

STATE OF COLORADO FY 2010-11 BUDGET REQUEST CYCLE: DEPARTMENT OF HEALTH CARE POLICY AND FINANCING

Schedule 13											
Change Request for FY 2010-11 Budget Request Cycle											
Decision Item FY 2010-11		Base Reduction Item FY 2010-11			Supplemental FY 2009-10			Budget Amendment FY 2010-11			
Request Title:	Medicaid Mental Health Community Programs										
Department:	Health Care Policy and Financing			Dept. Approval by:	John Bartholomew <i>JB</i>			Date:	November 2, 2009 <i>10/15/09</i>		
Priority Number:	DI-2			OSPB Approval:	<i>John</i>			Date:	<i>10-26-09</i>		
		1	2	3	4	5	6	7	8	9	10
		Prior-Year Actual FY 2008-09	Appropriation FY 2009-10	Supplemental Request FY 2009-10	Total Revised Request FY 2009-10	Base Request FY 2010-11	Decision/ Base Reduction FY 2010-11	November 1 Request FY 2010-11	Budget Amendment FY 2010-11	Total Revised Request FY 2010-11	Change from Base (Column 5) FY 2011-12
	Fund										
Total of All Line Items	Total	217,637,190	207,166,540	4,051,231	211,217,771	236,143,348	21,381,804	256,525,152	0	256,525,152	21,381,804
	FTE	0.0	0	0.0	0	0.0	0.0	0.0	0.0	0.0	0.0
	GF	87,500,300	72,657,334	2,297,944	74,955,278	104,592,221	10,807,345	115,399,566	0	115,399,566	10,807,345
	GFE	0	0	0	0	0	0	0	0	0	0
	CF	5,219,083	6,483,686	(733,568)	5,750,119	13,018,666	(75,390)	12,943,276	0	12,943,276	(75,390)
	CFE/RF	7,330	9,016	1,377	10,393	8,950	4,338	13,288	0	13,288	4,338
	FF	124,910,477	128,016,504	2,485,478	130,501,982	117,523,511	10,645,511	128,169,022	0	128,169,022	10,645,511
(3) Medicaid Mental Health Community Programs; (A) Mental Health Capitation Payments	Total	215,860,937	205,435,011	3,783,856	209,218,867	233,411,819	20,807,570	254,219,389	0	254,219,389	20,807,570
	FTE	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	GF	86,769,471	94,262,892	2,843,388	97,106,280	103,726,456	10,520,228	114,246,684	0	114,246,684	10,520,228
	GFE	0	0	0	0	0	0	0	0	0	0
	CF	5,219,083	8,434,054	(956,303)	7,477,752	13,018,666	(75,390)	12,943,276	0	12,943,276	(75,390)
	CFE/RF	7,330	9,016	1,377	10,393	8,950	4,338	13,288	0	13,288	4,338
	FF	123,865,053	102,729,049	1,895,394	104,624,443	116,657,747	10,358,394	127,016,141	0	127,016,141	10,358,394
(3) Medicaid Mental Health Community Programs; (B) Other Medicaid Mental Health Payments, Medicaid Mental Health Fee for Services Payments	Total	1,776,253	1,731,529	267,375	1,998,904	1,731,529	574,234	2,305,763	0	2,305,763	574,234
	FTE	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	GF	730,829	865,765	133,687	999,452	865,765	287,117	1,152,882	0	1,152,882	287,117
	GFE	0	0	0	0	0	0	0	0	0	0
	CF	0	0	0	0	0	0	0	0	0	0
	CFE/RF	0	0	0	0	0	0	0	0	0	0
	FF	1,045,424	865,764	133,688	999,452	865,764	287,117	1,152,881	0	1,152,881	287,117

STATE OF COLORADO FY 2010-11 BUDGET REQUEST CYCLE: DEPARTMENT OF HEALTH CARE POLICY AND FINANCING

Schedule 13																	
Change Request for FY 2010-11 Budget Request Cycle																	
Decision Item FY 2010-11		<input checked="" type="checkbox"/>		Base Reduction Item FY 2010-11			<input type="checkbox"/>			Supplemental FY 2009-10		<input type="checkbox"/>		Budget Amendment FY 2010-11		<input type="checkbox"/>	
Request Title:		Medicaid Mental Health Community Programs															
Department:		Health Care Policy and Financing			Dept. Approval by:			John Bartholomew			Date:		November 2, 2009				
Priority Number:		DI-2			OSPB Approval:						Date:						
		1	2	3	4	5	6	7	8	9	10						
		Prior-Year Actual	Supplemental Request	Total Revised Request	Base Request	Decision/ Base Reduction	November 1 Request	Budget Amendment	Total Revised Request	Change from Base (Column 5)							
	Fund	FY 2008-09	FY 2009-10	FY 2009-10	FY 2009-10	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2010-11	FY 2011-12						
(3) Medicaid Mental Health Community Programs; Long Bill Group Total		Total	0	0	0	0	0	0	0	0	0						
		FTE	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0						
		GF	0	(22,471,323)	(679,131)	(23,150,454)	0	0	0	0	0						
		GFE	0	0	0	0	0	0	0	0	0						
		CF	0	(1,950,368)	222,735	(1,727,633)	0	0	0	0	0						
		CFE/RF	0	0	0	0	0	0	0	0	0						
		FF	0	24,421,691	456,396	24,878,087	0	0	0	0	0						
Non-Line Item Request:		None.															
Letternote Revised Text:		<p>^a Of this amount, \$8,998,386 shall be from the Health Care Expansion Fund created in Section 24-22-117 (2) (a) (I) C.R.S.; and \$31,469 shall be from the Breast and Cervical Cancer Prevention and Treatment Fund as directed by Section 25.5-5-308 (9) (d) C.R.S; and \$3,913,421 shall be from the Hospital Provider Fee Cash Fund created in Section 25.5-4-402.3 (4) C.R.S.</p> <p>^b The Reappropriated Funds shall be transferred from the Department of Public Health and Environment pursuant to Section 24-22-117 (2) (d) (II) (D), C.R.S.</p>															
Cash or Federal Fund Name and COFRS Fund Number:				CF: Health Care Expansion Fund (Fund 18K); Breast and Cervical Cancer Prevention and Treatment Fund (Fund 15D); Hospital Provider Fee Cash Fund. FF: Title XIX.													
Reappropriated Funds Source, by Department and Line Item Name:				Transfer from the Department of Public Health and Environment, Prevention, Early Detection, and Treatment Fund.													
Approval by OIT?		Yes: <input type="checkbox"/>	No: <input type="checkbox"/>	N/A: <input checked="" type="checkbox"/>													
Schedule 13s from Affected Departments:		None.															

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Exhibit AA - Calculation of Current Total Long Bill Group Impact

FY 2009-10 Mental Health Capitation						
Item	Total Request	General Fund	General Fund Exempt	Cash Funds	Reappropriated Funds	Federal Funds
FY 2009-10 Mental Health Capitation Appropriation						
FY 2009-10 Long Bill Appropriation (SB 09-259)	\$231,044,723	\$106,522,999	\$0	\$8,977,613	\$9,208	\$115,534,903
SB 09-262 Breast and Cervical Cancer Prevention Funding	\$0	(\$10,028)	\$0	\$10,028	\$0	\$0
SB 09-265 Timing of Medicaid Payments	(\$17,671,864)	(\$8,281,593)	\$0	(\$553,587)	\$0	(\$8,836,684)
ES-2 Medicaid Program Reductions	(\$8,520,268)	(\$4,259,696)	\$0	\$0	(\$192)	(\$4,260,380)
NP-ES-5 Close Beds at the Mental Health Institutes	\$582,420	\$291,210	\$0	\$0	\$0	\$291,210
FY 2009-10 Total Mental Health Capitation Spending Authority	\$205,435,011	\$94,262,892	\$0	\$8,434,054	\$9,016	\$102,729,049
Projected Total FY 2009-10 Mental Health Capitation Expenditure	\$209,218,867	\$97,106,280	\$0	\$7,477,752	\$10,393	\$104,624,443
FY 2009-10 Estimate of Mental Health Capitation Need	\$3,783,856	\$2,843,388	\$0	(\$956,303)	\$1,377	\$1,895,394
Percent Change from Spending Authority	1.84%	3.02%	-	-11.34%	15.27%	1.85%
FY 2009-10 Mental Health Fee for Service						
FY 2009-10 Mental Health Fee-For-Service Appropriation						
FY 2009-10 Long Bill Appropriation (SB 09-259)	\$1,731,529	\$865,765	\$0	\$0	\$0	\$865,764
FY 2009-10 Total Mental Health Fee-For-Service Spending Authority	\$1,731,529	\$865,765	\$0	\$0	\$0	\$865,764
Projected Total FY 2009-10 Mental Health Fee-for-Service Expenditure	\$1,998,904	\$999,452	\$0	\$0	\$0	\$999,452
FY 2009-10 Estimate of Mental Health Fee-For-Service Need	\$267,375	\$133,687	\$0	\$0	\$0	\$133,688
Percent Change from Spending Authority	15.44%	15.44%	-	-	-	15.44%
FY 2009-10 Medicaid Mental Health Programs						
FY 2009-10 Total Spending Authority Before Reduction to Appropriation for Enhanced Federal Funds	\$207,166,540	\$95,128,657	\$0	\$8,434,054	\$9,016	\$103,594,813
Total Projected FY 2009-10 Expenditures	\$211,217,771	\$98,105,732	\$0	\$7,477,752	\$10,393	\$105,623,895
Excluding Impacts of the American Recovery and Reinvestment Act						
FY 2009-10 Estimate of Need, Excluding Impacts of the American Recovery and Reinvestment Act	\$4,051,231	\$2,977,075	\$0	(\$956,303)	\$1,377	\$2,029,082
Percent Change from Spending Authority	1.96%	3.13%	-	-	-	1.96%
Impact of the American Recovery and Reinvestment Act (ARRA)						
ES-2 Medicaid Program Reductions	\$0	\$514,917	\$0	\$0	\$0	(\$514,917)
ES-5 Reduce Appropriation for Enhanced Federal Funds	\$0	(\$22,918,738)	\$0	(\$1,950,368)	\$0	\$24,869,106
NP-ES-5 Close Beds at the Mental Health Institutes	\$0	(\$67,502)	\$0	\$0	\$0	\$67,502
FY 2009-10 Appropriated Reduction due to ARRA	\$0	(\$22,471,323)	\$0	(\$1,950,368)	\$0	\$24,421,691
Total Projected FY 2009-10 Reduction due to ARRA	\$0	(\$23,150,454)	\$0	(\$1,727,633)	\$0	\$24,878,087
FY 2009-10 Incremental Reduction due to ARRA	\$0	(\$679,131)	\$0	\$222,735	\$0	\$456,396
Percent Change	-	3.02%	-	-11.42%	0.00%	1.87%
FY 2009-10 Spending Authority, Including ARRA	\$207,166,540	\$72,657,334	\$0	\$6,483,686	\$9,016	\$128,016,504
FY 2009-10 Estimated Expenditure, Including ARRA	\$211,217,771	\$74,955,278	\$0	\$5,750,119	\$10,393	\$130,501,982
FY 2009-10 Total Estimate of Need	\$4,051,231	\$2,297,944	\$0	(\$733,568)	\$1,377	\$2,485,478
Percent Change	1.96%	3.16%	0.00%	-11.31%	15.27%	1.94%

