



COLORADO

FFY 2012

Annual Performance Report

Federal IDEA, Part C Services

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Colorado Department of Human Services
people who help people



**Part C State Annual Performance Report (APR)
for FFY 2012 (July 1, 2012 - June 30, 2013)**

Introduction to the Development of the FFY 2012 APR: The Colorado Department of Human Services (CDHS), Office of Early Childhood (OEC), Division for Community and Family Support (DCFS), Early Intervention Colorado (EI Colorado), was the lead agency for Part C of the Individuals with Disabilities Education Act (IDEA) in Colorado for FFY 2012. The DCFS developed the APR with broad stakeholder input from the Colorado Interagency Coordinating Council (CICC), the Memorandum of Understanding (MOU) Sub-Committee of the CICC (which consists of the five state agencies primarily responsible for early intervention (EI) services at the state level), feedback from the 20 Community Centered Board (CCB) EI programs, which represent the local EI programs delivery system and other state and local interagency partners.

Overview of the APR Development for this Indicator: The DCFS collected the information for Indicator 1 with broad stakeholder input from the CICC, the CCBs and feedback from other key interagency partners. Additional feedback was provided by the CDHS Executive Management Team.

Monitoring Priority: Early Intervention Services in Natural Environments

Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Account for untimely receipt of services, including the reasons for delays.

FFY	Measurable and Rigorous Target	Actual Target Data for FFY 2012
2012 (2012-2013)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.	97.49%

Definition of “timely” receipt of services: Colorado defines timely as services to be initiated as soon as possible but no later than 28 calendar days from the date the parent(s) consents to services on the Individualized Family Service Plan (IFSP).

Data Analysis: The data on the number of infants and toddlers from all of the 20 CCBs who had initial IFSP and subsequent six month, annual and other periodic reviews were captured in the data analysis of all children who had an EI service initiated between July 1, 2012 and June 30, 2013. Colorado collects data from all EI programs in the statewide web-based DDDWeb data system and reports for 100% of the children for whom new services were listed on an initial IFSP and/or subsequent six month, annual or other periodic review.

7,618 = Number of IFSPs with new services

6,116 = Number of IFSPs with timely initiation of services

1,311 = Number of IFSPs with late initiation of services due to exceptional family circumstances

191 = Number of IFSPs who had late initiation of services due to system delays

97.49% = (7,618 - 1,311 - 191 = 6,116 + 1,311 = 7,427 divided by 7,618)

The range of reasons for services to begin late due to exceptional family circumstances included:

- Multiple dates offered within the 28-day timeline but family schedule prohibited timely start - 800;
- Family requested a date for the service to begin that fell outside the 28-day timeline - 319;
- Illness of family or child - 120;
- Family not home when provider arrived for scheduled service date - 37;
- Difficulty reaching family, family did not return calls or family went on vacation so provider could not schedule timely start of service - 30; and,
- Extreme weather conditions where the length of the delay in the receipt of services was directly proportional to the duration and severity of the disruption caused by the extreme weather conditions - 5.

The range of reasons for services to begin late due to system reasons included:

- Difficulty finding provider in the geographic area - 148;
- Provider rescheduled due to illness or personal issue - 36; and,
- Difficulty finding interpreter - 7.

Discussion of Improvement Activities Completed for FFY 2012:

	FFY	State Performance Plan Activities	Progress on Improvement Activities Completed in FFY 2012
1.1	2006	A committee will be established from the CICC and higher education personnel to develop a strategic plan for professional training and education opportunities/ DDD staff, CICC and higher education representatives.	Completed in FFY 2006.
1.2	2007	Written notification of noncompliance and Plan of Correction (POC) procedures will be revised to ensure that CCBs are informed of and timely correct areas of noncompliance/ DDD staff.	Completed in FFY 2007.

1.3	2008-2009	DDD and the CICC will use data from the APR and review of policies from other states to analyze the feasibility of maintaining 28-days as the rigorous measure for “timely services” and revise the state measurement, if appropriate/ DDD, CCB Early Intervention Workgroup and CICC.	Completed in FFY 2008.
1.4	2005-2008	A parent training curriculum will be developed, and materials about the IFSP process, with an emphasis on service delivery and new state regulations on insurance and Child Health Plan Plus (CHP+) funding will be developed and distributed/ DDD staff, Training Cadre, PEAK Parent Center staff and contract staff.	Completed in FFY 2008.
1.5	2007-2010	DDD will implement revised procedures for focused monitoring that effectively identify root causes for barriers to providing timely services for those CCBs that are low performing on Indicator 1/ DDD staff and interagency partners.	Completed in FFY 2009.
1.6	2008-2010	Colorado will participate as a pilot state for the National Early Childhood Professional Development Enhancement Center (NECPDE) in the use of EI professional development materials and methodology/ DDD staff and NECPDE staff.	Completed in FFY 2009.
1.7	2008-2010	DDD will collaborate with professional development faculty and programs to develop a strategic plan for professional training and education opportunities/ DDD staff, CICC, contract staff and SpecialQuest State Leadership Team.	Completed in FFY 2009.

1.8	2008-2010	Direct service provider recruitment activities will be developed to address personnel shortages, including the development and distribution of a printed and web-based brochure to encourage professional and paraprofessional interest in EI careers/ DDD staff, contract staff and local EI programs.	Completed in FFY 2009.
1.9	2007-2010	Training and technical assistance will be provided on the use of assistive technology for infants and toddlers with assistive technology service needs identified through their IFSP/ DDD staff and contract staff.	Completed in FFY 2009.
1.10	2008-2010	A statewide database of EI providers will be developed and maintained to identify where personnel shortage exists, what area(s) of expertise providers have, and what specialized trainings have been completed by providers/ DDD staff and contract staff.	Completed in FFY 2009.
1.11	2005-2012	Statewide training and technical assistance will be provided on the transdisciplinary/ primary service provider model/ DCFS staff and contract staff.	Completed. In FFY 2012, a total of 2,416 copies of the brochure, “Transdisciplinary/ Primary Service Provider Model” were disseminated by EI Colorado. Training on using the transdisciplinary/primary service provider model to provide instruction within natural environments was provided to three pilot communities as a part of “Routines-Based Interview” (RBI) training. Sixty-six providers and family members participated from communities in the Denver Metro, Southern and Western areas of the state. An activity on “Key Principles of EI Service Delivery” was included in a total of 10 Service Coordination Core Competencies (SCCC) and IFSP trainings provided to 163 participants.

1.12	2006-2012	An ongoing assessment and analysis of personnel needs will be conducted to identify where personnel shortage exists, in what area of expertise and focus recruitment activities to address shortages/ DCFS staff, CICC, Early Childhood Professional Development Team and higher education.	Completed. In FFY 2012, all new EI providers were required to enter their information into the EI Colorado Provider Database. Current providers were required to update their information, including adding additional licenses or training obtained. The database was used to determine the distribution of providers throughout the state, including discipline and areas of specialty, and to assist in identifying personnel shortages.
1.13	2006-2012	Training for service coordinators and providers on IFSP development with emphasis on services (timely delivery, documentation, funding hierarchy) will be continued/ DCFS staff and contract staff.	Completed. In FFY 2012, timely service initiation was addressed in detail during the required SCCC and IFSP trainings for 163 participants.
1.14	2006-2012	Training and technical assistance will be provided to local data managers on revised fields and requirements in the state web-based data system/ DCFS staff.	Completed. In FFY 2012, training and technical assistance was provided to local data managers and EI program managers through the data instructions, EI program meetings and statewide technical assistance calls for all of the 20 CCBs.
1.15	2007-2012	Training and technical assistance will be provided to the CCBs on the implementation of the Coordinated System of Payments (CSP) (CRS 27-10.5-706)/ DCFS staff.	Completed. In FFY 2012, information on the use of the funding hierarchy through the CSP was provided through a webinar for 20 EI Coordinators. Instructional content was updated and incorporated into the required SCCC and IFSP trainings.
1.16	2007-2012	The DCFS will monitor all 20 of the CCBs for compliance on Indicator 1, using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance and require POCs when noncompliance is identified/ DCFS staff.	Completed. In FFY 2012, all 20 of the CCBs were monitored and POCs issued if warranted.

1.17	2007-2012	A statewide curriculum and system for EI paraprofessional training will be developed and implemented, including training for supervisors/ DCFS staff and contract staff.	<p>Completed. In FFY 2012, the Comprehensive Training Opportunities for Para-educators for Early Intervention Services (CO-TOP*EIS) project continued to certify paraprofessionals (Developmental Intervention (DI) Assistants). Twenty-eight DI Assistants completed certification. The 15 academies and practicum were formatted into a hybrid educational format which was presented to the community college system and will be offered through community colleges as accredited courses in Fall, 2013. Competencies for supervisors of DI Assistants are in development.</p>
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Explanation of Progress or Slippage for FFY 2012: The target of 100% was not met, but progress was made in the percentage of children who received their EI services within the 28-day timeline from 96.50% reported in FFY 2011 to 97.49% in FFY 2012.

To address the 2.51% of noncompliance that occurred in FFY 2012 for Indicator 1, the DCFS reviewed the improvement activities and is maintaining them as written.

In order to achieve progress in this Indicator, edit reports within the statewide database were made available to CCB staff in order to identify gaps in data entry to ensure the validity and reliability of data collected and to enable self-monitoring of the program’s performance. Reports calculate time elapsed since parental consent for services to begin, which allows the CCBs to monitor the progress toward the initiation of each service for each child.

Additionally, through the CDHS C-Stat process, a management strategy that analyzes performance using the most currently available data, this Indicator is reviewed as one of the performance measures and has provided valuable feedback on strategies for program improvement at the local level. Several technical assistance calls were conducted throughout the year with EI program managers and service coordinators to talk about strategies for improving timeliness of service delivery to address both system and family reasons for late service initiation.

The DCFS conducted multiple root cause analysis activities to identify any broad systemic issues in relation to family exceptions to timely service initiation:

- Data was analyzed and “family schedule” was identified as the most frequent reason for services to begin late;
- A survey was sent out to the CCBs asking for specific detail on all children whose services began late and “family schedule” was listed as the reason during a one-month period of time;

- The DCFS confirmed that all cases had been offered multiple date options and that off-business hours were not an issue for providers; and,
- Documentation received showed the most common reason for the family schedule to be listed was because the family could not find the time due to previously scheduled appointments or personal obligations.

The conclusion from the root cause analysis was that the CCBs were sufficiently working with families' schedules, but families were not always ready to begin services within 28 days. Technical assistance was provided during a statewide call with service coordinators from all 20 of the CCBs to provide strategies for writing meaningful IFSPs and having clear communication with families regarding the benefits of beginning services as quickly as possible. Service coordinators were provided the opportunity to share specific situations with each other on the call, and the general consensus was that while service coordinators and providers had a sense of urgency to begin services within 28 days, this wasn't always a high priority for families, who were satisfied with starting services when they could clear their schedule.

Status of Correction of Noncompliance Reflected in the FFY 2011 APR: To address the 3.50% noncompliance reported in FFY 2011, a total of three findings of noncompliance were issued for Indicator 1.

As required in the "Office of Special Education Programs (OSEP) Colorado Part C FFY 2011 SPP/APR Response Table", the DCFS has verified that each of the three CCB EI programs with noncompliance reflected in the data reported for this indicator:

- 1) Is correctly implementing 34 C.F.R. 303.340(c), 303.342(e), and 303.344(f)(1) (i.e., achieved 100% compliance) based on a review of updated data subsequently collected;
 - The DCFS verified 100% compliance for the three CCB EI programs through a review of data for a full population of children for whom new EI services began during a one-month time period.
- 2) Has initiated services, although late, for any child whose services were not initiated in a timely manner, unless the child is no longer within the jurisdiction of the CCB EI program, consistent with "OSEP Memorandum 09-02", dated October 17, 2008 (OSEP Memo 09-02).
 - The DCFS verified through a review of data within the DDDWeb data system that all children for whom services were not initiated in a timely manner had their services initiated unless the child was no longer within the jurisdiction of the CCB EI program.

