

**Schedule 13
Funding Request for the 2012-13 Budget Cycle**

Department: Health Care Policy and Financing

Request Title: Medicaid Mental Health Community Programs

Priority Number: R-2

Dept. Approval by: John Bartholomew *TJB 10/20/11* Date

OSPB Approval by: *[Signature]* 10/24/11 Date

| | |
|-------------------------------------|---------------------------------------|
| <input checked="" type="checkbox"/> | Decision Item FY 2012-13 |
| <input type="checkbox"/> | Base Reduction Item FY 2012-13 |
| <input type="checkbox"/> | Supplemental FY 2011-12 |
| <input type="checkbox"/> | Budget Amendment FY 2012-13 |

| Line Item Information | | FY 2011-12 | | FY 2012-13 | | FY 2013-14 |
|--|--------------|-----------------------------|---------------------------------------|----------------------------|--|--------------------------------------|
| | | 1 | 2 | 3 | 4 | 5 |
| | Fund | Appropriation FY 2011-12 | Supplemental Request FY 2011-12 | Base Request FY 2012-13 | Funding Change Request FY 2012-13 | Continuation Amount FY 2013-14 |
| Total of All Line Items | Total | \$276,400,984 | \$0 | \$277,590,898 | \$36,614,308 | \$67,573,083 |
| | FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| | GF | \$127,777,722 | \$0 | \$128,194,192 | \$21,388,240 | \$33,044,853 |
| | GFE | \$0 | \$0 | \$0 | \$0 | \$0 |
| | CF | \$10,510,223 | \$0 | \$10,510,223 | (\$3,087,673) | \$725,348 |
| | RF | \$13,544 | \$0 | \$13,544 | (\$13,544) | (\$13,544) |
| | FF | \$138,099,495 | \$0 | \$138,872,939 | \$18,327,285 | \$33,816,426 |
| (3) Medicaid Mental Health Community Programs; Mental Health Capitation Payments | Total | \$272,492,157 | \$0 | \$273,682,071 | \$36,100,428 | \$66,757,272 |
| | FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| | GF | \$125,823,308 | \$0 | \$126,239,778 | \$21,131,301 | \$32,636,948 |
| | GFE | \$0 | \$0 | \$0 | \$0 | \$0 |
| | CF | \$10,510,223 | \$0 | \$10,510,223 | (\$3,087,673) | \$725,348 |
| | RF | \$13,544 | \$0 | \$13,544 | (\$13,544) | (\$13,544) |
| | FF | \$136,145,082 | \$0 | \$136,918,526 | \$18,070,344 | \$33,408,520 |
| (3) Medicaid Mental Health Community Programs; Medicaid Mental Health Fee for Services Payments | Total | \$3,908,827 | \$0 | \$3,908,827 | \$513,880 | \$815,811 |
| | FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| | GF | \$1,954,414 | \$0 | \$1,954,414 | \$256,939 | \$407,905 |
| | GFE | \$0 | \$0 | \$0 | \$0 | \$0 |
| | CF | \$0 | \$0 | \$0 | \$0 | \$0 |
| | RF | \$0 | \$0 | \$0 | \$0 | \$0 |
| | FF | \$1,954,413 | \$0 | \$1,954,413 | \$256,941 | \$407,906 |

Letternote Text Revision Required? Yes: No: **If yes, describe the Letternote Text Revision:**

See Exhibit BB for cash fund splits.

Cash or Federal Fund Name and COFRS Fund Number: Breast and Cervical Cancer Prevention and Treatment Fund (Fund 15D); Hospital Provider Fee Cash Fund (24A).
FF: Title XIX.

Reappropriated Funds Source, by Department and Line Item Name: Transfer from the Department of Public Health and Environment, Prevention, Early Detection, and Treatment Fund.

Approval by OIT? Yes: No: Not Required:

Schedule 13s from Affected Departments: None.

Other Information:

| Exhibit | Title of Exhibit |
|------------|--|
| Exhibit AA | Calculation of Current Total Long Bill Group Impact |
| Exhibit BB | Calculation of Fund Splits |
| Exhibit CC | Medicaid Mental Health Community Programs Summary |
| Exhibit DD | Medicaid Mental Health Community Programs, Caseload |
| Exhibit DD | Medicaid Mental Health Community Programs, Mental Health Capitation Payments Per Capita Historical Summary |
| Exhibit DD | Medicaid Mental Health Community Programs, Expenditures Historical Summary |
| Exhibit DD | Adjustments to Medicaid Mental Health Community Programs Expenditures for Inclusion of Goebel Expenditures |
| Exhibit EE | Expenditure Calculations by Eligibility Category |
| Exhibit EE | Incurred But Not Reported Runout by Fiscal Period |
| Exhibit EE | Incurred But Not Reported Expenditures by Fiscal Period |
| Exhibit FF | Medicaid Mental Health Retroactivity Adjustment |
| Exhibit FF | Medicaid Mental Health Partial Month Adjustment Multiplier |
| Exhibit GG | Medicaid Mental Health Capitation Rate Trends and Forecasts |
| Exhibit HH | Forecast Model Comparisons - Final Forecasts |
| Exhibit HH | Forecast Model Comparisons - Capitation Trend Models |
| Exhibit II | Recoupment of Payments Made for Clients Found to be Ineligible for Medicaid |
| Exhibit JJ | Cash Funded Expansion Populations |
| Exhibit KK | Medicaid Mental Health Fee For Service Forecast |
| Exhibit LL | Global Reasonableness Test for Medicaid Mental Health Capitation Payments |

Exhibit AA - Calculation of Current Total Long Bill Group Impact**FY 2011-12 Mental Health Capitation**

| Item | Total Request | General Fund | General Fund Exempt | Cash Funds | Reappropriated Funds | Federal Funds |
|--|----------------------|----------------------|---------------------|----------------------|----------------------|----------------------|
| FY 2011-12 Mental Health Capitation Appropriation | | | | | | |
| FY 2011-12 Long Bill Appropriation (SB 11-209) | \$272,492,157 | \$125,823,308 | \$0 | \$10,510,223 | \$13,544 | \$136,145,082 |
| FY 2011-12 Total Mental Health Capitation Spending Authority | \$272,492,157 | \$125,823,308 | \$0 | \$10,510,223 | \$13,544 | \$136,145,082 |
| Projected Total FY 2011-12 Mental Health Capitation Expenditure | \$276,581,733 | \$133,463,578 | \$0 | \$4,941,929 | \$0 | \$138,176,226 |
| FY 2011-12 Mental Health Capitation Estimated Change from Appropriation | \$4,089,576 | \$7,640,270 | \$0 | (\$5,568,294) | (\$13,544) | \$2,031,144 |
| Percent Change from Spending Authority | 1.50% | 6.07% | - | -52.98% | -100.00% | 1.49% |

FY 2011-12 Mental Health Fee for Service

| | | | | | | |
|---|--------------------|--------------------|------------|------------|------------|--------------------|
| FY 2011-12 Mental Health Fee-For-Service Appropriation | | | | | | |
| FY 2011-12 Long Bill Appropriation (SB 11-209) | \$3,908,827 | \$1,954,414 | \$0 | \$0 | \$0 | \$1,954,413 |
| FY 2011-12 Total Mental Health Fee-For-Service Spending Authority | \$3,908,827 | \$1,954,414 | \$0 | \$0 | \$0 | \$1,954,413 |
| Projected Total FY 2011-12 Mental Health Fee-for-Service Expenditure | \$4,111,462 | \$2,055,731 | \$0 | \$0 | \$0 | \$2,055,731 |
| FY 2011-12 Mental Health Fee-For-Service Estimated Change from Appropriation | \$202,635 | \$101,317 | \$0 | \$0 | \$0 | \$101,318 |
| Percent Change from Spending Authority | 5.18% | 5.18% | - | - | - | 5.18% |

FY 2011-12 Medicaid Mental Health Programs

| | | | | | | |
|---|----------------------|----------------------|------------|----------------------|-------------------|----------------------|
| FY 2011-12 Total Spending Authority | \$276,400,984 | \$127,777,722 | \$0 | \$10,510,223 | \$13,544 | \$138,099,495 |
| Total Projected FY 2011-12 Expenditures | \$280,693,195 | \$135,519,309 | \$0 | \$4,941,929 | \$0 | \$140,231,957 |
| FY 2011-12 Estimated Change from Appropriation | \$4,292,211 | \$7,741,587 | \$0 | (\$5,568,294) | (\$13,544) | \$2,132,462 |
| Percent Change from Spending Authority | 1.55% | 6.06% | - | -52.98% | -100.00% | 1.54% |

Exhibit AA - Calculation of Current Total Long Bill Group Impact**FY 2012-13 Mental Health Capitation**

| Item | Total Request | General Fund | General Fund Exempt | Cash Funds | Reappropriated Funds | Federal Funds |
|--|----------------------|----------------------|---------------------|----------------------|----------------------|----------------------|
| FY 2011-12 Mental Health Capitation Appropriation Plus Special Bills | \$272,492,157 | \$125,823,308 | \$0 | \$10,510,223 | \$13,544 | \$136,145,082 |
| Bill Annualizations | | | | | | |
| SB 11-008 "Medicaid Eligibility for Children" | \$1,009,781 | \$353,423 | \$0 | \$0 | \$0 | \$656,358 |
| SB 11-250 "Pregnant Women Medicaid Eligibility" | \$180,133 | \$63,047 | \$0 | \$0 | \$0 | \$117,086 |
| FY 2012-13 Mental Health Capitation Base Amount | \$273,682,071 | \$126,239,778 | \$0 | \$10,510,223 | \$13,544 | \$136,918,526 |
| Projected Total FY 2012-13 Mental Health Capitation Expenditure | \$309,782,499 | \$147,371,079 | \$0 | \$7,422,550 | \$0 | \$154,988,870 |
| FY 2012-13 Mental Health Capitation Request | \$36,100,428 | \$21,131,301 | \$0 | (\$3,087,673) | (\$13,544) | \$18,070,344 |
| Percent Change from FY 2012-13 Mental Health Capitation Base | 13.19% | 16.74% | - | -29.38% | -100.00% | 13.20% |
| Percent Change from FY 2011-12 Estimated Mental Health Capitation Expenditure | 12.00% | 10.42% | - | 50.20% | 0.00% | 12.17% |
| FY 2012-13 Mental Health Fee for Service | | | | | | |
| FY 2011-12 Mental Health Fee-For-Service Appropriation Plus Special Bills | \$3,908,827 | \$1,954,414 | \$0 | \$0 | \$0 | \$1,954,413 |
| FY 2012-13 Mental Health Fee-For-Service Base Amount | \$3,908,827 | \$1,954,414 | \$0 | \$0 | \$0 | \$1,954,413 |
| Projected Total FY 2011-12 Mental Health Fee-for-Service Expenditure | \$4,422,707 | \$2,211,353 | \$0 | \$0 | \$0 | \$2,211,354 |
| FY 2012-13 Mental Health Fee-For-Service Request | \$513,880 | \$256,939 | \$0 | \$0 | \$0 | \$256,941 |
| Percent Change from FY 2012-13 Mental Health Fee-For-Service Base | 13.15% | 13.15% | - | - | - | 13.15% |
| Percent Change from FY 2011-12 Estimated Mental Health Fee-For-Service Expenditure | 7.57% | 7.57% | - | - | - | 7.57% |
| FY 2012-13 Medicaid Mental Health Programs | | | | | | |
| FY 2012-13 Base Amount | \$277,590,898 | \$128,194,192 | \$0 | \$10,510,223 | \$13,544 | \$138,872,939 |
| Total Projected FY 2012-13 Expenditure | \$314,205,206 | \$149,582,432 | \$0 | \$7,422,550 | \$0 | \$157,200,224 |
| FY 2012-13 Request | \$36,614,308 | \$21,388,240 | \$0 | (\$3,087,673) | (\$13,544) | \$18,327,285 |
| Percent Change from Spending Authority | 13.19% | 16.68% | - | -29.38% | -100.00% | 13.20% |

Exhibit AA - Calculation of Current Total Long Bill Group Impact

FY 2013-14 Mental Health Capitation

| Item | Total Request | General Fund | General Fund Exempt | Cash Funds | Reappropriated Funds | Federal Funds |
|--|----------------------|----------------------|---------------------|---------------------|----------------------|----------------------|
| FY 2012-13 Mental Health Capitation Appropriation Plus Special Bills | \$273,682,071 | \$126,239,778 | \$0 | \$10,510,223 | \$13,544 | \$136,918,526 |
| Bill Annualizations | | | | | | |
| SB 11-008 Annualization "Medicaid Eligibility for Children" | \$3,218,931 | \$1,126,626 | \$0 | \$0 | \$0 | \$2,092,305 |
| SB 11-250 Annualization "Pregnant Women Medicaid Eligibility" | \$215,864 | \$75,552 | \$0 | \$0 | \$0 | \$140,312 |
| FY 2013-14 Mental Health Capitation Base Amount | \$277,116,866 | \$127,441,956 | \$0 | \$10,510,223 | \$13,544 | \$139,151,143 |
| Projected Total FY 2013-14 Mental Health Capitation Expenditure | \$343,874,138 | \$160,078,904 | \$0 | \$11,235,571 | \$0 | \$172,559,663 |
| FY 2013-14 Mental Health Capitation Continuation Amount | \$66,757,272 | \$32,636,948 | \$0 | \$725,348 | (\$13,544) | \$33,408,520 |
| Percent Change from FY 2013-14 Mental Health Capitation Base | 24.09% | 25.61% | - | 6.90% | -100.00% | 24.01% |
| Percent Change from FY 2012-13 Estimated Mental Health Capitation Expenditure | 11.01% | 8.62% | - | 51.37% | 0.00% | 11.34% |
| FY 2013-14 Mental Health Fee for Service | | | | | | |
| FY 2012-13 Mental Health Fee-For-Service Appropriation Plus Special Bills | \$3,908,827 | \$1,954,414 | \$0 | \$0 | \$0 | \$1,954,413 |
| FY 2013-14 Mental Health Fee-For-Service Base Amount | \$3,908,827 | \$1,954,414 | \$0 | \$0 | \$0 | \$1,954,413 |
| Projected Total FY 2012-13 Mental Health Fee-for-Service Expenditure | \$4,724,638 | \$2,362,319 | \$0 | \$0 | \$0 | \$2,362,319 |
| FY 2013-14 Mental Health Fee-For-Service Continuation Amount | \$815,811 | \$407,905 | \$0 | \$0 | \$0 | \$407,906 |
| Percent Change from FY 2013-14 Mental Health Fee-For-Service Base | 20.87% | 20.87% | - | - | - | 20.87% |
| Percent Change from FY 2012-13 Estimated Mental Health Fee-For-Service Expenditure | 6.83% | 6.83% | - | - | - | 6.83% |
| FY 2013-14 Medicaid Mental Health Programs | | | | | | |
| FY 2013-14 Base Amount | \$281,025,693 | \$129,396,370 | \$0 | \$10,510,223 | \$13,544 | \$141,105,556 |
| Total Projected FY 2013-14 Expenditure | \$348,598,776 | \$162,441,223 | \$0 | \$11,235,571 | \$0 | \$174,921,982 |
| FY 2013-14 Continuation Amount | \$67,573,083 | \$33,044,853 | \$0 | \$725,348 | (\$13,544) | \$33,816,426 |
| Percent Change from Spending Authority | 24.05% | 25.54% | - | 6.90% | -100.00% | 23.97% |

Note: FY 2013-14 request is based on current FPL levels; it does not account for potential changes in CY 2014 from the Affordable Care Act

| Exhibit BB - Calculation of Fund Splits | | | | | | | |
|---|----------------------|----------------------|--------------------|----------------------|----------------------|----------|--|
| Calculation of Fund Splits - FY 2011-12 Mental Health Estimate | | | | | | | |
| Item | Total Estimate | General Fund | Cash Funds | Reappropriated Funds | Federal Funds | FFP Rate | Source of Funding |
| Mental Health Capitation Base Traditional Clients | \$268,540,138 | \$134,270,069 | \$0 | \$0 | \$134,270,069 | 50.00% | General Fund |
| Breast and Cervical Cancer Program Traditional Clients | \$182,790 | \$0 | \$63,976 | \$0 | \$118,814 | 65.00% | CF: Breast and Cervical Cancer Prevention and Treatment Fund |
| HB 09-1293 Hospital Provider Fee Expansion Clients | \$9,766,608 | \$0 | \$4,883,303 | \$0 | \$4,883,305 | 50.00% | CF: Hospital Provider Fee Cash Fund |
| SB 11-008: Aligning Medicaid Eligibility for Children | \$0 | \$0 | \$0 | \$0 | \$0 | 65.00% | General Fund |
| SB 11-250: Eligibility for Pregnant Women in Medicaid | \$0 | \$0 | \$0 | \$0 | \$0 | 65.00% | General Fund |
| Estimated FY 2011-12 Capitation Expenditure | \$278,489,536 | \$134,270,069 | \$4,947,279 | \$0 | \$139,272,188 | | |
| Date of Death Retractions | (\$425,860) | (\$212,930) | \$0 | \$0 | (\$212,930) | 50.00% | |
| Estimated Recoupments | (\$1,481,943) | (\$593,561) | (\$5,350) | \$0 | (\$883,032) | 59.59% | CF: Hospital Provider Fee Cash Fund |
| Final Estimated FY 2011-12 Capitation Expenditure | \$276,581,733 | \$133,463,578 | \$4,941,929 | \$0 | \$138,176,226 | | |
| Medicaid Mental Health Fee for Service Payments | \$4,111,462 | \$2,055,731 | \$0 | \$0 | \$2,055,731 | 50.00% | |
| Final Estimated FY 2011-12 Medicaid Mental Health Community Programs Expenditure | \$280,693,195 | \$135,519,309 | \$4,941,929 | \$0 | \$140,231,957 | | |

