

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-01 Food Service and Housekeeping Coordinated Compensation

Dept. Approval By: _____ Supplemental FY 2021-22

OSPB Approval By: _____ Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$259,386,778	\$0	\$264,826,377	\$1,955,919	\$1,793,711
	FTE	2,547.3	0.0	2,547.3	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$152,969,438	\$0	\$157,794,031	\$994,087	\$911,645
	CF	\$40,032,636	\$0	\$40,354,017	\$462,741	\$424,365
	RF	\$39,854,702	\$0	\$40,044,325	\$213,410	\$195,712
	FF	\$26,530,002	\$0	\$26,634,004	\$285,681	\$261,989

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$488,186	\$0	\$512,940	\$2,554	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1) General Administration - Short-Term Disability	GF	\$322,309	\$0	\$345,118	\$1,286	\$0
	CF	\$17,818	\$0	\$22,260	\$620	\$0
	RF	\$69,799	\$0	\$66,216	\$278	\$0
	FF	\$78,260	\$0	\$79,346	\$370	\$0

	Total	\$15,767,140	\$0	\$16,499,707	\$79,827	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1) General Administration - Amortization Equalization Disbursement	GF	\$10,400,305	\$0	\$11,070,672	\$40,578	\$0
	CF	\$566,270	\$0	\$709,350	\$18,878	\$0
	RF	\$2,290,594	\$0	\$2,158,256	\$8,710	\$0
	FF	\$2,509,971	\$0	\$2,561,429	\$11,661	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$15,767,140	\$0	\$16,499,707	\$79,827	\$0
01. Executive Director's Office, (A) General Administration, (1)	FTE	0.0	0.0	0.0	0.0	0.0
General Administration - S.B. 06-235	GF	\$10,400,305	\$0	\$11,070,672	\$40,578	\$0
Supplemental Equalization Disbursement	CF	\$566,270	\$0	\$709,350	\$18,878	\$0
	RF	\$2,290,594	\$0	\$2,158,256	\$8,710	\$0
	FF	\$2,509,971	\$0	\$2,561,429	\$11,661	\$0
	Total	\$30,415,505	\$0	\$31,161,320	\$363,446	\$363,446
	FTE	409.3	0.0	409.3	0.0	0.0
03. Office of Operations, (A) Administration, (1)	GF	\$19,630,715	\$0	\$20,375,975	\$234,575	\$234,575
Administration - Personal Services	CF	\$3,291	\$0	\$3,291	\$39	\$39
	RF	\$10,781,499	\$0	\$10,782,054	\$128,832	\$128,832
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$23,487,985	\$0	\$23,996,039	\$71,231	\$71,231
	FTE	216.2	0.0	216.2	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (1)	GF	\$21,751,757	\$0	\$22,247,708	\$65,966	\$65,966
Mental Health Institutes - Ft. Logan - Personal Services	CF	\$1,642,140	\$0	\$1,654,243	\$4,980	\$4,980
	RF	\$94,088	\$0	\$94,088	\$285	\$285
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$97,177,601	\$0	\$99,379,415	\$491,531	\$491,531
	FTE	1,056.2	0.0	1,056.2	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (2)	GF	\$85,768,247	\$0	\$87,951,385	\$434,354	\$434,354
Mental Health Institutes - Pueblo - Personal Services	CF	\$3,755,180	\$0	\$3,773,856	\$18,819	\$18,819
	RF	\$7,654,174	\$0	\$7,654,174	\$38,358	\$38,358
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$7,341,491	\$0	\$7,512,842	\$6,906	\$6,906
09. Services for People with Disabilities, (A)	FTE	98.8	0.0	98.8	0.0	0.0
Regional Centers - Developmental	GF	\$0	\$0	\$0	\$0	\$0
Disabilities Services, (2)	CF	\$1,037,320	\$0	\$1,037,320	\$976	\$976
Grand Junction Regional Center - Grand Junction Regional Center	RF	\$6,304,171	\$0	\$6,475,522	\$5,930	\$5,930
Intermediate Care Facility	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$11,057,981	\$0	\$11,343,863	\$25,977	\$25,977
09. Services for People with Disabilities, (A)	FTE	174.2	0.0	174.2	0.0	0.0
Regional Centers - Developmental	GF	\$350,000	\$0	\$350,000	\$0	\$0
Disabilities Services, (2)	CF	\$398,264	\$0	\$398,264	\$3,670	\$3,670
Grand Junction Regional Center - Grand Junction Regional Center Waiver Services	RF	\$10,309,717	\$0	\$10,595,599	\$22,307	\$22,307
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$24,506,708	\$0	\$24,506,708	\$246,611	\$246,611
09. Services for People with Disabilities, (D)	FTE	236.4	0.0	236.4	0.0	0.0
Veterans Community Living Centers, (1)	GF	\$965,580	\$0	\$965,580	\$9,717	\$9,717
Veterans Community Living Centers - Fitzsimons Veterans	CF	\$12,027,928	\$0	\$12,027,928	\$121,038	\$121,038
Community Living Center	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$11,513,200	\$0	\$11,513,200	\$115,856	\$115,856
	Total	\$12,558,427	\$0	\$12,558,427	\$252,098	\$252,098
09. Services for People with Disabilities, (D)	FTE	135.0	0.0	135.0	0.0	0.0
Veterans Community Living Centers, (1)	GF	\$513,096	\$0	\$513,096	\$10,300	\$10,300
Veterans Community Living Centers - Florence Veterans	CF	\$7,674,231	\$0	\$7,674,231	\$154,052	\$154,052
Community Living Center	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$4,371,100	\$0	\$4,371,100	\$87,746	\$87,746

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$8,688,170	\$0	\$8,688,170	\$118,791	\$118,791
09. Services for People with Disabilities, (D)	FTE	95.3	0.0	95.3	0.0	0.0
Veterans Community Living Centers, (1)	GF	\$567,049	\$0	\$567,049	\$7,753	\$7,753
Veterans Community Living Centers - Homelake Veterans Community Living Center	CF	\$5,180,621	\$0	\$5,180,621	\$70,833	\$70,833
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$2,940,500	\$0	\$2,940,500	\$40,205	\$40,205
	Total	\$10,394,500	\$0	\$10,394,500	\$72,493	\$72,493
09. Services for People with Disabilities, (D)	FTE	110.6	0.0	110.6	0.0	0.0
Veterans Community Living Centers, (1)	GF	\$624,197	\$0	\$624,197	\$4,353	\$4,353
Veterans Community Living Centers - Rifle Veterans Community Living Center	CF	\$7,163,303	\$0	\$7,163,303	\$49,958	\$49,958
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$2,607,000	\$0	\$2,607,000	\$18,182	\$18,182

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$1,735,944	\$0	\$1,772,739	\$144,627	\$144,627
	FTE	15.3	0.0	15.3	0.0	0.0
11. Division of Youth Services, (A)	GF	\$1,675,878	\$0	\$1,712,579	\$144,627	\$144,627
Administration, (1)	CF	\$0	\$0	\$0	\$0	\$0
Administration -	RF	\$60,066	\$0	\$60,160	\$0	\$0
Personal Services	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation?	NO		
Type of Request?	Human Services Prioritized Request	Interagency Approval or Related Schedule 13s:	Impacts HCPF Medicaid

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUESTS: The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report that displays all the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will allow you to view the report performance. If you require troubleshooting assistance, a copy of this cover page will be necessary. Please see the Helpful Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports:</p> <p>*** Query Name SF 00 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 15010 Review Duplicate Row: ON</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTTY Review Duplicate Row: ON</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name SD CV Appr *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name Prog_Base *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p>	
<p>Summary of Each Query</p> <p>*** Query Name SF 00 ***</p> <p>Query Properties: Universal PS - Budget Form Last Refresh Date: 10/25/21 4:41 PM Last Execution Duration: 4 Number of rows: 54 Review Duplicate Row: ON</p> <p>Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (CO_RV_REQUESTS, CO_RV_REQUESTS_ED) AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal ()))</p> <p>*** Query Name SF 060 ***</p> <p>Query Properties: Universal PS - Budget Form Last Refresh Date: 10/25/21 4:41 PM Last Execution Duration: 4 Number of rows: 3 Review Duplicate Row: ON</p> <p>Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (060_CV_SUPPLEMENTALS, 060_CV_SUPPLEMENTAL_ED) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTTY)))</p> <p>*** Query Name SF 010 020 ***</p> <p>Query Properties: Universal PS - Budget Form Last Refresh Date: 10/25/21 4:41 PM Last Execution Duration: 5 Number of rows: 138 Review Duplicate Row: ON</p> <p>Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (010_RV_BASE, 010_ADMIN_ONLY) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2))</p> <p>*** Query Name SD CV Appr ***</p> <p>Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 4:41 PM Last Execution Duration: 3 Number of rows: 145 Review Duplicate Row: ON</p> <p>Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 7 AND Program Code In List () AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3))</p> <p>*** Query Name Prog_Base ***</p> <p>Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 4:41 PM Last Execution Duration: 4 Number of rows: 327 Review Duplicate Row: ON</p> <p>Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 7 AND Program Code In List () AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR, RV_BASE)))</p> <p>*** Query Name Prog LVLS ***</p> <p>Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 4:41 PM Last Execution Duration: 1 Number of rows: 1,003 Review Duplicate Row: ON</p> <p>Query Definition: Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 4 AND Program External Level Equal 7))</p> <p>*** Query Name Time Period Labels ***</p> <p>Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 4:41 PM Last Execution Duration: 1 Number of rows: 18 Review Duplicate Row: ON</p> <p>Query Definition: Result Objects: Time Period Code, Time Period Report Column Label 1, Time Period Report Column Label 2, Time Period Report Column Label 3 Filters: Time Period Code In List (CV_APPR, RV_BASE, RV_M0V1, YR2)))</p>	

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Recent Updates to Schedule 13

- August 2021
- Rebuilt with new cover page



Department Priority: R-01
Request Detail: Food Service and Housekeeping Coordinated Compensation Request

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$330,600,913	\$2,075,761	\$1,903,614
FTE	4,020.8	0.0	0.0
General Fund	\$197,212,329	\$1,050,243	\$963,144
Cash Funds	\$40,340,024	\$464,063	\$425,559
Reappropriated Funds	\$60,835,261	\$225,724	\$207,004
Federal Funds	\$32,213,299	\$335,752	\$307,907

Note: The figures in the incremental change table above represent the statewide requested amounts. To see totals by department, please refer to the assumptions and calculations section within this request.

Summary of Request

The Department of Human Services (DHS or department) request an increase of \$2.1 million total funds, of which \$1.1 million is General Fund in FY 2022-23, and an increase of \$1.9 million total funds, of which \$1.0 is General Fund in FY 2023-24 and all future years, for salary increases for all food services and housekeeping classified positions. Multiple agencies will be impacted by this request, additional spending authority and funds on behalf of the following departments: Personnel and Administration, Labor and Employment, Military and Veterans Affairs, Revenue, Health Care Policy and Financing, and Education. The DHS requested amount is 94.2 percent of the total request. There are high turnover rates and ongoing difficulties with recruitment and retention within the housekeeping (Custodian I - II and Materials Handler I) and food service (Dining Services I - V) job classifications. This request will provide salary increases that place the state at a more competitive level with the private sector and should increase staff retention.

Current Program

DHS employs workers within the food services related positions (Dining Services I through V) and within the housekeeping positions (Custodian I through II and Materials Handler I) in several agency locations across the State. The following list notes the locations where employees within these job classifications work, along with some background information about the locations:

- Colorado Mental Health Institute at Pueblo (CMHIP): The CMHIP is a 494-bed hospital that provides inpatient behavioral health services for adults, adolescents, and geriatric patients. CMHIP is a forensic hospital that serves individuals with pending criminal charges who require evaluations of competency, individuals who have been found by a court to be incompetent to proceed (restoration treatment) and individuals found to be not guilty by reason of insanity;
- Colorado Mental Health Institute at Fort Logan (CMHIFL): The CMHIFL is another state hospital serving people living with mental illness in Colorado. CMHIFL provides inpatient treatment to adult clients, generally between the ages of 18 and 59, and has 94 inpatient beds spanning four inpatient units or treatment teams. Medical services, occupational therapy, neuropsychological-rehabilitation services, and trauma-informed care services are available for all clients throughout the CMHIFL hospital;
- Division of Youth Services (DYS): DHS provides for the care and supervision of youth committed by the District Court to the custody of DHS. DHS operates 12 secure youth centers that serve youth between the ages of 10-21 who are pre-adjudicated or committed. In addition to residential programming, DHS administers juvenile parole services throughout Colorado;
- Regional Centers for People with Intellectual and Developmental Disabilities:
 - The Grand Junction Regional Center (GJRC) serves a diverse population, from people who have high abilities but are a high risk to themselves or others to people who have significant medical challenges. Services provided range from residential services and health services to mental health interventions. The GJRC provides day programs, vocational and specialized habilitation, speech, occupational therapy, therapeutic recreational and nutritional services;
 - The Wheat Ridge Regional Center (WRRC) supports individuals up to 70 years of age. The WRRC has a number of group homes in the community near the main campus, which allows for more individualized programs; and
 - The Pueblo Regional Center (PRC) can serve up to 88 individuals with intellectual and developmental disabilities until they can be stabilized and transitioned to a less restrictive setting in the community of their choice;
- Veterans Community Living Centers (VCLCs):
 - Veterans Community Living Center at Fitzsimons (VCLC): The VCLC at Fitzsimons serves veterans, veterans' spouses/widows and Gold Star parents. The mission is to honor and respect those living and working in the facility through companionship, empowerment and individualized care while providing a comfortable and safe place to live. The 180-bed facility provides

- the following services: long-term care, Alzheimer’s care, end-of-life/hospice services, and short-term rehabilitation;
- Veterans Community Living Center (VCLC) at Florence: The Bruce McCandless Veterans Community Living Center at Florence is a nonprofit organization promoting quality of life in long-term care environments. The following services are offered at the Bruce McCandless center: long-term care, short-term rehabilitation, special programs such as end-of-life/hospice and short-term “respite” care, and resident-selected programs;
 - Veterans Community Living Center (VCLC) at Homelake: The VCLC at Homelake was established in 1889 as the Soldiers’ and Sailors’ Home, providing a peaceful home for aging and disabled Civil War veterans. The VCLC at Homelake offers the following services: nursing home (60 beds), domiciliary cottages (independent living with assistance), short-term rehabilitation, and other services such as end-of-life/hospice services; and
 - Veterans Community Living Center (VCLC) at Rifle: The VCLC at Rifle is an 89-bed nursing home, with a 12-bed secure memory care unit. This center offers long-term care, memory care, short-term rehabilitation, short-term rehabilitation, short-term “respite” care, and end-of-life services; and
 - The Division of Facilities Management (DFM): DFM provides for all maintenance and housekeeping upkeep for all of DHS owned facilities, which includes 337 occupied buildings and 55 vacant buildings that make up approximately four million gross square feet of built environment and over 1,500 acres throughout the 20 department campuses. The facilities that DFM serves include ten DYS campuses, two mental health campuses, and the Office of Adult, Aging and Disability Services regional administrative/center buildings and regional homes.

Problem or Opportunity

Over the last several years, DHS experienced issues with recruiting and retaining employees within the food service and housekeeping positions. This issue has been most notable within the Denver Metro area where the cost of living tends to be higher than areas such as Southern Colorado. There is currently no distinction in the State Personnel System’s pay ranges for employees living in Denver versus Pueblo, and the areas with a higher cost of living tend to have the most issues with recruitment and retention because other employers in the area are paying more competitive wages.

The tables below, which are based on the April 2021 statewide workforce data, show associated food service and housekeeping job classifications within DHS that are the subject of this request. These tables detail the current department average monthly salary, the comparable market median salary where available, the department average salary percent in range (PIR), department average years of service (YOS), and the percent difference from the Department average salaries to the State’s average salaries. Additionally, turnover rates for the past three fiscal years are shown in subsequent tables. Finally, the Governor’s proposed 3 percent across the board salary survey adjustment is reflected in the FY 2022-23 calculations in the tables that follow.

Table 1: Department of Human Services Housekeeping Classification Compensation Information

Job Classification Title	Dept. EE Count	Current Dept Avg Annual Salary (Aged to FY22)	Base Market 50th (Median Annual Salary)	% Diff Dept Avg Salary to Market Median	Dept Avg Salary PIR	Dept Avg YOS	FY22 Range Min	FY22 Range Max	% Diff Dept Avg Salary to SOC Avg Salary
CUSTODIAN I	135	\$ 30,294	\$ 35,086	-14%	16%	7.8	\$ 28,372	\$ 40,368	-0.3%
CUSTODIAN II	23	\$ 33,092	n/a	n/a	20%	9.0	\$ 30,514	\$ 43,391	-0.2%
MATERIALS HANDLER I	3	\$ 30,941	\$ 40,037	-23%	13%	6.37	\$ 29,364	\$ 41,751	-5.9%

Table 2: Department of Human Services Dining Services Compensation Information

Job Classification Title	Dept. EE Count	Current Dept Avg Annual Salary (Aged to FY22)	Base Market 50th (Median Annual Salary)	% Diff Dept Avg Salary to Market Median	Dept Avg Salary PIR	Dept Avg YOS	FY22 Range Min	FY22 Range Max	% Diff Dept Avg Salary to SOC Avg Salary
DINING SERVICES I	2	\$ 26,480	n/a	n/a	5%	3.9	\$ 26,145	\$ 33,293	-3.7%
DINING SERVICES II	38	\$ 28,241	n/a	n/a	27%	4.3	\$ 26,145	\$ 33,966	0.0%
DINING SERVICES III	98	\$ 30,780	\$ 39,237	-22%	30%	7.3	\$ 27,295	\$ 38,813	0.0%
DINING SERVICES IV	15	\$ 36,116	n/a	n/a	55%	15.2	\$ 29,364	\$ 41,751	-1.1%
DINING SERVICES V	12	\$ 46,617	\$ 57,130	-18%	25%	7.3	\$ 42,118	\$ 59,903	0.0%

Table 3: Department of Human Services Turnover Within Housekeeping Job Classifications

Job Classification Title	Dept Separations FY 2019-20	Dept Separations FY 2018-19	Dept Separations FY 2017-18	Dept Avg EE in Class FY 2019-20	Dept Avg EE in Class FY 2018-19	Dept Avg EE in Class FY 2017-18	FY 2019-20 Turnover %	FY 2018-19 Turnover %	FY 2017-18 Turnover %
CUSTODIAN I	36.0	33.0	25.0	132.5	102.2	115.3	27.2%	32.3%	21.7%
CUSTODIAN II	5.0	6.0	4.0	21.4	21.1	21.5	23.3%	28.5%	18.6%
MATERIALS HANDLER I	0.0	1.0	1.0	4.6	5.6	5.6	0.0%	17.9%	17.9%

Table 4: Department of Human Services Turnover Without Dining Services Job Classifications

Job Classification Title	Dept Separations FY 2019-20	Dept Separations FY 2018-19	Dept Separations FY 2017-18	Dept Avg EE in Class FY 2019-20	Dept Avg EE in Class FY 2018-19	Dept Avg EE in Class FY 2017-18	FY 2019-20 Turnover %	FY 2018-19 Turnover %	FY 2017-18 Turnover %
DINING SERVICES I	0.0	2.0	5.0	2.0	2.5	3.4	0.0%	80.0%	146.3%
DINING SERVICES II	15.0	33.0	34.0	46.8	56.9	64.8	32.0%	58.0%	52.5%
DINING SERVICES III	30.0	32.0	28.0	102.7	91.6	87.7	29.2%	34.9%	31.9%
DINING SERVICES IV	4.0	5.0	2.0	13.3	12.3	14.2	30.0%	40.8%	14.1%
DINING SERVICES V	1.0	6.0	3.0	10.2	7.8	8.8	9.8%	76.6%	34.3%

The sections below provide additional details for each of the impacted job classification areas:

Dining Services

Over the past three fiscal years (FY 2017-18, 2018-19, and 2019-20), the Dining Services (I through V) classifications have had an average annual turnover rate of 38 percent. This would be below normal if DYS were able to fill these positions with new candidates once these vacancies occurred. Unfortunately, because of the competitive job market, DYS vacancies remain unstaffed. As a result, DYS is currently operating in our facilities at a 62 percent staff rate and has not been able to reach our facility staffing par levels of 100% since the beginning of the pandemic. According to the National Restaurant Association (NRA), recruitment and retention of food service workers was one of the top challenges of restaurant operators in 2021. The NRA's 2021 State of the Industry Mid-Year Report found that employee recruitment and retention continues to be a major challenge due to a growing number of job openings making competition fierce; recruitment and retention challenges increased from 8 percent in January 2021 to 75 percent in July 2021¹ While unemployment rates have increased as a result of the COVID-19 pandemic, hiring food service workers continues to be difficult.

In addition to turnover issues, Colorado at large is currently experiencing a shortage of kitchen staff. In a front page article in the Denver Post dated April 23, 2021, titled "Why are Restaurants Having a hard Time Hiring Employees," the article cites that there are nearly 40,000 posts for restaurant positions available in Colorado right now.²

While DHS has to compete with the other food service employers in the market, it faces unique challenges that other employers do not. DHS notes that recruiting and retaining correctional kitchen staff has been an increasing challenge over the last several years, and particularly since the onset of the COVID-19 pandemic. The starting wage offered to DYS candidates is not competitive with industry standards, and it is additionally difficult to find qualified candidates willing to work in a 24/7 correctional environment. Currently, starting wages for experienced cooks in restaurants throughout Colorado range from \$18.00 - \$25.00 per hour, while the DYS starting wages are equivalent to \$13.00 - \$20.00 per hour based on the DPA payscale. DYS has long experienced shortages in these positions, which have had adverse impacts on the ability to provide services to the youth in the facilities throughout the state. DYS frequently loses candidates during the recruitment and hiring process to restaurants offering more competitive wages in lower stress environments. DYS is not positioned to effectively compete with the industry.

Specific to the Veterans Community Living Centers, food services staff (Dining Services I through V) are difficult positions to fill. The four VCLCs compete throughout the State with other healthcare providers, restaurants, and skilled nursing facilities to fill these positions. These positions are especially difficult to fill in rural areas where local restaurants offer wages in excess of \$15.00 per hour. These positions require endurance

¹ National Restaurant Association. "State of the Restaurant Industry Mid Year Update". August 2021

² Rubino, Joe. "Why are restaurants having a hard time hiring employees"

(<https://www.denverpost.com/2021/04/23/colorado-restaurants-hiring-unemployment-labor-shortage/>).

and physical strength, and as a result of low wages, many employees need to work another job to afford housing, especially in the Denver Metro area.

The job duties of food service personnel in 24/7 facilities are much more complex than of food service workers in restaurants due to the patient population served and complexity of job duties. These positions must understand strict long-term care food safety and sanitation regulations unique to these facilities which are set by the Colorado Department of Public Health and Environment and in addition to regulations required of restaurants. Further, positions must be knowledgeable about medical diets, as serving the incorrect diet order to a patient can result in a sentinel event. Unfortunately, many applicants with food service experience do not possess these skills, which makes recruitment more challenging. In some cases, nutrition services within the institutes have had to adjust hiring expectations in order to fill positions.

As a result of the number of vacancies within the department, emergency menus that are more restrictive and limited to reduce the labor needs were implemented in an effort to continue essential operations. For example, at the MHIs more cold meals such as sandwiches are also provided to reduce the amount of food that must be prepared. The downside is that customers have less variety and decreases customer service. As we service mental health patients and offenders, this also presents a safety risk for staff as customers become increasingly frustrated. On several occasions, we have had to purchase special items for DOC after offenders were becoming disruptive because they were tired of getting cold sandwiches for dinner.

The implementation of emergency menus and changing how patients are served has negatively impacted customer service leading to an increase in patient and offender grievances. Serving on disposable foam trays increases costs and negatively impacts the environment. Facilities have had to limit their baking programs (clients no longer receive goods baked from scratch) as well as their therapeutic gardening program. With the amount of vacancies in food service, facilities have been operating below industry standards for estimated staffing levels.

Unfortunately, many applicants who apply for the food service positions at the currently posted ranges do not possess these skills, which make recruitment significantly more challenging. Increasing the salary for these positions increases the department's ability to hire staff who possess the necessary skill set to be successful in these positions.

Housekeeping

Housekeeping services for the Veterans Community Living Centers are provided by the centers themselves, while the remaining housekeeping positions in DHS fall within DFM. DFM is a customer-driven support organization responsible for providing facilities management services to the entire DHS owned facility portfolio.

All areas served by DFM require ongoing conformance to multiple regulatory standards that require housekeeping staff to continually stay abreast of cleaning requirements

respective to each agency's needs. Further, many housekeeping staff work within highly secured spaces, thus they must manage all cleaning equipment supplies while not introducing any form of contraband within the patient/client spaces.

It is necessary for DFM to have adequate housekeeping staff to ensure that facilities are maintaining required cleaning standards. Failure to maintain these standards could cause issues with the regulatory agency of the facility.

The continued shuffling of staff to address staffing shortages compounds the staff retention issue. When staff cannot consistently focus on their duties and are placed in a situation where they are not familiar with the space or its occupants it creates stress to that staff person which in turn results in them not performing their duties successfully. They overlook areas of need, not intentionally, but purely out of unfamiliarity with the job location and/or its occupants. This undo stress has correlated to a very high staff voluntary job termination. Since June, DFM has lost a minimum of a dozen staff members to voluntary job terminations.

Proposed Solution

Based on its analysis of the state workforce for the housekeeping and food service job classifications, the department requests, and is supported by the DPA recommendation on the coordinated compensation analysis, DHS proposes the following adjustments:

- Increasing base salaries for the Custodian I and Custodian II job classifications to the market 50th percentile:
 - Custodian I classifications will move to \$15.98 per hour
 - Custodian II will move to \$17.10 per hour

Rationale: The market 50th percentile has been selected, based on the state's total compensation actuary's FY 2022-23 preliminary analysis and research on market data. Increasing the salaries for the Custodian I and II job classifications will better align pay with current job postings in the Colorado market;

- Increasing base salaries for the Dining Services I through V job classifications to the market 50th percentile:
 - Dining Services I will move to \$15.98 per hour
 - Dining Services II will move to \$17.10 per hour
 - Dining Services III will move to \$18.30 per hour
 - Dining Services IV will move to \$20.13 per hour
 - Dining Services V will move to \$22.39 per hour

Rationale: Increasing the salaries for the Dining Services I through V job classifications will better align pay with the current job postings in the Colorado market, and with the recommended changes in the Custodian I and II job classifications;

- Increasing base salaries for the Materials Handler I job classification to the market 25th percentile. Materials Handler I will move to \$15.98 per hour. Rationale: This would reduce competition between custodians and food service workers; and

- Given the recommended increases to base salaries noted above, the following compression adjustments are recommended for 67 employees within the Custodian, Dining Services, and Materials Handler job classifications.

Rationale: Pay compression is a compensation issue that develops over time. It occurs when there's little difference in pay between employees regardless of differences in their respective knowledge, skills, experience or abilities. When it occurs, it can be found between 1) tenured employees and new hires (when new hires join at compensation levels similar to long-term employees), and 2) managers and their direct reports (when there are small wage differences between employees within the same job classification). Compression issues have been developing over the last several years, and have been exacerbated by the annual adjustments to the State's minimum wage.

Adjusting salaries in one department and not a statewide basis could result in additional issues in the future. Therefore, this request includes adjustments to all employees that fall within these job classifications on a statewide basis (excluding those in Institutions of Higher Education). These adjustments will be reflected in the Departments of Education, Military and Veterans Affairs, Labor and Employment, Revenue, and Personnel and Administration. Finally, an adjustment is requested for the Department of Health Care Policy and Financing for the funding associated with Medicaid, corresponding to the increased salaries for DHS employees within the Grand Junction Regional Center.

The total increase includes adjustments to base building salaries including PERA and Medicare, plus the associated salary-based one-time POTS within FY 2022-23. The base salaries, PERA, and Medicare components are requested in FY 2023-24 and on an ongoing basis, as the other salary-related POTS are reset annually through the annual total compensation process. These increases will affect 369.2 FTE across these departments. The tables below represents the FY 2022-23 and FY 2023-24 request breakout between departments, and by fund source:

Table 5: FY 2022-23 Request Breakout by Department and Appropriation

Department	Personal Services	AED	SAED	STD	Total Funds
Education	\$ 27,739	\$ 1,234	\$ 1,234	\$ 40	\$ 30,247
Human Services	\$ 1,793,711	\$ 79,827	\$ 79,827	\$ 2,554	\$ 1,955,919
Labor & Employment	\$ 786	\$ 35	\$ 35	\$ 1	\$ 857
Military & Veterans Affairs	\$ 40,891	\$ 1,820	\$ 1,820	\$ 58	\$ 44,589
Personnel & Administration	\$ 11,292	\$ 503	\$ 503	\$ 16	\$ 12,314
Revenue	\$ 958	\$ 43	\$ 43	\$ 1	\$ 1,045
HCPF	\$ 28,237	\$ 1,257	\$ 1,257	\$ 40	\$ 30,790
Total	\$ 1,903,614	\$ 84,718	\$ 84,718	\$ 2,711	\$ 2,075,761

Table 6: FY 2023-24 Request Breakout by Department and Appropriation

Department	Personal Services	AED	SAED	STD	Total Funds
Education	\$ 27,739	\$ -	\$ -	\$ -	\$ 27,739
Human Services	\$ 1,793,711	\$ -	\$ -	\$ -	\$ 1,793,711
Labor & Employment	\$ 786	\$ -	\$ -	\$ -	\$ 786
Military & Veterans Affairs	\$ 40,891	\$ -	\$ -	\$ -	\$ 40,891
Personnel & Administration	\$ 11,292	\$ -	\$ -	\$ -	\$ 11,292
Revenue	\$ 958	\$ -	\$ -	\$ -	\$ 958
HCPF	\$ 28,237	\$ -	\$ -	\$ -	\$ 28,237
Total	\$ 1,875,377	\$ -	\$ -	\$ -	\$ 1,903,614

Table 7: FY 2022-23 Request by Department and Fund Source

Department	General Fund (\$)	Cash Fund (\$)	Reappropriated Fund (\$)	Federal Fund (\$)	Total
Education	\$ 30,247	\$ -	\$ -	\$ -	\$ 30,247
Human Services	\$ 994,087	\$ 462,741	\$ 213,410	\$ 285,681	\$ 1,955,919
Labor & Employment	\$ -	\$ 257	\$ -	\$ 600	\$ 857
Military & Veterans Affairs	\$ 10,513	\$ -	\$ -	\$ 34,076	\$ 44,589
Personnel & Administration	\$ -	\$ -	\$ 12,314	\$ -	\$ 12,314
Revenue	\$ -	\$ 1,045	\$ -	\$ -	\$ 1,045
HCPF	\$ 15,395	\$ -	\$ -	\$ 15,395	\$ 30,790
Total	\$ 1,050,243	\$ 464,043	\$ 225,724	\$ 335,752	\$ 2,075,761

Table 8: FY 2023-24 Request by Department and Fund Source

Department	General Fund (\$)	Cash Fund (\$)	Reappropriated Fund (\$)	Federal Fund (\$)	Total
Education	\$ 27,739	\$ -	\$ -	\$ -	\$ 27,739
Human Services	\$ 911,645	\$ 424,365	\$ 195,712	\$ 261,989	\$ 1,793,711
Labor & Employment	\$ -	\$ 236	\$ -	\$ 550	\$ 786
Military & Veterans Affairs	\$ 9,641	\$ -	\$ -	\$ 31,250	\$ 40,891
Personnel & Administration	\$ -	\$ -	\$ 11,292	\$ -	\$ 11,292
Revenue	\$ -	\$ 958	\$ -	\$ -	\$ 958
HCPF	\$ 14,118	\$ -	\$ -	\$ 14,118	\$ 28,237
Total	\$ 963,144	\$ 425,559	\$ 207,004	\$ 307,907	\$ 1,903,614

Detailed breakouts of the ongoing salary costs vs. one-time POTS are included in the assumptions and calculations section below.

Should this request not be approved, the Department expects that state agencies will continue experiencing difficulties in recruitment and retention within the housekeeping and food services job classifications. DHS has demonstrated significant turnover and

vacancies at its facilities that have gone unaddressed by other hiring efforts. The vacancies, the state’s low unemployment rate, and the current employment market have been determined to place DHS’s facilities in a staffing crisis that cannot be overcome without the approval of this request.

Theory of Change	Not on the continuum - N/A		
Program Objective	Not on the continuum - N/A		
Outputs being measured	Not on the continuum - N/A		
Outcomes being measured	Not on the continuum - N/A		
Cost/Benefit ratio	N/A		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	N/A		

Anticipated Outcomes

With this request DHS will address staffing issues by moving current employees to the adjusted salary based on the coordinated compensation analysis and filling vacancies at the market 50th rate. By increasing the available funding, DHS anticipates being able to recruit and retain more staff and reduce the overall turnover rates within these job classifications.

Assumptions and Calculations

To estimate the total impact for FY 2022-23 and FY 2023-24, the relevant positions were estimated utilizing April 2021 salaries aged to FY 2021-22 salaries as the base, with a 3 percent adjustment to salaries and a 2 percent salary structure adjustment to pay ranges in FY 2022-23. This is based on the standard estimates for movement in the market and aligns with the policies that have been approved over the last several fiscal years. Additionally, fund splits have been estimated based on the FY 2021-22 total compensation templates or the long bill appropriations.

The tables below show the total estimated cost by category, job classification, and detail about the cost build to show which costs are ongoing vs one-time POTS:

Table 9: FY 2022-23 Request by Job Classification & Category (All Departments)

Job Classification Title	Base Salary	PERA (10.9%)	Medicare (1.45%)	AED (5%)	SAED (5%)	STD (0.16%)	Total
CUSTODIAN I	\$ 480,106	\$ 52,332	\$ 6,962	\$ 24,005	\$ 24,005	\$ 768	\$ 588,178
CUSTODIAN II	\$ 52,910	\$ 5,767	\$ 767	\$ 2,645	\$ 2,645	\$ 85	\$ 64,820
MATERIALS HANDLER I	\$ 13,210	\$ 1,440	\$ 192	\$ 660	\$ 660	\$ 21	\$ 16,183
DINING SERVICES I	\$ 21,012	\$ 2,290	\$ 305	\$ 1,051	\$ 1,051	\$ 34	\$ 25,742
DINING SERVICES II	\$ 257,798	\$ 28,100	\$ 3,738	\$ 12,890	\$ 12,890	\$ 412	\$ 315,828
DINING SERVICES III	\$ 766,241	\$ 83,520	\$ 11,110	\$ 38,312	\$ 38,312	\$ 1,226	\$ 938,722
DINING SERVICES IV	\$ 87,800	\$ 9,570	\$ 1,273	\$ 4,390	\$ 4,390	\$ 140	\$ 107,563
DINING SERVICES V	\$ 15,284	\$ 1,666	\$ 222	\$ 764	\$ 764	\$ 24	\$ 18,725
Total	\$ 1,694,360	\$ 184,685	\$ 24,568	\$ 84,718	\$ 84,718	\$ 2,711	\$ 2,075,761

Table 10: Ongoing vs. One-Time POTS Breakout (All Departments)

Row	Category	Amount	Notes	Requested One-Time or Ongoing
1	Base Salary	\$ 1,694,360		Ongoing
2	PERA (10.9%)	\$ 184,685	Row 1 * 10.9%	Ongoing
3	Medicare (1.45%)	\$ 24,568	Row 1 * 1.45%	Ongoing
4	Personal Services Subtotal	\$ 1,903,614	Sum of Rows 1, 2, and 3	
5	AED (5%)	\$ 84,718	Row 1 * 5%	One-Time POTS
6	SAED (5%)	\$ 84,718	Row 1 * 5%	One-Time POTS
7	STD (0.16%)	\$ 2,711	Row 1 * 0.16%	One-Time POTS
8	Total	\$ 2,075,761	Sum of Rows 4, 5, 6 and 7	

Table 11: FY 2022-23 Department of Human Services Request by Job Classification & Category

Job Classification Title	Base Salary	(10.9%)	(1.45%)	AED (5%)	SAED (5%)	(0.16%)	Total
CUSTODIAN I	\$ 423,399	\$ 46,150	\$ 6,139	\$ 21,170	\$ 21,170	\$ 677	\$ 518,706
CUSTODIAN II	\$ 52,910	\$ 5,767	\$ 767	\$ 2,645	\$ 2,645	\$ 85	\$ 64,820
MATERIALS HANDLER I	\$ 12,357	\$ 1,347	\$ 179	\$ 618	\$ 618	\$ 20	\$ 15,139
DINING SERVICES I	\$ 8,350	\$ 910	\$ 121	\$ 417	\$ 417	\$ 13	\$ 10,229
DINING SERVICES II	\$ 257,798	\$ 28,100	\$ 3,738	\$ 12,890	\$ 12,890	\$ 412	\$ 315,828
DINING SERVICES III	\$ 742,795	\$ 80,965	\$ 10,771	\$ 37,140	\$ 37,140	\$ 1,188	\$ 909,998
DINING SERVICES IV	\$ 83,646	\$ 9,117	\$ 1,213	\$ 4,182	\$ 4,182	\$ 134	\$ 102,475
DINING SERVICES V	\$ 15,284	\$ 1,666	\$ 222	\$ 764	\$ 764	\$ 24	\$ 18,725
Total	\$ 1,596,538	\$ 174,023	\$ 23,150	\$ 79,827	\$ 79,827	\$ 2,554	\$ 1,955,919

Table 12: Department of Human Services Ongoing vs. One-Time POTS Breakout

Row	Category	Amount	Notes	Time or Ongoing
1	Base Salary	\$ 1,596,538		Ongoing
2	PERA (10.9%)	\$ 174,023	Row 1 * 10.9%	Ongoing
3	Medicare (1.45%)	\$ 23,150	Row 1 * 1.45%	Ongoing
4	Personal Services Subtotal	\$ 1,793,711	Sum of Rows 1, 2, and 3	
5	AED (5%)	\$ 79,827	Row 1 * 5%	One-Time POTS
6	SAED (5%)	\$ 79,827	Row 1 * 5%	One-Time POTS
7	STD (0.16%)	\$ 2,554	Row 1 * 0.16%	One-Time POTS
8	Total	\$ 1,955,919	Sum of Rows 4, 5, 6 and 7	

Table 13: FY 2022-23 Department of Education Request by Job Classification & Category

Job Classification Title	Base Salary	PERA (10.9%)	Medicare (1.45%)	AED (5%)	SAED (5%)	STD (0.16%)	Total
CUSTODIAN I	\$ 9,560	\$ 1,042	\$ 139	\$ 478	\$ 478	\$ 15	\$ 11,712
DINING SERVICES I	\$ 12,662	\$ 1,380	\$ 184	\$ 633	\$ 633	\$ 20	\$ 15,513
DINING SERVICES IV	\$ 2,467	\$ 269	\$ 36	\$ 123	\$ 123	\$ 4	\$ 3,022
Total	\$ 24,690	\$ 2,691	\$ 358	\$ 1,234	\$ 1,234	\$ 40	\$ 30,247

Table 14: Department of Education Ongoing vs. One-Time POTS Breakout

Row	Category	Amount	Notes	Requested One-Time or Ongoing
1	Base Salary	\$ 24,690		Ongoing
2	PERA (10.9%)	\$ 2,691	Row 1 * 10.9%	Ongoing
3	Medicare (1.45%)	\$ 358	Row 1 * 1.45%	Ongoing
4	Personal Services Subtotal	\$ 27,739	Sum of Rows 1, 2, and 3	
5	AED (5%)	\$ 1,234	Row 1 * 5%	One-Time POTS
6	SAED (5%)	\$ 1,234	Row 1 * 5%	One-Time POTS
7	STD (0.16%)	\$ 40	Row 1 * 0.16%	One-Time POTS
8	Total	\$ 30,247	Sum of Rows 4, 5, 6 and 7	

Table 15: FY 2022-23 Department of Labor & Employment Request by Job Classification & Category

Job Classification Title	Base Salary	PERA (10.9%)	Medicare (1.45%)	AED (5%)	SAED (5%)	STD (0.16%)	Total
CUSTODIAN I	\$ 700	\$ 76	\$ 10	\$ 35	\$ 35	\$ 1	\$ 857
Total	\$ 700	\$ 76	\$ 10	\$ 35	\$ 35	\$ 1	\$ 857

Table 16: Department of Labor & Employment Ongoing vs. One-Time POTS Breakout

Row	Category	Amount	Notes	Requested One-Time or Ongoing
1	Base Salary	\$ 700		Ongoing
2	PERA (10.9%)	\$ 76	Row 1 * 10.9%	Ongoing
3	Medicare (1.45%)	\$ 10	Row 1 * 1.45%	Ongoing
4	Personal Services Subtotal	\$ 786	Sum of Rows 1, 2, and 3	
5	AED (5%)	\$ 35	Row 1 * 5%	One-Time POTS
6	SAED (5%)	\$ 35	Row 1 * 5%	One-Time POTS
7	STD (0.16%)	\$ 1	Row 1 * 0.16%	One-Time POTS
8	Total	\$ 857	Sum of Rows 4, 5, 6 and 7	

Table 17: FY 2022-23 Department of Military & Veterans Affairs Request by Job Classification & Category

Job Classification Title	Base Salary	PERA (10.9%)	Medicare (1.45%)	AED (5%)	SAED (5%)	STD (0.16%)	Total
CUSTODIAN I	\$ 36,396	\$ 3,967	\$ 528	\$ 1,820	\$ 1,820	\$ 58	\$ 44,589
Total	\$ 36,396	\$ 3,967	\$ 528	\$ 1,820	\$ 1,820	\$ 58	\$ 44,589

Table 18: Department of Military & Veterans Affairs Ongoing vs. One-Time POTS Breakout

Row	Category	Amount	Notes	Requested One-Time or Ongoing
1	Base Salary	\$ 36,396		Ongoing
2	PERA (10.9%)	\$ 3,967	Row 1 * 10.9%	Ongoing
3	Medicare (1.45%)	\$ 528	Row 1 * 1.45%	Ongoing
4	Personal Services Subtotal	\$ 40,891	Sum of Rows 1, 2, and 3	
5	AED (5%)	\$ 1,820	Row 1 * 5%	One-Time POTS
6	SAED (5%)	\$ 1,820	Row 1 * 5%	One-Time POTS
7	STD (0.16%)	\$ 58	Row 1 * 0.16%	One-Time POTS
8	Total	\$ 44,589	Sum of Rows 4, 5, 6 and 7	

Table 19: FY 2022-23 Department of Personnel & Administration Request by Job Classification & Category

Job Classification Title	Base Salary	PERA (10.9%)	Medicare (1.45%)	AED (5%)	SAED (5%)	STD (0.16%)	Total
CUSTODIAN I	\$ 10,051	\$ 1,096	\$ 146	\$ 503	\$ 503	\$ 16	\$ 12,314
Total	\$ 10,051	\$ 1,096	\$ 146	\$ 503	\$ 503	\$ 16	\$ 12,314

Table 20: Department of Personnel & Administration Ongoing vs. One-Time POTS Breakout

Row	Category	Amount	Notes	Requested One-Time or Ongoing
1	Base Salary	\$ 10,051		Ongoing
2	PERA (10.9%)	\$ 1,096	Row 1 * 10.9%	Ongoing
3	Medicare (1.45%)	\$ 146	Row 1 * 1.45%	Ongoing
4	Personal Services Subtotal	\$ 11,292	Sum of Rows 1, 2, and 3	
5	AED (5%)	\$ 503	Row 1 * 5%	One-Time POTS
6	SAED (5%)	\$ 503	Row 1 * 5%	One-Time POTS
7	STD (0.16%)	\$ 16	Row 1 * 0.16%	One-Time POTS
8	Total	\$ 12,314	Sum of Rows 4, 5, 6 and 7	

Table 21: FY 2022-23 Department of Healthcare Policy & Finance Request by Job Classification & Category*

Job Classification Title	Base Salary	PERA (10.9%)	Medicare (1.45%)	AED (5%)	SAED (5%)	STD (0.16%)	Total
DINING SERVICES III	\$ 23,446	\$ 2,556	\$ 340	\$ 1,172	\$ 1,172	\$ 38	\$ 28,724
DINING SERVICES IV	\$ 1,687	\$ 184	\$ 24	\$ 84	\$ 84	\$ 3	\$ 2,066
Total	\$ 25,133	\$ 2,739	\$ 364	\$ 1,257	\$ 1,257	\$ 40	\$ 30,790

Note: The DHS positions at the Grand Junction Regional Center that are funded with Reappropriated funds are paid by Medicaid funds; Medicaid is only funded on a federal level through the Department of Healthcare Policy & Finance (HCPF), therefore this request includes a corresponding amount for HCPF, though the employees receiving salary increases are employed by DHS.