The DCFS publicly reported local program performance, including status determinations, by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In January 2013, reports for FFY 2011 were publicly released and published on the website at www.eicolorado.org under the Documents and Reports tab.

For the CCB who was in year two or more of the status determination category of "Needs Assistance", the DCFS issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines and Resources for FFY 2013, if applicable: The DCFS reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 1.

**Part C State APR
for FFY 2012 (July 1, 2012 - June 30, 2013)**

Overview of the APR Development for this Indicator: The DCFS collected the information for Indicator 2 with broad stakeholder input from the CICC, the CCBs and feedback from other key interagency partners.

Monitoring Priority: Early Intervention Services in Natural Environments

Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings) divided by the (total # of infants and toddlers with IFSPs)] times 100.

FFY	Measurable and Rigorous Target	Actual Target Data for FFY 2012
2012 (2012-2013)	95% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or community-based settings.	99.78%

Data Analysis: Data for Indicator 2 were collected from the “Table 2 Report of Program Setting Where Early Intervention Services are Provided to Children with Disabilities and Their Families in Accordance with Part C”.

5,989 = Total infants and toddlers with IFSPs on October 1, 2012

Service delivery settings:

5,908 = Home

68 = Community

13 = Other

99.78% = (5,908 + 68) = 5,976 divided by 5,989

Discussion of Improvement Activities for FFY 2012:

	FFY	State Performance Plan Activities	Progress on Improvement Activities Completed in FFY 2012
2.1	2005	A parent training curriculum will be developed and piloted along with associated materials/ CDE staff, Training Cadre and contract staff.	Completed in FFY 2005.

2.2	2006	A committee from the CICC and higher education personnel will be established to develop a strategic plan for professional training/education opportunities/ DDD staff, CICC and higher education representatives.	Completed in FFY 2006.
2.3	2007	Written notification of noncompliance and POC procedures will be revised to ensure that CCBs are informed of and timely correct areas of noncompliance/ DDD staff.	Completed in FFY 2007.
2.4	2007	The state database will be modified to be web-based to allow for closer monitoring of focus areas, such as the delivery of services in natural environments/ DDD staff.	Completed in FFY 2007.
2.5	2005-2008	Training for direct service providers specific to supporting children with significant social emotional concerns will be provided and a statewide cadre of resources specialists (resource areas including working with families impacted by homelessness, child abuse and neglect and substance abuse or exposure) will be created/ DDD staff, Training Cadre, CSEFEL, Child Abuse Protection and Treatment Act (CAPTA) training team and contract staff.	Completed in FFY 2008.
2.6	2006-2008	Parent training will be provided about the IFSP process, with an emphasis on service delivery in every day routines, activities and places, and associated materials will be developed for statewide dissemination/ DDD staff, PEAK Parent Center and contract staff.	Completed in FFY 2008.
2.7	2007-2009	DDD will implement revised procedures for focused monitoring that effectively identify root cause of barriers for those CCBs that are low performing on this indicator/ DDD staff.	Completed in FFY 2009.

2.8	2005-2010	Statewide training and technical assistance will be provided on the transdisciplinary/primary service provider model/ DDD staff and contract staff.	Completed in FFY 2010
2.9	2007-2010	The committee to expand and maintain the comprehensive system of personnel development will be continued/ DDD staff, CICC, higher education, national consultants and other professional development resources.	Completed in FFY 2010.
2.10	2005-2012	Technical assistance will be developed and disseminated through multiple methods (tiered training, establishment of cadre of regional consultants specializing in Autism Spectrum Disorders (ASD), and web-based resources) for direct service providers working with children on the autism spectrum/ DCFS staff.	Completed. In FFY 2012, the “Early Intervention Colorado Autism Guidelines for Infants and Toddlers” were available to order free of charge in booklet form through the EI Colorado website. A total of 457 copies of the guidelines were distributed.
2.11	2005-2012	The Service Coordination Core Competencies training and required training on IFSP development with emphasis on service locations will continue/ DCFS staff.	Completed. In FFY 2012, three required SCCC trainings were held throughout the state with 48 participants meeting the Colorado competencies for service coordination. Seven required IFSP trainings were held throughout the state with 115 participants. Participants included EI providers, evaluation team members, service coordinators, community agency members and higher education faculty and students.

2.12	2005-2012	Parents and service providers will be provided training at the statewide Parents Encouraging Parents (PEP) conference on the IFSP process with an emphasis on services in natural environments (i.e., every day routines, activities and places)/ DCFS staff.	Completed. In FFY 2012, an interactive workshop on the IFSP process was presented at three PEP conferences. This workshop focused on strategies for parent involvement during the IFSP process with an emphasis on the provision of services in the child and family’s everyday routines, activities and places. Early intervention providers and parents from throughout the state attended with an average of 20 participants per workshop. Approximately 60% of participants were parents of children eligible for EI services.
2.13	2008-2012	Materials will be developed and disseminated to families about the IFSP process, with an emphasis on service delivery in everyday routines, activities and places/ DCFS staff.	Completed. In FFY 2012, a total of 9,509 copies of “Family Guide II: Individualized Family Service Plan and Orientation to Early Intervention Services” were disseminated by the DCFS: 7,284 (77%) English; and 2,225 (23%) Spanish.
2.14	2008-2012	Parents and service providers will be provided training at the statewide Conference on Inclusive Education on the IFSP process with an emphasis on services in natural environments (i.e. everyday routines, activities, and places)/ DCFS staff.	Completed. In FFY 2012, a presentation was made at the Conference on Inclusive Education. This presentation primarily addressed topics of the 2011 changes to IDEA regulations and transition based on the request of the conference coordinators. Consideration of natural environments and the skills needed for the success of the child were discussed as part of the transition plan and process. The 24 attendees included parents, administrators, early intervention providers and preschool staff.

2.15	2007-2012	The DCFS will monitor all 20 of the CCBs for compliance on related requirements for Indicator 2, using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance and require POCs when noncompliance is identified/ DCFS staff.	Completed. In FFY 2012, all 20 of the CCBs were monitored.
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Explanation of Progress or Slippage for FFY 2012: The target of 95.00% was met and exceeded. There was an increase in performance from 99.76% reported in FFY 2011 to 99.78% in FFY 2012.

Continued success in meeting the target for Indicator 2 was due to emphasis in training and technical assistance to service coordinators and service providers on the delivery of services in natural environments and strategies for implementing appropriate services in home and community settings.

In those situations when an EI service is provided in a setting other than a natural environment, the IFSP team must provide justification in the child’s IFSP. Any justification must document, at a minimum:

- The reason a child’s outcomes cannot be met in a natural environment;
- Why the setting was chosen; and,
- Strategies to move toward providing services in the child and family’s natural environment (during every day routines, activities and places).

The DCFS publicly reported local program performance, including status determinations, by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In January 2013, reports for FFY 2011 were publicly released and published on the website at www.eicolorado.org under the Documents and Reports tab.

For the CCB who was in year two or more of the status determination category of “Needs Assistance”, the DCFS issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines and Resources for FFY 2013, if applicable: The DCFS reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 2.

**Part C State APR
for FFY 2012 (July 1, 2012 - June 30, 2013)**

Overview of the APR Development for this Indicator: The DCFS collected the information for Indicator 3 with broad stakeholder input from the CICC, the CCBs and feedback from other key interagency partners. Technical assistance was accessed through the Early Childhood Outcomes (ECO) Center. Additional feedback was provided by the CDHS Executive Management Team.

Monitoring Priority: Early Intervention Services in Natural Environments

Measurement:

Outcomes:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/communication); and
- C. Use of appropriate behaviors to meet their needs.

Progress categories for A, B and C:

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

Summary Statements for Each of the Three Outcomes:

Summary Statement 1: Of those infants and toddlers who entered or exited early intervention below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 1: Percent = # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in category (d) divided by [# of infants and toddlers reported in progress category (a) plus # of infants and toddlers reported in progress category (b) plus # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in progress category (d)] times 100.

Summary Statement 2: The percent of infants and toddlers who were functioning within age expectations in each Outcome by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 2: Percent = # of infants and toddlers reported in progress category (d) plus # of infants and toddlers reported in progress category (e) divided by the [total # of infants and toddlers reported in progress categories (a) + (b) + (c) + (d) + (e)] times 100.

Indicator 3: Target Data and Actual Target Data for FFY 2012:

Summary Statements	Targets FFY 2012 % of children	Actual FFY 2012 % of children
Outcome A: Positive social-emotional skills (including social relationships)		
1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they exited the program	68.00%	72.04%
2. The percent of children who were functioning within age expectations in Outcome A by the time they exited the program	75.00%	66.76%
Outcome B: Acquisition and use of knowledge and skills (including early language/communication and early literacy)		
1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they exited the program	62.00%	79.14%
2. The percent of children who were functioning within age expectations in Outcome B by the time they exited the program	67.00%	48.66%
Outcome C: Use of appropriate behaviors to meet their needs		
1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they exited the program	64.00%	77.18%
2. The percent of children who were functioning within age expectations in Outcome C by the time they exited the program	78.00%	67.81%

Progress Data for Part C Children FFY 2012:

A. Positive social-emotional skills (including social relationships):	Number of children	% of children
a. Percent of children who did not improve functioning	23	0.92%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	407	16.24%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	403	16.08%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	705	28.13%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	968	38.63%
Total	N= 2,506	100%

B. Acquisition and use of knowledge and skills (including early language/communication):	Number of children	% of children
a. Percent of children who did not improve functioning	18	0.72%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	451	18.00%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	817	32.61%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	962	38.40%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	257	10.26%
Total	N= 2,505	100%

C. Use of appropriate behaviors to meet their needs:	Number of children	% of children
a. Percent of children who did not improve functioning	25	1.00%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	376	14.98%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	407	16.22%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	949	37.81%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	753	30.00%
Total	N= 2,510	100%

Data Analysis: Data for Indicator 3 were collected for children who exited EI services between July 1, 2012 and June 30, 2013, had been in EI services at least six months, were at least six months of age when entry data were collected and had both entry and exit data conducted through the Child Outcomes Summary Form (COSF) process.

Discussion of Improvement Activities for FFY 2012:

	FFY	State Performance Plan Activities	Progress on Improvement Activities Completed in FFY 2011
3.1	2006-2007	Training and technical assistance will be offered to all CCBs regarding the full implementation of Results Matter/ DDD staff and contract staff.	Completed in FFY 2007.
3.2	2006-2007	Recommendations will be developed on how best to report progress data for the FFY 2006 APR (submitted February 1, 2008)/ DDD staff, Results Matter staff, contract staff and ECO Center staff.	Completed in FFY 2007.
3.3	2006-2008	Information on the child outcome initiative will be disseminated statewide through communication in various formats to engage and communicate with multiple stakeholders about the child outcome initiative, Results Matter/ DDD staff, Results Matter staff, contract staff and ECO Center staff.	Completed in FFY 2008.