Note: FY 2011-12 final letternote amount for Hospital Provider Fee Cash Fund is \$4,877,953 and for Breast and Cervical Cancer Prevention and Treatment Cash Fund is \$63,976.

| Exhibit BB - Calculation of Fund Splits | | | | | | | |
|---|----------------------|----------------------|--------------------|----------------------|----------------------|----------|--|
| Calculation of Fund Split - FY 2012-13 Mental Health Request | | | | | | | |
| Item | Total Request | General Fund | Cash Funds | Reappropriated Funds | Federal Funds | FFP Rate | Source of Funding |
| Mental Health Capitation Base Traditional Clients | \$294,963,918 | \$147,481,959 | \$0 | \$0 | \$147,481,959 | 50.00% | |
| Breast and Cervical Cancer Program Traditional Clients | \$212,114 | \$37,120 | \$37,120 | \$0 | \$137,874 | 65.00% | CF: Breast and Cervical Cancer Prevention and Treatment Fund |
| HB 09-1293 Hospital Provider Fee Expansion Clients | \$14,858,508 | \$0 | \$7,429,253 | \$0 | \$7,429,255 | 50.00% | CF: Hospital Provider Fee Cash Fund |
| SB 11-008: Aligning Medicaid Eligibility for Children | \$826,826 | \$289,389 | \$0 | \$0 | \$537,437 | 65.00% | General Fund |
| SB 11-250: Eligibility for Pregnant Women in Medicaid | \$176,187 | \$61,665 | \$0 | \$0 | \$114,522 | 65.00% | General Fund |
| Estimated FY 2012-13 Capitation Expenditure | \$311,037,553 | \$147,870,133 | \$7,466,373 | \$0 | \$155,701,047 | | |
| Date of Death Retractions | (\$383,273) | (\$191,636) | \$0 | \$0 | (\$191,637) | 50.00% | |
| Estimated Recoupments | (\$871,781) | (\$307,418) | (\$43,823) | \$0 | (\$520,540) | 59.71% | CF: Hospital Provider Fee Cash Fund |
| Final Estimated FY 2012-13 Capitation Expenditure | \$309,782,499 | \$147,371,079 | \$7,422,550 | \$0 | \$154,988,870 | | |
| Medicaid Mental Health Fee for Service Payments | \$4,422,707 | \$2,211,353 | \$0 | \$0 | \$2,211,354 | 50.00% | |
| Final Estimated FY 2012-13 Medicaid Mental Health Community Programs Expenditure | \$314,205,206 | \$149,582,432 | \$7,422,550 | \$0 | \$157,200,224 | | |

Note: FY 2012-13 final letternote amount for Hospital Provider Fee Cash Fund is \$7,385,430 and for Breast and Cervical Cancer Prevention and Treatment Cash Fund is \$37,120.

| Exhibit BB - Calculation of Fund Splits | | | | | | | |
|---|----------------------|----------------------|---------------------|-----------------------------|----------------------|-----------------|--|
| Calculation of Fund Split - FY 2013-14 Mental Health Request | | | | | | | |
| Item | Total Request | General Fund | Cash Funds | Reappropriated Funds | Federal Funds | FFP Rate | Source of Funding |
| Mental Health Capitation Base Traditional Clients | \$318,530,738 | \$159,265,369 | \$0 | \$0 | \$159,265,369 | 50.00% | |
| Breast and Cervical Cancer Program Traditional Clients | \$238,034 | \$41,656 | \$41,656 | \$0 | \$154,722 | 65.00% | CF: Breast and Cervical Cancer Prevention and Treatment Fund |
| HB 09-1293 Hospital Provider Fee Expansion Clients | \$22,497,635 | \$0 | \$11,248,817 | \$0 | \$11,248,818 | 50.00% | CF: Hospital Provider Fee Cash Fund |
| SB 11-008: Aligning Medicaid Eligibility for Children | \$3,547,528 | \$1,241,635 | \$0 | \$0 | \$2,305,893 | 65.00% | General Fund |
| SB 11-250: Eligibility for Pregnant Women in Medicaid | \$365,066 | \$127,773 | \$0 | \$0 | \$237,293 | 65.00% | General Fund |
| Estimated FY 2013-14 Capitation Expenditure | \$345,179,001 | \$160,676,433 | \$11,290,473 | \$0 | \$173,212,095 | | |
| Date of Death Retractions | (\$344,945) | (\$172,472) | \$0 | \$0 | (\$172,473) | 50.00% | |
| Estimated Recoupments | (\$959,918) | (\$425,057) | (\$54,902) | \$0 | (\$479,959) | 50.00% | CF: Hospital Provider Fee Cash Fund |
| Final Estimated FY 2013-14 Capitation Expenditure | \$343,874,138 | \$160,078,904 | \$11,235,571 | \$0 | \$172,559,663 | | |
| Medicaid Mental Health Fee for Service Payments | \$4,724,638 | \$2,362,319 | \$0 | \$0 | \$2,362,319 | 50.00% | |
| Final Estimated FY 2013-14 Medicaid Mental Health Community Programs Expenditure | \$348,598,776 | \$162,441,223 | \$11,235,571 | \$0 | \$174,921,982 | | |

Exhibit CC - Medicaid Mental Health Community Programs Expenditure Summary
Actuals, Appropriations and Requests Prior to Recoupments

| ITEM | FY 2010-11 Actual | | FY 2011-12 Appropriated | | FY 2011-12 Estimate | | FY 2011-12 Change from Appropriation | | FY 2012-13 Request | | FY 2012-13 Change from FY 2011-12 Estimate | | FY 2012-13 Change from FY 2011-12 Appropriation | | FY 2013-14 Request | | FY 2013-14 Change from FY 2012-13 Estimate | | |
|---|-------------------|----------------------|-------------------------|----------------------|---------------------|----------------------|--------------------------------------|--------------------|--------------------|----------------------|--|---------------------|---|---------------------|--------------------|----------------------|--|---------------------|--|
| | Caseload | Expenditure | Caseload | Expenditure | Caseload | Expenditure | Caseload | Expenditure | Caseload | Expenditure | Caseload | Expenditure | Caseload | Expenditure | Caseload | Expenditure | Caseload | Expenditure | |
| Mental Health Capitation Payments | | | | | | | | | | | | | | | | | | | |
| Adults 65 and Older (OAP-A) | 38,921 | \$6,265,262 | 39,556 | \$6,179,743 | 39,579 | \$6,692,978 | 23 | \$513,235 | 40,347 | \$7,029,046 | 768 | \$336,068 | 791 | \$849,303 | 41,118 | \$7,377,833 | 771 | \$348,787 | |
| Disabled Individuals Through 64 (AND/AB, OAP-B) | 64,048 | \$112,579,810 | 70,268 | \$126,618,910 | 68,104 | \$126,011,751 | (2,164) | (\$607,159) | 73,451 | \$145,733,897 | 5,347 | \$19,722,146 | 3,183 | \$19,114,987 | 79,544 | \$166,697,556 | 6,093 | \$20,963,659 | |
| Low Income Adults | 116,146 | \$31,142,656 | 129,767 | \$35,925,352 | 135,981 | \$38,674,580 | 6,214 | \$2,749,228 | 146,548 | \$43,254,871 | 10,567 | \$4,580,291 | 16,781 | \$7,329,519 | 152,031 | \$46,489,681 | 5,483 | \$3,234,810 | |
| Eligible Children (AFDC-C/BC) | 302,381 | \$57,953,130 | 316,392 | \$61,721,658 | 332,377 | \$66,713,969 | 15,985 | \$4,992,311 | 360,359 | \$75,419,689 | 27,982 | \$8,705,720 | 43,967 | \$13,698,031 | 391,310 | \$84,998,086 | 30,951 | \$9,578,397 | |
| Foster Care | 18,392 | \$43,070,676 | 18,878 | \$42,966,292 | 18,363 | \$40,213,468 | (515) | (\$2,752,824) | 18,668 | \$39,387,936 | 305 | (\$825,532) | (210) | (\$3,578,356) | 19,008 | \$39,377,811 | 340 | (\$10,125) | |
| Breast and Cervical Cancer Program | 531 | \$134,493 | 595 | \$164,458 | 640 | \$182,790 | 45 | \$18,332 | 717 | \$212,114 | 77 | \$29,324 | 122 | \$47,656 | 777 | \$238,034 | 60 | \$25,920 | |
| Sub-total Mental Health Capitation Payments | 540,419 | \$251,146,027 | 575,456 | \$273,576,413 | 595,044 | \$278,489,536 | 19,588 | \$4,913,123 | 640,090 | \$311,037,553 | 45,046 | \$32,548,017 | 64,634 | \$37,461,140 | 683,788 | \$345,179,001 | 43,698 | \$34,141,448 | |
| Recoupments for Prior Years' Payments for Ineligibles | | (\$1,793,362) | | (\$1,084,255) | | (\$1,481,943) | | (\$397,688) | | (\$871,781) | | \$610,162 | | \$212,474 | | (\$959,918) | | (\$88,137) | |
| Date of Death Retractions ⁽¹⁾ | | (\$473,178) | | \$0 | | (\$425,860) | | (\$425,860) | | (\$383,273) | | \$42,587 | | (\$383,273) | | (\$344,945) | | \$38,328 | |
| Total Mental Health Capitation Payments | 540,419 | \$249,352,665 | 575,456 | \$272,492,158 | 595,044 | \$276,581,733 | 19,588 | \$4,089,575 | 640,090 | \$309,782,499 | 45,046 | \$33,200,766 | 64,634 | \$37,290,341 | 683,788 | \$343,874,138 | 638,742 | \$34,091,639 | |
| Incremental Percent Change | | | | | | | 3.40% | 1.50% | | | 7.57% | 12.00% | 11.23% | 13.68% | | | 1417.98% | 11.01% | |
| Mental Health Fee for Service Payments | | | | | | | | | | | | | | | | | | | |
| Inpatient Services | | \$802,447 | | \$810,373 | | \$844,851 | | \$34,478 | | \$908,808 | | \$63,957 | | \$98,435 | | \$970,851 | | \$62,043 | |
| Outpatient Services | | \$2,971,816 | | \$3,001,171 | | \$3,162,407 | | \$161,236 | | \$3,401,807 | | \$239,400 | | \$400,636 | | \$3,634,043 | | \$232,236 | |
| Physician Services | | \$96,331 | | \$97,283 | | \$104,204 | | \$6,921 | | \$112,092 | | \$7,888 | | \$14,809 | | \$119,744 | | \$7,652 | |
| Total Mental Health Fee-for-Service Payments | | \$3,870,594 | | \$3,908,827 | | \$4,111,462 | | \$202,635 | | \$4,422,707 | | \$311,245 | | \$513,880 | | \$4,724,638 | | \$301,931 | |
| Total Mental Health Community Programs | | \$253,223,259 | | \$276,400,985 | | \$280,693,195 | | \$4,292,210 | | \$314,205,206 | | \$33,512,011 | | \$37,804,221 | | \$348,598,776 | | \$34,393,570 | |
| Incremental Percent Change | | | | | | | | 1.55% | | | | 11.94% | | 13.68% | | | | 10.95% | |

¹ Date of death retractions are already included in FY 2010-11 actual expenditure figures; the total amount of retractions is presented here for informational purposes.

Exhibit DD - Medicaid Mental Health Community Programs, Caseload

Medicaid Mental Health Community Programs Caseload

| Item | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults | Eligible Children (AFDC-C/ BC) | Foster Care | Breast and Cervical Cancer Program | TOTAL MENTAL HEALTH |
|---|-----------------------------|---|-------------------|--------------------------------|-------------|------------------------------------|---------------------|
| FY 2005-06 Actuals | 36,207 | 53,897 | 64,004 | 214,158 | 16,460 | 188 | 384,914 |
| FY 2006-07 Actuals | 35,888 | 54,858 | 61,031 | 205,390 | 16,724 | 228 | 374,119 |
| % Change from FY 2005-06 | -0.88% | 1.78% | -4.65% | -4.09% | 1.60% | 21.28% | -2.80% |
| FY 2007-08 Actuals | 36,284 | 56,079 | 59,761 | 204,022 | 17,141 | 270 | 373,557 |
| % Change from FY 2006-07 | 1.10% | 2.23% | -2.08% | -0.67% | 2.49% | 18.42% | -0.15% |
| FY 2008-09 Actuals | 37,619 | 57,802 | 68,850 | 235,129 | 18,033 | 317 | 417,750 |
| % Change from FY 2007-08 | 3.68% | 3.07% | 15.21% | 15.25% | 5.20% | 17.41% | 11.83% |
| FY 2009-10 Actuals | 38,487 | 60,313 | 85,907 | 275,672 | 18,381 | 425 | 479,185 |
| % Change from FY 2008-09 | 2.31% | 4.34% | 24.77% | 17.24% | 1.93% | 34.07% | 14.71% |
| FY 2010-11 Actuals | 38,921 | 64,048 | 116,146 | 302,381 | 18,392 | 531 | 540,419 |
| % Change from FY 2009-10 | 1.13% | 6.19% | 35.20% | 9.69% | 0.06% | 24.94% | 12.78% |
| FY 2011-12 Projection | 39,579 | 68,104 | 135,981 | 332,377 | 18,363 | 640 | 595,044 |
| % Change from FY 2010-11 | 1.69% | 6.33% | 17.08% | 9.92% | -0.16% | 20.53% | 10.11% |
| FY 2012-13 Projection | 40,347 | 73,451 | 146,548 | 360,359 | 18,668 | 717 | 640,090 |
| % Change from FY 2011-12 | 1.94% | 7.85% | 7.77% | 8.42% | 1.66% | 12.03% | 7.57% |
| FY 2013-14 Projection | 41,118 | 79,544 | 152,031 | 391,310 | 19,008 | 777 | 683,788 |
| % Change from FY 2012-13 | 1.91% | 8.30% | 3.74% | 8.59% | 1.82% | 8.37% | 6.83% |
| FY 2011-12 Appropriation | 39,556 | 70,268 | 129,767 | 316,392 | 18,878 | 595 | 575,456 |
| Difference between the FY 2011-12 Appropriation and the FY 2011-12 Projection | 23 | (2,164) | 6,214 | 15,985 | (515) | 45 | 19,588 |

