

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title

NP-01 Annual Fleet Vehicle Request

Dept. Approval By: 

Supplemental FY 2019-20

OSPB Approval By: 

Budget Amendment FY 2020-21

X

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$3,478,263	\$0	\$3,478,263	(\$137,173)	(\$137,173)
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$2,835,500	\$0	\$2,835,500	(\$134,543)	(\$134,543)
	CF	\$642,763	\$0	\$642,763	(\$2,630)	(\$2,630)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$3,478,263	\$0	\$3,478,263	(\$137,173)	(\$137,173)
	FTE	0.0	0.0	0.0	0.0	0.0
03. Support Services, (E) Transportation Subprogram, (1) Transportation Subprogram - Vehicle Lease Payments	GF	\$2,835,500	\$0	\$2,835,500	(\$134,543)	(\$134,543)
	CF	\$642,763	\$0	\$642,763	(\$2,630)	(\$2,630)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Department of Corrections Non-Prioritized Request


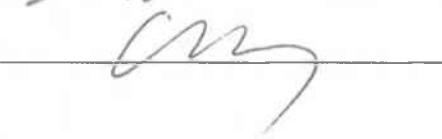
Interagency Approval or Related Schedule 13a:

Impacts Other Agency

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title	NP-02 OIT_FY21 Budget Request Package		
Dept. Approval By:		_____	Supplemental FY 2019-20
OSPB Approval By:		_____	Budget Amendment FY 2020-21
		X	Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$28,864,334	\$0	\$27,823,766	\$172,477	\$390,228
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$28,713,755	\$0	\$27,678,622	\$171,543	\$388,114
	CF	\$150,579	\$0	\$145,144	\$934	\$2,114
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$28,864,334	\$0	\$27,823,766	\$172,477	\$390,228
	FTE	0.0	0.0	0.0	0.0	0.0
03. Support Services, (G) Information Systems Subprogram, (1) Information Systems Subprogram - Payments to OIT	GF	\$28,713,755	\$0	\$27,678,622	\$171,543	\$388,114
	CF	\$150,579	\$0	\$145,144	\$934	\$2,114
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation?	NO		
Type of Request?	Department of Corrections Non-Prioritized Request	Interagency Approval or Related Schedule 13s:	No Other Agency Impact

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title

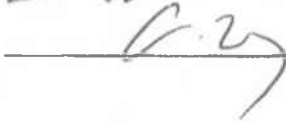
NP-03 Paid Family Leave

Dept. Approval By: _____



Supplemental FY 2019-20

OSPB Approval By: _____



Budget Amendment FY 2020-21

X

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$0	\$0	\$0	\$3,671,815	\$3,671,815
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$0	\$0	\$0	\$3,568,417	\$3,568,417
	CF	\$0	\$0	\$0	\$103,398	\$103,398
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$0	\$0	\$0	\$3,671,815	\$3,671,815
	FTE	0.0	0.0	0.0	0.0	0.0
01. Management, (A) Executive Director's Office Subprogram, (1) Executive Director's Office Subprogram - Paid Family Leave	GF	\$0	\$0	\$0	\$3,568,417	\$3,568,417
	CF	\$0	\$0	\$0	\$103,398	\$103,398
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? YES

Type of Request?

Department of Corrections Non-Prioritized Request

Interagency Approval or Related Schedule 13a:

Impacts DPA

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title

R-01 Medical Caseload

Dept. Approval By: 

Supplemental FY 2019-20

OSPB Approval By: 

Budget Amendment FY 2020-21

X

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$50,542,825	\$0	\$50,553,535	\$4,217,490	\$4,217,490
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$50,542,825	\$0	\$50,553,535	\$4,217,490	\$4,217,490
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$15,872,870	\$0	\$15,883,580	\$93,461	\$93,461
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (E)	GF	\$15,872,870	\$0	\$15,883,580	\$93,461	\$93,461
Medical Services Subprogram, (1) Medical Services Subprogram - Purchase of Pharmaceuticals	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

	Total	\$34,869,955	\$0	\$34,869,955	\$4,124,029	\$4,124,029
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (E)	GF	\$34,869,955	\$0	\$34,869,955	\$4,124,029	\$4,124,029
Medical Services Subprogram, (1) Medical Services Subprogram - Purchase of Medical Services from Other Medical Facilities	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Department of Corrections Prioritized Request Interagency Approval or Related Schedule 13s: No Other Agency Impact



Department Priority: R-01
Request Detail: Medical Caseload

Summary of Incremental Funding Change for FY 2020-21

	FY 2019-20	FY 2020-21	FY 2021-22
Total Funds	\$50,431,683	\$4,217,490	\$4,217,490
FTE	0.0		
General Fund	\$50,431,683	\$4,217,490	\$4,217,490
Cash Funds	\$0	\$0	\$0

Summary of Request:

The Department of Corrections (DOC) requests a General Fund (GF) increase of \$4,217,490 for FY 2020-21 in the Medical Services Subprogram appropriations based upon: (1) updated offender population projections, and (2) projected Per Offender Per Month (POPM) cost changes for purchased medical services. The Department projects a decreased need for medical services but an increased need for pharmaceutical services for FY 2020-21 as compared to the current FY 2019-20 funded population levels. The request reflects a projected decrease of 585 offenders receiving medical services and an increase of 89 offenders receiving pharmaceutical services. The Department also projects changes in rates charged for medical services, including an 8 percent estimated increase in outpatient services, a 24 percent estimated increase in inpatient services, and a 21 percent estimated increase in emergency room services. Together, this request represents an overall increase of 8.3 percent over the FY 2019-20 appropriations.

Current Program:

Medical services are structured to function like other managed care organizations, providing a full range of health care services. Primary medical care and dental care are provided within the prisons. Ancillary care and provider support are available through traditional services such as pharmacy, laboratory, and radiology. Health care services outside of DOC facilities are provided through a managed care network of specialty and institutional providers under contract with Correctional Health Partners (CHP), a third-party administrator. The Purchase of Medical Services line item includes specialty and inpatient care provided to offenders under the CHP contract.

Prison population changes affect medical services and drive caseloads. To estimate the population for these funding requests, the Department utilized both male and female population projections based on the Division of Criminal Justice (DCJ) Summer 2019 interim population forecast. The DOC jurisdictional population was adjusted to add offenders in the Youthful Offender System (YOS). The population details used for this request are found in Table 1.

The Department projects changes for both the medical services and the pharmaceutical populations for FY 2020-21 as compared to the current FY 2019-20 funded population levels. The request reflects a projected decrease of a total of (585) offenders for the medical services population and an increase of 89 for the pharmaceutical population when compared to the FY 2019-20 funded populations (Table 2).

The Department uses information regarding the demographics of the offender population as a tool in forecasting need for medical services. The Department has an aging offender population, and as such, must manage the potential for increased medical care needs in the future.

Problem or Opportunity:

The Department projects a decrease for the medical services and an increase in the pharmaceutical populations for FY 2020-21 as compared to the current FY 2019-20 funded population levels. The request reflects a projected decrease of a total of (585) offenders for the medical services and an increase of 89 offenders for the pharmaceutical population when compared to the FY 2019-20 funded populations (Table 2).

With the projected offender population and rising medical costs, funding adjustments are required in order to maintain adequate service levels to provide offender health care. CHP provides the POPM rates for purchased medical services. For FY 2020-21, the rate projected by CHP for purchased medical services is \$163.68 or an increase of \$23.45 over the FY 2019-20 funded rate. The changes in POPM rates for medical services (non-pharmaceuticals) from FY 2019-20 funded levels to the requested levels for FY 2020-21 are the result of an 8.2 percent estimated increase in outpatient services, an estimated increase in inpatient services of 24.1 percent, and an estimated increase of 21.1 percent in emergency room services. Emergency room service costs have increased by 40.6 percent over the last 12 months. For perspective, the top three offender visits to emergency rooms cost \$1,087,589 in FY 2018-19.

The Purchase of Pharmaceuticals line item includes all pharmaceutical expenses for offenders in DOC facilities including the Youthful Offender System (YOS). Offenders that are housed in private prisons, jails, and other non-DOC facilities are not included in the pharmaceutical

population. The POPM is derived from actual incurred expenses and projected expenses based on the cost of the Department's pharmaceutical formulary and pharmaceuticals prescribed by providers for offenders. When warranted, the Pharmaceutical POPM is adjusted based on inflationary pricing. Based on the April 2019 CPI-U as published by the U.S. Department of Labor, Bureau of Statistics, there has been a 0.3 percent increase in the price of pharmaceuticals from April 2018 to April 2019, with a 0.7 percent projected increase in CY 2019. Based on the nominal inflation rate, the Department will not request an inflationary adjustment to pharmaceuticals. With the offender population projected to increase, and no inflationary increase, the Department is requesting a \$93,461 increase in the Purchase of Pharmaceuticals line.

Proposed Solution:

The Department requests an increase of \$4,124,029 General Fund in FY 2020-21 to the Purchase of Medical Services from Other Medical Facilities, and an increase of \$93,461 in the Purchase of Pharmaceuticals line item.

Anticipated Outcomes:

The request for an increased appropriation of \$4,124,029 in the Purchase of Medical Services budget line will allow the Department to more accurately reflect the anticipated need for essential inpatient and outpatient medical care for offenders based on projected population changes and anticipated POPM rate changes. An increase of \$93,461 in the Purchase of Pharmaceuticals will bring the funding level in line with the increased offender population.

Assumptions and Calculations:

Health care costs fluctuate every year due to increased medical acuity levels of offenders, increases in utilization of medically necessary health care services by offenders, and changes in offender caseload. The Department used the projected offender population as determined by the Division of Criminal Justice (DCJ) Summer 2019 interim forecast. The Department calculates the projected POPM rate based on actual billing charges, projected contractual increases, inflation, and anticipated health care costs.

A managed care contract with Correctional Health Partners provides the Department with continuity of care, trending data, and offender medical cost analysis. For this FY 2020-21 request, the Department used a four percent increase over the FY 2019-20 funded CHP administrative fee of \$11.36 for a POPM of \$11.81, and a three percent increase for the security contract ($\$3.96 + 3 \text{ percent} = \4.08) as shown in Table 3. These are inflationary increases outlined in the managed care and security contracts.

Table 4 calculates the overall projected total funding need for FY 2020-21 by multiplying the projected POPM rates by the projected caseload, and then multiplying that result by 12 to calculate the annual need. The funding need for the Purchase of Medical Services from Other Medical Facilities line also includes the administrative and security fees outlined in Table 3.

Table 5 summarizes the estimated differences in requested FY 2020-21 funding compared to the current FY 2019-20 Long Bill appropriations.

Appendix:

Table 1: Summary of Offender Population Estimates for Line Items in the Medical Services Subprogram, FY 2020-21	
FY 2020-21 Male Average Daily Population (ADP) Projection*	16,324
FY 2020-21 Female ADP Projection*	1,606
DOC Jurisdictional Population	17,930
Plus YOS Population (rolling 12-month average)**	166
Medical Purchased Services Population	18,096
Private Prison Population (<i>reduction</i>)*	(3,188)
Pharmaceutical Population	14,908

* These figures reconcile with those in DOC's prison caseload request.

** DCJ projections do not include YOS.

Table 2: Changes in Offender Populations Covered by Medical Services and Pharmaceutical			
	Funded ADP FY 2019-20	Projected ADP FY 2020-21	Change in ADP
Pharmaceutical	14,819	14,908	89
Medical Services	18,681	18,096	(585)

Table 3: Change in POPM Rate			
	FY 2019-20 POPM	Projected FY 2020-21 POPM	Rate Change POPM
Purchase of Pharmaceuticals	\$87.51	\$87.51	\$0.00
Purchase of Medical Services from Other Medical Facilities**	\$140.23	\$163.68	\$23.45
Administrative Fees*** (FY 2019-20 Rate \$11.36 + 4% = \$11.81)	\$11.36	\$11.81	\$0.45
Security Contract**** (FY 2019-20 Rate \$3.96 + 3% = \$4.08)	\$3.96	\$4.08	\$0.12
Total Purchase of Medical Services from Other Medical Facilities	\$155.55	\$179.57	\$24.02

** Projected FY 2020-21 POPM Rates for both Purchase of Medical Services Expenses are based on May 31, 2019 Incurred But Not Reported (IBNR) projections from Correctional Health Partners

***Administrative Fee contractual increase of 4% over FY 2019-20 rates.

****Security Contract contractual increase of 3% over FY 2019-20 rates.

Table 4: Caseload and POPM Changes

	Projected POPM Rates FY 2020-21	Projected Caseload FY 2020-21	FY 2020-21 Projected Need*
Purchase of Pharmaceuticals	\$87.51	14,908	\$15,655,189
Purchase of Medical Services from Other Medical Facilities	\$163.68	18,096	\$35,543,439
Administrative Fees** (estimated 4% increase per contract)	\$11.81	18,096	\$2,564,565
Security Contract*** (estimated 3% increase per contract)	\$4.08	18,096	\$885,980
Total Purchase of Medical Services from Other Medical Facilities	\$179.57		\$38,993,984
Total			\$54,649,173

* Calculation: Projected POPM Rate * Projected Caseload * 12 months = Projected Need

**Administrative Fee contractual increase of 4% over FY 2019-20 rates.

***Security Contract contractual increase of 3% over FY 2019-20 rates.

Table 5: Estimated Need


	FY 2019-20 Long Bill Funding	FY 2020-21 Calculated Need	Estimated Difference in FY 2020-21
Purchase of Pharmaceuticals	\$15,561,728	\$15,655,189	\$93,461
Total Purchase of Medical Services from Other Medical Facilities	\$34,869,955	\$38,993,984	\$4,124,029
Total	\$50,431,683	\$54,649,173	\$4,217,490

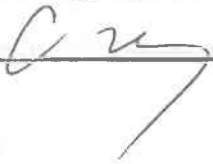
Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title
R-02 Nurse Staffing Pilot Program

