. The unit conducts compliance examinations and investigates complaints.

**Credit Repair and Rent-To-Own:** Companies that engage in credit repair contract with consumers to remove old and inaccurate information from credit reports. The law requires written contracts and disclosures and prohibits advance fees. There are no licensing, registration, or compliance examination requirements. The unit investigates complaints. Complaints typically increase during economic downturns. The Rental Purchase Agreement Act regulates rent-to-own companies who lease goods to consumers with impaired credit. Payments are due weekly or monthly. The consumer may continue to make payments and eventually own the items or stop payments and return the items at any time. Fees and costs are higher than retail sales. There is no licensing or registration. The unit investigates complaints and may conduct compliance examinations.

**Refund Anticipation Loans:** The RAL Act requires companies that facilitate short-term tax refund anticipation loans to make written and oral disclosures, including that the products are loans, the fee schedule, sample loan fees and interest rates, and other tax filing alternatives for quick refunds without incurring fees.

## **Debt Collection:**

**Colorado Fair Debt Collection Practices Act:** This unit enforces the Colorado Fair Debt Collection Practices Act (CFDCPA) – the state’s law on consumer debt collection. The law protects businesses that place accounts for collection and protects consumers contacted by collection agencies. This ensures that all collection agencies act in compliance with the law and there is no unfair competition. The unit licenses collection agencies, investigates complaints of unlawful activity, and takes administrative discipline against collection agencies that violate the law. There is no statutory authority to conduct compliance examinations without cause to believe a violation of the law has occurred. There is an advisory board with members appointed by the governor.

**Colorado Child Support Collection Consumer Protection Act:** This law specifically governs collection of child support by private collection agencies contracting with custodial parents (not governmental agencies). In addition to incorporating most of the CFDCPA’s provisions, including licensing, the law provides additional protections applicable to child support collection.

## **II) PRIOR YEAR LEGISLATION**

There was no legislation in the prior session affecting any of the acts that the Consumer Credit Unit enforces or regulates.

## **III) HOT ISSUES:**

### **Consumer Credit:**

- The economic situation continues to impact the consumer credit area. Compliance examinations and consumer complaints have been focused on delinquency, defaults, repossessions, debt purchasing, debt settlement, and collection practices. The number of licensed lenders is expected to be flat as lenders continue to limit the number of direct loans made to subprime borrowers.
- Since January 1, 2010 when unit staff began to examine creditors and sales finance companies that file notification on a regular basis, compliance examinations have taken more time. This is the first compliance exam for many companies, they appear to be unfamiliar with detailed compliance and the examination process, and records are not in good order resulting in slower examinations. Refunds related to

these examinations may have decreased as a result of the educational outreach and more familiarity with applicable rates and fee limitations.

- Enforcement of the Debt Management Services Act continues to take substantial resources. The law is detailed and compliance examinations reveal compliance problems. More importantly, many companies ignore the law or test its exemptions. More than half of the unit's pending litigation involves DMSA cases.
- Significant unit resources have been focused on legal action and investigations involving unlicensed internet payday lenders and claims that loans are made by arms of Native American Indian tribes asserting tribal sovereign immunity.
- The federal Consumer Financial Protection Bureau has been in operation since June 21, 2011 and has federal supervision and regulatory authority to enforce federal law over many of the same non-depository financial industries that the unit regulates – specifically payday loans, private student loans, and some mortgage loans. It will also have supervisory authority over larger market participants in other credit areas and enforcement authority over all consumer credit products. The unit has been involved in joint investigations and enforcement efforts with the Bureau related to various types of consumer credit including student loans, online lending, debt collection etc. The unit is also part of several working groups with the CFPB dealing with policy and regulatory issues.

### **Debt Collection:**

- The positive turn in the economy has resulted in slightly fewer number of new license applications and due to a number of collection agencies surrendering their licenses due to mergers or acquisitions or cessation from business, there is a small decrease in total licensees. Tougher federal regulations on the sale of defaulted credit card debts and more state enforcement actions against debt purchasers may have attributed to the decline in the number of collection agencies keeping their existing licenses or applying for new licenses. However, the negative economic environment which preceded fiscal year 2014 appears to not have diminished debt collection activity as shown by a 15% increase in the number of consumer complaints.
- The unit continues to monitor and investigate the nationwide problems with the collection of old debt, e.g. collecting on cases beyond the statute of limitations and inadequate proof of debt problems.
- The unit is currently involved in investigations of collection agencies that purchase and collect large portfolios of defaulted consumer debt from banks or credit card companies. The issues in these cases is the use of “robo-signed” affidavits to validate the debt and attempt to collect on the debt from the consumer and/or for filing in default judgments against consumers.

- The CFPB issued an Advanced Notice of Proposed Rulemaking requesting comments on proposed rules regarding debt collection practices and the debt collection system. The unit anticipates analyzing impact of such rules on the industry and the administration of the Colorado FDCPA.

#### **IV) WORKLOAD MEASURE:**

#### **WORKLOAD INDICATORS**

<b>Consumer Credit</b>						
Workload Measure	Unit	FY12 Actual	FY13 Actual	FY 14 Actual	FY 15 Estimate	FY16
New Licenses Issued (UCCC)	Number	140	95	92	110	120
License Total on June 30 (UCCC)	Number	745	735	742	750	775
Notifications Filed (Credit Sales, Sales Finance, Rent-to-Own)	Number	1433	1379	1435	1450	1500
New Registrations Issued (Debt Management)	Number	4	4	2	5	5
Registration Total on June 30 (Debt Management)	Number	49	48	45	50	50
Written Complaints Received (UCCC, CSOA, CROA)	Number	473	378	413	500	500
Written Complaints Received (Debt Management)	Number	31	40	25	50	60
Compliance Examinations (UCCC)	Number	386	296	371	400	400

<b>Debt Collection</b>						
Workload Measure	Unit	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY16
New Licenses Issued	Number	122	126	94	105	105

License Total on June 30	Number	786	805	789	800	825
Written Complaints Received	Number	964	1035	1224	1100	1100

## **CORE OBJECTIVES AND PERFORMANCE MEASURES**

### **CONSUMER CREDIT**

*Objective:* Ensure compliance with consumer credit laws.

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Open investigations and file, defend, or settle cases	130	131	126	112	130	130

*Strategy:* Enforcement actions and investigations come from consumer complaints, information from competitors, from staff detection of violations during compliance examinations, and publicly available advertising and information on the Internet. These actions are very important in the debt management area as it is a relatively new law. In addition, in the consumer lending and credit area, consumers often are unaware of whether particular fees are legal or if loan payments have been correctly applied.

*Evaluation of Prior Year Performance:* Cases and investigations have decreased only slightly largely due to the substantial time required on a few large court cases that have required significant attorney and staff time and resources.

*Key Workload Indicators:* Because most cases and investigations arise from consumer complaints, compliance examinations, and searching the Internet, it is difficult to predict these numbers. In addition, changes in the debt management and payday lending laws will, at best, take some time for the industry to reach compliance. There will be some companies that do not comply with statutory changes or never intend to, such as certain online companies. Finally, the relatively new compliance examination programs in many of the consumer credit areas will result in some legal cases and challenges. These numbers cannot be predicted.

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Require consumer refunds	\$1,500,000	\$5,287,437	\$1,170,574	833,051	\$1,500,000	\$1,500,000

*Strategy:* Refunds result from overcharges and illegal charges discovered from compliance examinations, consumer complaints, searching the Internet, and from litigation. Refunds may include credits to existing balances on open accounts.

*Evaluation of Prior Year Performance:* Consumer refund total amounts were consistent with previous years prior to the institution of the exam authority of retail sales finance. Additionally, the examinations are resulting in more compliance with the statutes; as a result refunds have decreased.

*Key Workload Indicators:* Refund totals are dependent on industry compliance with state law. It generally takes several years for compliance to improve after new laws are adopted or an industry is first subject to regulation or examination.

*Objective:* Ensure efficient operations to benefit creditors and consumers.

### **Uniform Consumer Credit Code, Credit Repair, Rent-to-Own**

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Investigate and resolve complaints within 60 days or less	60	41	40	39	60	60
Investigate and resolve 80% of complaints received during FY	80%	78%	78%	72%	80%	80%

### **Debt Management**

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Investigate and resolve complaints within 60 days or less	60	16	27	43	60	60
Investigate and resolve 80% of complaints received during FY	80%	45%	57%	92%	80%	80%

*Strategy:* The Unit’s goal was to resolve 80% of consumer complaints received in the fiscal year within an average of 60 days or less. The complaint resolution process includes complaint intake to determine jurisdiction, locating the business, obtaining a response and often additional information, business requests for extensions of time, and staff time to investigate and determine what action to take.

*Evaluation of Prior Year Performance:* Debt management closure time increased as well as the percent of closed complaints. The unit’s staff has done an excellent job in handling the increase in complaints in addition to the significant time spent on the examination process of registrants especially in light of the amount of litigation the unit is involved in this area. UCCC has reduced the number of days needed to close a complaint. However, the percentage of complaints closed within the fiscal year has increased due to the number of complaints related to current investigations of unlicensed lenders.

*Key Workload Indicators:* Completion time depends on the number and complexity of consumer complaints, whether the business complies with state law, and other workload demands. Complaint numbers usually increase during economic downturns with increased delinquencies, defaults, repossessions, foreclosures, and offers to reduce debt or remove bad credit. In addition, complaints against unlicensed lenders, particularly Internet payday lenders, often increase. These lenders generally refuse to comply with state law, do not respond, and challenge our jurisdiction, requiring legal action. This substantially delays complaint resolution.

**TITLE – DEBT COLLECTION**

*Objective:* Ensure compliance with laws regulating collection agencies.

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Open investigations and file, defend, or settle cases	70	81	73	67	70	70

*Strategy:* Enforcement actions and investigations come from consumer or collection agency client complaints, information from competitors, and from staff detection of violations in license renewal applications. Because the statute does not provide for periodic compliance examinations, complaints are the primary method to ensure compliance.

*Evaluation of Prior Year Performance:* The number of enforcement actions during FY 14 slightly decreased; however, it is not an indication of a future downward trend as it appears due to the time spent on larger and more complex investigations and cases, and the sheer increase in complaints having to be analyzed and processed. Despite the slight decrease in actions, those actions resulted in total fines of \$207,145.00.

*Key Workload Indicators:* The unit is and will to continue to be involved in the investigations of collection agencies that purchase and collect large portfolios of defaulted consumer debts from banks or credit card companies that are relying on robo-signed

affidavits as proof of debt. The scope of these investigations may expand as other debt purchaser engaged in similar debt collection practices are brought to light and will involve utilization of more of the investigator’s time and unit’s resources as they progress to filing of cases.

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Issue cease and desist notices to unlicensed collection agencies	70	71	87	111	100	100

*Strategy:* Cease and desist advisory notices are issued based on information provided by consumers, competitors, and review of lawsuits filed in Colorado courts. Unlicensed collection agencies are generally offered an opportunity to become licensed if they cease collections and pay a penalty for prior unlicensed collections.

*Evaluation of Prior Year Performance:* This number is generally consistent with past years.

*Key Workload Indicators:* These numbers are typically consistent over the years. Colorado’s licensing requirements are clear and readily accessible to collection agencies.

*Objective:* Ensure efficient operations to benefit collection agencies and protection of consumers.

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Investigate and resolve complaints within 60 days or less	60	44	56	59	60	60
Investigate and resolve 80% of complaints received during FY	80%	80%	91%	76%	80%	80%

*Strategy:* The Unit’s goal is to resolve consumer complaints within an average of 60 days or less and resolve 80% of written complaints received during a fiscal year. These goals are based on receipt of 1,000 or fewer written complaints. The complaint resolution process includes complaint intake to determine jurisdiction, locating the collection agency, obtaining a response and often additional information, collection agency requests for extensions of time, and staff time to investigate and determine what action to take.

*Evaluation of Prior Year Performance:* Despite the decrease in percentage of complaints resolved within the fiscal year, the Unit's performance was good. The large increase in number of complaints the Unit had to process and resolve was beyond the Unit's goal measure of receiving 1000 or fewer complaints, as the Unit received 1224 complaints during the fiscal year. Additionally, more staff resources were used on larger and complex investigations and examinations.

*Key Workload Indicators:* Resolution time depends on the number and complexity of consumer complaints, whether complaints reveal violations of the law, whether violations can be resolved informally or require administrative or legal action, and whether staff time must be devoted to other activities such as litigation.



## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**CONSUMER PROTECTION & ANTI-TRUST**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>CONS. PROTECT. &amp; ANTI-TRUST</b>	2,457,316	23.6	2,790,886	23.8	2,328,660	26.0	2,750,155	26.2	2,646,731	27.2
General Fund	1,082,843		1,386,260		1,106,670		1,290,274		1,208,089	
General Fund Exempt	-		-		-		-		-	
Cash Fund	1,107,469		1,100,990		961,411		1,165,523		1,152,316	
Reappropriated Funds	267,004		303,637		260,579		294,358		286,326	
Federal Funds										



**SCHEDULE 3 - PERSONAL SERVICES PROGRAM DETAIL**

**Department of Law**

**CONSUMER PROTECTION & ANTI-TRUST**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
Deputy Attorney General	124,728	1.0	143,436	1.0			146,328	1.0	146,328	1.0
First Assistant Attorney General	209,925	2.0	241,833	1.9			253,680	2.0	253,680	2.0
Senior Assistant Attorney General	67,863	0.7	123,826	1.2			101,004	1.0	101,004	1.0
Assistant Attorney General	460,145	6.0	585,094	6.7			681,588	7.4	681,588	7.4
Compl Investigator I	55,597	1.0	58,320	1.0			60,132	1.0	60,132	1.0
Criminal Investigator II	64,800	1.0	215,941	3.0			224,760	3.0	224,760	3.0
Criminal Investigator I										
Compl Investigator II	182,154	2.6	67,488	1.0			70,416	1.0	70,416	1.0
Legal Assistant II	204,965	3.5	191,217	3.0			244,036	3.8	244,036	3.8
Admin Asst II	71,370	1.8	78,825	1.9			84,456	2.0	84,456	2.0
General Professional IV	96,132	1.3					-			
General Professional V			76,772	1.1			80,148	1.0	80,148	1.0
General Professional III	43,386	0.7								
General Professional II							46,740	1.0	46,740	1.0
Program Assistant II	52,176	1.0	53,808	1.0			55,596	1.0	55,596	1.0
Program Assistant I	49,176	1.0	50,160	1.0			51,828	1.0	51,828	1.0
<b>TOTAL POSITION DETAIL</b>	<b>1,682,417</b>	<b>23.6</b>	<b>1,886,719</b>	<b>23.8</b>			<b>2,100,712</b>	<b>26.2</b>	<b>2,100,712</b>	<b>26.2</b>

**SCHEDULE 3 - PROGRAM DETAIL**

Department of Law		CONSUMER PROTECTION & ANTI-TRUST								
		Actual FY 13		Actual FY 14		Estimate FY 15		Estimate FY 15		Request FY 16
Item	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>(I.A.) CONTINUATION FTE SALARY COST</b>	1,682,417	23.6	1,886,719	23.8			2,100,712	26.2	2,100,712	26.2
(Permanent FTE by position) Continuation Salary Subtotal										
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	168,402		193,565				213,222		213,222	
Medicare on Continuation Subtotal	23,658		27,670				30,460		30,460	
Non-Base building Performance Awards							-		-	
Part-Time/Temporary Salaries	16,929		90,321				-		-	
Contractual Services	24,069		2,892				2,500		2,500	
Overtime Pay	2,143		-							
Sick Leave Conversion										
Termination/Retirement Payouts	10,434		1,119							
Employment Security Payments	1,398		-							
Furlough Days	0		-							
Other Employee Benefits	5744		5,172				5,200		5,200	
Vacancy Savings									-	
<b>SUBTOTAL</b>	252,777		320,739				251,383		251,383	
<b>(I.C.) PERSONAL SERVICE SUBTOTAL= A+B</b>	1,935,194	23.6	2,207,459	23.8			2,352,095	26.2	2,352,095	26.2
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life/Dental	161,313		169,219				175,318			
Salary Survey Non Add			222,995				-			
Merit Pay Non Add			14,227							
One Time Performance Awards			709							
Short Term Disability	2,900		3,568				4,622			
SB 04.257 A.E.D.	51,133		68,386				84,028			
SB 06.235 S.A.E.D.	43,988		61,645				78,777			
Other [ ] Indicates a Non-add										
<b>(I.E.) BASE PERSONAL SERVICES TOTAL = C+D</b>	2,194,528	23.6	2,510,985	23.8			2,694,840	26.2	2,352,095	26.2
General Fund							1,259,386		1,118,666	
General Funds Exempt										
Cash Funds							1,152,901		978,273	
Reappropriated Funds							282,553		255,156	
<b>(I.F.) DIFFERENCE= II-I.E.</b>							-		-	
<b>(I.G.) Decision Item: #3 Tobacco Litigation Legal Assistant</b>										
General Fund										
Cash Funds										
Reappropriated Funds										

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**CONSUMER PROTECTION & ANTI-TRUST**

Item	Actual FY 13		Actual FY 14		Estimate FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>II. PERSONAL SERVICES REQUEST TOTAL</b>	<b>2,194,528</b>	<b>23.6</b>	<b>2,510,985</b>	<b>23.8</b>			<b>2,694,840</b>	<b>26.2</b>	<b>2,352,095</b>	<b>26.2</b>
General Fund	965,592		1,255,492				1,259,386		1,118,666	
General Fund Exempt	-		-				-			
Cash Funds	1,003,372		971,476				1,152,901		978,273	
Reappropriated Funds	225,564		284,016				282,553		255,156	
Federal Funds	-		-				-		-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**CONSUMER PROTECTION & ANTI-TRUST**

Item	Actual FY 13		Actual FY 14		Estimate FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 1930 - Purchased Services - Litigation	51,944		80,124				-		-	
2170 Waste Disposal Services	511		-				-		-	
2230 Equipment Contract Maintenance	78		113				48		48	
2231 ADP Equip Maint/Repair Services	2,548		3,414				-		-	
2232 Software Upgrades	3,407		2,519				-		-	
2251 Rental/Lease Motor Pool Veh	4,774		3,540				-		-	
2252 Leased Vehicle - Variable	1,084		1,842				2,047		2,047	
2253 Rental of Equipment	-		-						-	
2254 Rental of Motor Vehicles	-		-						-	
2255 Rental of Building	64,148		-						-	
2258 Parking	2,640		2,430				2,640		2,640	
2259 Parking Fee Reimbursement	70		20						-	
2268 Rental of IT Software Network			953							
2510 In State Travel	175		-				50		50	
2511 IS Common Carrier Fares	-		-						-	
2512 IS Personal Travel Per Diem	268		-				100		100	
2513 IS Pers Vehicle Reimbursement	328		70				-		-	
2514 IS State Owned Aircraft	-		-						-	
2515 State-Owned Vehicle Charge	-		-						-	
2520 IS Travel Non Employee	149		-						-	
2522 IS Non Employee Per Diem	-		-						-	
2530 Out of State Travel	2,538		559				650			
2531 OS Common Carrier Fares	9,868		809				1,200			
2532 OS Personal Travel Per Diem	20,652		5,036				2,125			
2533 OS Pers Vehicle Reimbursement	-		-						-	
2610 Advertising	-		-						-	
2611 Public Relations	-		-						-	
2630 Comm Service Div of Telecom	14,547		1,507				1,645		1,645	
2631 Comm Svcs from Outside Sources	2,659		7,205				7,450		7,450	
2640 GGCC Billings-Purchased Serv			274							
2641 Other ADP Billing	8,560		11,837				-		-	
2650 OIT Purchased Svs	-		-						-	
2660 Insurance	3,691		4,198				-		-	
2680 Contract Printing	14,106		9,598				9,652		9,652	
2681 Photocopy Reimbursement	-		-						-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**CONSUMER PROTECTION & ANTI-TRUST**

Item	Actual FY 13		Actual FY 14		Estimate FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2710 Purchased Medical Services	-		-						-	
2810 Freight & Storage	-		-						-	
2820 Other Purchased Services	-		-				-		-	
2830 Office Moving-Pur Services	-		-						-	
2831 Storage Purchased Svs	31		-						-	
3110 Other Supplies and Materials	-		46						-	
3112 Automotive Supplies	-		-						-	
3113 Clothing and Uniform Allowance	-		-						-	
3114 Custodial	-		-						-	
3115 DP Supplies	109		-				-		-	
3116 Purchased/Leased Software	2,053		8,478				-		-	
3117 Educational	-		21				-		-	
3118 Food and Food Service Supplies	-		-						-	
3120 Books & Subscriptions	14,555		15,721				8,500		3,584	
3121 Office Supplies	8,988		12,785				8,589		8,589	
3123 Postage	5,998		5,667				5,969		5,969	
3124 Printing/Copy Supplies	322		-						-	
3126 Repair & Maintenance Supplies	80		-						-	
3128 Non-Capitalized Equipment	(9)		815						-	
3131 Noncapitalized Bldg Materials	-		286						-	
3132 Non- Cap Office Furn-Off Systems	-		208						-	
3140 Non-Capitlized IT - PC's	4,683		6,353						-	
3141 Non-Capitalized IT Servers	-		147						-	
3142 Non-Capitalized IT Network	3,465		91						-	
3143 Non-Capitalized IT Other	2,495		1,816						-	
3146 Non-Capital. IT Purchsd. Server Software	-		-						-	
3940 Electricity	-		-						-	
3950 Gasoline	-		-						-	
3970 Natural Gas	-		-						-	
4111 Prizes and Awards	-		-						-	
4140 Dues & Memberships	(225)		324						-	
4151 Interest - Late Payments	-		-						-	
4170 Miscellaneous Fees	-		-						-	
4180 Official Functions	80		854				450		450	
4220 Registration Fees	3,334		325				4,200		4,200	
4221 Other Educational - W2 RPT	-		-						-	
6140 Leasehold Improv - Direct Purch	-		-						-	
6212 IT Servers Direct Purchase	277		1,323						-	
6216 IT Servers SW Direct Purchase	1,401		-						-	
EBJJ Law to Judicial	6,404		88,594						-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**CONSUMER PROTECTION & ANTI-TRUST**

Item	Actual FY 13		Actual FY 14		Estimate FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Operating Expense Subtotal:</b>	262,788		279,902				55,315		46,424	
<b>OPERATING EXPENSE SUBTOTAL:</b>	262,788		279,902				55,315		46,424	
General Fund	117,251		130,767				30,888		24,876	
General Fund Exempt	-		-				-		0	
Cash Funds	104,097		129,514				12,622		9,743	
Reappropriated Funds	41,440		19,621				11,805		11,805	
<b>Potted Operating Expenses</b>										
Workers' Compensation							-			
Vehicle Leased Expense							-			
Capital Complex Lease Space							-			
Leased Space							-			
IT Asset Maintenance							-			
Communication Service Payments							-			
ADP Capital Outlay							-			
CLE Registration Fees							-			
Building Security							-			
<b>Total</b>							-			
General Fund							-			
Cash Funds							-			
Reappropriated Funds							-			
<b>Rollforwards</b>										
Reappropriated Funds										
<b>DECISION ITEM REQUESTS:</b>										
<b>Dec Item - Tobacco Litigation Legal Assistant</b>									<b>80,389</b>	1.0
General Fund										
Cash Funds									80,389	1.0
Reappropriated Funds										
<b>Dec Item - CP and Antitrust Operating and Litigation</b>									<b>167,823</b>	
General Fund									64,547	
Cash Funds									83,911	
Reappropriated Funds									19,365	
General Fund									-	
Cash Funds									-	
Reappropriated Funds										

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**CONSUMER PROTECTION & ANTI-TRUST**