Exhibit AA - Calculation of Current Total Long Bill Group Impact

FY 2010-11 Mental Health Capitation						
Item	Total Request	General Fund	General Fund Exempt	Cash Funds	Reappropriated Funds	Federal Funds
FY 2009-10 Mental Health Capitation Appropriation Plus Special Bills	\$205,435,011	\$94,262,892	\$0	\$8,434,054	\$9,016	\$102,729,049
Bill Annualizations						
HB 09-1293 Annualization Hospital Provider Fee	\$8,062,050	\$0	\$0	\$4,031,025	\$0	\$4,031,025
SB 09-265 Annualization of Timing of Medicaid Payments	\$17,671,864	\$8,281,593	\$0	\$553,587	\$0	\$8,836,684
ES-2 Annualization of Medicaid Program Reductions	\$1,660,475	\$890,761	\$0	\$0	(\$66)	\$769,780
NP-ES-5 Annualization of Close Beds at the Mental Health Institutes	\$582,419	\$291,210	\$0	\$0	\$0	\$291,209
Total Annualizations	\$27,976,808	\$9,463,564	\$0	\$4,584,612	(\$66)	\$13,928,698
FY 2010-11 Mental Health Capitation Base Amount	\$233,411,819	\$103,726,456	\$0	\$13,018,666	\$8,950	\$116,657,747
Projected Total FY 2010-11 Mental Health Capitation Expenditure	\$254,219,389	\$114,246,684	\$0	\$12,943,276	\$13,288	\$127,016,141
FY 2010-11 Mental Health Capitation Need	\$20,807,570	\$10,520,228	\$0	(\$75,390)	\$4,338	\$10,358,394
Percent Change from FY 2010-11 Mental Health Capitation Base ⁽²⁾	8.91%	10.14%	-	-0.58%	48.47%	8.88%
Percent Change from FY 2009-10 Estimated Mental Health Capitation Expenditure ⁽²⁾	21.51%	17.65%	-	73.09%	27.86%	21.40%
FY 2010-11 Mental Health Fee for Service						
FY 2009-10 Mental Health Fee-For-Service Appropriation Plus Special Bills	\$1,731,529	\$865,765	\$0	\$0	\$0	\$865,764
Total Annualizations	\$0	\$0	\$0	\$0	\$0	\$0
FY 2010-11 Mental Health Fee-For-Service Base Amount	\$1,731,529	\$865,765	\$0	\$0	\$0	\$865,764
Projected Total FY 2009-10 Mental Health Fee-for-Service Expenditure	\$2,305,763	\$1,152,882	\$0	\$0	\$0	\$1,152,881
FY 2010-11 Estimate of Mental Health Fee-For-Service Need	\$574,234	\$287,117	\$0	\$0	\$0	\$287,117
Percent Change from FY 2010-11 Mental Health Fee-For-Service Base ⁽²⁾	33.16%	33.16%	-	-	-	33.16%
Percent Change from FY 2009-10 Estimated Mental Health Fee-For-Service Expenditure ⁽²⁾	15.35%	15.35%	-	-	-	15.35%
FY 2010-11 Medicaid Mental Health Programs						
FY 2010-11 Base Amount	\$235,143,348	\$104,592,221	\$0	\$13,018,666	\$8,950	\$117,523,511
Before Adjustment to Appropriation for Enhanced Federal Funds						
Total Projected FY 2010-11 Expenditure, Excluding Impacts of the American Recovery and Reinvestment Act	\$256,525,152	\$115,399,566	\$0	\$12,943,276	\$13,288	\$128,169,022
FY 2010-11 Request, Excluding Impacts of the American Recovery and Reinvestment Act	\$21,381,804	\$10,807,345	\$0	(\$75,390)	\$4,338	\$10,645,511
Percent Change from Spending Authority	9.09%	10.33%	-	-	-	9.06%
Impact of the American Recovery and Reinvestment Act (ARRA)						
FY 2009-10 ARRA Spending Authority	\$0	(\$22,471,323)	\$0	(\$1,950,368)	\$0	\$24,421,691
Budget Request Annualizations						
ES-2 Annualization Medicaid Program Reductions	\$0	(\$514,917)	\$0	\$0	\$0	\$514,917
ES-5 Annualization Reduce Appropriation for Enhanced Federal Funds	\$0	\$22,918,738	\$0	\$1,950,368	\$0	(\$24,869,106)
NP-ES-5 Annualization Close Beds at the Mental Health Institutes	\$0	\$67,502	\$0	\$0	\$0	(\$67,502)
FY 2010-11 Appropriated Reduction due to ARRA	\$0	\$0	\$0	\$0	\$0	\$0
Total Projected FY 2010-11 Reduction due to ARRA	\$0	(\$13,455,913)	\$0	(\$1,042,913)	\$0	\$14,498,826
FY 2010-11 Incremental Reduction due to ARRA	\$0	(\$13,455,913)	\$0	(\$1,042,913)	\$0	\$14,498,826
Percent Change	0.00%	-	0.00%	-	0.00%	-
FY 2010-11 Spending Authority, Including ARRA	\$235,143,348	\$104,592,221	\$0	\$13,018,666	\$8,950	\$117,523,511
FY 2010-11 Estimated Expenditure, Including ARRA	\$256,525,152	\$101,943,653	\$0	\$11,900,363	\$13,288	\$142,667,848
FY 2010-11 Total Estimate of Need	\$21,381,804	(\$2,648,568)	\$0	(\$1,118,303)	\$4,338	\$25,144,337
Percent Change from FY 2009-10 Base	9.09%	-2.53%	-	-8.59%	48.47%	21.40%
Percent Change from FY 2009-10 Estimate	21.45%	36.01%	-	106.96%	27.86%	9.32%

Exhibit BB - Calculation of Fund Splits

CALCULATION OF FUND SPLITS - FY 2009-10 MENTAL HEALTH ESTIMATE

Item	Total Estimate	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP Rate	Source of Funding
Mental Health Capitation Base Traditional Clients	\$197,746,167	\$98,873,083	\$0	\$0	\$98,873,084	50%	
Mental Health Capitation Base Expansion Clients	\$14,906,239	\$0	\$7,453,121	\$0	\$7,453,119	50%	CF: Health Care Expansion Fund
Breast and Cervical Cancer Program Traditional Clients	\$70,373	\$0	\$24,631	\$0	\$45,742	65%	CF: Breast and Cervical Cancer Prevention and Treatment Fund
Breast and Cervical Cancer Program Expansion Clients	\$29,694	\$0	\$0	\$10,393	\$19,301	65%	RF: Transfer from Department of Public Health and Environment
HB 09-1293 Hospital Provider Fee Expansion Clients	\$3,016,154	\$0	\$1,508,077	\$0	\$1,508,077	50%	CF: Hospital Provider Fee Cash Fund
Estimated FY 2009-10 Capitation Expenditure	\$215,768,627	\$98,873,083	\$8,985,829	\$10,393	\$107,899,323		
Less: Estimated Recoupments	(\$3,533,606)	(\$1,766,803)	\$0	\$0	(\$1,766,803)	50%	
Less: Expenditure for HB 09-1293 Programs	(\$3,016,154)	\$0	(\$1,508,077)	\$0	(\$1,508,077)	50%	CF: Hospital Provider Fee Cash Fund
Final Estimated FY 2009-10 Capitation Expenditure	\$209,218,867	\$97,106,280	\$7,477,752	\$10,393	\$104,624,443		
Medicaid Mental Health Fee for Service Payments	\$1,998,904	\$999,452	\$0	\$0	\$999,452	50%	
Adjustment to Long Bill Group Total for American Recovery and Reinvestment Act	\$0	(\$23,150,454)	(\$1,727,633)	\$0	\$24,878,087		
Final Estimated FY 2009-10 Medicaid Mental Health Community Programs Expenditure	\$211,217,771	\$74,955,278	\$5,750,119	\$10,393	\$130,501,982		

CALCULATION OF FUND SPLITS - FY 2010-11 MENTAL HEALTH REQUEST

Item	Total Request	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP Rate	Source of Funding
Mental Health Capitation Base Traditional Clients	\$229,892,900	\$114,946,450	\$0	\$0	\$114,946,450	50%	
Mental Health Capitation Base Expansion Clients	\$17,996,773	\$0	\$8,998,386	\$0	\$8,998,387	50%	CF: Health Care Expansion Fund
Breast and Cervical Cancer Program Traditional Clients	\$89,911	\$0	\$31,469	\$0	\$58,442	65%	CF: Breast and Cervical Cancer Prevention and Treatment Fund
Breast and Cervical Cancer Program Expansion Clients	\$37,964	\$0	\$0	\$13,288	\$24,676	65%	RF: Transfer from Department of Public Health and Environment
Hospital Provider Fee Expansion Clients	\$7,826,841	\$0	\$3,913,421	\$0	\$3,913,420	50%	CF: Hospital Provider Fee Cash Fund
Estimated FY 2010-11 Capitation Expenditure	\$255,844,389	\$114,946,450	\$12,943,276	\$13,288	\$127,941,375		
Less: Estimated Recoupments	(\$1,625,000)	(\$699,766)	\$0	\$0	(\$925,234)	57%	
Final Estimated FY 2009-10 Capitation Expenditure	\$254,219,389	\$114,246,684	\$12,943,276	\$13,288	\$127,016,141		
Medicaid Mental Health Fee for Service Payments	\$2,305,763	\$1,152,882	\$0	\$0	\$1,152,881	50%	
Adjustment to Long Bill Group Total for American Recovery and Reinvestment Act	\$0	(\$13,455,913)	(\$1,042,913)	\$0	\$14,498,826		
Final Estimated FY 2009-10 Medicaid Mental Health Community Programs Expenditure	\$256,525,152	\$101,943,653	\$11,900,363	\$13,288	\$142,667,848		

Exhibit BB - Adjustments for Enhanced Federal Matching Percentage

CALCULATION OF FUND SPLITS DUE TO AMERICAN RECOVERY AND REINVESTMENT ACT - FY 2009-10 MENTAL HEALTH ESTIMATE

Item	Total Estimate	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP Rate	Source of Funding
Mental Health Capitation Base Traditional Clients	\$0	(\$22,918,781)	\$0	\$0	\$22,918,781	11.59%	
Mental Health Capitation Base Expansion Clients	\$0	\$0	(\$1,727,633)	\$0	\$1,727,633	11.59%	CF: Health Care Expansion Fund
Breast and Cervical Cancer Program Traditional Clients	\$0	\$0	\$0	\$0	\$0	0.00%	CF: Breast and Cervical Cancer Prevention and Treatment Fund
Breast and Cervical Cancer Program Expansion Clients	\$0	\$0	\$0	\$0	\$0	0.00%	RF: Transfer from Department of Public Health and Environment
HB 09-1293 Hospital Provider Fee Expansion Clients	\$0	\$0	\$0	\$0	\$0	0.00%	CF: Hospital Provider Fee Cash Fund
Estimated FY 2009-10 Capitation Expenditure	\$0	(\$22,918,781)	(\$1,727,633)	\$0	\$24,646,414		
Less: Estimated Recoupments	\$0	\$0	\$0	\$0	\$0	0.00%	
Less: Expenditure for HB 09-1293 Programs	\$0	\$0	\$0	\$0	\$0	11.59%	CF: Hospital Provider Fee Cash Fund
Final Estimated FY 2009-10 Capitation Expenditure	\$0	(\$22,918,781)	(\$1,727,633)	\$0	\$24,646,414		
Medicaid Mental Health Fee for Service Payments	\$0	(\$231,673)	\$0	\$0	\$231,673	11.59%	
Final Estimated FY 2009-10 Medicaid Mental Health Community Programs Expenditure	\$0	(\$23,150,454)	(\$1,727,633)	\$0	\$24,878,087		

CALCULATION OF FUND SPLITS DUE TO AMERICAN RECOVERY AND REINVESTMENT ACT - FY 2010-11 MENTAL HEALTH ESTIMATE

Item	Total Estimate	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP Rate	Source of Funding
Mental Health Capitation Base Traditional Clients	\$0	(\$13,322,294)	\$0	\$0	\$13,322,294	5.795%	
Mental Health Capitation Base Expansion Clients	\$0	\$0	(\$1,042,913)	\$0	\$1,042,913	5.795%	CF: Health Care Expansion Fund
Breast and Cervical Cancer Program Traditional Clients	\$0	\$0	\$0	\$0	\$0	0.000%	CF: Breast and Cervical Cancer Prevention and Treatment Fund
Breast and Cervical Cancer Program Expansion Clients	\$0	\$0	\$0	\$0	\$0	0.000%	RF: Transfer from Department of Public Health and Environment
HB 09-1293 Hospital Provider Fee Expansion Clients	\$0	\$0	\$0	\$0	\$0	0.000%	CF: Hospital Provider Fee Cash Fund
Estimated FY 2010-11 Capitation Expenditure	\$0	(\$13,322,294)	(\$1,042,913)	\$0	\$14,365,207		
Less: Estimated Recoupments	\$0	\$0	\$0	\$0	\$0	0.00%	
Final Estimated FY 2010-11 Capitation Expenditure	\$0	(\$13,322,294)	(\$1,042,913)	\$0	\$14,365,207		
Medicaid Mental Health Fee for Service Payments	\$0	(\$133,619)	\$0	\$0	\$133,619	5.795%	
Final Estimated FY 2010-11 Medicaid Mental Health Community Programs Expenditure	\$0	(\$13,455,913)	(\$1,042,913)	\$0	\$14,498,826		

Exhibit CC - Medicaid Mental Health Community Programs Expenditure Summary
Actuals, Appropriations and Requests Prior to Recoupments and SB 09-265 Impact ⁽¹⁾

