

**Schedule 10
Summary of FY 2011-12 Change Requests**

Department Name: Human Services
 Submission Date: August 2, 2010
 Number of Decision Items: 17
 Number of Base Reduction Items: 6
 Number of Non Prioritized Items: 50

Priority	Office	IT Request	Title	FTE	Total	GF	CF	RF	FF	MCF	MGF	NGF
FY 2011-12 Decision Items												
1	OSS	No	Additional Funding for Electronic Benefits Transfer Service (EBTS)	0.0	\$640,810	\$185,194	\$185,194	\$0	\$270,422	\$0	\$0	\$185,194
5	DYC	No	(11) Division of Youth Corrections, (B) Institutional Programs, Personal Services	5.0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
1	OSS	No	(7)Office of Self Sufficiency,(C)Special Purpose Welfare Programs,(6)Electronic Benefits Transfer Service	0.0	\$640,810	\$185,194	\$185,194	\$0	\$270,422	\$0	\$0	\$185,194
2	OSS	No	(7)Office of Self Sufficiency,(C)Special Purpose Welfare Programs,(9)Office of Self Sufficiency and Independence - Food	0.0	\$4,715,280	\$2,357,640	\$0	\$0	\$2,357,640	\$0	\$0	\$2,357,640
5	DYC	No	(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Pueblo	(5.0)	(\$548,765)	\$0	\$0	(\$548,765)	\$0	\$0	\$0	\$0
3	DDD	No	(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (2) Program Costs, Adult Comprehensive Services for 66 General Fund and 4,221.0 Medicaid resources	0.0	\$11,052,903	(\$1,650,459)	\$0	\$12,703,362	\$0	\$12,703,362	\$6,351,681	\$4,701,222
4	DDD	No	(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (2) Program Costs, Adult Comprehensive Services for 66 General Fund and 4,221.0 Medicaid resources	0.0	\$4,041,042	\$0	\$0	\$4,041,042	\$0	\$4,041,042	\$2,020,521	\$2,020,521
3	DDD	No	(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (2) Program Costs, Adult Supported Living Services for 602 General Fund and 3,262.5 Medicaid	0.0	\$358,872	(\$358,872)	\$0	\$717,744	\$0	\$717,744	\$358,872	\$0
4	DDD	No	(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (2) Program Costs, Adult Supported Living Services for 602 General Fund and 3,262.5 Medicaid	0.0	\$569,205	\$0	\$0	\$569,205	\$0	\$569,205	\$284,603	\$284,603
3	DDD	No	(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (2) Program Costs, Case Management for 3,713 General Fund and 8,294.5 Medicaid resources	0.0	\$103,879	(\$69,111)	\$0	\$172,990	\$0	\$172,990	\$86,495	\$17,384
4	DDD	No	(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (2) Program Costs, Case Management for 3,713 General Fund and 8,294.5 Medicaid resources	0.0	\$420,476	\$0	\$0	\$420,476	\$0	\$420,476	\$210,238	\$210,238
4	DDD	No	(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (2) Program Costs, Early Intervention Services for 2,176	0.0	\$3,648,368	\$3,648,368	\$0	\$0	\$0	\$0	\$0	\$3,648,368
3	DDD	No	(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (2) Program Costs, Family Support Services	0.0	(\$4,050,590)	(\$4,050,590)	\$0	\$0	\$0	\$0	\$0	(\$4,050,590)
2	OSS	No	Additional Funding for Food Assistance Administration	0.0	\$4,715,280	\$2,357,640	\$0	\$0	\$2,357,640	\$0	\$0	\$2,357,640
4	DDD	No	Services for People with Disabilities-New Funding Development Disabilities Services	0.0	\$8,679,091	\$3,648,368	\$0	\$5,030,723	\$0	\$5,030,723	\$2,515,362	\$6,163,730

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3	DDD	No	Services for People with Disabilities-Reduction Necessary to Cover Medicaid	0.0	\$7,465,064	(\$6,129,032)	\$0	\$13,594,096	\$0	\$13,594,096	\$6,797,048	\$668,016
5	DYC	No	Transfer Sol Vista Youth Services Center FTE to the Division of Youth Corrections	0.0	(\$548,765)	\$0	\$0	(\$548,765)	\$0	\$0	\$0	\$0
FY 2011-12 Decision Items				0.0	\$20,951,480	\$62,170	\$185,194	\$18,076,054	\$2,628,062	\$18,624,819	\$9,312,410	\$9,374,580
FY 2011-12 Base Reduction Items												
1	DYC	No	Purchase of Contract Placements Line Item Appropriation Reduction	0.0	(\$9,195,422)	(\$9,197,473)	\$0	\$5,733	(\$3,682)	\$5,733	\$2,866	(\$9,194,607)
2	EDO	No	(1) Executive Director's Office; (B) Special Purpose, Colorado Commission for the Deaf and Hard of Hearing	0.5	(\$23,919)	\$0	\$0	(\$23,919)	\$0	\$0	\$0	\$0
1	DYC	No	(11) Division of Youth Corrections, (C) Community Programs, Purchase of Contract	0.0	(\$9,195,422)	(\$9,197,473)	\$0	\$5,733	(\$3,682)	\$5,733	\$2,866	(\$9,194,607)
3	CA	No	(4)County Administration, County Tax Base	0.0	(\$2,700,688)	(\$2,700,688)	\$0	\$0	\$0	\$0	\$0	(\$2,700,688)
2	EDO	No	Convert Contractual Services to FTE in the Telecommunications Equipment Distribution Program	0.5	(\$23,919)	\$0	\$0	(\$23,919)	\$0	\$0	\$0	\$0
3	CA	No	Eliminate General Fund in County Tax Base Relief Line	0.0	(\$2,700,688)	(\$2,700,688)	\$0	\$0	\$0	\$0	\$0	(\$2,700,688)
FY 2011-12 Base Reduction Items				0.5	(\$11,920,029)	(\$11,898,161)	\$0	(\$18,186)	(\$3,682)	\$5,733	\$2,866	(\$11,895,295)
FY 2011-12 Non-Prioritized Decision Items												
1	HCPF	No	HCPF - FY 2011-12 2% General Fund Personal Services Reduction (HCPF NP-1)	0.0	(\$9,297)	(\$2,813)	\$0	(\$4,256)	(\$2,228)	(\$4,256)	(\$2,128)	(\$4,941)
10	EDO	No	(1) Executive Director's Office; (A) General Administration, Health, Life, and Dental	0.0	(\$574,097)	(\$438,817)	(\$556)	(\$109,381)	(\$25,343)	(\$105,649)	(\$52,825)	(\$491,642)
4	Various	No	(1) Executive Director's Office; (A) General Administration, Personal Services	0.0	(\$17,265)	(\$13,161)	\$0	(\$4,104)	\$0	(\$4,104)	(\$2,052)	(\$15,213)
7	Various	No	(1) Executive Director's Office; (A) General Administration, Personal Services	0.0	(\$45,714)	(\$15,084)	(\$2,287)	(\$6,856)	(\$21,487)	(\$4,460)	(\$2,234)	(\$17,318)
4	Various	No	(1) Executive Director's Office; (B) Special Purpose, Administrative Review Unit	0.0	(\$26,555)	(\$26,555)	\$0	\$0	\$0	\$0	\$0	(\$26,555)
7	Various	No	(1) Executive Director's Office; (B) Special Purpose, Administrative Review Unit	0.0	(\$43,266)	(\$28,100)	\$0	\$0	(\$15,166)	\$0	\$0	(\$28,100)
4	Various	No	(1) Executive Director's Office; (B) Special Purpose, CBMS Emergency Processing Unit	0.0	(\$1,292)	(\$1,292)	\$0	\$0	\$0	\$0	\$0	(\$1,292)
7	Various	No	(1) Executive Director's Office; (B) Special Purpose, CBMS Emergency Processing Unit	0.0	(\$1,065)	(\$368)	(\$85)	\$0	(\$612)	\$0	\$0	(\$368)
7	Various	No	(1) Executive Director's Office; (B) Special Purpose, Colorado Commission for Individuals who are Blind or Visually	0.0	(\$1,065)	\$0	\$0	(\$1,065)	\$0	\$0	\$0	\$0
4	Various	No	(1) Executive Director's Office; (B) Special Purpose, Colorado Commission for the Deaf and Hard of Hearing	0.0	(\$2,572)	(\$2,572)	\$0	\$0	\$0	\$0	\$0	(\$2,572)
7	Various	No	(1) Executive Director's Office; (B) Special Purpose, Colorado Commission for the Deaf and Hard of Hearing	0.0	(\$6,317)	(\$1,131)	\$0	(\$5,186)	\$0	\$0	\$0	(\$1,131)
7	Various	No	(1) Executive Director's Office; (B) Special Purpose, Developmental Disabilities Council	0.0	(\$6,679)	\$0	\$0	\$0	(\$6,679)	\$0	\$0	\$0
4	Various	No	(1) Executive Director's Office; (B) Special Purpose, Employment and Regulatory Affairs	0.0	(\$50,884)	(\$36,306)	\$0	(\$14,578)	\$0	(\$13,651)	(\$6,826)	(\$43,132)
7	Various	No	(1) Executive Director's Office; (B) Special Purpose, Employment and Regulatory Affairs	0.0	(\$100,416)	(\$37,065)	(\$4,548)	(\$14,881)	(\$43,922)	(\$13,949)	(\$6,989)	(\$44,054)

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4	Various	No	(1) Executive Director's Office; (B) Special Purpose, Health Insurance Portability and Accountability Act of 1996 - Security	0.0	(\$1,798)	(\$1,422)	\$0	(\$376)	\$0	(\$376)	(\$188)	(\$1,610)
7	Various	No	(1) Executive Director's Office; (B) Special Purpose, Health Insurance Portability and Accountability Act of 1996 - Security Remediations	0.0	(\$2,092)	(\$1,552)	(\$2)	(\$410)	(\$128)	(\$410)	(\$205)	(\$1,757)
4	Various	No	(1) Executive Director's Office; (B) Special Purpose, Juvenile Parole Board	0.0	(\$3,691)	(\$3,691)	\$0	\$0	\$0	\$0	\$0	(\$3,691)
7	Various	No	(1) Executive Director's Office; (B) Special Purpose, Juvenile Parole Board	0.0	(\$3,996)	(\$3,187)	\$0	(\$809)	\$0	\$0	\$0	(\$3,187)
7	Various	No	(1) Executive Director's Office; (B) Special Purpose, Records and Reports of Child Abuse	0.0	(\$9,837)	\$0	(\$9,837)	\$0	\$0	\$0	\$0	\$0
4	Various	No	(10) Adult Assistance Programs, (A)	0.0	(\$2,098)	(\$2,098)	\$0	\$0	\$0	\$0	\$0	(\$2,098)
7	Various	No	(10) Adult Assistance Programs, (A)	0.0	(\$3,048)	(\$539)	\$0	(\$541)	(\$1,968)	\$0	\$0	(\$539)
7	Various	No	(10) Adult Assistance Programs, (B) Old Age Pension Program, State Administration	0.0	(\$8,365)	\$0	(\$8,365)	\$0	\$0	\$0	\$0	\$0
4	Various	No	(10) Adult Assistance Programs, (D) Community Services for the Elderly,	0.0	(\$3,230)	(\$3,230)	\$0	\$0	\$0	\$0	\$0	(\$3,230)
7	Various	No	(10) Adult Assistance Programs, (D) Community Services for the Elderly,	0.0	(\$10,823)	(\$2,879)	\$0	\$0	(\$7,944)	\$0	\$0	(\$2,879)
4	Various	No	(10) Adult Assistance Programs, (D) Community Services for the Elderly, Colorado Commission on Aging	0.0	(\$281)	(\$281)	\$0	\$0	\$0	\$0	\$0	(\$281)
7	Various	No	(10) Adult Assistance Programs, (D) Community Services for the Elderly, Colorado Commission on Aging	0.0	(\$1,349)	(\$347)	\$0	\$0	(\$1,002)	\$0	\$0	(\$347)
7	Various	No	(10) Adult Assistance Programs, (D) Community Services for the Elderly, Senior Community Services Employment	0.0	(\$403)	\$0	\$0	\$0	(\$403)	\$0	\$0	\$0
4	Various	No	(11) Division of Youth Corrections, (A) Administration, Personal Services	0.0	(\$27,643)	(\$27,643)	\$0	\$0	\$0	\$0	\$0	(\$27,643)
7	Various	No	(11) Division of Youth Corrections, (A) Administration, Personal Services	0.0	(\$28,570)	(\$28,570)	\$0	\$0	\$0	\$0	\$0	(\$28,570)
7	Various	No	(11) Division of Youth Corrections, (A) Administration, Victim Assistance	0.0	(\$1,572)	\$0	\$0	(\$1,572)	\$0	\$0	\$0	\$0
4	Various	No	(11) Division of Youth Corrections, (B) Institutional Programs, Educational Programs	0.0	(\$10,043)	(\$10,043)	\$0	\$0	\$0	\$0	\$0	(\$10,043)
7	Various	No	(11) Division of Youth Corrections, (B) Institutional Programs, Educational Programs	0.0	(\$56,040)	(\$51,930)	\$0	(\$4,110)	\$0	\$0	\$0	(\$51,930)
4	Various	No	(11) Division of Youth Corrections, (B) Institutional Programs, Medical Services	0.0	(\$12,216)	(\$12,216)	\$0	\$0	\$0	\$0	\$0	(\$12,216)
7	Various	No	(11) Division of Youth Corrections, (B) Institutional Programs, Medical Services	0.0	(\$60,542)	(\$60,542)	\$0	\$0	\$0	\$0	\$0	(\$60,542)
4	Various	No	(11) Division of Youth Corrections, (B) Institutional Programs, Personal Services	0.0	(\$177,404)	(\$177,404)	\$0	\$0	\$0	\$0	\$0	(\$177,404)
7	Various	No	(11) Division of Youth Corrections, (B) Institutional Programs, Personal Services	0.0	(\$910,908)	(\$910,908)	\$0	\$0	\$0	\$0	\$0	(\$910,908)
4	Various	No	(11) Division of Youth Corrections, (C) Community Programs, Personal Services	0.0	(\$145,945)	(\$145,008)	\$0	(\$937)	\$0	(\$937)	(\$469)	(\$145,477)
7	Various	No	(11) Division of Youth Corrections, (C) Community Programs, Personal Services	0.0	(\$167,649)	(\$159,643)	(\$1,135)	(\$1,030)	(\$5,841)	(\$1,030)	(\$545)	(\$160,188)
3	HCPF	No	(2) Office of Information Technology Services, Colorado Benefits Management	0.0	\$40,352	\$9,955	\$1,880	\$15,184	\$13,333	\$15,184	\$7,530	\$17,485

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1	HCPF	No	(2) Office of Information Technology Services, Colorado Benenits Management	0.0	(\$9,297)	(\$2,813)	\$0	(\$4,256)	(\$2,228)	(\$4,256)	(\$2,128)	(\$4,941)
5	HCPF	Yes	(2) Office of Information Technology Services, Colorado Benenits Management	0.0	\$70,200	\$0	\$0	\$70,200	\$0	\$70,200	\$35,100	\$35,100
5	HCPF	Yes	(2) Office of Information Technology Services, Colorado Benenits Management	0.0	\$144,720	\$0	\$0	\$144,720	\$0	\$144,720	\$72,360	\$72,360
9	Various	No	(2) Office of Information Technology Services, Purchase of Services from Computer	0.0	\$19,317	\$10,115	\$188	\$407	\$8,607	\$293	\$146	\$10,261
4	Various	No	(3) Office of Operations, (A) Administration, Personal Services	0.0	(\$262,120)	(\$212,594)	\$0	(\$49,526)	\$0	(\$49,526)	(\$24,763)	(\$237,357)
7	Various	No	(3) Office of Operations, (A) Administration, Personal Services	0.0	(\$504,985)	(\$286,243)	(\$32,750)	(\$140,883)	(\$45,109)	(\$66,043)	(\$33,127)	(\$319,370)
8	Various	No	(3) Office of Operations, (A) Administration, Vehicle Lease Payments	0.0	\$15,725	\$2,143	\$151	\$11,144	\$2,287	\$10,747	\$5,374	\$7,517
7	Various	No	(3) Office of Operations, (B) Special Purpose, Buildings and Grounds Rental	0.0	(\$4,030)	\$0	(\$4,030)	\$0	\$0	\$0	\$0	\$0
7	Various	No	(3) Office of Operations, (B) Special Purpose, State Garage Fund	0.0	(\$2,666)	\$0	\$0	(\$2,666)	\$0	\$0	\$0	\$0
4	Various	No	(5) Division of Child Welfare, Administration	0.0	(\$51,601)	(\$48,983)	\$0	(\$2,618)	\$0	(\$2,618)	(\$1,309)	(\$50,292)
7	Various	No	(5) Division of Child Welfare, Administration	0.0	(\$68,121)	(\$51,102)	\$0	(\$2,721)	(\$14,298)	(\$2,721)	(\$1,371)	(\$52,473)
2	HCPF	No	(5) Division of Child Welfare, Child Welfare Services	0.0	\$6,252	\$0	\$0	\$6,252	\$0	\$6,252	\$3,126	\$3,126
7	Various	No	(5) Division of Child Welfare, Federal Child Abuse Prevention and Treatment Act Grant	0.0	(\$4,324)	\$0	\$0	\$0	(\$4,324)	\$0	\$0	\$0
4	Various	No	(5) Division of Child Welfare, Foster and Adoptive Parent Recruitment, Training, and	0.0	(\$1,280)	(\$1,280)	\$0	\$0	\$0	\$0	\$0	(\$1,280)
7	Various	No	(5) Division of Child Welfare, Foster and Adoptive Parent Recruitment, Training, and	0.0	(\$1,489)	(\$1,191)	\$0	\$0	(\$298)	\$0	\$0	(\$1,191)
4	Various	No	(5) Division of Child Welfare, Promoting Safe and Stable Families Program	0.0	(\$927)	(\$927)	\$0	\$0	\$0	\$0	\$0	(\$927)
7	Various	No	(5) Division of Child Welfare, Promoting Save and Stable Families Program	0.0	(\$1,663)	(\$416)	\$0	\$0	(\$1,247)	\$0	\$0	(\$416)
4	Various	No	(5) Division of Child Welfare, Training	0.0	(\$3,391)	(\$3,391)	\$0	\$0	\$0	\$0	\$0	(\$3,391)
7	Various	No	(5) Division of Child Welfare, Training	0.0	(\$7,472)	(\$4,230)	\$0	\$0	(\$3,242)	\$0	\$0	(\$4,230)
4	Various	No	(6)Division of Child Care, Child Care Licensing and Administration	0.0	(\$39,760)	(\$39,760)	\$0	\$0	\$0	\$0	\$0	(\$39,760)
7	Various	No	(6)Division of Child Care, Child Care Licensing and Administration	0.0	(\$89,848)	(\$40,819)	(\$12,793)	\$0	(\$36,236)	\$0	\$0	(\$40,819)
7	Various	No	(6)Division of Child Care, School-readiness Quality Improvement Program	0.0	(\$1,841)	\$0	\$0	\$0	(\$1,841)	\$0	\$0	\$0
4	Various	No	(7) Office of Self Sufficiency, (A) Administration, Personal Services	0.0	(\$14,892)	(\$14,892)	\$0	\$0	\$0	\$0	\$0	(\$14,892)
7	Various	No	(7) Office of Self Sufficiency, (A) Administration, Personal Services	0.0	(\$32,881)	(\$13,808)			(\$19,073)	\$0	\$0	(\$13,808)
7	Various	No	(7) Office of Self Sufficiency, (B) Colorado Works Program, Administration	0.0	(\$28,044)				(\$28,044)	\$0	\$0	\$0
7	Various	No	(7) Office of Self Sufficiency, (B) Colorado Works Program, County Training	0.0	(\$3,447)				(\$3,447)	\$0	\$0	\$0

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7	Various	No	(7) Office of Self Sufficiency, (B) Colorado Works Program, Domestic Abuse Program	0.0	(\$6,284)		(\$4,277)		(\$2,007)	\$0	\$0	\$0
7	Various	No	(7) Office of Self Sufficiency, (B) Colorado Works Program, Promoting Responsible	0.0	(\$2,721)				(\$2,721)	\$0	\$0	\$0
7	Various	No	(7) Office of Self Sufficiency, (C) Special Purpose Welfare Programs, (1) Low Income Energy Assistance Program	0.0	(\$10,029)				(\$10,029)	\$0	\$0	\$0
4	Various	No	(7) Office of Self Sufficiency, (C) Special Purpose Welfare Programs, (2) Food Stamp Job Search Units, Program Costs	0.0	(\$3,651)	(\$3,651)	\$0	\$0	\$0	\$0	\$0	(\$3,651)
7	Various	No	(7) Office of Self Sufficiency, (C) Special Purpose Welfare Programs, (2) Food Stamp Job Search Units, Program Costs	0.0	(\$10,838)	(\$3,559)			(\$7,279)	\$0	\$0	(\$3,559)
4	Various	No	(7) Office of Self Sufficiency, (C) Special Purpose Welfare Programs, (3) Food	0.0	(\$935)	(\$935)	\$0	\$0	\$0	\$0	\$0	(\$935)
7	Various	No	(7) Office of Self Sufficiency, (C) Special Purpose Welfare Programs, (3) Food	0.0	(\$6,724)	(\$605)	(\$2,891)		(\$3,228)	\$0	\$0	(\$605)
4	Various	No	(7) Office of Self Sufficiency, (C) Special Purpose Welfare Programs, (6) Electronic Benefits Transfer Services	0.0	(\$3,792)	(\$3,792)	\$0	\$0	\$0	\$0	\$0	(\$3,792)
7	Various	No	(7) Office of Self Sufficiency, (C) Special Purpose Welfare Programs, (6) Electronic Benefits Transfer Services	0.0	(\$10,975)	(\$4,721)	(\$1,316)		(\$4,938)	\$0	\$0	(\$4,721)
7	Various	No	(7) Office of Self Sufficiency, (C) Special Purpose Welfare Programs, (7) Refugee	0.0	(\$2,791)				(\$2,791)	\$0	\$0	\$0
4	Various	No	(7) Office of Self Sufficiency, (C) Special Purpose Welfare Programs, (8) Systematic Alien Verifications for Eligibility	0.0	(\$785)	(\$134)	\$0	(\$651)	\$0	(\$651)	(\$326)	(\$460)
7	Various	No	(7) Office of Self Sufficiency, (C) Special Purpose Welfare Programs, (8) Systematic Alien Verifications for Eligibility	0.0	(\$1,175)	(\$153)	(\$82)	(\$740)	(\$200)	(\$740)	(\$370)	(\$523)
4	Various	No	(7) Office of Self Sufficiency, (D) Child Support Enforcement, Automated Child Support Enforcement System	0.0	(\$140,589)	(\$47,801)	\$0	\$0	(\$92,788)	\$0	\$0	(\$47,801)
7	Various	No	(7) Office of Self Sufficiency, (D) Child Support Enforcement, Automated Child Support Enforcement System	0.0	(\$26,659)	(\$9,065)			(\$17,594)	\$0	\$0	(\$9,065)
4	Various	No	(7) Office of Self Sufficiency, (D) Child Support Enforcement, Child Support	0.0	(\$32,520)	(\$11,057)	\$0	\$0	(\$21,463)	\$0	\$0	(\$11,057)
7	Various	No	(7) Office of Self Sufficiency, (D) Child Support Enforcement, Child Support	0.0	(\$34,543)	(\$11,744)			(\$22,799)	\$0	\$0	(\$11,744)
7	Various	No	(7) Office of Self Sufficiency, (E) Disability Determination Services, Program Costs	0.0	(\$168,853)				(\$168,853)	\$0	\$0	\$0
7	Various	No	(8) Mental Health and Alcohol and Drug Abuse Services, (A) Administration, Federal Programs and Grants	0.0	(\$13,742)	\$0	\$0	\$0	(\$13,742)	\$0	\$0	\$0
4	Various	No	(8) Mental Health and Alcohol and Drug Abuse Services, (A) Administration, Personal	0.0	(\$26,142)	(\$19,388)	\$0	(\$6,754)	\$0	(\$6,754)	(\$3,377)	(\$22,765)
7	Various	No	(8) Mental Health and Alcohol and Drug Abuse Services, (A) Administration, Personal	0.0	(\$48,005)	(\$20,225)	(\$4,914)	(\$7,059)	(\$15,807)	(\$7,059)	(\$3,537)	(\$23,762)
7	Various	No	(8) Mental Health and Alcohol and Drug Abuse Services, (A) Administration, Supportive Housing and Homeless Program	0.0	(\$22,415)	\$0	\$0	\$0	(\$22,415)	\$0	\$0	\$0
4	Various	No	(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institute, Mental Health Institutes-Ft. Logan	0.0	(\$51,985)	(\$51,985)	\$0	\$0	\$0	\$0	\$0	(\$51,985)
7	Various	No	(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institute, Mental Health Institutes-Ft. Logan	0.0	(\$323,011)	(\$290,595)	(\$19,503)	(\$12,913)	\$0	\$0	\$0	(\$290,595)

Summary of FY 2011-12 Change Requests

Department Name: Human Services
Submission Date: August 2, 2010
Number of Decision Items: 17
Number of Base Reduction Items: 6
Number of Non Prioritized Items: 50

Priority	Office	IT Request	Title	FTE	Total	GF	CF	RF	FF	MCF	MGF	NGF
4	Various	No	(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Educational Programs	0.0	(\$83)	(\$83)	\$0	\$0	\$0	\$0	\$0	(\$83)
7	Various	No	(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Educational Programs	0.0	(\$1,636)	(\$103)	(\$581)	(\$952)	\$0	\$0	\$0	(\$103)
2	HCPF	No	(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Pueblo	0.0	(\$7,551)	\$0	\$0	(\$7,551)	\$0	(\$7,551)	(\$3,775)	(\$3,775)
4	Various	No	(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Pueblo	0.0	(\$184,460)	(\$175,752)	\$0	(\$8,708)	\$0	(\$8,708)	(\$4,354)	(\$180,106)
7	Various	No	(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Pueblo	0.0	(\$1,099,998)	(\$921,715)	(\$89,724)	(\$88,559)	\$0	(\$46,631)	(\$23,559)	(\$945,274)
4	Various	No	(8) Mental Health and Alcohol and Drug Abuse Services, (D) Alcohol and Drug Abuse Division (1) Administration, Personal	0.0	(\$6,873)	(\$5,810)	\$0	(\$1,063)	\$0	(\$1,063)	(\$532)	(\$6,342)
7	Various	No	(8) Mental Health and Alcohol and Drug Abuse Services, (D) Alcohol and Drug Abuse Division (1) Administration, Personal	0.0	(\$25,823)	(\$2,809)	(\$1,372)	(\$5,658)	(\$15,984)	(\$607)	(\$308)	(\$3,117)
4	Various	No	(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (1)	0.0	(\$58,470)	(\$4,702)	\$0	(\$53,768)	\$0	(\$53,768)	(\$26,884)	(\$31,586)
7	Various	No	(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (1)	0.0	(\$56,353)	(\$5,703)	\$0	(\$50,650)	\$0	(\$50,650)	(\$25,333)	(\$31,036)
2	HCPF	No	(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (2) Program Costs, Adult Comprehensive Services for 66 General Fund and 4,221.0 Medicaid resources	0.0	(\$239,127)	\$0	\$0	(\$239,127)	\$0	(\$239,127)	(\$119,563)	(\$119,563)
7	Various	No	(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (3) Other Community Programs, Federal Special Education Grant for Infant, Toddlers, and	0.0	(\$9,677)	\$0	\$0	\$0	(\$9,677)	\$0	\$0	\$0
2	HCPF	No	(9) Services for People with Disabilities, (B) Regional Centers for People with Developmental Disabilities, (1) Medicaid-funded Services, Personal Services	0.0	(\$85,167)	\$0	\$0	(\$85,167)	\$0	(\$85,167)	(\$39,259)	(\$39,259)
4	Various	No	(9) Services for People with Disabilities, (B) Regional Centers for People with	0.0	(\$173,156)	\$0	\$0	(\$173,156)	\$0	(\$173,156)	(\$82,843)	(\$82,843)
7	Various	No	(9) Services for People with Disabilities, (B) Regional Centers for People with Developmental Disabilities, (1) Medicaid-funded Services, Personal Services	0.0	(\$846,245)	\$0	\$0	(\$846,245)	\$0	(\$846,245)	(\$423,356)	(\$423,356)
4	Various	No	(9) Services for People with Disabilities, (B) Regional Centers for People with Developmental Disabilities, (2) Other Program Costs, General Fund Physician	0.0	(\$1,760)	(\$1,760)	\$0	\$0	\$0	\$0	\$0	(\$1,760)
7	Various	No	(9) Services for People with Disabilities, (B) Regional Centers for People with Developmental Disabilities, (2) Other Program Costs, General Fund Physician	0.0	(\$1,920)	(\$1,920)	\$0	\$0	\$0	\$0	\$0	(\$1,920)

Summary of FY 2011-12 Change Requests

Department Name: Human Services
Submission Date: August 2, 2010
Number of Decision Items: 17
Number of Base Reduction Items: 6
Number of Non Prioritized Items: 50