Item	Actual FY 13		Actual FY 14		Estimate FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>TOTAL CONSUMER PROTECTION</b>	<b>2,457,316</b>	<b>23.6</b>	<b>2,790,886</b>	<b>23.8</b>			<b>2,750,155</b>	<b>26.2</b>	<b>2,646,731</b>	<b>27.2</b>
General Fund	1,082,843		1,386,260				1,290,274		1,208,089	
General Fund Exempt	-		-						-	
Cash Funds	1,107,469		1,100,990				1,165,523		1,152,316	
Reappropriated Funds	267,004		303,637				294,358		286,326	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	2,167,295	26.0	2,046,520	25.0	2,328,660	26.0	2,328,660	26.0	2,328,660	26.2
FY 16 Decision Item:									-	0.0
Annualization of FY 15 Decision Item					0		-		(1,230)	
Pay date Shift bill for biweekly employees	2,919								80,389	1.0
DI#3 Tobacco Litigation Legal Assistant									167,823	
DI #5 Cpanel Antitrust Operating and Litigation										
Supplemental	-		-							
SB09-192										
Allocated POTS:										
Salary Survey Classified			31,602				23,418		23,418	
Salary Survey NonClassified			191,393				27,381		27,381	
Performance Pay Classified			11,249				7,150		7,150	
Performance Pay NonClassified			2,978				13,140		13,140	
Health/Life/Dental	119,868		159,224				175,006			
Short Term Disability	2,795		4,223				6,260			
SB 04.257 A.E.D.	57,886		69,624				90,244			
SB 06.235 S.A.E.D.	49,606		66,606				78,896			
Worker's Compensation	3,710		4,087							
Vehicle Lease Payments			4,439				-			
Capital Complex Lease Space/CARR	64,172		245,023				-			
Lease Space	-		-				-			
ADP Capital Outlay	-		-				-			
Communication Service Payments	1,647		1,394				-			
IT Asset Maintenance	13,275		13,275				-			
CLE Registration Fees	4,125		4,125				-			
Building Security	7,115		-				-			
Year-End Transfer										
Rollforward from previous FY							-			
Rollforward to subsequent FY										
Overexpenditure/(Reversion) - GF										
Lapsed Appropriation Reappropriated Fund			(1,740)							
Lapsed Appropriation Cash Fund	(37,097)	(2.4)	(63,136)	(1.2)						
<b>TOTAL RECONCILIATION</b>	<b>2,457,316</b>	<b>23.6</b>	<b>2,790,886</b>	<b>23.8</b>			<b>2,750,155</b>	<b>26.0</b>	<b>2,646,731</b>	<b>27.2</b>

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**CONSUMER PROTECTION & ANTI-TRUST**

Item	Actual FY 13		Actual FY 14		Estimate FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
<b>TOTALS</b>	<b>2,457,316</b>	<b>23.6</b>	<b>2,790,886</b>	<b>23.8</b>	<b>2,328,660</b>	<b>26.0</b>	<b>2,750,155</b>	<b>26.2</b>	<b>2,646,731</b>	<b>27.2</b>
General Fund	1,082,843		1,386,260		1,106,670		1,290,274		1,208,089	
General Fund Exempt	-		-				-		-	
Cash Funds	1,107,469		1,100,990		961,411		1,165,523		1,152,316	
Reappropriated Funds	267,004		303,637		260,579		294,358		286,326	

## SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law		CONSUMER PROTECTION & ANTI-TRUST				
Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>		2,457,316	2,790,886	2,328,660	2,750,155	2,646,731
General Fund		1,082,843	1,386,260	1,106,670	1,290,274	1,208,089
General Fund Exempt		-	-	-	-	-
Cash Funds		1,107,469	1,100,990	961,411	1,165,523	1,152,316
Reappropriated Funds		267,004	303,637	260,579	294,358	286,326
Federal Funds				-	-	-
<b>Cash Funds</b>		1,107,469	1,100,990	961,411	1,165,523	1,152,316
Dept of Local Affairs (Manufactured Home Fund)		20,668	20,668	-	-	-
No Call Fund Source		25,719	16,271	25,719	25,719	12,000
146 Custodial Fund		839,401	932,993	773,832	978,208	896,518
Tobacco Litigation Defense Account		139,026	131,058	161,860	176,596	243,798
UCCC Custodial		82,655	-			-
<b>Reappropriated Funds</b>		267,004	303,637	260,579	294,358	286,326
Custodial Fund Balance		-	-	-	-	-
Tobacco Litigation Defense Account		-	-	-	-	-
UCCC Custodial		-	-	-	-	-
Division of Real Estate		267,004	303,637	260,579	294,358	286,326



## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**Consumer Credit Unit**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>CONS. PROTECT. &amp; ANTI-TRUST</b>	1,836,680	19.2	2,068,463	19.8	1,616,183	20.0	1,926,638	20.0	1,674,691	20.0
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Fund	1,836,680		2,068,463		1,616,183		1,926,638		1,674,691	
Reappropriated Funds	-		-		-		-		-	
Federal Funds										



### SCHEDULE 3 - PERSONAL SERVICES PROGRAM DETAIL

Department of Law

Consumer Credit Unit

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
First Assistant Attorney General	87,665	0.8	123,420	1.0			126,060	1.0	126,060	1.0
Senior Assistant Attorney General	164,045	2.0	185,338	1.9			97,272	1.0	97,272	1.0
Assistant Attorney General	53,961	0.7	95,224	1.1			186,564	2.0	186,564	2.0
Financial Credit Examiner IV	89,640	1.0	93,348	1.0			96,252	1.0	96,252	1.0
Financial Credit Examiner III	109,771	1.4	153,288	2.0			158,412	2.0	158,412	2.0
Financial Credit Examiner II	257,414	4.1	187,428	3.0			194,136	3.0	194,136	3.0
Financial Credit Examiner I	60,170	1.1	110,820	2.0			114,504	2.0	114,504	2.0
Compl Investigator I	109,188	2.0	113,148	2.0			116,676	2.0	116,676	2.0
Legal Assistant II	49,044	1.0	98,037	1.6			131,016	2.0	131,016	2.0
Legal Assistant I	34,261	0.7	15,204	0.3						
Admin Asst II	57,790	1.5	47,659	1.2			41,856	1.0	41,856	1.0
Program Assistant I	150,612	3.0	140,625	2.8			154,428	3.0	154,428	3.0
<b>TOTAL POSITION DETAIL</b>	1,223,561	19.2	1,363,539	19.8			1,417,176	20.0	1,417,176	20.0

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**Consumer Credit Unit**

Item	Actual FY 13		Actual FY 14		Estimate FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>(I.A.) CONTINUATION FTE SALARY COST</b>	1,223,561	19.2	1,363,539	19.8			1,417,176	20.0	1,417,176	20.0
(Permanent FTE by position)										
Continuation Salary Subtotal										
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	120,973		137,179				143,843		143,843	
Medicare on Continuation Subtotal	16,116		19,631				20,549		20,549	
Non-Base building Performance Awards			1,701				342		-	
Part-Time/Temporary Salaries	0		24,581				-		-	
Contractual Services	17,182		2,666				29,154			
Overtime Pay	-		6							
Board Member Compensation	-		400							
Sick Leave Conversion										
Termination/Retirement Payouts	64,848		13,493							
Employment Security Payments	0		11,781							
Furlough Days	0		-							
Other Employee Benefits	3359.5		3,632				3,500		3,500	
Other										
Special Bills										
<b>SUBTOTAL</b>	222,480		215,069				197,388		167,892	
<b>(I.C.) PERSONAL SERVICE</b>										
<b>SUBTOTAL= A+B</b>	1,446,040	19.2	1,578,608	19.8			1,614,564	20.0	1,585,068	20.0
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life/Dental	135,201		142,806				142,806			
Salary Survey Non Add			91,069				-			
Merit Pay Non Add			14,862				-			
Short Term Disability	2,092		2,591				3,118			
SB 04.257 A.E.D.	38,118		48,642				56,687			
SB 06.235 S.A.E.D.	32,749		43,908				53,144			
Other										
[ ] Indicates a Non-add										
<b>(I.E.) BASE PERSONAL SERVICES</b>	<b>1,654,201</b>	<b>19.2</b>	<b>1,816,555</b>	<b>19.8</b>			<b>1,817,175</b>	<b>20.0</b>	<b>1,585,068</b>	<b>20.0</b>
<b>TOTAL = C+D</b>										
General Fund							-		-	
General Funds Exempt										
Cash Funds							1,817,175		1,585,068	
Reappropriated Funds							-		-	
<b>(I.F.) DIFFERENCE= II-I.E.</b>							-		-	
<b>(I.G.) REQUEST YEAR DECISION ITEMS</b>										
General Fund										

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**Consumer Credit Unit**

Item	Actual FY 13		Actual FY 14		Estimate FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Cash Funds										
Reappropriated Funds										
<b>II. PERSONAL SERVICES REQUEST TOTAL</b>	<b>1,654,201</b>	<b>19.2</b>	<b>1,816,555</b>	<b>19.8</b>			<b>1,817,175</b>	<b>20.0</b>	<b>1,585,068</b>	<b>20.0</b>
General Fund	-		-				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	1,654,201		1,816,555				1,817,175		1,585,068	
Reappropriated Funds	-		-				-		-	
Federal Funds	-		-				-		-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**Consumer Credit Unit**

Item	Actual FY 13		Actual FY 14		Estimate FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 Purchased Services - Litigation	23,337		18,881				28,915		9,075	
2170 Waste Disposal Services	215		456				-		-	
2230 Equipment Contract Maintenance	169		183				83		83	
2231 ADP Equip Maint/Repair Services	3,521		2,895				-		-	
2232 Software Upgrades	7,395		5,468				-		-	
2240 Motor Vehicle Repair/Maintenance	-		165				-		-	
2251 Rental/Lease Motor Pool Veh	10,201		7,690							
2252 Leased Vehicle - Variable	7,023		8,806				10,250		10,250	
2253 Rental of Equipment	-		-				-		-	
2254 Rental of Motor Vehicles	50		31				518		518	
2255 Rental of Building	57,625		2,916				-		-	
2258 Parking	-		-				-		-	
2259 Parking Fee Reimbursement	13		15				-		-	
2268 Rental of IT Software - Network			2,068							
2510 In State Travel	10		-				-		-	
2511 IS Common Carrier Fares	-		-				-		-	
2512 IS Personal Travel Per Diem	1,287		964				2,512		2,512	
2513 IS Pers Vehicle Reimbursement	1,461		486				1,130		1,130	
2514 IS State Owned Aircraft	-		-				-		-	
2515 State-Owned Vehicle Charge	-		-				-		-	
2520 IS Travel Non Employee	-		36				85		85	
2522 IS Non Employee Per Diem	-		27				45		45	
2523 IS Non Employee Personal Vehicle Reimb	-		367				420		420	
2530 Out of State Travel	535		293				2,856		2,856	
2531 OS Common Carrier Fares	2,416		1,099				6,700		6,700	
2532 OS Personal Travel Per Diem	1,645		273				9,450		9,450	
2533 OS Pers Vehicle Reimbursement	-		-				-		-	
2610 Advertising	-		-				-		-	
2611 Public Relations	-		-				-		-	
2630 Comm Service Div of Telecom	5,517		30				11,520		11,520	
2631 Comm Svcs from Outside Sources	795		843				1,984		1,984	
2641 Other ADP Billing	1,198		2,317				5,474		5,474	
2650 OIT Purchased Services	-		-				-		-	
2660 Insurance	3,164		3,359				-		-	
2680 Contract Printing	10,684		2,273				7,854		7,854	
2681 Photocopy Reimbursement	-		-				-		-	
2710 Purchased Medical Services	-		-				-		-	
2810 Freight & Storage	-		-				-		-	
2820 Other Purchased Services	10		6,012				25		25	
2830 Office Moving-Pur Services	-		-				-		-	
2831 Storage Purch Svcs	67		-				-		-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**Consumer Credit Unit**

Item	Actual FY 13		Actual FY 14		Estimate FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
3110 Other Supplies and Materials	-		30				-		-	
3112 Automotive Supplies	-		-				-		-	
3113 Clothing and Uniform Allowance	-		-				-		-	
3114 Custodial	-		-				-		-	
3115 DP Supplies	74		-				450		450	
3116 Purchased/Leased Software	441		10,801				115		115	
3117 Educational	-		45				-		-	
3118 Food and Food Service Supplies	-		-				-		-	
3120 Books & Subscriptions	7,083		5,694				2,050		2,050	
3121 Office Supplies	4,867		6,468				4,020		4,020	
3123 Postage	6,571		7,779				7,582		7,582	
3124 Printing/Copy Supplies	404		278							
3126 Repair and Maintenance Supplies			174							
3128 Non-Capitalized Equipment	2,015		514				-		-	
3131 Noncapitalized Bldg Materials	-		42				-		-	
3132 Non- Cap Office Furn-Off Systems	-		450				-		-	
3140 Non-Capitlized IT - PC's	3,421		2,498				-		-	
3141 Non-Capitalized IT Servers	-		319				-		-	
3142 Non-Capitalized IT Network	59		198				-		-	
3143 Non-Capitalized IT Other	1,622		5,868				-		-	
3146 Non-Capital. IT Purchsd. Server Software	-		-				-		-	
3940 Electricity	-		-				-		-	
3950 Gasoline	-		-				-		-	
3970 Natural Gas	-		-				-		-	
4111 Prizes and Awards	-		-				-		-	
4140 Dues & Memberships	847		3,368				4,500		4,500	
4151 Interest - Late Payments	-		-				-		-	
4170 Miscellaneous Fees	-		-				-		-	
4180 Official Functions	356		283				700		700	
4220 Registration Fees	422		1,400				225		225	
4221 Other Educational - W2 RPT	-		-				-		-	
6140 Leasehold Improv - Direct Purch	-		-				-		-	
6210 ADP Equipment	-		-				-		-	
6212 IT Servers Direct Purchase	601		2,871				-		-	
6214 IT Other- Direct Purchase	12,164		-				-		-	
6216 IT Server SW Direct Purchase	904		-				-		-	
ABJE Law to Judicial	2,287		134,875							
Forced Savings										