Expanded Medicaid Caseload for Mental Health Community Programs

| Item | Adults 65 and Older (OAP-A) | Disabled Adults 60 to 64 (OAP-B) | Disabled Individuals to 59 (AND/AB) ⁽¹⁾ | Categorically Eligible Low-Income Adults (AFDC-A) | Expansion Adults to 60% FPL | Expansion Adults to 100% FPL | Baby Care Program-Adults | Eligible Children (AFDC-C/ BC) | Foster Care | Breast and Cervical Cancer Program | TOTAL MENTAL HEALTH |
|---|-----------------------------|----------------------------------|--|---|-----------------------------|------------------------------|--------------------------|--------------------------------|-------------|------------------------------------|---------------------|
| FY 2005-06 Actuals | 36,207 | 6,042 | 47,855 | 58,885 | - | - | 5,119 | 214,158 | 16,460 | 188 | 384,914 |
| FY 2006-07 Actuals | 35,888 | 6,059 | 48,799 | 50,687 | 5,162 | - | 5,182 | 205,390 | 16,724 | 228 | 374,119 |
| % Change from FY 2005-06 | -0.88% | 0.28% | 1.97% | -13.92% | 100.00% | 0.00% | 1.23% | -4.09% | 1.60% | 21.28% | -2.80% |
| FY 2007-08 Actuals | 36,284 | 6,146 | 49,933 | 44,555 | 8,918 | - | 6,288 | 204,022 | 17,141 | 270 | 373,557 |
| % Change from FY 2006-07 | 1.10% | 1.44% | 2.32% | -12.10% | 72.76% | 0.00% | 21.34% | -0.67% | 2.49% | 18.42% | -0.15% |
| FY 2008-09 Actuals | 37,619 | 6,447 | 51,355 | 49,147 | 12,727 | - | 6,976 | 235,129 | 18,033 | 317 | 417,750 |
| % Change from FY 2007-08 | 3.68% | 4.90% | 2.85% | 10.31% | 42.71% | 0.00% | 10.94% | 15.25% | 5.20% | 17.41% | 11.83% |
| FY 2009-10 Actuals | 38,487 | 7,049 | 53,264 | 57,661 | 17,178 | 3,238 | 7,830 | 275,672 | 18,381 | 425 | 479,185 |
| % Change from FY 2008-09 | 2.31% | 9.34% | 3.72% | 17.32% | 34.97% | 100.00% | 12.24% | 17.24% | 1.93% | 34.07% | 14.71% |
| FY 2010-11 Actuals | 38,921 | 7,767 | 56,281 | 60,958 | 20,154 | 27,166 | 7,868 | 302,381 | 18,392 | 531 | 540,419 |
| % Change from FY 2009-10 | 1.13% | 10.19% | 5.66% | 5.72% | 17.32% | 738.97% | 0.49% | 9.69% | 0.06% | 24.94% | 12.78% |
| FY 2011-12 Projection | 39,579 | 8,451 | 59,653 | 72,180 | 21,986 | 33,976 | 7,839 | 332,377 | 18,363 | 640 | 595,044 |
| % Change from FY 2010-11 | 1.69% | 8.81% | 5.99% | 18.41% | 9.09% | 25.07% | -0.37% | 9.92% | -0.16% | 20.53% | 10.11% |
| FY 2012-13 Projection | 40,347 | 9,101 | 64,350 | 79,578 | 22,413 | 36,083 | 8,474 | 360,359 | 18,668 | 717 | 640,090 |
| % Change from FY 2011-12 | 1.94% | 7.69% | 7.87% | 10.25% | 1.94% | 6.20% | 8.10% | 8.42% | 1.66% | 12.03% | 7.57% |
| FY 2013-14 Projection | 41,118 | 9,735 | 69,809 | 83,692 | 22,692 | 36,539 | 9,108 | 391,310 | 19,008 | 777 | 683,788 |
| % Change from FY 2012-13 | 1.91% | 6.97% | 8.48% | 5.17% | 1.24% | 1.26% | 7.48% | 8.59% | 1.82% | 8.37% | 6.83% |
| FY 2011-12 Appropriation | 39,556 | 8,098 | 62,170 | 64,432 | 23,628 | 34,050 | 7,657 | 316,392 | 18,878 | 595 | 575,456 |
| Difference between the FY 2011-12 Appropriation and the FY 2011-12 Projection | 23 | 353 | (2,517) | 7,748 | (1,642) | (74) | 182 | 15,985 | (515) | 45 | 19,588 |

¹ The caseload for disabled individuals to 59 includes the disabled buy-in population funded by the Hospital Provider Fee Cash Fund. This expansion will take effect in FY 2011-12.

| Exhibit DD - Medicaid Mental Health Community Programs, Mental Health Capitation Payments Per Capita Historical Summary | | | | | | | | | | | |
|--|------------------------------------|--|--|--|------------------------------------|-------------------------------------|---------------------------------------|---------------------------------------|---|---|-------------------------|
| Mental Health Capitation Payments Per Capita History | | | | | | | | | | | |
| Item | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults | | | | Eligible Children (AFDC-C/ BC) | Foster Care | Breast and Cervical Cancer Program | TOTAL PER CAPITA | |
| FY 2006-07 Actuals | \$163.47 | \$1,316.67 | \$209.68 | \$170.95 | \$3,503.51 | \$191.14 | \$493.53 | | | | |
| FY 2007-08 Actuals | \$159.45 | \$1,473.28 | \$243.04 | \$184.13 | \$3,235.25 | \$222.88 | \$524.72 | | | | |
| % Change from FY 2006-07 | -2.46% | 11.89% | 15.91% | 7.71% | -7.66% | 16.61% | 6.32% | | | | |
| FY 2008-09 Actuals | \$163.48 | \$1,593.93 | \$247.30 | \$185.92 | \$3,147.83 | \$230.52 | \$516.72 | | | | |
| % Change from FY 2007-08 | 2.53% | 8.19% | 1.75% | 0.97% | -2.70% | 3.43% | -1.52% | | | | |
| FY 2009-10 Actuals | \$148.47 | \$1,632.73 | \$247.36 | \$180.47 | \$2,792.78 | \$230.48 | \$472.93 | | | | |
| % Change from FY 2008-09 | -9.18% | 2.43% | 0.02% | -2.93% | -11.28% | -0.02% | -8.47% | | | | |
| FY 2010-11 Actuals | \$160.97 | \$1,757.74 | \$268.13 | \$191.66 | \$2,341.82 | \$253.28 | \$464.72 | | | | |
| % Change from FY 2009-10 | 8.42% | 7.66% | 8.40% | 6.20% | -16.15% | 9.89% | -1.74% | | | | |
| FY 2011-12 Projection | \$167.21 | \$1,845.49 | \$284.36 | \$200.70 | \$2,189.35 | \$284.80 | \$467.30 | | | | |
| % Change from FY 2010-11 | 3.88% | 4.99% | 6.05% | 4.72% | -6.51% | 12.44% | 0.56% | | | | |
| FY 2012-13 Projection | \$172.54 | \$1,980.10 | \$295.12 | \$209.27 | \$2,109.42 | \$295.18 | \$485.33 | | | | |
| % Change from FY 2011-12 | 3.19% | 7.29% | 3.78% | 4.27% | -3.65% | 3.64% | 3.86% | | | | |
| FY 2013-14 Projection | \$177.95 | \$2,092.34 | \$305.75 | \$217.20 | \$2,071.20 | \$305.81 | \$504.30 | | | | |
| % Change from FY 2012-13 | 3.54% | 6.14% | 4.92% | 4.50% | -5.08% | 8.04% | 2.21% | | | | |
| Expanded Medicaid Per Capita Summary for Mental Health Capitation Payments | | | | | | | | | | | |
| Item | Adults 65 and Older (OAP-A) | Disabled Adults 60 to 64 (OAP-B) | Disabled Individuals to 59 (AND/AB) | Categorically Eligible Low-Income Adults (AFDC-A) | Expansion Adults to 60% FPL | Expansion Adults to 100% FPL | Baby Care Program-Adults | Eligible Children (AFDC-C/ BC) | Foster Care | Breast and Cervical Cancer Program | TOTAL PER CAPITA |
| FY 2006-07 Actuals | \$163.47 | \$1,266.28 | \$1,322.93 | \$209.42 | \$199.14 | - | \$222.77 | \$170.95 | \$3,503.51 | \$191.14 | \$493.53 |
| FY 2007-08 Actuals | \$159.45 | \$1,400.04 | \$1,482.29 | \$245.09 | \$238.32 | - | \$235.19 | \$184.13 | \$3,235.25 | \$222.88 | \$524.72 |
| % Change from FY 2006-07 | -2.46% | 10.56% | 12.05% | 17.03% | 19.67% | 0.00% | 5.58% | 7.71% | -7.66% | 16.61% | 6.32% |
| FY 2008-09 Actuals | \$163.48 | \$1,511.57 | \$1,604.27 | \$252.17 | \$244.48 | - | \$218.14 | \$185.92 | \$3,147.83 | \$230.52 | \$516.72 |
| % Change from FY 2007-08 | 2.53% | 7.97% | 8.23% | 2.89% | 2.58% | 0.00% | -7.25% | 0.97% | -2.70% | 3.43% | -1.52% |
| FY 2009-10 Actuals | \$148.47 | \$1,537.50 | \$1,645.34 | \$253.36 | \$257.25 | \$198.60 | \$201.68 | \$180.47 | \$2,792.78 | \$230.48 | \$472.93 |
| % Change from FY 2008-09 | -9.18% | 1.72% | 2.56% | 0.47% | 5.22% | 100.00% | -7.55% | -2.93% | -11.28% | -0.02% | -8.47% |
| FY 2010-11 Actuals | \$160.97 | \$1,659.68 | \$1,771.27 | \$284.95 | \$218.34 | \$281.78 | \$218.28 | \$191.66 | \$2,341.82 | \$253.28 | \$464.72 |
| % Change from FY 2009-10 | 8.42% | 7.95% | 7.65% | 12.47% | -15.13% | 41.88% | 8.23% | 6.20% | -16.15% | 9.89% | -1.74% |
| FY 2011-12 Projection | \$167.21 | \$1,845.49 | \$1,845.49 | \$284.36 | \$284.36 | \$284.36 | \$284.36 | \$200.70 | \$2,189.35 | \$284.80 | \$467.30 |
| % Change from FY 2010-11 | 3.88% | 11.20% | 4.19% | -0.21% | 30.24% | 0.92% | 30.27% | 4.72% | -6.51% | 12.44% | 0.56% |
| FY 2012-13 Projection | \$172.54 | \$1,980.10 | \$1,980.10 | \$295.12 | \$295.12 | \$295.12 | \$295.12 | \$209.27 | \$2,109.42 | \$295.18 | \$485.33 |
| % Change from FY 2011-12 | 3.19% | 7.29% | 7.29% | 3.78% | 3.78% | 3.78% | 3.78% | 4.27% | -3.65% | 3.64% | 3.86% |
| FY 2013-14 Projection | \$177.95 | \$2,092.34 | \$2,092.34 | \$305.75 | \$305.75 | \$305.75 | \$305.75 | \$217.20 | \$2,071.20 | \$305.81 | \$504.30 |
| % Change from FY 2012-13 | 3.14% | 5.67% | 5.67% | 3.60% | 3.60% | 3.60% | 3.60% | 3.79% | -1.81% | 3.60% | 3.91% |

| Exhibit DD - Medicaid Mental Health Community Programs, Expenditures Historical Summary | | | | | | | | | |
|---|-----------------------------|---|---------------------|-------------------------------|---------------------|----------------------------------|----------------------|---------------|--|
| Annual Total Expenditures | | | | | | | | | |
| Item | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults | Eligible Children (AFDC-C/BC) | Foster Care | Breast & Cervical Cancer Program | MENTAL HEALTH TOTAL | | |
| FY 2006-07 | Capitations | \$5,866,615 | \$72,229,819 | \$12,797,159 | \$35,110,732 | \$58,592,664 | \$43,579 | \$184,640,568 | |
| | Fee-For-Service | | | | | | | | |
| | Inpatient Services | \$18,654 | \$247,165 | \$55,477 | \$46,028 | \$14,448 | \$0 | \$381,772 | |
| | Outpatient Services | \$8,844 | \$272,393 | \$271,742 | \$306,454 | \$101,237 | \$0 | \$960,670 | |
| | Physician Services | \$394 | \$16,272 | \$2,931 | \$3,885 | \$1,943 | \$0 | \$25,425 | |
| | Sub-Total Fee-For-Service | \$27,892 | \$535,830 | \$330,150 | \$356,367 | \$117,628 | \$0 | \$1,367,867 | |
| Total FY 2006-07 Expenditures | \$5,894,507 | \$72,765,649 | \$13,127,309 | \$35,467,099 | \$58,710,292 | \$43,579 | \$186,008,435 | | |
| FY 2007-08 | Capitations | \$5,785,556 | \$82,620,046 | \$14,524,307 | \$37,565,608 | \$55,455,338 | \$60,178 | \$196,011,033 | |
| | Fee-For-Service | | | | | | | | |
| | Inpatient Services | \$7,069 | \$221,467 | \$45,469 | \$93,439 | \$46,660 | \$0 | \$414,104 | |
| | Outpatient Services | \$12,721 | \$267,020 | \$231,300 | \$282,037 | \$74,411 | \$0 | \$867,489 | |
| | Physician Services | \$479 | \$32,552 | \$9,170 | \$8,970 | \$2,972 | \$0 | \$54,143 | |
| | Sub-Total Fee-For-Service | \$20,269 | \$521,039 | \$285,939 | \$384,446 | \$124,043 | \$0 | \$1,335,736 | |
| Total FY 2007-08 Expenditures | \$5,805,825 | \$83,141,085 | \$14,810,246 | \$37,950,054 | \$55,579,381 | \$60,178 | \$197,346,769 | | |
| % Change from FY 2006-07 | -1.50% | 14.26% | 12.82% | 7.00% | -5.33% | 38.09% | 6.10% | | |
| FY 2008-09 | Capitations | \$6,149,782 | \$92,132,599 | \$17,026,544 | \$43,714,042 | \$56,764,896 | \$73,074 | \$215,860,937 | |
| | Fee-For-Service | | | | | | | | |
| | Inpatient Services | \$22,235 | \$331,864 | \$107,478 | \$171,764 | \$8,913 | \$0 | \$642,254 | |
| | Outpatient Services | \$9,657 | \$284,108 | \$300,557 | \$364,710 | \$103,091 | \$0 | \$1,062,123 | |
| | Physician Services | \$285 | \$37,367 | \$12,386 | \$13,685 | \$8,153 | \$0 | \$71,876 | |
| | Sub-Total Fee-For-Service | \$32,177 | \$653,339 | \$420,421 | \$550,159 | \$120,157 | \$0 | \$1,776,253 | |
| Total FY 2008-09 Expenditures | \$6,181,959 | \$92,785,938 | \$17,446,965 | \$44,264,201 | \$56,885,053 | \$73,074 | \$217,637,190 | | |
| % Change from FY 2007-08 | 6.48% | 11.60% | 17.80% | 16.64% | 2.35% | 21.43% | 10.28% | | |
| FY 2009-10 ⁽¹⁾ | Capitations | \$5,714,066 | \$98,475,008 | \$21,250,051 | \$49,749,580 | \$51,334,158 | \$97,955 | \$226,620,818 | |
| | Fee-For-Service | | | | | | | | |
| | Inpatient Services | \$36,707 | \$327,355 | \$24,703 | \$184,094 | \$23,702 | \$0 | \$596,561 | |
| | Outpatient Services | \$18,805 | \$528,618 | \$623,741 | \$601,664 | \$139,423 | \$0 | \$1,912,251 | |
| | Physician Services | \$61 | \$45,659 | \$6,543 | \$22,296 | \$4,291 | \$0 | \$78,850 | |
| | Sub-Total Fee-For-Service | \$55,573 | \$901,632 | \$654,987 | \$808,054 | \$167,416 | \$0 | \$2,587,662 | |
| Total FY 2009-10 Expenditures | \$5,769,639 | \$99,376,640 | \$21,905,038 | \$50,557,634 | \$51,501,574 | \$97,955 | \$229,208,480 | | |
| % Change from FY 2008-09 | -6.67% | 7.10% | 25.55% | 14.22% | -9.46% | 34.05% | 5.32% | | |
| FY 2010-11 ⁽¹⁾ | Capitations | \$6,265,262 | \$112,579,810 | \$31,142,656 | \$57,953,130 | \$43,070,676 | \$134,493 | \$251,146,027 | |
| | Fee-For-Service | | | | | | | | |
| | Inpatient Services | \$26,281 | \$462,018 | \$73,357 | \$209,493 | \$31,297 | \$0 | \$802,447 | |
| | Outpatient Services | \$19,668 | \$838,729 | \$1,066,059 | \$843,338 | \$204,022 | \$0 | \$2,971,816 | |
| | Physician Services | \$44 | \$53,652 | \$13,542 | \$19,019 | \$10,074 | \$0 | \$96,331 | |
| | Sub-Total Fee-For-Service | \$45,993 | \$1,354,399 | \$1,152,958 | \$1,071,850 | \$245,393 | \$0 | \$3,870,594 | |
| Total FY 2010-11 Expenditures | \$6,311,255 | \$113,934,209 | \$32,295,614 | \$59,024,980 | \$43,316,069 | \$134,493 | \$255,016,621 | | |
| % Change from FY 2009-10 | 9.39% | 14.65% | 47.43% | 16.75% | -15.89% | 37.30% | 11.26% | | |

¹ FY 2009-10 and FY 2010-11 have been adjusted for one-time recoupments.