Priority	Office	IT Request	Title	FTE	Total	GF	CF	RF	FF	MCF	MGF	NGF
7	Various	No	(9) Services for People with Disabilities, (D) Division of Vocational Rehabilitation, Business Enterprise Program for People who	0.0	(\$7,853)	\$0	(\$1,672)	\$0	(\$6,181)	\$0	\$0	\$0
4	Various	No	(9) Services for People with Disabilities, (D) Division of Vocational Rehabilitation, Rehabilitation Programs - General Fund	0.0	(\$282,611)	(\$60,196)	\$0	\$0	(\$222,415)	\$0	\$0	(\$60,196)
7	Various	No	(9) Services for People with Disabilities, (D) Division of Vocational Rehabilitation, Rehabilitation Programs - General Fund	0.0	(\$293,375)	(\$62,222)	\$0	\$0	(\$231,153)	\$0	\$0	(\$62,222)
7	Various	No	(9) Services for People with Disabilities, (D) Division of Vocational Rehabilitation, Rehabilitation Programs-Local Funds Match	0.0	(\$6,545)	\$0	(\$230)	(\$1,164)	(\$5,151)	\$0	\$0	\$0
7	Various	No	(9) Services for People with Disabilities, (D) Division of Vocational Rehabilitation, Traumatic Brain Injury Trust Fund	0.0	(\$2,842)	\$0	(\$2,842)	\$0	\$0	\$0	\$0	\$0
8	Various	No	Annual Fleet Vehicle Replacement	0.0	\$15,725	\$2,143	\$151	\$11,144	\$2,287	\$10,747	\$5,374	\$7,517
4	Various	No	FY 2011-12 2% General Fund Personal Services Reduction	0.0	(\$1,824,700)	(\$1,171,795)	\$0	(\$316,239)	(\$336,666)	(\$315,312)	(\$153,923)	(\$1,325,718)
7	Various	No	FY 2011-12 PERA Reduction	0.0	(\$5,261,559)	(\$3,034,793)	(\$205,236)	(\$1,196,670)	(\$824,860)	(\$1,040,545)	(\$520,934)	(\$3,555,727)
2	HCPF	No	HCPF - BRI-2 Medicaid Fee-for-Service Payment Delay	0.0	(\$325,593)	\$0	\$0	(\$325,593)	\$0	(\$325,593)	(\$159,471)	(\$159,471)
5	HCPF	Yes	HCPF - CBMS Compliance with Low Income Subsidy and Disability	0.0	\$214,920	\$0	\$0	\$214,920	\$0	\$214,920	\$107,460	\$107,460
3	HCPF	No	HCPF - CHP+ Program Reductions (BRI-4)	0.0	\$40,352	\$9,955	\$1,880	\$15,184	\$13,333	\$15,184	\$7,530	\$17,485
9	Various	No	Printing of Statewide Warrants and Mainframe Documents	0.0	\$19,317	\$10,115	\$188	\$407	\$8,607	\$293	\$146	\$10,261
10	EDO	No	Pro-Rated Benefits	0.0	(\$574,097)	(\$438,817)	(\$556)	(\$109,381)	(\$25,343)	(\$105,649)	(\$52,825)	(\$491,642)
FY 2011-12 Non-Prioritized Decision Items				0.0	(\$7,704,932)	(\$4,626,005)	(\$203,573)	(\$1,710,484)	(\$1,164,870)	(\$1,550,211)	(\$768,771)	(\$5,394,776)
Grand Total November 1, 1010				0.5	\$1,326,519	(\$16,461,996)	(\$18,379)	\$16,347,384	\$1,459,510	\$17,080,341	\$8,546,505	(\$7,915,491)

**Schedule 10
Summary of FY 2012-13 Funding Requests**

Department Name: Human Services

Submission Date: January 3, 2012

Number of Funding Requests: 10

Priority	Office	IT Request	Title	FTE	Total	GF	CF	RF	FF	MCF	MGF	NGF
FY 2012-13 Funding Requests												
R-1	OLTC	No	New Funding - Developmental Disabilities Services	0.0	\$4,877,540	\$0	\$0	\$4,877,540	\$0	\$4,877,540	\$2,438,770	\$2,438,770
			(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (2) Program Costs, Adult Comprehensive Services for 4,333.0 Medicaid Resources	0.0	\$3,914,972	\$0	\$0	\$3,914,972	\$0	\$3,914,972	\$1,957,486	\$1,957,486
			(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (2) Program Costs, Adult Supported Living Services for 692 General Fund and 3,297.5 Medicaid Resources	0.0	\$758,940	\$0	\$0	\$758,940	\$0	\$758,940	\$379,470	\$379,470
			(9) Services for People with Disabilities, (A) Community Services for People with Developmental Disabilities, (2) Program Costs, Case Management for 3,647 General Fund and 8,441.5 Medicaid Resources	0.0	\$203,628	\$0	\$0	\$203,628	\$0	\$203,628	\$101,814	\$101,814
R-2	OBH	Yes	Electronic Health Record and Pharmacy System Feasibility Study at the Mental Health Institutes	0.0	\$75,000	\$75,000	\$0	\$0	\$0	\$0	\$0	\$75,000
			(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Ft. Logan	0.0	\$16,224	\$16,224	\$0	\$0	\$0	\$0	\$0	\$16,224
			(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Pueblo	0.0	\$58,776	\$58,776	\$0	\$0	\$0	\$0	\$0	\$58,776
R-3	OES	No	TANF Long-Term Reserve Solvency	(1.0)	(\$6,282,522)	\$0	(\$889,547)	\$0	(\$5,392,975)	\$0	\$0	\$0
			(7) Office of Self Sufficiency, (B) Colorado Works Program, Administration	(1.0)	(\$60,208)	\$0	\$0	\$0	(\$60,208)	\$0	\$0	\$0
			(7) Office of Self Sufficiency, (B) Colorado Works Program, County Block Grants	0.0	(\$6,058,314)	\$0	(\$889,547)	\$0	(\$5,168,767)	\$0	\$0	\$0
			(7) Office of Self Sufficiency, (B) Colorado Works Program, County Training	0.0	(\$114,000)	\$0	\$0	\$0	(\$114,000)	\$0	\$0	\$0

Schedule 10
Summary of FY 2012-13 Funding Requests

Department Name: Human Services

Submission Date: January 3, 2012

Number of Funding Requests: 10

Priority	Office	IT Request	Title	FTE	Total	GF	CF	RF	FF	MCF	MGF	NGF
			(7) Office of Self Sufficiency, (C) Special Purpose Welfare Programs, (7) Refugee Assistance	0.0	(\$50,000)	\$0	\$0	\$0	(\$50,000)	\$0	\$0	\$0
R-4	OLTC	No	Title III Older American Act Matching Requirement and General Fund Savings	0.0	\$0	(\$10,080)	\$0	\$0	\$10,080	\$0	\$0	(\$10,080)
			(10) Adult Assistance Programs, (D) Community Services for the Elderly,	0.0	\$0	(\$9,600)	\$0	\$0	\$9,600	\$0	\$0	(\$9,600)
			(10) Adult Assistance Programs, (D) Community Services for the Elderly, Colorado Commission on Aging	0.0	\$0	(\$480)	\$0	\$0	\$480	\$0	\$0	(\$480)
R-5	EP	No	Legal Auxiliary Services	0.2	\$96,798	\$0	\$0	\$96,798	\$0	\$0	\$0	\$0
			(1) Executive Director's Office; (B) Special Purpose, Colorado Commission for the Deaf and Hard of Hearing	0.2	\$96,798	\$0	\$0	\$96,798	\$0	\$0	\$0	\$0
R-6	OES	No	Division of Vocational Rehabilitation Leased Vehicles	0.0	(\$16,993)	(\$3,619)	\$0	\$0	(\$13,374)	\$0	\$0	(\$3,619)
			(3) Office of Operations, (A) Administration, Vehicle Lease Payments	0.0	\$16,416	\$3,497	\$0	\$0	\$12,919	\$0	\$0	\$3,497
			(9) Services for People with Disabilities, (D) Division of Vocational Rehabilitation, Rehabilitation Programs - General Fund	0.0	(\$33,409)	(\$7,116)	\$0	\$0	(\$26,293)	\$0	\$0	(\$7,116)
R-7	OES	Yes	Low-Income Telephone Assistance Program Integrity	0.0	\$0	\$0	\$39,566	\$0	(\$39,566)	\$0	\$0	\$0
			(7) Office of Self Sufficiency (C) Special Purpose Welfare Programs, (4) Low-Income Energy Assistance Program	(0.4)	(\$39,566)	\$0	\$0	\$0	(\$39,566)	\$0	\$0	\$0
			(7) Office of Self Sufficiency (C) Special Purpose Welfare Programs, (4) Low-Income Telephone Assistance Program	0.4	\$39,566	\$0	\$39,566	\$0	\$0	\$0	\$0	\$0
R-8	OAS	No	Buildings and Grounds Cash Fund Adjustment	0.0	\$554,596	\$0	\$554,596	\$0	\$0	\$0	\$0	\$0
			(3) Office of Operations, (B) Special Purpose, Buildings and Grounds Rental	0.0	\$554,596	\$0	\$554,596	\$0	\$0	\$0	\$0	\$0
R-9	CA/OES	No	Refinance Child Support Enforcement Programs General Fund Appropriations with Cash Funds	0.0	(\$365,260)	(\$365,260)	\$0	\$0	\$0	\$0	\$0	(\$365,260)
			(4) County Administration, County Incentive Payments	0.0	(\$365,260)	\$0	(\$365,260)	\$0	\$0	\$0	\$0	\$0

**Schedule 10
Summary of FY 2012-13 Funding Requests**

Department Name: Human Services

Submission Date: January 3, 2012

Number of Funding Requests: 10

Priority	Office	IT Request	Title	FTE	Total	GF	CF	RF	FF	MCF	MGF	NGF
			(7) Office of Self Sufficiency, (D) Child Support Enforcement, Automated Child Support Enforcement System	0.0	\$0	(\$293,460)	\$293,460	\$0	\$0	\$0	\$0	(\$293,460)
			(7) Office of Self Sufficiency, (D) Child Support Enforcement, Child Support	0.0	\$0	(\$71,800)	\$71,800	\$0	\$0	\$0	\$0	(\$71,800)
R-10	OCYF	No	Child Care Assistance Program General Fund Refinance	0.0	\$0	(\$817,511)	\$0	\$0	\$817,511	\$0	\$0	(\$817,511)
			(6) Division of Child Care, Child Care Assistance Program	0.0	\$0	(\$817,511)	\$0	\$0	\$817,511	\$0	\$0	(\$817,511)
NP-1	OPS	No	Statewide Vehicle Replacement	0.0	\$56,010	\$31,316	\$1,158	\$16,158	\$7,378	\$15,149	\$7,574	\$38,890
			(3) Office of Operations, (A) Administration, Vehicle Lease Payments	0.0	\$56,010	\$31,316	\$1,158	\$16,158	\$7,378	\$15,149	\$7,574	\$38,890
NP-2	OIT	Yes	CBMS Electronic Document Management	0.0	\$1,257,600	\$303,065	\$43,576	\$464,126	\$446,833	\$464,126	\$230,707	\$533,772
			(2) Office of Information Technology Services, Colorado Benefits Management System (CBMS), Operating Expenses	0.0	\$1,257,600	\$303,065	\$43,576	\$464,126	\$446,833	\$464,126	\$230,707	\$533,772
NP-3	OIT	Yes	Hospital Provider Fee Administrative	0.0	\$14,040	\$0	\$0	\$14,040	\$0	\$14,040	\$0	\$0
			(2) Office of Information Technology Services, Colorado Benefits Management System (CBMS), Operating Expenses	0.0	\$14,040	\$0	\$0	\$14,040	\$0	\$14,040	\$0	\$0
FY 2012-13 Funding Requests				(0.8)	\$266,809	(\$787,089)	(\$250,651)	\$5,468,662	(\$4,164,113)	\$5,370,855	\$2,677,051	\$1,889,962

**Schedule 11
Summary of Supplemental Requests for FY 2011-12**

Department Name: Human Services
 Submission Date: January 3, 2012
 Number of Prioritized Supplemental Requests: 6

Priority	Office	IT Request	Title	FTE	Total	GF	CF	RF	FF	MCF	MGF	NGF
January 3, 2012 Supplemental Requests												
S-1	OBH	No	Staffing, Pharmaceuticals and Information Technology Issues at the Colorado Mental Health Institutes	3.2	\$2,963,710	\$2,963,710	\$0	\$0	\$0	\$0	\$0	\$2,963,710
			(1) Executive Director's Office, (A) General Administration, Health, Life and Dental	0.0	\$112,672	\$112,672	\$0	\$0	\$0	\$0	\$0	\$112,672
			(1) Executive Director's Office, (A) General Administration, Short-term Disability	0.0	\$1,969	\$1,969	\$0	\$0	\$0	\$0	\$0	\$1,969
			(1) Executive Director's Office, (A) General Administration, S.B. 04-257 Amortization Equalization Disbursement	0.0	\$31,150	\$31,150	\$0	\$0	\$0	\$0	\$0	\$31,150
			(1) Executive Director's Office, (A) General Administration, S.B. 06-235 Amortization Equalization Disbursement	0.0	\$25,031	\$25,031	\$0	\$0	\$0	\$0	\$0	\$25,031
			(1) Executive Director's Office, (A) General Administration, Shift Differential	0.0	\$55,409	\$55,409	\$0	\$0	\$0	\$0	\$0	\$55,409
			(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Ft. Logan	1.4	\$866,170	\$866,170	\$0	\$0	\$0	\$0	\$0	\$866,170
			(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Pueblo	1.8	\$1,871,309	\$1,871,309	\$0	\$0	\$0	\$0	\$0	\$1,871,309
S-2	OLTC	No	Suspension of ICF/MR Provider Fee at Regional Centers	0.0	(\$1,867,655)	\$0	\$0	(\$1,867,655)	\$0	(\$1,867,655)	\$933,828	\$933,828
			(9) Services for People with Disabilities, (B) Regional Centers for People with Developmental Disabilities, (1) Medicaid-funded Services, Personal Services	0.0	\$0	\$0	\$0	\$0	\$0	\$0	\$933,828	\$933,828
			(9) Services for People with Disabilities, (B) Regional Centers for People with Developmental Disabilities, (1) Medicaid-funded Services, Provider Fee	0.0	(\$1,867,655)	\$0	\$0	(\$1,867,655)	\$0	(\$1,867,655)	\$0	\$0

**Schedule 11
Summary of Supplemental Requests for FY 2011-12**

Department Name:

Human Services

Submission Date:

January 3, 2012

Number of Prioritized Supplemental Requests:

6

Priority	Office	IT Request	Title	FTE	Total	GF	CF	RF	FF	MCF	MGF	NGF
S-3	OAS	No	Utilities Funding Request	0.0	(\$175,000)	\$175,000	\$0	(\$350,000)	\$0	(\$350,000)	(\$175,000)	\$0
			(3) Office of Operations, (A) Administration, Personal Services	0.0	(\$197,000)	(\$197,000)	\$0	\$0	\$0	\$0	\$0	(\$197,000)
			(3) Office of Operations, (A) Administration, Utilities	0.0	\$22,000	\$372,000	\$0	(\$350,000)	\$0	(\$350,000)	(\$175,000)	\$197,000
S-4	OIT	Yes	Colorado Child Care Assistance Program Provider Self Service Portal	0.0	\$26,063	\$0	\$0	\$0	\$26,063	\$0	\$0	\$0
			(2) Office of Information Technology Services, Child Care Automated Tracking System	0.0	\$26,063	\$0	\$0	\$0	\$26,063	\$0	\$0	\$0
S-5	OBH	No	Colorado Mental Health Institutes Revenue Adjustment	0.0	\$0	(\$3,043,809)	\$1,425,896	\$1,617,913	\$0	\$1,125,866	\$562,933	(\$2,480,876)
			(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Ft. Logan	0.0	\$0	(\$830,034)	\$885,738	(\$55,704)	\$0	\$0	\$0	(\$830,034)
			(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Pueblo	0.0	\$0	(\$2,213,775)	\$540,158	\$1,673,617	\$0	\$1,125,866	\$562,933	(\$1,650,842)
S-6	OES	No	Colorado Works-Adjustment to County TANF Reserves	0.0	(\$15,590,402)	\$0	\$0	\$0	(\$15,590,402)	\$0	\$0	\$0
			(7) Office of Self Sufficiency, (B) Colorado Works Program, County TANF Reserves for Colorado Works, Child Welfare, and Child Care Programs	0.0	(\$15,590,402)	\$0	\$0	\$0	(\$15,590,402)	\$0	\$0	\$0
NP-2	OPS	No	Annual Fleet True-Up	0.0	(\$72,275)	(\$37,873)	(\$5,492)	(\$18,053)	(\$10,857)	(\$15,765)	(\$7,882)	(\$45,755)
			(3) Office of Operations, (A) Administration, Vehicle Lease Payments	0.0	(\$72,275)	(\$37,873)	(\$5,492)	(\$18,053)	(\$10,857)	(\$15,765)	(\$7,882)	(\$45,755)
NP-3	OPS/EDC	No	FY 2011-12 Common Policy Allocation	0.0	\$86,343	\$46,180	\$4,951	\$2,395	\$32,817	\$1,272	\$636	\$46,816
			(1) Executive Director's Office; (A) General Administration, Administrative Law Judge Services	0.0	\$45,283	\$27,370	\$2,744	\$0	\$15,169	\$0	\$0	\$27,370
			(3) Office of Operations, (A) Administration, Capitol Complex Leased Space	0.0	\$41,060	\$18,810	\$2,207	\$2,395	\$17,648	\$1,272	\$636	\$19,446

**Schedule 11
Summary of Supplemental Requests for FY 2011-12**

Department Name: Human Services
 Submission Date: January 3, 2012
 Number of Prioritized Supplemental Requests: 6

Priority	Office	IT Request	Title	FTE	Total	GF	CF	RF	FF	MCF	MGF	NGF
NP-4	OIT	Yes	CBMS Technical Adjustment for Fund Splits in HB 09-1293 and HCPF Only Projects	0.0	\$0	(\$199,322)	(\$37,655)	\$511,406	(\$274,429)	\$511,406	(\$42,022)	(\$241,344)
			(2) Office of Information Technology Services, Colorado Benefits Management System, Operating Expenses	0.0	(\$812,400)	(\$199,322)	(\$37,655)	(\$300,994)	(\$274,429)	(\$300,994)	(\$149,482)	(\$348,804)
			(2) Office of Information Technology Services, Colorado Benefits Management System (CBMS) - HCPF Only (new line item)	0.0	\$812,400	\$0	\$0	\$812,400	\$0	\$812,400	\$107,460	\$107,460
NP-5	OIT	Yes	Hospital Provider Fee Administrative True-up	0.0	\$1,466,040	\$0	\$0	\$1,466,040	\$0	\$1,466,040	\$0	\$0
			(2) Office of Information Technology Services, Colorado Benefits Management System, Operating Expenses	0.0	\$1,466,040	\$0	\$0	\$1,466,040	\$0	\$1,466,040	\$0	\$0
Total FY 2011-12 January 3, 2012 Supplemental Requests				3.2	(\$13,163,176)	(\$96,114)	\$1,387,700	\$1,362,046	(\$15,816,808)	\$871,164	\$1,272,493	\$1,176,379

**Schedule 12
Summary of FY 2012-13 Budget Amendments**

Department Name:

Human Services

Submission Date:

January 3, 2012

Number of Prioritized Budget Amendments:

5

Priority	Office	IT Request	Budget Amendments	FTE	Total	GF	CF	RF	FF	MCF	MGF	NGF
January 3, 2012 Budget Amendments												
BA-1	OBH	No	Staffing, Pharmaceuticals and Information Technology Issues at the Colorado Mental Health Institutes	18.9	\$4,462,387	\$4,462,387	\$0	\$0	\$0	\$0	\$0	\$4,462,387
			(1) Executive Director's Office, (A) General Administration, Health, Life and Dental	0.0	\$188,615	\$188,615	\$0	\$0	\$0	\$0	\$0	\$188,615
			(1) Executive Director's Office, (A) General Administration, Short-term Disability	0.0	\$3,711	\$3,711	\$0	\$0	\$0	\$0	\$0	\$3,711
			(1) Executive Director's Office, (A) General Administration, S.B. 04-257 Amortization Equalization Disbursement	0.0	\$62,907	\$62,907	\$0	\$0	\$0	\$0	\$0	\$62,907
			(1) Executive Director's Office, (A) General Administration, S.B. 06-235 Amortization Equalization Disbursement	0.0	\$57,665	\$57,665	\$0	\$0	\$0	\$0	\$0	\$57,665
			(1) Executive Director's Office, (A) General Administration, Shift Differential	0.0	\$152,244	\$152,244	\$0	\$0	\$0	\$0	\$0	\$152,244
			(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Ft. Logan	8.3	\$1,366,156	\$1,366,156	\$0	\$0	\$0	\$0	\$0	\$1,366,156
			(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Pueblo	10.6	\$2,631,089	\$2,631,089	\$0	\$0	\$0	\$0	\$0	\$2,631,089
BA-2	OAS	No	Utilities Funding Request	0.0	(\$175,000)	\$175,000	\$0	(\$350,000)	\$0	(\$350,000)	(\$175,000)	\$0
			(3) Office of Operations, (A) Administration, Personal Services	0.0	(\$197,000)	(\$197,000)	\$0	\$0	\$0	\$0	\$0	(\$197,000)
			(3) Office of Operations, (A) Administration, Utilities	0.0	\$22,000	\$372,000	\$0	(\$350,000)	\$0	(\$350,000)	(\$175,000)	\$197,000
BA-3	OIT	Yes	Colorado Child Care Assistance Program Provider Self Service Portal	0.0	\$410,340	\$0	\$0	\$0	\$410,340	\$0	\$0	\$0
			(2) Office of Information Technology Services, Child Care Automated Tracking System	0.0	\$410,340	\$0	\$0	\$0	\$410,340	\$0	\$0	\$0

**Schedule 12
Summary of FY 2012-13 Budget Amendments**

Department Name:

Human Services

Submission Date:

January 3, 2012

Number of Prioritized Budget Amendments:

5

Priority	Office	IT Request	Budget Amendments	FTE	Total	GF	CF	RF	FF	MCF	MGF	NGF
BA-4	OBH	No	Colorado Mental Health Institutes Revenue Adjustment	0.0	\$0	(\$3,043,809)	\$1,425,896	\$1,617,913	\$0	\$1,125,866	\$562,933	(\$2,480,876)
			(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Ft. Logan	0.0	\$0	(\$830,034)	\$885,738	(\$55,704)	\$0	\$0	\$0	(\$830,034)
			(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Pueblo	0.0	\$0	(\$2,213,775)	\$540,158	\$1,673,617	\$0	\$1,125,866	\$562,933	(\$1,650,842)
BA-5	OES	No	Colorado Works-Adjustment to County TANF Reserves	0.0	(\$15,590,402)	\$0	\$0	\$0	(\$15,590,402)	\$0	\$0	\$0
			(7) Office of Self Sufficiency, (B) Colorado Works Program, County TANF Reserves for Colorado Works, Child Welfare, and Child Care Programs	0.0	(\$15,590,402)	\$0	\$0	\$0	(\$15,590,402)	\$0	\$0	\$0
NP-1	OIT	Yes	OIT Communications Services Payments Utilities Increase	0.0	\$700	\$700	\$0	\$0	\$0	\$0	\$0	\$700
			(2) Office of Information Technology Services, Communication Services Payments	0.0	\$700	\$700	\$0	\$0	\$0	\$0	\$0	\$700
NP-4	OIT	Yes	CBMS Technical Adjustment for Fund Splits in HB 09-1293 and HCPF Only Projects	0.0	(\$214,920)	(\$199,322)	(\$37,655)	\$296,486	(\$274,429)	\$296,486	(\$149,482)	(\$348,804)
			(2) Office of Information Technology Services, Colorado Benefits Management System, Operating Expenses	0.0	(\$812,400)	(\$199,322)	(\$37,655)	(\$300,994)	(\$274,429)	(\$300,994)	(\$149,482)	(\$348,804)
			(2) Office of Information Technology Services, Colorado Benefits Management System (CBMS) - HCPF Only (new line item)	0.0	\$597,480	\$0	\$0	\$597,480	\$0	\$597,480	\$0	\$0
Total FY 2012-13 January 3, 2012 Budget Amendment Requests				18.9	(\$11,106,895)	\$1,394,956	\$1,388,241	\$1,564,399	(\$15,454,491)	\$1,072,352	\$238,451	\$1,633,407

Schedule 13
Funding Request for the 2012-13 Budget Cycle

Department: Human Services
 Request Title: OIT Communications Services Payments Utilities Increase
 Priority Number: NP-1

Dept. Approval by: *Willie B. L.* 12-20-11
 Date

OSPB Approval by: *Erin M. Smith* 12/23/11
 Date

- Decision Item FY 2012-13
- Base Reduction Item FY 2012-13
- Supplemental FY 2011-12
- Budget Amendment FY 2012-13

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
Fund		Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items	Total	201,019	0	204,129	700	700
	FTE	0	0	0	0	0
	GF	172,326	0	174,992	700	700
	CF	0	0	0	0	0
	RF	28,693	0	29,137	0	0
	FF	0	0	0	0	0
	MCF	0	0	0	0	0
	MGF	0	0	0	0	0
	NGF	172,326	0	174,992	700	700
(2) Office of Information Technology Services, Communication Services Payments	Total	201,019	0	204,129	700	700
	FTE	0	0	0	0	0
	GF	172,326	0	174,992	700	700
	CF	0	0	0	0	0
	RF	28,693	0	29,137	0	0
	FF	0	0	0	0	0
	MCF	0	0	0	0	0
	MGF	0	0	0	0	0
	NGF	172,326	0	174,992	700	700

Letternote Text Revision Required? Yes: No: If yes, describe the Letternote Text Revision:

Cash or Federal Fund Name and COFRS Fund Number:

Reappropriated Funds Source, by Department and Line Item Name:

Approval by OIT? Yes: No: Not Required:

Schedule 13s from Affected Departments:

Other Information:

Schedule 13
Funding Request for the 2012-13 Budget Cycle

Department: Human Services
 Request Title: CBMS Technical Adjustment for Fund Splits in HB 09-1293 and HCPF Only Projects
 Priority Number: NP-4

Dept. Approval by: Jay Morem 12/28/2011 Date
 OSPB Approval by: Earl K. ... 12/30/11 Date

Decision Item FY 2012-13
 Base Reduction Item FY 2012-13
 Supplemental FY 2011-12
 Budget Amendment FY 2012-13

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
Fund		Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items	Total	18,858,730	0	18,978,289	(214,920)	(214,920)
	FTE	0	0	0	0	0
	GF	4,585,647	(199,322)	4,668,267	(199,322)	(199,322)
	CF	1,034,792	(37,655)	1,050,388	(37,655)	(37,655)
	RF	6,924,731	511,406	6,836,174	296,486	296,486
	FF	6,313,560	(274,429)	6,423,460	(274,429)	(274,429)
	MCF	6,924,731	511,406	6,836,174	296,486	296,486
	MGF	3,439,002	(42,022)	3,394,179	(149,482)	(149,482)
	NGF	8,024,649	(241,344)	8,062,446	(348,804)	(348,804)
(2) Office of Information Technology Services, Colorado Benefits Management System, Operating Expenses	Total	18,858,730	(812,400)	18,978,289	(812,400)	(812,400)
	FTE	0	0	0	0	0
	GF	4,585,647	(199,322)	4,668,267	(199,322)	(199,322)
	CF	1,034,792	(37,655)	1,050,388	(37,655)	(37,655)
	RF	6,924,731	(300,994)	6,836,174	(300,994)	(300,994)
	FF	6,313,560	(274,429)	6,423,460	(274,429)	(274,429)
	MCF	6,924,731	(300,994)	6,836,174	(300,994)	(300,994)
	MGF	3,439,002	(149,482)	3,394,179	(149,482)	(149,482)
	NGF	8,024,649	(348,804)	8,062,446	(348,804)	(348,804)
(2) Office of Information Technology Services, Colorado Benefits Management System (CBMS) - HCPF Only (new line item)	Total	0	812,400	0	597,480	597,480
	FTE	0	0	0	0	0
	GF	0	0	0	0	0
	CF	0	0	0	0	0
	RF	0	812,400	0	597,480	597,480
	FF	0	0	0	0	0
	MCF	0	812,400	0	597,480	597,480
	MGF	0	107,460	0	0	0
	NGF	0	107,460	0	0	0

Letternote Text Revision Required? Yes: No: If yes, describe the Letternote Text Revision:

h-Of these amounts, \$1,130,795 This amount shall be from the Old Age Pension Fund created in Section 1 of Article XXIV of the State Constitution and \$168,499 shall be from the Hospital Provider Fee Cash Fund created in Section 25.5 4 402.3 (4) (a), C.R.S.

j Of these amounts, it is estimated that \$4,879,375 \$4,717,707 shall be from the U.S. Department of Agriculture for the Supplemental Nutrition Assistance Program and \$3,361,890 \$3,249,129 shall be from the Temporary Assistance for Needy Families Block Grant.

For Colorado Benefits Management System Projects HCPF Only line: This amount shall be from the Hospital Provider Fee Cash Fund.