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

Department of Law

Consumer Credit Unit

Item	Actual FY 13		Actual FY 14		Estimate FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Operating Expense Subtotal:</b>	182,479		251,909				109,463		89,622	
<b>OPERATING EXPENSE SUBTOTAL:</b>	182,479		251,909				109,463		89,622	
General Fund							0		0	
General Fund Exempt	-		-				-		0	
Cash Funds	182,479		251,909				109,463		89,622	
Reappropriated Funds							0		0	
<b>Potted Operating Expenses</b>										
Workers' Compensation							-			
Vehicle Leased Expense							-			
Capital Complex Lease Space							-			
Leased Space							-			
IT Asset Maintenance							-			
Communication Service Payments							-			
ALJ							-			
CLE Registration Fees							-			
Building Security							-			
<b>Total</b>							-			
General Fund							-			
Cash Funds							-			
Reappropriated Funds							-			
<b>DECISION ITEM REQUESTS</b>										
General Fund									-	
Cash Funds									-	
Reappropriated Funds									-	
General Fund									-	
Cash Funds									-	
Reappropriated Funds									-	
<b>TOTAL CONSUMER PROTECTION</b>	<b>1,836,680</b>	<b>19.2</b>	<b>2,068,463</b>	<b>19.8</b>			<b>1,926,638</b>	<b>20.0</b>	<b>1,674,691</b>	<b>20.0</b>
General Fund	-		-				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	<b>1,836,680</b>		<b>2,068,463</b>				<b>1,926,638</b>		<b>1,674,691</b>	
Reappropriated Funds	-		-				-		-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**Consumer Credit Unit**

Item	Actual FY 13		Actual FY 14		Estimate FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	1,521,916	20.0	1,512,150	20.0	1,616,183	20.0	1,616,183	20.0	1,616,183	20.0
PERA Adjustment back to 10.15%									-	
PERA SB 11-76 @ 7.65%										
<i>Supplemental</i>										
<i>Annualization of FY 13 DI</i>										
Salary Survey Classified			24,916				38,791		38,791	
Salary Survey NonClassified			66,153				5,581		5,581	
Merit Classified			10,092				9,606		9,606	
Merit Non Classified			4,770				4,530		4,530	
Health/Life/Dental	127,731		123,730				141,324			
Short Term Disability	1,783		2,583				3,112			
SB 04.257 A.E.D.	37,440		48,948				53,538			
SB 06.235 S.A.E.D.	32,176		45,380				53,973			
Worker's Compensation	3,177		3,406							
Vehicle Lease Payments	10,677		8,314							
Capital Complex Lease Space/CARR Bldg	65,004		188,087							
Lease Space	3,052		3,052							
ALJ	1,135		4,362							
IT Asset Maintenance	28,813		37,895							
CLE Registration Fees	1,500		1,500							
Building Security	6,099									
Year-End Transfer										
Rollforward from previous FY							-			
Overexpenditure/(Reversion) - GF										
Lapsed Appropriation Reappropriated Fund										
Lapsed Appropriation Cash Fund	(3,823)	(0.8)	(16,875)	(0.2)						
<b>TOTAL RECONCILIATION</b>	1,836,680	19.2	2,068,463	19.8			1,926,638	20.0	1,674,691	
<b>TOTALS</b>	<b>1,836,680</b>	<b>19.2</b>	<b>2,068,463</b>	<b>19.8</b>	<b>1,616,183</b>	<b>20.0</b>	<b>1,926,638</b>	<b>20.0</b>	<b>1,674,691</b>	<b>20.0</b>
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	1,836,680		2,068,463		1,616,183		1,926,638		1,674,691	
Reappropriated Funds	-		-		-		-		-	



**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**Consumer Credit Unit**

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>		1,836,680	2,068,463	1,616,183	1,926,638	1,674,691
General Fund		-	-	-	-	-
General Fund Exempt		-	-	-	-	-
Cash Funds		1,836,680	2,068,463	1,616,183	1,926,638	1,674,691
Reappropriated Funds		-	-	-	-	-
Federal Funds				-	-	-
<b>Cash Funds</b>		1,836,680	2,068,463	1,616,183	1,926,638	1,674,691
Collection Agency Cash Fund		447,294	543,869	555,700	670,490	586,255
UCCC Cash Fund		1,389,386	1,524,594	1,060,483	1,256,148	1,088,436



## SCHEDULE 2 - PROGRAM SUMMARY

### Department of Law

### INDIRECT COST ASSESSMENT - CONSUMER PROTECTION

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>INDIRECT COST ASSESSMENT</b>	471,352		467,308		456,857		456,857	-	429,805	-
General Fund Exempt										
General Fund										
Cash Funds	434,140		427,253		417,698		417,698		393,988	
Reappropriated Funds	37,212		40,055		39,159		39,159		35,817	

## SCHEDULE 3 - PROGRAM DETAIL

### Department of Law

### INDIRECT COST ASSESSMENT - CONSUMER PROTECTION

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
<b>INDIRECT COST ASSESSMENT</b>	471,352		467,308		456,857		456,857		429,805	
Cash Funds	434,140		427,253		417,698		417,698		393,988	
Reappropriated Funds	37,212		40,055		39,159		39,159		35,817	
<b>INDIRECT COST ASSESSMENT</b>	<b>471,352</b>		<b>467,308</b>		<b>456,857</b>		<b>456,857</b>		<b>429,805</b>	
Cash Funds	<b>434,140</b>		<b>427,253</b>		<b>417,698</b>		<b>417,698</b>		<b>393,988</b>	
Reappropriated Funds	<b>37,212</b>		<b>40,055</b>		<b>39,159</b>		<b>39,159</b>		<b>35,817</b>	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	471,352		467,308				456,857			
Supplemental Appropriation										
Lapsed Spending Authority CF	-		-							
<b>TOTAL RECONCILIATION</b>	471,352		467,308				456,857			

## SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

### Department of Law

### INDIRECT COST ASSESSMENT - CONSUMER PROTECTION

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>		471,352	467,308	456,857	456,857	429,805
General Funds						
General Funds Exempt						
Cash Funds		434,140	427,253	417,698	417,698	393,988
Reappropriated Funds		37,212	40,055	39,159	39,159	35,817
<b>Cash Funds</b>		<b>434,140</b>	<b>427,253</b>	<b>417,698</b>	<b>417,698</b>	<b>393,988</b>
146 Department Custodials Funds		161,252	160,219	156,637	156,637	155,207
DOLA-Manuf Housing Fund		-	-		-	-
No-Call Fund					-	-
Tobacco Litigation Defense Fund		12,404	-		-	-
Collection Agency Board Fund		68,222	64,533	91,371	91,371	83,573
UCCC Custodial Fund		12,404	-	-	-	-
Uniform Consumer Credit Code fund		179,858	202,501	169,690	169,690	155,207
<b>Reappropriated Funds</b>		<b>37,212</b>	<b>40,055</b>	<b>39,159</b>	<b>39,159</b>	<b>35,817</b>
Department Custodials Funds		-	-			
Collection Agency Board Fund		-	-			
Tobacco Litigation Defense Fund		-	-			
UCCC Custodial Fund		-	-			
UCCC Fund Balance		-	-			
Division of Real Estate Cash Fund		37,212	40,055	39,159	39,159	35,817
<b>Federal Funds</b>						

## SCHEDULE 2 - PROGRAM SUMMARY

Department of Law		DISTRICT ATTORNEY'S SALARIES									
Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16		
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	
<b>District Attorney Salaries</b>		2,656,471		2,676,960		2,697,656		2,697,656	-	2,718,249	-
General Fund		2,656,471		2,676,960		2,697,656		2,697,656		2,718,249	

## SCHEDULE 3 - PROGRAM DETAIL

Department of Law		DISTRICT ATTORNEY'S SALARIES									
Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16		
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	
<b>District Attorney Salaries</b>		2,288,088		2,288,000				2,288,088		2,288,088	
PERA/Other Retirement Plans		232,241		232,232				232,241		232,241	
SB 04.257 A.E.D.		73,219		82,368				91,524		100,676	
SB 06.235 S.A.E.D.		62,923		74,360				85,803		97,244	
<b>Subtotal</b>		<b>2,656,471</b>		<b>2,676,960</b>				<b>2,697,656</b>		<b>2,718,249</b>	
TOTAL D.A. SALARIES		<b>2,656,471</b>		<b>2,676,960</b>		<b>2,697,656</b>		<b>2,697,656</b>		<b>2,718,249</b>	
General Fund		2,656,471		2,676,960		2,697,656		2,697,656		2,718,249	
<b>RECONCILIATION OF FUNDS</b>											
Long Bill Appropriation		2,656,368		2,676,960		2,697,656		2,697,656		2,718,249	
Supplemental (SB11-144)											
SB 11-76 PERA Reduction								0		0	
Reversion											
AED/SAED POT Increase		103		-						-	
<b>TOTAL RECONCILIATION</b>		<b>2,656,471</b>		<b>2,676,960</b>		<b>2,697,656</b>		<b>2,697,656</b>		<b>2,718,249</b>	

Department of Law		DISTRICT ATTORNEY'S SALARIES								
Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	Total Funds	Total Funds	Total Funds	Total Funds	Total Funds	Total Funds	Total Funds	Total Funds	Total Funds
<b>Schedule 3 Total</b>		2,656,471	2,676,960	2,676,960	2,697,656		2,697,656		2,718,249	
General Fund		2,656,471	2,676,960	2,676,960	2,697,656		2,697,656		2,718,249	



## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**LITIGATION MANAGEMENT FUND**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Litigation Management Fund</b>	325,000	-	263,135	-	200,000		200,000	-	200,000	-
General Fund	-		-		-		-		-	
General Fund Exempt										
Cash Funds	325,000		263,135		200,000		200,000		200,000	
Reappropriated Funds	-		-		-		-		-	



### SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

**Department of Law**

**LITIGATION MANAGEMENT FUND**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Litigation Management Fund</b>										
DOL Transfers to CDOT										
DOL Transfers to Capitol Construction (461)										
1120 SPS Temporary FT Wages	-		-							
1622 CN PERA	-		-							
1920 Professional Services	15,910		34,019				100,000		100,000	
1930 PURCHASED SERVICE - LITIGATION			56,886				100,000		100,000	
1962 PERSONAL SVCS- IT - CONSULTING	32,321		20,396							
1961 PS IT Software	-		-							
2150 Custodial Services	-		-							
2160 Janitorial Service	-		-							
2170 Waste Disposal Services	202		-							
2210 Bldg Maintenance/Repair Svcs	-		-							
2220 Building Grounds Maintenance	-		-							
2230 Equipment Contract Maintenance	733		79							
2231 ADP Equip Maint/Repair Services	11,136		6,421							
2232 Software Upgrades	32,082		46,433							
2268 Rental of IT Software Network	-		8,970							
2630 Telephone	-		-							
2631 Comm Svcs from Outside Sources	678		633							
2641 Other ADP Billings - Purchase Services	-		-							
2650 OIT Purchased Services	-		-							
2660 Insurance	-		-							
2680 Printing/Reproduction	-		-							
2810 Freight & Storage	-		-							
2820 Other Purchased Services	-		-							
2830 Office Moving/Purchased Services	-		-							
2831 Storage Purchased Service	291		-							
3110 Other Supplies and Materials	-		-							
3112 Automotive Supplies	-		-							
3114 Custodial	-		-							
3115 DP Supplies	-		-							
3116 Purchase/Leased Software	1,915		46,859							

### SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

**Department of Law**

**LITIGATION MANAGEMENT FUND**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
3117 Educational	-		197							
3120 Books & Subscriptions	394		-							
3121 Office Supplies	803		64							
3122 Microfilming/Photo. Supplies	-		-							
3123 Postage	-		-							
3124 Printing	-		-							
3126 Repair & Maintenance/Supplies	-		-							
3128 Non-Capitalized Equipment	-		2,229							
3131 Noncap. Building Mat'ls	-		-							
3132 NONCAP OFFICE FURN/OFFICE SYST	-		1,954							
3140 Non-Capitalized IT - PC's	14,843		10,835							
3141 Non-Capitalized IT - Servers	-		1,385							
3142 Non-Capitalized IT - Network	255		859							
3143 Non-Capitalized IT - Other	9,726		12,460							
3146 Non-Capitalized IT - SW	-		-							
4170 Miscellaneous Fees	-		-							
4180 Official Functions	-		-							
4220 Registration Fees	367		-							
6140 Buildings and Improves. to Bldg.	10,508		-							
6212 IT Servers - Direct Purchase	2,609		12,457							
6213 IT PC SW - Direct Purchase	-		-							
6214 IT Other- Direct Purchase	125,000		-							
EALA OT CS DOL Internal	65,227		-							
<b>Expense Subtotal:</b>	325,000		263,135				200,000		200,000	
<b>Rollforward</b>							-			
							-			
<b>TOTAL Litigation Management Fund</b>	325,000		263,135				200,000	-	200,000	-
General Fund										
General Fund Exempt							-			
Cash Funds	325,000		263,135				200,000		200,000	
Reappropriated Funds	-		-						-	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	325,000		325,000				200,000		200,000	

### SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

**Department of Law**

**LITIGATION MANAGEMENT FUND**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Lapsed CF Spending Authority			(61,865)				-			
Lapsed CFE Spending Authority										
<b>TOTAL RECONCILIATION</b>	325,000		263,135				200,000			
<b>GRAND TOTAL</b>	<b>325,000</b>		<b>263,135</b>			<b>200,000</b>	<b>200,000</b>		<b>200,000</b>	
General Fund	-		-				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	<b>325,000</b>		<b>263,135</b>			<b>200,000</b>	<b>200,000</b>		<b>200,000</b>	
Reappropriated Funds	-		-				-		-	
Federal Funds	-		-				-		-	



## SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

**Department of Law**

**LITIGATION MANAGEMENT FUND**

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>		325,000	263,135		200,000	200,000
General Funds		-	-			-
General Funds Exempt		-	-		-	-
Cash Funds		325,000	263,135		200,000	200,000
Reappropriated Funds		-	-		-	-
<b>Cash Funds</b>						
13 H Attorney Fees and Costs		231,511	263,135		200,000	100,000
26Q Fund Balance		93,489	0		-	100,000
<b>Reappropriated Funds</b>						
Attorney Fees and Costs		-	-		-	-
LSSA Excess Revenues		-	-		-	-



## SCHEDULE 2 - PROGRAM SUMMARY

### Department of Law

### TOBACCO LITIGATION

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Tobacco Litigation Fund</b>	1,239,856	-	321,831	-	1,250,000		1,250,000	-	1,250,000	-
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	1,239,856		321,831		1,250,000		1,250,000		1,250,000	
Reappropriated Funds	-		-		-		-		-	

## SCHEDULE 3 - PROGRAM DETAIL

### Department of Law

### TOBACCO LITIGATION

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Tobacco Litigation Fund</b>							1,250,000		1,250,000	
General Fund							-		-	
General Fund Exempt							-		-	
Cash Funds							1,250,000		1,250,000	
<b>Personal Service Codes:</b>										
1920 - Personal Services Professional	1,239,856		321,831				1,250,000		1,250,000	
<b>Personal Service Subtotal:</b>	<b>1,239,856</b>		<b>321,831</b>				1,250,000		1,250,000	
<b>Operating Expenses:</b>										
1930 - Litigation	-		-							
2231 - IT Hardware Maint/Repair Svcs	-		-							
2530 - Out-Of-State Travel	-		-							
2531 - Common Carrier Fares	-		-							
2532 - Personal Travel Per Diem	-		-							
2641 - Other DPA Billing - Purch Svcs	-		-							
3121 - Office Supplies	-		-							
3123 - Postage	-		-							
3128 - NonCap Equipment	-		-							
<b>Operating Expenses Subtotal:</b>	-		-				-	-	-	
<b>Tobacco Refinance Decision Item</b>	-		-				-		1,250,000	

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**TOBACCO LITIGATION**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
General Fund							-			
Cash Funds	-		-				-		1,250,000	
<b>Long Bill Appropriation</b>	880,000		1,250,000				-			
General Fund	-		-							
Cash Funds	880,000		1,250,000				-			
Reappropriated Funds	-		-							
<b>SPECIAL BILLS</b>									-	
<i>SB 11-209 Long Bill Add On</i>	<b>715,630</b>		-				-			
General Fund										
Reappropriated Funds										
<b>TOBACCO LITIGATION TOTAL</b>	<b>1,239,856</b>		<b>321,831</b>		<b>1,250,000</b>		<b>1,250,000</b>		<b>1,250,000</b>	
General Fund										
General Fund Exempt							-		-	
Cash Funds	<b>1,239,856</b>		<b>321,831</b>		<b>1,250,000</b>		<b>1,250,000</b>		<b>1,250,000</b>	
Reappropriated Funds									-	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	880,000		1,250,000				1,250,000			
<i>SB 11-209 Long Bill Add On</i>	715,630		-				-		-	
<i>SB 11-209 Long Bill Add On</i>	-		-				-			
Rollforward from Previous FY							-			
Rollforward to Subsequent FY										
Reversion										
Lapsed Appropriation Cash Funds	(355,774)		(928,169)				-			
<b>TOTAL RECONCILIATION</b>	1,239,856		321,831				1,250,000			

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**TOBACCO LITIGATION**

Item	Actual FY 13	Actual FY 14	Approp FY 15	Estimate FY 15	Request FY 16
	Total Funds	Total Funds	Total Funds	Total Funds	Total Funds
<b>Schedule 3 Total</b>	1,239,856	321,831	1,250,000	1,250,000	1,250,000
General Fund	-	-	-	-	-
General Fund Exempt	-	-	-	-	-
Cash Funds	1,239,856	321,831	1,250,000	1,250,000	1,250,000
Reappropriated Funds	-	-	-	-	-
<b>Cash Funds</b>					
Tobacco Litigation Defense Account	1,239,856	321,831	1,250,000	1,250,000	1,250,000
Rollforward from previous year			-	-	
Excess Revenues from LSSA Line					
<b>Reappropriated Funds</b>					
Tobacco Litigation Defense Account				-	-
Excess Revenues from LSSA Line				-	



**SCHEDULE 2 - PROGRAM SUMMARY**

**Department of Law**

**LOWRY RANGE LITIGATION**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Tobacco Litigation Fund</b>	238,007	-	1,361,127	-	392,400		392,400	-	-	-
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	238,007		1,361,127		392,400		392,400		-	
Reappropriated Funds	-		-		-		-		-	

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**LOWRY RANGE LITIGATION**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Lowry Range Litigation</b>							392,400		-	
General Fund							-			
General Fund Exempt							-			
Cash Fund							392,400		-	
<b>Personal Service Codes:</b>										
1120 SPS Temp Full Time Wages	-		-							
1520 Medicare	-		-							
1522 SPS PERA	-		-							
1524 SPS AED	-		-							
1525 SPS SAED	-		-							
1920 - Personal Services Professional	214,914		1,360,330				392,400		-	
<b>Personal Service Subtotal:</b>	<b>214,914</b>		<b>1,360,330</b>				<b>392,400</b>		<b>-</b>	
<b>Operating Expenses:</b>										
1930 Litigation	-		797				-		-	
2231 IT Hardware Maint/Repair Svcs	-		-							
2510 In-State Travel	-		-							
2511 In -State Common Carrier Fares	-		-							
2512 In-State- Pers Travel Per Diem	-		-							

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**LOWRY RANGE LITIGATION**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2513 In State Pers Vehicle Reimbursemer	-		-							
2530 Out of State Travel	-		-							
2531 Out of State Common Carrier Fares	-		-							
2532 OS Personal Travel Per Diem	-		-							
2630 Comm Svs Div of Telectom	-		-							
2631 Comm Svs from Outside Sources	-		-							
2641 Other ADP Billing - Purchased Servic	23,093		-				-			
2680 Printing/Reproduction Svs	-		-							
3115 Data Processing Supplies	-		-							
3116 Non Cap IT PC SW	-		-							
3120 Books/ Periodicals Subscription	-		-							
3121 Office Supplies	-		-							
3123 Postage	-		-							
3128 Non Capitalized Equipment	-		-							
3143 Non Cap IT Other	-		-							
3140 Non Capitalized IT PCs	-		-							
<b>Operating Expenses Subtotal:</b>	<b>23,093</b>		<b>797</b>				-		-	
<b>DI #6: Lowry Range Litigation</b>	-		-				-		-	
Cash Funds	-		-				-		-	
Reappropriated Funds	-		-				-		-	
<b>Lowry Range Litigation</b>	<b>238,007</b>		<b>1,361,127</b>		<b>392,400</b>		<b>392,400</b>		-	
General Fund									-	
General Fund Exempt									-	
Cash Funds	<b>238,007</b>		<b>1,361,127</b>		<b>392,400</b>		<b>392,400</b>		-	
Reappropriated Funds	-		-				-		-	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	638,870		616,520		392,400		392,400		0	
Budget change from decision item									0	
<i>Supplemental HB 14-1240</i>	-		503,049				-		-	
<i>Increase in Grant from Land Board</i>			791,043				-			
Rollforwards from Previous FY							-			

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**LOWRY RANGE LITIGATION**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Rollforward to Subsequent FY	-		-							
Reversion										
Lapsed Appropriation Cash Funds	(400,863)		(549,485)					-		
	-		-							
<b>TOTAL RECONCILIATION</b>	238,007		1,361,127		392,400		392,400		-	



## SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

### Department of Law

### LOWRY RANGE LITIGATION

Item	Actual FY 13	Actual FY 14	Approp FY 15	Estimate FY 15	Request FY 16
	Total Funds	Total Funds	Total Funds	Total Funds	Total Funds
<b>Schedule 3 Total</b>	238,007	1,361,127	392,400	392,400	-
General Fund	-	-	-	-	-
General Fund Exempt	-	-	-	-	-
Cash Funds	238,007	1,361,127	392,400	392,400	-
Reappropriated Funds	-	-	-	-	-
<b>Reappropriated Funds</b>	-	-	-	-	-



**SCHEDULE 2 - PROGRAM SUMMARY**

**Department of Law**

**LOBATO LITIGATION**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Tobacco Litigation Fund</b>	-	-	-	-	-		-	-	-	-
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	-		-		-		-		-	

**SCHEDULE 3 - PROGRAM DETAIL**

**Department of Law**

**LOBATO LITIGATION**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Lobato Litigation</b>							-		-	
General Fund							-			
<b>LOBATO LITIGATION TOTAL</b>	-		-		-		-		-	
General Fund										
General Fund Exempt									-	
Cash Funds					-					
Reappropriated Funds	-		-		-				-	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation	50,000		50,000		0		-			0
BR 1 Lobato Base Reduction										0
<i>Supplemental HB 14-11240</i>	-		(50,000)				-		-	
<i>1331 Supplemental for FY07</i>							-			
Rollforwards from Previous FY							-			
Rollforward to Subsequent FY										
Reversion										
Lapsed Appropriation Reappropriated Funds	(50,000)		-							
<b>TOTAL RECONCILIATION</b>	-		-		0				-	

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**LOBATO LITIGATION**

Item	Actual FY 13	Actual FY 14	Approp FY 15	Estimate FY 15	Request FY 16
	Total Funds	Total Funds	Total Funds	Total Funds	Total Funds
<b>Schedule 3 Total</b>	-	-	-	-	-
General Fund	-	-	-	-	-
General Fund Exempt	-	-	-	-	-
Cash Funds	-	-	-	-	-
Reappropriated Funds	-	-	-	-	-
<b>Reappropriated Funds</b>					
Governor's Office	-		0	0	0
	-			-	

**SCHEDULE 2 - PROGRAM SUMMARY**

<b>Department of Law</b>		<b>DISTRICT ATTORNEY TRAINING</b>								
<b>Item</b>	<b>Actual FY 13</b>		<b>Actual FY 14</b>		<b>Approp FY 15</b>		<b>Estimate FY 15</b>		<b>Request FY 16</b>	
	<b>Total Funds</b>	<b>FTE</b>	<b>Total Funds</b>	<b>FTE</b>	<b>Total Funds</b>	<b>FTE</b>	<b>Total Funds</b>	<b>FTE</b>	<b>Total Funds</b>	<b>FTE</b>
<b>District Attorney Salaries</b>			-		350,000		350,000	-	350,000	-
General Fund			-		350,000		350,000		350,000	