ITEM	FY 2008-09 Actual		FY 2009-10 Appropriated		FY 2009-10 Estimate		FY 2009-10 Change		FY 2010-11 Request		FY 2010-11 Change from FY 2009-10 Estimate		FY 2010-11 Change from FY 2009-10 Appropriation	
	Caseload	Expenditure	Caseload	Expenditure	Caseload	Expenditure	Caseload	Expenditure	Caseload	Expenditure	Caseload	Expenditure	Caseload	Expenditure
Mental Health Capitation Payments														
Capitation Base (Including the Tobacco Tax Impact)														
Adults 65 and Older (OAP-A)	37,619	\$6,149,782	38,279	\$4,169,577	38,556	\$5,678,427	277	\$1,508,850	39,030	\$6,311,796	474	\$633,369	751	\$2,142,219
Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	57,802	\$92,132,599	58,868	\$95,052,794	59,548	\$90,963,523	680	(\$4,089,271)	60,526	\$106,878,071	978	\$15,914,548	1,658	\$11,825,277
Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program - Adults	68,850	\$17,026,544	80,503	\$16,355,119	96,665	\$22,601,278	16,162	\$6,246,159	122,555	\$31,867,726	25,890	\$9,266,448	42,052	\$15,512,607
Eligible Children (AFDC-C/BC)	235,129	\$43,714,042	259,414	\$35,419,680	277,805	\$48,284,510	18,391	\$12,864,830	304,891	\$58,563,696	27,086	\$10,279,186	45,477	\$23,144,016
Foster Care	18,033	\$56,764,896	18,663	\$54,372,155	18,715	\$48,140,822	52	(\$6,231,333)	19,329	\$52,095,225	614	\$3,954,403	666	(\$2,276,930)
Breast and Cervical Cancer Program	317	\$73,074	321	\$65,686	424	\$100,067	103	\$34,381	487	\$127,875	63	\$27,808	166	\$62,189
Mental Health Capitation Payments Prior to Recoupments	417,750	\$215,860,937	456,048	\$205,435,011	491,713	\$215,768,627	35,665	\$10,333,616	546,818	\$255,844,389	55,105	\$40,075,762	90,770	\$50,409,378
Recoupments for Prior Years' Payments for Ineligibles	-	\$0	-	\$0	-	(\$3,533,606)	-	(\$3,533,606)	-	(\$1,625,000)	-	\$1,908,606	-	(\$1,625,000)
Total Mental Health Capitation Payments	417,750	\$215,860,937	456,048	\$205,435,011	491,713	\$212,235,021	35,665	\$6,800,010	546,818	\$254,219,389	55,105	\$41,984,368	90,770	\$48,784,378
Incremental Percent Change							7.82%	3.31%			11.21%	19.78%	19.90%	23.75%
Mental Health Fee for Service Payments														
Inpatient Services		\$642,254		\$626,083		\$736,932		\$110,849		\$819,542		\$82,610		\$193,459
Outpatient Services		\$1,062,123		\$1,035,380		\$1,218,695		\$183,315		\$1,355,311		\$136,616		\$319,931
Physician Services		\$71,876		\$70,066		\$82,471		\$12,405		\$91,716		\$9,245		\$21,650
Total Mental Health Fee-for-Service Payments		\$1,776,253		\$1,731,529		\$2,038,098		\$306,569		\$4,572,332		\$2,534,234		\$2,840,803
Total Mental Health Community Programs		\$217,637,190		\$207,166,540		\$214,273,119		\$7,106,579		\$258,791,721		\$44,518,602		\$51,625,181
Incremental Percent Change								3.43%				20.78%		24.92%

¹ SB 09-265 Delays the final capitation and fee-for-service payments from FY 2009-10 and moves them into FY 2010-11.

Exhibit DD - Medicaid Mental Health Community Programs, Caseload

Medicaid Mental Health Community Programs Caseload

Item	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults	Eligible Children (AFDC-C/ BC)	Foster Care	Breast and Cervical Cancer Program	TOTAL MENTAL HEALTH
FY 2004-05 Actuals	35,780	54,011	63,124	222,472	15,795	154	391,336
FY 2005-06 Actuals	36,207	53,897	64,004	214,158	16,460	188	384,914
% Change from FY 2004-05	1.19%	-0.21%	1.39%	-3.74%	4.21%	22.08%	-1.64%
FY 2006-07 Actuals	35,888	54,858	61,031	205,390	16,724	228	374,119
% Change from FY 2005-06	-0.88%	1.78%	-4.65%	-4.09%	1.60%	21.28%	-2.80%
FY 2007-08 Actuals	36,284	56,079	59,761	204,022	17,141	270	373,557
% Change from FY 2006-07	1.10%	2.23%	-2.08%	-0.67%	2.49%	18.42%	-0.15%
FY 2008-09 Actuals	37,619	57,802	68,850	235,129	18,033	317	417,750
% Change from FY 2007-08	3.68%	3.07%	15.21%	15.25%	5.20%	17.41%	11.83%
FY 2009-10 Projection	38,556	59,548	96,665	277,805	18,715	424	491,713
% Change from FY 2008-09	2.49%	3.02%	40.40%	18.15%	3.78%	33.75%	17.71%
FY 2010-11 Projection	39,030	60,526	122,555	304,891	19,329	487	546,818
% Change from FY 2009-10	1.23%	1.64%	26.78%	9.75%	3.28%	14.86%	11.21%
FY 2011-12 Projection	39,510	61,333	135,114	329,699	21,069	547	587,272
% Change from FY 2010-11	1.23%	1.33%	10.25%	8.14%	9.00%	12.32%	7.40%
FY 2009-10 Appropriation	38,279	58,868	80,503	259,414	18,663	321	456,048
Difference between the FY 2009-10 Appropriation and the FY 2009-10 Projection	277	680	16,162	18,391	52	103	35,665

Expanded Medicaid Caseload for Mental Health Community Programs

Item	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B)	Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low-Income Adults (AFDC-A)	Expansion Adults	Baby Care Program-Adults	Eligible Children (AFDC-C/ BC)	Foster Care	Breast and Cervical Cancer Program	TOTAL MENTAL HEALTH
FY 2004-05 Actuals	35,780	6,082	47,929	57,140	0	5,984	222,472	15,795	154	391,336
FY 2005-06 Actuals	36,207	6,042	47,855	58,885	0	5,119	214,158	16,460	188	384,914
% Change from FY 2004-05	1.19%	-0.66%	-0.15%	3.05%	0.00%	-14.46%	-3.74%	4.21%	22.08%	-1.64%
FY 2006-07 Actuals	35,888	6,059	48,799	50,687	5,162	5,182	205,390	16,724	228	374,119
% Change from FY 2005-06	-0.88%	0.28%	1.97%	-13.92%	0.00%	1.23%	-4.09%	1.60%	21.28%	-2.80%
FY 2007-08 Actuals	36,284	6,146	49,933	44,555	8,918	6,288	204,022	17,141	270	373,557
% Change from FY 2006-07	1.10%	1.44%	2.32%	-12.10%	100.00%	21.34%	-0.67%	2.49%	18.42%	-0.15%
FY 2008-09 Actuals	37,619	6,447	51,355	49,147	12,727	6,976	235,129	18,033	317	417,750
% Change from FY 2007-08	3.68%	4.90%	2.85%	10.31%	42.71%	10.94%	15.25%	5.20%	17.41%	11.83%
FY 2009-10 Projection	38,556	6,837	52,711	59,581	29,636	7,448	277,805	18,715	424	491,713
% Change from FY 2008-09	2.49%	6.05%	2.64%	21.23%	132.86%	6.77%	18.15%	3.78%	33.75%	17.71%
FY 2010-11 Projection	39,030	7,009	53,517	65,879	49,037	7,639	304,891	19,329	487	546,818
% Change from FY 2009-10	1.23%	2.52%	1.53%	10.57%	65.46%	2.56%	9.75%	3.28%	14.86%	11.21%
FY 2011-12 Projection	39,510	7,152	54,181	68,718	58,605	7,791	329,699	21,069	547	587,272
% Change from FY 2010-11	1.23%	2.04%	1.24%	4.31%	19.51%	1.99%	8.14%	9.00%	12.32%	7.40%
FY 2009-10 Appropriation	38,279	6,614	52,254	57,097	16,015	7,391	259,414	18,663	321	456,048
Difference between the FY 2009-10 Appropriation and the FY 2009-10 Projection	277	223	457	2,484	13,621	57	18,391	52	103	35,665

Exhibit DD - Medicaid Mental Health Community Programs, Mental Health Capitation Payments Per Capita Historical Summary

Mental Health Capitation Payments Per Capita History

Item	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults	Eligible Children (AFDC-C/ BC)	Foster Care	Breast and Cervical Cancer Program	TOTAL PER CAPITA
FY 2004-05 Actuals ⁽¹⁾	\$158.73	\$1,079.04	\$160.03	\$153.64	\$3,563.52	\$79.99	\$420.46
FY 2005-06 Actuals ⁽¹⁾	\$176.00	\$1,162.47	\$176.27	\$195.74	\$3,308.98	\$188.09	\$459.14
% Change from FY 2004-05	10.88%	7.73%	10.15%	27.40%	-7.14%	135.14%	9.20%
FY 2006-07 Actuals	\$163.47	\$1,316.67	\$209.68	\$170.95	\$3,503.51	\$191.14	\$493.53
% Change from FY 2005-06	-7.12%	13.27%	18.96%	-12.67%	5.88%	1.62%	7.49%
FY 2007-08 Actuals	\$159.45	\$1,473.28	\$243.04	\$184.13	\$3,235.25	\$222.88	\$524.72
% Change from FY 2006-07	-2.46%	11.89%	15.91%	7.71%	-7.66%	16.61%	6.32%
FY 2008-09 Actuals	\$163.48	\$1,593.93	\$247.30	\$185.92	\$3,147.83	\$230.52	\$516.72
% Change from FY 2007-08	2.52%	8.19%	1.75%	0.97%	-2.70%	3.43%	-1.52%
FY 2009-10 Projection	\$147.28	\$1,527.57	\$233.81	\$173.81	\$2,572.31	\$236.01	\$438.81
% Change from FY 2008-09	-9.91%	-4.16%	-5.45%	-6.51%	-18.28%	2.38%	-15.08%
FY 2010-11 Projection	\$161.72	\$1,765.82	\$260.03	\$192.08	\$2,695.18	\$262.58	\$467.88
% Change from FY 2009-10	9.80%	15.60%	11.21%	10.51%	4.78%	11.26%	6.62%
FY 2011-12 Projection ⁽²⁾	\$161.64	\$1,866.83	\$267.52	\$195.92	\$2,513.17	\$280.48	\$467.80
% Change from FY 2010-11	-0.05%	5.72%	2.88%	2.00%	-6.75%	6.82%	-4.23%

Expanded Medicaid Per Capita Summary for Mental Health Capitation Payments

Item	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B)	Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low-Income Adults (AFDC-A)	Expansion Adults	Baby Care Program-Adults	Eligible Children (AFDC-C/ BC)	Foster Care	Breast and Cervical Cancer Program	TOTAL PER CAPITA
FY 2004-05 Actuals ⁽¹⁾	\$158.73	\$1,105.31	\$1,075.70	\$119.63	\$0.00	\$545.82	\$153.64	\$3,563.52	\$79.99	\$420.46
FY 2005-06 Actuals ⁽¹⁾	\$176.00	\$1,091.17	\$1,171.47	\$175.01	\$0.00	\$190.78	\$195.74	\$3,308.98	\$188.09	\$459.14
% Change from FY 2004-05	10.88%	-1.28%	8.90%	46.29%	0.00%	-65.05%	27.40%	-7.14%	135.14%	9.20%
FY 2006-07 Actuals	\$163.47	\$1,266.28	\$1,322.93	\$209.42	\$0.00	\$222.77	\$170.95	\$3,503.51	\$191.14	\$493.53
% Change from FY 2005-06	-7.12%	16.05%	12.93%	19.66%	0.00%	16.77%	-12.67%	5.88%	1.62%	7.49%
FY 2007-08 Actuals	\$159.45	\$1,400.04	\$1,482.29	\$243.96	\$243.96	\$235.19	\$184.13	\$3,235.25	\$222.88	\$524.72
% Change from FY 2006-07	-2.46%	10.56%	12.05%	16.50%	100.00%	5.58%	7.71%	-7.66%	16.61%	6.32%
FY 2008-09 Actuals	\$163.48	\$1,511.57	\$1,604.27	\$250.59	\$250.59	\$218.14	\$185.92	\$3,147.83	\$230.52	\$516.72
% Change from FY 2007-08	2.53%	7.97%	8.23%	2.72%	2.72%	-7.25%	0.97%	-2.70%	3.43%	-1.52%
FY 2009-10 Projection	\$147.28	\$1,527.57	\$1,527.57	\$233.81	\$233.81	\$233.81	\$173.81	\$2,572.31	\$236.01	\$438.81
% Change from FY 2008-09	-9.91%	1.06%	-4.78%	-6.69%	-6.69%	7.18%	-6.51%	-18.28%	2.38%	-15.08%
FY 2010-11 Projection	\$161.72	\$1,765.82	\$1,765.82	\$260.03	\$260.03	\$260.03	\$192.08	\$2,695.18	\$262.58	\$467.88
% Change from FY 2009-10	9.80%	15.60%	15.60%	11.21%	11.21%	11.21%	10.51%	4.78%	11.26%	6.62%
FY 2011-12 Projection ⁽²⁾	\$161.64	\$1,866.83	\$1,866.83	\$267.52	\$267.52	\$267.52	\$195.92	\$2,513.17	\$280.48	\$467.80
% Change from FY 2010-11	-0.05%	5.72%	5.72%	2.88%	2.88%	2.88%	2.00%	-6.75%	6.82%	-4.23%

¹ FY 2004-05 and FY 2005-06 have been adjusted to include Goebel Client expenditures and do not equal all previously reported calculations.