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Cash or Federal Fund Name and COFRS Fund Number:						
Reappropriated Funds Source, by Department and Line Item Name:						
Approval by OIT? Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/> Not Required: <input type="checkbox"/>						
Schedule 13s from Affected Departments: Health Care Policy and Financing						
Other Information:						

Schedule 13
Funding Request for the 2012-13 Budget Cycle

Department: Human Services
Request Title: Staffing, Pharmaceuticals and Information Technology Issues at the Colorado Mental Health Institutes
Priority Number: S-1, BA-1

Dept. Approval by: *Will K...* 12-19-11
 Date

OSPB Approval by: *Erin M...* 12/21/11
 Date

- Decision Item FY 2012-13
- Base Reduction Item FY 2012-13
- Supplemental FY 2011-12
- Budget Amendment FY 2012-13

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items	Total	126,877,488	2,963,710	130,812,757	4,462,387	4,462,387
	FTE	1,146.5	3.2	1,146.5	18.9	18.9
	GF	97,459,914	2,963,710	99,889,237	4,462,387	4,462,387
	CF	8,166,944	0	8,716,234	0	0
	RF	16,253,051	0	17,029,535	0	0
	FF	4,997,579	0	5,177,751	0	0
	MCF	13,209,017	0	13,892,382	0	0
	MGF	6,604,264	0	6,946,217	0	0
	NGF	104,064,178	2,963,710	106,835,454	4,462,387	4,462,387
(1) Executive Director's Office; (A) General Administration, Health, Life, and Dental	Total	24,962,288	112,672	24,610,910	188,615	188,615
	FTE	0.0	0.0	0.0	0.0	0.0
	GF	14,846,351	112,672	14,759,726	188,615	188,615
	CF	393,094	0	448,546	0	0
	RF	6,399,073	0	6,235,665	0	0
	FF	3,323,770	0	3,166,973	0	0
	MCF	5,806,872	0	5,654,705	0	0
	MGF	2,903,436	0	2,827,352	0	0
	NGF	17,749,787	112,672	17,587,078	188,615	188,615
(1) Executive Director's Office; (A) General Administration, Short-term Disability	Total	375,657	1,969	376,588	3,711	3,711
	FTE	0.0	0.0	0.0	0.0	0.0
	GF	230,489	1,969	215,917	3,711	3,711
	CF	6,645	0	16,736	0	0
	RF	81,996	0	86,023	0	0
	FF	56,527	0	57,912	0	0
	MCF	73,475	0	77,355	0	0
	MGF	36,737	0	38,678	0	0
	NGF	267,226	1,969	254,595	3,711	3,711

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
(1) Executive Director's Office; (A) General Administration, S.B. 04-257 Amortization Equalization Disbursement	Total	5,937,864	31,150	6,808,378	62,907	62,907
	FTE	0.0	0.0	0.0	0.0	0.0
	GF	3,641,412	31,150	3,903,581	62,907	62,907
	CF	105,124	0	302,579	0	0
	RF	1,297,120	0	1,555,216	0	0
	FF	894,208	0	1,047,002	0	0
	MCF	1,162,308	0	1,398,504	0	0
	MGF	581,154	0	699,266	0	0
	NGF	4,222,566	31,150	4,602,847	62,907	62,907
(1) Executive Director's Office; (A) General Administration, S.B. 06-235 Supplemental Amortization Equalization Disbursement	Total	4,775,410	25,031	5,850,950	57,665	57,665
	FTE	0.0	0.0	0.0	0.0	0.0
	GF	2,930,046	25,031	3,354,640	57,665	57,665
	CF	84,474	0	260,029	0	0
	RF	1,042,329	0	1,336,514	0	0
	FF	718,561	0	899,767	0	0
	MCF	933,998	0	1,201,839	0	0
	MGF	466,999	0	600,932	0	0
	NGF	3,397,045	25,031	3,955,572	57,665	57,665
(1) Executive Director's Office; (A) General Administration, Shift Differential	Total	3,203,827	55,409	4,069,055	152,244	152,244
	FTE	0.0	0.0	0.0	0.0	0.0
	GF	2,075,307	55,409	2,675,691	152,244	152,244
	CF	4,773	0	6,283	0	0
	RF	1,119,234	0	1,380,984	0	0
	FF	4,513	0	6,097	0	0
	MCF	1,102,445	0	1,363,067	0	0
	MGF	551,222	0	681,533	0	0
	NGF	2,626,529	55,409	3,357,224	152,244	152,244
(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Ft. Logan	Total	18,973,703	866,170	19,273,397	1,366,156	1,366,156
	FTE	206.3	1.4	206.3	8.3	8.3
	GF	16,789,133	866,170	17,056,411	1,366,156	1,366,156
	CF	1,829,651	0	1,849,154	0	0
	RF	354,919	0	367,832	0	0
	FF	0	0	0	0	0
	MCF	0	0	0	0	0
	MGF	0	0	0	0	0
	NGF	16,789,133	866,170	17,056,411	1,366,156	1,366,156

Request Title: Staffing, Pharmaceuticals and Information Technology Issues at the Colorado Mental Health Institutes

Funding Request for the 2012 Budget Cycle

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Pueblo	Total	68,648,739	1,871,309	69,823,479	2,631,089	2,631,089
	FTE	940.2	1.8	940.2	10.6	10.6
	GF	56,947,176	1,871,309	57,923,271	2,631,089	2,631,089
	CF	5,743,183	0	5,832,907	0	0
	RF	5,958,380	0	6,067,301	0	0
	FF	0	0	0	0	0
	MCF	4,129,919	0	4,196,912	0	0
	MGF	2,064,716	0	2,098,456	0	0
	NGF	59,011,892	1,871,309	60,021,727	2,631,089	2,631,089
Letternote Text Revision Required? Yes: <input type="checkbox"/> No: <input checked="" type="checkbox"/> If yes, describe the Letternote Text Revision: Cash or Federal Fund Name and COFRS Fund Number: N/A Reappropriated Funds Source, by Department and Line Item Name: N/A Approval by OIT? Yes: <input type="checkbox"/> No: <input checked="" type="checkbox"/> Not Required: <input checked="" type="checkbox"/> Schedule 13s from Affected Departments: N/A Other Information: N/A						

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DEPARTMENT OF HUMAN SERVICES

John W. Hickenlooper
Governor

*FY 2011-12 Supplemental and FY 2012-13 Budget Amendment
January 3, 2012*

Reggie Bicha
Executive Director

**Department Priority: S-1, BA-1
Staffing, Pharmaceuticals and Information Technology Issues at the Colorado Mental Health Institutes**

Summary of Incremental Funding Change for FY 2011-12	Total Funds	General Fund	FTE
Staffing, Pharmaceuticals and Information Technology Issues at the Colorado Mental Health Institutes	\$2,963,710	\$2,963,710	3.2
Summary of Incremental Funding Change for FY 2012-13	Total Funds	General Fund	FTE
Staffing, Pharmaceuticals and Information Technology Issues at the Colorado Mental Health Institutes	\$4,462,387	\$4,462,387	18.9

Request Summary:

This request is for \$2,963,710 General Fund and 3.2 FTE in FY 2011-12 and \$4,462,387 General Fund and 18.9 FTE in FY 2012-13 to address four issues at the Colorado Mental Health Institutes at Pueblo (MHI-Pueblo) and Fort Logan (MHI-Fort Logan) as described below.

Issue	FY 2011-12		FY 2012-13	
	\$	FTE	\$	FTE
(1) New FTE to achieve 1:35 staffing ratio	\$258,032	3.2	\$1,467,903	18.9
MHI-Pueblo	149,212	1.8	813,651	10.6
MHI-Fort Logan	108,820	1.4	654,252	8.3
(2) Personal services short-fall /1	\$1,635,832		\$1,679,530	
MHI-Pueblo	1,115,075		1,158,773	
MHI-Fort Logan	520,757	n/a	520,757	n/a
(3) Pharmaceutical Short-fall	\$817,909		\$1,063,017	
MHI-Pueblo	630,066		818,247	
Fort Logan	187,843	n/a	244,770	n/a
(4) Technology issues	\$251,937		\$251,937	
MHI-Pueblo	186,049		186,049	
MHI-Fort Logan	65,888	n/a	65,888	n/a
TOTAL	\$2,963,710	3.2	\$4,462,387	18.9
MHI-Pueblo /2	2,080,402	1.8	2,976,720	10.6
MHI-Fort Logan /2	883,308	1.4	1,485,667	8.3

/1 Includes funding for the following: (1) 20.2 currently authorized FTE that were previously held vacant to fund other operational shortfalls at MHI-Pueblo; and (2) funding shortfalls related to recent closures of units at MHI-Fort Logan.

/2 Includes employee benefits and costs appropriated in the Executive Director's Office.

(1) New FTE to Achieve 1.35 Direct Care Staff-to-Patient Ratio

The Department requests \$258,032 General Fund and 3.2 FTE in FY 2011-12 and \$1,467,903 and 18.9 FTE in FY 2012-13 in order to achieve a 1.35 direct care staff-to-patient ratio. The 1.35 direct care staff-to-patient ratio has been identified as the level of staffing needed to provide safe and adequate care to patients at the Mental Health Institutes.

In November 2010, the Centers for Medicare and Medicaid Services (CMS) cited the MHI-Pueblo for failure to meet a number of regulatory standards, including inadequate nursing coverage, following the review of a patient suicide.

In January 2011, the Joint Budget Committee approved the Department's supplemental request to close a maximum security unit at the MHI-Pueblo and redeploy staff to bolster coverage on other units and to fund 21.8 additional nursing FTE at the MHI-Pueblo and 10.2 FTE at the MHI-Fort Logan to address shift relief coverage.

The CMS subsequently conducted an unannounced follow-up survey from September

12 to September 14, 2011. This CMS survey cited MHI-Pueblo for insufficient non-nursing, direct care clinical staff (i.e., therapists, psychologists and social workers) to deliver and document a sufficient level of patient activities and group therapies.

As a result of the CMS surveys, the Department completed an analysis of the FTE required to meet a 1.35 direct care staff-to-patient ratio. This was the staffing ratio used under the settlement terms for the Neiberger lawsuit. Although MHI-Fort Logan was not part of Neiberger lawsuit, the Department's analysis included both Mental Health Institutes. This analysis showed that FTE would need to increase by 10.6 FTE at MHI-Pueblo and by 8.3 FTE at MHI-Fort Logan in order to meet a 1.35 staffing ratio as detailed in the tables below.

MHI-Pueblo Direct Care Staffing Need:	FTE
Average Monthly Census	411.4
Multiplied by standard staff-to-patient ratio	<u>x1.35</u>
Direct Care Staff Required	555.4
Minus Direct Care Staff Available to Work	<u>(544.8)</u>
Direct Care Staff FTE Unmet Need	10.6

MHI-Fort Logan Direct Care Staffing Need:	FTE
Average Monthly Census	87.8
Multiplied by standard staff-to-patient ratio	<u>x1.35</u>
Direct Care Staff Required	118.5
Minus Direct Care Staff Available to Work	<u>(110.2)</u>
Direct Care Staff FTE Unmet Need	8.3

*This analysis includes the 20.2 FTE at MHI-Pueblo that were previously held vacant. These FTEs are described in the following paragraphs.

Based on an April 1, 2012 effective date, the FY 2011-12 costs for this request is \$149,212 and 1.8 FTE at MHI-Pueblo and \$108,820 and 1.4 FTE at MHI-Fort Logan. These costs annualize in FY

2012-13 to \$813,651 and 10.6 FTE at MHI-Pueblo and \$654,252 at MHI-Fort Logan.

(2) Personal Services Shortfall

Filling Existing Vacancies at MHI-Pueblo -- In order to comply with the regulatory requirements cited in the recent CMS surveys, the Department authorized filling 20.2 previously held vacant FTE positions at MHI-Pueblo during the first three months of FY 2011-12. In the past, these positions were held vacant in order to manage other increasing costs at the Mental Health Institutes (such as pharmaceuticals). By filling these positions in FY 2011-12, MHI-Pueblo met acuity nurse-staffing needs (i.e., sufficient staff to cover the care and monitoring requirements for patients deemed most at risk) for 93% and 87% of all shifts in August and September of 2011, the highest levels ever.

While filling the 20.2 FTE vacancies has improved patient care and safety and addressed the CMS findings regarding nurse coverage, it increases costs at MHI-Pueblo by \$1,115,075 in FY 2011-12 and \$1,158,773 in FY 2012-13.

Unfunded Salary Expense Resulting from Unit Closures at the MHI-Fort Logan:

The Department closed the Adolescent, Children and Geriatric units that eliminated funding for 93.9 FTE at the MHI-Fort Logan in FY 2009-10 and 28.7 FTE in FY 2010-11 by closing the Mountain Star TRCCF. As a result of these unit closures, the Department placed several FTE in vacant and occupied positions at MHI-Fort Logan. Several of the FTE displaced staff that were making less than the person in the position abolished as a result of the unit closures. In addition, displaced staff was placed in vacant positions funded at the minimum salary range even though the staff earned more than the minimum of the range. The fiscal impact of these types of personnel actions totals \$520,757 for both FY 2011-12 and FY 2012-13

(3) Pharmaceuticals

The Institute budgets are increasingly strained by rising costs in patient medications. Atypical anti-psychotics, in particular, are the most important

tool in treating severe mental illness. These drugs, such as Risperidone Consta, Paliperidone, Olanzapine, Aripiprazole, and Ziprasidone, are the mainstays of modern treatment for schizophrenia and psychosis, and the accepted standard for in- and out-patient psychiatric care.

While the older anti-psychotics are less expensive, they are also less effective, and substituting the older anti-psychotics for the atypical anti-psychotics would reduce the effectiveness of therapy, exacerbate patient aggression, increase the patient length of stay, and lower the standard of medical care to the point of risking regulatory intervention.

Even though the Institutes have closed units in recent years and are dispensing fewer doses of medication overall, the average cost of the atypical anti-psychotics has risen by 7.8% yearly from FY 2005-06 through FY 2010-11. Total pharmaceutical costs continue to rise driven by the increasing costs of the atypical.

According to data derived from the Institutes' pharmacy systems, the Institutes dispensed 4.2 million doses of medications that cost \$4.5 million in FY 2009-10, and 3.8 million doses (0.4 million fewer) at a cost of \$5.0 million (\$0.5 million more) in FY 2010-11. The FY 2010-11 pharmaceuticals accounted for 45.3% and 52.9% of the MHI-Pueblo and MHI-Fort Logan operating budgets, respectively.

The Department projects that pharmaceutical costs will exceed current FY 2011-12 and FY 2012-13 budgeted costs by \$817,909 and \$1,063,017, respectively. These shortfalls are a significant unfunded expense that would have to be addressed through vacancy savings if this request is not approved.

(4) Information Technology (IT) Support, Hardware Leases and Software Licenses

Charges to the Institutes for support, leasing and software have risen from \$284,055 in FY 2006 to \$499,992 in FY 2010-11; an increase of \$251,937, or 102%, in five years after eliminating

IT expenses for closed units. The majority of the increase reflects steadily rising charges for personal computer (PC) leasing, software maintenance and upgrades (licenses) which are vendor costs through the Governor's Office of Information Technology (OIT). These cost increases have been funded historically with vacancy savings. The Department requests an increase of \$251,937 in both FY 2011-12 and FY 2012-13 to address this funding shortfall.

Summary of Factors

The FY 2011-12 reductions in the appropriation, cost increases in pharmaceuticals and IT, and CMS citations at MHI-Pueblo requiring additional direct care levels, have resulted in a projected \$2.9 million shortfall at the Institutes in FY 2011-12. As the costs have risen, and as the Institutes strive to maintain a safe and sufficient level of direct care staffing, there is no flexibility remaining in the Institutes' appropriation to absorb these cost increases.

Concurrently, the Institutes are projecting \$2.5 million in higher revenues at the Mental Health Institutes in both FY 2011-12 and FY 2012-13 as described in the Colorado Mental Health Institutes Revenue Adjustment Supplemental (S-5) and Budget Amendment (BA-4). Therefore, the Department requests spending authority to use estimated General Fund savings from the higher revenue projections to partially offset the increased costs described in this request for both FY 2011-12 and FY 2012-13.

Anticipated Outcomes:

The additional spending authority will permit the Institutes to staff the units and provide groups and activities at a level that meets CMS mandates, patient and staff safety needs, and ensures the continued Medicare and Medicaid revenue of approximately \$15.1 million.

According to data from the National Association of State Mental Health Program Directors Research Institute, Inc. (NRI), of the 44 states that reported staffing levels for all direct-care staff in their state psychiatric hospitals (all direct-

care staff includes those in clinics, diagnostic areas, etc.), 32 had all direct care staff-to-patient ratios of 1.5 or greater, while 12 (including Colorado) had less.

Funding the 20.2 FTE already hired at the MHI-Pueblo and the additional 18.9 FTE requested will bring staffing levels at both Institutes up to the 1.35 direct care staff-to-patient ratio on the unit as defined by Neiberger. The request will enable the Institutes to meet the staffing level adjudicated by a Colorado court and maintain a conservative, below median level of overall direct care staffing as compared to other state psychiatric hospitals.

Funding the request will also enable the Institutes to continue to provide adequate drug therapy without further cuts to staff, supplies or training, maximize treatment effectiveness, improve patient medication compliance, and reduce readmission rates.

Assumptions for Calculations:

Staffing Issues: In order to meet the 1.35 direct care staff-to-patient ratio, the Department requests 18.9 new FTE. Based on a start date of April 1, 2012, the FY 2011-12 costs for personal services, employee benefits and operating expenses will total \$149,212 and 1.8 FTE at MHI-Pueblo and \$108,820 and 1.4 FTE at MHI Fort Logan. A full year's funding in FY 2012-13 will cost \$813,651 and 10.6 FTE at MHI-Pueblo and \$654,252 and 8.3 FTE at MHI-Fort Logan. The calculations for these costs are found in Tables 2 and 3 in Attachment A for MHI-Pueblo and Tables 4 and 5 in Attachment B for MHI-Fort Logan.

Filling the 20.2 previously authorized FTE at MHI-Pueblo will be \$1,115,075 in FY 2011-12 and \$1,158,773 in FY 2012-13. These calculations are found in Tables 3 and 4 in Attachment A. Because these were previously approved FTE, only salary and employee benefit costs are included in the calculations. Operating expenses are assumed to already be included in the Department's base budget.

The personal services shortfall at MHI-Fort Logan related to closing units is estimated at \$520,757 for both FY 2011-12 and FY 2012-13. These calculations are found in Attachment C.

Pharmaceuticals: The Department estimates a budget shortfall for pharmaceutical costs of \$817,909 in FY 2011-12 and \$1,063,530 in FY 2012-13. The pharmaceutical shortfall was calculated based on the difference between the estimated pharmaceutical budget in FY 2011-12 and FY 2012-13 and the projected pharmaceutical costs in FY 2011-12 and FY 2012-13. The FY 2011-12 and FY 2012-13 pharmaceutical estimated budgets reflect the FY 2010-11 pharmaceutical budget (normalized for unit closure) carried forward with proportionate anticipated budget adjustments. The costs for FY 2011-12 and FY 2012-13 are estimated using actual costs for medicines dispensed in FY 2010-11 (excluding closed units). Atypical anti-psychotics are increased 7.8% each fiscal year and other medications decreased by 1.3% each fiscal year to reflect the average change in cost for each type of medication. See Attachments D, E, and F for more information regarding the calculations and assumptions used for the pharmaceutical costs.

IT Support Costs: The Department estimates a budget shortfall of \$251,937 in both FY 2011-12 and FY 2012-13 for IT-related expenses. The shortfall is based on the growth in IT costs passed to the Institutes through OIT equal the change from FY 2005-06 to FY 2010-11 that have not previously been funded.

Consequences if not Funded:

Departmental internal review, findings by regulatory agencies and independent consultants, as well as negative patient outcomes underscore the need for adequate staffing levels at the Mental Health Institutes. If the request is not funded, shift coverage on the treatment units and staff to provide groups and activities at the Institutes will revert to inadequate levels which could result in the loss of CMS certification to earn Medicare

and Medicaid revenue of \$15.1 million. Lost revenue would need to be backfilled by another source or there would have to be multiple unit closures.

Relation to Performance Measures:

The spending authority requested will ensure that the Institutes provide a level of care consistent with CMS standards, promote wellness and stabilized recovery after discharge, and also that the medications dispensed at the Institutes are effective, consistent with best practices and in the best interests of the patient, which would lead to higher patient treatment compliance and, therefore, reduce the number of re-admissions within 180 days.

Performance Measure	Request Effect
Reduce the number of consumers readmitted to Institute inpatient psychiatric units within 180 days by one percent (based on admission to the campus) from the prior year.	The additional funding would reduce the number of consumers readmitted from a forecasted 7.6% decrease in FY 2011-12 to an expected 8.6% decrease in FY 2012-13.

Supplemental and Budget Amendment Criteria:

The supplemental and budget amendment request is based on new data resulting in substantive changes in funding needs.

Current Statutory Authority or Needed Statutory Change:

Section 26-1-201, C.R.S., authorizes the operation and administration of the Mental Health Institutes. The request will require no statutory change.

Table 1				
Line Item Summary				
MHI Additional Spending Authority Supplemental				
	FY 2011-12		FY 2012-13	
	TABLE A		TABLE B	
Summary by Long Bill Section and Line Item	FTE	Requested	FTE	Requested
LONG BILL SECTION				
(1) Executive Director's Office				
(A) General Administration				
Health, Life & Dental		\$112,672		\$188,615
Short-term Disability		\$1,969		\$3,711
Amortization Equalization Disbursement (AED)		\$31,150		\$62,907
Supplemental Amortization Equalization Disbursement (SAED)		\$25,031		\$57,665
Shift Differential		<u>\$55,409</u>		<u>\$152,244</u>
TOTAL Executive Director's Office /1		\$226,231		\$465,142
<i>/1 Includes costs at both MHI-Pueblo and MHI-Fort Logan.</i>				
<i>Estimated costs at MHI-Pueblo</i>		\$209,093		\$345,631
<i>Estimated costs at MHI-Fort Logan</i>		\$17,138		\$119,511
(8) Mental Health and Alcohol and Drug Abuse Services				
(C) Mental Health Institutes				
Colorado Mental Health Institute at Pueblo (MHI-PUEBLO)				
Direct Care Staffing- New FTE Needed to Meet 1.35 Staff-to-Patient Ratio	1.8	\$109,646	10.6	\$672,953
Operating Expenses for New FTE Needed to Meet 1.35 Staff-to-Patient Ratio		\$20,012		\$7,095
Unfunded Staffing at the MHI-PUEBLO - 20.2 FTE Hired to Address CMS Citations		\$925,536		\$946,745
Pharmaceuticals		\$630,066		\$818,247
IT Support, Hardware, Leases and Software Licenses		<u>\$186,049</u>		<u>\$186,049</u>
SUBTOTAL, Colorado Mental Health Institute at Pueblo	1.8	\$1,871,309	10.6	\$2,631,089
Colorado Mental Health Institute at Ft. Logan (MHI-FORT LOGAN)				
Direct Care Staffing- New FTE Needed to Meet 1.35 Staff-to-Patient Ratio	1.4	\$86,204	8.3	\$530,131
Operating Expenses for New FTE Needed to Meet 1.35 Staff-to-Patient Ratio		\$5,478		\$4,610
Unfunded Salary Expense Resulting from Unit Closures		\$520,757		\$520,757
Pharmaceuticals		\$187,843		\$244,770
IT Support, Hardware, Leases and Software Licenses		<u>\$65,888</u>		<u>\$65,888</u>
SUBTOTAL, Colorado Mental Health Institute at Ft. Logan	1.4	\$866,170	8.3	\$1,366,156
TOTAL Mental Health Institutes	3.2	\$2,737,479	18.9	\$3,997,245
GRAND TOTAL Request by Long Bill Line Item	3.2	\$2,963,710	18.9	\$4,462,387
Grand Total by Mental Health Institution (informational purposes only)		<u>\$2,963,710</u>		<u>\$4,462,387</u>
<i>MHI-Pueblo (including costs appropriated in EDO)</i>		\$2,080,402		\$2,976,720
<i>MHI-Fort Logan (including costs appropriated in EDO)</i>		\$883,308		\$1,485,667

- (A) Pharmaceuticals - as explained more completely in *Attachments D, E and F*, the cost of atypical antipsychotics has risen steadily in recent years and driven up total pharmaceutical costs, without a corresponding increase in funding. The amounts shown are shortfalls between the projected costs and the amounts budgeted, even after inclusion of several hundred thousand dollars of vacancy savings and other efficiencies in the shown budgeted amounts.
- (B) *Attachment G* - Net increase in non-capitalized IT hardware and software – considering Accounting object codes 1960 (Purchased Personal Services-IT-Hardware), 1961 (Purchased Personal Services-IT-Software), 2231 (IT Hardware Maintenance/Repair Services), 2232 (IT Software Maintenance/Upgrade Services), 2260 (Rental of IT Equipment-PCs), 2263 (Rental of IT Equipment-Other) and 3116 (IT-Purchased PC Software), the cost for these vendor supplied services and products has increased every year, even as adjusted for the closed units. The bulk of the increases are from codes 2232 (software licenses) and 2260 (computer leases).
- (C) *Attachment C* – Forced Vacancy Savings after Closures – in FY 2009-10 and FY 2010-11, the Department closed four units at MHI-Fort Logan, eliminated the funding for 122.6 FTE, and reduced the MHI-Fort Logan budget by a corresponding \$6,670,502 in salaries. However, some staff in positions targeted for layoff moved into vacant positions at MHI-Fort Logan, and others with seniority rights replaced lower-paid staff, ultimately resulting in a salary reduction of \$6,149,745. This difference of \$520,757 between what was cut from the budget vs. what remains continues as an unfunded salary expense.
- (D) *Attachment A, Top of Table 3* - 20.2 FTE hired for required direct-care staffing – this amount reflects the salary, Medicare and PERA costs for new, unfunded, direct-care positions that were filled through the fiscal year at MHI-Pueblo. The amounts shown are as they would have been requested, at minimum starting salary, even though the actual hiring salary often exceeded the minimum salary range by 5%. These positions were created and filled starting in August of 2010 to address CMS citations for insufficient staffing and immediate concerns to retain CMS certification and revenue. Between August of 2010 and September of 2011, the total number of FTE at MHI-Pueblo increased by 42.0. That number, less the number of FTE funded by the earlier Shift Relief supplemental (21.8), equals the 20.2 direct-care FTE added, but not funded.
- (E) Total Unfunded Increases from FY 2006 to FY 2011 – the sum of (A), (B), (C) and (D) above, representing new costs that can no longer be covered by vacancy savings.
- (F) *Attachments A & B* – Direct Care Staff Hiring Costs, FY 2011-12 – these are shown as for two months in FY 2011-12 (the bottom section of Table 3 and all of Table 5).
- (G) *Attachments A&B* - Direct Care Staff Hiring Costs, FY 2012-13 – these are ongoing starting in FY 2012-13, and are shown in Tables 4 and 6.
- (H) Net Budget Projections for FY 2011-12 and FY 2012-13 – these represent the total amounts for each fiscal year to be met by the requested spending authority and increase in general funds. Please note that the amounts for FY 2012-13 are inclusive of Executive Director’s Office POTS funds.