Table 22: Department of Healthcare Policy & Finance Ongoing vs. One-Time POTS Breakout

Row	Category	Amount	Notes	Requested One-Time or Ongoing
1	Base Salary	\$ 25,133	Grand Junction Regional Center RF funds requested by DHS	Ongoing
2	PERA (10.9%)	\$ 2,739	Row 1 * 10.9%	Ongoing
3	Medicare (1.45%)	\$ 364	Row 1 * 1.45%	Ongoing
4	Personal Services Subtotal	\$ 28,237	Sum of Rows 1, 2, and 3	
5	AED (5%)	\$ 1,256.64	Row 1 * 5%	One-Time POTS
6	SAED (5%)	\$ 1,256.64	Row 1 * 5%	One-Time POTS
7	STD (0.16%)	\$ 40	Row 1 * 0.16%	One-Time POTS
8	Total	\$ 30,790	Sum of Rows 4, 5, 6 and 7	

Table 23: Summary of Impacted FTE by Department

Department	Impacted FTE
Education	9.0
Human Services	337.7
Labor & Employment	5.0
Military & Veterans Affairs	11.0
Personnel & Administration	6.0
Revenue	0.5
Total	369.2

Table 24: Summary of Impacted FTE by Department and Job Classification

Department	Classification	Impacted FTE
Education	CUSTODIAN I	4.0
	DINING SERVICES I	3.0
	DINING SERVICES IV	2.0
Education Total		9.0
Human Services	CUSTODIAN I	134.0
	CUSTODIAN II	19.0
	DINING SERVICES I	1.4
	DINING SERVICES II	44.0
	DINING SERVICES III	110.2
	DINING SERVICES IV	16.0
	DINING SERVICES V	9.6
	MATERIALS HANDLER I	3.5
Education Total		337.7
Labor & Employment	CUSTODIAN I	5.0
Labor & Employment Total		5.0
Military & Veterans Affairs	CUSTODIAN I	11.0
Military & Veterans Affairs Total		11.0
Personnel & Administration	CUSTODIAN I	6.0
Personnel & Administration Total		6.0
Revenue	MATERIALS HANDLER I	0.5
Revenue Total		0.5
Grand Total		369.2

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-02 CMHIFL 44 Bed Operating Budget

Dept. Approval By: _____ Supplemental FY 2021-22

OSPB Approval By: _____ Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$166,489,190	\$0	\$171,615,596	\$10,318,585	\$12,407,778
	FTE	625.5	0.0	625.5	78.3	104.3
Total of All Line Items Impacted by Change Request	GF	\$114,537,648	\$0	\$119,239,643	\$10,318,585	\$12,407,778
	CF	\$5,540,804	\$0	\$6,320,471	\$0	\$0
	RF	\$31,499,081	\$0	\$30,470,265	\$0	\$0
	FF	\$14,911,657	\$0	\$15,585,217	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$55,004,907	\$0	\$58,012,808	\$1,281,826	\$1,507,202
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1) General Administration - Health, Life, And Dental	GF	\$34,587,167	\$0	\$36,984,216	\$1,281,826	\$1,507,202
	CF	\$2,417,019	\$0	\$2,821,207	\$0	\$0
	RF	\$9,217,351	\$0	\$8,724,629	\$0	\$0
	FF	\$8,783,370	\$0	\$9,482,756	\$0	\$0

	Total	\$488,186	\$0	\$512,940	\$8,205	\$10,992
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1) General Administration - Short-Term Disability	GF	\$322,309	\$0	\$345,118	\$8,205	\$10,992
	CF	\$17,818	\$0	\$22,260	\$0	\$0
	RF	\$69,799	\$0	\$66,216	\$0	\$0
	FF	\$78,260	\$0	\$79,346	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$15,767,140	\$0	\$16,499,707	\$256,393	\$343,555
01. Executive Director's Office, (A) General Administration, (1)	FTE	0.0	0.0	0.0	0.0	0.0
General Administration - Amortization	GF	\$10,400,305	\$0	\$11,070,672	\$256,393	\$343,555
Equalization	CF	\$566,270	\$0	\$709,350	\$0	\$0
Disbursement	RF	\$2,290,594	\$0	\$2,158,256	\$0	\$0
	FF	\$2,509,971	\$0	\$2,561,429	\$0	\$0
	Total	\$15,767,140	\$0	\$16,499,707	\$256,393	\$343,555
01. Executive Director's Office, (A) General Administration, (1)	FTE	0.0	0.0	0.0	0.0	0.0
General Administration - S.B. 06-235	GF	\$10,400,305	\$0	\$11,070,672	\$256,393	\$343,555
Supplemental Equalization	CF	\$566,270	\$0	\$709,350	\$0	\$0
Disbursement	RF	\$2,290,594	\$0	\$2,158,256	\$0	\$0
	FF	\$2,509,971	\$0	\$2,561,429	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$8,698,621	\$0	\$8,073,369	\$83,058	\$99,670
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1)	GF	\$5,489,915	\$0	\$5,190,107	\$83,058	\$99,670
General Administration - Shift Differential	CF	\$116,348	\$0	\$189,122	\$0	\$0
	RF	\$2,062,273	\$0	\$1,793,883	\$0	\$0
	FF	\$1,030,085	\$0	\$900,257	\$0	\$0
	Total	\$30,415,505	\$0	\$31,161,320	\$240,428	\$349,316
	FTE	409.3	0.0	409.3	3.5	5.1
03. Office of Operations, (A) Administration, (1)	GF	\$19,630,715	\$0	\$20,375,975	\$240,428	\$349,316
Administration - Personal Services	CF	\$3,291	\$0	\$3,291	\$0	\$0
	RF	\$10,781,499	\$0	\$10,782,054	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$4,417,294	\$0	\$4,417,294	\$119,381	\$40,925
	FTE	0.0	0.0	0.0	0.0	0.0
03. Office of Operations, (A)	GF	\$3,012,867	\$0	\$3,012,867	\$119,381	\$40,925
Administration, (1)	CF	\$0	\$0	\$0	\$0	\$0
Administration -	RF	\$1,404,427	\$0	\$1,404,427	\$0	\$0
Operating Expenses	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$10,047,146	\$0	\$10,047,146	\$67,913	\$81,496
	FTE	0.0	0.0	0.0	0.0	0.0
03. Office of Operations, (A)	GF	\$6,805,165	\$0	\$6,805,165	\$67,913	\$81,496
Administration, (1)	CF	\$0	\$0	\$0	\$0	\$0
Administration - Utilities	RF	\$3,241,981	\$0	\$3,241,981	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$23,487,985	\$0	\$23,996,039	\$6,410,337	\$8,446,899
	FTE	216.2	0.0	216.2	74.8	99.2
08. Behavioral Health Services, (E) Mental Health Institutes, (1) Mental Health Institutes - Ft. Logan - Personal Services	GF	\$21,751,757	\$0	\$22,247,708	\$6,410,337	\$8,446,899
	CF	\$1,642,140	\$0	\$1,654,243	\$0	\$0
	RF	\$94,088	\$0	\$94,088	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$1,066,793	\$0	\$1,066,793	\$1,311,528	\$805,634
	FTE	0.0	0.0	0.0	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (1) Mental Health Institutes - Ft. Logan - Operating Expenses	GF	\$925,278	\$0	\$925,278	\$1,311,528	\$805,634
	CF	\$117,612	\$0	\$117,612	\$0	\$0
	RF	\$23,903	\$0	\$23,903	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$1,328,473	\$0	\$1,328,473	\$283,123	\$378,534
	FTE	0.0	0.0	0.0	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (1) Mental Health Institutes - Ft. Logan - Pharmaceuticals	GF	\$1,211,865	\$0	\$1,211,865	\$283,123	\$378,534
	CF	\$94,036	\$0	\$94,036	\$0	\$0
	RF	\$22,572	\$0	\$22,572	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation?	NO		
Type of Request?	Human Services Prioritized Request	Interagency Approval or Related Schedule 13s:	No Other Agency Impact

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUEST. The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report. Budget amounts, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will show you how the report performed. If you require troubleshooting assistance, a copy of this cover page will be necessary. Please see the Helpful Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports</p> <p>*** Query Name: SF 090 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 15011 Last Refresh Date: 10/26/21 3:13 PM Last Execution Duration: 4 Number of rows: 13 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters : Budget Layout Code In List ([OR_RV_REQUESTS, OR_RV_REQUESTS, ED]) AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (15011))</p> <p>*** Query Name: SF 090 *** Universal PB - Budget Form Last Refresh Date: 10/26/21 3:13 PM Last Execution Duration: 4 Number of rows: 13 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters : Budget Layout Code In List ([060_CV_SUPPLEMENTALS, 060_CV_SUPPLEMENTAL, EO]) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTY))</p> <p>*** Query Name: SF 010 020 *** Universal PB - Budget Form Last Refresh Date: 10/26/21 3:13 PM Last Execution Duration: 4 Number of rows: 152 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters : Budget Layout Code In List ([OR_RV_BASE, ADJ, OR_ADMIN_ONLY, 1]) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2)</p> <p>*** Query Name: SD CV Appr *** Universal PB - Budget Data Last Refresh Date: 10/26/21 3:13 PM Last Execution Duration: 3 Number of rows: 152 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters : Time Period Code Equal CV_APPR AND Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 6 AND Program External Level Equal 7 AND Program Code In List ([OR_DS04, OR_DS04]) AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3)</p> <p>*** Query Name: Prog_Base *** Universal PB - Budget Data Last Refresh Date: 10/26/21 3:13 PM Last Execution Duration: 4 Number of rows: 303 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters : Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 6 AND Program External Level Equal 7 AND Program Code In List ([OR_DS04, OR_DS04]) AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List ([CV_APPR, RV_BASE]))</p> <p>*** Query Name: Prog_VLVS *** Universal PB - Budget Data Last Refresh Date: 10/26/21 3:13 PM Last Execution Duration: 4 Number of rows: 1,003 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters : Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 5 AND Program External Level Equal 7)</p> <p>*** Query Name: Prog_VL4 *** Universal PB - Budget Data Last Refresh Date: 10/26/21 3:13 PM Last Execution Duration: 1 Number of rows: 1,003 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Parent Prog Name Filters : Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 4 AND Program External Level Equal 7)</p> <p>*** Query Name: Time Period Labels *** Universal PB - Budget Data Last Refresh Date: 10/26/21 3:13 PM Last Execution Duration: 1 Number of rows: 16 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Time Period Code, Time Period Name, Parent Prog Code, Parent Prog Name Period Report Column Label 3 Filters : Time Period Code In List ([CV_APPR, RV_BASE, RV_M0V1, VR2]))</p>	

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- August 2021
- Rebuilt with new cover page

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*** Filter on Report Schedule 13 ***

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- Filter on Stock/Parent/Program/In/Date: Budget Request Code is Not Null

*** Filter on Report Cover Page ***

No Filter on Cover Page



Department Priority: R-02
Request Detail: CMHIFL 44 Bed Operating Budget

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$26,811,464	\$10,318,585	\$12,407,778
FTE	216.2	78.3	104.3
General Fund	\$24,817,113	\$10,318,585	\$12,407,778
Cash Funds	\$1,853,788	\$0	\$0
Reappropriated Funds	\$140,563	\$0	\$0
Federal Funds	\$0	\$0	\$0

Summary of Request

The Department of Human Services (DHS, Department), Office of Behavioral Health (OBH, Office), requests operating funds of \$10,318,585 total funds/General Fund and 78.3 FTE in FY 2022-23 and \$12,407,778 total funds/General Fund and 104.3 FTE in FY 2023-24 and ongoing in order to operate and staff the two forensic units at the Colorado Mental Health Institute at Fort Logan (CMHIFL) for a total of 44 new beds. These units were directed to be renovated by the General Assembly in FY 2020-21 and upon completion in October 2022, they will be used as an additional tool for forensic restoration treatment beds intended to address the ongoing need in the state and comply with the federal consent decree.

Current Program

This request is seeking approval for operating funds to provide staff for two newly renovated forensic units at CMHIFL. In FY 2019-20, OBH received approval for \$17,835,851 in capital construction funding to renovate the two units. The renovation is intended to address the ongoing need for additional forensic treatment beds. These beds are necessary to meet the statewide demand for forensic services as well as to assist the Department in the management of the consent decree terms. Due to the continued high volume of court orders for competency evaluations in the State of Colorado, there has been a consistent increase in the demand for inpatient psychiatric beds, resulting in a waitlist for inpatient competency restoration services.

CMHIFL has a maximum capacity of 94 civil beds. None of the beds at CMHIFL serve the forensic population. The addition of the 44 beds will create capacity for in-patient forensic treatment in the metro area.

Currently, the Department is subject to a federal consent decree resulting from a lawsuit brought against the Department by Disability Law Colorado. The consent decree mandates timelines the Department must comply with for providing competency evaluation and restoration for patients in the forensic system. When the mandatory timelines are not met, the department is subject to fines up to a maximum payment of \$10 million per year.

Construction is estimated to be complete, with units ready for occupancy, beginning in October 2022. Upon the completion of construction, the Department will require this funding for operational and staffing costs.

Problem or Opportunity

This funding request provides the Department with the resources needed to fully staff and operate the newly renovated F2/F3 units on the CMHIFL campus. Without the additional funding, the Department will be unable to utilize the additional 44 treatment beds and advance toward meeting the increasing demand for forensic beds within the State Mental Health Institutes (MHIs).

The Department is obligated per Section 16-8.5-101, C.R.S. to provide competency evaluations for persons charged with criminal offenses when the issue of competency is raised, and to provide restoration treatment for persons found incompetent to proceed. A 511 percent increase in the number of court orders directing the Department to provide competency evaluations has occurred between FY 2000-01 and FY 2019-20; and a 1,648 percent increase has occurred in the number of court orders for competency restoration services over the same period of time.

Due to the increase in orders, the Department has struggled to meet the ever-growing demand for inpatient competency evaluation and restoration services (collectively competency services), despite significantly increasing treatment capacity. Additionally, the COVID-19 pandemic has impacted competency services in many ways, including the ability to admit

individuals for inpatient competency restoration at the Colorado Mental Health Institute Pueblo (CMHIP), private hospitals, and the jail-based restoration programs; the ability to discharge individuals who have completed their restoration services; and the ability for forensic evaluators to enter jails to complete competency evaluations. The pandemic also brought a unique set of challenges, specifically due to the associated limitations with movement between facilities, admission cohorts, admission freezes, and the continued high acuity of mental illness in jail settings. Despite various efforts to increase inpatient capacity and to increase outpatient services, the Department has been unable to keep up with the demand for competency and restoration services without adding new beds and staff. The Department is currently not in compliance with the federal consent decree, and continues to face fines. Despite measures such as legislative changes (H.B. 16-1410 and S.B. 17-012), emergency supplemental funding, and the commencement of a statewide outpatient restoration program, the Department has been unable to meet the settlement agreement timelines for inpatient services since mid-2017. This is because the number of Coloradans that require competency evaluations continues to outpace the Department's ability to expand capacity. Inpatient competency restoration in particular continues to be the single largest hurdle for the Department to sustain compliance in all categories of the federal consent decree related to competency services, and continues to be in need of capacity.

This request will provide the additional staffing and operational resources to open the newly renovated F2/F3 units, adding an additional 44 beds to the state's forensic treatment system.

Proposed Solution

The Department requests \$10,318,585 total funds/General Fund and 78.3 FTE for FY 2022-23 and \$12,407,778 total funds/General Fund and 104.3 FTE for FY 2023-23 and all future years in order to fully fund the operations and staffing of the F2/F3 Forensic Units at CMHIFL.

These units are necessary for inpatient competency evaluations and restoration treatment that will address the ongoing need in the state. Without the additional funding, the Department will be unable to utilize the additional bed space and would be further challenged in meeting the demand for inpatient psychiatric beds at the state hospitals.

If the Department is unable to utilize these patient units, the state will continue to be unable to meet the terms of the Disability Law Colorado consent decree, resulting in higher fines than would be accrued if the new beds are approved. Due to the existing lack of bed space, the Department will be required to continue paying fines for individuals on the waitlist. The JBC has previously approved funding for the construction of the units. If this request is approved, the Department will begin hiring new staff in August 2022 in anticipation of operations beginning in October 2022.

This funding request provides the Department the resources needed to fully and safely operate two forensic units at CMHIFL. This funding request will also provide the Department with additional inpatient psychiatric beds which will assist in the management

of the consent decree terms. This request does not require any changes to the Department’s statutory authority, nor does it require a fiscal change in the current fiscal year.

Theory of Change	The Department will be able to more adequately address the growing need for forensic behavioral health services and reduce long-term fines associated therewith by increasing the number of staff and beds in the State dedicated to treating Coloradans in the forensic behavioral health system.		
Program Objective	To comply with statute and legislative intent, and to operate the newly renovated F2/F3 units in an effort to reduce the number of Coloradans waiting for competency/restoration treatment		
Outputs being measured	Total net new patients being treated vs. total currently being treated. i.e. an increase in bed capacity		
Outcomes being measured	A reduction in fines, and a reduction in numbers of individuals on the statewide forensic treatment waitlist		
Cost/Benefit ratio	Cost of operating the new units vs the benefit of moving into compliance with federal requirements, and preventing Coloradans from languishing needlessly in jail		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	A lack of beds for forensic patients created a backlog which resulted in a lawsuit. As additional capacity was added to the system, the backlog has been reduced over time, but the list continues to grow. Increases in system-capacity have positively impacted the waitlist.	More beds and additional treatment staff will be able to provide Coloradans with forensic services in accordance with their rights and the legal obligations of the Department and the State.	NA
Continuum Level	Evidence Informed Assess Outcomes (Step 3)		

Anticipated Outcomes

This funding request provides the Department the resources needed to fully and safely operate the newly renovated F2/F3 units. Forensic patients will be treated in the F2/F3 units at CMHIFL which will contribute to the Department coming into compliance with the federal consent decree, and will result in a reduction in fines. The investment in the operating costs for these new units will contribute to offsetting fines levied in response to Colorado’s lack of capacity for forensic patients.

Assumptions and Calculations

The following assumptions are included in this request:

F2/F3 Units

- F2/F3 estimated patient occupancy date is October 1, 2022.
- F2/F3 assumes a September 1, 2022 hire date to allow for new employee orientation for all exempt security staff to allow for an extended security new employee orientation, facility staff who need to clean and finish preparing the new units, and Human Resource (HR) staff who need to assist with the hiring. HR staff will be required to assess position descriptions, post positions, conduct initial qualification reviews, etc. in advance of the employee start date of September 1, 2022.

Support Operations

- Included in the Operating and FTE requests are operational and startup dollars for the Division of Facilities Management (DFM), Human Resources, Accounting and Information Management Departments.
- DFM, a service provider within DHS, provides all housekeeping and maintenance for the building and its associated grounds, as well as provides support to all the OBH facilities on the CMHIFL campus and locations throughout the State.
- DFM is not currently equipped with the needed items to support the newer equipment. Of the programmatic start up request, \$61,905 has been identified to address costs to provide support equipment and tools to the new facilities. Additionally, in the staffing resources we have identified four total FTE to support the facility's needs.
- The calculation that determines the staffing need is based on DHS-DFM staffing matrix calculations. This includes two housekeeping staff and two maintenance staff. These staff members regularly need access to multiple pieces of equipment and tools and typically have to distribute material and equipment from State-managed warehouses or from outside vendors. In doing so, vehicles are needed. The operating request includes \$19,984 that provides one (1) vehicle dedicated to housekeeping needs and one (1) vehicle dedicated to maintenance needs. While DFM will be able to supplement the need for OBH and the facility through existing tools, equipment and vehicles, the added need for staff requires providing the proper equipment to perform the services needed. The Department anticipates one (1) vehicle will be needed in FY 2022-23 and the remaining vehicle will be needed in FY 2023-24. Funding for these vehicles will be requested in a different manner in the future.
- Human Resources has included a HR Specialist to provide necessary human resource support for the additional FTE hired for this project.
- The Information Management Department included an additional position to support the Electronic Health Record workload and management of patient records.
- Lastly, an accounting position was added to provide operational and financial support to the facility.

Table 1: F2/F3 Operating and FTE Summary Table

Forensic Operating and FTE Summary Table					
Office of Behavioral Health (OBH)	FY 2022-23	FTE	FY 2023-24	FTE	
Personal Services: Wages	\$4,913,867	71.5	\$6,560,185	95.2	
Personal Services: Benefits	\$606,863		\$810,186		
Personal Services: Professional Services	\$822,107	3.3	\$986,528	4.0	
Personal Services: Patient Armed Transport	\$67,500		\$90,000		
Operating Expenses: FTE	\$373,378		\$82,840		
Operating Expenses: Programmatic Start up	\$300,403		\$0		
Operating Expenses: Programmatic Ongoing	\$490,247		\$641,795		
Operating Expenses: E.H.R. licenses	\$147,500		\$81,000		
Pharmaceuticals	\$283,123		\$378,534		
Office of Behavioral Health Subtotal	\$8,004,987	74.8	\$9,631,068	99.2	
Executive Director's Office (EDO)					
Shift	\$83,058		\$99,670		
AED	\$256,393		\$343,555		
SAED	\$256,393		\$343,555		
STD	\$8,205		\$10,992		
Health-Life-Dental	\$1,281,826		\$1,507,202		
Executive Director's Office Subtotal	\$1,885,875		\$2,304,974		
Office of Operations					
Personal Services: Wages	\$213,998	3.5	\$310,918	5.1	
Personal Services: Benefits	\$26,430		\$38,398		
Operating Expenses: FTE	\$24,080		\$4,845		
Operating Expenses: Utilities	\$67,913		\$81,496		
Operating Expenses: Programmatic	\$75,318		\$16,096		
Operating Expenses: Vehicles	\$19,984		\$19,984		
Office of Operations Subtotal	\$427,723	3.5	\$471,737	5.1	
GRAND TOTAL	\$10,318,585	78.3	\$12,407,778	104.3	

Table 2: Staffing Resources Required for Operationalizing the New F2/F3 Units

Confidential Internal Draft Working Document					
F2/F3 FTE by Discipline Summary Table					
Nursing	22-23	23-24		Therapy	22-23 23-24
Mental Health Clinician I	13.0	17.0		Clinical Therapist II	2.3 3.0
Mental Health Clinician II	3.8	5.0		Therapist III	1.5 2.0
Mental Health Clinician III	1.5	2.0		Total Therapy Staff	3.8 5.0
Mid Level Provider	0.7	1.0			
Nurse I	10.8	14.0			
Nurse II	3.0	4.0		Dietary	22-23 23-24
Nurse III	3.0	4.0		Dining Services III	0.7 1.0
Nurse V	0.7	1.0		Dining Services IV	0.7 1.0
Total Nursing Staff	36.5	48.0		Dietitian III	0.7 1.0
				Total Dietary Staff	2.1 3.0
Medical Records	22-23	23-24			
Medical Records Tech II	0.7	1.0		Security	22-23 23-24
Total Medical Records Staff	0.7	1.0		Corr/Yth/Clin Security Officer I	7.9 10.2
				Corr/Yth/Clin Sec Supv III	0.7 1.0
				Total Security Staff	8.6 11.2
Dental	22-23	23-24			
Dental Care II	0.3	0.5			
Dental Care V	0.1	0.2		Administrative/Other	22-23 23-24
Dentist I	0.1	0.2		Unit Administrative Assistant III	3.0 4.0
Total Dental Staff	0.5	0.9		Program Assistant I	0.7 1.0
				Nurse III (QSS)	0.7 1.0
				E.H.R. (Health Prof VI)	0.8 1.2
Medical	22-23	23-24		Total Administrative/Other	5.2 7.2
Diag Procd Technol II	0.1	0.2			
Nurse II - Medical	1.2	1.5			
Laboratory Technology I	0.1	0.2		Office of Operations	22-23 23-24
Laboratory Technology II	0.7	1.0		Accountant III	0.7 1.0
Program Coordinator	0.7	1.0		Human Resource Specialist III	0.7 1.0
Total Medical Staff	2.8	3.9		DFM - Electronics Specialist II	0.7 1.0
				DFM - Pipe/Mech Trades II	0.1 0.2
				Custodian I	0.7 1.0
Pharmacy	22-23	23-24		Custodian II	0.6 0.9
Pharmacist II	0.7	1.0		Total Office of Operations	3.5 5.1
Total Pharmacy Staff	0.7	1.0			

				Grand Total State FTE	75.0 100.3
Psychology	22-23	23-24			
Psychologist I	5.3	7.0			
Psychologist II	1.5	2.0		Contract Physician (Psychiatry)	0.8 1.0
Total Psychology Staff	6.8	9.0		Contract Physician (Medical)	0.8 1.0
				Contract Nurse Practitioner (Medical)	1.7 2.0
				Grand Total Contract Staff	3.3 4.0
Social Work	22-23	23-24			
Social Work/Counselor III	3.1	4.0		Grand Total All Staff	78.3 104.3
Social Work/Counselor IV	0.7	1.0			
Total Social Work Staff	3.8	5.0			

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-03 County Trails Refresh and Support

Dept. Approval By: _____

Supplemental FY 2021-22

OSPB Approval By: _____

Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$8,011,580	\$0	\$7,732,056	\$1,800,000	\$1,800,000
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$4,576,852	\$0	\$4,412,470	\$1,776,267	\$1,776,267
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$3,434,728	\$0	\$3,319,586	\$23,733	\$23,733

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$8,011,580	\$0	\$7,732,056	\$1,800,000	\$1,800,000
	FTE	0.0	0.0	0.0	0.0	0.0
02. Office of Information Technology Services, (A) Information Technology, (1) Information Technology - Colorado Trails	GF	\$4,576,852	\$0	\$4,412,470	\$1,776,267	\$1,776,267
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$3,434,728	\$0	\$3,319,586	\$23,733	\$23,733

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request

Interagency Approval or Related Schedule 13s:

Requires OIT Approval

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUEST. The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report. Budget amounts, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
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<p>Selected Reports:</p> <p>*** Query Name SF 00 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 1556</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTTY</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code): I</p> <p>*** Query Name SD CVAppr *** Enter Cabinet (One Letter Code): I</p> <p>*** Query Name Prog_Base *** Enter Cabinet (One Letter Code): I</p>	
<p>Summary of Each Query</p> <p>*** Query Name SF 00 ***</p> <p>*** Query Properties: Universal PS - Budget Form Last Refresh Date: 10/25/21 5:33 PM Last Execution Duration: 4 Number of rows: 2 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_REQUESTS; SD_RV_REQUESTS; ED) AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Parent Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTY))</p> <p>*** Query Name SF 060 ***</p> <p>*** Query Properties: Universal PS - Budget Form Last Refresh Date: 10/25/21 5:33 PM Last Execution Duration: 1 Number of rows: 0 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_CV_SUPPLEMENTALS; SD_CV_SUPPLEMENTAL; ED) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Parent Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTY))</p> <p>*** Query Name SF 010 020 ***</p> <p>*** Query Properties: Universal PS - Budget Form Last Refresh Date: 10/25/21 5:33 PM Last Execution Duration: 4 Number of rows: 15 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_BASE; AD; ADMIN_ONLY;) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Parent Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND Budget Line Program Code In List DPLD0504 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2)</p> <p>*** Query Name SD CVAppr ***</p> <p>*** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:33 PM Last Execution Duration: 4 Number of rows: 12 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 6 AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Fund Type Code Equal TOTAL AND Parent Fund Type Code Equal 3 AND Fund Type External Level Equal 3)</p> <p>*** Query Name Prog_Base ***</p> <p>*** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:33 PM Last Execution Duration: 4 Number of rows: 2 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 6 AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Fund Type Code Equal TOTAL AND Parent Fund Type Code Equal 3 AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR; RV_BASE))</p> <p>*** Query Name Prog LVLS ***</p> <p>*** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:33 PM Last Execution Duration: 4 Number of rows: 1,003 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 6 AND Program External Level Equal 7)</p> <p>*** Query Name Time Period Labels ***</p> <p>*** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:33 PM Last Execution Duration: 1 Number of rows: 1,003 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Time Period Code, Time Period Report Column Label 1, Time Period Report Column Label 2, Time Period Report Column Label 3 Filters: Time Period Code In List (CV_APPR; RV_BASE; RV_M0V1; VR2))</p>	
<p>Report Errors</p> <p>*** Filter on Report Schedule 13 ***</p> <p>No Filter on Budget Request Code Filter on Section Request Program Code: ZembaCheck SECTION Not Equal AllColumnsEmpty Filter on Stock ForecastProgramLineName: Budget Request Code is Not Null</p> <p>*** Filter on Report Cover Page ***</p> <p>No Filter on Cover Page</p>	

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August 2021
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**Department Priority: #3
Request Detail: County Trails Refresh and Support**

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$7,589,619	\$1,800,000	\$1,800,000
FTE	0.0	0.0	0.0
General Fund	\$4,326,985	\$1,776,267	\$1,776,267
Cash Funds	\$0	\$0	\$0
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$3,262,634	\$23,733	\$23,733

Summary of Request

The Department of Human Services (DHS, Department) requests \$1,800,000 total funds, including \$1,776,267 General Fund and \$23,733 federal funds in FY 2022-23 and in all future years to create a separate line item for county computer refreshes and computer support and to rightsize the funding distribution in the Colorado Trails line item. This represents a 24 percent increase to the existing line item and is necessary to ensure Trails has adequate funding for on-going maintenance and support.

The Trails system is Colorado’s child welfare system of record, housed in the Colorado Department of Human Services Office of Children, Youth and Families (OCYF) and used by over 5,400 users. In an effort to help OCYF and its partners connect children and families with critical supports, Trails is used to track child abuse and neglect cases as well as services deployed to help strengthen families. Trails supports three main activities:

- Providing services and case management for children who have experienced abuse and neglect with goal of preventing children from going into foster care,
- Licensing and monitoring providers (foster parents, adoptive parents, child care centers, etc.), and
- Providing services and case management and support for juvenile justice-involved youth.

Current Program

The Department of Human Services' Trails line item is composed of several high-ticket expenditures related to child welfare information technology (IT). While the intent of the line item is to provide stable, ongoing funding for the State's Comprehensive Child Welfare Information System, Trails, this line item also includes state-funded computers distributed to county caseworkers, a portion of the state's CenturyLink contract, HP support services, and other smaller, extraneous technical expenses.

Trails is used by *over 5,400 individual users*, including staff in Colorado's Division of Child Welfare, the Division of Youth Services, the Office of Early Childhood, the Administrative Review Division, the Office of Child Protection Ombudsman, certain contracted providers, 22 judicial districts, and 64 individual county departments of human/social services. Those 5,400 users in turn have *over 70,000 unique contacts with children and families each month*, from screening calls to the child abuse and neglect hotline to conducting safety assessments to connecting families with behavioral health services.

Problem or Opportunity

The Trails line item has three primary sources of conflict:

1. The majority of the line item is not used for Trails-related development or maintenance;
2. Existing county computer expenses included in the line item are not sufficient to cover county costs; and
3. The line item over-estimates federal drawdown, rendering the State unable to fully maximize the appropriation.

The Use of Funds for Work Unrelated to Trails

While the Trails line item consists of an appropriation of approximately \$7.6 million, the only ongoing source of funding for Trails work within that line item is a 2018 decision item (R-13) that provides a recurring \$1.4 million for ongoing maintenance and support work on the Trails system and a 2020 decision item (R-13) that provides recurring \$250,000 appropriation for iterative updates related to the Family First Prevention Services Act. In contrast, \$3.1 million or over 40% of this line item is used for the Department's master CenturyLink contract, and another \$1.21 million or 16% is used for expenditures related to the purchase of county caseworker computers and HP support services.

While the intent of the Colorado Trails line item is to provide a single, reliable, and ongoing source of funding for the State's Comprehensive Child Welfare Information System, only \$1.65 million or approximately 22% of the line item is used for ongoing Trails expenditures. When compared to other major state systems, this adjusted funding represents a stark departure. While similar systems like Colorado Benefits Management System (CBMS) incur extraneous minor expenses in their line items, these expenses do not siphon funds away from the system itself to the same degree. Further, even without removal of the non-Trails line item carve-outs, the gross appropriation of \$7.6 million still falls below the operating appropriation of any major

case management system except for the Child Care Automated Tracking System (CHATS)(See Table 1). For comparison, CHATS has approximately 500 users, as compared to Trails’ 5,400.

Table 1: FY 2021-22 Adjusted Operating Appropriations for DHS Case Management Systems		
Colorado Technology System	Number of Users	FY 2021-22 Operating Appropriation (in millions)
Trails	5,400	\$7.59
Colorado Benefits Management System (CBMS)	4,800	\$21.78
Automated Child Support Enforcement System (ACSES)	750	\$9.41
Childcare Automated Tracking System (CHATS)	500	\$2.71

Underfunded County Computer Expenses

Despite the inclusion of \$1.08 million in the line item to support county caseworker computer refreshes, counties have consistently voiced a need for additional funding to support caseworker technology. Expenses for county computer refreshes in FY 2021-22 alone were approximately \$1.3 million, approximately \$300,000 over the budgeted amount. S.B. 15-242 directed additional funding to counties in addition to the Child Welfare Block for the specific purpose of hiring new child welfare staff. This legislation was in response to a workload study performed by the Office of the State Auditor. The study recommended that additional county staff were needed to address the increase in workload and insufficient staff. While additional staff have been provided to counties each year since 2015, there were no accompanying ongoing funds for county computer refreshes, which happen annually as part of three-year cycles. Thus, while legislation funded approximately 698 additional caseworkers and supervisors over the past six years, the Trails appropriation has not changed to accommodate additional computer costs.

Incorrect General Fund/Federal Fund Splits

Further hindering the state’s ability to leverage Trails resources, the line item over the past several years has overestimated federal drawdown. This discrepancy was further exacerbated by a technical error in a 2018 R-13 decision item. While actual federal drawdown is limited to approximately 35 percent for maintenance and operations the original R-13 decision item estimated the splits at 45 percent General Fund and 55 percent federal funds. This assumption along with the historical discrepancy has carried through to the Trails appropriation each year, resulting in approximately \$500,000 annually that is appropriated but cannot be drawn down. For FY 2021-22, the General Assembly appropriated \$7.6 million; however, the actual estimated resources available to Trails, CenturyLink, county computer refreshes and all miscellaneous expenditures is \$7.1 million.

Proposed Solution

This decision items includes two key components:

1. A request for \$1.8 million in a new “County IT Support” line item that will appropriately fund county child welfare technology needs outside of Trails, including computers for caseworkers; and
2. Adjustment of the Trails Line Item Federal and General Fund split to reflect actual federal drawdown.

Creation of a \$1.8 million County IT Support Line Item

Moving the county computer and support expenses out of the Trails line item and into its own dedicated line allows for increased transparency and efficiency in allocating expenditures. In order to retain existing funds for additional Trails-related expenses, the Department proposes to create a separate line item with new funding. The requested appropriation of \$1.8 million allows for full funding of county caseworker IT infrastructure needs without having to trade off critical infrastructure fixes on the Trails system.

Trails Line Item Funding Split Adjustment

The Department proposes to rightsize the Trails line item by adjusting the General and Federal Fund splits to reflect actual federal reimbursement opportunities. Recalculating the Federal share to reflect 35 percent for maintenance for the line item, the new \$7,589,619 appropriation is adjusted to \$4,933,252 General Fund and \$2,656,367 Federal Funds. This keeps the total appropriation constant while more accurately reflecting expected drawdown and expected expenditures.

Theory of Change	Not on the continuum - N/A		
Program Objective	Not on the continuum - N/A		
Outputs being measured	Not on the continuum - N/A		
Outcomes being measured	Not on the continuum - N/A		
Cost/Benefit ratio	N/A		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	N/A		

Without this budgetary clean-up, the additional expenses in the Trails line item will continue to crowd out necessary operating expenses for the Trails system, continuing a pattern of underfunding key system operations. This will result in decreased velocity of work and

extension of several core system risks. Without dedicated, protected line item funding to support system stability and architecture underlying the modernized system, the State runs an ongoing risk of future system outages.

Table 2: Breakdown of FY 2021-22 Estimated Colorado Trails Line Item Resources and Expenditures

Projections based on FY 2020-21	Amount	Proposed Line Item
HP County computer leases	\$ 1,078,000	New County IT Support Line
CenturyLink Infrastructure	3,117,296	Trails Operating
Insight HP Software - Carepack	136,368	New County IT Support Line
IHFA CWEL Insight Delphix Engin for Oracle	164,837	New County IT Support Line
Project Manager	167,130	Trails Operating
R-02 Family First Prevention and Services Act	250,000	Trails Operating
Miscellaneous	24,905	Trails Operating
DI R-13 Colorado Trails Maintenance	2,143,000	Trails Operating
Total Projected FY 2021-22 Spend	\$ 7,081,536	
Amount Available to Spend	\$7,161,019	
FY22 Projected (over)/under General Fund Expenditures	\$ 79,4833	

Anticipated Outcomes

Separating county computer expenses into a new funded line item while correcting the Trails line item splits allows for a budgetary clean-up that will simultaneously improve transparency, free up resources in the line item to promote improvement to the Trails system, and provide critical support to county partners. Previously inaccessible resources in the Trails line item will be used for the follow activities:

- **Ongoing development of new, high-value features in Modernized Trails:** Existing resources allow for minimal work on items such as the creation of new, high-value features and development fixes for items that cause high numbers of help desk tickets. Additional freed-up resources will provide a cross-functional team of approximately seven high-skilled individuals to support this work.
- **Build capacity in automated testing:** Automated testing is critical to ensure that newly developed features to users will be deployed faster and without causing major system issues or outages. Historically, Trails has had to complete all testing manually, which can take three to four months of dedicated state and county time to test all interfaces, functionality and reports. Adding external resources to automated testing will help ensure that users are not experiencing major interruptions in their ability to complete work when new features are rolled out. Expanded use of existing Trails resources will supplement work with a team of five automated testers.

- **Improve system stability:** The Trails Team continues to experience difficulties processing and addressing help desk tickets. While the team has begun shifting to devote existing resources to root cause analysis and develop fixes for issues causing large quantities of tickets, the rate of ticket entry continues to outpace existing capacity. Supplementing the team with additional developers and business analysts will allow for quicker identification and resolution of issues, resulting in improved customer satisfaction and less time caseworkers are unable to complete critical child welfare functions.
- **Improve and modernize the Trails software development environment:** Trails workflows remain largely manual, with code manually moved from the development server to testing to quality assurance and finally into production. Best practice in software development is to automate these processes in order to reduce process issues and time to deployment. Resources dedicated to this item will fund contract expertise in technical process, data warehouses, and system architecture.

These activities will accelerate progress in order to provide tangible technology improvements in FY 2022-23 to child welfare caseworkers, youth services specialists, and other critical support roles that rely on Trails for their daily work. Funding for these activities will further directly support a priority of Colorado counties, which comprise half of the Trails system end users.

Assumptions and Calculations

The adjusted funding splits for the Trails line item use the following assumptions:

- 35 percent federal reimbursement for operations and maintenance.

The allocation of this reimbursement rate to the Trails appropriation is based on historical division of work by OIT and DHS FTE and contract staff. All OIT staff and contractors log their hours to one of two codes--Trails Mod and Trails KSO (“keeping systems on”)--to allow for easy distinguishment of the billable hours allocated to each federal reimbursement category.

Total amount requested is calculated as follows:

Table 3: Requested Amount for Federal Funds Reimbursement Rate True-Up			
	FY 2021-22 Incorrect Federal Funds Reimbursement Rate (55%)	Correct Federal Funds Reimbursement Rate Requested (35%)	Difference
Total Funds	\$7,589,619	\$7,589,619	\$0
General Fund	\$4,326,985	\$4,933,252	\$606,267
Federal Funds	\$3,262,634	\$2,656,367	(\$606,267)

Table 4: Requested Amount for County Computer Refresh	
Total Funds	\$1,800,000
General Fund	\$1,170,000
Federal Funds	\$630,000

Table 5: Total Request FY 2022-23	
Total Funds	\$1,800,000
General Fund	\$1,776,267
Federal Funds	\$23,733

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-04 Enhancing County Child Welfare Support

Dept. Approval By: _____

Supplemental FY 2021-22

OSPB Approval By: _____

Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$8,750,888	\$0	\$8,258,356	\$421,448	\$405,736
	FTE	72.0	0.0	70.8	3.8	4.0
Total of All Line Items Impacted by Change Request	GF	\$7,621,593	\$0	\$7,150,350	\$362,445	\$348,933
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$65,019	\$0	\$66,593	\$0	\$0
	FF	\$1,064,276	\$0	\$1,041,413	\$59,003	\$56,803

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$8,750,888	\$0	\$8,258,356	\$421,448	\$405,736
	FTE	72.0	0.0	70.8	3.8	4.0
05. Division of Child Welfare, (A) Division of Child Welfare, (1) Division of Child Welfare - Administration	GF	\$7,621,593	\$0	\$7,150,350	\$362,445	\$348,933
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$65,019	\$0	\$66,593	\$0	\$0
	FF	\$1,064,276	\$0	\$1,041,413	\$59,003	\$56,803

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact

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<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUEST. The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report. Budget amounts, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
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<p>Selected Reports</p> <p>--- Query Name SF 00 --- Enter Cabinet (One Letter Code): I Decision Item Req Code: 1556 --- Query Name SF 060 --- Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTY --- Query Name SF 010 020 --- Enter Cabinet (One Letter Code): I --- Query Name SD CV Appr --- Enter Cabinet (One Letter Code): I --- Query Name Prog_Base --- Enter Cabinet (One Letter Code): I</p>	
<p>Summary of Each Query</p> <p>--- Query Name SF 00 --- --- Query Properties: Universal PB - Budget Form Last Refresh Date: 10/26/21 9:45 AM Last Execution Duration: 8 Number of rows: 5 Retrieve Duplicate Row: ON --- Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_REQUESTS; SD_RV_REQUESTS; ED) AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Parent Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal ()) </p> <p>--- Query Name SF 060 --- --- Query Properties: Universal PB - Budget Form Last Refresh Date: 10/26/21 9:45 AM Last Execution Duration: 1 Number of rows: 0 Retrieve Duplicate Row: ON --- Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_CV_SUPPLEMENTALS; SD_CV_SUPPLEMENTALS; ED) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Parent Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTY)) </p> <p>--- Query Name SF 010 020 --- --- Query Properties: Universal PB - Budget Form Last Refresh Date: 10/26/21 9:46 AM Last Execution Duration: 8 Number of rows: 31 Retrieve Duplicate Row: ON --- Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_BASE; AD; ADMIN_ONLY;) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Parent Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal ()) </p> <p>--- Query Name SD CV Appr --- --- Query Properties: Universal PB - Budget Data Last Refresh Date: 10/26/21 9:46 AM Last Execution Duration: 8 Number of rows: 13 Retrieve Duplicate Row: ON --- Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 6 AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR; RV_BASE)) </p> <p>--- Query Name Prog_Base --- --- Query Properties: Universal PB - Budget Data Last Refresh Date: 10/26/21 9:46 AM Last Execution Duration: 7 Number of rows: 31 Retrieve Duplicate Row: ON --- Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 6 AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR; RV_BASE)) </p> <p>--- Query Name Prog_VLVS --- --- Query Properties: Universal PB - Budget Data Last Refresh Date: 10/26/21 9:45 AM Last Execution Duration: 1 Number of rows: 1,003 Retrieve Duplicate Row: ON --- Query Definition: Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 5 AND Program External Level Equal 7) </p> <p>--- Query Name Prog_VLVS --- --- Query Properties: Universal PB - Budget Data Last Refresh Date: 10/26/21 9:45 AM Last Execution Duration: 1 Number of rows: 1,003 Retrieve Duplicate Row: ON --- Query Definition: Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 4 AND Program External Level Equal 7) </p> <p>--- Query Name Time Period Labels --- --- Query Properties: Universal PB - Budget Data Last Refresh Date: 10/26/21 9:45 AM Last Execution Duration: 1 Number of rows: 16 Retrieve Duplicate Row: ON --- Query Definition: Result Objects: Time Period Code, Time Period Name, Parent Prog Code, Parent Prog Name Period Report Column Label 3 Filters: Time Period Code In List (CV_APPR; RV_BASE; RV_MV1; RV2)) </p>	
<p>Report Errors</p> <p>--- Filter on Report Schedule 13 --- No Filter on Budget Request Code Filter on Section Header/Program Code: ZembaCheck SECTION Not Equal ALLColumnsEmpty Filter on Stock/Parent/Program Line/Case: Budget Request Code is Not Null --- Filter on Report Cover Page --- No Filter on Cover Page</p>	

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Recent Updates to Schedule 13

- August 2021
- Rebuilt with new cover page



**Department Priority:R-04
Request Detail: Enhancing County Child Welfare Support**

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$7,775,620	\$421,448	\$405,736
FTE	67.5	3.8	4.0
General Fund	\$6,671,114	\$362,445	\$348,933
Cash Funds	\$0	\$0	\$0
Reappropriated Funds	\$65,019	\$0	\$0
Federal Funds	\$1,039,487	\$59,003	\$56,803

Summary of Request

The Department of Human Services (DHS, Department), Office of Children, Youth & Families (OCYF, Office) requests \$421,448 total funds, including \$362,445 General Fund, \$59,003 federal funds, and 3.8 FTE in FY 2022-23; and \$405,736 total funds including \$348,933 General Fund, \$56,803 federal funds, and 4.0 FTE in FY 2023-24 and in all future years to enhance county support and supervision of child welfare operations as well as increase monitoring of facility operations and licensing requirements. The federal funds are Title IV-E. This is approximately a 6 percent General Fund increase in the Administration line item. Funds will enhance oversight, monitoring, and support to county departments of human services; help lead through major policy reforms such as the Family First Prevention Services Act; and support the goal of safely reducing out of home placement of children and youth.

Current Program

On average over the past five years, over 100,000 referrals of child abuse and neglect are called into the statewide child abuse and neglect hotline annually, with over 4,000 children and youth currently in out-of-home placement due to concerns for their safety and well-being. Furthermore, more children are being served in the community than ever before, shifting the type of work county caseworkers do. This shift towards the provision of maltreatment prevention services in Colorado's communities has necessitated additional support and technical assistance from the state. The supervision of county operations is a critical component of the Department's Division of Child Welfare (DCW, Division), and this responsibility is currently being met by the equivalent of approximately 9 FTE, one "county intermediary" administrator lead, data and support staff, and the child welfare leadership team.

The Division is currently reviewing and improving monitoring processes of county departments of human services in order to establish more service consistency and accountability. This enhancement comes with a request for additional FTE to monitor county department performance and facility compliance more effectively. Typical duties may include:

- Performance monitoring through CStat and Results Oriented Management,
- Occasional site visits and visits due to a critical issue,
- Technical and program assistance, and
- Case staffings and training requests.

Problem or Opportunity

Over the past year, the Division has worked to support counties through several high-profile issues, emphasizing a need for both enhanced proactive monitoring and increased capacity to support county partners in times of acute crises or prolonged difficulties. To this end, the Division has made efforts to enhance its services, including:

- Recently creating a lead county intermediary administrator position using existing resources that will direct the county intermediary program and supervise FTE that are dedicated to the county intermediary role;
- Re-deploying three training staff to serve as county intermediaries in a part-time capacity;
- Reviewing its logging process to better track our support to county departments of human/social services; and
- Collaborating with county departments of human/social services at child welfare Sub-Policy Advisory Committee meetings, in county regional meetings, and through the Colorado Human Services Directors Association to improve our partnership, accountability, and services.

While the above steps have assisted in building out Division infrastructure to provide enhanced technical assistance and support, the Division remains limited in its capacity to balance oversight and monitoring with proactive support, particularly in specialized policy areas. The

advent of the COVID-19 pandemic, the implementation of the federal Family First Prevention Services Act, and statewide innovations in child welfare practice and prevention have further depleted the ability of existing resources to provide comprehensive support to county partners.

Proposed Solution

The Department proposes to add dedicated county intermediary staff, subject matter experts, and a facility monitor to support the enhanced design of the Department's Division of Child Welfare monitoring programs and critical response support it provides to local partners as evidenced by improved performance metrics and our goal of safely reducing out of home placement. Accordingly, the Department requests an additional \$421,448 total funds, including \$362,445 General Fund, \$59,003 federal funds, and 3.8 FTE in FY 2022-23; and \$405,736 total funds including \$348,933 General Fund, \$56,803 federal funds, and 4.0 FTE in FY 2023-24 and ongoing to enhance the Division's county support and facility monitoring functions. The positions requested will support child welfare programs that rank in the Office of State Planning and Budgeting Evidence-Based Continuum as Step 3 - Assess Outcomes or Step 4 - Attaining Initial Evidence.

The following FTE requests align with the key initiatives of the DHS strategic plan for a whole person, whole family, whole community approach by providing additional dedicated staff to:

- Achieve better permanency outcomes for children in out of home care;
- Enhance monitoring of child welfare services and specialized response to critical situations; and
- Grow our 24/7 facility monitoring capacity.

The request also aligns with guiding principles to design and deliver high-quality human services that improve the safety, independence and wellbeing of Coloradans. As Colorado is a county-administered, state-supervised child welfare system, the request for additional FTE ensures having capacity to monitor the administration of child welfare services while providing more meaningful technical assistance, training, and coaching with expanded county-facing support.

The positions requested include the following:

Permanency Specialist - 1.0 FTE

This FTE will work to improve the outcomes for children and youth served in Child Welfare that are not achieving permanency in a timely manner. This function is currently being provided by 2 time-limited FTE who split their duties 0.5 in this program and 0.5 as a County Intermediary.

Maintaining a position to monitor and support children/youth in achieving permanent homes is a critical need that Colorado counties have requested throughout the past several years, and according to data pulled from Results Oriented Management (ROM), as of July 3, 2021, this need is still evident:

- There are 587 children/youth that are legally free for adoption who have not achieved permanency in Colorado. Out of these 619 children/youth, 270 of them are between the ages of 10-21.
- There are 9,235 children/youth in an open-in-home or out-of-home case. Just over half, or 4,870, have been involved for more than a year, and 970 of them have been involved in a case for over three years of their lives.

This is an opportunity at the state level to continue to assist counties and decrease existing barriers to obtain permanency for these children/youth. The children/youth who do not obtain permanency often experience lower rates of educational achievement and income, and higher rates of homelessness. For the children/youth who are not achieving permanency in an expeditious manner, the overall improved safety, independence, and well-being of the children/youth of Colorado is jeopardized.

This position will engage with partners to strengthen permanency across Colorado and support the Wendy's Wonderful Kids (WWK) program, an evidence-based program in the Results First Clearinghouse currently undergoing an evaluation around achieving permanency in Colorado.

Furthermore, because Colorado is currently implementing Family First Prevention Services Act (FFSPA), an estimated 400 children/youth per year who may qualify for residential placement will require additional oversight from county and Division staff to ensure that appropriate admission criteria are met and maintained.

This position would also be responsible for assisting in the development of a process/criteria to identify children/youth who may need an independent assessor to evaluate necessity of a Qualified Residential Treatment Provider placement and work with county departments of human/social services to develop rules and guidance for county staff to facilitate the process.

County Intermediary - 2.0 FTE

Division of Child Welfare County Intermediaries (CIs) are critical to the Division's ability to support and monitor county practice through local departments of human services, which see an *annual* workload of:

- On average, over 100,000 reports of abuse and neglect which require over 35,000 investigations;
- Services to an estimated minimum of 300,000 Coloradans; and
- Over 4,000 children and youth in out-of-home care at any given time.

From a workload study commissioned by the Division of Child Welfare completed in 2020:

Based on the time study survey data, intermediaries spend an average of 86.05 hours per month on intermediary related activities - which is just over 50% of their total working hours per month. In comparison, job descriptions for this position note staff will spend 15% - 25% of their time on related activities. Feedback from staff interviews mirrored survey responses. Several subject matter experts stated that their capacity to provide technical assistance on their specific program or area of expertise is limited because of their intermediary responsibilities.

There are currently eighteen Subject Matter Expert (SME)/County Intermediary staff roles across the Division that oversee the operations and performance measures of the 64 Colorado counties in their administration of child welfare services. CIs serve between two and six counties each, but they split their time between CI and SME duties, so the total FTE count is closer to nine full-time staff. This large workload challenges a staff's ability to deeply review practice and process at systems levels (recruitment, retention, budget/finance). These 2 requested positions would layer on top of existing support as county intermediary specialists with an enhanced skill set and capacity to address any critical need relating to the safety and well-being of Colorado's children.

Recognizing that current staff capacity may prevent early detection of areas for improvement or emerging need, the two positions will provide for creation of a specialized response team that can be appropriately deployed by the Lead CI to surge support and address imminent or complex county needs. These staff will help ensure that existing CIs are provided with on-demand support to strategize and/or provide intensive support when counties experience difficulties in effectively serving children and families or encounter a complex compliance concern. Possible areas the specialized CIs may assist with include, but are not limited to:

- Levels of staff turnover that jeopardize the county's ability to appropriately respond to child safety concerns;
- Assistance in placing children/youth with complex behavioral and medical needs;
- Challenges with implementation of new standards under the Family First Prevention Services Act;
- Provision of appropriate placement and services to crossover youth;
- Discovery of urgent compliance concerns or other issues that require immediate and intensive action.

In addition to providing support when a county department of human services has a critical concern outside the scope of subject matter expertise, additional, specialized CIs will strengthen the existing CI structure by supporting other short- and long-term high-priority initiatives. These include, but are not limited to:

- Analyzing and providing recommendations around practice changes that are directly responsive to data trends and emerging challenges, including challenges related to the placement of high-acuity youth, expansion of in-home services, and provision of services to youth aging out of foster care;
- Establishing layers of backup to ensure county staff maintain their relationship with an intermediary staff during times of transition;
- Adding capacity when travel is best suited to address an issue and allow for better relationships with all partners throughout Colorado; and
- Creating a Child Welfare Internal County Dashboard with detailed data analysis obtained through regular data summaries, ongoing Continuous Quality Improvement, and practice evaluations for all 64 counties.

Furthermore, dedicated CIs would have the bandwidth to meaningfully partner with other Colorado Department of Human Services Divisions in analyzing and summarizing all available monitor points (complaints, Administrative Review Division reviews, Adult Protection Services performance, CStat, etc) and create a more informed approach of holistic county support.

Monitoring Specialist - 1.0 FTE

Implementation of the Family First Prevention Services Act has further highlighted a need for additional oversight and monitoring of placement facilities as many transition to new placement types and increasingly serve children/youth with a higher level of acuity. As implementation has progressed, the need for additional support in this space has become a growing need that cannot be adequately absorbed by existing licensing and monitoring staff. The addition of a new Monitoring Specialist would expand the Division’s capacity in:

- Conducting Stage II and Compliance Investigations in licensed out-of-home care facilities to ensure the safety, care and well-being of children and youth placed in these facilities while in the custody of local departments of human/social services;
- Assessing and making determinations about next steps regarding critical incident reports submitted by the licensed providers that identify potential safety concerns impacting the youth in care;
- Spending quality time with licensed providers by providing technical assistance and training to ensure quality care is being provided to the youth in care; and
- Lowering caseload ratios of approximately forty licensed providers to each monitor, which is a heavy caseload that will increase due to additional duties required to ensure provider fidelity to trauma-informed models after October 1, 2021.