3.4	2006-2009	A system will be developed to enhance statewide capacity to provide ongoing training on the four assessment tools, including a train-the-trainer initiative for the AEPS (the primary tool used by EI programs in Colorado)/ DDD staff, Results Matter staff, contract staff and ECO Center staff.	Completed in FFY 2009.
3.5	2006-2009	Statewide training, mentoring and technical assistance will be provided for direct service providers and local EI staff on the four assessment systems/ DDD staff, Results Matter staff and contract staff.	Completed in FFY 2009.
3.6	2006-2009	Training and technical assistance on the online data systems and reports used to collect and analyze ongoing child outcomes data across the four assessment systems will be developed and implemented/ DDD staff, Results Matter staff, contract staff and ECO Center staff.	Completed in FFY 2009.
3.7	2007-2010	Work will continue with the ECO Center to review and analyze data in order to ensure the validity and reliability of the data and improve the overall outcomes measurement system/ DDD staff and ECO Center staff.	Completed in FFY 2010.
3.8	2007-2010	Work will continue with the publishers of the online data collection systems for each tool in order to ensure data reporting is appropriate to the outcomes being measured and in alignment with federal requirements/ DDD staff and ECO Center staff.	Completed in FFY 2010.
3.9	2010-2011	The EI Provider Database will be modified to collect child outcomes data and create reports/ DCFS staff and contract staff.	Completed in FFY 2011.
3.10	2010-2011	The DCFS will work collaboratively with the CDE to develop an interagency agreement on data sharing to monitor the compliance for Indicator 3/ DCFS staff and CDE staff.	Completed in FFY 2011.

3.11	2006-2012	Data will be collected and analyzed for use at the federal, state and local levels to inform families, child-level planning, local program-level training, technical assistance and impact of services, and state-level training and technical assistance and results of services/ DCFS staff, contract staff and ECO Center staff.	Completed. In FFY 2012, COS data was collected through the EI Provider Database and record review, and informed the training and technical assistance activities provided to the CCBs.
3.12	2010-2012	Training and technical assistance on the use of the COS to collect child outcomes data will be provided to the CCBs and providers including service coordinators/ DCFS staff, ECO Center staff and locally identified trainers.	Completed. In FFY 2012, the DCFS provided the required COS training via the online webinar training platform to 90 participants statewide. Individualized technical assistance was provided to all 20 of the CCBs throughout the year.
3.13	2010-2011	Materials will be developed to inform families, service coordinators and service providers about the EI Colorado child and family outcomes measurement system/ DCFS staff.	Completed in FFY 2011.
3.14	2010-2012	Materials will be developed and disseminated statewide to describe EI Colorado child and family outcomes publicly reported data/ DCFS staff, Child Outcomes Task Force and CICC.	Completed. In FFY 2012, the “Child and Family Outcomes” brochure was disseminated to 3,596 families who were included in the “Family Outcomes Survey” and the CICC and made available on the website for service coordinators, service providers and families to download and print.
3.15	2012	Technical assistance will be provided to the CCBs to ensure that child outcomes data is being collected with fidelity/ DCFS staff.	Completed. In FFY 2012, ongoing technical assistance was provided to the CCBs via technical assistance calls and the statewide meeting held for early intervention coordinators in May.
3.16	2012	Monitoring will be conducted to ensure the collection of child outcomes data in all three areas by the CCBs through a combination of random samples, desk audit and record review/ DCFS staff.	Completed. In FFY 2012, five onsite monitoring visits were conducted to review the COS process and random samples of COSF were collected from two CCBs and reviewed by DCFS staff.

3.17	2012	DCFS staff will participate in the ECO-sponsored technical assistance including workgroups, community of practice activities and attendance at the annual Child Outcomes Conference/ DCFS staff.	Completed. In FFY 2012, DCFS staff participated in monthly community of practice calls specifically focused on the integration of the COS and IFSP processes. DCFS staff also participated in workgroups to develop technical assistance regarding the integration of the COS and IFSP processes.
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Explanation of Progress or Slippage for FFY 2012:

3A: Summary Statement 1: The target of 68.00% was met and exceeded. There was an improvement from 69.60% reported in FFY 2011 to 72.04% in FFY 2012.

Summary Statement 2: The target of 75.00% was not met. There was a decrease from 73.20% reported in FFY 2011 to 66.76% in FFY 2012.

3B: Summary Statement 1: The target of 62.00% was met and exceeded. There was an improvement from 74.50% reported in FFY 2011 to 79.14% in FFY 2012.

Summary Statement 2 - The target of 67.00% was not met. There was a decrease from 59.20% reported in FFY 2011 to 48.66% in FFY 2012.

3C: Summary Statement 1: The target of 64.00% was met and exceeded. There was improvement from 75.40% reported in FFY 2011 to 77.18% in FFY 2012.

Summary Statement 2: The target of 78.00% was not met. There was a decrease from 73.30% reported in FFY 2011 to 67.81% in FFY 2012.

Guidance from the Early Childhood Outcomes (ECO) Center states that high quality child outcomes data should:

- Be at least 28% of the total children who exited;
- Not have more than 10% of children in category “a” (did not improve functioning); and,
- Not have more than 65% of children in category “e” (maintained functioning at level of same-aged peers).

The Colorado FFY 2012 child outcomes data confirms quality by showing:

- The number reported is 49% of children who exited the program;
- The number reported in category “a” ranges from .72% to 1.00% across all three outcomes; and,
- The number reported in category “e” ranges from 10.25% to 38.63% across all three outcomes.

Beginning July 1, 2011, the DCFS required that all child outcomes data be collected through the Child Outcomes Summary (COS) process and discontinued use of the online publisher systems. Some CCBs opted to implement the COS process starting in January 2011. Progress results for any children who entered prior to December 31, 2010 are not included in the measurement to avoid reporting data through multiple data sources.

EI providers that previously conducted the child outcomes activities using the online publisher systems reported that the entry ratings for many of the children imported into the EI Provider Database were too high. After reviewing the data DCFS staff determined that the ratings were calculated correctly but did not always accurately reflect a child’s level of functioning. In contrast, the COS process provides a more accurate method to determine progress for children receiving EI services by:

- Utilizing multiple methods for authentic assessment to determine the measurements (e.g., family information, assessment tool measurements and observation);
- Providing local communities greater flexibility in the choice of assessment tools used as part of the child outcomes data collection process;
- Facilitating continuity for infants and toddlers and their families by incorporating information gathered during intake and transition activities into the child outcomes measurements process and fully utilizing the expertise of Child Find teams;
- Eliminating the application of an additional assessment of the child and family by using information from assessments already completed;
- Providing, through review of individual child records, a mechanism for monitoring data collection and inter-rater reliability; and
- Creating a greater understanding of the purpose of the three child outcomes for parents, providers and service coordinators.

The current targets were determined by baseline data collected through the previous method for collecting child outcomes data. The full cohort of children exiting EI will be measured by the COS process by June 30, 2014, and a more relevant baseline will be available.

The CDHS has implemented C-Stat, a management strategy that analyzes performance using the most currently available data. The CDHS has selected this Indicator as one of the performance measures and through this process, several activities have occurred to analyze:

- Whether a correlation exists between any number of factors (demographics, age at entry/exit, length of time in service) and progress – no discernable difference;
- The impact of level of delay at entry on progress made – not statistically significant;
- The area of delay at entry – some correlation, but not statistically significant; and,
- Individual record review of children in category “a” to ensure that ratings were determined with fidelity – ratings were verified as accurately reflecting child’s functioning in relation to same-age peers at entry and exit.

Additionally, the DCFS conducted five onsite monitoring visits including CCBs serving both rural and urban populations to review COS records. All records reviewed showed a good understanding of how to determine a rating and additional technical assistance was provided regarding the documentation of supporting evidence to support the rating.

The DCFS publicly reported local program performance, including status determinations, by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In January 2013, reports for FFY 2011 were publicly released and published on the website at www.eicolorado.org under the Documents and Reports tab.

For the CCB who was in year two or more of the status determination category of “Needs Assistance”, the DCFS issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines and Resources for FFY 2013, if applicable: The DCFS reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 3.

**Part C State APR
for FFY 2012 (July 1, 2012 - June 30, 2013)**

Overview of the APR Development for this Indicator: The DCFS collected the information for Indicator 4 with broad stakeholder input from the CICC, the CCBs and feedback from other key interagency partners.

Monitoring Priority: Early Intervention Services in Natural Environments

Indicator 4: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children’s needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

- A. Percent = # of respondent families participating in Part C who report with a score of 5 out of 7 or higher that early intervention services have helped the family know their rights divided by the # of respondent families participating in Part C times 100.
- B. Percent = # of respondent families participating in Part C who report with a score of 5 out of 7 or higher that early intervention services have helped the family effectively communicate their children’s needs divided by the # of respondent families participating in Part C times 100.
- C. Percent = # of respondent families participating in Part C who report with a score of 5 out of 7 or higher that early intervention services have helped the family help their children develop and learn divided by the # of respondent families participating in Part C times 100.

FFY	Measurable and Rigorous Target	Actual Target Data for FFY 2012
2012 (2012-2013)	A. 85% of respondent families participating in Part C report that early intervention services have helped the family know their rights.	A) 92%
	B. 88% of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children’s needs.	B) 94%
	C. 85% of respondent families participating in Part C report that early intervention services have helped their children develop and learn.	C) 95%

Data Analysis: The results for Indicator 4 were taken from data compiled in June 2013 that were obtained through distribution of the “EI Colorado Family Outcome Survey” to all families statewide who had an active IFSP and who were receiving EI services for at least six months as of March 31, 2013. The instrument was a self-report survey completed by one or more family members based on a seven-point scale. A response of five or greater was considered to be an indicator of outcome attainment. The survey was distributed to 3,596 families statewide. The number of returned surveys was 908 resulting in a 25% response rate.

4A. Percentage of families participating in Part C who report that EI services have helped the family know their rights.

903 = Number of parent respondents
 834 = Number who had a response of five or greater
92% = (834 divided by 903)

4B. Percentage of families participating in Part C who report that EI services have helped the family effectively communicate their children’s needs.

906 = Number of parent respondents
 853 = Number who had a response of five or greater
95% = (853 divided by 906)

4C. Percentage of families participating in Part C who report that EI services have helped the family help their children develop and learn.

906 = Number of parent respondents
 861 = Number who had a response of five or greater
94% = (861 divided by 906)

As summarized in the following tables, results are representative by program size (number expected to serve), race or ethnicity and gender, comparing the demographic characteristics for families to whom the survey was sent to those who responded.

Program Size	Percentage of Surveys Distributed	Total Survey Responses	Percentage of Surveys Returned
Extra Small Programs (30 or less)	0.8%	2	0.2%
Small Programs (31-100)	6.0%	52	5.7%
Medium Programs (101-399)	22.0%	167	18.4%
Large Programs (400 or more)	71.2%	687	75.7%
Total Responses	100%	908	100%

Family Race/Ethnicity	Percentage of Surveys Distributed	Total Survey Responses	Percentage of Surveys Returned
American Indian/Alaska Native	.4%	0	0%
Asian	2.7%	23	2.5%
Black	3.4%	15	1.6%
Hawaiian/Pacific Islander	0.1%	1	0.1%
Hispanic/Latino	31.4%	294	32.4%
Two or More Races	5.3%	33	3.7%
White	56.7%	542	59.7%

Gender of Child	Percentage of Surveys Distributed	Total Survey Responses	Percentage of Surveys Returned
Female	38.7%	354	39.0%
Male	61.3%	554	61.0%

Discussion of Improvement Activities Completed for FFY 2012:

	FFY	State Performance Plan Activities	Progress on Improvement Activities Completed in 2012
4.1	2005	A parent training curriculum will be developed and piloted along with associated materials about the IFSP process, family rights and communication strategies/ CDE staff, Training Cadre, PEAK Parent Center staff and contract staff.	Completed in FFY 2005.
4.2	2007	Targets for 4A, 4B and 4C will be revised based on two years data from surveys/ DDD staff and Results Matter staff.	Completed in FFY 2007.