| Exhibit DD - Medicaid Mental Health Community Programs Expenditures Historical Summary | | | | | | | | | | | | | | |
|--|-----------------------------|----------------------------------|-------------------------------------|---|-----------------------------|------------------------------|--------------------------|-------------------------------|---------------------|----------------------------------|----------------------|---------------|--|--|
| Expanded Annual Total Expenditures | | | | | | | | | | | | | | |
| Item | Adults 65 and Older (OAP-A) | Disabled Adults 60 to 64 (OAP-B) | Disabled Individuals to 59 (AND/AB) | Categorically Eligible Low-Income Adults (AFDC-A) | Expansion Adults to 60% FPL | Expansion Adults to 100% FPL | Baby Care Program-Adults | Eligible Children (AFDC-C/BC) | Foster Care | Breast & Cervical Cancer Program | MENTAL HEALTH TOTAL | | | |
| FY 2006-07 | Capitations | \$5,866,615 | \$7,672,363 | \$64,557,456 | \$10,614,800 | \$1,027,979 | \$0 | \$1,154,380 | \$35,110,732 | \$58,592,664 | \$43,579 | \$184,640,568 | | |
| | Fee-For-Service | | | | | | | | | | | | | |
| | Inpatient Services | \$18,654 | \$0 | \$247,165 | \$42,853 | \$4,150 | \$0 | \$8,474 | \$46,028 | \$14,448 | \$0 | \$381,772 | | |
| | Outpatient Services | \$8,844 | \$14,190 | \$258,203 | \$247,938 | \$24,011 | \$0 | (\$207) | \$306,454 | \$101,237 | \$0 | \$960,670 | | |
| | Physician Services | \$394 | \$380 | \$15,892 | \$2,427 | \$235 | \$0 | \$269 | \$3,885 | \$1,943 | \$0 | \$25,425 | | |
| | Sub-Total Fee-For-Service | \$27,892 | \$14,570 | \$521,260 | \$293,218 | \$28,396 | \$0 | \$8,536 | \$356,367 | \$117,628 | \$0 | \$1,367,867 | | |
| Total FY 2006-07 Expenditures | \$5,894,507 | \$7,686,933 | \$65,078,716 | \$10,908,018 | \$1,056,375 | \$0 | \$1,162,916 | \$35,467,099 | \$58,710,292 | \$43,579 | \$186,008,435 | | | |
| FY 2007-08 | Capitations | \$5,785,556 | \$8,604,645 | \$74,015,401 | \$10,920,110 | \$2,125,310 | \$0 | \$1,478,887 | \$37,565,608 | \$55,455,338 | \$60,178 | \$196,011,033 | | |
| | Fee-For-Service | | | | | | | | | | | | | |
| | Inpatient Services | \$7,069 | \$13,110 | \$208,357 | \$36,603 | \$8,866 | \$0 | \$0 | \$93,439 | \$46,660 | \$0 | \$414,104 | | |
| | Outpatient Services | \$12,721 | \$14,262 | \$252,758 | \$181,408 | \$43,943 | \$0 | \$5,949 | \$282,037 | \$74,411 | \$0 | \$867,489 | | |
| | Physician Services | \$479 | \$2,275 | \$30,277 | \$6,235 | \$1,510 | \$0 | \$1,425 | \$8,970 | \$2,972 | \$0 | \$54,143 | | |
| | Sub-Total Fee-For-Service | \$20,269 | \$29,647 | \$491,392 | \$224,245 | \$54,320 | \$0 | \$7,374 | \$384,446 | \$124,043 | \$0 | \$1,335,736 | | |
| Total FY 2007-08 Expenditures | \$5,805,825 | \$8,634,292 | \$74,506,793 | \$11,144,355 | \$2,179,630 | \$0 | \$1,486,261 | \$37,950,054 | \$55,579,381 | \$60,178 | \$197,346,769 | | | |
| % Change from FY 2006-07 | -1.50% | 12.32% | 14.49% | 2.17% | 100.00% | 0.00% | 27.80% | 7.00% | -5.33% | 38.09% | 6.10% | | | |
| FY 2008-09 | Capitations | \$6,149,782 | \$9,745,116 | \$82,387,483 | \$12,393,351 | \$3,111,446 | \$0 | \$1,521,747 | \$43,714,042 | \$56,764,896 | \$73,074 | \$215,860,937 | | |
| | Fee-For-Service | | | | | | | | | | | | | |
| | Inpatient Services | \$22,235 | \$9,653 | \$322,211 | \$85,371 | \$22,107 | \$0 | \$0 | \$171,764 | \$8,913 | \$0 | \$642,254 | | |
| | Outpatient Services | \$9,657 | \$19,613 | \$264,495 | \$231,456 | \$59,937 | \$0 | \$9,164 | \$364,710 | \$103,091 | \$0 | \$1,062,123 | | |
| | Physician Services | \$285 | \$1,580 | \$35,787 | \$8,969 | \$1,904 | \$0 | \$1,513 | \$13,685 | \$8,153 | \$0 | \$71,876 | | |
| | Sub-Total Fee-For-Service | \$32,177 | \$30,846 | \$622,493 | \$325,796 | \$83,948 | \$0 | \$10,677 | \$550,159 | \$120,157 | \$0 | \$1,776,253 | | |
| Total FY 2008-09 Expenditures | \$6,181,959 | \$9,775,962 | \$83,009,976 | \$12,719,147 | \$3,195,394 | \$0 | \$1,532,424 | \$44,264,201 | \$56,885,053 | \$73,074 | \$217,637,190 | | | |
| % Change from FY 2007-08 | 6.48% | 13.22% | 11.41% | 14.13% | 46.60% | 0.00% | 3.11% | 16.64% | 2.35% | 21.43% | 10.28% | | | |
| FY 2009-10 ⁽¹⁾ | Capitations | \$5,714,066 | \$10,837,828 | \$87,637,180 | \$14,608,762 | \$4,419,081 | \$643,078 | \$1,579,130 | \$49,749,580 | \$51,334,158 | \$97,955 | \$226,620,818 | | |
| | Fee-For-Service | | | | | | | | | | | | | |
| | Inpatient Services | \$36,707 | \$0 | \$327,355 | \$18,244 | \$5,435 | \$1,024 | \$0 | \$184,094 | \$23,702 | \$0 | \$596,561 | | |
| | Outpatient Services | \$18,805 | \$35,433 | \$493,185 | \$443,259 | \$132,053 | \$24,891 | \$23,538 | \$601,664 | \$139,423 | \$0 | \$1,912,251 | | |
| | Physician Services | \$61 | \$631 | \$45,028 | \$3,657 | \$1,090 | \$205 | \$1,591 | \$22,296 | \$4,291 | \$0 | \$78,850 | | |
| | Sub-Total Fee-For-Service | \$55,573 | \$36,064 | \$865,568 | \$465,160 | \$138,578 | \$26,120 | \$25,129 | \$808,054 | \$167,416 | \$0 | \$2,587,662 | | |
| Total FY 2009-10 Expenditures | \$5,769,639 | \$10,873,892 | \$88,502,748 | \$15,073,922 | \$4,557,659 | \$669,198 | \$1,604,259 | \$50,557,634 | \$51,501,574 | \$97,955 | \$229,208,480 | | | |
| % Change from FY 2008-09 | -6.67% | 11.23% | 6.62% | 18.51% | 42.63% | 100.00% | 4.69% | 14.22% | -9.46% | 34.05% | 5.32% | | | |
| FY 2010-11 ⁽¹⁾ | Capitations | \$6,265,262 | \$12,890,748 | \$99,689,062 | \$17,369,817 | \$4,400,500 | \$7,654,920 | \$1,717,419 | \$57,953,130 | \$43,070,676 | \$134,493 | \$251,146,027 | | |
| | Fee-For-Service | | | | | | | | | | | | | |
| | Inpatient Services | \$26,281 | \$0 | \$462,018 | \$41,298 | \$13,654 | \$18,405 | \$0 | \$209,493 | \$31,297 | \$0 | \$802,447 | | |
| | Outpatient Services | \$19,668 | \$54,047 | \$784,682 | \$584,992 | \$193,410 | \$260,702 | \$26,955 | \$843,338 | \$204,022 | \$0 | \$2,971,816 | | |
| | Physician Services | \$44 | \$559 | \$53,093 | \$6,489 | \$2,145 | \$2,892 | \$2,017 | \$19,019 | \$10,074 | \$0 | \$96,331 | | |
| | Sub-Total Fee-For-Service | \$45,993 | \$54,606 | \$1,299,792 | \$632,779 | \$209,209 | \$281,999 | \$28,972 | \$1,071,850 | \$245,393 | \$0 | \$3,870,594 | | |
| Total FY 2010-11 Expenditures | \$6,311,255 | \$12,945,354 | \$100,988,854 | \$18,002,596 | \$4,609,709 | \$7,936,919 | \$1,746,391 | \$59,024,980 | \$43,316,069 | \$134,493 | \$255,016,621 | | | |
| % Change from FY 2009-10 | 9.39% | 19.05% | 14.11% | 19.43% | 1.14% | 1086.03% | 8.86% | 16.75% | -15.89% | 37.30% | 11.26% | | | |

¹ FY 2009-10 and FY 2010-11 have been adjusted for one-time recoupments.

Exhibit EE - Expenditure Calculations by Eligibility Category

Mental Health Capitation Calculations by Eligibility Category for FY 2011-12

FY 2011-12 Q1 and Q2 Calculation

| Service Expenditures | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults | Eligible Children (AFDC-C/BC) | Foster Care | Breast and Cervical Cancer Program | Totals |
|---|-----------------------------|---|---------------------|-------------------------------|---------------------|------------------------------------|----------------------|
| Weighted Capitation Rate | \$13.89 | \$149.36 | \$23.40 | \$16.36 | \$186.96 | \$23.40 | |
| Estimated Monthly Caseload ⁽¹⁾ | 39,383 | 67,127 | 131,433 | 325,422 | 18,297 | 616 | 582,278 |
| Number of Months Rate is Effective | 6 | 6 | 6 | 6 | 6 | 6 | |
| Total Costs for FY 2011-12 Q1 and Q2 Capitated Payments | \$3,282,179 | \$60,156,532 | \$18,453,193 | \$31,943,424 | \$20,524,843 | \$86,486 | \$134,446,657 |
| Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾ | 98.02% | 93.25% | 94.60% | 97.13% | 99.14% | 98.99% | |
| Expenditures for Claims Paid in Current Period with Current Period Dates of Service | \$3,217,192 | \$56,095,966 | \$17,456,721 | \$31,026,648 | \$20,348,329 | \$85,612 | \$128,230,468 |
| Expenditures for Prior Period Dates of Service | \$63,422 | \$3,394,572 | \$904,408 | \$877,814 | \$180,214 | \$747 | \$5,421,177 |
| Total Expenditures in FY 2011-12 Q1 and Q2 | \$3,280,614 | \$59,490,538 | \$18,361,129 | \$31,904,462 | \$20,528,543 | \$86,359 | \$133,651,645 |

FY 2011-12 Q3 and Q4 Calculation

| Service Expenditures | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults | Eligible Children (AFDC-C/BC) | Foster Care | Breast and Cervical Cancer Program | Totals |
|---|-----------------------------|---|---------------------|-------------------------------|---------------------|------------------------------------|----------------------|
| Estimated Weighted Capitation Rate | \$14.31 | \$161.86 | \$24.23 | \$17.14 | \$177.97 | \$24.23 | |
| Estimated Monthly Caseload ⁽¹⁾ | 39,776 | 69,081 | 140,529 | 339,331 | 18,428 | 664 | 607,809 |
| Number of Months Rate is Effective | 6 | 6 | 6 | 6 | 6 | 6 | |
| Total Estimated Costs for FY 2011-12 Q3 and Q4 Capitated Payments | \$3,415,167 | \$67,088,704 | \$20,430,106 | \$34,896,800 | \$19,677,787 | \$96,532 | \$145,605,096 |
| Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾ | 98.02% | 93.25% | 94.60% | 97.13% | 99.14% | 98.99% | |
| Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service | \$3,347,547 | \$62,560,216 | \$19,326,880 | \$33,895,262 | \$19,508,558 | \$95,557 | \$138,734,020 |
| Estimated Expenditures for Prior Period Dates of Service | \$64,817 | \$3,960,997 | \$986,571 | \$914,245 | \$176,367 | \$874 | \$6,103,871 |
| Total Estimated Expenditures in FY 2011-12 Q3 and Q4 | \$3,412,364 | \$66,521,213 | \$20,313,451 | \$34,809,507 | \$19,684,925 | \$96,431 | \$144,837,891 |
| Total Estimated FY 2011-12 Expenditures | \$6,692,978 | \$126,011,751 | \$38,674,580 | \$66,713,969 | \$40,213,468 | \$182,790 | \$278,489,536 |
| Estimated Date of Death Retractions | (\$75,165) | (\$326,221) | (\$6,812) | (\$6,746) | (\$10,396) | (\$520) | (\$425,860) |
| Total Estimated FY 2011-12 Expenditures Including Date of Death Retractions | \$6,617,813 | \$125,685,530 | \$38,667,768 | \$66,707,223 | \$40,203,072 | \$182,270 | \$278,063,676 |
| Estimated FY 2011-12 Monthly Caseload | 39,579 | 68,104 | 135,981 | 332,377 | 18,363 | 640 | 595,044 |
| Estimated FY 2011-12 Per Capita Expenditure | \$167.21 | \$1,845.49 | \$284.36 | \$200.70 | \$2,189.35 | \$284.80 | \$467.30 |

¹ This number is based on the projected average monthly caseload for the entire fiscal year, as applied through each month's trended growth in caseload.

² Exhibit EE, pages 4 and 5 present the estimated percentage of incurred claims from any six month period that will be paid in that same six month period.

Exhibit EE - Expenditure Calculations by Eligibility Category

Mental Health Capitation Calculations by Eligibility Category for FY 2012-13

FY 2012-13 Q1 and Q2 Calculation

| Service Expenditures | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults | Eligible Children (AFDC-C/BC) | Foster Care | Breast and Cervical Cancer Program | Totals |
|---|-----------------------------|---|---------------------|-------------------------------|---------------------|------------------------------------|----------------------|
| Estimated Weighted Capitation Rate | \$14.31 | \$161.86 | \$24.23 | \$17.14 | \$177.97 | \$24.23 | |
| Estimated Monthly Caseload ⁽¹⁾ | 40,159 | 71,709 | 145,268 | 351,212 | 18,582 | 702 | 627,632 |
| Number of Months Rate is Effective | 6 | 6 | 6 | 6 | 6 | 6 | |
| Total Estimated Costs for FY 2012-13 Q1 and Q2 Capitated Payments | \$3,448,052 | \$69,640,912 | \$21,119,062 | \$36,118,642 | \$19,842,231 | \$102,057 | \$150,270,956 |
| Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾ | 98.02% | 93.25% | 94.60% | 97.13% | 99.14% | 98.99% | |
| Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service | \$3,379,781 | \$64,940,150 | \$19,978,633 | \$35,082,037 | \$19,671,588 | \$101,026 | \$143,153,215 |
| Estimated Expenditures for Prior Period Dates of Service | \$67,101 | \$4,317,594 | \$1,088,597 | \$994,746 | \$170,838 | \$975 | \$6,639,851 |
| Total Estimated Expenditures in FY 2012-13 Q1 and Q2 | \$3,446,882 | \$69,257,744 | \$21,067,230 | \$36,076,783 | \$19,842,426 | \$102,001 | \$149,793,066 |

FY 2012-13 Q3 and Q4 Calculation

| Service Expenditures | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults | Eligible Children (AFDC-C/BC) | Foster Care | Breast and Cervical Cancer Program | Totals |
|---|-----------------------------|---|---------------------|-------------------------------|---------------------|------------------------------------|----------------------|
| Estimated Weighted Capitation Rate | \$14.74 | \$170.92 | \$25.09 | \$17.79 | \$173.69 | \$25.09 | |
| Estimated Monthly Caseload ⁽¹⁾ | 40,536 | 75,193 | 147,828 | 369,506 | 18,753 | 732 | 652,548 |
| Number of Months Rate is Effective | 6 | 6 | 6 | 6 | 6 | 6 | |
| Total Estimated Costs for FY 2012-13 Q3 and Q4 Capitated Payments | \$3,585,004 | \$77,111,925 | \$22,254,027 | \$39,441,070 | \$19,543,251 | \$110,195 | \$162,045,472 |
| Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾ | 98.02% | 93.25% | 94.60% | 97.13% | 99.14% | 98.99% | |
| Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service | \$3,514,021 | \$71,906,870 | \$21,052,310 | \$38,309,111 | \$19,375,179 | \$109,082 | \$154,266,573 |
| Estimated Expenditures for Prior Period Dates of Service | \$68,143 | \$4,569,283 | \$1,135,331 | \$1,033,795 | \$170,331 | \$1,031 | \$6,977,914 |
| Total Estimated Expenditures in FY 2012-13 Q3 and Q4 | \$3,582,164 | \$76,476,153 | \$22,187,641 | \$39,342,906 | \$19,545,510 | \$110,113 | \$161,244,487 |
| Total Estimated FY 2012-13 Expenditures | \$7,029,046 | \$145,733,897 | \$43,254,871 | \$75,419,689 | \$39,387,936 | \$212,114 | \$311,037,553 |
| Estimated Date of Death Retractions | (\$67,648) | (\$293,599) | (\$6,130) | (\$6,071) | (\$9,357) | (\$468) | (\$383,273) |
| Total Estimated FY 2012-13 Expenditures Including Date of Death Retractions | \$6,961,398 | \$145,440,298 | \$43,248,741 | \$75,413,618 | \$39,378,579 | \$211,646 | \$310,654,280 |
| Estimated FY 2012-13 Monthly Caseload | 40,347 | 73,451 | 146,548 | 360,359 | 18,668 | 717 | 640,090 |
| Estimated FY 2012-13 Per Capita Expenditure | \$172.54 | \$1,980.10 | \$295.12 | \$209.27 | \$2,109.42 | \$295.18 | \$485.33 |

¹ This number is based on the projected average monthly caseload for the entire fiscal year, as applied through each month's trended growth in caseload.