² The final Per Capita projection is calculated by using the average of the percent change from the previous two projections, since no formal forecasting procedure is performed for three years out.

Exhibit DD - Medicaid Mental Health Community Programs, Expenditures Historical Summary

Annual Total Expenditures

Item	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low-Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults	Eligible Children (AFDC-C/BC)	Foster Care	Breast & Cervical Cancer Program	MENTAL HEALTH TOTAL	
FY 2004-05 ⁽¹⁾	Capitations	\$5,679,301	\$58,279,890	\$10,101,712	\$34,181,462	\$56,285,759	\$12,318	\$164,540,442
	Fee-For-Service							
	Inpatient Services	\$9,647	\$370,619	\$83,419	\$93,350	\$41,799	\$0	\$598,834
	Outpatient Services	\$10,756	\$144,542	\$179,014	\$275,153	\$87,625	\$0	\$697,090
	Physician Services	\$1,507	\$56,879	\$9,211	\$10,465	\$5,594	\$0	\$83,656
	Sub-Total Fee-For-Service	\$21,910	\$572,040	\$271,644	\$378,968	\$135,018	\$0	\$1,379,580
	Total FY 2004-05 Expenditures	\$5,701,211	\$58,851,930	\$10,373,356	\$34,560,430	\$56,420,777	\$12,318	\$165,920,022
FY 2005-06 ^(1,2)	Capitations	\$6,372,425	\$62,653,455	\$11,281,859	\$41,918,944	\$54,465,877	\$35,360	\$176,727,920
	Fee-For-Service							\$0
	Inpatient Services	\$13,775	\$304,866	(\$29,775)	\$170,853	\$21,284	\$0	\$481,003
	Outpatient Services	\$7,967	\$264,323	\$303,773	\$341,841	\$122,440	\$0	\$1,040,344
	Physician Services	(\$18)	\$5,073	\$2,028	\$4,559	\$1,893	\$0	\$13,535
	Sub-Total Fee-For-Service	\$21,724	\$574,262	\$276,026	\$517,253	\$145,617	\$0	\$1,534,882
	Total FY 2005-06 Expenditures	\$6,394,149	\$63,227,717	\$11,557,885	\$42,436,197	\$54,611,494	\$35,360	\$178,262,802
% Change from FY 2004-05	12.59%	8.49%	14.42%	24.15%	-2.97%	187.06%	8.34%	
FY 2006-07	Capitations	\$5,866,615	\$72,229,819	\$12,797,159	\$35,110,732	\$58,592,664	\$43,579	\$184,640,568
	Fee-For-Service							
	Inpatient Services	\$18,654	\$247,165	\$55,477	\$46,028	\$14,448	\$0	\$381,772
	Outpatient Services	\$8,844	\$272,393	\$271,742	\$306,454	\$101,237	\$0	\$960,670
	Physician Services	\$394	\$16,272	\$2,931	\$3,885	\$1,943	\$0	\$25,425
	Sub-Total Fee-For-Service	\$27,892	\$555,830	\$330,150	\$356,367	\$117,628	\$0	\$1,367,867
	Total FY 2006-07 Expenditures	\$5,894,507	\$72,765,649	\$13,127,309	\$35,467,099	\$58,710,292	\$43,579	\$186,008,435
% Change from FY 2005-06	-7.81%	15.09%	13.58%	-16.42%	7.51%	23.24%	4.35%	
FY 2007-08	Capitations	\$5,785,556	\$82,620,046	\$14,524,307	\$37,565,608	\$55,455,338	\$60,178	\$196,011,033
	Fee-For-Service							
	Inpatient Services	\$7,069	\$221,467	\$45,469	\$93,439	\$46,660	\$0	\$414,104
	Outpatient Services	\$12,721	\$267,020	\$231,300	\$282,037	\$74,411	\$0	\$867,489
	Physician Services	\$479	\$32,552	\$9,170	\$8,970	\$2,972	\$0	\$54,143
	Sub-Total Fee-For-Service	\$20,269	\$521,039	\$285,939	\$384,446	\$124,043	\$0	\$1,335,736
	Total FY 2007-08 Expenditures	\$5,805,825	\$83,141,085	\$14,810,246	\$37,950,054	\$55,579,381	\$60,178	\$197,346,769
% Change from FY 2006-07	-1.50%	14.26%	12.82%	7.00%	-5.33%	38.09%	6.10%	
FY 2008-09	Capitations	\$6,149,782	\$92,132,599	\$17,026,544	\$43,714,042	\$56,764,896	\$73,074	\$215,860,937
	Fee-For-Service							
	Inpatient Services	\$22,235	\$331,864	\$107,478	\$171,764	\$8,913	\$0	\$642,254
	Outpatient Services	\$9,657	\$284,108	\$300,557	\$364,710	\$103,091	\$0	\$1,062,123
	Physician Services	\$285	\$37,367	\$12,386	\$13,685	\$8,153	\$0	\$71,876
	Sub-Total Fee-For-Service	\$32,178	\$653,339	\$420,421	\$550,159	\$120,157	\$0	\$1,776,253
	Total FY 2008-09 Expenditures	\$6,181,960	\$92,785,938	\$17,446,965	\$44,264,201	\$56,885,053	\$73,074	\$217,637,190
% Change from FY 2007-08	6.48%	11.60%	17.80%	16.64%	2.35%	21.43%	10.28%	

¹ FY 2004-05 and FY 2005-06 have been adjusted to include Goebel Client expenditures and do not equal all previously reported calculations.

² FY 2005-06 has been adjusted for a one time recoupment..

Exhibit DD - Medicaid Mental Health Community Programs Expenditures Historical Summary

Expanded Annual Total Expenditures

Item	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B)	Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low-Income Adults (AFDC-A)	Expansion Adults	Baby Care Program-Adults	Eligible Children (AFDC-C/BC)	Foster Care	Breast & Cervical Cancer Program	MENTAL HEALTH TOTAL	
FY 2004-05 ⁽¹⁾	Capitations	\$5,679,301	\$6,722,487	\$51,557,403	\$6,835,551	\$0	\$3,266,161	\$34,181,462	\$56,285,759	\$12,318	\$164,540,442
	Fee-For-Service										
	Inpatient Services	\$9,647	\$14,933	\$355,686	\$81,508	\$0	\$1,911	\$93,350	\$41,799	\$0	\$598,834
	Outpatient Services	\$10,756	\$9,076	\$135,466	\$170,852	\$0	\$8,162	\$275,153	\$87,625	\$0	\$697,090
	Physician Services	\$1,507	\$1,152	\$55,727	\$8,192	\$0	\$1,019	\$10,465	\$5,594	\$0	\$83,656
	Sub-Total Fee-For-Service	\$21,910	\$25,161	\$546,879	\$260,552	\$0	\$11,092	\$378,968	\$135,018	\$0	\$1,379,580
Total FY 2004-05 Expenditures	\$5,701,211	\$6,747,648	\$52,104,282	\$7,096,103	\$0	\$3,277,253	\$34,560,430	\$56,420,777	\$12,318	\$165,920,022	
FY 2005-06 ^(1,2)	Capitations	\$6,372,425	\$6,592,874	\$56,060,581	\$10,305,282	\$0	\$976,577	\$41,918,944	\$54,465,877	\$35,360	\$176,727,920
	Fee-For-Service										
	Inpatient Services	\$13,775	\$49,416	\$255,450	(\$43,596)	\$0	\$13,821	\$170,853	\$21,284	\$0	\$598,834
	Outpatient Services	\$7,967	\$15,144	\$249,179	\$295,059	\$0	\$8,714	\$341,841	\$122,440	\$0	\$1,040,344
	Physician Services	(\$18)	\$310	\$4,763	\$2,028	\$0	\$0	\$4,559	\$1,893	\$0	\$83,656
	Sub-Total Fee-For-Service	\$21,724	\$64,870	\$509,392	\$253,491	\$0	\$22,535	\$517,253	\$145,617	\$0	\$1,534,882
Total FY 2005-06 Expenditures	\$6,394,149	\$6,657,744	\$56,569,973	\$10,558,773	\$0	\$999,112	\$42,436,197	\$54,611,494	\$35,360	\$178,262,802	
% Change from FY 2004-05	12.59%	-0.96%	9.72%	54.47%	0.00%	-69.41%	24.15%	-2.97%	187.06%	8.34%	
FY 2006-07	Capitations	\$5,866,615	\$7,672,363	\$64,557,456	\$10,614,800	\$1,027,979	\$1,154,380	\$35,110,732	\$58,592,664	\$43,579	\$184,640,568
	Fee-For-Service										
	Inpatient Services	\$18,654	\$0	\$247,165	\$42,853	\$4,150	\$8,474	\$46,028	\$14,448	\$0	\$381,772
	Outpatient Services	\$8,844	\$14,190	\$258,203	\$247,938	\$24,011	(\$207)	\$306,454	\$101,237	\$0	\$960,670
	Physician Services	\$394	\$380	\$15,892	\$2,427	\$235	\$269	\$3,885	\$1,943	\$0	\$25,425
	Sub-Total Fee-For-Service	\$27,892	\$14,570	\$521,260	\$293,218	\$28,396	\$8,536	\$356,367	\$117,628	\$0	\$1,367,867
Total FY 2006-07 Expenditures	\$5,894,507	\$7,686,933	\$65,078,716	\$10,908,018	\$1,056,375	\$1,162,916	\$35,467,099	\$58,710,292	\$43,579	\$186,008,435	
% Change from FY 2005-06	-7.81%	15.46%	15.04%	3.31%	0.00%	16.39%	-16.42%	7.51%	23.24%	4.35%	
FY 2007-08	Capitations	\$5,785,556	\$8,604,645	\$74,015,401	\$10,869,760	\$2,175,660	\$1,478,887	\$37,565,608	\$55,455,338	\$60,178	\$196,011,033
	Fee-For-Service										
	Inpatient Services	\$7,069	\$13,110	\$208,357	\$36,603	\$8,866	\$0	\$93,439	\$46,660	\$0	\$414,104
	Outpatient Services	\$12,721	\$14,262	\$252,758	\$181,408	\$43,943	\$5,949	\$282,037	\$74,411	\$0	\$867,489
	Physician Services	\$479	\$2,275	\$30,277	\$6,235	\$1,510	\$1,425	\$8,970	\$2,972	\$0	\$54,143
	Sub-Total Fee-For-Service	\$20,269	\$29,647	\$491,392	\$224,245	\$54,320	\$7,374	\$384,446	\$124,043	\$0	\$1,335,736
Total FY 2007-08 Expenditures	\$5,805,825	\$8,634,292	\$74,506,793	\$11,094,005	\$2,229,980	\$1,486,261	\$37,950,054	\$55,579,381	\$60,178	\$197,346,769	
% Change from FY 2006-07	-1.50%	12.32%	14.49%	1.71%	0.00%	27.80%	7.00%	-5.33%	38.09%	6.10%	
FY 2008-09	Capitations	\$6,149,782	\$9,745,116	\$82,387,483	\$12,315,581	\$3,189,216	\$1,521,747	\$43,714,042	\$56,764,896	\$73,074	\$215,860,937
	Fee-For-Service										
	Inpatient Services	\$22,235	\$9,653	\$322,211	\$85,371	\$22,107	\$0	\$171,764	\$8,913	\$0	\$642,254
	Outpatient Services	\$9,657	\$19,613	\$264,495	\$231,456	\$59,937	\$9,164	\$364,710	\$103,091	\$0	\$1,062,123
	Physician Services	\$285	\$1,580	\$35,787	\$8,969	\$1,904	\$1,513	\$13,685	\$8,153	\$0	\$71,876
	Sub-Total Fee-For-Service	\$32,178	\$30,845	\$622,493	\$325,796	\$83,948	\$10,677	\$550,159	\$120,157	\$0	\$1,776,253
Total FY 2008-09 Expenditures	\$6,181,960	\$9,775,961	\$83,009,976	\$12,641,377	\$3,273,164	\$1,532,424	\$44,264,201	\$56,885,053	\$73,074	\$217,637,190	
% Change from FY 2007-08	6.48%	13.22%	11.41%	13.95%	46.78%	3.11%	16.64%	2.35%	21.43%	10.28%	

¹ FY 2003-04 through FY 2005-06 have been adjusted to include Goebel Client expenditures and do not equal previously reported calculations.

² FY 2005-06 has been adjusted for a one time recoupment.