Dept. Approval By:  _____ **Supplemental FY 2019-20**

OSPB Approval By:  _____ **Budget Amendment FY 2020-21**

_____ **X** _____ **Change Request FY 2020-21**

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
Total		\$141,936,888	\$0	\$147,828,858	\$216,919	\$211,925
FTE		389.1	0.0	389.5	1.9	2.0
Total of All Line Items Impacted by Change Request	GF	\$138,813,183	\$0	\$144,595,094	\$216,919	\$211,925
	CF	\$3,123,525	\$0	\$3,243,764	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
Total		\$60,376,258	\$0	\$63,397,270	\$20,084	\$20,084
FTE		0.0	0.0	0.0	0.0	0.0
01. Management, (A) Executive Director's Office Subprogram, (1) Executive Director's Office Subprogram - Health, Life, and Dental	GF	\$58,561,755	\$0	\$61,490,909	\$20,084	\$20,084
	CF	\$1,814,503	\$0	\$1,906,361	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Total		\$613,889	\$0	\$634,927	\$254	\$265
FTE		0.0	0.0	0.0	0.0	0.0
01. Management, (A) Executive Director's Office Subprogram, (1) Executive Director's Office Subprogram - Short-term Disability	GF	\$596,142	\$0	\$617,037	\$254	\$265
	CF	\$17,747	\$0	\$17,890	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$18,302,638	\$0	\$19,020,440	\$7,484	\$7,783
01. Management, (A)	FTE	0.0	0.0	0.0	0.0	0.0
Executive Director's Office Subprogram, (1)	GF	\$17,782,744	\$0	\$18,493,723	\$7,484	\$7,783
Executive Director's Office Subprogram -	CF	\$519,894	\$0	\$526,717	\$0	\$0
Amortization	RF	\$0	\$0	\$0	\$0	\$0
Equalization	FF	\$0	\$0	\$0	\$0	\$0
Disbursement						
	Total	\$18,302,638	\$0	\$19,020,440	\$7,484	\$7,783
01. Management, (A)	FTE	0.0	0.0	0.0	0.0	0.0
Executive Director's Office Subprogram, (1)	GF	\$17,782,744	\$0	\$18,493,723	\$7,484	\$7,783
Executive Director's Office Subprogram -	CF	\$519,894	\$0	\$526,717	\$0	\$0
Supplemental	RF	\$0	\$0	\$0	\$0	\$0
Amortization						
Equalization	FF	\$0	\$0	\$0	\$0	\$0
Disbursement						
	Total	\$40,099,143	\$0	\$41,536,082	\$167,407	\$174,110
02. Institutions, (E)	FTE	389.1	0.0	389.5	1.9	2.0
Medical Services Subprogram, (1) Medical	GF	\$39,847,656	\$0	\$41,270,003	\$167,407	\$174,110
Services Subprogram -	CF	\$251,487	\$0	\$286,079	\$0	\$0
Personal Services	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$2,579,952	\$0	\$2,580,052	\$1,000	\$1,000
02. Institutions, (E)	FTE	0.0	0.0	0.0	0.0	0.0
Medical Services Subprogram, (1) Medical	GF	\$2,579,952	\$0	\$2,580,052	\$1,000	\$1,000
Services Subprogram -	CF	\$0	\$0	\$0	\$0	\$0
Operating Expenses	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$9,406	\$0	\$0	\$9,406	\$0
02. Institutions, (E)	FTE	0.0	0.0	0.0	0.0	0.0
Medical Services Subprogram, (1) Medical	GF	\$9,406	\$0	\$0	\$9,406	\$0
Services Subprogram -	CF	\$0	\$0	\$0	\$0	\$0
Start-up Costs	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20	FY 2020-21		FY 2021-22	
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$14,512	\$0	\$0	\$2,900	\$0
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (G) Superintendents Subprogram, (1)	GF	\$14,512	\$0	\$0	\$2,900	\$0
Superintendents	CF	\$0	\$0	\$0	\$0	\$0
Subprogram - Start-up Costs	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

	Total	\$1,638,252	\$0	\$1,639,647	\$900	\$900
	FTE	0.0	0.0	0.0	0.0	0.0
03. Support Services, (D) Communications Subprogram, (1)	GF	\$1,638,252	\$0	\$1,639,647	\$900	\$900
Communications	CF	\$0	\$0	\$0	\$0	\$0
Subprogram - Operating Expenses	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data			
Requires Legislation?	NO		
Type of Request?	Department of Corrections Prioritized Request	Interagency Approval or Related Schedule 13s:	No Other Agency Impact



Department Priority: R-02
Request Detail: Nurse Staffing Pilot Program

Summary of Incremental Funding Change for FY 2020-21			
	FY 2019-20	FY 2020-21	FY 2021-22
Total Funds	\$39,728,651	\$216,919	\$211,925
FTE	384.5	1.9	2.0
General Fund	\$39,477,164	\$216,919	\$211,925
Cash Funds	\$251,487	\$0	\$0
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$0	\$0	\$0

Summary of Request:

The Department of Corrections (DOC) requests \$216,919 General Fund (GF) and 1.9 FTE in FY 2020-21 to create a nurse staffing pilot program at the Sterling Correctional Facility (SCF). This request annualizes to \$211,925 GF and 2.0 FTE in FY 2021-22. The purpose of the pilot program is to measure the benefits of establishing nurse educators for incarcerated offenders. The request increases the FY 2019-20 Medical Personal Services appropriation by 0.5 percent.

DOC sees an opportunity to reduce health care costs by offering nurse-patient education on conditions that DOC has identified to be the leading contributing factors to unscheduled, emergency medical transports outside of prison facilities. The nurse educators will promote behaviors that can improve health and reduce medical costs. For example, the nurse educators will focus on chronic care conditions, Medication Assisted Treatment, medication compliance, and symptom management.

Current Program:

The Medical Services subprogram includes centrally managed operations that provide acute and long-term health care services to all offenders in the DOC system using both state FTE personnel and contracted health care providers and facilities. Upon entering the DOC system, all offenders complete a comprehensive medical evaluation, including patient history and physical exam, immunizations, blood testing, and other diagnostic tests, and are assigned a medical classification code (M1 – M5) prior to permanent assignment to a DOC facility. The FY 2019-20 Long Bill spending authorities for the Medical Services subprogram are \$115,577,776 GF and \$252,401 cash funds for total spending authority of \$115,830,177.

Problem or Opportunity:

The Department is experiencing significant growth in the utilization of external medical care with a corresponding increase in the costs to provide this care. All areas of external care have increased, including the use of specialists, outpatient facilities, hospitalizations, and emergency facilities. The primary driver for the increase in external care is the increasing prison population with a medical classification code for moderate to severe medical needs (M3 – M5) as shown in Table 1. As a result, DOC medical staff are unable to keep up with the demand for their services, including the management of offenders’ chronic medical conditions. This has led to offenders developing complications with their chronic medical conditions that require specialty care or hospitalization.

Table 1: Moderate to Severe Medical Needs

	FY 2008	FY 2010	FY 2012	FY 2014	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020*
Males	15.0%	15.0%	21.0%	27.0%	36.0%	31.1%	27.1%	27.5%	28.3%
Females	21.0%	18.0%	44.0%	72.0%	71.0%	58.6%	55.1%	49.6%	46.0%
Total	15.0%	15.0%	23.0%	32.0%	39.0%	33.8%	29.8%	29.8%	30.1%

* FY 2020 is as of September 30, 2019

Source: Colorado Department of Corrections Annual Statistical Reports

Proposed Solution:

The Department proposes adding 2.0 Nurse I FTE at the Sterling Correctional Facility to create a nurse staffing pilot program. The purpose of the pilot program is to measure the benefits of establishing nurse educators for incarcerated offenders. This facility was selected because it is DOC’s largest facility (2,488 beds) and therefore has a high number of offenders who can benefit from increased education on chronic care management. As of August 31, 2019, this facility housed 384 offenders 55 years of age and older. There are currently 192 offenders that are Medical Needs Level 3 and 68 are identified as Medical Needs Level 4. This facility has 1,170 offenders with a chronic care diagnosis, 678 with a cardiac history, and 77 with a seizure diagnosis.

The nurse educators will enable a preventative focus for the medical staff rather than a reactive posture that often results in high cost external care. The two nurse educator positions will focus on, but not be restricted to, chronic care conditions (diabetes, circulatory, seizures, hypertension, nutrition, exercise), medication teaching (parole medications, errors, medication changes and

updates), Medication Assisted Treatment (MAT) groups, medication compliance, and symptom management.

This request supports the Governor's bold goal of reducing the cost of healthcare. The Department has been challenged to support this goal by finding ways to improve the overall health of offenders and reduce General Fund costs associated with offender healthcare. DOC sees an opportunity to reduce health care costs by offering nurse-patient education on conditions that DOC has identified to be the leading contributing factors to unscheduled, emergency medical transports outside of prison facilities.

Anticipated Outcomes:

Implementation of the pilot program will address the Governor's bold health care cost reduction initiative by offering nurse-patient education on the conditions that DOC has identified to be the leading factors contributing to unscheduled, emergency medical transports outside of prison facilities. This will allow offenders to be an active participant in their medical care and preventative health care. It is anticipated that these action steps will decrease self-declared medical emergencies, subsequent unscheduled transports, and emergency room utilization.

The addition of dedicated nurse educators/trainers will also allow for improved staff education and competency. The nurse educators/trainers will become the subject matter experts for increasing quality outcomes based on best practices established within the community healthcare arena. Dedicated nurse educators/trainers will allow for more consistent review of trends directly from patient care scenarios. These nurse educators/trainers will provide trainings for staff nurses relating to focused, symptom/condition-based assessments as well as basic skills refreshers. The education sessions would lead to improved professional confidence in skills that are needed for responding to patient complaints as well as more urgent/emergent situations. These nurse educators/trainers would be resources for the staff nurses to problem solve issues and potentially have a more in-depth assessment for patients prior to going to the on-site medical providers.

Staff will receive updates on internal quality control trends and address education needs accordingly. Nursing staff have a scheduled meeting each month to share information as well as receive updates based on departmental changes, happenings, etc. The nurse educators/trainers would be expected to provide an identified nursing skill for review and/or hands on demonstration for competency in this forum. They would also provide an overview of trends relating to conditions (chronic or acute) and appropriate interventions that would be beneficial for knowledge building for nurses. In addition, the facility Health Services Administrator holds quarterly Continuous Quality Improvement meetings. The nurse educators/training would provide information from the patient interactions as well as the staff trainings. There would also be data available from the quality assurance audits on how these deficiencies are being addressed by the nurse educators/trainers.

Once implemented, the Department will measure performance over the course of one year to determine the impact of using nurse educators in the field. Based on this proposal, DOC expects to see the following measurable outcomes:

1. Decreased emergency unscheduled transports.
2. Decreased offender self-declared emergencies.

3. Additional chronic care and nurse sick call appointments.
4. Improved offender medication compliance.
5. Decreased medication and treatment errors.
6. Decrease in offender medical kites.

Assumptions and Calculations:

The Department received a funding increase in FY 2018-19 that allows new hire nurses to start at range midpoint in order to competitively recruit for healthcare professionals. Using the FY 2019-20 Pay Plan, the range midpoint monthly salary for the Nurse I classification is \$6,486. The salary figures in Table 2 in the Appendix also include employer PERA contributions calculated at 10.4 percent of salary, and Medicare contributions at 1.45 percent of salary. The AED and SAED rates are 5 percent of salary while the short-term disability rate is calculated at 0.17 percent of salary. Finally, the health/life/dental insurance rate is calculated at \$10,042 per year for each new employee.

The Department follows the common policy of increasing the Medical Operating appropriation by \$500 for each new FTE. The Communications Operating appropriation similarly increases by \$450 per FTE. Table 4 provides the FTE start-up costs. The Department requires computers, software, and office furniture for the two new positions. The Department uses the standard cost of \$1,230 for the computer and software, and \$3,473 for office furniture, for a combined rate of \$4,703 for the two new positions. In addition, the Department requires all new staff to attend the Corrections Training Academy (CTA) located in Canon City. Since the Sterling Correctional Facility is outside the commuting distance, the new staff will be in travel status during the week while attending the CTA. The average cost of lodging and per diem for each new staff in travel status is \$1,450 for a total cost of \$2,900.

Table 5 provides a summary of personal services, operating, and start-up costs for this request in FY 2020-21 and FY 2021-22.

Appendix:

FY 2020-21	FTE	Total Salary*	AED	SAED	STD	HLD	Total
Nurse I	1.9	\$167,407	\$7,484	\$7,484	\$254	\$20,084	\$202,713
FY 2021-22	FTE	Total Salary*	AED	SAED	STD	HLD	Total
Nurse I	2.0	\$174,110	\$7,783	\$7,783	\$265	\$20,084	\$210,025

* Total salary includes employer PERA and Medicare contributions

Subprogram	Per FTE	# FTE	Funds
2E - Medical Operating	\$500	2.0	\$1,000
3D - Communications Operating	\$450	2.0	\$900
Total Operating			\$1,900

Table 4: FY 2020-21 FTE Start-up			
Subprogram	Per FTE	# FTE	Funds
2E - Medical Start-up	\$4,703	2.0	\$9,406
2G - Superintendents Start-up	\$1,450	2.0	\$2,900
Total Start-up			\$12,306

Table 5: Nurse Staffing Pilot Program Summary				
Subprogram	FY 2020-21		FY 2021-22	
	FTE	General Fund	FTE	General Fund
1A - HLD		\$20,084		\$20,084
1A - AED		\$7,484		\$7,783
1A - SAED		\$7,484		\$7,783
1A - STD		\$254		\$265
2E - Medical Personal Services	1.9	\$167,407	2.0	\$174,110
2E - Medical Operating		\$1,000		\$1,000
2E - Medical Start-up		\$9,406		\$0
2G - Superintendents Start-up		\$2,900		\$0
3D - Communications Operating		\$900		\$900
Total	1.9	\$216,919	2.0	\$211,925

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title

R-03 Reducing Private Prison Use

Dept. Approval By: 

Supplemental FY 2019-20

OSPB Approval By: 

Budget Amendment FY 2020-21

X

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$619,645,315	\$0	\$655,661,294	\$7,201,864	\$6,124,180
	FTE	5,448.7	0.0	5,450.0	210.4	210.4
Total of All Line Items Impacted by Change Request	GF	\$809,781,208	\$0	\$845,630,219	\$7,037,544	\$6,959,860
	CF	\$8,328,796	\$0	\$8,451,699	\$184,320	\$184,320
	RF	\$1,555,913	\$0	\$1,479,478	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$80,376,258	\$0	\$83,397,270	\$2,138,946	\$2,138,946
	FTE	0.0	0.0	0.0	0.0	0.0
01. Management, (A) Executive Director's Office Subprogram, (1) Executive Director's Office Subprogram - Health, Life, and Dental	GF	\$58,561,755	\$0	\$61,490,909	\$2,138,946	\$2,138,946
	CF	\$1,814,503	\$0	\$1,908,361	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