**SCHEDULE 3 - PROGRAM DETAIL**

<b>Department of Law</b>		<b>DISTRICT ATTORNEY TRAINING</b>								
<b>Item</b>	<b>Actual FY 13</b>		<b>Actual FY 14</b>		<b>Approp FY 15</b>		<b>Estimate FY 15</b>		<b>Request FY 16</b>	
	<b>Total Funds</b>	<b>FTE</b>	<b>Total Funds</b>	<b>FTE</b>	<b>Total Funds</b>	<b>FTE</b>	<b>Total Funds</b>	<b>FTE</b>	<b>Total Funds</b>	<b>FTE</b>
<b>CDAC Salaries and Benefits</b>			-				200,000		200,000	
Training Costs			-				150,000		150,000	
			-				-		-	
			-				-		-	
<b>Subtotal</b>			-				<b>350,000</b>		<b>350,000</b>	
<b>TOTAL D.A. SALARIES</b>			-		<b>350,000</b>		<b>350,000</b>		<b>350,000</b>	
General Fund			-		350,000		350,000		350,000	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation			-		0		0		350,000	
HB 14-1144					350,000		350,000			
Reversion							0		0	
AED/SAED POT Increase			-						-	
<b>TOTAL RECONCILIATION</b>			-		350,000		350,000		350,000	

<b>Department of Law</b>		<b>DISTRICT ATTORNEY TRAINING</b>								
<b>Item</b>	<b>Actual FY 13</b>		<b>Actual FY 14</b>		<b>Approp FY 15</b>		<b>Estimate FY 15</b>		<b>Request FY 16</b>	
	<b>Total Funds</b>	<b>Total Funds</b>	<b>Total Funds</b>	<b>Total Funds</b>	<b>Total Funds</b>	<b>Total Funds</b>	<b>Total Funds</b>	<b>Total Funds</b>	<b>Total Funds</b>	<b>Total Funds</b>
<b>Schedule 3 Total</b>	-	-	-	-	350,000		350,000		350,000	
General Fund	-	-	-	-	350,000		350,000		350,000	



## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**CORA/OML Expert**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
CORA/OML Expert	0	0.0	0	0.0	0	0.0	-	0.0	109,631	0.9
General Fund	-		-		-		-		109,631	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	0		0		0		-		0	
Federal Funds	-		-		-		-			



## SCHEDULE 3 - PROGRAM DETAIL

Department of Law

CORA/OML Expert

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
Assistant Attorney General	-		-							
<b>TOTAL POSITION DETAIL</b>		-	-	-					-	-
<b>(I.A.) CONTINUATION FTE SALARY COSTS</b> (Permanent FTE by position) Continuation Salary Subtotal	-	0.0	-				-	0.0	-	0.0
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	-		-				-		-	
Medicare on Continuation Subtotal	-		-				-		-	
Non-Base Building Performance Awards	-		-				-		-	
Contractual	-		-				-		-	
Other	-		-				-		-	
<b>SUBTOTAL</b>	-		-				-		-	
<b>(I.C.) PERSONAL SERVICES SUBTOTAL= A+B</b>	-	0.0	-	0.0			-	0.0	-	0.0
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life Dental	-		-				-		-	
Salary Survey Non Add			-				-		-	
Performance Awards Non Add			-				-		-	
Short Term Disability	-		-				-		-	
SB 04.257 A.E.D.	-		-				-		-	
SB 06.235 S.A.E.D.	-		-				-		-	
Other										
[ ] Indicates a Non-add		0.0								
<b>(I.E.) BASE PERSONAL SERVICES TOTAL= C+D</b>	-	0.0	-	0.0			-	0.0	-	0.0
<b>(I.F.) DIFFERENCE= II- I.E.</b>										
<b>(I.G.) REQUEST YEAR DECISION ITEMS</b>										

## SCHEDULE 3 - PROGRAM DETAIL

**Department of Law**

**CORA/OML Expert**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
General Fund										
Cash Funds										
Reappropriated Funds										
<b>II. PERSONAL SERVICES REQUEST TOTAL</b>	-	0.0	-	0.0			-	0.0	-	0.0
General Fund	-		-				-		-	
Cash Funds										
Reappropriated Funds									-	
Federal Funds									-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**CORA/OML Expert**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
2230 Equipment Maintenance/Repair Srvs	-		-				-			
2231 ADP Equip Maint/Repair Services	-		-				-			
2232 Software Upgrades	-		-							
2252 Motor Pool Mileage Charge	-		-							
2258 Parking Fees	-		-							
2510 In State Travel										
2512 IS Personal Travel Per Diem	-		-							
2513 IS Personal Vehicle Reimbursement	-		-							
2532 OS Personal Travel Per Diem	-		-							
2630 Telephone	-		-							
2631 Comm Svcs from Outside Sources	-		-							
2641 Other ADP Billings - Purchase Services	-		-							
2660 Insurance	-		-							
2680 Contract Printing	-		-							
3115 Data Processing Supplies	-		-							
3116 Purchase/Leased Software	-		-							
3117 Educational	-		-							
3120 Books & Subscriptions	-		-							
3121 Office Supplies	-		-							
3122 Microfilming/Photo. Supplies	-		-							
3123 Postage	-		-							
3124 Printing	-		-							
3140 Non-Capitalized IT - PCs	-		-							
3141 Non-Capitalized IT - Servers	-		-							
3143 Non-Capitalized IT - Other	-		-							
3146 Non-Capitalized IT Purchased Server SW	-		-							
4140 Dues & Memberships	-		-							
4220 Registration Fees	-		-							
6222 Office Furniture Direct Purchase	-		-							
	-		-							
<b>Operating Expense Subtotal:</b>	-		-				-	-	-	
<b>OPERATING EXPENSE TOTAL:</b>	-		-				-		-	
General Fund	-		-				-		-	
	-		-							

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

Department of Law	CORA/OML Expert										
	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16		
	Item	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Decision Item</b>											
Prioty #2 CORA/OML Expert										109,631	0.9
<b>TOTAL CORA/OML Expert</b>				-	0.0	-		-	0.0	-	0.0
General Fund								0		0	
										-	
										-	
<b>RECONCILIATION OF FUNDS/REQUEST</b>											
Long Bill Appropriation								0	0.0	-	0.0
CORA/OML Decision Item										109,631	0.9
Salary Survey Classified										-	
Merit Classified										-	
Health/Life/Dental											
Short Term Disability											
SB 04.257 A.E.D.											
SB 06.235 S.A.E.D.											
Capital Complex Lease Space										-	
Workers Compensation										-	
Vehicle Lease Allocation											
IT Asset Maintenance											
ADP Capital Outlay Allocation											
Building Security										-	
Rollforward from Previous FY year											
Restriction											
Overexpenditure/(Reversion) - GF											
Lapsed Appropriation Reappropriated Funds											
Lapsed Appropriation Federal Funds											
<b>TOTAL RECONCILIATION</b>				-	1.0			-	0.0	109,631	0.9
<b>GRAND TOTAL</b>				-	0.0	-	0.0	-	0.0	109,631	0.9
General Fund				-		-		-		109,631	
General Fund Exempt	-										
Cash Funds	-										
Reappropriated Funds	-			-		-					
Federal Funds										-	

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**CORA/OML Expert**

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>		-	-	-	-	109,631
General Funds		-	-	-	-	109,631
General Funds Exempt		-	-	-	-	-
Cash Funds		-	-	-	-	-
Reappropriated Funds		-	-	-	-	-
Federal Funds		-	-	-	-	-
<b>Cash funds</b>						
Safe2Tell Cash Fund		-	-	-	-	-
<b>Federal Funds</b>						
		-	-		-	-

### SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

**Department of Law**

**ATTORNEYS FEES AND COSTS 13H**

Item	Actual FY14		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Attorney Fees and Costs</b>										
DOL Internal Transfer	231,511		263,135				250,000		150,000	
2268- Rental IT Software Network	110479.7		-							
6140 - Buildings and Improves. to Bldg.										
6216- IT Server SW - Direct Purchase	43890.3		-							
6220 - Office Furn & Equip										
6280 - Other Capital Equipment (direct purchase)										
6410 - ADP Equipment-Lease Purchase										
<b>Expense Subtotal:</b>							-		-	
<b>Decision Item</b>										
Cash Funds Exempt										
<b>EXPENSE TOTAL:</b>	<b>385,881</b>		<b>263,135</b>				<b>250,000</b>		<b>150,000</b>	
General Fund										
General Funds Exempt										
Cash Funds							-		-	
Cash Funds Exempt	385,881		263,135				250,000		150,000	
<b>TOTAL Attorneys Fees and Costs Custodial</b>	385,881	0.0	263,135	-			250,000	-	150,000	-
Cash Funds										
Cash Funds Exempt	385,881		263,135				250,000		150,000	
<b>GRAND TOTAL</b>	385,881	0.0	263,135	-			250,000	-	150,000	-
General Fund	-		-				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	-		-				-		-	
Cash Funds Exempt	385,881		263,135				250,000		150,000	
Federal Funds										



### SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

Department of Law

CP- CUSTODIAL - 146

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>PERSONAL SERVICES</b>										
DOL Internal Transfer	1,000,653		1,093,212				1,402,411		1,402,411	
Regular Wages	24,878		5,651	0.1						
Temporary Services	151,698		154,935							
Medicare	2,696		2,327							
PERA	18,873		16,287							
AED	5,974		5,766							
SAED	5,143		5,202							
Short Term Disability	29		11							
HLD	2,070		443							
Umemployment	17		-							
<b>PERSONAL SERVICES TOTAL</b>	1,212,030		1,283,832							
<b>OPERATING EXPENSES</b>										
1910 Personal Services Temp Services	-		-							
1920 Professional Services	600,000		2,302,500				2,000,000		1,300,000	
1930 Purchased Service - Litigation	64,954		23,613							
1961 IT Personal Services - Software	-		-							
1962 Personal Services IT Consulting	5,011		5,099							
2231 IT Hardware Maintenance/Repair	950		-							
2232 IT Software Upgrad Services	6,270		6,840							
2254 Rental of Motor Vehicles			402							
2255 Rental of Buildings	-		-							
2510 In State Travel			52							
2511 In State Common Carrier Fares			828							
2512 In State Pers Travel Per Diem	289		322							
2513 IS Personal Vehicle Reimbursement	190		490							
2520 IS Travel/Non Employee	-		-							
2522 IS Non Emp - Pers Per Diem	-		-							
2523 IS/Non-Emp - Pers Veh Reimb	-		-							
2530 Out of State Travel	497		1,166							

**SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL**

**Department of Law**

**CP- CUSTODIAL - 146**

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2531 OS Common Carrier Fares	2,934		8,051							
2532 OS Personal Travel Per Diem	2,531		5,768							
2533 OS Personal Vehicle Reimbursement	-		-							
2550 Out of Country Travel	-		-							
2552 OC Pers Travel Reimbursement	-		-							
2630 Telephone	94		2							
2631 Comm Svcs from Outside Sources	107		621							
2641 Other ADP Billings - Purchase Services	-		-							
2660 Insurance	-		-							
2680 Contract Printing	53		5,013							
2681 Photocopy Reimbursement	-		-							
2810 Freight & Storage	-		-							
2820 Other Purchased Services	-		-							
2830 Office Moving/Purchased Services	-		-							
3110 Other Supplies and Materials	-		-							
3112 Automotive Supplies	-		-							
3114 Custodial	-		-							
3115 DP Supplies	-		-							
3116 Purchase/Leased Software	51,146		-							
3117 Educational	-		-							
3120 Books & Subscriptions	173		619							
3121 Office Supplies	2,556		-							
3122 Microfilming/Photo. Supplies	-		-							
3123 Postage	-		363							
3124 Printing	-		-							
3126 Repair & Maintenance/Supplies	-		-							
3128 Non-Capitalized Equipment	3,400		80							
3131 Non-Capitalized Bldg Mat.	-		-							
3132 Noncap Office Furn/Office Syst	12,661		1,940							
3140 Noncap IT - PC'S	-		-							
3143 Noncap IT - Other	45,216		-							
3940 Electricity	-		-							
3950 Gasoline	-		-							
4100 Other Operating Expenses	-		-							

### SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

Department of Law

CP- CUSTODIAL - 146

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
4113 Actual Damages - Property			1,054							
4140 Dues & Memberships	175		3,250							
4151 Interest Late Payments	61		-							
4170 Miscellaneous Fees	379		444				450		450	
4180 Official Functions	-		312							
4220 Registration Fees	1,025		6,484							
5540 Distributions Other State	27,798		-							
5781 Grants to Non Gov Org	1,000,000		-							
6140 Buildings and Improves. to Bldg.	-		-							
6210 Other Capital Equipment	-		-							
6212 IT Servers	-		-							
6220 Office Furn & Equip	-		-							
6222 Off Furn Off System Direct Purchase	437,626		-							
6224 Off Furn Fixtures Direct Purchase	30,581		-							
6280 Other Capital Equipment (direct purchase)	-		-							
6410 ADP Equipment-Lease Purchase	-		-							
<b>OPERATIONAL EXPENSES SUBTOTAL</b>	<b>3,508,709</b>		<b>3,659,145</b>	0.1			<b>3,402,861</b>		<b>2,702,861</b>	
<b>TOTAL CP Custodial</b>	<b>3,508,709</b>		<b>3,659,145</b>				<b>3,402,861</b>		<b>2,702,861</b>	
General Fund										
General Funds Exempt										
Cash Funds										
Cash Funds Exempt	3,508,709		3,659,145				3,402,861		2,702,861	
<b>GRAND TOTAL</b>	<b>3,508,709</b>		<b>3,659,145</b>	0.1			<b>3,402,861</b>		<b>2,702,861</b>	
General Fund	-		-				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	-		-				-		-	
Reappropriated Funds	3,508,709		3,659,145				3,402,861		2,702,861	
Federal Funds										



## SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS CUSTODIAL #147

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Special Prosecutions Custodial</b>										
1110 Reg. FT Wages	-		-							
1520 Medicare Contribution	-		-							
1522 PERA	-		-							
1524 Amort. Equal Disburmt	-		-							
1525 Suppl Amort Equal Disburmt	-		-							
1920 PS / Professional	-		-							
2540 Out-Of-State Travel/Non-Empl	-		-							
2541 OS Non-Empl-Common Carrier	-		-							
2542 OS Non-Empl/Pers Per Diem	-		-							
3121 Office Supplies	-		-							
3122 Photographic Supplies	-		-							
3131 Non-Capt. Buidling Mat'l	-		-							
4170 Misc Fees and Fines	19		29				30		30	
6224 Off Furn Fixtures Direct Purchase	21,044		-							
<b>Expense Subtotal:</b>	21,063		29				30		30	
<b>Decision Item</b>										
Cash Funds Exempt										
<b>EXPENSE TOTAL:</b>	<b>21,063</b>		<b>29</b>				<b>30</b>		<b>30</b>	
General Fund										
General Funds Exempt										
Cash Funds										
Cash Funds Exempt	21,063		29				30		30	
<b>GRAND TOTAL</b>	21,063		29				-		-	
General Fund	-		-				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	-		-				-		-	
Cash Funds Exempt	21,063		29				-		-	
Federal Funds							-			



### SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

**Department of Law**

**NATURAL RESOURCES CUSTODIAL FUNDS #148**

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>Natural Resources Custodial Funds</b>										
1920 - PS / Professional	-		-				-			
4170 Misc Fees and Fines	26		24				26		26	
5570- Distributions Intergov entity	-		-							
<b>Expense Subtotal:</b>	26		24				-		-	
<b>EXPENSE TOTAL:</b>	<b>26</b>		<b>24</b>				<b>26</b>		<b>26</b>	
General Fund										
General Funds Exempt										
Cash Funds							-			
Cash Funds Exempt	26		24				26		26	
<b>GRAND TOTAL</b>	<b>26</b>		<b>24</b>				<b>26</b>		<b>26</b>	
General Fund							-		-	
General Fund Exempt							-		-	
Cash Funds							-		-	
Cash Funds Exempt	26		24				26		26	
Federal Funds										



### SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

Department of Law

MORTGAGE FRAUD SETTLEMENT FUND 14D

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>PERSONAL SERVICES</b>										
DOL Internal Transfer										
1210 Regular Wages	49,615		27,564	0.3			66,153	0.7	66,153	0.7
1211 Regular PT Wages			38,590	0.5						
1220 Temporary Services	-		203,241							
1620 Medicare	715		3,834				959		959	
1621 PERA	5,008		26,839				6,715		6,715	
1624 AED	1,612		9,622				2,382		2,382	
1625 SAED	1,398		8,722				2,150		2,150	
1613 Short Term Disability	76		218				126		126	
HLD	73		4,558				105		105	
1632 Umemployment	-		-							
1630 Other Employee Benefits	35		357							
<b>PERSONAL SERVICES TOTAL</b>	<b>58,532</b>		<b>323,545</b>				<b>78,589</b>		<b>78,589</b>	
<b>OPERATING EXPENSES</b>										
1910 Personal Services Temp Services	-		42,645							
1920 Professional Services	16,425,000		1,828,000				5,921,411		5,921,411	
1930 Purchased Service - Litigation	-		-							
1961 IT Personal Services - Software	-		-							
1962 Personal Services IT Consulting	-		-							
2231 IT Hardware Maintenance/Repair	-		-							
2232 IT Software Upgrad Services	-		-							
2255 Rental of Buildings	-		-							
2512 In State Pers Travel Per Diem	-		-							
2513 IS Personal Vehicle Reimbursement	80		-							
2520 IS Travel/Non Employee	-		-							
2522 IS Non Emp - Pers Per Diem	-		-							
2523 IS/Non-Emp - Pers Veh Reimb	-		-							
2530 Out of State Travel	256		-							
2531 OS Common Carrier Fares	4,109		-							

### SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

Department of Law		MORTGAGE FRAUD SETTLEMENT FUND 14D								
Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2532 OS Personal Travel Per Diem	1,998		-							
2533 OS Personal Vehicle Reimbursement	-		-							
2550 Out of Country Travel	-		-							
2552 OC Pers Travel Reimbursement	-		-							
2630 Telephone	-		-							
2631 Comm Svcs from Outside Sources	-		-							
2641 Other ADP Billings - Purchase Services	-		-							
4220 Registration Fees	-		1,070							
AANE DOL to DOLA	18,195,188		-							
Cash Funds Exempt										
<b>EXPENSE TOTAL:</b>	<b>34,685,163</b>		<b>2,195,260</b>	<b>0.8</b>			<b>6,000,000</b>		<b>6,000,000</b>	
General Fund										
General Funds Exempt										
Cash Funds							-			
Cash Funds Exempt	34,685,163		2,195,260				6,000,000		6,000,000	
<b>TOTAL Mortgage Fraud Custodial</b>	<b>34,685,163</b>		<b>2,195,260</b>				<b>6,000,000</b>		<b>6,000,000</b>	
Cash Funds	-		-				-			
Cash Funds Exempt	34,685,163		2,195,260				6,000,000		-	
<b>GRAND TOTAL</b>	<b>34,685,163</b>		<b>2,195,260</b>	<b>0.8</b>			<b>6,000,000</b>		<b>6,000,000</b>	
General Fund	-		-				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	-		-				-		-	
Cash Funds Exempt	34,685,163		2,195,260				6,000,000		6,000,000	
Federal Funds							-			

### SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

Department of Law

POST CUSTODIAL 14P

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
1622 - CN PERA										
1910 - PS Temporary Services										
1920 - Professional Services										
2230 - Equipment Contract Maintenance										
2231 - ADP Equip Maint/Repair Services										
2232 - Software Upgrades	-		-							
2630 - Telephone										
3115 - DP Supplies										
3120 - Books & Subscriptions										
4170 - Miscellaneous Fees	17		13							
6410 - ADP Equipment-Lease Purchase										
5140 - Grant Intergovernmental							-			
5781 - Grant to Nongovern/Organization										
6224 - Office Furn/Fixtures Direct Purchase	4,476		-							
<b>Expense Subtotal:</b>	4,493		13				-		-	
<b>EXPENSE TOTAL:</b>	<b>4,493</b>		<b>13</b>				-		-	
Cash Funds	4,493		13				-		-	
Cash Funds Exempt	-		-				-		-	
<b>TOTAL POST Custodial</b>	4,493		13				-		-	
Cash Funds	4,493		13				-		-	
Cash Funds Exempt	-		-				-		-	
<b>GRAND TOTAL</b>	4,493		13				-		-	
General Fund	-		-				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	4,493		13				-		-	
Cash Funds Exempt	-		-				-		-	
Federal Funds							-			



### SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

**Department of Law**

**UCCC CUSTODIAL 16B**

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
DOL Internal Transfer	95,059		-				-		-	
1120 SPS Temp FT Wages	-		-							
1220 CN Temp FT Wages	13,909		-							
Medicare	202		-							
Retirement Plans (PERA, Other)	1,412		-							
AED	417		-							
SAED	348		-							
1910 Personal Services - Temp Svcs	-		-							
1920 Purchased Services - Professional	10,470		12,500				50,000		50,000	
1930 Litigation	-		2,970							
1961 Personal Services IT Software	-		7,000							
2150 Custodial Services	-		-							
2160 Janitorial Service	-		-							
2170 Waste Disposal Services	-		-							
2210 Bldg Maintenance/Repair Svcs	-		-							
2220 Building Grounds Maintenance	-		-							
2230 Equipment Contract Maintenance	-		-							
2231 ADP Equip Maint/Repair Services	-		-							
2232 Software Upgrades	24,000		21,600							
2240 Motor Veh Maint/Repair Svcs	-		-							
2251 Lease Motor Pool Vehicle	-		-							
2252 Motor Pool Mileage Charge	-		-							
2253 Equipment Rental	-		-							
2254 Rental of Motor Vehicles	-		449							
2255 Rental of Buildings	-		-							
2258 Parking Fees	-		-							
2559 Parking Fee Reimbursement	-		-							
2510 In State Travel	-		-							
2512 IS Personal Travel Per Diem	-		-							
2513 IS Personal Vehicle Reimbursement	153		270							
2520 IS Travel/Non Employee	-		-							
2523 IS/Non-Emp - Pers Veh Reimb	-		-							
2530 Out of State Travel	421		1,061							
2531 OS Common Carrier Fares	2,332		6,009							
2532 OS Personal Travel Per Diem	2,841		8,773							
2533 OS Personal Vehicle Reimbursement	-		-							
2550 Out of Country Travel	-		-							

**SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL**

**Department of Law**

**UCCC CUSTODIAL 16B**

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2552 OC Pers Travel Reimbursement	-		-							
2630 Telephone	-		-							
2631 Comm Svcs from Outside Sources	-		-							
2641 Other ADP Billings - Purchase Services	1,525		-							
2660 Insurance	-		-							
2680 Contract Printing	-		-							
2681 Photocopy Reimbursement	-		-							
2810 Freight & Storage	-		-							
2820 Other Purchased Services	904		-							
2830 Office Moving/Purchased Services	-		-							
3110 Other Supplies and Materials	-		-							
3112 Automotive Supplies	-		-							
3114 Custodial	-		-							
3115 DP Supplies	-		-							
3116 Purchase/Leased Software	2,443		-							
3117 Educational	-		-							
3120 Books & Subscriptions	982		-							
3121 Office Supplies	31		-							
3122 3122 - Microfilming/Photo. Supplies	-		-							
3123 Postage	157		18							
3124 Printing	-		-							
3126 Repair & Maintenance/Supplies	-		-							
3128 Non-Capitalized Equipment	-		-							
3940 Electricity	-		-							
3950 Gasoline	-		-							
3131 Noncap Building Mat's	-		-							
3132 Noncap Office Furn/Off. Syst	-		-							
3140 Noncap IT PC's	5,926		-							
3143 Noncap IT - Other	288		-							
4100 Other Operating Expenses	-		-							
4140 Dues & Memberships	2,440		300							
4151 Interest Late Payments	-		-							
4170 Miscellaneous Fees	174		182							
4180 Official Functions	853		-							
4120 Bad Debt Expense	-		-							
4220 Registration Fees	4,086		4,816							
6140 Buildings and Improves. to Bldg.	-		-							

### SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

Department of Law

UCCC CUSTODIAL 16B

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
6210 6210 - Other Capital Equipment	-		-							
6222 Office Furn & Equip Dir Pur	270,208		-							
6224 Off Furn Fixtures Direct Purchase	3,933		-							
<b>Expense Subtotal:</b>	445,514		65,948				50,000		50,000	
<b>EXPENSE TOTAL:</b>	<b>445,514</b>		<b>65,948</b>				<b>50,000</b>		<b>50,000</b>	
Cash Funds	445,514		65,948				50,000		50,000	
Reappropriated Funds	-		-				-		-	
<b>TOTAL UCCC CUSTODIAL</b>	445,514		65,948				50,000		50,000	
Cash Funds	445,514		65,948				50,000		50,000	
Reappropriated Funds	-		-				-		-	
<b>GRAND TOTAL</b>	445,514		65,948				50,000		50,000	
Cash Funds	445,514		65,948				50,000		50,000	
Cash Funds Exempt	-		-				-		-	
Federal Funds	-		-				-		-	



SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

Department of Law

CAB CUSTODIAL 19A

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
1120 SPS Temp FT Wages	0		-							
1520 SPS FICA-Medicare Contribution	0		-							
1522 SPS PERA	0		-							
1524 SPS PERA-Amort Equal Disbursmt	0		-							
1920 Prof Serv	0		24,999				25,000		25,000	
1930 Litigation	0		-							
1961 Pers Svs IT Software	15,000		1,400							
2150 Custodial Services	0		-							
2160 Janitorial Service	0		-							
2170 Waste Disposal Services	0		-							
2210 Bldg Maintenance/Repair Svcs	0		-							
2220 Building Grounds Maintenance	0		-							
2230 Equipment Contract Maintenance	0		-							
2231 ADP Equip Maint/Repair Services	0		-							
2232 Software Upgrades	0		-							
2240 Motor Veh Maint/Repair Svcs	0		-							
2251 Lease Motor Pool Vehicle	0		-							
2252 Motor Pool Mileage Charge	0		-							
2253 Equipment Rental	0		-							
2254 Rental of Motor Vehicles	0		-							
2255 Rental of Buildings	0		-							
2258 Parking Fees	0		-							
2559 Parking Fee Reimbursement	0		-							
2510 In State Travel	0		-							
2511 In State Comm Carrier Fares	0		-							
2512 IS Personal Travel Per Diem	0		-							
2513 IS Personal Vehicle Reimbursement	56		24							
2520 IS Travel/Non Employee	0		-							
2523 IS/Non-Emp - Pers Veh Reimb	0		-							
2530 Out of State Travel	141		56							
2531 OS Common Carrier Fares	1,074		892							
2532 OS Personal Travel Per Diem	762		1,705							
2533 OS Personal Vehicle Reimbursement	0		-							
2550 Out of Country Travel	0		-							
2552 OC Pers Travel Reimbursement	0		-							

SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

Department of Law

CAB CUSTODIAL 19A

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2630 Telephone	0		-							
2631 Comm Svcs from Outside Sources	0		-							
2641 Other ADP Billings - Purchase Services	0		-							
2660 Insurance	0		-							
2680 Contract Printing	0		-							
2681 Photocopy Reimbursement	0		-							
2810 Freight & Storage	0		-							
2820 Other Purchased Services	0		-							
2830 Office Moving/Purchased Services	0		-							
3110 Other Supplies and Materials	0		-							
3112 Automotive Supplies	0		-							
3114 Custodial	0		-							
3120 Book/Periodicals Subscription	0		-							
3123 Postage	83		-							
3132 Noncap Office Furn/Office Syst	0		-							
3117 Educational	0		-							
3121 Office Supplies	0		-							
3122 Microfilming/Photo. Supplies	0		-							
3124 Printing	0		-							
3126 Repair & Maintenance/Supplies	0		-							
3128 Non-Capitalized Equipment	0		-							
3940 Electricity	0		-							
3950 Gasoline	0		-							
4100 Other Operating Expenses	0		-							
4140 Dues & Memberships	200		-							
4151 Interest Late Payments	0		-							
4170 Miscellaneous Fees	127		155				160		160	
4180 Official Functions	0		-							
4220 Registration Fees	695		1,224							
5891 Distribution to Individuals	0		-							
6140 Buildings and Improves. to Bldg.	0		-							
6210 Other Capital Equipment	0		-							
6224 Off Furn Fixtures Direct Purchase	5,183		-							
6280 Other Capital Equipment (direct purchase)	0		-							
6410 ADP Equipment-Lease Purchase	0		-							
Expense Subtotal:	23,321		30,455				25,160		25,160	

SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

Department of Law

CAB CUSTODIAL 19A

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>EXPENSE TOTAL:</b>	<b>23,321</b>		<b>30,455</b>				<b>25,160</b>		<b>25,160</b>	
Cash Funds Exempt	23,321		30,455				25,160		25,160	
<b>GRAND TOTAL</b>	23,321		30,455				-		-	
General Fund	0		-				-		-	
General Fund Exempt	0		-				-		-	
Cash Funds							-		-	
Reappropriated	23,321		30,455				-		-	
Federal Funds							-		-	



### SCHEDULE 3 - CUSTODIAL PROGRAM DETAIL

Department of Law

IDENTITY THEFT FINANCIAL FRAUD #19Q

Item	Actual FY13		Actual FY14		Approp FY15		Estimate FY15		Request FY16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
AARD - Transfer DOL to CDPS	80,400		80,800				80,800		80,800	
<b>Expense Subtotal:</b>	80,400		80,800				80,800		80,800	
<b>EXPENSE TOTAL:</b>	<b>80,400</b>		<b>80,800</b>				<b>80,800</b>		<b>80,800</b>	
Cash Funds Exempt	80,400		80,800				80,800		80,800	
<b>GRAND TOTAL</b>	80,400		80,800				80,800		80,800	
General Fund	-		-				-		-	
General Fund Exempt	-		-				-		-	
Cash Funds							-		-	
Cash Funds Exempt	80,400		80,800				80,800		80,800	
Federal Funds							-			



## SCHEDULE 2 - PROGRAM SUMMARY

**Department of Law**

**DNA Grant**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
/DNA Grant	464,512	2.8	201,051	1.1	0	0.0	-	0.0	-	
General Fund	-		-		-		-		-	
General Fund Exempt					-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds					-		-			
Federal Funds	464,512	2.8	201,051		-		-			

## SCHEDULE 3 - PROGRAM DETAIL

**Department of Law**

**DNA Grant**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>I. POSITION DETAIL</b>										
First Asst Attorney General			11,267	0.1						
Senior Asst Attorney General	58,210	0.6	14,757	0.1					-	0.0
Accountant II	39,361	0.2	10,217	0.1						
Asst Attorney General	90,000	1.0	22,637	0.2					-	0.0
Criminal Investigator II	79,200	1.0	38,742	0.5					-	0.0
<b>TOTAL POSITION DETAIL</b>	266,771	2.8	97,619	1.1					-	-
<b>(I.A.) CONTINUATION FTE SALARY COSTS</b>										
(Permanent FTE by position)										
Federal DNA Grant	266,771	2.8	97,619	1.1					-	0.0
<b>(I.B.) OTHER PERSONAL SERVICES</b>										
PERA on Continuation Subtotal	21,661		8,351						-	
Medicare on Continuation Subtotal	3,107		1,178						-	
Temporary Wages	-		2,588							
Non-Base Building Performance Awards										
Contractual	1,000		78						-	
Furlough Days	-		-						-	
Other	734		1,551							
<b>SUBTOTAL</b>	26,501		13,745						-	
<b>(I.C.) PERSONAL SERVICES SUBTOTAL=</b>										
<b>A+B</b>	293,272	2.8	111,365	1.1			-	0.0	-	0.0
<b>(I.D.) POTS EXPENDITURES</b>										
Health/Life Dental	28,698		8,385						-	
Salary Survey							-			
Performance Awards							-			
Short Term Disability	399		152				-		-	
SB 04.257 A.E.D.	6,863		2,781				-		-	
SB 06.235 S.A.E.D.	5,902		2,558				-		-	
Other							-		-	

### SCHEDULE 3 - PROGRAM DETAIL

Department of Law

DNA Grant

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
[ ] Indicates a Non-add										
<b>(I.E.) BASE PERSONAL SERVICES TOTAL= C+D</b>	335,135	2.8	125,241	1.1			-	0.0	-	0.0
<b>(I.F.) DIFFERENCE= II- I.E.</b>									-	
<b>(I.G.) REQUEST YEAR DECISION ITEMS</b>										
General Fund										
Cash Funds										
Reappropriated Funds										
<b>II. PERSONAL SERVICES REQUEST TOTAL</b>	335,135	2.8	125,241	1.1			-	0.0	-	0.0
General Fund										
Cash Funds	-		-							
## Reappropriated Funds	-		-							
Federal Funds	335,135		125,241				-		-	

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**DNA Grant**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<b>OPERATING EXPENSES</b>										
1930 Litigation	68		-						-	
2210 Bldg Maintenance/Repair Svcs	-		-							
2230 Equipment Maintenance/Repair Svcs	-		-							
2231 ADP Equip Maint/Repair Services	-		166							
2252 Motor Pool Mileage Charge	-		-						-	
2254 Rental of Motor Vehicles	-		-							
2255	8,400		-							
2258 Parking Fees	-		-							
2512 IS Personal Travel Per Diem	1,963		1,265							
2513 IS Personal Vehicle Reimbursement	-		-							
2530 Out of State Travel	-		-							
2531 OS Common Carrier Fares	-		-							
2532 OS Personal Travel Per Diem	-		-							
2533 OS Personal Vehicle Reimbursement	-		-							
2630 Comm Svcs Div of Telecom	877		-							
2631 Comm Svcs from Outside Sources	729		618							
2641 Other ADP Billings - Purchase Services	-		-							
2660 Insurance	483		504							
2680 Contract Printing	913		-							
3114 Custodial	-		-							
3115 DP Supplies	27		-							
3116 Purchase/Leased Software	-		-							
3117 Educational	-		-							
3120 Books & Subscriptions	930		801							
3121 Office Supplies	1,104		355							
3122 Microfilming/Photo. Supplies	-		-							
3123 Postage	545		314							
3124 Printing	35		-							
3126 Repair & Maintenance/Supplies	-		-							
3128 Non-Capitalized Equipment	-		5							
3132 Non-Capitalized Furn/Office Sust	-		-							
3140 Non-Capitalized IT - PCs	2,364		-							
3141 Non-Capitalized IT - Servers	-		-							
3143 Non-Capitalized IT - Other	90		-							

**SCHEDULE 3 - OPERATING PROGRAM DETAIL**

**Department of Law**

**DNA Grant**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
3146 Non-Capitalized IT Purchased Server SW	-		-							
4100 Other Operating Expenses	-		-							
4140 Dues & Memberships	(113)		-							
4170 Miscellaneous Fees	31		-							
4180 Official Functions	38		-							
4220 Registration Fees	850		628							
5110 GRANTS-CITIES	109,242		70,801							
EJJJ Law to Judicial	800		355							
<b>Operating Expense Subtotal:</b>	129,376		75,810				-		-	
<b>Decision Item</b>										
<b>OPERATING EXPENSE TOTAL:</b>	<b>129,376</b>		<b>75,810</b>				-		-	
General Fund										
General Funds Exempt										
Cash Funds	-		-							
Federal Funds	129,376		75,810				-		-	
<b>TOTAL Auto Theft Grant</b>	<b>464,512</b>	<b>2.8</b>	<b>201,051</b>	<b>1.1</b>	-	<b>0.0</b>	-	<b>0.0</b>	-	<b>0.0</b>
General Fund										
General Fund Exempt										
Cash Funds	-		-							
Reappropriated Funds	-		-		-		-		-	
Federal Funds	464,512		201,051			0.0	-		-	
<b>RECONCILIATION OF FUNDS</b>										
Long Bill Appropriation							-			
Supplemental HB 10-1305	-		-							
PERA, AED, SAED adjustments										
Removal of one time equipment purchases										
Classified Salary POTS										
Health/Life/Dental										
Short Term Disability										
SB 04.257 A.E.D.										
SB 06.235 S.A.E.D.										

### SCHEDULE 3 - OPERATING PROGRAM DETAIL

**Department of Law**

**DNA Grant**

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Capital Complex Lease Space										
Workers Compensation										
Vehicle Lease Allocation										
IT Asset Maintenance										
ADP Capital Outlay Allocation										
Building Security										
Rollforward from Previous FY year										
Restriction										
Overexpenditure/(Reversion) - GF										
Lapsed Appropriation Reappropriated Funds										
Lapsed Appropriation Federal Funds	-		-							
<b>TOTAL RECONCILIATION</b>	0	0.0	-	0.0			-	0.0	-	
<b>GRAND TOTAL</b>	<b>464,512</b>	<b>2.8</b>	<b>201,051</b>	<b>1.1</b>	-	<b>0.0</b>	-	<b>0.0</b>	-	<b>0.0</b>
General Fund	-		-		-		-		-	
Federal Funds	<b>464,512</b>		<b>201,051</b>		-		-		-	

**SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES**

**Department of Law**

**DNA Grant**

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
<b>Schedule 3 Total</b>	DNA Grant	464,512	201,051	-	-	-
General Funds		-	-	-	-	-
General Funds Exempt		-	-	-	-	-
Cash Funds		-	-	-	-	-
Reappropriated Funds		-	-	-	-	-
Federal Funds		464,512	201,051	-	-	-
<b>Reappropriated Funds</b>		-	-	-	-	-
<b>Federal Funds</b>						
Federal DNA Grant		464,512	201,051		-	-