4.3	2005-2006	Continue work with the Early Childhood State Systems Team Parent Leadership Task Force to develop most effective and efficient methodologies for contacting and communicating with families to assure information is provided and families know their local and state level resources for support, and information will be disseminated and technical assistance provided to CCBs/ CDE staff and Parent Leadership Task Force.	Completed in FFY 2006.
4.4	2005-2007	The “Inspiring Futures” publication will be revised and disseminated/ DDD staff.	Completed in FFY 2007.
4.5	2005-2008	The ABCs of Parent Leadership training will be provided annually/ DDD staff and PEAK Parent Center staff.	Completed in FFY 2008.
4.6	2006-2008	Parent training will be provided on a regional basis and materials disseminated statewide/ DDD staff and contract staff.	Completed in FFY 2008.
4.7	2007-2008	Revisions will be made to the documents distributed to families, as well as the distribution process in order to improve responses, representativeness and usefulness of the information/ DDD staff, CICC workgroup and Results Matter staff.	Completed in FFY 2008.
4.8	2007-2009	DDD will work with the Legal Center for People with Disabilities and Older People (Legal Center) to provide regional training to EI staff and families regarding procedural safeguards/ DDD staff and Legal Center staff.	Completed in FFY 2008.
4.9	2008-2009	Strategies and procedures used in other states to implement the family outcomes survey will be reviewed to determine if they may be applicable in Colorado to improve return rates, demographic representation and overall outcomes/ DDD staff and Results Matter staff.	Completed in FFY 2009.

4.10	2005-2012	Statewide training on the IFSP process, family rights, and communication strategies will be provided for parents and service providers two times per year at the Parents Encouraging Parents (PEP) conference/ DCFS staff.	Completed. In FFY 2012, an interactive workshop on the IFSP process, including family rights and successful communication strategies, was presented at three PEP conferences. Materials on family rights were distributed at these workshops. Early intervention providers and parents from throughout the state attended, averaging 20 participants per workshop. Approximately 60% of participants were parents of children eligible for early intervention.
4.11	2005-2012	A statewide survey will be conducted of families currently receiving EI services and/or within one year of exiting EI services using family survey tool/ DCFS staff.	Completed. In FFY 2012, the “Family Outcomes Survey” for children in EI services was distributed in March 2013 to 3,596 families who were currently receiving EI services for at least six months.
4.12	2005-2012	Required Service Coordination Core Competencies Training will continue to provide training on understanding and communicating parental rights, communication strategies for families/ DCFS staff.	Completed. In FFY 2012, the required SCCC training incorporated changes to procedural safeguards relevant to the new federal Part C regulations. A key component of the required SCCC training was the utilization of procedural safeguards materials and strategies for ensuring that families are presented with, and understand, these rights. Three trainings were held throughout the state with 48 participants meeting the Colorado competencies for service coordination.

4.13	2007-2012	Data from family surveys will be collected and analyzed by each local program in order to provide an additional source of data for local status determinations/ DCFS staff.	Completed. In FFY 2012, state aggregate data were available by race or ethnicity, age of child, length of stay in service, gender and local program size. Data were collected through an online system and reports generated by the DCFS. A report with individual program data for each CCB was sent prior to a technical assistance call with EI program coordinators on the topic of the Family Outcomes Survey.
4.14	2007-2012	Written directions will be provided to local programs on preparing families for the survey and encouraging families to complete the survey within one month of the distribution/ DCFS staff.	Completed. In FFY 2012, materials were distributed and reinforced through multiple communications with local CCBs.
4.15	2007-2012	Assistance will be provided to Spanish-speaking support groups to distribute and support completion of the survey among Spanish-speaking families/ DCFS staff and contract staff.	Completed. In FFY 2012, bilingual callers were trained to conduct outreach to Spanish-speaking families.
4.16	2008-2012	Technical assistance will be accessed in the area of statistical data analysis of the family outcomes data in order to identify possible issues contributing to the lack of progress/ DCFS staff.	Completed in 2011.
4.17	2008-2012	Materials for families on rights and procedural safeguards will be revised and disseminated statewide and training on the use of the materials will be provided to service coordinators/ DCFS staff.	Completed. In FFY 2012, a total of 17,917 copies of “Family Rights: Notice of Family Rights and Procedural Safeguards within the Early Intervention System” were disseminated by the DCFS: 14,267 (80%) English; and 3,650 (20%) Spanish. In situations where other languages were spoken by families, the procedural safeguards were provided in the family’s language.

4.18	2010-2012	Materials will be developed to inform families, service coordinators and service providers about the EI Colorado child and family outcomes measurement system/ DCFS staff.	Completed in 2011.
4.19	2010-2012	Materials will be developed and disseminated statewide to describe EI Colorado child and family outcomes publicly reported data/ DCFS staff and CICC.	Completed. In FFY 2012, the “Child and Family Outcomes” brochure was disseminated to 3,596 families who were included in the “Family Outcomes Survey” and were made available on the website for service coordinators, service providers and families to download and print.

Explanation of Progress or Slippage for FFY 2012:

4A: The target of 85.00% was met and exceeded. There was a decline in performance from 95.00% reported in FFY 2011 to 92.00% in FFY 2012.

4B: The target of 88.00% was met and exceeded. There was a slight decline in performance from 95.00% reported in FFY 2011 to 94.00% in FFY 2012.

4C: The target of 85.00% was met and exceeded. There was progress from 94.00% reported in FFY 2011 to 95.00% in FFY 2012.

The DCFS conducted a number of activities to support the response rate and representativeness of the EI Colorado Family Outcomes Survey including:

- Providing statewide technical assistance regarding the family outcomes measurements to support and educate individuals working directly with families included in the survey cycle, such as service coordinators and providers;
- Working closely with parent callers and interpreters to support the completion of the “Early Intervention Colorado Family Outcomes Survey” among families whose primary language is not English. A total of 161 surveys were completed as a result of this strategy; and,
- Offering an option for families to complete the “Early Intervention Colorado Family Outcomes Survey” online. Fifty-nine responses were received through this method.

An additional question was added to the survey in order to collect some baseline data regarding early literacy activities and relate to the newly developed “Colorado Early Learning & Development Guidelines”. Families were asked if EI services helped their family include early literacy activities in their daily routines with their child related to: Reading; speaking; listening; and, and pre-writing. The percentages of families who indicated that EI services had helped them include early literacy activities were: Reading 63%; Speaking 86%; Listening 73%; and Pre-writing 48%.

The DCFS publicly reported local program performance, including status determinations, by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In early January 2013, reports for FFY 2011 were publicly released and published on the website at www.eicolorado.org under the Documents and Reports tab.

For the CCB who was in year two or more of the status determination category of “Needs Assistance”, the DCFS issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines and Resources for FFY 2013, if applicable: The DCFS reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 4A, 4B and 4C.

**Part C State APR
for FFY 2012 (July 1, 2012 - June 30, 2013)**

Overview of the APR Development for this Indicator: The DCFS collected the information for Indicator 5 with broad stakeholder input from the CICC, the CCBs and feedback from other key interagency partners. Additional information was gathered from the Neonatal Intensive Care Unit (NICU) service coordination staff, Assuring Better Child Health and Development (ABCD) Project and physician consultants.

Monitoring Priority: Effective General Supervision Part C/Child Find

Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.
(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to national data.

FFY	Measurable and Rigorous Target	Actual Target Data for FFY 2012
2012 (2012-2013)	Colorado’s identification rate for infants birth to 1 with IFSPs will be 1.05%	1.00%

Data Analysis: The Colorado identification rate for infants 0-12 months was 1.00% (659 infants from a population of 66,093) according to the OSEP “Table C1-9, Number and Percent of infants and toddlers receiving EI services under IDEA, Part C, by age and state: 2012”. The 1.00% is in comparison to 1.06% for the national average.

Discussion of Improvement Activities Completed for FFY 2012:

	FFY	State Performance Plan Activities	Progress on Improvement Activities Completed in FFY 2012
5.1	2005	A notification document will be developed for statewide procedures for early and comprehensive identification of children who are in the public health system (PHS) and who are also eligible for EI services/ CDE staff and contract staff.	Completed in FFY 2005.
5.2	2005	Focused monitoring activities will be conducted to identify successful outreach to medical and health system personnel for referrals and IFSP development status and need areas/ CDE staff and contract staff.	Completed in FFY 2005.

5.3	2006	A state level protocol will be developed for eligibility questions on specific established conditions through the advisement of a state advisory group/ DDD staff, contract staff and advisory group.	Completed in FFY 2006.
5.4	2006	The needs of infants and toddlers with disabilities and their families in the Southern Ute and Ute Mountain Ute Indian Tribes within the State of Colorado explored and defined, and a determination made as to what resources are needed to meet those needs/ DDD staff and contract staff.	Completed in FFY 2006.
5.5	2006	A statewide training will be developed for local communities to support the establishment of local interagency operating agreements that define a referral process for children identified under CAPTA/ DDD staff, Child Welfare staff and contract staff.	Completed in FFY 2006.
5.6	2006	A technical assistance publication will be developed on guidance for the primary care provider role in referral and ongoing involvement in the IFSP process/ DDD staff, contract staff and physician advisor on CICC.	Completed in FFY 2006.
5.7	2007	A rigorous definition of developmental delay and categorical eligibility will be developed/ DDD staff, CICC workgroup and key stakeholders.	Completed in FFY 2007.
5.8	2005-2006	Technical assistance will be provided on the identification of babies by hospital personnel and initial IFSP development for infants in the NICU, hospital or recently discharged infants/ DDD staff and contract staff.	Completed in FFY 2006.

5.9	2005-2007	Training on systems collaboration will be developed for the Health Care Program for Children with Special Needs (HCP) and local EI programs through early involvement of public health staff in the IFSP process/ DDD staff and state Department of Public Health and Environment (CDPHE) staff.	Completed in FFY 2007.
5.10	2008–2009	DDD will implement revised procedures for focused monitoring that effectively identify root causes of barriers for those CCBs that are low performing on this indicator/ DDD staff.	Completed in FFY 2009.
5.11	2008-2010	Materials will be developed for state and local public awareness and outreach efforts to families who are homeless or in the child welfare system, including drug affected, foster care and children in cases of substantiated abuse and neglect/ DDD staff and Child Welfare staff.	Completed in FFY 2010.
5.12	2005-2012	Public awareness materials will be developed or updated for general public and specific populations, in multiple media formats/ DCFS staff.	Completed. In FFY 2012, a total of 92,055 family public awareness materials were disseminated by the DCFS: 74,070 (80%) English; and 17,985 (20%) Spanish. Public awareness materials were revised to reflect EI system updates in Colorado.
5.13	2005-2012	NICU service coordination staff will support early referral and development of initial IFSP and family involvement in NICU settings statewide/ Contract staff.	Completed. In FFY 2012, the NICU Service Coordination staff served 411 infants and their families.
5.14	2005-2012	Technical assistance to Child Find and EI referral systems on best practices will be provided for outreach to all populations, including child care providers, families who are homeless or in the child welfare system, including drug affected, foster care and children in cases of substantiated abuse and neglect/ DCFS staff and Child Welfare staff.	Completed. In FFY 2012, the DCFS and the CDE worked together to provide joint technical assistance to all Child Find teams and CCB staff to address roles and responsibilities related to the child identification and evaluation process. All 20 of the CCBs and their corresponding Child Find teams were represented.