	Total	\$613,889	\$0	\$634,927	\$20,678	\$20,678
	FTE	0.0	0.0	0.0	0.0	0.0
01. Management, (A) Executive Director's Office Subprogram, (1) Executive Director's Office Subprogram - Short-term Disability	GF	\$596,142	\$0	\$617,037	\$20,678	\$20,678
	CF	\$17,747	\$0	\$17,890	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20	FY 2020-21	FY 2021-22		
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$18,302,638	\$0	\$19,020,440	\$608,179	\$608,179
01. Management, (A)	FTE	0.0	0.0	0.0	0.0	0.0
Executive Director's Office Subprogram, (1)	GF	\$17,782,744	\$0	\$18,493,723	\$608,179	\$608,179
Executive Director's Office Subprogram - Amortization	CF	\$519,894	\$0	\$526,717	\$0	\$0
Equalization	RF	\$0	\$0	\$0	\$0	\$0
Disbursement	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$18,302,638	\$0	\$19,020,440	\$608,179	\$608,179
01. Management, (A)	FTE	0.0	0.0	0.0	0.0	0.0
Executive Director's Office Subprogram, (1)	GF	\$17,782,744	\$0	\$18,493,723	\$608,179	\$608,179
Executive Director's Office Subprogram - Supplemental Amortization	CF	\$519,894	\$0	\$526,717	\$0	\$0
Equalization	RF	\$0	\$0	\$0	\$0	\$0
Disbursement	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$67,116,051	\$0	\$66,932,671	\$486,406	\$486,406
01. Management, (B)	FTE	0.0	0.0	0.0	0.0	0.0
External Capacity Subprogram, (2)	GF	\$64,916,051	\$0	\$64,732,671	\$486,406	\$486,406
Payments to House State Prisoners - Payments to In-State Private Prisons	CF	\$2,200,000	\$0	\$2,200,000	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$14,788,512	\$0	\$14,746,126	(\$13,662,542)	(\$14,740,226)
01. Management, (B)	FTE	0.0	0.0	0.0	0.0	0.0
External Capacity Subprogram, (2)	GF	\$14,788,512	\$0	\$14,748,128	(\$13,662,542)	(\$14,740,226)
Payments to House State Prisoners - Payments to Pre-Release Parole Revocation Facilities	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$121,151	\$0	\$121,151	(\$121,151)	(\$121,151)
01. Management, (B)	FTE	0.0	0.0	0.0	0.0	0.0
External Capacity Subprogram, (2)	GF	\$121,151	\$0	\$121,151	(\$121,151)	(\$121,151)
Payments to House State Prisoners - Benefit Programs at Prerelease Parole Revocation Facilities	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$4,368,414	\$0	\$4,507,109	\$82,645	\$82,645
	FTE	48.2	0.0	48.2	1.0	1.0
01. Management, (C) Inspector General Subprogram, (1)	GF	\$4,262,181	\$0	\$4,400,876	\$82,645	\$82,645
Inspector General Subprogram - Personal Services	CF	\$106,233	\$0	\$106,233	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$429,617	\$0	\$429,667	\$15,800	\$15,800
	FTE	0.0	0.0	0.0	0.0	0.0
01. Management, (C) Inspector General Subprogram, (1)	GF	\$346,430	\$0	\$346,480	\$15,800	\$15,800
Inspector General Subprogram - Operating Expenses	CF	\$83,187	\$0	\$83,187	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$22,062,941	\$0	\$22,062,941	\$915,768	\$915,768
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (A) Utilities Subprogram, (1)	GF	\$20,658,871	\$0	\$20,658,871	\$915,768	\$915,768
Utilities Subprogram - Utilities	CF	\$1,404,070	\$0	\$1,404,070	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$21,441,109	\$0	\$22,429,426	\$919,742	\$919,742
	FTE	276.8	0.0	276.8	14.0	14.0
02. Institutions, (B) Maintenance Subprogram, (1)	GF	\$21,441,109	\$0	\$22,429,426	\$919,742	\$919,742
Maintenance Subprogram - Personal Services	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$7,114,522	\$0	\$7,114,522	\$221,200	\$221,200
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (B) Maintenance Subprogram, (1)	GF	\$7,114,522	\$0	\$7,114,522	\$221,200	\$221,200
Maintenance Subprogram - Operating Expenses	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$185,089,091	\$0	\$209,331,770	\$8,041,666	\$8,041,666
	FTE	2,980.6	0.0	2,981.2	127.9	127.9
02. Institutions, (C) Housing and Security Subprogram, (1)	GF	\$185,086,144	\$0	\$209,328,823	\$8,041,666	\$8,041,666
Housing and Security Subprogram - Personal Services	CF	\$2,947	\$0	\$2,947	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$1,852,341	\$0	\$1,852,341	\$252,800	\$252,800
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (C) Housing and Security Subprogram, (1)	GF	\$1,852,341	\$0	\$1,852,341	\$252,800	\$252,800
Housing and Security Subprogram - Operating Expenses	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$20,446,510	\$0	\$21,776,644	\$323,866	\$323,866
	FTE	317.8	0.0	317.8	5.0	5.0
02. Institutions, (D) Food Service Subprogram, (1) Food Service Subprogram - Personal Services	GF	\$20,446,510	\$0	\$21,776,644	\$323,866	\$323,866
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$18,015,818	\$0	\$18,015,818	\$1,008,040	\$1,008,040
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (D) Food Service Subprogram, (1) Food Service Subprogram - Operating Expenses	GF	\$18,015,818	\$0	\$18,015,818	\$1,008,040	\$1,008,040
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$40,099,143	\$0	\$41,536,082	\$2,082,113	\$2,082,113
	FTE	389.1	0.0	389.5	22.7	22.7
02. Institutions, (E) Medical Services Subprogram, (1) Medical Services Subprogram - Personal Services	GF	\$39,847,656	\$0	\$41,270,003	\$2,082,113	\$2,082,113
	CF	\$251,487	\$0	\$266,079	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$2,579,952	\$0	\$2,580,052	\$115,024	\$115,024
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (E) Medical Services	GF	\$2,579,952	\$0	\$2,580,052	\$115,024	\$115,024
Subprogram, (1) Medical Services Subprogram - Operating Expenses	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$2,606,790	\$0	\$2,745,750	\$66,277	\$66,277
	FTE	37.4	0.0	37.4	1.0	1.0
02. Institutions, (F) Laundry Subprogram, (1) Laundry Subprogram - Personal Services	GF	\$2,606,790	\$0	\$2,745,750	\$66,277	\$66,277
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$2,197,545	\$0	\$2,197,545	\$98,592	\$98,592
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (F) Laundry Subprogram, (1) Laundry Subprogram - Operating Expenses	GF	\$2,197,545	\$0	\$2,197,545	\$98,592	\$98,592
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$11,669,599	\$0	\$12,049,337	\$314,329	\$314,329
	FTE	156.9	0.0	156.9	5.0	5.0
02. Institutions, (G) Superintendents Subprogram, (1) Superintendents Subprogram - Personal Services	GF	\$11,669,599	\$0	\$12,049,337	\$314,329	\$314,329
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$5,202,001	\$0	\$5,202,001	\$268,600	\$268,600
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (G) Superintendents Subprogram, (1) Superintendents Subprogram - Operating Expenses	GF	\$5,202,001	\$0	\$5,202,001	\$268,600	\$268,600
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$17,946,764	\$0	\$18,535,550	\$538,093	\$538,093
	FTE	248.2	0.0	248.3	9.0	9.0
02. Institutions, (I) Case Management Subprogram, (1) Case Management	GF	\$17,946,764	\$0	\$18,535,550	\$538,093	\$538,093
Subprogram - Personal Services	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$173,081	\$0	\$173,081	\$15,800	\$15,800
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (I) Case Management Subprogram, (1) Case Management	GF	\$173,081	\$0	\$173,081	\$15,800	\$15,800
Subprogram - Operating Expenses	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$11,151,627	\$0	\$11,519,509	\$370,242	\$370,242
	FTE	154.0	0.0	154.1	6.0	6.0
02. Institutions, (J) Mental Health Subprogram, (1) Mental Health Subprogram - Personal Services	GF	\$11,151,627	\$0	\$11,519,509	\$370,242	\$370,242
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$281,266	\$0	\$281,266	\$31,600	\$31,600
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (J) Mental Health Subprogram, (1) Mental Health Subprogram - Operating Expenses	GF	\$281,266	\$0	\$281,266	\$31,600	\$31,600
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$2,376,618	\$0	\$2,376,618	\$141,568	\$141,568
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (K) Inmate Pay, (1) Inmate Pay - Inmate Pay	GF	\$2,376,618	\$0	\$2,376,618	\$141,568	\$141,568
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$6,506,341	\$0	\$6,700,946	\$50,288	\$50,288
	FTE	99.8	0.0	99.8	1.0	1.0
03. Support Services, (A) Business Operations Subprogram, (1)	GF	\$5,317,843	\$0	\$5,585,721	\$50,288	\$50,288
Business Operations Subprogram - Personal Services	CF	\$44,200	\$0	\$46,764	\$0	\$0
	RF	\$1,144,298	\$0	\$1,068,481	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$14,105,285	\$0	\$14,569,337	\$434,592	\$434,592
	FTE	194.0	0.0	194.1	7.0	7.0
04. Inmate Programs, (B) Education Subprogram, (1)	GF	\$14,105,285	\$0	\$14,569,337	\$434,592	\$434,592
Education Subprogram - Personal Services	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$4,521,663	\$0	\$4,521,663	\$158,000	\$158,000
	FTE	0.0	0.0	0.0	0.0	0.0
04. Inmate Programs, (B) Education Subprogram, (1)	GF	\$2,817,246	\$0	\$2,817,246	\$0	\$0
Education Subprogram - Operating Expenses	CF	\$1,293,402	\$0	\$1,293,402	\$158,000	\$158,000
	RF	\$411,015	\$0	\$411,015	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$7,732,383	\$0	\$8,241,368	\$418,814	\$418,814
	FTE	116.7	0.0	116.7	6.8	6.8
04. Inmate Programs, (C) Recreation Subprogram, (1)	GF	\$7,732,383	\$0	\$8,241,368	\$418,814	\$418,814
Recreation Subprogram - Personal Services	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$71,232	\$0	\$71,232	\$6,320	\$6,320
	FTE	0.0	0.0	0.0	0.0	0.0
04. Inmate Programs, (C) Recreation Subprogram, (1)	GF	\$0	\$0	\$0	\$0	\$0
Recreation Subprogram - Operating Expenses	CF	\$71,232	\$0	\$71,232	\$6,320	\$6,320
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$5,589,854	\$0	\$5,771,752	\$114,274	\$114,274
	FTE	85.4	0.0	85.4	2.0	2.0
04. Inmate Programs, (D) Drug and Alcohol Treatment Subprogram, (1) Drug and Alcohol Treatment Subprogram - Personal Services	GF	\$5,589,854	\$0	\$5,771,752	\$114,274	\$114,274
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$110,932	\$0	\$110,932	\$6,952	\$6,952
	FTE	0.0	0.0	0.0	0.0	0.0
04. Inmate Programs, (D) Drug and Alcohol Treatment Subprogram, (1) Drug and Alcohol Treatment Subprogram - Operating Expenses	GF	\$110,932	\$0	\$110,932	\$6,952	\$6,952
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$19,007,465	\$0	\$19,625,983	\$51,609	\$51,609
	FTE	302.2	0.0	302.2	1.0	1.0
05. Community Services, (A) Parole Subprogram, (1) Parole Subprogram - Personal Services	GF	\$19,007,465	\$0	\$19,625,983	\$51,609	\$51,609
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$2,615,820	\$0	\$2,615,820	\$500	\$500
	FTE	0.0	0.0	0.0	0.0	0.0
05. Community Services, (A) Parole Subprogram, (1) Parole Subprogram - Operating Expenses	GF	\$2,615,820	\$0	\$2,615,820	\$500	\$500
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$2,612,252	\$0	\$2,594,003	\$57,756	\$57,756
	FTE	41.6	0.0	41.6	1.0	1.0
05. Community Services, (C) Community Re-entry Subprogram, (i) Community Re-entry Subprogram - Personal Services	GF	\$2,612,252	\$0	\$2,594,003	\$57,756	\$57,756
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$146,202	\$0	\$146,202	\$500	\$500
05. Community Services, (C)	FTE	0.0	0.0	0.0	0.0	0.0
Community Re-entry Subprogram, (1)	GF	\$146,202	\$0	\$146,202	\$500	\$500
Community Re-entry Subprogram - Operating Expenses	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data			
Requires Legislation?	YES		
Type of Request?	Department of Corrections Prioritized Request	Interagency Approval or Related Schedule 13a:	No Other Agency Impact



Department Priority: R-03
Request Detail: Reducing Private Prison Use

Summary of Incremental Funding Change for FY 2020-21			
	FY 2019-20	FY 2020-21	FY 2021-22
Total Funds	\$0	\$7,201,864	\$6,124,180
FTE	0.0	210.4	210.4
General Fund	\$0	\$7,037,544	\$5,959,860
Cash Funds	\$0	\$164,320	\$164,320
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$0	\$0	\$0

Summary of Request:

The Department of Corrections (DOC) is requesting an increase of 210.4 FTE, \$7,037,544 General Fund (GF) and \$164,320 in cash funds (CF) spending authority in fiscal year (FY) 2020-21 across various subprograms. Total funds requested across all fund sources equals \$7,201,864. The General Fund portion of this request annualizes to \$5,959,860 in FY 2021-22, bringing the total funds out-year request to \$6,124,180. This ongoing request proposes the utilization of two towers in the currently vacant Centennial Correctional Facility – South (CCF-S) while simultaneously reducing the use of private prison beds. DOC anticipates submitting an FY 2019-2020 supplemental request associated with this decision item to begin transition activities in the current year.

The Department is committed to reducing recidivism. State facilities offer a broader variety of programming opportunities for offenders than what is offered at private facilities. Successful completion of multiple types of programming, including basic life skills, educational achievement, and specific job training have been linked to higher chances of success at reintegrating into society. Closing the Cheyenne Mountain Re-entry Center by opening two towers in CCF-S will reduce the State's reliance on private prisons and enable improved re-entry programming for offenders who are at a higher risk of returning to prison.

Current Program:

The External Capacity subprogram includes funding to house overflow offenders when the prison population exceeds the capacity of state facilities. In the FY 2019-20 Long Bill, the Department received funding to house offenders in 3,862 private prison beds among the three private facilities with whom the Department contracts for these services: Bent County Correctional Facility (BCCF - capacity 1,388 beds), Crowley County Correctional Facility (CCCF – capacity 1,800 beds), and Cheyenne Mountain Re-entry Center (CMRC – capacity 700 beds).

The Department utilizes private prison beds when the need to house offenders exceeds the state facility prison capacity, less a two percent vacancy rate as recommended by the Prison Utilization Study (PUS). As of this writing, male state bed capacity is 12,511 beds (total number of male state beds: 12,767, less two percent = 12,511), while female state bed capacity is 1,657 (total number of female state beds: 1,691, less two percent = 1,657).

CCF-S is a 948-bed facility constructed in 2010 with one tower occupied in August 2010 as an administrative segregation (ad seg) facility. The Department’s ad seg population peaked in 2011; new reforms have successfully reduced that population and the offenders were moved to other facilities in 2012. The integrated infrastructure systems allowed the food service, laundry, medical clinic, and mental health offices to remain open to serve the population needs in CCF North campus.

The CCF-S campus was closed through House Bill (HB) 12-1337 during the 2012 legislative session. Senate Bill (SB) 19-259 allowed for the utilization of up to 126 beds if the state male prison bed vacancy rate, excluding residential treatment beds, remains below one percent for two consecutive months, after the Department exhausts all other options pursuant to C.R.S. 17-1-119.7. This section of statute is scheduled to repeal on September 1, 2020. As of this writing, the Department has not had to utilize any beds at CCF-S under the provisions of SB 19-259.

Problem or Opportunity:

When preparing funding requests for private prison beds, the Department historically reviews prison population forecasts prepared by both Legislative Council Staff (LCS) and the Division of Criminal Justice (DCJ). LCS publishes its forecast annually in December, whereas DCJ publishes an interim summer forecast in addition to its annual December forecast. Table 1 below compares the two most recent DCJ forecasts.