Attachment A

TABLE 2
FY 2011-12 MHI Additional Staff Request, Pueblo

Monthly HLD

Benefit RATES Used In Calculations																0.0765	0.0145	0.028	0.0225	0.00177	60.00%	\$ 401.62
Direct Care-Pueblo, 20.2 FTE already hired (existing FTE positions filled)	FY 2011-12 Minimum Monthly Salary	FTE	7 day / wk FTE adj	FTE sum	FY 2011-12 number of months	FY Total Salaries	Annual PERA (Calculate d on Salary + Shift)	Annual Medicare	FY Total Personal Services	Annual AED (Base Salary + Shift Pay * Rate)	Annual SAED (Salary + Shift Pay * Rate)	Annual Short-term Disability (Salary + Shift Pay * Rate)	Shift Diff	Annual Shift Pay (Includes Salary, PERA & Medicare Expense)	Annual HLD (\$4,819.44)	FY Total Annual Personal Services + EDO Benefits						
Nurse I, shift 1	\$4,141	3.0	1.79	5.4	12	\$266,846	\$20,414	\$3,869	\$291,129	\$7,632	\$6,133	\$482	0.0214	\$3,738	\$25,880	\$334,994						
Client Care Aide I, shift 1	\$1,809	1.0	1.79	1.8	12	\$38,857	\$2,973	\$563	\$42,393	\$1,111	\$893	\$70	0.0214	\$544	\$8,627	\$53,639						
Nurse I, shift 2	\$4,141	3.0	1.79	5.4	12	\$266,846	\$20,414	\$3,869	\$291,129	\$8,171	\$6,566	\$517	0.0936	\$16,350	\$25,880	\$348,613						
Client Care Aide I, shift 2	\$1,809	1.5	1.79	2.7	12	\$58,286	\$4,459	\$845	\$63,590	\$1,785	\$1,434	\$113	0.0936	\$3,571	\$12,940	\$83,433						
Health Care Technician II, shift 2	\$2,672	1.0	1.79	1.8	12	\$57,395	\$4,391	\$832	\$62,617	\$1,757	\$1,412	\$111	0.0936	\$3,517	\$8,627	\$78,042						
Nurse I, shift 3	\$4,141	1.8	1.79	3.2	12	\$160,108	\$12,248	\$2,322	\$174,677	\$5,187	\$4,168	\$328	0.1571	\$16,465	\$15,528	\$216,354						
Sub-total Pueblo				20.2	20.2	\$848,338	\$64,898	\$12,301	\$925,536	\$25,643	\$20,606	\$1,621		\$44,185	\$97,483	\$1,115,075						
Direct Care-Pueblo, 10.6 FTE to be hired	FY 2011-12 Minimum Monthly Salary	FTE	7 day / wk FTE adj	FTE sum	FY 2011-12 number of months	FY Total Salaries	Annual PERA	Annual Medicare	FY Total Personal Services	Annual AED (Base Salary + Shift Pay * Rate)	Annual SAED (Salary + Shift Pay * Rate)	Annual Short-term Disability (Salary + Shift Pay * Rate)	Shift Diff	Annual Shift Pay (Includes Salary, PERA & Medicare Expense)	Annual HLD (\$4,819.44)	FY Total Annual Personal Services + EDO Benefits						
Health Professional III	\$4,351	1.0	1.00	1.0	2	\$8,702	\$666	\$126	\$9,494	\$244	\$196	\$15		\$0	\$803	\$10,752						
Nurse II, shift 2	\$4,692	1.7	1.79	3.0	2	\$28,052	\$2,146	\$407	\$30,604	\$859	\$690	\$54	0.0936	\$1,719	\$2,401	\$36,328						
Nurse III, shift 3	\$4,926	2.0	1.80	3.6	2	\$35,467	\$2,713	\$514	\$38,695	\$1,149	\$923	\$73	0.1571	\$3,647	\$2,892	\$47,379						
Social Work III	\$4,141	1.0	1.00	1.0	2	\$8,282	\$634	\$120	\$9,036	\$232	\$186	\$15		\$0	\$803	\$10,272						
Psychologist I	\$5,431	1.0	1.00	1.0	2	\$10,862	\$831	\$157	\$11,850	\$304	\$244	\$19		\$0	\$803	\$13,221						
Therapist III	\$4,568	1.0	1.00	1.0	2	\$9,136	\$699	\$132	\$9,967	\$256	\$206	\$16		\$0	\$803	\$11,248						
Sub-total Pueblo, to be hired				10.6	1.8	\$100,501	\$7,688	\$1,457	\$109,646	\$3,044	\$2,446	\$192		\$5,366	\$8,506	\$129,200						
Operating Expenses for New Hired Staff Only																\$20,012						
TOTAL Pueblo -- New FTE Requested to Meet 1:35 Ratio																\$149,212						

EDO Costs (Existing and New FTE)	
Health, Life & Dental	\$105,989
Short-term Disability	\$1,813
AED	\$28,687
SAED	\$23,052
Shift Differential	\$49,551
Total	\$209,092

Operating expenses Calculations		Totals
Non-unit staff	4.0	
One-time Cubicle & Chair	\$ 3,473	\$13,892
Computer Costs	\$ 1,230	\$4,920
All Staff	1.8	
Phone (non-unit staff pro-rated)	\$ 450	\$300
Operating expenses	\$ 500	\$900
Total		\$20,012

By Long Bill Group or Line Item		
	POTS (EDO) Total	\$209,092
	Personal Services (existing and new FTE)	\$1,035,183
	Operating Request (1.8 FTE plus one-time costs for non-unit staff)	\$20,012
	FY 2011-12 Pueblo Total Staffing Request	\$1,264,287

Attachment A

TABLE 3

FY 2012-13 MHI Additional Staff Request, Pueblo

Benefit Rates Used in Calculations										0.1015	0.0145	0.03	0.0275	0.00177	80%	\$ 401.62	
										EDO Appropriated Benefits							
Direct Care-Pueblo, 20.2 FTE already hired in FY 2011-12 (existing FTE positions filled)	FY 2011-12 Minimum Monthly Salary	FTE	7 day / wk FTE adj	FTE sum	FY 2011-12 number of months	FY Total Salaries	Annual PERA	Annual Medicare	FY Total Annual Personal Services	Annual AED (Base Salary + Shift Pay * Rate)	Annual SAED (Salary + Shift Pay * Rate)	Annual Short-term Disability (Salary + Shift Pay * Rate)	Shift Diff	Annual Shift Pay (Includes Salary, PERA & Medicare Expense)	Annual HLD (\$4,819.44)	FY Total Annual Personal Services + EDO Benefits	
Nurse I, shift 1	\$4,141	3.0	1.79	5.4	12	\$266,846	\$27,085	\$3,869	\$297,800	\$8,177	\$7,495	\$482	0.0214	\$5,098	\$25,880	\$344,933	
Client Care Aide I, shift 1	\$1,809	1.0	1.79	1.8	12	\$38,857	\$3,944	\$563	\$43,365	\$1,191	\$1,091	\$70	0.0214	\$742	\$8,627	\$55,086	
Nurse I, shift 2	\$4,141	3.0	1.79	5.4	12	\$266,846	\$27,085	\$3,869	\$297,800	\$8,755	\$8,025	\$517	0.0936	\$22,299	\$25,880	\$363,276	
Client Care Aide I, shift 2	\$1,809	1.5	1.79	2.7	12	\$58,286	\$5,916	\$845	\$65,047	\$1,912	\$1,753	\$113	0.0936	\$4,871	\$12,940	\$86,636	
Health Care Technician II, shift 2	\$2,672	1.0	1.79	1.8	12	\$57,395	\$5,826	\$832	\$64,052	\$1,883	\$1,726	\$111	0.0936	\$4,796	\$8,627	\$81,196	
Nurse I, shift 3	\$4,141	1.8	1.79	3.2	12	\$160,108	\$16,251	\$2,322	\$178,680	\$5,558	\$5,095	\$328	0.1571	\$22,457	\$15,528	\$227,645	
Sub-total Pueblo				20.2	20.2	\$848,338	\$86,106	\$12,301	\$946,745	\$27,475	\$25,186	\$1,621		\$60,264	\$97,483	\$1,158,773	
Annualized FY 2012-13 New Direct Care-Pueblo, 10.6 FTE	FY 2011-12 Minimum Monthly Salary	FTE	7 day / wk FTE adj	FTE sum	FY 2011-12 number of months	FY Total Salaries	Annual PERA (.1015)	Annual Medicare (.0145)	FY Total Annual Personal Services	Annual AED (Base Salary + Shift Pay * Rate)	Annual SAED (Salary + Shift Pay * Rate)	Annual Short-term Disability (Salary + Shift Pay * Rate)	Shift Diff	Annual Shift Pay (Includes Salary, PERA & Medicare Expense)	Annual HLD (\$4,819.44)	FY Total Annual Personal Services + EDO Benefits	
Health Professional III	\$4,351	1.0	1.0	1.0	12	\$52,212	\$5,300	\$757	\$58,269	\$1,566	\$1,436	\$92		\$0	\$4,819	\$66,183	
Nurse II, shift 2	\$4,692	1.7	1.8	3.0	12	\$168,310	\$17,083	\$2,440	\$187,833	\$5,522	\$5,062	\$326	0.0936	\$14,065	\$14,407	\$227,215	
Nurse III, shift 3	\$4,926	2.0	1.8	3.6	12	\$212,803	\$21,600	\$3,086	\$237,488	\$7,387	\$6,771	\$436	0.1571	\$29,848	\$17,350	\$299,280	
Social Work III	\$4,141	1.0	1.0	1.0	12	\$49,692	\$5,044	\$721	\$55,456	\$1,491	\$1,367	\$88		\$0	\$4,819	\$63,221	
Psychologist I	\$5,431	1.0	1.0	1.0	12	\$65,172	\$6,615	\$945	\$72,732	\$1,955	\$1,792	\$115		\$0	\$4,819	\$81,414	
Therapist III	\$4,568	1.0	1.0	1.0	12	\$54,816	\$5,564	\$795	\$61,175	\$1,644	\$1,507	\$97		\$0	\$4,819	\$69,243	
Total Pueblo				10.6	10.6	\$603,005	\$61,205	\$8,744	\$672,953	\$19,566	\$17,935	\$1,154		\$43,913	\$51,034	\$806,556	
Operating Expenses for New Hired Staff Only																\$7,095	
TOTAL Pueblo -- New FTE Requested to Meet 1:35 Ratio																\$813,650	

EDO Costs (Existing and New FTE)	
Health, Life & Dental	\$148,517
Short-term Disability	\$2,775
AED	\$47,041
SAED	\$43,121
Shift Differential	\$104,176
Total	\$345,630

Operating expenses Calculations		Totals
Non-unit staff	4.0	
Phone	\$ 450	\$1,800
All FTE	10.6	
Operating expenses	\$ 500	\$5,295
Total		\$7,095

By Long Bill Group or Line Item		
POTS (EDO) Total		\$345,630
Personal Services (existing and new FTE)		\$1,619,698
Operating Request (10.6 FTE plus costs for non-unit staff)		\$7,095
FY 2012-13 Pueblo Total Staffing Request		\$1,972,423

Attachment B

TABLE 4

FY 2011-12 MHI Additional Staff Request, Fort Logan

Benefit RATES Used In Calculations										0.0765	0.0145	0.028	0.0225	0.00177	60%	Monthly HLD	\$ 401.62
Direct Care-Ft Logan, 8.3 FTE to be hired	FY 2011-12 Minimum Monthly Salary	FTE	7 day / wk FTE adj	FTE sum	FY 2011-12 number of months	FY Total Salaries	Annual PERA	Annual Medicare	FY Total Personal Services	Annual AED (Base Salary + Shift Pay * Rate)	Annual SAED (Salary + Shift Pay * Rate)	Annual Short-term Disability (Salary + Shift Pay * Rate)	Shift Diff	Annual Shift Pay (Includes Salary, PERA & Medicare Expense)	Annual HLD (\$4,819.44)	FY Total Annual Personal Services + EDO Benefits	
Health Professional III	\$4,351	1.0	1.00	1.0	2	\$8,702	\$666	\$126	\$9,494	\$244	\$196	\$15		\$0	\$803	\$10,752	
Nurse II, shift 2	\$4,692	2.0	1.76	3.5	2	\$33,032	\$2,527	\$479	\$36,038	\$1,011	\$813	\$64	0.0936	\$2,024	\$2,827	\$42,777	
Nurse III, shift 3	\$4,926	2.2	1.76	3.8	2	\$37,280	\$2,852	\$541	\$40,672	\$1,208	\$971	\$76	0.1571	\$3,834	\$3,052	\$49,813	
Total Ft Logan				8.3	1.4	\$79,014	\$6,045	\$1,146	\$86,204	\$2,463	\$1,979	\$156		\$5,858	\$6,683	\$103,342	

EDO Costs (New FTE)	
Health, Life & Dental	\$6,683
Short-term Disability	\$156
AED	\$2,463
SAED	\$1,979
Shift Differential	\$5,858
Total	\$17,138

Operating expenses Calculations		Totals
Non-unit staff	1.0	
One-time Cubicle & Chair	\$ 3,473	\$3,473
Computer Costs	\$ 1,230	\$1,230
All Staff	1.4	
Phone (non-unit staff prorated)	\$ 450	\$75
Operating expenses	\$ 500	\$700
Total		\$5,478

By Long Bill Group or Line Item	
Plus POTS (multiple line items) EDO total	\$17,138
Personal Services (existing and new FTE)	\$86,204
Operating Request (1.4 FTE plus one-time costs for non-unit staff)	\$5,478
FY 2011-12 Ft Logan Total Request	\$108,820

TABLE 5

FY 2012-13 MHI Additional Staff Request, Ft Logan

Benefit Rates Used in Calculations										0.1015	0.0145	0.03	0.0275	0.00177	80%	Monthly HLD	\$ 401.62
										EDO Appropriated Benefits							
Annualized FY 2012-13 New Direct Care-Ft Logan, 8.3 FTE	FY 2011-12 Minimum Monthly Salary	FTE	7 day / wk FTE adj	FTE sum	FY 2011-12 number of months	FY Total Salaries	Annual PERA	Annual Medicare	FY Total Personal Services	Annual AED (Base Salary + Shift Pay * Rate)	Annual SAED (Salary + Shift Pay * Rate)	Annual Short-term Disability (Salary + Shift Pay * Rate)	Shift Diff	Annual Shift Pay (Includes Salary, PERA & Medicare Expense)	Annual HLD (\$4,819.44)	FY Total Annual Personal Services + EDO Benefits	
Health Professional III	\$4,351	1.0	1.00	1.0	12	\$52,212	\$5,300	\$757	\$58,269	\$1,566	\$1,436	\$92		\$0	\$4,819	\$66,183	
Nurse II, shift 2	\$4,692	2.0	1.76	3.5	12	\$198,190	\$20,116	\$2,874	\$221,180	\$6,502	\$5,960	\$384	0.0936	\$16,562	\$16,964	\$267,553	
Nurse III, shift 3	\$4,926	2.2	1.76	3.8	12	\$224,626	\$22,799	\$3,257	\$250,682	\$7,797	\$7,148	\$460	0.1571	\$31,506	\$18,314	\$315,907	
Total Pueblo				8.3	8.3	\$475,028	\$48,215	\$6,888	\$530,131	\$15,866	\$14,544	\$936		\$48,068	\$40,098	\$649,642	

EDO Costs (Existing and New FTE)	
Health, Life & Dental	\$40,098
Short-term Disability	\$936
AED	\$15,866
SAED	\$14,544
Shift Differential	\$48,068
Total	\$119,511

Operating expenses Calculations		Totals
Non-unit staff	1.0	
Phone	\$ 450	\$450
All FTE	8.3	
Operating expenses	\$ 500	\$4,160
Total		\$4,610

By Long Bill Group or Line Item	
Plus POTS (Multiple Line Items) EDO Total	\$119,511
Personal Services (existing and new FTE)	\$530,131
Operating Request (10.6 FTE plus costs for non-unit staff)	\$4,610
Grand Total	\$654,252

Attachment C - Continued Unfunded Salaries following CMHIFL Layoffs							
	FTE	Monthly Salary	Projected Annual Salary Savings	Actual Annual Salary Savings--Laid Off Employee Left CMHIFL Employment	Actual Annual Salary Savings--Laid Off Employee Exercised Bumping Rights*	Final Actual Annual Salary Savings	Unrealized Annual Salary Savings
AIS, Childrens, Geriatric, FY 2009-10	93.9	\$ 477,811	\$ 5,324,099	\$ 2,929,615	\$ 1,979,268	\$ 4,908,883	\$ 415,216
TRCCF, FY 2010-11	28.7	\$ 141,419	\$ 1,346,402	\$ 705,426	\$ 535,435	\$ 1,240,861	\$ 105,541
	122.6		\$ 6,670,502	\$ 3,635,041	\$ 2,514,703	\$ 6,149,745	\$ 520,757

*Note: Actual Annual Salary Savings--Laid Off Employee Exercised Bumping Rights = the salary savings from the employee who actually left CMHIFL employment

Attachment D: The Rising Costs for Atypical Antipsychotic Medications

The pharmaceutical portion of this request relates to the \$1,063,017 difference between what is budgeted in FY 2012-13 and the projected cost for pharmaceuticals at the Mental Health Institutes. The following is an expanded version of the information from the request.

The Colorado Mental Health Institute at Pueblo (CMHIP) and the Colorado Mental Health Institute at Fort Logan (CMHFL) provide inpatient hospitalization for the most seriously mentally ill citizens of Colorado. The budgets of the Colorado Mental Health Institutes (Institutes) are increasingly strained by rising costs in patient medications, in particular, the most important tool in treating severe mental illness - atypical anti-psychotics. These drugs, such as Risperidone Consta, Paliperidone, Olanzapine, Aripiprazole, and Ziprasidone, are the mainstays of modern treatment for schizophrenia and psychosis, and accepted as the standard for in- and out-patient psychiatric care.

While the older anti-psychotics are much less expensive, they are also less effective, and substituting the older anti-psychotics for the atypicals would reduce the effectiveness of therapy, exacerbate patient aggression, increase the patient length of stay, and lower the standard of medical care to the point of risking regulatory intervention.

The average cost of the atypical anti-psychotics has risen by an average of 7.8% a year from FY 2005-06 through FY 2010-11. Total costs continue to rise, driven by the increasing costs of the atypical, even though the Institutes have closed units in recent years and are dispensing fewer doses of medication overall. According to data derived from the Institutes' pharmacy systems, the Institutes dispensed 4.2 million doses of medications that cost \$4.5 million in FY 2009-10, and 3.8 million doses (0.4 million fewer) at a cost of \$5.0 million (\$0.5 million more) in FY 2010-11.

Inflationary pharmaceutical costs have long impacted the Institutes budgets. A budget amendment to address rising pharmaceutical costs was submitted in FY 2005-06. This was followed by modest inflationary increases of 2.05% in FY 2006-07 and 2.0% in FY 2007-08. Since that time the Institutes have received no inflationary increases; yet, pharmaceutical costs continue to rise.

Pharmaceuticals accounted for 45.3% and 52.9% of the CMHIP and CMHIFL operating budgets, respectively in FY 2010-11. The balance of the operating expenses is for items such as food, medical and laboratory supplies, laundry, patient care, office supplies, equipment maintenance, communication, patient educational and programming supplies, and other costs essential to the operation of a hospital. As the costs in these other areas have also risen, and as the Institutes strive to maintain a safe level of direct-care staffing, there is no flexibility remaining in the appropriation to absorb the pharmaceutical inflationary increases.

The increase in cost for the atypicals used at the Institutes is consistent with the data from the Division of Youth Corrections (DYC), which has also seen an increase in these medications starting in FY 2006-07 through FY 2010-11. During this time, the DYC's average cost for atypical anti-psychotics has risen from \$7.72 per unit dispensed to \$11.05 (approximately 43.13% increase). While there are variations in the number and type of anti-psychotics that are dispensed each year causing the overall average cost to the DYC to rise and fall over time, the costs for each of the atypicals separately have all risen each year, with the exception of Risperidone, which also decreased in cost at the Institutes.

The Department has numerous processes in place to ensure that the most clinically appropriate and cost effective pharmaceuticals are utilized. The Department participates in a large pharmaceutical purchasing pool covering most states to get the highest possible discount and recently completed a performance audit in May of 2011 that did not identify any significant opportunities to immediately lower pharmaceutical costs.

The atypical anti-psychotic medications are considered the prevailing psychiatric medical standard of care, both within the industry and by regulatory entities. These medications are found in the Department of Health Care Policy and Financing's formulary for Medicaid providers and do not reflect a level of care that exceeds Medicaid standards.

It is the practice of the Department's psychiatrists to prescribe the medications that are effective in controlling the illness and also the most likely to be taken by the patient after discharge. The Department is of the opinion that the Institutes' physicians should continue to follow best practices and prescribe the medications they believe will be most effective.

The atypical anti-psychotics are more costly, but their higher rates of patient medication compliance also results in a positive impact on public safety, in particular, regarding forensic patients in community placement. Studies have demonstrated that patients released to the community have an increased likelihood of committing serious crimes related to being un-medicated or not taking the medication prescribed¹. Most notable is the study, *Effectiveness of Atypical Antipsychotic Medications in Reducing Violent Behavior Among Persons with Schizophrenia in Community-Based Treatment*, J. Swanson, M. Swartz & E. Elbogen, *Schizophrenia Bulletin*, Vol. 30, No. 1, 2004, which addresses the effectiveness of the atypical anti-psychotics, and concludes: "The key finding of this study is that schizophrenia patients who were prescribed atypical antipsychotic medications (including clozapine, risperidone, or olanzapine) and who complied with those medications over time had a significantly lower risk of violent behavior compared to patients treated with conventional neuroleptics or no antipsychotic medications. By comparison, even long-term treatment with conventional antipsychotic medications did not significantly reduce violence risk."

The Cost Benefit Analysis Regarding the Use of Atypical Anti-Psychotics (below) details the methodology and assumptions used to monetize the benefits of using newer medications based on a comparison in the average length of stay (ALoS) for patients who were medicated with the older generation of anti-psychotics in the 1980s and patients who were medicated with atypical anti-psychotics in the 2000s. The Cost Benefit Analysis estimates the benefit of the newer medications at \$3,339,579 or \$1,856 per patient. This figure is approximately three times the funding shortfall. The quantified benefits are split between \$2,238,701 at Pueblo and \$1,135,013 at Fort Logan.

¹ Am J Psychiatry 159:103-108, January 2002, American Psychiatric Association *Antipsychotic Medication Adherence: Is There a Difference Between Typical and Atypical Agents?*, Christian R. Dolder, Pharm.D., Jonathan P. Lacro, Pharm.D., Laura B. Dunn, M.D., and Dilip V. Jeste, M.D.; Journal of Psychiatric Practice: September 2010 - Volume 16 - Issue 5 - pp 306-324, *Strategies for Addressing Adherence Problems in Patients with Serious and Persistent Mental Illness: Recommendations From the Expert Consensus Guidelines*, Velligan, Dawn I. PhD; Weiden, Peter J. MD; Sajatovic, Martha MD; Scott, Jan MBBS, MD, FRCPsych; Carpenter, Daniel PhD; Ross, Ruth MA; Docherty, John P. MD; PharmacoEconomics, Volume 23, Supplement 1, 2005, pp. 62-74, *Modelling the Impact of Compliance on the Costs and Effects of Long-acting Risperidone in Canada*, Chue, PS; Heeg, Bart M.; Buskens, Erik; van Hout, Ben A.; Salud Mental, Vol. 30, No. 1, enero-febrero 2007, *Association Between Violent Behavior and Psychotic Relaps in Schizophrenia: Once More Through the Revolving Door?*, Ana Fresana, Rogelio Apiquianb, Humberto Nicolinib, María García-Anayaa; European Psychiatry Volume 21, Issue 1, January 2006, Pages 34-40, *Randomised clinical trial comparing oral versus depot formulations of zuclopenthixol in patients with schizophrenia and previous violence*, C. Arango, I. Bombín, T. González-Salvador, I. García-Cabeza and J. Bobes; Hosp Community Psychiatry 40:491-496, May 1989 American Psychiatric Association, *Medication Refusal and the Rehospitalized Mentally Ill Inmate*, Leta D. Smith Ph.D.

The amount in the request was calculated based on the difference between the estimated pharmaceutical budget in FY 2013 and the projected pharmaceutical costs in FY 2013. The FY 2013 pharmaceutical budget reflects the FY 2011 pharmaceutical budget (normalized for unit closure) carried forward with proportionate anticipated budget adjustments. The pharmaceutical costs for FY 2013 are estimated using actual costs for medicines dispensed in FY 2011 (excluding closed units). Atypical Anti-Psychotics are increased 7.8 % each fiscal year and other medications decreased by 1.3% each fiscal year to reflect the average change in cost for each type of medication (Table 2).

Without a new source of funding, these fundamentally critical medications would have to be paid for at the expense of FTE and/or other hospital supplies. However, the opportunities to cover increasing pharmaceutical costs by other means have been exhausted after several years of maximizing efficiencies. Additional funding to cover the pharmaceuticals will enable the Institutes to continue to provide adequate drug therapy without further cuts to staff, supplies or training, maximize treatment effectiveness, improve patient medication compliance, and reduce readmission rates. If this request is not approved, the Institutes will be required to hold 29.0 FTE Mental Health Clinician I positions vacant, including 22 FTE at CMHIP and 7 FTE at Fort Logan and Pueblo, to cover the projected pharmaceutical costs. In the past, similar positions have been held vacant to compensate for increases in various costs that are not funded by the General Assembly, including food, medical supplies, pharmaceuticals, and shift differential.

Cost Benefit Analysis Regarding the Use of Atypical Anti-psychotics

There are significant challenges in conducting an accurate cost benefit analysis related to the use of atypical antipsychotics. The Department has not, for example, conducted controlled studies of the application of atypical versus typical antipsychotic medications to similarly diagnosed and medically classified groups of patients; nor does the Department have clinical outcome data for comparisons between the times when the old generation antipsychotics were used versus now. However, the Department was able to prepare an analysis based on the comparison of the average length of stay (ALoS) for hospitalized patients at the Institutes from the 1980s (1980-1989) compared to the 2000s (2000-2009) using the following assumptions:

- There are many other factors aside from medications that have impacted the ALoS between the decades starting in 1980 and 2000 including, but not limited to, the capitation of mental health care funding, the efforts of insurance companies to limit hospitalization and/or ensure sufficient care, the acuity of patients at admission, other changes in treatments and practices at the Institutes, and the availability and quality of mental health care in the community;
- Treatment affects the hospitalization length of stay, and improvements in the effectiveness of treatments and medications result in fewer days of inpatient care; and,
- Medications represent the bulk of effective treatment provided at the Institutes. While therapies and other clinical and supportive interventions are vital to discharge and recovery, serious psychoses and mental disorders are first controlled or mitigated through medication; therefore, it may be assumed that some degree of any change in the ALoS at the Institutes is attributable to changes in the primary medications.

The Institutes prescribed older generation or typical antipsychotic medications through the 1980s, as the U.S. Food and Drug Administration (FDA) did not approve the first atypical antipsychotic medication, clozapine, until 1989. Through the decade of the 1990s, the Institutes used both typical and atypical antipsychotics, with an increasing practice of using the newer medications through the start of the decade

beginning in 2000, at which time Institute physicians were prescribing atypicals almost universally as the first-choice among the antipsychotic medications.

By 2000, atypicals were recognized as preferable in terms of side effects, medication compliance and effectiveness and their use had become the industry standard. The Joint Commission on Accreditation of Healthcare Organizations (now known as The Joint Commission), the regulatory entity with accreditation oversight over the Institutes, had come to consider *not* prescribing atypicals to a high percent of patients as a matter of potential concern. The National Association of State Mental Health Program Directors Research Institute (NRI) collects and forwards prescribing data to the Joint Commission. In 2010, for the approximately 80 facilities providing information to NRI for the performance measure "New Generation Antipsychotic Use," 87% of clients receiving antipsychotics were prescribed atypicals, versus 96% for the Institutes.

Methodology. The ALoS from 1980 through 1989 was compared to the ALoS from 2000 through 2009 by major patient population type served in each Institute. Outpatients, Department of Corrections and other patients admitted and discharged to the Medical-Surgical unit at the CMHIP, patients treated in the time-defined alcohol and drug programs at both Institutes, and those admitted to short-term observation programs (no longer in use) were excluded due to the short-term treatment modalities. Also excluded were the geriatric and adolescent and children populations at Fort Logan, since these units are now closed.

The estimated annual savings generated by changing from typical to atypical antipsychotic medications at each Institute (Table 1- CMHIFL and Table 2- CMHIP) and for the Institutes combined (Table 3) was calculated using the following algorithm:

Estimated Annual Savings = Decrease in ALoS x Assumed Rx Factor x FY 2011 Average Daily Cost x Number of Admits in FY 2011

The difference in ALoS from the 1980s to the 2000s represents the reduction in the number of days for the average inpatient stay per population type (Decrease in ALoS). This decrease in ALoS is multiplied by 5% to represent the impact of the change from the old generation to atypical antipsychotics (Assumed Rx Factor). The 5% factor represents a conservative guesstimate since there are many factors, including medications, noted in the assumptions above that impact the ALoS.

The 5% of the reduction in the average length of hospitalization attributed to the change in medication (Decrease in ALoS) is multiplied by the average of the FY 2011 daily cost per inpatient day [average daily attendance (ADA) times daily cost divided by total ADA] and by the number of patients admitted to the Institutes in FY 2010-11. The estimated annual savings represents the cost savings in terms of reduced inpatient days per patient admitted (average daily attendance) in FY 2011 due to the use of atypical antipsychotics.

Results. The ALoS between the 1980s and the 2000s decreased for all categories, with the least decrease in the Forensic population, which is expected given that the Forensic ALoS would be impacted much more by court release and public safety requirements than would the ALoS for the other groups.

CMHIFL Population:	1980s	2000s	Difference
Adult	107.5	53.6	53.9

CMHIP Population:			
Adult	76.4	53.7	22.7
Adolescent & Children	118.3	18.3	100.0
Forensic	345.9	330.6	15.3
Geriatric	253.8	149.6	104.2

CMHIFL and CMHIP Population Combined:	1980s	2000s	Difference
Adult	93.5	53.6	39.9
Adolescent & Children	118.3	18.3	100.0
Children	345.9	330.6	15.3
Geriatric	253.8	149.6	104.2

The estimated annual savings attributed to medications for the CMHIFL (Table 1), the CMHIP (Table 2) and the combined Institutes (Table 3) are summarized in the following tables:

Table 1- CMHIFL Population*	Decrease in ALoS	Assumed Rx Factor	FY 2010-11 Average Daily Cost	Number admits in FY 2010-11	Estimated Annual Savings
Adult	53.9	5%	\$735	573	\$1,135,013

*As of July 1, 2011, Fort Logan only provides services to adults. The Childrens, Adolescent and Geriatrics units closed on January 1, 2010 and the Therapeutic Residential Child Care Facility closed on July 1, 2011.