5.15	2006-2012	Information from Trace Center website on evidenced-based strategies to communicate with physician offices will be reviewed, and information will be integrated into public awareness materials for local offices and disseminated statewide to provide technical assistance on outreach/ DCFS staff and contract staff.	Completed. In FFY 2012, ongoing technical assistance regarding the use of the “Referral Status Update” form occurred for all 20 of the CCBs statewide. Through collaboration with the ABCD Project, 92% of all pediatric practices in Colorado were using a standardized developmental screening tool as a routine component of well child visits.
5.16	2008-2012	The DCFS will monitor all 20 of the CCBs for compliance on related requirements for Indicator 5, using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance and require POCs when noncompliance is identified/ DCFS staff.	Completed. In FFY 2012, all 20 of the CCBs were monitored.

Explanation of Progress or Slippage for FFY 2012: The target of 1.05% was not met but shows progress from .91% reported in FFY 2011 to 1.00% in FFY 2012.

Colorado is below the national average of 1.06% but above the average identification rate of 0.88% with states of similar population size (+/-10,000), excluding those who serve at-risk children and families: Colorado, Arizona, Connecticut, Georgia, Kentucky, Louisiana, Minnesota, Missouri, Puerto Rico, South Carolina, Washington and Wisconsin.

Staff with the EI Colorado NICU program continues to work with hospital staff to integrate the referral process into the discharge planning process of Level III NICUs in Colorado.

Physicians are a strong referral source, and the DCFS continues to collaborate closely with the ABCD Project as the program expands across Colorado.

In FFY 2012, 45% of all practices with the potential to see children (pediatric and family practices) in Colorado screened infants and toddlers using a standardized developmental screening tool. The majority of practices that screen do so as a best practice and minimally try to achieve the American Academy of Pediatrics (AAP)-recommended standardized developmental screening intervals of 9, 18 and 24 or 30 months of age. Eighty percent of practices who work with the ABCD Project refer children to the EI Colorado program.

The DCFS publicly reported local program performance, including status determinations, by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In January 2013, reports for FFY 2011 were publicly released and published on the website at www.eicolorado.org under the Documents and Reports tab.

For the CCB who was in year two or more of the status determination category of “Needs Assistance”, the DCFS issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines and Resources for FFY 2013, if applicable: The DCFS reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 5.

**Part C State APR
for FFY 2012 (July 1, 2012 - June 30, 2013)**

Overview of the APR Development for this Indicator: The DCFS collected the information for Indicator 6 with broad stakeholder input from the CICC, the CCBs and feedback from other key interagency partners including physician consultants and CDE Child Find staff.

Monitoring Priority: Effective General Supervision Part C/Child Find

Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to national data. (20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to national data.

FFY	Measurable and Rigorous Target	Actual Target Data for FFY 2012
2012 (2012-2013)	Colorado’s identification rate of infants and toddlers birth to three with IFSPs will be 2.5%	3.00%

Data Analysis: The Colorado identification rate for infants and toddlers birth to three years of age was 3.00% (5,989 from a 0-3 population of 199,337) according to the OSEP “Table C1-9 Number and Percent of infants and toddlers receiving EI services under IDEA, Part C, by age and state: 2012”. The 3.00% is in comparison to 2.77% for the national average.

Discussion of Improvement Activities Completed for FFY 2012:

	FFY	State Performance Plan Activities	Progress on Improvement Activities Completed in FFY 2012
6.1	2005	CDE will participate in a grant funded by the U.S. Department of Health and Human Services (October 2004) to investigate, promote collaboration between the Child Welfare System, EI and Early Care and Education System and disseminate best practices data statewide/ CDE staff, Child Welfare staff and local EI program staff.	Completed in FFY 2005.
6.2	2005	CDE and Child Welfare will publish a joint letter directing that all children in substantiated cases of abuse and neglect be referred by Child Welfare agencies to the local EI system/ CDE staff and Child Welfare staff.	Completed in FFY 2005.

6.3	2006	DDD will collaborate with Child Welfare to develop a statewide training for local communities to support the establishment of local interagency operating agreements that define a referral process for children identified under CAPTA/ DDD staff, Child Welfare staff and contract staff.	Completed in FFY 2006.
6.4	2006	A technical assistance publication will be developed on guidance for the primary care provider role in referral and ongoing involvement in the IFSP process/ DDD staff, contract staff and physician advisor on CICC.	Completed in FFY 2006.
6.5	2006	The needs of infants and toddlers with disabilities and their families in the Southern Ute and Ute Mountain Ute Indian Tribes within the State of Colorado explored and defined, and a determination made as to what resources are needed to meet those needs/ DDD staff and contract staff.	Completed in FFY 2006.
6.6	2005-2006	State-level interagency policies and procedures will be developed for state and local public awareness and outreach efforts to families who are homeless or in the child welfare system, including drug affected, foster care and children in cases of substantiated abuse and neglect/ DDD staff and Child Welfare staff.	Completed in FFY 2006.
6.7	2006-2007	A rigorous definition of developmental delay and categorical eligibility will be developed/ DDD staff, CICC and key stakeholders.	Completed in FFY 2007.
6.8	2006-2007	A web-based training and technical assistance paper of procedures for referring all children in substantiated cases of abuse and neglect will be developed/ DDD staff, Child Welfare staff and contract staff.	Completed in FFY 2007.
6.9	2008–2009	DDD will implement revised procedures for focused monitoring that effectively identify root causes of barriers to providing expected child identification/ DDD staff.	Completed in FFY 2009.

6.10	2007-2010	A train the trainer model will be developed for those identifying and working with children with social emotional needs/ DDD staff, CSEFEL and contract staff.	Completed in FFY 2010.
6.11	2007-2010	Technical assistance materials will be developed to identify and support toddlers with social/emotional needs/ DDD staff, CICC/MOU sub-committee, CSEFEL and contract staff.	Completed in FFY 2010.
6.12	2005-2012	Technical assistance to Child Find and EI referral systems on best practices will be provided for outreach to all populations, including families who are homeless or in the child welfare system, including drug affected, foster care and children in cases of substantiated abuse and neglect/ DCFS staff, CDE and Child Welfare staff.	Completed. In FFY 2012, the DCFS and the CDE worked together to provide joint technical assistance to all Child Find teams and CCB staff to address roles and responsibilities related to the child identification and evaluation process. All 20 CCs and their corresponding Child Find teams were represented.
6.13	2006-2012	Information from Trace Center website on evidenced based strategies to communicate with physician offices will be reviewed and information will be integrated into public awareness materials for local offices and disseminated statewide to provide technical assistance on outreach/ DCFS staff and contract staff.	Completed. In FFY 2012, ongoing technical assistance regarding the use of the “Referral Status Update” form occurred for all 20 of the CCBs statewide.
6.14	2008-2012	The DCFS will monitor all 20 of the CCBs for compliance on related requirements for Indicator 6, using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance and require POCs when noncompliance is identified/ DCFS staff.	Completed. In FFY 2012, all 20 of the CCBs were monitored.
6.15	2008-2012	Materials will be developed for state and local public awareness and outreach efforts to families who are homeless or in the child welfare system, including drug affected, foster care and children in cases of substantiated abuse and neglect)/ DCFS staff and Child Welfare state staff.	Completed. In FFY 2012, a total of 92,055 family public awareness materials were disseminated by the DCFS: 74,070 (80%) English; and 17,985 (20%) Spanish. Public awareness materials were revised to reflect EI system updates in Colorado.

Explanation of Progress or Slippage for FFY 2012: The target of 2.5% was met and exceeded. The FFY 2012 actual target data demonstrates improvement in the identification rate for infants birth to three years of age with IFSPs from 2.88% reported in FFY 2011 to 3.00% in FFY 2012.

Progress in this indicator continues to be attributed to efforts to increase the use of standardized developmental screening as a routine part of a well-child visit within pediatric health care practices to promote early identification and referral of infants and toddlers in Colorado.

In FFY 2012, 45% of all practices with the potential to see children (pediatric and family practices) in Colorado screened infants and toddlers using a standardized developmental screening tool. The majority of practices that screen do so as a best practice and minimally try to achieve the AAP-recommended standardized developmental screening intervals of 9, 18 and 24 or 30 months of age. Eighty percent of practices who work with the ABCD Project refer children to EI.

The DCFS publicly reported local program performance, including status determinations, by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In January 2013, reports for FFY 2011 were publicly released and published on the website at www.eicolorado.org under the Documents and Reports tab.

For the CCB who was in year two or more of the status determination category of Needs Assistance, the DCFS issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines and Resources for FFY 2013, if applicable: The DCFS reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 6.

**Part C State APR
for FFY 2012 (July 1, 2012 - June 30, 2013)**

Overview of the APR Development for this Indicator: The DCFS collected the information for Indicator 7 with broad stakeholder input from the CICC, the CCBs and feedback from other key interagency partners, including CDE state staff and local Child Find staff.

Monitoring Priority: Effective General Supervision Part C/Child Find

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C’s 45-day timeline) divided by the (# of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted)] times 100.

Account for untimely evaluations, assessments, and initial IFSP meetings, including reasons for delays.

FFY	Measurable and Rigorous Target	Actual Target Data for FFY 2012
2012 (2012-2013)	100% of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting will be conducted within Part C’s 45-day timeline.	98.94%

Data Analysis: The data on the number of infants and toddlers from all 20 of the CCBs who received timely evaluation and assessment and an initial IFSP meeting were captured in the statewide data analysis of all children who were referred between July 1, 2012 and June 30, 2013, who had been in the system for 45 days and should have had a multidisciplinary evaluation, assessment and initial IFSP meeting conducted. Colorado collects data from all EI programs in a statewide data system and reports for 100% of the eligible children referred.

4,801 = Total Initial IFSPs

4,024 = Total Timely IFSPs

726 = Untimely IFSPs due to exceptional family circumstances

51 = Untimely IFSPs due to system delays

98.94% = (4,801 – 726 – 51 = 4,024 + 726 = 4,750 divided by 4,801)

The range of reasons for the initial IFSP meeting to be conducted late due to exceptional family circumstances included:

- Family schedule - 328;
- Family emergency, illness or hospitalization of child – 233;

- Multidisciplinary assessment and/or IFSP meeting scheduled and family canceled - 62;
- Difficulty reaching family - 68;
- Difficulty scheduling with biological parent in foster care situations where family rights were still intact -14; and
- Extreme weather conditions where the length of the delay in conducting the evaluation, assessment or initial IFSP meeting was directly proportional to the duration and severity of the disruption caused by the extreme weather conditions – 21.

The range of reasons for the initial IFSP meeting to be conducted late due to system reasons included:

- Difficulty scheduling a Child Find evaluation - 21;
- Evaluation scheduled and then rescheduled by evaluation team – 20
- Service coordinator or member of assessment team ill - 10.

Discussion of Improvement Activities Completed for FFY 2012:

	FFY	State Performance Plan Activities	Progress on Improvement Activities Completed in FFY 2012
7.1	2007	DDD will collaborate with CDE to revise the state MOU that includes strategies to improve compliance with the multidisciplinary evaluation and 45-day timeline requirements/ DDD and CDE staff.	Completed in FFY 2007.
7.2	2007	Technical assistance will be provided to CCBs to implement the new Child Find legislation/ DDD staff.	Completed in FFY 2007.
7.3	2007	Technical assistance will be provided to CCBs to develop interagency operating agreements with their administrative units (AUs) regarding procedures to meet the requirements related to Indicator 7/ DDD staff.	Completed in FFY 2007.
7.4	2007	The state data system will be revised to collect more accurate and reliable data for Indicator 7 and to enable the state to better track progress or slippage/ DDD staff.	Completed in FFY 2007.
7.5	2007-2010	The state EI rules will be revised to incorporate changes to the Child Find legislation (CRS 27-10.5-140)/ DDD staff.	Completed in FFY 2010.
7.6	2008-2010	DDD will develop a process for funding CCBs to reimburse private providers for team consultation and attendance at IFSP meetings/ DDD staff.	Completed in FFY 2010.