End of Fiscal Year	Dec 2018 Forecast	June 2019 Interim Forecast*	Projected Growth from June 2019
2019	20,144	19,951	
2020	20,950	19,863	(88)
2021	21,607	19,763	(188)
2022	22,406	19,775	(176)
2023	23,015	20,039	88

End of Fiscal Year	Dec 2018 Forecast	June 2019 Interim Forecast*	Projected Growth from June 2019
2024	23,695	20,430	479
2025	24,261	20,878	927

*2019 number reflects June 30, 2019 actual

As reflected in Table 1, DCJ significantly revised the population forecast due to recent policy changes, new legislation, and changes in patterns of admissions and discharges since the December forecast. Based on the June 2019 interim population forecast, the Department will be housing a decreasing number of offenders in FY 2020-21. The total population is expected to be 188 lower by June 30, 2021 compared to the June 30, 2019 actual population. This change represents a 0.9 percent decrease over a two-year period.

Proposed Solution:

To effectively manage prison capacity, the Department proposes a multi-level approach. The first step involves the utilization of two towers (632 beds) at CCF-S. Beginning in July 2020, the Department intends to transfer close-custody offenders from the Buena Vista (BVCF) and Sterling (SCF) Correctional Facilities to CCF-S. The close-custody offenders at the Limon Correctional Facility (LCF) will also be transferred to CCF-S to the extent beds are available. Simultaneously, the Department intends to phase out the utilization of beds at CMRC, with all offenders being removed from that facility by August 31, 2020. The vacated beds at BVCF, SCF, and LCF would then be available to accept medium-custody offenders from CMRC.

The original design of CCF-S makes it a logical facility to centralize the Department's close-custody male offender population. Although two towers at CCF-S contain 632 beds, state operational capacity would increase by only 617 beds, as 15 beds would be used for restrictive housing purposes; those beds do not count towards operational capacity.

Because the Department is only allowed to house offenders at CCF-S under the parameters of SB 19-259, which will repeal in September 2020, the Department intends to seek permission from the General Assembly during the 2020 legislative session in order to allow for the permanent housing of offenders at CCF-S.

Anticipated Outcomes:

If approved, DOC will have the operational capacity to house the projected offender population in FY 2020-21. Having an appropriate bed available at the correct custody level for offenders allows the Department to provide for the safe and efficient housing of offenders.

An additional benefit to this proposal relates to staffing at BVCF, SCF, and LCF. These facilities historically have had difficulty hiring and retaining staff due to their outlying locations. Relocating close-custody offenders from these facilities to CCF-S serves to soften the yards at the three facilities that have the highest number of staffing vacancies. Close-custody offenders reach that level of management, almost entirely, because of violent or other dangerous behaviors and continued criminal actions in willful opposition to programming and behavioral expectations

provided to each offender. Any facility that houses close-custody offenders continually must manage these continued behaviors, which is resource-intensive and impactful on overall facility operations. Additionally, facilities must separate the close-custody population from lower custody levels whenever possible, which often prevents expanded programming, recreational access, and other opportunities for lower custody offenders housed in the same facility. Opportunities for close-custody offenders to receive targeted programming and interventions to disrupt their behaviors are also limited due to resource sharing with other custody levels, including programs, clinical staff, and building access (close-custody offenders cannot program with lower custody levels, which limits class time and access for everyone in order to accommodate separate schedules).

The consolidation of close custody offenders at CCF-S also allows for focused re-entry programming for a population that is at higher risk to recidivate. Consolidation of close-custody offenders at CCF-S will allow for dedicated programming and resources to be applied exclusively to that population. Currently, programming is limited in all facilities that house close-custody offenders due to the need to provide access to programs and services to multiple custody levels. Close custody re-entry programming is currently located at Fremont Correctional Facility, a mixed population facility housing medium and close offenders. This mixed population allows for only one pod dedicated to close custody re-entry (48 offenders) and serves high/medium risk offenders who will soon be returning to society. Consolidating the population at CCF-S will allow for focused re-entry programming on a much larger scale, as limitations imposed by a mixed custody population will not be present and close-custody bed space availability will not be an issue.

Finally, the relocation of offenders from CMRC to other state facilities will allow for more robust re-entry services than are generally available at private facilities. Private prisons are required by contract to provide services and programming required by DOC, to include re-entry services. However, these facilities have consistent issues with maintaining appropriate staffing levels, which often impacts delivery of programming. Moving offenders from CMRC will allow for greatly increased and, more importantly, consistent re-entry services that DOC provides with better staffing levels and experienced program providers who have longevity within the Department.

Assumptions and Calculations:

Bed Needs

The starting point for calculating offender bed requirements is the DCJ June 2019 interim prison population forecast. Since DCJ’s projected prison population includes offenders housed in both prison and community corrections facilities, the Department must estimate the number of offenders that will require a prison bed for the purposes of this funding request. The Department uses a rolling 3-month average to estimate both the male and female prison facility populations. These calculations are outlined below in Table 2.

Table 2: Rolling 3 Month Averages				
<i>Males</i>	March	April	May	Average
Total Pop.	18,115	18,058	17,959	18,044
# in Facility	16,490	16,543	16,494	16,509
% in Facility	91.0%	91.6%	91.8%	91.5%

Table 2: Rolling 3 Month Averages				
<i>Females</i>	March	April	May	Average
Total Pop.	2,025	2,033	2,010	2,023
# in Facility	1,633	1,653	1,655	1,647
% in Facility	80.6%	81.3%	82.3%	81.4%

Next, the Department applied the above percentages to project the average daily population (ADP) for male and female prison facility beds as illustrated in Tables 3 and 4 below.

Table 3: Male Population Projection			
(DCJ June 2019 Interim Forecast)			
End of Month:	Total Pop. Projection	Facility Population (91.5% of total pop.)	Projected Facility ADP
June 2019	18,000	16,470	
June 2020	17,871	16,352	16,411
June 2021	17,809	16,295	16,324

Table 4: Female Population Projection			
(DCJ June 2019 Interim Forecast)			
End of Month:	Total Pop. Projection	Facility Population (81.4% of total pop.)	Projected Facility ADP
June 2019	2,034	1,656	
June 2020	1,992	1,621	1,639
June 2021	1,953	1,590	1,606

Once the ADP for facility beds is determined, it is compared to the Department's current operational capacity including both state and private facility beds. The Department continues to factor in a two percent vacancy rate for state beds for offender management of incidents in the facility or offender movements between facilities or release.

Table 5a below outlines the need for male prison beds in FY 2020-21. The proposed capacity for state facilities reflects the addition of beds at CCF-S. Even though the resulted private facility bed need equates to 3,208 beds, BCCF and CCCF have a combined total capacity of only 3,188 beds. The Department was funded for 3,165 beds at those two facilities in the FY 2019-20 Long Bill. Therefore, the Department is requesting funding for 23 additional male private prison beds in FY 2020-21 as outlined in Table 5b.

Table 5a: Male Bed Calculations	
Current Capacity:	Total Beds
State Facilities	12,791
Private Facilities (FY 2019-20 Funded Level)	3,862
Total Operational Capacity	16,653
Forecasted Population (FY 2020-21 ADP)	16,324
Proposed Capacity:	
State Facilities	13,384
Less: Vacancy Rate Adjustment (2%)	(268)
Subtotal State Facilities	13,116
Private Facilities	3,208
Total Proposed Capacity	16,324

Table 5b: Male Private Prison Bed Need	
Current Funded Level for BCCF and CCCF	3,165
Total Capacity at BCCF and CCCF	3,188
Additional Beds Needed in FY 2020-21	23
x Current Per Diem	\$57.94
x Number of Days/Year	365
Total Cost of Male Private Prison Bed Need	\$486,406

In order to estimate the FY 2020-21 costs for CMRC, the Department made assumptions about the rate at which beds will be phased out during July and August 2020. Table 6 outlines the details. The Department first removed the funding for the entire fiscal year (697 current funded beds x \$57.94 per diem x 365 days = \$14,740,226 reduction). Next, the Department assumed that 450 beds would be utilized in July and 150 in August. The cost of those required beds was netted against the full-year reduction of \$14,740,226, resulting in an overall savings in that appropriation of \$13,662,542 in FY 2020-21.

Table 6: CMRC Need in FY 2020-21	
Remove Full Year CMRC Funding in FY 2020-21/(a)	(\$14,740,226)
Estimated Beds Used in July 2020	450
x Current Per Diem	\$57.94
x Number of Days in July	31
Cost of CMRC Beds in July/(b)	\$808,263
Estimated Beds Used in August 2020	150
x Current Per Diem	\$57.94

Table 6: CMRC Need in FY 2020-21	
x Number of Days in August	31
Cost of CMRC Beds in August/(c)	\$269,421
Total Cost of CMRC in FY 2020-21/(b) + (c) = (d)	\$1,077,684
Net CMRC Savings in FY 2020-21/(a) + (d)	(\$13,662,542)

Ending the Department's use of beds at CMRC will also result in elimination of a funding need in the Inmate Education and Benefit Programs at Pre-Release Parole and Revocation Facilities appropriation in the External Capacity subprogram. The Department is reflecting this reduction of \$121,151 GF in both FY 2020-21 and FY 2021-22.

Table 7 outlines the beds for female offenders in FY 2020-21 based on the new ADPs. There is a controlled maintenance project to replace the electronic door security system at Denver Women's Correctional Facility (DWCF) scheduled to begin in July 2020. This project will result in up to 48 beds being taken offline throughout the fiscal year. Based upon the most recent projections as outlined below, the Department should be able to absorb these beds being taken offline within existing capacity.

Table 7: Female Bed Calculations	
Current Capacity:	Total Beds
State Facilities	1,691
Private Facilities (Local Jails)	
Total Operational Capacity	1,691
Forecasted Population (FY 2020-21 ADP)	
	1,606
Proposed Capacity:	
State Facilities	1,691
Less: Vacancy Rate Adjustment (2%)	(34)
Subtotal State Facilities	1,657
Private Facilities (Local Jails)	0
Total Proposed Capacity	1,657

Staff

FTE: 210.4 FTE – Costs are based on a full 12 months of personal services. The Department will need to bring staff members online before offenders start arriving at CCF-S in July 2020; those needs will be addressed in a FY 2019-20 supplemental request

The following FTE would be required to operate two towers at CCF-S:

Management/Support

- 1.0 Warden
- 2.0 Program Management I (Physical Plant Manager; Custody/Control Manager)

- 1.0 Hearings Officer (Correctional Officer III)
- 1.0 Criminal Investigator II
- 1.0 Accounting Tech III
- 3.0 Administrative Assistant III

Subtotal: 9.0

Physical Plant

- 1.0 Correctional Support Trades Supervisor III
- 3.0 Correctional Support Trades Supervisor II
- 7.0 Correctional Support Trades Supervisor I
- 1.0 Electronics Specialist II
- 1.0 Safety Specialist III

Subtotal: 13.0

Clinical Services

- 1.0 Health Services Administrator (HP VI)
- 1.0 Physician II
- 2.0 Mid-Level Provider
- 12.0 Nurse I
- 1.0 Nurse III
- 1.0 Medical Records Technician II
- 1.0 Dentist II
- 1.0 Dental Care II
- 1.7 Correctional Officer I (Medical Security Officer)
- 2.0 Administrative Assistant III
- 1.0 Social Worker IV
- 2.0 Social Worker III
- 4.0 Health Professional III

Subtotal: 30.7

Education

- 7.0 State Teacher I

Case Management

- 8.0 Case Manager I
- 1.0 Case Manager III

Subtotal: 9.0

Recreation

- 6.8 Correctional Officer I

Food Service

- 1.0 Correctional Support Trades Supervisor II
- 4.0 Correctional Support Trades Supervisor I

Subtotal: 5.0

Laundry

- 1.0 Correctional Support Trades Supervisor I

Custody & Control

- 2.0 Correctional Officer IV
- 11.4 Correctional Officer III
- 22.1 Correctional Officer II
- 91.4 Correctional Officer I

Subtotal: 126.9

Pre-Release

- 1.0 Community Parole Officer
- 1.0 Community Programs Specialist III (Pre-Release Specialist)

210.4 Total Staff

Starting salaries for each position were calculated using the FY 2019-20 Compensation Plan from the Department of Personnel and Administration (DPA).

- PERA calculated at a blended rate of 13.6% of salary for Correctional Officer series positions
- PERA calculated at 10.9% salary for all other positions
- Medicare calculated at 1.45% salary
- Health, Life, and Dental calculated at \$10,042 annually
- Short-Term Disability calculated at 0.17%
- Amortization Equalization Disbursement (AED) calculated at 5.0% for both FY 2020-21 and FY 2021-22
- Supplemental Amortization Equalization Disbursement (SAED) calculated at 5.0% for both FY 2020-21 and FY 2021-22

Subprogram	FY 2020-21		FY 2021-22	
	Personal Services	FTE	Personal Services	FTE
1A - HLD	\$2,138,946		\$2,138,946	
1A - STD	\$20,678		\$20,678	
1A - AED	\$608,179		\$608,179	
1A - SAED	\$608,179		\$608,179	
1C - IG	\$82,645	1.0	\$82,645	1.0
2B - Maintenance	\$919,742	14.0	\$919,742	14.0
2C - Housing	\$8,041,666	127.9	\$8,041,666	127.9
2D - Food	\$323,865	5.0	\$323,865	5.0

Table 8: Personal Services				
Subprogram	FY 2020-21		FY 2021-22	
	Personal Services	FTE	Personal Services	FTE
2E - Medical	\$2,082,113	22.7	\$2,082,113	22.7
2F - Laundry	\$66,277	1.0	\$66,277	1.0
2G - Superintendents	\$314,329	5.0	\$314,329	5.0
2I - Case Management	\$538,093	9.0	\$538,093	9.0
2J - Mental Health	\$370,242	6.0	\$370,242	6.0
3A - Business Operations	\$50,288	1.0	\$50,288	1.0
4B - Education	\$434,592	7.0	\$434,592	7.0
4C - Recreation	\$418,614	6.8	\$418,614	6.8
4D - Drug & Alcohol	\$114,274	2.0	\$114,274	2.0
5A - Parole	\$51,609	1.0	\$51,609	1.0
5C - Re-entry	\$57,756	1.0	\$57,756	1.0
Total	\$17,242,087	210.4	\$17,242,087	210.4

Operating

Operating costs are estimated based on an allocated amount per new bed in each subprogram, as well as regular FTE operating costs.