Exhibit DD - Adjustments to Medicaid Mental Health Community Programs Expenditures for Inclusion of Goebel Expenditures ⁽¹⁾

	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults	Eligible Children (AFDC-C/ BC)	Foster Care	Breast and Cervical Cancer Program	Adjusted Totals	Amount Change
Expenditure History and Percent Change ⁽²⁾								
Adjusted Actual FY 2003-04 Expenditures	\$4,919,288	\$44,145,181	\$8,144,172	\$29,763,270	\$52,692,946	\$8,295	\$139,673,152	
% of Goebel Expenditures ⁽³⁾	4.47%	94.56%	0.88%	0.00%	0.09%	0.00%	100.00%	
Actual Goebel Expenditures	\$521,004	\$11,021,513	\$102,569	\$0	\$10,490	\$0	\$11,655,576	
Adjusted Actual FY 2003-04 Expenditures including Goebel	\$5,440,292	\$55,166,694	\$8,246,741	\$29,763,270	\$52,703,223	\$8,295	\$151,328,515	N/A
Adjusted Actual FY 2004-05 Expenditures	\$5,158,296	\$47,258,368	\$9,999,143	\$34,181,462	\$56,275,269	\$12,318	\$152,884,856	
% of Goebel Expenditures ⁽³⁾	4.47%	94.56%	0.88%	0.00%	0.09%	0.00%	100.00%	
Actual Goebel Expenditures	\$521,005	\$11,021,522	\$102,569	\$0	\$10,490	\$0	\$11,655,586	
Adjusted Actual FY 2004-05 Expenditures including Goebel	\$5,679,301	\$58,279,890	\$10,101,712	\$34,181,462	\$56,285,759	\$12,318	\$164,540,442	\$13,211,927
% Change	4.39%	5.64%	22.49%	14.84%	6.80%	48.50%	8.73%	
Adjusted Actual FY 2005-06 Expenditures	\$5,841,000	\$51,411,502	\$11,177,238	\$41,918,944	\$54,455,178	\$35,360	\$164,839,222	
% of Goebel Expenditures ⁽³⁾	4.47%	94.56%	0.88%	0.00%	0.09%	0.00%	100.00%	
Actual Goebel Expenditures	\$531,425	\$11,241,953	\$104,621	\$0	\$10,699	\$0	\$11,888,698	
Adjusted Actual FY 2005-06 Expenditures including Goebel	\$6,372,425	\$62,653,455	\$11,281,859	\$41,918,944	\$54,465,877	\$35,360	\$176,727,920	\$12,187,478
% Change	12.20%	7.50%	11.68%	22.64%	-3.23%	187.06%	7.41%	
Adjusted Actual FY 2006-07 Expenditures	\$5,785,556	\$72,229,819	\$12,797,159	\$37,565,608	\$55,455,339	\$60,178	\$183,893,659	\$7,165,739
% Change	-9.21%	15.28%	13.43%	-10.39%	1.82%	70.19%	4.05%	

¹ Starting with FY 2006-07 Goebel Client expenditures are included in the capitations and no further adjustment for them is needed.

² Recoupments for ineligible clients are included in the capitation base by eligibility category.

³ Goebel Expenditures for FY 2003-04 through FY 2005-06 assumes a constant distribution percentage by eligibility category from year to year. The calculations are from unpublished FY 2005-06 encounter data that was prepared by the Department for these budget calculations.

Exhibit EE - Expenditure Calculations by Eligibility Category

Mental Health Capitation Calculations by Eligibility Category for FY 2009-10							
FY 2009-10 Q1 and Q2 Calculation							
Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$13.29	\$134.37	\$21.14	\$15.61	\$237.49	\$21.14	
Estimated Monthly Caseload ⁽¹⁾	38,319	59,202	87,223	268,648	18,546	405	472,343
Number of Months Rate is Effective	6	6	6	6	6	6	
Total Estimated Costs for FY 2009-10 Q1 and Q2 Capitated Payments	\$3,055,557	\$47,729,836	\$11,063,365	\$25,161,572	\$26,426,937	\$51,370	\$113,488,637
Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽²⁾	97.63%	92.25%	92.77%	96.74%	98.55%	98.21%	
Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$2,983,140	\$44,030,774	\$10,263,484	\$24,341,305	\$26,043,746	\$50,450	\$107,712,899
Estimated Expenditures for Prior Period Dates of Service	\$73,168	\$3,300,128	\$647,451	\$740,705	\$401,376	\$733	\$5,163,561
Estimated Expenditures in FY 2009-10 Q1 and Q2	\$3,056,308	\$47,330,902	\$10,910,935	\$25,082,010	\$26,445,122	\$51,183	\$112,876,460
FY 2009-10 Q3 and Q4 Calculation							
Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$13.40	\$143.71	\$21.76	\$15.93	\$228.15	\$21.76	
Estimated Monthly Caseload ⁽³⁾	38,792	59,894	106,106	286,962	18,884	444	511,082
Number of Months Rate is Effective	6	6	6	6	6	6	
Total Estimated Costs for FY 2009-10 Q3 and Q4 Capitated Payments	\$3,118,877	\$51,644,200	\$13,853,199	\$27,427,828	\$25,850,308	\$57,969	\$121,952,381
Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽³⁾	81.74%	77.34%	78.83%	81.64%	82.44%	82.74%	
Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$2,549,370	\$39,941,624	\$10,920,477	\$22,392,079	\$21,310,994	\$47,964	\$97,162,508
Estimated Expenditures for Prior Period Dates of Service	\$72,749	\$3,690,997	\$769,866	\$810,421	\$384,706	\$920	\$5,729,659
Estimated Expenditures in FY 2009-10 Q3 and Q4	\$2,622,119	\$43,632,621	\$11,690,343	\$23,202,500	\$21,695,700	\$48,884	\$102,892,167
Total Estimated FY 2009-10 Expenditures	\$5,678,427	\$90,963,523	\$22,601,278	\$48,284,510	\$48,140,822	\$100,067	\$215,768,627
Estimated FY 2009-10 Monthly Caseload	38,556	59,548	96,665	277,805	18,715	424	491,713
Estimated FY 2009-10 Per Capita Expenditure	\$147.28	\$1,527.57	\$233.81	\$173.81	\$2,572.31	\$236.01	\$438.81

¹ This number is based on the projected average monthly caseload for the entire fiscal year, as applied through each month's trended growth in caseload.

² Exhibit EE, pages 3 and 4 present the estimated percentage of incurred claims from any six month period that will be paid in that same six month period.

³ SB 09-265 delayed payment for the final month of FY 2009-10 until the subsequent month, and held that all future payments will be made in the month following service date. In that final month, no claims will be paid in the month in which expenses were incurred.

Exhibit EE - Expenditure Calculations by Eligibility Category

Mental Health Capitation Calculations by Eligibility Category for FY 2010-11							
FY 2010-11 Q1 and Q2 Calculation							
Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$13.40	\$143.71	\$21.76	\$15.93	\$228.15	\$21.76	
Estimated Monthly Caseload ⁽¹⁾	39,011	60,368	118,595	299,960	19,189	474	537,597
Number of Months Rate is Effective	6	6	6	6	6	6	
Total Estimated Costs for FY 2010-11 Q1 and Q2 Capitated Payments	\$3,136,484	\$52,052,912	\$15,483,763	\$28,670,177	\$26,267,822	\$61,885	\$125,673,043
Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽³⁾	81.74%	77.34%	78.83%	81.64%	82.44%	82.74%	
Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$2,563,762	\$40,257,722	\$12,205,850	\$23,406,333	\$21,655,192	\$51,204	\$100,140,063
Estimated Expenditures for Prior Period Dates of Service	\$568,981	\$11,467,363	\$2,886,969	\$5,025,324	\$4,541,678	\$10,005	\$24,500,320
Estimated Expenditures in FY 2010-11 Q1 and Q2	\$3,132,743	\$51,725,085	\$15,092,819	\$28,431,657	\$26,196,870	\$61,209	\$124,640,383
FY 2010-11 Q3 and Q4 Calculation							
Service Expenditures	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults	Eligible Children (AFDC-C/BC)	Foster Care	Breast and Cervical Cancer Program	Totals
Estimated Weighted Capitation Rate	\$13.61	\$154.99	\$22.60	\$16.39	\$221.05	\$22.60	
Estimated Monthly Caseload ⁽¹⁾	39,049	60,685	126,516	309,821	19,469	499	556,039
Number of Months Rate is Effective	6	6	6	6	6	6	
Total Estimated Costs for FY 2010-11 Q3 and Q4 Capitated Payments	\$3,188,741	\$56,433,409	\$17,155,570	\$30,467,797	\$25,821,735	\$67,664	\$133,134,916
Estimated Percentage of Claims Paid in Current Period with Current Period Dates of Service ⁽³⁾	81.74%	77.34%	78.83%	81.64%	82.44%	82.74%	
Estimated Expenditures for Claims Paid in Current Period with Current Period Dates of Service	\$2,606,477	\$43,645,599	\$13,523,736	\$24,873,909	\$21,287,438	\$55,985	\$105,993,144
Estimated Expenditures for Prior Period Dates of Service	\$572,576	\$11,507,387	\$3,251,171	\$5,258,130	\$4,610,917	\$10,681	\$25,210,862
Estimated Expenditures in FY 2010-11 Q3 and Q4	\$3,179,053	\$55,152,986	\$16,774,907	\$30,132,039	\$25,898,355	\$66,666	\$131,204,006
Total Estimated FY 2010-11 Expenditures	\$6,311,796	\$106,878,071	\$31,867,726	\$58,563,696	\$52,095,225	\$127,875	\$255,844,389
Estimated FY 2010-11 Monthly Caseload	39,030	60,526	122,555	304,891	19,329	487	546,818
Estimated FY 2010-11 Per Capita Expenditure	\$161.72	\$1,765.82	\$260.03	\$192.08	\$2,695.18	\$262.58	\$467.88

¹ This number is based on the projected average monthly caseload for the entire fiscal year, adjusted for the trended growth in caseload over the fiscal year.

² Exhibit EE, pages 3 and 4 present the estimated percentage of incurred claims from any six month period that will be paid in that same six month period.

³ SB 09-265 delayed payment for the final month of FY 2009-10 until the subsequent month, and held that all future payments will be made in the month following service date. In that final month, 0% of claims will be paid in the month in which expenses were incurred.

Exhibit EE - Incurred But Not Reported Runout by Fiscal Period

Incurred But Not Reported (IBNR) Estimate for Adults 65 and Older (OAP-A)

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4 ⁽¹⁾	Paid in 10-11 Q1 and Q2 ⁽¹⁾	Paid in 10-11 Q3 and Q4 ⁽¹⁾
Incurring in all other previous periods	0.83%	-	-	-
Incurring in 08-09 Q3 and Q4	1.54%	0.83%	-	-
Incurring in 09-10 Q1 and Q2	97.63%	17.43%	0.83%	-
Incurring in 09-10 Q3 and Q4	-	81.74%	17.43%	0.83%
Incurring in 10-11 Q1 and Q2	-	-	81.74%	17.43%
Incurring in 10-11 Q3 and Q4	-	-	-	81.74%

Incurred But Not Reported (IBNR) Estimate for Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4 ⁽¹⁾	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	4.38%	2.78%	1.71%	0.38%
Incurring in 08-09 Q3 and Q4	3.37%	1.60%	1.07%	1.33%
Incurring in 09-10 Q1 and Q2	92.25%	18.28%	1.60%	1.07%
Incurring in 09-10 Q3 and Q4	-	77.34%	18.28%	1.60%
Incurring in 10-11 Q1 and Q2	-	-	77.34%	18.28%
Incurring in 10-11 Q3 and Q4	-	-	-	77.34%

Incurred But Not Reported (IBNR) Estimate for Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4 ⁽¹⁾	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	1.64%	-	-	-
Incurring in 08-09 Q3 and Q4	5.59%	1.64%	-	-
Incurring in 09-10 Q1 and Q2	92.77%	19.53%	1.64%	-
Incurring in 09-10 Q3 and Q4	-	78.83%	19.53%	1.64%
Incurring in 10-11 Q1 and Q2	-	-	78.83%	19.53%
Incurring in 10-11 Q3 and Q4	-	-	-	78.83%

¹ SB 09-265 delayed payment for the final month of FY 2009-10 until the subsequent month, and held that all future payments will be made in the month following service date. In that final month of the fiscal year, 0% of claims will be paid in the month in which expenses were incurred.