Caseload in particular has presented an ongoing challenge for existing staff. The Family First Prevention Services Act will further increase the workload of Monitoring Specialists because they will be required to review each Qualified Residential Treatment Program’s “trauma-informed care plan” and monitor the facility’s compliance to the plan, which is not currently required in Colorado’s facilities. Adding another FTE to the existing team allows that team to effectively complete their workload and provide individual attention to each of their providers to ensure quality services being provided to children and youth. An optimal caseload would be 25-30 assigned providers, and adding an additional FTE would support this direction by reducing caseloads by five for each Monitoring Specialist.

Theory of Change	Additional dedicated county intermediary staff will support the enhanced design of the Division’s monitoring programs and increase the number and quality of contacts it provides to local partners.
Program Objective	The Division proposes to enhance county support and supervision of child welfare operations as well as increase monitoring of facility operations and licensing requirements.
Outputs being measured	<ol style="list-style-type: none"> 1. Number of referrals of child abuse and neglect called into the Hotline 2. Number of children/youth in local county custody and in out of home placement 3. Number of children/youth who are legally free for adoption 4. Number of children/youth that run from out of home placements 5. Number of independent assessments 6. Number of new facility types for out of home placements

Outcomes being measured	<ol style="list-style-type: none"> 1. This request aligns with the WIG to safely decrease the average daily placement of children and youth served by the Division of Child Welfare. 2. This request supports and rehabilitates the family system by surveying families and evaluating programs through a family-focused project design. 		
Cost/Benefit ratio	N/A		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	The positions requested will support child welfare programs that rank in the Office of State Planning and Budgeting Evidence-Based Continuum as Step 3 - Assess Outcomes or Step 4 - Attaining Initial Evidence.		

If this request is not approved, the uneven workloads will continue to threaten our ability to triage and respond to the best of our ability, develop more meaningful and effective CQI processes, and to provide support that has been requested.

Anticipated Outcomes

With the addition of two County Intermediaries, the Division of Child Welfare will proactively and consistently help strengthen county practice in multiple areas without limiting assistance to emerging areas of concern. Providing a specialized response team will allow for both increased effectiveness in support and response to counties experiencing acute challenges, and expand capacity to engage in ongoing monitoring, trend analysis, and strategy related to recommendation and implementation of county-focused practice and policy changes. This additional capacity will in turn help strengthen the state’s supervisory role and ensure that children, youth, and families receive high-quality services during a time of immense change and crisis.

Increasing capacity for facility monitoring has a direct effect on accountability and child safety at a very critical time, as many facilities are developing plans to comply with looming federal legislation.

Finally, the Division has a contract with Colorado State University to evaluate the Wendy’s Wonderful Kids evidence-based program. This FTE will partner with Colorado county departments of human/social services, the Dave Thomas Foundation, and Raise the Future to provide intensive searches for families, recruitment for permanent placement, and connections for Colorado’s children and youth that have not been able to obtain permanency.

The Division of Child Welfare expects that there will be improved permanency outcomes for children and youth that are served by child welfare for over three years. The Division of Child Welfare completed a comparison between children/youth in care for longer than three years that participated in the Wendy’s Wonderful Kids (WWK) program versus those who were not involved in WWK. When reunification became an unviable outcome, a comparison between these two groups was made on their relative likelihood of adoption as opposed to emancipation. While the general long term foster care population were adopted only

44percent of the time, 70 percent of the children and youth who participated in the WWK program were adopted. Overall, the children and youth who went through the WWK program were much more likely to be adopted when reunification was not an option compared to their counterparts in long term foster care.

Currently the Division of Child Welfare partners with 19 Colorado counties to provide the WWK program to children/youth, and all have reported that this program has been helpful in servicing children/youth who are in need of permanency and have been involved in the child welfare system for many years. In addition to giving children and youth a permanent, supportive and loving family, ample research demonstrates that investment in programs that expedite adoption saves money. Multiple [research studies](#) have found that adoption represents a cost savings to taxpayers, with one indicating that each dollar spent on adoption of a child from U.S. foster care yields three dollars in benefits to society. The WWK program is an enhancement to the work of Colorado County workers; the program allows an advocate to do a deep dive into a child or youth's records, make connections that may have been lost and really uncover any connection that could be a possibility of permanency for a child or youth. Technology does not replace the human connection that the advocates have with the child or youth.

The Division of Child Welfare looked at other options for improving permanency outcomes but determined that WWK is the best option because it is supported by research. The Dave Thomas Foundation did a five-year study with Child Trends to show the outcomes. In addition, the WWK caseworkers are trained to educate and take the time to explain to prospective adoptive or permanency options for children and youth and do the deep dive into the child or youth's file to find permanent connections. Many of the children and youth in the program are the hardest to place children/ youth who have been in out-of-home care for three years or more.

Assumptions and Calculations

FTE Calculation Assumptions:

Operating Expenses -- Base operating expenses are included per FTE for \$500 per year. In addition, for regular FTE, annual telephone costs assume base charges of \$450 per year.

Standard Capital Purchases -- Each additional employee necessitates the purchase of a Personal Computer (\$1,410), docking station and monitors (\$260), Office Suite Software (\$330), and office furniture (\$5,000).

General Fund FTE -- Beginning July 1, 2020, new employees will be paid on a bi-weekly pay schedule; therefore **new full-time General Fund positions are reflected in Year 1 as 0.9615 FTE to account for the pay-date shift (25/26 weeks of pay). This applies to personal services costs only; operating costs are not subject to the pay-date shift.**

Expenditure Detail		FY 2022-23		FY 2023-24	
<i>Personal Services:</i>					
Classification Title	Biweekly Salary	FTE		FTE	
SOC SERVICES SPEC IV	\$2,505	3.8	\$250,513	4.0	\$260,544
PERA			\$27,306		\$28,399
AED			\$12,526		\$13,027
SAED			\$12,526		\$13,027
Medicare			\$3,632		\$3,778
STD			\$401		\$417
Health-Life-Dental			\$56,344		\$56,344
Subtotal Position 1, ## FTE		3.8	\$363,248	4.0	\$375,536
<i>Subtotal Personal Services</i>		3.8	\$363,248	4.0	\$375,536
<i>Operating Expenses:</i>					
		FTE		FTE	
Regular FTE Operating	\$500	4.0	\$2,000	4.0	\$2,000
Telephone Expenses	\$450	4.0	\$1,800	4.0	\$1,800
PC, One-Time	\$2,000	4.0	\$8,000	-	\$0
Office Furniture, One-Time	\$5,000	4.0	\$20,000	-	\$0
Indirect Costs, if applicable			\$0		\$0
Leased Space, if applicable	\$6,600	4.0	\$26,400	4.0	\$26,400
Other					
Other					
<i>Subtotal Operating Expenses</i>			\$58,200		\$30,200
TOTAL REQUEST		3.8	\$421,448	4.0	\$405,736
	<i>General Fund:</i>		\$362,445		\$348,933
	<i>Cash funds:</i>		\$0		\$0
	<i>Reappropriated Funds:</i>		\$0		\$0
	<i>Federal Funds:</i>		\$59,003		\$56,803

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A



**Department Priority: R-05
Community Provider Rate Increase**

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$1,302,728,693	\$10,323,863	\$10,323,863
FTE	1,620.6	0.0	0.0
General Fund	\$722,273,176	\$5,873,221	\$5,873,221
Cash Funds	\$220,035,719	\$1,785,987	\$1,785,987
Reappropriated Funds	\$45,126,632	\$112,400	\$112,400
Federal Funds	\$315,293,166	\$2,552,255	\$2,552,255

Summary of Request

The Colorado Department of Human Services (department, DHS) requests an increase of \$10,323,863 total funds including \$5,873,221 General Fund, \$1,785,987 cash funds, \$112,400 reappropriated funds, and \$2,552,255 federal funds in FY 2022-23 and beyond to provide a one percent (1%) provider rate increase for community-based providers.

Current Program

Provider rate adjustments apply to community programs and services provided by contracted providers or county staff. Within the department this community provider rate has been applied to County Administration, Child Welfare providers, County Child Welfare Staffing, community-based Youth Services entities, community mental health centers and providers, Child Care Licensing contracts, Child Care Assistance Program, Early Childhood Mental Health Specialists, Domestic Violence Providers, community-based refugee assistance providers, and other similar providers.

Problem or Opportunity

Contracted providers and counties are facing increased labor and supply costs as a result of salary increases, cost of living adjustments and general inflation. While the Denver-Aurora-Lakewood Consumer Price Index (CPI) is projected to grow at 4.3% and is outpaced by the national projection at 5.3% based on the September 2021 OSPB Forecast, inflation remains well above historical norms. As a result, providers continue to have less purchasing power to provide needed contractual services.

Proposed Solution

The Department of Human Services requests an increase of \$10,323,863 total funds, including \$5,873,221 General Fund, \$1,785,987 cash funds, \$112,400 reappropriated funds, and \$2,552,255 federal funds in FY 2022-23 and beyond for a 1.0% rate increase for contracted community provider services. While this increase is less than inflation, it is intended to support community-based providers as they face increasing costs.

The Department proposes an across-the-board provider rate increase since all of the community programs and services that are provided by contracted providers or county staff face similar inflationary issues. The 1.0% provider rate increase would enable the providers to address the rising costs for labor and supplies.

Theory of Change	Investing in adequate provider rates and aligning payment with high-value services are critical components in ensuring members have sufficient access to care, that quality outcomes are achieved, and that services provided are cost effective.
Program Objective	The objective of adjusting provider rate is to increase access to care and to ensure adequate reimbursement of services for providers.
Outputs being measured	Quality of Care, utilization of services, member feedback, and provider feedback.
Outcomes being measured	Member health, member feedback, access to services, adequacy of rates, provider network capacity, provider retention, positive member experience and increased satisfaction.

Cost/Benefit ratio	N/A		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	Step 3		

Anticipated Outcomes

Contracted providers and county staff will be in a better position to manage increased labor and supply costs in order to provide needed contractual services.

Assumptions and Calculations

Community provider rates were calculated using a one percent (1%) increase based on the FY 2021-22 appropriation. For line items that are budgeted for costs beyond the community-based providers i.e. Mental Health Institutes - Fort Logan Personal Services, the portion of the FY 2021-22 appropriation for the community-based providers was identified and an increase of one percent (1%) was applied.

Department of Early Childhood

Given the passage of House Bill 21-1304 creating the Department of Early Childhood, the department is presenting a separate table for provider rates within the Department of Human Services, as the one percent (1%) increase if approved by the General Assembly will need to be applied to the new department for its community based providers.

Supplemental, 1331 Supplemental or Budget Amendment Criteria

Not applicable.

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-05 Community Provider Rate

Dept. Approval By: _____ Supplemental FY 2021-22

OSPB Approval By: _____ Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
Total		\$1,302,728,693	\$0	\$1,288,557,942	\$10,409,644	\$10,409,644
FTE		1,620.6	0.0	1,621.7	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$722,273,176	\$0	\$727,335,004	\$5,796,060	\$5,796,060
	CF	\$193,785,719	\$0	\$200,636,075	\$1,785,987	\$1,785,987
	RF	\$45,126,632	\$0	\$45,126,632	\$275,342	\$275,342
	FF	\$341,543,166	\$0	\$315,460,231	\$2,552,255	\$2,552,255

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
Total		\$2,349,571	\$0	\$2,349,571	\$7,075	\$7,075
FTE		13.3	0.0	13.3	0.0	0.0
01. Executive Director's Office, (B) Special Purpose, (1) Special Purpose - Colorado	GF	\$103,214	\$0	\$103,214	\$0	\$0
Commission for the Deaf and Hard of Hearing	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$2,246,357	\$0	\$2,246,357	\$7,075	\$7,075
	FF	\$0	\$0	\$0	\$0	\$0

Total		\$77,780,485	\$0	\$77,780,485	\$777,804	\$777,804
FTE		0.0	0.0	0.0	0.0	0.0
04. County Administration, (A) Administration, (1) Administration - County Administration	GF	\$25,891,760	\$0	\$25,891,760	\$258,917	\$258,917
	CF	\$15,556,096	\$0	\$15,556,096	\$155,561	\$155,561
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$36,332,629	\$0	\$36,332,629	\$363,326	\$363,326

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$41,935,128	\$0	\$41,935,128	\$419,351	\$419,351
05. Division of Child Welfare, (A) Division of Child Welfare, (1)	FTE	0.0	0.0	0.0	0.0	0.0
Division of Child Welfare - Adoption and Relative Guardianship Assistance	GF	\$22,699,217	\$0	\$22,699,217	\$226,450	\$226,450
	CF	\$4,227,544	\$0	\$4,227,544	\$41,935	\$41,935
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$15,008,367	\$0	\$15,008,367	\$150,966	\$150,966
	Total	\$385,804,996	\$0	\$386,536,006	\$3,858,049	\$3,858,049
05. Division of Child Welfare, (A) Division of Child Welfare, (1)	FTE	0.0	0.0	0.0	0.0	0.0
Division of Child Welfare - Child Welfare Services	GF	\$203,887,319	\$0	\$204,618,329	\$2,044,766	\$2,044,766
	CF	\$72,230,342	\$0	\$72,230,342	\$771,610	\$771,610
	RF	\$13,421,808	\$0	\$13,421,808	\$77,161	\$77,161
	FF	\$96,265,527	\$0	\$96,265,527	\$964,512	\$964,512

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$27,140,851	\$0	\$27,140,851	\$271,408	\$271,408
	FTE	0.0	0.0	0.0	0.0	0.0
05. Division of Child Welfare, (A) Division of Child Welfare, (1)	GF	\$19,757,355	\$0	\$19,757,355	\$198,128	\$198,128
Division of Child Welfare - County Child Welfare Staffing	CF	\$2,733,258	\$0	\$2,733,258	\$27,141	\$27,141
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$4,650,238	\$0	\$4,650,238	\$46,139	\$46,139
	Total	\$3,564,549	\$0	\$3,603,419	\$35,645	\$35,645
05. Division of Child Welfare, (A) Division of Child Welfare, (1)	FTE	1.5	0.0	2.0	0.0	0.0
Division of Child Welfare - Residential Placements for Children with IDD	GF	\$3,546,882	\$0	\$3,585,752	\$35,645	\$35,645
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$17,667	\$0	\$17,667	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$56,684,676	\$0	\$56,684,676	\$566,847	\$566,847
	FTE	0.0	0.0	0.0	0.0	0.0
05. Division of Child Welfare, (A) Division of Child Welfare, (1) Division of Child Welfare - Family and Children's Programs	GF	\$47,706,452	\$0	\$47,706,452	\$476,151	\$476,151
	CF	\$5,926,307	\$0	\$5,926,307	\$56,685	\$56,685
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$3,051,917	\$0	\$3,051,917	\$34,011	\$34,011
	Total	\$11,783,871	\$0	\$11,946,757	\$48,845	\$48,845
	FTE	70.2	0.0	70.7	0.0	0.0
06. Division of Early Childhood, (A) Division of Early Care and Learning, (1) Division of Early Care and Learning - Child Care Licensing and Administration	GF	\$2,852,805	\$0	\$2,917,801	\$18,890	\$18,890
	CF	\$1,633,856	\$0	\$1,681,003	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$7,297,210	\$0	\$7,347,953	\$29,955	\$29,955

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$135,323,468	\$0	\$135,323,468	\$1,353,235	\$1,353,235
06. Division of Early Childhood, (A) Division of Early Care and Learning, (1) Division of Early Care and Learning - Child Care Assistance Program	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$29,998,226	\$0	\$29,998,226	\$299,982	\$299,982
	CF	\$14,768,652	\$0	\$14,768,652	\$147,687	\$147,687
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$90,556,590	\$0	\$90,556,590	\$905,566	\$905,566
	Total	\$5,956,638	\$0	\$5,648,262	\$28,992	\$28,992
06. Division of Early Childhood, (B) Division of Community and Family Support, (1) Division of Community and Family Support - Early Childhood Mental Health Services	FTE	1.7	0.0	1.7	0.0	0.0
	GF	\$1,379,634	\$0	\$1,570,364	\$11,247	\$11,247
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$4,577,004	\$0	\$4,077,898	\$17,745	\$17,745

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$62,747,158	\$0	\$62,814,882	\$447,331	\$447,331
06. Division of Early Childhood, (B) Division of Community and Family Support, (1)	FTE	7.5	0.0	7.5	0.0	0.0
Division of Community and Family Support - Early Intervention Services	GF	\$36,918,733	\$0	\$36,924,259	\$367,651	\$367,651
	CF	\$10,509,980	\$0	\$10,516,016	\$0	\$0
	RF	\$7,968,022	\$0	\$7,968,022	\$79,680	\$79,680
	FF	\$7,350,423	\$0	\$7,406,585	\$0	\$0
	Total	\$1,287,451	\$0	\$1,289,040	\$11,364	\$11,364
06. Division of Early Childhood, (B) Division of Community and Family Support, (1)	FTE	0.5	0.0	0.5	0.0	0.0
Division of Community and Family Support - Family Support Services	GF	\$1,287,451	\$0	\$1,289,040	\$11,364	\$11,364
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$8,292,755	\$0	\$8,300,993	\$78,587	\$78,587
06. Division of Early Childhood, (B) Division of Community and Family Support, (1)	FTE	2.0	0.0	2.0	0.0	0.0
Division of Community and Family Support - Community-Based Child Abuse Prevention Services	GF	\$8,292,755	\$0	\$8,300,993	\$78,587	\$78,587
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$586,245	\$0	\$586,245	\$5,862	\$5,862
06. Division of Early Childhood, (B) Division of Community and Family Support, (1)	FTE	0.0	0.0	0.0	0.0	0.0
Division of Community and Family Support - Home Visiting for School Readiness	GF	\$586,245	\$0	\$586,245	\$5,862	\$5,862
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$864,773	\$0	\$870,080	\$7,696	\$7,696
06. Division of Early Childhood, (B) Division of Community and Family Support, (1) Division of Community and Family Support - Incredible Years Program	FTE	1.1	0.0	1.1	0.0	0.0
	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$864,773	\$0	\$870,080	\$7,696	\$7,696
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$10,884,791	\$0	\$10,921,180	\$18,624	\$18,624
07. Office of Self Sufficiency, (C) Special Purpose Welfare Programs, (1) Special Purpose Welfare Programs - Refugee Assistance	FTE	10.0	0.0	10.0	0.0	0.0
	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$10,884,791	\$0	\$10,921,180	\$18,624	\$18,624

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$41,544,501	\$0	\$36,294,501	\$280,549	\$280,549
	FTE	0.0	0.0	0.0	0.0	0.0
08. Behavioral Health Services, (B) Mental Health Community Program, (1) Community Program - Mental Health Community Programs	GF	\$28,054,924	\$0	\$28,054,924	\$280,549	\$280,549
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$13,489,577	\$0	\$8,239,577	\$0	\$0
	Total	\$17,139,032	\$0	\$17,139,032	\$171,390	\$171,390
	FTE	0.0	0.0	0.0	0.0	0.0
08. Behavioral Health Services, (B) Mental Health Community Program, (1) Community Program - Assertive Community Treatment Programs	GF	\$17,139,032	\$0	\$17,139,032	\$171,390	\$171,390
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$5,795,078	\$0	\$5,795,078	\$57,951	\$57,951
08. Behavioral Health Services, (B) Mental Health Community Program, (1) Community Program - Mental Health Services for Juvenile and Adult Offenders	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$5,795,078	\$0	\$5,795,078	\$57,951	\$57,951
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$3,130,788	\$0	\$3,130,788	\$31,309	\$31,309
08. Behavioral Health Services, (B) Mental Health Community Program, (1) Community Program - Mental Health Treatment Services for Youth	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$2,578,953	\$0	\$2,578,953	\$25,790	\$25,790
	CF	\$423,357	\$0	\$423,357	\$4,234	\$4,234
	RF	\$128,478	\$0	\$128,478	\$1,285	\$1,285
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$50,000	\$0	\$50,000	\$500	\$500
	FTE	0.0	0.0	0.0	0.0	0.0
08. Behavioral Health Services, (B) Mental Health Community Program, (1) Community Program - Behavioral Health Voucher	GF	\$50,000	\$0	\$50,000	\$500	\$500
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$40,641,682	\$0	\$41,094,058	\$68,527	\$68,527
08. Behavioral Health Services, (C) Substance Use Treatment and Prevention, (1) Treatment Services - Treatment and Detoxification Contracts	FTE	2.1	0.0	2.1	0.0	0.0
	GF	\$14,795,588	\$0	\$14,595,588	\$2,000	\$2,000
	CF	\$6,652,627	\$0	\$7,305,003	\$66,527	\$66,527
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$19,193,467	\$0	\$19,193,467	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$25,806,622	\$0	\$15,806,622	\$158,066	\$158,066
08. Behavioral Health Services, (C)	FTE	0.0	0.0	0.0	0.0	0.0
Substance Use Treatment and Prevention, (1)	GF	\$0	\$0	\$0	\$0	\$0
Treatment Services - Increasing Access to Effective Substance Disorder Services	CF	\$15,806,622	\$0	\$15,806,622	\$158,066	\$158,066
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$10,000,000	\$0	\$0	\$0	\$0
	Total	\$6,418,993	\$0	\$6,418,993	\$368	\$368
08. Behavioral Health Services, (C)	FTE	0.0	0.0	0.0	0.0	0.0
Substance Use Treatment and Prevention, (1)	GF	\$36,828	\$0	\$36,828	\$368	\$368
Treatment Services - Prevention Programs	CF	\$51,149	\$0	\$51,149	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$6,331,016	\$0	\$6,331,016	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$6,168,558	\$0	\$6,168,558	\$10,942	\$10,942
08. Behavioral Health Services, (C)	FTE	0.0	0.0	0.0	0.0	0.0
Substance Use Treatment and Prevention, (1)	GF	\$10,339	\$0	\$10,339	\$0	\$0
Treatment Services - Community Prevention and Treatment Programs	CF	\$2,770,401	\$0	\$2,770,401	\$10,942	\$10,942
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$3,387,818	\$0	\$3,387,818	\$0	\$0
	Total	\$4,602,018	\$0	\$4,602,018	\$46,020	\$46,020
08. Behavioral Health Services, (C)	FTE	0.0	0.0	0.0	0.0	0.0
Substance Use Treatment and Prevention, (1)	GF	\$3,096,845	\$0	\$3,096,845	\$30,968	\$30,968
Treatment Services - Offender Services	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$1,505,173	\$0	\$1,505,173	\$15,052	\$15,052
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$1,865,775	\$0	\$1,865,775	\$18,657	\$18,657
08. Behavioral Health Services, (C)	FTE	0.0	0.0	0.0	0.0	0.0
Substance Use Treatment and Prevention, (1)	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0
Treatment Services - High Risk Pregnant Women Program	RF	\$1,865,775	\$0	\$1,865,775	\$18,657	\$18,657
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$4,000,000	\$0	\$4,000,000	\$40,000	\$40,000
08. Behavioral Health Services, (C)	FTE	1.0	0.0	1.0	0.0	0.0
Substance Use Treatment and Prevention, (1)	GF	\$4,000,000	\$0	\$4,000,000	\$40,000	\$40,000
	CF	\$0	\$0	\$0	\$0	\$0
Treatment Services - Housing Assistance	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$200,000	\$0	\$200,000	\$2,000	\$2,000
08. Behavioral Health Services, (C)	FTE	0.0	0.0	0.0	0.0	0.0
Substance Use Treatment and Prevention, (1)	GF	\$200,000	\$0	\$200,000	\$2,000	\$2,000
Treatment Services - Recovery Residence Certification	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$34,426,188	\$0	\$29,046,188	\$174,034	\$174,034
08. Behavioral Health Services, (D) Integrated Behavioral Health Services, (1) Integrated Behavioral Health Services - Crisis Response System	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$25,369,839	\$0	\$24,989,839	\$133,471	\$133,471
	CF	\$4,056,349	\$0	\$4,056,349	\$40,563	\$40,563
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$5,000,000	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$3,933,577	\$0	\$3,933,577	\$35,908	\$35,908
08. Behavioral Health Services, (D) Integrated Behavioral Health	FTE	0.0	0.0	0.0	0.0	0.0
Services, (1) Integrated Behavioral Health	GF	\$3,590,807	\$0	\$3,590,807	\$35,908	\$35,908
Services - Crisis Response System	CF	\$342,770	\$0	\$342,770	\$0	\$0
Telephone Hotline	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$7,914,874	\$0	\$7,414,874	\$28,195	\$28,195
08. Behavioral Health Services, (D) Integrated Behavioral Health	FTE	0.0	0.0	0.0	0.0	0.0
Services, (1) Integrated Behavioral Health	GF	\$7,414,874	\$0	\$7,414,874	\$28,195	\$28,195
Services - Community Transition Services	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$500,000	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$7,363,860	\$0	\$7,368,990	\$57,729	\$57,729
08. Behavioral Health Services, (D) Integrated Behavioral Health	FTE	2.3	0.0	2.3	0.0	0.0
Services, (1) Integrated Behavioral Health	GF	\$1,590,927	\$0	\$1,590,927	\$0	\$0
Services - Criminal Justice Diversion Programs	CF	\$5,772,933	\$0	\$5,778,063	\$57,729	\$57,729
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$19,653,000	\$0	\$14,742,835	\$126,721	\$126,721
08. Behavioral Health Services, (D) Integrated Behavioral Health	FTE	0.0	0.0	0.0	0.0	0.0
Services, (1) Integrated Behavioral Health	GF	\$7,370,295	\$0	\$7,460,130	\$56,390	\$56,390
Services - Jail-based Behavioral Health Services	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$7,282,705	\$0	\$7,282,705	\$70,331	\$70,331
	FF	\$5,000,000	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$8,326,221	\$0	\$8,326,221	\$57,306	\$57,306
08. Behavioral Health Services, (D) Integrated Behavioral Health	FTE	0.0	0.0	0.0	0.0	0.0
Services, (1) Integrated Behavioral Health	GF	\$595,608	\$0	\$595,608	\$0	\$0
Services - Circle and Other Rural Prog for Cooccur Disorders	CF	\$5,730,613	\$0	\$5,730,613	\$57,306	\$57,306
	RF	\$2,000,000	\$0	\$2,000,000	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$1,600,000	\$0	\$1,600,000	\$16,000	\$16,000
08. Behavioral Health Services, (D) Integrated Behavioral Health	FTE	1.0	0.0	1.0	0.0	0.0
Services, (1) Integrated Behavioral Health	GF	\$1,600,000	\$0	\$1,600,000	\$16,000	\$16,000
Services - Recovery Support Services Grant Program	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$5,687,692	\$0	\$11,791,273	\$56,877	\$56,877
08. Behavioral Health Services, (D) Integrated Behavioral Health Services, (1) Integrated Behavioral Health Services - 9-8-8 National Suicide Prevention Lifeline Network	FTE	1.9	0.0	2.0	0.0	0.0
	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$5,687,692	\$0	\$11,791,273	\$56,877	\$56,877
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$1,660,000	\$0	\$2,920,000	\$16,600	\$16,600
08. Behavioral Health Services, (B) Mental Health Community Program, (1) Community Program - Veteran Suicide Prevention Pilot Program	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$1,660,000	\$0	\$2,920,000	\$16,600	\$16,600
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$23,487,985	\$0	\$23,996,039	\$56,785	\$56,785
	FTE	216.2	0.0	216.2	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (1) Mental Health Institutes - Ft. Logan - Personal Services	GF	\$21,751,757	\$0	\$22,247,708	\$56,785	\$56,785
	CF	\$1,642,140	\$0	\$1,654,243	\$0	\$0
	RF	\$94,088	\$0	\$94,088	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$815,297	\$0	\$815,297	\$600	\$600
	FTE	0.0	0.0	0.0	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (1) Mental Health Institutes - Ft. Logan - Contract Medical Services	GF	\$815,297	\$0	\$815,297	\$600	\$600
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$97,177,601	\$0	\$99,379,415	\$220,987	\$220,987
	FTE	1,056.2	0.0	1,056.2	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (2)	GF	\$85,768,247	\$0	\$87,951,385	\$220,987	\$220,987
Mental Health Institutes - Pueblo - Personal Services	CF	\$3,755,180	\$0	\$3,773,856	\$0	\$0
	RF	\$7,654,174	\$0	\$7,654,174	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$3,384,664	\$0	\$3,384,664	\$1,800	\$1,800
	FTE	0.0	0.0	0.0	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (2)	GF	\$3,384,664	\$0	\$3,384,664	\$1,800	\$1,800
Mental Health Institutes - Pueblo - Contract Medical Services	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$7,669,525	\$0	\$7,860,228	\$15,246	\$15,246
	FTE	77.1	0.0	77.1	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (3) Forensic Services - Court Services	GF	\$7,669,525	\$0	\$7,860,228	\$15,246	\$15,246
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$3,466,819	\$0	\$3,511,970	\$14,720	\$14,720
	FTE	20.4	0.0	20.4	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (3) Forensic Community-based Services	GF	\$3,466,819	\$0	\$3,511,970	\$14,720	\$14,720
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$13,753,286	\$0	\$13,764,300	\$140,138	\$140,138
	FTE	4.3	0.0	4.3	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (3) Forensic Services - Jail-based Competency Restoration Program	GF	\$13,753,286	\$0	\$13,764,300	\$140,138	\$140,138
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$3,335,351	\$0	\$3,336,302	\$75,948	\$75,948
	FTE	1.0	0.0	1.0	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (3) Forensic Services - Purchased Psychiatric Bed Capacity	GF	\$3,335,351	\$0	\$3,336,302	\$75,948	\$75,948
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$3,701,882	\$0	\$3,708,114	\$29,965	\$29,965
	FTE	1.0	0.0	1.0	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (3) Forensic Services - Outpatient Competency Restoration Program	GF	\$3,701,882	\$0	\$3,708,114	\$29,965	\$29,965
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$18,623,424	\$0	\$18,618,424	\$186,234	\$186,234
	FTE	0.0	0.0	0.0	0.0	0.0
10. Adult Assistance Programs, (E) Adult Protective Services, (1) Adult Protective Services - Adult Protective Services	GF	\$12,758,620	\$0	\$12,753,620	\$127,586	\$127,586
	CF	\$3,723,685	\$0	\$3,723,685	\$37,237	\$37,237
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$2,141,119	\$0	\$2,141,119	\$21,411	\$21,411

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$12,791,872	\$0	\$13,009,013	\$44,829	\$44,829
	FTE	84.2	0.0	84.2	0.0	0.0
11. Division of Youth Services, (B)	GF	\$12,791,872	\$0	\$13,009,013	\$44,829	\$44,829
Institutional Programs, (1) Institutional	CF	\$0	\$0	\$0	\$0	\$0
Programs - Medical Services	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$8,248,874	\$0	\$8,377,504	\$28,923	\$28,923
	FTE	44.1	0.0	44.1	0.0	0.0
11. Division of Youth Services, (B)	GF	\$7,898,869	\$0	\$8,004,622	\$28,923	\$28,923
Institutional Programs, (1) Institutional	CF	\$0	\$0	\$0	\$0	\$0
Programs - Educational Programs	RF	\$350,005	\$0	\$350,005	\$0	\$0
	FF	\$0	\$0	\$22,877	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$8,301,639	\$0	\$8,301,639	\$32,679	\$32,679
	FTE	0.0	0.0	0.0	0.0	0.0
11. Division of Youth Services, (C)	GF	\$7,221,164	\$0	\$7,221,164	\$26,952	\$26,952
Community Programs, (1) Community Programs - Purchase of Contract Placements	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$572,669	\$0	\$572,669	\$5,727	\$5,727
	FF	\$507,806	\$0	\$507,806	\$0	\$0
	Total	\$1,527,233	\$0	\$1,527,233	\$15,273	\$15,273
	FTE	0.0	0.0	0.0	0.0	0.0
11. Division of Youth Services, (C)	GF	\$1,489,855	\$0	\$1,489,855	\$14,899	\$14,899
Community Programs, (1) Community Programs - Managed Care Pilot Project	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$37,378	\$0	\$37,378	\$374	\$374
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$15,527,376	\$0	\$15,527,376	\$153,153	\$153,153
11. Division of Youth Services, (C)	FTE	0.0	0.0	0.0	0.0	0.0
Community Programs, (1) Community Programs - Colorado	GF	\$12,403,061	\$0	\$12,403,061	\$122,913	\$122,913
Youth Detention Continuum S.B. 91-094	CF	\$3,124,315	\$0	\$3,124,315	\$30,240	\$30,240
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request **Interagency Approval or Related Schedule 13s:** Impacts HCPF Medicaid

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUESTS: The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report that displays the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will show you how the report performed. If you require troubleshooting assistance, a copy of this cover page will be necessary. Please see the Helpful Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports</p> <p>*** Query Name SF 00 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 15454 Review Duplicate Row: ON</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTTY Review Duplicate Row: ON</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name SD CV Appr *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name Prog_Base *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p>	
<p>Summary of Each Query</p> <p>*** Query Name SF 00 ***</p> <p>*** Query Properties: *** Universal PB - Budget Form Last Refresh Date: 10/25/21 5:37 PM Last Execution Duration: 4 Number of rows: 53 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (CO_RV_REQUESTS; CO_RV_REQUESTS; ED) AND: Header Parent Organization Code In List () AND: Header Organization External Level Equal 2 AND: Budget Line Program Consolidation Code Equal PROGRAM_ALL AND: Budget Line Parent Program External Level Equal 6 AND: Budget Line Program External Level Equal 7 AND: But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND: But Lr Parent Fund Type External Level Equal 1 AND: Budget Line Fund Consolidation Code Equal ALL_FUNDS AND: Budget Line Fund External Level Equal 2 AND: Budget Request Code Equal (15454)))</p> <p>*** Query Name SF 060 ***</p> <p>*** Query Properties: *** Universal PB - Budget Form Last Refresh Date: 10/25/21 5:37 PM Last Execution Duration: 1 Number of rows: 0 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (060_CV_SUPPLEMENTALS; 060_CV_SUPPLEMENTAL; EO) AND: Header Org Consolidation Code Equal ALL_ORGS AND: Header Parent Organization Code In List () AND: Header Organization External Level Equal 2 AND: Budget Line Program Consolidation Code Equal PROGRAM_ALL AND: Budget Line Parent Program External Level Equal 6 AND: Budget Line Program External Level Equal 7 AND: But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND: But Lr Parent Fund Type External Level Equal 1 AND: Budget Line Fund Consolidation Code Equal ALL_FUNDS AND: Budget Line Fund External Level Equal 2 AND: Budget Request Code Equal (EMPTTY)))</p> <p>*** Query Name SF 010 020 ***</p> <p>*** Query Properties: *** Universal PB - Budget Form Last Refresh Date: 10/25/21 5:37 PM Last Execution Duration: 5 Number of rows: 342 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (060_CV_SUPPLEMENTALS; 060_CV_SUPPLEMENTAL; EO; ADMIN_ONLY; 1) AND: Header Org Consolidation Code Equal ALL_ORGS AND: Header Parent Organization Code In List () AND: Header Organization External Level Equal 2 AND: Budget Line Program Consolidation Code Equal PROGRAM_ALL AND: Budget Line Parent Program External Level Equal 6 AND: Budget Line Program External Level Equal 7 AND: But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND: But Lr Parent Fund Type External Level Equal 1 AND: Budget Line Fund Consolidation Code Equal ALL_FUNDS AND: Budget Line Fund External Level Equal 2 AND: Budget Request Code Equal (EMPTTY)))</p> <p>*** Query Name SD CV Appr ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:37 PM Last Execution Duration: 3 Number of rows: 285 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND: Organization Consolidation Code Equal ALL_ORGS AND: Parent Org Code In List () AND: Organization External Level Equal 2 AND: Program Consolidation Code Equal PROGRAM_ALL AND: Program External Level Equal 7 AND: Fund Type Consolidation Code Equal FUND_TYPE_CON AND: Parent Fund Type Code Equal TOTAL AND: Fund Type External Level Equal 3))</p> <p>*** Query Name Prog_Base ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:37 PM Last Execution Duration: 15 Number of rows: 614 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND: Parent Org Code In List () AND: Organization External Level Equal 2 AND: Program Consolidation Code Equal PROGRAM_ALL AND: Program External Level Equal 7 AND: Fund Type Consolidation Code Equal FUND_TYPE_CON AND: Parent Fund Type Code Equal TOTAL AND: Fund Type External Level Equal 3 AND: Time Period Code In List (CV_APPR; RV_BASE)))</p> <p>*** Query Name Prog LVLS ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:37 PM Last Execution Duration: 15 Number of rows: 1,003 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND: Parent Prog External Level Equal 5 AND: Program External Level Equal 7))</p> <p>*** Query Name Prog LVLS ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:37 PM Last Execution Duration: 1 Number of rows: 1,003 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND: Parent Prog External Level Equal 4 AND: Program External Level Equal 7))</p> <p>*** Query Name: Time Period Labels ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:37 PM Last Execution Duration: 1 Number of rows: 18 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Time Period Code, Time Period Report Column Label 1, Time Period Report Column Label 2, Time Period Report Column Label 3 Filters: Time Period Code In List (CV_APPR; RV_BASE; RV_M0V1; VR2)))</p>	

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- August 2021
- Rebuilt with new cover page

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*** Filter on Report Schedule 13 ***

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- Filter on Stock Transfer/Program Line/Case: Budget Request Code is Not Null

*** Filter on Report Cover Page ***

No Filter on Cover Page

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-06 Facilities Management Operating Increase

Dept. Approval By: _____ Supplemental FY 2021-22
 OSPB Approval By: _____ Budget Amendment FY 2022-23
 _____ X _____ Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$67,492,557	\$0	\$69,686,575	\$1,644,760	\$1,303,728
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$3,012,867	\$0	\$3,012,867	\$851,200	\$510,168
	CF	\$9,204,890	\$0	\$9,523,263	\$58,143	\$57,904
	RF	\$19,935,796	\$0	\$20,625,971	\$513,353	\$513,353
	FF	\$35,339,004	\$0	\$36,524,474	\$222,064	\$222,303

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$876,578	\$0	\$907,234	\$5,514	\$5,514
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (C) Indirect Costs, (1) Indirect Costs - Indirect Cost Assessment	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$716,154	\$0	\$740,915	\$4,505	\$4,505
	RF	\$138,803	\$0	\$143,973	\$873	\$873
	FF	\$21,621	\$0	\$22,346	\$136	\$136

	Total	\$4,417,294	\$0	\$4,417,294	\$1,247,980	\$906,948
	FTE	0.0	0.0	0.0	0.0	0.0
03. Office of Operations, (A) Administration, (1) Administration - Operating Expenses	GF	\$3,012,867	\$0	\$3,012,867	\$851,200	\$510,168
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$1,404,427	\$0	\$1,404,427	\$396,780	\$396,780
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$276,004	\$0	\$285,626	\$1,766	\$1,736
	FTE	0.0	0.0	0.0	0.0	0.0
03. Office of Operations, (C) Indirect Cost Assessment, (1) Indirect Cost	GF	\$0	\$0	\$0	\$0	\$0
Assessment - Indirect Cost Assessments	CF	\$246,263	\$0	\$254,776	\$1,579	\$1,549
	RF	\$29,741	\$0	\$30,850	\$187	\$187
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$11,896,909	\$0	\$12,296,396	\$74,838	\$74,838
	FTE	0.0	0.0	0.0	0.0	0.0
05. Division of Child Welfare, (A) Division of Child Welfare, (1) Division of Child Welfare - Indirect Cost Assessment	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$101,708	\$0	\$105,252	\$640	\$640
	RF	\$62,515	\$0	\$64,843	\$393	\$393
	FF	\$11,732,686	\$0	\$12,126,301	\$73,805	\$73,805

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$3,839,745	\$0	\$3,968,753	\$24,154	\$24,154
	FTE	0.0	0.0	0.0	0.0	0.0
06. Division of Early Childhood, (C) Indirect Cost Assessment, (1) Indirect Cost	GF	\$0	\$0	\$0	\$0	\$0
Assessment - Indirect Cost Assessment	CF	\$193,702	\$0	\$200,394	\$1,218	\$1,218
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$3,646,043	\$0	\$3,768,359	\$22,936	\$22,936
	Total	\$24,167,712	\$0	\$24,999,898	\$152,030	\$152,030
	FTE	0.0	0.0	0.0	0.0	0.0
07. Office of Self Sufficiency, (F) Indirect Cost Assessment, (1) Indirect Cost	GF	\$0	\$0	\$0	\$0	\$0
Assessment - Indirect Cost Assessment	CF	\$119,011	\$0	\$123,123	\$749	\$749
	RF	\$5,846,444	\$0	\$6,063,958	\$36,778	\$36,778
	FF	\$18,202,257	\$0	\$18,812,817	\$114,503	\$114,503

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$6,753,934	\$0	\$6,990,204	\$42,486	\$42,486
08. Behavioral Health Services, (F) Indirect Cost Assessment, (1) Indirect Cost Assessment - Indirect Cost	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$3,670,381	\$0	\$3,797,413	\$23,089	\$23,089
	RF	\$1,519,464	\$0	\$1,576,229	\$9,558	\$9,558
	FF	\$1,564,089	\$0	\$1,616,562	\$9,839	\$9,839
	Total	\$14,972,964	\$0	\$15,519,847	\$94,189	\$94,189
09. Services for People with Disabilities, (E) Indirect Cost Assessment, (1) Indirect Cost Assessment - Indirect Cost	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$4,030,937	\$0	\$4,170,275	\$25,357	\$25,357
	RF	\$10,934,402	\$0	\$11,341,691	\$68,784	\$68,784
	FF	\$7,625	\$0	\$7,881	\$48	\$48

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$164,741	\$0	\$170,269	\$797	\$1,036
	FTE	0.0	0.0	0.0	0.0	0.0
10. Adult Assistance Programs, (F) Indirect Cost Assessment, (1) Indirect Cost	GF	\$0	\$0	\$0	\$0	\$0
Assessment - Indirect Cost Assessment	CF	\$58	\$0	\$61	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$164,683	\$0	\$170,208	\$797	\$1,036
	Total	\$126,676	\$0	\$131,054	\$1,006	\$797
	FTE	0.0	0.0	0.0	0.0	0.0
11. Division of Youth Services, (D) Indirect Costs, (1) Indirect Costs - Indirect Costs	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$126,676	\$0	\$131,054	\$1,006	\$797
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request

**Interagency Approval or
Related Schedule 13s:**

Impacts HCPF Medicaid

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUEST. The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report. Budget amounts, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will show you how the report performed. If you require troubleshooting assistance, a copy of this cover page will be necessary. Please see the Helpful Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports</p> <p>*** Query Name SF 000 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 15012 Review Duplicate Row: ON</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTTY Review Duplicate Row: ON</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name SD CV Appr *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name Prog_Base *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p>	
<p>Summary of Each Query</p> <p>*** Query Name SF 000 ***</p> <p>Query Properties: Universal PB - Budget Form Last Refresh Date: 10/25/21 5:38 PM Last Execution Duration: 4 Number of rows: 28 Review Duplicate Row: ON</p> <p>Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_REQUESTS; SD_RV_REQUESTS; ED) AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Parent Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (15012)))</p> <p>*** Query Name SF 060 ***</p> <p>Query Properties: Universal PB - Budget Form Last Refresh Date: 10/25/21 5:38 PM Last Execution Duration: 1 Number of rows: 0 Review Duplicate Row: ON</p> <p>Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (060_CV_SUPPLEMENTALS; 060_CV_SUPPLEMENTAL; EO) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Parent Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTTY)))</p> <p>*** Query Name SF 010 020 ***</p> <p>Query Properties: Universal PB - Budget Form Last Refresh Date: 10/25/21 5:38 PM Last Execution Duration: 4 Number of rows: 513 Review Duplicate Row: ON</p> <p>Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_BASE; 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RV_BASE)))</p> <p>*** Query Name Prog_VLVS ***</p> <p>Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:38 PM Last Execution Duration: 1 Number of rows: 1,003 Review Duplicate Row: ON</p> <p>Query Definition: Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 4 AND Program External Level Equal 7))</p> <p>*** Query Name Time Period Labels ***</p> <p>Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:38 PM Last Execution Duration: 1 Number of rows: 18 Review Duplicate Row: ON</p> <p>Query Definition: Result Objects: Time Period Code, Time Period Report Column Label 1, Time Period Report Column Label 2, Time Period Report Column Label 3 Filters: Time Period Code In List (CV_APPR; RV_BASE; RV_M0V1; VR2)))</p>	
<p>Report Errors</p> <p>*** Filter on Report Schedule 13 ***</p> <p>No Filter on Budget Request Code Filter on Section Request Program Code: ZembaCheck SECTION Not Equal ALLColumnsEmpty Filter on Stock RenewalProgramLineName: Budget Request Code is Not Null</p> <p>*** Filter on Report Cover Page ***</p> <p>No Filter on Cover Page</p>	

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Recent Updates to Schedule 13

- August 2021
- Rebuilt with new cover page



Department Priority: R-06
Request Detail: Facilities Management Operating Increase

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$4,417,294	\$1,247,980	\$1,995,960
FTE	0.0	0.0	0.0
General Fund	\$3,012,867	\$851,200	\$1,361,368
Reappropriated Funds	\$1,404,427	\$396,780	\$634,592
Federal Funds	\$0	\$0	\$0

Summary of Request

The Department of Human Services (DHS) is requesting \$1,247,980 total funds including increases of \$851,200 General Fund and \$396,780 reappropriated funds in FY 2022-23. The request annualizes to \$1,995,960 total funds, including \$1,361,368 General Fund and \$634,592 reappropriated funds, in FY 2023-24. This funding is requested to address inflationary and other cost increases in the DHS Office of Operations Operating Line (3A). The appropriation to support this line has remained flat year after year, while the resources necessary to support such a large and diverse agency have increased significantly.

Cost increases, most notably in the goods and services necessary to support the department’s extensive facility portfolio, are preventing DHS from adequately maintaining these facilities. These increases force the department to defer routine maintenance and repairs and potentially create much larger, expensive projects when systems fail. In addition, a shifting business landscape and departmental improvement initiatives have created an increased need for goods and services to effectively support the rest of DHS’s programmatic operations. These additional funds will allow AdSol to keep pace with increasing demands and appropriately support the agency’s facilities and programmatic units.

Current Program

The Office of Operations budget lines fund the Office of Administrative Solutions (AdSol), which provides a wide array of management and support functions for the department, including Business Technology (Business Innovation, Technology and Security), Administrative Law and Quality Assurance, Project Management, Accounting and Finance, Contracts and Procurement, Communications, Emergency Management, Leadership and Organizational Development, Facilities Management, and overall supervision of AdSol. The Personal Services line supports over 500 employees, while the Operating Expenses line funds the goods and services that support and supplements those staff and the department as a whole.

The largest unit in AdSol is the Division of Facilities Management (DFM). The DFM comprises and consumes the majority of the AdSol operating dollars with an annual base operating budget of \$3.3 million. The DFM provides maintenance and housekeeping for more than 3.5 million square feet of space, spread over 1,500 acres and over 330 buildings at 20 campuses/sites throughout Colorado. More than 80% of this space is in 24/7 residential care facilities. This support function is directly impacted by construction cost escalations, and the specialized maintenance and housekeeping needs for the 24/7 programs under the aegis of DHS. Rising costs on goods and services over the years have created severe challenges for the DFM in their support of DHS programs and facilities.

The share of the operating appropriation budgeted to the DFM is used to provide facility management services including maintenance and operations for all the physical assets owned and operated by the department, the majority of which are direct care residential programs for behavioral health patients at the Mental Health Institutes, juveniles in detention and commitment facilities via the Division of Youth Services, Veterans Community Living Centers and Regional Centers for individuals with intellectual disabilities. The average age of the DHS facilities is over 65 years, and the average facility condition index (FCI) is 67 percent (which is well below the target of 85 percent, the industry standard). The Current Replacement Value (CRV) for all the facilities is \$835,051,371.

The remainder of the AdSol operating budget is devoted to supporting the AdSol units of Business Innovation, Technology and Security; Administrative Law; Project Management; Accounting and Finance; Contracts and Procurement; Communications; Leadership and Organizational Development; and Emergency Risk Management. These resources also support other centrally provided services including Human Resources, Performance Management, and Audit.

Problem or Opportunity

For years, DHS, through the Office of Operations funding line, has provided these functions without an adjustment based on inflation or any of the commonly used cost indices. The only increases to the funding line have been specific to budget requests for one time adjustments for special needs and projects rather than base building increases, and therefore cannot be used to finance overall cost increases. This is not unique to DHS nor state agencies as a whole, but the support portfolio provided by this line as well as the overall size of the agency makes it unsustainable to function long term without increased funding. DHS is one of the state's largest departments (top five in both budget and FTE), as well as one of the most diverse. A large and complex organization such as DHS requires a wide range of evolving administrative support.

The cost of the goods and services used by the DFM to maintain DHS's facilities has increased steadily and significantly over the years. Cost of materials such as lumber, aluminum, copper and housekeeping supplies as well as vendor services for maintenance of facilities have increased exponentially as noted in the DFM impacts section below as well as in Table 2. High volume housekeeping supplies and materials have almost doubled in the last five years. A variety of the most commonly purchased vendor services (listed on page 6) have increased 143% during that same period. Tariffs and supply chain disruptions have caused the cost of many construction materials to skyrocket. The department's 24/7 facilities house and care for some of Colorado's most vulnerable populations. Caring for these populations requires specialized facilities that have maintenance and housekeeping needs that are far beyond those needed for typical commercial space. The goods and services needed to support these facilities are themselves specialized and therefore experience more cost volatility, since there are fewer vendors and suppliers. Some of these goods and services are described and highlighted below. These increases, without additional dollars to offset them, have forced the DFM to make cuts to the very maintenance and repair activities they exist to provide. The rising costs for these goods and services force DHS to cut back on repairs and maintenance, and therefore address only the highest priority items while deferring many others. This forced strategy means that what would have been a simple and inexpensive preemptive fix can lead to major repair projects. As an example, stopgap plumbing repairs might work short term but when a fixture or pipe bursts, the time, disruption of service and remediation consume a significant amount of staff time and money. Without the appropriate level of maintenance, facilities then fall further into disrepair, creating a cyclical effect that only worsens over time.