Table 9: Operating Expenses		
Subprogram	FY 2020-21	FY 2021-22
1B02 Payments to In-State Private Prisons	\$486,406	\$486,406
1B02 Payments to Pre-Release Parole Revocation Facilities	(\$13,662,542)	(\$14,740,226)
1B02 Inmate Education and Benefit Programs and Pre-Release Parole Revocation Facilities	(\$121,151)	(\$121,151)
1C Inspector General Operating	\$15,800	\$15,800
2A Utilities	\$915,768	\$915,768
2B Maintenance Operating	\$221,200	\$221,200
2C Housing/Security Operating	\$252,800	\$252,800
2D Food Service Operating	\$1,008,040	\$1,008,040
2E Medical Services Operating	\$115,024	\$115,024
2F Laundry Operating	\$98,592	\$98,592
2G Superintendents Operating	\$268,600	\$268,600
2I Case Management Operating	\$15,800	\$15,800
2J Mental Health Operating	\$31,600	\$31,600
2K Inmate Pay	\$141,568	\$141,568

Table 9: Operating Expenses		
Subprogram	FY 2020-21	FY 2021-22
4B Education Operating (CF)	\$158,000	\$158,000
4C Recreation Operating (CF)	\$6,320	\$6,320
4D Drug & Alcohol Operating	\$6,952	\$6,952
5A Parole Operating	\$500	\$500
5C Re-entry Operating	\$500	\$500
Total Operating	(\$10,040,223)	(\$11,117,907)

Table 10: Request Summary				
	FY 2020-21		FY 2021-22	
	FTE	General Fund	FTE	General Fund
Personal Services				
1A Executive Director's Office (EDO) - HLD		\$2,138,946		\$2,138,946
1A EDO - STD		\$20,678		\$20,678
1A EDO - AED		\$608,179		\$608,179
1A EDO - SAED		\$608,179		\$608,179
1C Inspector General Personal Services	1.0	\$82,645	1.0	\$82,645
2B Maintenance Personal Services	14.0	\$919,742	14.0	\$919,742
2C Housing/Security Personal Services	127.9	\$8,041,666	127.9	\$8,041,666
2D Food Service Personal Services	5.0	\$323,865	5.0	\$323,865
2E Medical Services Personal Services	22.7	\$2,082,113	22.7	\$2,082,113
2F Laundry Personal Services	1.0	\$66,277	1.0	\$66,277
2G Superintendents Personal Services	5.0	\$314,329	5.0	\$314,329
2I Case Management Personal Services	9.0	\$538,093	9.0	\$538,093
2J Mental Health Personal Services	6.0	\$370,242	6.0	\$370,242
3A Business Operations Personal Services	1.0	\$50,288	1.0	\$50,288
4B Education Personal Services	7.0	\$434,592	7.0	\$434,592
4C Recreation Personal Services	6.8	\$418,614	6.8	\$418,614
4D Drug & Alcohol Personal Services	2.0	\$114,274	2.0	\$114,274
5A Parole Personal Services	1.0	\$51,609	1.0	\$51,609
5C Re-entry Personal Services	1.0	\$57,756	1.0	\$57,756
Total Personal Services	210.4	\$17,242,087	210.4	\$17,242,087
Operating				
1B02 Payments to In-State Private Prisons		\$486,406		\$486,406

Table 10: Request Summary

	FY 2020-21		FY 2021-22	
1B02 Payments to Pre-Release Parole Revocation Facilities		(\$13,662,542)		(\$14,740,226)
1B02 Inmate Education and Benefit Programs and Pre-Release Parole Revocation Facilities		(\$121,151)		(\$121,151)
1C Inspector General Operating		\$15,800		\$15,800
2A Utilities		\$915,768		\$915,768
2B Maintenance Operating		\$221,200		\$221,200
2C Housing/Security Operating		\$252,800		\$252,800
2D Food Service Operating		\$1,008,040		\$1,008,040
2E Medical Services Operating		\$115,024		\$115,024
2F Laundry Operating		\$98,592		\$98,592
2G Superintendents Operating		\$268,600		\$268,600
2I Case Management Operating		\$15,800		\$15,800
2J Mental Health Operating		\$31,600		\$31,600
2K Inmate Pay		\$141,568		\$141,568
4B Education Operating (CF)		\$158,000		\$158,000
4C Recreation Operating (CF)		\$6,320		\$6,320
4D Drug & Alcohol Operating		\$6,952		\$6,952
5A Parole Operating		\$500		\$500
5C Re-entry Operating		\$500		\$500
Total Operating		(\$10,040,223)		(\$11,117,907)
Total Request	210.4	\$7,201,864	210.4	\$6,124,180

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title

R-04 Hepatitis C Treatment Cost Reduction

Dept. Approval By: *[Signature]*

Supplemental FY 2019-20

OSPB Approval By: *[Signature]*

Budget Amendment FY 2020-21

X

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$20,514,144	\$0	\$20,514,144	(\$10,145,760)	(\$10,145,760)
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$20,514,144	\$0	\$20,514,144	(\$10,145,760)	(\$10,145,760)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$20,514,144	\$0	\$20,514,144	(\$10,145,760)	(\$10,145,760)
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (E) Medical Services Subprogram, (1) Medical Services Subprogram - Hepatitis C Treatment Costs	GF	\$20,514,144	\$0	\$20,514,144	(\$10,145,760)	(\$10,145,760)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Department of Corrections Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact



Department Priority: R-04
Request Detail: Hepatitis C Treatment Cost Reduction

Summary of Incremental Funding Change for FY 2020-21			
	FY 2019-20	FY 2020-21	FY 2021-22
Total Funds	\$20,514,144	(\$10,145,760)	(\$10,145,760)
FTE	0.0	0.0	0.0
General Fund	\$20,514,144	(\$10,145,760)	(\$10,145,760)
Cash Funds	\$0	\$0	\$0
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$0	\$0	\$0

Summary of Request:

The Department of Corrections (DOC) is requesting an ongoing General Fund (GF) reduction of \$10,145,760 in fiscal year (FY) 2020-21 in the Hepatitis C Treatment Costs appropriation in the Medical Services subprogram. The Department anticipates that the FY 2020-21 funding request will address hepatitis C treatment needs for all offenders entering the custody of the Department. This request represents a 49.5 percent reduction in the appropriation and an 8.3 percent reduction in the Medical Services subprogram as compared to FY 2019-20 funded levels.

Beginning in FY 2018-19, the Department was appropriated \$20,514,144 in General Fund to treat cases of Hepatitis C virus (HCV) among the offender population. The Department anticipates that by the end of FY 2019-20, the backlog of offenders who need treatment for HCV should be completed. Therefore, the Department does not need a continuation of the current level of funding in FY 2020-21 and future years. At the proposed funding level of \$10,368,384, the Department will have the necessary resources to treat all new offenders who enter DOC custody with a viral load of HCV (unless it is clinically contra-indicated to do so).

Current Program:

The Medical Services subprogram includes centrally managed operations that provide acute and long-term health care services to all offenders in the DOC system using both state FTE personnel and contracted health care providers and facilities. Purchase of pharmaceuticals is also included in these services and is a separate appropriation outlined in the Long Bill. During the FY 2014-15 supplemental/FY 2015-16 budget amendment process, the Department requested additional funding in the Purchase of Pharmaceuticals appropriation to treat offenders with chronic hepatitis C (HCV) infections, per guidelines established in May 2014 by the Federal Bureau of Prisons (FBOP). Criteria for treatment included advanced fibrosis or cirrhosis of the liver, comorbidity with another disease such as HIV infection, and having received a previous liver transplant.

As DOC made progress in treating offenders, the cost of the medications decreased, as is often the case when new pharmaceuticals are introduced into the marketplace. In FY 2015-16, the Department treated 31 offenders for HCV, and another 45 offenders in FY 2016-17. In its funding request for FY 2017-18, the Department requested an additional \$2,000,000 in the Purchase of Pharmaceuticals appropriation, intending to double the number of offenders receiving treatment. These funds were reallocated from other programs that had been historically reverting funding, resulting in a net zero impact to the General Fund. This request was approved by the Joint Budget Committee during the FY 2017-18 figure setting process.

In the FY 2018-19 decision item, the Department requested an additional \$16,514,144 to treat the most acute cases of HCV among the offender population. JBC staff recommended, and the Committee approved, the additional funding. Additionally, JBC staff recommended that a separate line item be carved out from the Purchases of Pharmaceuticals appropriation in order to more accurately track the funding approved for the treatment of HCV for offenders. The new appropriation, Hepatitis C Treatment Costs, was approved and originally appeared in House Bill (HB) 18-1322 (FY 2018-19 Long Bill) for \$20,514,144 GF. No additional funding was requested for HCV treatment in FY 2019-20.

Problem or Opportunity:

The Department anticipates that by the end of FY 2019-20, the backlog of offenders who need treatment for HCV should be completed. Therefore, the Department does not need a continuation of the current level of funding provided in the FY 2019-20 Long Bill in FY 2020-21 and forward.

Proposed Solution:

The Department is requesting an ongoing reduction of \$10,145,760 GF beginning in fiscal year FY 2020-21 in the Hepatitis C Treatment Costs appropriation in the Medical Services subprogram. This reduction in funding will allow the Department to treat all new offenders who enter DOC custody with a viral load of HCV (unless it is clinically contra-indicated to do so). The current funded level of \$20,514,144 is no longer necessary to provide HCV treatment to the offender population. As outlined previously, the Department has requested additional funding for HCV treatment three separate times, beginning with the FY 2014-15 supplemental/2015-16 budget amendment process, and ending with the FY 2018-19 decision item. This additional funding has allowed the Department to catch up and provide treatment to offenders with an HCV infection.

It is important to note that the Department is basing this funding reduction on the number of offenders who currently enter DOC custody with HCV, as well as the current average cost of treatment. The Department understands there will likely be fluctuation in both of these variables in the future and intends to address any needed funding true-ups in the annual budget documents for medical caseload.

Anticipated Outcomes:

If the requested funding reduction is approved, the Department should have enough funding to treat all new offenders who enter DOC custody with a viral load of HCV (unless it is clinically contra-indicated to do so). This request will have no impact on current DOC operations.

Assumptions and Calculations:

Based on the last 12 months of historical data, 52 offenders enter DOC custody each month with HCV infection. The average cost of monthly treatment over the last 12 months was \$16,616 per offender. Tables 1 and 2 below calculate the estimated funding need for FY 2020-21 based on the aforementioned statistics, as well as the amount of the reduction requested as compared to FY 2019-20 Long Bill funding.

Table 1: Estimated Funding Need for Hepatitis C Treatment, FY 2020-21	
# of Offenders Entering DOC per Month with HCV	52
x Estimated Average Treatment Cost	\$16,616
x # Months/Year	12
FY 2020-21 Estimated Funding Need	\$10,368,384

Table 2: FY 2020-21 Funding Reduction	
FY 2019-20 Long Bill Funding	\$20,514,144
Less FY 2020-21 Funding Need	\$10,368,384
Total Reduction	\$10,145,760

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title

R-05 Jail Bed Caseload Reduction

Dept. Approval By: 

Supplemental FY 2019-20

OSPB Approval By: 

Budget Amendment FY 2020-21

X

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$14,378,311	\$0	\$14,339,017	(\$1,004,497)	(\$1,004,497)
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$14,378,311	\$0	\$14,339,017	(\$1,004,497)	(\$1,004,497)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$14,378,311	\$0	\$14,339,017	(\$1,004,497)	(\$1,004,497)
	FTE	0.0	0.0	0.0	0.0	0.0
01. Management, (B) External Capacity Subprogram, (2) Payments to House State Prisoners - Payments to Local Jails	GF	\$14,378,311	\$0	\$14,339,017	(\$1,004,497)	(\$1,004,497)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Department of Corrections Prioritized Request Interagency Approval or Related Schedule 13e: No Other Agency Impact



Department Priority: R-05
Request Detail: Jail Bed Caseload Reduction

Summary of Incremental Funding Change for FY 2020-21			
	FY 2019-20	FY 2020-21	FY 2021-22
Total Funds	\$14,378,311	(\$1,004,597)	(\$1,004,597)
FTE	0.0	0.0	0.0
General Fund	\$14,378,311	(\$1,004,597)	(\$1,004,597)
Cash Funds	\$0	\$0	\$0
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$0	\$0	\$0

Summary of Request:

The Department of Corrections (DOC) requests an ongoing General Fund (GF) reduction of \$1,004,597 in fiscal year (FY) 2020-21 in the Payments to Local Jails appropriation in the External Capacity subprogram. Jail bed usage has decreased in the past year and the Department anticipates that a lower level of funding can adequately meet demand. This proposal represents a 7.0 percent reduction in the appropriation and a 1.0 percent reduction in the External Capacity subprogram as compared to FY 2019-20 funded levels.

Current Program:

The External Capacity subprogram includes funding to house overflow offenders when the prison population exceeds the capacity of state facilities. The Payments to Local Jails appropriation provides funding to county jails for the temporary housing of offenders who are either awaiting placement in a DOC facility or have been returned on a parole violation.

Problem or Opportunity:

During the last 12 months, the Department's utilization of jail beds has shown an overall decrease, as outlined in Table 1 below.

Table 1: 12-Month Jail Population History	
	End of Month Jail Population
June 2018	431
July 2018	424
August 2018	467
September 2018	357
October 2018	436
November 2018	433
December 2018	362
January 2019	359
February 2019	422
March 2019	359
April 2019	246
May 2019	173
12-Month Average	372

Source: DOC Office of Planning and Analysis Monthly Population and Capacity Report

Although there were both increases and decreases in the jail population throughout this time period, there has been a consistent decrease since February 2019. As a result, the Department proposes a reduction of funding in the Payments to Local Jails appropriation by \$1,004,597, which equates to a reduction of 47 beds as calculated in Table 2 below.

Table 2: Calculation Summary	
# of Beds	47
x FY20 Long Bill Per Diem	\$58.56
x # Days/Year	365
= \$ Reduction	\$1,004,597

Although jail bed utilization decreased by 249 beds from February through May 2019, the Department is not prepared to return funding for that amount of beds due to the uncertainty of potential future impacts to jail bed usage based on legislation passed during the 2019 legislative session. Specifically, Senate Bill (SB) 19-143 may have significant impacts on the Division of Adult Parole's utilization of jail beds. For example:

- Potential jail bed increases:
 - The extension of Sure and Swift stays from five to 14 days could drive jail bed days up but it would depend on whether or not the county jails will be amenable to this (they do not have to agree).
 - The addition of absconding as a violation to a revocable-for-remainder status should drive jail bed days up. The same will be true of a possession of a weapon violation but to a lesser degree as the number of absconders will be significantly higher.
- Potential jail bed decreases:
 - The elimination of petty and municipal convictions from a revocable-for-remainder status should drive jail bed days down.
 - The addition of a Class 3 non-violent felony to a non-revocable status should drive jail bed days down.
 - Jail bed capacity continues to decrease at various locations across the state, leading some to no longer accept technical parole violators (TPVs), which could drive total jail bed days down.

In summary, although the Department feels that a reduction in jail bed funding is appropriate, it is not prepared to reduce funding for the full amount of decreases seen in the last 12 months due to many conflicting and uncertain factors that may influence jail bed usage in the foreseeable future.

Proposed Solution:

The Department proposes an ongoing GF reduction of \$1,004,597 in FY 2020-21 in the Payments to Local Jails appropriation in the External Capacity subprogram. This funding reduction equates to a 47 bed reduction in jail bed availability based on FY 2019-20 funding levels. Although jail bed usage in the last 12 months has decreased by a larger amount, recent legislation has introduced a high level of uncertainty in the Department's need for future jail bed usage by the parole division. The Department intends to closely monitor this utilization and request any future capacity true-ups in the annual budget process.

Anticipated Outcomes:

If this request is approved, the Department will have access to 47 fewer jail beds to house new commitments, overflow offenders, and parole return offenders as compared to FY 2019-20 funding levels. Given uncertainty related to new court commitments and the implementation of SB 19-143 the Department is utilizing a conservative approach in this bed reduction request and will address any needed future changes to capacity through the annual budget process.