Table 2- CMHIP Population	Decrease in ALoS	Assumed Rx Factor	FY 2010-11 Average Daily Cost	Number admits in FY 2010-11	Estimated Annual Savings
Adult	22.7	5%	\$584	544	\$360,585
Adolescent & Children	100.0	5%	\$1,053	309	\$1,626,885
Forensic	15.3	5%	\$578	337	\$149,011
Geriatric	104.2	5%	\$545	<u>36</u>	<u>\$102,220</u>
TOTAL				1,226	\$2,238,701

Table 3- CMHIP and CMHIFL Population Combined	Decrease in ALoS	Assumed Rx Factor	FY 2010-11 Average Daily Cost	Number admits in FY 2010-11	Estimated Annual Savings
Adult**	39.9	5%	\$673	1,117	\$1,495,598
Adolescent & Children	100.0	5%	\$1,053	309	\$1,626,885
Forensic	15.3	5%	\$578	337	\$149,011
Geriatric	104.2	5%	\$545	<u>36</u>	<u>\$68,085</u>
TOTAL				1,799	\$3,339,579

Data for the adult populations at the CMHIFL and the CMHIP were combined to calculate the decrease in ALoS, FY 2010-11 average daily cost and number of admits in FY 2010-11 to generate the combined estimated annual savings of \$3,339,579 or approximately \$1,856 per patient admitted ($\$3,339,579/1,799 =$

\$1,856). The estimated annual savings for the Institutes combined is approximately three times the amount of the pharmaceutical funding shortfall ($\$3,339,579/\$1,063,017= 3$).

Attachment E

MHI Pharmaceutical Costs by Fiscal Year, including only units currently open

FY 2005-06					
Fort Logan	Quantity	Cost	CostPer		
Other medications, subtotal	1,034,179	\$ 268,104	\$ 0.26		
Atypical antipsychotics, subtotal	102,205	\$ 514,498	\$ 5.03		
Fort Logan total	1,136,384	\$ 782,601	\$ 0.69		
Pueblo					
Other medications, subtotal	1,639,869	\$ 963,528	\$ 0.59		
Atypical antipsychotics, subtotal	309,823	\$ 1,775,277	\$ 5.73		
Pueblo total	1,949,692	\$ 2,738,805	\$ 1.40		
Both Institutes Combined					
Other medications, subtotal	2,674,048	\$ 1,231,631	\$ 0.46		
Atypical antipsychotics, subtotal	412,028	\$ 2,289,775	\$ 5.56		
MHI total	3,086,076	\$ 3,521,406	\$ 1.14		
FY 2006-07					
Fort Logan	Quantity	Cost	CostPer	change cost per	
Other medications, subtotal	1,106,396	\$ 260,420	\$ 0.24	\$ (0.02)	-9.2%
Atypical antipsychotics, subtotal	96,304	\$ 567,702	\$ 5.89	\$ 0.86	17.1%
Fort Logan total	1,202,700	\$ 828,122	\$ 0.69	\$ (0.00)	0.0%
Pueblo					
Other medications, subtotal	1,947,281	\$ 1,077,347	\$ 0.55	\$ (0.03)	-5.8%
Atypical antipsychotics, subtotal	314,729	\$ 2,090,742	\$ 6.64	\$ 0.91	15.9%
Pueblo total	2,262,010	\$ 3,168,089	\$ 1.40	\$ (0.00)	-0.3%
Both Institutes Combined					
Other medications, subtotal	3,053,677	\$ 1,337,767	\$ 0.44	\$ (0.02)	-4.9%
Atypical antipsychotics, subtotal	411,033	\$ 2,658,444	\$ 6.47	\$ 0.91	16.4%
MHI total	3,464,710	\$ 3,996,211	\$ 1.15	\$ 0.01	1.1%
FY 2007-08					
Fort Logan	Quantity	Cost	CostPer	change cost per	
Other medications, subtotal	1,120,185	\$ 296,373	\$ 0.26	\$ 0.03	12.4%
Atypical antipsychotics, subtotal	90,624	\$ 606,771	\$ 6.70	\$ 0.80	13.6%
Fort Logan total	1,210,809	\$ 903,143	\$ 0.75	\$ 0.06	8.3%
Pueblo					
Other medications, subtotal	2,250,649	\$ 1,261,779	\$ 0.56	\$ 0.01	1.3%
Atypical antipsychotics, subtotal	337,026	\$ 2,230,182	\$ 6.62	\$ (0.03)	-0.4%
Pueblo total	2,587,675	\$ 3,491,960	\$ 1.35	\$ (0.05)	-3.6%
Both Institutes Combined					
Other medications, subtotal	3,370,834	\$ 1,558,151	\$ 0.46	\$ 0.02	5.5%
Atypical antipsychotics, subtotal	427,650	\$ 2,836,952	\$ 6.63	\$ 0.17	2.6%
MHI total	3,798,484	\$ 4,395,104	\$ 1.16	\$ 0.00	0.3%

Pt Days	QtyPtDay	CostPtDay
54,314		
	19.0 \$	4.94
	1.9 \$	9.47
	20.9 \$	14.41
137,449		
	11.9 \$	7.01
	2.3 \$	12.92
	14.2 \$	19.93
191,763		
	13.9 \$	6.42
	2.1 \$	11.94
	16.1 \$	18.36
53,659		
	20.6 \$	4.85
	1.8 \$	10.58
	22.4 \$	15.43
138,076		
	14.1 \$	7.80
	2.3 \$	15.14
	16.4 \$	22.94
191,735		
	15.9 \$	6.98
	2.1 \$	13.87
	18.1 \$	20.84
54,609		
	20.5 \$	5.43
	1.7 \$	11.11
	22.2 \$	16.54
147,947		
	15.2 \$	8.53
	2.3 \$	15.07
	17.5 \$	23.60
202,556		
	16.6 \$	7.69
	2.1 \$	14.01
	18.8 \$	21.70

Total medications cost			
FY 2005-06	\$	3,521,406	
FY 2010-11	\$	4,956,890	
increase	\$	1,435,484	41%
Total atypical antipsychotics cost			
FY 2005-06	\$	2,289,775	
FY 2010-11	\$	3,584,059	
increase	\$	1,294,284	57%
Atypical antipsychotics cost per dose			
FY 2005-06	\$	5.56	
FY 2010-11	\$	8.02	
increase	\$	2.47	44%

Attachment E

MHI Pharmaceutical Costs by Fiscal Year, including only units currently open

						Pt Days	QtyPtDay	CostPtDay			
FY 2008-09											
Fort Logan	Quantity	Cost	CostPer	change cost per		52,224					
Other medications, subtotal	1,191,785	\$ 256,020	\$ 0.21	\$ (0.05)	-18.8%		22.8	\$ 4.90			
Atypical antipsychotics, subtotal	85,420	\$ 623,618	\$ 7.30	\$ 0.61	9.0%		1.6	\$ 11.94			
Fort Logan total	1,277,205	\$ 879,638	\$ 0.69	\$ (0.06)	-7.7%		24.5	\$ 16.84			
Pueblo						146,646					
Other medications, subtotal	2,329,298	\$ 1,215,360	\$ 0.52	\$ (0.04)	-6.9%		15.9	\$ 8.29			
Atypical antipsychotics, subtotal	356,306	\$ 2,315,636	\$ 6.50	\$ (0.12)	-1.8%		2.4	\$ 15.79			
Pueblo total	2,685,604	\$ 3,530,996	\$ 1.31	\$ (0.03)	-2.6%		18.3	\$ 24.08			
Both Institutes Combined						198,870					
Other medications, subtotal	3,521,083	\$ 1,471,380	\$ 0.42	\$ (0.04)	-9.6%		17.7	\$ 7.40			
Atypical antipsychotics, subtotal	441,726	\$ 2,939,254	\$ 6.65	\$ 0.02	0.3%		2.2	\$ 14.78	5.5%		
MHI total	3,962,809	\$ 4,410,634	\$ 1.11	\$ (0.04)	-3.8%		19.9	\$ 22.18			
FY 2009-10											
Fort Logan	Quantity	Cost	CostPer	change cost per		41,312					
Other medications, subtotal	1,125,944	\$ 221,793	\$ 0.20	\$ (0.02)	-8.3%		27.3	\$ 5.37			
Atypical antipsychotics, subtotal	87,211	\$ 668,092	\$ 7.66	\$ 0.36	4.9%		2.1	\$ 16.17			
Fort Logan total	1,213,155	\$ 889,885	\$ 0.73	\$ 0.04	6.5%		29.4	\$ 21.54			
Pueblo						146,826					
Other medications, subtotal	2,396,425	\$ 1,026,616	\$ 0.43	\$ (0.09)	-17.9%		16.3	\$ 6.99			
Atypical antipsychotics, subtotal	351,931	\$ 2,396,115	\$ 6.81	\$ 0.31	4.8%		2.4	\$ 16.32			
Pueblo total	2,748,356	\$ 3,422,731	\$ 1.25	\$ (0.07)	-5.3%		18.7	\$ 23.31			
Both Institutes Combined						188,138					
Other medications, subtotal	3,522,369	\$ 1,248,409	\$ 0.35	\$ (0.06)	-15.2%		18.7	\$ 6.64			
Atypical antipsychotics, subtotal	439,142	\$ 3,064,207	\$ 6.98	\$ 0.32	4.9%		2.3	\$ 16.29	10.2%		
MHI total	3,961,511	\$ 4,312,615	\$ 1.09	\$ (0.02)	-2.2%		21.1	\$ 22.92			
FY 2010-11											
Fort Logan	Quantity	Cost	CostPer	change cost per		avg change all FYs		35,662			
Other medications, subtotal	1,159,761	\$ 221,179	\$ 0.19	\$ (0.01)	-3.2%	\$ (0.01)	-5.4%	32.5	\$ 6.20		
Atypical antipsychotics, subtotal	88,367	\$ 808,106	\$ 9.14	\$ 1.48	19.4%	\$ 0.82	12.8%	2.5	\$ 22.66		
Fort Logan total	1,248,128	\$ 1,029,284	\$ 0.82	\$ 0.09	12.4%	\$ 0.03	3.9%	35.0	\$ 28.86		
Pueblo								146,018			
Other medications, subtotal	2,127,799	\$ 1,151,652	\$ 0.54	\$ 0.11	26.3%	\$ (0.01)	-0.6%	14.6	\$ 7.89		
Atypical antipsychotics, subtotal	358,376	\$ 2,775,953	\$ 7.75	\$ 0.94	13.8%	\$ 0.40	6.5%	2.5	\$ 19.01		
Pueblo total	2,486,175	\$ 3,927,606	\$ 1.58	\$ 0.33	26.9%	\$ 0.04	3.0%	17.0	\$ 26.90		
Both Institutes Combined								181,680			
Other medications, subtotal	3,287,560	\$ 1,372,831	\$ 0.42	\$ 0.06	17.8%	\$ (0.01)	-1.3%	18.1	\$ 7.56		
Atypical antipsychotics, subtotal	446,743	\$ 3,584,059	\$ 8.02	\$ 1.04	15.0%	\$ 0.49	7.8%	2.5	\$ 19.73	21.1%	65.2% change from FY 2005-06
MHI total	3,734,303	\$ 4,956,890	\$ 1.33	\$ 0.24	21.9%	\$ 0.04	3.5%	20.6	\$ 27.28		

Attachment E

MHI Pharmaceutical Costs by Fiscal Year, including only units currently open

FY 2011-12 (projected)			
Fort Logan	Quantity	Cost	CostPer
Other medications, subtotal	1,159,761	\$ 218,377	\$ 0.19
Atypical antipsychotics, subtotal	88,367	\$ 871,290	\$ 9.86
Fort Logan total	1,248,128	\$ 1,089,668	\$ 0.87
Pueblo			
Other medications, subtotal	2,127,799	\$ 1,137,065	\$ 0.53
Atypical antipsychotics, subtotal	358,376	\$ 2,993,001	\$ 8.35
Pueblo total	2,486,175	\$ 4,130,066	\$ 1.66
Both Institutes Combined			
Other medications, subtotal	3,287,560	\$ 1,355,443	\$ 0.41
Atypical antipsychotics, subtotal	446,743	\$ 3,864,291	\$ 8.65
MHI total	3,734,303	\$ 5,219,734	\$ 1.40
FY 2012-13 (projected)			
Fort Logan	Quantity	Cost	CostPer
Other medications, subtotal	1,159,761	\$ 215,611	\$ 0.19
Atypical antipsychotics, subtotal	88,367	\$ 939,415	\$ 10.63
Fort Logan total	1,248,128	\$ 1,155,026	\$ 0.93
Pueblo			
Other medications, subtotal	2,127,799	\$ 1,122,663	\$ 0.53
Atypical antipsychotics, subtotal	358,376	\$ 3,227,020	\$ 9.00
Pueblo total	2,486,175	\$ 4,349,683	\$ 1.75
Both Institutes Combined			
Other medications, subtotal	3,287,560	\$ 1,338,274	\$ 0.41
Atypical antipsychotics, subtotal	446,743	\$ 4,166,435	\$ 9.33
MHI total	3,734,303	\$ 5,504,709	\$ 1.47
FY 2013-14 (projected)			
Fort Logan	Quantity	Cost	CostPer
Other medications, subtotal	1,159,761	\$ 212,880	\$ 0.18
Atypical antipsychotics, subtotal	88,367	\$ 1,012,867	\$ 11.46
Fort Logan total	1,248,128	\$ 1,225,747	\$ 0.98
Pueblo			
Other medications, subtotal	2,127,799	\$ 1,108,443	\$ 0.52
Atypical antipsychotics, subtotal	358,376	\$ 3,479,336	\$ 9.71
Pueblo total	2,486,175	\$ 4,587,779	\$ 1.85
Both Institutes Combined			
Other medications, subtotal	3,287,560	\$ 1,321,323	\$ 0.40
Atypical antipsychotics, subtotal	446,743	\$ 4,492,203	\$ 10.06
MHI total	3,734,303	\$ 5,813,526	\$ 1.56

Pt Days	QtyPtDay	CostPtDay
32,421		
	35.8	\$ 6.74
	2.7	\$ 26.87
	38.5	\$ 33.61
146,018		
	14.6	\$ 7.79
	2.5	\$ 20.50
	17.0	\$ 28.28
178,439		
	18.4	\$ 7.60
	2.5	\$ 21.66
	20.9	\$ 29.25
32,421		
	35.8	\$ 6.65
	2.7	\$ 28.98
	38.5	\$ 35.63
146,018		
	14.6	\$ 7.69
	2.5	\$ 22.10
	17.0	\$ 29.79
178,439		
	18.4	\$ 7.50
	2.5	\$ 23.35
	20.9	\$ 30.85
32,421		
	35.8	\$ 6.57
	2.7	\$ 31.24
	38.5	\$ 37.81
146,018		
	14.6	\$ 7.59
	2.5	\$ 23.83
	17.0	\$ 31.42
178,439		
	18.4	\$ 7.40
	2.5	\$ 25.18
	20.9	\$ 32.58

Pharmaceuticals		
2011-12	Ft Logan	\$ 901,825
Budget	Pueblo	\$ 3,500,000
	MHI total	\$ 4,401,825
2011-12	Ft Logan	\$ 1,089,668
Estimate	Pueblo	\$ 4,130,066
	MHI total	\$ 5,219,734
Difference	Ft Logan	\$ 187,843
	Pueblo	\$ 630,066
	MHI total	\$ 817,909

Pharmaceuticals		
2012-13	Ft Logan	\$ 910,256
Budget	Pueblo	\$ 3,531,436
	MHI total	\$ 4,441,692
2012-13	Ft Logan	\$ 1,155,026
Estimate	Pueblo	\$ 4,349,683
	MHI total	\$ 5,504,709
Difference	Ft Logan	\$ 244,770
	Pueblo	\$ 818,247
	MHI total	\$ 1,063,017

Attachment F

Fort Logan, discharged in the 1980s

Population	Avg LoS	number	From	To
Adolescent	123.7	1,471	1/1/1980	12/31/1989
Adult	107.5	3,728	1/1/1980	12/31/1989
Children	186.5	589	1/1/1980	12/31/1989
Geriatric	122.9	870	1/1/1980	12/31/1989

Fort Logan, discharged in the 2000s

Population	Avg LoS	number	From	To
Adolescent	32.0	3,459	1/1/2000	12/31/2009
Adult	53.6	6,465	1/1/2000	12/31/2009
Children	20.4	1,901	1/1/2000	12/31/2009
Geriatric	127.8	728	1/1/2000	12/31/2009

Pueblo, discharged in the 1980s

Population	Avg LoS	number	From	To
Adult	76.4	9,606	1/1/1980	12/31/1989
Adolescent	118.3	2,747	1/1/1980	12/31/1989
Forensic	345.9	3,013	1/1/1980	12/31/1989
Geriatric	253.8	1,799	1/1/1980	12/31/1989

Pueblo, discharged in the 2000s

Population	Avg LoS	number	From	To
Adult	53.7	5,234	1/1/2000	12/31/2009
Adolescent	18.3	2,645	1/1/2000	12/31/2009
Forensic	330.6	3,936	1/1/2000	12/31/2009
Geriatric	149.6	1,288	1/1/2000	12/31/2009

Both MHIs, discharged in the 1980s

Population	LoS	number	From	To	Avg LoS
Adult	1,134,196	13,334	1/1/1980	12/31/1989	85.1
Adolescent & Children	616,818	4,807	1/1/1980	12/31/1989	128.3
Forensic	1,042,073	3,013	1/1/1980	12/31/1989	345.9
Geriatric	563,433	2,669	1/1/1980	12/31/1989	211.1

Both MHIs, discharged in the 2000s

Population	LoS	number	From	To	Avg LoS
Adult	627,602	11,699	1/1/2000	12/31/2009	53.6
Adolescent & Children	197,793	8,005	1/1/2000	12/31/2009	24.7
Forensic	1,301,131	3,936	1/1/2000	12/31/2009	330.6
Geriatric	285,665	2,016	1/1/2000	12/31/2009	141.7

Fort Logan, decrease in average LoS, 1980s to 2000s, and resultant savings

Population	decrease in days	Rx factor	current daily cost	No. admits in FY 2011	annual savings
Adult	53.9	5%	\$ 735	573	\$ 1,134,413
Adolescent & Children	113.8	5%	\$ -	13	\$ -
Geriatric	-4.9	5%	\$ -	0	\$ -
				586	\$ 1,134,413

per patient admitted in FY 2010-11

\$ 1,936

Pueblo, decrease in average LoS, 1980s to 2000s, and resultant savings

Population	decrease in days	Rx factor	current daily cost	No. admits in FY 2011	annual savings
Adult	22.7	5%	\$ 584	544	\$ 359,871
Adolescent & Children	100.0	5%	\$ 1,053	309	\$ 1,627,617
Forensic	15.3	5%	\$ 578	337	\$ 148,885
Geriatric	104.2	5%	\$ 545	36	\$ 102,219
				1,226	\$ 2,238,592

per patient admitted in FY 2010-11

\$ 1,826

Both MHIs, decrease in average LoS, 1980s to 2000s, and resultant savings

Population	decrease in days	Rx factor	current daily cost	No. admits in FY 2011	annual savings
Adult	31.4	5%	\$ 673	1,117	\$ 1,180,743
Adolescent & Children	103.6	5%	\$ 1,053	322	\$ 1,756,497
Forensic	15.3	5%	\$ 578	337	\$ 148,885
Geriatric	69.4	5%	\$ 545	36	\$ 68,085
				1,812	\$ 3,154,210

per patient admitted in FY 2010-11

\$ 1,741

FY 2010-11	ADA	current daily cost
Fort Logan adult ADA	88.8	\$ 735
Pueblo adult ADA	61.9	\$ 584

Alcohol/Drug, Med Surg and short-term observation programs excluded

Attachment G**IT Hardware and Software Support Costs (excluding units since closed)**

(Net of Object Codes 1960, 1961, 2231, 2232, 2260, 2263 and 3116)

Costs	FY 2006	FY 2007*	FY 2008*	FY 2009	FY 2010*	FY 2011	Diff FY11 -	Pct Change
							FY06	
Ft Logan	\$ 89,999	\$ 91,278	\$ 97,777	\$ 107,788	\$ 146,404	\$ 155,887	\$ 65,888	73%
Pueblo	\$ 158,056	\$ 223,888	\$ 242,113	\$ 282,601	\$ 297,245	\$ 344,105	\$ 186,049	118%
	\$ 248,055					\$ 499,992	\$ 251,937	102%

Pt Days	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011
Ft Logan	32,260	32,314	32,271	31,421	31,618	32,421
Pueblo	134,637	134,716	144,239	144,057	146,729	146,018

Cost per Pt Day	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	Diff FY11 -	Pct Change
							FY06	
Ft Logan	\$ 2.79	\$ 2.82	\$ 3.03	\$ 3.43	\$ 4.63	\$ 4.81	\$ 2.02	72%
Pueblo	\$ 1.17	\$ 1.66	\$ 1.68	\$ 1.96	\$ 2.03	\$ 2.36	\$ 1.18	101%

* Pueblo costs reduced by amounts for desktop computers and software funded in decision items.

Schedule 13
Funding Request for the 2012-13 Budget Cycle

Department: Human Services
 Request Title: Utilities Funding Request
 Priority Number: S-3, BA-2

Dept. Approval by: Will Bl 12-16-11
 Date
 OSPB Approval by: Grant R. Selent 12/18/11
 Date

- Decision Item FY 2012-13
 Base Reduction Item FY 2012-13
 Supplemental FY 2011-12
 Budget Amendment FY 2012-13

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items	Total	30,103,263	(175,000)	30,608,248	(175,000)	(175,000)
	FTE	432.8	0	433	0	0
	GF	18,504,905	175,000	18,791,148	175,000	175,000
	CF	1,978,966	0	2,011,716	0	0
	RF	7,753,779	(350,000)	7,894,662	(350,000)	(350,000)
	FF	1,865,613	0	1,910,722	0	0
	MCF	4,483,710	(350,000)	4,549,754	(350,000)	(350,000)
	MGF	2,241,855	(175,000)	2,274,877	(175,000)	(175,000)
	NGF	20,746,760	0	21,066,025	0	0
(3) Office of Operations, (A) Administration, Personal Services	Total	22,359,053	(197,000)	22,864,038	(197,000)	(197,000)
	FTE	432.8	0.0	432.8	0.0	0.0
	GF	12,708,212	(197,000)	12,994,455	(197,000)	(197,000)
	CF	1,928,966	0	1,961,716	0	0
	RF	5,856,262	0	5,997,145	0	0
	FF	1,865,613	0	1,910,722	0	0
	MCF	2,945,219	0	3,011,263	0	0
	MGF	1,472,609	0	1,505,631	0	0
	NGF	14,180,821	(197,000)	14,500,086	(197,000)	(197,000)
(3) Office of Operations, (A) Administration, Utilities	Total	7,744,210	22,000	7,744,210	22,000	22,000
	FTE	0	0	0	0	0
	GF	5,796,693	372,000	5,796,693	372,000	372,000
	CF	50,000	0	50,000	0	0
	RF	1,897,517	(350,000)	1,897,517	(350,000)	(350,000)
	FF	0	0	0	0	0
	MCF	1,538,491	(350,000)	1,538,491	(350,000)	(350,000)
	MGF	769,246	(175,000)	769,246	(175,000)	(175,000)
	NGF	6,565,939	197,000	6,565,939	197,000	197,000

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Letternote Text Revision Required? Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/> If yes, describe the Letternote Text Revision: Cash or Federal Fund Name and COFRS Fund Number: Reappropriated Funds Source, by Department and Line Item Name: b Of this amount, it is estimated that \$5,159,398 \$4,809,398 shall be from Medicaid funds transferred from the Department of Health Care Policy and Financing, \$1,236,747 shall be transferred from the Department of Corrections, \$894,807 shall be from patient fees collected by the Mental Health Institutes that represent Medicaid revenue earned from the behavioral health organizations through Mental Health Community Capitation, \$800,000 shall be from nursing home indirect cost subsidies appropriated to Homelake Domiciliary and the State and Veterans Nursing Homes, \$340,000 shall be from federal Medicaid indirect costs transferred from the Department of Health Care Policy and Financing, and \$519,844 shall be from various sources of reappropriated funds, including indirect cost recoveries. Approval by OIT? Yes: <input type="checkbox"/> No: <input type="checkbox"/> Not Required: <input checked="" type="checkbox"/> Schedule 13s from Affected Departments: Health Care Policy and Financing Other Information:						



DEPARTMENT OF HUMAN SERVICES

John W. Hickenlooper
Governor

*FY 2011-12 Supplemental, Budget Amendment Funding Request
January 3, 2012*

Reggie Bicha
Executive Director

Department Priority: S-3, BA-2
Request Title: Utilities Funding Request

Summary of Incremental Funding Change for FY 2011-12 and 2012-13	Total Funds	General Fund	Reapp. Funds	Medicaid Cash Fund	Medicaid General Fund	Net General Fund
(3) Office of Operations, (A) Administration, Personal Services	(\$197,000)	(\$197,000)	\$0	\$0	\$0	(\$197,000)
(3) Office of Operations, (A) Administration, Utilities	\$22,000	\$372,000	(\$350,000)	(\$350,000)	(\$175,000)	\$197,000
Total of All Line Items	(175,000)	\$175,000	(\$350,000)	(\$350,000)	(\$175,000)	\$0

Request Summary:

The Department of Human Services (CDHS) is requesting two technical adjustments which impact the Department's utilities appropriation. The net result of this request is a Total Funds decrease of \$175,000 and \$0 impact to the Net General Fund in FY 2011-12 and beyond. This adjustment will better align the Department's utilities appropriation and help to manage both the cost and consumption of utilities at the Department.

The majority of CDHS utility costs are spent on 24-hour, direct-care facilities. The Department is required to provide the appropriate physical environment for client care and maintaining a constant temperature environment is an important part of that care.

In order to manage the Department's utilities budget, the Department has utilized resources from other program areas to cover utility funding and will continue to do so if necessary. The Department is requesting to increase the direct General Fund in the utilities line item in two ways to help manage the line item. First, the

Department is requesting to reduce its Medicaid appropriation by \$350,000 Medicaid Cash Funds (\$175,000 Medicaid General Fund) and increase its direct General Fund through a transfer from the Department of Health Care Policy and Financing by \$175,000. In addition, the Department is requesting to move funding from the Office of Operations, Personal Services line item to the Utilities line item to allow for savings achieved through energy performance contracts to be used towards utilities costs. The Department believes this action will assist in managing the estimated utilities costs and the utilities appropriation.

There are three components to the Department's utility budget and spending: utility purchases; performance contracts; and, energy management systems.

- **Utilities Purchases:** Expenditures on natural gas, electricity, coal, water and waste water expenses for the Department's residential facilities (Division of Youth Corrections (DYC), Mental Health Institutes, and Regional Centers for

Persons with Developmental Disabilities) as well as related support facilities.

- **Energy Performance Contracts:** Pursuant to Section 24-30-2001 C.R.S., the Department can enter into energy performance contracts to fund energy-efficient infrastructure improvements and pay for those improvements through annual savings on energy consumption. The energy performance contracts are an agreement between the Department and an outside entity, where the outside entity installs the energy-efficient improvement at a CDHS facility, with no upfront cost to CDHS. The Department then agrees to pay the outside entity back the costs of the project (plus interest) over a specified time frame. The intent is that energy savings generated by the installation of energy-efficient improvements will be more than enough to pay the annual payment back to the outside entity. The energy performance contract basically acts as a loan, and the Department is paying the loan back over a set number of years.
- **Energy Management Systems:** The Department uses a WEB-based automation system to control all mechanical and electrical systems. This automated system greatly reduces the number of maintenance staff required at each facility. One individual can remotely check multiple buildings from a laptop or computer terminal without having to enter the building and verify that the systems are working properly. This system also provides a wealth of information on the performance of various building systems. The Department pays an annual contract for these services as well as for the maintenance of the related hardware and support equipment.

Utility purchases represent the most volatile component of the Utilities budget. Utility purchases are subject to: environmental volatility due to weather and climate changes; consumption volatility based on changes in program or client

needs; and, price volatility based on supply, demand, and market conditions. The diverse markets and locations where the Department purchases utilities further complicate the pricing structure. The Department attempts to mitigate the inherent volatility through conservation practices, energy management tools, and performance contracts.

This request will better align the Department's utilities appropriation by more accurately reflecting the amount of Medicaid funds that can be earned on utilities expenditures and to move funding from the Office of Operations to the utilities line which has been used to help cover utilities costs in the past few years. This request will better position the Department to manage the utilities appropriation and estimated costs.

Anticipated Outcomes:

Providing an appropriate physical environment for clients is critical to the Department's mission. The desired outcome is to provide adequate funding for utility expenditures.

Assumptions for Calculations:

The Department is requesting to adjust the financing of the utilities line by transferring \$197,000 in General Funds from Office of Operation personal services to the utilities line item. This action is being requested to reflect Phase III performance contract savings. As part of the Phase III energy performance plan, a new boiler was installed at the DYC facility at Lookout Mountain. The installation of the new boiler resulted in lower personal services costs and operating costs to the facilities management unit within the Office of Operations. . These funds have been used to cover utilities costs in excess of the utilities appropriation in the past few years. This action will allow the Department to better align the utilities appropriation with actual fiscal management practices being completed at the Department.

In addition to the operating alignment listed above the Department is requesting to better align the Medicaid funding in the utilities line item.