Exhibit EE - Incurred But Not Reported Runout by Fiscal Period

Incurred But Not Reported (IBNR) Estimate for Eligible Children (AFDC-C/BC)

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4 ⁽¹⁾	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	0.46%	-	-	-
Incurring in 08-09 Q3 and Q4	2.80%	0.46%	-	-
Incurring in 09-10 Q1 and Q2	96.74%	17.90%	0.46%	-
Incurring in 09-10 Q3 and Q4	-	81.64%	17.90%	0.46%
Incurring in 10-11 Q1 and Q2	-	-	81.64%	17.90%
Incurring in 10-11 Q3 and Q4	-	-	-	81.64%

Incurred But Not Reported (IBNR) Estimate for Foster Care

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4 ⁽¹⁾	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	0.41%	-	-	-
Incurring in 08-09 Q3 and Q4	1.04%	0.41%	-	-
Incurring in 09-10 Q1 and Q2	98.55%	17.15%	0.41%	-
Incurring in 09-10 Q3 and Q4	-	82.44%	17.15%	0.41%
Incurring in 10-11 Q1 and Q2	-	-	82.44%	17.15%
Incurring in 10-11 Q3 and Q4	-	-	-	82.44%

Incurred But Not Reported (IBNR) Estimate for Breast and Cervical Cancer Program

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4 ⁽¹⁾	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	0.00%	-	-	-
Incurring in 08-09 Q3 and Q4	1.79%	0.00%	-	-
Incurring in 09-10 Q1 and Q2	98.21%	17.26%	0.00%	-
Incurring in 09-10 Q3 and Q4	-	82.74%	17.26%	0.00%
Incurring in 10-11 Q1 and Q2	-	-	82.74%	17.26%
Incurring in 10-11 Q3 and Q4	-	-	-	82.74%

¹ SB 09-265 delayed payment for the final month of FY 2009-10 until the subsequent month, and held that all future payments will be made in the month following service date. In that final month of the fiscal year, 0% of claims will be paid in the month in which expenses were incurred.

Exhibit EE - Incurred But Not Reported Expenditures by Fiscal Period

Incurred But Not Reported (IBNR) Estimate for Adults 65 and Older (OAP-A)

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	\$25,496	-	-	-
Incurring in 08-09 Q3 and Q4	\$47,672	\$25,693	-	-
Incurring in 09-10 Q1 and Q2	\$2,983,140	\$47,056	\$25,361	-
Incurring in 09-10 Q3 and Q4	-	\$2,549,370	\$543,620	\$25,887
Incurring in 10-11 Q1 and Q2	-	-	\$2,563,762	\$546,689
Incurring in 10-11 Q3 and Q4	-	-	-	\$2,606,477
Total Paid in Current Period	\$2,983,140	\$2,549,370	\$2,563,762	\$2,606,477
Total IBNR Amount	\$73,168	\$72,749	\$568,981	\$572,576
Total Paid for All Incurred Dates	\$3,056,308	\$2,622,119	\$3,132,743	\$3,179,053

Incurred But Not Reported (IBNR) Estimate for Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods ⁽¹⁾	\$1,649,912	\$1,297,384	\$737,579	\$166,491
Incurring in 08-09 Q3 and Q4	\$1,650,216	\$785,118	\$523,956	\$653,377
Incurring in 09-10 Q1 and Q2	\$44,030,774	\$1,608,495	\$765,268	\$510,709
Incurring in 09-10 Q3 and Q4	-	\$39,941,624	\$9,440,560	\$828,029
Incurring in 10-11 Q1 and Q2	-	-	\$40,257,722	\$9,515,272
Incurring in 10-11 Q3 and Q4	-	-	-	\$43,645,599
Total Paid in Current Period	\$44,030,774	\$39,941,624	\$40,257,722	\$43,645,599
Total IBNR Amount	\$3,300,128	\$3,690,997	\$11,467,363	\$11,507,387
Total Paid for All Incurred Dates	\$47,330,902	\$43,632,621	\$51,725,085	\$55,319,477

Incurred But Not Reported (IBNR) Estimate for Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurring in all other previous periods	\$131,317	-	-	-
Incurring in 08-09 Q3 and Q4	\$516,134	\$151,424	-	-
Incurring in 09-10 Q1 and Q2	\$10,263,484	\$618,442	\$181,439	-
Incurring in 09-10 Q3 and Q4	-	\$10,920,477	\$2,705,530	\$227,192
Incurring in 10-11 Q1 and Q2	-	-	\$12,205,850	\$3,023,979
Incurring in 10-11 Q3 and Q4	-	-	-	\$13,523,736
Total Paid in Current Period	\$10,263,484	\$10,920,477	\$12,205,850	\$13,523,736
Total IBNR Amount	\$647,451	\$769,866	\$2,886,969	\$3,251,171
Total Paid for All Incurred Dates	\$10,910,935	\$11,690,343	\$15,092,819	\$16,774,907

¹ Complete runout for claims on Disabled clients takes approximately 42 months. The paid totals presented here do not account for the entirety of incurred claims as some claims will be paid in future periods beyond this request.

Exhibit EE - Incurred But Not Reported Expenditures by Fiscal Period

Incurred But Not Reported (IBNR) Estimate for Eligible Children (AFDC-C/BC)

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurred in all other previous periods	\$96,115	-	-	-
Incurred in 08-09 Q3 and Q4	\$644,590	\$105,897	-	-
Incurred in 09-10 Q1 and Q2	\$24,341,305	\$704,524	\$115,743	-
Incurred in 09-10 Q3 and Q4	-	\$22,392,079	\$4,909,581	\$126,168
Incurred in 10-11 Q1 and Q2	-	-	\$23,406,333	\$5,131,962
Incurred in 10-11 Q3 and Q4	-	-	-	\$24,873,909
Total Paid in Current Period	\$24,341,305	\$22,392,079	\$23,406,333	\$24,873,909
Total IBNR Amount	\$740,705	\$810,421	\$5,025,324	\$5,258,130
Total Paid for All Incurred Dates	\$25,082,010	\$23,202,500	\$28,431,657	\$30,132,039

Incurred But Not Reported (IBNR) Estimate for Foster Care

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurred in all other previous periods	\$122,691	-	-	-
Incurred in 08-09 Q3 and Q4	\$278,685	\$109,866	-	-
Incurred in 09-10 Q1 and Q2	\$26,043,746	\$274,840	\$108,350	-
Incurred in 09-10 Q3 and Q4	-	\$21,310,994	\$4,433,328	\$105,986
Incurred in 10-11 Q1 and Q2	-	-	\$21,655,192	\$4,504,931
Incurred in 10-11 Q3 and Q4	-	-	-	\$21,287,438
Total Paid in Current Period	\$26,043,746	\$21,310,994	\$21,655,192	\$21,287,438
Total IBNR Amount	\$401,376	\$384,706	\$4,541,678	\$4,610,917
Total Paid for All Incurred Dates	\$26,445,122	\$21,695,700	\$26,196,870	\$25,898,355

Incurred But Not Reported (IBNR) Estimate for Breast and Cervical Cancer Program

	Paid in 09-10 Q1 and Q2	Paid in 09-10 Q3 and Q4	Paid in 10-11 Q1 and Q2	Paid in 10-11 Q3 and Q4
Incurred in all other previous periods	\$0	-	-	-
Incurred in 08-09 Q3 and Q4	\$733	\$0	-	-
Incurred in 09-10 Q1 and Q2	\$50,450	\$920	\$0	-
Incurred in 09-10 Q3 and Q4	-	\$47,964	\$10,005	\$0
Incurred in 10-11 Q1 and Q2	-	-	\$51,204	\$10,681
Incurred in 10-11 Q3 and Q4	-	-	-	\$55,985
Total Paid in Current Period	\$50,450	\$47,964	\$51,204	\$55,985
Total IBNR Amount	\$733	\$920	\$10,005	\$10,681
Total Paid for All Incurred Dates	\$51,183	\$48,884	\$61,209	\$66,666

Exhibit FF - Medicaid Mental Health Claims to Caseload Adjustment

Fiscal Year		Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults ⁽¹⁾	Eligible Children (AFDC-C/BC)	Foster Care
FY 2004-05	Average Monthly Claims	36,200	57,371	73,804	244,786	16,119
	Average Caseload	35,780	54,011	63,124	222,472	15,795
	Claims as a Percentage of Caseload	101.17%	106.22%	116.92%	110.03%	102.05%
FY 2005-06	Average Monthly Claims	36,924	58,313	72,736	235,280	16,823
	Average Caseload	36,207	53,897	64,004	214,158	16,460
	Claims as a Percentage of Caseload	101.98%	108.19%	113.64%	109.86%	102.20%
FY 2006-07	Average Monthly Claims	36,556	59,610	71,948	228,286	17,242
	Average Caseload	35,888	54,858	61,031	205,390	16,724
	Claims as a Percentage of Caseload	101.86%	108.66%	117.89%	111.15%	103.10%
FY 2007-08	Average Monthly Claims	36,844	60,461	69,277	225,078	17,793
	Average Caseload	36,284	56,079	59,761	204,022	17,141
	Claims as a Percentage of Caseload	101.54%	107.81%	115.92%	110.32%	103.80%
FY 2008-09	Estimated Average Monthly Claims ⁽²⁾	38,258	63,688	79,830	256,259	18,709
	Average Caseload	37,619	57,802	68,850	235,129	18,033
	Claims as a Percentage of Caseload	101.70%	110.18%	115.95%	108.99%	103.75%
Weighted Average Claims as a Percentage of Caseload ⁽³⁾		101.65%	108.21%	116.06%	110.07%	102.98%
Claims-to-Caseload Adjustment Factor		1.65%	8.21%	16.06%	10.07%	2.98%

¹ Breast and Cervical Cancer Program participants share a capitation rate with the Adult population, and comprise less than 1% of that total population. As such, a separate analysis was not performed.

² The claims for the most recent year are estimated to account for claims payment runoff; previous years will have almost all claims paid while the current year has a disproportionate share of claims still in process and therefore not yet paid.

³ The most current year accounts for 50% of the weight, and the average of the previous four years account for the other 50% of the weight.

Exhibit FF - Medicaid Mental Health Claims-Based Adjustment Multiplier

Fiscal Year		Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program- Adults ⁽¹⁾	Eligible Children (AFDC-C/BC)	Foster Care
FY 2006-07	Weighted Claims-Based Rate	\$13.38	\$105.60	\$14.95	\$12.80	\$280.10
	Weighted Capitation Rate	\$13.46	\$105.99	\$14.96	\$12.85	\$282.88
	Claims as a Percentage of Capitation	99.47%	99.63%	99.97%	99.55%	99.02%
FY 2007-08	Weighted Claims-Based Rate	\$13.06	\$113.60	\$17.48	\$13.87	\$260.01
	Weighted Capitation Rate	\$13.16	\$113.97	\$17.51	\$13.94	\$262.45
	Claims as a Percentage of Capitation	99.28%	99.67%	99.85%	99.49%	99.07%
FY 2008-09	Weighted Claims-Based Rate	\$13.49	\$122.70	\$18.40	\$14.47	\$253.57
	Weighted Capitation Rate ⁽²⁾	\$13.57	\$123.13	\$18.47	\$14.57	\$255.42
	Claims as a Percentage of Capitation	99.43%	99.65%	99.62%	99.37%	99.28%
Average Claims as a Percentage of Capitation		99.39%	99.65%	99.81%	99.47%	99.12%
Claims-Based Adjustment Multiplier		-0.61%	-0.35%	-0.19%	-0.53%	-0.88%

¹ Breast and Cervical Cancer Program participants share a capitation rate with the Adult population, and comprise less than 1% of that total population. As such, a separate analysis was not performed.

² For Q3 and Q4 of FY 2008-09, the Department paid at 3% above the midpoint of the acutarial rate range. The number provided, here, reflects the actual expenditure to the Department and therefore does not match the number in Exhibit GG, which demonstrates the trend on the acutarial midpoints.