Assumptions and Calculations:

N/A

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title

R-06 Realign Funding for Offender Services

Dept. Approval By: 

Supplemental FY 2019-20

OSPB Approval By: 

Budget Amendment FY 2020-21

X

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
Total		\$11,299,614	\$0	\$11,299,614	(\$4,581,144)	(\$4,581,144)
FTE		0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$9,089,758	\$0	\$9,089,758	(\$4,581,144)	(\$4,581,144)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$2,209,756	\$0	\$2,209,756	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
Total		\$11,299,614	\$0	\$11,299,614	(\$4,581,144)	(\$4,581,144)
FTE		0.0	0.0	0.0	0.0	0.0
05. Community Services, (A) Parole Subprogram, (1) Parole Subprogram - Parolee Supervision and Support Services	GF	\$9,089,758	\$0	\$9,089,758	(\$4,581,144)	(\$4,581,144)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$2,209,756	\$0	\$2,209,756	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request?

Department of Corrections Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact



Department Priority: R-06
Request Detail: Realign Funding for Offender Services

Summary of Incremental Funding Change for FY 2020-21			
	FY 2019-20	FY 2020-21	FY 2021-22
Total Funds	\$0	(\$4,581,144)	(\$4,581,144)
FTE	0.0	0.0	0.0
General Fund	\$0	(\$4,581,144)	(\$4,581,144)
Cash Funds	\$0	\$0	\$0

Summary of Request:

The Department of Corrections (DOC) requests an ongoing General Fund (GF) reduction to the Parole subprogram in the Parolee Supervision and Support Services of \$4,581,144. The Department currently contracts with Rocky Mountain Offender Management System (RMOMS) to provide assessments of parolee's mental health and substance abuse needs. The Department is able to perform these assessments internally, therefore the contract with RMOMS is no longer necessary. This reduction is a 10 percent decrease to the Parole subprogram's FY 2019-20 funding level.

This request allows for a more seamless transition for paroling offenders, as the Division of Adult Parole can more proactively plan for the offender's release by completing the referrals prior to release. The referral to providers will then be done by the Community Parole Officers (CPO), who would assume case management duties. This change in practice will result in direct involvement between the CPO and the parolee needing treatment, and continuity between the treatment providers and agency staff. This request will not have any adverse effect on current parole programming.

Current Program:

The Department of Corrections is currently under contract with Rocky Mountain Offender Management System (RMOMS) to coordinate and conduct Treatment Accountability for Safer Communities (TASC) services. TASC is a national model that bridges referral and service systems through screening, assessment, case management, treatment, and advocacy. TASC is designed to provide an assessment of a parolee's mental health and substance abuse treatment needs and then refer them to a provider that has been approved through the Division of Adult Parole's Approved Treatment Provider Program (ATP). In FY 2018-19, the Division spent \$3.7 million on these services. The Parolee Supervision and Support Services appropriation received an additional \$2,182,974 funding in FY 2019-20 and the Division believes that other services can be reduced without affecting parolees.

Problem or Opportunity:

The Department can perform these assessments internally. This reduction in funding will reduce the availability of funds for the contract with RMOMS and the TASC services which expires in June 2020.

Proposed Solution:

The Department proposes a reduction of \$4,581,144 GF in the Parole subprogram in the Parolee Supervision and Support Services in FY 2020-21 and not renew the RMOMS contract when it expires June 2020. The Parolee Supervision and Support Service received an additional \$2,182,974 funding in FY 2019-20. In addition to the savings from not renewing the RMOMS contract, the Division believes the remaining \$800,000 can come from reductions in other contract providers' services without significantly affecting treatment or other services provided to parolees.

Anticipated Outcomes:

TASC assessments are currently completed on offenders within the prison facilities, and these assessments will be used to indicate the treatment needs upon release to parole. This request allows for a more seamless transition for paroling offenders, as the Division of Adult Parole can more proactively plan for the offender's release by completing the referrals prior to release. The referral to ATP providers will be done by Community Parole Officers (CPO), who would assume case manager duties. This change in practice will result in direct involvement between the CPO and the parolee needing treatment, and continuity between the treatment providers and agency staff. This request will not have any adverse effect on current parole programming.

Assumptions and Calculations:

The Department reviewed current expenditures for the RMOMS contract and additional funding received during FY 2018-19 and FY 2019-20 in order to determine the proposed funding reduction in FY 2020-21.

Table 1 ~ Parole Program Reduction	GF
Parolee Supervision and Support Services	(\$4,581,144)

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title

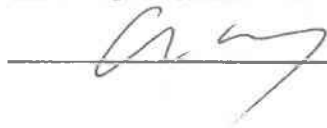
R-07 Correctional Industries Raw Materials Adjustments

Dept. Approval By: _____



Supplemental FY 2019-20

OSPB Approval By: _____



Budget Amendment FY 2020-21

X

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$38,878,810	\$0	\$38,878,810	(\$937,085)	(\$937,085)
	FTE	0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$8,441,080	\$0	\$8,441,080	(\$655,567)	(\$655,567)
	RF	\$30,437,730	\$0	\$30,437,730	(\$281,518)	(\$281,518)
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$38,878,810	\$0	\$38,878,810	(\$937,085)	(\$937,085)
	FTE	0.0	0.0	0.0	0.0	0.0
07. Correctional Industries, (A) Correctional Industries, (1) Correctional Industries - Raw Materials	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$8,441,080	\$0	\$8,441,080	(\$655,567)	(\$655,567)
	RF	\$30,437,730	\$0	\$30,437,730	(\$281,518)	(\$281,518)
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request?

Department of Corrections Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact



Department Priority: R-07
Request Detail: Correctional Industries Raw Materials Adjustments

Summary of Incremental Funding Change for FY 2020-21			
	FY 2019-20	FY 2020-21	FY 2021-22
Total Funds	\$38,878,810	(\$937,085)	(\$937,085)
FTE	0.0	0.0	0.0
General Fund	\$0	\$0	\$0
Cash Funds	\$8,411,080	(\$655,567)	(\$655,567)
Reappropriated Funds	\$30,437,730	(\$281,518)	(\$281,518)

Summary of Request:

The Department of Corrections (DOC) requests an ongoing reduction of (\$937,085) to the Colorado Correctional Industries (CCi) Raw Materials spending authorities for FY 2020-21. This request is for a (\$655,567) reduction in Cash Funds (CF) and (\$281,518) reduction in Reappropriated Funds (RF) spending authorities. The Raw Materials CF and RF spending authorities exceed historical expenses and can be reduced to adequately meet demand of resources. This reduction represents a 2.3 percent decrease in current spending authorities.

Current Program:

The Colorado Correctional Industries (CCi) subprogram manages self-supporting, profit-oriented work programs that provide offenders with training in various job skills while generating revenues through the sale of products and services provided primarily to other government agencies. By motivating offenders to keep regular hours, use effective communication in a work environment, and to abide by work rules for conduct, CCi builds an offender's confidence and work habits in preparation for their future.

The major businesses operated by CCi include manufacturing operations for automobile license plates, office furniture, and modular office systems; a print shop; a leather products shop; and a garment production operation. This funding line is for the purchase of those raw materials required to support CCi's manufacturing operations.

Problem or Opportunity:

The Raw Materials CF and RF spending authority exceeds historical expenses and can be reduced to correctly reflect the appropriate funding.

Proposed Solution:

The Department requests a decrease of (\$937,085) total, (\$655,567) CF, and (\$281,518) RF. This request will accurately reflect the needs of the program for FY 2020-21.

Anticipated Outcomes:

This funding line is for the purchase of those raw materials required to support the Industries manufacturing operations and approval of this request will enable the Department to correctly reflect the appropriate CF and RF spending authority. The spending authority for this program has been underutilized and this request will have no impact on CCi operations.

Assumptions and Calculations:

The Department reviewed prior year reversions and has projected that the decrease is appropriate, based on current programs, for both fund sources.

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title

R-08 Reduce Reversions in Multiple Programs

Dept. Approval By: 

Supplemental FY 2019-20

OSPB Approval By: 

Budget Amendment FY 2020-21

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
Total		\$5,398,842	\$0	\$5,398,842	(\$350,000)	(\$350,000)
FTE		0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$5,388,387	\$0	\$5,388,387	(\$350,000)	(\$350,000)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$32,475	\$0	\$32,475	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
Total		\$131,400	\$0	\$131,400	(\$20,000)	(\$20,000)
05. Community Services, (B) Community Supervision Subprogram, (1) Community Supervision - Psychotropic Medication	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$131,400	\$0	\$131,400	(\$20,000)	(\$20,000)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Total		\$3,972,609	\$0	\$3,972,609	(\$50,000)	(\$50,000)
05. Community Services, (B) Community Supervision Subprogram, (1) Community Supervision - Community Supervision Support Services	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$3,940,134	\$0	\$3,940,134	(\$50,000)	(\$50,000)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$32,475	\$0	\$32,475	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20	FY 2020-21		FY 2021-22	
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$1,022,396	\$0	\$1,022,396	(\$250,000)	(\$250,000)
05. Community Services, (B)	FTE	0.0	0.0	0.0	0.0	0.0
Community Supervision Subprogram, (2)	GF	\$1,022,396	\$0	\$1,022,396	(\$250,000)	(\$250,000)
Youthful Offender System Aftercare - Contract Services	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$272,437	\$0	\$272,437	(\$30,000)	(\$30,000)
	FTE	0.0	0.0	0.0	0.0	0.0
06. Parole Board, (A)	GF	\$272,437	\$0	\$272,437	(\$30,000)	(\$30,000)
Parole Subprogram, (1)	CF	\$0	\$0	\$0	\$0	\$0
Parole Subprogram - Contract Services	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request? Department of Corrections Prioritized Request Interagency Approval or Related Schedule 13s: No Other Agency Impact



Department Priority: R-08
Request Detail: Reduce Reversions in Multiple Programs

Summary of Incremental Funding Change for FY 2020-21

	FY 2019-20	FY 2020-21	FY 2021-22
Total Funds	\$0	(\$350,000)	(\$350,000)
FTE	0.0	0.0	0.0
General Fund	\$0	(\$350,000)	(\$350,000)
Cash Funds	\$0	\$0	\$0
Reappropriated Funds	\$0	\$0	\$0

Summary of Request:

The Department of Corrections (DOC) proposes several General Fund (GF) reductions. These include reductions to the Community Supervision subprogram, Psychotropic Medication: (\$20,000) GF and Community Supervision Support Services: (\$50,000) GF, total (\$70,000) GF, Youthful Offender System Aftercare subprogram, Contracts: (\$250,000) GF, and Parole Board subprogram: Contracts (\$30,000) GF. The GF spending authority for the programs exceeds historical expenses and can be reduced to correctly reflect expected programmatic needs. These ongoing changes to the above programs reflect a total reduction of (\$350,000) GF reduction for FY 2020-21. These funding adjustments equate to a one percent decrease to the Community Supervision subprogram, a 24 percent decrease in contract funding to the Youthful Offender System Aftercare, and an 11 percent decrease to the contract funding for the Parole Board.

Current Program:

Community Supervision is responsible for daily monitoring and close supervision for up to six months for transition offenders who are living in their own home or an approved private residence and those offenders who are released to a community corrections facility. Community Supervision provides for psychotropic medications for offenders and the Support Services line is for drug screens, Antabuse monitoring, and medication management.

The Youthful Offender System Aftercare Contract funding is for the three-month transition program of Phase II and Phase III community reintegration and includes funding for housing, food, mental health counseling, and alcohol and drug intervention for Phase III.

The Parole Board conducts all parole release hearings as well as most parole revocation hearings on all parole violation complaints filed by the Parole subprogram, the Contract line includes funds to provide for additional hearings officers in remote areas of the state for parole revocation hearings.

Problem or Opportunity:

The GF spending authority for the programs exceeds historical expenses and can be reduced to correctly reflect expected programmatic needs.

Proposed Solution:

The Department proposes a GF reduction of (\$350,000) GF total to the Community Supervision, Youthful Offender System Aftercare, and the Parole Board subprograms. The specific funding changes are as follows: Community Supervision subprogram, Psychotropic Medication: (\$20,000) GF and Community Supervision Support Services: (\$50,000) GF, Youthful Offender System Aftercare subprogram, Contracts: (\$250,000) GF, and the Parole Board subprogram: Contracts (\$30,000) GF. These ongoing changes to the above programs reflect a total reduction of (\$350,000) GF for FY 2020-21. These programs have historically reverted funds in these lines. This request will accurately reflect the needs of the programs for FY 2020-21.

Anticipated Outcomes:

The GF spending authority for the programs exceeds historical expenses and can be reduced to correctly reflect expected programmatic needs. Approval of this request will enable the Department to correctly reflect the appropriate GF spending authority. If programmatic needs change in the future, the Department will consider those needs as part of the annual budget process.

Assumptions and Calculations:

The Department reviewed prior year reversions and have projected that decreases, based on current populations, are appropriate for the program fund sources.

Table 1 ~ Programs	GF
5(B)(1) Community Supervision	
Psychotropic Medication	(\$20,000)
Community Supervision Support Services	(\$50,000)
5(B)(1) Community Supervision Total	(\$70,000)
5(B)(2) Youthful Offender System Aftercare ~ Contracts	(\$250,000)
6 Parole Board ~ Contracts	(\$30,000)

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title

R-09 Technical Adjustments

Dept. Approval By: *[Signature]*

Supplemental FY 2019-20

OSPB Approval By: *[Signature]*

Budget Amendment FY 2020-21

X

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$28,172,512	\$0	\$28,159,369	\$0	\$0
	FTE	0.0	0.0	0.0	1.0	1.0
Total of All Line Items Impacted by Change Request	GF	\$24,241,202	\$0	\$24,218,488	\$0	\$0
	CF	\$300,708	\$0	\$312,299	\$0	\$0
	RF	\$3,630,604	\$0	\$3,630,604	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$5,480,810	\$0	\$5,477,667	\$264,000	\$264,000
	FTE	0.0	0.0	0.0	0.0	0.0
01. Management, (A) Executive Director's Office Subprogram, (1) Executive Director's Office Subprogram - Leased Space	GF	\$5,200,104	\$0	\$5,175,368	\$264,000	\$264,000
	CF	\$290,708	\$0	\$302,299	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

	Total	\$1,027,121	\$0	\$1,027,121	(\$1,027,121)	(\$1,027,121)
	FTE	0.0	0.0	0.0	0.0	0.0
04. Inmate Programs, (D) Drug and Alcohol Treatment Subprogram, (1) Drug and Alcohol Treatment Subprogram - Services for Substance Abuse and Co-occurring Disorders	GF	\$0	\$0	\$0	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$1,027,121	\$0	\$1,027,121	(\$1,027,121)	(\$1,027,121)
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$2,508,458	\$0	\$2,508,458	(\$361,252)	(\$361,252)
	FTE	0.0	0.0	0.0	0.0	0.0
04. Inmate Programs, (D) Drug and Alcohol Treatment Subprogram, (1) Drug and Alcohol Treatment Subprogram - Contract Services	GF	\$2,147,206	\$0	\$2,147,206	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$361,252	\$0	\$361,252	(\$361,252)	(\$361,252)
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$11,299,514	\$0	\$11,299,514	\$1,168,373	\$1,168,373
	FTE	0.0	0.0	0.0	0.0	0.0
05. Community Services, (A) Parole Subprogram, (1) Parole Subprogram - Parolee Supervision and Support Services	GF	\$9,089,756	\$0	\$9,089,756	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$2,209,756	\$0	\$2,209,756	\$1,168,373	\$1,168,373
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$3,500,000	\$0	\$3,500,000	(\$3,500,000)	(\$3,500,000)
	FTE	0.0	0.0	0.0	0.0	0.0
05. Community Services, (A) Parole Subprogram, (1) Parole Subprogram - Work Release Program	GF	\$3,500,000	\$0	\$3,500,000	(\$3,500,000)	(\$3,500,000)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$3,972,609	\$0	\$3,972,609	\$220,000	\$220,000
	FTE	0.0	0.0	0.0	0.0	0.0
05. Community Services, (B) Community Supervision Subprogram, (1) Community Supervision - Community Supervision Support Services	GF	\$3,940,134	\$0	\$3,940,134	\$0	\$0
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$32,475	\$0	\$32,475	\$220,000	\$220,000
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$374,000	\$0	\$374,000	(\$264,000)	(\$264,000)
	FTE	0.0	0.0	0.0	0.0	0.0
05. Community Services, (C) Community Re-entry Subprogram, (1) Community Re-entry Subprogram - Offender Re-Employment Center	GF	\$364,000	\$0	\$364,000	(\$264,000)	(\$264,000)
	CF	\$10,000	\$0	\$10,000	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$0	\$0	\$0	\$3,500,000	\$3,500,000
05. Community Services, (C)	FTE	0.0	0.0	0.0	1.0	1.0
Community Re-entry Subprogram, (1)	GF	\$0	\$0	\$0	\$3,500,000	\$3,500,000
Community Re-entry Subprogram -	CF	\$0	\$0	\$0	\$0	\$0
Transitional Work	RF	\$0	\$0	\$0	\$0	\$0
Program	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? **NO**

Type of Request?