The amount of Medicaid revenue indicated in the appropriation bill is only an estimate of what can actually be collected. Over time the Medicaid appropriation has not remained consistent with the actual Medicaid earnings. Specifically, the appropriation contains approximately \$350,000 more in Medicaid funding that the Department is able to earn. As a result the Department cannot access these Medicaid funds to help meet the utility needs of the Department. The Department requests to realign the Medicaid appropriated to this area, including transferring \$175,000 in associated General Fund from the Medicaid appropriation located at the Department of Health Care Policy and Financing to the utilities line at CDHS.

Consequences if not Funded:

The most likely consequence of not funding the request is that the Department would need programs incurring utilities expenditures to absorb any utilities costs in excess of the utilities appropriation. This includes the Mental Health Institutes, Division of Youth Corrections, and the

Office of Operations and since Medicaid for the Regional Centers exceeds the amount of cost, the Department would not be able to utilize the full Medicaid appropriation for utilities in the current year.

Impact to Other State Government Agency:

This request will impact the Department of Health Care Policy and Financing for the \$350,000 in Medicaid funding of which \$175,000 is General Fund. There is no operations impact to the Department of Health Care Policy and Financing.

Supplemental, 1331 Supplemental, or Budget Amendment Criteria:

This Supplemental/Budget Amendment is due to an unforeseen contingency. The adjustment of the utilities line is one tool that will help the Department manage current year and future year utility costs. Without the budget action, the Department ability to manage estimated costs to the available appropriation is hindered.

**Schedule 13
Funding Request for the 2012-13 Budget Cycle**

Department: Health Care Policy and Financing

Request Title: DHS - Utilities Funding Request

Priority Number: NP-S2, NP-BA1

Dept. Approval by: John Bartholomew *FW for JB* 12/19/11
Date

OSPB Approval by: *[Signature]* 12/19/11
Date

<input type="checkbox"/>	Decision Item FY 2012-13
<input type="checkbox"/>	Base Reduction Item FY 2012-13
<input checked="" type="checkbox"/>	Supplemental FY 2011-12
<input checked="" type="checkbox"/>	Budget Amendment FY 2012-13

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items	Total	\$5,093,354	(\$350,000)	\$5,184,971	(\$350,000)	(\$350,000)
	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$2,546,677	(\$175,000)	\$2,592,486	(\$175,000)	(\$175,000)
	GFE	\$0	\$0	\$0	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$2,546,677	(\$175,000)	\$2,592,485	(\$175,000)	(\$175,000)
(6) Department of Human Services Medicaid-Funded Programs; (C) Office of Operations - Medicaid Funding	Total	\$5,093,354	(\$350,000)	\$5,184,971	(\$350,000)	(\$350,000)
	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$2,546,677	(\$175,000)	\$2,592,486	(\$175,000)	(\$175,000)
	GFE	\$0	\$0	\$0	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$2,546,677	(\$175,000)	\$2,592,485	(\$175,000)	(\$175,000)

Letternote Text Revision Required? Yes: No: If yes, describe the Letternote Text Revision:

Cash or Federal Fund Name and COFRS Fund Number: FF: Title XIX

Reappropriated Funds Source, by Department and Line Item Name:
Approval by OIT? Yes: No: Not Required:

Schedule 13s from Affected Departments: Department of Human Services

Other Information:

Schedule 13 Funding Request for the 2012-13 Budget Cycle

Department: Human Services
Request Title: Colorado Child Care Assistance Program Provider Self Service Portal
Priority Number: S-4, BA-3

Dept. Approval by: Will KO 12-12-11
Date

OSPB Approval by: Will KO 12/18/11
Date

- Decision Item FY 2012-13
 Base Reduction Item FY 2012-13
 Supplemental FY 2011-12
 Budget Amendment FY 2012-13

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
Fund		Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items	Total	2,299,593	26,063	2,299,593	410,340	0
	FTE	0	0	0	0	0
	GF	0	0	0	0	0
	CF	0	0	0	0	0
	RF	0	0	0	0	0
	FF	2,299,593	26,063	2,299,593	410,340	0
	MCF	0	0	0	0	0
	MGF	0	0	0	0	0
	NGF	0	0	0	0	0
(2) Office of Information Technology Services, Child Care Automated Tracking System	Total	2,299,593	26,063	2,299,593	410,340	0
	FTE	0	0	0	0	0
	GF	0	0	0	0	0
	CF	0	0	0	0	0
	RF	0	0	0	0	0
	FF	2,299,593	26,063	2,299,593	410,340	0
	MCF	0	0	0	0	0
	MGF	0	0	0	0	0
	NGF	0	0	0	0	0

Letternote Text Revision Required? Yes: No: **If yes, describe the Letternote Text Revision:**

Cash or Federal Fund Name and COFRS Fund Number: Child Care Development Funds

Reappropriated Funds Source, by Department and Line Item Name:

Approval by OIT? Yes: No: **Not Required:**

Schedule 13s from Affected Departments: Governor's Office of Information Technology

Other Information: The Department requests roll forward authority through FY 2013-14.

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DEPARTMENT OF HUMAN SERVICES

John W. Hickenlooper
Governor

*FY 2011-12 Supplemental and FY 2012-13 Budget Amendment
January 3, 2012*

Reggie Bicha
Executive Director

**Department Priority: S-4, BA-3
Colorado Child Care Assistance Program Provider Self Service Portal**

Summary of Incremental Funding Change for FY 2011-12	Total Funds	Federal Funds
Colorado Child Care Assistance Program Providers Self Service Portal	\$26,063	\$26,063

Request Summary:

The Department is requesting \$26,063 in FY 2011-12 and \$410,340 in FY 2012-13 for the Colorado Child Care Assistance Program (CCCAP) Provider Self Service Portal (PSSP), a web-based access point to the Childcare Automated Tracking System (CHATS). The funding request is comprised of 100% federal Child Care Development Fund (CCDF).

Problem statement. Currently, CCCAP providers do not have access to CHATS and are experiencing difficulties tracking billable amounts and reconciling with payments received. Without access to CHATS data, it is difficult for the provider to identify and resolve common errors and problems, such as: attendance being incorrectly recorded by families, missing payment-related correspondences, parent fee confusion, and difficulty understanding payment summaries. Providers and counties are spending an increased amount of time fixing these errors and problems.

Workload issue. The current paper-based reporting is inefficient and resource intensive for the providers and counties. Based on input the Department received from providers, county printing and mailing costs for payment-related correspondences has increased. Counties are using four times as much paper and two to three times more on postage than prior to the CHATS

conversion (which started in June 2010). When providers receive CCCAP payments, documentation explaining the payments is often received across several days and in more than one correspondence. This creates additional complexity for providers to reconcile payments. For cases where payments do not reconcile, the process of determining the correct payment amount is complex and manually intensive.

Background. The CCCAP provides child care assistance to Temporary Assistance to Needy Families (TANF) and low-income families that are working, searching for employment, or engaged in training or education. CCCAP assistance is distributed by the counties, paid directly to the child care providers. CHATS is a web-based system that streamlines CCCAP payment processes and significantly reduces the risk of fraud.

CHATS automates the core business functions for State and County CCCAP. The CHATS system is relatively new to the State. It was implemented by counties on a phased-in basis between June 14, 2010, and November 1, 2010, with all families using the point-of-service system to swipe attendance on December 6, 2010. CHATS was maintained by a vendor until April 30, 2011, after which the state assumed responsibility.

CHATS manages family, provider, county, and payment data. Cases are entered into CHATS, which determines eligibility based on family data and county policy. Child care provider rate, authorization, and payment information is also maintained by the system.

CHATS determines provider payments from attendance data that is captured by a Point-of-Service (POS) device. Parents swipe a CCAP card when dropping off or picking up their child from the provider. This POS device generates a 2-inch-wide thermal paper with information on each child being swiped into the CHATS system. Providers can use the paper confirmation to verify attendance, and manual processes can be used to correct any errors in swiping, if noticed. However, using these slips of paper to identify and correct errors, either when they occur or while reconciling payments, is unreliable.

Currently, CHATS access is exclusive to the State and counties with limited access provided to families either receiving child care benefits or applying for child care benefits. Child care providers are not able to access the system and rely on counties and paper correspondence for information. The initial CHATS design included the ability for providers to access system data as a feature to be rolled out after county implementation. However, once CHATS was complete, it became clear that provider access to the system needed to be more secure and providers needed access to more information than was initially anticipated.

Proposed Solution. This request is to implement PSSP with a higher level of secure access to CHATS data, to include additional reporting features requested in early feedback from the system's users, and to support the system implementation.

PSSP will serve as web-based access point to CHATS for child care providers that allows on-line viewing and on-site printing of information.

New functionality will be developed to report on the following:

- information about authorization for children in the provider's care;
- information about payments received;
- daily attendance for all children swiped in;
- provider demographic information; and
- fiscal agreements with all counties with whom the provider does business.

In general, the proposed changes will assist both providers and counties by giving providers relevant data that is better organized and that arrives in a timely manner. Given the ability to access CHATS data and audit information online will significantly reduce payment problems and time spent troubleshooting between the providers and county personnel. Implementation of the PSSP will also assist providers verifying that the POS swiping system data is correct, helping to identify any errors in attendance data earlier and more easily. Any questions families may have about provider charges will be easily researched and resolved.

Implementing PSSP with the required security features and reporting tools requires the following be completed:

- install and configure of the PSSP environment – including using the Oracle Identity Management (OIM)¹ tool for security;
- customize OIM to comply with the PSSP requirements – including storing provider account data and enabling self service capabilities;
- program new functionality – including the design development, and testing of the new windows and reports recommended by the system users; and
- give implementation support to the providers – including providing training and customer support to county, state, and provider users.

¹ OIM is security software that manages user identities and provides secure access to specified client data.

Costs of each of these components are described in greater detail in the Assumptions for Calculations section.

Alternatives Explored. The State approached three vendors with a request for ways to give providers greater access to CHATS. All vendors agreed that using PSSP with OIM as a tool to manage data integrity, security, and user identities is the best solution.

Restatement of problem. CHATS does not have the functionality needed for providers. This request is to address provider access to CHATS by implementing the PSSP. The Department is requesting \$26,063 in FY 2011-12 and \$410,340 in FY 2012-13 for the PSSP. The funding request is comprised of 100% federal CCDF.

Anticipated Outcomes:

Implementation of PSSP will give providers and counties a more timely, effective, and efficient tool to manage child attendance and payment reconciliation. Time spent reconciling payments and managing data between the providers and counties will decrease.

Currently, information regarding attendance is recorded on a 2-inch wide strip of thermal paper. The online PSSP provides attendance information in a manner that makes it easier for the provider to quickly confirm attendance, thus ensuring payments are made accurately and timely. Providers will better serve families by recognizing CCAP card swiping errors more immediately and correcting these errors. Providers will also have real-time access to information related to children authorized into their care.

Assumptions for Calculations:

The cost and scope of the PSSP implementation project were determined collaboratively between the Division of Child Care (DCC) and the Office of Information Technology (OIT). The table that follows provides implementation costs for the PSSP. All costs are in the (2) Office of Information Technology, Child Care Automated Tracking System line item appropriation.

PSSP Project Stages	FY 2011-12	FY 2012-13
(A) Install and Configure PSSP	\$26,063	\$0
(B) Customize OIM	\$0	\$54,640
(C) New Functionality	\$0	\$172,260
(D) Implementation Support		
Project Manager	\$0	\$114,400
PSSP Trainer	\$0	\$32,640
Customer Support Coordinator	\$0	\$36,400
Total Cost	\$26,063	\$410,340

All of the calculations above are based on the following assumptions:

1. All work will be completed by contract personnel.
2. OIM is currently owned by the state and no new licensing or user costs are anticipated.
3. Sufficient CCDF funding is available for these costs.
4. The Department anticipates once the initial training and support is given to providers, the ongoing need will be managed within existing state resources.
5. Implementation will be complete by FY 2012-13. However, in the event that work is extended due to unforeseen issues, the Department requests roll forward authority.

The assumptions for costs in the above table are as follows:

- (A) Install and Configure PSSP for \$26,063 – The cost is based on a vendor estimate.
- (B) Customize OIM for \$54,640 – This cost is based on a vendor estimate for approximately 469 hours of work.
- (C) New Functionality for \$172,260 – This cost is based on a contractor providing 9 months of work, as follows:
 - 2,088 hours / 12 months x 9 months = 1,566 hours
 - 1,566 hours x \$110 per hour = \$172,260

(D) Implementation Support for \$183,440 – This cost is based on contracted staff that includes a project manager, PSSP trainer, and customer support coordinator.

- *Project Manager (\$114,400)* – Coordinating the implementation of the PSSP and working with DCC staff, OIT staff, child care providers, and other stakeholders will require 26 weeks of work, as follows:
 - 26 weeks x 40 hours = 1,040 hours
 - 1,040 hours x \$110 per hour = \$114,400
- *PSSP Trainer (\$32,640)* – Developing training materials; training providers, state level users, and child care providers; and developing question and answer documents will require 12 weeks of work after initial system testing begins, as follows:
 - 12 weeks x 40 hours = 480 hours
 - 480 hours x \$68 per hour = \$32,640
- *Customer Support Coordinator (\$36,400)* – Responding to initial requests for user technical assistance from child care providers will require 26 weeks of work after initial system testing begins, as follows:
 - 26 weeks x 40 hours = 1,040 hours
 - 1,040 hours x \$35 per hour = \$36,400

The implementation timeline is as follows:

Task	Estimated Start	Estimated Completion
(A) Install and Configure PSSP	Upon signature of the Governor	6 weeks after project start. Prior to June 30, 2012.

(B) Customize OIM	July 2, 2012	Sept. 28, 2012
(C) New Functionality	July 2, 2012	Mar. 29, 2013
Initial System Testing Begins	Jan. 2, 2013	Feb. 15, 2013
(D) Implementation Support		
Project Manager	Jan. 2, 2013	June 28, 2013
PSSP Trainer	Jan. 2, 2013	Mar. 29, 2013
Customer Support Coordinator	Jan. 2, 2013	June 28, 2013
System Roll-out	Feb. 15, 2013	

Consequences if not Funded:

If PSSP is not implemented, county staff and providers will continue with the current labor intensive task of validating both attendance and payment determination.

Counties will continue to incur the costs associated with printing and mailing the payment related correspondences to providers used to reconcile payments. The same amount of manual claims will be generated, which requires county workers to expend an excessive amount of time to calculate correct manual payments.

Providers will continue to spend undue amounts of time determining the correct amount of care that should be billed for and reconciling actual payments received.

Impact to Other State Government Agency:

Governor’s Office of Information Technology Services.

Relation to Performance Measures: N/A

Supplemental, 1331 Supplemental, or Budget Amendment Criteria:

This supplemental budget amendment is due to an unforeseen contingency. Statewide implementation has been in place for approximately one year (beginning December 2010) with the State taking over responsibility for CHATS from the vendor on April 30, 2011. The implementation of the PSSP could not be implemented in the CHATS redesign, but has been identified as an enhancement that will

added on based the Departments' prioritized change list.

In the months after the State assumed control of CHATS, there have been many stakeholder meetings where providers have had the opportunity to voice concerns regarding CHATS and the impact the system changes have made to their business practices, including delays in receiving payments for services provided. A growing body of evidence started to form, and by early October 2011, it became apparent the Department will need to implement the PSSP by the end of FY 2012-13. Since this project will take approximately 14 months to complete, a supplemental is needed to begin work in the current fiscal year.

The Department contracts with the Governors' Office of Information Technology to provide CHATS ongoing support and maintenance. The support includes system enhancements. This funding request assumes contractual staff will address the enhancement to the system but is unable to predict the impact to IT staffing from the 2,000 additional users.

Current Statutory Authority or Needed Statutory Change:

The statutory authority for CCCAP includes Section 26-6-110, C.R.S. – Acceptance of Federal Grants; Section 26-2-805, C.R.S. – Services – Assistance Provided – Rules; and the Social Security Act, Part A of Title IV - Sec. 418- Funding for Child Care.

This request will not require a statutory change.

Schedule 13
Funding Request for the 2012-13 Budget Cycle

Department: Human Services
 Request Title: Colorado Mental Health Institutes Revenue Adjustment
 Priority Number: S-5, BA-4

Dept. Approval by: *Will B* 12-22-11
 Date

- Decision Item FY 2012-13
 Base Reduction Item FY 2012-13
 Supplemental FY 2011-12
 Budget Amendment FY 2012-13

OSPB Approval by: *Grant* 12/22/11
 Date

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
Fund		Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items	Total	87,622,442	0	89,096,876	0	0
	FTE	1,147	0	1,147	0	0
	GF	73,736,309	(3,043,809)	74,979,682	(3,043,809)	(3,043,809)
	CF	7,572,834	1,425,896	7,682,061	1,425,896	1,425,896
	RF	6,313,299	1,617,913	6,435,133	1,617,913	1,617,913
	FF	0	0	0	0	0
	MCF	4,129,919	1,125,866	4,196,912	1,125,866	1,125,866
	MGF	2,064,716	562,933	2,098,456	562,933	562,933
	NGF	75,801,025	(2,480,876)	77,078,138	(2,480,876)	(2,480,876)
(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Ft. Logan	Total	18,973,703	0	19,273,397	0	0
	FTE	206.3	0.0	206.3	0.0	0.0
	GF	16,789,133	(830,034)	17,056,411	(830,034)	(830,034)
	CF	1,829,651	885,738	1,849,154	885,738	885,738
	RF	354,919	(55,704)	367,832	(55,704)	(55,704)
	FF	0	0	0	0	0
	MCF	0	0	0	0	0
	MGF	0	0	0	0	0
	NGF	16,789,133	(830,034)	17,056,411	(830,034)	(830,034)
(8) Mental Health and Alcohol and Drug Abuse Services, (C) Mental Health Institutes, Mental Health Institute-Pueblo	Total	68,648,739	0	69,823,479	0	0
	FTE	940.2	0.0	940.2	0.0	0.0
	GF	56,947,176	(2,213,775)	57,923,271	(2,213,775)	(2,213,775)
	CF	5,743,183	540,158	5,832,907	540,158	540,158
	RF	5,958,380	1,673,617	6,067,301	1,673,617	1,673,617
	FF	0	0	0	0	0
	MCF	4,129,919	1,125,866	4,196,912	1,125,866	1,125,866
	MGF	2,064,716	562,933	2,098,456	562,933	562,933
	NGF	59,011,892	(1,650,842)	60,021,727	(1,650,842)	(1,650,842)

Request Title: Colorado Mental Institutes Revenue Adjustment

Funding Request for the 2012 Budget Cycle

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Letternote Text Revision Required? Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/>				If yes, describe the Letternote Text Revision:		
(8)(C) Mental Health Institutes a This amount, \$7,572,834 \$8,998,730 shall be from Medicare and other sources of patient revenues. b Of this amount, \$4,686,660 \$6,171,032 shall be from patient revenues, \$1,639,552 \$1,746,012 shall be transferred from the Department of Corrections (including \$400,493 for services for the La Vista Facility), and \$101,256 \$128,337 shall be transferred from the Department of Education. For informational purposes only, of the patient revenues, \$4,129,919 \$5,255,785 is estimated to be from Medicaid funds transferred from the Department of Health Care Policy and Financing and \$556,741 \$915,247 is estimated to be Medicaid revenue earned from behavioral health organizations through Mental Health Community Capitation.						
Cash or Federal Fund Name and COFRS Fund Number: N/A Reappropriated Funds Source, by Department and Line Item Name: N/A Approval by OIT? Yes: <input type="checkbox"/> No: <input checked="" type="checkbox"/> Not Required: <input checked="" type="checkbox"/> Schedule 13s from Affected Departments: HCPF Other Information: N/A						

Schedule 13
Funding Request for the 2012-13 Budget Cycle

Department: Health Care Policy and Financing

Request Title: DHS - Colorado Mental Health Institutes Revenue Adjustment

Priority Number: NP-S3, NP-BA2

Dept. Approval by: John Bartholomew *JB* 12/13/11
Date

OSPB Approval by: Grant M. [Signature] 12/18/11
Date

Decision Item FY 2012-13
 Base Reduction Item FY 2012-13
 Supplemental FY 2011-12
 Budget Amendment FY 2012-13

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
Fund		Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items	Total	\$4,129,919	\$1,125,866	\$4,196,912	\$1,125,866	\$1,125,866
	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$2,064,959	\$562,933	\$2,098,456	\$562,933	\$562,933
	GFE	\$0	\$0	\$0	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$2,064,960	\$562,933	\$2,098,456	\$562,933	\$562,933
(6) Department of Human Services Medicaid-Funded Programs; (F) Mental Health and Alcohol and Drug Abuse Services - Medicaid Funding, Mental Health Institutes	Total	\$4,129,919	\$1,125,866	\$4,196,912	\$1,125,866	\$1,125,866
	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$2,064,959	\$562,933	\$2,098,456	\$562,933	\$562,933
	GFE	\$0	\$0	\$0	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$2,064,960	\$562,933	\$2,098,456	\$562,933	\$562,933

Letternote Text Revision Required? Yes: No: If yes, describe the Letternote Text Revision:

Cash or Federal Fund Name and COFRS Fund Number: FF: Title XIX

Reappropriated Funds Source, by Department and Line Item Name:
Approval by OIT? Yes: No: Not Required:

Schedule 13s from Affected Departments: Department of Human Services

Other Information:



DEPARTMENT OF HUMAN SERVICES

John W. Hickenlooper
Governor

*FY 2011-12 Supplemental and FY 2012-13 Budget Amendment
January 3, 2012*

Reggie Bicha
Executive Director

Department Priority: S-5, BA-4
Request Title: Colorado Mental Health Institutes Revenue Adjustment

Summary of Incremental Funding Change for FY 2011-12 and FY 2012-13	Total Funds	General Fund	Cash Fund	Reappropriated Funds	Federal Funds
Department of Human Services --Colorado Mental Health Institutes Revenue Adjustment	\$0	(\$3,043,809)	\$1,425,896	\$1,617,913	\$0
Department of Health Care Policy and Financing – Medicaid Increase	1,125,866	562,933	0	0	562,933
Total	\$1,125,866	(\$2,480,876)	\$1,425,896	\$1,617,913	\$562,933

Request Summary:

This request results in a General Fund decrease of \$2,480,876 for both FY 2011-12 and FY 2012-13. Currently, the Department of Human Services projects that the Institutes will earn additional revenue totaling \$3,043,809 (\$1,425,896 Cash Funds and \$1,617,913 Reappropriated Funds) over the original estimates used to set the current FY 2011-12 appropriations and FY 2012-13 base request.

The Department typically submits a supplemental and budget amendment on a yearly basis to adjust the estimated revenue that will be available to the Colorado Mental Health Institutes (Institutes) based on the current patient mix and any changes to the Medicaid and Medicare reimbursement for services received by Institute patients.

The Colorado Mental Health Institutes at Fort Logan (CMHIFL) and Pueblo (CMHIP) receive revenue from various sources including patient payments (typically cash from disability

benefits), Medicare, Medicaid, commercial insurance (e.g. Blue Cross, Kaiser), and other state Departments (e.g. Department of Corrections, Department of Education) to pay for the cost of care to patients. The Institutes transfer a portion of this revenue to other offices in the Department (e.g. Executive Director’s Office, Office of Operations, Office of Information Technology Services) that provide support functions for Institute operations, such as facilities management, accounting, and information technology, etc. The remainder is used to finance the Institutes operations.

The Department’s revenue projection for FY 2011-12 is based on the amount of revenue earned from all sources over the prior twelve months.

The estimated total \$1,425,896 increase in Cash Fund revenue in FY 2011-12 is detailed in Schedule C and includes the following projected changes:

- An estimated \$802,262 increase in patient fees, revenue from commercial insurance (e.g. Blue Cross, Kaiser), court payments, and Medicare Part D revenue from Prescription Drug Plans. The increase was primarily the result of higher payments by patients based on available benefits and a 13% increase in Medicare Part D revenue from Prescription Drug Plans due to an increase in the per patient Pharmaceutical cost billable to a Medicare Part D plan.
- An estimated \$623,634 increase in Medicare revenue from Medicare A and B claims. Specifically, there was an increase of 15.5% on the average Diagnosis Related Group (DRG) payment at the CMHIFL. In addition, Medicare B revenue for ancillary services (e.g. pathology, x-ray, physical therapy) has increased at the CMHIP and the CMHIFL due to a per diem ancillary rate increase of 5.9% and 20.8% respectively. Also, the Medicare physician rate at CMHIP increased 8.3%.

The requested total \$1,617,913 increase in Reappropriated revenue for FY 2011-12 includes the following and is detailed in Schedule C.

Medicaid Earnings:

- An estimated \$1,125,866 increase in Medicaid Fee for Service revenue received from the Department of Health Care Policy and Financing for Division of Youth Correction and Forensic clients treated in the psychiatric hospitals. Specifically, a 9.6% increase in billable Medicaid days at the CMHIP, primarily due to an increase in patients who have been found Not Guilty by Reason of Insanity (NGRI) or Incompetent to Proceed (ITP) at trial. In addition, there was a rate increase of 1.8% for Geriatric, 4.4% for Adult and 5.5% for Forensic clients.
- An estimated \$358,506 increase in Medicaid capitation revenue received from the Behavioral Health Organizations due to a 20.3% increase in billable days at the CMHIP.

Other Reappropriated Revenue Sources:

- A \$27,081 increase in revenue from the Colorado Department of Education (Per Pupil Revenue) for students in the Locked Adolescent Inpatient Unit in Pueblo due to a 25.8% increase in student days.
- An estimated \$59,480 increase in revenue from Department of Corrections (DOC) for providing meals to San Carlos, La Vista and Youth Offender System facilities on the CMHIP campus, due to a 4.3% increase in the number of meals provided. Additionally, there was an increase in the number of higher cost 3-meal per day meal plans requested by DOC for their clients.
- An estimated \$46,980 increase in Medical Clinic revenue from the DOC for outpatient medical services to inmates provided by the CMHIP (i.e. physical therapy, pathology, radiology, dental services) due to DOC's continued utilization of these services after the closure of the CMHIP General Hospital.

Anticipated Outcomes:

The Institutes are currently projected to over earn Cash Funds and Reappropriated Funds in FY 2011-12 generating a Net General Fund decrease of \$2,480,876.

Assumptions for Calculations:

Projections are based on actual revenue earned for the 12-month period from October 2010 to September 2011. Projections also include the following:

- Adjustment to estimated Medicare and Medicaid revenue based on known rate adjustments;
- Adjustment to revenue based on the current patient mix;
- Medicaid revenue projections are adjusted for S.B. 03-196, which converted all Medicaid financing from an

accrual basis of accounting to a cash basis of accounting.

The attached schedules provide the detail as noted:

- Schedule A - Fiscal Year 2011-12 Revenue Projection – Ft. Logan
- Schedule B - Fiscal Year 2011-12 Revenue Projection – Pueblo
- Schedule C - Fiscal Year 2011-12 Revenue Projection – Combined Mental Health Institutes
- Schedule D - Revenue Distribution Among Mental Health Institutes, Executive Director's Office, Office of Information Technology Services, and Office of Operations
- Schedule E - Reconciliation of FY 2011-12 Revenue Supplemental Request
- Schedule F - Fiscal Year 2011-12 Summary of Request by Line Item and Funding Source and Recommended Letter Notes.

Consequences if not Funded:

The Institutes are currently projected to over earn Cash Funds and Reappropriated Funds in FY 2011-12. The difference between the total Institute spending authority (approximately \$88 million in FY 2011-12) minus the estimated total revenue earned from all sources equals the amount of General Fund the Institutes are appropriated to continue operations. Revenues in excess of the Long Bill revenue requirements result in General Fund availability. The Mental Health Institutes would revert General Fund at the end of FY 2011-12 if the request is not approved.

Impact to Other State Government Agency:

There will be an impact to the Health Care Policy and Financing line item for the Mental Health Institutes related to the change in Fee-for-Service projected Medicaid revenue. The Department of Corrections is affected as noted in the adjustments reflecting utilization and costs at the Medical Clinic at the Colorado Mental Health Institute at Pueblo. The Department of Education is also affected by this request.

Supplemental, 1331 Supplemental, or Budget Amendment Criteria:

This supplemental and budget amendment request is based on new data resulting in substantial changes in funding needs. The new data is updated revenue projections based on the current client mix and benefits available for payment of patient care.

Current Statutory Authority or Needed Statutory Change:

CRS.27-92-104. Determination of ability to pay. (1) All insurance and other benefits payable for the care, support, maintenance, and treatment of a patient shall be considered available for payment of the cost determined under section 27-92-102.

This request will require no statutory change.