DHS has undertaken a number of initiatives aimed at increasing the overall efficiency and productivity of the Department. To this end, AdSol has in recent years created or strengthened units to provide coordinated, homogeneous support to the five programmatic offices. The services provided through the AdSol include Business Innovation, Technology and Security; Administrative Law; Project Management; Accounting and Finance; Contracts and Procurement; Communications; and Emergency Risk Management. Centralizing these functions allows for an increased level of expertise and cost savings. The largest cost driver in creating or expanding business

units is the employee payroll. Staffing in these areas is funded through the 000 Personal Services line. DHS allocated the personnel resources to the new or enhanced units without an increase to the appropriation but rather through thoughtful and deliberate reallocation. However, the operational costs associated with these units and services have grown over time based on the department’s needs. Today, a vast array of productivity tools are available and commonplace in business (private and public) that allow organizations to increase efficiency and be more effective. The dollars needed to employ these tools at the scale necessary to support a large organization with over 5,000 employees force DHS into difficult decisions and result in cost cutting in other areas.

The Division of Facilities Management (DFM) Impacts

The most significant cost increases have occurred in the area of Facilities Management, where DHS is faced with maintaining aging buildings and infrastructure on a fixed budget, within a landscape of evolving standards of care, more stringent regulatory requirements and certification standards that mandates a therapeutic environment, by lessening the institutional nature and experience of the facilities, while maintaining critical care and providing a quality of life for our clients.

Table 1 lists the Average Building Age, Average Facility Condition Index (FCI), total Gross Square Foot (GSF) and total Current Replacement Value (CRV) of DHS facilities since 2015, with the total Gross Square Foot (GSF) noted for the last five years. CRV values are as reported by the Office of the State Architect (OSA) and State Risk Management. As seen in the table below, the GSF and FCI have remained fairly steady over the years. The uptick in CRV in 2021 can be attributed to the addition of newly constructed facilities.

Table 1: Fiscal Year Facility Reporting					
Year Reported	Fiscal Year	Avg Building Age	Avg FCI	Total GSF	Total CRV
2015	2016-17	63	63.8%		\$ 747,302,120
2016	2017-18	63	66.3%		\$ 754,400,000
2017	2018-19	61.6	69.0%	3,586,189	\$ 644,505,949
2018	2019-20	62.6	68.5%	3,586,066	\$ 638,893,864
2019	2020-21	69.28	68.9%	3,580,729	\$ 633,453,926
2020	2021-22	64.72	69.0%	3,543,905	\$ 624,561,129
2021	2022-23	65.37	67.0%	3,583,556	\$ 835,051,371

While the FCI appears stable based on this data, two factors must be considered. The department has added new and remodeled square footage that skews the current average, and deferred maintenance takes time to manifest its impact in the FCI.

The DFM operating dollars are appropriated for department-owned and operated facilities (inclusive of vacant facilities), but does not include DHS leased facilities. DHS faces significant challenges within the different types of facilities that affect the ability to maintain the facilities and also affects the ability to hire staff to support those facilities.

Examples of the challenges faced by the DFM due to the clients, patients and residents DHS serves are:

- client damage in a DYS facility. The cost to repair broken glass in a sleeping room door for the glass material alone is approximately \$1,200 per event;
- client damage in the regional center system. For example, damage to a bay window at a facility required full replacement of the bay window assembly; and,
- residents and clients flush towels and toiletries into the sewer systems causing damage not only to the sewer lines but also causing flooding.

DFM experiences work orders and costs associated with daily specific client damage; programs in their specific capital requests are attempting to address larger programmatic risk needs which do not address the day to day wear and tear needs. While the Division cannot speculate on anticipated client initiated damage, with existing facilities having the same vulnerabilities, the likelihood of client damage reduction seems unlikely. With the market costs of materials and labor rising annually and the continued damage from residents, the DFM is challenged to ensure that the asset is maintained and the facilities are secure and safe for staff and residents.

The patient mix has changed over the years and has resulted in increased maintenance at many facilities. Clients with increased acuity levels have contributed to an increase in client damage and additional safety concerns.

With DFM's inability to absorb the increasing costs to provide these additional needs and services given its current operating funds, the DFM and programs have resorted to partnering to keep facilities safe and operational. When expensive repairs arise that DFM cannot address within their limited resources, the costs are passed to the programmatic area. This often results in deciding between a quick stopgap facility fix or providing programming for the residents, neither of which results in an optimal outcome.

Cost sharing with programs for facility upkeep due to increasing costs has led to:

- band aid repairs where replacements are needed; and
- use of programmatic funding to supplement maintenance and repair, taking away from the needs of clients, patients and residents.

The majority of Operating Expenses are goods and services related to facility repair and maintenance. The growth of these costs outpace the normal growth of the Consumer Price Index (CPI). Rising costs in this arena have necessitated an approach where only the highest priority items are addressed. Without proper routine maintenance, DHS facilities require more service requests, which require more funding, resulting in a cyclical problem of increasing proportions.

Examples of commonly used materials and supplies include:

- lumber (exponential growth - increase from 110 percent to 310 percent);
- copper piping and wiring (exponential growth - increase from 30 percent to 45 percent);
- paper products (tissue, paper towels, etc.);
- thermostatic mixing valves (38 percent increase);
- window and door glazing; and
- housekeeping chemicals.

The costs of these items have increased dramatically in the last five years. Housekeeping and grounds supply costs have also risen significantly. While the cost increases due to shortages and supply chain issues over the last two years are well documented, these costs were increasing sharply and steadily well before the pandemic. An analysis of high volume supplies/materials in Housekeeping shows a cost increase of 91.22% from FY 2014-15 to FY 2019-20.

DFM vendor services include, but are not limited to:

- asbestos abatement;
- elevator and lift service and inspections;
- fire extinguisher inspections;
- grease waste disposal;
- kitchen hood inspections;
- medical waste disposal;
- Pest control;
- recycling;
- trash waste disposal;
- landscaping services;
- IT maintenance; and
- specialized equipment repair.

An analysis of DFM overall expenditures reveals that the costs for these services have increased 143% from FY 2014-15 to FY 2019-20. These services are necessities that cannot be discontinued/delayed. In order to accommodate these increasing costs, cuts have to be made in discretionary areas, like routine maintenance and repairs. This method of dealing with a lack of resources is not sustainable and not cost effective. Table 2 below represents a partial population of data collected from the DFM-Southern District showing outsourced vendor costs over a six year period:

Table 2: Historical Vendor Cost Increases							
Vendor Type	2015	2016	2017	2018	2019	2020	% Increase
Commercial Kitchen Hood Cleaning	No Data	\$11,970	\$12,250	\$11,300	\$34,650	\$16,800	40.35%
Flooring Repair	No Data	\$4,215	\$18,794	\$16,873	\$10,018	\$17,386	312.48%
Concrete repairs	\$59,047	\$51,009	\$69,227	\$55,355	\$45,462	\$94,077	59.32%
Elevator Maintenance	\$35,169	No Data	\$3,228	\$62,825	\$74,119	\$45,684	29.90%
Trash Removal	\$35,118	\$67,425	\$69,248	\$71,221	\$73,250	\$75,603	115.28%

Note: Data in this table represents only a sampling of vendor expenses.

Beyond the regular maintenance and housekeeping services provided by DFM through the annual operating appropriation, the agency submits annual Capital Construction (CC), Capital Renewal (CR), and Controlled Maintenance (CM) requests for DHS facilities. These are the mechanisms for any necessary major facility improvements. None of the capital improvement appropriations come with on-going maintenance funds to support the upgrades and improvements. If an estimate of useful life of components within a secure facility is ten years then an average of ten percent of the appropriation is required every year just to maintain and replace the upgrades as they failed or were destroyed, not accounting for the remaining facility components untouched by the capital investment.

DHS, like all state agencies, receives funding for only a portion of the CM requests for a given fiscal year. Unfunded CM requests become deferred maintenance. The properties/projects that do not receive funding experience increased equipment failure and facility repairs that must be addressed with the DFM operating budget. These types of maintenance are dubbed “special requests” (SRs) by the DFM and are more immediate and expensive than other work orders.

Aging infrastructure needs are out-pacing funded projects. A rule of thumb in the industry is to allocate between one to two percent of the CRV for CM, annually. Except for special years and cases, such as the S.B. 17-267 funding, DHS has averaged less than one percent of the CRV annually in CM appropriations for over two decades, which has added to the deferred maintenance backlog significantly. Spontaneous failures are becoming more frequent and consuming more emergency funds to repair the failures, which in turn impacts available operating funds for regular maintenance.

A clear example of the effect of this deferred maintenance is the huge increase in the number of SRs processed by DFM. SRs are program-initiated work orders for the

addition of new fixtures, furnishings, and equipment not currently in place and/or part of the negotiated agreement between DFM and the agency. These generally have a higher fiscal impact than other work order types such as PMs (Preventive Maintenance) and ROs (Routine Work Orders). SRs increased by 390.6\$ from FY 2014-15 to FY 2019-20.

DHS has and continues to undertake many sustainability measures in keeping with its mission and goals for the wellbeing, welfare and safety of all clients, staff, and the environment. These additional initiatives are funded through the annual operating funds available. Some of these are noted below:

- recycling - estimated annual cost of \$50,000 per year, and
- solar arrays - currently two owned arrays online and at least one additional array in the works. Estimated annual maintenance for these is \$3,000; and Electric vehicles and infrastructure - ongoing maintenance of EV Charging Stations is an added operating cost; estimated at \$8,000 annually for 32 ports.

Benchmarking:

DFM has commissioned the CPI Group to benchmark its costs compared to a blended industry standard roughly every four years. The last benchmarking study was conducted in 2018. The CPI Group (a consultant whose expertise is in conducting benchmarking studies and analysis of facility management groups and services) used International Facility Management Association (IFMA), Building Owners and Managers Association International (BOMA), and Whitestone/CostLab reference data (Whitestone provides life cycle cost intelligence to the world's largest property owners and management firms; CostLab is an online cost reference tool that helps facility professionals estimate operating costs and justify facility budgets). The IFMA reports use rentable square footage (RSF), and was last compiled in 2009. The BOMA report was compiled in 1996 and no update has been released. The reference data used in the 2018 study utilizes the Whitestone/CostLab data which was localized to Colorado.

Significant trends have been identified that show a continual decline of resources and operating funds which have a corresponding impact on facilities. The crucial factor not addressed by the benchmark study is that most of DHS's facilities were built when both program delivery and the populations served were significantly less challenging. While DHS understands that replacement of facilities is the optimal long-term strategy, repairing and maintaining existing facilities is a must and cannot be adequately met with the current operating funds.

When the DFM operational cost per square foot was compared to the industry benchmarks, the following observations were made:

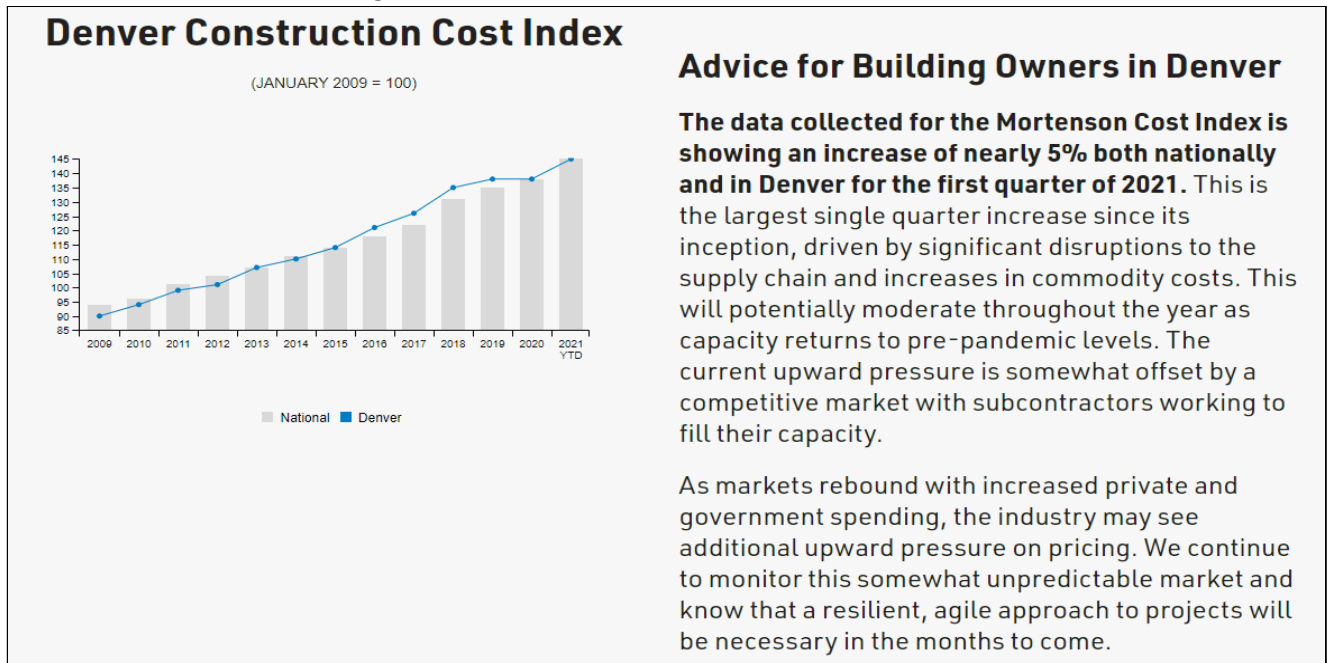
- DFM operating dollars were 10.3% lower than Whitestone's office benchmark;
- DFM operating dollars were 73.8% lower than Whitestone's hospital benchmark;
- CPI found that according to the Economic Consumer Index (ECI), costs rose by 20% from 2014 to 2018;

- When CostLab benchmarks by building type are considered, the DFM cost at \$6.73/sqft for maintenance and grounds is 73.8% lower than the hospital benchmark \$11.70/sqft. DFM costs are 10.3% lower when the general office building benchmark at \$7.42/sqft is considered.

The DFM PM (Preventive Maintenance) plan was taken from the RS Means (a construction cost estimating database and publication) recommended maintenance per type of equipment. CPI compared this plan to the recommended PM listed by Whitestone Research (which in turn is taken from the US Army Corps of Engineers PM plan). In all cases examined, the DFM PM plan included all of the activities shown by the benchmark. DFM is performing these PM activities with greater frequency due to the age of the buildings and intolerance for failures that would close buildings. CPI also found that the DFM housekeeping plan tasks are being performed more frequently than the BOMA benchmark. This can be attributed to DFM’s building occupants and the overall building age.

In addition to the 2018 Benchmark report, the graph below shows the current Construction Cost index (CCI). A comparison between 2015 and 2021 demonstrates cost increases of 26.9 percent.

Figure 1: Denver Construction Cost Index



AdSol Management & Support Unit Impacts

Conducting operations year after year without a funding increase has challenged other AdSol units in multiple ways. Perhaps the most obvious is in the area of technology. Ten years ago, the agency had one FTE devoted to overseeing the business technology needs of CHS. Today, the Business Innovation, Technology and Security team has a staff of six FTE with additional contract help. The department has sought to identify solutions to evolving operational demands. A number of technologies implemented in recent years have resulted in improved efficiencies. The addition of DocuSign, which allows paperless routing and digital signatures, is just one example. DocuSign, now heavily used by our Human Resources, contracting, and legal teams, is a huge improvement over the manual and paper based processes of the past, and was an absolute game changer during the pandemic, when paper based processes were largely impossible. A number of other technology products have benefited the agency or simply allowed the agency to keep up with the times. Examples include Smartsheet (project management), LinkedIn (recruiting), Skill Survey (analyzing candidates), Salesforce (critical incident reporting), and Cornerstone (learning management system). These products allow our employees to increase productivity through efficiency. However, these products consume significant dollars from the appropriation. Just these six products cost DHS \$462,000 each year. Additionally, the annual cost of background checks and drug/alcohol testing have increased by \$200,000 since FY 2015-16. These are a sample but not the only cost increases experienced by AdSol supportive units in recent years. Like all DHS units, as well as other agencies, costs have risen in many areas. Other examples include new technologies to support a mobile workforce (portable devices, teleconferencing) as well as communications services (translation, outreach).

Table 3: Recent Product/Service Additions		
Product	Use	Cost
DocuSign	Document Routing & Signature	\$85,000
Smartsheet	Project Management	\$50,000
LinkedIn	Recruiting	\$40,000
Skill Survey	Talent Acquisition	\$24,000
Salesforce	Critical Incident Reporting	\$43,000
Cornerstone	Learning Management System (LMS)	\$220,000
Background Checks	Human Resources Increase	\$140,000
Drug/Alcohol Testing	Human Resources Increase	\$60,000
	Total for These Items	\$662,000

Proposed Solution

For Support of the DFM

In order to accurately determine the level of need for the DFM, DHS has analyzed the impacts using the following data parameters, methodologies and best practices.

First, applying the 2018 benchmarking data to the FY 2014-15 DFM operating dollar appropriation yields the following:

- Using the Whitestone/CostLab office hospital benchmark would necessitate an additional \$2,395,352 to bring DFM funding in line with industry standards.
- Using the Whitestone/CostLab office space benchmark would necessitate an additional \$334,311 to bring DFM funding in line.
- Blending the 2 Costlab benchmarks (since DHS facilities range from hospitals to office space) would necessitate an increase of 41.9 percent or \$1,359,963 (note: the benchmarking data is 3 years old).

Second, applying the Economic Consumer Index (ECI) increase of 20 percent in DFM maintenance cost per SF from 2014 to 2018 would yield an increase of \$649,147.

Lastly, applying the Construction Cost Index (CCI) increase of 26.9 percent to the FY 2014-15 budget would yield an increase of \$873,102 (note: this index is specific to construction).

Enhancing the operating funds to adjust for the Costlab blended benchmark is the most appropriate approach, because the ECI and CCI indices do not represent all services provided through the DFM operating funds. The 41.9% increase will only adjust underfunding through 2018. To normalize through 2021, an additional 10% will be required at the very least based on the CCI increase for the three years. This total adjustment will require an increase of \$1,495,960. The department is proposing a two-phase approach, with each phase increasing the operating budget by \$747,980 for the next two years. The department will determine the areas of most need based on program impact.

This approach will allow for immediate needs to be addressed and for programs to use their operational funds on programmatic needs versus failures and damage imposed on the facilities. In addition, the two-year implementation period gives DHS the ability to ramp up these repairs over multiple years.

Without an increased level of operating dollars, DHS will continue to be unable to address pressing facility needs. Maintenance needs will take longer and longer to address as rising costs reduce dollar and manpower bandwidth. Any increase to maintenance needs increases the pressure on the existing appropriation and further reduces the level of service it can provide in other areas.

Without an increase, DHS programmatic areas will continue to be forced to support facilities maintenance and therefore have fewer dollars to support their clients, patients and residents. Additionally, a lack of effective preventative maintenance will result in a higher amount of reactive repairs which are ultimately more expensive.

For Support of Other AdSol Management & Support Units

Based just on the tools and products discussed previously, AdSol now has additional costs in excess of \$662,000 per year for new or enhanced tools and services, see Table 3. DHS requests \$500,000 annually in order to mitigate the impact of the cost of these tools and technologies on the overall Office of Operations operating line.

Theory of Change	<p>Problem: The cost of services has increased over at least 6 years with no equivalent increase in allocated funding. There is evidence that service and maintenance levels are falling behind and current maintenance needs are not being met. Further, Administrative Solutions staff and needs have expanded with no commensurate expansion of allocated funding.</p> <p>Resources: Current operating expenses appropriation.</p> <p>Strategy: Update/index funding levels to current cost structure & work with stakeholders to develop a plan for appropriate funding allocation (identify core costs and what previously-deferred costs can be prioritized). Identify supportive services for programmatic areas that can be bolstered.</p> <p>Outcome/Outputs: Create a plan for appropriate funding allocation.</p> <p>Goal: Provide services to support needed advancement in tools for programmatic & administrative staff as well as bringing facility resources up to date based on need.</p>		
Program Objective	Provide services to support needed advancement in tools for programmatic & administrative staff as well as bringing facility resources up to date based on need. Allocation for routine maintenance and services will ultimately save us time and money.		
Outputs being measured	Plan created and implemented to support appropriate funding allocation.		
Outcomes being measured	Fewer maintenance complaints/emergency work orders in FY 2022-23 and beyond. Costs will be shifted from programmatic areas to the AdSol/DFM budget.		
Cost/Benefit ratio	<p>Cost: \$1,247,980 in FY 2022-23, \$1,995,960 in FY 2023-24 and beyond</p> <p>Benefit: Better maintained facilities, reduced financial burden on program areas, improved efficiency across the department.</p>		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	Step 1		

Anticipated Outcomes

The DFM

There is a large discrepancy between the operating budget benchmarks (the 2018 benchmark report and the CCI index) and the DFM's operating budget cost per square foot. This under-funding of the DFM operating budget results in several consequences including lower productivity and delayed or deferred repair projects. The lower productivity is caused in part by a lack of up-to-date equipment for cleaning and maintenance tasks. Currently the DFM operating budget is allocated almost entirely to daily consumable supplies such as paper towels, toilet paper, mop heads, floor wax, etc., leaving very little funding for new equipment purchases or repair projects. Deferred projects, if completed, will reduce the facilities operating cost. The importance of deferred projects increases as building and equipment age increases. The operating budget cost per square foot, if brought in line with the cited benchmarks, will result in a long-term reduction in operating costs per square foot through increased productivity and reduced equipment age.

The additional funds will enable DFM to continue to provide a high level of service and maintain all physical assets and meet programmatic needs that mandate a therapeutic environment, which is critical to the care and quality of life and lessens the institutional nature of the facilities, as well as help us systematically address deferred work over a two to three year period. The division will not be required to seek funding from program areas to accomplish repairs which frees up funds for programming versus repairs. The programs will be the beneficiary of much of the additional funding as history indicates DFM consumes less than 5% of funds that are not program related. With the added resources, DFM will ensure that damage inflicted to the facilities will be repaired timely and optimally with high-quality materials, reducing the recurring damage to the facilities. If the money available to DFM was brought in line with the benchmarks and there was adequate controlled maintenance funding, the overall FCI score could be improved toward the target score of 85 percent.

AdSol Management & Support Units

DHS will be able to provide the appropriate tools, updated technology and support necessary to continue to improve the productivity and efficiency of the DHS workforce. This will result in better outcomes for our clients, patients, and residents, as well as our public/private partners and Coloradans as a whole.

Assumptions and Calculations

The assumptions for calculations were based on the benchmarking data from Costlab as referenced in the 2018 Benchmarking report from the CPI Group as well as the CCI. These indices and data are the industry standards for facilities maintenance, housekeeping, and construction - the basis for the DFM provided services.

Table 4: Overall Request Calculations		
DFM Operating Budget (Base)	Adj Per Costlab Blended Index	DFM Adjustment Thru 2018
\$3,245,734	41.9%	\$1,359,963
DFM Adjustment Thru 2018	10% Adj 2019-2021 Per CCI	DFM Total Adjustment Thru 2021
\$1,359,963	\$135,997	\$1,495,960
Other AdSol Costs from Table 3	Requested Increase	Total Requested Increased (Year 2)
\$662,000	\$500,000	\$1,995,960

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-07 DYS Phone Replacement - Youth and Families

Dept. Approval By: _____ Supplemental FY 2021-22

OSPB Approval By: _____ Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$4,487,724	\$0	\$4,487,724	\$50,000	\$150,000
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$3,108,110	\$0	\$3,108,110	\$50,000	\$150,000
	CF	\$70,000	\$0	\$70,000	\$0	\$0
	RF	\$1,294,469	\$0	\$1,294,469	\$0	\$0
	FF	\$15,145	\$0	\$15,145	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$4,487,724	\$0	\$4,487,724	\$50,000	\$150,000
	FTE	0.0	0.0	0.0	0.0	0.0
11. Division of Youth Services, (B) Institutional Programs, (1) Institutional Programs - Operating Expenses	GF	\$3,108,110	\$0	\$3,108,110	\$50,000	\$150,000
	CF	\$70,000	\$0	\$70,000	\$0	\$0
	RF	\$1,294,469	\$0	\$1,294,469	\$0	\$0
	FF	\$15,145	\$0	\$15,145	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request

Interagency Approval or Related Schedule 13s: No Other Agency Impact

COVER PAGE

Schedule 13 - Exec Nov 1

Description: SUMMARY OF INDIVIDUAL FUNDING REQUESTS: The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report that displays the requested incremental change in spending authority and FTE. It also identifies which line items are affected.

Report Performance

This section will allow you to view the report performance. If you require troubleshooting assistance, a copy of this cover page will be necessary. Please see the Helpful Links and Contact PB Help Desk sections on the right.

- Selected Property
- Query Name SF 000
- Enter Cabinet (One Letter Code): I
- Decision Item Req Code: 15014
- Query Name SF 060
- Enter Cabinet (One Letter Code): I
- Supplemental Req Code: EMPTY
- Query Name SF 010 020
- Enter Cabinet (One Letter Code): I
- Query Name SD CV Appr
- Enter Cabinet (One Letter Code): I
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- Enter Cabinet (One Letter Code): I

Summary of Each Query

- Query Name SF 000
 - Query Properties: Universe: PS - Budget Form; Last Refresh Date: 10/25/21 5:42 PM; Last Execution Duration: 4; Number of rows: 1; Retrieve Duplicate Row: ON
 - Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name; Filters: Budget Layout Code In List (SD_RV_REQUESTS, SD_RV_REQUESTS_ED); AND: Header Parent Organization Code In List (); AND: Header Organization External Level Equal 2; AND: Budget Line Program Consolidation Code Equal PROGRAM_ALL; AND: Budget Line Program External Level Equal 6; AND: But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON; AND: But Lr Parent Fund Type External Level Equal 1; AND: Budget Line Fund External Level Equal 3; AND: Budget Line Fund Consolidation Code Equal ALL_FUNDS; AND: Budget Request Code Equal (15014);
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- Query Name SD CV Appr
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 - Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name; Filters: Time Period Code Equal CV_APPR; AND: Organization Consolidation Code Equal ALL_ORGS; AND: Parent Org Code In List (); AND: Organization External Level Equal 2; AND: Program Consolidation Code Equal PROGRAM_ALL; AND: Program External Level Equal 7; AND: Program Code In List (DPLD0504); AND: Fund Type Consolidation Code Equal FUND_TYPE_CON; AND: Parent Fund Type Code Equal TOTAL; AND: Fund Type External Level Equal 3;
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- Query Name Prog LVLS
 - Query Properties: Universe: PS - Budget Data; Last Refresh Date: 10/25/21 5:42 PM; Last Execution Duration: 3; Number of rows: 1,003; Retrieve Duplicate Row: ON
 - Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name; Filters: Organization Consolidation Code Equal PROGRAM_ALL; AND: Parent Prog External Level Equal 4; AND: Program External Level Equal 7;
- Query Name Prog LVLS 4
 - Query Properties: Universe: PS - Budget Data; Last Refresh Date: 10/25/21 5:42 PM; Last Execution Duration: 1; Number of rows: 1,003; Retrieve Duplicate Row: ON
 - Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Parent Prog Name; Filters: Program Consolidation Code Equal PROGRAM_ALL; AND: Parent Prog External Level Equal 5; AND: Program External Level Equal 7;
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Report Errors

- Filter on Report Schedule 13
 - No Filter on Budget Request Code
 - Filter on Section Request Program Code: ZsmhAcCheck SECTION Not Equal AllColumnsEmpty
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Recent Updates to Schedule 13

August 2021
Rebuilt with new cover page



Department Priority: R-07
Request Detail: DYS Phone Replacement - Youth and Families

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$4,620,603	\$50,000	\$150,000
FTE	0.0	0.0	0.0
General Fund	\$3,142,790	\$50,000	\$150,000
Cash Funds	\$70,000	\$0	\$0
Reappropriated FUNds	\$1,392,668	\$0	\$0
Federal Funds	\$15,145	\$0	\$0

Summary of Request

The Department of Human Services (DHS) requests \$50,000 total funds/General Fund in FY 2022-23 and \$150,000 total fund/General Fund in FY 2023-24 and in all future years for phone system replacements of the youth phone system in use across all Division of Youth Services' (Division) Youth Service Centers (YSCs). Currently, the phone system available to youth is provided by a private vendor on a pay-per-use basis which causes inequity across the youth population in DYS facilities. If this budget request is funded, all youth would have equal, free access to communicate by phone with their families and other pro-social supports by removing the cost barrier that prevents some families from enjoying greater access than others. Since the beginning of the COVID-19 pandemic, this inequity has been further exacerbated when in-person visitation was suspended at the facilities. This budget request, if approved, will benefit all youth who are admitted to DYS facilities, which in FY21 was 2,480.

Current Program

The Division of Youth Services provides for the care and supervision of youth committed or ordered detained by the District Court to the custody of DHS. The Division operates thirteen secure YSCs that serve youth between the ages of 10-21 who are in need of secure custody, care, and/or treatment.

This request centers on the following system:

Youth Phones

Youth in state-secure YSCs have access to pay phones for specific purposes including enhanced family contact and the ability to have unobstructed free access to both the Child Abuse and Neglect Hotline and the Child Protection Ombudsman. The current pay-per-use phone system, provided by a private vendor, is an inequitable solution in that it is disproportionately available to youth based on financial ability, which significantly increases inequity across our youth population. If a youth's family is unable to pay for phone time, DYS staff will allow youth to use administrative phones if they are available. However, this is dependent on administrative phone availability and is not the intended use of these phones. This budget request, if approved, will allow for unlimited free phone time and benefit all youth who enter DYS facilities. In FY21 the number of youth admitted to detention was 2,295 and the number of youth admitted to commitment was 185.

As the Division continues to move further away from a "corrections" model and into one of a trauma responsive and therapeutic approach, it continues to recognize and acknowledge the inputs and environmental factors that have contributed to youth development and improved outcomes. In the Division's 2021 Strategic Plan, "safe and trauma responsive youth center cultures" is one of the primary outcomes outlined, and this request supports this goal.

Problem or Opportunity

The current private vendor phones are provided in the youth services centers at no cost to the State, however; the vendor charges a significant cost to the youth's family to utilize the phones. The cost has contributed to a wide disparity across the Division's youth population as phone usage is dependent on youth and family ability to pay for access.

The cost to youth and families to use the current phones is 11 cents per minute, plus associated charges ranging \$3-5 each for setting up the account and issuance of billing.

Table 1: Transaction Fees for Inmate Telephone Services

Fee for automated payments (includes payments by interactive voice response, web, or kiosk)	\$3.00 per use
Fee for payment using live operator	\$5.95 per use
Paper bill/statement fee	\$2.00 per use
Fee for use of third party money transmitter (i.e. MoneyGram, Western Union, credit card processing, transfers from third party commissary accounts)	The exact fee from the third-party provider passed through directly to consumer

Due to the cost barriers with the solution, the Department is requesting funding to expand access and the frequency of access to family contact above and beyond what is currently available under the current partnership with the private vendor, which is based on a profit-based model with the provider. In addition to the pay-per-use phones, the Division provides additional limited ability in 15 minute increments for youth to contact their families for free based on availability, but the existing phone infrastructure and demand for availability does not support an increase in frequency of consistency of use. The proposed solution will bring the Division to a level that will support increased and meaningful family involvement, accessible to everyone equally. The private vendor currently provides a calling service intended to allow for the frequency a youth may use a phone to increase; however, it is at the expense of the family which has created inequities between youth based on family financial status.

The current vendor will not allow the current phone system to remain in the Division's YSC if their profit model is not supported or it is in competition with a phone system that is available to youth free of charge. Therefore, in order to increase family contact free of charge and ensure continued unfettered access to the Office of the Child Protection Ombudsman and the DHS Child Abuse Hotline, a replacement of the phone service infrastructure is required. The current vendor does provide access to the Child Protection Ombudsman's Office and the Child Abuse Hotline free of charge, which is a requirement the Division will continue to support in the requested replacement system.

DHS has partnered with OIT to identify an equitable, cost effective solution to this problem.

Proposed Solution

DHS requests General Fund for the planning and implementation of a phone system designed to better support the Youth Service Centers.

The proposed youth phone system will be free and available to all youth housed in the Department's Youth Service Centers. The Department is seeking this solution in order to close the equity gap from the existing phone system, which charges families for access between youth and families. This proposed system will also support the Division's transition away from a culture of "corrections." By adding additional infrastructure to accommodate more phone systems within youth centers, the Division will be further supporting youth through a trauma-informed approach while creating homelike environments. Homelike environments are supportive to positive youth development, which, in turn, reduces recidivism and connects youth back to the community in which they will return. The Division has consulted with OIT to outline a proposed replacement youth phone system solution (Graphic 1) and document the high-level business requirements.

If this request is not approved, the existing phone system will remain in place and the existing inequities across the youth population will remain unaddressed. This will continue to cause disparities across our youth population where access to different levels of critical social support is based on a profit model that depends on individual financial ability. Continuing to leave these inequities unaddressed would also be contradictory to DHS's strategic initiative of providing person-centered care to the people of Colorado by tailoring and transforming its care delivery models to meet the personalized needs of the individuals, families, and communities we serve.

Theory of Change	Step 1 - Program Design		
Program Objective	Increase phone calls to social supports in order to improve positive outcomes and recidivism rates for DYS youth.		
Outputs being measured	Number of increased phone contacts with social supports		
Outcomes being measured	Change in youth behavioral incidents, recidivism rates		
Cost/Benefit ratio	Expected return on investment is reduction in youth behavioral incidents, improved social and educational performance, and enhancement of protective factor to reduce recidivism.		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	N/A		

Anticipated Outcomes

The implementation of a cost-free phone system available to all the Division youth will immediately remove the barriers faced by families who are less able to afford access to calls that are currently only accessible through the for-profit service provider. The proposed solution has a direct, immediate effect on equity by ensuring that all youth under the care of the Department housed in youth service centers have the same access to their legal representation, families and other social supports.

A 2019 study found that incarcerated or detained individuals who maintain close contact with their family members or other social supports while incarcerated have better post-release outcomes and lower recidivism rates.¹ If this request is approved, DHS expects to realize a reduction in youth behavioral incidents, improved social and educational performance, and the enhancement of protective factors that reduce recidivism with the increased phone access available equally to the youth in its care.

¹ Nagpaul, T. and Chen, J. (2019). *Self-determination theory as a Framework for understanding needs of youth at-risk: Perspectives of social service professionals and the youth themselves*. Children and Youth Services Review, 99, pages 328-342.

Promoting family engagement is important to support a youth's overall well-being. Access to family and other resources prepares youth for success, highlights characteristics of pro-social behavior, builds trust, and enhances strength-based partnerships where decisions and responsibility are shared. Because families can face numerous barriers to in-person visits, phone calls are a primary means for youth to stay connected with and supported by their families and other social supports. Research studies have found that increased family connection can improve youth's social adjustment, school performance, and build the foundation for successful re-entry to the community. Ultimately, increased family contact is correlated with a reduction in post-release technical violations and recidivism.²

The proposed solution supports the Governor's Wildly Important Priority of Reducing Prison Populations by Reducing Recidivism. In partnership with the Colorado Commission on Criminal and Juvenile Justice, the Governor's Wildly Important Goal is to "deliver 75 percent of recommendations by March 30, 2021, and then implement 100 percent of recommendations by January 1, 2022, to better ensure our criminal justice system promotes public safety, reduces crime, and treats every individual with fairness, equity, and dignity in every interaction and at all stages." Particularly in the juvenile justice space, relationship-building and pro-social activities are fundamental for the positive youth development needed to reduce future system involvement. In recognition of the critical role family engagement plays in a youths' commitment experience, youth at the Division's facilities routinely can make or receive local and collect long distance calls to parents, legal guardians, or foster parents during established hours, and may receive calls from social workers and legal professionals at any time. Research from the Department of Justice supports the critical role communication with family plays in a youth's development and in minimizing stress and isolation.² Having barriers to this connection jeopardizes the pro-social development needed to support a positive transition back into the community and reduce the likelihood of re-entry into the system.

Assumptions and Calculations

Youth Phones

Costs for the replacement system will be largely based on usage, which the Department is estimating at twice the number of family calls per week.

Cost Estimate: \$200,000

One-Time Setup & Configuration Fee - \$50,000

Annual fee estimated Usage Block - \$150,000

Table 3 (below) outlines the number of calls anticipated per Youth Service Center. This information was used to estimate future year usage costs.

² The Department of Justice, Office of Juvenile Justice and Delinquency Prevention (2018). Family Engagement in Juvenile Justice.

<https://ojjdp.ojp.gov/sites/g/files/xyckuh176/files/media/document/family-engagement-in-juvenile-justice.pdf> ;

Table 3: Estimated Call Volume Increases per youth center

Youth Center	Monthly Estimate (1 Call per Youth per Week)	Monthly Estimate (2 Calls per Youth per Week)
Aspire	90	180
Gilliam	144	289
Golden Peak	77	154
Grand Mesa	188	375
Marvin Foote	130	261
Mount View	395	791
Platte Valley	356	713
Prairie Vista	65	130
Pueblo	60	119
Spring Creek	258	515
Summit	95	190
Zeb Pike	125	249
Statewide	1,983	3,966

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-08 Older Coloradans Cash Fund Spending Authority

Dept. Approval By: _____

Supplemental FY 2021-22

OSPB Approval By: _____

Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$28,495,459	\$0	\$28,495,459	\$1,083,358	\$1,083,358
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$14,487,707	\$0	\$14,487,707	\$0	\$0
	CF	\$13,007,752	\$0	\$13,007,752	\$1,083,358	\$1,083,358
	RF	\$1,000,000	\$0	\$1,000,000	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$28,495,459	\$0	\$28,495,459	\$1,083,358	\$1,083,358
10. Adult Assistance Programs, (D)	FTE	0.0	0.0	0.0	0.0	0.0
Community Services for the Elderly, (1)	GF	\$14,487,707	\$0	\$14,487,707	\$0	\$0
Community Services for the Elderly - State	CF	\$13,007,752	\$0	\$13,007,752	\$1,083,358	\$1,083,358
Funding for Senior Services	RF	\$1,000,000	\$0	\$1,000,000	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUESTS: The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report. Budget amendments, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will allow you to view the report performance. If you require troubleshooting assistance, a copy of this cover page will be necessary. Please use the Helpful Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports:</p> <p>*** Query Name SF 030 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 15015</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTTY</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code): I</p> <p>*** Query Name SD CV Appr *** Enter Cabinet (One Letter Code): I</p> <p>*** Query Name Prog_Base *** Enter Cabinet (One Letter Code): I</p>	
<p>Summary of Each Query</p> <p>*** Query Name SF 030 ***</p> <p>*** Query Properties: *** Universal PS - Budget Form Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 4 Number of rows: 1 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List ([SD_RV_REQUESTS; SD_RV_REQUESTS; ED]) AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Parent Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (15015))</p> <p>*** Query Name SF 060 ***</p> <p>*** Query Properties: *** Universal PS - Budget Form Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 1 Number of rows: 1 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List ([060_CV_SUPPLEMENTALS; 060_CV_SUPPLEMENTAL; ED]) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Parent Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTTY))</p> <p>*** Query Name SF 010 020 ***</p> <p>*** Query Properties: *** Universal PS - Budget Form Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 3 Number of rows: 5 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List ([SD_RV_BASE; ADJ; ADMIN_ONLY; 1]) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Parent Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2)</p> <p>*** Query Name SD CV Appr ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 2 Number of rows: 2 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 6 AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3)</p> <p>*** Query Name Prog_Base ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 3 Number of rows: 18 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 6 AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List ([CV_APPR; RV_BASE]))</p> <p>*** Query Name Prog LVLS ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 3 Number of rows: 1,003 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 6 AND Program External Level Equal 7)</p> <p>*** Query Name Prog LVLS ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 1 Number of rows: 1,003 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Time Period Code, Time Period Name, Parent Prog Code, Parent Prog Name Filters: Time Period Code In List ([CV_APPR; RV_BASE; RV_MV1; VR2]))</p>	
<p>Report Errors</p> <p>*** Filter on Report Schedule 13 ***</p> <p>No Filter on Budget Request Code Filter on Section Header/Program Code: ZembaCheck SECTION Not Equal AllColumnsEmpty Filter on Stock/Parent/Program Line/Case: Budget Request Code is Not Null</p> <p>*** Filter on Report Cover Page ***</p> <p>No Filter on Cover Page</p>	

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Recent Updates to Schedule 13

- August 2021
- Rebuilt with new cover page



Department Priority: R-08
Request Detail: Older Coloradans Cash Fund Spending Authority

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$28,495,459	\$1,083,358	\$1,083,358
FTE	0.0	0.0	0.0
General Fund	\$14,487,707	\$0	\$0
Cash Funds	\$13,007,752	\$1,083,358	\$1,083,358
Reappropriated Funds	\$1,000,000	\$0	\$0
Federal Funds	\$0	\$0	\$0

Summary of Request

The Department of Human Services (DHS) requests to increase the spending authority for the Older Coloradans Cash Fund (OCCF) in the State Funding for Senior Services (SFSS) line item by \$1,083,358 total funds/cash funds in FY 2022-23 and in all future years. The OCCF has accumulated a significant amount of interest in the fund from two large deposits of the overages from the Senior Property Tax Exemption Program, which have generated interest revenue that can be leveraged to provide the necessary State match for the American Recovery Plan Act (ARPA). The ARPA funds cannot be contracted to the Area Agencies on Aging (AAAs) until the match has been identified. This additional cash fund spending authority will allow the state to draw down over \$18 million in federal ARPA funds. The OCCF and ARPA funds will continue to provide important and necessary services to older adults, such as home delivered meals, transportation, and caregiver support.

Current Program

The Department receives both federal and state funding for the provision of services to older adults in Colorado. Federal funding is provided per Title III of the Older Americans Act and state funding consists of General Fund, cash funds and reappropriated funds reflected in the State Funding for Senior Services line item. The Office of Adult, Aging and Disability Services via the State Unit on Aging (SUA) in the Division of Aging and Adult Services distributes these funds to the sixteen Area Agencies on Aging (AAAs) across the state. These funds are used by the AAAs and hundreds of providers around the state to deliver services to older adults in their communities. Services provided include congregate meals, home delivered meals, transportation, assisted transportation, homemaker, chore, personal care, caregiver services, legal assistance and ombudsman services. Anyone in Colorado age sixty years and older is eligible for these critical services and last year the AAAs served more than 55,000 older Coloradans. Services provided by the AAAs help older Coloradans to age-in-place in their communities and in some cases they are a factor in postponing or avoiding more costly placements such as assisted living facilities or nursing homes.

The new federal stimulus funds through ARPA will provide for important services to older Coloradans. These funds are time limited and many of the AAAs will use this funding for infrastructure improvements such as purchasing equipment, updating senior centers and meal sites, and enhancing data systems for tracking service delivery and expenditures.

Problem or Opportunity

Unlike the previous COVID-19 federal stimulus funding that the SUA has received, the ARPA stimulus funds received in May 2021 from the U.S. Department of Health and Human Services, Administration for Community Living, required a five percent state match. The Department received ARPA funds totaling more than \$21 million, of which more than \$18 million requires a state match. The requested \$1,083,358 will allow the state to draw down more than \$18 million in federal stimulus funds. This funding will allow the AAAs to invest in infrastructure and capital expenditures, such as transportation vehicles, that they may not be able to afford with regular funding.

While the General Fund in the SFSS line item can be used as the required match, it is not the recommended approach because any unused General Fund at the end of the State fiscal year will be reverted. It is anticipated that it will take several fiscal years to spend the ARPA awards and in order to encumber these funds in the CORE financial system, the Department must proportionally match the State General Fund with the associated ARPA funds. The SFSS General Fund is allocated in full to the AAAs each fiscal year to be spent on needed services to older Coloradans. Since the unused State General Fund will revert if not used in a given fiscal year, using SFSS General Fund as the match to encumber the ARPA awards in the financial system has the potential to take away State funding for much needed services from vulnerable adults.

Proposed Solution

DHS recommends increasing the spending authority for cash funds in the SFSS line item to allow DHS to leverage more than \$18 million dollars in federal ARPA funds while eliminating the risk of losing matching funds at the end of the State fiscal year. Unspent cash funds in the OCCF remain in the fund at year end per Section 26-11-205.5(5)(a), C.R.S. Utilizing the interest generated in the OCCF to provide the match for the ARPA funds maximizes the amount of service funding to older Coloradans. Since the ARPA funds are available until September 30, 2024, increasing the spending authority to the full amount needed to encumber the ARPA funds allows the Department to contract for the full amount of ARPA funds with the AAAs. It is likely that the Department will not need the full spending authority in a given year, but this will allow each individual AAA to spend the ARPA funds across state fiscal years as needed rather than attempting to estimate their spending each year.

If this request is not approved, DHS will have to use SFSS General Fund to provide the required match for the ARPA funds, which may result in reverting any unspent match at year end. It will likely take three fiscal years to spend the ARPA funds, which means three years of potential lost service dollars due to General Fund reversions.

Theory of Change	The Department will provide much needed services to any Coloradan age 60 and over.		
Program Objective	Reduce General Fund reversions and maximize the amount of service dollars to Colorado’s vulnerable adults.Utilizing the OCCF as the required match will eliminate the potential for General Fund reversions and provides more service dollars to older Coloradans.		
Outputs being measured	Maximized number of services for Colorado’s vulnerable adults.		
Outcomes being measured	The ARPA stimulus funds leveraged by this match would equate to an additional 2,732,506 home delivered meals or 1,161,961 additional one-way rides.		
Cost/Benefit ratio	Several national studies have indicated that these types of services can delay entry into Long Term Care Services and Supports.		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation			
Continuum Level	Step 2		

Anticipated Outcomes

This request to increase the cash funds spending authority in the SFSS line, which will allow DHS to leverage the accrued interest in the OCCF and maximize service dollars to older Coloradans. This request requires no new funding and limits the amount of General Fund

reversions at year-end, thus providing a net gain in services overall. This solution allows DHS to leverage a large amount of federal stimulus funds. For every dollar of cash funds DHS utilizes, it results in \$19 of federal stimulus funds to spend, thereby enabling the Area Agencies on Aging and the hundreds of providers around the state to continue serving over 55,000 older Coloradans a year. Area Agencies on Aging and their providers would appreciate this solution as it puts more service dollars into the network and results in fewer General Fund reversions at the end of the year when federal funds get rolled over. These funds can be utilized to address needed services, and infrastructure within the network.

Assumptions and Calculations

This request assumes it will take several years for the AAAs to spend the federal ARPA funds. Increasing the cash funds spending authority will result in less General Fund reversions and more services to older Coloradans. Each year DHS requires the program to encumber the federal dollars proportionally with the required state match. Any unspent federal dollars roll forward to the next fiscal year, however DHS loses the General Fund dollars intended to leverage the federal funds. Using cash funds from the OCCF eliminates this loss of funds at year-end and those General Fund dollars can be allocated to the AAAs without being tied to the federal dollars, similar to the other General Fund dollars appropriated to these programs.

The OCCF has sufficient interest in the fund to cover the match required by the ARPA funds as illustrated in Table 1.

Table 1: Match Required and OCCF Interest Balance			
	Total ARPA Funds	Total Requiring Match	State Match Required
ARPA	\$ 21,269,035	\$ 18,417,089	\$ 1,083,358
OCCF Interest Balance as of 05/27/21			<u>\$ 1,398,595</u>
OCCF Remaining Interest Balance After ARP			\$ 315,237

The increase in spending authority to \$1,083,358 will allow DHS to utilize up to that amount each year of OCCF cash funds as match for the ARPA funds. The OCCF has sufficient interest revenue to cover the full amount of match needed as illustrated in the table above, however it is anticipated that some General Fund would be utilized each year and thus only \$1,083,358 in cash funds spending authority is requested. Since the ARPA funds are available for several years, it is anticipated that this amount of match will be sufficient in any given year to allow the program to draw down the federal funds.