Department of Corrections Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact



Department Priority: R-09
Request Detail: Technical Adjustments

Summary of Incremental Funding Change for FY 2020-21			
	FY 2019-20	FY 2020-21	FY 2021-22
Total Funds	\$27,932,512	\$0	\$0
FTE	0.0	1.0	1.0
General Fund	\$24,001,202	\$0	\$0
Cash Funds	\$300,706	\$0	\$0
Reappropriated Funds	\$3,630,604	\$0	\$0
Federal Funds	\$0	\$0	\$0

Summary of Request:

The Department of Corrections (DOC) proposes several technical adjustments in Long Bill spending authorities to better align the appropriated funds with the subprogram that is spending the funding. In addition, the proposal seeks to rename the Work Release Program that was funded as a placeholder in a FY 2019-20 budget amendment request and adds 1.0 FTE authority for this same program. There are no changes in funding in this ongoing request.

Current Program:

The Department receives reappropriated funds spending authority in order to spend appropriated amounts that are transferred to DOC by the Judicial Department from the Correctional Treatment Cash Fund. This includes a total of \$1,388,373 that is in the Drug & Alcohol Treatment subprogram and \$2,163,125 in the Parole subprogram. The funds are spent on alcohol and drug screening, assessment, and evaluation; treatment for substance use disorder; and recovery support services.

The Department received \$3,500,000 General Fund starting in FY 2019-20 to establish a work release program. At the time of the budget amendment request submission, the program was expected to target Intensive Supervision Parole-Inmate (ISP-I) offenders who were released from prison but under the supervision of Community Parole Officers (CPOs). The Joint Budget Committee included a footnote in the Long Bill that allows this appropriation to remain available for expenditure until the close of FY 2020-21. The program is a stand-alone appropriation under the Parole subprogram.

All of the Department's funding for building leases is consolidated in the Leased Space appropriation (\$4,960,104 General Fund, \$290,706 cash funds) that falls under the Executive Director's Office subprogram with one exception. The lease cost (\$264,000) for the Offender Re-employment Center resides in the appropriation of the same name that falls under the Community Re-entry subprogram. The total General Fund appropriation of \$364,000 for the re-employment center also includes \$100,000 in operating costs.

Problem or Opportunity:

The Drug & Alcohol subprogram historically received spending authority for the Drug Offender Surcharge Fund, the predecessor to the Correctional Treatment Cash Fund (CTCF). When H.B. 12-1310, *Criminal Proceedings Omnibus Changes*, was enacted, it not only changed the fund name from the Drug Offender Surcharge fund to the CTCF, it also defined the populations that could use the money. For DOC, the populations include adults and juveniles on parole and offenders sentenced or transitioned to a community corrections program. Because of the population changes made in H.B. 12-1310, the Division of Adult Parole has utilized all of the funding from the CTCF on the parole and community corrections populations, including the funds appropriated under the Drug & Alcohol subprogram.

The Department included a placeholder for a work release program in the FY 2019-20 Parole Caseload budget amendment request. The Joint Budget Committee (JBC) created a new Work Release Program appropriation under the Parole subprogram. At the time of the submission, the program was expected to target ISP-I offenders that were released to the community and under the supervision of CPOs. The Department is implementing the prison normalization principle of "Take TWO" in FY 2019-20; TWO stands for Transitional Work Opportunity. Take TWO is the application of what was envisioned as the work release program placeholder but, rather than targeting ISP-I offenders already released to the community, it is getting vetted employers to hire offenders while they are still incarcerated. Since Take TWO is intended to prepare incarcerated offenders for re-entry into the community, the program is a re-entry service and its costs better align with the Community Re-entry subprogram.

The Leased Space appropriation currently provides an incomplete picture of the Department’s leased costs for the buildings it utilizes. Approximately 95 percent of DOC’s lease costs are captured in the Leased Space funding line with the other 5 percent of DOC’s lease costs captured in the Offender Re-employment Center funding line. The Offender Re-employment Center is located at 940 Broadway in Denver. This is the same location as the Division of Adult Parole’s main office as well as a Denver parole office. Even though all of these offices are in the same building, the funding for the lease costs is split between the Leased Space and Offender Re-employment Center appropriations.

Proposed Solution:

The Department proposes realigning the CTCF reappropriated funds spending authority of \$1,388,373 under the Drug & Alcohol subprogram to the Parole subprogram (\$1,168,373) and the Community Supervision subprogram (\$220,000) to reflect the actual program areas that are spending the funds on the intended populations.

Subprogram	Appropriation	Reappropriated Funds
4D - Drug & Alcohol	Services for Substance Abuse	(\$1,027,121)
4D - Drug & Alcohol	Contract Services	(\$361,252)
5A - Parole	Parolee Supervision & Support	\$1,168,373
5B1 - Community Supervision	Community Supervision & Support	\$220,000

The Department proposes realigning the funds appropriated for the work release program (\$3,500,000) from the Parole subprogram to a new funding line under the Community Re-entry subprogram. The funding realignment will clarify that this program supports re-entry services for offenders. Along with the funding realignment, DOC proposes renaming the funding line to the Transitional Work Program to align with the Take TWO prison normalization principle it is implementing. Finally, the addition of 1.0 FTE authority for this funding line will capture the workload of the Transitional Work Program’s Project Liaison position.

Subprogram	Appropriation	General Fund	FTE
5A - Parole	Work Release Program	(\$3,500,000)	
5C – Community Re-entry	Transitional Work Program	\$3,500,000	1.0

The Department proposes realigning \$264,000 from the Offender Re-employment Center appropriation to the Leased Space appropriation so that all of the Department’s lease costs are consolidated into one funding line.

Subprogram	Appropriation	General Fund
5C - Community Re-entry	Offender Re-employment Center	(\$264,000)
1A - Executive Director's Office	Leased Space	\$264,000

Anticipated Outcomes:

The reappropriated funds spending authority for the Correctional Treatment Cash Fund will reside in the Parole and Community Supervision subprograms which aligns with the populations the funds are intended to support. This will also eliminate the ambiguity of having a portion of the funds appropriated to the Drug & Alcohol subprogram that supports incarcerated offender treatment needs but have the funds spent by the Division of Adult Parole on the parole and community corrections populations.

The realignment of the Work Release Program from the Parole subprogram to the Community Re-entry subprogram clarifies that the program's purpose is to provide work opportunities to offenders prior to their reintegration into the community. The renaming of the appropriation also clarifies that this program is central to implementing the Take TWO normalization principle. Finally, the addition of 1.0 FTE authority captures the staffing workload for this program.

The realignment of building lease costs for the Offender Re-employment Center to the Department's Leased Space funding line will allow for consistent handling of all building lease costs, including all of the lease costs for the 940 Broadway location in Denver.

Table 4 provides a summary of the technical adjustments in this request.

Appropriation	General Fund	Reappropriated Funds	FTE
1A - Leased Space	\$264,000		
4D - Services for Substance Abuse		(\$1,027,121)	
4D - Contract Services		(\$361,252)	
5A - Work Release Program	(\$3,500,000)		
5A - Parolee Supervision & Support		\$1,168,373	
5B1 - Community Supervision & Support		\$220,000	
5C - Offender Re-employment Center	(\$264,000)		
5C - Transitional Work Program	\$3,500,000		1.0
Net Changes	\$0	\$0	1.0

Assumptions and Calculations:

Not applicable.

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title

R-10 Reduce Unused Spending Authority for Various Programs

Dept. Approval By: _____



Supplemental FY 2018-20

OSPB Approval By: _____



Budget Amendment FY 2020-21

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$5,385,474	\$0	\$5,524,219	(\$228,887)	(\$228,887)
	FTE	48.2	0.0	48.2	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$5,158,587	\$0	\$5,295,332	\$0	\$0
	CF	\$228,887	\$0	\$228,887	(\$228,887)	(\$228,887)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$213,443	\$0	\$213,443	(\$29,467)	(\$29,467)
	FTE	0.0	0.0	0.0	0.0	0.0
01. Management, (B) External Capacity Subprogram, (1) Private Prison Monitoring Unit - Operating Expenses	GF	\$183,976	\$0	\$183,976	\$0	\$0
	CF	\$29,467	\$0	\$29,467	(\$29,467)	(\$29,467)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

	Total	\$4,368,414	\$0	\$4,507,109	(\$106,233)	(\$106,233)
	FTE	48.2	0.0	48.2	0.0	0.0
01. Management, (C) Inspector General Subprogram, (1) Inspector General Subprogram - Personal Services	GF	\$4,262,181	\$0	\$4,400,876	\$0	\$0
	CF	\$106,233	\$0	\$106,233	(\$106,233)	(\$106,233)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$429,617	\$0	\$429,667	(\$83,187)	(\$83,187)
	FTE	0.0	0.0	0.0	0.0	0.0
01. Management, (C) Inspector General Subprogram, (1)	GF	\$346,430	\$0	\$346,480	\$0	\$0
Inspector General Subprogram - Operating Expenses	CF	\$83,187	\$0	\$83,187	(\$83,187)	(\$83,187)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

	Total	\$374,000	\$0	\$374,000	(\$10,000)	(\$10,000)
	FTE	0.0	0.0	0.0	0.0	0.0
05. Community Services, (C) Community Re-entry Subprogram, (1)	GF	\$364,000	\$0	\$364,000	\$0	\$0
Community Re-entry Subprogram - Offender Re-Employment Center	CF	\$10,000	\$0	\$10,000	(\$10,000)	(\$10,000)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation?	NO		
Type of Request?	Department of Corrections Prioritized Request	Interagency Approval or Related Schedule 13s:	No Other Agency Impact



Department Priority: R-10
Request Detail: Reduce Unused Spending Authority for Various Programs

Summary of Incremental Funding Change for FY 2020-21			
	FY 2019-20	FY 2020-21	FY 2021-22
Total Funds	\$0	(\$228,887)	(\$228,887)
FTE	0.0	0.0	0.0
General Fund	\$0	\$0.00	\$0.00
Cash Funds	\$0	(\$228,887)	(\$228,887)
Reappropriated Funds	\$0	\$0.00	\$0.00
Federal Funds	\$0	\$0.00	\$0.00

Summary of Request:

The Department of Corrections (DOC) proposes an ongoing (\$228,887) reduction in Cash Funds (CF) spending authority for FY 2020-21. This proposal includes a reduction of (\$10,000 CF) in the Community Re-entry subprogram, Offender Re-employment Center line item; a reduction of (\$29,467 CF) in the Private Prison Monitoring Unit (PPMU) subprogram operating line item; and a reduction of (\$189,420 CF) in the Inspector General (IG) subprogram, of which (\$106,233 CF) is in the personal services line item, and (\$83,187 CF) in the operating line item. The CF spending authorities for these programs are no longer necessary and can be eliminated from further inclusion in the Long Bill.

Current Program:

The Community Re-entry subprogram supports and provides emergency assistance to offenders who require temporary shelter, work clothes, bus tokens, small work tools, or other short-term emergency assistance upon release from custody, as well as provides transitional services for job and housing assistance and life skills. The Offender Re-employment Center line item funds the John Inman Work and Family Center operating and lease costs. The center assists parolees with housing and employment resources.

The Private Prison Monitoring Unit is responsible for monitoring and auditing the private prison contractors who have contracted with the DOC to house state offenders. This program ensures that private prisons meet classification and risk standards set by the Department for security, construction, religious programming, educational programming, medical, mental health, food service, case management, hearings boards, and administrative policy.

The Inspector General's office is responsible for investigating all criminal activities within the prison system, including activities of staff and offenders.

Problem or Opportunity:

The CF spending authority for the programs exceeds historical revenues and can be reduced to correctly reflect expected programmatic need.

The Offender Re-employment Center CF spending authority is for any gifts, grants or donations received by the Department earmarked for this program. The Department has not received any gifts, grants, or donations for this program and proposes to reduce this \$10,000 spending authority.

The CF spending authorities in the Private Prison Monitoring Unit and the Inspector General subprograms were for the revenues received for monitoring out-of-state offenders that were housed in private prisons. Under Colorado law and with DOC approval, private prison providers may contract with other states to house their offenders. If approved, PPMU and the IG are required to monitor these offenders and are reimbursed for these costs by the private prisons. There have not been any out-of-state offenders housed at the private prisons since FY 2015-16, and as such the Department is proposing to remove the CF spending authority in these subprograms.

Proposed Solution:

The Department proposes cash funds reductions of (\$10,000) to the Community Re-entry subprogram, a reduction of (\$29,467) to the Private Prison Monitoring Unit, and a (\$189,420) reduction in the Inspector General subprogram. These ongoing changes to the above programs reflect a total reduction of (\$228,887) CF for FY 2020-21 and on-going.

Anticipated Outcomes:

The CF spending authorities for the programs are no longer required and can be eliminated from further inclusion in the Long Bill.

Assumptions and Calculations:

The Department has not received revenues for these programs in recent years and is returning the current CF spending authorities.