COLORADO MENTAL HEALTH INSTITUTE AT FT. LOGAN (CMHIFL)
 FY 2011-12 Revenue Requirements and Projection

SCHEDULE A

	<u>Earnings Required SB 11-209</u>	<u>Special Bill SB 11-076</u>	<u>Revisions in transfers to Letter Notes</u>	<u>Revised Earning Requirement</u>	<u>FY 2011-12 Projected Revenue*</u>	<u>Difference</u>
Cash Funds						
<u>Patient Fees</u>						
Appropriation-Psychiatric Hospital	\$382,781	(\$19,503)	\$0	\$363,278	\$581,867	\$218,589
Transfer to Office of Operations	<u>\$41,579</u>	<u>\$0</u>	<u>(\$18,225)</u>	<u>\$23,354</u>	<u>\$23,354</u>	<u>\$0</u>
Total Patient Fees	\$424,360	(\$19,503)	(\$18,225)	\$386,632	\$605,221	\$218,589
<u>Medicare</u>						
Appropriation-Psychiatric Hospital	\$1,466,373	\$0	\$0	\$1,466,373	\$2,133,522	\$667,149
Transfer to Office of Operations	<u>\$520,358</u>	<u>\$0</u>	<u>(\$78,581)</u>	<u>\$441,777</u>	<u>\$441,777</u>	<u>\$0</u>
Medicare Total	\$1,986,731	\$0	(\$78,581)	\$1,908,150	\$2,575,299	\$667,149
Total Cash Funds	\$2,411,091	(\$19,503)	(\$96,806)	\$2,294,782	\$3,180,520	\$885,738
Breakdown of Cash Funds Revenue						
Cash Funds Appropriated to Mental Health Institutes	\$1,849,154	(\$19,503)	\$0	\$1,829,651	\$2,715,389	\$885,738
Cash Funds Revenue Transfers to Other DHS Offices	<u>\$561,937</u>	<u>\$0</u>	<u>\$0</u>	<u>\$465,131</u>	<u>\$465,131</u>	<u>\$0</u>
Total Cash Funds	\$2,411,091	(\$19,503)	\$0	\$2,294,782	\$3,180,520	\$885,738
Reappropriated Funds						
<u>Medicaid Fee For Service</u>						
Appropriation-Psychiatric Hospital	\$0	\$0	\$0	\$0	\$0	\$0
<u>Medicaid - Capitation (Behavioral Health Organizations)</u>						
Appropriation-Psychiatric Hospital	\$367,832	(\$12,913)	\$0	\$354,919	\$299,215	(\$55,704)
Transfer to Office of Operations	<u>\$180,763</u>	<u>\$0</u>	<u>(\$41,623)</u>	<u>\$139,140</u>	<u>\$139,140</u>	<u>\$0</u>
Medicaid - Capitation (Behavioral Health Organizations) Total	\$548,595	(\$12,913)	(\$41,623)	\$494,059	\$438,355	(\$55,704)
Total Reappropriated Funds	\$548,595	(\$12,913)	(\$41,623)	\$494,059	\$438,355	(\$55,704)

**COLORADO MENTAL HEALTH INSTITUTE AT FT. LOGAN (CMHIFL)
 FY 2011-12 Revenue Requirements and Projection**

SCHEDULE A

	<u>Earnings Required SB 11-209</u>	<u>Special Bill SB 11-076</u>	<u>Revisions in transfers to Letter Notes</u>	<u>Revised Earning Requirement</u>	<u>FY 2011-12 Projected Revenue*</u>	<u>Difference</u>
Breakdown of Reappropriated Funds Revenue						
Reappropriated Funds to the Mental Health Institutes	\$367,832	(\$12,913)	\$0	\$354,919	\$299,215	(\$55,704)
Reappropriated Funds Transferred to Other DHS Offices	<u>\$180,763</u>	<u>\$0</u>	<u>(\$41,623)</u>	<u>\$139,140</u>	<u>\$139,140</u>	<u>\$0</u>
Total Reappropriated Funds	\$548,595	(\$12,913)	(\$41,623)	\$494,059	\$438,355	(\$55,704)
Total Revenue	\$2,959,686	(\$32,416)	(\$41,623)	\$2,788,841	\$3,618,875	\$830,034

*Projected revenue was calculated using a 12-month analysis of actual revenue earned from October 2010 through September 2011 and includes an analysis of claims by individual financial class (i.e. Medicare, Medicaid, Medicaid Capitation).

**COLORADO MENTAL HEALTH INSTITUTE AT PUEBLO (CMHIP)
FY 2011-12 Revenue Requirements and Projection**

SCHEDULE B

	<u>Earnings Required SB 11-209</u>	<u>Special Bill SB 11-076</u>	<u>Revisions in transfers to Letter Notes</u>	<u>Revised Earning Requirement</u>	<u>FY 2011-12 Projected Revenue*</u>	<u>Difference</u>
Cash Funds						
<u>Patient Fees</u>						
Appropriation-Psychiatric Hospital	\$1,319,210	(\$89,724)	\$0	\$1,229,486	\$1,813,159	\$583,673
Transfer to Executive Director's Office	\$243,152	\$0	\$0	\$243,152	\$243,152	\$0
Transfer to Office of Operations	\$350,562	\$0	\$0	\$350,562	\$350,562	\$0
Transfer to Office of Information Technology Services	<u>\$115,019</u>	<u>\$0</u>	<u>\$0</u>	<u>\$115,019</u>	<u>\$115,019</u>	<u>\$0</u>
Total Patient Fees	\$2,027,943	(\$89,724)	\$0	\$1,938,219	\$2,521,892	\$583,673
<u>Medicare</u>						
Appropriation-Psychiatric Hospital	\$4,513,697	\$0	\$0	\$4,513,697	\$4,470,182	(\$43,515)
Transfer to Executive Director's Office	\$355,233	\$0	\$0	\$355,233	\$355,233	\$0
Transfer to Office of Operations	\$550,525	\$0	\$0	\$550,525	\$550,525	\$0
Transfer to OBH Administration	<u>\$96,345</u>	<u>\$0</u>	<u>\$0</u>	<u>\$96,345</u>	<u>\$96,345</u>	<u>\$0</u>
Medicare Total	\$5,515,800	\$0	\$0	\$5,515,800	\$5,472,285	(\$43,515)
Total Cash Funds	\$7,543,743	(\$89,724)	\$0	\$7,454,019	\$7,994,177	\$540,158
Breakdown of Cash Funds Revenue						
Cash Funds Appropriated to Mental Health Institutes	\$5,832,907	(\$89,724)	\$0	\$5,743,183	\$6,283,341	\$540,158
Cash Funds Revenue Transfers to Other DHS Offices	<u>\$1,710,836</u>	<u>\$0</u>	<u>\$0</u>	<u>\$1,710,836</u>	<u>\$1,710,836</u>	<u>\$0</u>
Total Cash Funds	\$7,543,743	(\$89,724)	\$0	\$7,454,019	\$7,994,177	\$540,158
Reappropriated Funds						
<u>Medicaid Fee For Service</u>						
Appropriation-Psychiatric Hospital	\$4,176,550	(\$46,631)	\$0	\$4,129,919	\$5,255,785	\$1,125,866
<u>Medicaid - Capitation (Behavioral Health Organizations)</u>						
Appropriation-Psychiatric Hospital	\$188,909	\$12,913	\$0	\$201,822	\$616,032	\$414,210
Transfer to Office of Operations	<u>\$714,044</u>	<u>\$0</u>	<u>\$0</u>	<u>\$714,044</u>	<u>\$714,044</u>	<u>\$0</u>
Medicaid - Capitation (Behavioral Health Organizations) Total	\$902,953	\$12,913	\$0	\$915,866	\$1,330,076	\$414,210

**COLORADO MENTAL HEALTH INSTITUTE AT PUEBLO (CMHIP)
FY 2011-12 Revenue Requirements and Projection**

SCHEDULE B

	<u>Earnings Required SB 11-209</u>	<u>Special Bill SB 11-076</u>	<u>Revisions in transfers to Letter Notes</u>	<u>Revised Earning Requirement</u>	<u>FY 2011-12 Projected Revenue*</u>	<u>Difference</u>
Reappropriated Funds						
<u>Education</u>						
Appropriation - Per Pupil Revenue	\$115,121	(\$13,865)	\$0	\$101,256	\$128,337	\$27,081
<u>Department of Corrections</u>						
Appropriation-San Carlos, La Vista, and Youth Offender System Facilities	\$1,552,683	\$0	\$0	\$1,552,683	\$1,612,163	\$59,480
Appropriation-Dept of Corrections Medical Clinic Services	<u>\$128,797</u>	<u>(\$41,928)</u>	<u>\$0</u>	<u>\$86,869</u>	<u>\$133,849</u>	<u>\$46,980</u>
Total Department of Corrections	\$1,681,480	(\$41,928)	\$0	\$1,639,552	\$1,746,012	\$106,460
Total Reappropriated Funds	\$6,876,104	(\$89,511)	\$0	\$6,786,593	\$8,460,210	\$1,673,617
Breakdown of Reappropriated Funds Revenue						
Reappropriated Funds to the Mental Health Institutes	\$6,162,060	(\$89,511)		\$6,072,549	\$7,746,166	\$1,673,617
Reappropriated Funds Transferred to Other DHS Offices	<u>\$714,044</u>	<u>\$0</u>		<u>\$714,044</u>	<u>\$714,044</u>	<u>\$0</u>
Total Reappropriated Funds	\$6,876,104	(\$89,511)		\$6,786,593	\$8,460,210	\$1,673,617
Total Revenue	\$14,419,847	(\$179,235)		\$14,240,612	\$16,454,387	\$2,213,775

*Projected revenue was calculated using a 12-month analysis of actual revenue earned from October 2010 through September 2011 and includes an analysis of claims by individual financial class (i.e. Medicare, Medicaid, Medicaid Capitation).

**MENTAL HEALTH INSTITUTES (COMBINED)
FY 2011-12 Revenue Requirements and Projection**

SCHEDULE C

	Earnings Required SB 11-209	Special Bill SB 11-076	Revisions in transfers to Letter Notes	Revised Earning Requirement	FY 2011-12 Projected Revenue*	Difference	
Cash Funds							
<u>Patient Fees</u>							
Appropriation-Psychiatric Hospital	\$1,701,991	(\$109,227)	\$0	\$1,592,764	\$2,395,026	\$802,262	Reflects projected increase in patient fees, commercial insurance (i.e. Blue Cross, Kaiser, Pacificare), court payments for evaluations, and 13% increase in Medicare Part D pharmacy reimbursement due to an increase in per patient Pharmaceutical costs.
Transfer to Executive Director's Office	\$243,152	\$0	\$0	\$243,152	\$243,152	\$0	Standard transfer to Executive Director's Office per letter notes.
Transfer to Office of Operations	\$392,141	\$0	(\$18,225)	\$373,916	\$373,916	\$0	Standard transfer to Office of Operations per letter notes.
Transfer to Office of Information Technology Services	<u>\$115,019</u>	<u>\$0</u>	<u>\$0</u>	<u>\$115,019</u>	<u>\$115,019</u>	<u>\$0</u>	Standard transfer to Information Technology Services per letter notes.
Total Patient Fees	\$2,452,303	(\$109,227)	(\$18,225)	\$2,324,851	\$3,127,113	\$802,262	
<u>Medicare</u>							
Appropriation-Psychiatric Hospital	\$5,980,070	\$0	\$0	\$5,980,070	\$6,603,704	\$623,634	Reflects projected increase revenue for Medicare A due to a 15.5% increase in DRG payments at CMHIFL, and an increase of 5.9% at CMHIP and 20.8% at CMHIFL in the rate for Medicare B revenue, supported by lists of claims submitted and paid.
Transfer to Executive Director's Office	\$355,233	\$0	\$0	\$355,233	\$355,233	\$0	Standard transfer to Executive Director's Office per letter notes.
Transfer to Office of Operations	\$1,070,883	\$0	(\$78,581)	\$992,302	\$992,302	\$0	Standard transfer to Office of Operations per letter notes.
Transfer to OBH Administration	<u>\$96,345</u>	<u>\$0</u>	<u>\$0</u>	<u>\$96,345</u>	<u>\$96,345</u>	<u>\$0</u>	Standard transfer to Division of Mental Health per letter notes.
Medicare Total	\$7,502,531	\$0	(\$78,581)	\$7,423,950	\$8,047,584	\$623,634	
Total Cash Funds	\$9,954,834	(\$109,227)	(\$96,806)	\$9,748,801	\$11,174,697	\$1,425,896	
Breakdown of Cash Funds Revenue							
Cash Funds Appropriated to Mental Health Institutes	\$7,682,061	(\$109,227)	\$0	\$7,572,834	\$8,998,730	\$1,425,896	Ties to Mental Health Institute line item
Cash Funds Revenue Transfers to Other DHS Offices	<u>\$2,272,773</u>	<u>\$0</u>	<u>(\$96,806)</u>	<u>\$2,175,967</u>	<u>\$2,175,967</u>	<u>\$0</u>	
Total Cash Funds	\$9,954,834	(\$109,227)	(\$96,806)	\$9,748,801	\$11,174,697	\$1,425,896	
Reappropriated Funds							
<u>Medicaid Fee For Service</u>							
Appropriation-Psychiatric Hospital	\$4,176,550	(\$46,631)	\$0	\$4,129,919	\$5,255,785	\$1,125,866	Reflects projected increase in Medicaid fee-for-service revenue based primarily on a 9.6% increase in billable days for Division of Youth Corrections and Forensic patients under age 21 or over age 65.

MENTAL HEALTH INSTITUTES (COMBINED)
FY 2011-12 Revenue Requirements and Projection

SCHEDULE C

	Earnings Required SB 11-209	Special Bill SB 11-076	Revisions in transfers to Letter Notes	Revised Earning Requirement	FY 2011-12 Projected Revenue*	Difference	
Medicaid - Capitation (Behavioral Health Organizations)							
Appropriation-Psychiatric Hospital	\$556,741	\$0	\$0	\$556,741	\$915,247	\$358,506	Reflects projected increase in capitated revenue from the Behavioral Health Organizations due to a 20.3% increase in billable days at CMHIP, supported by lists of claims submitted and payment received.
Transfer to Office of Operations	\$894,807	\$0	(\$41,623)	\$853,184	\$853,184	\$0	Standard transfer to Office of Operations per letter notes.
Medicaid - Capitation (Behavioral Health Organizations) Total	\$1,451,548	\$0	(\$41,623)	\$1,409,925	\$1,768,431	\$358,506	
Reappropriated Funds							
Education							
Appropriation - Per Pupil Revenue (PPR)	\$115,121	(\$13,865)	\$0	\$101,256	\$128,337	\$27,081	Reflects projected increase in Per Pupil Revenue from the Dept of Education for youth treated at the Colorado Mental Health Institute at Pueblo due to a 25.8% increase in student days.
Department of Corrections							
Appropriation-San Carlos, La Vista, and Youth Offender System Facilities	\$1,552,683	\$0	\$0	\$1,552,683	\$1,612,163	\$59,480	Reflects projected increase in reimbursement for dietary services from the Department of Corrections (DOC) for meals purchased by DOC facilities on the Pueblo Mental Health Institute campus due to an increase of 4.3% in the number of meals provided.
Appropriation-Dept of Corrections Medical Clinic Services	\$128,797	(\$41,928)	\$0	\$86,869	\$133,849	\$46,980	Reflects projected increase in reimbursement from the Department of Corrections (DOC) for outpatient medical services provided to inmates by the Medical Clinic at the Colorado Mental Health Institute at Pueblo.
Total Department of Corrections	\$1,681,480	(\$41,928)	\$0	\$1,639,552	\$1,746,012	\$106,460	
Total Reappropriated Funds	\$7,424,699	(\$102,424)	(\$41,623)	\$7,280,652	\$8,898,565	\$1,617,913	
Breakdown of Reappropriated Funds Revenue							
Reappropriated Funds to the Mental Health Institutes	\$6,529,892	(\$102,424)	\$0	\$6,427,468	\$8,045,381	\$1,617,913	Ties to Mental Health Institute line item
Reappropriated Funds Transferred to Other DHS Offices	\$894,807	\$0	(\$41,623)	\$853,184	\$853,184	\$0	
Total Reappropriated Funds	\$7,424,699	(\$102,424)	(\$41,623)	\$7,280,652	\$8,898,565	\$1,617,913	
Total Revenue	\$17,379,533	(\$211,651)	(\$138,429)	\$17,029,453	\$20,073,262	\$3,043,809	

*Projected revenue was calculated using a 12-month analysis of actual revenue earned from October 2010 through September 2011 and includes an analysis of claims by individual financial class (i.e. Medicare, Medicaid, Medicaid Capitation).

**MENTAL HEALTH INSTITUTES
FY 2011-12 REVENUE DISTRIBUTION**

SCHEDULE D

	<u>FY 2011-12 Projection</u>	<u>EDO</u>	<u>Operations</u>	<u>ITS</u>	<u>OBH Admin</u>	<u>Mental Health Institutes</u>
<u>Cash Funds</u>						
Patient Fees	\$3,127,113	\$243,152	\$373,916	\$115,019	\$0	\$2,395,026
Medicare	<u>\$8,047,584</u>	<u>\$355,233</u>	<u>\$992,302</u>	<u>\$0</u>	<u>\$96,345</u>	<u>\$6,603,704</u>
Total Cash Funds	\$11,174,697	\$598,385	\$1,366,218	\$115,019	\$96,345	\$8,998,730
<u>Reappropriated Funds</u>						
Medicaid Fee For Service	\$5,255,785					\$5,255,785
Medicaid - Capitation (Behavioral Health Organizations)	\$1,768,431		\$853,184			\$915,247
Education - Per Pupil Revenue (CMHIP Locked Adolescent Unit Students)	\$128,337					\$128,337
Dept of Corrections - San Carlos, La Vista, and Youth Offender System Facilities Dietary Revenue	\$1,612,163					\$1,612,163
Dept of Corrections - Revenue for Medical Clinic Services	<u>\$133,849</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$133,849</u>
Total Reappropriated Funds	\$8,898,565	\$0	\$853,184	\$0	\$0	\$8,045,381
<u>Total Revenue</u>	\$20,073,262	\$598,385	\$2,219,402	\$115,019	\$96,345	\$17,044,111

Mental Health Institutes - Revenue Reconciliation
FY 2011-12 Appropriation to FY 2011-12 Revenue Supplemental Request

SCHEDULE E

Cash Funds

Appropriated Cash Funds FY 2011-12 (SB 11-209)	\$7,682,061	
Decrease in Cash Funds based on SB 11-076 "Concerning the Continuation of a Temporary Modification to the Contribution Rates for Certain Divisions of the Public Employees' Retirement Association" (PERA Adjustment)	<u>(\$109,227)</u>	
Revised Appropriated Cash Funds (Net of PERA Adjustment)	\$7,572,834	
Cash Funds FY 2011-12 Revenue Supplemental Request	<u>\$8,998,730</u>	
Increase (Decrease) based on most recent FY 2011-12 revenue projections		<u>\$1,425,896</u>
<u>Reconciliation Cash Funds:</u>		
Increase in patient fees, revenue from commercial insurance (i.e. Blue Cross, Kaiser), court payments for evaluations, and Medicare Part D pharmacy revenue	\$802,262	
Increase in diagnosis-based (DRG) revenue received from Medicare under the Prospective Payment System (PPS) in which the reimbursement varies on a case by case basis according to the patient's diagnosis	<u>\$623,634</u>	
Change - Cash Funds		<u>\$1,425,896</u>

Reappropriated Funds

Reappropriated Funds FY 2011-12 (SB 11-209)	\$6,529,892	
Decrease in Reappropriated Funds based on SB 11-076 "Concerning the Continuation of a Temporary Modification to the Contribution Rates for Certain Divisions of the Public Employees' Retirement Association" (PERA Adjustment)	<u>(\$102,424)</u>	
Revised Reappropriated Funds (Net of PERA Adjustment)	\$6,427,468	
Reappropriated Funds FY 2011-12 Revenue Supplemental Request	<u>\$8,045,381</u>	
Increase (Decrease) based on most recent FY 2011-12 revenue projections		<u>\$1,617,913</u>

Mental Health Institutes - Revenue Reconciliation

FY 2011-12 Appropriation to FY 2011-12 Revenue Supplemental Request

SCHEDULE E

Reconciliation Reappropriated Funds:

Increase in Medicaid Fee-for-Service revenue for patients treated in the psychiatric hospital	\$1,125,866	
Increase in Medicaid capitation revenue received from the Behavioral Health Organizations	\$358,506	
Increase in revenue from the Department of Corrections due to an increase in meals served for the San Carlos, La Vista and Youth Offender System facilities on the Pueblo campus	\$59,480	
Increase in revenue from the Department of Corrections for Medical Clinic services	\$46,980	
Increase in revenue from the Department of Education (Per Pupil Revenue) for students treated in the Psychiatric hospitals (CMHIP Locked Adolescent unit)	<u>\$27,081</u>	
Change - Reappropriated Funds		<u>\$1,617,913</u>
Net Increase/(Decrease) in Revenue		\$3,043,809

Schedule 13

Funding Request for the 2012-13 Budget Cycle

Department: Human Services

Request Title: Colorado Works-Adjustment to County TANF Reserves

Priority Number: S-6, BA-5

Dept. Approval by: Will [Signature] 12-12-11
Date

OSPB Approval by: [Signature] 12/18/11
Date

- | |
|---|
| <input type="checkbox"/> Decision Item FY 2012-13 |
| <input type="checkbox"/> Base Reduction Item FY 2012-13 |
| <input checked="" type="checkbox"/> Supplemental FY 2011-12 |
| <input checked="" type="checkbox"/> Budget Amendment FY 2012-13 |

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items	Total	55,618,851	(15,590,402)	55,618,851	(15,590,402)	(15,590,402)
	FTE	0	0	0	0	0
	GF	0	0	0	0	0
	CF	0	0	0	0	0
	RF	0	0	0	0	0
	FF	55,618,851	(15,590,402)	55,618,851	(15,590,402)	(15,590,402)
	MCF	0	0	0	0	0
	MGF	0	0	0	0	0
	NGF	0	0	0	0	0
(7) Office of Self Sufficiency, (B) Colorado Works Program, County TANF Reserves for Colorado Works, Child Welfare, and Child Care Programs	Total	55,618,851	(15,590,402)	55,618,851	(15,590,402)	(15,590,402)
	FTE	0	0	0	0	0
	GF	0	0	0	0	0
	CF	0	0	0	0	0
	RF	0	0	0	0	0
	FF	55,618,851	(15,590,402)	55,618,851	(15,590,402)	(15,590,402)
	MCF	0	0	0	0	0
	MGF	0	0	0	0	0
	NGF	0	0	0	0	0
Letternote Text Revision Required? Yes: <input type="checkbox"/> No: <input checked="" type="checkbox"/> If yes, describe the Letternote Text Revision:						
Cash or Federal Fund Name and COFRS Fund Number:		Temporary Assistance for Needy Families Block Grant				
Reappropriated Funds Source, by Department and Line Item Name:		N/A				
Approval by OIT? Yes: <input type="checkbox"/> No: <input type="checkbox"/> Not Required: <input checked="" type="checkbox"/>						
Schedule 13s from Affected Departments:		N/A				
Other Information:		N/A				

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DEPARTMENT OF HUMAN SERVICES

John W. Hickenlooper
Governor

*FY 2011-12 Supplemental Request, FY 2012-13 Budget Amendment
January 3, 2012*

Reggie Bicha
Executive Director

*Department Priority: S-6, BA-5
Colorado Works - Adjustment to County TANF Reserves*

Summary of Change for FY 2011-12	Total Funds	Federal Funds
County TANF Reserves	(\$15,590,402)	(\$15,590,402)

Request Summary:

The Department of Human Services requests a decrease of \$15,590,402 in federal funds spending authority for FY 2011-12 and FY 2012-13 for the Colorado Works Program, County TANF Reserves for Colorado Works, Child Welfare, and Child Care Programs appropriation. This adjustment is necessary to reflect the actual County Reserves balance of \$40,028,449 in Temporary Assistance for Needy Families (TANF) funding that is available to the counties, as of September 30, 2011.

Pursuant to Section 26-2-714 (5) (a), C.R.S. (2011), at the end of each state fiscal year, a county shall be authorized to maintain a reserve account of county block grant moneys pursuant to rules promulgated by the Department. The County TANF Reserves balance is recalculated at the end of each state fiscal year to accurately reflect the reserve balance amounts for each county. The current year appropriation is then modified to reflect the total revised reserve balance through a budget action.

Prior to FY 2010-11, the appropriation for which this adjustment is being requested, only included unspent Colorado Works Program county block grant funds and was titled County Reserve Accounts. For FY 2010-11, the Joint Budget Committee approved a staff recommendation to include reserves of TANF funds transferred to Title XX (Child Welfare) and reserves transferred to the Child Care Development Fund block grant (Child Care). The title of the

appropriation was changed to County TANF Reserves for Colorado Works, Child Welfare, and Child Care Programs. Per the Long Bill letternote associated with this line, the amount shown in the Long Bill is shown for informational purposes and reflects the portion of TANF federal block grant funds appropriated in prior years in the County Block Grants line that constitute county reserves pursuant to state statute as of September 30th following the fiscal year just completed.

County reserve balances can change from year to year for various reasons: 1) in rare instances, counties may not spend all of their County Block Grant allocation; 2) more often than not, counties may spend more than 100% of their County Block Grant allocation requiring them to utilize some of their reserves; 3) amounts transferred to/from Child Welfare and Child Care Reserves; and 4) legislative changes that capped the amount of reserves a county is allowed to retain. Some counties who did not utilize their reserves had their respective reserves reduced as a result of the cap on reserves per SB 08-177 and SB 11-124. In FY 2008-09, \$12,174,580 reverted from county reserve accounts to the Long-term Works Reserve. SB11-124 redirected excess reserves from the Long-term Works Reserve to counties with low reserves. As a result, in FY 2009-10, \$685,772 was shared among seven counties with very low reserves, and in FY 2010-11, \$2,852,215 was redistributed to ten counties.

Anticipated Outcomes:

This request is an annual adjustment made to reflect federal block grant funds that were appropriated in the prior fiscal years that were not expended and now constitute county reserves.

Assumptions for Calculations:

The actual balance for County Reserves is calculated on September 30 each year as part of the final year-end closeout process. As of September 30, 2011, the County TANF Reserves balance is \$40,028,449, which is \$15,590,402 less than the \$55,618,851 appropriation for FY 2011-12 identified in SB 11-209. Attachment A includes detailed information on the county reserve balances.

FY 2010-11 County Reserve Account Balances		Formula
County Reserve Account Balances per FY 11-12 Long Bill (S.B. 11-209)	\$55,618,851	A

County Reserve Account Balances as of 09/30/11 Close-out *	\$40,028,449	B
Difference (Supplemental Amount Needed)	(\$15,590,402)	C=A less B

* See Attachment A for county-by-county reserve balances

Consequences if not Funded:

If this request is not approved, the Long Bill will not accurately reflect the amount available to the counties in their reserve accounts.

Impact to Other State Government Agency:

This request impacts all the county departments of social services.

Current Statutory Authority or Needed Statutory Change:

26-2-714 (5) (a), C.R.S. (2011) authorizes counties to maintain a reserve account of county block grant moneys pursuant to rules promulgated by the state department.