7.7	2008-2010	DDD will provide training and technical assistance to CCBs on appropriate identification of family vs. system reasons for delays/ DDD staff.	Completed in FFY 2010.
7.8	2008-2010	DDD will collaborate with CDE to ensure that vision and hearing screenings occur for infants and toddlers during eligibility evaluations and initial assessments/ DDD and CDE staff.	Completed in FFY 2010.
7.9	2008-2010	DDD will implement revised procedures for focused monitoring that effectively identify root causes of barriers for those CCBs that are low performing on this indicator/ DDD staff.	Completed in FFY 2010.
7.10	2008-2010	DDD will provide technical assistance to CCBs to increase funding for service coordination through expanding the number of eligible children and families served through Medicaid Targeted Case Management (TCM)/ DDD staff.	Completed in FFY 2010.
7.11	2008-2010	Materials for families about the IFSP process, with an explanation of the multidisciplinary evaluation and IFSP development, will be developed and distributed statewide/ DDD staff and contract staff.	Completed in FFY 2010.
7.12	2005-2012	Service Coordination Core Competencies Training with a module on the IFSP process, including evaluation process and timelines will continue to be provided/ DCFS staff and contract staff.	Completed. In FFY 2012, three required SCCC trainings were held throughout the state with 48 participants meeting the Colorado competencies for service coordination. Training curriculum incorporates the evaluation and IFSP process and timelines.
7.13	2006-2012	The CCBs will develop and implement interagency operating agreements with their local AUs to ensure timely evaluations and assessments and initial IFSPs/ DCFS staff, CDE staff and CCBs.	Completed. In FFY 2012, all 20 of the CCBs were provided technical assistance to update their local interagency agreements (IAs) to align with the new federal regulations. All 20 CCBs have IAs in place until the new versions can be signed.

7.14	2008-2012	The DCFS will monitor all twenty of the CCBs for compliance on Indicator 7, using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance, and require POCs when noncompliance is identified/ DCFS staff.	Completed. In FFY 2012, all 20 of the CCBs were monitored and POCs issued when warranted.
7.15	2011-2012	The DCFS will update training and technical assistance materials to support the revised state EI rules related to Indicator 7/ DCFS staff.	Completed in 2012. In FFY 2012, training and technical assistance materials were updated to reflect revisions to state rules. Participants from all 20 of the CCBs took part in a technical assistance call on rule changes.

Explanation of Progress or Slippage for FFY 2012: The target of 100% was not met and the data show a very slight decline in performance from 99.10% reported in FFY 2011 to 98.94% in FFY 2012.

To address the 1.06% of noncompliance that occurred in FFY 2012 for Indicator 7, the DCFS has reviewed the improvement activities and is maintaining them as written.

Edit reports within the statewide database are made available to CCB staff in order to identify gaps in data entry to ensure the validity and reliability of data collected and to enable self-monitoring of their performance.

Status of Correction of Noncompliance Reflected in the FFY 2011 APR: To address the .90% noncompliance reported in FFY 2011, a total of two findings were issued for Indicator 7.

As required in the “Office of Special Education Programs (OSEP) Colorado Part C FFY 2011 SPP/APR Response Table”, the DCFS has verified that each of the two CCB EI programs with noncompliance reflected in the data reported for this indicator:

1) Is correctly implementing 34 C.F.R. 303.321(e)(2), 303.322(e)(1), and 303.342(a) (i.e., achieved 100% compliance) based on a review of updated data subsequently collected through the State data system;

- The DCFS verified 100% compliance for one CCB EI program through a review of data for a full population of children for whom a multidisciplinary evaluation and initial IFSP meeting should have been conducted during a two-month time period.
- The DCFS verified 100% compliance for one CCB EI program through a review of data for a full population of children for whom a multidisciplinary evaluation and initial IFSP meeting should have been conducted during a three-month time period.

2) Has conducted the initial evaluation, assessment, and IFSP meeting, although late, for any child for whom the 45-day timeline was not met, unless the child is no longer within the jurisdiction of the CCB EI program, consistent with the “OSEP Memo 09-02”.

- The DCFS verified through a review of data within the DDDWeb data system that all children for whom a multidisciplinary evaluation and initial IFSP meeting were not initiated in a timely manner had these activities conducted unless the child was no longer within the jurisdiction of the CCB EI program.

The DCFS publicly reported local program performance, including status determinations, by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In January 2013, reports for FFY 2011 were publicly released and are published on the website at www.eicolorado.org under the Documents and Reports tab.

For the CCB who was in year two or more of the status determination category of “Needs Assistance”, the DCFS issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines and Resources for FFY 2013, if applicable: The DCFS reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 7.

**Part C State APR
for FFY 2012 (July 1, 2012 - June 30, 2013)**

Overview of the APR Development for this Indicator: The DCFS collected the information for Indicator 8 with broad stakeholder input from the CICC, the CCBs and feedback from other key interagency partners including the CDE, which is the state education agency (SEA) and local Administrative Units, who are the local education agencies (LEA).

Monitoring Priority: Effective General Supervision Part C/Effective Transition

Indicator 8: Percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler’s third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler’s third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler’s third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = [(# of toddlers with disabilities exiting Part C who have an IFSP with transition steps and services at least 90 days, and at the discretion of all parties not more than nine months, prior to their third birthday) divided by the (# of toddlers with disabilities exiting Part C)] times 100.
- B. Percent = [(# of toddlers with disabilities exiting Part C where notification (consistent with any opt-out policy adopted by the State) to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services) divided by the (# of toddlers with disabilities exiting Part C who were potentially eligible for Part B)] times 100.
- C. Percent = [(# of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties not more than nine months prior to the toddler’s third birthday for toddlers potentially eligible for Part B) divided by the (# of toddlers with disabilities exiting Part C who were potentially eligible for Part B)] times 100.

FFY	Measurable and Rigorous Target	Actual Target Data for FFY 2012
2012 (2012-2013)	A) 100% of all children exiting Part C will have an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to their third birthday.	A) 99.17%
2012 (2012-2013)	B) 100% of children exiting Part C and potentially eligible for Part B will have notification to the SEA and LEA at least 90 days prior to their third birthday.	B) 98.90%
2012 (2012-2013)	C) 100% of children exiting Part C will have a transition conference conducted at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.	C) 96.67%

Data Analysis: The data on the number of infants and toddlers from all 20 of the CCBs who received timely transition planning were captured in the statewide data analysis of all children who turned two years and nine months between July 1, 2012 and June 30, 2013. Colorado collects data from all EI programs in a statewide data system and reports on 100% of the children who turned two years and nine months during FFY 2012.

8A. Percent of toddlers with disabilities exiting Part C who have an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to their third birthday.

3,500 = Number of children who transitioned from EI services

3,347 = Number of children with timely IFSPs with Transition Steps and Services

124 = Number of late IFSPs with Transition Steps and Services due to exceptional family circumstances

29 = Number of late IFSPs with Transition Steps and Services due to systems delays

99.17% = (3,500 – 124 – 29 = 3,347 + 124 = 3,471 divided by 3,500)

8B. Percent of children exiting Part C and potentially eligible for Part B (consistent with Colorado opt-out policy) with notification to the SEA and LEA at least 90 days prior to their third birthday.

3,368 = Number of children who were potentially eligible for Part B special education services and did not opt out of LEA notification

3,255 = Number of children with timely LEA notification

76 = Number of late LEA notifications due to exceptional family circumstances

37 = Number of late LEA notifications due to systems delays

98.90% = (3,368 – 76 – 37 = 3,255 + 76 = 3,331 divided by 3,368)

8C. Percent of children exiting Part C who have a transition conference conducted at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler’s third birthday.

3,368 = Number of transition conferences

2,852 = Number of children with timely conferences

516 = Number of late conferences due to exceptional family circumstances

112 = Number of late conferences due to systems delays

96.67% = (3,368 – 516 – 112 = 2,852 + 516 = 3,256 divided by 3,368)

The range of reasons for the IFSP with transition steps and services to be conducted late due to exceptional family circumstances included:

- Unable to schedule with family or family did not show to scheduled meeting - 91;
- Difficulty reaching family - 23;
- Child or family illness – 6; and,
- Extreme weather conditions where the length of the delay in conducting the transition conference is directly proportional to the duration and severity of the disruption caused by the extreme weather conditions - 4.

The range of reasons for the IFSP with transition steps and services to be conducted late due to systems delays included:

- Required participants were not available – 12;
- Reschedule of IFSP meeting by someone other than family – 12; and,
- Service coordinator error – 4.

The range of reasons for the LEA notification to be conducted due to exceptional family circumstances included:

- General family reason – 57;
- Family undecided when presented with opt-out policy – 13; and,
- Family previously opted out and changed mind – 6.

The range of reasons for the LEA notification to be conducted late due to systems delays included:

- General system reason – 35; and,
- Service coordinator issue – 2.

The range of reasons for the transition conference to be conducted late due to exceptional family circumstance included:

- Family schedule – 375;
- Difficulty contacting family to schedule – 52;
- Family did not attend scheduled meeting – 29;
- Child or family illness - 26;
- Family previously opted out and changed mind – 25;
- Extreme weather conditions where the length of the delay in conducting the evaluation, assessment or initial IFSP meeting was directly proportional to the duration and severity of the disruption caused by the extreme weather conditions – 8; and,
- Family originally declined conference – 2.

The range of reasons for the transition conference to be conducted late due to systems delays included:

- Required participants were not available – 61;
- The LEA or CCB rescheduled meeting – 48; and,
- Service coordinator issue – 3.

Discussion of Improvement Activities Completed for FFY 2012:

	FFY	State Performance Plan Activities	Progress on Improvement Activities Completed in FFY 2012
8.1	2005	Training and technical assistance will be provided to three local interagency groups to develop the continuous improvement plan to come into compliance with IDEA transition requirements within one year from the citation (January 2006)/ CDE Part C and Part B 619 staff.	Completed in FFY 2005.
8.2	2005	Technical assistance will be provided through documents and web-based resources from lead agency/ CDE Part C and Part B 619 staff and contract staff.	Completed in FFY 2005.
8.3	2005	Regional topical meetings with follow up technical assistance on transition will be conducted with Child Find coordinators/ CDE Part C and Part B 619 staff.	Completed in FFY 2005.
8.4	2007	State policies, procedures, forms, and interagency operating agreements will be revised to ensure compliance with transition requirements/ DDD staff and CICC/MOU sub-committee.	Completed in FFY 2007.
8.5	2007	DDDWeb web-based data system will be revised to ensure all key data points for transition are captured to ensure validity and reliability of the data from all CCBs/ DDD staff.	Completed in FFY 2007.
8.6	2005-2008	A parent training module on IFSP process, including transition, will be developed and implemented statewide through regional training/ DDD staff, Training Cadre and PEAK Parent Center mentors.	Completed in FFY 2008.

8.7	2007-2008	DDD staff will collaborate with CDE to identify Administrative Units or Local Education Agencies (AU/LEA) and CCBs that need state level technical assistance to meet transition requirements/ DDD staff and CDE staff.	Completed FFY 2008.
8.8	2007-2009	A parent handbook on transition will be developed and disseminated/ DDD staff, CICC and contractors.	Completed in FFY 2008.
8.9	2008-2009	The transition module within the state IFSP training will be revised to provide more detail on required timelines for transition and the content of transition plans. This training will be provided to EI service coordinators, early interventionists and community partners throughout the state/ DDD staff and contract staff.	Completed in FFY 2009.
8.10	2008-2009	Workshop on Part C to B transition for parents and early interventionists will be provided at the Conference on Inclusive Education / DDD staff and Training Cadre.	Completed in FFY 2009.
8.11	2008-2009	DDD will implement revised procedures for focused monitoring that effectively identify root causes of barriers for those CCBs that are low performing on this indicator/ DDD staff.	Completed in FFY 2009.
8.12	2008-2010	DDD will revise the state IFSP transition section to provide guidance to IFSP team members on required content for transition steps and services/ DDD staff.	Completed in FFY 2008.
8.13	2006-2012	The CCBs will develop, implement and revise interagency operating agreements with their local education agencies to ensure timely transitions for children exiting EI services and entering preschool services at age three/ DCFS staff, CCB staff and CCBs.	Completed. In FFY 2012, all 20 of the CCBs were provided technical assistance to update their local IAs to align with the new federal regulations. All 20 of the CCBs have IAs in place until the new versions can be signed.