Appendix:

Table ~ 1 Summary of CF Reductions	
1(B) External Capacity Subprogram	
(1) Private Prison Monitoring Unit	
Operating Expenses (CF)	(\$29,467)
1(C) Inspector General	
Personal Services (CF)	(\$106,233)
Operating Expenses (CF)	(\$83,187)
5(C) Community Re-entry Subprogram	
Offender Re-employment Center (CF)	(\$10,000)
Total Request	(\$228,887)

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title

R-11 Radio Replacement

Dept. Approval By: 

Supplemental FY 2019-20

OSPB Approval By: 

Budget Amendment FY 2020-21

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
Total		\$5,202,001	\$0	\$5,202,001	(\$1,875,000)	(\$1,875,000)
FTE		0.0	0.0	0.0	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$5,202,001	\$0	\$5,202,001	(\$1,875,000)	(\$1,875,000)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
Total		\$5,202,001	\$0	\$5,202,001	(\$1,875,000)	(\$1,875,000)
FTE		0.0	0.0	0.0	0.0	0.0
02. Institutions, (G) Superintendents Subprogram, (1) Superintendents Subprogram - Operating Expenses	GF	\$5,202,001	\$0	\$5,202,001	(\$1,875,000)	(\$1,875,000)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? **NO**

Type of Request?

Department of Corrections Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact



Department Priority: R-11
Request Detail: Radio Replacement

Summary of Incremental Funding Change for FY 2020-21			
	FY 2019-20	FY 2020-21	FY 2021-22
Total Funds	\$5,202,001	(\$1,875,800)	(\$1,875,800)
FTE	0.0	0.0	0.0
General Fund	\$5,202,001	(\$1,875,800)	(\$1,875,800)
Cash Funds	\$0	\$0	\$0

Summary of Request:

The Department of Corrections (DOC) requests a (\$1,875,800) General Fund (GF) reduction to the Superintendents Operating Expenses appropriation for FY 2020-21 and ongoing. This request will eliminate all funding for the Department's radio replacement asset refresh plan. Approximately 75% of the Department's radios have been replaced since FY 16 and have a life cycle of 7-10 years. Any future radio replacements will be done on an emergency basis utilizing available funding. This is a 36 percent decrease of the current appropriation for Superintendents Operating Expenses.

Current Program:

The Department received \$1,875,800 General Fund (GF) in FY 2015-16 in the Superintendents Operating Expenses line to establish an annual radio replacement plan that replaces 15 percent of the radio inventory each year (a seven-year cycle to replace all radios). The statewide radio costs \$3,830 per radio and \$95 per battery. The batteries for the radios have a useful life of one year and need to be replaced annually. The life expectancy on these radios is typically 7- to 10-years.

Problem or Opportunity:

The Department of Corrections (DOC) state-issued portable and mobile radio inventory is approximately 3,400. With the funding increase the Department received in FY 2015-16 and since, the Department has replaced all but 741 portable radios and 94 mobiles as of May 1, 2019, roughly 75% of inventory. The funding available in FY 2019-20 will reduce the number to 201 portable and 44 mobile radios remaining to be replaced. Any future radio replacements will be done on an emergency basis utilizing available funding.

Proposed Solution:

The Department proposes eliminating the current funding of \$1,875,800 GF in the Superintendents Operating Expenses which has been dedicated to the annual replacement of 15 percent of the Department's radios since FY 2015-16. Any future radio replacements will be done on an emergency basis utilizing available funding.

Anticipated Outcomes:

If this request is approved, any future radio replacements will be done on an emergency basis utilizing available funding.

Assumptions and Calculations:

The current inventory for the Department is approximately 3,400 at a cost of \$3,830 and \$95 for each battery replacement. The current funding has allowed the Department to replace at least 15 percent per year of the inventory since FY 2015-16. The annual cost of replacing 15 percent of the inventory is 478 radios * \$3,925 ($\$3,830 + \95) = \$1,876,150.

Schedule 13

Department of Corrections

Funding Request for The FY 2020-21 Budget Cycle

Request Title

R-12 Statewide 0.5% Provider Rate Increase

Dept. Approval By: 

Supplemental FY 2019-20

OSPB Approval By: 

Budget Amendment FY 2020-21

X

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$175,793,634	\$0	\$177,706,135	\$669,163	\$669,163
	FTE	543.1	0.0	543.6	0.0	0.0
Total of All Line Items Impacted by Change Request	GF	\$170,798,864	\$0	\$172,838,579	\$857,952	\$857,952
	CF	\$2,451,487	\$0	\$2,468,079	\$0	\$0
	RF	\$2,803,483	\$0	\$2,803,483	\$11,211	\$11,211
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$14,378,311	\$0	\$14,339,017	\$71,695	\$71,695
	FTE	0.0	0.0	0.0	0.0	0.0
01. Management, (B) External Capacity Subprogram, (2) Payments to House State Prisoners - Payments to Local Jails	GF	\$14,378,311	\$0	\$14,339,017	\$71,695	\$71,695
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

	Total	\$67,116,051	\$0	\$66,932,671	\$323,663	\$323,663
	FTE	0.0	0.0	0.0	0.0	0.0
01. Management, (B) External Capacity Subprogram, (2) Payments to House State Prisoners - Payments to In-State Private Prisons	GF	\$64,916,051	\$0	\$64,732,671	\$323,663	\$323,663
	CF	\$2,200,000	\$0	\$2,200,000	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$14,788,512	\$0	\$14,748,128	\$73,741	\$73,741
01. Management, (B) External Capacity Subprogram, (2)	FTE	0.0	0.0	0.0	0.0	0.0
Payments to House State Prisoners -	GF	\$14,788,512	\$0	\$14,748,128	\$73,741	\$73,741
Payments to Pre- Release Parole	CF	\$0	\$0	\$0	\$0	\$0
Revocation Facilities	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$40,099,143	\$0	\$41,638,082	\$48,874	\$48,874
	FTE	389.1	0.0	389.5	0.0	0.0
02. Institutions, (E) Medical Services Subprogram, (1) Medical Services Subprogram - Personal Services	GF	\$39,847,656	\$0	\$41,270,003	\$48,874	\$48,874
	CF	\$251,487	\$0	\$266,079	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$2,575,733	\$0	\$2,575,733	\$12,879	\$12,879
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (E) Medical Services Subprogram, (1) Medical Services Subprogram - Service Contracts	GF	\$2,575,733	\$0	\$2,575,733	\$12,879	\$12,879
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$11,151,627	\$0	\$11,519,509	\$9,842	\$9,842
	FTE	154.0	0.0	154.1	0.0	0.0
02. Institutions, (J) Mental Health Subprogram, (1) Mental Health Subprogram - Personal Services	GF	\$11,151,627	\$0	\$11,519,509	\$9,842	\$9,842
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$4,544,498	\$0	\$4,915,236	\$24,576	\$24,576
	FTE	0.0	0.0	0.0	0.0	0.0
02. Institutions, (J) Mental Health Subprogram, (1) Mental Health Subprogram - Medical Contract Services	GF	\$4,544,498	\$0	\$4,915,236	\$24,576	\$24,576
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$2,508,458	\$0	\$2,508,458	\$10,736	\$10,736
	FTE	0.0	0.0	0.0	0.0	0.0
04. Inmate Programs, (D) Drug and Alcohol Treatment Subprogram, (1) Drug and Alcohol Treatment Subprogram - Contract Services	GF	\$2,147,206	\$0	\$2,147,206	\$10,736	\$10,736
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$361,252	\$0	\$361,252	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$11,299,514	\$0	\$11,299,514	\$56,498	\$56,498
	FTE	0.0	0.0	0.0	0.0	0.0
05. Community Services, (A) Parole Subprogram, (1) Parole Subprogram - Parolees Supervision and Support Services	GF	\$9,089,758	\$0	\$9,089,758	\$45,449	\$45,449
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$2,209,756	\$0	\$2,209,756	\$11,049	\$11,049
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$2,336,782	\$0	\$2,336,782	\$11,684	\$11,684
	FTE	0.0	0.0	0.0	0.0	0.0
05. Community Services, (A) Parole Subprogram, (1) Parole Subprogram - Wrap-Around Services Program	GF	\$2,336,782	\$0	\$2,336,782	\$11,684	\$11,684
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$3,972,609	\$0	\$3,972,609	\$19,863	\$19,863
	FTE	0.0	0.0	0.0	0.0	0.0
05. Community Services, (B) Community Supervision Subprogram, (1) Community Supervision - Community Supervision Support Services	GF	\$3,940,134	\$0	\$3,940,134	\$19,701	\$19,701
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$32,475	\$0	\$32,475	\$162	\$162
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$1,022,396	\$0	\$1,022,396	\$5,112	\$5,112
	FTE	0.0	0.0	0.0	0.0	0.0
05. Community Services, (B) Community Supervision Subprogram, (2) Youthful Offender System Aftercare - Contract Services	GF	\$1,022,396	\$0	\$1,022,396	\$5,112	\$5,112
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data

Requires Legislation? NO

Type of Request?

Department of Corrections Prioritized Request

Interagency Approval or Related Schedule 13s:

No Other Agency Impact



Department Priority: R-12
Request Detail: Statewide 0.5% Provider Rate Increase

Summary of Incremental Funding Change for FY 2020-21			
	FY 2019-20	FY 2020-21	FY 2021-22
Total Funds	\$0	\$669,163	\$669,163
FTE	0.0	0.0	0.0
General Fund	\$0	\$657,952	\$657,952
Cash Funds	\$0	\$0	\$0
Reappropriated Funds	\$0	\$11,211	\$11,211
Federal Funds	\$0	\$0	\$0

Summary of Request:

The Department of Corrections (DOC) requests \$669,163 total funds in FY 2020-21 to support a 0.5 percent provider rate increase. This request will allow for payments from the Department to offset cost increases borne by external providers and will support external providers that house offenders, provide clinical treatment, and conduct parole community service programs. This request aligns with the recommended statewide provider rate increase.

Current Program:

The Department of Corrections (DOC) has received varying increases for provider rates since FY 2013-14. The most recent funding adjustment in FY 2019-20 allowed for a 1.0 percent provider rate increase.

Problem or Opportunity:

DOC is requesting a total increase of \$669,163: \$657,952 General Fund and \$11,211 reappropriated funds for external providers to compensate providers for increased costs. The change request represents a 0.5 percent increase to daily per diem rates paid to external capacity providers; a 0.5 percent increase in the various appropriations that pay for contract clinical providers such as physicians, nurses, psychiatrists, and substance abuse treatment providers; as well as a 0.5 percent increase to the parole appropriations that fund community service programs.

Proposed Solution:

The Department requests \$669,163 total funds to support a 0.5 percent provider rate increase. This increase will support external providers that house offenders, provide clinical treatment, and conduct parole community service programs.

Anticipated Outcomes:

With the increased funding, DOC would be able to offset the increased costs for basic services provided to offenders and parolees that receive housing, treatment, and programming outside of the Department's internal resources.

Assumptions and Calculations:

The Department's request is based on a 0.5 percent increase to the FY 2019-20 line items that fund external providers. An exception to this is found in the Medical Services and Mental Health Personal Services appropriations. In this case, DOC based the 0.5 percent increase on the provider contract expenses incurred in FY 2018-19. Table 1 provides the calculations for the external capacity appropriations and identifies what the daily per diem rates would become if the rate increase is approved. Table 2 shows the portion of the clinical personal services appropriations that are expected to pay for contract providers. The rate increase calculations for contract services lines in the clinical and parole appropriations are found in Table 3. Table 4 provides a summary of all appropriation lines that are requesting a 0.5 percent increase for provider rates.

Table 1 ~ Provider Rate Increase ~ External Capacity

Long Bill Line	FY 2019-20 Daily Bed Rate	FY 2020-21 Base Request*	0.5% Increase	FY 2020-21 Total Request	FY 2020-21 Requested Daily Bed Rate
(1)(B)(2)					
Payments to House State Prisoners:					
Payments to Local Jails	\$58.56	\$14,339,017	\$71,695	\$14,410,712	\$58.85

Table 1 ~ Provider Rate Increase ~ External Capacity					
Long Bill Line	FY 2019-20 Daily Bed Rate	FY 2020-21 Base Request*	0.5% Increase	FY 2020-21 Total Request	FY 2020-21 Requested Daily Bed Rate
Payments to In-State Private Prisons (GF)	\$57.94	\$64,732,671	\$323,663	\$65,056,334	\$58.23
Payments to Pre-Release and Parole Revocation Facilities	\$57.94	\$14,748,128	\$73,741	\$14,821,869	\$58.23
Total		\$93,819,816	\$469,099	\$94,288,915	

*Base Request includes decrease for leap year

Table 2 ~ Provider Rate Increase ~ Clinical Personal Services				
Long Bill Line	FY 2019-20 Contracts*	0.5% Increase	FY 2019-20 Appropriation	FY 2020-21 Request
(2)(E) Medical Services				
Personal Services	\$9,774,805	\$48,874	\$39,847,656	\$39,896,530
(2)(J) Mental Health				
Personal Services	\$1,968,432	\$9,842	\$11,151,627	\$11,161,469

* Actual expenditures paid for contracts from personal services in FY 2018-19

Table 3 ~ Provider Rate Increase ~ Clinical & Parole Contracts			
Long Bill Line	FY 2019-20 Appropriation	0.5% Increase	FY 2020-21 Request
(2)(E) Medical Services			
Service Contracts	\$2,575,733	\$12,879	\$2,588,612
(2)(J) Mental Health			
Medical Contract Services	\$4,915,236	\$24,576	\$4,939,812
(4)(D) Drug & Alcohol Treatment			
Contract Services (GF)	\$2,147,206	\$10,736	\$2,157,942
(5)(A) Parole			
Parole Supervision & Support Services (GF)	\$9,089,758	\$45,449	\$9,135,207
Parole Supervision & Support Services (RF)	\$2,209,756	\$11,049	\$2,220,805
Wrap-Around Services	\$2,336,782	\$11,684	\$2,348,466
(5)(B)(1) Community Supervision			
Community Supervision Support Services (GF)	\$3,940,134	\$19,701	\$3,959,835
Community Supervision Support Services (RF)	\$32,475	\$162	\$32,637
YOS Aftercare Contract Services	\$1,022,396	\$5,112	\$1,027,508

Table 4 ~ Provider Rate Increase Summary

Long Bill Line		0.5 % GF Increase	0.5 % RF Increase	0.5 % Increase Total
(1)(B)(2)	Payments to Local Jails	\$71,695	\$0	\$71,695
	Payments to In-State Private Prisons	\$323,663	\$0	\$323,663
	Payments to Pre-Release Facilities	\$73,741	\$0	\$73,741
(2)(E)	Personal Services	\$48,874	\$0	\$48,874
	Service Contracts	\$12,879	\$0	\$12,879
(2)(J)	Personal Services	\$9,842	\$0	\$9,842
	Medical Contract Services	\$24,576	\$0	\$24,576
(4)(D)	Contract Services	\$10,736	\$0	\$10,736
(5)(A)	Contract Services	\$45,449	\$11,049	\$56,498
	Wrap-Around Services	\$11,684	\$0	\$11,684
(5)(B)(1)	Contract Services	\$24,813	\$162	\$24,975
	Total	\$657,952	\$11,211	\$669,163