² Exhibit EE, pages 4 and 5 present the estimated percentage of incurred claims from any six month period that will be paid in that same six month period.

Exhibit EE - Expenditure Calculations by Eligibility Category

Mental Health Capitation Calculations by Eligibility Category for FY 2013-14

FY 2013-14 Q1 and Q2 Calculation

| Service Expenditures | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults | Eligible Children (AFDC-C/BC) | Foster Care | Breast and Cervical Cancer Program | Totals |
|---|-----------------------------|---|---------------------|-------------------------------|---------------------|------------------------------------|----------------------|
| Estimated Weighted Capitation Rate | \$14.74 | \$170.92 | \$25.09 | \$17.79 | \$173.69 | \$25.09 | |
| Estimated Monthly Caseload ⁽¹⁾ | 40,921 | 78,208 | 149,778 | 379,355 | 18,923 | 762 | 667,947 |
| Number of Months Rate is Effective | 6 | 6 | 6 | 6 | 6 | 6 | |
| Total Estimated Costs for FY 2013-14 Q1 and Q2 Capitated Payments | \$3,619,053 | \$80,203,868 | \$22,547,580 | \$40,492,353 | \$19,720,415 | \$114,711 | \$166,697,980 |
| Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾ | 98.02% | 93.25% | 94.60% | 97.13% | 99.14% | 98.99% | |
| Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service | \$3,547,396 | \$74,790,107 | \$21,330,011 | \$39,330,222 | \$19,550,819 | \$113,552 | \$158,662,107 |
| Estimated Expenditures for Prior Period Dates of Service | \$70,449 | \$4,969,199 | \$1,193,319 | \$1,124,317 | \$168,640 | \$1,113 | \$7,527,037 |
| Total Estimated Expenditures in FY 2013-14 Q1 and Q2 | \$3,617,845 | \$79,759,306 | \$22,523,330 | \$40,454,539 | \$19,719,459 | \$114,665 | \$166,189,144 |

FY 2013-14 Q3 and Q4 Calculation

| Service Expenditures | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults | Eligible Children (AFDC-C/BC) | Foster Care | Breast and Cervical Cancer Program | Totals |
|---|-----------------------------|---|---------------------|-------------------------------|---------------------|------------------------------------|----------------------|
| Estimated Weighted Capitation Rate | \$15.18 | \$180.49 | \$25.98 | \$18.46 | \$171.60 | \$25.98 | |
| Estimated Monthly Caseload ⁽¹⁾ | 41,315 | 80,880 | 154,283 | 403,266 | 19,093 | 792 | 699,629 |
| Number of Months Rate is Effective | 6 | 6 | 6 | 6 | 6 | 6 | |
| Total Estimated Costs for FY 2013-14 Q3 and Q4 Capitated Payments | \$3,762,970 | \$87,588,187 | \$24,049,634 | \$44,665,742 | \$19,658,153 | \$123,457 | \$179,848,143 |
| Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾ | 98.02% | 93.25% | 94.60% | 97.13% | 99.14% | 98.99% | |
| Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service | \$3,688,463 | \$81,675,984 | \$22,750,954 | \$43,383,835 | \$19,489,093 | \$122,210 | \$171,110,539 |
| Estimated Expenditures for Prior Period Dates of Service | \$71,525 | \$5,262,266 | \$1,215,397 | \$1,159,712 | \$169,259 | \$1,159 | \$7,879,318 |
| Total Estimated Expenditures in FY 2013-14 Q3 and Q4 | \$3,759,988 | \$86,938,250 | \$23,966,351 | \$44,543,547 | \$19,658,352 | \$123,369 | \$178,989,857 |
| Total Estimated FY 2013-14 Expenditures | \$7,377,833 | \$166,697,556 | \$46,489,681 | \$84,998,086 | \$39,377,811 | \$238,034 | \$345,179,001 |
| Estimated Date of Death Retractions | (\$60,883) | (\$264,239) | (\$5,517) | (\$5,464) | (\$8,421) | (\$421) | (\$344,945) |
| Total Estimated FY 2013-14 Expenditures Including Date of Death Retractions | \$7,316,950 | \$166,433,317 | \$46,484,164 | \$84,992,622 | \$39,369,390 | \$237,613 | \$344,834,056 |
| Estimated FY 2013-14 Monthly Caseload | 41,118 | 79,544 | 152,031 | 391,310 | 19,008 | 777 | 683,788 |
| Estimated FY 2013-14 Per Capita Expenditure | \$177.95 | \$2,092.34 | \$305.75 | \$217.20 | \$2,071.20 | \$305.81 | \$504.30 |

¹ This number is based on the projected average monthly caseload for the entire fiscal year, as applied through each month's trended growth in caseload.

² Exhibit EE, pages 4 and 5 present the estimated percentage of incurred claims from any six month period that will be paid in that same six month period.

| Exhibit EE - Incurred But Not Reported Runout by Fiscal Period | | | | | | |
|--|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Incurred But Not Reported (IBNR) Estimate for Adults 65 and Older (OAP-A) | | | | | | |
| | Paid in FY 2011-12 Q1 and Q2 | Paid in FY 2011-12 Q3 and Q4 | Paid in FY 2012-13 Q1 and Q2 | Paid in FY 2012-13 Q3 and Q4 | Paid in FY 2013-14 Q1 and Q2 | Paid in FY 2013-14 Q3 and Q4 |
| Incurring in all other previous periods | 0.39% | - | - | - | - | - |
| Incurring in FY 2010-11 Q3 and Q4 | 1.59% | 0.39% | - | - | - | - |
| Incurring in FY 2011-12 Q1 and Q2 | 98.02% | 1.59% | 0.39% | - | - | - |
| Incurring in FY 2011-12 Q3 and Q4 | - | 98.02% | 1.59% | 0.39% | - | - |
| Incurring in FY 2012-13 Q1 and Q2 | - | - | 98.02% | 1.59% | 0.39% | - |
| Incurring in FY 2012-13 Q3 and Q4 | - | - | - | 98.02% | 1.59% | 0.39% |
| Incurring in FY 2013-14 Q1 and Q2 | - | - | - | - | 98.02% | 1.59% |
| Incurring in FY 2013-14 Q3 and Q4 | - | - | - | - | - | 98.02% |
| Incurred But Not Reported (IBNR) Estimate for Disabled Individuals Through 64 (AND/AB, OAP-B) | | | | | | |
| | Paid in FY 2011-12 Q1 and Q2 | Paid in FY 2011-12 Q3 and Q4 | Paid in FY 2012-13 Q1 and Q2 | Paid in FY 2012-13 Q3 and Q4 | Paid in FY 2013-14 Q1 and Q2 | Paid in FY 2013-14 Q3 and Q4 |
| Incurring in all other previous periods | 2.03% | 0.84% | - | - | - | - |
| Incurring in FY 2010-11 Q3 and Q4 | 3.88% | 2.03% | 0.84% | - | - | - |
| Incurring in FY 2011-12 Q1 and Q2 | 93.25% | 3.88% | 2.03% | 0.84% | - | - |
| Incurring in FY 2011-12 Q3 and Q4 | - | 93.25% | 3.88% | 2.03% | 0.84% | - |
| Incurring in FY 2012-13 Q1 and Q2 | - | - | 93.25% | 3.88% | 2.03% | 0.84% |
| Incurring in FY 2012-13 Q3 and Q4 | - | - | - | 93.25% | 3.88% | 2.03% |
| Incurring in FY 2013-14 Q1 and Q2 | - | - | - | - | 93.25% | 3.88% |
| Incurring in FY 2013-14 Q3 and Q4 | - | - | - | - | - | 93.25% |
| Incurred But Not Reported (IBNR) Estimate for Low Income Adults | | | | | | |
| | Paid in FY 2011-12 Q1 and Q2 | Paid in FY 2011-12 Q3 and Q4 | Paid in FY 2012-13 Q1 and Q2 | Paid in FY 2012-13 Q3 and Q4 | Paid in FY 2013-14 Q1 and Q2 | Paid in FY 2013-14 Q3 and Q4 |
| Incurring in all other previous periods | 0.74% | - | - | - | - | - |
| Incurring in FY 2010-11 Q3 and Q4 | 4.66% | 0.74% | - | - | - | - |
| Incurring in FY 2011-12 Q1 and Q2 | 94.60% | 4.66% | 0.74% | - | - | - |
| Incurring in FY 2011-12 Q3 and Q4 | - | 94.60% | 4.66% | 0.74% | - | - |
| Incurring in FY 2012-13 Q1 and Q2 | - | - | 94.60% | 4.66% | 0.74% | - |
| Incurring in FY 2012-13 Q3 and Q4 | - | - | - | 94.60% | 4.66% | 0.74% |
| Incurring in FY 2013-14 Q1 and Q2 | - | - | - | - | 94.60% | 4.66% |
| Incurring in FY 2013-14 Q3 and Q4 | - | - | - | - | - | 94.60% |

| Exhibit EE - Incurred But Not Reported Runout by Fiscal Period | | | | | | |
|---|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Incurred But Not Reported (IBNR) Estimate for Eligible Children (AFDC-C/BC) | | | | | | |
| | Paid in FY 2011-12 Q1 and Q2 | Paid in FY 2011-12 Q3 and Q4 | Paid in FY 2012-13 Q1 and Q2 | Paid in FY 2012-13 Q3 and Q4 | Paid in FY 2013-14 Q1 and Q2 | Paid in FY 2013-14 Q3 and Q4 |
| Incurring in all other previous periods | 0.23% | - | - | - | - | - |
| Incurring in FY 2010-11 Q3 and Q4 | 2.64% | 0.23% | - | - | - | - |
| Incurring in FY 2011-12 Q1 and Q2 | 97.13% | 2.64% | 0.23% | - | - | - |
| Incurring in FY 2011-12 Q3 and Q4 | - | 97.13% | 2.64% | 0.23% | - | - |
| Incurring in FY 2012-13 Q1 and Q2 | - | - | 97.13% | 2.64% | 0.23% | - |
| Incurring in FY 2012-13 Q3 and Q4 | - | - | - | 97.13% | 2.64% | 0.23% |
| Incurring in FY 2013-14 Q1 and Q2 | - | - | - | - | 97.13% | 2.64% |
| Incurring in FY 2013-14 Q3 and Q4 | - | - | - | - | - | 97.13% |
| Incurred But Not Reported (IBNR) Estimate for Foster Care | | | | | | |
| | Paid in FY 2011-12 Q1 and Q2 | Paid in FY 2011-12 Q3 and Q4 | Paid in FY 2012-13 Q1 and Q2 | Paid in FY 2012-13 Q3 and Q4 | Paid in FY 2013-14 Q1 and Q2 | Paid in FY 2013-14 Q3 and Q4 |
| Incurring in all other previous periods | 0.19% | - | - | - | - | - |
| Incurring in FY 2010-11 Q3 and Q4 | 0.67% | 0.19% | - | - | - | - |
| Incurring in FY 2011-12 Q1 and Q2 | 99.14% | 0.67% | 0.19% | - | - | - |
| Incurring in FY 2011-12 Q3 and Q4 | - | 99.14% | 0.67% | 0.19% | - | - |
| Incurring in FY 2012-13 Q1 and Q2 | - | - | 99.14% | 0.67% | 0.19% | - |
| Incurring in FY 2012-13 Q3 and Q4 | - | - | - | 99.14% | 0.67% | 0.19% |
| Incurring in FY 2013-14 Q1 and Q2 | - | - | - | - | 99.14% | 0.67% |
| Incurring in FY 2013-14 Q3 and Q4 | - | - | - | - | - | 99.14% |
| Incurred But Not Reported (IBNR) Estimate for Breast and Cervical Cancer Program | | | | | | |
| | Paid in FY 2011-12 Q1 and Q2 | Paid in FY 2011-12 Q3 and Q4 | Paid in FY 2012-13 Q1 and Q2 | Paid in FY 2012-13 Q3 and Q4 | Paid in FY 2013-14 Q1 and Q2 | Paid in FY 2013-14 Q3 and Q4 |
| Incurring in all other previous periods | 0.00% | - | - | - | - | - |
| Incurring in FY 2010-11 Q3 and Q4 | 1.01% | 0.00% | - | - | - | - |
| Incurring in FY 2011-12 Q1 and Q2 | 98.99% | 1.01% | 0.00% | - | - | - |
| Incurring in FY 2011-12 Q3 and Q4 | - | 98.99% | 1.01% | 0.00% | - | - |
| Incurring in FY 2012-13 Q1 and Q2 | - | - | 98.99% | 1.01% | 0.00% | - |
| Incurring in FY 2012-13 Q3 and Q4 | - | - | - | 98.99% | 1.01% | 0.00% |
| Incurring in FY 2013-14 Q1 and Q2 | - | - | - | - | 98.99% | 1.01% |
| Incurring in FY 2013-14 Q3 and Q4 | - | - | - | - | - | 98.99% |

| Exhibit EE - Incurred But Not Reported Expenditures by Fiscal Period | | | | | | |
|--|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Incurred But Not Reported (IBNR) Estimate for Adults 65 and Older (OAP-A) | | | | | | |
| | Paid in FY 2011-12 Q1 and Q2 | Paid in FY 2011-12 Q3 and Q4 | Paid in FY 2012-13 Q1 and Q2 | Paid in FY 2012-13 Q3 and Q4 | Paid in FY 2013-14 Q1 and Q2 | Paid in FY 2013-14 Q3 and Q4 |
| Incurred in all other previous periods | \$11,932 | - | - | - | - | - |
| Incurred in FY 2010-11 Q3 and Q4 | \$51,490 | \$12,630 | - | - | - | - |
| Incurred in FY 2011-12 Q1 and Q2 | \$3,217,192 | \$52,187 | \$12,800 | - | - | - |
| Incurred in FY 2011-12 Q3 and Q4 | - | \$3,347,547 | \$54,301 | \$13,319 | - | - |
| Incurred in FY 2012-13 Q1 and Q2 | - | - | \$3,379,781 | \$54,824 | \$13,447 | - |
| Incurred in FY 2012-13 Q3 and Q4 | - | - | - | \$3,514,021 | \$57,002 | \$13,982 |
| Incurred in FY 2013-14 Q1 and Q2 | - | - | - | - | \$3,547,396 | \$57,543 |
| Incurred in FY 2013-14 Q3 and Q4 | - | - | - | - | - | \$3,688,463 |
| Total Paid in Current Period | \$3,217,192 | \$3,347,547 | \$3,379,781 | \$3,514,021 | \$3,547,396 | \$3,688,463 |
| Total IBNR Amount | \$63,422 | \$64,817 | \$67,101 | \$68,143 | \$70,449 | \$71,525 |
| Total Paid for All Incurred Dates | \$3,280,614 | \$3,412,364 | \$3,446,882 | \$3,582,164 | \$3,617,845 | \$3,759,988 |
| Incurred But Not Reported (IBNR) Estimate for Disabled Individuals Through 64 (AND/AB, OAP-B) | | | | | | |
| | Paid in FY 2011-12 Q1 and Q2 | Paid in FY 2011-12 Q3 and Q4 | Paid in FY 2012-13 Q1 and Q2 | Paid in FY 2012-13 Q3 and Q4 | Paid in FY 2013-14 Q1 and Q2 | Paid in FY 2013-14 Q3 and Q4 |
| Incurred in all other previous periods ⁽¹⁾ | \$1,115,653 | \$434,603 | - | - | - | - |
| Incurred in FY 2010-11 Q3 and Q4 | \$2,278,919 | \$1,192,321 | \$493,374 | - | - | - |
| Incurred in FY 2011-12 Q1 and Q2 | \$56,095,966 | \$2,334,073 | \$1,221,178 | \$505,315 | - | - |
| Incurred in FY 2011-12 Q3 and Q4 | - | \$62,560,216 | \$2,603,042 | \$1,361,901 | \$563,545 | - |
| Incurred in FY 2012-13 Q1 and Q2 | - | - | \$64,940,150 | \$2,702,067 | \$1,413,711 | \$584,984 |
| Incurred in FY 2012-13 Q3 and Q4 | - | - | - | \$71,906,870 | \$2,991,943 | \$1,565,372 |
| Incurred in FY 2013-14 Q1 and Q2 | - | - | - | - | \$74,790,107 | \$3,111,910 |
| Incurred in FY 2013-14 Q3 and Q4 | - | - | - | - | - | \$81,675,984 |
| Total Paid in Current Period | \$56,095,966 | \$62,560,216 | \$64,940,150 | \$71,906,870 | \$74,790,107 | \$81,675,984 |
| Total IBNR Amount | \$3,394,572 | \$3,960,997 | \$4,317,594 | \$4,569,283 | \$4,969,199 | \$5,262,266 |
| Total Paid for All Incurred Dates | \$59,490,538 | \$66,521,213 | \$69,257,744 | \$76,476,153 | \$79,759,306 | \$86,938,250 |
| Incurred But Not Reported (IBNR) Estimate for Low Income Adults | | | | | | |
| | Paid in FY 2011-12 Q1 and Q2 | Paid in FY 2011-12 Q3 and Q4 | Paid in FY 2012-13 Q1 and Q2 | Paid in FY 2012-13 Q3 and Q4 | Paid in FY 2013-14 Q1 and Q2 | Paid in FY 2013-14 Q3 and Q4 |
| Incurred in all other previous periods | \$106,843 | - | - | - | - | - |
| Incurred in FY 2010-11 Q3 and Q4 | \$797,565 | \$126,652 | - | - | - | - |
| Incurred in FY 2011-12 Q1 and Q2 | \$17,456,721 | \$859,919 | \$136,554 | - | - | - |
| Incurred in FY 2011-12 Q3 and Q4 | - | \$19,326,880 | \$952,043 | \$151,183 | - | - |
| Incurred in FY 2012-13 Q1 and Q2 | - | - | \$19,978,633 | \$984,148 | \$156,281 | - |
| Incurred in FY 2012-13 Q3 and Q4 | - | - | - | \$21,052,310 | \$1,037,038 | \$164,680 |
| Incurred in FY 2013-14 Q1 and Q2 | - | - | - | - | \$21,330,011 | \$1,050,717 |
| Incurred in FY 2013-14 Q3 and Q4 | - | - | - | - | - | \$22,750,954 |
| Total Paid in Current Period | \$17,456,721 | \$19,326,880 | \$19,978,633 | \$21,052,310 | \$21,330,011 | \$22,750,954 |
| Total IBNR Amount | \$904,408 | \$986,571 | \$1,088,597 | \$1,135,331 | \$1,193,319 | \$1,215,397 |
| Total Paid for All Incurred Dates | \$18,361,129 | \$20,313,451 | \$21,067,230 | \$22,187,641 | \$22,523,330 | \$23,966,351 |