8.14	2006-2012	Training on transition requirements will be continued for service coordinators in required Service Coordination Core Competencies Training/ DCFS staff and contract staff.	Completed. In FFY 2012, the required SCCC and IFSP training curricula incorporated information on transition timelines, procedures, requirements and parental rights, including changes to timelines and procedures from new federal regulations.
8.15	2007-2012	DCFS staff will collaborate with the CDE to revise the MOU to address new state statutes and rules, and new federal requirements related to transition, if necessary/ DCFS staff and CDE staff.	Completed. In FFY 2012, the DCFS and the CDE collaborated and revised and finalized the MOU and a separate Interagency Transition Agreement.
8.16	2008-2012	The DCFS will monitor all 20 of the CCBs for compliance on Indicators 8A, 8B and 8C, using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance, and require POCs when noncompliance is identified/ DCFS staff.	Completed. In FFY 2012, all 20 of the CCBs were monitored and POCs issued when warranted.
8.17	2011-2012	The DCFS will revise and update training and technical assistance materials to support the revised state EI rules related to Indicator 8/ DCFS staff.	Completed. In FFY 2012, training and technical assistance materials were updated to reflect revisions to state rules.

Explanation of Progress or Slippage for FFY 2012:

8A: The actual performance reported of 99.17% did not meet the target of 100% and FFY 2012 was the first year that timeliness was measured, so no progress or slippage can be measured against FFY 2011 performance.

8B: The actual performance reported of 98.90% did not meet the target of 100% and FFY 2012 was the first year that timeliness was measured, so no progress or slippage can be measured against FFY 2011 performance.

8C: The target of 100% was not met and slippage occurred between the 98.64% reported in FFY 2011 to 96.67% in FFY 2012.

To address the .83% of noncompliance that occurred in FFY 2012 for Indicator 8A, the DCFS reviewed the improvement activities and is maintaining them as written. Reasons for being late were collected and the data system was adjusted to start collecting reasons related to service coordinator issues. Three findings of noncompliance were issued with POCs required for two CCBs.

To address the 1.10% of noncompliance that occurred in FFY 2012 for Indicator 8B, the DCFS reviewed the improvement activities and is maintaining them as written. Reasons for being late were collected and the data system was adjusted to start collecting more specific family and system reasons. Three findings of noncompliance were issued with POCs required for two CCBs. SEA notification is conducted by the DCFS through reports generated through the statewide data system and sent to the CDE data team on a monthly basis.

To address the 3.33% of noncompliance that occurred in FFY 2012 for Indicator 8C, the DCFS reviewed the improvement activities and is maintaining them as written. Six findings of noncompliance were issued with POCs required for two CCBs.

Root cause analysis was conducted regarding the system delays for timely transition conferences. The majority of conferences were late due to “required participants not available” and “LEA/CCB reschedule”. Clarification was provided to the CCBs regarding the transition conference requirements and guidance was given to convene the conference even if an LEA representative was not able to attend. Transition was a topic covered at the CCB statewide meeting held for EI coordinators.

The DCFS worked with the CDE to create the “Early Transition Overview” module which is a self-paced, web-based module for professionals to share with families and new staff about the transition process from Part C to Part B special education services. Development of a follow-up module is in process.

Status of Correction of Noncompliance Reflected in the FFY 2011 APR: The DCFS reported 100% compliance in FFY 2011 for Indicator 8A, therefore, zero findings of noncompliance were identified. The DCFS reported 100% compliance in FFY 2011 for Indicator 8B, therefore, zero findings of noncompliance were identified.

To address the 1.36% noncompliance reported in FFY 2011, three finding of noncompliance were issued for Indicator 8C data.

As required in the “Office of Special Education Programs (OSEP) Colorado Part C FFY 2011 SPP/APR Response Table”, the DCFS has verified that each of the three CCB EI programs with noncompliance reflected in the data reported for this indicator:

- 1) Is correctly implementing 34 C.F.R. 303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)(A)(ii)(II)) (i.e., achieved 100% compliance) based on a review of updated data subsequently collected through the State data system;
 - The DCFS verified 100% compliance for the three CCB EI programs through a review of data for a full population of children for whom a transition conference should have occurred during a one-month time period;
- 2) Has conducted a transition conference, although late, for any child potentially eligible for Part B whose transition conference was not timely, unless the child is no longer within the jurisdiction of the CCB EI program, consistent with the “OSEP Memo 09-02”.
 - The DCFS verified through a review of data within the DDDWeb data system that all children for whom a transition conference was not conducted in a timely manner had a conference initiated unless the child was no longer within the jurisdiction of the CCB EI program.

The DCFS publicly reported local program performance, including status determinations, by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In January 2013, reports for FFY 2011 were publicly released and published on the website at www.eicolorado.org under the Documents and Reports tab.

For the CCB who was in year two or more of the status determination category of “Needs Assistance”, the DCFS issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines and Resources for FFY 2013, if applicable: The DCFS reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicators 8A, 8B and 8C.

**Part C State APR
for FFY 2012 (July 1, 2012 - June 30, 2013)**

Overview of the APR Development for this Indicator: The DCFS collected the information for Indicator 9 with broad stakeholder input from the CICC, the CCBs and feedback from other key interagency partners.

Monitoring Priority: Effective General Supervision Part C/General Supervision

Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification. (20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent of noncompliance corrected within one year of identification:

- a. # of findings of noncompliance.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

FFY	Measurable and Rigorous Target	Actual Target Data for FFY 2012
2012 (2012-2013)	The general supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects 100% of noncompliance as soon as possible but in no case later than one year from identification.	100.00%

Data Analysis: Data for Indicator 9 includes information from monitoring data of all 20 EI programs in FFY 2011. The applicable findings and correction are reported under each Indicator. The DCFS has monitored and verified correction:

8 = Number of findings made in FFY 2011

8 = Number of findings that were corrected no later than one year from the date of notification

100.00% = (8 divided by 8)

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 through 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011 (7/1/11 through 6/30/12)	(b) # of Findings from (a) for which correction was verified no later than one year from identification
1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other	3	3	3
	Dispute Resolution (Complaints, due process hearings)	N/A		
2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other	0	0	0
	Dispute Resolution (Complaints, due process hearings)	N/A		
3. Percent of infants and toddlers with IFSPs who demonstrate improved outcomes.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other	0	0	0
	Dispute Resolution (Complaints, due process hearings)	N/A		
4. Percent of families participating in Part C who report that early intervention services have helped the family.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other	0	0	0
	Dispute Resolution (Complaints, hearings)	N/A		
5. Percent of infants and toddlers birth to 1 with IFSPs. 6. Percent of infants and toddlers birth to 3 with IFSPs.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	N/A		

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 through 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011 (7/1/11 through 6/30/12)	b. # of Findings from (a) for which correction was verified no later than one year from identification
7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other	2	2	2
	Dispute Resolution: Complaints, Hearings	N/A		
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: A. IFSPs with transition steps and services;	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	N/A		
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: B. Notification to LEA, if child potentially eligible for Part B; and	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	N/A		
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: C. Transition conference, if child potentially eligible for Part B.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other	3	3	3
	Dispute Resolution: Complaints, Hearings	N/A		
Sum the numbers down Column a and Column b			8	8

Discussion of Improvement Activities Completed in FFY 2012:

	FFY	State Performance Plan Activities	Progress on Improvement Activities Completed in FFY 2012
9.1	2005	Data from focused monitoring activities on the referral and IFSP process in NICU's will be gathered and analyzed/ CDE staff and contract staff.	Completed in FFY 2005.
9.2	2005	The Part C state database will be utilized to incorporate all required data elements for federal and state reporting purposes/ CDE and contract staff.	Completed in FFY 2005.
9.3	2006	Based on December 1, 2006 Child Count Data, desk audits will be conducted on all indicators, determinations will be made and compliance plans will be issued in Winter 2007/ DDD staff.	Completed in FFY 2006.
9.4	2007	State policies, procedures, and contracts will be revised to ensure compliance with state and federal requirements/ DDD staff.	Completed in FFY 2007.
9.5	2007	POCs will be issued to those CCBs who show noncompliance in any area and progress will be measured in Summer 2007 to inform technical assistance and focused monitoring/ DDD staff.	Completed in FFY 2007.
9.6	2007	The database will be converted to a web-based system by Fall 2007/ DDD staff.	Completed in FFY 2007.
9.7	2007	The CCMS web-based data system will be revised to ensure all key data points for Indicators 1-8 are captured to ensure validity and reliability of the data from all CCBs/ DDD staff.	Completed in FFY 2007.
9.8	2007	DDD will revise the General Supervision and Monitoring Manual to include all of the OSEP critical elements/ DDD staff.	Completed in FFY 2007.
9.9	2006-2009	DDD will ensure CCBs develop POCs that meet state requirements for areas of noncompliance identified through any monitoring activity/ DDD staff.	Completed in FFY 2009.

9.10	2006-2009	In collaboration with the Legal Center, DDD will provide annual training on Part C of IDEA to mediators and hearing officers/ DDD staff and Legal Center staff.	Completed in FFY 2009.
9.11	2008-2009	DDD will reassign state staff and create the position of Plan of Correction Coordinator who will be responsible for tracking correction of noncompliance/ DDD staff.	Completed in FFY 2008.
9.12	2005-2012	The DCFS will monitor all communities on all SPP priority areas through statewide database and follow up investigation/ DCFS staff.	Completed. In FFY 2012, all 20 CCBs were monitored on all SPP priority areas.
9.13	2006-2012	The DCFS will continue to provide annual database trainings and ongoing technical assistance for local data managers/ DCFS staff.	Completed. In FFY 2012, technical assistance was provided on an ongoing, as-needed basis.
9.14	2006-2012	The DCFS will report annually to the public on the performance of the state and each CCB on the targets for Indicators 1-8 in the SPP by posting on the EI Colorado website and distribution to the media and public agencies/ DCFS staff and CICC.	Completed. In FFY 2012, the DCFS published performance profiles, including status determinations, for each CCB EI program on the DCFS website and distributed to system partners.
9.15	2006-2012	The DDDWeb database will be utilized to measure all required state and federal data reports/ DCFS staff.	Completed. In FFY 2012, data were collected in the DDDWeb for reporting the FFY 2012 618 data and the FFY 2012 APR.
9.16	2007-2012	The DCFS will annually disseminate a list of possible state enforcement actions for noncompliance extending beyond one year and apply appropriate enforcement actions/ DCFS staff.	Completed. In FFY 2012, a list of possible state enforcement actions was distributed and posted on the DCFS website at www.eicolorado.org .
9.17	2008-2012	The DCFS will provide data queries that the CCBs can use for self-assessment to monitor their progress in correcting noncompliance/ DCFS staff.	Completed. In FFY 2012, data queries were modified as needed to further meet the needs of local programs for self-assessment. New queries were created as needed.