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-09 Improving Involuntary Mental Health Treatment

Dept. Approval By: _____ Supplemental FY 2021-22

OSPB Approval By: _____ Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$9,068,159	\$0	\$9,421,612	\$181,433	\$133,883
	FTE	85.1	0.0	85.6	1.0	1.0
Total of All Line Items Impacted by Change Request	GF	\$2,703,583	\$0	\$2,775,756	\$181,433	\$133,883
	CF	\$1,832,505	\$0	\$1,889,486	\$0	\$0
	RF	\$814,476	\$0	\$841,266	\$0	\$0
	FF	\$3,717,595	\$0	\$3,915,104	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$8,699,444	\$0	\$9,063,125	\$123,883	\$123,883
	FTE	85.1	0.0	85.6	1.0	1.0
08. Behavioral Health Services, (A) Community Behavioral Health Administration, (1) Administration - Personal Services	GF	\$2,653,423	\$0	\$2,735,824	\$123,883	\$123,883
	CF	\$1,744,837	\$0	\$1,801,818	\$0	\$0
	RF	\$802,250	\$0	\$829,040	\$0	\$0
	FF	\$3,498,934	\$0	\$3,696,443	\$0	\$0

	Total	\$368,715	\$0	\$358,487	\$7,550	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
08. Behavioral Health Services, (A) Community Behavioral Health Administration, (1) Administration - Operating Expenses	GF	\$50,160	\$0	\$39,932	\$7,550	\$0
	CF	\$87,668	\$0	\$87,668	\$0	\$0
	RF	\$12,226	\$0	\$12,226	\$0	\$0
	FF	\$218,661	\$0	\$218,661	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$0	\$0	\$0	\$50,000	\$10,000
08. Behavioral Health Services, (B) Mental Health Community Program, (1) Community Program - Involuntary Mental Health Care and Treatment	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$0	\$0	\$0	\$50,000	\$10,000
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation?	YES		
Type of Request?	Human Services Prioritized Request	Interagency Approval or Related Schedule 13s:	No Other Agency Impact

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUEST. The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report. Budget amounts, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will allow you to view the report performance. If you require troubleshooting assistance, a copy of this cover page will be necessary. Please see the History Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports</p> <p>*** Query Name SF 000 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 15016 Review Duplicate Row: ON</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTY Review Duplicate Row: ON</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name SD CV Appr *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name Prog_Base *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p>	
<p>Summary of Each Query</p> <p>*** Query Name SF 000 ***</p> <p>*** Query Properties *** Universal PB - Budget Form Last Refresh Date: 10/26/21 3:37 PM Last Execution Duration: 3 Number of rows: 4 Review Duplicate Row: ON</p> <p>*** Query Definition *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters : Budget Layout Code In List (CO_APPR_REQUESTS_CO_APPR_REQUESTS_ED) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTY))</p> <p>*** Query Name SF 060 ***</p> <p>*** Query Properties *** Universal PB - 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Budget Form Last Refresh Date: 10/26/21 3:37 PM Last Execution Duration: 4 Number of rows: 57 Review Duplicate Row: ON</p> <p>*** Query Definition *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters : Budget Layout Code In List (060_CV_ADMIN_ONLY_1) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2)</p> <p>*** Query Name SD CV Appr ***</p> <p>*** Query Properties *** Universal PB - Budget Data Last Refresh Date: 10/26/21 3:37 PM Last Execution Duration: 3 Number of rows: 34 Review Duplicate Row: ON</p> <p>*** Query Definition *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters : Time Period Code Equal CV_APPR AND Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 6 AND Program External Level Equal 7 AND Program Code In List (CV_APPR) AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR_RV_BASE))</p> <p>*** Query Name Prog_Base ***</p> <p>*** Query Properties *** Universal PB - Budget Data Last Refresh Date: 10/26/21 3:37 PM Last Execution Duration: 3 Number of rows: 6 Review Duplicate Row: ON</p> <p>*** Query Definition *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters : Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 6 AND Program External Level Equal 7 AND Program Code In List (CV_APPR) AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR_RV_BASE))</p> <p>*** Query Name Prog_VLVS ***</p> <p>*** Query Properties *** Universal PB - Budget Data Last Refresh Date: 10/26/21 3:37 PM Last Execution Duration: 3 Number of rows: 1,003 Review Duplicate Row: ON</p> <p>*** Query Definition *** Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters : Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 5 AND Program External Level Equal 7)</p> <p>*** Query Name Prog_VLVS ***</p> <p>*** Query Properties *** Universal PB - Budget Data Last Refresh Date: 10/26/21 3:37 PM Last Execution Duration: 1 Number of rows: 1,003 Review Duplicate Row: ON</p> <p>*** Query Definition *** Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters : Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 4 AND Program External Level Equal 7)</p> <p>*** Query Name: Time Period Labels ***</p> <p>*** Query Properties *** Universal PB - Budget Data Last Refresh Date: 10/26/21 3:37 PM Last Execution Duration: 1 Number of rows: 16 Review Duplicate Row: ON</p> <p>*** Query Definition *** Result Objects: Time Period Code, Time Period Name, Parent Prog Code, Parent Prog Name Period Report Column Label 3 Filters : Time Period Code In List (CV_APPR_RV_BASE, RY_M0V1, YR2))</p>	
<p>Report Errors</p> <p>*** Filter on Report Schedule 13 *** No Filter on Budget Request Code Filter on Section Request Program Code ZembaCheck SECTION Not Equal AllColumnsEmpty Filter on Stock Request Program Line Code Budget Request Code is Not Null</p> <p>*** Filter on Report Cover Page *** No Filter on Cover Page</p>	

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- August 2021
- Rebuilt with new cover page



Department Priority: R-09
Request Detail: Improving Involuntary Mental Health Treatment

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$0	\$181,433	\$133,883
FTE	0.0	1.0	1.0
General Fund	\$0	\$181,433	\$133,883
Cash Funds	\$0	\$0	\$0
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$0	\$0	\$0

Summary of Request

The Department of Human Services (DHS), Office of Behavioral Health (OBH) requests a statutory update regarding the care and treatment of persons with mental health disorders and a new line item appropriated with \$181,433 total funds/General Fund and net 1.0 FTE in FY 2022-23, and \$133,883 total funds/General Fund and net 1.0 FTE in FY 2023-24 and in all future years, to provide statewide technical assistance and training to mental health professionals. Section 27-65-105, C.R.S. establishes emergency involuntary mental health procedures, including the provisions for two types of involuntary mental health holds, the Involuntary Transportation Hold and the 72-Hour Treatment and Evaluation Hold. More than 30,000 of these mental health holds are placed each year in Colorado. OBH proposes implementing updates, as identified by the State’s Mental Health Advisory Board for Service Standards and Regulations, to who is authorized to place an emergency mental health hold, to how those individuals are trained and to clarify what happens after an Involuntary Transportation Hold is utilized. These updates will ensure the people of Colorado receive the most appropriate mental health care in the least restrictive environment provided by people thoroughly trained.

Current Program

The basis for the current provisions of the care and treatment for persons with mental health disorders was established in 1974 and involuntary mental health provisions are the same for youth, transition-age youth, adults, and older adults. S.B. 17-207's creation of the Involuntary Transportation Hold was the first new involuntary mental health procedure in over forty years. Involuntary mental health laws are complex and affect people's right to live freely, causing discussions about changes to involuntary mental health laws to be met with a strong response from both ends of the "rights restriction" spectrum.

With the provisions established by S.B. 17-207, the Emergency Procedure part of the care and treatment for persons with mental health procedures (Section 27-65-105, C.R.S.) contains four separate involuntary emergency procedures; two procedures initiated by "intervening professionals" and two procedures initiated by the court. The S.B. 17-207 provisions that established an Involuntary Transportation Hold for both intervening professionals and the courts were created as a way for law enforcement to transport individuals experiencing a mental health crisis to a mental health professional to determine if an individual needs the more restrictive 72-hour treatment and evaluation hold. The intent was to have the hold be a temporary measure that left clinical decision-making to professionals with a more robust clinical background than law enforcement officers. Although this was the intent, that is not how the statutory language was written for the Involuntary Transportation Hold.

The Involuntary Transportation Hold allowed all "intervening professionals" to initiate this new hold, and did not address patient rights and/or liability concerns from law enforcement. Many law enforcement agencies have refused to utilize this new hold due to liability concerns stemming from the vague criteria for restricting an individual's rights and continue to utilize the more restrictive 72-Hour Treatment and Evaluation Hold although individuals may not meet the criteria for placement (which is *imminent* danger to self/other or grave disability).

Pursuant to Section 27-65-128, C.R.S., DHS is the entity responsible for the administration of the care and treatment of persons with mental health disorders, including the promulgation of rules that "consistently enforce the provisions of [Section 27-65, C.R.S.]". The Department's rules related to Section 27-65, C.R.S. are specifically designed for facilities that provide services for individuals receiving care and treatment of a mental health disorder, as the Department regulates programs/facilities not individuals (Department of Regulatory Agencies, DORA, or Office of the Attorney General Peace Officers Standards and Training (POST) Board). Due to the emergency provisions of Section 27-65-105, C.R.S. being community-based and individual professional-initiated, OBH has a limited ability to establish meaningful provisions for how these procedures are executed.

On September 26, 2020, the Mental Health Advisory Board for Service Standards and Regulations, (created pursuant to Section 27-65-131, C.R.S.) submitted multiple

recommendations to the Office of Behavioral Health to address these issues.

Those formal recommendations included a request for DHS to convene a multidisciplinary stakeholder group in order to: 1) redefine the Involuntary Transportation Hold, 2) explore which professionals can and should be able to initiate an involuntary mental health hold, and 3) address professional training requirements.

The Office of Behavioral Health partnered with Mental Health Colorado and Disability Law Colorado to establish this recommended multidisciplinary stakeholder group, which worked to establish the foundation for the statutory updates requested in this proposal.

Problem or Opportunity

Outside of the criminal justice system, involuntary mental health treatment is one of the most restrictive health care-delivered services, since it restricts a patient's constitutional right to live freely. The state of Colorado has an obligation to ensure involuntary mental health services are delivered humanely while striving to preserve as many civil rights as possible. Providing a workforce of intervening professionals who are appropriately trained on legal requirements for restricting a patient's rights not only benefits these providers but also ensures the people of Colorado are being treated fairly.

This proposal provides an update of the involuntary hold statutory provision established in Section 27-65-105, C.R.S. in 2017. The involuntary transportation hold statutory language did not account for the human aspect of delivering involuntary mental health services in that it did not include patient rights, clear criteria for placing this transportation hold and what happens when an individual is brought to a mental health facility. Therefore, law enforcement and other community providers have reported that they refuse to use this hold based on liability concerns. We have an opportunity to not only better train professionals, but also create involuntary mental health provisions that put the needs of the person in a mental health crisis first.

If an involuntary mental health hold is poorly executed, a person may feel violated, targeted and stigmatized by the response they received. The use of an involuntary mental health hold needs to be performed by a professional who is appropriately trained and that training needs to be standardized and overseen by the state to ensure consistency across Colorado. Establishing clear statutory authority and a budget for DHS to implement and oversee the training of professionals will support people and families in accessing potentially life-saving mental health services.

Proposed Solution

DHS recommends repealing the Involuntary Transportation Hold subsections of Section 27-65-105, C.R.S. and creating a new statutory section (Section 27-65-105.5, C.R.S.) specific to the Involuntary Transportation Hold that will establish the criteria for placing this hold and redefine who can place this hold, the rights individuals have during this hold, and how this

hold is resolved. How the Involuntary Transportation Hold is resolved must be outlined in statute to ensure consistency across Colorado and in alignment with other involuntary mental health provisions, such as the 72-Hour Treatment and Evaluation Hold.

Additionally, DHS recommends, with support from Mental Health Colorado and other stakeholders, that this “transportation hold” only be initiated by certified peace officers and emergency medical service providers to limit its use to those professionals transporting individuals to a clinical setting for an assessment for treatment. This proposal allows the current “intervening professionals” to continue to place the more restrictive 72-Hour Treatment and Evaluation Hold, as these “intervening professionals” have/will have the background and training to make better clinical determinations in the utilization of a 72-Hour Treatment and Evaluation Hold.

DHS also proposes that all intervening professionals be trained on Colorado-specific involuntary hold procedures to ensure these professionals have the skills and knowledge to initiate involuntary mental health holds appropriately.

This statewide training of intervening professionals will be provided by a new Program Management I FTE within OBH. In addition, \$50,000 will be required to develop and deliver the Section 27-65, C.R.S. specific training and target specific professional groups (like law enforcement and paramedics) in the first year. This \$50,000 will also be utilized to ensure the Section 27-65, C.R.S. training is on the right training platform for certain professionals, such as including the Section 27-65, C.R.S. training on the Peace Officers Standards and Training (POST) learning management system operated by the Office of the Attorney General. The Office of Behavioral Health assumes one-time costs will be incurred to create the new modules on various platforms, with limited updating cost in ongoing years. Additionally, \$10,000 will be needed in ongoing years to produce and annually update additional targeted and online training components. The statewide training of first responders established in the fiscal note for S.B. 17-207 was utilized in establishing the cost assumptions.

OBH currently does not have clear statutory authority or specific FTE for training of “intervening professionals” nor FTE specific to training of staff within facilities that OBH designates to provide involuntary mental health services. Currently, training within mental health designated facilities is a regulatory requirement implemented by the facility, creating a system of training that varies widely across Colorado. With law enforcement agencies and other professionals seeking clarification on the Involuntary Transportation Hold that went into effect May 1, 2018, OBH identified the need to create better training oversight to ensure the people of Colorado receive the most appropriate response when experiencing mental health emergencies. With no changes, individuals in Colorado will continue to be subjected to mental health interventions that do not meet their individual needs and may not seek mental health services due to stigmatizing and traumatizing previous responses by untrained professionals.

Theory of Change	All intervening professionals will be trained by OBH in Colorado-specific involuntary procedures ensuring these professionals have the skills and knowledge to initiate mental health procedures that meet the individual needs of the people of Colorado.		
Program Objective	Create involuntary mental health provisions that meet the needs of individuals in a mental health crisis.		
Outputs being measured	Training professionals across Colorado to promote the proper use of community-based resources and only utilize the most restrictive mental health provision as a last resort.		
Outcomes being measured	Data reporting and auditing of 27-65 Designated Facilities will show a decrease in involuntary mental health hold utilization while maintaining current voluntary mental health utilization trends. This will show people are still accessing mental health services, but accessing services voluntarily rather than involuntarily.		
Cost/Benefit ratio	For the initial cost \$181,433, which includes 1.0 FTE, behavioral health professionals placing upwards of 30,000 mental health hold will be properly trained in the patient rights and community resources available to people in a mental health crisis.		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	TBD: Compare year to year numbers of involuntary mental health holds placed	n/a	n/a
Continuum Level	Level 1		

Anticipated Outcomes

DHS will track the number of involuntary-hold procedures initiated annually and statewide training administered by DHS. These statutory changes and training efforts should decrease the number of mental health holds being placed, due to intervening professionals having better understanding of mental health hold criteria and other community-based behavioral health service alternatives.

Colorado will begin to see a decline in individuals with mental health disorders entering into the criminal justice system based solely on their actions while experiencing a mental health emergency. First responders will have a better understanding of mental health services provided across Colorado, allowing individuals to access services they need without involving the criminal justice system. Appropriately credentialed and properly trained professionals will be the only individuals initiating involuntary mental health hold procedures.

Assumptions and Calculations

Cost assumptions for a similar statewide training for first-responders (as outlined in S.B. 17-207) were utilized in determining the cost of implementing this proposal. This proposal

assumes that either two short training modules could be created or one long training module could be created for \$50,000.

Utilizing an online OBH learning management system and targeted training/outreach to specific providers and professionals, such as targeting specific community based treatment programs or emergency medical service providers and law enforcement, 1.0 FTE will be responsible for training development and implementation. OBH does not currently regulate individual providers and/or professionals, so this new 1.0 FTE will be responsible for engagement and collaboration with individual professionals to ensure statutory compliance on an individual level as well as on a facility based training level. OBH currently has only 1.0 FTE funded through Federal Community Mental Health Services Block Grant dollars assigned to oversee the statewide delivery of all involuntary mental health procedures. For FY 2022-23, \$50,000 will be required to develop and deliver the Section 27-65, C.R.S. specific training and target specific professional groups (like law enforcement and paramedics) in the first year. In addition to the annual FTE cost, \$10,000 will be needed annually to produce and annually update additional targeted and online training components.

FTE Calculation Assumptions:

Operating Expenses -- Base operating expenses are included per FTE for \$500 per year. In addition, for regular FTE, annual telephone costs assume base charges of \$450 per year.

Standard Capital Purchases -- Each additional employee necessitates the purchase of a Personal Computer (\$900), Office Suite Software (\$330), and office furniture (\$3,473).

General Fund FTE -- Beginning July 1, 2020, new employees will be paid on a bi-weekly pay schedule; therefore new full time General Fund positions are reflected in Year 1 as 0.9615 FTE to account for the pay-date shift (25/26 weeks of pay). This applies to personal services costs only; operating costs are not subject to the pay-date shift.

Expenditure Detail		FY 2022-23		FY 2023-24	
Personal Services:					
Classification Title	Biweekly Salary	FTE		FTE	
PROGRAM.MANAGEMENT I	\$3,574	1.0	\$92,924	1.0	\$92,924
PERA			\$10,129		\$10,129
AED			\$4,646		\$4,646
SAED			\$4,646		\$4,646
Medicare			\$1,347		\$1,347
STD			\$149		\$149
Health-Life-Dental			\$10,042		\$10,042
Subtotal Position 1, ### FTE		1.0	\$123,883	1.0	\$123,883
Classification Title	Biweekly Salary	FTE		FTE	
			\$0		\$0
PERA			\$0		\$0
AED			\$0		\$0
SAED			\$0		\$0
Medicare			\$0		\$0
STD			\$0		\$0
Health-Life-Dental			\$0		\$0
Subtotal Position 2, ### FTE		-	\$0	-	\$0
Subtotal Personal Services		1.0	\$123,883	1.0	\$123,883
Operating Expenses:					
		FTE		FTE	
Regular FTE Operating	\$500	1.0	\$500		\$0
Telephone Expenses	\$450	1.0	\$450		\$0
PC, One-Time	\$1,600	1.0	\$1,600	-	\$0
Office Furniture, One-Time	\$5,000	1.0	\$5,000	-	\$0
Indirect Costs, if applicable			\$0		\$0
Leased Space, if applicable	\$6,600		\$0		\$0
Other					
Other					
Subtotal Operating Expenses			\$7,550		\$0
TOTAL REQUEST		1.0	\$131,433	1.0	\$123,883
General Fund:					
Cash funds:					
Reappropriated Funds:					
Federal Funds:					

Supplemental, 1331 Supplemental or Budget Amendment Criteria
 Not Applicable

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-10 SNAP Fair Hearings Compliance

Dept. Approval By: _____

Supplemental FY 2021-22

OSPB Approval By: _____

Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$34,832,799	\$0	\$35,578,614	\$358,451	\$356,137
	FTE	409.3	0.0	409.3	2.8	2.5
Total of All Line Items Impacted by Change Request	GF	\$22,643,582	\$0	\$23,388,842	\$179,226	\$178,069
	CF	\$3,291	\$0	\$3,291	\$0	\$0
	RF	\$12,185,926	\$0	\$12,186,481	\$0	\$0
	FF	\$0	\$0	\$0	\$179,225	\$178,068

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$30,415,505	\$0	\$31,161,320	\$338,576	\$353,762
	FTE	409.3	0.0	409.3	2.8	2.5
03. Office of Operations, (A) Administration, (1) Administration - Personal Services	GF	\$19,630,715	\$0	\$20,375,975	\$169,288	\$176,881
	CF	\$3,291	\$0	\$3,291	\$0	\$0
	RF	\$10,781,499	\$0	\$10,782,054	\$0	\$0
	FF	\$0	\$0	\$0	\$169,288	\$176,881

	Total	\$4,417,294	\$0	\$4,417,294	\$19,875	\$2,375
	FTE	0.0	0.0	0.0	0.0	0.0
03. Office of Operations, (A) Administration, (1) Administration - Operating Expenses	GF	\$3,012,867	\$0	\$3,012,867	\$9,938	\$1,188
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$1,404,427	\$0	\$1,404,427	\$0	\$0
	FF	\$0	\$0	\$0	\$9,937	\$1,187

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request

**Interagency Approval or
Related Schedule 13s:**

No Other Agency Impact

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUESTS: The Schedule 13 is a standard infoAdvantage report that is used with all requests for funding. It is a summary report that displays the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will allow you to view the report performance. If you require troubleshooting assistance, a copy of this cover page will be necessary. Please see the Helpful Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports</p> <p>*** Query Name SF 00 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 1547 Review Duplicate Row: ON</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTTY Review Duplicate Row: ON</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name SD CV Appr *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name Prog_Base *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p>	
<p>Summary of Each Query</p> <p>*** Query Name SF 00 ***</p> <p>*** Query Properties: *** Universal PS - Budget Form Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 4 Number of rows: 4 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (CO_RV_REQUESTS; CO_RV_REQUESTS; ED) AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (1547)))</p> <p>*** Query Name SF 060 ***</p> <p>*** Query Properties: *** Universal PS - Budget Form Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 1 Number of rows: 0 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (060_CV_SUPPLEMENTALS; 060_CV_SUPPLEMENTAL; EO) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTTY)))</p> <p>*** Query Name SF 010 020 ***</p> <p>*** Query Properties: *** Universal PS - Budget Form Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 4 Number of rows: 31 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (CO_RV_BASE; ADJ; ADMIN_ONLY; 1) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code In List (DPL; DSO4) AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2))</p> <p>*** Query Name SD CV Appr ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 2 Number of rows: 29 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 6 AND Program External Level Equal 7 AND Fund Type Consolidation Code In List (DPL; DSO4) AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3))</p> <p>*** Query Name Prog_Base ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 3 Number of rows: 87 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 6 AND Program External Level Equal 7 AND Fund Type Consolidation Code In List (DPL; DSO4) AND Parent Fund Type Code Equal FUND_TYPE_CON AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR; RV_BASE)))</p> <p>*** Query Name Prog_VLVS ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 1 Number of rows: 1,003 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 5 AND Program External Level Equal 7))</p> <p>*** Query Name Prog_VLVA ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 1 Number of rows: 1,003 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 4 AND Program External Level Equal 7))</p> <p>*** Query Name Time Period Labels ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 1 Number of rows: 18 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Time Period Code, Time Period Name, Parent Prog Code, Parent Prog Name Period Report Column Label 3 Filters: Time Period Code In List (CV_APPR; RV_BASE; RV_MV1; VR2)))</p>	

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Recent Updates to Schedule 13

- August 2021
- Rebuilt with new cover page

Report Errors

*** Filter on Report Schedule 13 ***

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ZembaCheck SECTION Not Equal ALLColumnsEmpty
Filter on Stock/Parent/Program Line/Case:
Budget Request Code is Not Null

*** Filter on Report Cover Page ***

No Filter on Cover Page



**Department Priority: R-10
SNAP Fair Hearings Compliance**

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$0	\$358,451	\$356,137
FTE	0.0	2.4	2.5
General Fund	\$0	\$179,226	\$178,069
Cash Funds	\$0	\$0	\$0
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$0	\$179,225	\$178,068

Summary of Request

The Department of Human Services (DHS, Department) requests \$358,451 total funds, including \$179,226 General Fund and \$179,225 federal Supplemental Nutrition Assistance Program (SNAP) funds and 2.4 FTE in FY 2022-23 and \$356,137 total funds, including \$178,069 General Fund and \$178,068 federal SNAP funds and 2.5 FTE in FY 2023-24 and ongoing to conduct SNAP Fair Hearings internally. This administrative change will enable Colorado to attain and maintain compliance with federal standards.

As an alternative to paying the Department of Personnel and Administration (DPA), Office of Administrative Courts (OAC) for its costs to render initial agency decisions per SNAP appeals, DHS will complete that work within its Office of Appeals (OOA). DHS will continue to use its existing General Fund appropriation, within the DHS ALJ services allocation, to pay its share of the Common Policy to DPA until the formula resets in two years. Once the Common Policy formula resets, without the workload/costs attributed to SNAP cases, the General Fund for that portion of the appropriation will offset the appropriation created through this request and draw the requisite SNAP match for these services. Requested funds will support 2.5 FTE (2.0 Administrative Law Judges and 0.5 program assistant) to handle the SNAP Fair Hearings workload, issue timely decisions, and eliminate the long-standing backlog.

Current Program

Fair Hearings is a federally-prescribed process for individuals to dispute a decision regarding their SNAP cases, including closures, benefit calculations, and more.

Process:

Colorado's Fair Hearings practice currently involves both the Departments of Personnel and Administration (DPA) and Human Services in a two-step process to render decisions to client disputes. First, DPA's Office of Administrative Courts (OAC) schedules hearings onto its dockets and renders an initial decision on the case. Following the OAC's initial decision, the case is transferred to the DHS's Office of Appeals (OOA) to review the case and render a final decision. Federal rules do not require a bifurcated two-step process across two separate entities. In fact, only four states (California, Colorado, Georgia, and Kentucky) operate Fair Hearings between two different agencies. Among these states, Georgia is the only state that has maintained compliance with federal requirements.

Administrative framework:

Currently, DPA bills DHS for its services to schedule and initiate SNAP Fair Hearings (see Table 1). These costs include an Administrative Law Judge's (ALJ) time to render initial agency decisions on Fair Hearings regarding SNAP benefits. The Department pays for these services from its ALJ appropriation, established in the common policy allocation.

- Per common policy, DPA bills the DHS monthly for 1/12 of the cost of Administrative Law Judge services. The Department's Administrative Law Judge Services appropriation pays for these services.
- Along with the billing, DPA submits a monthly usage (hours) report for each program. The Department uses this report to allocate costs among all programs, including SNAP, that use ALJ services.
- Specific to SNAP Fair Hearings, 50 percent of OAC's costs are paid via reappropriated federal SNAP funds from the Office of Economic Security's Indirect Cost Assessment pool.

Compliance:

Federal rules require a final decision to be rendered in 60 days. This request positions Colorado to attain and maintain compliance with federal standards, which has not been the case for the recent past, as Colorado has been on a corrective action plan with the U.S. Department of Agriculture, Food and Nutrition Services (FNS) due to failing to hear the appeals within the federally required timeframe.

Problem or Opportunity

Colorado has remained on a corrective action plan (CAP) with FNS since 2013. Specifically, FNS has cited Colorado for failing to render a final decision on SNAP Fair Hearings within sixty (60) days of the client filing, as required per federal rules. The longer this CAP is unresolved, the risk for federal penalties, including a fiscal sanction, escalates.

Between 2008 and 2015, Colorado paid more than \$15 million in federal sanctions to FNS for various areas of SNAP noncompliance that had escalated to a fine.

In an effort to address the ongoing Fair Hearings CAP, DHS and DPA participated in LEAN events in October 2018 designed to improve performance. Colorado realized some improvements following this LEAN process and has pursued several additional strategies to improve SNAP Fair Hearings timeliness, including regular meetings with DPA partners, process improvement events, and statute change, described below. These efforts resulted in marginal changes to timeliness, as presented in Table 2. Yet, Colorado remains out of compliance.

Statute Change:

- Exceptions (i.e. when a party to the appeal disagrees with OAC’s decision) were identified as a source of unnecessary delays in the Fair Hearings process; and
- Passage of S.B. 19-245 allows OAC to close the exception period after a ‘5+3 day’ period (i.e. five days to file plus three days for mail) if a ‘notice of intent to file an exception’ has not been received. In instances where a party submits such notification, a ‘15+3’ day exception period applies, as previously, from the date that the initial determination is mailed to the client. This change eased the artificial time constraint of applying the exceptions period to all cases, despite only a minority of cases filing an exception.

Process Improvements:

- Rule changes regarding the timelines that both OAC and OOA must adhere to when processing SNAP Fair Hearings were promulgated in 2019; and
- OAC made several changes to streamline its processes to prioritize cases and increased the ALJ hours assigned to SNAP cases.

Administrative Changes:

- In the two years prior to the Pandemic, OAC spent significantly more hours on SNAP Fair Hearings cases that resulted in higher billing through the Common Policy agreement to accommodate the additional SNAP hours.
- As Table 1 shows, OAC’s costs for SNAP Fair Hearings, billed to the Department, increased nearly 30 percent in FY 2018-19 and FY 2019-20 over the previous two-year average.

Table 1: Billable Hours to SNAP Fair Hearings				
<u>Year</u>	<u>OAC/DPA Billing</u>	<u>% Change</u>	<u>2-year Avg.</u>	<u>% Change</u>
FY 2016-17	\$298,776	29.3%	\$280,941	21.6%
FY 2017-18	\$263,106	-11.9%		
FY 2018-19	\$308,852	17.4%	\$364,166	29.6%
FY 2019-20	\$419,479	35.8%		

Marginal Results:

As Table 2 demonstrates, the number of days to a decision has shrunk since the concentrated effort to improve Fair Hearings timeliness began in 2018. However, compliance with the federal standard has not been achieved, outside the Pandemic hiatus. In FY 2019-20, Colorado was rendering all final decisions, on average, in 91.9 days, more than 50 percent longer than the required sixty (60) days.

It is important to note that most of the available data, as referenced in this request, is prior to the COVID-19 Pandemic and subsequent waivers. Federal waivers have resulted in a significant decrease in the volume of Fair Hearings cases. When the issuance of maximum SNAP benefits expires in December 2021, DHS anticipates the demand for Fair Hearings will spike quickly in the following months. This activity is likely to result in even deeper backlogs at OAC than in previous years.

<u>Year</u>	<u>Days</u>	<u>Non-compliance</u>
2015-16	145*	142% (85 days)
2016-17	102*	70% (42 days)
2017-18	116	93% (56 days)
2018-19	95.8	60% (36 days)
2019-20	91.9	53% (32 days)

*Original data is not available. These figures are estimates, derived from correspondence with FNS.

Ongoing issues:

Despite the important strides that have been made, several factors continue to contribute to delays that affect Colorado’s compliance with federal SNAP Fair Hearing standards, including delays in OAC initial decisions as well as difficulties by OAC in consistently identifying SNAP cases that need to be prioritized.

Proposed Solution

The Department requests \$358,451 total funds, including \$179,226 General Fund and \$179,225 federal SNAP funds and 2.4 FTE in FY 2022-23 to provide the staffing necessary to conduct SNAP Fair Hearings internally. Instead of reimbursing DPA for initial SNAP Fair Hearings work, DHS will complete the work internally. Costs will be paid through a new, discrete appropriation for SNAP Fair Hearings instead of through the Common Policy allocation. This change will allow the Fair Hearings process to be wholly completed by CDHS OOA, as allowed by federal rules. The requested funding is in addition to the common policy reimbursement in order to hire FTE to complete the work internally. Once the DPA Common Policy resets without factoring SNAP fair

hearings, the requisite portion of the SNAP ALJ General Fund appropriation will be directed to this new line to offset the cost.

Moving to a single, in-house structure for SNAP Fair Hearing processes will resolve the pervasive compliance issues, eliminate delays caused by remanded cases, and improve the quality. Overall, completing all facets of SNAP Fair Hearings by the Department’s OOA will enable proper prioritization of cases, prompt scheduling, and timely decisions for clients. Hiring 2.5 FTE dedicated to handling the SNAP Fair Hearings workload will be sufficient to issue timely decisions and eliminate the backlog.

The proposed process is fully endorsed by federal rules. As such, OOA will initiate fair hearings and final agency reviews in a timely manner while fully maintaining neutrality and fairness. OOA has a proven track record of issuing Final Agency decisions that are grounded in the law, considering only the evidence admitted at hearing and the applicable law. Furthermore, OOA’s ALJs will not be involved in the final agency reviews (decisions), and the Department’s Adjudicators will have no role in writing the Initial Decisions.

Using appropriated funds, the Department will hire two full-time administrative law judges (equivalent to an Administrative Law Judge II) to rule on SNAP appeals and issue initial decisions. Additionally, one half-time program assistant will be hired to complete the scheduling, mailing, and other administrative support to render timely decisions. Meanwhile, OOA’s Adjudicators will continue to provide final decisions that reflect federal regulations and meet required timeframes. The Department’s Executive Director will be the only common reporting point in the entire process, ensuring a distinct separation between the SNAP program and OOA and negating any perceived conflict of interest. Because the Department’s new ALJs will need to be well-versed in both SNAP policy and legal proceedings to complete Fair Hearings, the Department anticipates offering the midpoint of the salary range for these positions.

Theory of Change	Administrative efficiencies		
Program Objective	Reduce the days to complete a Fair Hearing decision, in compliance with federal standards.		
Outputs being measured	Days to a decision; remanded cases		
Outcomes being measured	Federal compliance		
Cost/Benefit ratio	N/A		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial

Results of Evaluation	N/A	N/A	N/A
Continuum Level	Step 2		

Anticipated Outcomes

Colorado will issue SNAP Fair Hearing decisions within the federally required sixty (60)-day period. Performance in this area will eliminate Colorado’s long-standing corrective action for failing to comply with federal SNAP Fair Hearings requirements. Clearing this CAP will eliminate threats of federal sanctions for noncompliance.

Assumptions and Calculations

As Table 3 demonstrates, the Department has paid an average of \$304,254 annually for SNAP Fair Hearings between FY 2015-16 and FY 2019-20. The most recent two-year average, following the process improvements (FY 2018-19 and FY 2019-20), is \$364,166.

Hiring two Administrative Law Judges and a half-time program assistant, plus operating costs, will cost approximately \$358,451 in FY 2022-23 and \$356,137 in FY 2023-24 and ongoing. The payday shift will be applied in the first year.

Table 3: SNAP ALJ Billing				
Description	Hours	Total Funds	General Fund	Federal Funds
FY 2015-16 (SNAP only)	1,344	231,055	115,528	115,527
FY 2016-17 (SNAP only)	1,692	298,776	149,388	149,388
FY 2017-18 (SNAP only)	1,774	263,106	131,553	131,553
FY 2018-19 (SNAP only)		308,852	154,426	154,426
FY 2019-20 (SNAP only)		419,479	209,740	209,739
5-year average		304,254	152,127	152,127
Recent 2-year Average		\$364,166	\$182,083	\$182,083

Table 4: FTE Calculations

Expenditure Detail		FY 2022-23		FY 2023-24	
Personal Services:					
Classification Title	Biweekly Salary	FTE		FTE	
Admin Law Judge II	\$4,278	1.9	\$211,333	2.0	\$222,456
PERA			\$23,035		\$24,248
AED			\$10,567		\$11,123
SAED			\$10,567		\$11,123
Medicare			\$3,064		\$3,226
STD			\$338		\$356
Health-Life-Dental			\$28,172		\$28,172
Subtotal Position 1, 2.0 FTE		1.9	\$287,076	2.0	\$300,704
Classification Title	Biweekly Salary	FTE		FTE	
Program Asst II	\$2,447	0.5	\$30,539	0.5	\$31,811
PERA			\$3,329		\$3,467
AED			\$1,527		\$1,591
SAED			\$1,527		\$1,591
Medicare			\$443		\$461
STD			\$49		\$51
Health-Life-Dental			\$14,086		\$14,086
Subtotal Position 2, 0.5 FTE		0.5	\$51,500	0.5	\$53,058
Subtotal Personal Services		2.4	\$338,576	2.5	\$353,762
Operating Expenses:					
		FTE		FTE	
Regular FTE Operating	\$500	2.5	\$1,250	2.5	\$1,250
Telephone Expenses	\$450	2.5	\$1,125	2.5	\$1,125
PC, One-Time	\$2,000	2.5	\$5,000	-	\$0
Office Furniture, One-Time	\$5,000	2.5	\$12,500	-	\$0
Indirect Costs, if applicable			\$0		\$0
Leased Space, if applicable	\$6,600		\$0		\$0
Other					
Other					
Subtotal Operating Expenses			\$19,875		\$2,375
TOTAL REQUEST		2.4	\$358,451	2.5	\$356,137
	<i>General Fund:</i>		\$179,226		\$178,069
	<i>Cash funds:</i>				
	<i>Reappropriated Funds:</i>				
	<i>Federal Funds:</i>		\$179,225		\$178,068

Supplemental, 1331 Supplemental or Budget Amendment Criteria

Not applicable.

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-11 CBH Criminal Justice Long Bill Line Consolidation

Dept. Approval By: _____ Supplemental FY 2021-22

OSPB Approval By: _____ Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$27,016,860	\$0	\$22,111,825	\$0	\$0
	FTE	2.3	0.0	2.3	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$8,961,222	\$0	\$9,051,057	\$0	\$0
	CF	\$5,772,933	\$0	\$5,778,063	\$0	\$0
	RF	\$7,282,705	\$0	\$7,282,705	\$0	\$0
	FF	\$5,000,000	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$7,363,860	\$0	\$7,368,990	(\$7,363,860)	(\$7,363,860)
08. Behavioral Health Services, (D) Integrated Behavioral Health	FTE	2.3	0.0	2.3	(2.3)	(2.3)
Services, (1) Integrated Behavioral Health	GF	\$1,590,927	\$0	\$1,590,927	(\$1,590,927)	(\$1,590,927)
Services - Criminal Justice Diversion Programs	CF	\$5,772,933	\$0	\$5,778,063	(\$5,772,933)	(\$5,772,933)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

	Total	\$19,653,000	\$0	\$14,742,835	\$7,363,860	\$7,363,860
08. Behavioral Health Services, (D) Integrated Behavioral Health	FTE	0.0	0.0	0.0	2.3	2.3
Services, (1) Integrated Behavioral Health	GF	\$7,370,295	\$0	\$7,460,130	\$1,590,927	\$1,590,927
Services - Jail-based Behavioral Health	CF	\$0	\$0	\$0	\$5,772,933	\$5,772,933
Services	RF	\$7,282,705	\$0	\$7,282,705	\$0	\$0
	FF	\$5,000,000	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? YES

Type of Request? Human Services Prioritized Request

**Interagency Approval or
Related Schedule 13s:**

No Other Agency Impact

COVER PAGE
Schedule 13 - Exec Nov 1
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUEST. The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report. Budget amounts, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>
Report Performance
<p>This section will allow you to view the report performance. If you require troubleshooting assistance, a copy of this cover page will be necessary. Please see the Helpful Links and Contact PB Help Desk sections on the right.</p>
Selected Reports
<p>*** Query Name SF 00 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 15018 Review Duplicate Row: ON</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTTY Review Duplicate Row: ON</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name SD CV Appr *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name Prog_Base *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p>
Summary of Each Query
<p>*** Query Name SF 000 *** Query Properties: Universal PS - Budget Form Last Refresh Date: 10/25/21 5:48 PM Last Execution Duration: 4 Number of rows: 13 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (CO_RV_REQUESTS; CO_RV_REQUESTS; ED) AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (15018))</p> <p>*** Query Name SF 060 *** Query Properties: Universal PS - Budget Form Last Refresh Date: 10/25/21 5:48 PM Last Execution Duration: 1 Number of rows: 0 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (060_CV_SUPPLEMENTALS; 060_CV_SUPPLEMENTAL; EO) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTTY))</p> <p>*** Query Name SF 010 020 *** Query Properties: Universal PS - Budget Form Last Refresh Date: 10/25/21 5:48 PM Last Execution Duration: 4 Number of rows: 15 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (060_CV_SUPPLEMENTALS; 060_CV_SUPPLEMENTAL; EO) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTTY))</p> <p>*** Query Name SD CV Appr *** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:48 PM Last Execution Duration: 2 Number of rows: 12 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 7 AND Program External Level Equal 8 AND Program Code In List (DPLD0504 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR; RV_BASE))</p> <p>*** Query Name Prog_Base *** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:48 PM Last Execution Duration: 3 Number of rows: 2 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 7 AND Program External Level Equal 8 AND Program Code In List (DPLD0504 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR; RV_BASE))</p> <p>*** Query Name Prog LVLS *** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:48 PM Last Execution Duration: 1 Number of rows: 1,003 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 5 AND Program External Level Equal 7)</p> <p>*** Query Name Prog LVLS *** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:48 PM Last Execution Duration: 1 Number of rows: 1,003 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 4 AND Program External Level Equal 7)</p> <p>*** Query Name: Time Period Labels *** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:48 PM Last Execution Duration: 1 Number of rows: 16 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Time Period Code, Time Period Report Column Label 1, Time Period Report Column Label 2, Time Period Report Column Label 3 Filters: Time Period Code In List (CV_APPR; RV_BASE; RV_M0V1; YR2))</p>
Report Errors
<p>*** Filter on Report Schedule 13 *** No Filter on Budget Request Code Filter on Section Request Program Code: ZembaCheck SECTION Not Equal AllColumnsEmpty Filter on Stock Request Program Line Name: Budget Request Code is Not Null</p> <p>*** Filter on Report Cover Page *** No Filter on Cover Page</p>

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August 2021

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Department Priority: R-11
Request Detail: CBH Criminal Justice Long Bill Line Consolidation

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds*	\$27,016,860	\$0	\$0
FTE	2.3	0.0	0.0
General Fund	\$8,961,222	\$0	\$0
Cash Funds	\$10,772,933	\$0	\$0
Reappropriated Funds	\$7,282,705	\$0	\$0
Federal Funds	\$0	\$0	\$0

*Includes total appropriations from the Criminal Justice Diversion Program, Jail Based Behavioral Health program and Jail-based Behavioral Health Services Recovery Act.

Summary of Request

The Department of Human Services (Department, DHS), Office of Behavioral Health (Office, OBH) requests a \$0 total funds line item consolidation. The Department requests approval to combine the Criminal Justice Diversion Programs and Jail Based Behavioral Health Services (JBBS) Long Bill line items to allow the Department and county-based contractors to efficiently and effectively utilize the appropriated funds to serve the criminal justice population with behavioral health needs. This flexibility will help the Department to mitigate funding reversions and allow the Department to more effectively collaborate with county governments to address criminal justice needs specific to their regions.

Current Programs

This decision item impacts two programs within the Office of Behavioral Health:

1. 8(D) Criminal Justice Diversion Programs, and
2. 8(D) Jail-Based Behavioral Health Services Long Bill line items.

A brief description of each program is provided below.

1. Criminal Justice Diversion Programs

The Criminal Justice Diversion Programs line item has a FY 2021-22 base appropriation of \$7,363,860 that was originally funded through S.B. 17-254 (FY 2017-18 Long Bill). This line item supports Department personnel and operating costs along with contractual dollars to support multiple local partnerships between law enforcement and behavioral health service providers to expand pre-booking criminal justice diversion, increase access to co-responder models, and implement harm reduction training for law enforcement agencies. Additionally, this line item provides funding for an evaluation contractor.

In FY 2021-22, the Department has 21 contracts (for Law Enforcement Assisted Diversion (LEAD) and co-responder state funding) and funds the following cities, counties and other contractors: Alamosa, Arvada, Boulder County, Broomfield, Canon City, Denver, Douglas County, El Paso County, Evans, Grand Junction, Larimer County, Longmont, Pitkin County, Pueblo County, Summit County, Westminster, Vail, technical assistance, and an evaluation contractor. This program has two distinct programs, the LEAD and Let Everyone Advance with Dignity LEAD and the Co-Responder programs. The LEAD/LEAD program provides ways to decrease disparities in the criminal justice system and reduce the common experience in which individuals repeatedly cycle through the criminal justice process via arrest, booking, detention, prosecution, and incarceration. By utilizing law enforcement diversions, community referrals, and harm reduction principles, the LEAD/LEAD Pilot Program intends to reduce neighborhood crime and individual-level harm by nonviolent offenders committing low-level crimes that are driven by problematic substance use, mental illness, prostitution, or other site-specific criteria.

The Co-Responder Program provides paired response (behavioral health and law enforcement) for behavioral health related calls for service to provide de-escalation, screening/assessment, crisis intervention, and to link individuals to needed behavioral health and other appropriate services. Co-Responder programs strengthen relationships between behavioral health providers and law enforcement agencies and provide law enforcement agencies with needed support and training to more effectively respond to behavioral health calls for service. These programs help de-escalate behavioral health crises and divert individuals away from further criminal justice involvement as well as unnecessary use of emergency departments and involuntary mental health holds by treating people in community places that law enforcement is called to and providing

referrals and linkage to needed services. Co-Responder Programs also help decrease the amount of time that law enforcement officers spend on behavioral health calls, facilitating their return to patrol activities. Among more than 25,900 calls fielded by OBH-funded co-responder teams between July 2020 and June 2021, 98 percent avoided arrest, according to OBH data. In that same timeframe, co-responders provided some form of service to individuals on 86percent of active calls, including behavioral health assessments and referrals to community resources. According to the most recent program evaluation report, co-responder programs are reducing involuntary mental health holds and emergency room visits. Involuntary holds dropped from 8.3 percent of calls in September 2019 to 3.2 percent in September 2020, and officers reported diverting 9.4 percent of individuals from emergency rooms. Co-responder interventions also facilitate officers returning to the field. Between July and September, sites increased the frequency of law enforcement returning to patrol duties from 26.4 percent to 38.4 percent. Overall, co-responders reduced unnecessary law enforcement involvement in one of every three calls, according to the evaluation.

2. Jail-based Behavioral Health Services

The Jail-based Behavioral Health Services line item has a FY 2021-22 base appropriation of \$19,653,000 and funds screening for and treatment of substance abuse or co-occurring disorders of adult offenders who are on diversion; on probation; on parole; in community corrections; or in jail. Sheriffs' departments have partnered with local community providers who are licensed by the Department to provide services within the jail, and have the capacity to provide free or low-cost services in the community to inmates upon release. Most programs have at a minimum a clinician position to offer screenings, assessment and treatment in the jail and a case manager position dedicated to transitional care and seamless re-entry in treatment services in the community.

S.B. 18-250 formally established this program in statute and has been enhanced by S.B. 19-223 and S.B. 19-008. In FY 2021-22, the Department has 43 contracts for S.B. 18-250 and funds the following counties: Adams, Alamosa, Arapahoe, Boulder, Chaffee, Clear Creek, Custer, Delta, Denver, Douglas, Eagle, Elbert, El Paso, Fremont, Garfield, Grand, Gunnison, Huerfano, Jackson, Jefferson, Kit Carson, La Plata, Larimer, Las Animas, Lincoln, Logan, Mesa, Moffat, Montrose, Morgan, Park, Pitkin, Prowers, Pueblo, Rio Blanco, Routt, San Miguel, Summit, Teller, Washington, Weld, and Yuma. This program has grown over time and currently serves 49 jails across the state through contracts that fund catchment areas or more than one jail.

Problem or Opportunity

The Department has opportunities to improve alignment and budget management through line item consolidation. The overarching goal of consolidating these lines is to improve budget management of criminal justice community behavioral health programs by providing flexibility between the Criminal Justice Diversion and Jail-based Behavioral Health programs. Consolidating the two Long Bill line items will allow these programs to reduce funding reversions and increase budget flexibility. This will encourage further development of these

programs through the ability to shift funds for one-time enhancements and fluctuation in demand at the local level, including using funds for innovation such as diversion programs that offer a non-law enforcement response.

The background and justification for the consolidation is as follows.

1. Criminal Justice Diversion Program

The Criminal Justice Diversion program had a reversion of over \$1.0 million in FY 2019-20. Reversions often occur because it is a challenge to be fully staffed at the county level. As a result of this appropriation being prone to reversions, there are opportunities to transfer unused funds to Jail-Based Behavioral Health Services (JBBS). This line item consolidation will allow for flexibility in contracting necessary to meet the needs of counties for both Criminal Justice Diversion services and JBBS services. Finally, the line item consolidation would allow the Department more flexibility to adjust these resources in case sudden increases in JBBS are needed.

2. Jail-Based Behavioral Health Services (JBBS)

The JBBS line historically reverts appropriated funds at the end of the fiscal year for various reasons. From FY 2017-18, 2018-19, and 2019-20, the JBBS line has underspent by an average of \$1,460,058 per year. These reversions occurred for a variety of reasons including the following:

- Counties have been struggling to find qualified staff to be able to fill behavioral health treatment positions funded through these contracts.
- As a result of COVID-19 fewer funds were spent than would otherwise have been necessary. Over the past year, jails have been actively reducing their numbers of inmates and staff to manage outbreaks. COVID-19 resulted in decreasing jail populations, as well as a sharp decline in arrests.

In future years, the Department anticipates less underspending of base JBBS Long Bill funding. Assuming jail populations slowly increase toward full capacity as COVID-19 restrictions are relaxed, and this request is approved, it is anticipated that the Department will be able to address more local criminal justice needs by reallocating funds that are projected to not be spent.

Proposed Solution

The Department is requesting the consolidation of the Criminal Justice Diversion and the Jail Based Behavioral Health Services line items. This consolidation will allow for the bidirectional transfer of unused funds between both programs, thus mitigating reversions while providing the opportunity to address local criminal justice behavioral health needs. Benefits from the consolidation include:

- Uncommitted funds from either program can be used to address an increase in jail based behavioral health services, demand for competency restoration services, and/or community based services.
- Excess JBBS funds during or at the end of the fiscal year may be used to partially

reimburse unfunded costs incurred by jurisdictions that administer diversion programs. Diversion programs share in the cost of providing this programming and expend local and county revenue to pay for program requirements that aren't covered by state funds. Having this flexibility could allow counties to increase volume at different times of the year based upon availability of funding.

- The consolidation of these line items will give the Department and local jurisdictions flexibility in contracting and program development to address local area needs with the goal of increasing treatment and recovery for individuals served in jails and in the community.

Theory of Change	Early Behavioral Health intervention leads to better behavioral outcomes for Coloradans and prevents further justice-system involvement.		
Program Objective	Provide Behavioral Health Interventions to behavioral health clients that are served by law enforcement and county jails to reduce further criminal justice involvement.		
Outputs being measured	<p>Diversion: Number of clients served, Client resource referrals (to treatment and other resources), Global Assessment of Individual Needs (a behavioral health assessment tool)</p> <p>JBBS: Number of Clients served, types of services provided and post-release involvement in behavioral health services.</p>		
Outcomes being measured	<p>1. Diversion: Recidivism, client treatment engagement, local criminal justice involvement and improvement impact as reported by contractors.</p> <p>JBBS: Recidivism, client treatment engagement, local criminal justice involvement impact as reported by contractors.</p>		
Cost/Benefit ratio	Cost saving impacts for these programs include: Reduce recidivism, increase the number of people diverted from local jails.		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	NA	See below	NA

Continuum Level	<p>1. The Diversion Program consists of the LEAD and co-responder programs. Their continuum level is level three. Please see the following internet links for current evaluation reports for the Diversion line’s programs. LEAD: https://digital.auraria.edu/work/ns/8b059887-c07b-4d04-986f-0281477c8e3f Co-responder: https://drive.google.com/file/d/1tmGljPg343HauoKTyD_0M-nqsOSFXLCv/view</p> <p>2. The JBBS program continuum level is level two. Please see the following internet link for current evaluation reports for the JBBS program. The first link is the Executive Summary and the second document is the full report. https://drive.google.com/file/d/1EJn1Vb0_2xYWjbCNYrsAtU35g-7kf9Km/view?usp=sharing https://drive.google.com/file/d/1--KpclAfiQ6nKeF7M4tVmY-aW1w3w0ng/view?usp=sharing</p>
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Anticipated Outcomes

After the Department consolidates both lines, the Department anticipates that the Criminal Justice Diversion and the Jail Based Behavioral Health Services programs will improve budget management of county-based criminal justice community programs. This change will allow these programs to have flexibility that will encourage further development of these programs through the ability to shift funds for local and State level program development opportunities, fluctuations in demand, and one-time local jurisdiction criminal justice system enhancements.

Allowing the Department to have flexibility and maximize criminal justice expenditures will increase services provided to the criminal justice population and this will assist clients in transitioning back into the community.

Additionally, the General Assembly recently passed H.B. 21-1211. This bill covers new requirements that Colorado County Jails must follow to eliminate solitary confinement and/or have behavioral health management tools that are therapeutic and safe. Specifically, this bill added additional requirements to the JBBS program which are as follows, “additional staffing, training, robust behavioral health services and supports, or facility changes. Any facility changes must be approved by the Office of Behavioral Health before funds may be expended.” The most significant change of this legislation was the addition of “facility changes.” “Facility changes” are costly for county jails because construction, design and furnishing costs must be covered and are typically outside of base-level county funding for these services. This change in legislation did not appropriate additional funding, therefore having this flexibility will help the Department to fund some of these expenditures within existing appropriations.

Assumptions and Calculations

The Department is requesting the consolidation of the Criminal Justice Diversion and JBBS line items into one line item. Table 1 illustrates the existing line item appropriations and the calculated consolidated “Criminal Justice Programs” new line item total.

Table 1: Proposed New Criminal Justice Programs Line Item

Current Line Items				Proposed "Criminal Justice Programs" Line Item
	A	B	C	A+B+C
Fund Type	Criminal Justice Diversion Programs FY 2021-22 Long Bill	Jail-based Behavioral Health Services FY 2020-21	Jail Based Behavioral Health Services S.B.21-137 Recovery Act	Treatment and Detoxification Programs FY 2020-21
Total Funds	\$7,363,860	\$14,653,000	\$5,000,000	\$27,016,860
General Funds	\$1,590,927	\$7,370,295	\$0	\$8,961,222
Cash Funds	\$5,772,933	\$0	\$5,000,000	\$10,772,933
Reappropriated Funds	\$0	\$7,282,705	\$0	\$7,282,705

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-12 Community Behavioral Health Technical Corrections

Dept. Approval By: _____ Supplemental FY 2021-22

OSPB Approval By: _____ Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
Total		\$66,463,240	\$0	\$62,005,451	\$0	\$0
FTE		2.1	0.0	2.1	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$22,176,222	\$0	\$22,066,057	\$0	\$0
	CF	\$9,423,028	\$0	\$10,075,404	\$0	\$0
	RF	\$7,282,705	\$0	\$7,282,705	\$0	\$0
	FF	\$27,581,285	\$0	\$22,581,285	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
Total		\$40,641,682	\$0	\$41,094,058	(\$1,734,596)	(\$1,734,596)
08. Behavioral Health Services, (C)	FTE	2.1	0.0	2.1	0.0	0.0
Substance Use Treatment and Prevention, (1)	GF	\$14,795,588	\$0	\$14,595,588	(\$1,470,000)	(\$1,470,000)
Treatment Services - Treatment and Detoxification Contracts	CF	\$6,652,627	\$0	\$7,305,003	(\$264,596)	(\$264,596)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$19,193,467	\$0	\$19,193,467	\$0	\$0

Total		\$6,168,558	\$0	\$6,168,558	\$264,596	\$264,596
08. Behavioral Health Services, (C)	FTE	0.0	0.0	0.0	0.0	0.0
Substance Use Treatment and Prevention, (1)	GF	\$10,339	\$0	\$10,339	\$0	\$0
Treatment Services - Community Prevention and Treatment Programs	CF	\$2,770,401	\$0	\$2,770,401	\$264,596	\$264,596
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$3,387,818	\$0	\$3,387,818	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$19,653,000	\$0	\$14,742,835	\$1,470,000	\$1,470,000
08. Behavioral Health Services, (D) Integrated Behavioral Health Services, (1) Integrated Behavioral Health Services - Jail-based Behavioral Health Services	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$7,370,295	\$0	\$7,460,130	\$1,470,000	\$1,470,000
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$7,282,705	\$0	\$7,282,705	\$0	\$0
	FF	\$5,000,000	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? YES

Type of Request? Human Services Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUESTS: The Schedule 13 is a standard infoAdvantage report that is used with all requests for funding. It includes items: budget allocations, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will allow you to view the report performance. If you require troubleshooting assistance, a copy of the cover page will be necessary. Please see the Help/Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports:</p> <p>*** Query Name SF 030 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 15019 Review Duplicate Row: ON</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTTY Review Duplicate Row: ON</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name SD CV Appr *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name Prog_Base *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p>	
<p>Summary of Each Query</p> <p>*** Query Name SF 030 ***</p> <p>*** Query Properties: *** Universal PB - Budget Form Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 3 Number of rows: 4 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_REQUESTS; SD_RV_REQUESTS; ED) AND: Header Parent Organization Code In List () AND: Header Organization External Level Equal 2 AND: Budget Line Program Consolidation Code Equal PROGRAM_ALL AND: Budget Line Program External Level Equal 7 AND: But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND: But Lr Parent Fund Type External Level Equal 1 AND: But Lr Fund Type Code External Level Equal 3 AND: Budget Line Fund Consolidation Code Equal ALL_FUNDS AND: Budget Line Fund External Level Equal 2 AND: Budget Request Code Equal (15019)))</p> <p>*** Query Name SF 060 ***</p> <p>*** Query Properties: *** Universal PB - Budget Form Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 1 Number of rows: 0 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_CV_SUPPLEMENTALS; SD_CV_SUPPLEMENTALS; ED) AND: Header Org Consolidation Code Equal ALL_ORGS AND: Header Parent Organization Code In List () AND: Header Organization External Level Equal 2 AND: Budget Line Program Consolidation Code Equal PROGRAM_ALL AND: Budget Line Program External Level Equal 7 AND: But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND: But Lr Parent Fund Type External Level Equal 1 AND: But Lr Fund Type Code External Level Equal 3 AND: Budget Line Fund Consolidation Code Equal ALL_FUNDS AND: Budget Line Fund External Level Equal 2 AND: Budget Request Code Equal (EMPTTY)))</p> <p>*** Query Name SF 010 020 ***</p> <p>*** Query Properties: *** Universal PB - Budget Form Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 4 Number of rows: 30 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_BASE; ADJ; 00; ADMIN; ONLY; 1) AND: Header Org Consolidation Code Equal ALL_ORGS AND: Header Parent Organization Code In List () AND: Header Organization External Level Equal 2 AND: Budget Line Program Consolidation Code Equal PROGRAM_ALL AND: Budget Line Program External Level Equal 7 AND: But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND: But Lr Parent Fund Type External Level Equal 1 AND: But Lr Fund Type Code External Level Equal 3 AND: Budget Line Fund Consolidation Code Equal ALL_FUNDS AND: Budget Line Fund External Level Equal 2))</p> <p>*** Query Name SD CV Appr ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 3 Number of rows: 25 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND: Organization Consolidation Code Equal ALL_ORGS AND: Parent Org Code In List () AND: Organization External Level Equal 2 AND: Program Consolidation Code Equal PROGRAM_ALL AND: Program External Level Equal 7 AND: Fund Type Consolidation Code Equal FUND_TYPE_CON AND: Parent Fund Type Code Equal TOTAL AND: Fund Type External Level Equal 3))</p> <p>*** Query Name Prog_Base ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 3 Number of rows: 35 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND: Parent Org Code In List () AND: Organization External Level Equal 2 AND: Program Consolidation Code Equal PROGRAM_ALL AND: Program External Level Equal 7 AND: Fund Type Consolidation Code Equal FUND_TYPE_CON AND: Parent Fund Type Code Equal TOTAL AND: Fund Type External Level Equal 3 AND: Time Period Code In List (CV_APPR; RV; BASE)))</p> <p>*** Query Name Prog LVLS ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 1 Number of rows: 858 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND: Parent Prog External Level Equal 5 AND: Program External Level Equal 7))</p> <p>*** Query Name Prog LVLS ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 1 Number of rows: 688 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND: Parent Prog External Level Equal 4 AND: Program External Level Equal 7))</p> <p>*** Query Name: Time Period Labels ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:47 PM Last Execution Duration: 1 Number of rows: 18 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Time Period Code, Time Period Report Column Label 1, Time Period Report Column Label 2, Time Period Report Column Label 3 Filters: Time Period Code In List (CV_APPR; RV; BASE; RV; NOV1; VR2)))</p>	

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- August 2021
- Rebuilt with new cover page

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*** Filter on Report Schedule 13 ***

- No Filter on Budget Request Code
- Filter on Section Header/Program Code: ZsmhAcCheck SECTION Not Equal AllColumnsEmpty
- Filter on Blank Parent/Program Line/Name: Budget Request Code is Not Null

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No Filter on Cover Page



Department Priority: R-12
Request Detail: Community Behavioral Health Technical Correction

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds*	\$61,518,079	\$0	\$0
FTE	2.1	0.0	0.0
General Fund	\$21,976,222	\$0	\$0
Cash Funds	\$9,667,867	\$0	\$0
Reappropriated Funds	\$7,282,705	\$0	\$0
Federal Funds	\$22,581,285	\$0	\$0

*Includes total appropriations from the Treatment and Detoxification, Community Prevention and Treatment, Jail-based Behavioral Health Services and Behavioral Health Secure Transportation lines.

Summary of Request

The Department of Human Services (Department, DHS) requests a net zero total funds technical adjustment as follows.

1. Treatment and Detoxification Programs

A \$1,470,000 General Fund transfer from the Treatment and Detoxification Programs to the Jail Based Behavioral Health Services (JBBS) line to consolidate all JBBS appropriations in one line.

2. Community Prevention and Treatment Programs

Transfer \$264,596 Persistent Drunk Driver spending authority from the Treatment and Detoxification Programs line to the Community Prevention and Treatment line.

3. Behavioral Health Secure Transportation Pilot

The Office of Behavioral Health (OBH) requests a \$0 total funds technical adjustment. This technical adjustment seeks to remove the term “pilot” from the following line item “Behavioral Health Crisis Response System Secure Transportation Pilot Programs”, and align funding with the statute which does not refer to this program as a pilot. Doing so will ensure clarity between the funding source and statute, and allow local behavioral health contractors throughout the state to utilize ambulance services and/or other transportation services for individuals experiencing behavioral health crises.

Current Programs

This decision item impacts three programs within the Department's Office of Behavioral Health (Office,OBH):

1. 8(C) Treatment and Detoxification Programs,
2. 8(C) Community Prevention and Treatment Programs,
3. 8(D) Behavioral Health Secure Transportation Pilot,
4. 8(D) Jail-based Behavioral Health Services

A brief description of each program is provided below.

1. Treatment and Detoxification Programs

S.B. 17-254 (FY 2017-18 Long Bill) consolidated the Case Management for Chronic Detoxification Clients line item into this line item named Treatment and Detoxification Programs. Treatment and detoxification are two different levels of care that are funded separately and have separate and distinct contract admission requirements even though appropriated in a single line item. OBH provides detoxification and treatment services utilizing one contract for each sub-state planning region.

The Department contracts with four Managed Service Organizations (MSOs) that subcontract with local community providers to provide non-hospital detoxification services and evaluation services necessary to protect clients and public health and safety until the blood level of the intoxicating substance(s) is zero. Detoxification/shelter services serve a dual purpose by protecting individual and public health and safety, and also as an entry point for treatment.

The intent of the OBH treatment contracts is to purchase coordinated and comprehensive services for specific low-income populations of highest priority to the state and federal governments, as well as for clients outside the specific priority populations. The required basic treatment services in the treatment contracts are detoxification, outpatient opioid replacement treatment, individual, group and family outpatient therapy, intensive outpatient therapy, transitional residential treatment, therapeutic community and intensive residential treatment.

This line is funded with federal funds from the Substance Abuse Prevention and Treatment Block Grant and with cash funds from Persistent Drunk Driver Fund, the Marijuana Tax Cash Fund, and the Adolescent Substance Abuse Prevention and Treatment Fund.

Finally, SB 19-008 appropriated \$1,470,000 to this line item for the purposes of providing Medication-Assisted Treatment. This bill specified that "a sheriff who is the custodian of a county jail or city and county jail may enter into agreements with community agencies, behavioral health organizations, and substance use disorder treatment organizations to assist in the development and administration of medication-assisted treatment in the jail." For contractual purposes the Department assigned these funds

to county jails. State funded county jail contracts reside in the Jail Based Behavioral Health Services line item.

2. Community Prevention and Treatment Programs

The Community Prevention and Treatment Programs line item was established through S.B. 07-097 and H.B. 07-1359, which reallocated tobacco litigation settlement funds to the Department to purchase additional community prevention and treatment services. The law requires 25 percent of the funds to be targeted for prevention services and 75 percent for treatment services. H.B. 16-1408 refinanced the program from tobacco litigation settlement monies to Marijuana Tax Cash Fund dollars. S.B. 17-254 (FY 2017-18 Long Bill) consolidated federal and state funds that support prevention and treatment services, including: Persistent Drunk Driver Programs; Law Enforcement Assistance Fund Contracts, Federal Grants, Balance of Substance Abuse Block Grant Programs, and Rural Substance Abuse Prevention and Treatment.

Persistent Drunk Driver Programs are funded by the Persistent Drunk Driver Cash Fund (created by HB 98-1334) consisting of fees assessed against convicted drunk drivers. The fund is managed by representatives of the Departments of Revenue, Transportation and Human Services. Funding priorities as defined by statute are designed to support programs intended to deter persistent drunk driving, and to educate the public, with emphasis on young drivers.

The Colorado General Assembly created the Law Enforcement Assistance Fund (LEAF) [(Section 43-4-402 (2), C.R.S.] in 1982 to promote the prevention of drunk driving. The fund collects a surcharge on drunk and drugged driving convictions to help pay for enforcement, laboratory charges and prevention programs. The LEAF funding supports local efforts to prevent persons from driving when using alcohol or other drugs. The statutes require the Department to use these funds for a statewide program of public education on driving under the influence, including teacher training and the dissemination of educational materials.

3. Behavioral Health Secure Transportation Program

The Behavioral Health Secure Transportation Program was formally established in S.B. 17-207. The purpose of the program is to provide funding for emergency transportation service providers to conduct secure transportation services for individuals in need of a seventy-two hour mental health hold.

The Department's funding in this line was eliminated in FY 2020-21 and was subsequently restored in FY 2021-22 to \$554,839. This budget line originated under S.B. 17-207; a companion decision item was in place from FY 2017-18 to FY 2019-20 (three years) and continued as base appropriations for the Department. While funded, the Department provided funding to Alamosa and Montrose counties for this service.

Problem or Opportunity

The Department has three technical change opportunities to improve alignment and budget management. The overarching goal of these changes is to:

- Align budgetary and spending with Long Bill line items to accurately reflect program spending; and
- Use lessons learned about the Division’s two Behavioral Health Transportation pilots and request the General Assembly remove the appropriation’s label of “pilot” in order to allow on-going base budget funding according to the recommendations of the Department.

The Details of each of the three requested technical adjustments are provided below.

1. Treatment and Detoxification Programs

OBH’s Treatment and Detoxification programs Line Item is appropriated \$1,470,000 from SB 19-008 with the intent of providing Medication-Assisted Treatment (MAT) in county jails. The bill requires county jails that accept state funding for jail-based behavioral health services to have a policy in place by January 1, 2020, that describes how medication-assisted treatment will be provided when necessary to confined individuals. This appropriation has historically been included in the Treatment and Detoxification Programs line. However, to align with the requirements of statute, this appropriation should be changed to the Jail-based Behavioral Services line. This \$1,470,000 is currently allocated to the 8(C) Treatment and Detoxification Programs line item that is primarily utilized for community based treatment and detoxification (withdrawal management) services provided through Managed Services Organizations. The \$1,470,000 in the fiscal note was intended to serve county jails. Moving the appropriations from 8(C) to the 8(D) Jail-based Behavioral Health Services Long Bill line item will align all of the Department’s community-based jail appropriations.

2. Community Prevention and Treatment Programs

During the FY 2020-21 figure setting process, \$264,596 was incorrectly taken out of the Community Prevention and Treatment line, rather than the Treatment and Detoxification line. This technical correction will properly align the Long Bill appropriations and spending. The Department has discussed this technical correction with the assigned JBC analyst and it was agreed that a Decision Item was the proper channel for this technical correction.

3. Behavioral Health Secure Transportation Pilot

The original budget amendment which requested the funding for this program proposed the following: “[T]he Department proposes to convene an advisory group of stakeholders to identify best practices, to procure two secure transportation pilots, and collect data about the effectiveness of the pilots over two years.” The funding of this request was approved in conjunction with companion legislation. While the initial request mentions a pilot program, the statute did not establish a pilot. Instead the statute states, “The

State Department shall explore solutions for addressing secure transportation of individuals placed on a seventy-two-hour treatment and evaluation hold pursuant to article 65 Title 27.” The current conflict between the associated line item name and the current law has created confusion around the Department’s ability to expand programming beyond the first two communities (Alamosa and Montrose.)

Proposed Solution

The Department outlines three proposed technical adjustments for Treatment and Detoxification Programs, Community Prevention and Treatment Programs and the Behavioral Health Secure Transportation pilot programs and their associated appropriations as follows.

1. Treatment and Detoxification Programs

The Department recommends transferring \$1,470,000 General Fund and any corresponding annualizations from the Treatment and Detoxification Programs line to the Jail-based Behavioral Health Services line, resulting in a net \$0 budget impact. This change will align the appropriation with the intent of the Legislature.

2. Community Prevention and Treatment Programs

Through discussions with a JBC analyst, it was determined that a technical adjustment transferring \$264,596 of Persistent Drunk Driver cash funding and spending authority from the Treatment and Detoxification line to the Community Prevention and Treatment line will properly align the Long Bill appropriations with contract and program spending resulting in a net \$0 budget impact.

The proposed solution will move \$264,596 back into the Community and Prevention and Treatment line item where the Department will be contracting for prevention services. In FY 2021-22 the Department used the Treatment and Detoxification Persistent Drunk Driver funds to contract for prevention services which overstated expenditures in the Treatment and Detoxification line.

3. Behavioral Health Secure Transportation Pilot

The Department is requesting a technical correction to remove “pilot” as described in the original request: “BA-6 Implementation of Mental Health Hold Task Force Recommendations” is eliminated to ensure the funding provided aligns with the intent established in statute (S.B. 17-207). A history of the pilot and recommendations for improvement are described below. The Department seeks approval from JBC staff to expand funding through this program to communities throughout the state.

Theory of Change	Not on the continuum - N/A
Program Objective	Not on the continuum - N/A

Outputs being measured	Not on the continuum - N/A		
Outcomes being measured	1. Not on the continuum - N/A		
Cost/Benefit ratio	N/A		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	N/A		

Anticipated Outcomes

The Department’s anticipated outcomes for the three technical corrections are summarized below.

1. Treatment and Detoxification Programs

Based upon transferring \$1,470,000 General Fund from the Treatment and Detoxification Programs line to the Jail-based Behavioral Health Services line, the Department and the General Assembly will have improved budget transparency because jail-based Medication Assisted Treatment Funds will be consolidated with other jail-based budgets. This consolidation will also improve the state’s budget management because it will cut down on the number of budget line items associated with the Department’s jail-based programs. If the Department does not make this change, jail-based funding will be dispersed between two budget line items in the Long Bill.

2. Community Prevention and Treatment Programs

Based upon moving \$264,596 from the Treatment and Detoxification line to the Community and Prevention and Treatment line item the Department and the General Assembly will properly align budgets and spending with Long Bill line items to accurately reflect Prevention program spending. If the Department does not make this change Prevention program funding will be dispersed between two budget line items in the Long Bill.

3. Behavioral Health Secure Transportation Pilot

The Department anticipates the following three outcomes from converting the Transportation Pilot into a permanent and statewide program:

1. Wider availability of transportation services across the State especially to other rural areas that are currently not covered by the pilot program;

2. Cost effective pricing that will be made on an as-needed basis rather than a cost reimbursement basis; and
3. Continued support by local law enforcement and community behavioral health providers to move clients to the most appropriate level of behavioral health care.

If the Department does not convert from a two-location pilot to a Statewide approach the Department will be limited to two geographic locations rather than increasing access to transportation across the state. Additionally, if not converted the state may pay for idle costs if there is not a high enough volume of transports in the two existing rural pilot sites to justify the cost of the two contracts.

Assumptions and Calculations

There are three parts to the assumptions and calculations below. The Department assumes these changes will have an overall net \$0 budget impact.

1. OBH is requesting a permanent transfer of \$1,470,000 General Fund from the Treatment and Detoxification line to the JBBS line. This transfer will result in a net \$0 budget impact.
2. OBH is requesting a permanent transfer of \$264,596 of Persistent Drunk Driver cash fund and spending authority from the Treatment and Detoxification Programs line to the Community Prevention and Treatment line.

The proposed transfers above and the fund split can be seen in Table 1 below.

Table 1: Represents proposed funding changes describe in Assumptions and Calculations #1 and #2 above.

Proposed Fund Split for Assumptions 1 & 2						
	Current Fund Split			Proposed Fund Split		
	Treatment and Detoxification Programs	Community Prevention and Treatment Programs	Jail Based Behavioral Health Services	Treatment and Detoxification Programs	Community Prevention and Treatment Programs	Jail-based Behavioral Health Services
Total Funds	\$40,441,682	\$5,868,558	\$14,653,000	\$38,707,086	\$6,133,154	\$16,123,000
General Funds	\$14,595,588	\$10,339	\$7,370,295	\$13,125,588	\$10,339	\$8,840,295
Cash Funds	\$6,652,627	\$2,470,401	\$0	\$6,388,031	\$2,734,997	\$0
Reappropri	\$0	\$0	\$7,282,705	\$0	\$0	\$7,282,705

ated Funds						
Federal Funds	\$19,193,467	\$3,387,818	\$0	\$19,193,467	\$3,387,818	\$0

3. The Department assumes that the Transportation Pilot’s appropriations will be converted into a permanent program that will have a \$0 impact on the Office’s base budget. The Department assumes that it will pool the service dollars across the state and will require contractors to utilize existing and cost effective transportation options in their geographic areas focusing on providing transportation on an as needed basis. The Department will continue to prioritize rural areas in the state due to their general lack of transportation infrastructure compared to what is available along the Front Range of Colorado. Accessible transportation for individuals in behavioral health crises continues to be a challenge for jails and rural communities. These funds are required to safely transport individuals in crisis to the most appropriate level of care, and to ensure they avoid mental decompensation in jail.

- The Department assumes that this change in approach will cut idle time costs under the current two-pilot site program.
- The Department assumes that the change in approach will result in more rural areas of the state served.
- The Department assumes that as the proposed model is implemented, it will be able to estimate additional demand and possible future funding requests for this service once service and demand data is available beginning in FY 2023-24.

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-13 MHI Forensic Technical Long Bill Technical Corrections

Dept. Approval By: _____ Supplemental FY 2021-22

OSPB Approval By: _____ Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$131,111,634	\$0	\$133,908,987	\$0	\$0
	FTE	1,239.8	0.0	1,240.3	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$113,104,222	\$0	\$115,601,619	\$0	\$0
	CF	\$5,783,546	\$0	\$5,859,203	\$0	\$0
	RF	\$8,506,271	\$0	\$8,533,061	\$0	\$0
	FF	\$3,717,595	\$0	\$3,915,104	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$2,528,802	\$0	\$2,528,802	(\$125,000)	(\$125,000)
02. Office of Information Technology Services, (A) Information Technology, (1) Information Technology - Electronic Health Record and Pharmacy System	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$2,528,802	\$0	\$2,528,802	(\$125,000)	(\$125,000)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

	Total	\$8,699,444	\$0	\$9,063,125	(\$164,804)	(\$164,804)
	FTE	85.1	0.0	85.6	(2.0)	(2.0)
08. Behavioral Health Services, (A) Community Behavioral Health Administration, (1) Administration - Personal Services	GF	\$2,653,423	\$0	\$2,735,824	(\$164,804)	(\$164,804)
	CF	\$1,744,837	\$0	\$1,801,818	\$0	\$0
	RF	\$802,250	\$0	\$829,040	\$0	\$0
	FF	\$3,498,934	\$0	\$3,696,443	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$368,715	\$0	\$358,487	(\$1,900)	(\$1,900)
	FTE	0.0	0.0	0.0	0.0	0.0
08. Behavioral Health Services, (A)	GF	\$50,160	\$0	\$39,932	(\$1,900)	(\$1,900)
Community Behavioral Health Administration, (1) Administration - Operating Expenses	CF	\$87,668	\$0	\$87,668	\$0	\$0
	RF	\$12,226	\$0	\$12,226	\$0	\$0
	FF	\$218,661	\$0	\$218,661	\$0	\$0
	Total	\$97,177,601	\$0	\$99,379,415	(\$1,031,300)	(\$1,031,300)
	FTE	1,056.2	0.0	1,056.2	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (2) Mental Health Institutes - Pueblo - Personal Services	GF	\$85,768,247	\$0	\$87,951,385	(\$1,031,300)	(\$1,031,300)
	CF	\$3,755,180	\$0	\$3,773,856	\$0	\$0
	RF	\$7,654,174	\$0	\$7,654,174	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$3,384,664	\$0	\$3,384,664	(\$600,000)	(\$600,000)
	FTE	0.0	0.0	0.0	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (2) Mental Health Institutes - Pueblo - Contract Medical Services	GF	\$3,384,664	\$0	\$3,384,664	(\$600,000)	(\$600,000)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$4,114,182	\$0	\$4,114,182	\$600,000	\$600,000
	FTE	0.0	0.0	0.0	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (2) Mental Health Institutes - Pueblo - Pharmaceuticals	GF	\$3,880,700	\$0	\$3,880,700	\$600,000	\$600,000
	CF	\$195,861	\$0	\$195,861	\$0	\$0
	RF	\$37,621	\$0	\$37,621	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$0	\$0	\$0	\$1,495,996	\$1,495,996
	FTE	0.0	0.0	0.0	19.0	19.0
08. Behavioral Health Services, (E) Mental Health Institutes, (3)	GF	\$0	\$0	\$0	\$1,495,996	\$1,495,996
Forensic Services - Forensic Support Team	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$7,669,525	\$0	\$7,860,228	(\$900,696)	(\$900,696)
	FTE	77.1	0.0	77.1	(19.0)	(19.0)
08. Behavioral Health Services, (E) Mental Health Institutes, (3)	GF	\$7,669,525	\$0	\$7,860,228	(\$900,696)	(\$900,696)
Forensic Services - Court Services	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$3,466,819	\$0	\$3,511,970	\$561,000	\$561,000
	FTE	20.4	0.0	20.4	0.0	0.0
08. Behavioral Health Services, (E) Mental Health Institutes, (3) Forensic Services - Forensic Community-based Services	GF	\$3,466,819	\$0	\$3,511,970	\$561,000	\$561,000
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$3,701,882	\$0	\$3,708,114	\$166,704	\$166,704
	FTE	1.0	0.0	1.0	2.0	2.0
08. Behavioral Health Services, (E) Mental Health Institutes, (3) Forensic Services - Outpatient Competency Restoration Program	GF	\$3,701,882	\$0	\$3,708,114	\$166,704	\$166,704
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? YES

Type of Request? Human Services Prioritized Request

**Interagency Approval or
Related Schedule 13s:**

No Other Agency Impact

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUESTS: The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report that displays the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will allow you to view the report performance. If you require troubleshooting assistance, a copy of this cover page will be necessary. Please see the Helpful Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports</p> <p>*** Query Name SF 00 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 15000 Retrieve Duplicate Row: ON</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTTY Retrieve Duplicate Row: ON</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code): I Query Name SO CV Appr Enter Cabinet (One Letter Code): I Query Name Prog_Base Enter Cabinet (One Letter Code): I</p>	
<p>Summary of Each Query</p> <p>*** Query Name SF 000 ***</p> <p>*** Query Properties: Universal PS - Budget Form Last Refresh Date: 10/25/21 5:45 PM Last Execution Duration: 4 Number of rows: 15 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, But Ln Parent Fund Type Code, But Ln Fund Type Code, But Ln Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Ln Bill Number Code, Posted at But Ln Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Ln Parent Fund Type Name Filters: Budget Layout Code In List ([SO_RV_REQUESTS; SO_RV_REQUESTS; ED]) AND: Header Parent Organization Code In List () AND: Header Organization External Level Equal 2 AND: Budget Line Program Consolidation Code Equal PROGRAM_ALL AND: Budget Line Parent Program External Level Equal 6 AND: Budget Line Program External Level Equal 7 AND: But Ln Fund Type Consolidation Code Equal FUND_TYPE_CON AND: But Ln Parent Fund Type External Level Equal 1 AND: But Ln Fund Type Code External Level Equal 3 AND: Budget Line Fund Consolidation Code Equal ALL_FUNDS AND: Budget Line Fund External Level Equal 2 AND: Budget Request Code Equal (EMPTY)))</p> <p>*** Query Name SF 060 ***</p> <p>*** Query Properties: Universal PS - Budget Form Last Refresh Date: 10/25/21 5:45 PM Last Execution Duration: 1 Number of rows: 0 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, But Ln Parent Fund Type Code, But Ln Fund Type Code, But Ln Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Ln Bill Number Code, Posted at But Ln Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Ln Parent Fund Type Name Filters: Budget Layout Code In List ([060_CV_SUPPLEMENTALS; 060_CV_SUPPLEMENTAL; EO]) AND: Header Org Consolidation Code Equal ALL_ORGS AND: Header Parent Organization Code In List () AND: Header Organization External Level Equal 2 AND: Budget Line Program Consolidation Code Equal PROGRAM_ALL AND: Budget Line Parent Program External Level Equal 6 AND: Budget Line Program External Level Equal 7 AND: But Ln Fund Type Consolidation Code Equal FUND_TYPE_CON AND: But Ln Parent Fund Type External Level Equal 1 AND: But Ln Fund Type Code External Level Equal 3 AND: Budget Line Fund Consolidation Code Equal ALL_FUNDS AND: Budget Line Fund External Level Equal 2 AND: Budget Request Code Equal (EMPTY)))</p> <p>*** Query Name SF 010 020 ***</p> <p>*** Query Properties: Universal PS - Budget Form Last Refresh Date: 10/25/21 5:45 PM Last Execution Duration: 4 Number of rows: 85 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Parent Program Name, Budget Line Parent Program Short Name, But Ln Parent Fund Type Code, But Ln Fund Type Code, But Ln Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Ln Bill Number Code, Posted at But Ln Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Ln Parent Fund Type Name Filters: Budget Layout Code In List ([060_CV_SUPPLEMENTALS; 060_CV_SUPPLEMENTAL; EO; ADMIN_ONLY; 1]) AND: Header Org Consolidation Code Equal ALL_ORGS AND: Header Parent Organization Code In List () AND: Header Organization External Level Equal 2 AND: Budget Line Program Consolidation Code Equal PROGRAM_ALL AND: Budget Line Parent Program External Level Equal 6 AND: Budget Line Program External Level Equal 7 AND: But Ln Fund Type Consolidation Code Equal FUND_TYPE_CON AND: But Ln Parent Fund Type External Level Equal 1 AND: But Ln Fund Type Code External Level Equal 3 AND: Budget Line Fund Consolidation Code Equal ALL_FUNDS AND: Budget Line Fund External Level Equal 2 AND: Budget Request Code Equal (EMPTY)))</p> <p>*** Query Name SO CV Appr ***</p> <p>*** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:45 PM Last Execution Duration: 2 Number of rows: 60 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND: Organization Consolidation Code Equal ALL_ORGS AND: Parent Org Code In List () AND: Organization External Level Equal 2 AND: Program Consolidation Code Equal PROGRAM_ALL AND: Program External Level Equal 6 AND: Program External Level Equal 7 AND: Fund Type Consolidation Code Equal FUND_TYPE_CON AND: Fund Type Code In List ([DPL; DSO; DSA]) AND: Parent Fund Type Code Equal TOTAL AND: Fund Type External Level Equal 3 AND: Time Period Code In List ([CV_APPR; RV_BASE])))</p> <p>*** Query Name Prog_Base ***</p> <p>*** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:45 PM Last Execution Duration: 3 Number of rows: 111 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND: Parent Org Code In List () AND: Organization External Level Equal 2 AND: Program Consolidation Code Equal PROGRAM_ALL AND: Program External Level Equal 6 AND: Program External Level Equal 7 AND: Fund Type Consolidation Code Equal FUND_TYPE_CON AND: Fund Type Code In List ([DPL; DSO; DSA]) AND: Parent Fund Type Code Equal TOTAL AND: Fund Type External Level Equal 3 AND: Time Period Code In List ([CV_APPR; RV_BASE])))</p> <p>*** Query Name Prog_VLVS ***</p> <p>*** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:45 PM Last Execution Duration: 1 Number of rows: 858 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND: Parent Org Code In List () AND: Organization External Level Equal 2 AND: Program Consolidation Code Equal PROGRAM_ALL AND: Program External Level Equal 6 AND: Program External Level Equal 7 AND: Fund Type Consolidation Code Equal FUND_TYPE_CON AND: Fund Type Code In List ([DPL; DSO; DSA]) AND: Parent Fund Type Code Equal TOTAL AND: Fund Type External Level Equal 3 AND: Time Period Code In List ([CV_APPR; RV_BASE])))</p> <p>*** Query Name Prog_LL14 ***</p> <p>*** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:45 PM Last Execution Duration: 1 Number of rows: 688 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND: Parent Prog External Level Equal 4 AND: Program External Level Equal 7))</p> <p>*** Query Name Time Period Labels ***</p> <p>*** Query Properties: Universal PS - Budget Data Last Refresh Date: 10/25/21 5:45 PM Last Execution Duration: 1 Number of rows: 18 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Time Period Code, Time Period Name, Parent Prog Code, Parent Prog Name Period Report Column Label 3 Filters: Time Period Code In List ([CV_APPR; RV_BASE; RV_M0V1; VR2])))</p>	
<p>Report Errors</p> <p>*** Filter on Report Schedule 13 ***</p> <p>No Filter on Budget Request Code Filter on Section Request Program Code: ZembaCheck SECTION Not Equal ALLColumnsEmpty Filter on Stock TransferProgramLineName: Budget Request Code is Not Null</p> <p>*** Filter on Report Cover Page ***</p> <p>No Filter on Cover Page</p>	

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- August 2021
- Rebuilt with new cover page



Department Priority: R-13
Request Detail: MHI Forensic Technical Long Bill Technical Corrections

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds*	\$139,526,966	\$0	\$0
FTE	1,241.4	0.0	0.0
General Fund	\$117,818,681	\$0	\$0
Cash Funds	\$6,098,143	\$0	\$0
Reappropriated Funds	\$11,892,547	\$0	\$0
Federal Funds	\$3,717,595	\$0	\$0

*Includes total appropriations from Community Behavioral Health, Mental Health Institute Pueblo (bottom line funded), Forensic Services, and the Office of Information Technology Services line items.

Summary of Request

The Department of Human Services (Department, DHS), Office of Behavioral Health (Office, OBH) requests \$0 net total funds/General Fund and net 0.0 FTE in FY 2022-23 and \$0 net total funds and net 0.0 FTE ongoing, as Long Bill appropriation technical adjustments. This request is separated into five components to correct appropriation errors while others are requested to mitigate annual appropriation transfers by addressing historical shortfalls/surpluses in specific line items. The adjustments will align all personal services and contractual services in the correct divisions as well as ensure budgeted appropriations match projected expenditures.

This request is divided into the following five technical components:

1. Correction/adjustment to Forensic Services Long Bill appropriations;
2. Correction/adjustment to Electronic Health Record (EHR) Long Bill appropriations;
3. Colorado Mental Health Institute Pueblo (CMHIP) appropriation transfer to address historical pharmaceutical line item shortfall;
4. Separate the Forensic Support Team Program (FST/Navigators) Long Bill appropriation from the Court Services appropriation; and
5. Transfer University of Colorado and Board of Medical appropriations, specific to Forensic Services Programming, from Colorado Mental Health Institute at Pueblo appropriations line items to Forensic Services line items.

Current Program:

The following section outlines the programs affected by this request.

1. Correction to Forensic Services Appropriations

Community Behavioral Health Administration:

- The Personal Services line item provides funding for salaries, benefits, professional and temporary services for the direct and indirect administration of the Office of Behavioral Health (OBH) Community Programs. OBH Community Programs administer mental health community programs, substance use and treatment programs and the integrated behavioral health services programs;
- The Operating Expenses line item provides the primary source of funding for the operating expenditures to support the administration of the community behavioral health programs. The appropriation funds general operating, travel, capital outlay and transfer expenditures. Operating expenditures include office supplies, communication services, information technology hardware and software, and registration fees (training);

Outpatient Restoration Services:

- This line item reflects the funding that supports the contract for community-based competency restoration education and related case management services, along with the staff that administer this program.

2. Correction- Transfer from EHR-OIT Long Bill appropriation to CMHIP Personal Services Long Bill appropriation:

The Mental Health Institute at Pueblo's Personal Services line item provides funding for the Mental Health Institute at Pueblo employees' salaries, wages and benefits, as well as the associated State contribution to the Public Employees Retirement Association (PERA) and the state share of federal Medicare taxes. This line item also provides funding for contracted medical services and the medical staff employed through an interagency agreement with the University of Colorado - Denver School of Medicine and contract trauma-informed care peer support specialists.

3. Colorado Mental Health Institute Pueblo (CMHIP) appropriation transfer to address historical pharmaceutical line item shortfall:

The Contract Medical Services line item provides funding for the purchase of outside medical care provided for patients at the Colorado Mental Health Institute at Pueblo. This includes arranging medical treatment for physical ailments or injuries occurring during the patient's stay that the Institutes are not equipped or licensed to provide. The Institute contracts with local hospitals and medical providers for the services.

The Pharmaceuticals line item provides funding for the purchase of medication prescribed to the patients at the Mental Health Institute at Pueblo. The pharmacy at the Mental Health Institute at Pueblo is responsible for dispensing general over-the-counter medications, such as pain relievers; general health prescriptions for conditions such as

diabetes, hypertension and high cholesterol; and psychiatric medications, including mood stabilizers, antidepressants and antipsychotics.

4. Separate the Forensic Support Team Program (FST/Navigators) Long Bill appropriation from the Court Services appropriation:

The Court Services line item supports staff who administer and deliver services related to court-ordered evaluations of competency, sanity, and other mental conditions, as well as competency restoration services.

The Forensic Navigators notify the court of critical changes in the clients status. The navigators also help find community resources for clients transitioning to the community to ensure the client has wrap-around care services. Forensic Navigators provide updates on individual treatment and group therapy if applicable in jails. If a client's clinical presentation improves due to their involvement in treatment or medication compliance the forensic navigator can request a re-evaluation to see if the client remains incompetent to proceed. Additionally, navigators are often aware of resources outside the client's immediate area and may be able to assist individuals in finding housing in nearby communities. Finally, the navigators are mavens to attorneys and judges, which often means providing updates to the court concerning OBH needs, attending hearings, and explaining the admissions intake and discharge process. Forensic navigators bring highly acute individuals to the attention of inpatient restoration sites which helps those sites prioritize admission. Request and review court reports filed by liaison and navigator and other parties to ensure case progression. These reports provide important information such as the reasons for an outpatient restoration request or other barriers that may need to be addressed in order for admission to occur. The forensic navigator can appear in court for reviews as requested by the court.

5. Transfer University of Colorado and Board of Medical appropriations, specific to Forensic Services Programming, from Colorado Mental Health Institute at Pueblo appropriations line items to Forensic Services line items:

The Mental Health Institute at Pueblo Personal Services line item provides funding for the Mental Health Institute at Pueblo employees' salaries, wages and benefits, as well as the associated State contribution to the Public Employees Retirement Association (PERA) and the state share of federal Medicare taxes. This line item also provides funding for contracted medical services and the medical staff employed through an interagency agreement with the University of Colorado - Denver School of Medicine and contract trauma-informed care peer support specialists.

The Forensic Community-based Services line item supports staff who provide treatment case management and support for patients who are transitioning from the mental health institutes or living in the community.

Problem or Opportunity

The following section outlines the problem or opportunity for each of the requested technical adjustments.

1. Correction to Forensic Services Appropriations:

The Forensic Services appropriation line items were newly created in the Department's Long Bill as of July 1, 2018 (H.B. 18-1322). The funding for Forensic Services included shifting specific programs previously existing within the Colorado Mental Health Institute at Pueblo (CMHIP) Long Bill section as well as the Community Behavioral Health Administration line items to the newly created Forensic Services appropriation line items. It was discovered that a few technical corrections are still required to accurately reflect the funding needs of this program area, as they were omitted in the original calculation of the transfers provided by the Department to the Joint Budget Committee.

2. Correction - Transfer from EHR-OIT Long Bill appropriation to CMHIP Personal Services Long Bill appropriation.

The Department received \$125,000 of funding to fund auditing and Data Specialist staffing in OBH as part of the Cerner electronic health records (EHR) system that became operational on May 1, 2018. The funding for this position was never booked to the correct OBH line item and currently requires manual correction entries to ensure the positions are funded. While the Department has transfer authority between the Institute funding lines, it is not able to transfer funding from the EHR-OIT 2(A) appropriation.

3. Colorado Mental Health Institute Pueblo (CMHIP) appropriation transfer to address historical pharmaceutical line item shortfall:

The Pharmaceuticals line item has experienced an annual shortfall of approximately \$600,000 annually since FY 2018-19 as a result of increased inflationary costs, no-cost pharmaceuticals no longer being made available to the Department, per patient quantity increases, as well as increased utilization of costly, long acting injectable medications. The Department has been covering the shortfalls utilizing its transfer authority, authorized by the Long Bill footnote, from line item surpluses in its institutes' Contract Medical appropriation.

4. Separate the Forensic Support Team Program (FST/Navigators) Long Bill appropriation from the Court Services appropriation:

The Forensic Services Division is uniquely structured in the Long Bill; each program within the Forensic Services Division has a separate line item with the exception of the Forensic Support Team (FST), created via S.B. 19-223 (Consent Decree). The combining of the Forensic Support Team (FST) and Court Services programs results in challenges to both programs with respect to managing respective program budgets and accurately reflecting the funding needed for each program. The co-mingling of both budgets creates inherent challenges in identifying the true annual cost of each program.

5. Transfer University of Colorado and Board of Medical appropriations, specific to Forensic Services Programming, from Colorado Mental Health Institute at Pueblo appropriations line items to Forensic Services line items:

The Forensic Services appropriation was first introduced as its own long bill line item on July 1, 2018. The funding for Forensic Services included moving specific programs that previously existed within the Colorado Mental Health Institute at Pueblo appropriations. It was discovered that the funding for contract physicians that provide services to Court Services and the Forensic Community-based Services was not transferred in the original request. As a result, the physician expenditures have either continued to be charged to the Mental Health Institute at Pueblo appropriations or transfers have been completed to move the funds to the Forensic Services division using the program's transfer authority.

Proposed Solution

The following sections outline the proposed solution for each of the adjustments.

1. Correction to Forensic Services Appropriations:

This request is to transfer 2.0 FTE and the associated Personal Services appropriation of \$164,804 from (A) Community Behavioral Health Administration, Personal Services and \$1,900 from 8) Office of Behavioral Health (A) Community Behavioral Health Administration, Operating Expenses to (8) Office of Behavioral Health (E) Mental Health Institutes, (3) Forensic Services, Outpatient Competency Restoration Program line item.

Note: This request is technical and therefore not considered on the evidence-based policy continuum.

2. Correction - Transfer from EHR-OIT Long Bill appropriation to CMHIP Personal Services Long Bill appropriation:

Transfer appropriation of \$125,000 from EHR-OIT Long Bill section 2(A) line item to CMHIP Personal Services Long Bill section 8(E) line item. The intent of the funding is to pay for personal services in the OBH CFO's office as part of the Cerner contract. This technical correction request represents \$0 net funds and 0.0 net FTE.

3. Colorado Mental Health Institute Pueblo (CMHIP) appropriation transfer to address historical pharmaceutical line item shortfall:

Transfer \$600,000 in General Fund appropriation from the CMHIP Contract Medical Services Long Bill line item to the CMHIP Pharmaceuticals line item. Contract Medical Services funding has been sufficient for the past few years, but can fluctuate depending on many unforeseeable factors. Approval of this request will not affect the medical care provided to CMHIP patients. If the medical needs of the patient population were to exceed the reduced appropriation in the future, the Department can utilize its transfer authority within the Mental Health Institutes' Long Bill to address unanticipated shortfalls.

4. Separate the Forensic Support Team Program (FST/Navigators) Long Bill appropriation from the Court Services appropriation:

Separate the Forensic Support Team program from Court Services and create its own program Long Bill line item. Separating the FST program from Court Services would allow both programs to apply better budgetary management best practices by accurately reflecting the funding needed for each program. FST is a newer program funded as a result of the Consent Decree. It is therefore crucial for the program to be able to monitor expenditures as its own line item in order to project future needs for the program and services based on changes to the admission and services waitlist for pre-trial defendants.

5. Transfer University of Colorado and Board of Medical appropriations, specific to Forensic Services Programming, from Colorado Mental Health Institute at Pueblo appropriations line items to Forensic Services line items:

Transfer a total of \$1,156,300 in appropriation from (8)(E)(2) Mental Health Institutes at Pueblo to (8)(E)(3) Mental Health Institutes, Forensic Services, Court Services (\$595,300) and (8)(E)(3) Mental Health Institutes, Forensic Services, Forensic Community Based Services (\$561,000).

Theory of Change	Not on the continuum - N/A		
Program Objective	Not on the continuum - N/A		
Outputs being measured	Not on the continuum - N/A		
Outcomes being measured	Not on the continuum - N/A		
Cost/Benefit ratio	N/A		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	N/A		

Anticipated Outcomes

Specific outcomes for each of the requested adjustments are as follows:

1. Correction to Forensic Services Appropriations:

This will align all personal services, operating and contractual services in the correct division and appropriation per the original intent. This request will allow costs to be reflected accurately within the cost centers in which they reside (see table 1, below).

Table 1: Correction to Forensic Services Appropriations/FTE Adjustments		
Long Bill Line	FY 2020-21 General Fund	FTE
(8) Office of Behavioral Health (A) Community Behavioral Health Administration, Personal Services	(\$164,804)	(2.0)
(8) Office of Behavioral Health (A) Community Behavioral Health Administration, Operating Expenses	(\$1,900)	0.0
(8) Office of Behavioral Health (E) Mental Health Institutes (3) Forensic Services, Outpatient Competency Restoration Program	\$166,704	2.0
NET CHANGE	\$0	0.0

2. Correction - Transfer from EHR-OIT Long Bill appropriation to CMHIP Personal Services Long Bill appropriation:

The approval of the requested transfer will result in the funding being booked in the correct OBH line item and will eliminate the need to make manual correction entries to ensure the positions are funded. Table 2 outlines the requested line item transfer.

Table 2: Correction to Electronic Health Record (EHR) Appropriation Adjustments		
Long Bill Line	FY 2020-21 General Fund	FTE
(2) Office of Information Technology Services, Information Technology, Electronic Health Record and Pharmacy System	(\$125,000)	0.0
(8) Office of Behavioral Health, (E) Mental Health Institute at Pueblo, (2) Personal Services	\$125,000	0.0
NET CHANGE	\$0	0.0

3. Colorado Mental Health Institute Pueblo (CMHIP) appropriation transfer to address historical pharmaceutical line item shortfall:

The appropriation transfer would address the known shortfall in the Pharmaceutical line item and likely reduce the transfer needs of the Department. Table 3 illustrates the requested transfer.

Table 3: CMHIP Contract Medical Services and Pharmaceutical Adjustments		
Long Bill Line	FY 2021-22 General Fund	FTE
(8) Office of Behavioral Health, (E) Mental Health Institutes, (2) Contract Medical Services	(\$600,000)	(0.0)
(8) Office of Behavioral Health, (E) Mental Health Institutes, (2) Pharmaceuticals	\$600,000	0.0
NET CHANGE	\$0	0.0

4. Separate the Forensic Support Team Program (FST/Navigators) Long Bill appropriation from the Court Services appropriation:

Separating the FST program from Court Services would allow both programs to apply better budgetary management best practices by accurately reflecting the funding needed for each program and provide consistency in the Long bill Structure of section (E)(3) Forensic Services Division. Table 5 outlines the requested transfers.

Table 5: Separate the Forensic Support Team (FST/Navigators) budget from Court Services		
Long Bill Line	FY 2021-22 General Fund	FTE
(8) Office of Behavioral Health, (E)Mental Health Institutes, (3) Forensic Services, Court Services	(\$1,495,996)	(19.0)
(8) Office of Behavioral Health, (E)Mental Health Institutes, (3) Forensic Services, Forensic Support Team (NEW)	\$1,495,996	19.0
NET CHANGE	\$0	0.0

5. Transfer University of Colorado and Board of Medical appropriations, specific to Forensic Services Programming, from Colorado Mental Health Institute at Pueblo appropriations line items to Forensic Services line items:

The request will place funding for contract physicians in the appropriate program line items and will result in the physician expenditures being charged to correct programs. Table 7 outlines the transfer request.

Table 7: University of Colorado True-up/Board of Medical Appropriation Adjustments		
Long Bill Line	FY 2021-22 General Fund	FTE
(8) Office of Behavioral Health, (E) Mental Health Institutes, (2) Mental Health Institute at Pueblo Personal Services	(\$1,156,300)	0.0
(8) Office of Behavioral Health, (E) Mental Health Institutes, (3) Forensic Services Court Services	\$595,300	0.0
(8) Office of Behavioral Health, (E) Mental Health Institutes, (3) Forensic Services Forensic Community Based Services	\$561,000	0.0
NET CHANGE	\$0	0.0

Assumptions and Calculations

The Assumptions and Calculations as follows are shown for each of the request components.

1. Correction to Forensic Services Appropriations:

The request is calculated based on a reconciliation of the FY 2018-19 funding request R-05e Outpatient Competency Restoration (S.B.17-012) and the FY 2020-21 Long Bill (HB20-1360). The reconciliation identified that the two FTE and the associated operating appropriation were not properly transferred. The 2.0 FTE appropriation was calculated using the current FY 2020-21 salary and non-pots related benefits as well as the originally appropriated operating expenses, see table 1-A below. These calculations do not add, delete or change any of the programmatic goals or operations, it simply places the funding within the correct appropriation line items.

Table 1-A Outpatient Restoration Competency FTE & Operating Detail						
POS#	CURRENT CLASS TITLE	FTE	CURRENT Annual Salary	PERA 10.9%	Medicare 1.45%	Total
IIC-04897	PROGRAM MANAGEMENT II	1.0	\$86,688	\$9,449	\$1,257	\$97,394
IIC-04898	PROGRAM COORDINATOR	1.0	\$60,000	\$6,540	\$870	\$67,410
	Total	2.0	\$146,688	\$15,989	\$1,743	\$164,804
			Operating Expenses	FTE	Expense Amt per FTE	Total
			Expenses	2.0	\$500	\$1,000
			Telephone Expenses	2.0	\$450	\$900
						\$1,900
					Grand Total	\$166,704

2. Correction - Transfer from EHR-OIT Long Bill appropriation to CMHIP Personal Services Long Bill appropriation:

This request is calculated on actual budget allocations that are appropriated within the incorrect Long Bill line items. These calculations do not add, delete or change any of the programmatic goals or operations, it simply places the funding within the correct appropriation line item.

3. Colorado Mental Health Institute Pueblo (CMHIP) appropriation transfer to address historical pharmaceutical line item shortfall:

The FY 2018-19 and FY 2019-20 calculations are based on actual expenditures for those respective periods. FY 2020-21 projected expenditures are based on year-to-date actuals (July 2020 through April 2021) and the assumption of a consistent burn rate for the final two months of the year (May through June). Table 4 illustrates the most recent year's actuals and projected shortfalls in the Pharmaceuticals line item.

Table 4: CMHIP Contract Medical and Pharmaceuticals Actuals/Projections			
	FY 2018-19 (Actuals)	FY 2019-20 (Actuals)	FY 2020-21 (Projections)
CMHIP Contract Medical Surplus	\$519,918	\$1,015,793	\$1,035,796
CMHIP Pharmaceutical Shortfall	(\$622,0250)	(\$595,389)	(\$573,787)

4. Separate the Forensic Support Team Program (FST/Navigators) Long Bill appropriation from the Court Services appropriation:

This request is calculated based on the amount awarded by S.B. 19-223 also referred to as the Consent Decree. The FTE and operating detail can be found in Table 6, below. These calculations do not add, delete or change any of the programmatic goals or operations, it simply places the funding within the correct appropriation line item.

CURRENT CLASS TITLE	FTE	CURRENT Annual Salary	PERA 10.9%	Medicare 1.45%	Total
HEALTH PROFESSIONAL III	15.0	\$969,468	\$105,672	\$14,057	\$1,089,197
SOCIAL WORK/COUNSELOR IV	2.0	\$144,768	\$15,780	\$2,099	\$162,647
PROGRAM MANAGEMENT III	1.0	\$105,000	\$11,445	\$1,523	\$117,968
PROGRAM ASSISTANT II	1.0	\$51,744	\$5,640	\$750	\$58,134
Total	19.0	\$1,270,980	\$138,537	\$18,429	\$1,427,946
		Operating Expenses	FTE	Expense Amt per FTE	Total
		Expenses	19.0	\$500	\$9,500
		Telephone Expenses	19.0	\$450	\$8,550
		Travel Expenses	1.0	\$50,000	\$50,000
				Total	\$68,050
				Grand Total	\$1,495,996

5. Transfer University of Colorado and Board of Medical appropriations, specific to Forensic Services Programming, from Colorado Mental Health Institute at Pueblo appropriations line items to Forensic Services line items:

This request was calculated utilizing a three year reporting analysis of the Colorado Mental Health Institute at Pueblo’s personal services appropriation. Once it was identified that contracted physician funding was not transferred to the Forensic Services Department, a Department analysis and review of expenditures was completed. The amounts agreed upon are detailed in Table. These calculations do not add, delete or change any of the programmatic goals or operations, it simply places the funding within the correct appropriation line item.

Table 7: CMHIP Personal Service 3 Year Analysis

Sub Unit	Dept. Obj Code	Dept. Obj Description	3 Year Average Expenditure
FCBS-Forensic Community Based Services			\$561,000
	1340	UCHSC Nurse Pract/Phys Ast Svc	\$180,000
	1390	UCHSC Purch Svc Medical	\$375,000
	1470	Non-UCHSC Phys Evaluations	\$6,000
NICE-Court Services			\$595,300
	1380	UCHSC Phys Evaluations	\$475,300
	1470	Non-UCHSC Phys Evaluations	\$120,000
Grand Total			\$1,156,300

Table 8: Summary of Proposed General Fund Appropriation Adjustments

Request Number	FY 2020-21 Long Bill Line Item	General Fund (Current)	General Fund (Proposed)	General Fund (Adjustment)
1	(8) (A) OBH-Community Behavioral Health Admin	\$2,423,128	\$2,256,424	-\$166,704
1	(8) (E) (3) OBH-Mental Health Institutes, Forensic Services Outpatient Competency Restoration Program	\$3,628,772	\$3,795,476	\$166,704
2	(2) Office of Information Technology Services, (A) Information Technology Electronic Health Record and Pharmacy System	\$2,528,802	\$2,403,802	-\$125,000
2	(8) Office of Behavioral Health, (E) Mental Health Institutes, (2) Personal Services	\$82,982,780	\$83,107,780	\$125,000
3	(8) Office of Behavioral Health, (E) Mental Health Institutes, (2) Contract Medical Services	\$2,592,833	\$1,992,833	-\$600,000
3	(8) Office of Behavioral Health, (E) Mental Health Institutes, (2) Pharmaceuticals	\$4,019,355	\$4,619,355	\$600,000
4	(8) (E) (3) OBH-Mental Health Institutes, Forensic Services,	\$7,663,240	\$6,167,244	-\$1,495,996

	Court Services			
4	(8) (E) (NEW) OBH-Mental Health Institutes, Forensic Services, Court Services	\$0	\$1,495,996	\$1,495,996
5	(8) (E) (2) OBH-Mental Health Institutes, Pueblo	\$82,982,780	\$81,826,480	-\$1,156,300
5	(8) (E) (3) OBH-Mental Health Institutes, Forensic Services, Court Services	\$7,663,240	\$8,258,540	\$595,300
5	(8) (E) (3) OBH-Mental Health Institutes, Forensic Services, Forensic Community-Based Services	\$3,358,441	\$3,919,441	\$561,000
Totals		\$199,843,371	\$199,843,371	\$0

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-14 Adult Protective Services Data System

Dept. Approval By: _____

Supplemental FY 2021-22

OSPB Approval By: _____

Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$1,483,693	\$0	\$1,505,134	\$0	\$0
	FTE	9.4	0.0	9.5	1.0	1.0
Total of All Line Items Impacted by Change Request	GF	\$1,390,493	\$0	\$1,411,934	\$0	\$0
	CF	\$93,200	\$0	\$93,200	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$260,629	\$0	\$260,629	(\$104,475)	(\$105,976)
02. Office of Information Technology Services, (A) Information Technology, (1) Information Technology - Adult Protective Services	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$238,229	\$0	\$238,229	(\$104,475)	(\$105,976)
	CF	\$22,400	\$0	\$22,400	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

	Total	\$1,223,064	\$0	\$1,244,505	\$104,475	\$105,976
10. Adult Assistance Programs, (E) Adult Protective Services, (1) Adult Protective Services - State Administration	FTE	9.4	0.0	9.5	1.0	1.0
	GF	\$1,152,264	\$0	\$1,173,705	\$104,475	\$105,976
	CF	\$70,800	\$0	\$70,800	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request

**Interagency Approval or
Related Schedule 13s:**

No Other Agency Impact

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUESTS: The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report. Budget amounts, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will allow you to view the report performance. If you require troubleshooting assistance, a copy of this cover page will be necessary. Please use the Helpful Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports</p> <p>*** Query Name SF 000 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 1514 Review Duplicate Row: ON</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTTY Review Duplicate Row: ON</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name SD CVApp *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name Prog_Base *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p>	
<p>Summary of Each Query</p> <p>*** Query Name SF 000 ***</p> <p>*** Query Properties: *** Universal PS - Budget Form Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 4 Number of rows: 3 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_REQUESTS; SD_RV_REQUESTS; ED) AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (1514)))</p> <p>*** Query Name SF 060 ***</p> <p>*** Query Properties: *** Universal PS - Budget Form Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 1 Number of rows: 0 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_CV_SUPPLEMENTALS; SD_CV_SUPPLEMENTALS; ED) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTTY)))</p> <p>*** Query Name SF 010 020 ***</p> <p>*** Query Properties: *** Universal PS - Budget Form Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 4 Number of rows: 15 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_BASE; ADJ; ADMIN_ONLY; 1) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code In List (DPL;D504 AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2))</p> <p>*** Query Name SD CVApp ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 2 Number of rows: 11 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3))</p> <p>*** Query Name Prog_Base ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 3 Number of rows: 24 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR; RV_BASE)))</p> <p>*** Query Name Prog_VLVS ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 1 Number of rows: 858 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 5 AND Program External Level Equal 7))</p> <p>*** Query Name Prog_VLVA ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 1 Number of rows: 688 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 4 AND Program External Level Equal 7))</p> <p>*** Query Name: Time Period Labels ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 5:44 PM Last Execution Duration: 1 Number of rows: 18 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Time Period Code, Time Period Report Column Label 1, Time Period Report Column Label 2, Time Period Report Column Label 3 Filters: Time Period Code In List (CV_APPR; RV_BASE; RV_MV1; RV2)))</p>	

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Recent Updates to Schedule 13

- August 2021
- Rebuilt with new cover page

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*** Filter on Report Schedule 13 ***

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Budget Request Code is Not Null

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**Department Priority: R-14
Adult Protective Services Data System**

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$1,310,342	\$0	\$0
FTE	8.5	1.0	1.0
General Fund	\$1,217,142	\$0	\$0
Cash Funds	\$93,200	0	0
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$0	\$0	\$0

Summary of Request

The Department of Human Services (DHS, Department) requests a cost-neutral budget adjustment to shift \$105,000 General Fund from the Adult Protective Services (APS) Data System Long Bill line item to the APS State Administration line item to be utilized for maintaining the Colorado Adult Protective Services (CAPS) data system. CAPS is the data system used by the State and County Departments of Human Services to maintain data on reports and cases involving mistreatment of at-risk adults and provision of protective services. CAPS also contains the data related to CAPS background checks which ensure that persons who provide medical and caretaker services to at-risk adults, or who may be appointed as a guardian or conservator of an at-risk adult, have not been substantiated in a case of mistreatment against an at-risk adult. The Colorado APS statute defines an at-risk adult as a person 18 years of age or older who is susceptible to mistreatment or self-neglect because they are unable to perform or obtain services necessary for their health, safety, and welfare, or someone who lacks sufficient understanding or capacity to make responsible decisions about their life.

This cost-neutral budget request would allow the APS program to hire an additional data system administrator to keep up with the increasing workload demands associated with the maintenance and support of the system. In FY 2020-21, there were 567 CAPS users (a 2.6 percent increase from FY 2019-2020). This request includes one FTE in lieu of hiring expensive contract services to support the system.

Current Program

The Colorado Adult Protective Services data system (CAPS), is maintained by APS staff at CDHS. The workload for the CAPS system administrators continues to grow every year due to:

- Increased numbers of users requiring training and assistance (up more than 900 percent since CAPS went live in FY 2014-15);
- Increased programs that are incorporated into CAPS (due process, CAPS check requests, etc.);
- The need to continually monitor and install additional security measures to guard against cyber threats;
- Ongoing requirements from the Office of Information Technology (OIT) on specific security and maintenance that must be completed;
- New statutes and rules that drive changes on a nearly annual basis (for example, H.B. 20-1302 in the 2020 legislative session, as well as H.B.21-1123 and S.B. 21-118 in the 2021 legislative session) will impact CAPS workload;and
- Improvements to CAPS identified by the system administrations, state and county APS staff, and the employers who request CAPS checks.

The APS Data System line is funded for the purpose of maintaining CAPS. Historically, a vendor would complete this work as the knowledge base within the state APS team was not sufficient or skilled enough to make the changes. Over the last two years, the work of maintaining the system and implementing new functionality has transitioned to internal Salesforce staff rather than through a vendor. This allows us to complete changes in the CAPS system at a much lower rate and much quicker than through a contractor. For example, our former contractor charged nearly four and a half times per hour over the salary of our CAPS administrators. This request is to shift \$105,000 of General Fund from the APS Data System line item to the APS State Administration line item to be utilized for an FTE to keep up with the increasing workload demands associated with the maintenance and support of CAPS. Statutory change is not needed for this budget request.

Problem or Opportunity

The workload for the coming year includes converting CAPS to the updated Salesforce Lightning functionality from the current Salesforce Classic iteration. This is an OIT requirement that has increased workload. Additionally, new legislation will add additional workload to the already stretched CAPS administrators.

As noted, the workload to maintain the CAPS data system continues to grow each year due to increased users requiring support and training, general maintenance needs, identified system improvement needs, and new legislation that must be enacted. For example, there are three bills that have been signed into law that will add additional workload to the already stretched CAPS administrators (S.B. 21-131, S.B. 21-118, and H.B. 21-1123). OIT

has required that Salesforce systems be updated to the new Lightning Experience by June 2022, which is an extremely heavy workload given the complexity of CAPS.

Proposed Solution

This cost-neutral request is to move \$105,000 General Fund from the APS Data System line to the APS State Administration line for purposes of maintaining and improving the CAPS data system. The funding that is moved will be utilized to pay for internal Salesforce administrators and developers responsible for the maintenance and support of the system.

If this request is not approved, the current CAPS system administrators will be overworked and unable to complete all necessary tasks. The Department will be forced to consider a budget request for hundreds of thousands of dollars to utilize expensive contract services to get needed changes as the \$105,000 available currently for contract services is not remotely close to the cost of the CAPS projects that are outstanding. For example, in 2019, the former CAPS vendor quoted a price of \$650,000 to convert CAPS to Lightning, proposing six staff (approximately three FTE) and requiring 10 months to complete the project. The CAPS administrators must also rebuild the intake function in CAPS as the previous vendor's coding is creating system defects that must be corrected. In 2017, the former CAPS vendor charged \$320,000 to complete a project of similar scope. The project took four staff (approximately two FTE) six months to complete the project.

Theory of Change	The APS program will conduct efficient and effective protective services for Colorado's vulnerable adults.		
Program Objective	Reduce data system obstacles to providing efficient and effective APS services statewide.		
Outputs being measured	CAPS data system contains all the case information on APS cases such as caseworker assigned to the case, client, alleged perpetrators, witnesses, case details, summary, findings, etc. In addition, CAPS includes information on CAPS background checks such as number of checks, number of employers, substantiated findings reported to employers. Table 1 illustrates three different categories from which data is measured.		
	Table 1		
	CAPS Checks Completed (excludes those closed for non-payment)	# of Substantiated Findings	# Distinct Employer Registrations with CAPS Checks
	FY20	180,124	382
	FY21	111,632	445
Outcomes being measured	The CAPS administrators will be able to complete the outstanding maintenance and improvement projects, such as those outlined in this request, by statutory deadlines and before CAPS defects cause system failure.		

Cost/Benefit ratio	Approving this 100% cost-neutral request will save the state at least \$900,000, on just two of the outstanding CAPS projects vs paying a contracted vendor to complete the projects.		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	Step 2		

Anticipated Outcomes

This request to move funds from the APS Data System Line to the APS State Administration line will allow the State APS Program staff to hire a much needed data system administrator and will be fully cost neutral on the State’s General Fund budget. The additional staff will ensure:

- Conversion to Lightning without incurring the cost of a contracted vendor (minimum of \$650,000 in savings);
- Rebuild of the Intake functionality without incurring the cost of a contracted vendor (minimum of \$230,000 in savings);
- Implementation of multi-factor authentication without incurring the cost of a contracted vendor;
- Successful implementation of SB21-190, SB21-118, and HB21-1123 without incurring the cost of a contracted vendor;
- Continued quick response and resolution to CAPS user help tickets;
- Continued quality training for new CAPS users; and
- Outcomes for at-risk adults are not impacted by a potentially non-functioning intake and case management system

Assumptions and Calculations

This technical adjustment ensures that Colorado does not have to pay four times the rate for contract services by soliciting an outside vendor to do the work that internal staff have been doing. The additional FTE ensures that the current CAPS system administrators will not continue to be overworked and unable to complete all necessary tasks and can achieve a successful work-life balance.

Table 2 illustrates the changes requested and line items affected:

Table 2: Summary of Funding Changes			
	FY 2021-22 Appropriation	FY 2022-23 Changes Requested	FY 2022-23 and Beyond Totals
(2) Office of Information Technology Services, (A) Information Technology, Adult Protective Services Data System			
Total Funds	\$260,629	(\$105,000)	\$155,629
FTE	0	0	0
General Fund	\$238,229	(\$105,000)	\$133,229
Cash Funds	\$22,400	0	\$22,400
(10) Adult Assistance Programs (E) Adult Protective Services, State Administration			
Total Funds	\$1,049,713	\$105,000	\$1,154,713
FTE	8.5	1	9.5
General Fund	\$978,913	\$105,000	\$1,083,913
Cash Funds	\$70,800	0	\$70,800

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-15 DYS Parole and Transition Services Caseload Reduction

Dept. Approval By: _____

Supplemental FY 2021-22

OSPB Approval By: _____

Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$4,769,063	\$0	\$4,769,063	(\$533,784)	(\$533,784)
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$4,769,063	\$0	\$4,769,063	(\$533,784)	(\$533,784)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$4,769,063	\$0	\$4,769,063	(\$533,784)	(\$533,784)
	FTE	0.0	0.0	0.0	0.0	0.0
11. Division of Youth Services, (C) Community Programs, (1) Community Programs - Parole Program Services	GF	\$4,769,063	\$0	\$4,769,063	(\$533,784)	(\$533,784)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUESTS: The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report. Budget amendments, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will allow you to view the report performance. If you require troubleshooting assistance, a copy of the cover page will be necessary. Please see the Helpful Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports:</p> <p>--- Query Name SF 00 --- Enter Cabinet (One Letter Code): I Decision Item Req Code: 15021 --- Query Name SF 060 --- Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTTY --- Query Name SF 010 020 --- Enter Cabinet (One Letter Code): I --- Query Name SD CVApp --- Enter Cabinet (One Letter Code): I --- Query Name Prog_Base --- Enter Cabinet (One Letter Code): I</p>	
<p>Summary of Each Query</p> <p>--- Query Name SF 00 --- --- Query Properties: Universal PB - Budget Form Last Refresh Date: 10/25/21 5:42 PM Last Execution Duration: 4 Number of rows: 1 Retrieve Duplicate Row: ON</p> <p>--- Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List ([SD_RV_REQUESTS; SD_RV_REQUESTS; ED]) AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (15021))</p> <p>--- Query Name SF 060 --- --- Query Properties: Universal PB - Budget Form Last Refresh Date: 10/25/21 5:42 PM Last Execution Duration: 1 Number of rows: 3 Retrieve Duplicate Row: ON</p> <p>--- Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List ([060_CV_SUPPLEMENTALS; 060_CV_SUPPLEMENTAL; ED]) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTTY))</p> <p>--- Query Name SF 010 020 --- --- Query Properties: Universal PB - Budget Form Last Refresh Date: 10/25/21 5:42 PM Last Execution Duration: 4 Number of rows: 3 Retrieve Duplicate Row: ON</p> <p>--- Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List ([060_CV_SUPPLEMENTALS; 060_CV_SUPPLEMENTAL; ED]) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Parent Fund Type External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTTY))</p> <p>--- Query Name SD CVApp --- --- Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:42 PM Last Execution Duration: 3 Number of rows: 3 Retrieve Duplicate Row: ON</p> <p>--- Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 6 AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR; RV_BASE))</p> <p>--- Query Name Prog_Base --- --- Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:42 PM Last Execution Duration: 3 Number of rows: 6 Retrieve Duplicate Row: ON</p> <p>--- Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 6 AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR; RV_BASE))</p> <p>--- Query Name Prog_VLVS --- --- Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:42 PM Last Execution Duration: 1 Number of rows: 858 Retrieve Duplicate Row: ON</p> <p>--- Query Definition: Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 5 AND Program External Level Equal 7)</p> <p>--- Query Name Prog_VL4 --- --- Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:42 PM Last Execution Duration: 1 Number of rows: 688 Retrieve Duplicate Row: ON</p> <p>--- Query Definition: Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 4 AND Program External Level Equal 7)</p> <p>--- Query Name: Time Period Labels --- --- Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:42 PM Last Execution Duration: 1 Number of rows: 18 Retrieve Duplicate Row: ON</p> <p>--- Query Definition: Result Objects: Time Period Code, Time Period Report Column Label 1, Time Period Report Column Label 2, Time Period Report Column Label 3 Filters: Time Period Code In List (CV_APPR; RV_BASE; RV_MV1; RV2))</p>	

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- Rebuilt with new cover page

Report Errors

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Department Priority: R-15
Request Detail: DYS Parole and Transition Services Caseload Reduction

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$4,769,063	(\$533,784)	(\$533,784)
FTE	0.0	0.0	0.0
General Fund	\$4,769,063	(\$533,784)	(\$533,784)
Cash Funds	\$0	\$0	\$0
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$0	\$0	\$0

Summary of Request

The Department of Human Services (DHS) Office of Children, Youth & Families (OCYF) requests an ongoing reduction of \$533,784 total funds/General Fund in the Community Programs Parole and Transition Programs appropriation beginning in FY 2022-23 in order to better align with caseload. DHS does not anticipate negative impacts to youth outcomes as a result of this reduction, nor does it anticipate a denial of or reduction in services. DHS will continue to have the resources to appropriately fund transition and parole services beginning at the 90-day pre-parole period, thereby ensuring youth have the services they need to successfully reintegrate into their home communities.

Current Program

DHS's Division of Youth Services (DYS, Division) Parole and Transition Services program assists youth with a successful transition from the commitment setting through a 90-day pre-parole period and through the successful completion of parole. Client managers and Juvenile Parole Officers provide supervision of committed youth transitioning through the parole process, which includes the development, implementation, and monitoring of a robust parole plan. The services purchased for transition and parole are almost wholly spent with private providers which include (but are not limited to) outpatient substance use treatment, individual and group therapy, employment and job coaching, tutoring, and general transition counseling. These providers are managed through a Provider Managed Network with whom the Division has a contract, and services may be provided to youth while still in a State facility or contracted placement facility in advance of parole and throughout the parole process.

Problem or Opportunity

During FY 2020-21, DHS requested and received a supplemental budget action to reduce the appropriation by \$533,784 based on a reduced average daily population (ADP) of youth committed to the DHS as well as limitations on some face-to-face services that COVID-19 restrictions prevented from being delivered.

DHS has also identified an additional factor that has contributed to the underutilization of this appropriation during recent years and is requesting this budget action in order to right-size the appropriation based on projected need. Over the past several years, DHS has requested and received increased behavioral health resources for State-operated facilities which have supported the behavioral health services of committed youth for the duration of their time prior to the 90-day pre-parole period. These increased behavioral health resources have reduced DHS' reliance on using the Parole and Transition Services appropriation to supplement these services prior to the 90-day pre-parole period, which it once relied on.

As a result, the Department has the opportunity to align the appropriation with projected expenditures and allow the excess General Fund to be used for other State purposes.

Proposed Solution

DHS requests an ongoing reduction to its Parole and Transition Services program of \$533,784 of General Fund to correspond with the decline in the number of youth accessing parole and transition services. If this request is not approved, projects there will be a likely year-end reversion of this funding.

Theory of Change	N/A
Program Objective	Provide services to assist youth with a successful transition from the commitment setting and through the successful completion of parole.

Outputs being measured	<ul style="list-style-type: none"> • Number of youth referred to provider/program/service; number of youth served by provider/program/service; • Service refusal rate (youth refused and provider refused); and • Demographics of youth served. 		
Outcomes being measured	Improvements in targeted needs and other youth outcomes such as education and employment.		
Cost/Benefit ratio	N/A		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	Step 2- Identify Outputs		

Anticipated Outcomes

DHS does not anticipate negative impacts to youth outcomes as a result of this reduction nor does it anticipate a denial of or reduction in services. DHS will continue to have the resources to appropriately fund transition and parole services beginning at the 90-day pre-parole period, thereby ensuring youth have the services they need to successfully reintegrate into their home communities.

If this request is not approved, DHS anticipates reversions of the unspent General Fund at the end of the fiscal year.

Assumptions and Calculations

DHS is basing this request on the continuation of the FY 2020-21 supplemental budget reduction action. This budget request was based on the desire to right-size the appropriation based on the decline in population over time, and was calculated to correspond with the decline in parole ADP since FY 2010-11.

Table 1: ADP and Appropriation Comparison

Fiscal Year	Parole ADP
FY 2010-11	443.2
FY 2018-19	213.9
FY 2019-20*	207.0
ADP Decrease	(242.9)

% Decrease	(54.8%)
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*FY19 -20 is the most recent full year data available at the time of drafting this request

In FY 2010-11, the General Assembly reduced the appropriation by \$1,600,000, to approximately \$4,300,000. Since that time, there was another significant budget action to fund additional services that increased the appropriation by approximately \$600,000 to support enhanced apprehension capabilities and an Intensive Parole Services model. The FY 2020-21 budget action to decrease the Parole Program Services line was calculated based on right-sizing the need to support these increased services balanced with a decline in population.

The FY 2021-22 appropriation was considered by the Joint Budget Committee based on a Per Youth need funding model, which accounted for the enhanced apprehension capabilities and the Intensive Parole Services model. The FY 2021-22 appropriation equated to per youth funding of approximately \$23,810, however, accounting for the FY 2020-21 (\$850K) reduction, DHS calculates the per youth need in the range of \$21,000 - \$22,000.

Table 2: Per Youth Funding Comparison

Fiscal Year	ADP	Appropriation	Per Youth
FY 2020-21	200.3	\$ 4,961,248	\$ 24,769
FY 2020-21 Supplemental Reduction		(\$850,000)	
FY 2020-21 Final Appropriation		\$4,111,248	\$ 20,525
FY 2021-22 Decision Item/JBC Reduction		(\$ 316,216)	
FY 2021-22	200.3	\$ 4,769,063*	\$ 23,810
FY 2022-23 Proposed Reduction		(\$ 533,784)	
FY 2022-23 <i>Reduction Request</i>	200.3	\$ 4,235,279	\$ 21,145

*Includes Common policy provider rate increase of \$124,031 for a net reduction of (\$192,185)

Table 3: Reversion History

FY	Budget	Expended	Reverted
FY15	\$4,368,967	\$4,242,065	\$126,902
FY16	\$4,888,342	\$4,830,487	\$57,855
FY17	\$4,888,342	\$4,637,654	\$250,688

FY18	\$4,956,867	\$4,955,066	\$1,801
FY19	\$4,961,248	\$4,828,362	\$132,886
FY20	\$4,961,248	\$4,621,839	\$339,409
FY21	\$4,060,974	\$3,621,337	\$439,637
TOTAL	\$33,085,988	\$31,736,810	\$1,349,178
		95.9%	4.1%

**FY21 Budget reduced from \$4,961,248 via FY21 Supplemental and FY22 rollforward of \$50,274.38*

Supplemental, 1331 Supplemental or Budget Amendment Criteria

Not applicable.



Department Priority: R-16
Aid to the Needy Disabled Caseload Reduction

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$16,144,238	(\$2,750,000)	(\$2,750,000)
FTE	0.0	0.0	0.0
General Fund	\$9,854,065	(\$2,200,000)	(\$2,200,000)
Cash Funds	\$6,290,173	(\$550,000)	(\$550,000)
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$0	\$0	\$0

Summary of Request

The Colorado Department of Human Services (Department, DHS) proposes a reduction of \$2,750,000 in total funds, including \$2,200,000 General Fund and \$550,000 (informational) cash funds, and 0.0 FTE, to the Aid to the Needy Disabled (AND) program in FY 2022-23 and in all future years. The reduction does not change the monthly benefit for AND participants. The budget request trues-up the appropriation, reflecting projected savings from recent programmatic under-expenditures.

Current Program

The Aid to the Needy Disabled (AND) program provides cash assistance to low-income individuals under the age of 60 with a disability that prevents them from working. In FY 2021-22, the total appropriation for the AND program is \$16,144,238, representing \$9,854,065 General Fund and \$6,290,173 cash funds.

The cash funds are split in three ways:

- \$3.4 million local funds to meet the counties' statutory match of twenty percent
- \$2.9 million from federal Interim Assistance Reimbursements (IARs)
- State revenue intercepts (i.e. an interception of a tax refund to offset a past debt)

Despite a single appropriation, AND programming is split into the Colorado Supplemental (CS) and State-Only (SO) programs:

- AND-CS provides a supplemental cash benefit to individuals ages 0-59 who are receiving a prorated amount of the Supplemental Security Income (SSI) benefit. The maximum benefit mirrors the SSI amount of \$794 and is adjusted annually to reflect the cost of living adjustment (COLA) that is applied to the SSI benefit. AND-CS benefits are prorated by the amount of SSI the client receives.
- The AND-SO program provides a cash benefit to individuals ages 18-59 who are disabled and cannot work. Program participants must actively seek SSI benefits to be eligible for AND-SO. Program benefits are defined in statute (26-2-119 C.R.S. 2020), whereby the required minimum benefit for AND-SO recipients must be equal to the 2013-14 level plus 8%. Currently, this benefit is \$217 per month.

Program eligibility is determined by county departments of human/social services; payments are issued through the Colorado Benefits Management System (CBMS). Pursuant to Colorado Revised Statute, Section 26-2-111(4)(b.5)(I) C.R.S. (2020), applicants and recipients of the AND benefit must apply for and accept any available federal benefits. When an AND recipient attaches to SSI, the federal government reimburses DHSt for the amount of AND benefits, retroactive to the application date.

In the last five years, the AND caseload and expenditures have decreased. In FY 2014-15, the total program expenditures were \$18.6 million; in FY 2018-19, total expenditures dropped to \$15.4 million. Given this decline, the General Assembly approved a \$2.7 million General Fund reduction in FY 2020-21.

Available evidence indicates that the AND program meets its intended purpose to support low-income Coloradans who are unable to work. While there are limited evaluations on the effectiveness of cash benefits, the program's best outcome is the direct cash subsidy provided to eligible recipients. However, the total annual benefit for an AND-SO recipient is \$2,604, about twenty percent of the Federal Poverty Level for a single individual. Sustained caseload declines have made it difficult to assess the demand for the program.

Problem or Opportunity

The COVID-19 pandemic introduced uncertainty to the state’s budget. Declining revenues were offset by massive federal investments, and as revenue rebounded, some program enrollments returned to their pre-Pandemic levels. However, this was not the case for the AND program. The caseload was on a downward trajectory prior to the pandemic; that decline has accelerated in FY 2020-21. DHS presumes this is partly due to federal offices being closed, which made it more difficult for AND clients to seek SSI benefits, a precondition of the AND Program.

While the underlying root of the caseload decline is difficult to pinpoint, it is precipitous and has resulted in the appropriation being underspent in recent years. Additionally, DHS has prioritized spending General Fund versus the state’s share of the cash fund appropriation, yet overall program spending continued to drop. Given the underspending, the General Assembly reduced the program appropriation by \$2.7 million in FY 2020-21 as a budget balancing action in response to the COVID-19 pandemic’s effect on state revenue. This balancing action has resulted in an ongoing reduction, which is factored into the assumptions and calculations.

State Fiscal Year	Caseload	Total Expenditures
2016-17	7,605	\$17,554,689
2017-18	6,481	\$14,708,014
2018-19	6,012	\$15,361,972
2019-20	5,714	\$15,930,386
2020-21	5,172	\$11,267,102

Proposed Solution

DHS proposes reducing the AND appropriation by \$2,750,000 total funds, including \$2,200,000 General Fund and \$550,000 cash funds in FY 2022-23 and ongoing. This solution was identified due to underspending in the AND program in recent years that is

projected to continue. This proposal avoids reducing participants’ benefits, while maintaining the current program structure.

The declining caseload has reduced General Fund expenditures for the AND program and has resulted in under-utilization of the available cash funds via Interim Assistance Reimbursements (IARs) and other intercepts. Because these cash funds are considered “State support” for the AND program, DHS can shift the program expenditures to the unused cash funds without impacting program benefits.

This proposal will also impact the Supplemental Security Income (SSI) Stabilization Fund. This fund, codified at Section 26-2-210 C.R.S. (2020), is continuously appropriated to enable supplemental payments to Colorado’s SSI recipients. The fund balance is statutorily set at 20% of the total AND appropriation. Thus, this proposed AND reduction will subsequently reduce the allowable reserve balance.

Theory of Change	Providing cash assistance reduces poverty among a very vulnerable population.		
Program Objective	Support low-income individuals who are disabled and cannot work.		
Outputs being measured	The number of individuals receiving benefits and the timeliness of application processing.		
Outcomes being measured	Number of AND recipients moving to SSI and the interim assistance reimbursements collected.		
Cost/Benefit ratio	N/A		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	Step 2 - Identify Outputs		

Anticipated Outcomes

Because this request does not reduce benefits or program access, DHS does not anticipate a change in program outcomes. AND is a safety net program for disabled Coloradans that cannot work. The cash assistance will support an average of approximately 3,500 Coloradans each month while they await SSI determination.

Assumptions and Calculations

This request relies on reasonable projections of future caseloads and expenditures.

Caseload Assumptions:

- AND-SO: 3,500 cases per month. This estimate is based on recent monthly averages and factors in a slight increase in cases to account for the increased access to federal offices in the coming year. (This caseload was more directly influenced by federal office closures since recipients are required to seek federal benefits.)
- AND-CS: 455 cases per month. This is the average caseload based on monthly recipients from the most recent fiscal year. It is unlikely to change significantly in the near future.

Expenditure Assumptions:

- AND-SO: Monthly expenditures of \$938,000 (3,500 average caseload x \$268) x 12 months = \$11,256,000. The monthly benefit reflects implementation of a planned payment increase for AND-SO in FY 2021-22 to account for inflation in recent years;
- AND-CS: Monthly expenditures of \$94,472 (455 average caseload x \$207.63) x 12 months = \$1,133,664; and
- Adult Financial Maintenance of Effort (MOE) payments: Estimated at \$1,000,000 annually. In June and December, the Department makes payments to eligible SSI recipients, including AND participants. Projecting the exact amount of these payments is difficult. However, the projections indicate that \$1 million is likely sufficient. MOE payments are only made to the AND-CS recipients, who comprise a relatively small portion of the total AND clientele.

Total Spending Projections:

- Annualizing the monthly projections, the total AND expenditures are projected to be \$13,389,664:
 - a. State spending projection is \$10,711,731 (80% of the total AND-SO, AND-CS, and MOE payments). This includes State cash funds spending from Interim Assessment Reimbursements.
 - b. County spending projection is \$2,677,933 (20% of the total AND-SO, AND-CS, and MOE payments).
- Projected under expenditure is \$2,754,586 ($\$16,144,238 - \$13,389,664 = \$2,754,574$)
- DHS proposes a reduction of \$2,750,000, \$2,200,000 General Fund and \$550,000 Cash Fund. The remaining \$4,574 in funding will provide a small buffer to offset any minor, unanticipated shifts in caseload or monthly benefits.

DHS is proposing a program reduction equal to \$2,750,000 in total funds. This proposal avoids reducing participants' benefits, while maintaining the current program structure. DHS will continue to monitor AND applications. If there is an unanticipated rise in caseload, will consider a supplemental as part of the regular budget process.

Supplemental, 1331 Supplemental or Budget Amendment Criteria

Not applicable.

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-16 Aid to Needy Disabled Caseload Reduction

Dept. Approval By: _____

Supplemental FY 2021-22

OSPB Approval By: _____

Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$16,144,238	\$0	\$16,144,238	(\$2,750,000)	(\$2,750,000)
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$9,854,065	\$0	\$9,854,065	(\$2,200,000)	(\$2,200,000)
	CF	\$6,290,173	\$0	\$6,290,173	(\$550,000)	(\$550,000)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$16,144,238	\$0	\$16,144,238	(\$2,750,000)	(\$2,750,000)
	FTE	0.0	0.0	0.0	0.0	0.0
10. Adult Assistance Programs, (C) Other Grant Programs, (1) Other Grant Programs - Aid to the Needy Disabled Programs	GF	\$9,854,065	\$0	\$9,854,065	(\$2,200,000)	(\$2,200,000)
	CF	\$6,290,173	\$0	\$6,290,173	(\$550,000)	(\$550,000)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUEST. The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report. Budget amounts, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
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<p>Summary of Each Query</p> <p>*** Query Name SF 00 *** Query Properties: Universal PB - Budget Form Last Refresh Date: 10/25/21 5:41 PM Last Execution Duration: 4 Number of rows: 2 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (CO_RV_REQUESTS; CO_RV_REQUESTS; ED) AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (15022))</p> <p>*** Query Name SF 060 *** Query Properties: Universal PB - Budget Form Last Refresh Date: 10/25/21 5:41 PM Last Execution Duration: 1 Number of rows: 3 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (060_CV_SUPPLEMENTALS; 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ADJ; 00_ADMIN_ONLY; 1) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2)</p> <p>*** Query Name SD CV Appr *** Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:41 PM Last Execution Duration: 3 Number of rows: 3 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 6 AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Fund Type Code In List (CV_APPR)) AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR; RV_BASE))</p> <p>*** Query Name Prog_Base *** Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:41 PM Last Execution Duration: 3 Number of rows: 6 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 6 AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Fund Type Code In List (CV_APPR)) AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR; RV_BASE))</p> <p>*** Query Name Prog_VLVS *** Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:41 PM Last Execution Duration: 1 Number of rows: 858 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 4 AND Program External Level Equal 7)</p> <p>*** Query Name Prog_VLVS *** Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:41 PM Last Execution Duration: 1 Number of rows: 688 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 4 AND Program External Level Equal 7)</p> <p>*** Query Name: Time Period Labels *** Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:41 PM Last Execution Duration: 1 Number of rows: 18 Retrieve Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Time Period Code, Time Period Report Column Label 1, Time Period Report Column Label 2, Time Period Report Column Label 3 Filters: Time Period Code In List (CV_APPR; 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- August 2021
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Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-17 Realign Child Welfare Hotline Budget

Dept. Approval By: _____ Supplemental FY 2021-22

OSPB Approval By: _____ Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$3,425,372	\$0	\$3,434,601	(\$457,787)	\$0
	FTE	6.0	0.0	6.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$3,373,645	\$0	\$3,382,874	(\$457,787)	\$0
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$51,727	\$0	\$51,727	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$3,425,372	\$0	\$3,434,601	(\$457,787)	\$0
	FTE	6.0	0.0	6.0	0.0	0.0
05. Division of Child Welfare, (A) Division of Child Welfare, (1) Division of Child Welfare - Hotline for Child Abuse and Neglect	GF	\$3,373,645	\$0	\$3,382,874	(\$457,787)	\$0
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$51,727	\$0	\$51,727	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request

Interagency Approval or Related Schedule 13s:

Requires OIT Approval

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Schedule 13 - Exec Nov 1	
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Department Priority: R-17
Request Detail: Realign Child Welfare Hotline Budget

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$3,425,372	(\$457,787)	\$0
FTE	6.0	0.0	0.0
General Fund	\$3,373,645	(\$457,787)	\$0
Cash Funds	\$0	\$0	\$0
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$51,727	\$0	\$0

Summary of Request

The Department of Human Services (DHS) requests a one-time reduction of \$457,787 total funds/General Fund to the Hotline for Child Abuse and Neglect budget in FY 2022-23. The Hotline line item shares line item flexibility with other items, including Training. Historically, Hotline under-expenditures have been used to cover Training over-expenditures. DHS anticipates a decreased need to use Hotline funds for this purpose in the future given ongoing cost efficiencies realized in FY20-21 in the Training program.

Current Program

DPS implemented the Child Abuse Reporting Hotline in FY 2014-15 as required by H.B. 13-1271 and as a means for the public to report known or suspected instances of child abuse or neglect. Under the direction of the bill, DHS developed a statewide reporting hotline system to serve as a direct, immediate, and efficient route for accepting and responding to reports of abuse and neglect. As of January 1, 2015, the Colorado Child Abuse and Neglect Hotline was established to make it easier to report child abuse and neglect. The Hotline is a statewide toll-free number, 1-844-264-5437 (844-CO-4-KIDS), and is available 24 hours a day, 365 days per year.

Calls made directly to local county-dedicated numbers go through the statewide phone system. Through telecommunications technology, calls are routed seamlessly through the statewide system unbeknownst to the caller. This enables the system to collect data on calls made directly to the counties.

The current spending authority for the Hotline for Child Abuse and Neglect is \$3,425,372 total funds (\$3,373,645 General Fund and \$51,727 federal funds). Federal funds are Title IV-E and are earned by the FTE in this line item. The proposed one-time reduction would not affect the overall operation of the hotline.

Problem or Opportunity

DHS requests a one-time reduction of \$457,787 total funds/General Fund to the Hotline for Child Abuse and Neglect budget in order to reallocate funding to other high-priority programs.

With this one-time reduction, the Hotline budget will still fund the supporting FTE and associated operating costs, the Hotline Central Call Center, and hosting services and security platforms. With this reduction, DHS will be limiting available funding to contribute to line item flexibility as part of fiscal year end close out for related child welfare programs. Modifications to the hotline system are not critical and holding off will not jeopardize the operations of the calling system.

The Hotline budget provides funding for:

- Salary, benefits, travel, and operating expenses for 6.0 state FTE;
- The Hotline Call Center in Prowers County:
 - Salary, benefits, travel, and operating expenses for 16.4 FTE call takers and supervisors;
 - Call routing, voice recognition, recording and collecting information support (currently through CenturyLink);
 - Infrastructure for platforms, taxes, fees, storage and licenses (currently through CenturyLink); and
 - Location mapping using Google Maps;
- Translation services;
- Lease of facility through Focus Triad; and

- Select hotline-related Trails expenses.

The proposed reduction is not anticipated to affect the above items; rather, it will limit funding available to cover those items rather than supporting expenses in other areas with related line item flexibility, including the Training line item.

Proposed Solution

DHS requests a one-time reduction of \$457,787 total funds/General Fund to the Hotline for Child Abuse and Neglect budget in FY 2022-23. This reduction will still allow DHS to retain critical funds for operating the hotline. During this period, DHS will continue to measure outcomes and report through the Joint Budget Committee Request for Information (RFI) fiscal year comparisons of appropriate workload indicators for the child abuse and neglect hotline reporting system.

Theory of Change	A one-time funding reduction to the hotline system will not diminish its objective to serve as a source to report potential incidents of child abuse and neglect. The hotline system will still function as usual, including collecting and using data as it fits on Step 2 - Identify Outputs of the evidence continuum.		
Program Objective	The hotline system serves as a direct, immediate, and efficient route to applicable entities responsible for accepting and responding to reports of abuse and neglect.		
Outputs being measured	<ol style="list-style-type: none"> 1. Number of hotline calls 2. Number of referrals generated 3. General hotline metrics such as average speed to answer, abandon call rates 4. Other workload indicators 		
Outcomes being measured	The Department will continue to measure outcomes and report through the Joint Budget Committee Request for Information (RFI) fiscal year comparisons of appropriate workload indicators for the child abuse and neglect hotline reporting system.		
Cost/Benefit ratio	N/A		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	Step 2-Identify Outputs		

Anticipated Outcomes

Funding in the Hotline budget will still support FTE and associated operating costs, the Hotline Central Call Center, and hosting services and security platforms. With this reduction, DHS will be limiting available funding to contribute to line item flexibility as part of fiscal year-end

closeout for related child welfare programs. Additionally, the reduction amount delays potential enhancements to the hotline system that are not critical.

This reduction helps to offset costs and prevent further reductions to other high-priority programs that provide direct services to children and families.

Assumptions and Calculations

Table 1: Projected Available Budget for Hotline FY 2022-23	
Expenditure	Amount
Call Center	\$1,037,698
Infrastructure	\$1092,191
Software, software licenses, etc.	\$223,913
FTE-related costs	\$609,433
Building Lease	\$79,720
Total Estimated Expenditures	\$3,042,955
FY 2022-23 Anticipated Budget	\$3,500,742
Remaining Budget (Under)	(\$457,787)

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-18 Realign Family and Children's Programs

Dept. Approval By: _____ Supplemental FY 2021-22

OSPB Approval By: _____ Budget Amendment FY 2022-23

X
_____ Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$56,684,676	\$0	\$56,684,676	(\$2,562,279)	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$47,706,452	\$0	\$47,706,452	(\$2,152,314)	\$0
	CF	\$5,926,307	\$0	\$5,926,307	(\$215,230)	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$3,051,917	\$0	\$3,051,917	(\$194,735)	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$56,684,676	\$0	\$56,684,676	(\$2,562,279)	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
05. Division of Child Welfare, (A) Division of Child Welfare, (1) Division of Child Welfare - Family and Children's Programs	GF	\$47,706,452	\$0	\$47,706,452	(\$2,152,314)	\$0
	CF	\$5,926,307	\$0	\$5,926,307	(\$215,230)	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$3,051,917	\$0	\$3,051,917	(\$194,735)	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUEST. The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report. Budget amounts, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will allow you to view the report performance. If you require troubleshooting assistance, a copy of this cover page will be necessary. Please use the Helpful Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports</p> <p>*** Query Name SF 00 *** Enter Cabinet (One Letter Code) : Decision Item Req Code: 15024 Review Duplicate Row: ON</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code) : Supplemental Req Code: EMPTTY Review Duplicate Row: ON</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code) : Query Name SF: CV Appr Review Duplicate Row: ON</p> <p>*** Query Name SF Prog_Base *** Enter Cabinet (One Letter Code) : Review Duplicate Row: ON</p>	
<p>Summary of Each Query</p> <p>*** Query Name SF 000 ***</p> <p>*** Query Properties: *** Universal PB - Budget Form Last Refresh Date: 10/25/21 5:38 PM Last Execution Duration: 3 Number of rows: 3 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters : Budget Layout Code In List (CO_RV_REQUESTS; CO_RV_REQUESTS; ED) AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Fund Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal ()))</p> <p>*** Query Name SF 060 ***</p> <p>*** Query Properties: *** Universal PB - Budget Form Last Refresh Date: 10/25/21 5:38 PM Last Execution Duration: 1 Number of rows: 1 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters : Budget Layout Code In List (060_CV_SUPPLEMENTALS; 060_CV_SUPPLEMENTAL; EO) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Fund Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTTY)))</p> <p>*** Query Name SF 010 020 ***</p> <p>*** Query Properties: *** Universal PB - Budget Form Last Refresh Date: 10/25/21 5:38 PM Last Execution Duration: 4 Number of rows: 5 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, Budget Line Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters : Budget Layout Code In List (060_CV_SUPPLEMENTALS; 060_CV_SUPPLEMENTAL; EO; ADMIN_ONLY; 1) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND Budget Line Fund Program External Level Equal 7 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal ()))</p> <p>*** Query Name SF 010 020 ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:38 PM Last Execution Duration: 3 Number of rows: 3 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters : Time Period Code Equal CV_APPR AND Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR; RV_BASE)))</p> <p>*** Query Name SF Prog_Base ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:38 PM Last Execution Duration: 4 Number of rows: 10 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters : Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR; RV_BASE)))</p> <p>*** Query Name SF 010 020 ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:38 PM Last Execution Duration: 1 Number of rows: 688 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters : Program Consolidation Code Equal PROGRAM_ALL AND Parent Org External Level Equal 5 AND Program External Level Equal 7))</p> <p>*** Query Name SF 010 020 ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:38 PM Last Execution Duration: 1 Number of rows: 688 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Parent Prog Name Filters : Program Consolidation Code Equal PROGRAM_ALL AND Parent Org External Level Equal 4 AND Program External Level Equal 7))</p> <p>*** Query Name Time Period Labels ***</p> <p>*** Query Properties: *** Universal PB - Budget Data Last Refresh Date: 10/25/21 5:38 PM Last Execution Duration: 1 Number of rows: 18 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Time Period Code, Time Period Name, Parent Prog Code, Parent Prog Name Period Report Column Label 3 Filters : Time Period Code In List (CV_APPR; RV_BASE; RV_MV1; RV2)))</p>	

CORE infoAdvantage

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- August 2021
- Rebuilt with new cover page

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*** Filter on Report Schedule 13 ***

- No Filter on Budget Request Code
- Filter on Section Header/Program Code: ZsmhAcCheck SECTION Not Equal AllColumnsEmpty
- Filter on Blank Parent/Program Line/Name: Budget Request Code is Not Null

*** Filter on Report Cover Page ***

No Filter on Cover Page



Department Priority: R-18
Request Detail: Realign Family and Children's Programs

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$56,684,676	(\$2,562,279)	\$0
FTE	0.0	0.0	0.0
General Fund	\$47,706,452	(\$2,152,314)	\$0
Cash Funds	\$5,926,307	(\$215,230)	\$0
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$3,051,917	(\$194,735)	\$0

Summary of Request

The Department of Human Services (DHS) proposes to reduce funding for Family and Children's Programs by \$2,562,279 total funds, including \$2,152,314 General Fund, \$215,230 cash funds, and \$194,735 federal funds. DHS does not anticipate that this reduction will have a programmatic impact on children and families as it preserves 99.5% of total child welfare spending.

Family and Children's Programs, more commonly referred to as Core Services, can also be provided under Section 26-5-101 (3) C.R.S., which defines Child Welfare Services, more commonly referred to as the Block. Further, S.B. 21-205 provides a long-standing footnote that allows DHS to transfer expenditures from limited line items to another to encourage counties to serve children in the most appropriate and least restrictive manner. This line item flexibility is used during the fiscal year-end closeout process to make transfer allowances in the best interest of serving children and families.

Current Program

The Family and Children’s Program, also referred to as the “Core Services,” was established largely as a result of the Child Welfare Settlement Agreement (Settlement Agreement), which was finalized in February 1994. The Settlement Agreement required a number of improvements in the child welfare system, including the provision of core services to children and families.

Core Services are a specific set of services that must be made available to prevent the out-of-home placement of children, promote the safe return of children to the home, and/or to promote care in the least restrictive setting. Counties must have the eight basic core services accessible to children and their families who meet the eligibility criteria for the program. These services include home-based intervention, intensive family therapy, life skills, day treatment, sexual abuse treatment, special economic assistance, mental health services, and substance abuse. Counties also have the option to provide county-designed services.

Core Services funding provides prevention services that may earn Title IV-E reimbursement under the federal Family First Prevention Services Act such as Multi-systemic Therapy (MST) and family functional therapy (FFP). This one-time reduction will not affect the provision of these services as counties have the ability to use Child Welfare Services (the “Child Welfare Block”) funding for these same services.

Problem or Opportunity

DHS recommends a one-time reduction to Family and Children’s Programs funding in FY 2022-23 in an effort to make General Funds available for other critical programs across the state.

Proposed Solution

The Department requests a one-time reduction of \$2,562,279 total funds including \$2,152,314 General Fund, \$215,230 cash funds, and \$194,735 federal funds to the Family and Children’s Programs line item. This program ranks as Step 4, Attain Initial Evidence, on the Office of State Planning and Budgeting Evidence-Based Continuum.

Theory of Change	Core Services promotes care in the least restrictive setting and helps children achieve meaningful and healthy outcomes.
Program Objective	Core Services provide funding for children who are dependent and neglected, abused, or delinquent and are at imminent risk of out-of-home placement.
Outputs being measured	Utilization of therapeutic services, wait times for services, percentages of successfully completed Family Services Plans.
Outcomes being measured	Numbers of out of home placements, lengths of stay in OOH, return to OOH placement, re-abuse, customer satisfaction.

Cost/Benefit ratio	Cost per service, cost per client, cost per child, cost per placement episode, cost offset (paying for services for children who would otherwise likely be in out-of-home care).		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	Step 4, Attain Initial Evidence		

If this request is not approved, counties will retain funding from the state at the current levels, and DHS will need to seek other solutions to fund other critical programs.

Anticipated Outcomes

From a purely financial standpoint, it is far more costly to provide Core Services through the Family and Children’s Programs funding than through the Child Welfare Block because most expenditures in the latter are eligible for a 50% federal reimbursement. When accounting for county and federal contributions, each Core Services dollar spent by counties costs the General Fund \$0.80, while a dollar spent on these same services via the Child Welfare Block funding costs the General Fund \$0.35. Further, the Child Welfare Block is a more flexible line item, which allows payment to counties for Core Services, while the reverse is not true.

From a programmatic perspective, one significant concern is that a loss of county personnel would decrease the counties’ ability to provide assessment and intensive case management for families resulting in increased out-of-home placements. Since the overwhelming majority of staff personnel are paid for through the Child Welfare Block and not Core Services, there is a much greater chance counties can maintain staffing levels if the Block remains fully funded.

These combined factors indicate that a modest reduction to Core Services is unlikely to incur significant impact either to federal drawdown or to the deployment of services.

Assumptions and Calculations

This decision item assumes all cost drivers for Core Services to remain constant. Services provided with Core Services funding are not anticipated to go down in FY 2022-23; however, Child Welfare Services (i.e., the Block) funding can be used to cover the services typically provided with Core Services.

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-19 Indirect Cost Adjustments

Dept. Approval By: _____ Supplemental FY 2021-22

OSPB Approval By: _____ Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$123,318,190	\$0	\$126,650,028	\$279,076	\$279,076
	FTE	493.1	0.0	493.1	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$62,892,020	\$0	\$65,703,901	(\$6,938,340)	(\$1,938,340)
	CF	\$98,668	\$0	\$4,004	\$0	\$0
	RF	\$60,326,404	\$0	\$60,941,025	\$7,217,416	\$2,217,416
	FF	\$1,098	\$0	\$1,098	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$2,138,713	\$0	\$2,165,870	\$0	\$0
	FTE	14.3	0.0	14.3	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1) General Administration - Personal Services	GF	\$1,148,292	\$0	\$1,175,449	\$106,595	\$106,595
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$990,421	\$0	\$990,421	(\$106,595)	(\$106,595)
	FF	\$0	\$0	\$0	\$0	\$0

	Total	\$8,081,048	\$0	\$6,754,094	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1) General Administration - Worker's Compensation	GF	\$4,544,549	\$0	\$3,798,801	\$517,099	\$517,099
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$3,536,499	\$0	\$2,955,293	(\$517,099)	(\$517,099)
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$498,811	\$0	\$498,811	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1)	GF	\$213,707	\$0	\$213,707	\$18,316	\$18,316
General Administration - Operating Expenses	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$284,154	\$0	\$284,154	(\$18,316)	(\$18,316)
	FF	\$950	\$0	\$950	\$0	\$0
	Total	\$4,102,399	\$0	\$4,399,728	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1)	GF	\$2,428,914	\$0	\$2,707,148	(\$453,016)	(\$453,016)
General Administration - Legal Services	CF	\$95,377	\$0	\$0	\$0	\$0
	RF	\$1,578,108	\$0	\$1,692,580	\$453,016	\$453,016
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$856,423	\$0	\$1,058,268	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1)	GF	\$303,457	\$0	\$374,910	\$10,430	\$10,430
General Administration - Administrative Law	CF	\$0	\$0	\$0	\$0	\$0
Judge Services	RF	\$552,966	\$0	\$683,358	(\$10,430)	(\$10,430)
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$3,062,183	\$0	\$3,223,473	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1)	GF	\$2,153,472	\$0	\$2,266,859	\$535,373	\$535,373
General Administration - Payments to Risk Management	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$908,711	\$0	\$956,614	(\$535,373)	(\$535,373)
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$106,755	\$0	\$106,755	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1) General Administration - Injury Prevention Program	GF	\$67,090	\$0	\$67,090	\$3,261	\$3,261
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$39,665	\$0	\$39,665	(\$3,261)	(\$3,261)
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$6,094,360	\$0	\$6,267,768	\$0	\$0
	FTE	68.5	0.0	68.5	0.0	0.0
01. Executive Director's Office, (B) Special Purpose, (1) Special Purpose - Employment and Regulatory Affairs	GF	\$2,179,950	\$0	\$3,289,770	\$1,422,177	\$1,422,177
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$3,914,410	\$0	\$2,977,998	(\$1,422,177)	(\$1,422,177)
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$222,070	\$0	\$224,836	\$0	\$0
	FTE	1.0	0.0	1.0	0.0	0.0
01. Executive Director's Office, (B) Special Purpose, (1) Special Purpose - HIPAA Security Remediation	GF	\$110,903	\$0	\$113,669	\$19	\$19
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$111,019	\$0	\$111,019	(\$19)	(\$19)
	FF	\$148	\$0	\$148	\$0	\$0
	Total	\$305,130	\$0	\$305,130	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
02. Office of Information Technology Services, (A) Information Technology, (1) Information Technology - Operating Expenses	GF	\$125,706	\$0	\$125,706	(\$18,007)	(\$18,007)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$179,424	\$0	\$179,424	\$18,007	\$18,007
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$539,344	\$0	\$539,344	\$44,604	\$44,604
02. Office of Information Technology Services, (A) Information Technology, (1)	FTE	0.0	0.0	0.0	0.0	0.0
Information Technology - Microcomputer Lease Payments	GF	\$214,233	\$0	\$214,233	(\$53,864)	(\$53,864)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$325,111	\$0	\$325,111	\$98,468	\$98,468
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$1,494,325	\$0	\$1,494,325	\$234,472	\$234,472
02. Office of Information Technology Services, (A) Information Technology, (1)	FTE	0.0	0.0	0.0	0.0	0.0
Information Technology - County Financial Management System	GF	\$419,762	\$0	\$419,762	\$91,121	\$91,121
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$1,074,563	\$0	\$1,074,563	\$143,351	\$143,351
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$17,698	\$0	\$17,698	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
02. Office of Information Technology Services, (A) Information Technology, (1) Information Technology - Client Index Project	GF	\$6,610	\$0	\$6,610	(\$42)	(\$42)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$11,088	\$0	\$11,088	\$42	\$42
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$38,964,830	\$0	\$41,914,187	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
02. Office of Information Technology Services, (A) Information Technology, (1) Information Technology - Payments to OIT	GF	\$15,091,952	\$0	\$16,230,980	(\$985,813)	(\$985,813)
	CF	\$0	\$0	\$713	\$0	\$0
	RF	\$23,872,878	\$0	\$25,682,494	\$985,813	\$985,813
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$1,128,619	\$0	\$1,278,506	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
02. Office of Information Technology Services, (A) Information Technology, (1)	GF	\$620,262	\$0	\$702,700	\$69,832	\$69,832
Information Technology - CORE Operations	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$508,357	\$0	\$575,806	(\$69,832)	(\$69,832)
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$5,492,211	\$0	\$5,497,189	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
02. Office of Information Technology Services, (A) Information Technology, (1)	GF	\$1,698,352	\$0	\$1,703,330	\$425,723	\$425,723
Information Technology - IT Systems Interoperability	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$3,793,859	\$0	\$3,793,859	(\$425,723)	(\$425,723)
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$742,367	\$0	\$747,027	\$0	\$0
02. Office of Information Technology Services, (A) Information Technology, (1)	FTE	0.0	0.0	0.0	0.0	0.0
Information Technology - Enterprise Content Management	GF	\$456,764	\$0	\$461,424	\$1,222	\$1,222
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$285,603	\$0	\$285,603	(\$1,222)	(\$1,222)
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$30,415,505	\$0	\$31,161,320	\$0	\$0
03. Office of Operations, (A) Administration, (1) Administration - Personal Services	FTE	409.3	0.0	409.3	0.0	0.0
	GF	\$19,630,715	\$0	\$20,375,975	(\$10,178,072)	(\$5,178,072)
	CF	\$3,291	\$0	\$3,291	\$0	\$0
	RF	\$10,781,499	\$0	\$10,782,054	\$10,178,072	\$5,178,072
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$4,417,294	\$0	\$4,417,294	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
03. Office of Operations, (A)	GF	\$3,012,867	\$0	\$3,012,867	\$130,485	\$130,485
Administration, (1)	CF	\$0	\$0	\$0	\$0	\$0
Administration -	RF	\$1,404,427	\$0	\$1,404,427	(\$130,485)	(\$130,485)
Operating Expenses	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$1,152,215	\$0	\$1,152,215	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
03. Office of Operations, (A)	GF	\$581,830	\$0	\$581,830	(\$17,862)	(\$17,862)
Administration, (1)	CF	\$0	\$0	\$0	\$0	\$0
Administration - Vehicle	RF	\$570,385	\$0	\$570,385	\$17,862	\$17,862
Lease Payments	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$1,688,328	\$0	\$1,688,328	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
03. Office of Operations, (A)	GF	\$445,093	\$0	\$445,093	\$58,286	\$58,286
Administration, (1)	CF	\$0	\$0	\$0	\$0	\$0
Administration - Leased Space	RF	\$1,243,235	\$0	\$1,243,235	(\$58,286)	(\$58,286)
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$1,750,416	\$0	\$1,690,716	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
03. Office of Operations, (A)	GF	\$632,375	\$0	\$610,823	(\$18,340)	(\$18,340)
Administration, (1)	CF	\$0	\$0	\$0	\$0	\$0
Administration - Capitol Complex Leased Space	RF	\$1,118,041	\$0	\$1,079,893	\$18,340	\$18,340
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$10,047,146	\$0	\$10,047,146	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
03. Office of Operations, (A)	GF	\$6,805,165	\$0	\$6,805,165	\$1,396,737	\$1,396,737
Administration, (1)	CF	\$0	\$0	\$0	\$0	\$0
Administration - Utilities	RF	\$3,241,981	\$0	\$3,241,981	(\$1,396,737)	(\$1,396,737)
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUEST. The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report. Budget amounts, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will allow you to view the report performance. If you require troubleshooting assistance, a copy of the cover page will be necessary. Please see the Helpful Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports</p> <p>*** Query Name SF 000 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 1578 Review Duplicate Row: ON</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTTY Review Duplicate Row: ON</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name SD CV Appr *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name Prog_Base *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p>	
<p>Summary of Each Query</p> <p>*** Query Name SF 000 ***</p> <p>*** Query Properties: *** Universal PS - Budget Form Last Refresh Date: 10/25/21 8:32 AM Last Execution Duration: 4 Number of rows: 55 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_REQUESTS, SD_RV_REQUESTS_ED) AND: Header Parent Organization Code In List () AND: Header Organization External Level Equal 2 AND: Budget Line Program Consolidation Code Equal PROGRAM_ALL AND: Budget Line Program External Level Equal 6 AND: Budget Line Program External Level Equal 7 AND: But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND: But Lr Parent Fund Type External Level Equal 1 AND: But Lr Parent Fund Type External Level Equal 3 AND: Budget Line Fund Consolidation Code Equal ALL_FUNDS AND: Budget Line Fund External Level Equal 2 AND: Budget Request Code Equal (EMPTY)))</p> <p>*** Query Name SF 060 ***</p> <p>*** Query Properties: *** Universal PS - Budget Form Last Refresh Date: 10/25/21 8:32 AM Last Execution Duration: 1 Number of rows: 0 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (060_CV_SUPPLEMENTALS, 060_CV_SUPPLEMENTAL_ED) AND: Header Org Consolidation Code Equal ALL_ORGS AND: Header Parent Organization Code In List () AND: Header Organization External Level Equal 2 AND: Budget Line Program Consolidation Code Equal PROGRAM_ALL AND: Budget Line Program External Level Equal 6 AND: Budget Line Program External Level Equal 7 AND: But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND: But Lr Parent Fund Type External Level Equal 1 AND: But Lr Parent Fund Type External Level Equal 3 AND: Budget Line Fund Consolidation Code Equal ALL_FUNDS AND: Budget Line Fund External Level Equal 2 AND: Budget Request Code Equal (EMPTY)))</p> <p>*** Query Name SF 010 020 ***</p> <p>*** Query Properties: *** Universal PS - Budget Form Last Refresh Date: 10/25/21 8:32 AM Last Execution Duration: 4 Number of rows: 115 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_BASE, ADJ, ADMIN_ONLY, 1) AND: Header Org Consolidation Code Equal ALL_ORGS AND: Header Parent Organization Code In List () AND: Header Organization External Level Equal 2 AND: Budget Line Program Consolidation Code Equal PROGRAM_ALL AND: Budget Line Program External Level Equal 6 AND: Budget Line Program External Level Equal 7 AND: But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND: But Lr Parent Fund Type External Level Equal 1 AND: But Lr Parent Fund Type External Level Equal 3 AND: Budget Line Fund Consolidation Code Equal ALL_FUNDS AND: Budget Line Fund External Level Equal 2))</p> <p>*** Query Name SD CV Appr ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 8:32 AM Last Execution Duration: 4 Number of rows: 89 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND: Organization Consolidation Code Equal ALL_ORGS AND: Parent Org Code In List () AND: Organization External Level Equal 2 AND: Program Consolidation Code Equal PROGRAM_ALL AND: Program External Level Equal 7 AND: Program External Level Equal 8 AND: Program Code In List DPLD0504 AND: Fund Type Consolidation Code Equal FUND_TYPE_CON AND: Parent Fund Type Code Equal TOTAL AND: Fund Type External Level Equal 3 AND: Time Period Code In List (CV_APPR, RV_BASE)))</p> <p>*** Query Name Prog_Base ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 8:32 AM Last Execution Duration: 5 Number of rows: 324 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND: Parent Org Code In List () AND: Organization External Level Equal 2 AND: Program Consolidation Code Equal PROGRAM_ALL AND: Program External Level Equal 7 AND: Program External Level Equal 8 AND: Program Code In List DPLD0504 AND: Fund Type Consolidation Code Equal FUND_TYPE_CON AND: Parent Fund Type Code Equal TOTAL AND: Fund Type External Level Equal 3 AND: Time Period Code In List (CV_APPR, RV_BASE)))</p> <p>*** Query Name Prog_VLVS ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 8:32 AM Last Execution Duration: 1 Number of rows: 1,003 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND: Parent Prog External Level Equal 5 AND: Program External Level Equal 7))</p> <p>*** Query Name Prog_VLVA ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 8:32 AM Last Execution Duration: 1 Number of rows: 1,003 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND: Parent Prog External Level Equal 4 AND: Program External Level Equal 7))</p> <p>*** Query Name Time Period Labels ***</p> <p>*** Query Properties: *** Universal PS - Budget Data Last Refresh Date: 10/25/21 8:32 AM Last Execution Duration: 1 Number of rows: 16 Review Duplicate Row: ON</p> <p>*** Query Definition: *** Result Objects: Time Period Code, Time Period Report Column Label 1, Time Period Report Column Label 2, Time Period Report Column Label 3 Filters: Time Period Code In List (CV_APPR, RV_BASE, RV_M0V1, VR2)))</p>	

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- August 2021
- Rebuilt with new cover page

Report Errors	
<p>*** Filter on Report Schedule 13 ***</p> <p>No Filter on Budget Request Code Filter on Section Header/Program Code: ZembaCheck SECTION Not Equal AllColumnsEmpty Filter on Stock/Parent/Program/Line/Case: Budget Request Code is Not Null</p>	
<p>*** Filter on Report Cover Page ***</p> <p>No Filter on Cover Page</p>	



Department Priority: R-19
Request Detail: Indirect Cost Adjustments

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation ^{<1>}	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$131,848,757	\$279,076	\$279,076
FTE	492.1	0.0	0.0
General Fund	\$68,716,690	(\$6,938,340)	(\$1,938,340)
Cash Funds	\$0	\$0	\$0
Reappropriated Funds	\$63,132,067	\$7,217,416	\$2,217,416
Federal Funds	\$0	\$0	\$0

<1> See Table 1: FY 2021-22 Departmental Indirect Costs.

Summary of Request

The Department of Human Services (Department, DHS) requests adjustments to its indirect cost plan as reflected in the FY 2021-22 Long Bill. These adjustments result in a total funds adjustment of \$294,604, including a reduction of \$6,938,340 General Fund, and an increase of \$7,217,416 reappropriated funds in FY 2022-23. In FY 2023-24 this request annualizes to an increase of \$279,076 total funds including a reduction of \$1,938,340 General Fund and an increase of \$2,217,416 reappropriated funds. The requested adjustments include the following: a complete true up of the indirect costs which are currently based on calculations from FY 2017-18; an increase in spending authority for the County Financial Management System (CFMS); a one-time refinancing in FY 2022-23 of the Department’s General Fund indirect costs with \$5 million cash funds from the Department’s Indirect Cost Excess Recovery Cash Fund; and an increase of \$44,604 in reappropriated funds for the Microcomputer Lease appropriation to purchase computers for the Disability Determination Services program.

Current Program

The Department charges individual programs indirect costs to support central service administrative functions, including the Executive Director's Office. Central service administrative function costs are: (a) costs that are reasonable and allowable; (b) costs that are a legitimate cost of doing business; and (c) costs that are not directly charged to a single program or area, such as Accounting, Contracts, Procurement, and Human Resources. Additionally, the programs incur direct administrative costs to support their programs.

The Department's indirect costs are shown separately in the Long Bill by division as part of the programmatic costs in line items titled Indirect Cost Assessment. Revenues are earned in each of the nine Indirect Cost Assessment lines and reappropriated to the Executive Director's Office, the Office of Information Technology Services, and the Office of Operations to pay for the Department's indirect costs. The indirect cost related line items have had minor adjustment since FY 2017-18, a complete analysis and request for adjustments has not been necessary until now. The following table, Table 1, illustrates the Department's indirect cost line items and their appropriations for FY 2021-22.

Table 1: FY 2021-22 Departmental Indirect Costs				
Long Bill Group	Line Items Included	General Fund	Reappropriated Funds	Total Funds
(1) Executive Director's Office (A) General Administration				
	Personal Services	\$1,148,292	\$990,421	\$2,138,713
	PERA Direct Distribution	\$5,824,670	\$2,805,663	\$8,630,333
	Workers Compensation	\$4,544,549	\$3,536,499	\$8,081,048
	Operating Expenses	\$213,707	\$284,154	\$497,861
	Legal Services	\$2,428,914	\$1,578,108	\$4,007,022
	Administrative Law Judge Services	\$303,457	\$552,966	\$856,423
	Payments to Risk Management and Property Funds	\$2,153,472	\$908,711	\$3,062,183
	Injury Prevention Program	\$67,090	\$39,665	\$106,755
	Total	\$16,684,151	\$10,696,187	\$27,380,338
(1) Executive Director's Office (B) Special Purpose				
	Employment and Regulatory Affairs	\$2,179,950	\$3,914,410	\$6,094,360
	HIPAA	\$110,903	\$111,019	\$221,922
	Total	\$2,290,853	\$4,025,429	\$6,316,282

(2) Office of Information Technology (A) Information Technology				
	Operating Expense	\$125,706	\$179,424	\$305,130
	Micro Computer Leases	\$214,233	\$325,111	\$539,344
	County Financial Management System	\$419,762	\$1,074,563	\$1,494,325
	Client Index Project	\$6,610	\$11,088	\$17,698
	Payments to OIT	\$15,091,952	\$23,872,878	\$38,964,830
	CORE Operations	\$620,262	\$508,357	\$1,128,619
	IT Systems Interoperability	\$1,698,352	\$3,793,859	\$5,492,211
	Enterprise Content Management	\$456,764	\$285,603	\$742,367
	Total	\$18,633,641	\$30,050,883	\$48,684,524
(3) Office of Operations (A) Administration				
	Personal Services	\$19,630,715	\$10,781,499	\$30,412,214
	Operating Expenses	\$3,012,867	\$1,404,427	\$4,417,294
	Vehicle Lease Payments	\$581,830	\$570,385	\$1,152,215
	Leased Space	\$445,093	\$1,243,235	\$1,688,328
	Capital Complex Leased Space	\$632,375	\$1,118,041	\$1,750,416
	Utilities	\$6,805,165	\$3,241,981	\$10,047,146
	Total	\$31,108,045	\$18,359,568	\$49,467,613
	Cost Allocation Plan Totals	\$68,716,690	\$63,132,067	\$131,848,757

This structure and other policy changes also resulted in the utilization of the Indirect Cost Excess Recovery Cash Fund. Over the course of the past few years, the revenue collected to support the Department's indirect costs has outpaced actual expenditures, as shown in the following table, Table 2.

Fiscal Year			Balance
2017-2018	Excess Collections	\$2,193,411.53	\$2,193,411.53
2018-2019	Interest	\$38,777.67	
	Excess Collections	\$3,865,863.35	\$6,098,052.55
2019-2020	Interest	\$125,213.95	
	Treasury Unrealized Gain/Loss	\$149,920.90	
	Excess Collections	\$3,272,763.36	
	GA Sweep	-\$4,049,005.00	\$5,596,945.76
2020-2021	Estimated Interest	\$68,300.00	
	Estimated Excess Collections	\$2,500,000.00	\$8,165,245.76
2021-2022	Estimated Interest	\$125,213.95	
	Estimated Excess Collections	\$2,500,000.00	\$10,790,459.71
2022-2023	Estimated Interest	\$125,213.95	
	Estimated Excess Collections	\$500,000.00	\$11,415,673.66
	<i>Less one-time refinance of General Fund with Cash Fund balance</i>	<i>(\$5,000,000)</i>	<i>\$6,415,673.66</i>

Problem or Opportunity

Since the implementation of the indirect cost assessment line items and funding of the indirect costs in the Executive Director’s Office, the Office of Operations, and the Office of Information Technology, the assessments and related spending authority have not been trued up across all of the lines or by fund source. Additionally, as shown in Table 2, the revenues collected continue to exceed the expenditures needed for centralized administrative support resulting in a significant cash fund balance that can be used to refinance General Fund in FY 2022-23.

The County Financial Management System (CFMS) is critical to the Department’s delivery of services as it is used to track expenditures by program, by funding source, by county; track and allocate administrative costs by program; and track expenditures that are estimated to count toward federal maintenance of effort requirements. The system manages over \$1.0 billion in payments annually. The appropriation for the Office of Information Technology CFMS line item has been set at \$1,494,325 since FY 2014-15 without adjustments to account for increased cost of maintenance and operations of the system in recent history. The increased spending authority for the County Financial Management System (CFMS) is attributable to the increased costs of Oracle support and licences.

As it relates to the leasing of microcomputers for the Disability Determination Program, which is fully federally funded, CFMS computers are federally provided and managed computers. As a result of the security for these computers, staff are not able to access or participate in mandatory state training, voluntary cyber events (i.e. monthly DHS Townhall meetings), access state sites such as the Department of Personnel and Administration's portal for state employee information, employee engagement surveys, or any state forms provided to employees by DHS or other state agencies. This barrier does not allow those employees access information in a manner consistent with other state employees.

Proposed Solution

This request has four components: a true up of the indirect costs, an increase in spending authority for the County Financial Management System (CFMS), a refinance of \$5.0 million General Fund with a like amount of cash funds from the Department's Indirect Cost Recovery Cash Fund in FY 2022-23; and an increase in reappropriated funds for the Microcomputer Lease appropriation to purchase computers for the Disability Determination Services program.

True up of the Indirect Costs

Based on an analysis of FY 2020-21 indirect cost expenditures, the Department is requesting adjustments illustrated in the following table to the indirect cost pool line items.

Table 3: FY 2022-23 Requested Departmental Indirect Cost Adjustment, General Fund				
Long Bill Group	Line Item	FY 2021-22 General Fund Appropriation	Requested General Fund Adjustment	FY 2022-23 Requested General Fund Appropriation
(1) Executive Director's Office (A) General Administration				
	Personal Services	\$1,148,292	\$106,595	\$1,254,887
	PERA Direct Distribution	\$5,824,670	\$0	\$5,824,670
	Workers Compensation	\$4,544,549	\$517,099	\$5,061,648
	Operating Expenses	\$213,707	\$18,316	\$232,023
	Legal Services	\$2,428,914	(\$453,016)	\$1,975,898
	Administrative Law Judge Services	\$303,457	\$10,430	\$313,887
	Payments to Risk Management and Property Funds	\$2,153,472	\$535,373	\$2,688,845
	Injury Prevention Program	\$67,090	\$3,261	\$70,351
	Total	\$16,684,151	\$738,058	\$17,422,209

(1) Executive Director's Office (B) Special Purpose				
	Employment and Regulatory Affairs	\$2,179,950	\$1,422,177	\$3,602,127
	HIPAA	\$110,903	\$19	\$110,922
	Total	\$2,290,853	\$1,422,196	\$3,713,049
(2) Office of Information Technology (A) Information Technology				
	Operating Expense	\$125,706	(\$18,007)	\$107,699
	Micro Computer Leases	\$214,233	(\$53,864)	\$160,369
	County Financial Management System	\$419,762	\$21,952	\$441,714
	Client Index Project	\$6,610	(\$42)	\$6,568
	Payments to OIT	\$15,091,952	(\$985,813)	\$14,106,139
	CORE Operations	\$620,262	\$69,832	\$690,094
	IT Systems Interoperability	\$1,698,352	\$425,723	\$2,124,075
	Enterprise Content Management	\$456,764	\$1,222	\$457,986
	Total	\$18,633,641	(\$538,997)	\$18,094,644
(3) Office of Operations (A) Administration				
	Personal Services	\$19,630,715	(\$5,178,072)	\$14,452,643
	Operating Expenses	\$3,012,867	\$130,485	\$3,143,352
	Vehicle Lease Payments	\$581,830	(\$17,862)	\$563,968
	Leased Space	\$445,093	\$58,286	\$503,379
	Capital Complex Leased Space	\$632,375	(\$18,340)	\$614,035
	Utilities	\$6,805,165	\$1,396,737	\$8,201,902
	Total	\$31,108,045	(\$3,628,766)	\$27,479,279
	Cost Allocation Plan Totals	\$68,716,690	(\$2,007,509)	\$66,709,181

Table 4: FY 2022-23 Requested Departmental Indirect Cost Adjustment, Reappropriated Funds				
Long Bill Group	Line Items	FY 2021-22 Reappropriated Funds Appropriation	Requested Reappropriated Funds Adjustment	FY 2022-23 Requested Reappropriated Funds Appropriation
(1) Executive Director's Office (A) General Administration				
	Personal Services	\$990,421	(\$106,595)	\$883,826
	PERA Direct Distribution	\$2,805,663	\$0	\$2,805,663
	Workers Compensation	\$3,536,499	(\$517,099)	\$3,019,400
	Operating Expenses	\$284,154	(\$18,316)	\$265,838
	Legal Services	\$1,578,108	\$453,016	\$2,031,124
	Administrative Law Judge Services	\$552,966	(\$10,430)	\$542,536
	Payments to Risk Management and Property Funds	\$908,711	(\$535,373)	\$373,338
	Injury Prevention Program	\$39,665	(\$3,261)	\$36,404
	Total	\$10,696,187	(\$738,058)	\$9,958,129
(1) Executive Director's Office (B) Special Purpose				
	Employment and Regulatory Affairs	\$3,914,410	(\$1,422,177)	\$2,492,233
	HIPAA	\$111,019	(\$19)	\$111,000
	Total	\$4,025,429	(\$1,422,196)	\$2,603,233
(2) Office of Information Technology (A) Information Technology				
	Operating Expense	\$179,424	\$18,007	\$197,431
	Micro Computer Leases	\$325,111	\$53,864	\$378,975
	County Financial Management System	\$1,074,563	(\$21,952)	\$1,052,611
	Client Index Project	\$11,088	\$42	\$11,130
	Payments to OIT	\$23,872,878	\$985,813	\$24,858,691
	CORE Operations	\$508,357	-\$69,832	\$438,525
	IT Systems Interoperability	\$3,793,859	(\$425,723)	\$3,368,136
	Enterprise Content Management	\$285,603	(\$1,222)	\$284,381
	Total	\$30,050,883	\$538,997	\$30,589,880
(3) Office of Operations (A) Administration				

	Personal Services	\$10,781,499	\$5,178,072	\$15,959,571
	Operating Expenses	\$1,404,427	(\$130,485)	\$1,273,942
	Vehicle Lease Payments	\$570,385	\$17,862	\$588,247
	Leased Space	\$1,243,235	(\$58,286)	\$1,184,949
	Capital Complex Leased Space	\$1,118,041	\$18,340	\$1,136,381
	Utilities	\$3,241,981	(\$1,396,737)	\$1,845,244
	Total	\$18,359,568	\$3,628,766	\$21,988,334
	Cost Allocation Plan Totals	\$63,132,067	\$2,007,509	\$65,139,576

Spending Authority for the County Financial Management System (CFMS)

The appropriation for the Office of Information Technology County Financial Management System line item has not been adjusted in recent history to account for increased cost of maintenance and operations of the system in recent history. The Department is requesting an increase of \$234,472 reappropriated funds.

General Fund Refinancing

Based on Table 2, the Department proposes a one-time refinance of \$5.0 million General Fund with cash funds from the Department’s Indirect Cost Recovery Cash Fund. Specifically, the Department proposes to refinance the Office of Operations, Personal Services line item with the cash funds. As illustrated in the Table 2, revenues collected from the programs to support the centralized administrative functions are outpacing the expenditures. This is based on the current assessment and spending authority per SB 21-205, the FY 2021-22 General Appropriations Act. Given the need for a complete true up of the indirect costs, the Department is proposing this one time refinancing using the Indirect Cost Recovery fund balance which has accrued over the past five years.

Microcomputer Lease Payments

The Department proposes leasing a laptop for each of the 177 FTE DDS employees at a cost of \$252 per laptop per year. The state-provided laptops will enable DDS to meet State of Colorado and DHS requirements for their employees to receive trainings, Google Documents, access to the DHS intranet site, as these are not able to be accessed per federal regulations on the federally-issued SSA laptops that these staff use. This funding request will be fully reimbursed by the Social Security Administration (SSA) through indirect costs paid to CDHS for administering the disability program.

Despite efforts by the Social Security Administration (SSA) and Disability Determination Services (DDS) program, a resolution to the lack of access on federal computers to state websites and information has not been resolved. For example, the SSA is not able to change the security of the infrastructure to allow access to state resources while protecting the vast amount of Personally Identifiable Information. Other solutions that were explored include:

- Creating a virtual machine that was logically separate from the SSA workstation; however, this solution lost connection regularly during computer-based training sessions, streaming services were not viewable, and access was not provided for all staff;
- Use of laptops similar to a kiosk for staff to use; however, the limited number of laptops and concerns regarding the transmission of COVID made this a nonviable solution; and
- Presentation of computer-based training in group settings; while attendance was tracked, a solution to tracking learning objectives and measures were not individualized.

Additionally, extra support was required from the Office of Information Technology and/or CDHS for workarounds which proved ineffective and unsuccessful for our DDS staff.

If this request is not approved, the indirect cost assessment lines and the indirect cost pool as appropriated per the Long Bill will not reflect the current revenue collections and expenditures by line and funding source. Spending authority for the County Financial Management System (CFMS) will remain as it is in FY 2021-22, continuing to put pressure on both appropriations and limiting the investments in the day to day facility and system management and maintenance. The excess fund balance will remain in the Indirect Cost Recovery Fund and not be used for other state purposes.

If the request concerning the leasing of laptops for the DDS FTE is not approved, the Department will continue to see low participation in required trainings, CDHS/state virtual events, department-wide employee engagement surveys, forms, and document distribution. One of DHS' top priorities for making DHS a great place to work is improving communications with all staff and enabling staff to access communications is critical to achieving this priority. It will also continue to have to use Department resources to find workarounds to getting DDS employees the information, training and document distribution they need to complete their work.

This request does not affect FTE or other departments. No statutory changes are needed as this request is technical in nature and will not affect the delivery of services or the Department's annual performance plan. This request does not fall on the OSPB evidence based continuum as it is purely technical in nature to rebalance the Department's indirect cost plan as reflected in the annual General Appropriations Act.

Theory of Change	Not on the continuum - N/A
Program Objective	Not on the continuum - N/A

Outputs being measured	Not on the continuum - N/A		
Outcomes being measured	Not on the continuum - N/A		
Cost/Benefit ratio	N/A		
Evaluations	Pre-Post	Quasi-Experimental Design	Randomized Control Trial
Results of Evaluation	N/A	N/A	N/A
Continuum Level	N/A		

Anticipated Outcomes

If this request is approved, the Indirect Cost Assessment lines and the indirect cost pool line items as appropriated per the Long Bill will reflect the current revenue collections and expenditures by line and funding source. Spending authority for the County Financial Management System (CFMS) will increase to more appropriately support the day to day management and operations of the Department’s 24/7 facilities and CFMS. Finally, the excess fund balance in the Indirect Cost Recovery fund can be used for other State expenditures.

Provision of leased laptops for DDS employees will allow staff to engage in virtual events, online trainings, professional development and will have the ability to engage with the Department similar to other staff within the Colorado Department of Human Services. The laptops will work and be refreshed similar to all other laptops leased by the Department. The Department will be able to track individual training measures, completion of Google forms and increase participation in employee engagement surveys.

Assumptions and Calculations

The assumptions and calculations are as follows for FY 2022-23, FY 2023-24, and beyond.

Table 5: Summary of Requested Changes FY 2022-23						
<i>Request Component</i>	<i>Total Funds</i>	<i>General Fund</i>	<i>Cash Funds</i>	<i>Reappropriated Funds</i>	<i>Federal Funds</i>	<i>Source</i>
True up of the indirect costs	\$0	(\$2,007,509)	\$0	\$2,007,509	\$0	Tables 3 & 4

Spending authority for CFMS	\$234,472	\$69,169	\$0	\$165,303	\$0	Table 7
Cash Fund Refinance	\$0	(\$5,000,000)	\$0	\$5,000,000	\$0	Table 2
Spending Authority for Microcomputer lease payments	\$44,604	\$0	\$0	\$44,604	\$0	
Total	\$279,076	(\$6,938,340)	\$0	\$7,217,416	\$0	

Table 6: Summary of Requested Changes FY 2023-24						
<i>Request Component</i>	<i>Total Funds</i>	<i>General Fund</i>	<i>Cash Funds</i>	<i>Reappropriated Funds</i>	<i>Federal Funds</i>	<i>Source</i>
True up of the indirect costs	\$0	(\$2,007,509)	\$0	\$2,007,509	\$0	Tables 3 & 4
Spending authority for CFMS	\$234,472	\$69,169	\$0	\$165,303	\$0	
Cash Fund Refinance	\$0	\$0	\$0	\$0	\$0	Table 2
Spending Authority for Microcomputer lease payments	\$44,604	\$0	\$0	\$44,604	\$0	
Total	\$279,076	(\$1,938,340)	\$0	\$2,217,416	\$0	

True up of the indirect costs

Based on an analysis of FY 2020-21 indirect cost expenditures, the Department is requesting adjustments illustrated in Tables 3 and 4.

Spending authority for the Office of Information Technology, County Financial Management System (CFMS)

Based on the information provided the Department is requesting the following adjustment of the Office of Information Technology County Financial Management System (CFMS) appropriation based on the proposed General Fund and reappropriated fund splits reflected in Tables 3 and 4.

Table 7: Requested Spending Authority Increase					
<i>Line Item</i>	<i>Adjustment</i>				
	<i>Total</i>	<i>General</i>	<i>Cash</i>	<i>Reappropriated</i>	<i>Federal</i>

	<i>Funds</i>	<i>Fund</i>	<i>Funds</i>	<i>Funds</i>	<i>Funds</i>
<i>Office of Information Technology, County Financial Management System</i>	\$234,472	\$69,169	\$0	\$165,303	\$0

Cash Fund Refinance

Based on Table 2, the Department proposed a one time cash fund transfer from the Indirect Cost Recovery Cash Fund to the General Fund of \$5,000,000.

Microcomputer Lease Payments

Table 7 reflects the calculated cost of leasing laptops for the employees at the DDS.

<i>Table 7: Cost of Laptops for DDS Employees</i>	
Number of Employees	177
Cost per Laptop	\$252
Total Cost	\$44,604

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A

Department of Human Services

Funding Request for the FY 2022-23 Budget Cycle

Request Title

R-20 CAPS Fee Reduction

Dept. Approval By: _____

Supplemental FY 2021-22

OSPB Approval By: _____

Budget Amendment FY 2022-23

X

Change Request FY 2022-23

Summary Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$439,434	\$0	\$471,794	\$0	\$0
	FTE	7.5	0.0	7.5	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$439,434	\$0	\$471,794	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2021-22		FY 2022-23		FY 2023-24
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$439,434	\$0	\$471,794	\$0	\$0
	FTE	7.5	0.0	7.5	0.0	0.0
01. Executive Director's Office, (B) Special Purpose, (1) Special Purpose - Records and Reports of At-risk Adult Abuse or Neglect	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$439,434	\$0	\$471,794	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Human Services Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact

COVER PAGE	
Schedule 13 - Exec Nov 1	
<p>DESCRIPTION: SUMMARY OF INDIVIDUAL FUNDING REQUEST. The Schedule 13 is a standard infoAdvantage report that is used with all requests. It is a summary report. Budget amounts, supplemental requests, and 1331 supplemental requests. This schedule provides data on the requested incremental change in spending authority and FTE. It also identifies which line items are affected.</p>	
<p>Report Performance</p> <p>This section will allow you to view the report performance. If you require troubleshooting assistance, a copy of this cover page will be necessary. Please use the Helpful Links and Contact PB Help Desk sections on the right.</p>	
<p>Selected Reports</p> <p>*** Query Name SF 030 *** Enter Cabinet (One Letter Code): I Decision Item Req Code: 19818 Review Duplicate Row: ON</p> <p>*** Query Name SF 060 *** Enter Cabinet (One Letter Code): I Supplemental Req Code: EMPTY Review Duplicate Row: ON</p> <p>*** Query Name SF 010 020 *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name SD CV Appr *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p> <p>*** Query Name Prog_Base *** Enter Cabinet (One Letter Code): I Review Duplicate Row: ON</p>	
<p>Summary of Each Query</p> <p>*** Query Name SF 030 *** Query Properties: Universal PB - Budget Form Last Refresh Date: 10/25/21 5:36 PM Last Execution Duration: 4 Number of rows: 1 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_REQUESTS, SD_RV_REQUESTS_ED) AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (19818)))</p>	
<p>*** Query Name SF 060 *** Query Properties: Universal PB - Budget Form Last Refresh Date: 10/25/21 5:36 PM Last Execution Duration: 4 Number of rows: 3 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_CV_SUPPLEMENTALS, SD_CV_SUPPLEMENTAL_ED) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTY)))</p>	
<p>*** Query Name SF 010 020 *** Query Properties: Universal PB - Budget Form Last Refresh Date: 10/25/21 5:36 PM Last Execution Duration: 4 Number of rows: 3 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Budget Layout Code, Header Organization Code, Budget Request Code, Budget Request Name, Header Org Name, Budget Line Program Code, Budget Line Program Name, Budget Line Program Short Name, Budget Line Fund Program Code, Budget Line Fund Program Name, Budget Line Fund Program Short Name, But Lr Parent Fund Type Code, But Lr Fund Type Code, But Lr Fund Type Name, Budget Line Fund Code, Budget Line Fund Name, Posting Amount 1, Posted at But Lr Bill Number Code, Posted at But Lr Bill Number Name, Posting Column 1 Name, Posting Amount 2, Budget Request Selection Field 1, Budget Request Selection Field 2, Budget Request Selection Field 3, But Lr Parent Fund Type Name Filters: Budget Layout Code In List (SD_RV_BASE, SD_ADMIN_ONLY_1) AND Header Org Consolidation Code Equal ALL_ORGS AND Header Parent Organization Code In List () AND Header Organization External Level Equal 2 AND Budget Line Program Consolidation Code Equal PROGRAM_ALL AND Budget Line Program External Level Equal 6 AND But Lr Fund Type Consolidation Code Equal FUND_TYPE_CON AND But Lr Parent Fund Type External Level Equal 1 AND But Lr Fund Type Code External Level Equal 3 AND Budget Line Fund Consolidation Code Equal ALL_FUNDS AND Budget Line Fund External Level Equal 2 AND Budget Request Code Equal (EMPTY)))</p>	
<p>*** Query Name SD CV Appr *** Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:36 PM Last Execution Duration: 3 Number of rows: 2 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Time Period Code Equal CV_APPR AND Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR_RV_BASE)))</p>	
<p>*** Query Name Prog_Base *** Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:36 PM Last Execution Duration: 4 Number of rows: 6 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Organization Code, Program Code, Parent Prog Code, Fund Type Code, Budget Data, Time Period Code, Organization Name, Time Period Name, Parent Prog Name, Program Name Filters: Organization Consolidation Code Equal ALL_ORGS AND Parent Org Code In List () AND Organization External Level Equal 2 AND Program Consolidation Code Equal PROGRAM_ALL AND Program External Level Equal 7 AND Fund Type Consolidation Code Equal FUND_TYPE_CON AND Parent Fund Type Code Equal TOTAL AND Fund Type External Level Equal 3 AND Time Period Code In List (CV_APPR_RV_BASE)))</p>	
<p>*** Query Name Prog LVLS *** Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:36 PM Last Execution Duration: 1 Number of rows: 858 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 5 AND Program External Level Equal 7))</p>	
<p>*** Query Name Prog LVLS *** Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:36 PM Last Execution Duration: 1 Number of rows: 688 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Program Code, Program Name, Parent Prog Code, Parent Prog Name Filters: Program Consolidation Code Equal PROGRAM_ALL AND Parent Prog External Level Equal 4 AND Program External Level Equal 7))</p>	
<p>*** Query Name: Time Period Labels *** Query Properties: Universal PB - Budget Data Last Refresh Date: 10/25/21 5:36 PM Last Execution Duration: 1 Number of rows: 18 Review Duplicate Row: ON</p> <p>*** Query Definition: Result Objects: Time Period Code, Time Period Report Column Label 1, Time Period Report Column Label 2, Time Period Report Column Label 3 Filters: Time Period Code In List (CV_APPR_RV_BASE, RV_M0V1, RV2)))</p>	
<p>Report Errors</p> <p>*** Filter on Report Schedule 13 *** No Filter on Budget Request Code Filter on Section Request Program Code: ZembaCheck SECTION Not Equal AllColumnsEmpty Filter on Stock ForecastProgramLineName: Budget Request Code is Not Null</p> <p>*** Filter on Report Cover Page *** No Filter on Cover Page</p>	

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Recent Updates to Schedule 13

- August 2021
Rebuilt with new cover page



**Department Priority: R-20
 Adult Protective Services Fee Reduction**

Summary of Funding Change for FY 2022-23			
		Incremental Change	
	FY 2021-22 Appropriation	FY 2022-23 Request	FY 2023-24 Request
Total Funds	\$439,434	\$0	\$0
FTE	0.0	0.0	0.0
General Fund	\$0	\$0	\$0
Cash Funds	\$439,434	\$0	\$0
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$0	\$0	\$0

Summary of Fee Change Request

The Department of Human Services (Department, DHS) will lower the Colorado Adult Protective Services data system (CAPS) background check fee from \$9.00 per check in FY 2021-22 to \$5.00 per check in FY 2022-23. The reduction in fee will benefit licensed organizations that provide services for at-risk older adults. DHS estimates that 1,957 employers will collectively save \$553,430 compared to FY 2021-22.

This fee reduction will ensure the Department stays within the 16.5% cap for cash funds as described in 24-75-402, C.R.S. (2020) and also meets its statutory obligation to charge a fee that does not exceed the direct and indirect costs to administer the CAPS background checks and the administrative appeals process for individuals appealing their finding of mistreatment of an at-risk adult, per 26-3.1-111 (9), C.R.S. (2020). The Department has the authority to raise or lower the fee with thirty (30) days' notice to stakeholders per 12 CCR 2518-1, Volume 30.960(H).

Current Program

The Colorado Adult Protective Services data system (CAPS) is used by the Adult Protective Services (APS) program to document reports and cases of mistreatment of at-risk adults. Certain organizations named in statute are required by law to submit a background check request to the CAPS Check Unit (CCU) when hiring a new employee to work as a direct care worker. CCU technicians receive background check requests from agencies and search CAPS to determine if the individual has been substantiated in a case of mistreatment of an at-risk adult in the APS system. In FY 2020-21, there were 113,153 completed CAPS background checks. The CCU provides the results of the check to the requesting agency. Per statute, the employer may choose whether or not to hire the individual. The Department’s statutory authority for this program can be found at 26-3.1-111, C.R.S. (2020).

Anticipated Outcomes

It is anticipated that the reduction in fee will keep the Department within the 16.5% cap allowed by 24-75-402, C.R.S. (2020), while ensuring the Department has the necessary revenue to cover the program costs authorized by 26-3.1-111, C.R.S. (2020). Further, DHS estimates that with this change 1,957 employers will collectively save \$553,430 in FY 2022-23 compared to FY 2021-22. As a result of this fee reduction, employers required to conduct CAPS checks will experience some savings in their operational costs due to the lower fees for CAPS checks.

In addition, this fee reduction will ensure the Department is in compliance with 26-3.1-11(9), C.R.S. (2020), which states “The fee established must not exceed direct and indirect costs incurred for the administrative appeals process for persons appealing claims of mistreatment of at-risk adults and the direct and indirect costs of conducting employer-requested or court-requested CAPS checks pursuant to this section.”

Assumptions and Calculations

The year-end close revenue and expenditures for the CCU and cash fund are used to determine the exact change needed to the fee to meet our statutory obligations previously outlined. For FY 2022-23, this will not be decided until after FY 2021-2022 year-end close which typically occurs in mid August.

The figures in Table 1 illustrate the final year-end CCU expenses and revenue in FY 2020-21.

Table 1 - CCU Expenses and Revenue		
	2020	2021
Expenses	\$ 392,230	\$ 466,794
Revenue	\$ (1,341,090)	\$ (1,010,727)
Excess Revenue	\$ (948,860)	\$ (543,933)

In FY 2020-21, there were an estimated 112,303 paid CAPS checks at a cost of \$9 each, for a total estimated revenue of \$1,010,727. The FY 2020-21 expenses consisted of an estimated \$466,794, which consists of the funds to operate the CAPS Check Unit that conducts the CAPS checks and the cost of administering the appeals process.

It is important to note that the number and extent of appeals received from individuals substantiated in APS cases each year is unknown and can vary greatly. While most appeals are resolved with minimal expenses by the Department's Administrative Review Division, it is possible for an appeal to go to the Administrative Law Judge or a higher court process. In those cases, the costs for the Department's defense from the Attorney General's Office could be significant, which would result in much greater costs for appeals from this fund. As a result, the expenses for this cash fund can increase unexpectedly, resulting in a need to increase the fee to meet those costs.

In addition, it is possible that recent legislation (HB21-1123) and changes to due process for appeals based on recommendations by the Office of the State Auditor in its Performance Audit of the Adult Protective Services Program will increase the likelihood of appeals that will go to the Administrative Law Judge or higher court process in FY 2021-22 and beyond. If that happens, the costs associated with appeals will go up, creating a need for the Department to adjust the CAPS check fee to greater than \$5.00 per check to offset those costs.

Supplemental, 1331 Supplemental or Budget Amendment Criteria

N/A