¹ The Department pays capitations for retroactive eligibility determinations up to 18 months after the date of service.

| Exhibit EE - Incurred But Not Reported Expenditures by Fiscal Period | | | | | | |
|---|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Incurred But Not Reported (IBNR) Estimate for Eligible Children (AFDC-C/BC) | | | | | | |
| | Paid in FY 2011-12 Q1 and Q2 | Paid in FY 2011-12 Q3 and Q4 | Paid in FY 2012-13 Q1 and Q2 | Paid in FY 2012-13 Q3 and Q4 | Paid in FY 2013-14 Q1 and Q2 | Paid in FY 2013-14 Q3 and Q4 |
| Incurring in all other previous periods | \$63,555 | - | - | - | - | - |
| Incurring in FY 2010-11 Q3 and Q4 | \$814,259 | \$70,939 | - | - | - | - |
| Incurring in FY 2011-12 Q1 and Q2 | \$31,026,648 | \$843,306 | \$73,470 | - | - | - |
| Incurring in FY 2011-12 Q3 and Q4 | - | \$33,895,262 | \$921,276 | \$80,263 | - | - |
| Incurring in FY 2012-13 Q1 and Q2 | - | - | \$35,082,037 | \$953,532 | \$83,073 | - |
| Incurring in FY 2012-13 Q3 and Q4 | - | - | - | \$38,309,111 | \$1,041,244 | \$90,714 |
| Incurring in FY 2013-14 Q1 and Q2 | - | - | - | - | \$39,330,222 | \$1,068,998 |
| Incurring in FY 2013-14 Q3 and Q4 | - | - | - | - | - | \$43,383,835 |
| Total Paid in Current Period | \$31,026,648 | \$33,895,262 | \$35,082,037 | \$38,309,111 | \$39,330,222 | \$43,383,835 |
| Total IBNR Amount | \$877,814 | \$914,245 | \$994,746 | \$1,033,795 | \$1,124,317 | \$1,159,712 |
| Total Paid for All Incurred Dates | \$31,904,462 | \$34,809,507 | \$36,076,783 | \$39,342,906 | \$40,454,539 | \$44,543,547 |
| Incurred But Not Reported (IBNR) Estimate for Foster Care | | | | | | |
| | Paid in FY 2011-12 Q1 and Q2 | Paid in FY 2011-12 Q3 and Q4 | Paid in FY 2012-13 Q1 and Q2 | Paid in FY 2012-13 Q3 and Q4 | Paid in FY 2013-14 Q1 and Q2 | Paid in FY 2013-14 Q3 and Q4 |
| Incurring in all other previous periods | \$43,213 | - | - | - | - | - |
| Incurring in FY 2010-11 Q3 and Q4 | \$137,001 | \$38,851 | - | - | - | - |
| Incurring in FY 2011-12 Q1 and Q2 | \$20,348,329 | \$137,516 | \$38,997 | - | - | - |
| Incurring in FY 2011-12 Q3 and Q4 | - | \$19,508,558 | \$131,841 | \$37,388 | - | - |
| Incurring in FY 2012-13 Q1 and Q2 | - | - | \$19,671,588 | \$132,943 | \$37,700 | - |
| Incurring in FY 2012-13 Q3 and Q4 | - | - | - | \$19,375,179 | \$130,940 | \$37,132 |
| Incurring in FY 2013-14 Q1 and Q2 | - | - | - | - | \$19,550,819 | \$132,127 |
| Incurring in FY 2013-14 Q3 and Q4 | - | - | - | - | - | \$19,489,093 |
| Total Paid in Current Period | \$20,348,329 | \$19,508,558 | \$19,671,588 | \$19,375,179 | \$19,550,819 | \$19,489,093 |
| Total IBNR Amount | \$180,214 | \$176,367 | \$170,838 | \$170,331 | \$168,640 | \$169,259 |
| Total Paid for All Incurred Dates | \$20,528,543 | \$19,684,925 | \$19,842,426 | \$19,545,510 | \$19,719,459 | \$19,658,352 |
| Incurred But Not Reported (IBNR) Estimate for Breast and Cervical Cancer Program | | | | | | |
| | Paid in FY 2011-12 Q1 and Q2 | Paid in FY 2011-12 Q3 and Q4 | Paid in FY 2012-13 Q1 and Q2 | Paid in FY 2012-13 Q3 and Q4 | Paid in FY 2013-14 Q1 and Q2 | Paid in FY 2013-14 Q3 and Q4 |
| Incurring in all other previous periods | \$0 | - | - | - | - | - |
| Incurring in FY 2010-11 Q3 and Q4 | \$747 | \$0 | - | - | - | - |
| Incurring in FY 2011-12 Q1 and Q2 | \$85,612 | \$874 | \$0 | - | - | - |
| Incurring in FY 2011-12 Q3 and Q4 | - | \$95,557 | \$975 | \$0 | - | - |
| Incurring in FY 2012-13 Q1 and Q2 | - | - | \$101,026 | \$1,031 | \$0 | - |
| Incurring in FY 2012-13 Q3 and Q4 | - | - | - | \$109,082 | \$1,113 | \$0 |
| Incurring in FY 2013-14 Q1 and Q2 | - | - | - | - | \$113,552 | \$1,159 |
| Incurring in FY 2013-14 Q3 and Q4 | - | - | - | - | - | \$122,210 |
| Total Paid in Current Period | \$85,612 | \$95,557 | \$101,026 | \$109,082 | \$113,552 | \$122,210 |
| Total IBNR Amount | \$747 | \$874 | \$975 | \$1,031 | \$1,113 | \$1,159 |
| Total Paid for All Incurred Dates | \$86,359 | \$96,431 | \$102,001 | \$110,113 | \$114,665 | \$123,369 |

| Exhibit FF - Medicaid Mental Health Retroactivity Adjustment | | | | | | |
|---|------------------------------------|--|--|---|--|--------------------|
| Fiscal Year | | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults ⁽¹⁾ | Eligible Children (AFDC-C/BC) | Foster Care |
| FY 2006-07 | Average Monthly Claims | 36,562 | 59,698 | 71,961 | 228,302 | 17,244 |
| | Average Caseload | 35,888 | 54,858 | 61,031 | 205,390 | 16,724 |
| | Claims as a Percentage of Caseload | 101.88% | 108.82% | 117.91% | 111.16% | 103.11% |
| FY 2007-08 | Average Monthly Claims | 36,863 | 60,694 | 69,316 | 225,108 | 17,797 |
| | Average Caseload | 36,284 | 56,079 | 59,761 | 204,022 | 17,141 |
| | Claims as a Percentage of Caseload | 101.59% | 108.23% | 115.99% | 110.34% | 103.83% |
| FY 2008-09 | Average Monthly Claims | 37,847 | 62,224 | 77,172 | 251,382 | 18,587 |
| | Average Caseload | 37,619 | 57,802 | 68,850 | 235,129 | 18,033 |
| | Claims as a Percentage of Caseload | 100.61% | 107.65% | 112.09% | 106.91% | 103.07% |
| FY 2009-10 | Average Monthly Claims | 38,625 | 65,039 | 94,400 | 290,728 | 18,834 |
| | Average Caseload | 38,487 | 60,313 | 85,907 | 275,672 | 18,381 |
| | Claims as a Percentage of Caseload | 100.36% | 107.84% | 109.89% | 105.46% | 102.47% |
| FY 2010-11 | Estimated Average Monthly Claims | 38,345 | 66,041 | 123,551 | 317,090 | 18,698 |
| | Average Caseload | 38,921 | 64,048 | 116,146 | 302,381 | 18,392 |
| | Claims as a Percentage of Caseload | 98.52% | 103.11% | 106.38% | 104.86% | 101.67% |
| Weighted Average Claims as a Percentage of Caseload ⁽²⁾ | | 100.36% | 107.84% | 109.89% | 105.46% | 102.47% |
| Retroactivity Adjustment Factor | | 0.36% | 7.84% | 9.89% | 5.46% | 2.47% |

¹ Breast and Cervical Cancer Program participants share a capitation rate with the Adult population, and comprise less than 1% of that total population. As such, a separate analysis was not performed.

² The retroactivity adjustment captures the difference in total claims paid versus caseload due to retroactive eligibility. After analyzing the data and historical trends, the Department determined that the most recent year with adequate runout, which in this request is FY 2009-10, most accurately represents the relationship between average monthly claims and average caseload for all eligibility categories.

Exhibit FF - Medicaid Mental Health Partial Month Adjustment Multiplier

| Fiscal Year | | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults ⁽¹⁾ | Eligible Children (AFDC-C/BC) | Foster Care |
|---|---|--------------------------------|---|----------------------------------|----------------------------------|-------------|
| FY 2006-07 | Weighted Claims-Based Rate | \$13.38 | \$105.59 | \$14.95 | \$12.80 | \$280.10 |
| | Weighted Capitation Rate | \$13.46 | \$106.01 | \$14.96 | \$12.85 | \$282.90 |
| | Claims as a Percentage of Capitation | 99.44% | 99.61% | 99.95% | 99.58% | 99.01% |
| FY 2007-08 | Weighted Claims-Based Rate | \$13.07 | \$113.59 | \$17.48 | \$13.87 | \$260.01 |
| | Weighted Capitation Rate | \$13.15 | \$114.03 | \$17.51 | \$13.94 | \$262.46 |
| | Claims as a Percentage of Capitation | 99.35% | 99.61% | 99.84% | 99.49% | 99.07% |
| FY 2008-09 | Weighted Claims-Based Rate | \$13.49 | \$122.69 | \$18.40 | \$14.47 | \$253.55 |
| | Weighted Capitation Rate ⁽²⁾ | \$13.57 | \$123.19 | \$18.47 | \$14.57 | \$255.40 |
| | Claims as a Percentage of Capitation | 99.42% | 99.60% | 99.63% | 99.34% | 99.28% |
| FY 2009-10 | Weighted Claims-Based Rate | \$13.21 | \$127.20 | \$18.74 | \$14.21 | \$225.87 |
| | Weighted Capitation Rate ⁽³⁾ | \$13.29 | \$127.69 | \$18.82 | \$14.29 | \$227.45 |
| | Claims as a Percentage of Capitation | 99.40% | 99.61% | 99.56% | 99.44% | 99.30% |
| FY 2010-11 | Weighted Claims-Based Rate | \$13.52 | \$136.48 | \$20.57 | \$15.11 | \$191.42 |
| | Weighted Capitation Rate ⁽⁴⁾ | \$13.59 | \$136.86 | \$20.64 | \$15.19 | \$192.55 |
| | Claims as a Percentage of Capitation | 99.51% | 99.72% | 99.66% | 99.49% | 99.41% |
| Average Claims as a Percentage of Capitation ⁽⁵⁾ | | 99.40% | 99.61% | 99.56% | 99.44% | 99.30% |
| Partial Month Adjustment Multiplier | | -0.60% | -0.39% | -0.44% | -0.56% | -0.70% |

¹ Breast and Cervical Cancer Program participants share a capitation rate with the Adult population, and comprise less than 1% of that total population. As such, a separate analysis was not performed.

² For January through June of FY 2008-09, the Department paid at 3% above the midpoint of the actuarial rate range. The number provided, here, reflects the actual expenditure to the Department and therefore does not match the number in Exhibit GG, which demonstrates the trend on the actuarial midpoints.

³ For September through December of FY 2009-10, the Department paid at 2.5% below the midpoint of the actuarial rate range. The Department paid 2.5% below the midpoint of the actuarial rate range for three out of the five BHOs for January through June 2010. The number provided, here, reflects the actual expenditure to the Department and therefore does not match the number in Exhibit GG, which demonstrates the trend on the actuarial midpoints.

⁴ For July through December of FY 2010-11, the Department paid at 2.5% below the midpoint of the actuarial rate range. The Department paid 1.71% below the actuarial point estimate for all five BHOs for January through June 2011. The number provided, here, reflects the actual expenditure to the Department and therefore does not match the number in Exhibit GG, which demonstrates the trend on the actuarial point estimates.

⁵ The partial month adjustment captures the difference in the amount paid per claim versus the capitation rate due to paying an adjusted rate for clients enrolled for only part of a month. After analyzing the data and historical trends, the Department determined that the most recent year with adequate runout, which in this request is FY 2009-10, most accurately represents the relationship between the claims-based rate and the capitation rate for all eligibility categories.

| Exhibit GG - Medicaid Mental Health Capitation Rate Trends and Forecasts | | | | | | | |
|--|-----------------------------|---|----------------------------------|-------------------------------|-----------------|---|--|
| Capitation Rate Trends | | | | | | | |
| Fiscal Year | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults ⁽¹⁾ | Eligible Children (AFDC-C/BC) | Foster Care | Weighted Mental Health Total ⁽²⁾ | |
| FY 2006-07 Actuals | \$13.46 | \$106.01 | \$14.96 | \$12.85 | \$282.90 | \$38.99 | |
| FY 2007-08 Actuals | \$13.15 | \$114.03 | \$17.51 | \$13.94 | \$262.46 | \$40.88 | |
| % Change from FY 2006-07 | -2.30% | 7.57% | 17.05% | 8.48% | -7.23% | 4.84% | |
| FY 2008-09 Actuals ⁽³⁾ | \$13.37 | \$121.30 | \$18.18 | \$14.34 | \$251.87 | \$39.96 | |
| % Change from FY 2007-08 | 1.67% | 6.38% | 3.83% | 2.87% | -4.03% | -2.24% | |
| FY 2009-10 Actuals ⁽⁴⁾ | \$13.40 | \$131.63 | \$19.33 | \$14.71 | \$220.67 | \$38.07 | |
| % Change from FY 2008-09 | 0.22% | 8.52% | 6.33% | 2.58% | -12.39% | -4.72% | |
| FY 2010-11 Actuals ⁽⁵⁾ | \$13.80 | \$139.00 | \$20.94 | \$15.41 | \$195.39 | \$37.28 | |
| % Change from FY 2009-10 | 2.99% | 5.60% | 8.33% | 4.76% | -11.46% | -2.09% | |
| FY 2011-12 Q1 and Q2 Known Rate | \$14.17 | \$141.47 | \$21.76 | \$15.87 | \$186.94 | \$36.97 | |
| % Change from FY 2010-11 | 2.68% | 1.78% | 3.92% | 2.99% | -4.32% | -0.83% | |
| FY 2011-12 Q3 and Q4 Estimated Rate | \$14.59 | \$153.31 | \$22.53 | \$16.63 | \$177.95 | \$38.32 | |
| % Change from FY 2011-12 Q1 and Q2 | 2.96% | 8.37% | 3.54% | 4.79% | -4.81% | 3.66% | |
| % Change from FY 2010-11 | 5.72% | 10.29% | 7.59% | 7.92% | -8.93% | 2.80% | |
| FY 2011-12 Estimated Weighted Average Rate ⁽⁶⁾ | \$14.38 | \$147.47 | \$22.16 | \$16.26 | \$182.43 | \$37.67 | |
| % Change from FY 2010-11 | 4.20% | 6.09% | 5.83% | 5.52% | -6.63% | 1.05% | |
| FY 2012-13 Q1 and Q2 Known Rate | \$14.59 | \$153.31 | \$22.53 | \$16.63 | \$177.95 | \$38.28 | |
| % Change from FY 2011-12 Q3 and Q4 Rate | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | -0.12% | |
| % Change from FY 2011-12 Average Rate | 1.46% | 3.96% | 1.67% | 2.28% | -2.46% | 1.61% | |
| FY 2012-13 Q3 and Q4 Estimated Rate | \$15.03 | \$161.89 | \$23.33 | \$17.26 | \$173.67 | \$39.69 | |
| % Change from FY 2012-13 Q1 and Q2 Rate | 3.02% | 5.60% | 3.55% | 3.79% | -2.41% | 3.70% | |
| % Change from FY 2012-13 Average Rate | 4.52% | 9.78% | 5.28% | 6.15% | -4.80% | 5.37% | |
| FY 2012-13 Estimated Weighted Average Rate ⁽⁶⁾ | \$14.81 | \$157.70 | \$22.93 | \$16.95 | \$175.80 | \$39.00 | |
| % Change from FY 2011-12 Average Rate | 2.99% | 6.94% | 3.47% | 4.24% | -3.63% | 3.53% | |
| FY 2013-14 Q1 and Q2 Known Rate | \$15.03 | \$161.89 | \$23.33 | \$17.26 | \$173.67 | \$39.69 | |
| % Change from FY 2012-13 Q3 and Q4 Rate | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | |
| % Change from FY 2012-13 Average Rate | 1.49% | 2.66% | 1.74% | 1.83% | -1.21% | 1.78% | |
| FY 2013-14 Q3 and Q4 Estimated Rate | \$15.48 | \$170.95 | \$24.16 | \$17.91 | \$171.58 | \$41.46 | |
| % Change from FY 2013-14 Q1 and Q2 Rate | 2.99% | 5.60% | 3.56% | 3.77% | -1.20% | 4.46% | |
| % Change from FY 2013-14 Average Rate | 4.52% | 8.40% | 5.36% | 5.66% | -2.40% | 6.32% | |
| FY 2013-14 Estimated Weighted Average Rate ⁽⁶⁾ | \$15.26 | \$166.50 | \$23.75 | \$17.59 | \$172.62 | \$40.48 | |
| % Change from FY 2012-13 Average Rate | 3.04% | 5.58% | 3.58% | 3.78% | -1.81% | 3.79% | |

¹ Breast and Cervical Cancer Program participants share a capitation rate with the remainder of the Adult population, and comprise less than 1% of that total population. As such, a separate analysis was not performed.

² The Weighted Mental Health Total is the weighted capitation rate distributed by Behavioral Health Organization (BHO) across each eligibility category based on the total number of claims processed (i.e. Elderly clients age 65 and over make up a percentage of all client claims, and each BHO services some subset of the total number of claims for Elderly clients).

³ The Department paid at a rate 3% higher than the actuarial midpoint for Q3 and Q4; the rate presented is the average of the Q1 and Q2 and the actuarial midpoint (not the paid rate) of Q3 and Q4 as weighted by claims percentages.

⁴ The Department paid at a rate 2.5% lower than the actuarial midpoint for September through December and 2.5% lower for three BHOs for Q3 and Q4; the rate presented is the average of the actuarial midpoint (not the paid rate) of Q1 and Q2 and the actuarial midpoint (not the paid rate) of Q3 and Q4 as weighted by claims percentages.

⁵ The Department paid at a rate 2.5% lower than the actuarial midpoint for three BHOs for Q1 and Q2 of FY 2010-11 and at a rate 1.71% lower than the actuarial point estimate for all five BHOs for Q3 and Q4 of FY 2010-11; the rate presented is the average of the actuarial midpoint (not the paid rate) of Q1 and Q2 and the actuarial point estimate (not the paid rate) of Q3 and Q4 as weighted by claims percentages.

⁶ The weighted rate is derived by distributing the individual rates across the estimated proportion of caseload seen under the respective half years the two rates are in effect.

| Exhibit HH - Forecast Model Comparisons - Final Forecasts | | | | | |
|---|-----------------------------|---|-------------------|-------------------------------|-----------------|
| Adjustment Factors for Forecasted Rates | | | | | |
| Model | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults | Eligible Children (AFDC-C/BC) | Foster Care |
| FY 2011-12 Estimated Q1/Q2 Rate | | | | | |
| Weighted Capitation Point Estimate (Known) | \$14.17 | \$141.47 | \$21.76 | \$15.87 | \$186.94 |
| FY 2011-12 BRI-5: Medicaid Reductions ⁽³⁾ | -1.71% | -1.71% | -1.71% | -1.71% | -1.71% |
| Retroactivity Adjustment Multiplier (Exhibit FF) | 0.36% | 7.84% | 9.89% | 5.46% | 2.47% |
| Partial Month Adjustment Multiplier (Exhibit FF) | -0.60% | -0.39% | -0.44% | -0.56% | -0.70% |
| Final Adjustment Factor ⁽⁴⁾ | -1.95% | 5.58% | 7.54% | 3.08% | 0.01% |
| FY 2011-12 Final Paid Q1/Q2 Rate ⁽²⁾ | \$13.89 | \$149.36 | \$23.40 | \$16.36 | \$186.96 |
| FY 2011-12 Estimated Q3/Q4 Rate | | | | | |
| Weighted Capitation Point Estimate | \$14.59 | \$153.31 | \$22.53 | \$16.63 | \$177.95 |
| FY 2011-12 BRI-5: Medicaid Reductions ⁽³⁾ | -1.71% | -1.71% | -1.71% | -1.71% | -1.71% |
| Retroactivity Adjustment Multiplier (Exhibit FF) | 0.36% | 7.84% | 9.89% | 5.46% | 2.47% |
| Partial Month Adjustment Multiplier (Exhibit FF) | -0.60% | -0.39% | -0.44% | -0.56% | -0.70% |
| Final Adjustment Factor ⁽⁴⁾ | -1.95% | 5.58% | 7.54% | 3.08% | 0.01% |
| FY 2011-12 Final Estimated Q3/Q4 Rate | \$14.31 | \$161.86 | \$24.23 | \$17.14 | \$177.97 |
| FY 2012-13 Estimated Q1/Q2 Rate ⁽⁵⁾ | | | | | |
| Weighted Capitation Rate Point Estimate | \$14.59 | \$153.31 | \$22.53 | \$16.63 | \$177.95 |
| FY 2011-12 BRI-5: Medicaid Reductions ⁽³⁾ | -1.71% | -1.71% | -1.71% | -1.71% | -1.71% |
| Retroactivity Adjustment Multiplier (Exhibit FF) | 0.36% | 7.84% | 9.89% | 5.46% | 2.47% |
| Partial Month Adjustment Multiplier (Exhibit FF) | -0.60% | -0.39% | -0.44% | -0.56% | -0.70% |
| Final Adjustment Factor ⁽⁴⁾ | -1.95% | 5.58% | 7.54% | 3.08% | 0.01% |
| FY 2012-13 Final Estimated Q1/Q2 Rate | \$14.31 | \$161.86 | \$24.23 | \$17.14 | \$177.97 |
| FY 2012-13 Estimated Q3/Q4 Rate | | | | | |
| Weighted Capitation Point Estimate | \$15.03 | \$161.89 | \$23.33 | \$17.26 | \$173.67 |
| FY 2011-12 BRI-5: Medicaid Reductions ⁽³⁾ | -1.71% | -1.71% | -1.71% | -1.71% | -1.71% |
| Retroactivity Adjustment Multiplier (Exhibit FF) | 0.36% | 7.84% | 9.89% | 5.46% | 2.47% |
| Partial Month Adjustment Multiplier (Exhibit FF) | -0.60% | -0.39% | -0.44% | -0.56% | -0.70% |
| Final Adjustment Factor ⁽⁴⁾ | -1.95% | 5.58% | 7.54% | 3.08% | 0.01% |
| FY 2012-13 Final Estimated Q3/Q4 Rate | \$14.74 | \$170.92 | \$25.09 | \$17.79 | \$173.69 |

Weighted Capitation Rates are shown in Exhibit GG.

¹ Breast and Cervical Cancer Program participants share a capitation rate with the remainder of the Adult population, and comprise less than 1% of that total population. As such, a forecast for BCCP program eligibles was not performed.

² The Department, as submitted in FY 2010-11 BRI-6, paid rates at -1.71% of the actuarial rate midpoint effective January 1, 2011. The number presented, here, reflects the final outcome of: 1) that rate adjustment, 2) payment of partial capitations, and 3) the estimate of full IBNR based on that component of IBNR runout that has been completed. Because the IBNR component is estimated, this final figure is estimated and may change in future exhibits.

³ The Department, as submitted in FY 2011-12 BRI-5, will continue to pay rates at an additional -1.71% of the actuarial rate midpoint that was effective January 1, 2011.

⁴ The final adjustment factor is derived by adding 1 to each individual adjustment, multiplying the result, and subtracting 1 from the product.

⁵ The rate set for Q3 and Q4 of FY 2011-12 will be the same rate in effect for Q1 and Q2 of FY 2012-13.

| Exhibit HH - Forecast Model Comparisons - Final Forecasts | | | | | |
|--|-----------------------------|---|-------------------|-------------------------------|-----------------|
| Adjustment Factors for Forecasted Rates | | | | | |
| Model | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults | Eligible Children (AFDC-C/BC) | Foster Care |
| FY 2013-14 Estimated Q3/Q4 Rate | | | | | |
| Weighted Capitation Point Estimate | \$15.03 | \$161.89 | \$23.33 | \$17.26 | \$173.67 |
| FY 2011-12 BRI-5: Medicaid Reductions ⁽³⁾ | -1.71% | -1.71% | -1.71% | -1.71% | -1.71% |
| Retroactivity Adjustment Multiplier (Exhibit FF) | 0.36% | 7.84% | 9.89% | 5.46% | 2.47% |
| Partial Month Adjustment Multiplier (Exhibit FF) | -0.60% | -0.39% | -0.44% | -0.56% | -0.70% |
| Final Adjustment Factor ⁽⁴⁾ | -1.95% | 5.58% | 7.54% | 3.08% | 0.01% |
| FY 2013-14 Final Estimated Q3/Q4 Rate | \$14.74 | \$170.92 | \$25.09 | \$17.79 | \$173.69 |
| FY 2013-14 Estimated Q3/Q4 Rate | | | | | |
| Weighted Capitation Point Estimate | \$15.48 | \$170.95 | \$24.16 | \$17.91 | \$171.58 |
| FY 2011-12 BRI-5: Medicaid Reductions ⁽³⁾ | -1.71% | -1.71% | -1.71% | -1.71% | -1.71% |
| Retroactivity Adjustment Multiplier (Exhibit FF) | 0.36% | 7.84% | 9.89% | 5.46% | 2.47% |
| Partial Month Adjustment Multiplier (Exhibit FF) | -0.60% | -0.39% | -0.44% | -0.56% | -0.70% |
| Final Adjustment Factor ⁽⁴⁾ | -1.95% | 5.58% | 7.54% | 3.08% | 0.01% |
| FY 2013-14 Final Estimated Q3/Q4 Rate | \$15.18 | \$180.49 | \$25.98 | \$18.46 | \$171.60 |
| Weighted Capitation Rates are shown in Exhibit GG. | | | | | |
| ¹ Breast and Cervical Cancer Program participants share a capitation rate with the remainder of the Adult population, and comprise less than 1% of that total population. As such, a forecast for BCCP program eligibles was not performed. | | | | | |
| ² The Department, as submitted in FY 2010-11 BRI-6, paid rates at -1.71% of the actuarial rate midpoint effective January 1, 2011. The number presented, here, reflects the final outcome of: 1) that rate adjustment, 2) payment of partial capitations, and 3) the estimate of full IBNR based on that component of IBNR runout that has been completed. Because the IBNR component is estimated, this final figure is estimated and may change in future exhibits. | | | | | |
| ³ The Department, as submitted in FY 2011-12 BRI-5, will continue to pay rates at an additional -1.71% of the actuarial rate midpoint that was effective January 1, 2011. | | | | | |
| ⁴ The final adjustment factor is derived by adding 1 to each individual adjustment, multiplying the result, and subtracting 1 from the product. | | | | | |
| ⁵ The rate set for Q3 and Q4 of FY 2011-12 will be the same rate in effect for Q1 and Q2 of FY 2012-13. | | | | | |

| Exhibit HH - Forecast Model Comparisons - Capitation Trend Models | | | | | | |
|--|------------------------------------|--|--------------------------|--------------------------------------|--------------------|---------|
| Capitation Rate Forecast Model for FY 2011-12 Q3 and Q4 | | | | | | |
| Model | Adults 65 and Older (OAP-A) | Disabled Individuals Through 64 (AND/AB, OAP-B) | Low Income Adults | Eligible Children (AFDC-C/BC) | Foster Care | |
| FY 2009-10 Actual Rate | \$13.40 | \$131.63 | \$19.33 | \$14.71 | \$220.67 | |
| FY 2010-11 Q1 and Q2 Weighted Average Rate | \$13.42 | \$136.45 | \$20.03 | \$14.91 | \$203.79 | |
| FY 2010-11 Q3 and Q4 Weighted Average Rate | \$14.17 | \$141.46 | \$21.76 | \$15.88 | \$186.88 | |
| FY 2010-11 Full Year Average Rate | \$13.80 | \$139.00 | \$20.94 | \$15.41 | \$195.39 | |
| FY 2011-12 Q1 and Q2 Weighted Average Rate | \$14.17 | \$141.47 | \$21.76 | \$15.87 | \$186.94 | |
| Recent Growth Rates | | | | | | |
| % Growth from FY 2009-10 to FY 2010-11 Rate | 2.99% | 5.60% | 8.33% | 4.76% | | -11.46% |
| % Growth from CY 2010 to CY 2011 Rate | 5.59% | 3.68% | 8.64% | 6.44% | | -8.27% |
| Selected Trend Models | | | | | | |
| Average Growth Model | \$14.83 | \$174.49 | \$23.86 | \$16.28 | \$185.99 | |
| % Difference from FY 2011-12 Q1 and Q2 Rate | 4.66% | 23.34% | 9.65% | 2.58% | -0.51% | |
| % Difference from FY 2010-11 Full Year Average Rate | 7.46% | 25.53% | 13.94% | 5.65% | -4.81% | |
| Two Period Moving Average Model | \$13.04 | \$129.32 | \$18.62 | \$14.28 | \$228.97 | |
| % Difference from FY 2011-12 Q1 and Q2 Rate | -7.97% | -8.59% | -14.43% | -10.02% | 22.48% | |
| % Difference from FY 2010-11 Full Year Average Rate | -5.51% | -6.96% | -11.08% | -7.33% | 17.19% | |
| Exponential Growth Model | \$15.97 | \$191.68 | \$26.85 | \$17.19 | \$244.86 | |
| % Difference from FY 2011-12 Q1 and Q2 Rate | 12.70% | 35.49% | 23.39% | 8.32% | 30.98% | |
| % Difference from FY 2010-11 Full Year Average Rate | 15.72% | 37.90% | 28.22% | 11.55% | 25.32% | |
| Linear Growth Model | \$15.43 | \$153.31 | \$23.30 | \$16.47 | \$247.70 | |
| % Difference from FY 2011-12 Q1 and Q2 Rate | 8.89% | 8.37% | 7.08% | 3.78% | 32.50% | |
| % Difference from FY 2010-11 Full Year Average Rate | 11.81% | 10.29% | 11.27% | 6.88% | 26.77% | |
| CY 2012 Forecast Minimum | \$13.04 | \$129.32 | \$18.62 | \$14.28 | \$173.67 | |
| CY 2012 Forecast Maximum | \$15.97 | \$191.68 | \$26.85 | \$17.26 | \$247.70 | |
| % change from CY 2011 Rate to Selected CY 2012 Capitation Rate ⁽²⁾ | 2.99% | 8.37% | 3.54% | 4.76% | -4.81% | |
| CY 2012 Forecast Point Estimate | \$14.59 | \$153.31 | \$22.53 | \$16.63 | \$177.95 | |
| % change from CY 2012 Rate to Selected CY 2013 Capitation Rate ⁽²⁾ | 2.99% | 5.60% | 3.54% | 3.78% | -2.41% | |
| CY 2013 Forecast Point Estimate | \$15.03 | \$161.89 | \$23.33 | \$17.26 | \$173.67 | |
| % change from CY 2013 Rate to Selected CY 2014 Capitation Rate ⁽²⁾ | 2.99% | 5.60% | 3.54% | 3.78% | -1.20% | |
| CY 2014 Forecast Point Estimate | \$15.48 | \$170.95 | \$24.16 | \$17.91 | \$171.58 | |
| ¹ Breast and Cervical Cancer Program participants share a capitation rate with the remainder of the Adult population, and comprise less than 1% of that total population. As such, a forecast for BCCP program eligibles was not performed. | | | | | | |
| ² The CY 2012, 2013, and 2014 selected models are in bold, above; for Adults 65 and Older and Children category eligibles, the change in rate from FY 2009-10 to FY 2010-11 was applied to the rate change from CY 2011 to CY 2012, CY 2012 to CY 2013, and CY 2013 to CY 2014; for Disabled eligibles, a linear trend using the rates from FY 2006-07 to FY 2010-11, after the Goebel settlement, was selected to estimate the rate change from CY 2011 to CY 2012, and the change in rate from FY 2009-10 to FY 2010-11 was applied to the rate change from CY 2012 to CY 2013 and CY 2013 to CY 2014; for Adult eligibles, a linear trend was applied to the rate change for all three calendar years, which was then divided by two; for Foster Care eligibles, the rate of change from FY 2010-11 to FY 2011-12 as predicted by the average growth model was applied to the rate change from CY 2011 to CY 2012, which was then halved to predict the rate change from CY 2012 to CY 2013 and halved again to predict the rate change from CY 2013 to CY 2014. | | | | | | |