County TANF Reserve Balances

County	TANF Reserve, 9/30/2011	Child Care Reserve, 9/30/2011	Child Welfare Reserve, 9/30/2011	County Block Grant Support Fund Allocation	SB 11-124 Transfers	Total Reserves, 9/30/2011	Total Reserves, 9/30/2010	Difference - Supplemental Amount
001 Adams	355,704	0	0	204,513	1,569,817	2,130,034	4,248,594	(2,118,560)
003 Alamosa	240,480	0	0	1,106	0	241,586	236,318	5,268
005 Arapahoe	2,547,112	500,000	0	130,559	0	3,177,671	5,373,826	(2,196,155)
007 Archuleta	70,542	45,000	0	0	0	115,542	155,591	(40,049)
009 Baca	52,234	21,535	26,231	0	0	100,000	122,598	(22,598)
011 Bent	35,625	84,637	114,602	0	0	234,864	218,882	15,982
013 Boulder	802,796	260,165	900,000	0	0	1,962,960	510,739	1,452,221
015 Chaffee	41,109	45,568	0	3,042	0	89,718	45,568	44,151
017 Cheyenne	47,537	48,171	4,291	0	0	100,000	100,000	0
019 Clear Creek	63,103	26,245	0	600	0	89,948	69,222	20,726
021 Conejos	185,154	37,339	81,643	0	0	304,136	202,544	101,592
023 Costilla	0	15,636	77,204	4,532	0	97,372	179,178	(81,806)
025 Crowley	151,814	0	47,000	0	0	198,814	35,350	163,464
027 Custer	64,682	0	0	757	0	65,439	31,865	33,574
029 Delta	0	0	0	8,613	165,895	174,508	276,398	(101,889)
031 Denver	9,688,429	0	0	265,822	0	9,954,251	16,976,565	(7,022,314)
033 Dolores	75,746	0	0	1,021	0	76,766	79,767	(3,001)
035 Douglas	247,471	20,761	51,754	0	0	319,987	265,281	54,706
037 Eagle	68,216	107,710	0	0	0	175,926	206,987	(31,061)
039 Elbert	75,159	18,789	26,034	0	0	119,981	164,668	(44,687)
041 El Paso	0	1,648,878	2,953,712	165,476	0	4,768,065	9,293,149	(4,525,084)
043 Fremont	181,610	10,208	100,000	31,174	0	322,992	865,735	(542,743)
045 Garfield	47,808	60,000	261,102	0	0	368,910	504,083	(135,173)
047 Gilpin	0	0	0	943	22,894	23,838	16,712	7,126
049 Grand	49,600	0	9,001	2,372	0	60,973	100,000	(39,027)
051 Gunnison	12,403	57,631	29,966	0	0	100,000	100,000	0
053 Hinsdale	31,445	48,461	13,716	0	0	93,622	100,000	(6,378)
055 Huerfano	0	0	137,156	3,076	0	140,232	230,543	(90,311)
057 Jackson	82,445	0	0	0	0	82,445	78,571	3,874
059 Jefferson	1,747,153	0	0	0	101,687	1,848,841	0	1,848,841
061 Kiowa	0	0	0	89	11,034	11,124	0	11,124
063 Kit Carson	0	10,449	0	1,258	12,836	24,543	35,115	(10,573)
065 Lake	1,646	36,088	62,266	0	0	100,000	87,011	12,989
067 La Plata	132,033	74,042	137,137	7,616	0	350,828	527,855	(177,028)
069 Larimer	0	2,349,750	834,609	0	0	3,184,359	2,962,610	221,750
071 Las Animas	172,330	0	149,140	0	0	321,470	337,944	(16,474)
073 Lincoln	98,282	52	0	359	0	98,693	118,176	(19,483)
075 Logan	0	124,480	258,757	0	0	383,238	554,686	(171,448)
077 Mesa	2,216,272	0	0	0	0	2,216,272	2,704,164	(487,892)
079 Mineral	85,320	0	0	361	0	85,680	93,162	(7,482)
081 Moffat	0	38,568	127,803	0	0	166,370	240,799	(74,429)
083 Montezuma	0	0	0	14,399	120,828	135,227	176,281	(41,054)
085 Montrose	90,913	209,270	102,940	9,176	0	412,299	562,211	(149,911)
087 Morgan	404,906	44,169	0	0	0	449,075	628,119	(179,043)
089 Otero	412,636	0	0	14,269	0	426,905	656,666	(229,761)
091 Ouray	73,939	22,608	0	185	0	96,732	99,754	(3,022)
093 Park	24,928	27,893	20,578	1,311	0	74,710	63,471	11,239
095 Phillips	44,505	0	0	0	0	44,505	0	44,505
097 Pitkin	57,053	0	0	0	0	57,053	0	57,053
099 Prowers	95,469	200,000	0	0	0	295,469	92,026	203,443
101 Pueblo	1,056,494	0	0	101,368	0	1,157,862	2,960,101	(1,802,239)
103 Rio Blanco	28,983	40,000	20,000	115	0	89,098	90,470	(1,372)
105 Rio Grande	418,850	20,067	0	0	0	438,917	591,440	(152,523)
107 Routt	60,646	0	0	0	0	60,646	3,700	56,946
109 Saguache	0	47,625	112,016	0	0	159,641	231,060	(71,419)
111 San Juan	43,540	56,460	0	0	0	100,000	100,000	0
113 San Miguel	50,266	715	0	805	0	51,785	69,669	(17,883)
115 Sedgwick	1,805	8,610	19,871	287	0	30,572	54,407	(23,835)
117 Summit	0	0	0	236	31,871	32,106	0	32,106
119 Teller	141,539	5,228	35,135	1,396	0	183,297	226,297	(43,000)
121 Washington	71,080	14,935	5,000	0	0	91,016	90,247	768
123 Weld	0	0	0	23,169	791,930	815,099	0	815,099
125 Yuma	10,515	10,000	0	0	23,422	43,937	37,747	6,190
159 Broomfield	300,496	0	0	0	0	300,496	434,928	(134,432)
TOTAL	23,059,828	6,397,743	6,718,663	1,000,000	2,852,215	40,028,449	55,618,851	(15,590,402)

Schedule 13
Funding Request for the 2012-13 Budget Cycle

Department: Human Services
Request Title: Annual Fleet True-Up
Priority Number: NP-2

Dept. Approval by: Will [Signature] 12-21-11
Date

OSPB Approval by: [Signature] 12/23/11
Date

- Decision Item FY 2012-13
- Base Reduction Item FY 2012-13
- Supplemental FY 2011-12
- Budget Amendment FY 2012-13

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items		Total				
	FTE	1,075,007	(72,275)	2,561,007	0	0
	GF	0	0	0	0	0
	CF	563,315	(37,873)	563,315	0	0
	RF	81,692	(5,492)	81,692	0	0
	FF	268,518	(18,053)	268,518	0	0
	MCF	161,482	(10,857)	1,647,482	0	0
	MGF	234,480	(15,765)	234,480	0	0
	NGF	117,240	(7,882)	117,240	0	0
	NGF	680,555	(45,755)	680,555	0	0
(3) Office of Operations,						
(A) Administration,						
Vehicle Lease Payments						
	Total	1,075,007	(72,275)	2,561,007	0	0
	FTE	0	0	0	0	0
	GF	563,315	(37,873)	563,315	0	0
	CF	81,692	(5,492)	81,692	0	0
	RF	268,518	(18,053)	268,518	0	0
	FF	161,482	(10,857)	1,647,482	0	0
	MCF	234,480	(15,765)	234,480	0	0
	MGF	117,240	(7,882)	117,240	0	0
	NGF	680,555	(45,755)	680,555	0	0

Letternote Text Revision Required? Yes: No: If yes, describe the Letternote Text Revision:

^a Of this amount, it is estimated that \$1,463,024 shall be from patient cash collected by the Mental Health Institutes, \$49,415 shall be from the Early Intervention Services Trust Fund created in Section 27-10.5-709 (2) (a), C.R.S., \$12,511 shall be from the Business Enterprise Program Cash Fund created in Section 26-8.5-107, C.R.S., and ~~\$22,307~~ \$16,815 shall be from various sources of cash funds, including from the Old Age Pension Fund created in Section 1 of Article XXIV of the State Constitution.

^b Of this amount, it is estimated that ~~\$5,109,630~~ \$5,093,865 shall be from Medicaid funds transferred from the Department of Health Care Policy and Financing, \$936,713 shall be from patient fees collected by the Mental Health Institutes that represent Medicaid revenue earned from the behavioral health organizations through Mental Health Community Capitation, \$1,236,747 shall be transferred from the Department of Corrections, \$800,000 shall be from nursing home indirect cost subsidies appropriated to Homelake Domiciliary and the State and Veterans Nursing Homes, \$340,000 shall be from federal Medicaid indirect costs received from the Department of Health Care Policy and Financing, and ~~\$893,432~~ \$891,144 shall be from various sources of reappropriated funds, including indirect cost recoveries.

^c Of this amount, it is estimated that \$994,120 shall be from Section 110 vocational rehabilitation funds, \$720,802 shall be from the Social Security Administration for disability determination services, \$233,214 shall be from the Substance Abuse Prevention and Treatment Block Grant, \$65,900 shall be from the U.S. Department of Health and Human Services, Office of Refugee Resettlement, \$4,000 shall be from the Temporary Assistance for Needy Families Block Grant, \$400,000 shall be from Child Care Development Funds, and ~~\$2,265,924~~ \$2,255,067 shall be from various sources of federal funds, including indirect cost recoveries.

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Cash or Federal Fund Name and COFRS Fund Number: Reappropriated Funds Source, by Department and Line Item Name: Approval by OIT? Yes: <input type="checkbox"/> No: <input type="checkbox"/> Not Required: <input checked="" type="checkbox"/> Schedule 13s from Affected Departments: Health Care Policy and Financing Other Information:						

**Schedule 13
Funding Request for the 2012-13 Budget Cycle**

Department: Health Care Policy and Financing

Request Title: DHS - Annual Fleet True-Up

Priority Number: NP-S6

Dept. Approval by: John Bartholomew *FB* 12/23/11
Date

- Decision Item FY 2012-13
 Base Reduction Item FY 2012-13
 Supplemental FY 2011-12
 Budget Amendment FY 2012-13

OSPB Approval by: [Signature] 12/23/11
Date

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14	
	Fund	1 Appropriation FY 2011-12	2 Supplemental Request FY 2011-12	3 Base Request FY 2012-13	4 Funding Change Request FY 2012-13	5 Continuation Amount FY 2013-14	
Total of All Line Items		Total	\$5,093,354	(\$15,765)	\$5,184,971	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0	0.0
	GF	\$2,546,677	(\$7,882)	\$2,592,486	\$0	\$0	\$0
	GFE	\$0	\$0	\$0	\$0	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0	\$0
	FF	\$2,546,677	(\$7,883)	\$2,592,485	\$0	\$0	\$0
(6) Department of Human Services Medicaid-Funded Programs; (C) Office of Operations - Medicaid Funding		Total	\$5,093,354	(\$15,765)	\$5,184,971	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0	0.0
	GF	\$2,546,677	(\$7,882)	\$2,592,486	\$0	\$0	\$0
	GFE	\$0	\$0	\$0	\$0	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0	\$0
	FF	\$2,546,677	(\$7,883)	\$2,592,485	\$0	\$0	\$0

Letternote Text Revision Required? Yes: No: If yes, describe the Letternote Text Revision:

Cash or Federal Fund Name and COFRS Fund Number: FF: Title XIX

Reappropriated Funds Source, by Department and Line Item Name:

Approval by OIT? Yes: No: Not Required:

Schedule 13s from Affected Departments: Department of Human Services

Other Information:

Schedule 13
Funding Request for the 2012-13 Budget Cycle

Department: Human Services
 Request Title: FY 2011-12 Common Policy Allocation True-Up
 Priority Number: NP-3

Dept. Approval by: *Will [Signature]* 12-21-11
 Date

- Decision Item FY 2012-13
 Base Reduction Item FY 2012-13
 Supplemental FY 2011-12
 Budget Amendment FY 2012-13

OSPB Approval by: *Earl [Signature]* 12/23/11
 Date

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items	Total	2,003,953	86,343	2,309,220	0	0
	FTE	0	0	0	0	0
	GF	1,024,570	46,180	1,189,572	0	0
	CF	112,690	4,951	130,133	0	0
	RF	74,426	2,395	82,572	0	0
	FF	792,267	32,817	906,943	0	0
	MCF	39,536	1,272	43,863	0	0
	MGF	19,768	636	21,932	0	0
	NGF	1,044,338	46,816	1,211,504	0	0
(1) Executive Director's Office; (A) General Administration, Administrative Law Judge Services	Total	728,087	45,283	893,702	0	0
	FTE	0	0	0	0	0
	GF	440,074	27,370	541,099	0	0
	CF	44,115	2,744	54,052	0	0
	RF	0	0	0	0	0
	FF	243,898	15,169	298,551	0	0
	MCF	0	0	0	0	0
	MGF	0	0	0	0	0
	NGF	440,074	27,370	541,099	0	0
(3) Office of Operations, (A) Administration, Capitol Complex Leased Space	Total	1,275,866	41,060	1,415,518	0	0
	FTE	0	0	0	0	0
	GF	584,496	18,810	648,473	0	0
	CF	68,575	2,207	76,081	0	0
	RF	74,426	2,395	82,572	0	0
	FF	548,369	17,648	608,392	0	0
	MCF	39,536	1,272	43,863	0	0
	MGF	19,768	636	21,932	0	0
	NGF	604,264	19,446	670,405	0	0

Letternote Text Revision Required? Yes: No: If yes, describe the Letternote Text Revision:

(1) Executive Director's Office (A) Administration

Of this amount, it is estimated that \$548,385 shall be from patient cash collected by the Mental Health Institutes, \$180,203 shall be from the Records and Reports Fund created in Section 19-1-307 (2.5), C.R.S., \$31,870 shall be from fees and charges for workshops and conferences, and \$1,028,108 \$1,030,852 shall be from various sources of cash funds and shall include \$192,978 for statewide indirect cost recoveries.

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
<p>^e Of this amount, it is estimated that \$707,332 shall be from the Temporary Assistance for Needy Families Block Grant, \$630,953 shall be from Section 110 vocational rehabilitation funds, \$280,000 shall be from Child Care Development Funds, \$261,097 shall be from federal cost allocation recoveries, \$240,443 shall be from the Substance Abuse Prevention and Treatment Block Grant, and \$4,261,043 \$4,276,182 shall be from various sources of federal funds including \$374,597 for statewide indirect cost recoveries.</p> <p>(3) Office of Operations (A) Administration</p> <p>^g Of this amount, it is estimated that \$1,463,024 shall be from patient cash collected by the Mental Health Institutes, \$49,415 shall be from the Early Intervention Services Trust Fund created in Section 27-10.5-709 (2) (a), C.R.S., \$12,511 shall be from the Business Enterprise Program Cash Fund created in Section 26-8.5-107, C.R.S., and \$22,307 \$24,514 shall be from various sources of cash funds, including from the Old Age Pension Fund created in Section 1 of Article XXIV of the State Constitution.</p> <p>^h Of this amount, it is estimated that \$5,109,630 \$5,110,902 shall be from Medicaid funds transferred from the Department of Health Care Policy and Financing, \$936,713 shall be from patient fees collected by the Mental Health Institutes that represent Medicaid revenue earned from the behavioral health organizations through Mental Health Community Capitation, \$1,236,747 shall be transferred from the Department of Corrections, \$800,000 shall be from nursing home indirect cost subsidies appropriated to Homelake Domiciliary and the State and Veterans Nursing Homes, \$340,000 shall be from federal Medicaid indirect costs received from the Department of Health Care Policy and Financing, and \$893,432 \$894,555 shall be from various sources of reappropriated funds, including indirect cost recoveries.</p> <p>ⁱ Of this amount, it is estimated that \$994,120 shall be from Section 110 vocational rehabilitation funds, \$720,802 shall be from the Social Security Administration for disability determination services, \$233,214 shall be from the Substance Abuse Prevention and Treatment Block Grant, \$65,900 shall be from the U.S. Department of Health and Human Services, Office of Refugee Resettlement, \$4,000 shall be from the Temporary Assistance for Needy Families Block Grant, \$400,000 shall be from Child Care Development Funds, and \$2,265,924 \$2,283,572 shall be from various sources of federal funds, including indirect cost recoveries.</p> <p>Cash or Federal Fund Name and COFRS Fund Number: Reappropriated Funds Source, by Department and Line Item Name: Approval by OIT? Yes: <input type="checkbox"/> No: <input type="checkbox"/> Not Required: <input checked="" type="checkbox"/> Schedule 13s from Affected Departments: Health Care Policy and Financing Other Information:</p>						

**Schedule 13
Funding Request for the 2012-13 Budget Cycle**

Department: Health Care Policy and Financing

Request Title: DHS - FY 2011-12 Common Policy Allocation True-Up

Priority Number: NP-S7

Dept. Approval by: John Bartholomew ^{12/22/11} _{Date} Decision Item FY 2012-13

OSPB Approval by: [Signature] ^{12/23/11} _{Date} Base Reduction Item FY 2012-13

Supplemental FY 2011-12

Budget Amendment FY 2012-13

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14	
		1	2	3	4	5	
		Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14	
Fund	Fund						
Total of All Line Items	Total	\$5,093,354	\$1,272	\$5,184,971	\$0	\$0	
	FTE	0.0	0.0	0.0	0.0	0.0	
	GF	\$2,546,677	\$636	\$2,592,486	\$0	\$0	
	GFE	\$0	\$0	\$0	\$0	\$0	
	CF	\$0	\$0	\$0	\$0	\$0	
	RF	\$0	\$0	\$0	\$0	\$0	
	FF	\$2,546,677	\$636	\$2,592,485	\$0	\$0	
(6) Department of Human Services Medicaid-Funded Programs; (C) Office of Operations - Medicaid Funding		Total	\$5,093,354	\$1,272	\$5,184,971	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0	
	GF	\$2,546,677	\$636	\$2,592,486	\$0	\$0	
	GFE	\$0	\$0	\$0	\$0	\$0	
	CF	\$0	\$0	\$0	\$0	\$0	
	RF	\$0	\$0	\$0	\$0	\$0	
	FF	\$2,546,677	\$636	\$2,592,485	\$0	\$0	

Letternote Text Revision Required? Yes: No: If yes, describe the Letternote Text Revision:

Cash or Federal Fund Name and COFRS Fund Number: FF: Title XIX

Reappropriated Funds Source, by Department and Line Item Name:

Approval by OIT? Yes: No: Not Required:

Schedule 13s from Affected Departments: Department of Human Services

Other Information:

Schedule 13
Funding Request for the 2012-13 Budget Cycle

Department: Human Services
 Request Title: Hospital Provider Fee Administrative True-up
 Priority Number: NP-5

Dept. Approval by: *Jay Wilson* 12/28/2011
 Date
 OSPB Approval by: *Eric M. Baker* 12/30/11
 Date

- Decision Item FY 2012-13
 Base Reduction Item FY 2012-13
 Supplemental FY 2011-12
 Budget Amendment FY 2012-13

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
Fund		Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items	Total	18,858,730	1,466,040	18,978,289	0	0
	FTE	0	0	0	0	0
	GF	4,585,647	0	4,668,267	0	0
	CF	1,034,792	0	1,050,388	0	0
	RF	6,924,731	1,466,040	6,836,174	0	0
	FF	6,313,560	0	6,423,460	0	0
	MCF	6,924,731	1,466,040	6,836,174	0	0
	MGF	3,439,002	0	3,394,179	0	0
	NGF	8,024,649	0	8,062,446	0	0
(2) Office of Information Technology Services, Colorado Benefits Management System, Operating Expenses	Total	18,858,730	1,466,040	18,978,289	0	0
	FTE	0	0	0	0	0
	GF	4,585,647	0	4,668,267	0	0
	CF	1,034,792	0	1,050,388	0	0
	RF	6,924,731	1,466,040	6,836,174	0	0
	FF	6,313,560	0	6,423,460	0	0
	MCF	6,924,731	1,466,040	6,836,174	0	0
	MGF	3,439,002	0	3,394,179	0	0
	NGF	8,024,649	0	8,062,446	0	0

Letternote Text Revision Required? Yes: No: If yes, describe the Letternote Text Revision:
 Cash or Federal Fund Name and COFRS Fund Number:
 Reappropriated Funds Source, by Department and Line Item Name:
 Approval by OIT? Yes: No: Not Required:
 Schedule 13s from Affected Departments: Health Care Policy and Financing
 Other Information:

Schedule 13
Funding Request for the 2012-13 Budget Cycle

Department: Human Services
 Request Title: Suspension of ICF/ID Provider Fee
 Priority Number: S-2
 Dept. Approval by: Jay Mroczek 12/15/11 Date
 OSPB Approval by: Earl N. ... 12/21/11 Date

- | |
|---|
| <input type="checkbox"/> Decision Item FY 2012-13 |
| <input type="checkbox"/> Base Reduction Item FY 2012-13 |
| <input checked="" type="checkbox"/> Supplemental FY 2011-12 |
| <input type="checkbox"/> Budget Amendment FY 2012-13 |

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items	Total	46,197,609	(1,867,655)	47,043,854	0	0
	FTE	0	0	0	0	0
	GF	0	0	0	0	0
	CF	2,060,389	0	2,060,389	0	0
	RF	44,137,220	(1,867,655)	44,983,465	0	0
	FF	0	0	0	0	0
	MCF	44,137,220	(1,867,655)	44,983,465	0	0
	MGF	20,200,955	933,828	20,624,078	0	0
	NGF	20,200,955	933,828	20,624,078	0	0
(9) Services for People with Disabilities, (B) Regional Centers for People with Developmental Disabilities, (1) Medicaid-funded Services, Personal Services	Total	44,329,954	0	45,176,199	0	0
	FTE	0	0	0	0	0
	GF	0	0	0	0	0
	CF	2,060,389	0	2,060,389	0	0
	RF	42,269,565	0	43,115,810	0	0
	FF	0	0	0	0	0
	MCF	42,269,565	0	43,115,810	0	0
	MGF	20,200,955	933,828	20,624,078	0	0
	NGF	20,200,955	933,828	20,624,078	0	0
(9) Services for People with Disabilities, (B) Regional Centers for People with Developmental Disabilities, (1) Medicaid-funded Services, Provider Fee	Total	1,867,655	(1,867,655)	1,867,655	0	0
	FTE	0	0	0	0	0
	GF	0	0	0	0	0
	CF	0	0	0	0	0
	RF	1,867,655	(1,867,655)	1,867,655	0	0
	FF	0	0	0	0	0
	MCF	1,867,655	(1,867,655)	1,867,655	0	0
	MGF	0	0	0	0	0
	NGF	0	0	0	0	0

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Letternote Text Revision Required?		Yes: <input type="checkbox"/>	No: <input checked="" type="checkbox"/>	If yes, describe the Letternote Text Revision:		
Cash or Federal Fund Name and COFRS Fund Number:						
Reappropriated Funds Source, by Department and Line Item Name:						
Approval by OIT?		Yes: <input type="checkbox"/>	No: <input type="checkbox"/>	Not Required: <input checked="" type="checkbox"/>		
Schedule 13s from Affected Departments:		Department of Health Care Policy and Financing				
Other Information:						



DEPARTMENT OF HUMAN SERVICES

John W. Hickenlooper
Governor

*FY 2011-12 Supplemental Request
January 3, 2012*

Reggie Bicha
Executive Director

**Department Priority: S-2
Suspension of ICF/ID Provider Fee**

Summary of Change for FY 2011-12	Total Funds	Reappropriated Funds	Medicaid Cash Fund	Medicaid General Fund	Net General Fund
(9) Services for People with Disabilities (B) Regional Centers for People with Developmental Disabilities - Total	(\$1,867,655)	(\$1,867,655)	(\$1,867,655)	\$933,828	\$933,828
Provider Fee	(\$1,867,655)	(\$1,867,655)	(\$1,867,655)	\$933,828	\$933,828

Request Summary:

For FY 2011-12, the Department of Human Services (DHS) requests a decrease of (\$1,867,655) total funds and an increase of \$933,828 General Fund related to the suspension of the intermediate care facilities for People with Intellectual Disabilities (ICF/ID) provider fee. The Department of Health Care Policy and Financing (HCPF) is currently reviewing the ICF/ID provider fee program to ensure it complies with federal rules and regulations. While HCPF is reviewing the ICF/ID provider fee program, DHS has suspended charging and collecting the fee. In FY 2011-12, the loss of the ICF/ID provider fee revenue will reduce available funding for the Regional Centers by \$1,867,655, or 3.7 percent. This supplemental request adjusts the Regional Centers' appropriations to reflect suspending the ICF/ID provider fee and increases the Regional Centers' Medicaid reimbursement to offset the 3.7 percent budget reduction.

Section 25.5-6-204, C.R.S. (originally enacted in H.B. 03-1292) authorizes DHS to charge a provider fee to privately owned and state-operated ICF/ID facilities. The ICF/ID provider fee program allows the State to draw down federal Medicaid match on the provider fee revenue for

the purpose of reducing General Fund allocated to the Regional Centers. Since the ICF/ID provider fee program was implemented in FY 2003-04, the program has used federal Medicaid funds to reduce General Fund expenditures at the Regional Centers by a total of \$11,214,432.

During a program review of the ICF/ID provider fee, HCPF informed DHS that the current implementation of the ICF/ID provider fee program may be inconsistent with federal rules. This program review is on-going and should be completed by HCPF and the Centers of Medicare and Medicaid Services (CMS) by July 2012. In order to avoid or mitigate any federal repayment amounts while the program is under review, DHS suspended charging and collecting the fee in FY 2011-12. However, DHS and HCPF anticipate the ICF/ID provider fee program will be reinstated in FY 2012-13 as requested in the DHS FY 2012-13 budget request.

Anticipated Outcomes:

With approval of this request, DHS will operate the Regional Centers during FY 2011-12 without the ICF/ID provider fee program.

Assumptions for Calculations:

The amount identified in the DHS budget for the provider fee is \$1,867,655. Due to suspending the ICF/ID provider fee on July 1, 2011, DHS will not collect \$1,867,655 in provider fees from the Regional Centers. This funding is used to draw down federal Medicaid dollars, resulting in a loss of \$1,867,655 in federal funds. The total budgetary shortfall for the Regional Centers is the \$1,867,655 related to the loss of the Medicaid dollars.

The Department of Human Services is requesting an increase of \$1,867,655 total funds in Medicaid reimbursement in FY 2011-12. Of this amount, \$923,828 is General Fund and \$923,828 is federal funds appropriated to HCPF. These funds are then transferred as reappropriated funds to DHS for the Regional Centers. This part of the request holds the Regional Centers budget harmless during FY 2011-12 while the ICF/ID provider fee program is reviewed for compliance with federal rules.

Please see exhibit A for an explanation of the budgetary impacts of 1) eliminating the provider

fee and 2) requested funding to offset the loss of \$1,867,655.

Consequences if not Funded:

If this request is not funded, the Regional Centers budget will be reduced by a total of \$1,867,655 due to the loss of federal Medicaid funding. The reduction would amount to a 3.7% reduction to the Regional Center budget in FY 2011-12. The Regional Centers do not have the ability to absorb this level of reduction and would almost certainly be in an over expenditure situation at the end of FY 2011-12.

Impact to Other State Government Agency:

Health Care Policy and Financing is impacted by this request.

Current Statutory Authority or Needed Statutory Change:

25.5-6-204 C.R.S. Providers - reimbursement - intermediate care facility for the mentally retarded - reimbursement - maximum allowable. No change is statute is needed.

Exhibit A: Breakdown of Budget Impacts Related to Regional Centers Provider Fee Suspension Supplemental

Long Bill Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	Medicaid Cash Funds	Medicaid General Fund	Net General Fund
Department of Human Services Appropriations									
(B) Regional Centers for People with Developmental Disabilities									
(1) Medicaid-funded Services									
Personal Services									
FY 2011-12 Total Appropriation	\$45,176,199	887.1	\$0	\$2,060,389	\$43,115,810	\$0	\$43,115,810	\$20,624,078	\$20,200,722
Action #1: Eliminate Provider Fee	\$0	0.0	\$0	\$0	\$0	\$0	\$0	\$933,828	\$933,828
Total Appropriation - With Impact of Requested Supplemental	\$45,176,199	887.1	\$0	\$2,060,389	\$43,115,810	\$0	\$43,115,810	\$21,557,906	\$21,134,550
Provider Fee									
FY 2011-12 Total Appropriation	\$1,867,655	0.0	\$0	\$0	\$1,867,655	\$0	\$1,867,655	\$0	\$0
Action #1: Eliminate Provider Fee	(\$1,867,655)	0.0	\$0	\$0	(\$1,867,655)	\$0	(\$1,867,655)	\$0	\$0
Total Appropriation - With Impact of Requested Supplemental	\$0	0.0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Operating									
Capital Outlay	\$2,439,458				\$2,439,458		\$2,439,458	\$1,219,729	\$1,219,729
Leased Space	\$72,126				\$72,126		\$72,126	\$36,063	\$36,063
Resident Incentive Allowance	\$138,176				\$138,176		\$138,176	\$69,088	\$69,088
	\$47,868,779		\$0	\$2,060,389	\$45,808,390	\$0	\$45,808,390	\$22,904,196	\$22,904,196
Department of Health Care Policy and Financing - Appropriations									
(6) Department of Human Services Medicaid-Funded programs									
(G) Services for People with Disabilities - Medicaid Funding									
Regional Centers									
FY 2011-12 Total Appropriation	\$47,676,045	0.0	\$21,970,368	\$0	\$1,867,655	\$23,838,022			
Action #1: Eliminate Provider Fee	(\$3,735,310)	0.0	\$0	\$0	(\$1,867,655)	(\$1,867,655)			
Action #2: Funding Requested in Supplemental	\$1,867,655	0.0	\$933,828	\$0	\$0	\$933,827			
Total Appropriation - With Impact of Requested Supplemental	\$45,808,390		\$22,904,196	\$0	\$0	\$22,904,194			

Schedule 13
Funding Request for the 2012-13 Budget Cycle

Department: Health Care Policy and Financing

Request Title: Suspension of ICF/ID Provider Fee

Priority Number: NP-S4

Dept. Approval by: John Bartholomew *JB 12/15/11* Date

OSPB Approval by: *Erin N. [Signature]* *12/21/11* Date

- Decision Item FY 2012-13
- Base Reduction Item FY 2012-13
- Supplemental FY 2011-12
- Budget Amendment FY 2012-13

Line Item Information		FY 2011-12		FY 2012-13		FY 2013-14
		1	2	3	4	5
	Fund	Appropriation FY 2011-12	Supplemental Request FY 2011-12	Base Request FY 2012-13	Funding Change Request FY 2012-13	Continuation Amount FY 2013-14
Total of All Line Items	Total	\$46,829,800	(\$1,867,655)	\$47,801,815	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$21,547,245	\$933,828	\$22,033,253	\$0	\$0
	GFE	\$0	\$0	\$0	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$1,867,655	(\$1,867,655)	\$1,867,655	\$0	\$0
	FF	\$23,414,900	(\$933,828)	\$23,900,907	\$0	\$0
(6) Department of Human Services Medicaid-Funded Programs; (G) Services for People with Disabilities - Medicaid Funding, Regional Centers	Total	\$46,829,800	(\$1,867,655)	\$47,801,815	\$0	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$21,547,245	\$933,828	\$22,033,253	\$0	\$0
	GFE	\$0	\$0	\$0	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$1,867,655	(\$1,867,655)	\$1,867,655	\$0	\$0
	FF	\$23,414,900	(\$933,828)	\$23,900,907	\$0	\$0

Letternote Text Revision Required? Yes: No: If yes, describe the Letternote Text Revision:

Cash or Federal Fund Name and COFRS Fund Number: FF: Title XIX

Reappropriated Funds Source, by Department and Line Item Name:
Approval by OIT? Yes: No: Not Required:

Schedule 13s from Affected Departments: Department of Human Services

Other Information: