



COLORADO
FFY 2009
Annual Performance Report
Federal IDEA, Part C Services

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**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 2009 - June 30, 2010)**

Overview of the Annual Performance Report Development: The Colorado Department of Human Services, Division for Developmental Disabilities (DDD), as the lead agency for Part C in Colorado, developed the APR with broad stakeholder input from the Colorado Interagency Coordinating Council (CICC), the Memorandum of Understanding (MOU) Sub-Committee of the CICC (which consists of the five state agencies primarily responsible for early intervention (EI) services at the state level), feedback from Community Centered Board (CCB) EI programs (which represent the primary service delivery system), and other state and local interagency partners.

Monitoring Priority: Early Intervention Services in Natural Environments

Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:
Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.
Account for untimely receipt of services, including the reasons for delays.

| FFY | Measurable and Rigorous Target | Actual Target Data for FFY 2009 |
|---------------------|---|---------------------------------|
| 2009 (2009-2010) | 100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner. | 94.81% |

Definition of “timely” receipt of services: Colorado defines “timely” receipt of services as “services to begin as soon as possible but no later than 28 calendar days from the date the parent(s) consents to services on the IFSP.”

Data Analysis: The data on the number of infants and toddlers from all 20 CCBs who had initial Individualized Family Service Plans (IFSP) and subsequent six month, annual and other periodic reviews were captured in the statewide data analysis of all children who entered the EI system between July 1, 2009 and April 15, 2010. Colorado collects data from all EI programs in a statewide data system and reports for 100% of the children for whom new services were listed on an initial IFSP and/or subsequent six month, annual or other periodic review.

3,913 = Total number of infants and toddlers with IFSPs with new services (from initial IFSP, six month, annual or periodic review).

3,045 = Total number of infants and toddlers with IFSPs who had timely receipt of services in 28 days or sooner.

665 = Total number of infants and toddlers with IFSPs who had delayed receipt of services in 29 days or longer due to exceptional family delays.

203 = Total number of infants and toddlers with IFSPs who had delayed receipt of services in 29 days or longer due to system delays.

94.81% = (3,045 + 665 = 3,710 divided by 3,913 x 100)

The range of reasons for services to begin late due to exceptional family delays included:

- Family emergency/illness/hospitalization of child;

- Service scheduled but family cancelled;
- Multiple dates offered within the 28-day timeline but family schedule prohibited timely start;
- Difficulty reaching family/family did not return calls/family went on vacation so provider could not schedule timely start of service;
- Extreme weather conditions or a natural disaster where the length of the delay in the receipt of services is directly proportional to the duration and severity of the disruption caused by the extreme weather conditions or natural disaster; and
- Family was offered a variety of providers who could begin services timely, but the family chose to wait for a specific provider.

The range of reasons for services to begin late due to system reasons included:

- Difficulty finding provider in the geographic area;
- Provider illness or personal emergency; and
- Provider had difficulty scheduling due to holiday schedule.

Discussion of Improvement Activities Completed for FFY 2009:

| | FFY | State Performance Plan Activities | Progress on Improvement Activities Completed in FFY 2009 |
|-----|-----------|---|---|
| 1.1 | 2006 | A committee will be established from the CICC and higher education personnel to develop a strategic plan for professional training and education opportunities/ DDD staff, CICC, higher education representatives. | Completed in FFY 2006. |
| 1.2 | 2007 | Written notification of noncompliance and Plan of Correction (POC) procedures will be revised to ensure that CCBs are informed of and timely correct areas of noncompliance/ DDD staff. | Completed in FFY 2007. |
| 1.3 | 2008-2009 | DDD and the CICC will use data from the APR and review of policies from other states to analyze the feasibility of maintaining 28-days as the rigorous measure for “timely services” and revise the state measurement, if appropriate/ DDD, CCB Early Intervention Workgroup, and CICC. | Completed in FFY 2008. |
| 1.4 | 2008-2010 | DDD will conduct a statewide fiscal study that includes analysis of average service levels, provider rates and average cost per child/ DDD staff. | Ongoing Activity. In FFY 2009, queries were developed to begin analyzing rates paid for services and average service levels. |
| 1.5 | 2008-2010 | Colorado will participate as a pilot state for the National Early Childhood Professional Development Enhancement Center (NECPDE) in the use of EI professional development materials and methodology/ DDD staff and NECPDE staff. | Ongoing Activity. In FFY 2009, materials from NECPDE were put into use by the SpecialQuest State Leadership Team to assist in creating professional development public awareness and were used to inform the development of the strategic plan for professional development of early learning professionals. |

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| 1.6 | 2008-2010 | DDD will collaborate with professional development faculty and programs to develop a strategic plan for professional training and education opportunities/ DDD staff, CICC, contract staff and SpecialQuest State Leadership Team. | Ongoing Activity. In FFY 2009, DDD staff participated in the development of a statewide cross-systems strategic plan for professional development of early learning professionals. |
| 1.7 | 2008-2010 | Direct service provider recruitment activities will be developed to address personnel shortages, including the development and distribution of a printed and web-based brochure to encourage professional and paraprofessional interest in EI careers/ DDD staff, contract staff, and local EI programs. | Ongoing Activity. In FFY 2009, DDD distributed 1,547 copies of the <i>Early Intervention Colorado Provider Recruitment</i> brochure to professionals and paraprofessionals statewide, including higher education programs and through the Office of Professional Development. |
| 1.8 | 2008-2010 | A statewide database of EI providers will be developed and maintained to identify where personnel shortage exists, what area(s) of expertise providers have, and what specialized trainings have been completed by providers/ DDD staff and contract staff. | Ongoing Activity. In FFY 2009, the web-based provider database was completed and data entry of providers was begun. |
| 1.9 | 2008-2010 | Materials for families about the IFSP process, with an emphasis on service delivery and new state regulations on insurance and CHP+ funding will be developed and distributed/ DDD staff, Training Cadre, and contract staff. | Ongoing Activity. In FFY 2009, a total of 8,104 copies of <i>A Family Guide: Individualized Family Service Plan and Orientation to Early Intervention Services</i> were disseminated by DDD: 6,297 (78%) English; and 1,807 (22%) Spanish. A total of 7,937 copies of <i>A Family Guide: Funding Early Intervention Services</i> were disseminated by EI Colorado: 5,995 (76%) English; and 1,942 (24%) Spanish. |
| 1.10 | 2008-2010 | Training for direct service providers specific to supporting children with significant social/emotional concerns will be provided/ DDD staff, Training Cadre, Center for Social Emotional Foundations for Early Learning (CSEFEL) State Leadership Team, and contract staff. | Ongoing Activity. In FFY 2009, a contract with the University of Colorado was developed with American Recovery and Reinvestment Act (ARRA) of 2009 funds to develop training on supporting children with social/emotional concerns within home and community settings with the Pyramid Model. Training was provided to 150 providers throughout the state. A cadre of 24 coaches and trainers to provide direct support to early intervention providers, families and early learning environments was developed. |

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| 1.11 | 2005-2008 | A parent training curriculum will be developed, and materials about the IFSP process, with an emphasis on service delivery and new state regulations on insurance and CHP+ funding will be developed and distributed/ DDD staff, Training Cadre, PEAK Parent Center staff, contract staff. | Completed in FFY 2008. |
| 1.12 | 2007-2010 | DDD will monitor all 20 CCBs for compliance on Indicator 1, using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance, and require POCs when noncompliance is identified/ DDD staff. | Ongoing Activity. In FFY 2009, all 20 CCBs were monitored using a combination of data review, self-assessment and onsite data verification visits. |
| 1.13 | 2007-2010 | DDD will implement revised procedures for focused monitoring that effectively identify root causes for barriers to providing timely services for those CCBs that are low performing on Indicator 1/ DDD staff and interagency partners. | Ongoing Activity. In FFY 2009, no programs were identified as needing a focused monitoring for Indicator 1. |
| 1.14 | 2007-2010 | Training and technical assistance will be provided to CCBs on the implementation of the Coordinated System of Payments (CSP) (CRS 27-10.5-701)/ DDD staff. | Ongoing Activity. In FFY 2009, steps were taken to begin studies of fiscal utilization for the various funding sources to identify where gaps exist in the use of the CSP. |
| 1.15 | 2007-2010 | Training and technical assistance will be provided on the use of assistive technology for infants and toddlers with assistive technology service needs identified through their IFSP/ DDD staff and contract staff. | Ongoing Activity. In FFY 2009, through a contract with Assistive Technology Partners at the University of Colorado, three technical assistance briefs on the use of assistive technology for children in early intervention services were developed. Recruitment of assistive technology specialists began. Training modules are in development. |
| 1.16 | 2007-2010 | A statewide curriculum and system for EI paraprofessional training will be developed and implemented, including training for supervisors/ DDD staff and contract staff. | Ongoing Activity. In FFY 2009, the Comprehensive Training Opportunities for Para-educators for Early Intervention Services (CO-TOP*EIS) project developed the curriculum for paraprofessionals (Developmental Intervention Assistants) and a curriculum for training supervisors. Thirty-one supervisors and trainers of developmental intervention assistants received training. A pilot site for initial certification and training of DI Assistants was identified. |

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| 1.17 | 2006-2010 | An ongoing assessment and analysis of personnel needs will be conducted to identify where personnel shortage exists, in what area of expertise and focus recruitment activities to address shortages/ DDD staff, CICC, Office of Professional Development and higher education. | Ongoing Activity. In FFY 2009, a contract with Memorial Health Foundation was developed with American Recovery and Reinvestment Act (ARRA) of 2009 funds to develop statewide training for providers working with infants less than one year of age. |
| 1.18 | 2006-2010 | Training for service coordinators and providers on IFSP development with emphasis on services (timely delivery, documentation, funding hierarchy) will be continued/ DDD staff and Training Cadre. | Ongoing Activity. In FFY 2009, the funding hierarchy and effective utilization of funding was covered in detail during statewide Service Coordination Core Competencies Training, including on-line service coordination orientation modules and within IFSP Training modules. |
| 1.19 | 2006-2010 | Training and technical assistance will be provided to local data managers on revised fields and requirements in the state web-based data system/ DDD staff. | Ongoing Activity. In FFY 2009, training and technical assistance was provided to local data managers and EI program managers through updates in the data instructions, quarterly EI program meetings and statewide technical assistance calls. |
| 1.20 | 2005-2010 | Statewide training and technical assistance will be provided on the transdisciplinary/primary service provider model/ DDD staff, Training Cadre and contract staff. | Ongoing Activity. In FFY 2009, 2,100 copies of the brochure, <i>Transdisciplinary /Primary Service Provider Model</i> , were disseminated by DDD. Technical assistance on transdisciplinary teaming for existing teams was provided to two communities. Two new transdisciplinary teams were trained, one in the northeast and one in the Denver Metro area. Technical assistance documents on supervision of early intervention teams and competencies for early intervention providers were developed. |

Explanation of Progress or Slippage for FFY 2009: The target of 100% was not met but progress was made in the percentage of children who received their EI services within the 28-day timeline from 91.46% reported in FFY 2008 to 94.81% in FFY 2009.

To address the 5.19% of noncompliance that occurred in FFY 2009 for Indicator 1, DDD reviewed the improvement activities and determined that the activities are still appropriate as written.

In order to achieve progress in this Indicator, edit reports within the statewide database were made available to CCB staff in order to identify gaps in data entry to ensure the validity and reliability of data collected and to enable self-monitoring of the program's performance. Reports calculate time elapsed since parental consent for services to begin which allows CCBs to monitor the progress toward the initiation of each service for each child. Technical assistance was provided to local EI programs regarding the use of these edit reports as a tool for programs to track upcoming deadlines, identify trends and conduct drill down activities to identify systemic issues leading to instances of noncompliance. Changes will be made to existing reports and additional reports will be created as needed.

DDD publicly reports local program performance (including status determinations) on an annual basis by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In early Winter 2010, reports for FFY 2008 were publicly released and are published on the website at www.eicolorado.org under the Documents and Reports tab.

For those CCBs who were in year two of the status determination category of "Needs Assistance", DDD issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Status of Correction of Noncompliance Reflected in the FFY 2008 APR: To address the 8.54% noncompliance reported in FFY 2008, a total of six findings were issued for Indicator 1 data. DDD has verified that each of the six CCB EI programs with noncompliance reflected in the data reported for this indicator:

(1) is correctly implementing 34 CFR 303.340(c), 303.342(e), and 303.344(f)(1) (i.e., achieved 100% compliance) based on data subsequently collected;

- DDD verified 100% compliance for one CCB EI program through individual child record review conducted on site for ten children for whom new early interventions services should have begun;
- DDD verified 100% compliance for three CCB EI programs through CCMSWeb data review of a full population of children for whom new early intervention services should have begun during a two-month time period;
- DDD verified 100% compliance for two CCB EI programs through CCMSWeb data review of a full population of children for whom new early intervention services should have begun during a one-month time period; and

(2) has initiated services, although late, for any child whose services were not initiated in a timely manner, unless the child is no longer within the jurisdiction of the CCB EI program, consistent with the *Office of Special Education Programs Memorandum 09-02 (OSEP Memo 09-02)*.

- DDD verified through a review of data within the CCMSWeb data system that all children for whom services were not initiated in a timely manner had their services initiated unless the child was no longer within the jurisdiction of the CCB EI program.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines, and Resources for FFY 2010, if applicable: DDD reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 1. An improvement activity was added to update the training and technical assistance materials to support the revised state EI rules related to Indicator 1; improvement activity timelines were extended where applicable; and targets were added for FFY 2011 and 2012 to the State Performance Plan (SPP) submitted February 1, 2011.

**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 2009 - June 30, 2010)**

Overview of the Annual Performance Report Development: DDD collected the information for Indicator 2 with broad stakeholder input from the CICC, the CICC/MOU sub-committee, CCBs, the SpecialQuest State Leadership Team and feedback from other key interagency partners.