| Exhibit II - Recoupment of Payments Made for Clients Found to be Ineligible for Medicaid | | | | | |
|---|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|
| Total Recoupment by Fiscal Year | | | | | |
| | FY 2009-10 Actuals | FY 2010-11 Actuals | FY 2011-12 Request | FY 2012-13 Request | FY 2013-14 Request |
| Recoupments for FY 2005-06, FY 2006-07, and FY 2007-08 Ineligibles | \$3,252,765 | \$0 | \$0 | \$0 | \$0 |
| Recoupment for FY 2004-05 Ineligibles | \$0 | \$1,793,362 | \$0 | \$0 | \$0 |
| Estimated Recoupment for FY 2008-09 Ineligibles ⁽¹⁾ | \$0 | \$0 | \$690,207 | \$0 | \$0 |
| Estimated Recoupment for FY 2009-10 Ineligibles ⁽²⁾ | \$0 | \$0 | \$791,736 | \$0 | \$0 |
| Estimated Recoupment for FY 2010-11 Ineligibles ⁽²⁾ | \$0 | \$0 | \$0 | \$871,781 | \$0 |
| Estimated Recoupment for FY 2011-12 Ineligibles ⁽²⁾ | \$0 | \$0 | \$0 | \$0 | \$959,918 |
| Net Impact of Estimated Recoupments | \$3,252,765 | \$1,793,362 | \$1,481,943 | \$871,781 | \$959,918 |

¹ Estimated recoupment for FY 2008-09 ineligibles is based on a preliminary estimate and is subject to change when more data analysis is completed.

² Estimated recoupments for FY 2009-10, FY 2010-11, and FY 2011-12 ineligibles are based on the preliminary estimate of FY 2008-09, which is the most recent reconciliation year with initial data analysis. They are increased by that fiscal year's caseload growth as it is anticipated that more will be recouped over time as the magnitude of the base expenditure increases over time.

| Recoupment Fund Splits | | | | | |
|--|--------------------|---------------------|-------------------|-----------------------------|----------------------|
| | Total Funds | General Fund | Cash Funds | Reappropriated Funds | Federal Funds |
| Recoupments for FY 2005-06, FY 2006-07, and FY 2007-08 Ineligibles | \$3,252,765 | \$1,626,382 | \$0 | \$0 | \$1,626,383 |
| Recoupments for FY 2004-05 Ineligibles | \$1,793,362 | \$896,681 | \$0 | \$0 | \$896,681 |
| Estimated Recoupments for FY 2008-09 and FY 2009-10 Ineligibles ⁽¹⁾ | \$1,481,943 | \$593,561 | \$5,350 | \$0 | \$883,032 |
| Estimated Recoupments for FY 2010-11 Ineligibles ⁽²⁾ | \$871,781 | \$307,418 | \$43,823 | \$0 | \$520,540 |
| Estimated Recoupments for FY 2011-12 Ineligibles | \$959,918 | \$425,057 | \$54,902 | \$0 | \$479,959 |

¹ Fund splits for recoupments for FY 2008-09 ineligibles account for differing levels of federal match over the course of that fiscal year due to the American Reinvestment and Recovery Act; in FY 2008-09, three months of expenses were matched at the standard 50%, six months were matched at 58.78%, and three months were matched at 61.59%. Fund splits for recoupments for FY 2009-10 ineligibles account for a federal match of 61.59% over the course of that fiscal year due to the American Reinvestment and Recovery Act.

² Fund splits for recoupments for FY 2010-11 ineligibles account for a federal match of 59.71% over the course of that fiscal year due to the American Reinvestment and Recovery Act.

| Exhibit JJ - Expansion Populations ⁽¹⁾ | | | | | | | | |
|--|----------|---------------------------|---------------------|----------------------|--------------------|----------------------|--------------------|----------|
| FY 2011-12 Mental Health Budget Request | | | | | | | | |
| DESCRIPTION OF ESTIMATE | | | | CALCULATION OF MATCH | | | | |
| Eligibility Category | Caseload | Estimated Per Capita Cost | Total Estimate | General Fund | Cash Funds | Reappropriated Funds | Federal Funds | FFP Rate |
| Hospital Provider Fee Cash Fund: | | | | | | | | |
| Expansion Adults to 100% | 33,976 | \$284.36 | \$9,661,415 | \$0 | \$4,830,707 | \$0 | \$4,830,708 | 50.00% |
| Buy-In for Disabled Individuals | 57 | \$1,845.49 | \$105,193 | \$0 | \$52,596 | \$0 | \$52,597 | 50.00% |
| Total from Hospital Provider Fee Fund | - | - | \$9,766,608 | \$0 | \$4,883,303 | \$0 | \$4,883,305 | |
| SB 11-008: Aligning Medicaid Eligibility for Children | | | | | | | | |
| Eligible Children: Family Medical Program | 0 | \$200.70 | \$0 | \$0 | \$0 | \$0 | \$0 | 65.00% |
| SB 11-250: Eligibility for Pregnant Women in Medicaid | | | | | | | | |
| Baby Care Program-Adults | 0 | \$284.36 | \$0 | \$0 | \$0 | \$0 | \$0 | 65.00% |
| FY 2012-13 Mental Health Budget Request | | | | | | | | |
| DESCRIPTION OF ESTIMATE | | | | CALCULATION OF MATCH | | | | |
| Eligibility Category | Caseload | Estimated Per Capita Cost | Total Estimate | General Fund | Cash Funds | Reappropriated Funds | Federal Funds | FFP Rate |
| Hospital Provider Fee Cash Fund: | | | | | | | | |
| Expansion Adults to 100% | 36,083 | \$295.12 | \$10,648,815 | \$0 | \$5,324,407 | \$0 | \$5,324,408 | 50.00% |
| Buy-In for Disabled Individuals | 2,126 | \$1,980.10 | \$4,209,693 | \$0 | \$2,104,846 | \$0 | \$2,104,847 | 50.00% |
| Total from Hospital Provider Fee Fund | - | - | \$14,858,508 | \$0 | \$7,429,253 | \$0 | \$7,429,255 | |
| SB 11-008: Aligning Medicaid Eligibility for Children | | | | | | | | |
| Eligible Children: Family Medical Program | 3,951 | \$209.27 | \$826,826 | \$289,389 | \$0 | \$0 | \$537,437 | 65.00% |
| SB 11-250: Eligibility for Pregnant Women in Medicaid | | | | | | | | |
| Baby Care Program-Adults | 597 | \$295.12 | \$176,187 | \$61,665 | \$0 | \$0 | \$114,522 | 65.00% |

¹ The Department's allocation methodology is described in the Expansion Populations section of this Budget Request.

| Exhibit JJ - Expansion Populations ⁽¹⁾ | | | | | | | | |
|--|----------|---------------------------|---------------------|----------------------|---------------------|----------------------|---------------------|----------|
| FY 2013-14 Mental Health Budget Request | | | | | | | | |
| DESCRIPTION OF ESTIMATE | | | | CALCULATION OF MATCH | | | | |
| Eligibility Category | Caseload | Estimated Per Capita Cost | Total Estimate | General Fund | Cash Funds | Reappropriated Funds | Federal Funds | FFP Rate |
| Hospital Provider Fee Cash Fund: | | | | | | | | |
| Expansion Adults to 100% | 36,539 | \$305.75 | \$11,171,799 | \$0 | \$5,585,899 | \$0 | \$5,585,900 | 50.00% |
| Buy-In for Disabled Individuals | 5,413 | \$2,092.34 | \$11,325,836 | \$0 | \$5,662,918 | \$0 | \$5,662,918 | 50.00% |
| Total from Hospital Provider Fee Fund | - | - | \$22,497,635 | \$0 | \$11,248,817 | \$0 | \$11,248,818 | |
| SB 11-008: Aligning Medicaid Eligibility for Children | | | | | | | | |
| Eligible Children: Family Medical Program | 16,333 | \$217.20 | \$3,547,528 | \$1,241,635 | \$0 | \$0 | \$2,305,893 | 65.00% |
| SB 11-250: Eligibility for Pregnant Women in Medicaid | | | | | | | | |
| Baby Care Program-Adults | 1,194 | \$305.75 | \$365,066 | \$127,773 | \$0 | \$0 | \$237,293 | 65.00% |

¹ The Department's allocation methodology is described in the Expansion Populations section of this Budget Request.

| Exhibit KK - Medicaid Mental Health Fee For Service Forecast | | | | | | | | | |
|--|---------------------|--|--|-------------------------------|--|--|-------------------------------|---------------------|--------------------------------------|
| FY 2011-12 Calculation | | | | | | | | | |
| Components | FY 2010-11 Actual | FY 2010-11 Adjustment for Payment Delay Payback ⁽¹⁾ | FY 2010-11 Total Expenditure Excluding Payment Delay Payback | FY 2011-12 Appropriation | Estimated Change in Total Mental Health Caseload | | | FY 2011-12 Estimate | FY 2011-12 Change from Appropriation |
| | | | | | FY 2010-11 Average Monthly Caseload | FY 2011-12 Forecasted Average Monthly Caseload | Forecasted Change in Caseload | | |
| <i>Inpatient Services</i> | \$802,447 | \$35,153 | \$767,294 | \$810,373 | 540,419 | 595,044 | 10.11% | \$844,851 | \$34,478 |
| <i>Outpatient Services</i> | \$2,971,816 | \$99,718 | \$2,872,098 | \$3,001,171 | 540,419 | 595,044 | 10.11% | \$3,162,407 | \$161,236 |
| <i>Physician Services</i> | \$96,331 | \$1,693 | \$94,638 | \$97,283 | 540,419 | 595,044 | 10.11% | \$104,204 | \$6,921 |
| Total After Prior Year Adjustments | \$3,870,594 | \$136,564 | \$3,734,030 | \$3,908,827 | | | | \$4,111,462 | \$202,635 |
| ¹ In order to forecast from a 52-week base, the FY 2010-11 actual paid amounts are adjusted for the two-week payment delay that occurred in June 2010 and were paid in July 2010. | | | | | | | | | |
| FY 2012-13 Calculation | | | | | | | | | |
| Components | FY 2011-12 Estimate | Estimated Change in Total Mental Health Caseload | | | FY 2012-13 Request | FY 2012-13 Change from FY 2011-12 Estimate | | | |
| | | FY 2011-12 Forecasted Average Monthly Caseload | FY 2012-13 Forecasted Average Monthly Caseload | Forecasted Change in Caseload | | | | | |
| <i>Inpatient Services</i> | \$844,851 | 595,044 | 640,090 | 7.57% | \$908,808 | \$63,957 | | | |
| <i>Outpatient Services</i> | \$3,162,407 | 595,044 | 640,090 | 7.57% | \$3,401,807 | \$239,400 | | | |
| <i>Physician Services</i> | \$104,204 | 595,044 | 640,090 | 7.57% | \$112,092 | \$7,888 | | | |
| Total After Prior Year Adjustments | \$4,111,462 | | | | \$4,422,707 | \$311,245 | | | |
| FY 2013-14 Calculation | | | | | | | | | |
| Components | FY 2012-13 Estimate | Estimated Change in Total Mental Health Caseload | | | FY 2013-14 Request | FY 2013-14 Change from FY 2012-13 Estimate | | | |
| | | FY 2012-13 Forecasted Average Monthly Caseload | FY 2013-14 Forecasted Average Monthly Caseload | Forecasted Change in Caseload | | | | | |
| <i>Inpatient Services</i> | \$908,808 | 640,090 | 683,788 | 6.83% | \$970,851 | \$62,043 | | | |
| <i>Outpatient Services</i> | \$3,401,807 | 640,090 | 683,788 | 6.83% | \$3,634,043 | \$232,236 | | | |
| <i>Physician Services</i> | \$112,092 | 640,090 | 683,788 | 6.83% | \$119,744 | \$7,652 | | | |
| Total After Prior Year Adjustments | \$4,422,707 | | | | \$4,724,638 | \$301,931 | | | |

| Exhibit KK - Medicaid Mental Health Fee For Service Forecast | | | | | |
|---|--------------------|---------------------|-------------------|-----------------------------|----------------------|
| Medicaid Mental Health Fee for Service Fund Splits | | | | | |
| | Total Funds | General Fund | Cash Funds | Reappropriated Funds | Federal Funds |
| Total Estimated FY 2011-12 Fee for Service Expenditure | \$4,111,462 | \$2,055,731 | \$0 | \$0 | \$2,055,731 |
| Total Estimated FY 2012-13 Fee for Service Expenditure | \$4,422,707 | \$2,211,353 | \$0 | \$0 | \$2,211,354 |
| Total Estimated FY 2013-14 Fee for Service Expenditure | \$4,724,638 | \$2,362,319 | \$0 | \$0 | \$2,362,319 |

Exhibit LL - Global Reasonableness Test for Medicaid Mental Health Capitation Payments ⁽¹⁾

| | Actual/Requested Expenditures | Percent Change | Dollar Increase/ Decrease | Two-year Rolling Average | Percent Change Two-year Average | Three-year Rolling Average | Percent Change Three-year Average |
|---|--------------------------------------|-----------------------|----------------------------------|---------------------------------|--|-----------------------------------|--|
| FY 2006-07 Actual | \$184,640,568 | N/A | N/A | N/A | N/A | N/A | N/A |
| FY 2007-08 Actual | \$196,011,033 | 6.16% | \$11,370,465 | \$190,325,801 | N/A | N/A | N/A |
| FY 2008-09 Actual | \$215,860,937 | 10.13% | \$19,849,904 | \$205,935,985 | 8.20% | \$198,837,513 | N/A |
| FY 2009-10 Actual | \$226,620,818 | 4.98% | \$10,759,881 | \$221,240,878 | 7.43% | \$212,830,929 | 7.04% |
| FY 2010-11 Actual | \$251,146,027 | 10.82% | \$24,525,209 | \$238,883,423 | 7.97% | \$231,209,261 | 8.64% |
| FY 2011-12 Appropriation vs. FY 2010-11 Actual | \$272,492,158 | 8.50% | \$21,346,131 | \$261,819,093 | 9.60% | \$250,086,334 | 8.16% |
| FY 2011-12 Estimate vs. FY 2010-11 Actual | \$276,581,733 | 10.13% | \$25,435,706 | \$263,863,880 | 10.46% | \$251,449,526 | 8.75% |
| FY 2011-12 Estimate vs. Appropriation | \$276,581,733 | 1.50% | \$4,089,575 | \$263,863,880 | 0.78% | \$251,449,526 | 0.55% |
| FY 2012-13 Request vs. FY 2011-12 Appropriation | \$309,782,499 | 13.68% | \$37,290,341 | \$291,137,329 | 11.20% | \$269,631,825 | 7.82% |
| FY 2012-13 Request vs. FY 2011-12 Estimate | \$309,782,499 | 12.00% | \$33,200,766 | \$293,182,116 | 11.11% | \$279,170,086 | 11.02% |
| FY 2013-14 Request vs. FY 2011-12 Appropriation | \$343,874,138 | 26.20% | \$71,381,980 | \$308,183,148 | 17.71% | \$308,716,265 | 23.44% |
| FY 2013-14 Request vs. FY 2012-13 Estimate | \$343,874,138 | 11.01% | \$34,091,639 | \$326,828,319 | 11.48% | \$310,079,457 | 11.07% |

¹ This analysis compares the percent change between Mental Health Capitation Payments Reported in Exhibit DD. Other Medicaid Mental Health Payments have been excluded.