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Exhibit AA - Calculation of Current Total Long Bill Group Impact						
FY 2013-14 Behavioral Health Capitation						
Item	Total Request	General Fund	General Fund Exempt	Cash Funds	Reappropriated Funds	Federal Funds
FY 2013-14 Behavioral Health Capitation Appropriation						
FY 2013-14 Long Bill Appropriation (SB 13-230)	\$347,419,591	\$150,983,681	\$0	\$21,294,827	\$0	\$175,141,083
SB 13-200 "Expand Medicaid Eligibility"	\$33,417,833	\$76,907		(\$19,260,944)		\$52,601,870
FY 2013-14 Total Behavioral Health Capitation Spending Authority	\$380,837,424	\$151,060,588	\$0	\$2,033,883	\$0	\$227,742,953
Projected Total FY 2013-14 Behavioral Health Capitation Expenditure	\$377,023,145	\$151,346,290	\$0	\$11,179,387	\$0	\$214,497,468
FY 2013-14 Behavioral Health Capitation Estimated Change from Appropriation	(\$3,814,279)	\$285,702	\$0	\$9,145,504	\$0	(\$13,245,485)
Percent Change from Spending Authority	-1.00%	0.19%	-	449.66%	0.00%	-5.82%
FY 2013-14 Mental Health Fee-for-Service						
FY 2013-14 Mental Health Fee-For-Service Appropriation						
FY 2013-14 Long Bill Appropriation (SB 13-230)	\$4,801,046	\$2,400,523	\$0	\$0	\$0	\$2,400,523
FY 2013-14 Total Mental Health Fee-For-Service Spending Authority	\$4,801,046	\$2,400,523	\$0	\$0	\$0	\$2,400,523
Projected Total FY 2013-14 Mental Health Fee-for-Service Expenditure	\$5,231,403	\$2,615,701	\$0	\$0	\$0	\$2,615,702
Total FY 2013-14 Mental Health Fee-For-Service Change from Appropriation	\$430,357	\$215,178	\$0	\$0	\$0	\$215,179
Percent Change from Spending Authority	8.96%	8.96%	-	-	-	8.96%
FY 2013-14 Behavioral Health Programs						
FY 2013-14 Total Spending Authority	\$385,638,470	\$153,461,111	\$0	\$2,033,883	\$0	\$230,143,476
Total Projected FY 2013-14 Expenditures	\$382,254,548	\$153,961,991	\$0	\$11,179,387	\$0	\$217,113,170
FY 2013-14 Estimated Change from Appropriation	(\$3,383,922)	\$500,880	\$0	\$9,145,504	\$0	(\$13,030,306)
Percent Change from Spending Authority	-0.88%	0.33%	-	449.66%	-	-5.66%

Exhibit AA - Calculation of Current Total Long Bill Group Impact						
FY 2014-15 Behavioral Health Capitation						
Item	Total Request	General Fund	General Fund Exempt	Cash Funds	Reappropriated Funds	Federal Funds
FY 2014-15 Behavioral Health Capitation Appropriation Plus Special Bills	\$380,837,424	\$151,060,588	\$0	\$2,033,883	\$0	\$227,742,953
Bill Annualizations						
Annualization SB 13-230 Long Bill	\$5,710,412	\$1,913,309	\$0	\$9,834,037	\$0	(\$6,036,934)
SB 13-200 Annualization "Expand Medicaid Eligibility"	\$70,524,406	\$465,151	\$0	\$812,612	\$0	\$69,246,643
SB 08-1373 Annualization "Breast and Cervical Cancer Fund"	(\$136,714)	(\$13,496)	\$0	(\$34,354)	\$0	(\$88,864)
Total Annualizations	\$76,098,104	\$2,364,964	\$0	\$10,612,295	\$0	\$63,120,846
FY 2014-15 Behavioral Health Capitation Base Amount	\$456,935,528	\$153,425,552	\$0	\$12,646,178	\$0	\$290,863,799
Projected Total FY 2014-15 Behavioral Health Capitation Expenditure	\$482,420,276	\$161,793,732	\$0	\$3,606,845	\$0	\$317,019,699
Total FY 2014-15 Behavioral Health Capitation Request	\$25,484,748	\$8,368,180	\$0	(\$9,039,333)	\$0	\$26,155,901
Percent Change from FY 2014-15 Behavioral Health Capitation Base	5.58%	5.45%	-	-71.48%	-	8.99%
Percent Change from FY 2013-14 Estimated Behavioral Health Capitation Expenditure	27.96%	6.90%	-	-67.74%	-	47.80%
FY 2014-15 Mental Health Fee-for-Service						
FY 2013-14 Mental Health Fee-For-Service Appropriation Plus Special Bills	\$4,801,046	\$2,400,523	\$0	\$0	\$0	\$2,400,523
Bill Annualizations						
Annualization SB 13-230 Long Bill	\$2,871	\$1,436	\$0	\$0	\$0	\$1,435
Total Annualizations	\$2,871	\$1,436	\$0	\$0	\$0	\$1,435
FY 2014-15 Mental Health Fee-For-Service Base Amount	\$4,803,917	\$2,401,959	\$0	\$0	\$0	\$2,401,958
Projected Total FY 2014-15 Mental Health Fee-for-Service Expenditure	\$6,243,009	\$3,121,504	\$0	\$0	\$0	\$3,121,505
Total FY 2014-15 Mental Health Fee-For-Service Request	\$1,439,092	\$719,545	\$0	\$0	\$0	\$719,547
Percent Change from FY 2014-15 Mental Health Fee-For-Service Base	29.96%	29.96%	-	-	-	29.96%
Percent Change from FY 2013-14 Estimated Mental Health Fee-For-Service Expenditure	19.34%	19.34%	-	-	-	19.34%
FY 2014-15 Behavioral Health Programs						
FY 2014-15 Base Amount	\$461,739,445	\$155,827,511	\$0	\$12,646,178	\$0	\$293,265,757
Total Projected FY 2014-15 Expenditure	\$488,663,285	\$164,915,236	\$0	\$3,606,845	\$0	\$320,141,204
Total FY 2014-15 Request	\$26,923,840	\$9,087,725	\$0	(\$9,039,333)	\$0	\$26,875,448
Percent Change from Spending Authority	5.83%	5.83%	-	-71.48%	-	9.16%

Exhibit AA - Calculation of Current Total Long Bill Group Impact						
FY 2015-16 Behavioral Health Capitation						
Item	Total Request	General Fund	General Fund Exempt	Cash Funds	Reappropriated Funds	Federal Funds
FY 2014-15 Behavioral Health Capitation Appropriation Plus Special Bills	\$456,935,528	\$153,425,552	\$0	\$12,646,178	\$0	\$290,863,799
Bill Annualizations						
FY 2015-16 Behavioral Health Capitation Base Amount	\$456,935,528	\$153,425,552	\$0	\$12,646,178	\$0	\$290,863,799
Projected Total FY 2015-16 Behavioral Health Capitation Expenditure	\$529,829,525	\$175,230,037	\$0	\$3,356,732	\$0	\$351,242,756
Total FY 2015-16 Behavioral Health Capitation Continuation Amount	\$72,893,997	\$21,804,485	\$0	(\$9,289,446)	\$0	\$60,378,958
Percent Change from FY 2015-16 Behavioral Health Capitation Base	15.95%	14.21%	-	-73.46%	-	20.76%
Percent Change from FY 2014-15 Estimated Behavioral Health Capitation Expenditure	9.83%	8.30%	-	-6.93%	-	10.80%
FY 2015-16 Mental Health Fee-for-Service						
FY 2014-15 Mental Health Fee-For-Service Appropriation Plus Special Bills	\$4,803,917	\$2,401,959	\$0	\$0	\$0	\$2,401,958
FY 2015-16 Mental Health Fee-For-Service Base Amount	\$4,803,917	\$2,401,959	\$0	\$0	\$0	\$2,401,958
Projected Total FY 2015-16 Mental Health Fee-for-Service Expenditure	\$6,508,559	\$3,254,279	\$0	\$0	\$0	\$3,254,280
Total FY 2015-16 Mental Health Fee-For-Service Continuation Amount	\$1,704,642	\$852,320	\$0	\$0	\$0	\$852,322
Percent Change from FY 2015-16 Mental Health Fee-For-Service Base	35.48%	35.48%	-	-	-	35.48%
Percent Change from FY 2014-15 Estimated Mental Health Fee-For-Service Expenditure	4.25%	4.25%	-	-	-	4.25%
FY 2015-16 Behavioral Health Programs						
FY 2015-16 Base Amount	\$461,739,445	\$155,827,511	\$0	\$12,646,178	\$0	\$293,265,757
Total Projected FY 2015-16 Expenditure	\$536,338,084	\$178,484,316	\$0	\$3,356,732	\$0	\$354,497,036
Total FY 2015-16 Continuation Amount	\$74,598,639	\$22,656,805	\$0	(\$9,289,446)	\$0	\$61,231,280
Percent Change from Spending Authority	16.16%	14.54%	-	-73.46%	-	20.88%

Exhibit BB - Calculation of Fund Splits							
Calculation of Fund Splits - FY 2013-14 Behavioral Health Estimate							
Item	Total Estimate	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP Rate	Source of Funding
Behavioral Health Capitation Base Traditional Clients	\$294,922,299	\$147,461,149	\$0	\$0	\$147,461,150	50.00%	General Fund
Breast and Cervical Cancer Program Traditional and Expansion Clients ⁽¹⁾	\$136,714	\$23,925	\$23,925	\$0	\$88,864	65.00%	CF: Breast and Cervical Cancer Prevention and Treatment Fund
HB 09-1293: Hospital Provider Fee Expansion Adults to 100% and Adults without Dependent Children ⁽²⁾	\$31,747,230	\$0	\$9,235,504	\$0	\$22,511,726	Variable	CF: Hospital Provider Fee Cash Fund
HB 09-1293: Hospital Provider Fee Buy-In for Disabled Individuals	\$3,296,917	\$0	\$1,648,458	\$0	\$1,648,459	50.00%	CF: Hospital Provider Fee Cash Fund
SB 11-008: Aligning Medicaid Eligibility for Children	\$5,432,576	\$1,901,402	\$0	\$0	\$3,531,174	65.00%	General Fund
SB 11-250: Eligibility for Pregnant Women in Medicaid	\$208,582	\$73,004	\$0	\$0	\$135,578	65.00%	General Fund
SB 13-200: Expanding Medicaid Eligibility in Colorado ⁽²⁾	\$36,628,723	\$418,122	\$229,183	\$0	\$35,981,418	Variable	General Fund and CF: Hospital Provider Fee Cash Fund
Estimated FY 2013-14 Capitation Expenditure	\$372,373,041	\$149,877,602	\$11,137,070	\$0	\$211,358,369		
Date of Death Retractions	(\$622,524)	(\$311,262)	\$0	\$0	(\$311,262)	50.00%	General Fund
Estimated Recoupments	\$0	\$0	\$0	\$0	\$0	50.00%	General Fund and CF: Hospital Provider Fee Cash Fund
Substance Use Disorder Benefit	\$5,272,628	\$1,779,950	\$42,317	\$0	\$3,450,361	65.00%	General Fund and CF: Hospital Provider Fee Cash Fund
Final Estimated FY 2013-14 Capitation Expenditure	\$377,023,145	\$151,346,290	\$11,179,387	\$0	\$214,497,468		
Medicaid Mental Health Fee-for-Service Payments	\$5,231,403	\$2,615,701	\$0	\$0	\$2,615,702	50.00%	General Fund
Final Estimated FY 2013-14 Behavioral Health Community Programs Expenditure	\$382,254,548	\$153,961,991	\$11,179,387	\$0	\$217,113,170		

¹ In the past, 30% of total caseload for the Breast and Cervical Cancer Treatment Program were funded via a transfer from the Department of Public Health and Environment. Beginning FY 2012-13, the Department changed this allocation so that none of the mental health services for this program are funded with these reappropriated funds; this is due to the fact that there is a cap on the amount of reappropriated funds available to the Department, and it is assumed that starting in FY 2012-13, the full amount of reappropriated funds will be used to fund the physical health services for the Breast and Cervical Cancer Treatment Program expansion clients.

² The variable FFP rate takes into account the initial match of 50% over Q1 and Q2 of FY 2013-14 and 100% over Q3 and Q4 due to the implementation of SB 13-200 on January 1, 2014. This also incorporates the funding split for certain populations. The children population receives 50% FFP match, and funds the remaining balance with CF and GF. The adults to 60% population receives 50% FFP match with the remainder coming from GF.

Exhibit BB - Calculation of Fund Splits							
Calculation of Fund Split - FY 2014-15 Behavioral Health Estimate							
Item	Total Estimate	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP Rate	Source of Funding
Behavioral Health Capitation Base Traditional Clients	\$308,119,228	\$154,059,614	\$0	\$0	\$154,059,614	50.00%	General Fund
Breast and Cervical Cancer Program Traditional and Expansion Clients	\$0	\$0	\$0	\$0	\$0	65.00%	CF: Breast and Cervical Cancer Prevention and Treatment Fund
HB 09-1293: Hospital Provider Fee Expansion Adults to 100% and Adults without Dependent Children	\$33,391,870	\$0	\$0	\$0	\$33,391,870	100.00%	Federal Funds
HB 09-1293: Hospital Provider Fee Buy-In for Disabled Individuals	\$4,867,520	\$0	\$2,433,760	\$0	\$2,433,760	50.00%	CF: Hospital Provider Fee Cash Fund
SB 11-008: Aligning Medicaid Eligibility for Children	\$5,856,179	\$2,049,663	\$0	\$0	\$3,806,516	65.00%	General Fund
SB 11-250: Eligibility for Pregnant Women in Medicaid	\$544,931	\$190,726	\$0	\$0	\$354,205	65.00%	General Fund
SB 13-200: Expanding Medicaid Eligibility in Colorado ⁽¹⁾	\$119,216,788	\$2,080,110	\$1,041,795	\$0	\$116,094,883	Variable	General Fund and CF: Hospital Provider Fee Cash Fund
Estimated FY 2014-15 Capitation Expenditure	\$471,996,516	\$158,380,113	\$3,475,555	\$0	\$310,140,848		
Date of Death Retractions	(\$559,280)	(\$279,640)	\$0	\$0	(\$279,640)	50.00%	General Fund
Estimated Recoupments	\$0	\$0	\$0	\$0	\$0	50.00%	General Fund and CF: Hospital Provider Fee Cash Fund
Substance Use Disorder Benefit	\$10,983,040	\$3,693,259	\$131,290	\$0	\$7,158,491	65.00%	General Fund and CF: Hospital Provider Fee Cash Fund
Final Estimated FY 2014-15 Capitation Expenditure	\$482,420,276	\$161,793,732	\$3,606,845	\$0	\$317,019,699		
Medicaid Mental Health Fee-for-Service Payments	\$6,243,009	\$3,121,504	\$0	\$0	\$3,121,505	50.00%	General Fund
Final Estimated FY 2014-15 Behavioral Health Community Programs Expenditure	\$488,663,285	\$164,915,236	\$3,606,845	\$0	\$320,141,204		

¹ The variable FFP rate accounts for the implementation of SB 13-200 on January 1, 2014. Specifically, the funding split for separate populations. The children population receives 50% FFP match, and funds the remaining balance with CF and GF. The adults to 60% population receives 50% FFP match with the remainder coming from GF.

Exhibit BB - Calculation of Fund Splits							
Calculation of Fund Split - FY 2015-16 Behavioral Health Estimate							
Item	Total Estimate	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP Rate	Source of Funding
Behavioral Health Capitation Base Traditional Clients	\$329,250,563	\$164,625,281	\$0	\$0	\$164,625,282	50.00%	General Fund
Breast and Cervical Cancer Program Traditional and Expansion Clients	\$0	\$0	\$0	\$0	\$0	65.00%	CF: Breast and Cervical Cancer Prevention and Treatment Fund
HB 09-1293: Hospital Provider Fee Expansion Adults to 100% and Adults without Dependent Children	\$35,320,771	\$0	\$0	\$0	\$35,320,771	100.00%	Federal Funds
HB 09-1293: Hospital Provider Fee Buy-In for Disabled Individuals	\$6,450,885	\$0	\$3,225,442	\$0	\$3,225,443	50.00%	CF: Hospital Provider Fee Cash Fund
SB 11-008: Aligning Medicaid Eligibility for Children	\$6,030,515	\$2,110,680	\$0	\$0	\$3,919,835	65.00%	General Fund
SB 11-250: Eligibility for Pregnant Women in Medicaid	\$580,285	\$203,100	\$0	\$0	\$377,185	65.00%	General Fund
SB 13-200: Expanding Medicaid Eligibility in Colorado ⁽¹⁾	\$141,716,818	\$4,849,393	\$0	\$0	\$136,867,425	Variable	General Fund
Estimated FY 2015-16 Capitation Expenditure	\$519,349,837	\$171,788,454	\$3,225,442	\$0	\$344,335,941		
Date of Death Retractions	(\$503,352)	(\$251,676)	\$0	\$0	(\$251,676)	50.00%	General Fund
Estimated Recoupments	\$0	\$0	\$0	\$0	\$0	50.00%	General Fund and CF: Hospital Provider Fee Cash Fund
Substance Use Disorder Benefit	\$10,983,040	\$3,693,259	\$131,290	\$0	\$7,158,491	65.00%	General Fund and CF: Hospital Provider Fee Cash Fund
Final Estimated FY 2015-16 Capitation Expenditure	\$529,829,525	\$175,230,037	\$3,356,732	\$0	\$351,242,756		
Medicaid Mental Health Fee-for-Service Payments	\$6,508,559	\$3,254,279	\$0	\$0	\$3,254,280	50.00%	General Fund
Final Estimated FY 2015-16 Behavioral Health Community Programs Expenditure	\$536,338,084	\$178,484,316	\$3,356,732	\$0	\$354,497,036		

¹ The variable FFP rate accounts for the children and adults to 60% populations receiving a 50% FFP match along with adults to 133% and adults without dependant children populations receiving a 100% FFP match.

Cash Funds Report									
Cash Fund	FY 2013-14			FY 2014-15			FY 2015-16		
	Spending Authority	Estimate	Change	Base Spending Authority	Estimate	Change	Base Spending Authority	Estimate	Change
<i>Cash Funds</i>									
Hospital Provider Fee Cash Fund	\$1,999,529	\$11,155,462	\$9,155,933	\$12,646,178	\$3,606,845	(\$9,039,333)	\$12,646,178	\$3,356,732	(\$9,289,446)
Breast and Cervical Cancer Prevention and Treatment Fund	\$34,354	\$23,925	(\$10,429)	\$0	\$0	\$0	\$0	\$0	\$0
Total Cash Funds	\$2,033,883	\$11,179,387	\$9,145,504	\$12,646,178	\$3,606,845	(\$9,039,333)	\$12,646,178	\$3,356,732	(\$9,289,446)
<i>Reappropriated Funds - Transfers from the Department of Public Health and Environment</i>									
(9) Prevention Services Division; (A) Prevention Programs, (3) Chronic Disease and Cancer Prevention Grants Program	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Reappropriated Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

Exhibit CC - Behavioral Health Community Programs Expenditure Summary																			
Actuals, Appropriations and Estimates Prior to Recoupments																			
ITEM	FY 2012-13 Actual		FY 2013-14 Appropriated		FY 2013-14 Estimate		FY 2013-14 Change from Appropriation		FY 2014-15 Estimate		FY 2014-15 Change from FY 2013-14 Estimate		FY 2014-15 Change from FY 2013-14 Appropriation		FY 2015-16 Estimate		FY 2015-16 Change from FY 2014-15 Estimate		
	Caseload	Expenditure	Caseload	Expenditure	Caseload	Expenditure	Caseload	Expenditure	Caseload	Expenditure	Caseload	Expenditure	Caseload	Expenditure	Caseload	Expenditure	Caseload	Expenditure	
Behavioral Health Capitation Payments																			
Adults 65 and Older (OAP-A)	40,827	\$6,572,310	42,119	\$6,820,594	41,746	\$6,836,444	(373)	\$15,850	42,815	\$7,087,623	1,069	\$251,179	696	\$267,029	43,962	\$7,380,165	1,147	\$292,542	
Disabled Individuals Through 64 (AND/AB, OAP-B)	71,859	\$125,696,973	75,630	\$139,174,882	76,288	\$137,823,567	658	(\$1,351,315)	80,104	\$152,068,833	3,816	\$14,245,266	4,474	\$12,893,951	83,498	\$166,207,963	3,394	\$14,139,130	
Low Income Adults	149,305	\$42,019,323	164,899	\$48,216,291	172,717	\$48,812,570	7,818	\$596,279	193,716	\$56,371,378	20,999	\$7,558,808	28,817	\$8,155,087	204,216	\$61,379,534	10,500	\$5,008,156	
Adults without Dependent Children	10,634	\$12,991,526	74,018	\$56,766,498	74,018	\$55,805,747	0	(\$960,751)	164,004	\$122,338,943	89,986	\$66,533,196	89,986	\$65,572,445	186,523	\$140,560,067	22,519	\$18,221,124	
Eligible Children (AFDC-C/BC)	368,079	\$76,994,237	409,470	\$88,370,366	404,412	\$85,825,195	(5,058)	(\$2,545,171)	440,971	\$95,695,854	36,559	\$9,870,659	31,501	\$7,325,488	461,736	\$103,182,899	20,765	\$7,487,045	
Foster Care	17,777	\$36,841,900	17,979	\$37,938,053	17,672	\$37,132,804	(307)	(\$805,249)	17,971	\$38,433,885	299	\$1,301,081	(8)	\$495,832	18,449	\$40,639,209	478	\$2,205,324	
Breast and Cervical Cancer Program	623	\$186,777	420	\$196,309	480	\$136,714	60	(\$59,595)	0	\$0	(480)	(\$136,714)	(420)	(\$196,309)	0	\$0	0	\$0	
Sub-total Behavioral Health Capitation Payments	659,104	\$301,303,046	784,535	\$377,482,993	787,333	\$372,373,041	2,798	(\$5,109,952)	939,581	\$471,996,516	152,248	\$99,623,475	155,046	\$94,513,523	998,384	\$519,349,837	58,803	\$47,353,321	
Recoupments for Prior Years' Payments for Ineligibles		\$0		(\$1,373,413)		\$0		\$1,373,413		\$0		\$0		\$1,373,413		\$0		\$0	
Date of Death Retractions		(\$691,694)		(\$544,784)		(\$622,524)		(\$77,740)		(\$559,280)		\$63,244		(\$14,496)		(\$503,352)		\$55,928	
Substance Abuse Disorder Benefit		\$0		\$5,272,628		\$5,272,628		\$0		\$10,983,040		\$5,710,412		\$5,710,412		\$10,983,040		\$0	
Adults without Dependent Children Reconciliations		\$0		\$0		\$0		\$0		\$0		\$0		\$0		\$0		\$0	
Total Behavioral Health Capitation Payments	659,104	\$300,611,352	784,535	\$380,837,424	787,333	\$377,023,145	2,798	(\$3,814,279)	939,581	\$482,420,276	152,248	\$105,397,131	155,046	\$101,582,852	998,384	\$529,829,525	58,803	\$47,409,249	
Incremental Percent Change								0.36%	-1.00%			19.34%	27.96%	19.76%	26.67%			6.26%	9.83%
Mental Health Fee-for-Service-Payments																			
Inpatient Services		\$923,795		\$1,051,197		\$1,145,424		\$94,227		\$1,366,917		\$221,493		\$315,720		\$1,425,060		\$58,143	
Outpatient Services		\$3,193,170		\$3,633,544		\$3,959,248		\$325,704		\$4,724,855		\$765,607		\$1,091,311		\$4,925,829		\$200,974	
Physician Services		\$102,210		\$116,305		\$126,731		\$10,426		\$151,237		\$24,506		\$34,932		\$157,670		\$6,433	
Total Mental Health Fee-for-Service Payments		\$4,219,174		\$4,801,046		\$5,231,403		\$430,357		\$6,243,009		\$1,011,606		\$1,441,963		\$6,508,559		\$265,550	
Total Behavioral Health Community Programs		\$304,830,526		\$385,638,470		\$382,254,548		(\$3,383,922)		\$488,663,285		\$106,408,737		\$103,024,815		\$536,338,084		\$47,674,799	
Incremental Percent Change								-0.88%				27.84%		26.72%				9.76%	

Exhibit DD - Behavioral Health Community Programs, Caseload

Behavioral Health Community Programs Average Monthly Caseload

Item	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	TOTAL MENTAL HEALTH
FY 2006-07 Actuals	35,888	54,858	61,031	-	205,390	16,724	228	374,119
FY 2007-08 Actuals	36,284	56,079	59,761	-	204,022	17,141	270	373,557
% Change from FY 2006-07	1.10%	2.23%	-2.08%	-	-0.67%	2.49%	18.42%	-0.15%
FY 2008-09 Actuals	37,619	57,802	68,850	-	235,129	18,033	317	417,750
% Change from FY 2007-08	3.68%	3.07%	15.21%	-	15.25%	5.20%	17.41%	11.83%
FY 2009-10 Actuals	38,487	60,313	85,907	-	275,672	18,381	425	479,185
% Change from FY 2008-09	2.31%	4.34%	24.77%	-	17.24%	1.93%	34.07%	14.71%
FY 2010-11 Actuals	38,921	64,052	116,149	-	302,410	18,393	531	540,456
% Change from FY 2009-10	1.13%	6.20%	35.20%	-	9.70%	0.07%	24.94%	12.79%
FY 2011-12 Actuals	39,740	67,869	136,315	1,134	334,633	18,034	597	598,322
% Change from FY 2010-11	2.10%	5.96%	17.36%	-	10.66%	-1.95%	12.43%	10.71%
FY 2012-13 Actuals	40,827	71,859	149,305	10,634	368,079	17,777	623	659,104
% Change from FY 2011-12	2.74%	5.88%	9.53%	837.74%	9.99%	-1.43%	4.36%	10.16%
FY 2013-14 Projection	41,746	76,288	172,717	74,018	404,412	17,672	480	787,333
% Change from FY 2012-13	5.05%	12.40%	26.70%	6427.00%	20.85%	-2.01%	-19.60%	31.59%
FY 2014-15 Projection	42,815	80,104	193,716	164,004	440,971	17,971	0	939,581
% Change from FY 2013-14	2.56%	5.00%	12.16%	121.57%	9.04%	1.69%	-	19.34%
FY 2015-16 Projection	43,962	83,498	204,216	186,523	461,736	18,449	0	998,384
% Change from FY 2014-15	2.68%	4.24%	5.42%	13.73%	4.71%	2.66%	-	6.26%
FY 2013-14 Appropriation	42,119	75,630	164,899	74,018	409,470	17,979	420	784,535
Difference between the FY 2013-14 Appropriation and the FY 2013-14 Projection	(373)	658	7,818	0	(5,058)	(307)	60	2,798

Expanded Medicaid Average Monthly Caseload for Behavioral Health Community Programs

Item	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B)	Disabled Individuals to 59 (AND/AB) ⁽¹⁾	Categorically Eligible Low-Income Adults (AFDC-A)	Expansion Adults to 60% FPL	Expansion Adults to 133% FPL	Baby Care Program-Adults	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	TOTAL MENTAL HEALTH
FY 2006-07 Actuals	35,888	6,059	48,799	50,687	5,162	-	5,182	-	205,390	16,724	228	374,119
FY 2007-08 Actuals	36,284	6,146	49,933	44,555	8,918	-	6,288	-	204,022	17,141	270	373,557
% Change from FY 2006-07	1.10%	1.44%	2.32%	-12.10%	72.76%	0.00%	21.34%	-	-0.67%	2.49%	18.42%	-0.15%
FY 2008-09 Actuals	37,619	6,447	51,355	49,147	12,727	-	6,976	-	235,129	18,033	317	417,750
% Change from FY 2007-08	3.68%	4.90%	2.85%	10.31%	42.71%	0.00%	10.94%	-	15.25%	5.20%	17.41%	11.83%
FY 2009-10 Actuals	38,487	7,049	53,264	57,661	17,178	3,238	7,830	-	275,672	18,381	425	479,185
% Change from FY 2008-09	2.31%	9.34%	3.72%	17.32%	34.97%	0.00%	12.24%	-	17.24%	1.93%	34.07%	14.71%
FY 2010-11 Actuals	38,921	7,767	56,285	60,960	20,154	27,167	7,868	-	302,410	18,393	531	540,456
% Change from FY 2009-10	1.13%	10.19%	5.67%	5.72%	17.32%	100.00%	0.49%	-	9.70%	0.07%	24.94%	12.79%
FY 2011-12 Actuals	39,740	8,383	59,486	68,689	24,535	35,461	7,630	1,134	334,633	18,034	597	598,322
% Change from FY 2010-11	2.10%	7.93%	5.69%	12.68%	21.74%	30.53%	-3.02%	-	10.66%	-1.95%	12.43%	10.71%
FY 2012-13 Actuals	40,827	9,051	62,808	70,307	29,085	41,545	8,368	10,634	368,079	17,777	623	659,104
% Change from FY 2011-12	2.74%	7.97%	5.58%	2.36%	18.54%	17.16%	9.67%	837.74%	9.99%	-1.43%	4.36%	10.16%
FY 2013-14 Projection	41,746	9,695	66,593	78,948	31,502	52,498	9,769	74,018	404,412	17,672	480	787,333
% Change from FY 2012-13	5.05%	15.65%	11.95%	14.94%	28.40%	48.04%	28.03%	6427.16%	20.85%	-2.01%	-19.60%	31.59%
FY 2014-15 Projection	42,815	10,317	69,787	84,712	33,498	65,420	10,086	164,004	440,971	17,971	0	939,581
% Change from FY 2013-14	2.56%	6.42%	4.80%	7.30%	6.34%	24.61%	3.24%	121.57%	9.04%	1.69%	-	19.34%
FY 2015-16 Projection	43,962	10,944	72,554	89,201	35,620	69,266	10,129	186,523	461,736	18,449	0	998,384
% Change from FY 2014-15	2.68%	6.08%	3.96%	6.33%	5.88%	0.43%	0.43%	13.73%	4.71%	2.66%	-	6.26%
FY 2013-14 Appropriation	42,119	9,746	65,884	73,745	31,055	51,729	8,370	74,018	409,470	17,979	420	784,535
Difference between the FY 2013-14 Appropriation and the FY 2013-14 Projection	(373)	(51)	709	5,203	447	769	1,399	0	(5,058)	(307)	60	2,798

¹ The caseload for disabled individuals to 59 includes the disabled buy-in population funded by the Hospital Provider Fee Cash Fund. This expansion took effect in FY 2011-12 Q3 & Q4.

Exhibit DD - Behavioral Health Community Programs, Behavioral Health Capitation Payments Per Capita Historical Summary

Behavioral Health Capitation Payments Per Capita History

Item	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults			Adults without Dependent Children	Eligible Children (AFDC-C/ BC)	Foster Care	Breast and Cervical Cancer Program	TOTAL PER CAPITA
FY 2007-08 Actuals	\$159.45	\$1,473.28	\$243.04	-	\$184.13	\$3,235.25	\$222.88	\$524.72		
FY 2008-09 Actuals	\$163.48	\$1,593.93	\$247.30	-	\$185.92	\$3,147.83	\$230.52	\$516.72		
% Change from FY 2007-08	2.53%	8.19%	1.75%	-	0.97%	-2.70%	3.43%	-1.52%		
FY 2009-10 Actuals	\$148.47	\$1,632.73	\$247.36	-	\$180.47	\$2,792.78	\$230.48	\$472.93		
% Change from FY 2008-09	-9.18%	2.43%	0.02%	-	-2.93%	-11.28%	-0.02%	-8.47%		
FY 2010-11 Actuals	\$160.97	\$1,757.63	\$268.13	-	\$191.64	\$2,341.69	\$253.28	\$464.69		
% Change from FY 2009-10	8.42%	7.65%	8.40%	-	6.19%	-16.15%	9.89%	-1.74%		
FY 2011-12 Actuals	\$163.61	\$1,780.77	\$273.65	\$80.46	\$202.54	\$2,152.46	\$264.78	\$453.78		
% Change from FY 2010-11	1.64%	1.32%	2.06%	-	5.69%	-8.08%	4.54%	-2.35%		
FY 2012-13 Actuals	\$160.98	\$1,749.22	\$281.43	\$1,221.70	\$209.18	\$2,072.45	\$299.80	\$457.14		
% Change from FY 2011-12	-1.61%	-1.77%	2.84%	1418.39%	3.28%	-3.72%	13.23%	0.74%		
FY 2013-14 Projection	\$160.83	\$1,800.61	\$282.55	\$753.82	\$212.21	\$2,100.52	\$282.52	\$472.16		
% Change from FY 2012-13	-0.09%	2.94%	0.40%	-38.30%	1.45%	1.35%	-5.76%	3.29%		
FY 2014-15 Projection	\$162.96	\$1,893.24	\$290.94	\$745.90	\$217.00	\$2,138.04	\$0.00	\$501.75		
% Change from FY 2013-14	1.32%	5.14%	2.97%	-1.05%	2.26%	1.79%	-	6.27%		
FY 2015-16 Projection	\$165.62	\$1,986.11	\$300.51	\$753.54	\$223.46	\$2,202.24	\$0.00	\$519.69		
% Change from FY 2014-15	0.62%	4.04%	1.69%	-19.68%	1.86%	1.57%	-	4.78%		

Expanded Medicaid Per Capita Summary for Behavioral Health Capitation Payments

Item	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B)	Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low-Income Adults (AFDC-A)	Expansion Adults to 60% FPL	Expansion Adults to 133% FPL	Baby Care Program-Adults	Adults without Dependent Children	Eligible Children (AFDC-C/ BC)	Foster Care	Breast and Cervical Cancer Program	TOTAL PER CAPITA
FY 2007-08 Actuals	\$159.45	\$1,400.04	\$1,482.29	\$245.09	\$238.32	-	\$235.19	-	\$184.13	\$3,235.25	\$222.88	\$524.72
FY 2008-09 Actuals	\$163.48	\$1,511.57	\$1,604.27	\$252.17	\$244.48	-	\$218.14	-	\$185.92	\$3,147.83	\$230.52	\$516.72
% Change from FY 2007-08	2.53%	7.97%	8.23%	2.89%	2.58%	-	-7.25%	-	0.97%	-2.70%	3.43%	-1.52%
FY 2009-10 Actuals	\$148.47	\$1,537.50	\$1,645.34	\$253.36	\$257.25	-	\$201.68	-	\$180.47	\$2,792.78	\$230.48	\$472.93
% Change from FY 2008-09	-9.18%	1.72%	2.56%	0.47%	5.22%	-	-7.55%	-	-2.93%	-11.28%	-0.02%	-8.47%
FY 2010-11 Actuals	\$160.97	\$1,659.68	\$1,771.15	\$284.94	\$218.34	\$281.77	\$218.28	-	\$191.64	\$2,341.69	\$253.28	\$464.69
% Change from FY 2009-10	8.42%	7.95%	7.65%	12.46%	-15.13%	-	8.23%	-	6.19%	-16.15%	9.89%	-1.74%
FY 2011-12 Actuals	\$163.61	\$1,695.05	\$1,792.85	\$271.33	\$276.12	\$285.90	\$229.60	\$80.46	\$202.54	\$2,152.46	\$264.78	\$453.78
% Change from FY 2010-11	1.64%	2.13%	1.23%	-4.78%	26.46%	1.47%	5.19%	-	5.69%	-8.08%	4.54%	-2.35%
FY 2012-13 Actuals	\$160.98	\$1,698.70	\$1,756.50	\$396.20	\$66.60	\$246.09	\$239.34	\$1,221.70	\$209.18	\$2,072.45	\$299.80	\$457.14
% Change from FY 2011-12	-1.61%	0.22%	-2.03%	46.02%	-75.88%	-13.92%	4.24%	1418.39%	3.28%	-3.72%	13.23%	0.74%
FY 2013-14 Projection	\$160.83	\$1,800.61	\$1,800.61	\$282.55	\$282.55	\$282.55	\$282.55	\$753.82	\$212.21	\$2,100.52	\$282.52	\$472.16
% Change from FY 2012-13	-0.09%	6.00%	2.51%	-28.69%	324.25%	14.82%	18.05%	-38.30%	1.45%	1.35%	-5.76%	3.29%
FY 2014-15 Projection	\$162.96	\$1,893.24	\$1,893.24	\$290.94	\$290.94	\$290.94	\$290.94	\$745.90	\$217.00	\$2,138.04	\$0.00	\$501.75
% Change from FY 2013-14	1.32%	5.14%	5.14%	2.97%	2.97%	2.97%	2.97%	-1.05%	2.26%	1.79%	-	6.27%
FY 2015-16 Projection	\$165.62	\$1,986.11	\$1,986.11	\$300.51	\$300.51	\$300.51	\$300.51	\$753.54	\$223.46	\$2,202.24	\$0.00	\$519.69
% Change from FY 2014-15	1.63%	4.91%	4.91%	3.29%	3.29%	3.29%	3.29%	1.02%	2.98%	3.00%	-	3.58%

Exhibit DD - Behavioral Health Community Programs, Expenditures Historical Summary										
Annual Total Expenditures										
Item	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care	Breast & Cervical Cancer Program	MENTAL HEALTH TOTAL		
FY 2007-08	Capitations	\$5,785,556	\$82,620,046	\$14,524,307	\$0	\$37,565,608	\$55,455,338	\$60,178	\$196,011,033	
	Fee-For-Service									
	Inpatient Services	\$7,069	\$221,467	\$45,469	\$0	\$93,439	\$46,660	\$0	\$414,104	
	Outpatient Services	\$12,721	\$267,020	\$231,300	\$0	\$282,037	\$74,411	\$0	\$867,489	
	Physician Services	\$479	\$32,552	\$9,170	\$0	\$8,970	\$2,972	\$0	\$54,143	
	Sub-Total Fee-For-Service	\$20,269	\$521,039	\$285,939	\$0	\$384,446	\$124,043	\$0	\$1,335,736	
	Total FY 2007-08 Expenditures	\$5,805,825	\$83,141,085	\$14,810,246	\$0	\$37,950,054	\$55,579,381	\$60,178	\$197,346,769	
FY 2008-09	Capitations	\$6,149,782	\$92,132,599	\$17,026,544	\$0	\$43,714,042	\$56,764,896	\$73,074	\$215,860,937	
	Fee-For-Service									
	Inpatient Services	\$22,235	\$331,864	\$107,478	\$0	\$171,764	\$8,913	\$0	\$642,254	
	Outpatient Services	\$9,657	\$284,108	\$300,557	\$0	\$364,710	\$103,091	\$0	\$1,062,123	
	Physician Services	\$285	\$37,367	\$12,386	\$0	\$13,685	\$8,153	\$0	\$71,876	
	Sub-Total Fee-For-Service	\$32,177	\$653,339	\$420,421	\$0	\$550,159	\$120,157	\$0	\$1,776,253	
	Total FY 2008-09 Expenditures	\$6,181,959	\$92,785,938	\$17,446,965	\$0	\$44,264,201	\$56,885,053	\$73,074	\$217,637,190	
% Change from FY 2007-08	6.48%	11.60%	17.80%	-	16.64%	2.35%	21.43%	10.28%		
FY 2009-10 ⁽¹⁾	Capitations	\$5,714,066	\$98,475,008	\$21,250,051	\$0	\$49,749,580	\$51,334,158	\$97,955	\$226,620,818	
	Fee-For-Service									
	Inpatient Services	\$36,707	\$327,355	\$24,703	\$0	\$184,094	\$23,702	\$0	\$596,561	
	Outpatient Services	\$18,805	\$528,618	\$623,741	\$0	\$601,664	\$139,423	\$0	\$1,912,251	
	Physician Services	\$61	\$45,659	\$6,543	\$0	\$22,296	\$4,291	\$0	\$78,850	
	Sub-Total Fee-For-Service	\$55,573	\$901,632	\$654,987	\$0	\$808,054	\$167,416	\$0	\$2,587,662	
	Total FY 2009-10 Expenditures	\$5,769,639	\$99,376,640	\$21,905,038	\$0	\$50,557,634	\$51,501,574	\$97,955	\$229,208,480	
% Change from FY 2007-08	-6.67%	7.10%	25.55%	-	14.22%	-9.46%	34.05%	5.32%		
FY 2010-11 ⁽¹⁾	Capitations	\$6,265,262	\$112,579,810	\$31,142,656	\$0	\$57,953,130	\$43,070,676	\$134,493	\$251,146,027	
	Fee-For-Service									
	Inpatient Services	\$26,281	\$462,018	\$73,357	\$0	\$209,493	\$31,297	\$0	\$802,447	
	Outpatient Services	\$19,668	\$838,729	\$1,066,059	\$0	\$843,338	\$204,022	\$0	\$2,971,816	
	Physician Services	\$44	\$53,652	\$13,542	\$0	\$19,019	\$10,074	\$0	\$96,331	
	Sub-Total Fee-For-Service	\$45,993	\$1,354,399	\$1,152,958	\$0	\$1,071,850	\$245,393	\$0	\$3,870,594	
	Total FY 2010-11 Expenditures	\$6,311,255	\$113,934,209	\$32,295,614	\$0	\$59,024,980	\$43,316,069	\$134,493	\$255,016,621	
% Change from FY 2009-10	9.39%	14.65%	47.43%	-	16.75%	-15.89%	37.30%	11.26%		
FY 2011-12	Capitations	\$6,501,731	\$120,858,807	\$37,302,066	\$91,244	\$67,777,256	\$38,817,457	\$158,074	\$271,506,635	
	Fee-For-Service									
	Inpatient Services	\$21,297	\$355,817	\$66,514	\$0	\$176,653	\$11,869	\$0	\$632,150	
	Outpatient Services	\$19,808	\$762,439	\$1,230,908	\$423	\$894,312	\$156,434	\$0	\$3,064,324	
	Physician Services	\$0	\$49,001	\$18,279	\$0	\$95,442	\$5,786	\$0	\$168,509	
	Sub-Total Fee-For-Service	\$41,105	\$1,167,257	\$1,315,701	\$423	\$1,166,408	\$174,089	\$0	\$3,864,984	
	Total FY 2011-12 Expenditures	\$6,542,836	\$122,026,064	\$38,617,767	\$91,667	\$68,943,664	\$38,991,546	\$158,074	\$275,371,619	
% Change from FY 2010-11	3.67%	7.10%	19.58%	-	16.80%	-9.98%	17.53%	7.98%		
FY 2012-13	Capitations	\$6,572,310	\$125,696,973	\$42,019,323	\$12,991,526	\$76,994,237	\$36,841,900	\$186,777	\$301,303,046	
	Fee-For-Service									
	Inpatient Services	\$23,759	\$657,600	\$59,135	\$9,972	\$147,305	\$26,023	\$0	\$923,795	
	Outpatient Services	\$15,873	\$737,252	\$1,338,797	\$8,631	\$952,040	\$140,576	\$0	\$3,193,170	
	Physician Services	\$0	\$61,602	\$4,013	\$0	\$34,286	\$2,308	\$0	\$102,210	
	Sub-Total Fee-For-Service	\$39,632	\$1,456,455	\$1,401,945	\$18,603	\$1,133,632	\$168,907	\$0	\$4,219,174	
	Total FY 2012-13 Expenditures	\$6,611,942	\$127,153,428	\$43,421,268	\$13,010,129	\$78,127,869	\$37,010,807	\$186,777	\$305,522,220	
% Change from FY 2011-12	1.06%	4.20%	12.44%	14092.78%	13.32%	-5.08%	18.16%	10.95%		

¹ FY 2009-10 and FY 2010-11 have been adjusted for one-time recoupments.

Exhibit DD - Behavioral Health Community Programs Expenditures Historical Summary													
Expanded Annual Total Expenditures													
Item	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B)	Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low-Income Adults (AFDC-A)	Expansion Adults to 60% FPL	Expansion Adults to 133% FPL	Baby Care Program-Adults	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care	Breast & Cervical Cancer Program	MENTAL HEALTH TOTAL	
FY 2007-08	Capitations	\$5,785,556	\$8,604,645	\$74,015,401	\$10,920,110	\$2,125,310	\$0	\$1,478,887	\$0	\$37,565,608	\$55,455,338	\$60,178	\$196,011,033
	Fee-For-Service												
	Inpatient Services	\$7,069	\$13,110	\$208,357	\$36,603	\$8,866	\$0	\$0	\$93,439	\$46,660	\$0	\$414,104	
	Outpatient Services	\$12,721	\$14,262	\$252,758	\$181,408	\$43,943	\$0	\$5,949	\$0	\$282,037	\$74,411	\$0	\$867,489
	Physician Services	\$479	\$2,275	\$30,277	\$6,235	\$1,510	\$0	\$1,425	\$0	\$8,970	\$2,972	\$0	\$54,143
	Sub-Total Fee-For-Service	\$20,269	\$29,647	\$491,392	\$224,245	\$54,320	\$0	\$7,374	\$0	\$384,446	\$124,043	\$0	\$1,335,736
Total FY 2007-08 Expenditures	\$5,805,825	\$8,634,292	\$74,506,793	\$11,144,355	\$2,179,630	\$0	\$1,486,261	\$0	\$37,950,054	\$55,579,381	\$60,178	\$197,346,769	
FY 2008-09	Capitations	\$6,149,782	\$9,745,116	\$82,387,483	\$12,393,351	\$3,111,446	\$0	\$1,521,747	\$0	\$43,714,042	\$56,764,896	\$73,074	\$215,860,937
	Fee-For-Service												
	Inpatient Services	\$22,235	\$9,653	\$322,211	\$85,371	\$22,107	\$0	\$0	\$171,764	\$8,913	\$0	\$642,254	
	Outpatient Services	\$9,657	\$19,613	\$264,495	\$231,456	\$59,937	\$0	\$9,164	\$0	\$364,710	\$103,091	\$0	\$1,062,123
	Physician Services	\$285	\$1,580	\$35,787	\$8,969	\$1,904	\$0	\$1,513	\$0	\$13,685	\$8,153	\$0	\$71,876
	Sub-Total Fee-For-Service	\$32,177	\$30,846	\$622,493	\$325,796	\$83,948	\$0	\$10,677	\$0	\$550,159	\$120,157	\$0	\$1,776,253
Total FY 2008-09 Expenditures	\$6,181,959	\$9,775,962	\$83,009,976	\$12,719,147	\$3,195,394	\$0	\$1,532,424	\$0	\$44,264,201	\$56,885,053	\$73,074	\$217,637,190	
% Change from FY 2007-08	6.48%	13.22%	11.41%	14.13%	46.60%	-	3.11%	-	16.64%	2.35%	21.43%	10.28%	
FY 2009-10 ⁽¹⁾	Capitations	\$5,714,066	\$10,837,828	\$87,637,180	\$14,608,762	\$4,419,081	\$643,078	\$1,579,130	\$0	\$49,749,580	\$51,334,158	\$97,955	\$226,620,818
	Fee-For-Service												
	Inpatient Services	\$36,707	\$0	\$327,355	\$18,244	\$5,435	\$1,024	\$0	\$184,094	\$23,702	\$0	\$596,561	
	Outpatient Services	\$18,805	\$35,433	\$493,185	\$443,259	\$132,053	\$24,891	\$23,538	\$0	\$601,664	\$139,423	\$0	\$1,912,251
	Physician Services	\$61	\$631	\$45,028	\$3,657	\$1,090	\$205	\$1,591	\$0	\$22,296	\$4,291	\$0	\$78,850
	Sub-Total Fee-For-Service	\$55,573	\$36,064	\$865,568	\$465,160	\$138,578	\$26,120	\$25,129	\$0	\$808,054	\$167,416	\$0	\$2,587,662
Total FY 2009-10 Expenditures	\$5,769,639	\$10,873,892	\$88,502,748	\$15,073,922	\$4,557,659	\$669,198	\$1,604,259	\$0	\$50,557,634	\$51,501,574	\$97,955	\$229,208,480	
% Change from FY 2008-09	-6.67%	11.23%	6.62%	18.51%	42.63%	-	4.69%	-	14.22%	-9.46%	34.05%	5.32%	
FY 2010-11 ⁽¹⁾	Capitations	\$6,265,262	\$12,890,748	\$99,689,062	\$17,369,817	\$4,400,500	\$7,654,920	\$1,717,419	\$0	\$57,953,130	\$43,070,676	\$134,493	\$251,146,027
	Fee-For-Service												
	Inpatient Services	\$26,281	\$0	\$462,018	\$41,298	\$13,654	\$18,405	\$0	\$209,493	\$31,297	\$0	\$802,447	
	Outpatient Services	\$19,668	\$54,047	\$784,682	\$584,992	\$193,410	\$260,702	\$26,955	\$0	\$843,338	\$204,022	\$0	\$2,971,816
	Physician Services	\$44	\$559	\$53,093	\$6,489	\$2,145	\$2,892	\$2,017	\$0	\$19,019	\$10,074	\$0	\$96,331
	Sub-Total Fee-For-Service	\$45,993	\$54,606	\$1,299,792	\$632,779	\$209,209	\$281,999	\$28,972	\$0	\$1,071,850	\$245,393	\$0	\$3,870,594
Total FY 2010-11 Expenditures	\$6,311,255	\$12,945,354	\$100,988,854	\$18,002,596	\$4,609,709	\$7,936,919	\$1,746,391	\$0	\$59,024,980	\$43,316,069	\$134,493	\$255,016,621	
% Change from FY 2009-10	9.39%	19.05%	14.11%	19.43%	1.14%	1086.03%	8.86%	-	16.75%	-15.89%	37.30%	11.26%	
FY 2011-12	Capitations	\$6,501,731	\$14,209,564	\$106,649,243	\$18,637,481	\$6,774,573	\$10,138,129	\$1,751,883	\$91,244	\$67,777,256	\$38,817,457	\$158,074	\$271,506,635
	Fee-For-Service												
	Inpatient Services	\$21,297	\$12,590	\$343,228	\$35,504	\$12,681	\$18,329	\$0	\$0	\$176,653	\$11,869	\$0	\$632,150
	Outpatient Services	\$19,808	\$66,220	\$696,219	\$643,536	\$229,865	\$332,229	\$25,278	\$423	\$894,312	\$156,434	\$0	\$3,064,324
	Physician Services	\$0	\$580	\$48,421	\$9,138	\$3,264	\$4,718	\$1,159	\$0	\$95,442	\$5,786	\$0	\$168,509
	Sub-Total Fee-For-Service	\$41,105	\$79,389	\$1,087,868	\$688,177	\$245,810	\$355,276	\$26,438	\$423	\$1,166,408	\$174,089	\$0	\$3,864,984
Total FY 2011-12 Expenditures	\$6,542,836	\$14,288,953	\$107,737,111	\$19,325,658	\$7,020,383	\$10,493,405	\$1,778,321	\$91,667	\$68,943,664	\$38,991,546	\$158,074	\$275,371,619	
% Change from FY 2010-11	3.67%	10.38%	6.68%	7.35%	52.30%	32.21%	1.83%	-	16.80%	-9.98%	17.53%	7.98%	
FY 2012-13	Capitations	\$6,572,310	\$15,374,973	\$110,322,000	\$27,855,571	\$1,937,118	\$10,223,837	\$2,002,797	\$12,991,526	\$76,994,237	\$36,841,900	\$186,777	\$301,303,046
	Fee-For-Service												
	Inpatient Services	\$23,759	\$89,128	\$568,472	\$30,471	\$11,722	\$16,942	\$0	\$9,972	\$147,305	\$26,023	\$0	\$923,795
	Outpatient Services	\$15,873	\$70,123	\$667,130	\$716,942	\$243,860	\$352,457	\$25,538	\$8,631	\$952,040	\$140,576	\$0	\$3,193,170
	Physician Services	\$0	\$355	\$61,247	(\$187)	\$1,486	\$2,148	\$566	\$0	\$34,286	\$2,308	\$0	\$102,210
	Sub-Total Fee-For-Service	\$39,632	\$159,606	\$1,296,849	\$747,226	\$257,068	\$371,547	\$26,104	\$18,603	\$1,133,632	\$168,907	\$0	\$4,219,174
Total FY 2012-13 Expenditures	\$6,611,942	\$15,534,579	\$111,618,849	\$28,602,797	\$2,194,186	\$10,595,384	\$2,028,901	\$13,010,129	\$78,127,869	\$37,010,807	\$186,777	\$305,522,220	
% Change from FY 2011-12	1.06%	8.72%	3.60%	48.00%	-68.75%	0.97%	14.09%	14092.78%	13.32%	-5.08%	18.16%	10.95%	

¹ FY 2009-10 and FY 2010-11 have been adjusted for one-time recoupments.

Exhibit EE - Expenditure Calculations by Eligibility Category

Behavioral Health Capitation Calculations by Eligibility Category for FY 2013-14

FY 2013-14 Q1 and Q2 Calculation

Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children ⁽¹⁾	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$13.61	\$148.51	\$23.63	\$108.16	\$17.49	\$174.52	\$23.63	
Estimated Monthly Caseload Actuals ⁽¹⁾	41,470	75,345	164,605	18,626	408,702	17,716	715	727,179
Number of Months Rate is Effective	6	6	6	6	6	6	6	
Total Costs for FY 2013-14 Q1 and Q2 Capitated Payments	\$3,386,440	\$67,136,916	\$23,337,697	\$12,087,529	\$42,889,188	\$18,550,778	\$101,373	\$167,489,921
Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾	98.28%	95.13%	96.25%	98.00%	97.59%	99.31%	99.24%	
Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$3,328,193	\$63,867,348	\$22,462,533	\$11,845,778	\$41,855,559	\$18,422,778	\$100,603	\$161,882,792
Expenditures for Prior Period Dates of Service	\$55,890	\$2,523,114	\$714,652	\$236,916	\$840,612	\$133,369	\$607	\$4,505,160
Total Expenditures in FY 2013-14 Q1 and Q2	\$3,384,083	\$66,390,462	\$23,177,185	\$12,082,694	\$42,696,171	\$18,556,147	\$101,210	\$166,387,952

FY 2013-14 Q3 and Q4 Calculation

Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children ⁽¹⁾	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$13.70	\$155.00	\$23.72	\$57.14	\$17.97	\$175.63	\$23.92	
Estimated Monthly Caseload ⁽¹⁾	42,020	77,230	180,828	129,410	400,121	17,628	244	847,481
Number of Months Rate is Effective	6	6	6	6	6	6	6	
Total Estimated Costs for FY 2013-14 Q3 and Q4 Capitated Payments	\$3,454,044	\$71,823,900	\$25,738,407	\$44,368,676	\$43,152,381	\$18,576,034	\$35,019	\$207,148,461
Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾	98.28%	95.13%	96.25%	98.00%	97.59%	99.31%	99.24%	
Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$3,394,634	\$68,326,076	\$24,773,217	\$43,481,302	\$42,112,409	\$18,447,859	\$34,753	\$200,570,250
Estimated Expenditures for Prior Period Dates of Service	\$57,727	\$3,107,029	\$862,168	\$241,751	\$1,016,615	\$128,798	\$751	\$5,414,839
Total Estimated Expenditures in FY 2013-14 Q3 and Q4	\$3,452,361	\$71,433,105	\$25,635,385	\$43,723,053	\$43,129,024	\$18,576,657	\$35,504	\$205,985,089
Total Estimated FY 2013-14 Expenditures	\$6,836,444	\$137,823,567	\$48,812,570	\$55,805,747	\$85,825,195	\$37,132,804	\$136,714	\$372,373,041
Estimated Date of Death Retractions	(\$122,615)	(\$458,547)	(\$12,184)	(\$9,607)	(\$6,074)	(\$12,394)	(\$1,103)	(\$622,524)
Total Estimated FY 2013-14 Expenditures Including Date of Death Retractions	\$6,713,829	\$137,365,020	\$48,800,386	\$55,796,140	\$85,819,121	\$37,120,410	\$135,611	\$371,750,517
Estimated FY 2013-14 Monthly Caseload	41,746	76,288	172,717	74,018	404,412	17,672	480	787,333
Estimated FY 2013-14 Per Capita Expenditure	\$160.83	\$1,800.61	\$282.55	\$753.82	\$212.21	\$2,100.52	\$282.52	\$472.16

¹ This number is based on the projected average monthly caseload for the entire fiscal year, as applied through each month's trended growth in caseload.

² Exhibit EE, pages 7 and 8 present the estimated percentage of incurred claims from any six month period that will be paid in that same six month period.

³ The estimate of percentage of claims paid in current period with current period date of service for adults without dependent children cannot be calculated with the incurred but not reported methodology which is used in all other eligibility categories because of insufficient periods of observation. Instead the Department chose 98% for FY 2013-14 Q1 and Q2 and onward because the population is capped below its natural level due to financial constraints and the turnaround between disenrollment and enrollment is rapid which suggests that the IBNR factor should be high. Some amount of run out was allowed for conservativeness sake. These assumptions will be revisited once IBNR data becomes available.

Exhibit EE - Expenditure Calculations by Eligibility Category								
Behavioral Health Capitation Calculations by Eligibility Category for FY 2014-15								
FY 2014-15 Q1 and Q2 Calculation								
Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children ⁽³⁾	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$13.70	\$155.00	\$23.85	\$62.04	\$17.72	\$175.63	\$0.00	
Estimated Monthly Caseload ⁽¹⁾	42,553	79,136	191,486	164,004	427,143	18,016	0	922,338
Number of Months Rate is Effective	6	6	6	6	6	6	6	6
Total Estimated Costs for FY 2014-15 Q1 and Q2 Capitated Payments	\$3,497,857	\$73,596,480	\$27,396,218	\$61,053,399	\$45,410,962	\$18,984,900	\$0	\$229,939,816
Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾	98.28%	95.13%	96.25%	98.00%	97.59%	99.31%	0.00%	
Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$3,437,694	\$70,012,331	\$26,368,860	\$59,832,331	\$44,316,558	\$18,853,904	\$0	\$222,821,678
Estimated Expenditures for Prior Period Dates of Service	\$59,173	\$3,363,289	\$957,748	\$887,374	\$1,039,393	\$128,131	\$0	\$6,435,108
Total Estimated Expenditures in FY 2014-15 Q1 and Q2	\$3,496,867	\$73,375,620	\$27,326,608	\$60,719,705	\$45,355,951	\$18,982,035	\$0	\$229,256,786
FY 2014-15 Q3 and Q4 Calculation								
Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children ⁽³⁾	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$13.90	\$162.46	\$24.76	\$62.63	\$18.49	\$180.90	\$0.00	
Estimated Monthly Caseload ⁽¹⁾	43,076	81,071	195,944	164,004	454,797	17,925	0	956,817
Number of Months Rate is Effective	6	6	6	6	6	6	6	6
Total Estimated Costs for FY 2014-15 Q3 and Q4 Capitated Payments	\$3,592,538	\$79,024,768	\$29,114,339	\$61,630,786	\$50,466,716	\$19,455,795	\$0	\$243,284,942
Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾	98.28%	95.13%	96.25%	98.00%	97.59%	99.31%	0.00%	
Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$3,530,746	\$75,176,262	\$28,022,551	\$60,398,170	\$49,250,468	\$19,321,550	\$0	\$235,699,747
Estimated Expenditures for Prior Period Dates of Service	\$60,010	\$3,516,951	\$1,022,219	\$1,221,068	\$1,089,435	\$130,300	\$0	\$7,039,983
Total Estimated Expenditures in FY 2014-15 Q3 and Q4	\$3,590,756	\$78,693,213	\$29,044,770	\$61,619,238	\$50,339,903	\$19,451,850	\$0	\$242,739,730
Total Estimated FY 2014-15 Expenditures	\$7,087,623	\$152,068,833	\$56,371,378	\$122,338,943	\$95,695,854	\$38,433,885	\$0	\$471,996,516
Estimated Date of Death Retractions	(\$110,354)	(\$412,692)	(\$10,966)	(\$8,646)	(\$5,467)	(\$11,155)	\$0	(\$559,280)
Total Estimated FY 2014-15 Expenditures Including Date of Death Retractions	\$6,977,269	\$151,656,141	\$56,360,412	\$122,330,297	\$95,690,387	\$38,422,730	\$0	\$471,437,236
Estimated FY 2014-15 Monthly Caseload	42,815	80,104	193,716	164,004	440,971	17,971	0	939,581
Estimated FY 2014-15 Per Capita Expenditure	\$162.96	\$1,893.24	\$290.94	\$745.90	\$217.00	\$2,138.04	\$0.00	\$501.75

¹ This number is based on the projected average monthly caseload for the entire fiscal year, as applied through each month's trended growth in caseload.

² Exhibit EE, pages 7 and 8 present the estimated percentage of incurred claims from any six month period that will be paid in that same six month period.

³ The estimate of percentage of claims paid in current period with current period date of service for adults without dependent children cannot be calculated with the incurred but not reported methodology which is used in all other eligibility categories because of insufficient periods of observation. Instead the Department chose 98% for FY 2013-14 Q1 and Q2 and onward because the population is capped below its natural level due to financial constraints and the turnaround between disenrollment and enrollment is rapid which suggests that the IBNR factor should be high. Some amount of run out was allowed for conservativeness sake. These assumptions will be revisited once IBNR data becomes available.

Exhibit EE - Expenditure Calculations by Eligibility Category

Behavioral Health Capitation Calculations by Eligibility Category for FY 2015-16

FY 2015-16 Q1 and Q2 Calculation

Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children ⁽³⁾	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$13.90	\$162.46	\$24.68	\$62.61	\$18.28	\$180.90	\$0.00	
Estimated Monthly Caseload ⁽¹⁾	43,652	82,750	205,196	186,523	470,079	18,449	0	1,006,649
Number of Months Rate is Effective	6	6	6	6	6	6	6	
Total Estimated Costs for FY 2015-16 Q1 and Q2 Capitated Payments	\$3,640,577	\$80,661,390	\$30,379,454	\$70,069,660	\$51,559,823	\$20,024,545	\$0	\$256,335,449
Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾	98.28%	95.13%	96.25%	98.00%	97.59%	99.31%	0.00%	
Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$3,577,959	\$76,733,180	\$29,240,224	\$68,668,267	\$50,317,231	\$19,886,376	\$0	\$248,423,237
Estimated Expenditures for Prior Period Dates of Service	\$61,460	\$3,730,789	\$1,086,461	\$1,232,616	\$1,205,125	\$133,444	\$0	\$7,449,895
Total Estimated Expenditures in FY 2015-16 Q1 and Q2	\$3,639,419	\$80,463,969	\$30,326,685	\$69,900,883	\$51,522,356	\$20,019,820	\$0	\$255,873,132

FY 2015-16 Q3 and Q4 Calculation

Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children ⁽³⁾	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$14.09	\$170.29	\$25.49	\$63.15	\$18.99	\$186.33	\$0.00	
Estimated Monthly Caseload ⁽¹⁾	44,271	84,246	203,234	186,523	453,392	18,448	0	990,114
Number of Months Rate is Effective	6	6	6	6	6	6	6	
Total Estimated Costs for FY 2015-16 Q3 and Q4 Capitated Payments	\$3,742,670	\$86,077,508	\$31,083,160	\$70,671,215	\$51,665,494	\$20,624,495	\$0	\$263,864,542
Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾	98.28%	95.13%	96.25%	98.00%	97.59%	99.31%	0.00%	
Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$3,678,296	\$81,885,533	\$29,917,542	\$69,257,791	\$50,420,356	\$20,482,186	\$0	\$255,641,704
Estimated Expenditures for Prior Period Dates of Service	\$62,450	\$3,858,461	\$1,135,307	\$1,401,393	\$1,240,187	\$137,203	\$0	\$7,835,001
Total Estimated Expenditures in FY 2015-16 Q3 and Q4	\$3,740,746	\$85,743,994	\$31,052,849	\$70,659,184	\$51,660,543	\$20,619,389	\$0	\$263,476,705
Total Estimated FY 2015-16 Expenditures	\$7,380,165	\$166,207,963	\$61,379,534	\$140,560,067	\$103,182,899	\$40,639,209	\$0	\$519,349,837
Estimated Date of Death Retractions	(\$99,319)	(\$371,423)	(\$9,869)	(\$7,781)	(\$4,920)	(\$10,040)	\$0	(\$503,352)
Total Estimated FY 2015-16 Expenditures Including Date of Death Retractions	\$7,280,846	\$165,836,540	\$61,369,665	\$140,552,286	\$103,177,979	\$40,629,169	\$0	\$518,846,485
Estimated FY 2015-16 Monthly Caseload	43,962	83,498	204,216	186,523	461,736	18,449	0	998,384
Estimated FY 2015-16 Per Capita Expenditure	\$165.62	\$1,986.11	\$300.51	\$753.54	\$223.46	\$2,202.24	\$0.00	\$519.69

¹ This number is based on the projected average monthly caseload for the entire fiscal year, as applied through each month's trended growth in caseload.

² Exhibit EE, pages 7 and 8 present the estimated percentage of incurred claims from any six month period that will be paid in that same six month period.

³ The estimate of percentage of claims paid in current period with current period date of service for adults without dependent children cannot be calculated with the incurred but not reported methodology which is used in all other eligibility categories because of insufficient periods of observation. Instead the Department chose 98% for FY 2013-14 Q1 and Q2 and onward because the population is capped below its natural level due to financial constraints and the turnaround between disenrollment and enrollment is rapid which suggests that the IBNR factor should be high. Some amount of run out was allowed for conservativeness sake. These assumptions will be revisited once IBNR data becomes available.

Exhibit EE - SB 13-200 Adjusted Rates by Eligibility Category

Behavioral Health Capitation Calculations by Eligibility Category for FY 2013-14

FY 2013-14 Q1 and Q2 Calculation

Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate - Base Population	\$13.61	\$148.51	\$23.63	\$108.16	\$17.49	\$174.52	\$23.63	
Estimated Monthly Caseload - Base Population	41,470	75,345	164,605	18,626	408,702	17,716	715	727,179
Number of Months Rate is Effective	6	6	6	6	6	6	6	
Estimated Weighted Capitation Rate - SB 13-200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Estimated Monthly Caseload - SB 13-200	0	0	0	0	0	0	0	0
Number of Months Rate is Effective	0	0	0	0	0	0	0	
Weighted Capitation Rate for FY 2013-14 Q1 and Q2	\$13.61	\$148.51	\$23.63	\$108.16	\$17.49	\$174.52	\$23.63	

FY 2013-14 Q3 and Q4 Calculation

Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate - Base Population	\$13.70	\$155.00	\$23.92	\$112.15	\$18.25	\$175.63	\$23.92	
Estimated Monthly Caseload - Base Population	42,020	77,230	166,284	19,742	388,478	17,628	244	711,626
Number of Months Rate is Effective	6	6	6	6	6	6	6	
Estimated Weighted Capitation Rate - SB 13-200	\$13.70	\$155.00	\$21.47	\$47.24	\$8.79	\$175.63	\$23.92	
Estimated Monthly Caseload - SB 13-200	0	0	14,544	109,668	11,643	0	0	135,855
Number of Months Rate is Effective	6	6	6	6	6	6	6	
Weighted Capitation Rate for FY 2013-14 Q3 and Q4	\$13.70	\$155.00	\$23.72	\$57.14	\$17.97	\$175.63	\$23.92	

Exhibit EE - SB 13-200 Adjusted Rates by Eligibility Category

Behavioral Health Capitation Calculations by Eligibility Category for FY 2014-15

FY 2014-15 Q1 and Q2 Calculation

Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate - Base Population	\$13.70	\$155.00	\$23.92	\$112.15	\$18.25	\$175.63	\$23.92	
Estimated Monthly Caseload - Base Population	42,553	79,136	169,697	19,760	400,118	18,016	0	729,280
Number of Months Rate is Effective	6	6	6	6	6	6	6	6
Estimated Weighted Capitation Rate - SB 13-200 ⁽¹⁾	\$13.70	\$155.00	\$23.26	\$55.18	\$9.86	\$175.63	\$23.92	
Estimated Monthly Caseload - SB 13-200	0	0	21,789	144,244	27,025	0	0	193,058
Number of Months Rate is Effective	6	6	6	6	6	6	6	6
Weighted Capitation Rate for FY 2014-15 Q1 and Q2	\$13.70	\$155.00	\$23.85	\$62.04	\$17.72	\$175.63	\$0.00	

FY 2014-15 Q3 and Q4 Calculation

Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate - Base Population	\$13.90	\$162.46	\$24.84	\$117.02	\$19.04	\$180.90	\$24.84	
Estimated Monthly Caseload - Base Population	43,076	81,071	174,155	19,760	427,772	17,925	0	763,759
Number of Months Rate is Effective	6	6	6	6	6	6	6	6
Estimated Weighted Capitation Rate - SB 13-200	\$13.90	\$162.46	\$24.16	\$55.18	\$9.86	\$180.90	\$24.84	
Estimated Monthly Caseload - SB 13-200	0	0	21,789	144,244	27,025	0	0	193,058
Number of Months Rate is Effective	6	6	6	6	6	6	6	6
Weighted Capitation Rate for FY 2014-15 Q3 and Q4	\$13.90	\$162.46	\$24.76	\$62.63	\$18.49	\$180.90	\$0.00	

¹ Estimated Weighted capitation rate from SB 13-200 for Low Income Adults was manually adjusted for FY 2014-15 Q1 and Q2 so that it would follow the Department's assumption of a decreasing rate due to the population expansion for that eligibility type.

Exhibit EE - SB 13-200 Adjusted Rates by Eligibility Category

Behavioral Health Capitation Calculations by Eligibility Category for FY 2015-16

FY 2015-16 Q1 and Q2 Calculation

Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate - Base Population	\$13.90	\$162.46	\$24.84	\$117.02	\$19.04	\$180.90	\$24.84	
Estimated Monthly Caseload - Base Population	43,652	82,750	174,900	19,775	430,677	18,449	0	770,203
Number of Months Rate is Effective	6	6	6	6	6	6	6	
Estimated Weighted Capitation Rate - SB 13-200	\$13.90	\$162.46	\$23.72	\$56.16	\$9.98	\$180.90	\$24.84	
Estimated Monthly Caseload - SB 13-200	0	0	30,296	166,748	39,402	0	0	236,446
Number of Months Rate is Effective	6	6	6	6	6	6	6	
Weighted Capitation Rate for FY 2015-16 Q1 and Q2	\$13.90	\$162.46	\$24.68	\$62.61	\$18.28	\$180.90	\$0.00	

FY 2015-16 Q3 and Q4 Calculation

Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate - Base Population	\$14.09	\$170.29	\$25.80	\$122.09	\$19.85	\$186.33	\$25.80	
Estimated Monthly Caseload - Base Population	44,271	84,246	172,938	19,775	413,990	18,448	0	753,668
Number of Months Rate is Effective	6	6	6	6	6	6	6	
Estimated Weighted Capitation Rate - SB 13-200	\$14.09	\$170.29	\$23.72	\$56.16	\$9.98	\$186.33	\$25.80	
Estimated Monthly Caseload - SB 13-200	0	0	30,296	166,748	39,402	0	0	236,446
Number of Months Rate is Effective	6	6	6	6	6	6	6	
Weighted Capitation Rate for FY 2015-16 Q3 and Q4	\$14.09	\$170.29	\$25.49	\$63.15	\$18.99	\$186.33	\$0.00	

Exhibit EE - Incurred But Not Reported Runout by Fiscal Period						
Incurred But Not Reported (IBNR) Estimate for Adults 65 and Older (OAP-A)						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurred in all other previous periods	0.35%	-	-	-	-	-
Incurred in FY 2012-13 Q3 and Q4	1.37%	0.35%	-	-	-	-
Incurred in FY 2013-14 Q1 and Q2	98.28%	1.37%	0.35%	-	-	-
Incurred in FY 2013-14 Q3 and Q4	-	98.28%	1.37%	0.35%	-	-
Incurred in FY 2014-15 Q1 and Q2	-	-	98.28%	1.37%	0.35%	-
Incurred in FY 2014-15 Q3 and Q4	-	-	-	98.28%	1.37%	0.35%
Incurred in FY 2015-16 Q1 and Q2	-	-	-	-	98.28%	1.37%
Incurred in FY 2015-16 Q3 and Q4	-	-	-	-	-	98.28%
Incurred But Not Reported (IBNR) Estimate for Disabled Individuals Through 64 (AND/AB, OAP-B)						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurred in all other previous periods	1.24%	0.70%	-	-	-	-
Incurred in FY 2012-13 Q3 and Q4	2.93%	1.24%	0.70%	-	-	-
Incurred in FY 2013-14 Q1 and Q2	95.13%	2.93%	1.24%	0.70%	-	-
Incurred in FY 2013-14 Q3 and Q4	-	95.13%	2.93%	1.24%	0.70%	-
Incurred in FY 2014-15 Q1 and Q2	-	-	95.13%	2.93%	1.24%	0.70%
Incurred in FY 2014-15 Q3 and Q4	-	-	-	95.13%	2.93%	1.24%
Incurred in FY 2015-16 Q1 and Q2	-	-	-	-	95.13%	2.93%
Incurred in FY 2015-16 Q3 and Q4	-	-	-	-	-	95.13%
Incurred But Not Reported (IBNR) Estimate for Low Income Adults						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurred in all other previous periods	0.31%	-	-	-	-	-
Incurred in FY 2012-13 Q3 and Q4	3.44%	0.31%	-	-	-	-
Incurred in FY 2013-14 Q1 and Q2	96.25%	3.44%	0.31%	-	-	-
Incurred in FY 2013-14 Q3 and Q4	-	96.25%	3.44%	0.31%	-	-
Incurred in FY 2014-15 Q1 and Q2	-	-	96.25%	3.44%	0.31%	-
Incurred in FY 2014-15 Q3 and Q4	-	-	-	96.25%	3.44%	0.31%
Incurred in FY 2015-16 Q1 and Q2	-	-	-	-	96.25%	3.44%
Incurred in FY 2015-16 Q3 and Q4	-	-	-	-	-	96.25%
Incurred But Not Reported (IBNR) Estimate for Adults without Dependent Children						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurred in all other previous periods	0.00%	-	-	-	-	-
Incurred in FY 2012-13 Q3 and Q4	2.00%	0.00%	-	-	-	-
Incurred in FY 2013-14 Q1 and Q2	98.00%	2.00%	0.00%	-	-	-
Incurred in FY 2013-14 Q3 and Q4	-	98.00%	2.00%	0.00%	-	-
Incurred in FY 2014-15 Q1 and Q2	-	-	98.00%	2.00%	0.00%	-
Incurred in FY 2014-15 Q3 and Q4	-	-	-	98.00%	2.00%	0.00%
Incurred in FY 2015-16 Q1 and Q2	-	-	-	-	98.00%	2.00%
Incurred in FY 2015-16 Q3 and Q4	-	-	-	-	-	98.00%

Exhibit EE - Incurred But Not Reported Runout by Fiscal Period						
Incurred But Not Reported (IBNR) Estimate for Eligible Children (AFDC-C/BC)						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurred in all other previous periods	0.22%	-	-	-	-	-
Incurred in FY 2012-13 Q3 and Q4	2.19%	0.22%	-	-	-	-
Incurred in FY 2013-14 Q1 and Q2	97.59%	2.19%	0.22%	-	-	-
Incurred in FY 2013-14 Q3 and Q4	-	97.59%	2.19%	0.22%	-	-
Incurred in FY 2014-15 Q1 and Q2	-	-	97.59%	2.19%	0.22%	-
Incurred in FY 2014-15 Q3 and Q4	-	-	-	97.59%	2.19%	0.22%
Incurred in FY 2015-16 Q1 and Q2	-	-	-	-	97.59%	2.19%
Incurred in FY 2015-16 Q3 and Q4	-	-	-	-	-	97.59%
Incurred But Not Reported (IBNR) Estimate for Foster Care						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurred in all other previous periods	0.17%	-	-	-	-	-
Incurred in FY 2012-13 Q3 and Q4	0.52%	0.17%	-	-	-	-
Incurred in FY 2013-14 Q1 and Q2	99.31%	0.52%	0.17%	-	-	-
Incurred in FY 2013-14 Q3 and Q4	-	99.31%	0.52%	0.17%	-	-
Incurred in FY 2014-15 Q1 and Q2	-	-	99.31%	0.52%	0.17%	-
Incurred in FY 2014-15 Q3 and Q4	-	-	-	99.31%	0.52%	0.17%
Incurred in FY 2015-16 Q1 and Q2	-	-	-	-	99.31%	0.52%
Incurred in FY 2015-16 Q3 and Q4	-	-	-	-	-	99.31%
Incurred But Not Reported (IBNR) Estimate for Breast and Cervical Cancer Program						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurred in all other previous periods	0.09%	-	-	-	-	-
Incurred in FY 2012-13 Q3 and Q4	0.67%	0.09%	-	-	-	-
Incurred in FY 2013-14 Q1 and Q2	99.24%	0.67%	0.09%	-	-	-
Incurred in FY 2013-14 Q3 and Q4	-	99.24%	0.67%	0.09%	-	-
Incurred in FY 2014-15 Q1 and Q2	-	-	99.24%	0.67%	0.09%	-
Incurred in FY 2014-15 Q3 and Q4	-	-	-	99.24%	0.67%	0.09%
Incurred in FY 2015-16 Q1 and Q2	-	-	-	-	99.24%	0.67%
Incurred in FY 2015-16 Q3 and Q4	-	-	-	-	-	99.24%

Exhibit EE - Incurred But Not Reported Expenditures by Fiscal Period						
Incurred But Not Reported (IBNR) Estimate for Adults 65 and Older (OAP-A)						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurring in all other previous periods	\$11,528	-	-	-	-	-
Incurring in FY 2012-13 Q3 and Q4	\$44,362	\$11,333	-	-	-	-
Incurring in FY 2013-14 Q1 and Q2	\$3,328,193	\$46,394	\$11,853	-	-	-
Incurring in FY 2013-14 Q3 and Q4	-	\$3,394,634	\$47,320	\$12,089	-	-
Incurring in FY 2014-15 Q1 and Q2	-	-	\$3,437,694	\$47,921	\$12,242	-
Incurring in FY 2014-15 Q3 and Q4	-	-	-	\$3,530,746	\$49,218	\$12,574
Incurring in FY 2015-16 Q1 and Q2	-	-	-	-	\$3,577,959	\$49,876
Incurring in FY 2015-16 Q3 and Q4	-	-	-	-	-	\$3,678,296
Total Paid in Current Period	\$3,328,193	\$3,394,634	\$3,437,694	\$3,530,746	\$3,577,959	\$3,678,296
Total IBNR Amount	\$55,890	\$57,727	\$59,173	\$60,010	\$61,460	\$62,450
Total Paid for All Incurred Dates	\$3,384,083	\$3,452,361	\$3,496,867	\$3,590,756	\$3,639,419	\$3,740,746
Incurred But Not Reported (IBNR) Estimate for Disabled Individuals Through 64 (AND/AB, OAP-B)						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurring in all other previous periods	\$738,529	\$384,666	-	-	-	-
Incurring in FY 2012-13 Q3 and Q4	\$1,784,585	\$755,251	\$426,351	-	-	-
Incurring in FY 2013-14 Q1 and Q2	\$63,867,348	\$1,967,112	\$832,498	\$469,958	-	-
Incurring in FY 2013-14 Q3 and Q4	-	\$68,326,076	\$2,104,440	\$890,616	\$502,767	-
Incurring in FY 2014-15 Q1 and Q2	-	-	\$70,012,331	\$2,156,377	\$912,596	\$515,175
Incurring in FY 2014-15 Q3 and Q4	-	-	-	\$75,176,262	\$2,315,426	\$979,907
Incurring in FY 2015-16 Q1 and Q2	-	-	-	-	\$76,733,180	\$2,363,379
Incurring in FY 2015-16 Q3 and Q4	-	-	-	-	-	\$81,885,533
Total Paid in Current Period	\$63,867,348	\$68,326,076	\$70,012,331	\$75,176,262	\$76,733,180	\$81,885,533
Total IBNR Amount	\$2,523,114	\$3,107,029	\$3,363,289	\$3,516,951	\$3,730,789	\$3,858,461
Total Paid for All Incurred Dates	\$66,390,462	\$71,433,105	\$73,375,620	\$78,693,213	\$80,463,969	\$85,743,994
Incurred But Not Reported (IBNR) Estimate for Low Income Adults						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurring in all other previous periods	\$56,049	-	-	-	-	-
Incurring in FY 2012-13 Q3 and Q4	\$658,603	\$59,351	-	-	-	-
Incurring in FY 2013-14 Q1 and Q2	\$22,462,533	\$802,817	\$72,347	-	-	-
Incurring in FY 2013-14 Q3 and Q4	-	\$24,773,217	\$885,401	\$79,789	-	-
Incurring in FY 2014-15 Q1 and Q2	-	-	\$26,368,860	\$942,430	\$84,928	-
Incurring in FY 2014-15 Q3 and Q4	-	-	-	\$28,022,551	\$1,001,533	\$90,254
Incurring in FY 2015-16 Q1 and Q2	-	-	-	-	\$29,240,224	\$1,045,053
Incurring in FY 2015-16 Q3 and Q4	-	-	-	-	-	\$29,917,542
Total Paid in Current Period	\$22,462,533	\$24,773,217	\$26,368,860	\$28,022,551	\$29,240,224	\$29,917,542
Total IBNR Amount	\$714,652	\$862,168	\$957,748	\$1,022,219	\$1,086,461	\$1,135,307
Total Paid for All Incurred Dates	\$23,177,185	\$25,635,385	\$27,326,608	\$29,044,770	\$30,326,685	\$31,052,849

Exhibit EE - Incurred But Not Reported Expenditures by Fiscal Period						
Incurred But Not Reported (IBNR) Estimate for Adults without Dependent Children						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurred in all other previous periods	-	-	-	-	-	-
Incurred in FY 2012-13 Q3 and Q4	\$236,916	-	-	-	-	-
Incurred in FY 2013-14 Q1 and Q2	\$11,845,778	\$241,751	-	-	-	-
Incurred in FY 2013-14 Q3 and Q4	-	\$43,481,302	\$887,374	-	-	-
Incurred in FY 2014-15 Q1 and Q2	-	-	\$59,832,331	\$1,221,068	-	-
Incurred in FY 2014-15 Q3 and Q4	-	-	-	\$60,398,170	\$1,232,616	-
Incurred in FY 2015-16 Q1 and Q2	-	-	-	-	\$68,668,267	\$1,401,393
Incurred in FY 2015-16 Q3 and Q4	-	-	-	-	-	\$69,257,791
Total Paid in Current Period	\$11,845,778	\$43,481,302	\$59,832,331	\$60,398,170	\$68,668,267	\$69,257,791
Total IBNR Amount	\$236,916	\$241,751	\$887,374	\$1,221,068	\$1,232,616	\$1,401,393
Total Paid for All Incurred Dates	\$12,082,694	\$43,723,053	\$60,719,705	\$61,619,238	\$69,900,883	\$70,659,184
Incurred But Not Reported (IBNR) Estimate for Eligible Children (AFDC-C/BC)						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurred in all other previous periods	\$70,705	-	-	-	-	-
Incurred in FY 2012-13 Q3 and Q4	\$769,907	\$77,342	-	-	-	-
Incurred in FY 2013-14 Q1 and Q2	\$41,855,559	\$939,273	\$94,356	-	-	-
Incurred in FY 2013-14 Q3 and Q4	-	\$42,112,409	\$945,037	\$94,935	-	-
Incurred in FY 2014-15 Q1 and Q2	-	-	\$44,316,558	\$994,500	\$99,904	-
Incurred in FY 2014-15 Q3 and Q4	-	-	-	\$49,250,468	\$1,105,221	\$111,027
Incurred in FY 2015-16 Q1 and Q2	-	-	-	-	\$50,317,231	\$1,129,160
Incurred in FY 2015-16 Q3 and Q4	-	-	-	-	-	\$50,420,356
Total Paid in Current Period	\$41,855,559	\$42,112,409	\$44,316,558	\$49,250,468	\$50,317,231	\$50,420,356
Total IBNR Amount	\$840,612	\$1,016,615	\$1,039,393	\$1,089,435	\$1,205,125	\$1,240,187
Total Paid for All Incurred Dates	\$42,696,171	\$43,129,024	\$45,355,951	\$50,339,903	\$51,522,356	\$51,660,543

Exhibit EE - Incurred But Not Reported Expenditures by Fiscal Period						
Incurred But Not Reported (IBNR) Estimate for Foster Care						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurred in all other previous periods	\$34,466	-	-	-	-	-
Incurred in FY 2012-13 Q3 and Q4	\$98,903	\$32,334	-	-	-	-
Incurred in FY 2013-14 Q1 and Q2	\$18,422,778	\$96,464	\$31,536	-	-	-
Incurred in FY 2013-14 Q3 and Q4	-	\$18,447,859	\$96,595	\$31,579	-	-
Incurred in FY 2014-15 Q1 and Q2	-	-	\$18,853,904	\$98,721	\$32,274	-
Incurred in FY 2014-15 Q3 and Q4	-	-	-	\$19,321,550	\$101,170	\$33,075
Incurred in FY 2015-16 Q1 and Q2	-	-	-	-	\$19,886,376	\$104,128
Incurred in FY 2015-16 Q3 and Q4	-	-	-	-	-	\$20,482,186
Total Paid in Current Period	\$18,422,778	\$18,447,859	\$18,853,904	\$19,321,550	\$19,886,376	\$20,482,186
Total IBNR Amount	\$133,369	\$128,798	\$128,131	\$130,300	\$133,444	\$137,203
Total Paid for All Incurred Dates	\$18,556,147	\$18,576,657	\$18,982,035	\$19,451,850	\$20,019,820	\$20,619,389
Incurred But Not Reported (IBNR) Estimate for Breast and Cervical Cancer Program						
	Paid in FY 2013-14 Q1 and Q2	Paid in FY 2013-14 Q3 and Q4	Paid in FY 2014-15 Q1 and Q2	Paid in FY 2014-15 Q3 and Q4	Paid in FY 2015-16 Q1 and Q2	Paid in FY 2015-16 Q3 and Q4
Incurred in all other previous periods	\$71	-	-	-	-	-
Incurred in FY 2012-13 Q3 and Q4	\$536	\$72	-	-	-	-
Incurred in FY 2013-14 Q1 and Q2	\$100,603	\$679	\$91	-	-	-
Incurred in FY 2013-14 Q3 and Q4	-	\$34,753	\$235	\$32	-	-
Incurred in FY 2014-15 Q1 and Q2	-	-	-	-	-	-
Incurred in FY 2014-15 Q3 and Q4	-	-	-	-	-	-
Incurred in FY 2015-16 Q1 and Q2	-	-	-	-	-	-
Incurred in FY 2015-16 Q3 and Q4	-	-	-	-	-	-
Total Paid in Current Period	\$100,603	\$34,753	\$0	\$0	\$0	\$0
Total IBNR Amount	\$607	\$751	\$326	\$32	\$0	\$0
Total Paid for All Incurred Dates	\$101,210	\$35,504	\$326	\$32	\$0	\$0

Exhibit FF - Behavioral Health Retroactivity Adjustment

Fiscal Year		Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults ⁽¹⁾	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care
FY 2007-08	Average Monthly Claims	36,907	61,336	69,407	-	225,162	17,810
	Average Caseload	36,284	56,079	59,761	-	204,022	17,141
	Claims as a Percentage of Caseload	101.72%	109.37%	116.14%	-	110.36%	103.90%
FY 2008-09	Average Monthly Claims	37,865	62,496	77,211	-	251,445	18,597
	Average Caseload	37,619	57,802	68,850	-	235,129	18,033
	Claims as a Percentage of Caseload	100.65%	108.12%	112.14%	-	106.94%	103.13%
FY 2009-10	Average Monthly Claims	38,645	65,337	94,478	-	290,971	18,842
	Average Caseload	38,487	60,313	85,907	-	275,672	18,381
	Claims as a Percentage of Caseload	100.41%	108.33%	109.98%	-	105.55%	102.51%
FY 2010-11	Average Monthly Claims	38,337	68,739	127,056	-	323,244	18,792
	Average Caseload	38,921	64,052	116,149	-	302,410	18,393
	Claims as a Percentage of Caseload	98.50%	107.32%	109.39%	-	106.89%	102.17%
FY 2011-12	Average Monthly Claims	39,676	71,957	145,620	6,856	351,082	18,399
	Average Caseload	39,740	67,869	136,315	6,810	334,633	18,034
	Claims as a Percentage of Caseload	99.84%	106.02%	106.83%	100.68%	104.92%	102.02%
FY 2012-13	Estimated Average Monthly Claims	39,749	73,467	156,057	10,555	376,215	17,831
	Average Caseload	40,827	71,859	149,305	10,634	368,079	17,777
	Claims as a Percentage of Caseload	97.36%	102.24%	104.52%	99.26%	102.21%	100.30%
Weighted Average Claims as a Percentage of Caseload ⁽²⁾		99.84%	106.02%	106.83%	100.68%	104.92%	102.02%
Retroactivity Adjustment Factor		-0.16%	6.02%	6.83%	0.68%	4.92%	2.02%

¹ Breast and Cervical Cancer Program participants share a capitation rate with the Adult population, and comprise less than 1% of that total population. As such, a separate analysis was not performed.

² The retroactivity adjustment captures the difference in total claims paid versus caseload due to retroactive eligibility. After analyzing the data and historical trends, the Department determined that the most recent year with adequate runout, which in this request is FY 2011-12, most accurately represents the relationship between average monthly claims and average caseload for all eligibility categories.

Exhibit FF - Behavioral Health Partial Month Adjustment Multiplier							
Fiscal Year		Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults ⁽¹⁾	Adults without Dependent Children ⁽⁴⁾	Eligible Children (AFDC-C/BC)	Foster Care
FY 2007-08	Weighted Claims-Based Rate	\$13.07	\$113.61	\$17.48	-	\$13.87	\$260.01
	Weighted Capitation Rate	\$13.15	\$114.07	\$17.51	-	\$13.94	\$262.46
	Claims as a Percentage of Capitation	99.36%	99.60%	99.84%	-	99.49%	99.07%
FY 2008-09	Weighted Claims-Based Rate	\$13.49	\$122.69	\$18.40	-	\$14.47	\$253.56
	Weighted Capitation Rate ⁽²⁾	\$13.57	\$123.19	\$18.47	-	\$14.57	\$255.41
	Claims as a Percentage of Capitation	99.42%	99.59%	99.62%	-	99.34%	99.27%
FY 2009-10	Weighted Claims-Based Rate	\$13.21	\$127.20	\$18.74	-	\$14.21	\$225.86
	Weighted Capitation Rate ⁽²⁾	\$13.29	\$127.70	\$18.82	-	\$14.29	\$227.45
	Claims as a Percentage of Capitation	99.40%	99.61%	99.56%	-	99.44%	99.30%
FY 2010-11	Weighted Claims-Based Rate	\$13.50	\$136.46	\$20.56	-	\$15.11	\$191.24
	Weighted Capitation Rate ⁽²⁾	\$13.58	\$137.00	\$20.64	-	\$15.19	\$192.53
	Claims as a Percentage of Capitation	99.39%	99.61%	99.63%	-	99.45%	99.33%
FY 2011-12	Weighted Claims-Based Rate	\$13.69	\$139.19	\$21.46	\$100.82	\$16.12	\$176.57
	Weighted Capitation Rate	\$13.77	\$139.69	\$21.49	\$100.83	\$16.20	\$177.70
	Claims as a Percentage of Capitation	99.42%	99.64%	99.84%	99.99%	99.53%	99.36%
FY 2012-13	Weighted Claims-Based Rate	\$13.59	\$139.89	\$21.86	\$100.73	\$16.71	\$171.13
	Weighted Capitation Rate	\$13.66	\$140.28	\$21.89	\$100.98	\$16.75	\$171.82
	Claims as a Percentage of Capitation	99.47%	99.72%	99.87%	99.75%	99.74%	99.60%
Average Claims as a Percentage of Capitation ⁽³⁾		99.42%	99.64%	99.84%	99.84%	99.53%	99.36%
Partial Month Adjustment Multiplier		-0.58%	-0.36%	-0.16%	-0.16%	-0.47%	-0.64%

¹ Breast and Cervical Cancer Program participants share a capitation rate with the Adult population, and comprise less than 1% of that total population. As such, a separate analysis was not performed.

² The Department has adjusted the rates paid to the BHOs in FY 2008-09 through FY 2010-11 due to budget actions. The numbers provided, here, reflects the actual paid rates and therefore do not match the numbers in Exhibit GG, which demonstrate the trend on the actuarial point estimates.

³ The partial month adjustment captures the difference in the amount paid per claim versus the capitation rate due to paying an adjusted rate for clients enrolled for only part of a month. After analyzing the data and historical trends, the Department determined that the most recent year with adequate runout, which in this request is FY 2011-12, most accurately represents the relationship between the claims-based rate and the capitation rate for all eligibility categories.

⁴ The partial month adjustment factor for Adults without Dependent Children cannot be calculated in the same manner as the other categories because it does not have adequate runout. Therefore the Department has selected the Low Income Adults retroactivity adjustment factor for the Adults without Dependent Children factor because both eligibilities are determined strictly on level of income.

Exhibit GG - Behavioral Health Capitation Rate Trends and Forecasts								
Capitation Rate Trends								
Fiscal Year	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults ⁽¹⁾	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care	Weighted Mental Health Total ⁽²⁾	
FY 2007-08 Actuals	\$13.15	\$114.07	\$17.51	\$0.00	\$13.94	\$262.46	\$40.88	
FY 2008-09 Actuals ⁽³⁾	\$13.37	\$121.31	\$18.18	\$0.00	\$14.34	\$251.88	\$39.96	
% Change from FY 2007-08	1.67%	6.35%	3.83%	-	2.87%	-4.03%	-2.25%	
FY 2009-10 Actuals ⁽³⁾	\$13.40	\$131.64	\$19.33	\$0.00	\$14.71	\$220.67	\$38.08	
% Change from FY 2008-09	0.22%	8.52%	6.33%	-	2.58%	-12.39%	-4.72%	
FY 2010-11 Actuals ⁽³⁾	\$13.79	\$139.14	\$20.94	\$0.00	\$15.41	\$195.38	\$37.29	
% Change from FY 2009-10	2.91%	5.70%	8.33%	-	4.76%	-11.46%	-2.05%	
FY 2011-12 Actuals	\$13.89	\$140.82	\$21.69	\$100.85	\$16.33	\$179.30	\$36.60	
% Change from FY 2010-11	0.73%	1.21%	3.58%	-	5.97%	-8.23%	-1.85%	
FY 2012-13 Actuals	\$13.66	\$140.28	\$21.89	\$100.98	\$16.75	\$171.85	\$36.74	
% Change from FY 2011-12	-1.66%	-0.38%	0.92%	0.13%	2.57%	-4.16%	0.38%	
FY 2013-14 Q1 and Q2 Known Rate	\$13.71	\$140.58	\$22.15	\$101.41	\$16.75	\$172.16	\$36.60	
% Change from FY 2012-13	0.37%	0.21%	1.19%	0.43%	0.00%	0.18%	-0.39%	
FY 2013-14 Q3 and Q4 Estimated Rate	\$13.80	\$146.72	\$22.43	\$105.15	\$17.48	\$173.26	\$46.77	
% Change from FY 2013-14 Q1 and Q2	0.66%	4.37%	1.26%	3.69%	4.36%	0.64%	27.78%	
% Change from FY 2012-13	1.02%	4.59%	2.47%	4.13%	4.36%	0.82%	27.28%	
FY 2013-14 Estimated Weighted Average Rate ⁽⁴⁾	\$13.76	\$143.69	\$22.30	\$104.68	\$17.11	\$172.71	\$39.19	
% Change from FY 2012-13	0.73%	2.43%	1.87%	3.66%	2.15%	0.50%	6.66%	
FY 2014-15 Q1 and Q2 Estimated Rate	\$13.80	\$146.72	\$22.43	\$105.15	\$17.48	\$173.26	\$36.60	
% Change from FY 2013-14 Q3 and Q4 Rate	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	-21.74%	
% Change from FY 2013-14 Average Rate	0.29%	2.11%	0.58%	0.45%	2.16%	0.32%	-6.61%	
FY 2014-15 Q3 and Q4 Estimated Rate	\$14.00	\$153.79	\$23.29	\$109.71	\$18.23	\$178.46	\$46.77	
% Change from FY 2014-15 Q1 and Q2 Rate	1.45%	4.82%	3.83%	4.34%	4.29%	3.00%	27.78%	
% Change from FY 2013-14 Average Rate	1.74%	7.03%	4.44%	4.81%	6.55%	3.33%	19.34%	
FY 2014-15 Estimated Weighted Average Rate ⁽⁴⁾	\$13.90	\$150.30	\$22.86	\$107.43	\$17.87	\$175.85	\$41.78	
% Change from FY 2013-14 Average Rate	1.02%	4.60%	2.51%	2.63%	4.44%	1.82%	6.61%	
FY 2015-16 Q1 and Q2 Estimated Rate	\$14.00	\$153.79	\$23.29	\$109.71	\$18.23	\$178.46	\$48.05	
% Change from FY 2014-15 Q3 and Q4 Rate	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.74%	
% Change from FY 2014-15 Average Rate	0.72%	2.32%	1.88%	2.12%	2.01%	1.48%	15.01%	
FY 2015-16 Q3 and Q4 Estimated Rate	\$14.20	\$161.20	\$24.19	\$114.47	\$19.01	\$183.81	\$49.23	
% Change from FY 2015-16 Q1 and Q2 Rate	1.43%	4.82%	3.86%	4.34%	4.28%	3.00%	2.46%	
% Change from FY 2014-15 Average Rate	2.16%	7.25%	5.82%	6.55%	6.38%	4.53%	17.84%	
FY 2015-16 Estimated Weighted Average Rate ⁽⁴⁾	\$14.10	\$157.53	\$23.74	\$112.09	\$18.61	\$181.13	\$48.67	
% Change from FY 2014-15 Average Rate	1.44%	4.81%	3.85%	4.34%	4.14%	3.00%	16.49%	

¹ Breast and Cervical Cancer Program participants share a capitation rate with the remainder of the Adult population, and comprise less than 1% of that total population. As such, a separate analysis was not performed.

² The Weighted Mental Health Total is the weighted capitation rate distributed by Behavioral Health Organization (BHO) across each eligibility category based on the total number of claims processed (i.e. Elderly clients age 65 and over make up a percentage of all client claims, and each BHO services some subset of the total number of claims for Elderly clients).

³ The Department has adjusted the rates paid to the BHOs in FY 2008-09 through FY 2010-11 due to budget actions. The numbers provided, here, reflects the actuarial point estimates prior to budget actions and therefore do not match the numbers in Exhibit FF, which demonstrate the actual paid rates to the BHOs.

⁴ The weighted rate is derived by distributing the individual rates across the estimated proportion of caseload seen under the respective half years that the two rates are in effect.

Exhibit HH - Forecast Model Comparisons - Final Forecasts						
Adjustment Factors for Forecasted Rates						
Model	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults ⁽¹⁾	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care
FY 2013-14 Estimated Q1/Q2 Rate						
Weighted Capitation Point Estimate	\$13.71	\$140.58	\$22.15	\$101.41	\$16.75	\$172.16
Retroactivity Adjustment Multiplier (Exhibit FF)	-0.16%	6.02%	6.83%	6.83%	4.92%	2.02%
Partial Month Adjustment Multiplier (Exhibit FF)	-0.58%	-0.36%	-0.16%	-0.16%	-0.47%	-0.64%
Final Adjustment Factor ⁽²⁾	-0.74%	5.64%	6.66%	6.66%	4.43%	1.37%
FY 2013-14 Final Paid Q1/Q2 Rate ⁽³⁾						
	\$13.61	\$148.51	\$23.63	\$108.16	\$17.49	\$174.52
FY 2013-14 Estimated Q3/Q4 Rate						
Weighted Capitation Point Estimate	\$13.80	\$146.72	\$22.43	\$105.15	\$17.48	\$173.26
Retroactivity Adjustment Multiplier (Exhibit FF)	-0.16%	6.02%	6.83%	6.83%	4.92%	2.02%
Partial Month Adjustment Multiplier (Exhibit FF)	-0.58%	-0.36%	-0.16%	-0.16%	-0.47%	-0.64%
Final Adjustment Factor ⁽²⁾	-0.74%	5.64%	6.66%	6.66%	4.43%	1.37%
FY 2013-14 Final Estimated Q3/Q4 Rate						
	\$13.70	\$155.00	\$23.92	\$112.15	\$18.25	\$175.63
FY 2014-15 Estimated Q1/Q2 Rate ⁽⁴⁾						
Weighted Capitation Point Estimate	\$13.80	\$146.72	\$22.43	\$105.15	\$17.48	\$173.26
Retroactivity Adjustment Multiplier (Exhibit FF)	-0.16%	6.02%	6.83%	6.83%	4.92%	2.02%
Partial Month Adjustment Multiplier (Exhibit FF)	-0.58%	-0.36%	-0.16%	-0.16%	-0.47%	-0.64%
Final Adjustment Factor ⁽²⁾	-0.74%	5.64%	6.66%	6.66%	4.43%	1.37%
FY 2014-15 Final Estimated Q1/Q2 Rate						
	\$13.70	\$155.00	\$23.92	\$112.15	\$18.25	\$175.63
FY 2014-15 Estimated Q3/Q4 Rate						
Weighted Capitation Point Estimate	\$14.00	\$153.79	\$23.29	\$109.71	\$18.23	\$178.46
Retroactivity Adjustment Multiplier (Exhibit FF)	-0.16%	6.02%	6.83%	6.83%	4.92%	2.02%
Partial Month Adjustment Multiplier (Exhibit FF)	-0.58%	-0.36%	-0.16%	-0.16%	-0.47%	-0.64%
Final Adjustment Factor ⁽²⁾	-0.74%	5.64%	6.66%	6.66%	4.43%	1.37%
FY 2014-15 Final Estimated Q3/Q4 Rate						
	\$13.90	\$162.46	\$24.84	\$117.02	\$19.04	\$180.90

¹ Breast and Cervical Cancer Program participants share a capitation rate with the remainder of the Adult population, and comprise less than 1% of that total population. As such, a forecast for BCCP program eligibles was not performed.

² The final adjustment factor is derived by adding 1 to each individual adjustment, multiplying the result, and subtracting 1 from the product.

³ The number presented, here, reflects the final outcome of payment of partial capitations and the estimate of full IBNR based on that component of IBNR runout that has been completed. Because the IBNR component is estimated, this final figure is estimated and may change in future exhibits.

⁴ The rate set for Q3 and Q4 of FY 2013-14 will be the same rate in effect for Q1 and Q2 of FY 2014-15.

Exhibit HH - Forecast Model Comparisons - Final Forecasts						
Adjustment Factors for Forecasted Rates						
Model	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults ⁽¹⁾	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care
FY 2015-16 Estimated Q1/Q2 Rate ⁽²⁾						
Weighted Capitation Point Estimate	\$14.00	\$153.79	\$23.29	\$109.71	\$18.23	\$178.46
Retroactivity Adjustment Multiplier (Exhibit FF)	-0.16%	6.02%	6.83%	6.83%	4.92%	2.02%
Partial Month Adjustment Multiplier (Exhibit FF)	-0.58%	-0.36%	-0.16%	-0.16%	-0.47%	-0.64%
Final Adjustment Factor ⁽³⁾	-0.74%	5.64%	6.66%	6.66%	4.43%	1.37%
FY 2015-16 Final Estimated Q1/Q2 Rate	\$13.90	\$162.46	\$24.84	\$117.02	\$19.04	\$180.90
FY 2015-16 Estimated Q3/Q4 Rate						
Weighted Capitation Point Estimate	\$14.20	\$161.20	\$24.19	\$114.47	\$19.01	\$183.81
Retroactivity Adjustment Multiplier (Exhibit FF)	-0.16%	6.02%	6.83%	6.83%	4.92%	2.02%
Partial Month Adjustment Multiplier (Exhibit FF)	-0.58%	-0.36%	-0.16%	-0.16%	-0.47%	-0.64%
Final Adjustment Factor ⁽³⁾	-0.74%	5.64%	6.66%	6.66%	4.43%	1.37%
FY 2015-16 Final Estimated Q3/Q4 Rate	\$14.09	\$170.29	\$25.80	\$122.09	\$19.85	\$186.33
¹ Breast and Cervical Cancer Program participants share a capitation rate with the remainder of the Adult population, and comprise less than 1% of that total population. As such, a forecast for BCCP program eligibles was not performed.						
² The rate set for Q3 and Q4 of FY 2014-15 will be the same rate in effect for Q1 and Q2 of FY 2015-16.						
³ The final adjustment factor is derived by adding 1 to each individual adjustment, multiplying the result, and subtracting 1 from the product.						

Exhibit HH - Forecast Model Comparisons - Capitation Trend Models						
Capitation Rate Forecast Model for FY 2013-14 Q3 and Q4						
Model	Adults 65 and Older (OAP-A)	Disabled Individuals Through 64 (AND/AB, OAP-B)	Low Income Adults (1)	Adults without Dependent Children	Eligible Children (AFDC-C/BC)	Foster Care
FY 2011-12 Actual Rate	\$13.89	\$140.82	\$21.69	\$100.85	\$16.33	\$179.30
FY 2012-13 Q1 and Q2 Weighted Average Rate	\$13.60	\$139.97	\$21.60	\$100.78	\$16.76	\$171.54
FY 2012-13 Q3 and Q4 Weighted Average Rate	\$13.71	\$140.59	\$22.16	\$101.16	\$16.75	\$172.11
FY 2012-13 Full Year Average Rate	\$13.66	\$140.28	\$21.89	\$100.98	\$16.75	\$171.85
FY 2013-14 Q1 and Q2 Weighted Average Rate	\$13.71	\$138.96	\$22.15	\$101.41	\$16.75	\$172.18
Recent Growth Rates						
% Growth from FY 2011-12 to FY 2012-13 Rate	-1.66%	-0.38%	0.92%	0.13%	2.57%	-4.16%
% Growth from CY 2012 to CY 2013 Rate	0.81%	-0.72%	2.55%	0.63%	-0.06%	0.37%
Selected Trend Models						
Average Growth Model	\$13.68	\$154.94	\$24.48	-	\$18.24	\$144.83
% Difference from FY 2013-14 Q1 and Q2 Rate	-0.21%	11.50%	10.53%	-	8.87%	-15.89%
% Difference from FY 2012-13 Full Year Average Rate	0.16%	10.45%	11.84%	-	8.87%	-15.72%
Two Period Moving Average Model	\$13.84	\$142.17	\$21.43	-	\$16.34	\$179.57
% Difference from FY 2013-14 Q1 and Q2 Rate	0.94%	2.31%	-3.25%	-	-2.45%	4.29%
% Difference from FY 2012-13 Full Year Average Rate	1.31%	1.35%	-2.10%	-	-2.45%	4.49%
Exponential Growth Model	\$14.29	\$170.88	\$31.46	-	\$19.26	\$184.76
% Difference from FY 2013-14 Q1 and Q2 Rate	4.25%	22.97%	42.04%	-	14.98%	7.31%
% Difference from FY 2012-13 Full Year Average Rate	4.63%	21.81%	43.73%	-	14.98%	7.51%
Linear Growth Model	\$16.11	\$165.88	\$26.85	-	\$18.25	\$182.18
% Difference from FY 2013-14 Q1 and Q2 Rate	17.52%	19.37%	21.20%	-	8.98%	5.81%
% Difference from FY 2012-13 Full Year Average Rate	17.95%	18.25%	22.64%	-	8.98%	6.01%
CY 2014 Forecast Minimum	\$13.68	\$142.17	\$21.43	-	\$16.34	\$144.83
CY 2014 Forecast Maximum	\$16.11	\$170.88	\$31.46	-	\$19.26	\$184.76
% change from CY 2013 Rate to Selected CY 2014 Capitation Rate (2)	1.46%	4.82%	3.85%	4.34%	4.30%	1.00%
CY 2014 Forecast Point Estimate	\$13.80	\$146.72	\$22.43	\$105.15	\$17.48	\$173.26
% change from CY 2014 Rate to Selected CY 2015 Capitation Rate (3)	1.46%	4.82%	3.85%	4.34%	4.30%	3.00%
CY 2015 Forecast Point Estimate	\$14.00	\$153.79	\$23.29	\$109.71	\$18.23	\$178.46
% change from CY 2015 Rate to Selected CY 2016 Capitation Rate (4)	1.46%	4.82%	3.85%	4.34%	4.30%	3.00%
CY 2016 Forecast Point Estimate	\$14.20	\$161.20	\$24.19	\$114.47	\$19.01	\$183.81
¹ Breast and Cervical Cancer Program participants share a capitation rate with the remainder of the Adult population, and comprise less than 1% of that total population. As such, a forecast for BCCP program eligibles was not performed.						
² Percentage selected to modify capitation rates for CY 2014: Where applicable, percentage selections have been bolded for clarification.	Adults 65 and Older (OAP-A)	Rate change from FY 2009-10 to FY 2010-11	Adults without Dependent	Average of trends selected for Disabled Individuals Through 64 (AND/AB, OAP-B) and Low Income Adults		
	Disabled Individuals Through 64 (AND/AB, OAP-B)	Average Rate Change from FY 2007-08 to FY 2012-13	Eligible Children (AFDC-C/BC)	Average Rate Change from FY 2007-08 to FY 2012-13		
	Low Income Adults	Rate change from FY 2010-11 to FY 2011-12	Foster Care	Three times the growth rate from CY 2012 to CY 2013		
³ Percentage selected to modify capitation rates for CY 2015: Where applicable, percentage selections have been bolded for clarification.	Adults 65 and Older (OAP-A)	Rate change from FY 2009-10 to FY 2010-11	Adults without Dependent	Average of trends selected for Disabled Individuals Through 64 (AND/AB, OAP-B) and Low Income Adults		
	Disabled Individuals Through 64 (AND/AB, OAP-B)	Average Rate Change from FY 2007-08 to FY 2012-13	Eligible Children (AFDC-C/BC)	Average Rate Change from FY 2007-08 to FY 2012-13		
	Low Income Adults	Rate change from FY 2010-11 to FY 2011-12	Foster Care	Expecting a marginal increase to rates after experiencing consistent decline in past years.		
⁴ Percentage selected to modify capitation rates for CY 2016: Where applicable, percentage selections have been bolded for clarification.	Adults 65 and Older (OAP-A)	Rate change from FY 2009-10 to FY 2010-11	Adults without Dependent	Average of trends selected for Disabled Individuals Through 64 (AND/AB, OAP-B) and Low Income Adults		
	Disabled Individuals Through 64 (AND/AB, OAP-B)	Average Rate Change from FY 2007-08 to FY 2012-13	Eligible Children (AFDC-C/BC)	Average Rate Change from FY 2007-08 to FY 2012-13		
	Low Income Adults	Rate change from FY 2010-11 to FY 2011-12	Foster Care	Expecting a marginal increase to rates after experiencing consistent decline in past years.		

Exhibit II - Recoupments and Reconciliations					
Total Recoupment of Payments Made for Clients Found to be Ineligible by Fiscal Year					
	FY 2011-12 Actuals	FY 2012-13 Actuals	FY 2013-14 Estimate	FY 2014-15 Estimate	FY 2015-16 Estimate
Recoupment for FY 2008-09 Ineligibles	\$689,563	\$0	\$0	\$0	\$0
Recoupment for FY 2009-10 Ineligibles	\$1,099,876	\$0	\$0	\$0	\$0
Estimated Recoupment for FY 2010-11 Ineligibles ⁽¹⁾	\$0	\$0	\$0	\$0	\$0
Estimated Recoupment for FY 2011-12 Ineligibles ⁽¹⁾	\$0	\$0	\$0	\$0	\$0
Estimated Recoupment for FY 2012-13 Ineligibles ⁽¹⁾	\$0	\$0	\$0	\$0	\$0
Estimated Recoupment for FY 2013-14 Ineligibles ⁽¹⁾	\$0	\$0	\$0	\$0	\$0
Net Impact of Estimated Recoupments	\$1,789,439	\$0	\$0	\$0	\$0
¹ Recoupments for FY 2012-13 and onward will no longer be made by the the Department.					
Recoupment Fund Splits					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
Recoupments in FY 2011-12	\$1,789,439	\$714,137	\$2,855	\$0	\$1,072,447
Recoupments in FY 2012-13 ⁽¹⁾	\$0	\$0	\$0	\$0	\$0
Estimated Recoupments in FY 2013-14 ⁽¹⁾	\$0	\$0	\$0	\$0	\$0
Estimated Recoupments in FY 2014-15 ⁽¹⁾	\$0	\$0	\$0	\$0	\$0
Estimated Recoupments in FY 2015-16 ⁽¹⁾	\$0	\$0	\$0	\$0	\$0
¹ Recoupments for FY 2012-13 and onward will no longer be made by the the Department.					

Exhibit JJ - Expansion Populations ⁽¹⁾								
FY 2013-14 Calculation								
DESCRIPTION OF ESTIMATE				CALCULATION OF MATCH				
Eligibility Category	Caseload	Estimated Per Capita Cost	Total Estimate	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP Rate
Hospital Provider Fee Cash Fund:								
Expansion Adults to 133% ⁽²⁾	45,964	\$284.13	\$13,059,770	\$0	\$3,191,740	\$0	\$9,868,030	Variable
Adults without Dependent Children ⁽²⁾	18,938	\$986.77	\$18,687,460	\$0	\$6,043,764	\$0	\$12,643,696	Variable
Buy-In for Disabled Individuals	1,831	\$1,800.61	\$3,296,917	\$0	\$1,648,458	\$0	\$1,648,459	50.00%
Total from Hospital Provider Fee Fund	-	-	\$35,044,147	\$0	\$10,883,962	\$0	\$24,160,185	
SB 11-008: Aligning Medicaid Eligibility for Children								
Eligible Children: Family Medical Program	25,600	\$212.21	\$5,432,576	\$1,901,402	\$0	\$0	\$3,531,174	65.00%
SB 11-250: Eligibility for Pregnant Women in Medicaid								
Baby Care Program-Adults	738	\$282.55	\$208,582	\$73,004	\$0	\$0	\$135,578	65.00%
SB 13-200: Expanding Medicaid Eligibility in Colorado								
Expansion Adults to 60%	210	\$282.55	\$59,336	\$29,668	\$0	\$0	\$29,668	50.00%
Expansion Adults to 133%	6,534	\$282.55	\$1,846,182	\$0	\$0	\$0	\$1,846,182	100.00%
Adults without Dependant Children	55,080	\$607.99	\$33,487,931	\$0	\$0	\$0	\$33,487,931	100.00%
Eligible Children	5,821	\$212.21	\$1,235,274	\$388,454	\$229,183	\$0	\$617,637	50.00%

¹ The Department's allocation methodology is described in the Expansion Populations section of this Budget Request.

² The Department will receive 100% FFP for the Expansion Adults to 133% and Adults without Dependent Children populations beginning in January 2014 due to the passage of the Affordable Care Act. The average FFP over FY 2013-14 is 75%.

Exhibit JJ - Expansion Populations ⁽¹⁾								
FY 2014-15 Calculation								
DESCRIPTION OF ESTIMATE				CALCULATION OF MATCH				
Eligibility Category	Caseload	Estimated Per Capita Cost	Total Estimate	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP Rate
Hospital Provider Fee Cash Fund:								
Expansion Adults to 133% ⁽²⁾	65,420	\$290.94	\$19,033,295	\$0	\$0	\$0	\$19,033,295	100.00%
Adults without Dependent Children ⁽²⁾	19,250	\$745.90	\$14,358,575	\$0	\$0	\$0	\$14,358,575	100.00%
Buy-In for Disabled Individuals	2,571	\$1,893.24	\$4,867,520	\$0	\$2,433,760	\$0	\$2,433,760	50.00%
Total from Hospital Provider Fee Fund	-	-	\$38,259,390	\$0	\$2,433,760	\$0	\$35,825,630	
SB 11-008: Aligning Medicaid Eligibility for Children								
Eligible Children: Family Medical Program	26,987	\$217.00	\$5,856,179	\$2,049,663	\$0	\$0	\$3,806,516	65.00%
SB 11-250: Eligibility for Pregnant Women in Medicaid								
Baby Care Program-Adults	1,873	\$290.94	\$544,931	\$190,726	\$0	\$0	\$354,205	65.00%
SB 13-200: Expanding Medicaid Eligibility in Colorado								
Expansion Adults to 60%	1,304	\$290.94	\$379,386	\$189,693	\$0	\$0	\$189,693	50.00%
Expansion Adults to 133%	17,189	\$290.94	\$5,000,968	\$0	\$0	\$0	\$5,000,968	100.00%
Adults without Dependant Children	144,754	\$745.90	\$107,972,009	\$0	\$0	\$0	\$107,972,009	100.00%
Eligible Children	27,025	\$217.00	\$5,864,425	\$1,890,417	\$1,041,795	\$0	\$2,932,213	50.00%

¹ The Department's allocation methodology is described in the Expansion Populations section of this Budget Request.

² The Department will receive 100% FFP for the Expansion Adults to 133% and Adults without Dependent Children populations beginning in January 2014 due to the passage of the Affordable Care Act.

Exhibit JJ - Expansion Populations ⁽¹⁾								
FY 2015-16 Calculation								
DESCRIPTION OF ESTIMATE				CALCULATION OF MATCH				
Eligibility Category	Caseload	Estimated Per Capita Cost	Total Estimate	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP Rate
Hospital Provider Fee Cash Fund:								
Expansion Adults to 133% ⁽²⁾	69,266	\$300.51	\$20,815,126	\$0	\$0	\$0	\$20,815,126	100.00%
Adults without Dependent Children ⁽²⁾	19,250	\$753.54	\$14,505,645	\$0	\$0	\$0	\$14,505,645	100.00%
Buy-In for Disabled Individuals	3,248	\$1,986.11	\$6,450,885	\$0	\$3,225,442	\$0	\$3,225,443	50.00%
Total from Hospital Provider Fee Fund	-	-	\$41,771,656	\$0	\$3,225,442	\$0	\$38,546,214	
SB 11-008: Aligning Medicaid Eligibility for Children								
Eligible Children: Family Medical Program	26,987	\$223.46	\$6,030,515	\$2,110,680	\$0	\$0	\$3,919,835	65.00%
SB 11-250: Eligibility for Pregnant Women in Medicaid								
Baby Care Program-Adults	1,931	\$300.51	\$580,285	\$203,100	\$0	\$0	\$377,185	65.00%
SB 13-200: Expanding Medicaid Eligibility in Colorado								
Expansion Adults to 60%	2,975	\$300.51	\$894,017	\$447,008	\$0	\$0	\$447,009	50.00%
Expansion Adults to 133%	19,870	\$300.51	\$5,971,134	\$0	\$0	\$0	\$5,971,134	100.00%
Adults without Dependant Children	167,273	\$753.54	\$126,046,896	\$0	\$0	\$0	\$126,046,896	100.00%
Eligible Children	39,402	\$223.46	\$8,804,771	\$4,402,385	\$0	\$0	\$4,402,386	50.00%
¹ The Department's allocation methodology is described in the Expansion Populations section of this Budget Request. ² The Department will receive 100% FFP for the Expansion Adults to 133% and Adults without Dependent Children populations beginning in January 2014 due to the passage of the Affordable Care Act.								

Exhibit KK - Medicaid Mental Health Fee-For-Service Forecast

FY 2013-14 Calculation

Components	FY 2012-13 Actual	FY 2013-14 Appropriation	Estimated Change in Total Mental Health Caseload			FY 2013-14 Estimate ⁽¹⁾	FY 2013-14 Change from Appropriation
			FY 2012-13 Average Monthly Caseload	FY 2013-14 Forecasted Average Monthly Caseload	Forecasted Change in Caseload		
<i>Inpatient Services</i>	\$923,795	\$1,051,197	659,104	787,333	19.46%	\$1,145,424	\$94,227
<i>Outpatient Services</i>	\$3,193,170	\$3,633,544	659,104	787,333	19.46%	\$3,959,248	\$325,704
<i>Physician Services</i>	\$102,210	\$116,305	659,104	787,333	19.46%	\$126,731	\$10,426
Total After Prior Year Adjustments	\$4,219,174	\$4,801,046				\$5,231,403	\$430,357

¹ FY 2013-14 estimates are adjusted for the 53 weeks in the fiscal year and a 2% provider rate increase.

FY 2014-15 Calculation

Components	FY 2013-14 Estimate ⁽²⁾	Estimated Change in Total Mental Health Caseload			FY 2014-15 Estimate	FY 2014-15 Change from FY 2013-14 Estimate
		FY 2013-14 Forecasted Average Monthly Caseload	FY 2014-15 Forecasted Average Monthly Caseload	Forecasted Change in Caseload		
<i>Inpatient Services</i>	\$1,123,812	787,333	939,581	19.34%	\$1,366,917	\$243,105
<i>Outpatient Services</i>	\$3,884,545	787,333	939,581	19.34%	\$4,724,855	\$840,310
<i>Physician Services</i>	\$124,340	787,333	939,581	19.34%	\$151,237	\$26,897
Total After Prior Year Adjustments	\$5,132,697				\$6,243,009	\$1,110,312

² The FY 2013-14 estimates are the base for the FY 2014-15 estimates and are therefore readjusted for the 52 week fiscal year .

FY 2015-16 Calculation

Components	FY 2014-15 Estimate ⁽³⁾	Estimated Change in Total Mental Health Caseload			FY 2015-16 Estimate	FY 2015-16 Change from FY 2014-15 Estimate
		FY 2014-15 Forecasted Average Monthly Caseload	FY 2015-16 Forecasted Average Monthly Caseload	Forecasted Change in Caseload		
<i>Inpatient Services</i>	\$1,341,126	939,581	998,384	6.26%	\$1,425,060	\$83,934
<i>Outpatient Services</i>	\$4,635,707	939,581	998,384	6.26%	\$4,925,829	\$290,122
<i>Physician Services</i>	\$148,383	939,581	998,384	6.26%	\$157,670	\$9,287
Total After Prior Year Adjustments	\$6,125,216				\$6,508,559	\$383,343

³ The FY 2014-15 estimates are the base for the FY 2015-16 estimates.

Exhibit KK - Medicaid Mental Health Fee-For-Service Forecast					
Medicaid Mental Health Fee-for-Service Fund Splits					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
Total Estimated FY 2013-14 Fee-for-Service Expenditure	\$5,231,403	\$2,615,701	\$0	\$0	\$2,615,702
Total Estimated FY 2014-15 Fee-for-Service Expenditure	\$6,243,009	\$3,121,504	\$0	\$0	\$3,121,505
Total Estimated FY 2015-16 Fee-for-Service Expenditure	\$6,508,559	\$3,254,279	\$0	\$0	\$3,254,280

Exhibit LL - Global Reasonableness Test for Behavioral Health Capitation Payments ⁽¹⁾

	Actual/Estimated Expenditures	Percent Change	Dollar Change	Two-year Rolling Average	Percent Change Two-year Average	Three-year Rolling Average	Percent Change Three-year Average
FY 2007-08 Actual	\$196,011,033	-	-	-	-	-	-
FY 2008-09 Actual	\$215,860,937	10.13%	\$19,849,904	\$205,935,985	-	-	-
FY 2009-10 Actual	\$226,620,818	4.98%	\$10,759,881	\$221,240,878	7.43%	\$212,830,929	-
FY 2010-11 Actual	\$251,146,027	10.82%	\$24,525,209	\$238,883,423	7.97%	\$231,209,261	8.64%
FY 2011-12 Actual	\$271,506,635	8.11%	\$20,360,608	\$261,326,331	9.39%	\$249,757,827	8.02%
FY 2012-13 Actual	\$301,303,046	10.97%	\$29,796,411	\$286,404,840	9.60%	\$274,651,903	9.97%
FY 2013-14 Appropriation vs. FY 2012-13 Actual	\$380,837,424	26.40%	\$79,534,378	\$341,070,235	19.09%	\$317,882,368	15.74%
FY 2013-14 Estimate vs. FY 2012-13 Actual	\$377,023,145	25.13%	\$75,720,099	\$339,163,095	18.42%	\$316,610,942	15.28%
FY 2013-14 Estimate vs. 2012-13 Appropriation	\$377,023,145	-1.00%	(\$3,814,279)	\$339,163,095	-0.56%	\$316,610,942	-0.40%
FY 2014-15 Estimate vs. FY 2013-14 Appropriation	\$482,420,276	26.67%	\$101,582,852	\$431,628,850	26.55%	\$388,186,915	22.12%
FY 2014-15 Estimate vs. FY 2013-14 Estimate	\$482,420,276	27.96%	\$105,397,131	\$429,721,711	26.70%	\$386,915,489	22.21%
FY 2015-16 Estimate vs. FY 2013-14 Appropriation	\$529,829,525	39.12%	\$148,992,101	\$455,333,475	33.50%	\$464,362,408	46.08%
FY 2015-16 Estimate vs. FY 2014-15 Estimate	\$529,829,525	9.83%	\$47,409,249	\$506,124,901	17.78%	\$463,090,982	19.69%

¹ This analysis compares the percent change between Behavioral Health Capitation Payments Reported in Exhibit DD. Other Behavioral Health Payments have been excluded.