Monitoring Priority: Early Intervention Services in Natural Environments

Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:
Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings) divided by the (total # of infants and toddlers with IFSPs)] times 100.

| FFY | Measurable and Rigorous Target | Actual Target Data for FFY 2009 |
|----------------------------|--|---------------------------------|
| 2009 (2009-2010) | 93% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or community-based settings. | 99.53% |

Data Analysis: Data for Indicator 2 were collected from the *Section 618, Annual Report of Children Served* (October 1, 2009) to measure the percentage of infants and toddlers with IFSPs who primarily receive EI services in the home or community-based settings. The actual target data is as follows:

5,156 = Total infants and toddlers with IFSPs

Service delivery settings:

5,045 = Home

87 = Community

24 = Other

99.53% = 5,132 (5,045 + 87) divided by 5,156 x 100 (Percentage of services provided in the home or community-based settings)

Discussion of Improvement Activities for FFY 2009:

| | FFY | State Performance Plan Activities | Progress on Improvement Activities Completed in FFY 2009 |
|-----|------|---|--|
| 2.1 | 2005 | A parent training curriculum will be developed and piloted along with associated materials/ former lead agency (CDE) staff, Training Cadre, and contract staff. | Completed in FFY 2005. |
| 2.2 | 2006 | A committee from the CICC and higher education personnel will be established to develop a strategic plan for professional training/education opportunities/ DDD staff, 2006-2007CICC, higher education representatives. | Completed in FFY 2006. |

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|-----|-----------|--|--|
| 2.3 | 2007 | Written notification of noncompliance and POC procedures will be revised to ensure that CCBs are informed of and timely correct areas of noncompliance/ DDD staff. | Completed in FFY 2007. |
| 2.4 | 2007 | The state database will be modified to be web-based to allow for closer monitoring of focus areas, such as the delivery of services in natural environments/ DDD staff. | Completed in FFY 2007. |
| 2.5 | 2006-2008 | Parent training will be provided about IFSP process, with an emphasis on service delivery in every day routines, activities and places, and associated materials will be developed for statewide dissemination/ DDD staff, PEAK Parent Center, and contract staff. | Completed in FFY 2008. |
| 2.6 | 2007-2009 | DDD will implement revised procedures for focused monitoring that effectively identify root cause of barriers for those CCBs that are low performing on this indicator/ DDD staff. | Ongoing Activity. In FFY 2009, there were no CCBs identified as needing a focused monitoring in this area. |
| 2.7 | 2008-2010 | Materials will be developed and disseminated to families about the IFSP process, with an emphasis on service delivery in everyday routines, activities and places/ DDD staff and contract staff. | Ongoing Activity. In FFY 2009, a total of 8,104 copies of <i>A Family Guide: Individualized Family Service Plan and Orientation to Early Intervention Services</i> were disseminated by DDD: 6,297 (78%) English; and 1,807 (22%) Spanish. |
| 2.8 | 2008-2010 | Parents and service providers will be provided training at the statewide <i>Conference on Inclusive Education</i> on the IFSP process with an emphasis on services in natural environments (i.e. everyday routines, activities, and places)/ DDD staff and Training Cadre. | Ongoing Activity. In FFY 2009, the following sessions were conducted and funded by DDD: Two 90-minute sessions: "Early Childhood Transitions for Children and Families: Strategies for Success" and "SpecialQuest: A Professional Development Approach to Early Childhood Inclusion." One four-hour mastery session: "Igniting the Fire Early: The Sooner We Start, the Farther They Go!" (presented by Sandy Ginther, Abbey Heins, and Linda Robinson). One 90-minute concurrent session, "How Assistive Technology Can Ignite a Young Child's Potential " (presented by Sandy Ginther and Linda Robinson). |

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| 2.9 | 2005-2008 | Training for direct service providers specific to supporting children with significant social/emotional concerns will be provided and a statewide cadre of resources specialists (resource areas including working with families impacted by homelessness, child abuse and neglect and substance abuse or exposure) will be created/ DDD staff, Training Cadre, Center for Social Emotional Foundations for Early Learning (CSEFEL), CAPTA training team, and contract staff. | Completed in FFY 2008. |
| 2.10 | 2007-2010 | DDD will monitor all 20 CCBs for compliance on related requirements for Indicator 2, using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance, and require POCs when noncompliance is identified/ DDD staff. | Ongoing Activity. In FFY 2009, all 20 CCBs were monitored using a combination of data review, self-assessment and onsite data verification visits. |
| 2.11 | 2007-2010 | The committee to expand and maintain the comprehensive system of personnel development will be continued/ DDD staff, CICC, higher education, national consultants and other professional development resources. | Ongoing Activity. In FFY 2009, the SpecialQuest leadership team, which has statewide representation from agencies, higher education and parents with a focus on early childhood, continued to serve as the committee providing oversight and advisement on the comprehensive system of personnel development. |
| 2.12 | 2005-2010 | Statewide training and technical assistance will be provided on the transdisciplinary/primary service provider model/ DDD staff, Training Cadre and contract staff. | Ongoing Activity. In FFY 2009, 2,100 copies of the brochure, <i>Transdisciplinary /Primary Service Provider Model</i> , were disseminated by DDD. Technical assistance on transdisciplinary teaming for existing teams was provided to two communities. Two new transdisciplinary teams were trained, one in the northeast and one in the Denver Metro area. Technical assistance documents on supervision of early intervention teams and competencies for early intervention providers were developed. |

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| 2.13 | 2005-2010 | Training and technical assistance will be developed and disseminated through multiple methods (tiered training, establishment of cadre of regional consultants for ASD, resource banks) for direct service providers working with children on the autism spectrum/ DDD staff and contract staff. | Ongoing Activity. In FFY 2009, University of Colorado staff continued work to develop statewide guidelines and training for providers working with young children with Autism Spectrum Disorders (ASD). |
| 2.14 | 2005-2010 | The Service Coordination Core Competencies training and required training on IFSP development with emphasis on service locations will continue/ DDD staff, Training Cadre, and contract staff. | Ongoing Activity. In FFY 2009, three Service Coordination Core Competencies trainings were held throughout the state with 66 participants meeting the Colorado competencies for service coordination. Seven trainings on IFSP development were held throughout the state with 185 participants. Those trained included EI providers, evaluation team members, service coordinators, community agency members and higher education faculty and students. |
| 2.15 | 2005-2010 | Parents and service providers will be provided training at the statewide <i>Parents Encouraging Parents</i> (PEP) conference on the IFSP process with an emphasis on services in natural environments (i.e., every day routines, activities and places)/DDD staff, Training Cadre. | Ongoing Activity. In FFY 2009, an interactive workshop on the IFSP process was presented at two PEP conferences. This workshop focused on strategies for parent involvement during the IFSP process with an emphasis on the provision of services in the child's and family's everyday routines, activities and places. Parents from all areas of the state were in attendance. |

Explanation of Progress or Slippage for FFY 2009: The target of 93.00% was met and exceeded. There was an increase in performance from 98.84% reported in FFY 2008 to 99.53% in FFY 2009.

Continued success in meeting the target for Indicator 2 was due to continued training and technical assistance to service coordinators and service providers to emphasize the delivery of services in natural environments and provide strategies for implementing appropriate services in home and community settings. Additionally, in FFY 2009 one IFSP Training for Newborns and Infants was conducted with 104 participants in attendance.

In those situations when an EI service is provided in a setting other than a natural environment, the IFSP team must provide justification in the child's IFSP. Any justification must document, at a minimum:

- a) The reason a child's outcomes cannot be met in a natural environment;
- b) Why the setting was chosen; and
- c) Strategies to move toward providing services in everyday routines, activities and places (i.e., a natural environment).

DDD publicly reports local program performance (including status determinations) on an annual basis by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In early Winter 2010, reports for FFY 2008 were publicly released and are published on the website at www.eicolorado.org under the Documents and Reports tab.

For those CCBs who were in year two of the status determination category of “Needs Assistance”, DDD issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines, and Resources for FFY 2010, if applicable: DDD reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 2. Improvement activity timelines were extended where applicable and targets were added for FFY 2011 and 2012 to the State Performance Plan (SPP) submitted February 1, 2011.

**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 2009- June 30, 2010)**

Overview of the Annual Performance Report Development: Information for Indicator 3 was provided by DDD staff, Early Childhood Outcomes (ECO) Center staff, National Early Childhood Technical Assistance Center (NECTAC) staff, publishers of the online data collection systems, SRI International, the CICC and CCBs.

Monitoring Priority: Early Intervention Services in Natural Environments

Measurement:

Outcomes:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/communication); and
- C. Use of appropriate behaviors to meet their needs.

Progress categories for A, B and C:

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

Summary Statements for Each of the Three Outcomes (use for FFY 2008-2009 reporting):

Summary Statement 1: Of those infants and toddlers who entered or exited early intervention below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 1: Percent = # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in category (d) divided by [# of infants and toddlers reported in progress category (a) plus # of infants and toddlers reported in progress category (b) plus # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in progress category (d)] times 100.

Summary Statement 2: The percent of infants and toddlers who were functioning within age expectations in each Outcome by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 2: Percent = # of infants and toddlers reported in progress category (d) plus # of infants and toddlers reported in progress category (e) divided by the [total # of infants and toddlers reported in progress categories (a) + (b) + (c) + (d) + (e)] times 100.

Indicator 3: Target Data and Actual Target Data for FFY 2009:

| Summary Statements | Targets FFY 2009 % of children | Actual FFY 2009 % of children |
|--|---|--|
| Outcome A: Positive social-emotional skills (including social relationships) | | |
| 1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they exited the program | 68.00% | 69.40% |
| 2. The percent of children who were functioning within age expectations in Outcome A by the time they exited the program | 75.00% | 74.70% |
| Outcome B: Acquisition and use of knowledge and skills (including early language/communication and early literacy) | | |
| 1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they exited the program | 62.00% | 62.40% |
| 2. The percent of children who were functioning within age expectations in Outcome B by the time they exited the program | 67.00% | 66.00% |
| Outcome C: Use of appropriate behaviors to meet their needs | | |
| 1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they exited the program | 64.00% | 65.40% |
| 2. The percent of children who were functioning within age expectations in Outcome C by the time they exited the program | 78.00% | 77.40% |

Progress Data for Part C Children FFY 2009:

| A. Positive social-emotional skills (including social relationships): | Number of children | % of children |
|--|---------------------------|----------------------|
| a. Percent of children who did not improve functioning | 41 | 2.40% |
| b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers | 236 | 14.00% |
| c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach | 149 | 8.90% |
| d. Percent of children who improved functioning to reach a level comparable to same-aged peers | 478 | 28.40% |
| e. Percent of children who maintained functioning at a level comparable to same-aged peers | 779 | 46.30% |
| Total | N=1,683 | 100% |

| B. Acquisition and use of knowledge and skills (including early language/communication): | Number of children | % of children |
|--|---------------------------|----------------------|
| a. Percent of children who did not improve functioning | 26 | 1.50% |
| b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers | 418 | 24.80% |
| c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach | 130 | 7.70% |
| d. Percent of children who improved functioning to reach a level comparable to same-aged peers | 607 | 36.00% |
| e. Percent of children who maintained functioning at a level comparable to same-aged peers | 505 | 30.00% |
| Total | N=1,686 | 100% |
| C. Use of appropriate behaviors to meet their needs: | Number of children | % of children |
| a. Percent of children who did not improve functioning | 18 | 1.10% |
| b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers | 268 | 15.90% |
| c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach | 95 | 5.60% |
| d. Percent of children who improved functioning to reach a level comparable to same-aged peers | 445 | 26.40% |
| e. Percent of children who maintained functioning at a level comparable to same-aged peers | 862 | 51.00% |
| Total | N=1,688 | 100% |

Data Analysis: Data for Indicator 3 were collected from the online publishers' data analysis web-based data collection systems for children who exited early intervention services between July 1, 2009 and June 30, 2010, had been in early intervention services at least six months, were at least six months of age when entry data was collected and had both entry and exit data entered. Data was extracted from the online publishers' systems and merged into the ECO Summary Statement Calculator. "N" numbers differ when both entry and exit data were not collected in a specific outcome area.

Discussion of Improvement Activities for FFY 2009:

| | FFY | State Performance Plan Activities | Progress on Improvement Activities Completed in FFY 2009 |
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| 3.1 | 2006-2007 | Training and technical assistance will be offered to all CCBs regarding the full implementation of Results Matter/ DDD staff and contract staff. | Completed in FFY 2007. |

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| 3.2 | 2006-2007 | Recommendations will be developed on how best to report progress data for the FFY 2006 APR (submitted February 1, 2008)/ DDD staff, Results Matter staff, contract staff, and ECO Center staff. | Completed in FFY 2007. |
| 3.3 | 2006-2008 | Information on the child outcome initiative will be disseminated statewide through communication in various formats to engage and communicate with multiple stakeholders about the child outcome initiative, Results Matter/ DDD staff, Results Matter staff, contract staff and ECO Center staff. | Completed in FFY 2008. |
| 3.4 | 2006-2009 | A system will be developed to enhance statewide capacity to provide ongoing training on the four assessment tools, including a train-the-trainer initiative for the AEPS (the primary tool used by EI programs in Colorado)/ DDD staff, Results Matter staff, contract staff, and ECO Center staff. | Completed in FFY 2009. In FFY 2009, contract staff provided ongoing technical assistance regarding the use of the online publisher's tools. |
| 3.5 | 2007-2010 | Work will continue with the ECO Center to review and analyze data in order to ensure the validity and reliability of the data and improve the overall outcomes measurement system/ DDD staff, Results Matter staff, contract staff, and ECO Center staff. | Ongoing Activity. In FFY 2009, DDD staff worked with ECO and SRI International to analyze the data and improve the overall outcomes measurement system. |
| 3.6 | 2007-2010 | Work will continue with the publishers of the online data collection systems for each tool in order to ensure data reporting is appropriate to the outcomes being measured and in alignment with federal requirements/ DDD staff, Results Matter staff, contract staff, and ECO Center staff. | Ongoing Activity. In FFY 2009, DDD staff worked with ECO and other states using the online publishers' systems to ensure that data reporting is in alignment with federal requirements. |
| 3.7 | 2006-2010 | Statewide training, mentoring and technical assistance will be provided for direct service providers and local EI staff on the four assessment systems/ DDD staff, Results Matter staff, and contract staff. | Ongoing Activity. In FFY 2009, contract staff provided technical assistance for local EI staff on the assessment tools. |
| 3.8 | 2006-2010 | Training and technical assistance on the online data systems and reports used to collect and analyze ongoing child outcomes data across the four assessment systems will be developed and implemented/ DDD staff, Results Matter staff, contract staff and ECO Center staff. | Ongoing Activity. In FFY 2009, contract staff provided ongoing technical assistance on the child outcomes data collection and the use of reports to inform their data collection. |

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| 3.9 | 2006-2010 | Data will be collected and analyzed for use at the federal, state and local levels to inform families, child-level planning, local program-level training, technical assistance and impact of services, and state-level training and technical assistance and results of services/ DDD staff, Results Matter staff, contract staff and ECO Center staff. | Ongoing Activity. In FFY 2009, data was collected and analyzed through the publishers' online data analysis systems. |
|-----|-----------|--|---|

Explanation of Progress or Slippage for FFY 2009:

3A:

Summary Statement 1: The target of 68.00% was met and exceeded.

Summary Statement 2: The target of 75.00% was not met.

3B:

Summary Statement 1: The target of 62.00% was met and exceeded.

Summary Statement 2 - The target of 67.00% was not met.

3C:

Summary Statement 1: The target of 64.00% was met and exceeded.

Summary Statement 2: The target of 78.00% was not met.

During the first two years of collecting and analyzing data, Colorado and other states using the online publishers' tools identified challenges in the conversion of assessment scores to the OSEP reporting categories. This was consistent across all tools being used in Colorado for children ages birth to five. As a result, data from the first two years reflected a higher than expected rate of children who presented as maintaining functioning at a level comparable to same-aged peers, as well as a lower than expected rate of children who substantially increased their rate of development. Colorado continued to work closely with the publishers and developers of the tools on resolving this issue.

Colorado collaborated with the ECO Center and other states using the online tools to analyze the publishers' tools and it was concluded that the high scores for children functioning within age expectations were due to cut points for determining age level calculations for the online calculations being set too low within the publishers' online data systems.

The cut points within the publisher's online data systems were corrected and allowed for the recalculation of 2008-2009 data as well as the correct calculation for 2009-2010 data. The cut points were corrected in late fall of 2010 and as a result, the baseline data percentages changed significantly.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines, Resources for FFY 2010, if applicable: Colorado collaborated with the ECO Center and other states using the online publishers' tools and successfully corrected the cut points for the FFY 2008-2009 reporting year. As a result, Colorado is requesting to change the baseline data for Indicator 3 which will in turn change the targets for FFY 2009 through 2012.

DDD reviewed and retained some of the existing improvement activities and added new activities to address the modification of the EI Colorado Provider Database to collect child outcomes data and create reports; training and technical assistance on the use of the Child Outcomes Summary Form (COSF) for data collection; development of materials to inform families, service coordinators and service providers about the EI Colorado child and family outcomes measurement system; and development and dissemination of materials statewide to describe EI Colorado child and family outcomes publicly reported data. New targets were proposed based on adjusted baseline data, improvement activity timelines were extended where applicable and targets were added for FFY 2011 and 2012 to the State Performance Plan (SPP) submitted February 1, 2011.

**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 2009 - June 30, 2010)**

Overview of the Annual Performance Report Development: The information for Indicator 4 was provided by DDD, CDE, the Results Matter Work Group, ECO Center staff, contract staff through the University of Colorado Health Sciences Center/JFK Partners and CCBs.

Monitoring Priority: Early Intervention Services in Natural Environments

Indicator 4: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children’s needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

- A. Percent = # of respondent families participating in Part C who report with a score of 5 out of 7 or higher that early intervention services have helped the family know their rights divided by the # of respondent families participating in Part C times 100.
- B. Percent = # of respondent families participating in Part C who report with a score of 5 out of 7 or higher that early intervention services have helped the family effectively communicate their children’s needs divided by the # of respondent families participating in Part C times 100.
- C. Percent = # of respondent families participating in Part C who report with a score of 5 out of 7 or higher that early intervention services have helped the family help their children develop and learn divided by the # of respondent families participating in Part C times 100.

| FFY | Measurable and Rigorous Target | Actual Target Data for FFY 2009 |
|---------------------|---|---------------------------------|
| 2009 (2009-2010) | A) 83% of respondent families participating in Part C report that early intervention services have helped the family know their rights. | A) 89.00% |
| | B) 87.50% of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children’s needs. | B) 92.20% |
| | C) 83% of respondent families participating in Part C report that early intervention services have helped their children develop and learn. | C) 94.00% |

Data Analysis: The results for Indicator 4 are taken from data compiled in June 2010 that was obtained through distribution of the Early Intervention Colorado Family Outcome Survey to all families statewide who had an active IFSP and who were receiving EI services for at least six months as of February 2010. This instrument is a self report survey intended to be completed by one or more family members and is based on a seven point scale. A response of five or greater is an acceptable indicator of outcome attainment on the scale for each of the categories. The survey was distributed to 2,960 families statewide. The total number of returned surveys was 1,285 resulting in a 43% response rate, a dramatic increase from the 14.9% response rate achieved in FFY 2008. The tremendous response rate achieved in FFY 2009 led to not only a greater number of families completing the survey, but a more representative demographic response as well.

4A. Percentage of families participating in Part C who report that early intervention services have helped the family know their rights.

1,281 = Number of parent respondents

1,143 = Number who had a response of five or greater

89.00% = Percentage of families who had a response of five or greater

4B. Percentage of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs.

1,283 = Number of parent respondents

1,183 = Number who had a response of five or greater

92.20% = Percentage of families who had a response of five or greater

4C. Percentage of families participating in Part C who report that early intervention services have helped the family help their children develop and learn.

1,283 = Number of parent respondents

1,203 = Number who had a response of five or greater

94.00% = Percentage of families who had a response of five or greater

The representativeness of the returned surveys was assessed by comparing the demographic characteristics for families who responded to the survey to the same characteristics of the families who received the survey. As summarized in the following tables, this comparison indicates the results are representative by program size, race/ethnicity and gender.

| Program Size* | Total Survey Responses** | Percentage of Surveys*** | Percentage of Surveys Distributed**** |
|------------------------|--------------------------|--------------------------|---------------------------------------|
| Extra Small Programs | 14 | 1% | 1% |
| Small Programs | 103 | 8% | 8% |
| Medium Programs | 323 | 25% | 25% |
| Large Programs | 845 | 66% | 66% |
| Total Responses | 1,285 | 100% | 100% |

*Program size is determined by number of children expected: Extra Small (30 or less), Small (31 – 100), Medium (101 – 399) and Large (400 or more).

**N=number of survey responses received by program size.

***N=percentage of total survey responses received by program size divided by total number of survey responses.

****N=percentage of distribution count by program size divided by statewide distribution count.

| Family Race/Ethnicity | Total Survey Responses* | Percentage of Surveys** | Percentage of Surveys Distributed*** |
|---------------------------------|-------------------------|-------------------------|--------------------------------------|
| American Indian / Alaska Native | 13 | 1% | .9% |
| Asian | 19 | 1.5% | 2.2% |
| Black | 37 | 3% | 4.3% |
| Hawaiian / Pacific Islander | 2 | .3% | .4% |
| Hispanic / Latino | 381 | 29.6% | 30.4% |
| Two or More Races | 40 | 2.9% | 3.7% |
| White | 793 | 61.7% | 58.1% |

*N=number of survey responses received by family race / ethnicity.

**N=percentage of total survey responses received by race / ethnicity divided by total number of survey responses.

***N=percentage of distribution count by family race / ethnicity divided by statewide distribution count.

| Gender of Child | Total Survey Responses* | Percentage of Surveys** | Percentage of Surveys Distributed*** |
|-----------------|-------------------------|-------------------------|--------------------------------------|
| Female | 510 | 39.7% | 39.5% |
| Male | 775 | 60.3% | 60.5% |

*N=number of survey responses received by gender of child.

**N=percentage of total survey responses received by gender of child divided by total number of survey responses.

***N=percentage of distribution count by gender of child divided by statewide distribution count.

Discussion of Improvement Activities Completed for FFY 2009:

| | FFY | State Performance Plan Activities | Progress on Improvement Activities |
|-----|-----------|--|------------------------------------|
| 4.1 | 2005 | A parent training curriculum will be developed and piloted along with associated materials about the IFSP process, family rights and communication strategies/ former lead agency (CDE) Part C staff, Training Cadre, PEAK Parent Center staff, and contract staff. | Completed in FFY 2005. |
| 4.2 | 2007 | Targets for 4A, 4B and 4C will be revised based on two years data from surveys/ DDD staff and Results Matter team. | Completed in FFY 2007. |
| 4.3 | 2005-2006 | Continue work with the Early Childhood State Systems Team Parent Leadership Task Force to develop most effective and efficient methodologies for contacting and communicating with families to assure information is provided and families know their local and state level resources for support, and information will be disseminated and technical assistance provided to CCBs/ former lead agency (CDE) Part C staff and Parent Leadership Task Force. | Completed in FFY 2006. |

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| 4.4 | 2007-2008 | Revisions will be made to the documents distributed to families, as well as the distribution process in order to improve responses, representativeness and usefulness of the information/ DDD staff, CICC workgroup and Results Matter team. | Completed in FFY 2008. |
| 4.5 | 2008-2009 | Strategies and procedures used in other states to implement The Family Outcomes Survey will be reviewed to determine if they may be applicable in Colorado to improve return rates, demographic representation and overall outcomes/ DDD staff and Results Matter team. | Completed in FFY 2009. In FFY 2009, DDD staff reviewed other state strategies and obtained stakeholder input at the November 2009 CICC Meeting and developed a Family Outcomes Survey Parent Caller Guide to assist parent callers and individuals managing the toll free lines to appropriately and consistently ask family questions. |
| 4.6 | 2005-2007 | The Inspiring Futures publication will be revised and disseminated/ DDD staff. | Completed in FFY 2007. |
| 4.7 | 2006-2008 | Parent training will be provided on a regional basis and materials disseminated statewide/ DDD staff, contract staff, and contract staff. | Completed in FFY 2008. |
| 4.8 | 2007-2009 | DDD will work with the Legal Center for People with Disabilities and Older People to provide regional training to EI staff and families regarding procedural safeguards/ DDD staff and Legal Center staff. | Completed in FFY 2008. |
| 4.9 | 2008-2010 | Technical assistance will be accessed in the area of statistical data analysis of the family outcomes data in order to identify possible issues contributing to the lack of progress/ DDD staff. | Ongoing Activity. In FFY 2009, a roundtable and focused discussion with the CICC were held to discuss strategies for looking at the family outcomes data to determine areas of focus. |
| 4.10 | 2008-2010 | Materials for families on rights and procedural safeguards will be revised and disseminated statewide and training on the use of the materials will be provided to service coordinators/ DDD staff. | Ongoing Activity. In FFY 2009, a total of 18,700 copies of <i>Family Rights: Notice of Family Rights and Procedural Safeguards within the Early Intervention System</i> were disseminated by DDD: 13,371 (72%) English; and 5,329 (28%) Spanish. In situations where other languages were used, the procedural safeguards information was provided in the family's language. |
| 4.11 | 2005-2008 | The <i>ABCs of Parent Leadership</i> training will be provided annually/ DDD staff, and PEAK Parent Center staff. | Completed in FFY 2008. |

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| 4.12 | 2007-2010 | Data from family surveys will be collected and analyzed by each local program in order to provide an additional source of data for local status determinations/ DDD staff and Results Matter staff. | Ongoing Activity. In FFY 2009, state aggregate data was available by race/ethnicity, age of child, length of stay in service, gender, primary language in the home, primary language of the child, main area of concern, and local program. Data were collected through an online system and reports generated by DDD. |
| 4.13 | 2007-2010 | Written directions will be provided to local programs on preparing families for the survey and encouraging families to complete the survey within one month of the distribution/ DDD staff and Results Matter team. | Ongoing Activity. In FFY 2009, materials were distributed and reinforced through multiple communications with local CCBs. |
| 4.14 | 2007-2010 | Assistance will be provided to Spanish-speaking support groups to distribute and support completion of the survey among Spanish-speaking families/ DDD staff, Results Matter Team, and contract staff. | Ongoing Activity. In FFY 2009, parent callers were trained to conduct outreach to Spanish-speaking families. Technical assistance materials were provided to local CCBs to distribute to other community partners to support the effort of distributing the Family Outcomes Surveys to Spanish-speaking families. |
| 4.15 | 2005-2010 | A statewide survey will be conducted of families currently receiving EI services and/or within one year of exiting EI services using family survey tool/ DDD staff and Results Matter team. | Ongoing Activity. In FFY 2009, the Family Outcomes Survey for children in EI services was distributed in February 2010 to families who had been receiving EI services for at least six months. |
| 4.16 | 2005-2010 | Required Service Coordination Core Competencies Training will continue to provide training on understanding and communicating parental rights, communication strategies for families/ DDD staff and Training Cadre. | Ongoing Activity. In FFY 2009, the Service Coordination Core Competencies training was revised to reflect the utilization of the new procedural safeguards materials and strategies for ensuring that families understand these rights. Three Service Coordination Core Competencies trainings were held throughout the state with 66 participants meeting the Colorado competencies for service coordination. |

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| 4.17 | 2005-2010 | Statewide training on the IFSP process, family rights, and communication strategies will be provided for parents and service providers two times per year at the <i>Parents Encouraging Parents</i> (PEP) conference/ DDD staff. | Ongoing Activity. In FFY 2009, an interactive workshop on the IFSP process including family rights and successful communication strategies was presented at two PEP conferences. Materials on procedural safeguards were distributed at these workshops. |
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Explanation of Progress or Slippage for FFY 2009:

4A: The target of 83.00% was met and exceeded. There was significant improvement from 81.00% reported in FFY 2008 to 89.00% in FFY 2009.

4B: The target of 87.50% was met and exceeded. There was significant improvement from 86.48% reported in FFY 2008 to 92.20% in FFY 2009.

4C: The target of 83.00% was met and exceeded. There was improvement from 91.00% reported in FFY 2008 to 94.00% in FFY 2009.

DDD revised the process for dissemination of Family Outcomes Surveys in FFY 2009 as follows:

- Technical assistance documents were provided to CCBs to offer suggestions in ways that service coordinators and other local personnel and partners could support family understanding of the importance of completing the survey;
- The survey format was adjusted to make it shorter and easier for families to complete;
- The survey was distributed in early Spring 2010 to allow for follow up on unreturned surveys due to incorrect addresses and to allow sufficient time for local programs to implement marketing strategies for their community;
- Notices were sent out in advance to encourage family response;
- Reminders were sent out following the dissemination date to encourage families to complete and return the surveys;
- The format for the return addresses and envelopes for mailed surveys was revised to be more family-friendly;
- Parent callers were trained to conduct outreach and field questions from families; and
- Surveys were available in both English and Spanish. Families who speak other languages were provided the survey verbally through an interpreter.

DDD publicly reports local program performance (including status determinations) on an annual basis by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In early Winter 2010, reports for FFY 2008 were publicly released and are published on the website at www.eicolorado.org under the Documents tab.

For those CCBs who were in year two of the status determination category of “Needs Assistance”, DDD issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines and Resources for FFY 2010, if applicable: DDD reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 4A, 4B and 4C. Improvement activities were added for the development of materials to inform families, service coordinators and service providers about the EI Colorado child and family outcomes measurement system and the development and dissemination of materials statewide to describe EI Colorado child and family outcomes publicly reported data. Improvement activity timelines were extended where applicable and targets were added for FFY 2011 and 2012 to the State Performance Plan (SPP) submitted February 1, 2011.

**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 2009 - June 30, 2010)**

Overview of the Annual Performance Report Development: The report for Indicator 5 was developed with broad stakeholder input from the CICC, the CICC/MOU sub-committee and feedback from the Neonatal Intensive Care Unit (NICU) Liaison Project, Assuring Better Child Health and Development (ABCD) Project, and physician consultants.

Monitoring Priority: Effective General Supervision Part C/Child Find

Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.
(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:
Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to national data.

| FFY | Measurable and Rigorous Target | Actual Target Data for FFY 2009 |
|----------------------------|---|---------------------------------|
| 2009 (2009-2010) | Colorado's identification rate for infants birth to 1 with IFSPs will be 1.0% | 0.95% |

Data Analysis: The Colorado identification rate for infants 0-12 months was 0.95% (699 infants from a population of 73,276) according to the U.S. Department of Education, Office of Special Education Programs, Data Analysis System "Table C-13, Percent of infants and toddlers receiving EI services under IDEA, Part C, by age and state: 2009". The 0.95% is in comparison to 1.03% for the national average.

Discussion of Improvement Activities Completed for FFY 2009:

| | FFY | State Performance Plan Activities | Progress on Improvement Activities Completed in FFY 2009 |
|-----|------|--|--|
| 5.1 | 2005 | A notification document will be developed for statewide procedures for early and comprehensive identification of children who are in the public health system (PHS) and who are also eligible for EI services/ former lead agency (CDE) Part C staff and contract staff. | Completed in FFY 2005. |
| 5.2 | 2005 | Focused monitoring activities will be conducted to identify successful outreach to medical and health system personnel for referrals and IFSP development status and need areas/ former lead agency (CDE) state staff and contract staff. | Completed in FFY 2005. |

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|------|-----------|--|---|
| 5.3 | 2006 | A state level protocol will be developed for eligibility questions on specific established conditions through the advisement of a state advisory group/ DDD staff, contract staff and advisory group. | Completed in FFY 2006. |
| 5.4 | 2006 | The needs of infants and toddlers with disabilities and their families in the Southern Ute and Ute Mountain Ute Indian Tribes within the State of Colorado explored and defined, and a determination made as to what resources are needed to meet those needs/ DDD staff and contract staff. | Completed in FFY 2006. |
| 5.5 | 2006 | A statewide training will be developed for local communities to support the establishment of local interagency operating agreements that define a referral process for children identified under CAPTA/ DDD staff, Division of Child Welfare staff, and contract staff. | Completed in FFY 2006. |
| 5.6 | 2006 | A technical assistance publication will be developed on guidance for the Primary Care Provider role in referral and ongoing involvement in the IFSP process/ DDD staff, contract staff, and physician advisor on CICC. | Completed in FFY 2006. |
| 5.7 | 2007 | A rigorous definition of developmental delay and categorical eligibility will be developed/ DDD staff, CICC workgroup and key stakeholders. | Completed in FFY 2007. |
| 5.8 | 2005-2006 | Technical assistance will be provided on the identification of babies by hospital personnel and initial IFSP development for infants in the NICU, hospital, or recently discharged infants/ DDD staff, contract staff, and contract staff. | Completed in FFY 2006. |
| 5.9 | 2008–2009 | DDD will implement revised procedures for focused monitoring that effectively identify root causes of barriers for those CCBs that are low performing on this indicator/ DDD staff. | Completed in FFY 2009. In FFY 2009, no CCBs were identified as needing a focused monitoring in this area. |
| 5.10 | 2005-2007 | Training on systems collaboration will be developed for HCP and local EI programs through early involvement of PHS staff in the IFSP process/ DDD staff and state Department of Public Health and Environment (CDPHE) staff. | Completed in FFY 2007. |
| 5.11 | 2008-2010 | DDD will monitor all 20 CCBs for compliance on related requirements for Indicator 5, using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance, and require POCs when noncompliance is identified/ DDD staff. | Ongoing Activity. In FFY 2009, all 20 CCBs were monitored using a combination of data review, self-assessment and onsite data verification visits. |

| | | | |
|------|-----------|--|---|
| 5.12 | 2008-2010 | Materials will be developed for state and local public awareness and outreach efforts to families who are homeless or in the child welfare system (including drug affected, foster care and children in cases of substantiated abuse and neglect)/ DDD staff and Child Welfare state staff. | Ongoing Activity. In FFY 2009, a total of 108,439 family public awareness materials were disseminated by DDD: 104,360 (94%) of the materials ordered target family members. Of those, 78,856 (76%) of the materials were published in English and 25,504 (24%) in Spanish. |
| 5.13 | 2006-2010 | Information from TRACE Center website on evidenced based strategies to communicate with physician offices will be reviewed, and information will be integrated into public awareness materials for local offices and disseminated statewide to provide technical assistance on outreach/ DDD staff and contract staff. | Ongoing Activity. In FFY 2009, ongoing technical assistance regarding the use of the <i>Referral Status Update Form</i> occurred for all 20 CCBs statewide. Through collaboration with the Assuring Better Child Health and Development (ABCD) Project, 147 pediatric and family practices implemented the use of a standardized developmental screening tool at well child checks and facilitated referrals as appropriate. |
| 5.14 | 2005-2010 | Public awareness materials will be developed or updated for general public, specific populations, in multiple media formats/ DDD staff and contract staff. | Ongoing Activity. In FFY 2009, a total of 108,439 family public awareness materials were disseminated by DDD: 104,360 (94%) of the materials ordered target family members. Of those, 78,856 (76%) of the materials were published in English and 25,504 (24%) in Spanish. |
| 5.15 | 2005-2010 | NICU project service coordination liaison staff will support early referral and development of initial IFSP and family involvement in NICU settings statewide/ Contract staff. | Ongoing Activity. In FFY 2009, the NICU Project Service Coordination Liaison Staff served 702 infants and their families. |
| 5.16 | 2005-2010 | Technical assistance to Child Find and EI referral systems on best practices will be provided for outreach to all populations, including child care providers, families who are homeless or in the child welfare system (including drug affected, foster care and children in cases of substantiated abuse and neglect)/ DDD staff, Division of Child Welfare state staff, and contract staff. | Ongoing Activity. In FFY 2009, DDD and CDE worked together to provide joint technical assistance to all Child Find teams and CCB staff to address roles and responsibilities related to the child identification and evaluation processes. |

Explanation of Progress or Slippage for FFY 2009: The target of 1.0% was not met; however, the FFY 2009 actual target data demonstrates improvement in the identification rate for infants birth to one year of age with IFSPs from 0.89% reported in FFY 2008 to 0.95% in FFY 2009.

Colorado is below the national average of 1.03% but above the mode identification rate of 0.57% and slightly below the median percentage of 0.98. The average identification rate of states of similar population size (+/-10,000), excluding those who serve at-risk populations, is 1.03%. Of these states (Colorado, Louisiana, Maryland, Minnesota, Missouri, Tennessee and Wisconsin), Colorado's identification rate of 0.95% is the median percentage.

Progress in this indicator is due to continuing efforts to increase the use of standardized developmental screening as a routine part of a well child visit within pediatric health care practices to promote early identification and referral of infants and toddlers in Colorado.

The NICU Project collaboration with hospital staff, along with programs, such as March of Dimes and Bright Beginnings is ongoing. These efforts address many issues, such as family education in developmentally supportive care, family involvement within the NICU setting, and best practices for transition home upon discharge.

DDD publicly reports local program performance (including status determinations) on an annual basis by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In early Winter 2010, reports for FFY 2008 were publicly released and are published on the website at www.eicolorado.org under the Documents tab.

For those CCBs who were in year two of the status determination category of "Needs Assistance", DDD issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines, and Resources for FFY 2010, if applicable: DDD reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 5. Improvement activity timelines were extended where applicable; and targets were added for FFY 2011 and 2012 to the State Performance Plan (SPP) submitted February 1, 2011.

**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 2009 - June 30, 2010)**

Overview of the Annual Performance Report Development: The report for Indicator 6 was developed with broad stakeholder input from the CICC, the CICC/MOU sub-committee, physician consultants and CDE Child Find staff.

Monitoring Priority: Effective General Supervision Part C/Child Find

Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to national data. (20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:
Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to national data.

| FFY | Measurable and Rigorous Target | Actual Target Data for FFY 2009 |
|----------------------------|---|---------------------------------|
| 2009 (2009-2010) | Colorado's identification rate of infants and toddlers birth to three with IFSPs will be 2.4% | 2.35% |

Data Analysis: The Colorado identification rate for infants and toddlers birth to three years of age was 2.35% (5,156 from a 0-3 population of 219,457) according to the U.S. Department of Education, Office of Special Education Programs, Data Analysis System "Table C-13 Percent of infants and toddlers receiving EI services under IDEA, Part C, by age and state: 2009". The 2.35% is in comparison to 2.67% for the national average.

Discussion of Improvement Activities Completed for FFY 2009:

| | FFY | State Performance Plan Activities | Progress on Improvement Activities Completed in FFY 2009 |
|-----|------|---|--|
| 6.1 | 2005 | CDE will participate in a grant funded by the U.S. Department of Health and Human Services (October 2004) to investigate, promote collaboration between the Child Welfare System, EI and Early Care and Education System and disseminate best practices data statewide/ former lead agency (CDE) staff, CDHS/Child Welfare staff, and local EI program staff. | Completed in FFY 2005. |
| 6.2 | 2005 | CDE and Child Welfare will publish a joint letter directing that all children in substantiated cases of abuse and neglect be referred by Child Welfare agencies to the local EI system/former lead agency (CDE) staff and Division of Child Welfare staff. | Completed in FFY 2005. |

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| 6.3 | 2006 | DDD will collaborate with Child Welfare to develop a statewide training for local communities to support the establishment of local interagency operating agreements that define a referral process for children identified under CAPTA/ DDD staff, Division of Child Welfare staff, and contract staff. | Completed in FFY 2006. |
| 6.4 | 2006 | A technical assistance publication will be developed on guidance for the Primary Care Provider role in referral and ongoing involvement in the IFSP process/ DDD staff, contract staff, and physician advisor on CICC. | Completed in FFY 2006. |
| 6.5 | 2006 | The needs of infants and toddlers with disabilities and their families in the Southern Ute and Ute Mountain Ute Indian Tribes within the State of Colorado explored and defined, and a determination made as to what resources are needed to meet those needs/ DDD staff and contract staff. | Completed in FFY 2006. |
| 6.6 | 2005-2006 | State-level interagency policies and procedures will be developed for state and local public awareness and outreach efforts to families who are homeless or in the child welfare system (including drug affected, foster care and children in cases of substantiated abuse and neglect)/ DDD staff and Child Welfare state staff. | Completed in FFY 2006. |
| 6.7 | 2006-2007 | A rigorous definition of developmental delay and categorical eligibility will be developed/ DDD staff, CICC, and key stakeholders. | Completed in FFY 2007. |
| 6.8 | 2006-2007 | A web-based training and technical assistance paper of procedures for referring all children in substantiated cases of abuse and neglect will be developed/ DDD staff, Division of Child Welfare staff and contract staff. | Completed in FFY 2007. |
| 6.9 | 2008–2009 | DDD will implement revised procedures for focused monitoring that effectively identify root causes of barriers to providing expected child identification/ DDD staff. | Completed in FFY 2009. In FFY 2009, no CCBs were identified as needing a focused monitoring in this area. |

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| 6.10 | 2008-2010 | Materials will be developed for state and local public awareness and outreach efforts to families who are homeless or in the child welfare system (including drug affected, foster care and children in cases of substantiated abuse and neglect)/ DDD staff and Child Welfare state staff. | Ongoing Activity. In FFY 2009, a total of 108,439 family public awareness materials were disseminated by DDD: 104,360 (94%) of the materials ordered target family members. Of those, 78,856 (76%) of the materials were published in English and 25,504 (24%) in Spanish. Public awareness materials were revised to reflect EI system updates in Colorado. |
| 6.11 | 2008-2010 | DDD will monitor all 20 CCBs for compliance on related requirements for Indicator 6, using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance, and require POCs when noncompliance is identified/ DDD staff. | Ongoing Activity. In FFY 2009, all 20 CCBs were monitored using a combination of data review, self-assessment and onsite data verification visits. |
| 6.12 | 2007-2010 | A train the trainer model will be developed for those identifying and working with children with social/emotional needs/ DDD staff, Center for Social Emotional Foundations of Early Learning (CSEFEL), and contract staff. | Ongoing Activity. In FFY 2009, training on supporting children with social/emotional concerns within home and community settings, using the Pyramid Model was provided throughout the state to 147 participants. A cadre of 21 coaches and trainers to provide direct support to early intervention providers, families and early learning environments was formed and are receiving training. |
| 6.13 | 2007-2010 | Technical assistance materials will be developed to identify and support toddlers with social/emotional needs/ DDD staff; CICC/MOU sub-committee, CSEFEL, and contract staff. | Ongoing Activity. In FFY 2009, the state Pyramid Model partners through a combination of funding, developed an RFP for a Center for Social Emotional Competence and Inclusion. The RFP was awarded to the University of Colorado Denver. The Center for Social Emotional Competence and inclusion is providing training on the Pyramid Plus model, which integrates the Pyramid model with the SpecialQuest approach and materials on inclusive practices and professional development. A website with technical assistance materials for providers and families has been developed. |

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| 6.14 | 2006-2010 | Information from TRACE Center website on evidenced based strategies to communicate with physician offices will be reviewed and information will be integrated into public awareness materials for local offices and disseminated statewide to provide technical assistance on outreach/ DDD staff and contract staff. | Ongoing Activity. In FFY 2009, ongoing technical assistance regarding the use of the Referral <i>Status Update Form</i> occurred for all 20 CCBs statewide. Through collaboration with the ABCD Project, 147 pediatric and family practices were implementing the use of a standardized developmental screening tool at well child checks and facilitated referrals as appropriate. |
| 6.15 | 2005-2010 | Technical assistance to Child Find and EI referral systems on best practices will be provided for outreach to all populations, including families who are homeless or in the child welfare system (including drug affected, foster care and children in cases of substantiated abuse and neglect)/ DDD staff, CDE and Division of Child Welfare staff, and contract staff. | Ongoing Activity. In FFY 2009, DDD and CDE worked together to provide joint technical assistance to all Child Find teams and CCB staff to address roles and responsibilities related to the child identification and evaluation processes. Memoranda of Understanding with agencies supporting children involved in documented cases of abuse and neglect were implemented by all CCBs to ensure compliance with Child Abuse, Prevention and Treatment Act (CAPTA) regulations. Strategies for outreach to underserved populations were incorporated within the Service Coordination Core Competencies training. |

Explanation of Progress or Slippage for FFY 2009: The target of 2.4% was not met. However, the FFY 2009 actual target data demonstrates improvement in the identification rate for infants birth to three years of age with IFSPs from 2.17% reported in FFY 2008 to 2.35% in FFY 2009.

Colorado is below the national average of 2.67% but above the mode identification rate of 1.72% and just below the median percentage of 2.41. The average identification rate of states of similar population size (+/-10,000), excluding those who serve at-risk populations, is 2.28%. Of these states (Colorado, Louisiana, Maryland, Minnesota, Missouri, Tennessee and Wisconsin), Colorado's identification rate of 2.35% is above the median percentage of 2.27%.

Progress in this indicator is due to continuing efforts to increase the use of standardized developmental screening as a routine part of a well child visit within pediatric health care practices to promote early identification and referral of infants and toddlers in Colorado.

DDD publicly reports local program performance (including status determinations) on an annual basis by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In early Winter 2010, reports for FFY 2008 were publicly released and are published on the website at www.eicolorado.org under the Documents tab.

For those CCBs who were in year two of the status determination category of "Needs Assistance", DDD issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines, and Resources for FFY 2010, if applicable: DDD reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 6. Improvement activity timelines were extended where applicable; and targets were added for FFY 2011 and 2012 to the State Performance Plan (SPP) submitted February 1, 2011.

**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 209 - June 30, 2010)**

Overview of the Annual Performance Report Development: DDD developed the report for Indicator 7 with broad stakeholder input from the CICC, the CICC/MOU sub-committee and CDE Child Find staff. DDD accessed technical assistance through a privately contracted national consultant, Mountain Plains Regional Resource Center (MPRRC), National Early Childhood Technical Assistance Center (NECTAC), Office of Special Education Programs (OSEP) monthly technical assistance calls, the *OSEP C-7 FAQ Sheet* and other relevant documents found on the SPP/APR Planning Calendar website. The actions taken as a result of this technical assistance are described below in the narrative section.

Monitoring Priority: Effective General Supervision Part C/Child Find

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.
(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:
Percent = [(# of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted with Part C's 45-day timeline) divided by the (# of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted)] times 100.
Account for untimely evaluations, assessments, and initial IFSP meetings, including reasons for delays.

| FFY | Measurable and Rigorous Target | Actual Target Data for FFY 2009 |
|---------------------|---|---------------------------------|
| 2009 (2009-2010) | 100% of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting will be conducted within Part C's 45-day timeline. | 97.24% |

Data Analysis: The data for this measure were captured in the analysis of statewide data from all 20 EI programs. It includes 100% of infants and toddlers who were referred between July 1, 2009 and June 30, 2010 who had an IFSP or who had been in the system for 45 days and should have had a multidisciplinary evaluation, assessment and initial IFSP meeting conducted:

- 4,427 = Total Initial IFSPs
- 3,631 = Total Timely IFSPs
- 674 = Untimely IFSPs due to exceptional family delays
- 122 = Untimely IFSPs due to system delays

97.24% = (3,631 + 674 = 4,305 divided by 4,427 x 100)

The range of reasons for the initial IFSP meeting to be conducted late due to exceptional family delays included:

- Family emergency/illness/hospitalization of child;
- Multidisciplinary assessment and/or IFSP meeting scheduled and family cancelled;
- Difficulty reaching family/family did not return calls/family went on vacation so meeting could not be scheduled;
- Extreme weather conditions or a natural disaster where the length of the delay in conducting the evaluation, assessment or initial IFSP meeting is directly proportional to the duration and severity of the disruption caused by the extreme weather conditions or natural disaster; and

- Difficulty scheduling with biological parent in foster care situations where family rights were still intact. The range of reasons for the initial IFSP meeting to be conducted late due to system reasons included:
- Difficulty scheduling a Child Find evaluation;
- Difficulty finding interpreter for languages other than Spanish;
- Member of assessment team ill;
- Service coordinator ill; and
- Evaluation scheduled and cancelled by assessment team.

Discussion of Improvement Activities Completed for FFY 2009:

| | FFY | State Performance Plan Activities | Progress on Improvement Activities Completed in FFY 2009 |
|-----|-----------|---|--|
| 7.1 | 2007 | DDD will collaborate with CDE to revise the state Memorandum of Understanding that includes strategies to improve compliance with the multidisciplinary evaluation and 45-day timeline requirements/ DDD and CDE staff. | Completed in FFY 2007. |
| 7.2 | 2007 | Technical assistance will be provided to CCBs to implement the new Child Find legislation/ DDD staff. | Completed in FFY 2007. |
| 7.3 | 2007 | Technical assistance will be provided to CCBs to develop interagency operating agreements with their administrative units (AUs) regarding procedures to meet the requirements related to Indicator 7/ DDD staff. | Completed in FFY 2007. |
| 7.4 | 2007 | The state data system will be revised to collect more accurate and reliable data for Indicator 7 and to enable the state to better track progress or slippage/ DDD staff. | Completed in FFY 2007. |
| 7.5 | 2008-2010 | DDD will develop a process for funding CCBs to reimburse private providers for team consultation and attendance at IFSP meetings/ DDD staff. | Ongoing Activity. In FFY 2009, the ability to reimburse private providers to conduct initial evaluations was continued. |
| 7.6 | 2008-2010 | DDD will provide training and technical assistance to CCBs on appropriate identification of family vs. system reasons for delays/ DDD staff. | Ongoing Activity. In FFY 2009, technical assistance was provided for defining family versus system reasons and additional fields were added to the database to allow for further clarification of reasons for delays. |
| 7.7 | 2008-2010 | DDD will collaborate with CDE to ensure that vision and hearing screenings occur for infants and toddlers during eligibility evaluations and initial assessments/ DDD and CDE staff. | Ongoing Activity. In FFY 2009, DDD and CDE worked together to provide joint technical assistance to all Child Find teams and CCB staff to address roles and responsibilities related to the child vision and hearing screening processes. |

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| 7.8 | 2008-2010 | DDD will implement revised procedures for focused monitoring that effectively identify root causes of barriers for those CCBs that are low performing on this indicator/ DDD staff. | Ongoing Activity. In FFY 2009, no CCBs were identified as needing a focused monitoring in this area. |
| 7.9 | 2008-2010 | DDD will provide technical assistance to CCBs to increase funding for service coordination through expanding the number of eligible children and families served through Medicaid Targeted Case Management (TCM)/ DDD staff. | Ongoing Activity. In FFY 2009, DDD staff provided training and revised technical assistance materials for CCB service coordinators and EI personnel on the procedures for billing TCM for appropriate service coordination activities. |
| 7.10 | 2008-2010 | DDD will monitor all twenty CCBs for compliance on Indicator 7, using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance, and require POCs when noncompliance is identified/ DDD staff. | Ongoing Activity. In FFY 2009, all 20 CCBs were monitored using a combination of data review, self-assessment and onsite data verification visits. |
| 7.11 | 2008-2010 | Materials for families about the IFSP process, with an explanation of the multidisciplinary evaluation and IFSP development, will be developed and distributed statewide/ DDD staff, Training Cadre, and contract staff. | Ongoing Activity. In FFY 2009, a total of 8,104 copies of <i>A Family Guide: Individualized Family Service Plan and Orientation to Early Intervention Services</i> were disseminated by DDD: 6,297 (78%) English; and 1,807 (22%) Spanish. |
| 7.12 | 2007-2010 | The state EI rules will be revised to incorporate changes to the Child Find legislation (CRS 27-10.5-140)/ DDD staff. | Ongoing Activity. In FFY 2009, the revision of EI rules was continued. |
| 7.13 | 2006-2010 | CCBs will develop and implement interagency operating agreements with their local AUs to ensure timely evaluations and assessments and initial IFSPs/ DDD staff, CCBs, and LICCs members. | Ongoing Activity. In FFY 2009, all 20 CCBs had completed Interagency Agreements. |
| 7.14 | 2005-2010 | Service Coordination Core Competencies Training with a module on the IFSP process, including evaluation process and timelines will continue to be provided/ DDD staff and Training Cadre. | Ongoing Activity. In FFY 2009, three Service Coordination Core Competencies trainings were held throughout the state with 66 participants meeting the Colorado competencies for service coordination. |

Explanation of Progress or Slippage for FFY 2009: The target of 100% was not met but progress was made, from 96.46% reported in FFY 2008 to 97.24% in FFY 2009.

Clarification of the distinction between documented exceptional family circumstances and identified system reasons for late evaluation and assessment and initial IFSP meeting was provided to all EI programs and technical assistance was delivered to address individual program questions throughout the year.

To address the 2.76% of noncompliance that occurred in FFY 2009 for Indicator 7, DDD has reviewed the improvement activities and is maintaining them as written.

Edit reports within the statewide database are made available to CCB staff in order to identify gaps in data entry to ensure the validity and reliability of data collected and to enable self-monitoring of their performance. Technical assistance was provided to local EI programs regarding the use of these edit reports as a tool for programs to track upcoming deadlines, identify trends and conduct drill down activities to identify systemic issues leading to instances of noncompliance. A data workgroup was convened in FFY 2009, with participation from local EI programs, to review available reports to ensure that the reports meet the needs of the local programs. Changes are being made to existing reports and additional reports will be created as needed.

DDD publicly reports local program performance (including status determinations) on an annual basis by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In early Winter 2010, reports for FFY 2008 were publicly released and are published on the website at www.eicolorado.org under the Documents tab.

For those CCBs who were in year two of the status determination category of "Needs Assistance", DDD issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Status of Correction of Noncompliance Reflected in the FFY 2008 APR: To address the 3.29% noncompliance reported in FFY 2008, a total of two findings were issued for Indicator 7 data. DDD has verified that each of the two CCB EI programs with noncompliance reflected in the data reported for this indicator:

(1) is correctly implementing 34 CFR 303.321(e)(2), 303.322(e)(1), and 303.342 (a) (i.e., achieved 100% compliance) based on a review of updated data subsequently collected through the State data system;

- DDD verified 100% compliance for each of the two CCB EI programs through CCMSWeb data review of a full population of children for whom new early intervention services should have begun during a one-month time period; and

(2) has conducted the initial evaluation, assessment, and IFSP meeting, although late, for any child for whom the 45-day timeline was not met, unless the child is no longer within the jurisdiction of the CCB EI program, consistent with the *OSEP Memo 09-02*.

- DDD verified through a review of data within the CCMSWeb data system that all children for whom a multidisciplinary evaluation and initial IFSP meeting were not initiated in a timely manner had these activities conducted unless the child was no longer within the jurisdiction of the CCB EI program.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines, and Resources for FFY 2010, if applicable: DDD reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 7. An improvement activity was added to update the training and technical assistance materials to support the revised state EI rules related to Indicator 7; improvement activity timelines were extended where applicable; and targets were added for FFY 2011 and 2012 to the State Performance Plan (SPP) submitted February 1, 2011.

**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 2009 - June 30, 2010)**

Overview of the Annual Performance Report Development: DDD staff developed the report for Indicator 8 with broad stakeholder input from the CICC, the CICC/MOU sub-committee and CDE Child Find staff. DDD accessed technical assistance through a privately contracted consultant, MPRRC, NECTAC, the National Early Childhood Transition Center (NECTC), OSEP monthly technical assistance calls, the *OSEP C-8 FAQ Sheet* and other relevant documents found on the SPP/APR Planning Calendar website. The actions taken as a result of this technical assistance are described below in the narrative section.

Monitoring Priority: Effective General Supervision Part C/Effective Transition

Indicator 8: Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:

- A. IFSPs with transition steps and services;
- B. Notification to LEA, if child potentially eligible for Part B; and
- C. Transition conference, if child potentially eligible for Part B.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = [(# of children exiting Part C who have an IFSP with transition steps and services) divided by the (# of children exiting Part C)] times 100.
- B. Percent = [(# of children exiting Part C and potentially eligible for Part B where notification to the LEA occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.
- C. Percent = [(# of children exiting Part C and potentially eligible for Part B where the transition conference occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.

| FFY | Measurable and Rigorous Target | Actual Target Data for FFY 2009 |
|----------------------------|---|---------------------------------|
| 2009 (2009-2010) | A) 100% of all children exiting Part C will have an IFSP with transition steps and services. | A) 99.73% |
| 2009 (2009-2010) | B) 100% of children exiting Part C and potentially eligible for Part B will have notification to the LEA occur. | B) 99.93% |
| 2009 (2009-2010) | C) 100% of potentially Part B eligible children will have a timely transition conference. | C) 96.97% |

Data Analysis: The data on the number of infants and toddlers from all 20 CCBs who received timely transition planning were captured in the statewide data analysis of all children who turned two years and nine months between July 1, 2009 and June 30, 2010. Colorado collects data from all EI programs in a statewide data system and reports on 100% of the children who turned two years and nine months during FFY 2009.

8A. Percent of Children with IFSPs with Transition Steps and Services

2,912 = Number of children who exited early intervention services

2,904 = Number of children with IFSPs with Transition Steps and Services

8 = Number of children with IFSPs with no Transition Steps and Services

99.73% = Percent of children with Transition Steps and Services (2,904 divided by 2,912)

8B. Percent of Children with Transition Notifications to Local Education Agencies

2,912 = Number of children who exited early intervention services

2,910 = Number of children for whom Transition Notification was sent

2 = Number of children for whom no Transition Notification was sent

99.93% = Percent of children for whom Transition Notification was sent (2,910 divided by 2,912)

8C. Percent of children with timely Transition Conferences

2,901 = Number of children who had a transition conference

2,501 = Number of children with timely conferences

312 = Number of late conferences due to exceptional family delays

88 = Number of late conferences due to systems delays

96.97% = Percent of children with timely conferences (2,501 + 312 = 2,813 divided by 2,901 x 100)

The range of reasons for the transition conference to be conducted late due to exceptional family delays included:

- Family emergency/illness/hospitalization of child;
- Transition conference scheduled and family cancelled;
- Difficulty reaching family/family did not return calls/family went on vacation so meeting could not be scheduled;
- Extreme weather conditions or a natural disaster where the length of the delay in conducting the transition conference is directly proportional to the duration and severity of the disruption caused by the extreme weather conditions or natural disaster; and
- Difficulty scheduling with biological parent in foster care situations where family rights were still intact.

The range of reasons for the transition conference to be conducted late due to system reasons included:

- Difficulty scheduling with representative from future service;
- Difficulty scheduling over holidays;
- Service coordinator ill; and
- EI program staff issues.

Discussion of Improvement Activities Completed for FFY 2009:

| | FFY | State Performance Plan Activities | Progress on Improvement Activities Completed in FFY 2009 |
|-----|------|---|--|
| 8.1 | 2005 | Training and technical assistance will be provided to three local interagency groups to develop the continuous improvement plan to come into compliance with IDEA transition requirements within one year from the citation (January 2006)/ former lead agency (CDE) Part C and Part B 619 staff. | Completed in FFY 2005. |

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| 8.2 | 2005 | Technical assistance will be provided through documents and web-based resources from lead agency/ former lead agency (CDE) Part C and Part B/619 staff and contract staff. | Completed in FFY 2005. |
| 8.3 | 2005 | Regional topical meetings with follow up technical assistance on transition will be conducted with Child Find coordinators / former lead agency (CDE) Part C and Part B 619 staff. | Completed in FFY 2005. |
| 8.4 | 2007 | State policies, procedures, forms, and interagency operating agreements will be revised to ensure compliance with transition requirements/ DDD staff and CICC/MOU sub-committee. | Completed in FFY 2007. |
| 8.5 | 2007 | The Community Contract and Management System (CCMSWeb) web-based data system will be revised to ensure all key data points for transition are captured to ensure validity and reliability of the data from all CCBs/ DDD staff. | Completed in FFY 2007. |
| 8.6 | 2007-2008 | DDD staff will collaborate with CDE to identify AUs or local education agencies and CCBs that need state level technical assistance to meet transition requirements/ DDD staff and CDE staff. | Completed FFY 2008. |
| 8.7 | 2008-2009 | The transition module within the state IFSP training will be revised to provide more detail on required timelines for transition and the content of transition plans. This training will be provided to EI service coordinators, early interventionists and community partners throughout the state/ DDD Staff, Training Cadre. | Completed in FFY 2009. In FFY 2009, the transition module within the state IFSP training was revised to provide more specific information regarding required timelines for transition planning. |
| 8.8 | 2008-2009 | Workshop on Part C to B transition for parents and early interventionists will be provided at the <i>Conference on Inclusive Education</i> / DDD Staff, Training Cadre. | Completed in FFY 2009. In FFY 2009, “Early Childhood Transitions for Children and Families: Strategies for Success” was presented as one of the 90-minute sessions at the Conference on Inclusive Education. |
| 8.9 | 2008-2009 | DDD will implement revised procedures for focused monitoring that effectively identify root causes of barriers for those CCBs that are low performing on this indicator/ DDD staff. | Completed in FFY 2009. In FFY 2009, no CCBs were identified as needing a focused monitoring in this area. |
| 8.10 | 2007-2009 | A parent handbook on transition will be developed and disseminated/ DDD staff, CICC, and contractors. | Completed in FFY 2008. |

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| 8.11 | 2008-2010 | DDD will revise the state IFSP transition section to provide guidance to IFSP team members on required content for transition steps and services/ DDD staff. | Completed in FFY 2008. |
| 8.12 | 2008-2010 | DDD will monitor all 20 CCBs for compliance on Indicators 8A, 8B and 8C, using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance, and require POCs when noncompliance is identified/ DDD staff. | Ongoing Activity. In FFY 2009, all 20 CCBs were monitored using a combination of data review, self-assessment and onsite data verification visits. |
| 8.13 | 2005-2008 | A parent training module on IFSP process, including transition, will be developed and implemented statewide through regional training/ DDD staff, Training Cadre, and PEAK Parent Center mentors. | Completed in FFY 2008. |
| 8.14 | 2007-2010 | DDD staff will collaborate with CDE to revise the Memorandum of Understanding to address new state statutes and rules, and new federal requirements related to transition, if necessary/ DDD staff and CDE staff. | Ongoing Activity. In FFY 2009, DDD and CDE collaborated on revising the Memorandum of Understanding related to transition services. In addition, DDD participated with CDE in an early childhood transition conference for 100 participants. |
| 8.15 | 2006-2010 | Training on transition requirements will be continued for service coordinators in required Service Coordination Core Competencies Training/ DDD staff and Training Cadre. | Ongoing Activity. In FFY 2009, three Service Coordination Core Competencies trainings were held throughout the state with 66 participants completing the Colorado competencies for service coordination. |
| 8.16 | 2006-2010 | CCBs will develop and implement interagency operating agreements with their local education agencies to ensure timely transitions for children exiting EI services and entering preschool services at age three/ DDD staff, local CCBs, and Local Interagency Coordinating Councils (LICC)s). | Ongoing Activity. In FFY 2009, all 20 CCBs had completed Interagency Agreements. |

Explanation of Progress or Slippage for FFY 2009: The following progress was made:

8A: The target of 100% was not met, however, progress was made from 99.68% reported in FFY 2008 to 99.73% in FFY 2009.

8B: The target of 100% was not met, however, progress was made from 99.17% reported in FFY 2008 to 99.93% in FFY 2009.

8C: The target of 100% was not met, however, significant progress was made from 93.73% in FFY 2008 to 96.97% in FFY 2009.

To address the 0.27% of noncompliance that occurred in FFY 2009 for Indicator 8A, DDD reviewed the improvement activities and determined that the activities are still appropriate as written.

To address the 0.07% of noncompliance that occurred in FFY 2009 for Indicator 8B, DDD reviewed the improvement activities and determined that the activities are still appropriate as written.

To address the 3.03% of noncompliance that occurred in FFY 2009 for Indicator 8C, DDD reviewed the improvement activities and determined that the activities are still appropriate written.

DDD publicly reports local program performance (including status determinations) on an annual basis by comparing each CCB with other CCBs of similar size and with state targets on compliance and performance measures. In early Winter 2010, reports for FFY 2008 were publicly released and are published on the website at www.eicolorado.org under the Documents tab.

For those CCBs who were in year two of the status determination category of "Needs Assistance", DDD issued a menu of technical assistance activities which was posted on the EI Colorado website and documented which resources could be utilized to help inform technical assistance efforts.

Status of Correction of Noncompliance Reflected in the FFY 2008 APR: To address the 0.32% noncompliance reported in FFY 2008 for Indicator 8A, DDD has verified that each of the two CCB EI programs with noncompliance reflected in the data the State reported for this indicator:

(1) is correctly implementing 34 CFR 303.148(b)(4) and 303.344(h) (i.e., achieved 100% compliance) based on a review of updated data subsequently collected through the State data system; and

(2) has developed an IFSP with transition steps and services for each child, unless the child is no longer within the jurisdiction of the CCB EI program (i.e., the child has exited the State's Part C program due to age or other reasons), consistent with the *OSEP Memo 09-02*. No findings of noncompliance were issued in accordance with the *OSEP Frequently Asked Questions Regarding Identification and Correction of Noncompliance and Reporting on Correction in the State Performance Plan (SPP)/Annual Performance Report (APR) #4*.

To address the 0.83% noncompliance reported in FFY 2008 for Indicator 8B, DDD has verified that each of the eight CCB EI programs with noncompliance reflected in the data the State reported for this indicator:

(1) is correctly implementing 34 CFR 303.148(b)(1) (i.e., achieved 100% compliance) based on a review of updated data subsequently collected through the State data system; and

(2) has provided notification to the LEA for each child, unless the child is no longer within the jurisdiction of the CCB EI program (i.e., the child has exited the Part C program due to age or other reasons), consistent with the *OSEP Memo 09-02*. No findings of noncompliance were issued in accordance with the *OSEP Frequently Asked Questions Regarding Identification and Correction of Noncompliance and Reporting on Correction in the State Performance Plan (SPP)/Annual Performance Report (APR) #4*.

To address the 8.54% noncompliance reported in FFY 2008, a total of five findings on noncompliance were issued for Indicator 8C data. DDD has verified that each of the five CCB EI programs with noncompliance reflected in the data reported for this indicator:

(1) is correctly implementing 34 CFR 303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)(A)(ii)(II)) (i.e., achieved 100% compliance) based on data subsequently collected;

- DDD verified 100% compliance for two CCB EI programs through CCMSWeb data review of a full population of children for whom new early intervention services should have begun during a two-month time period;
- DDD verified 100% compliance for three CCB EI programs through CCMSWeb data review of a full population of children for whom new early intervention services should have begun during a one-month time period; and

(2) has conducted a transition conference, although late, for any child potentially eligible for Part B whose transition conference was not timely, unless the child is no longer within the jurisdiction of the CCB EI program, consistent with the *OSEP Memo 09-02*.

- DDD verified through a review of data within the CCMSWeb data system that all children for whom a transition conference was not conducted in a timely manner had a conference initiated unless the child was no longer within the jurisdiction of the CCB EI program.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines, and Resources for FFY 2010, if applicable: DDD reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicators 8A, 8B and 8C. An improvement activity was added to update the training and technical assistance materials to support the revised state EI rules related to Indicator 8; improvement activity timelines were extended where applicable; and targets were added for FFY 2011 and 2012 to the State Performance Plan (SPP) submitted February 1, 2011.

**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 2009 - June 30, 2010)**

Overview of the Annual Performance Report Development: Information for Indicator 9 was taken from DDD Program Quality activities, and input from the CICC and CICC/MOU sub-committee. DDD accessed technical assistance through a privately contracted consultant, MPRRC, NECTAC, OSEP monthly technical assistance calls, the *OSEP C-9 FAQ Sheet* and other relevant documents found on the SPP/APR Planning Calendar website. The actions taken as a result of this technical assistance are described below in the narrative section.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification. (20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:
Percent of noncompliance corrected within one year of identification:

- a. # of findings of noncompliance.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

| FFY | Measurable and Rigorous Target | Actual Target Data for FFY 2009 |
|----------------------------|---|---|
| 2009 (2009-2010) | The general supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects 100% of noncompliance as soon as possible but in no case later than one year from identification. | <ul style="list-style-type: none"> a. 14 findings reported b. 14 corrections were completed no later than one year from identification Total = 100% |

Data Analysis: Data for Indicator 9 includes information from monitoring data of all 20 EI programs in FFY 2008. The applicable findings and correction are reported under each Indicator. DDD has monitored and verified correction:

- 14 = Number of findings made in FFY 2008
- 14 = Number of findings that were corrected no later than one year from the date of notification
- 100% = Percent that were corrected (14 divided by 14)**

| Indicator/Indicator Clusters | General Supervision System Components | # of EIS Programs Issued Findings in FFY 2008 (7/1/08 through 6/30/09) | (a) # of Findings of noncompliance identified in FFY 2008 (7/1/08 through 6/30/09) | (b) # of Findings from (a) for which correction was verified no later than one year from identification |
|--|---|--|--|---|
| 1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner. | Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other | 6 | 6 | 6 |
| | Dispute Resolution (Complaints, due process hearings) | N/A | | |
| 2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings. | Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other | 0 | 0 | |
| | Dispute Resolution (Complaints, due process hearings) | N/A | | |
| 3. Percent of infants and toddlers with IFSPs who demonstrate improved outcomes. | Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other | 0 | 0 | |
| | Dispute Resolution (Complaints, due process hearings) | N/A | | |
| 4. Percent of families participating in Part C who report that early intervention services have helped the family. | Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other | 0 | 0 | |
| | Dispute Resolution (Complaints, hearings) | N/A | | |

| Indicator/Indicator Clusters | General Supervision System Components | # of EIS Programs Issued Findings in FFY 2008 (7/1/08 through 6/30/09) | (a) # of Findings of noncompliance identified in FFY 2007 (7/1/08 through 6/30/09) | b. # of Findings from (a) for which correction was verified no later than one year from identification |
|--|---|--|--|--|
| 5. Percent of infants and toddlers birth to 1 with IFSPs. 6. Percent of infants and toddlers birth to 3 with IFSPs. | Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other | 0 | 0 | |
| | Dispute Resolution: Complaints, Hearings | N/A | | |
| 7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline. | Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other | 2 | 2 | 2 |
| | Dispute Resolution: Complaints, Hearings | N/A | | |
| 8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: A. IFSPs with transition steps and services; | Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other | 0 | 0 | |
| | Dispute Resolution: Complaints, Hearings | N/A | | |

| Indicator/Indicator Clusters | General Supervision System Components | # of EIS Programs Issued Findings in FFY 2008 (7/1/08 through 6/30/09) | (a) # of Findings of noncompliance identified in FFY 2007 (7/1/08 through 6/30/09) | b. # of Findings from (a) for which correction was verified no later than one year from identification |
|---|---|--|--|--|
| 8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: B. Notification to LEA, if child potentially eligible for Part B; and | Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other | 1 | 1 | 1 |
| | Dispute Resolution: Complaints, Hearings | N/A | | |
| 8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: C. Transition conference, if child potentially eligible for Part B. | Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, Onsite Visits, or Other | 5 | 5 | 5 |
| | Dispute Resolution: Complaints, Hearings | N/A | | |
| Sum the numbers down Column a and Column b | | | 14 | 14 |

Discussion of Improvement Activities Completed in FFY 2009:

| | FFY | State Performance Plan Activities | Progress on Improvement Activities Completed in FFY 2009 |
|-----|------|--|--|
| 9.1 | 2005 | Data from focused monitoring activities on the referral and IFSP process in NICU's will be gathered and analyzed/ former lead agency (CDE) Part C staff and contract staff. | Completed in FFY 2005. |
| 9.2 | 2005 | The Part C state database will be utilized to incorporate all required data elements for federal and state reporting purposes/ former lead agency (CDE) Part C staff and contract staff. | Completed in FFY 2005. |
| 9.3 | 2006 | Based on December 1, 2006 Child Count Data, desk audits will be conducted on all indicators, determinations will be made and compliance plans will be issued in Winter 2007/ DDD staff. | Completed in FFY 2006. |

| | | | |
|------|-----------|---|---|
| 9.4 | 2007 | State policies, procedures, and contracts will be revised to ensure compliance with state and federal requirements / DDD staff. | Completed in FFY 2007. |
| 9.5 | 2007 | Plans of Correction will be issued to those CCBs who show noncompliance in any area and progress will be measured in Summer 2007 to inform technical assistance and focused monitoring/ DDD staff. | Completed in FFY 2007. |
| 9.6 | 2007 | The database will be converted to a web-based system by Fall 2007/ DDD staff. | Completed in FFY 2007. |
| 9.7 | 2007 | The CCMS web-based data system will be revised to ensure all key data points for Indicators 1-8 are captured to ensure validity and reliability of the data from all CCBs/ DDD staff. | Completed in FFY 2007. |
| 9.8 | 2007 | DDD will revise the General Supervision and Monitoring Manual to include all of the OSEP critical elements/ DDD staff. | Completed in FFY 2007. |
| 9.9 | 2007-2009 | Technical assistance on community self-assessment strategies will be developed and provided to CCBs / DDD staff and contract staff. | Completed in FFY 2009. In FFY 2009, strategies for self assessment were discussed during EI Colorado technical assistance calls as well as with individual CCBs as needed. |
| 9.10 | 2008-2010 | DDD will reassign state staff to lead technical assistance activities with CCBs as needed/ DDD staff. | Ongoing Activity. In FFY 2009, all CCBs with an open POC were assigned a state staff person to provide technical assistance throughout the POC. |
| 9.11 | 2008-2010 | DDD will monitor all 20 CCBs for compliance on Indicators 8A, 8B and 8C, using a combination of data review, self-assessment and onsite data verification visits, issue written notifications of noncompliance, and require POCs when noncompliance is identified/ DDD staff. | Ongoing Activity. In FFY 2009, all 20 CCBs were monitored using a combination of data review, self-assessment and onsite data verification visits. |
| 9.12 | 2008-2008 | DDD will reassign state staff and create the position of Plan of Correction Coordinator who will be responsible for tracking correction of noncompliance / DDD staff. | Completed in FFY 2008. |
| 9.13 | 2008-2010 | DDD will develop data queries that CCBs can use for self-assessment to monitor their progress in correcting noncompliance/ DDD staff. | Ongoing Activity. In FFY 2009, data queries were modified as needed to further meet the needs of local programs for self-assessment. New queries were created as requested. |
| 9.14 | 2007-2010 | DDD will annually disseminate a list of possible state enforcement actions for noncompliance extending beyond one year and apply appropriate enforcement actions/ DDD staff. | Ongoing Activity. In FFY 2009, a list of possible state enforcement actions was distributed in the Spring 2010 and posted on the DDD website at www.eicolorado.org . |

| | | | |
|------|-----------|---|--|
| 9.15 | 2006-2010 | The CCMSWeb database will be utilized to measure all required state and federal data reports/ DDD staff. | Ongoing Activity. In FFY 2009, data were extracted from the CCMSWeb database and reported in the FFY 2009 APR and will be publicly reported in FFY 2010. |
| 9.16 | 2006-2010 | DDD will continue to provide annual database trainings and ongoing technical assistance for local data managers / DDD staff. | Ongoing Activity. In FFY 2009, a data presentation was given as part of an DDD technical assistance call to discuss new data fields and data collection requirements. Individual technical assistance is provided to local programs, as needed. |
| 9.17 | 2006-2009 | DDD will ensure CCBs develop POCs that meet state requirements for areas of noncompliance identified through any monitoring activity/ DDD staff. | Ongoing Activity. In FFY 2009, CCBs requiring POCs were provided technical assistance by state staff in order to ensure the POC met the state requirements to ensure timely correction. |
| 9.18 | 2006-2010 | DDD will report annually to the public on the performance of the state and each CCB on the targets for Indicators 1-8 in the SPP by posting on the EI Colorado website and distribution to the media and public agencies/ DDD staff and CICC. | Ongoing Activity. In FFY 2009, DDD published performance profiles, including status determinations, for each CCB EI program on the DDD website and distributed to system partners. |
| 9.19 | 2006-2010 | In collaboration with the Legal Center for People with Disabilities and Older People, DDD will provide annual training on Part C of IDEA to mediators and hearing officers/ DDD staff and Legal Center staff. | Ongoing Activity. In FFY 2009, there were no new mediators or hearing officers in need of training. |
| 9.20 | 2005-2010 | DDD will monitor all communities on all SPP priority areas through statewide database and follow up investigation/ DDD staff. | Ongoing Activity. In FFY 2009, all 20 CCBs were monitored on all SPP priority areas. |

Explanation of Progress or Slippage for Timely Correction of Noncompliance Identified in FFY 2008: The target of 100% was met.

Discussion of Correction of FFY 2008 Findings: In FFY 2008, DDD issued 14 findings of noncompliance. The method for identification of non-compliance was as follows:

- Four findings of noncompliance were identified through onsite monitoring; and
- Ten findings of noncompliance were identified through a desk audit monitoring of data through the statewide data system.

Six findings of noncompliance were issued for Indicator 1. Verification of 100% correction for each of the six CCB EI programs which received a finding of noncompliance for Indicator 1 occurred through a review of data submitted to DDD for a full population of children for whom new services should have been initiated within a one-month time period through a Plan of Correction process. DDD has verified that each of the six CCB EI programs: (1) is correctly implementing 34 CFR 303.340(c), 303.342(e), and 303.344(f)(1) (i.e., achieved 100% compliance) based on data subsequently collected; and (2) has initiated services, although late, for any child whose services were not initiated in a timely manner, unless the child is no longer within the jurisdiction of the CCB EI program, consistent with the *Office of Special Education Programs Memorandum 09-02 (OSEP Memo 09-02)*.

One finding of noncompliance was issued for Indicator 7 and one finding of noncompliance for related requirement at 34 CFR 303.23 (a) provision of service coordination activities. Verification of 100% correction for each of the two CCB EI programs which received a finding of noncompliance for Indicator 7 occurred through a review of data submitted to DDD for a full population of children for whom a multidisciplinary assessment and initial IFSP meeting should have occurred during a one-month time period through a Plan of Correction process. DDD has verified that each of the two CCB EI programs: (1) is correctly implementing 34 CFR 303.321(e)(2), 303.322(e)(1), and 303.342 (a) (i.e., achieved 100% compliance) based on a review of updated data subsequently collected through the State data system; and (2) has conducted the initial evaluation, assessment, and IFSP meeting, although late, for any child for whom the 45-day timeline was not met, unless the child is no longer within the jurisdiction of the CCB EI program, consistent with the *OSEP Memo 09-02*.

One finding of noncompliance was issued for Indicator 8B. Verification of 100% correction for the one CCB EI program which received a finding of noncompliance for Indicator 8B occurred through a review of data submitted to DDD for a full population of children for whom LEA notification should be occurred during a one-month time period through a Plan of Correction process. DDD has verified that the one CCB EI program: (1) is correctly implementing 34 CFR 303.148(b)(1) (i.e., achieved 100% compliance) based on a review of updated data subsequently collected through the State data system; and (2) has provided notification to the LEA for each child, unless the child is no longer within the jurisdiction of the CCB EI program (i.e., the child has exited the Part C program due to age or other reasons), consistent with the *OSEP Memo 09-02*.

Five findings of noncompliance were issued for Indicator 8C. Verification of 100% correction for each of the five CCB EI programs which received a finding of noncompliance for Indicator 8C occurred through a review of data submitted to DDD for a full population of children for whom a transition conference should have occurred during a one-month time period through a Plan of Correction process. DDD has verified that each of the five CCB EI programs: (1) is correctly implementing 34 CFR 303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)(A)(ii)(II)) (i.e., achieved 100% compliance) based on data subsequently collected; and (2) has conducted a transition conference, although late, for any child potentially eligible for Part B whose transition conference was not timely, unless the child is no longer within the jurisdiction of the CCB EI program, consistent with the *OSEP Memo 09-02*.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines, and Resources for FFY 2010, if applicable: DDD reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 9. Improvement activity timelines were extended where applicable; and targets were added for FFY 2011 and 2012 to the State Performance Plan (SPP) submitted February 1, 2011.

**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 2009 - June 30, 2010)**

Overview of the Annual Performance Report Development: Information used for Indicator 10 is based on the number of written complaints that were made during FFY 2009. The improvement activities were developed by DDD with input from the CICC and the Protection and Advocacy Agency for Colorado (The Legal Center for People with Disabilities and Older People).

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 10: Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint. (20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(1.1(b) + 1.1(c)) divided by 1.1] times 100.

| FFY | Measurable and Rigorous Target | Actual Target Data for FFY 2009 |
|----------------------------|--|---------------------------------|
| 2009 (2009-2010) | 100% will be resolved within 60-day timeline or a timeline will be extended for exceptional circumstances. | Not Applicable |

Data Analysis: Not applicable. No written complaints were filed during FFY 2009.

Discussion of Improvement Activities Completed in FFY 2009:

| | FFY | State Performance Plan Activities | Progress on Improvement Activities Completed in FFY 2009 |
|------|-----------|--|--|
| 10.1 | 2005 | Parent training curriculum and materials about the IFSP process with an emphasis on procedural safeguards will be developed and disseminated/ former lead agency (CDE) Part C staff, Training Cadre, PEAK Parent Center, and contract staff. | Completed in FFY 2005. |
| 10.2 | 2007 | A technical assistance brief on procedural safeguards to all of the CCBs for use in conjunction with IFSP meetings will be developed and disseminated/ DDD staff and contract staff. | Completed in FFY 2007. |
| 10.3 | 2005-2007 | The <i>Welcome Packet</i> , which includes a copy of their rights under IDEA to the complaint process, will be distributed to all families entering the EI system in the state/ DDD staff and contract staff. | Completed in FFY 2007. |
| 10.4 | 2006-2008 | Parent training will be provided regionally, including trainings in Spanish, and materials will be disseminated statewide/ DDD staff, PEAK Parent Center, and contract staff. | Completed in FFY 2008. |

| | | | |
|------|-----------|--|---|
| 10.5 | 2006-2008 | A Cultural Competence Workgroup will address the provision of culturally and linguistically appropriate supports and services in the context of families procedural safeguards/ DDD staff and Cultural Competence Workgroup members. | Completed in FFY 2008. |
| 10.6 | 2008-2010 | DDD will provide training and technical assistance on child and family rights and procedural safeguards to all of the CCBs for use in conjunction with IFSP meetings, including guidance documents as to when parents must be provided notice of their rights and procedural safeguards as well as strategies for ensuring that families understand those rights / DDD staff and contract staff. | Ongoing Activity. In FFY 2009, a Surrogate Parent/Procedural Safeguards training was conducted in conjunction with the Legal Center for People with Disabilities and Older People in the southern portion of the state with five participants. |
| 10.7 | 2008-2010 | The <i>Family Rights: Notice of Child and Family Rights and Procedural Safeguards in the Early Intervention Colorado System</i> , which describes family rights and procedural safeguards under IDEA including the dispute resolution and complaint process, will be distributed to all families entering the EI system in the state and at each point notice of rights is required/ DDD staff. | Ongoing Activity. In FFY 2009, a total of 18,700 copies of <i>Family Rights: Notice of Family Rights and Procedural Safeguards within the Early Intervention System</i> were disseminated by EI Colorado: 13,371 (72%) English and 5,329 (28%) Spanish. In situations where other languages were used, the procedural safeguards information was provided in the family languages. |
| 10.8 | 2005-2010 | Service Coordination Core Competencies Training will be conducted two-three times annually, including service coordinator responsibilities for informing parents of their rights under IDEA, including the complaint process/ DDD staff and Training Cadre. | Ongoing Activity. In FFY 2009, three Service Coordination Core Competencies trainings were held throughout the state with 66 participants meeting the Colorado competencies for service coordination. |
| 10.9 | 2005-2010 | All complaint investigations will be conducted within the timeline required and reports of findings as defined in IDEA will be issued/ DDD staff and complaint officer. | Ongoing Activity. In FFY 2009, there was no complaint filed needing investigation. |

Explanation of Progress or Slippage for FFY 2009: Not applicable. No written complaints were filed during FFY 2009.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines, and Resources for FFY 2010, if applicable: DDD reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 10. An improvement activity was added to provide training regarding Part C of IDEA for all hearing and mediation officers to update their understanding of rules and regulations; improvement activity timelines were extended where applicable; and targets were added for FFY 2011 and 2012 to the State Performance Plan (SPP) submitted February 1, 2011.

**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 2009 - June 30, 2010)**

Overview of the Annual Performance Report Development: Information used for Indicator 11 is based on the number of written complaints that were made during FFY 2009. The improvement activities were developed by DDD with input from the CICC and The Legal Center for People with Disabilities and Older People.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 11: Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(3.2(a) + 3.2(b)) divided by 3.2] times 100.

| FFY | Measurable and Rigorous Target | Actual Target Data for FFY 2009 |
|----------------------------|--|---------------------------------|
| 2009 (2009-2010) | 100% of due process hearing requests will be fully adjudicated within the applicable timeline. | Not Applicable |

Data Analysis: Not applicable. No due process hearing requests were filed during FFY 2009.

Discussion of Improvement Activities Completed for FFY 2009:

| | FFY | State Performance Plan Activities | Progress on Improvement Activities Completed in FFY 2009 |
|------|-----------|---|---|
| 11.1 | 2005 | Develop and pilot parent training curriculum, and materials about IFSP process, with an emphasis on procedural safeguards/ former lead agency (CDE) Part C state staff, Training Cadre and PEAK Parent Center. | Completed in FFY 2005. |
| 11.2 | 2007 | 2008 Develop and disseminate a technical assistance brief on procedural safeguards for all of the CCBs to use in conjunction with IFSP meetings/ DDD staff and contract staff. | Completed in FFY 2007. |
| 11.3 | 2008-2009 | Provide training and technical assistance on child and family rights and procedural safeguards to all of the CCBs for use in conjunction with IFSP meetings, including guidance documents as to when parents must be provided notice of their rights and procedural safeguards as well as strategies for ensuring that families understand those rights / DDD staff and contract staff. | Completed in FFY 2009 In FFY 2009, a Surrogate Parent/Procedural Safeguards training was conducted in conjunction with the Legal Center for People with Disabilities and Older People in the southern portion of the state with five participants. Information for all CCBs was posted on the EI Colorado website. |

| | | | |
|-------|-----------|---|---|
| 11.4 | 2005-2007 | The <i>Welcome Packet</i> will be provided to all families entering the EI system in the state that includes a copy of their rights under IDEA, including their right to due process/ DDD staff. | Completed in FFY 2007. |
| 11.5 | 2006-2008 | Provide parent training regionally and disseminate materials statewide/ DDD staff, Training Cadre, PEAK Parent Center, and contract staff. | Completed in FFY 2008. |
| 11.6 | 2006-2008 | Provide parent training regionally, including trainings in Spanish, and disseminate materials statewide/ DDD staff, PEAK Parent Center, and contract staff. | Completed in FFY 2008. |
| 11.7 | 2006-2008 | A cultural competence workgroup will address the provision of culturally and linguistically appropriate supports and services in the context of families' procedural safeguards/ DDD staff and workgroup. | Completed in FFY 2008. |
| 11.8 | 2008-2010 | The <i>Family Rights: Notice of Child and Family Rights and Procedural Safeguards in the Early Intervention Colorado System</i> , which describes family rights and procedural safeguards under IDEA including the dispute resolution and complaint process, will be distributed to all families entering the EI system in the state and at each point notice of rights is required/ DDD staff. | Ongoing Activity. In FFY 2009, a total of 18,700 copies of <i>Family Rights: Notice of Family Rights and Procedural Safeguards within the Early Intervention System</i> were disseminated by EI Colorado: 13,371 (72%) English and 5,329 (28%) Spanish. In situations where other languages were used, the procedural safeguards information was provided in the family languages. |
| 11.9 | 2006-2010 | Annual contracts will be developed with CCBs that contain assurances and plans for meeting those assurances, including the assurance that all families know their rights under IDEA to due process and follow-up by programs on their plans/strategies/ DDD staff. | Ongoing Activity. In FFY 2009, contracts with all 20 CCBs included the requirement to meet assurances and plans for meeting those assurances, including the assurance that all families know their rights under IDEA and the right to due process. |
| 11.10 | 2005-2010 | The Service Coordination Core Competencies Training will continue to be provided two-three times annually, including service coordinator responsibilities for informing parents of their rights under IDEA, including the complaint process/ DDD staff and Training Cadre. | Ongoing Activity. In FFY 2009, three Service Coordination Core Competencies trainings were held throughout the state with 66 participants meeting the Colorado competencies for service coordination. |

Explanation of Progress or Slippage for FFY 2009: Not applicable. No due process hearing requests were filed during FFY 2009.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines, and Resources for FFY 2010, if applicable: DDD reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 11. Improvement activity timelines were extended where applicable; and targets were added for FFY 2011 and 2012 to the State Performance Plan (SPP) submitted February 1, 2011.

**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 2009 - June 30, 2010)**

Overview of the Annual Performance Report Development: Not applicable

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 12: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted). (20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = (3.1(a) divided by 3.1) times 100.

| FFY | Measurable and Rigorous Target | Actual Target Data for FFY 2009 |
|----------------------------|---|---------------------------------|
| 2009 (2009-2010) | Baseline was to be established and targets developed. No longer applicable because Part B General Supervision Part C/General Supervision are not adopted under the new lead agency. | Not Applicable |

Data Analysis: Not applicable.

Explanation of Progress or Slippage for FFY 2009: Not applicable

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines, and Resources for FFY 2010, if applicable: Not applicable.

**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 2009 - June 30, 2010)**

Overview of the Annual Performance Report Development: The information for Indicator 13 was developed by DDD with input from the CICC and The Legal Center for People with Disabilities and Older People.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 13: Percent of mediations held that resulted in mediation agreements.
(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by 2.1] times 100.

| FFY | Measurable and Rigorous Target | Actual Target Data for FFY 2009 |
|----------------------------|---|---------------------------------|
| 2009 (2009-2010) | To be established once Colorado reaches the OSEP minimum of 10 mediations per year. | Not Applicable |

Data Analysis: Not applicable. No mediations were filed during FFY 2009.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009: Not applicable. No mediations were filed during FFY 2009.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines, Resources for FFY 2010: Not applicable. No mediations were filed during FFY 2009.

**Part C State Annual Performance Report (APR)
for FFY 2009 (July 1, 2009 - June 30, 2010)**

Overview of the Annual Performance Report Development: The information for Indicator 14 was provided by DDD staff. The improvement activities were developed by DDD with input from the CICC.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 14: State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.
(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: State reported data, including 618 data, State performance plan, and annual performance reports, are:

- a. Submitted on or before due dates (February 1 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and
- b. Accurate (describe mechanisms for ensuring error free, consistent, valid and reliable data and evidence that these standards are met).

| FFY | Measurable and Rigorous Target | Actual Target Data for FFY 2009 |
|----------------------------|---|-----------------------------------|
| 2009 (2009-2010) | 100% of State reported data (618 and State Performance Plan and Annual Performance Report) will be timely and accurate. | a. 100% b. 100% Total: 100% |

Data Analysis: Data for Indicator 14 were gathered from the statewide data system of all 20 CCBs, APR and SPP reports. All programs were provided with edit reports to use to ensure data were complete, valid and reliable, before reporting for 618 and APR data measurements. Onsite data verification visits were conducted for nine EI programs. Timeliness are measured by meeting the required deadlines noted below:

Table 1: Submitted February 1, 2010

Table 2: Submitted February 1, 2010

Table 3: Submitted November 1, 2010

Table 4: Submitted November 1, 2010

Part C Indicator 14 Data Rubric

| SPP/APR Data – Indicator 14 | | | |
|------------------------------|---|---------------------|-------|
| APR Indicator | Valid and Reliable | Correct Calculation | Total |
| 1 | 1 | 1 | 2 |
| 2 | 1 | 1 | 2 |
| 3 | 1 | 1 | 2 |
| 4 | 1 | 1 | 2 |
| 5 | 1 | 1 | 2 |
| 6 | 1 | 1 | 2 |
| 7 | 1 | 1 | 2 |
| 8A | 1 | 1 | 2 |
| 8B | 1 | 1 | 2 |
| 8C | 1 | 1 | 2 |
| 9 | 1 | 1 | 2 |
| 10 | 1 | 1 | 2 |
| 11 | 1 | 1 | 2 |
| 12 | 1 | 1 | 2 |
| 13 | 1 | 1 | 2 |
| | | Subtotal | 30 |
| APR Score Calculation | Timely Submission Points – If the FFY 2009 APR was submitted on time, place the number 5 in the cell on the right. | | 5 |
| | Grand Total – (Sum of subtotal and Timely Submission points = | | 35 |

| 618 Data – Indicator 14 | | | | | |
|---|--------|---------------|-------------------------------------|---------------------------------|-------|
| Table | Timely | Complete Data | Passed Edit Check | Responded to Data Note Requests | Total |
| Table 1 - Child Count Due Date: 2/1/10 | 1 | 1 | 1 | N/A | 3 |
| Table 2 - Program Settings Due Date: 2/1/10 | 1 | 1 | 1 | N/A | 3 |
| Table 3 - Exiting Due Date: 11/1/10 | 1 | 1 | 1 | N/A | 3 |
| Table 4 - Dispute Resolution Due Date: 11/1/10 | 1 | 1 | 1 | N/A | 3 |
| | | | | Subtotal | 12 |
| 618 Score Calculation | | | Grand Total (Subtotal X 2.5) | | 30 |

| Indicator #14 Calculation | |
|--|---------------|
| A. APR Grand Total | 35.00 |
| B. 618 Total | 30.00 |
| C. APR Grand Total (A) + 618 Grand Total (B) = | 65.00 |
| Total N/A in APR | 0.00 |
| Total N/A in 618 | 5.00 |
| Base | 65.00 |
| D. Subtotal (C divided by Base*) = | 1.00 |
| E. Indicator Score (Subtotal D x 100) = | 100.00 |

*Note any cell marked as N/A will decrease the denominator by 1 for APR and 2.5 for 618

Discussion of Improvement Activities Completed for FFY 2009:

| | FFY | State Performance Plan Activities | Progress on Improvement Activities Completed in FFY 2009 |
|------|------------|--|---|
| 14.1 | 2007 | The Part C database will be transferred to the CCMSWeb platform and staff will conduct training for local data managers/ DDD staff. | Completed in FFY 2007. |
| 14.2 | 2007 | Automated reports that can be accessed by each CCB to identify missing or incorrect data information and used for self-monitoring will be developed / DDD staff. | Completed in FFY 2007. |
| 14.3 | 2007 | The general supervision manual will be updated to include changes to the written notification of noncompliance and POC procedures to ensure timely correction of noncompliance/ DDD staff, CICC, NECTAC and contract staff. | Completed in FFY 2007. |
| 14.4 | 2007 | Public reporting and determination procedures will be revised to include more extensive criteria for measuring performance of each CCB, including timely and accurate reporting of data/ DDD staff, CICC Special Purpose Committee, NECTAC and contract staff. | Completed in FFY 2007. |
| 14.5 | 2007 | The Child Count data reporting date used by Colorado will be changed from December 1 to October 1 to improve the timelines for reporting data in the APR/ DDD staff. | Completed in FFY 2007. |
| 14.6 | 2008-2010 | The <i>CCMSWeb Early Intervention Instruction Manual</i> will be revised to include procedures for data entry, management, reporting timelines, confidentiality, validity and reliability, and back up safety/ DDD staff. | Ongoing Activity. In FFY 2009, the <i>CCMSWeb Early Intervention Instruction Manual</i> was revised to include procedures for changes made to the data system and billing procedures. |
| 14.7 | 2007-2010 | Annual CCMSWeb database training on updates to the <i>CCMSWeb Early Intervention Instruction Manual</i> will be conducted to assure local data managers have the most updated information for completing the database/ DDD staff. | Ongoing Activity. In FFY 2009, training and technical assistance was provided to local data managers and EI program managers through updates in the data instructions, quarterly EI program meetings and statewide technical assistance calls to ensure valid and reliable data. |

| | | | |
|-------|-----------|--|--|
| 14.8 | 2007-2010 | DDD will participate with interagency partners in data mapping activities to coordinate data collection throughout the state/ DDD staff and interagency partners. | Ongoing Activity. In FFY 2009, the CDPHE database was used to compare demographic information. |
| 14.9 | 2007-2010 | Through regularly scheduled desk reviews of data, DDD staff will provide CCBs with reports on data accuracy and follow-up one-on-one technical assistance/ DDD staff. | Ongoing Activity. In FFY 2009, data edit reports were provided to CCBs to self-monitor data accuracy and individual, one-to-one technical assistance was provided to programs as data entry issues were identified to ensure valid and reliable data. |
| 14.10 | 2005-2010 | DDD staff will participate in annual OSEP/Data Accountability Center (DAC) Part C data trainings and OSEP Annual Meetings on APR and SPP/ DDD staff. | Ongoing Activity. In FFY 2009, the Program Quality and Data Coordinator attended the annual ECO and DAC Data Meeting (June 2010). |
| 14.11 | 2005-2010 | DDD staff will utilize DAC website and listserv for updated information on Section 618 reporting requirements/ DDD staff. | Ongoing Activity. In FFY 2009, DDD staff utilized the DAC website to access current information on data collection and reporting requirements to ensure the submission of valid and reliable data. |
| 14.12 | 2005-2010 | DDD staff will review and/or revise and explain changes to Section 618 Tables as requested by DAC staff annually or as needed/ DDD staff. | Ongoing Activity. In FFY 2009, data tables were submitted on time and data notes were provided if requested. |
| 14.13 | 2005-2010 | The CCMSWeb database will be updated, as needed, to include the most current required data fields to complete the Section 618 tables, APR and SPP requirements/ DDD staff and contract staff. | Ongoing Activity. In FFY 2009, data fields were revised as needed to allow for more detailed data collection for APR and SPP reporting to ensure that data reported is valid and reliable. |
| 14.14 | 2005-2010 | DDD staff will periodically cross reference the CCMSWeb database with multiple sources of data to verify the validity and accuracy of data used for Section 618 Tables and APR information/ DDD staff. | Ongoing Activity. In FFY 2009, DDD cross referenced the CCMSWeb database with the CDPHE database to compare demographic information and onsite file reviews were conducted to verify data validity and reliability for nine CCBs. |

Explanation of Progress or Slippage that occurred for FFY 2009: The target of 100% was met.

Revisions, with Justification, to Proposed Targets, Improvement Activities, Timelines, and Resources for FFY 2010: DDD reviewed the existing improvement activities and determined that the strategies are still appropriate as written for Indicator 14. Improvement activity timelines were extended where applicable; and targets were added for FFY 2011 and 2012 to the State Performance Plan (SPP) submitted February 1, 2011.