Exhibit GG - Medicaid Mental Health Capitation Rate Trends and Forecasts

Capitation Rate Trends

Fiscal Year	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults ⁽¹⁾	Eligible Children (AFDC-C/BC)	Foster Care	Weighted Mental Health Total ⁽²⁾
FY 2003-04 Actuals ⁽³⁾	\$9.85	\$62.13	\$9.32	\$10.08	\$258.33	\$28.16
FY 2004-05 Actuals ⁽³⁾	\$10.41	\$66.97	\$12.66	\$11.95	\$269.97	\$29.94
% Change from FY 2003-04	5.77%	7.79%	35.86%	18.60%	4.50%	6.35%
FY 2005-06 Actuals	\$12.76	\$71.43	\$12.19	\$12.05	\$291.96	\$32.44
% Change from FY 2004-05	22.53%	6.66%	-3.67%	0.86%	8.14%	8.33%
FY 2006-07 Actuals	\$13.46	\$105.99	\$14.96	\$12.85	\$282.88	\$38.98
% Change from FY 2005-06	5.45%	48.38%	22.69%	6.63%	-3.11%	20.17%
FY 2007-08 Actuals	\$13.16	\$113.97	\$17.51	\$13.94	\$262.45	\$40.86
% Change from FY 2006-07	-2.19%	7.53%	17.06%	8.46%	-7.22%	4.82%
FY 2008-09 Actuals ⁽⁴⁾	\$13.37	\$121.25	\$18.18	\$14.34	\$251.89	\$39.95
% Change from FY 2007-08	1.62%	6.39%	3.85%	2.87%	-4.02%	-2.23%
FY 2009-10 Q1 and Q2 Known Rate	\$13.38	\$126.73	\$18.56	\$14.50	\$236.61	\$37.94
FY 2009-10 Q3 and Q4 Estimated Rate	\$13.60	\$136.68	\$19.27	\$14.92	\$229.25	\$39.31
% Change from FY 2008-09 Q1 and Q2	1.64%	7.85%	3.83%	2.90%	-3.11%	3.59%
FY 2009-10 Estimated Weighted Average Rate ⁽⁵⁾	\$13.49	\$131.73	\$18.95	\$14.72	\$232.90	\$38.65
% Change from FY 2008-09	0.87%	8.64%	4.21%	2.64%	-7.54%	-3.25%
FY 2010-11 Q1 and Q2 Estimated Rate	\$13.60	\$136.68	\$19.27	\$14.92	\$229.25	\$36.85
% Change from FY 2009-10 Q3 and Q4 Rate	0.00%	0.00%	0.00%	0.00%	0.00%	-6.25%
% Change from FY 2009-10 Average Rate	0.82%	3.76%	1.69%	1.36%	-1.57%	-4.66%
FY 2010-11 Q3 and Q4 Estimated Rate	\$13.82	\$147.41	\$20.01	\$15.35	\$222.12	\$38.49
% Change from FY 2010-11 Q1 and Q2 Rate	1.62%	7.85%	3.84%	2.88%	-3.11%	4.46%
% Change from FY 2009-10 Average Rate	2.45%	11.90%	5.59%	4.28%	-4.63%	-0.41%
FY 2010-11 Estimated Weighted Average Rate ⁽⁵⁾	\$13.71	\$142.06	\$19.65	\$15.14	\$225.66	\$37.68
% Change from FY 2009-10 Average Rate	1.63%	7.84%	3.69%	2.85%	-3.11%	-2.51%

¹ Breast and Cervical Cancer Program participants share a capitation rate with the remainder of the Adult population, and comprise less than 1% of that total population. As such, a separate analysis was not performed.

² The Weighted Mental Health Total is the weighted capitation rate distributed by Behavioral Health Organization (BHO) across each eligibility category based on the total number of claims processed (i.e. Elderly clients age 65 and over make up a percentage of all client claims, and each BHO services some subset of the total number of claims for Elderly clients).

³ The current organization of five BHOs was established in FY 2005-06. For fiscal years 2003-04 and 2004-05, the weighted capitation rate was derived from the three BHOs that were providing services over that span and which are still providing services under the new organizational structure.

⁴ The Department paid at a rate 3% higher than the actuarial midpoint for Q3 and Q4; the rate presented is the average of the Q1 and Q2 and the actuarial midpoint (not the paid rate) of Q3 and Q4 as weighted by claims percentages.

⁵ The weighted rate is derived by distributing the individual rates across estimated proportion of caseload seen under the respective half years the two rates are in effect.

Exhibit HH - Forecast Model Comparisons - Final Forecasts

Adjustment Factors for Forecasted Rates

Model	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults ⁽¹⁾	Eligible Children (AFDC-C/BC)	Foster Care
FY 2009-10 Estimated Q1/Q2 Rate					
Weighted Capitation Rate (Known)	\$13.38	\$126.73	\$18.56	\$14.50	\$236.61
Capitation Rate Range Adjustment ⁽²⁾	-1.67%	-1.67%	-1.67%	-1.67%	-1.67%
Claims-to-Caseload Adjustment Multiplier (Exhibit FF)	1.65%	8.21%	16.06%	10.07%	2.98%
Claims-Based Adjustment Multiplier (Exhibit FF)	-0.61%	-0.35%	-0.19%	-0.53%	-0.88%
Final Adjustment Factor ⁽³⁾	-0.65%	6.03%	13.91%	7.66%	0.37%
FY 2009-10 Final Estimated Q1/Q2 Rate	\$13.29	\$134.37	\$21.14	\$15.61	\$237.49
FY 2009-10 Estimated Q3/Q4 Rate					
Weighted Capitation Point Estimate	\$13.60	\$136.68	\$19.27	\$14.92	\$229.25
Capitation Rate Range Adjustment ⁽²⁾	-2.50%	-2.50%	-2.50%	-2.50%	-2.50%
Claims-to-Caseload Adjustment Multiplier (Exhibit FF)	1.65%	8.21%	16.06%	10.07%	2.98%
Claims-Based Adjustment Multiplier (Exhibit FF)	-0.61%	-0.35%	-0.19%	-0.53%	-0.88%
Final Adjustment Factor ⁽³⁾	-1.50%	5.14%	12.94%	6.75%	-0.48%
FY 2009-10 Final Estimated Q3/Q4 Rate	\$13.40	\$143.71	\$21.76	\$15.93	\$228.15
FY 2010-11 Estimated Q1/Q2 Rate					
Weighted Capitation Point Estimate ⁽⁴⁾	\$13.60	\$136.68	\$19.27	\$14.92	\$229.25
Capitation Rate Range Adjustment ⁽²⁾	-2.50%	-2.50%	-2.50%	-2.50%	-2.50%
Claims-to-Caseload Adjustment Multiplier (Exhibit FF)	1.65%	8.21%	16.06%	10.07%	2.98%
Claims-Based Adjustment Multiplier (Exhibit FF)	-0.61%	-0.35%	-0.19%	-0.53%	-0.88%
Final Adjustment Factor ⁽³⁾	-1.50%	5.14%	12.94%	6.75%	-0.48%
FY 2010-11 Final Estimated Q1/Q2 Rate	\$13.40	\$143.71	\$21.76	\$15.93	\$228.15
FY 2010-11 Estimated Q3/Q4 Rate					
Weighted Capitation Point Estimate ⁽⁵⁾	\$13.82	\$147.41	\$20.01	\$15.35	\$222.12
Capitation Rate Range Adjustment ⁽²⁾	-2.50%	-2.50%	-2.50%	-2.50%	-2.50%
Claims-to-Caseload Adjustment Multiplier (Exhibit FF)	1.65%	8.21%	16.06%	10.07%	2.98%
Claims-Based Adjustment Multiplier (Exhibit FF)	-0.61%	-0.35%	-0.19%	-0.53%	-0.88%
Final Adjustment Factor ⁽³⁾	-1.50%	5.14%	12.94%	6.75%	-0.48%
FY 2010-11 Final Estimated Q3/Q4 Rate	\$13.61	\$154.99	\$22.60	\$16.39	\$221.05

* Weighted Capitation Rates are shown in Exhibit GG.

¹ Breast and Cervical Cancer Program participants share a capitation rate with the remainder of the Adult population, and comprise less than 1% of that total population. As such, a forecast for BCCP program eligibles was not performed.

² The Department, as submitted in ES-2, is paying rates at -2.50% of the actuarial rate midpoint effective September 1, 2009. For Q1 and Q2, only 4 of the 6 months will be effected, making the rate adjustment -1.67% for that time period. This Request is replacing the ES-2 submission; this Exhibit accounts for the provider rate reduction component of ES-2, and Exhibit II accounts for the recoupment from providers.

³ The final adjustment factor is derived by adding 1 to each individual adjustment, multiplying the result, and subtracting 1 from the product.

⁴ The rate set for Q3 and Q4 of FY 2009-10 will be the same rate in effect for Q1 and Q2 of FY 2010-11.

⁵ The same trend factors selected for the estimated rates for Q3 and Q4 of FY 2009-10 are carried forward to estimate the rates from the next rate setting cycle.

Exhibit HH - Forecast Model Comparisons - Capitation Trend Models

Capitation Rate Forecast Model for FY 2009-10 Q3 and Q4

Model	Adults 65 and Older (OAP-A)	Disabled Adults 60 to 64 (OAP-B) and Disabled Individuals to 59 (AND/AB)	Categorically Eligible Low Income Adults (AFDC-A), Expansion Adults, and Baby Care Program-Adults ⁽¹⁾	Eligible Children (AFDC-C/BC)	Foster Care
FY 2008-09 Actual Rate	\$13.37	\$121.25	\$18.18	\$14.34	\$251.89
FY 2009-10 Q1 and Q2 Known Weighted Average Rate	\$13.38	\$126.73	\$18.56	\$14.50	\$236.61
Selected Growth Rates					
% Growth from FY 2008-09 to FY 2009-10 Q1 and Q2 Rate	0.05%	4.52%	2.07%	1.11%	-6.07%
% Growth from FY 2008-09 to FY 2009-10 Q3 and Q4 Rate	1.70%	12.73%	5.97%	4.04%	-8.99%
% Growth from FY 2009-10 Q1 and Q2 Rate to FY 2009-10 Q3 and Q4 Rate	1.62%	7.85%	3.85%	2.87%	-3.11%
Selected Trend Models					
Average Growth Model ⁽²⁾	\$14.52	\$145.80	\$21.23	\$15.52	\$260.90
% Difference from FY 2009-10 Q1 and Q2 Rate	8.52%	15.05%	14.39%	7.03%	10.27%
% Difference from FY 2008-09 Rate	8.58%	20.25%	16.75%	8.22%	3.58%
Two Period Moving Average Model ⁽³⁾	\$13.14	\$108.66	\$17.26	\$13.80	\$277.36
% Difference from FY 2009-10 Q1 and Q2 Rate	-1.79%	-14.26%	-7.00%	-4.83%	17.22%
% Difference from FY 2008-09 Rate	-1.74%	-10.38%	-5.08%	-3.77%	10.11%
Exponential Growth Model ⁽⁴⁾	\$16.15	\$152.43	\$22.90	\$16.07	\$289.90
% Difference from FY 2009-10 Q1 and Q2 Rate	20.70%	20.28%	23.38%	10.83%	22.52%
% Difference from FY 2008-09 Rate	20.76%	25.72%	25.93%	12.06%	15.09%
Linear Growth Model ⁽⁵⁾	\$15.65	\$136.68	\$20.75	\$15.59	\$291.08
% Difference from FY 2009-10 Q1 and Q2 Rate	16.97%	7.85%	11.80%	7.52%	23.02%
% Difference from FY 2008-09 Rate	17.03%	12.73%	14.11%	8.71%	15.56%
Forecast Minimum	\$13.14	\$108.66	\$17.26	\$13.80	\$229.25
Forecast Maximum	\$16.15	\$152.43	\$22.90	\$16.07	\$291.08
% change from FY 2009-10 Q1 and Q2 Rate to Selected Q3 and Q4 Capitation Rate	1.62%	7.85%	3.85%	2.87%	-3.11%
FY 2009-10 Q3 and Q4 Forecast Point Estimate ⁽⁶⁾	\$13.60	\$136.68	\$19.27	\$14.92	\$229.25

¹ Breast and Cervical Cancer Program participants share a capitation rate with the remainder of the Adult population, and comprise less than 1% of that total population. As such, a forecast for BCCP program eligibles was not performed.

² The Average Growth Model averages the change in the weighted rate from fiscal year to fiscal year and assumes that average growth will apply in future periods.

³ The Two Period Moving Average Model uses the average rate of the previous two fiscal years and assumes that to be the rate in the forecasted year.

⁴ The Exponential Growth Model generates a predictive equation based on time period and assuming that the rate of growth is directly proportional to the value of the rate (e.g. as the rate increases the rate of growth also increases).

⁵ The Linear Growth Model generates a predictive equation by regressing the rate on the time period.

⁶ The FY 2009-10 selected models are in bold, above; for Adults 65 and Older, Adult, and Children category eligibles, the change in rate from FY 2007-08 to FY 2008-09 was applied to the rate change from FY 2009-10 Q1 and Q2 to the Q3 and Q4 rate; for Disabled eligibles, a linear trend was selected as that trend mirrors the rate of change seen for this eligibility category but for the Goebel settlement year; the growth rate of Foster Care category eligible rates has been trending downward as historical data has had diminishing influence on the rate (see budget narrative); the smallest magnitude of historical rate change in the Foster Care rate was selected and applied to the rate change from Q1 and Q2 to Q3 and Q4.

Exhibit II - Recoupment of Payments Made for Clients Found to be Ineligible for Medicaid

Total Recoupment by Fiscal Year

	FY 2006-07 Actuals	FY 2007-08 Actuals	FY 2008-09 Actuals	FY 2009-10 Estimate	FY 2010-11 Request
Actual Recoupments for FY 2003-04 Ineligibles ⁽¹⁾	(\$1,198,341)	\$0	\$0	\$0	\$0
Estimate of Recoupments for FY 2004-05, FY 2005-06, FY 2006-07, and FY 2007-08 Ineligibles ⁽²⁾	\$0	\$0	\$0	(\$3,533,606)	\$0
Estimated Recoupments for FY 2008-09 Ineligibles	\$0	\$0	\$0	\$0	(\$1,625,000)
Net Impact of Estimated Recoupments	(\$1,198,341)	\$0	\$0	(\$3,533,606)	(\$1,625,000)

Recoupment Fund Splits

	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
Actual Recoupments for FY 2003-04 Ineligibles	(\$1,198,341)	(\$599,170)	\$0	\$0	(\$599,171)
Estimate of Recoupments for FY 2004-05, FY 2005-06, FY 2006-07, and FY 2007-08 Ineligibles	(\$3,533,606)	(\$1,766,803)	\$0	\$0	(\$1,766,803)
Estimated Recoupments for FY 2008-09 Ineligibles ⁽³⁾	(\$1,625,000)	(\$699,766)	\$0	\$0	(\$925,234)

*Those components of the Department's ES-2 submission that impact mental health are replaced by this Request; this exhibit details the recoupment portion of ES-2 while Exhibit HH accounts for the provider rate reduction.

¹ A recoupment for FY 2003-04 ineligibles was made in FY 2006-07.

² Recoupments for expenditures made after FY 2003-04 have been postponed pending approval of a recoupment methodology by CMS. Approval for the new methodology is expected to be granted in time for recoupments to be made in the later half of FY 2009-10.

³ Fund splits for recoupments for FY 2008-09 ineligibles account for differing levels of federal match over the course of that fiscal year due to the American Reinvestment and Recovery Act; in FY 2008-09, three months of expenses were matched at the standard 50%, six months were matched at 58.78%, and three months were matched at 61.59%.

Exhibit JJ - Cash Funded Expansion Populations ⁽¹⁾

FY 2009-10 MENTAL HEALTH BUDGET REQUEST

DESCRIPTION OF ESTIMATE				CALCULATION OF MATCH				
Eligibility Category	Caseload	Estimated Per Capita Cost	Total Estimate	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP Rate
Health Care Expansion Fund:								
CES and CHCBS Waiver Expansion ⁽²⁾	757	\$1,375.07	\$1,040,928	\$0	\$520,464	\$0	\$520,464	50%
Expansion Adults to 60%	16,736	\$233.81	\$3,913,050	\$0	\$1,956,525	\$0	\$1,956,525	50%
SB 07-002: Expansion of Foster Care ⁽³⁾	1,184	\$2,442.05	\$2,891,387	\$0	\$1,445,694	\$0	\$1,445,693	50%
Removal of Asset Test for Categorically Eligible Adults and Eligible Children (AFDC-C/BC)	-	-	\$5,792,773	\$0	\$2,896,387	\$0	\$2,896,387	50%
Optional Legal Immigrants ⁽⁴⁾	5,519	\$229.77	\$1,268,101	\$0	\$634,051	\$0	\$634,050	50%
Subtotal from Health Care Expansion Fund	-	-	\$14,906,239	\$0	\$7,453,121	\$0	\$7,453,119	
Prevention, Early Detection, and Treatment Fund:								
Health Care Expansion Breast and Cervical Cancer Treatment Program Clients ⁽⁵⁾	127	\$233.81	\$29,694	\$0	\$0	\$10,393	\$19,301	65%
Hospital Provider Fee Cash Fund:								
Expansion Adults to 100% ⁽⁶⁾	12,900	\$233.81	\$3,016,154	\$0	\$1,508,077	\$0	\$1,508,077	50%
Subtotal from Hospital Provider Fee Fund	-	-	\$3,016,154		\$1,508,077		\$1,508,077	
Medicaid Buy-in Cash Fund:								
Disabled Buy-in to 450% FPL	0	\$0.00	\$0	\$0	\$0	\$0	\$0	50%
Total	-	-	\$17,952,087	\$0	\$8,961,198	\$10,393	\$8,980,497	

Acronyms: CES: Children's Extensive Support; CHCBS: Children's Home and Community Based Services; HCBS: Home and Community Based Services; FFP: Federal Financial Participation; FPL: Federal Poverty Limit.

¹ The Department's allocation methodology is described in the Tobacco Tax section of this Budget Request.

² CES and CHCBS caseload is included in the Disabled Adults and Disabled Individuals aid category. Per Capita caseload is determined as part of the Department's Tobacco Tax calculations; see the Tobacco Tax portion of the Department's

³ Per Capita caseload is determined as part of the Department's Tobacco Tax calculations; see the Tobacco Tax portion of the Department's narrative.

⁴ Optional Legal Immigrants caseload is spread across all eligibility categories.

⁵ Reappropriated Funds are transferred from the Department of Public Health and Environment from the Prevention, Early Detection, and Treatment Fund. 30% of total caseload for the Breast and Cervical Cancer Treatment Program are funded via the transfer from the Department of Public Health and Environment.

⁶ Impact is shown pending appropriation for HB 09-1293 expansion populations being granted.

Exhibit JJ - Cash Funded Expansion Populations ⁽¹⁾

FY 2010-11 MENTAL HEALTH BUDGET REQUEST

DESCRIPTION OF ESTIMATE				CALCULATION OF MATCH				
Eligibility Category	Caseload	Estimated Per Capita Cost	Total Estimate	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP Rate
Health Care Expansion Fund:								
CES and CHCBS Waiver Expansion ⁽²⁾	757	\$1,589.54	\$1,203,282	\$0	\$601,641	\$0	\$601,641	50%
Expansion Adults to 60%	18,937	\$260.03	\$4,924,149	\$0	\$2,462,074	\$0	\$2,462,075	50%
SB 07-002: Expansion of Foster Care ⁽³⁾	1,352	\$2,558.70	\$3,459,362	\$0	\$1,729,681	\$0	\$1,729,681	50%
Removal of Asset Test for Categorically Eligible Adults and Eligible Children (AFDC-C/BC)	-	-	\$7,029,526	\$0	\$3,514,763	\$0	\$3,514,763	50%
Optional Legal Immigrants ⁽⁴⁾	5,822	\$237.11	\$1,380,454	\$0	\$690,227	\$0	\$690,227	50%
Subtotal from Health Care Expansion Fund	-	-	\$17,996,773	\$0	\$8,998,386	\$0	\$8,998,387	
Prevention, Early Detection, and Treatment Fund								
Health Care Expansion Breast and Cervical Cancer Treatment Program Clients ⁽⁵⁾	146	\$260.03	\$37,964	\$0	\$0	\$13,288	\$24,676	65%
Hospital Provider Fee Cash Fund:								
Expansion Adults to 100% ⁽⁶⁾	30,100	\$260.03	\$7,826,841	\$0	\$3,913,421	\$0	\$3,913,420	50%
Subtotal from Hospital Provider Fee Fund	-	-	\$7,826,841		\$3,913,421		\$3,913,420	
Medicaid Buy-in Cash Fund:								
Disabled Buy-in to 450% FPL	0	\$0.00	\$0	\$0	\$0	\$0	\$0	50%
Total	-	-	\$25,861,578	\$0	\$12,911,807	\$13,288	\$12,936,483	

Acronyms: CES: Children's Extensive Support; CHCBS: Children's Home and Community Based Services; HCBS: Home and Community Based Services; FFP: Federal Financial Participation; FPL: Federal Poverty Limit.

¹ The Department's allocation methodology is described in the Tobacco Tax section of this Budget Request.

² CES and CHCBS caseload is included in the Disabled Adults and Disabled Individuals aid category. Per Capita caseload is determined as part of the Department's Tobacco Tax calculations; see the Tobacco Tax portion of the Department's

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⁴ Optional Legal Immigrants caseload is spread across all eligibility categories.

⁵ Reappropriated Funds are transferred from the Department of Public Health and Environment from the Prevention, Early Detection, and Treatment Fund. 30% of total caseload for the Breast and Cervical Cancer Treatment Program are funded via the transfer from the Department of Public Health and Environment.

⁶ Impact is shown pending appropriation for HB 09-1293 expansion populations being granted.

Exhibit KK - Medicaid Mental Health Fee For Service Forecast

FY 2009-10 Calculation

Components	FY 2008-09 Actual	FY 2009-10 Appropriation	Estimated Change in Total Mental Health Caseload			FY 2009-10 Estimate	FY 20089-10 Change from Appropriation
			FY 2008-09 Average Monthly Caseload	FY 2009-10 Forecasted Average Monthly Caseload	Forecasted Change in Caseload		
<i>Inpatient Services</i>	\$642,254	\$626,083	417,750	491,713	17.71%	\$736,932	\$110,849
<i>Outpatient Services</i>	\$1,062,123	\$1,035,380	417,750	491,713	17.71%	\$1,218,695	\$183,315
<i>Physician Services</i>	\$71,876	\$70,066	417,750	491,713	17.71%	\$82,471	\$12,405
Mental Health Fee-for-Service Before Prior Year Adjustments	\$1,776,253	\$1,731,529				\$2,038,098	\$306,569
Prior Year Adjustments	\$0	\$0				\$0	\$0
Total After Prior Year Adjustments	\$1,776,253	\$1,731,529				\$2,038,098	\$306,569

FY 2010-11 Calculation

Components	FY 2009-10 Estimate	Estimated Change in Total Mental Health Caseload			FY 2010-11 Request	FY 2010-11 Change from FY 2009-10 Estimate
		FY 2009-10 Forecasted Average Monthly Caseload	FY 2010-11 Forecasted Average Monthly Caseload	Forecasted Change in Caseload		
<i>Inpatient Services</i>	\$736,932	491,713	546,818	11.21%	\$819,542	\$82,610
<i>Outpatient Services</i>	\$1,218,695	491,713	546,818	11.21%	\$1,355,311	\$136,616
<i>Physician Services</i>	\$82,471	491,713	546,818	11.21%	\$91,716	\$9,245
Mental Health Fee-for-Service Before Prior Year Adjustments	\$2,038,098				\$2,266,569	\$228,471
Prior Year Adjustments	\$0				\$0	\$0
Total After Prior Year Adjustments	\$2,038,098				\$2,266,569	\$228,471

Exhibit KK - Medicaid Mental Health Fee For Service Forecasat

Medicaid Mental Health Fee for Service Adjustments for SB 09-265

	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2009-10					
Medicaid Mental Health Fee for Service Payments	\$2,038,098	\$1,019,049	\$0	\$0	\$1,019,049
SB 09-265 Timing of Medicaid Payments	(\$39,194)	(\$19,597)	\$0	\$0	(\$19,597)
Total Estimated FY 2009-10 Fee for Service Expenditure	\$1,998,904	\$999,452	\$0	\$0	\$999,452
FY 2010-11					
Medicaid Mental Health Fee for Service Payments	\$2,266,569	\$1,133,285	\$0	\$0	\$1,133,284
SB 09-265 Timing of Medicaid Payments	\$39,194	\$19,597	\$0	\$0	\$19,597
Total Estimated FY 2009-10 Fee for Service Expenditure	\$2,305,763	\$1,152,882	\$0	\$0	\$1,152,881

Exhibit LL - Global Reasonableness Test for Medicaid Mental Health Capitation Payments⁽¹⁾

	Actual/Requested Expenditures ⁽²⁾	Percent Change	Dollar Increase/Decrease	Two-year Rolling Average	Percent Change Two-year Average	Three-year Rolling Average	Percent Change Three-year Average
FY 2005-06	\$176,727,920	N/A	N/A	N/A	N/A	N/A	N/A
FY 2006-07	\$184,640,568	4.48%	\$7,912,648	\$180,684,244	N/A	N/A	N/A
FY 2007-08	\$196,011,033	6.16%	\$11,370,465	\$190,325,801	5.34%	\$185,793,174	N/A
FY 2008-09 Actual	\$215,860,937	10.13%	\$19,849,904	\$205,935,985	8.20%	\$198,837,513	
FY 2009-10 Appropriation vs. FY 2008-09 Actual	\$205,435,011	-4.83%	(\$10,425,926)	\$210,647,974	2.29%	\$205,768,994	3.49%
FY 2009-10 Estimate vs. FY 2008-09 Actual	\$209,218,867	-3.08%	(\$6,642,070)	\$212,539,902	3.21%	\$207,030,279	4.12%
FY 2009-10 Estimate vs. Appropriation	\$209,218,867	1.84%	\$3,783,856	\$212,539,902	0.90%	\$207,030,279	0.61%
FY 2010-11 Request vs. FY 2009-10 Appropriation	\$254,219,389	23.75%	\$48,784,378	\$229,827,200	9.10%	\$225,171,779	9.43%
FY 2010-11 Request vs. FY 2009-10 Estimate	\$254,219,389	21.51%	\$45,000,522	\$231,719,128	9.02%	\$226,433,064	9.37%

¹ This analysis compares the percent change between Mental Health Capitation Payments Reported in Exhibit DD. Other Medicaid Mental Health Payments have been excluded.

² All expenditures have Goebel expenditures included so comparable data is presented. For expenditures by eligibility category (including Goebel expenditures) see Exhibit DD.