9.18	2008-2012	The DCFS will assign state staff to lead technical assistance activities with the CCBs as needed/ DCFS staff.	Completed. In FFY 2012, all of the CCBs with a POC were provided relevant technical assistance to correct noncompliance.
9.19	2008-2012	The DCFS will monitor all 20 of the CCBs for compliance on all Indicators using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance and require POCs when deemed necessary by DCFS staff/ DCFS staff.	Completed. In FFY 2012, all 20 of the CCBs were monitored and a POC was issued when warranted.

Explanation of Progress or Slippage for Timely Correction of Noncompliance Identified in FFY 2012: The target of 100% was met.

Discussion of Correction of FFY 2011 Findings: In FFY 2011, DDD issued eight findings of noncompliance identified through a desk audit monitoring of data through the statewide data system.

Three findings of noncompliance were issued for Indicator 1. One hundred percent correction was verified for each of the three CCB EI programs which received a finding of noncompliance for Indicator 1:

- The DCFS verified 100% compliance for the three CCB EI programs through a review of data for a full population of children for whom new EI services should have begun during a one-month time period.

The DCFS has verified that each of the three CCB EI programs: (1) is correctly implementing 34 C.F.R. 303.340(c), 303.342(e), and 303.344(f)(1) (i.e., achieved 100% compliance) based on a review of updated data subsequently collected through the State data system; and (2) has initiated services, although late, for any child whose services were not initiated in a timely manner, unless the child is no longer within the jurisdiction of the CCB EI program, consistent with the “OSEP Memo 09-02”.

Two findings of noncompliance were issued for Indicator 7. One hundred percent correction was verified for each of the two CCB EI programs which received a finding of noncompliance for Indicator 7:

- The DCFS verified 100% compliance for the two CCB EI programs through a review of data for a full population of children for whom a multidisciplinary evaluation and initial IFSP meeting should have been conducted during a one-month time period.

The DCFS has verified that each of the two CCB EI programs: (1) is correctly implementing 34 C.F.R. 303.321(e)(2), 303.322(e)(1), and 303.342(a) (i.e., achieved 100% compliance) based on a review of updated data subsequently collected through the State data system; and (2) has conducted the initial evaluation, assessment, and IFSP meeting, although late, for any child for whom the 45-day timeline was not met, unless the child is no longer within the jurisdiction of the CCB EI program, consistent with the “OSEP Memo 09-02”.

Three finding of noncompliance were issued for Indicator 8C. One hundred percent correction was verified for the three CCB EI programs that received a finding of noncompliance for Indicator 8C:

- The DCFS verified 100% compliance for the three CCB EI programs through a review of data for a full population of children for whom a transition conference should have occurred during a one-month time period.

The DCFS has verified that each of the three CCB EI programs: (1) is correctly implementing 34 C.F.R. 303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)(A)(ii)(II)) (i.e., achieved 100% compliance) based on data subsequently collected; and (2) has conducted a transition conference, although late, for any child potentially eligible for Part B whose transition conference was not timely, unless the child is no longer within the jurisdiction of the CCB EI program, consistent with the “OSEP Memo 09-02”.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines and Resources for FFY 2013, if applicable: The DCFS reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 9.

**Part C State APR
for FFY 2012 (July 1, 2012 - June 30, 2013)**

Overview of the APR Development for this Indicator: Not applicable because Colorado has not adopted Part B due process procedures.

Monitoring Priority: Effective General Supervision Part C/General Supervision
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Indicator 12: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted). (20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = (3.1(a) divided by 3.1) times 100.
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FFY	Measurable and Rigorous Target	Actual Target Data for FFY 2012
2012 (2012-2013)	Not applicable because Part B General Supervision Part C/General Supervision are not adopted.	Not Applicable

Data Analysis: Not applicable.

Explanation of Progress or Slippage for FFY 2012: Not applicable

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines and Resources for FFY 2013, if applicable: Not applicable.

**Part C State APR
for FFY 2012 (July 1, 2012 - June 30, 2013)**

Overview of the APR Development for this Indicator: The DCFS collected the information for Indicator 13 with broad stakeholder input from the CICC, the CCBs and feedback from other key interagency partners including staff from the Legal Center for People with Disabilities and Older People.

Monitoring Priority: Effective General Supervision Part C/General Supervision

Indicator 13: Percent of mediations held that resulted in mediation agreements.
(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by 2.1] times 100.

FFY	Measurable and Rigorous Target	Actual Target Data for FFY 2012
2012 (2012-2013)	To be established once Colorado reaches the OSEP minimum of 10 mediations per year.	Not Applicable

Data Analysis: Not applicable. No mediations were filed during FFY 2011.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012: Not applicable. No mediations were filed during FFY 2012.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines and Resources for FFY 2013: Not applicable.

**Part C State APR
for FFY 2012 (July 1, 2012 - June 30, 2013)**

Overview of the APR Development for this Indicator: The DCFS collected the information for Indicator 14 with broad stakeholder input from the CICC, the CCBs and feedback from other key interagency partners.

Monitoring Priority: Effective General Supervision Part C/General Supervision

Indicator 14: State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: State reported data, including 618 data, State performance plan, and annual performance reports, are:

- a. Submitted on or before due dates (February 1 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and
- b. Accurate (describe mechanisms for ensuring error free, consistent, valid and reliable data and evidence that these standards are met).

FFY	Measurable and Rigorous Target	Actual Target Data for FFY 2012
2012 (2012-2013)	100% of State reported data (618 and State Performance Plan and Annual Performance Report) will be timely and accurate.	a. 100% b. 100% Total: 100%

Data Analysis: Data for Indicator 14 were gathered from the statewide data system for all 20 of the CCBs, APR and SPP reports. All programs were provided with edit reports for use to ensure data were complete, valid and reliable, before reporting for 618 and APR data measurements. Timeliness is measured by meeting the required deadlines noted below:

Table 1: Due by February 6, 2013 - submitted February 6, 2013

Table 2: Due by February 6, 2013 - submitted January 6, 2013

Table 3: Due by November 6, 2013 - submitted October 24, 2013

Table 4: Due by November 6, 2013 - submitted October 24, 2013

Part C Indicator 14 Data Rubric

SPP/APR Data – Indicator 14			
APR Indicator	Valid and Reliable	Correct Calculation	Total
1	1	1	2
2	1	1	2
3	1	1	2
4	1	1	2
5	1	1	2
6	1	1	2
7	1	1	2
8A	1	1	2
8B	1	1	2
8C	1	1	2
9	1	1	2
12	1	1	2
13	1	1	2
		Subtotal	26
APR Score Calculation	Timely Submission Points – If the FFY 2012 APR was submitted on time, place the number 5 in the cell on the right.		5
	Grand Total – (Sum of subtotal and Timely Submission points =		31

618 Data – Indicator 14					
Table	Timely	Complete Data	Passed Edit Check	Responded to Data Note Requests	Total
Table 1 - Child Count Due Date: 2/6/13	1	1	1	N/A	3
Table 2 - Program Settings Due Date: 2/1/13	1	1	1	N/A	3
Table 3 - Exiting Due Date: 11/6/13	1	1	1	N/A	3
Table 4 - Dispute Resolution Due Date: 11/6/13	1	1	1	N/A	3
				Subtotal	12
618 Score Calculation			Grand Total (Subtotal x 2.2)		26.4

Indicator #14 Calculation	
A. APR Grand Total	31.00
B. 618 Total	26.40
C. APR Grand Total (A) + 618 Grand Total (B) =	57.40
Total N/A in APR	0.00
Total N/A in 618	8.80
Base	57.40
D. Subtotal (C divided by Base*) =	1.00
E. Indicator Score (Subtotal D x 100) =	100.00

*Note any cell marked as N/A will decrease the denominator by 1 for APR and 2.2 for 618

Discussion of Improvement Activities Completed for FFY 2012:

	FFY	State Performance Plan Activities	Progress on Improvement Activities Completed in FFY 2012
14.1	2007	The Part C database will be transferred to the DDDWeb platform and staff will conduct training for local data managers/ DDD staff.	Completed in FFY 2007.
14.2	2007	Automated reports that can be accessed by each CCB to identify missing or incorrect data information and used for self-monitoring will be developed / DDD staff.	Completed in FFY 2007.
14.3	2007	The general supervision manual will be updated to include changes to the written notification of noncompliance and POC procedures to ensure timely correction of noncompliance/ DDD staff, CICC, NECTAC and contract staff.	Completed in FFY 2007.
14.4	2007	Public reporting and determination procedures will be revised to include more extensive criteria for measuring performance of each CCB, including timely and accurate reporting of data/ DDD staff, CICC Special Purpose Committee, NECTAC and contract staff.	Completed in FFY 2007.
14.5	2007	The Child Count data reporting date used by Colorado will be changed from December 1 to October 1 to improve the timelines for reporting data in the APR/ DDD staff.	Completed in FFY 2007.
14.6	2005-2012	DCFS staff will participate in annual OSEP/Westat Part C data trainings and OSEP Annual Meetings on APR and SPP/ DCFS staff.	Completed. In FFY 2012, DCFS staff attended the OSEP Leadership Conference in July 2012.
14.7	2005-2012	DDD staff will utilize IDEAdat.org website and listserv for updated information on Section 618 reporting requirements/ DDD staff.	Completed in FFY 2011.
14.8	2005-2012	DCFS staff will review and/or revise and explain changes to Section 618 Tables as requested by Westat staff annually or as needed/ DCFS staff.	Completed. In FFY 2012, data tables were submitted through EdFacts as required. Data notes were not requested.

14.9	2005-2012	The DDDWeb database will be updated, as needed, to include the most current required data fields to complete the Section 618 tables, APR and SPP requirements/ DCFS staff and contract staff.	Completed. In FFY 2012, data fields were revised as needed to allow for more detailed data collection for APR and SPP reporting to ensure that data reported are valid and reliable.
14.10	2005-2012	DCFS staff will periodically cross reference the DDDWeb database with multiple sources of data to verify the validity and accuracy of data used for Section 618 Tables and APR information/ DCFS staff.	Completed. In FFY 2012, DCFS staff cross-referenced the DDDWeb database with the CDPHE database in early winter to compare demographic information to report expected percentage of child count by each CCB service area for public reporting of progress toward SPP performance indicators.
14.11	2007-2012	Annual DDDWeb database training on updates to the DDDWeb Early Intervention Instruction Manual will be conducted to assure local data managers have the most updated information for completing the database/ DCFS staff.	Completed. In FFY 2012, training and technical assistance was provided to local data managers and EI program managers through updates in the data instructions, monthly EI technical assistance calls and individual technical assistance to ensure valid and reliable data.
14.12	2007-2012	The DCFS will participate with interagency partners in data mapping activities to coordinate data collection throughout the state/ DCFS staff and interagency partners.	Completed. In FFY 2012, DCFS staff participated in the Early Childhood Leadership Commission's (ECLC) data meetings to begin planning for a statewide data sharing system.
14.13	2007-2012	Through regularly scheduled desk reviews of data, DCFS staff will provide the CCBs with reports on data accuracy and follow-up one-on-one technical assistance/ DCFS staff.	Completed. In FFY 2012, data edit reports were provided to the CCBs to self-monitor data accuracy and individual, one-to-one technical assistance was provided to programs as data entry issues were identified to ensure valid and reliable data.

14.14	2008-2012	The “DDDSWeb Early Intervention Instruction Manual” will be revised to include procedures for data entry, management, reporting timelines, confidentiality, validity and reliability and backup safety/ DCFS staff.	Completed. In FFY 2012, the “DDDWeb Early Intervention Instructions Manual” was revised to include procedures for changes made to the data system regarding maximum rates, maximum allocation, and transition activities.
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Explanation of Progress or Slippage that occurred for FFY 2012: The target of 100% was met.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines and Resources for FFY 2013: The DCFS reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 14.